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(54) **Title:** METHOD OF ENHANCING HIGH-k FILM NUCLEATION RATE AND ELECTRICAL MOBILITY IN A SEMICON-
DUCTOR DEVICE BY MICROWAVE PLASMA TREATMENT

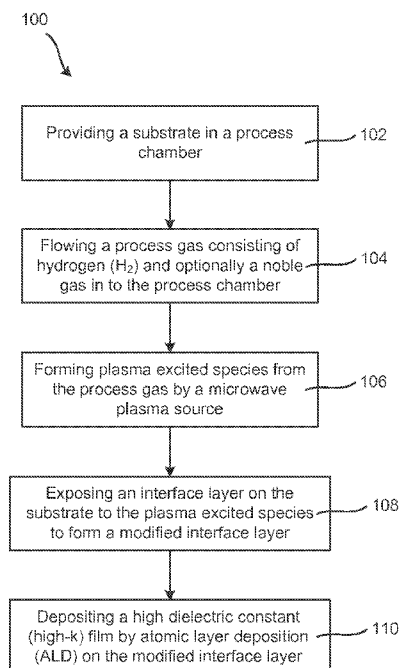


FIG. 1

(57) **Abstract:** A method for forming a semiconductor device is provided in several embodiments. According to one embodiment, the method includes providing a substrate in a process chamber, flowing a process gas consisting of hydrogen (H₂) and optionally a noble gas into the process chamber, forming plasma excited species from the process gas by a microwave plasma source. The method further includes exposing an interface layer on the substrate to the plasma excited species to form a modified interface layer, and depositing a high dielectric constant (high-k) film by atomic layer deposition (ALD) on the modified interface layer. In some embodiments, the modified interface layer has higher electrical mobility than the interface layer, and the high-k film nucleates at a higher rate on the modified interface layer than on the interface layer.



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TITLE OF THE INVENTION

METHOD OF ENHANCING HIGH-k FILM NUCLEATION RATE AND ELECTRICAL MOBILITY IN A SEMICONDUCTOR DEVICE BY MICROWAVE PLASMA TREATMENT

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CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] This application is related to and claims priority to United States Provisional application serial no. 61/946,829 filed on March 2, 2014, the entire contents of which are herein incorporated by reference. This application is related to and claims priority to United States
10 Provisional application serial no. 61/986,995 filed on May 1, 2014, the entire contents of which are herein incorporated by reference.

FIELD OF THE INVENTION

[0002] The present invention generally relates to a method of enhancing high-dielectric constant
15 (high-k) film nucleation rate and electrical mobility in a semiconductor device by microwave plasma treatment of an interface layer on a substrate prior to deposition of a high-k film on the interface layer.

BACKGROUND OF THE INVENTION

[0003] Devices with a high-mobility channel, such as Si, SiGe, Ge and compound
20 semiconductors offer the possibility of increased device performance beyond traditional Si-based devices. In particular, Ge and Ge-containing materials are attractive candidates due to lower effective mass and higher mobility for charge carriers compared to Si. However, one of the issues with the high mobility channel is the poor oxide and interface quality that can result in
25 device degradation.

[0004] HfO₂-based dielectrics have successfully replace SiO₂ in the state of art CMOS technology. However, in order to continue the further scaling of the HfO₂-based gate dielectric and other high-k dielectrics, it is necessary to scale the equivalent oxide thickness (EOT) by scaling the overall dielectric thickness, including the thickness of the interface layer.

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SUMMARY OF THE INVENTION

[0005] A method is provided for forming a semiconductor device. According to one embodiment, the method includes providing a substrate in a process chamber, flowing a process gas consisting of hydrogen (H₂) and optionally a noble gas into the process chamber, and forming plasma excited species from the process gas by a microwave plasma source. The method further includes exposing an interface layer on the substrate to the plasma excited species to form a modified interface layer, and depositing a high dielectric constant (high-k) film by atomic layer deposition (ALD) on the modified interface layer.

[0006] According to another embodiment, the method includes providing a substrate in a process chamber, flowing a process gas consisting of H₂ and optionally a noble gas into the process chamber, and forming plasma excited species from the process gas by a microwave plasma source. The method further includes exposing an interface layer on the substrate to the plasma excited species to form a modified interface layer with increased electrical mobility, where the exposing reduces a thickness of the interface layer, and depositing a high-k film by ALD on the modified interface layer, where the high-k film nucleates at a higher rate on the modified interface layer rate than on the interface layer.

[0007] According to yet another embodiment, the method includes providing a germanium-containing substrate in a process chamber, flowing a process gas consisting of H₂ and optionally a noble gas into the process chamber, and forming plasma excited species from the process gas by a microwave plasma source. The method further includes exposing a germanium-containing interface layer on the substrate to the plasma excited species to form a modified germanium-containing interface layer with increased electrical mobility, and depositing a high-k film by ALD on the modified germanium-containing interface layer, where the high-k film nucleates at a higher rate on the modified germanium-containing interface layer rate than on the germanium-containing interface layer.

BRIEF DESCRIPTION OF THE DRAWINGS

[0008] In the accompanying drawings:

[0009] FIG. 1 is a process flow diagram for forming a semiconductor device according to an embodiment of the invention;

[0010] FIGs. 2A through 2E schematically illustrate through cross-sectional views a method for forming a semiconductor device according to an embodiment of the invention;

[0011] FIG. 3 shows HfO_2 thickness and SiO_2 interface layer thickness as a function of oxidation time;

[0012] FIG. 4 shows SiO_2 interface layer thickness measured ex-situ by XPS and interface layer roughness measured by X-ray reflectivity (XRR) as a function of oxidation time;

5 [0013] FIG. 5 shows HfO_2 thickness deposited on a SiO_2 interface layer versus deposited on a modified SiO_2 interface layer as a function of number of ALD cycles;

[0014] FIG. 6 shows SiO_2 interface layer roughness as a function of gas pressure used during exposure of the SiO_2 interface layer to microwave plasma excited H_2 ;

10 [0015] FIG. 7 shows measured transconductance ($G_m \times L/W$) as a function of inversion oxide thickness (T_{inv}) for four different test samples;

[0016] FIG. 8 shows measured hole mobility as a function of effective field;

[0017] FIG. 9 shows germanium oxide thickness and thickness uniformity as a function of microwave plasma oxidation time;

15 [0018] FIG. 10 is a schematic diagram of a plasma processing system containing a RLSATM plasma for forming a modified interface layer according to one embodiment of the invention; and

[0019] FIG. 11-13 are schematic diagrams of a plasma processing system containing a RLSATM plasma for forming a modified interface layer according to another embodiment of the invention.

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DETAILED DESCRIPTION OF SEVERAL EMBODIMENTS

[0020] Embodiments of the invention are described below in reference to the Figures. According to one embodiment, a method is provided for forming a semiconductor device. The method uses microwave plasma treatment to enhance high-k film nucleation rate and electrical mobility in the semiconductor device.

25 [0021] According to one embodiment, the method includes providing a substrate in a process chamber, flowing a process gas consisting of H_2 and optionally a noble gas into the process chamber, and forming plasma excited species from the process gas by a microwave plasma source. The method further includes exposing an interface layer on the substrate to the plasma excited species to form a modified interface layer with increased electrical mobility, and
30 depositing a high-k film on the modified interface layer. The exposure of the interface layer to plasma excited species can reduce the thickness of the interface layer and the modified interface layer has been found to be more reactive than the original unmodified interface layer. The more

reactive interface layer provides improved nucleation during ALD of a high-k film on the modified interface layer. In addition to increased ALD deposition rate, and thus higher manufacturing throughput, the high-k film has the superior material and electronic properties needed for advanced semiconductor devices, including scaled CMOS devices.

5 [0022] FIG. 1 is a process flow diagram 100 for forming a semiconductor device and FIGs. 2A through 2E schematically illustrate through cross-sectional views a method for forming a semiconductor device according to an embodiment of the invention. The process flow diagram 100 includes, in 102, providing a substrate 200 in a process chamber. The substrate 200 can, for example, include silicon, germanium, silicon germanium, or compound semiconductors.

10 According to one embodiment, the substrate 200 may contain a germanium-containing substrate, for example Ge or SiGe.

[0023] As depicted in FIG. 2A, the substrate 200 can have an interface layer 202 formed thereon. The interface layer 202 can, for example, include an oxide layer, an oxynitride layer, or a nitride layer, or a combination thereof. Examples include SiO_2 , SiON , and SiN . In the case of

15 a germanium-containing substrate 200, the germanium-containing interface layer can include germanium oxide (GeO_x).

[0024] In one example, the interface layer 202 may be formed or deposited on the substrate 200 in the process chamber prior to exposing the substrate 200 to the plasma excited species. According to one embodiment, the substrate 200 is not exposed to air between the time the

20 substrate 200 is introduced into the process chamber and when the substrate 200 is exposed to the plasma excited species.

[0025] In one example, the interface layer 202 may be formed by exposing a clean substrate 200 to alternating pulses of ozone and water. In another example, the interface layer may be formed by exposing the substrate to plasma excited species formed by microwave plasma

25 excitation of an oxygen-containing gas. The oxygen-containing gas can contain O_2 and optionally a noble gas. The interface layer 202 may be formed using a substrate temperature between about 20°C and about 500°C , between about 20°C and about 300°C , between about 20°C and about 200°C , between about 20°C and about 100°C , between about 100°C and about 500°C , between about 200°C and about 500°C , between about 300°C and about 500°C , between

30 about 20°C and about 500°C , or between about 200°C and about 300°C . In one example, the substrate temperature can be about 250°C .

[0026] When forming the interface layer 202 by exposing the substrate 200 to plasma excited species formed by microwave plasma excitation of O_2 and Ar, the Ar gas flow rate can be between about 100sccm and about 5000sccm, between about 100sccm and about 2000sccm,

between about 100sccm and about 1000sccm, between about 100sccm and about 500sccm, between about 3000sccm and about 5000sccm, between about 2000sccm and about 5000sccm, or between about 1000sccm and about 5000sccm. An O₂ gas flow rate can be between about 1sccm and about 50sccm, between about 1sccm and about 30sccm, between about 1sccm and about 20sccm, between about 1sccm and about 10sccm, between about 5sccm and about 50sccm, between about 10sccm and about 50sccm, or between about 20sccm and about 50sccm.

[0027] In other examples, the interface layer 202 may be formed by H₂O₂ oxidation, wet (liquid) chemical oxidation, or in-situ steam growth (ISSG).

[0028] According to one embodiment, an initial oxide layer (e.g., a native oxide layer) may be removed from the substrate 200 in the process chamber or outside the process chamber prior to forming the interface layer 202 on the substrate in the process chamber. The initial oxide layer may be removed by a chemical oxide removal (COR) process or by exposure to wet dilute hydrofluoric acid (DHF). Thus, after removal of an initial oxide layer, a surface of the substrate 200 provided in the process chamber may be at least substantially free of oxygen.

[0029] In one example, a substrate 200 containing an initial oxide layer is introduced into the process chamber, the initial oxide layer is removed from the substrate in the process chamber, thereafter an interface layer 202 is formed on the substrate in the process chamber, and thereafter the interface layer 202 is exposed to the plasma excited species to form a modified interface layer on the substrate.

[0030] In another example, a substrate containing an initial oxide layer is introduced into an auxiliary process chamber (e.g., a chamber configured for performing a chemical oxide removal (COR) process, the initial oxide layer is removed from the substrate in the auxiliary process chamber, thereafter an interface layer is formed on the substrate in the process chamber, and thereafter the interface layer is exposed to the plasma excited species in the process chamber to form a modified interface layer on the substrate.

[0031] In 104, the method further includes flowing a process gas consisting of hydrogen (H₂) gas and optionally a noble gas (e.g., He or Ar) into the process chamber. Exemplary processing conditions include a process gas containing H₂ and Ar. An Ar gas flow rate can be between about 100sccm and about 5000sccm, between about 100sccm and about 2000sccm, between about 100sccm and about 1000sccm, between about 100sccm and about 500sccm, between about 3000sccm and about 5000sccm, between about 2000sccm and about 5000sccm, or between about 1000sccm and about 5000sccm. A H₂ gas flow rate can be between about 10sccm and about 500sccm, between about 10sccm and about 300sccm, between about 10sccm and about 200sccm, between about 10sccm and about 100sccm, between about 50sccm and

about 500sccm, between about 100sccm and about 500sccm, or between about 200sccm and about 500sccm. In one example, the Ar gas flow can be about 2000sccm and the H₂ gas flow can be about 100sccm. The substrate temperature can be between about 20°C and about 500°C, between about 20°C and about 300°C, between about 20°C and about 200°C, between about 20°C and about 100°C, between about 100°C and about 500°C, between about 200°C and about 500°C, between about 300°C and about 500°C, between about 20°C and about 500°C, or between about 200°C and about 300°C. In one example, the substrate temperature can be about 250°C.

[0032] In 106, the method further includes forming plasma excited species from the process gas by a microwave plasma source. Exemplary plasma processing systems containing a microwave plasma source are described in FIGs. 10-13.

[0033] In 108, the method further includes exposing the interface layer 202 on the substrate 200 to the plasma excited species 204 to form a modified interface layer 206. In one example, exposing the interface layer 202 to the plasma excited species increases the electrical mobility of the interface layer 202, reduces a thickness of the interface layer 202, and forms a modified interface layer 206 with improved nucleation characteristics for ALD of a high-k film on the modified interface layer 206.

[0034] In 110, the method further includes depositing a high-k film 208 by ALD on the modified interface layer 206. In one example, the ALD process may be performed in the same process chamber that was used to form the modified interface layer 206. The ALD process can include alternating exposures of a metal-containing precursor and an oxygen-containing gas.

[0035] The high-k film 208 can contain one or more metal elements selected from alkaline earth elements, rare earth elements, Group IIIA and Group IVB elements of the Periodic Table of the Elements. The high-k material can include oxides, oxynitrides, or nitrides of those elements. Alkaline earth metal elements include beryllium (Be), magnesium (Mg), calcium (Ca), strontium (Sr), and barium (Ba). Exemplary oxides include magnesium oxide, calcium oxide, and barium oxide, and combinations thereof. Rare earth metal elements may be selected from the group of scandium (Sc), yttrium (Y), lutetium (Lu), lanthanum (La), cerium (Ce), praseodymium (Pr), neodymium (Nd), samarium (Sm), europium (Eu), gadolinium (Gd), terbium (Tb), dysprosium (Dy), holmium (Ho), erbium (Er), thulium (Tm), and ytterbium (Yb). The Group IVB elements include titanium (Ti), hafnium (Hf), and zirconium (Zr). According to some embodiments of the invention, the high-k material may contain HfO₂, HfON, HfSiON, ZrO₂, ZrON, ZrSiON, TiO₂, TiON, Al₂O₃, La₂O₃, W₂O₃, CeO₂, Y₂O₃, or Ta₂O₅, or a

combination of two or more thereof. However, other high-k materials are contemplated and may be used.

[0036] Representative examples of Group IVB metal-containing precursors include: Hf(O^tBu)₄ (hafnium tert-butoxide, HTB), Hf(NEt₂)₄ (tetrakis(diethylamido)hafnium, TDEAHf),
 5 Hf(NEtMe)₄ (tetrakis(ethylmethyldamido)hafnium, TEMAHf), Hf(NMe₂)₄ (tetrakis(dimethylamido)hafnium, TDMAHf), Zr(O^tBu)₄ (zirconium tert-butoxide, ZTB), Zr(NEt₂)₄ (tetrakis(diethylamido)zirconium, TDEAZr), Zr(NMeEt)₄ (tetrakis(ethylmethyldamido)zirconium, TEMAZ), Zr(NMe₂)₄ (tetrakis(dimethylamido)zirconium, TDMAZr), Hf(mmp)₄, Zr(mmp)₄, Ti(mmp)₄, HfCl₄, ZrCl₄,
 10 TiCl₄, Ti(NiPr₂)₄, Ti(NiPr₂)₃, tris(N,N'-dimethylacetamidinato)titanium, ZrCp₂Me₂, Zr(tBuCp)₂Me₂, Zr(NiPr₂)₄, Ti(OiPr)₄, Ti(O^tBu)₄ (titanium tert-butoxide, TTB), Ti(NEt₂)₄ (tetrakis(diethylamido)titanium, TDEAT), Ti(NMeEt)₄ (tetrakis(ethylmethyldamido)titanium, TEMAT), Ti(NMe₂)₄ (tetrakis(dimethylamido)titanium, TDMAT), and Ti(THD)₃ (tris(2,2,6,6-tetramethyl-3,5-heptanedionato)titanium).

15 [0037] In some examples, the high-k film 208 can be a bilayer containing a 1) diffusion barrier such as Al₂O₃, SiN, or AlN, and 2) HfO₂, ZrO₂, TiO₂, La₂O₃, or Gd₂O₃.

[0038] After forming a high-k film 208 on the modified interface layer 206, the substrate 200 may be further processed, for example by depositing a metal-containing gate electrode film 210 on the high-k film 208, and forming a gate stack by patterning the metal-containing gate
 20 electrode film 210, the high-k film 208, and the modified interface layer 206. The gate stack depicted in FIG. 2E contains a patterned metal-containing gate electrode film 220, a patterned high-k film 218, and a patterned modified interface layer 216.

[0039] FIG. 3 shows HfO₂ thickness and SiO₂ interface layer thickness as a function of oxidation time. The thicknesses were measured ex-situ by XPS. The oxidation time refers to
 25 exposure of the Si substrate to microwave plasma excited O₂ species to form the SiO₂ interface layer. The oxidation times were 15 seconds, 30 seconds, and 120 seconds. Trace 300 shows SiO₂ thickness formed by exposing a Si substrate to microwave plasma excited O₂ species. Trace 302 shows SiO₂ thickness formed by exposing a Si substrate to microwave plasma excited O₂ species and, thereafter, modifying the SiO₂ by exposure to microwave plasma excited H₂
 30 species. Trace 304 shows HfO₂ thickness deposited by ALD on a SiO₂ interface layer formed by exposure to microwave plasma excited O₂ species. Trace 306 shows HfO₂ thickness deposited by ALD on a SiO₂ interface layer formed by exposure to microwave plasma excited O₂ species and thereafter modified by exposure to microwave plasma excited H₂ species. The HfO₂ films were deposited by 40 alternating exposures of a hafnium precursor

(tetrakis(ethylmethylamino)hafnium; TEMAHf) and water vapor at a substrate temperature of 250°C. The H₂ microwave plasma excitation was performed for 90 seconds at a chamber pressure of 90mTorr and substrate temperature of 250°C.

[0040] FIG. 3 shows that the thickness of the modified SiO₂ interface layer is less than the thickness of the unmodified SiO₂ interface layer (about 5 Angstrom reduction for thick SiO₂ interface layers), and the thickness of the HfO₂ film is greater on modified SiO₂ interface layers compared to on unmodified SiO₂ interface layers. These results are due to thinning of the SiO₂ interface layer by the exposure to H₂ gas excited by the microwave plasma source, and improved nucleation of HfO₂ formed by ALD on the modified SiO₂ interface layer.

[0041] FIG. 4 shows SiO₂ interface layer thickness measured ex-situ by XPS and interface layer roughness measured by X-ray reflectivity (XRR) as a function of oxidation time. Trace 400 shows film roughness for a SiO₂ interface layer formed by exposing a Si substrate to microwave plasma excited O₂ species. Trace 402 shows film roughness for a SiO₂ interface layer formed by exposing a Si substrate to microwave plasma excited O₂ species and, thereafter, modifying the SiO₂ interface layer by exposure to microwave plasma excited H₂ species. Trace 406 shows HfO₂ thickness deposited by ALD on a SiO₂ interface layer formed by exposure to microwave plasma excited O₂ species. Trace 406 shows HfO₂ thickness deposited by ALD on a SiO₂ interface layer formed by exposure to microwave plasma excited O₂ species and thereafter modified by exposure to microwave plasma excited H₂ species. The results in Fig. 4 show that no significant increase (degradation) in the roughness of the SiO₂ interface layer is observed following the exposure to microwave plasma excited H₂.

[0042] FIG. 5 shows HfO₂ thickness deposited on a SiO₂ interface layer versus deposited on a modified SiO₂ interface layer as a function of number of ALD cycles. The deposition rate (growth rate) of HfO₂ was higher on the modified SiO₂ interface layer (trace 542) than on the SiO₂ interface layer (trace 540), indicating better nucleation of the HfO₂ on the modified interface layer. The process flow included forming a chemical oxide film on the substrate by a wet process, removing the chemical oxide film in a process chamber using a chemical oxide removal process, forming an SiO₂ interface layer on the substrate by sequential ozone (O₃) and water (H₂O) exposures in the process chamber, modifying the SiO₂ interface layer by exposure to microwave plasma excited H₂, depositing HfO₂ by ALD on the modified SiO₂ interface layer, and measuring the HfO₂ thickness ex-situ using XPS. The sequential ozone and water exposures included 2 pulses of ozone followed by 10 pulses of water, with pumping and purging between each pulse.

[0043] FIG. 6 shows SiO₂ interface layer roughness as a function of gas pressure used during exposure of the SiO₂ interface layer to microwave plasma excited H₂. Substrates containing a SiO₂ interface layer were prepared and some were exposed to microwave excited H₂ species at different gas pressures in the process chamber. The SiO₂ interface layers were prepared by performing the following process twice: sequential ozone and water exposures that included 2 pulses of ozone followed by 10 pulses of water, with pumping and purging between each pulse.

[0044] Fig. 6 shows that increasing the gas pressure reduced the roughness of the modified SiO₂ interface layer (602, solid squares). Increasing the gas pressure to about 3 Torr, or greater, reduced the roughness of the modified SiO₂ interface layer to approximately the same roughness as unmodified SiO₂ interface layer (600). This decrease in roughness is likely due to reduced amount of microwave excited H₂ species that reach the substrate with increasing gas pressure, since the excited H₂ species are thought increase the roughness of the modified SiO₂ interface layer. However, a reduced amount of excited H₂ species also reduces the nucleation enhancement on the modified interface layer.

[0045] FIG. 7 shows measured transconductance ($G_m \times L/W$) as a function of inversion oxide thickness (T_{inv}) for four different test samples. Transconductance is a measure of electric mobility in semiconductor devices. The test samples included a germanium (Ge) substrate, an unmodified and a modified germanium oxide interface layer on the Ge substrate, an Al₂O₃ high-k layer deposited by ALD on the unmodified and modified germanium oxide interface layers, and a ZrO₂ high-k layer deposited by ALD on the Al₂O₃ high-k layer. A thickness of the Al₂O₃ layer was about 3 Angstrom, and a thickness of the ZrO₂ layer was about 30 Angstrom. The interface layers for the test samples were prepared as follows: 700) a clean Ge substrate was oxidized using microwave plasma excited O₂ species; 710) the interface layer in 700 was modified by exposure to microwave plasma excited H₂ species; 720) a clean Ge substrate was oxidized using sequential ozone (O₃) and water (H₂O) exposures (6 sequential ozone gas pulses followed by 10 sequential water pulses); and 730) the interface layer in 720 was modified by exposure to microwave plasma excited H₂ species.

[0046] FIG. 7 shows that the transconductance was greatly enhanced for both test samples 700 and 720 when they were modified by exposure to microwave plasma excited H₂ species.

Further, the inversion oxide thickness, and thus the effective oxide thickness (EOT), was lower for the interface layers 720 and 730 than the interface layers 700 and 710. It is contemplated that the exposure to microwave plasma excited H₂ species makes the interface layer more reactive while also lowering the surface roughness and surface defects. This can increase the hole and/or electron mobility of the interface layer and the resulting semiconductor device.

[0047] FIG. 8 shows measured hole mobility as a function of effective field. The test samples 800, 810, and 830, were the same as the test samples 700, 710, and 730, respectively in FIG. 7. FIG. 8 shows a 53% increase in mobility between samples 800 and 810, and 30% increase in mobility between samples 800 and 830.

5 [0048] FIG. 9 shows germanium oxide thickness and thickness uniformity as a function of microwave plasma oxidation time. The oxidation was done at low substrate temperature, below 400°C. Very good control over oxidation of Ge by microwave plasma using O₂ gas is observed with good control over the oxide thickness 900 under 1nm, and the with-in-wafer non-uniformity (WiWNU) 902 was around or below 1%.

10 [0049] FIG. 10 is a schematic diagram of a plasma processing system containing a RLSATM plasma (Tokyo Electron Limited, Akasaka, Japan) for forming a modified interface layer according to one embodiment of the invention. The plasma produced in the plasma processing system 500 is characterized by low electron temperature and high plasma density. The plasma processing system 500 contains a plasma processing chamber 550 having an opening portion
15 551 in the upper portion of the plasma processing chamber 550 that is larger than a substrate 558. A cylindrical dielectric top plate 554 made of quartz, aluminum nitride, or aluminum oxide is provided to cover the opening portion 551.

[0050] Gas lines 572 are located in the side wall of the upper portion of plasma processing chamber 550 below the top plate 554. In one example, the number of gas lines 572 can be 16
20 (only two of which are shown in FIG. 10). Alternatively, a different number of gas lines 572 can be used. The gas lines 572 can be circumferentially arranged in the plasma processing chamber 550, but this is not required for the invention. A process gas can be evenly and uniformly supplied into the plasma region 559 in plasma processing chamber 550 from the gas lines 572.

25 [0051] In the plasma processing system 500, microwave power is provided to the plasma processing chamber 550 through the top plate 554 via a slot antenna 560 having a plurality of slots 560A. The slot antenna 560 faces the substrate 558 to be processed and the slot antenna 560 can be made from a metal plate, for example copper. In order to supply the microwave power to the slot antenna 560, a waveguide 563 is disposed on the top plate 554, where the
30 waveguide 563 is connected to a microwave power supply 561 for generating microwaves with a frequency of about 2.45GHz, for example. The waveguide 563 contains a flat circular waveguide 563A with a lower end connected to the slot antenna 560, a circular waveguide 563B connected to the upper surface side of the circular waveguide 563A, and a coaxial waveguide converter 563C connected to the upper surface side of the circular waveguide 563B.

Furthermore, a rectangular waveguide 563D is connected to the side surface of the coaxial waveguide converter 563C and the microwave power supply 561.

[0052] Inside the circular waveguide 563B, an axial portion 562 of an electroconductive material is coaxially provided, so that one end of the axial portion 562 is connected to the central (or nearly central) portion of the upper surface of slot antenna 560, and the other end of the axial portion 562 is connected to the upper surface of the circular waveguide 563B, thereby forming a coaxial structure. As a result, the circular waveguide 563B is constituted so as to function as a coaxial waveguide. The microwave power can, for example, be between about 0.5 W/cm² and about 4 W/cm². Alternatively, the microwave power can be between about 0.5 W/cm² and about 3 W/cm². The microwave irradiation may contain a microwave frequency of about 300 MHz to about 10 GHz, for example about 2.45 GHz, and the plasma may contain an electron temperature of less than or equal to 5 eV, including 1, 1.5, 2, 2.5, 3, 3.5, 4, 4.5 or 5 eV, or any combination thereof. In other examples, the electron temperature can be below 5eV, below 4.5eV, below 4eV, or even below 3.5eV. In some examples, the electron temperature can be between 3.0 and 3.5 eV, between 3.5eV and 4.0eV, or between 4.0 and 4.5 eV. The plasma may have a density of about $1 \times 10^{11}/\text{cm}^3$ to about $1 \times 10^{13}/\text{cm}^3$, or higher.

[0053] In addition, in the plasma processing chamber 550, a substrate holder 552 is provided opposite the top plate 554 for supporting and heating a substrate 558 (e.g., a wafer). The substrate holder 552 contains a heater 557 to heat the substrate 558, where the heater 557 can be a resistive heater. Alternatively, the heater 557 may be a lamp heater or any other type of heater. Furthermore the plasma processing chamber 550 contains an exhaust line 553 connected to the bottom portion of the plasma processing chamber 550 and to a vacuum pump 555.

[0054] The plasma processing system 500 further contains a substrate bias system 556 configured to bias the substrate holder 552 and the substrate 558 for generating a plasma and/or controlling energy of ions that are drawn to a substrate 558. The substrate bias system 556 includes a substrate power source configured couple power to the substrate holder 552. The substrate power source contains a RF generator and an impedance match network. The substrate power source is configured to couple power to the substrate holder 552 by energizing an electrode in the substrate holder 552. A typical frequency for the RF bias can range from about 0.1 MHz to about 100 MHz, and can be 13.56 MHz. In some examples, the RF bias can be less than 1 MHz, for example less than 0.8 MHz, less than 0.6 MHz, less than 0.4 MHz, or even less than 0.2MHz. In one example, the RF bias can be about 0.4 MHz. Alternatively, RF power is applied to the electrode at multiple frequencies. The substrate bias system 556 is configured for

supplying RF bias power can be between 0W and 100W, between 100W and 200W, between 200W and 300W, between 300W and 400W, or between 400W and 500W. In some examples, the RF bias power can be less than 100W, less than 50W, or less than 25W, for example. RF bias systems for plasma processing are well known to those skilled in the art. Further, the substrate bias system 556 includes a DC voltage generator capable of supplying DC bias between -5kV and +5kV to the substrate holder 552.

[0055] The substrate bias system 556 is further configured to optionally provide pulsing of the RF bias power. The pulsing frequency can be greater than 1Hz, for example 2Hz, 4Hz, 6Hz, 8Hz, 10Hz, 20Hz, 30Hz, 50Hz, or greater. It is noted that one skilled in the art will appreciate that the power levels of the substrate bias system 556 are related to the size of the substrate being processed. For example, a 300 mm Si wafer requires greater power consumption than a 200 mm wafer during processing.

[0056] Still referring to FIG. 10, a controller 599 is configured for controlling the plasma processing system 500. The controller 599 can include a microprocessor, a memory, and a digital I/O port capable of generating control voltages sufficient to communicate and activate inputs of the plasma processing system 500 as well as monitor outputs from the plasma processing system 500. Moreover, the controller 599 is coupled to and exchanges information with plasma processing chamber 550, the vacuum pump 555, the heater 557, the substrate bias system 556, and the microwave power supply 561. A program stored in the memory is utilized to control the aforementioned components of plasma processing system 500 according to a stored process recipe. One example of controller 599 is a UNIX-based workstation. Alternatively, the controller 599 can be implemented as a general-purpose computer, digital signal processing system, etc.

[0057] FIG. 11 is a schematic diagram of a plasma processing system containing a RLSATM plasma (Tokyo Electron Limited, Akasaka, Japan) for forming a modified interface layer according to another embodiment of the invention. As shown in FIG. 11, the plasma processing system 10 includes a plasma processing chamber 20 (vacuum chamber), an antenna unit 50, and a substrate holder 21. Inside of the plasma processing chamber 20 is roughly sectionalized into a plasma generation region R1, located below a plasma gas supply unit 30, and a plasma diffusion region R2 above the substrate holder 21. A plasma generated in the plasma generation region R1 can have an electron temperature of several electron volts (eV). When the plasma is diffused into the plasma diffusion region R2, where the film formation process is performed, the electron temperature of the plasma near the substrate holder 21 may drop to a value of lower

than about 2eV. The substrate holder 21 is located centrally on a bottom portion of the plasma processing chamber 20 and serves as a substrate holder for supporting a substrate W. Inside the substrate holder 21, there is provided an insulating member 21a, a cooling jacket 21b, and a temperature control unit (not shown) for controlling the substrate temperature.

5 [0058] A top portion of the plasma processing chamber 20 is open-ended. The plasma gas supply unit 30 is placed opposite to the substrate holder 21 and is attached to the top portion of the plasma processing chamber 20 via sealing members such as O rings (not shown). The plasma gas supply unit 30, which may also function as a dielectric window, can be made of materials such as aluminum oxide or quartz and has a planar surface. A plurality of gas supply
10 holes 31 are provided opposite the substrate holder 21 on a planar surface of the plasma gas supply unit 30. The plurality of gas supply holes 31 communicate with a plasma gas supply port 33 via a gas flow channel 32. A plasma gas supply source 34 provides a plasma gas, for example argon (Ar) gas, or other inert gases, into the plasma gas supply port 33. The plasma gas is then uniformly supplied into the plasma generation region R1 via the plurality of gas supply
15 holes 31.

[0059] The plasma processing system 10 further includes a process gas supply unit 40, which is centered in the plasma processing chamber 20 between the plasma generation region R1 and the plasma diffusion region R2. The process gas supply unit 40 may be made of a conducting material, for example an aluminum alloy that includes magnesium (Mg), or stainless steel.
20 Similar to the plasma gas supply unit 30, a plurality of gas supply holes 41 are provided on a planar surface of the process gas supply unit 40. The planar surface of the process gas supply unit 40 is positioned opposite to the substrate holder 21.

[0060] The plasma processing chamber 20 further includes exhaust lines 26 connected to the bottom portion of the plasma processing chamber 20, a vacuum line 27 connecting the exhaust
25 lines 26 to a pressure controller valve 28 and to a vacuum pump 29. The pressure controller valve 28 may be used to achieve a desired gas pressure in the plasma processing chamber 20.

[0061] A plan view of the process gas supply unit 40 is shown in FIG. 12. As shown in this figure, grid-like gas flow channels 42 are formed within the process gas supply unit 40. The grid-like gas flow channels 42 communicate with an upper-end of the plurality of gas supply
30 holes 41, which are formed in the vertical direction. The lower portion of the plurality of gas supply holes 41 are openings facing the substrate holder 21. The plurality of gas supply holes 41 communicate with a process gas supply port 43 via the grid-patterned gas flow channels 42.

[0062] Further, a plurality of openings 44 are formed in the process gas supply unit 40 such that the plurality of openings 44 pass through the process gas supply unit 40 in the vertical direction. The plurality of openings 44 introduce the plasma gas, e.g., argon (Ar) gas, helium (He) gas, or other inert gases, into the plasma diffusion region R2 above the substrate holder 21. As shown in FIG. 12, the plurality of openings 44 are formed between adjacent gas flow channels 42. The process gas may be supplied from three separate process gas supply sources 45-47 to the process gas supply port 43. The process gas supply sources 45-47 may supply H₂ gas, O₂ gas, and Ar gas.

[0063] The process gas flows through the grid-like gas flow channels 42 and is uniformly supplied into the plasma diffusion region R2 via the plurality of gas supply holes 41. The plasma processing system 10 further includes four valves (V1-V4) and four mass flow rate controller (MFC1-MFC4) for controlling a supply of the process gas.

[0064] An external microwave generator 55 provides a microwave of a predetermined frequency, e.g., 2.45 GHz, to the antenna unit 50 via a coaxial waveguide 54. The coaxial waveguide 54 may include an inner conductor 54B and an outer conductor 54A. The microwave from the microwave generator 55 generates an electric field just below the plasma gas supply unit 30 in the plasma generation region R1, which in turn causes excitation of the process gas within the plasma processing chamber 20.

[0065] FIG. 13 illustrates a partial cross-sectional view of the antenna unit 50. As shown in this figure, the antenna unit 50 may include a flat antenna main body 51, a radial line slot plate 52, and a dielectric plate 53 to shorten the wavelength of the microwave. The flat antenna main body 51 can have a circular shape with an open-ended bottom surface. The flat antenna main body 51 and the radial line slot plate 52 can be made of a conductive material.

[0066] A plurality of slots 56 are provided on the radial line slot plate 52 to generate a circularly polarized wave. The plurality of slots 56 are arranged in a substantially T-shaped form with a small gap between each slot. The plurality of slots 56 are arranged in a concentric circle pattern or a spiral pattern along a circumferential direction. Since the slots 56a and 56b are perpendicular to each other, a circularly polarized wave containing two orthogonal polarized components is radiated, as a plane wave, from the radial line slot plate 52.

[0067] The dielectric plate 53 can be made of a low loss dielectric material, e.g., aluminum oxide (Al₂O₃) or silicon nitride (Si₃N₄), that is located between the radial line slot plate 52 and the flat antenna main body 51. The radial line slot plate 52 may be mounted on the plasma processing chamber 20 using sealing members (not shown), such that the radial line slot plate 52

is in close contact with a cover plate 23. The cover plate 23 is located on the upper surface of plasma gas supply unit 30 and is formed from a microwave transmissive dielectric material such as aluminum oxide (Al_2O_3).

[0068] An external high-frequency power supply source 22 is electrically connected to the substrate holder 21 via a matching network 25. The external high-frequency power supply source 22 generates an RF bias power of a predetermined frequency, e.g. 13.56 MHz, for controlling the energy of ions in the plasma that are drawn to the substrate W. The power supply source 22 is further configured to optionally provide pulsing of the RF bias power. The pulsing frequency can be greater than 1Hz, for example 2Hz, 4Hz, 6Hz, 8Hz, 10Hz, 20Hz, 30Hz, 50Hz, or greater. The power supply source 22 is configured for supplying RF bias power between 0W and 100W, between 100W and 200W, between 200W and 300W, between 300W and 400W, or between 400W and 500W. One skilled in the art will appreciate that the power levels of the power supply source 22 are related to the size of the substrate being processed. For example, a 300 mm Si wafer requires greater power consumption than a 200 mm wafer during processing.

15 The plasma processing system 10 further includes DC voltage generator 35 capable of supplying DC voltage bias between -5kV and +5kV to the substrate holder 21.

[0069] A plurality of embodiments for forming a semiconductor device have been described. The method uses microwave plasma treatment to enhance high-k film nucleation and electrical mobility in the semiconductor device. The foregoing description of the embodiments of the invention has been presented for the purposes of illustration and description. It is not intended to be exhaustive or to limit the invention to the precise forms disclosed. This description and the claims following include terms that are used for descriptive purposes only and are not to be construed as limiting. Persons skilled in the relevant art can appreciate that many modifications and variations are possible in light of the above teaching. It is therefore intended that the scope of the invention be limited not by this detailed description, but rather by the claims appended hereto.

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What is claimed is:

1. A method for forming a semiconductor device, the method comprising:
providing a substrate in a process chamber;
flowing a process gas consisting of hydrogen (H₂) and optionally a noble gas into the
process chamber;
forming plasma excited species from the process gas by a microwave plasma source;
exposing an interface layer on the substrate to the plasma excited species to form a
modified interface layer; and
depositing a high dielectric constant (high-k) film by atomic layer deposition (ALD)
on the modified interface layer.
2. The method of claim 1, wherein the modified interface layer has higher electrical
mobility than the interface layer.
3. The method of claim 1, wherein the high-k film nucleates at a higher rate on the
modified interface layer rate than on the interface layer.
4. The method of claim 1, wherein the interface layer includes an oxide layer, an oxynitride
layer, or a nitride layer, or a combination thereof.
5. The method of claim 1, wherein exposing the interface layer to the plasma excited
species reduces a thickness of the interface layer.
6. The method of claim 1, further comprising
forming the interface layer on the substrate in the process chamber.
7. The method of claim 6, wherein forming the interface layer comprises
exposing the substrate to sequential pulses of ozone and water.
8. The method of claim 6, wherein forming the interface layer comprises
exposing the substrate to plasma excited species formed by microwave plasma
excitation of O₂ and optionally a noble gas.

9. The method of claim 6, wherein an initial oxide layer is removed from the substrate prior to forming the interface layer on the substrate.
10. The method of claim 9, wherein the initial oxide layer is removed by a chemical oxide removal (COR) process or by exposure to wet dilute hydrofluoric acid (DHF).
11. The method of claim 1, wherein the providing comprises
providing a substrate in a process chamber, wherein a surface of the substrate is at least substantially free of oxygen; and
forming an interlayer on the substrate in the process chamber.
12. The method of claim 1, further comprising depositing a metal-containing gate electrode film on the high-k film.
13. The method of claim 12, further comprising
patterning the metal-containing gate electrode film, the high-k film, and the modified interface layer to form a gate stack on the substrate.
14. The method of claim 1, wherein the substrate contains silicon, germanium, silicon germanium, or a compound semiconductor.
15. A method for forming a semiconductor device, the method comprising:
providing a substrate in a process chamber;
flowing a process gas consisting of hydrogen (H₂) and optionally a noble gas into the process chamber;
forming plasma excited species from the process gas by a microwave plasma source;
exposing an interface layer on the substrate to the plasma excited species to form a modified interface layer with increased electrical mobility, wherein the exposing reduces a thickness of the interface layer; and
depositing a high dielectric constant (high-k) film by atomic layer deposition (ALD) on the modified interface layer; wherein the high-k film nucleates at a higher rate on the modified interface layer rate than on the interface layer.

16. The method of claim 15, wherein the providing comprises
providing a substrate in a process chamber, wherein a surface of the substrate is at
least substantially free of oxygen; and
forming an interlayer on the substrate in the process chamber.

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17. A method for forming a semiconductor device, the method comprising:
providing a germanium-containing substrate in a process chamber;
flowing a process gas consisting of hydrogen (H₂) and optionally a noble gas into the
process chamber;

10 forming plasma excited species from the process gas by a microwave plasma source;
exposing a germanium-containing interface layer on the substrate to the plasma
excited species to form a modified germanium-containing interface layer with increased
electrical mobility; and
depositing a high dielectric constant (high-k) film by atomic layer deposition (ALD)
15 on the modified germanium-containing interface layer, wherein the high-k film nucleates at a
higher rate on the modified germanium-containing interface layer rate than on the
germanium-containing interface layer.

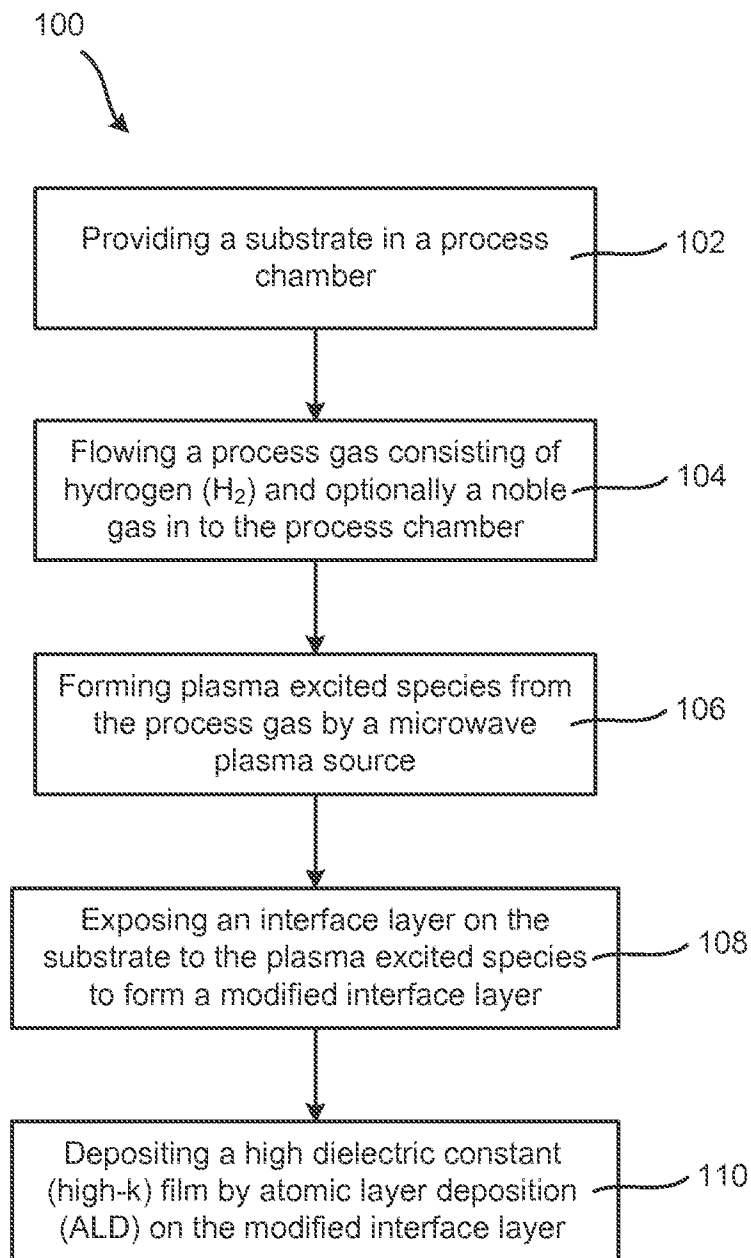
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18. The method of claim 17, wherein the germanium-containing substrate includes Ge or
SiGe.

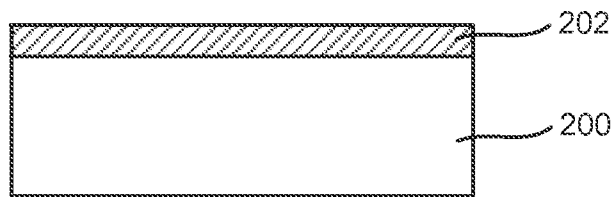
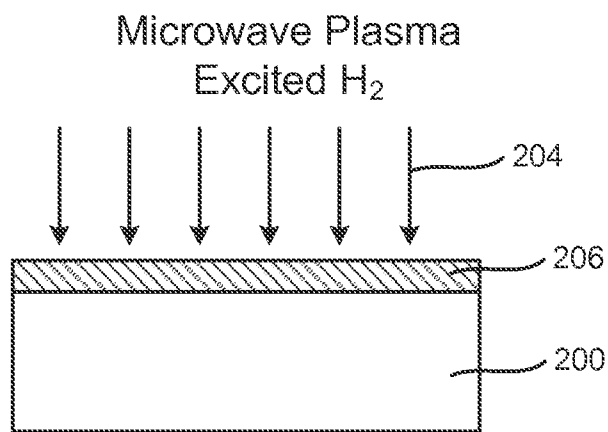
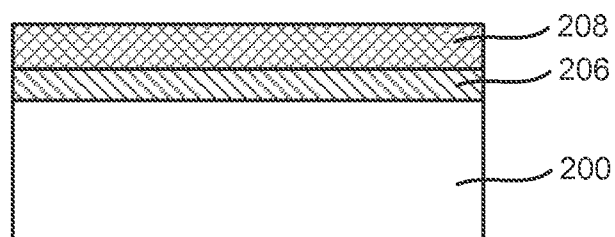
19. The method of claim 17, wherein the germanium-containing interface layer includes
germanium oxide.

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20. The method of claim 17, wherein exposing the germanium-containing interface layer to
the plasma excited species reduces a thickness of the germanium-containing interface layer.

1/10**FIG. 1**

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**FIG. 2A****FIG. 2B****FIG. 2C**

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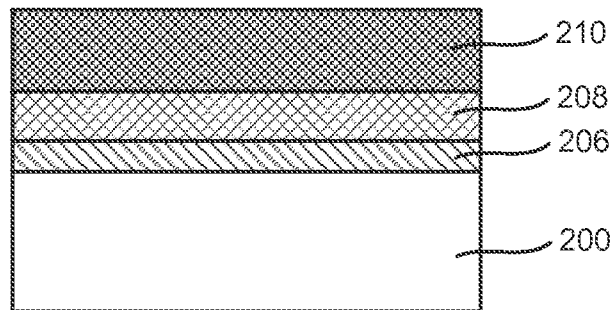


FIG. 2D

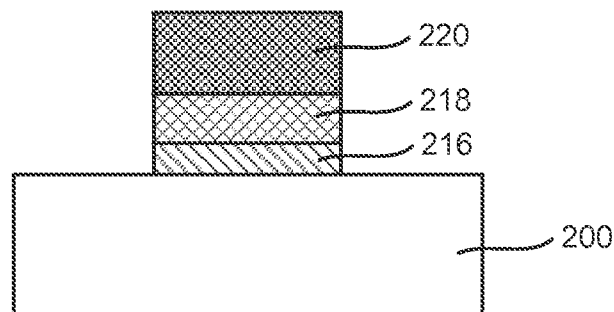


FIG. 2E

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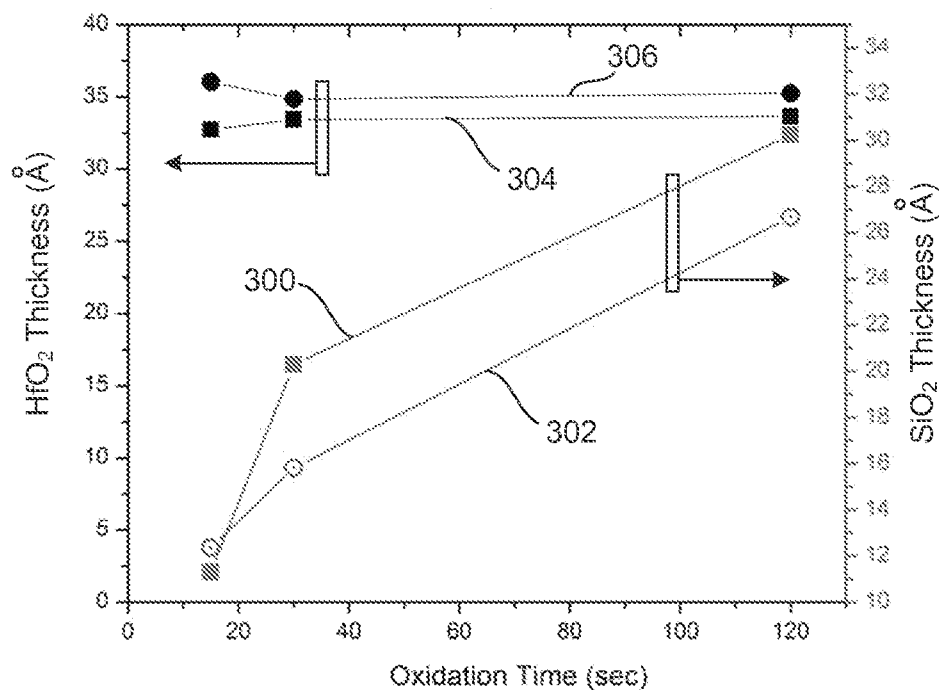


FIG. 3

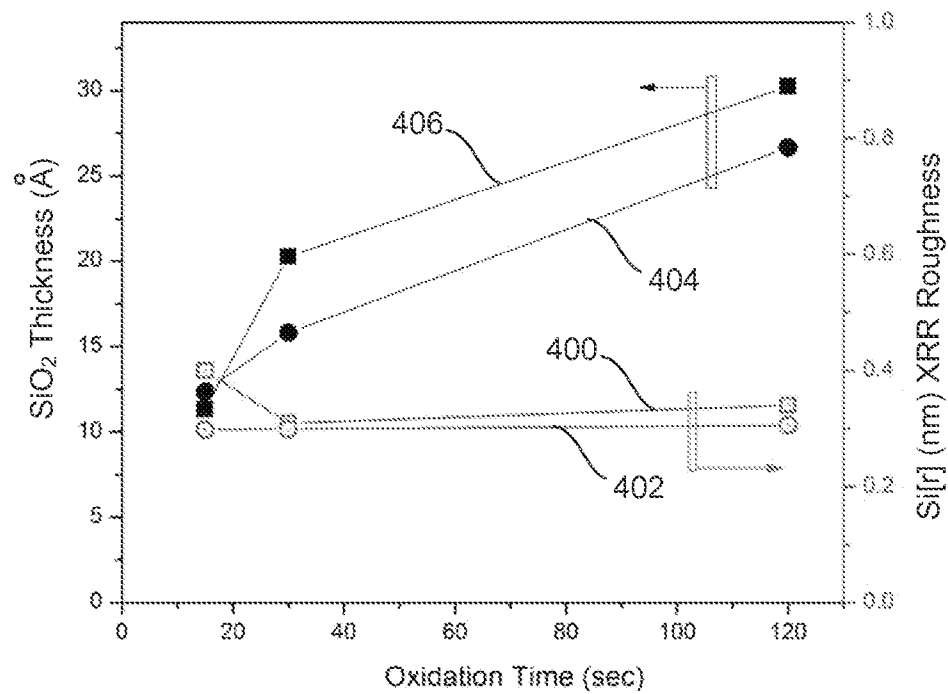


FIG. 4

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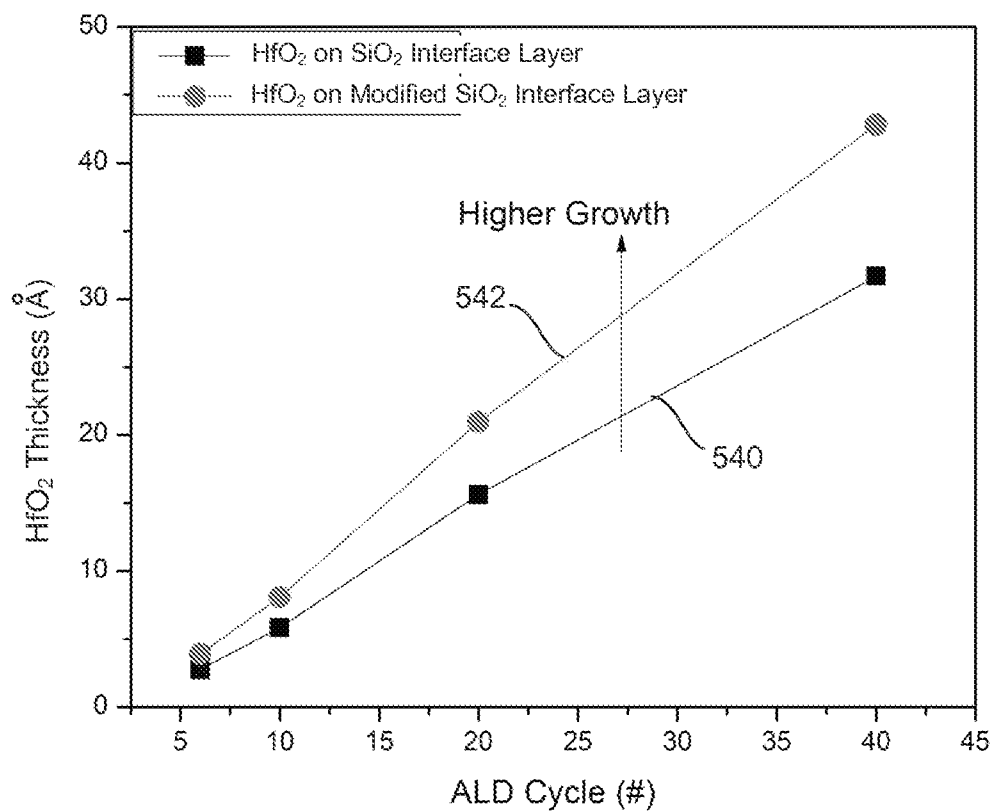


FIG. 5

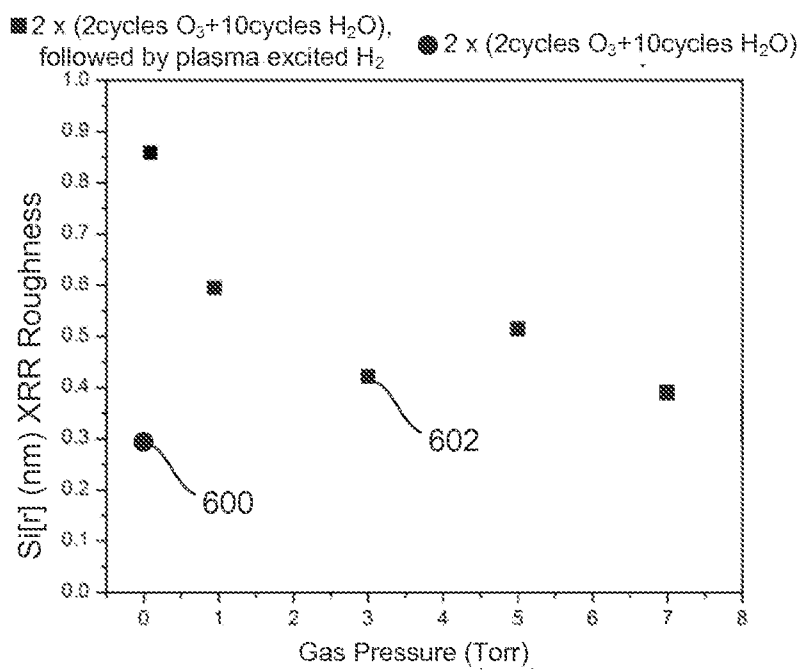


FIG. 6

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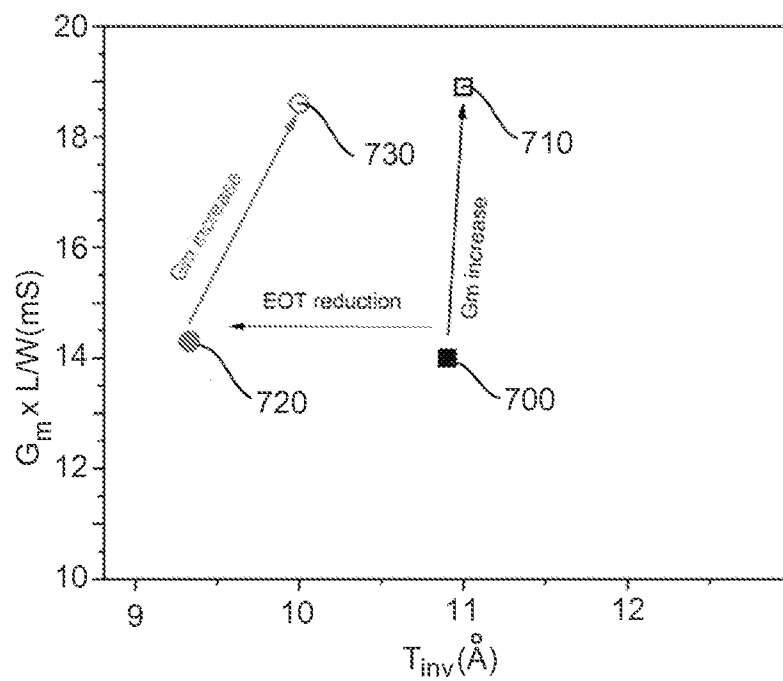


FIG. 7

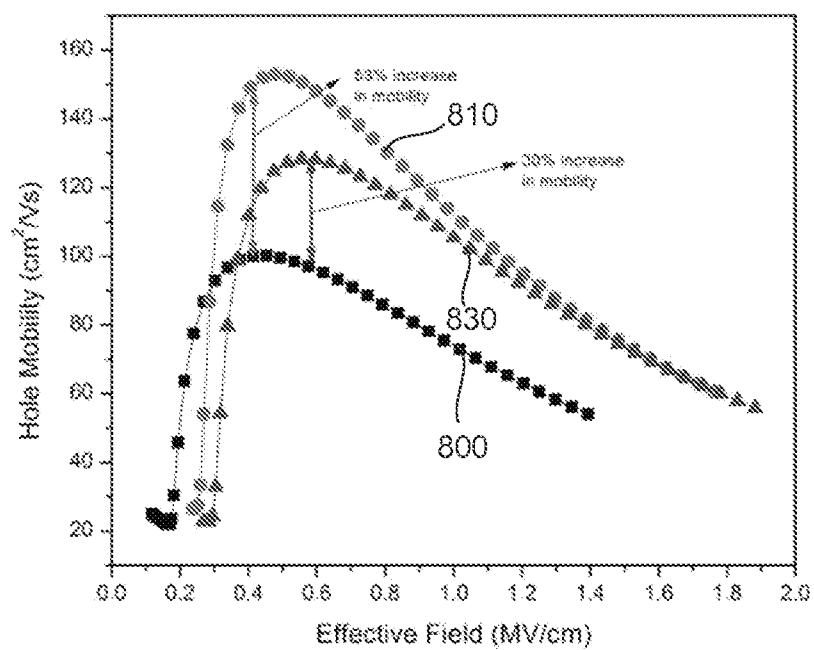


FIG. 8

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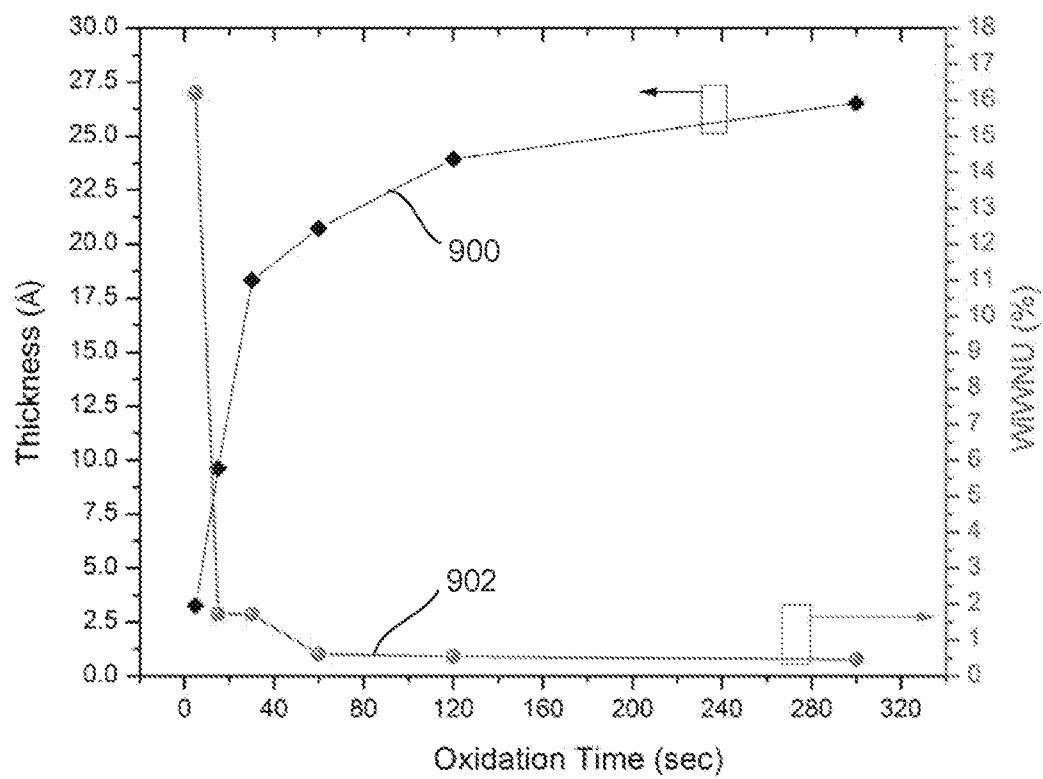


FIG. 9

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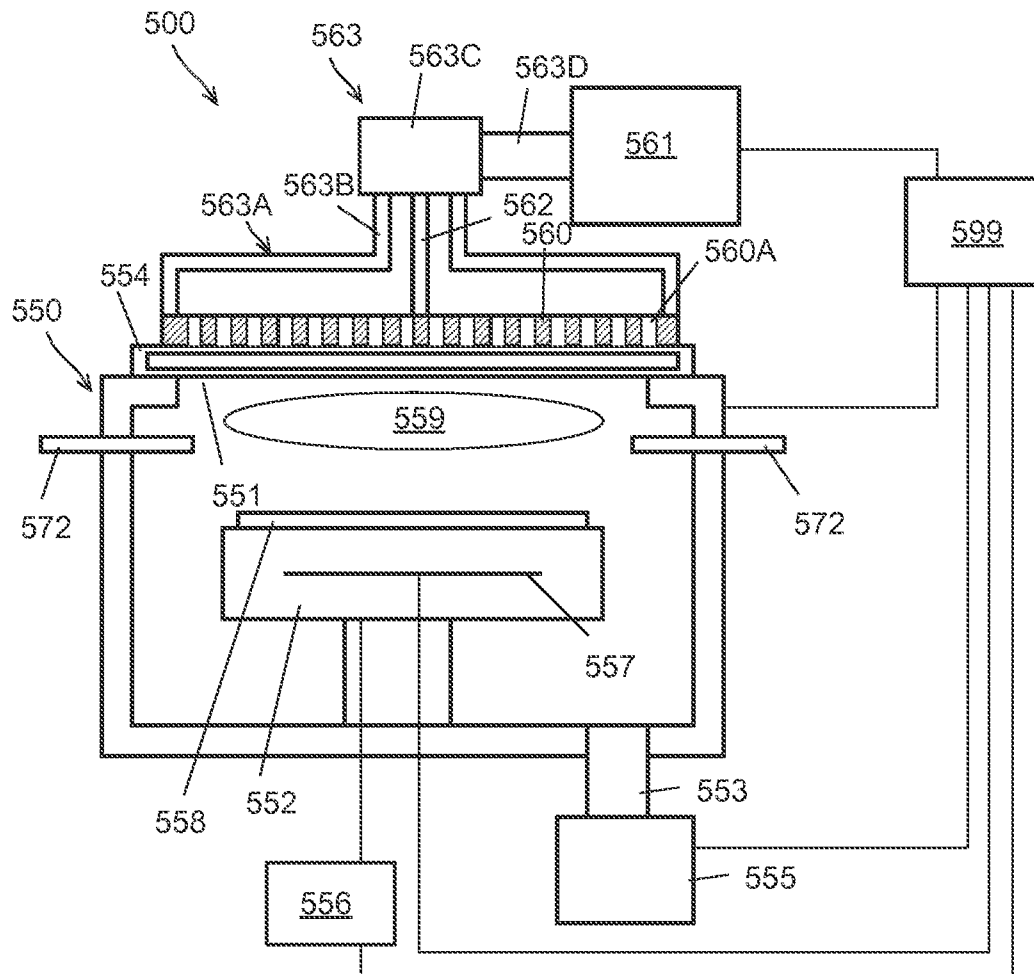
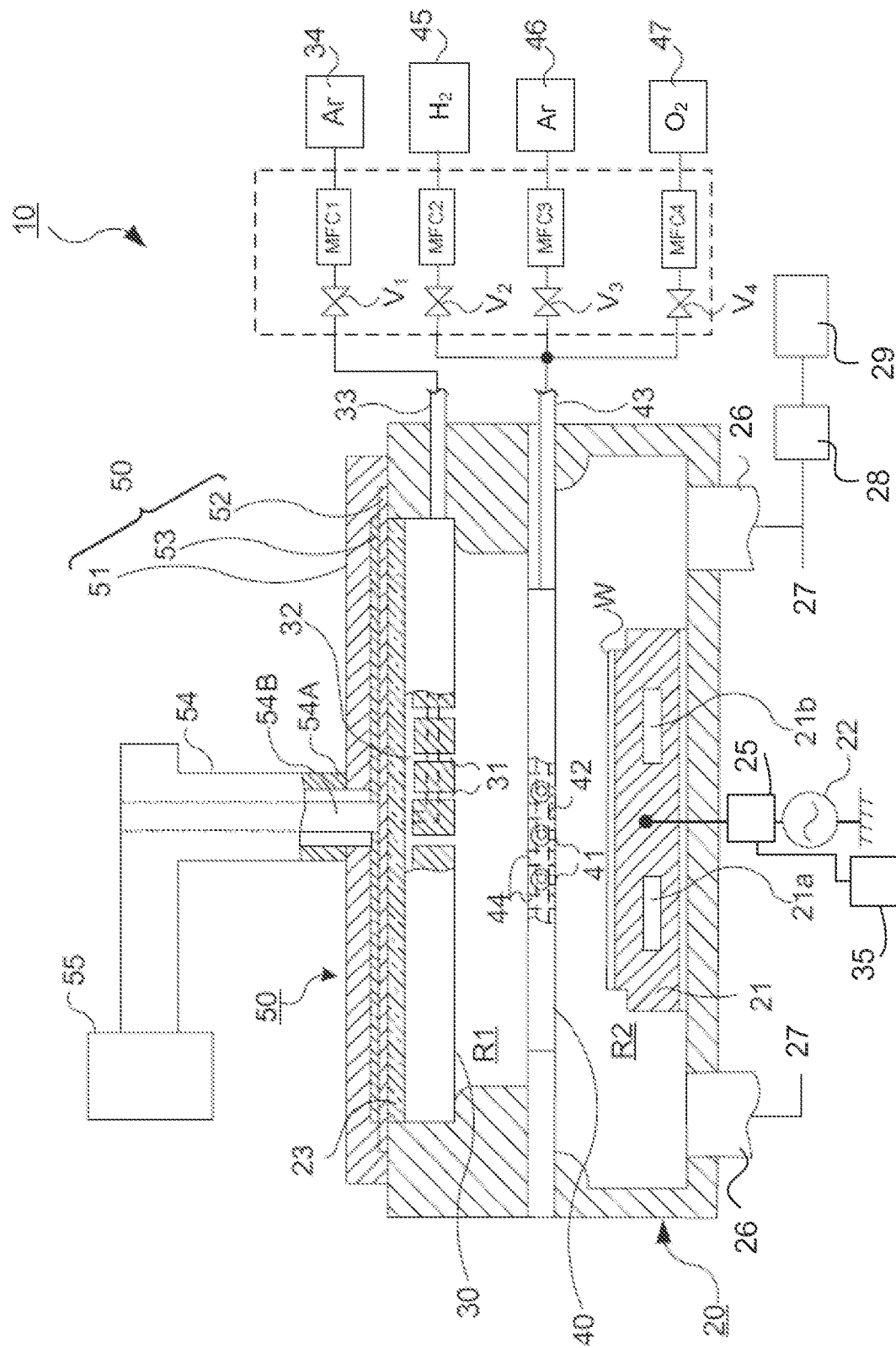


FIG. 10

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11G1

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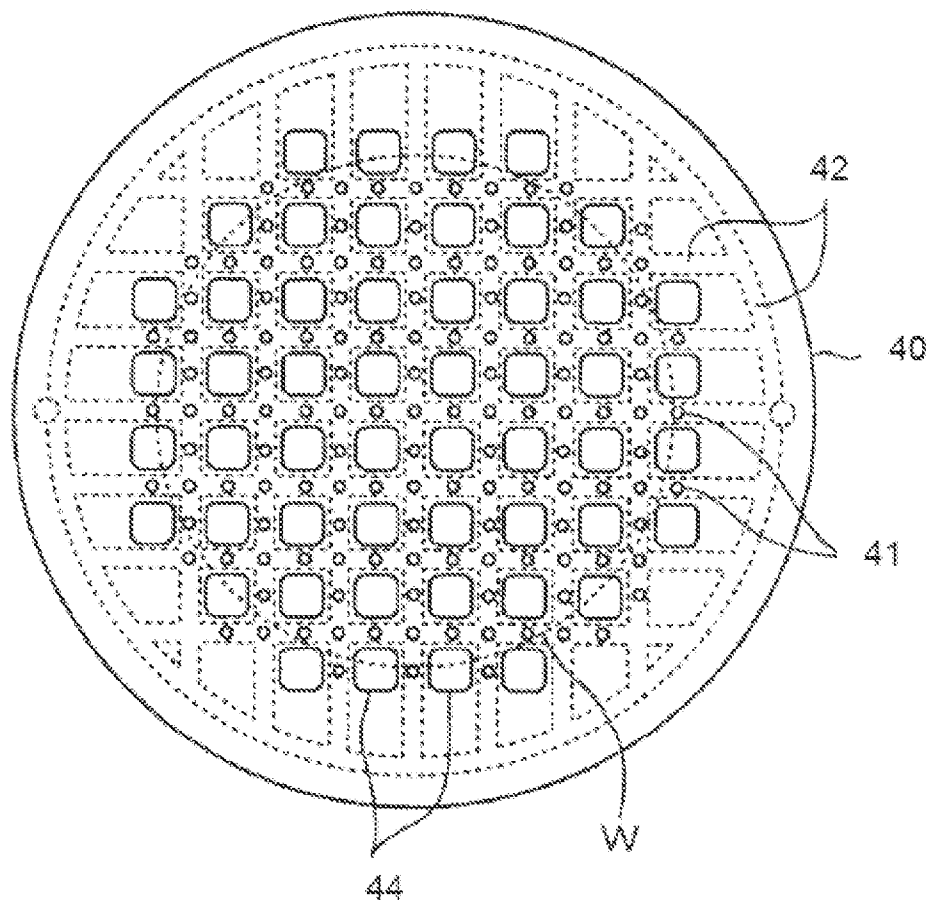


FIG. 12

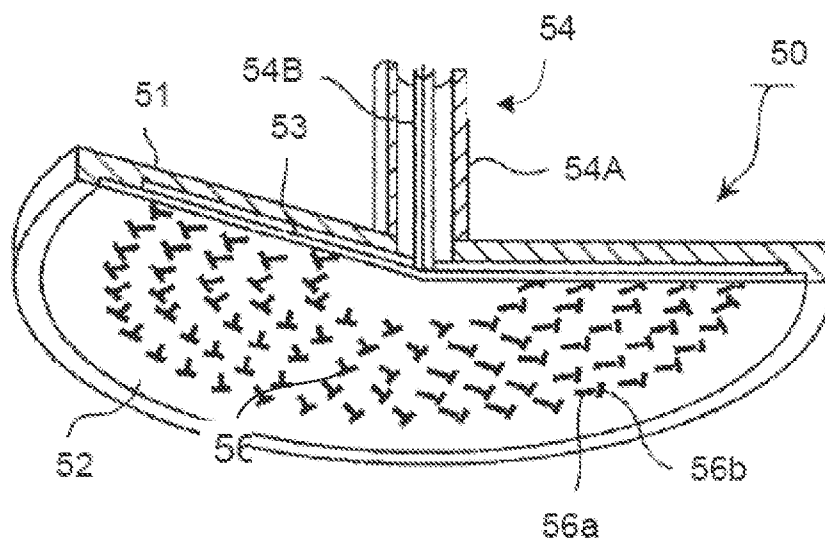


FIG. 13

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US2015/018333**A. CLASSIFICATION OF SUBJECT MATTER****H01L 21/205(2006.01)i**

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

H01L 21/205; H01L 21/336; H01L 29/78; H01L 29/772; H01L 45/00; H01L 21/314; H01L 21/31; H01L 21/62; H01L 21/44; H01L 21/283

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Korean utility models and applications for utility models

Japanese utility models and applications for utility models

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

eKOMPASS(KIPO internal) & keywords: germanium, high-k, interface layer, nucleate, plasma

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2010-0075507 A1 (CHE-HAO CHANG et al.) 25 March 2010 See abstract, paragraphs [0004], [0022]-[0036], [0081], claims 1, 12 and figures 4-5C.	1-8, 11-20
Y		9-10
Y	US 2013-0228735 A1 (RANDALL HIGUCHI et al.) 05 September 2013 See abstract, paragraphs [0008]-[0010] and claims 11-12.	9-10
A	US 2006-0292872 A1 (SUVI P. HAUUKA et al.) 28 December 2006 See abstract, paragraphs [0016]-[0018] and figures 1-3.	1-20
A	US 2013-0256812 A1 (LIANG-GI YAO et al.) 03 October 2013 See abstract, paragraphs [0015]-[0022] and figures 1-2D.	1-20
A	US 2013-0126986 A1 (MARYJANE BRODSKY et al.) 23 May 2013 See abstract, paragraphs [0003]-[0007] and claim 1.	1-20



Further documents are listed in the continuation of Box C.



See patent family annex.

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"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

30 June 2015 (30.06.2015)

Date of mailing of the international search report

30 June 2015 (30.06.2015)

Name and mailing address of the ISA/KR

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INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/US2015/018333

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