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- (54) **Title:** DYNAMIC VERTEBRAL COLUMN PLATE SYSTEM

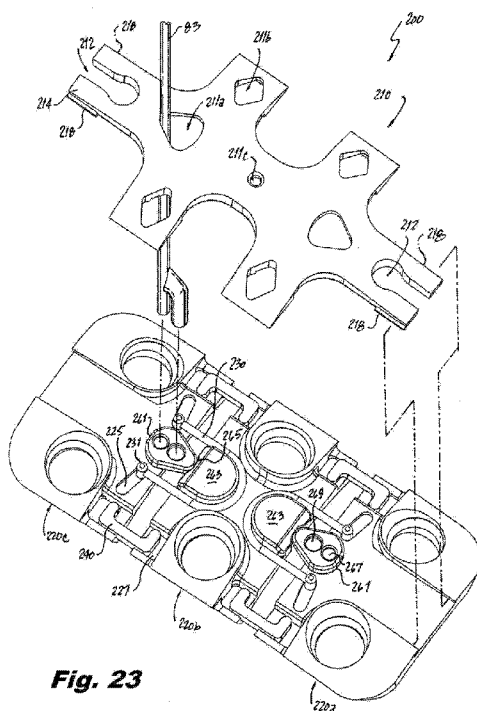


Fig. 23

(57) **Abstract:** A vertebral column construct (200) for stabilizing a segment of a vertebral column can include first, second and third plate segments (220 a-c), and a spring (230) connected between adjacent plate segments. The spring can be adapted and configured to provide a predetermined preload between the plate segments. Such a preload can advantageously enhance fusion across a bone graft. Alternatively, the spring can be adapted and configured to resist, by a predetermined degree, loading between the plate segments. A cam (261) can be provided on one of the first and third plate segments, wherein engagement between the cam and the cam surface prevents dynamic connection between the plates. The cam can be adapted and configured to adjust a preload applied between segments, such as by adjusting tension in the spring.

DYNAMIC VERTEBRAL COLUMN PLATE SYSTEM

CROSS-REFERENCE TO RELATED APPLICATIONS

This Application claims the benefit of priority to U.S. Patent Application Serial Number 61/160,154, filed March 13, 2009, which application is incorporated herein by reference, in
5 its entirety.

FIELD OF THE INVENTION

The present invention relates to implantable orthopedic appliances. Particularly, the present invention is directed to a plate system for use in supporting a section of the vertebral column to facilitate spinal fusion following surgery.

10 BACKGROUND

A variety of implantable orthopaedic devices are known in the art for assisting recovery following trauma or injury. Of such devices, many are directed to relatively rigid devices that force substantial load transfer from the anatomical structure, for example, from the vertebral column. Applicant recognizes that such load transfer inhibits desirable loading of
15 the anatomical structure. In the case of bony tissue, insufficient loading will inhibit, reduce or prevent ossification of the structure, the concept of which is described by and known as “Wolff’s Law.”

Accordingly, Applicant recognizes that it is desirable to provide orthopaedic appliances that provide for controlled load sharing, while providing support necessary to
20 prevent damage to a bone graft and/or other anatomical structure, to allow for healing.

Applicant also recognizes that it is desirable to provide orthopaedic appliances that are versatile and can provide adaptability to a variety of situations. Applicant further recognizes that it is desirable to provide a least one locking feature to inhibit unintentional backing out of fasteners, such as bone screws. The present invention provides solutions for the foregoing.

SUMMARY OF THE INVENTION

In accordance with one aspect of the invention, a vertebral column construct for stabilizing a segment of a vertebral column is provided, having a first plate segment, a second plate segment connected to the first plate segment, and a spring connected between adjacent plate segments. An engagement member connected between the first and second plate segments can also be provided. Alternatively, the separate engagement member can be omitted if sufficient stability is otherwise provided, such as by the spring or another element.

The spring can be adapted and configured to provide a predetermined preload between the first and second plate segments. Accordingly, the spring can be shaped, dimensioned and formed from a material appropriate to achieve the predetermined preload, in combination with other components of the construct. Such a preload can advantageously enhance fusion across a bone graft

Alternatively, the spring can be adapted and configured to resist, by a predetermined degree, loading between the first and second plate segments.

A cam can be provided on one of the first and second plate segments, and be moveable between engagement with cam surface in connection with the other of the first and second plate segments, and disengagement therefrom, wherein engagement between the cam and the cam surface prevents dynamic loading of the spinal segment, between the first and second plates.

The cam can be configured such that the position of the cam determines whether the preload exerted by the spring is transferred through the construct or transferred to the segment of the vertebral column to which the construct is attached.

The cam can be adapted and configured to adjust preload applied between segments, by adjusting tension in the spring.

The spring can be an arcuately bent rod or bar. The spring can be made from a shape memory alloy.

The spring can be engaged with grooves in one of the plate segments, the grooves being configured such that outward application of force by the spring is resolved as a net
5 axial contractive force between the first and second plate segments.

A common upper plate can be provided, and connected to the first and second plate segments. The upper plate and at least one of the first and second plate segments can be adapted and configured for a substantially linearly translatable connection therebetween. The upper plate and slideably connected bottom plate segment can be connected by a mechanical
10 interlock. The mechanical interlock can include a dovetail or a pin and slot configuration.

A third plate segment can be provided and connected to at least one of the first and second plate segments by a spring, and optionally an engagement member. Fourth, fifth, sixth and subsequent plate segments can also be provided.

In accordance with the invention, at least two plate segments can be provided, and the
15 construct can be adapted and configured such that a connection spanned between first and second plate segments is selectable between static and dynamic configurations.

In accordance with the invention, at least three plate segments can be provided, spanning two connections, respectively, and the construct can be adapted and configured such that each of the two connections spanned is selectable between static and dynamic
20 configurations.

In accordance with another aspect of the invention, a vertebral column plate system construct for stabilizing a segment of a vertebral column is provided having a first plate segment, a second plate segment connected to the first plate segment, a spring element connected between adjacent plate segments, adapted and configured for providing a
25 predetermined preload between adjacent plate segments, to enhance spinal fusion, an upper

plate connected to the first and second plate segments, and a cam provided on one of the first and second plate segments, moveable between engagement with cam surface in connection with the other of the first and second plate segments, and disengagement therefrom, wherein engagement between the cam and the cam surface prevents dynamic loading of the spinal segment, between the first and second plates. Further, an engagement member connected between adjacent plate segments can be provided.

In accordance with the invention, the cam surface can be provided on the other of the first and second plates. Alternatively, the cam surface can be on the upper plate. In such an arrangement, the upper plate and the other of the first and second plates can be substantially rigidly connected to one another.

In accordance with a further aspect of the invention, a method of implanting a vertebral column construct on a spinal segment is provided, the method including, in any order, securing each of a plurality of plates of the construct to respective vertebrae, determining whether to apply a preload between first and second levels of vertebrae, and applying a first preload between said first and second levels of vertebrae.

The step of applying the first preload can include rotating a first cam of the dynamic vertebral column construct in a first direction.

The method can further include the steps of evaluating efficacy of the first preload, and applying a second preload, in place of the first preload, between said first and second levels of vertebrae, the second preload being different from the first preload. The second preload being greater than the first preload. Alternatively, the second preload can be less than the first preload.

The step of applying a second preload can include rotating a first cam in a second direction, different from the first direction.

The method can further include the steps of determining whether to apply a preload between first and second levels of vertebrae, and applying a third preload between said second and third levels of vertebrae.

5 The step of applying the third preload can include rotating a second cam of the dynamic vertebral column construct in a first direction.

The method can further comprising the steps of evaluating efficacy of the third preload, and applying a fourth preload, in place of the third preload, between said second and third levels of vertebrae, the fourth preload being different from the third preload.

10 The step of applying the fourth preload can include rotating a second cam in a second direction, different from the first direction.

Constructs in accordance with the invention, additionally or alternatively, can be configured to provide a predetermined amount of resistance to contraction and/or to bending between adjacent plate segments thereby allowing for a predetermined amount of load sharing between the construct and the vertebral column segment.

15 In accordance with the invention, the engagement members, if provided, can be symmetrically arranged in the construct with respect to a longitudinal axis thereof. Moreover, two laterally opposed, springs can be provided in the construct and can be arranged substantially symmetrically with respect to a longitudinal axis of the construct.

20 In accordance with the invention, a plurality of screws can be provided for engaging the construct to the vertebral column segment. The screws can include a slot or other feature for accepting an engagement element for inhibiting unintentional backout of the screws.

In accordance with the invention, one or more of the plate segments can be embodied so as to include respective upper and lower portions.

25 A plurality of spring elements can be provided for assembly with plate segments, the spring elements being provided in a range of stiffnesses, allowing for selectability of

contractive force, or preload, of the construct and/or selectability of resistance to contraction, and/or bending stiffness of the construct, if so-embodied.

The engaging element can be received in a corresponding recess provided in each plate segment connected by the engagement member.

5 It is to be understood that both the foregoing general description and the following detailed description are exemplary and are intended to provide further explanation of the invention claimed.

BRIEF DESCRIPTION OF THE DRAWINGS

The accompanying drawings, which are incorporated in and constitute part of this
10 specification, are included to illustrate and provide a further understanding of the systems, devices, kits and related methods of the invention. Together with the description, the drawings serve to explain the principles of the invention, wherein:

Figures 1A and 1B are, respectively, an isometric line drawing and an isometric rendering showing internal structure, of a representative embodiment of a dynamic vertebral
15 column plate system and accompanying screws, in accordance with the present invention, wherein the vertebral column plate system is shown in an extended state;

Figures 1C and 1D are, respectively, an isometric rendering showing internal structure and an isometric line drawing of a representative embodiment of a dynamic vertebral column plate system and accompanying screws, in accordance with the present invention, wherein the
20 vertebral column plate system is shown in a collapsed state;

Figures 2A and 2B are, respectively, an isometric line drawing and an isometric rendering showing internal structure, of the dynamic vertebral column plate system of Figures 1A and 1B, shown without accompanying screws, in accordance with the present invention;

Figures 3A and 3B are, respectively, a top line drawing and a top rendering showing internal structure, of the dynamic vertebral column plate system of Figures 1A and 1B, shown with accompanying screws;

Figure 3C is a top line drawing of the dynamic vertebral column plate system of
5 Figures 1A and 1B, shown without the accompanying screws;

Figures 4A and 4B are, respectively, an end line drawing and an end rendering showing internal structure of the dynamic vertebral column plate system of Figures 1A and 1B, shown with accompanying screws;

Figures 5A and 5B are, respectively, a side line drawing and a side rendering showing
10 internal structure, of the dynamic vertebral column plate system of Figures 1A and 1B, shown with accompanying screws;

Figure 6A is a bottom line drawing of the dynamic vertebral column plate system of Figures 1A and 1B, shown with accompanying screws;

Figure 6B is a bottom line drawing of the dynamic vertebral column plate system of
15 Figures 1A and 1B, shown without the accompanying screws;

Figures 7A and 7B are, respectively, a line drawing and a rendering illustrating a detail view of a portion of the dynamic vertebral column plate system of Figures 1A and 1B, shown with an accompanying screw;

Figures 8A and 8B are, respectively, isometric line drawings of top and bottom
20 surfaces of an upper plate segment of the dynamic vertebral column plate system of Figures 1A and 1B;

Figure 9 is an a isometric line drawing, showing the lower surface of the upper plate segments of the dynamic vertebral column plate system of Figures 1A and 1B;

Figure 10 is an a isometric line drawing, showing the upper surface of the lower plate
25 segments of the dynamic vertebral column plate system of Figures 1A and 1B;

Figures 11A and 11B are, respectively, a line drawing and a rendering illustrating a screw and a retaining clip in accordance with the invention for use with the dynamic vertebral column plate system of Figures 1A and 1B;

Figure 11C is a line drawing of the screw of Figures 11A and 11B, shown without the
5 retaining clip;

Figure 12 is a top isometric view of the screw of Figures 11A, 11B and 11C, shown without the retaining clip, and illustrating a socket portion thereof;

Figure 13 is an isometric line drawing showing an engagement member for joining adjacent plate segments of the dynamic vertebral column plate system of Figures 1A and 1B;

10 Figure 14 is an isometric line drawing showing spring members for joining adjacent plate segments of the dynamic vertebral column plate system of Figures 1A and 1B;

Figure 15A is a top view of a spring member of Figure 14;

Figure 15B is a bottom view of the spring member of Figure 15A;

Figure 15C is a front isometric view of the spring member of Figure 15A;

15 Figure 15D is a an enlarged partial view of the spring member of Figure 15A, illustrating a central bend thereof;

Figure 15E is a left side view of the spring member of Figure 15A;

Figure 15F is a right side view of the spring member of Figure 15A;

Figures 16-29 illustrate various views of another exemplary embodiment of a
20 dynamic vertebral column plate system in accordance with the invention, having arcuately bent rod or bar-shaped springs and an integral cam element;

Figure 16 is an isometric view of the plate construct in accordance with this embodiment, shown in an expanded condition;

Figure 17 is a side view of the plate construct, shown in an expanded condition;

25 Figure 18 is an isometric view of the plate construct, shown in a contracted condition;

Figure 19 is a side view of the plate construct, shown in a contracted condition;

Figure 20 is a bottom isometric view of the plate construct, shown in an expanded condition;

Figure 21 is a bottom isometric view of the plate construct, shown in a contracted condition;

Figure 22A-C are end views of the plate construct, illustrating engaging steps between an upper plate and a lower end plate segment;

Figure 23 is a partly exploded isometric view of the plate construct, illustrating internal components thereof and a tool for operating a cam thereof;

Figure 24 is a bottom isometric of the upper plate of plate construct;

Figure 25 is an exploded view of the internal components of the plate construct;

Figure 26 is an isometric view of the plate construct, shown in an expanded condition with both cams rotated out of engagement with opposing recesses therefor, shown with the upper plate removed for visibility;

Figure 27 is top view of an end portion of the plate construct with the illustrated cam held in an opposing recess, maintaining the expanded condition of the plate, shown with the upper plate removed for visibility;

Figure 28 is an isometric view of the plate construct with both cams rotated out of engagement with the opposing recesses therefor, shown in a contracted condition, with the upper plate removed for visibility;

Figure 29 is top view of an end portion of the plate construct with the illustrated cam rotated out of engagement with the opposing recesses therefor, maintaining the expanded condition of the plate, shown in a contracted condition, with the upper plate removed for visibility;

Figures 30A-C illustrate implantation steps of the dynamic vertebral column plate system construct of Figures 16-29, but which steps apply generally to other embodiments of the invention;

Figure 30A illustrates the construct during insertion of a final screw for engaging the attached vertebral segment;

Figure 30B illustrates the construct during rotation of a cam thereof, with a tool therefor;

Figure 30C illustrates the construct following attachment to the vertebral segment and rotation of both cams from the opposing recesses therefor, shown with the upper plate removed for visibility;

Figures 31A-H are side and cross-sectional views of various screw configurations for use with the dynamic vertebral column plate systems of the invention;

Figure 32A is an isometric view of a dynamic vertebral column plate system construct in accordance with the invention, having two levels of plate segments;

Figure 32B is an isometric view of a dynamic vertebral column plate system construct in accordance with the invention, having four levels of plate segments;

Figures 33-39 various views of further exemplary embodiment of a dynamic vertebral column plate system construct in accordance with the invention, having band-shaped springs and an integral cam element configured to permit a plurality of selectable preloads;

Figure 33 is an isometric view of the construct of this embodiment, shown in an expanded condition;

Figure 34 is an isometric view of the construct of this embodiment, shown in a contracted condition;

Figure 35 is an isometric view of the construct of this embodiment, shown in an expanded condition, with the upper plate removed for visibility;

Figure 36 is a bottom isometric view of the upper plate of this embodiment of the construct;

Figure 37 is a top view of the construct of this embodiment shown in an expanded condition, with the upper plate removed for visibility;

5 Figure 38 is a top view of the construct of this embodiment shown in a contracted condition, with the cams in one position for applying a corresponding preload to a spinal segment, and with the upper plate removed for visibility; and

Figure 39 is a top view of the construct of this embodiment shown in a contracted condition with the cams in another position (as compared with Figure 38), for applying a
10 different corresponding preload to a spinal segment, also shown with the upper plate removed for visibility.

DETAILED DESCRIPTION

Reference will now be made in detail to preferred embodiments of the invention, an example of which is illustrated in the accompanying drawings.

15 The devices and methods presented herein may be used for stabilization of a segment of the vertebral column during spinal fusion following surgery.

With reference to the figures and as seen, for example, in Figures 1A and 1B, a dynamic vertebral column plate system for stabilizing a segment of a vertebral column is assembleable into a plate construct 100 for attachment to a vertebral column segment. Such
20 constructs can be provided to a user (such as a surgeon) already assembled, or can be assembled by the user, for example. The plate system includes a first end plate segment, having upper and lower portions 110a and 120a, a second end plate segment having upper and lower portions 110c and 120c connected to and arranged opposite the first plate segment. As illustrated, an intermediate plate segment having upper and lower portions 110b and 120b
25 can be provided. In accordance with further aspects of the invention, additional intermediate

plate segments can additionally be provided, yielding a total of 3, 4, 5, 6, 7, 8 or more plate segments in the construct 100 formed from the components of the subject system.

In the illustrated embodiment, an engagement member 140 and spring elements 130 are provided between and connect adjacent plate segments, forming the plate construct 100.

5 Although one engagement member 140 and two springs 130 are illustrated between each adjacent pair of plate segments, it is to be understood that any suitable number of such elements can be provided. It is particularly conceived that two laterally opposed engagement members 140 can be additionally or alternatively provided laterally distal to the springs 130. In such an embodiment, it is conceived that it may prove necessary to provide additional
10 material along the lateral edges of the plate segments 110, 120 to provide structural support and/or simply to provide space for holding the additional engagement members.

The springs 130 are adapted and configured to provide a predetermined amount of contractive force, or preload, of the construct 100. In accordance with alternate embodiments the springs 130 can be adapted and configured to provide a predetermined amount of bending
15 stiffness between adjacent plate segments, thereby allowing for a predetermined amount of load sharing between the construct 100 and the vertebral column segment to which it is attached.

As can be seen in Figures 1A and 1B, a plurality of screws 150 are provided for anchoring the construct 100 into the bone. An aperture 113a-c is provided in each upper plate
20 portion 110a, 110b, 110c, in which the head of the screws 150 rest. A groove 155 is provided in the head of the screw 150 for receiving a locking element, such as the retaining clip 159, which is best seen in Figures 11A and 11B. The locking element can be any suitable element, including but not limited to a resilient o-ring, circlip, or another suitable element, such as a latching toroidal coil available from Bal Seal Engineering, Inc. of Foothill Ranch,
25 California, USA. The locking element can be formed of any suitable material, such as a

metal, metal alloys, an elastomeric material, silicone, polychloroprene (e.g. Neoprene), or a plastic material such as polyetheretherketone (PEEK), for example. The locking element, carried by the screw can seat in a groove provided in the construct being used.

As seen in Figure 1B, as well as in Figures 1C, 8B, 9 and 10, spring engagement members or bosses 115, 125 can be provided in connection with or integrally with the plate segments, such as with upper plate portion 110a or lower plate portion 120a, respectively, for engaging the springs 130. Similarly, the engagement members 140 are secured to adjacent plates by a recess 117, 127 provided in each respective upper plate portion 110a-c and lower plate portion 120a-c. The recesses 117, 127 are shaped with a corresponding partial “I” shape to capture the engagement members 140, and to allow for axial motion between the plate portions 110, 120 and the engagement members 140. Accordingly, the transverse section of the recess 117, 127 can be deeper than that of the engagement member 140 to allow for axial motion. Moreover, it is to be understood that various shapes of engagement members 140 can be used, and are not be limited solely to the shape illustrated.

Although illustrated as independent components, it is to be understood that in alternative embodiments, engagement members 140 can be integrally formed with one plate, fitting into a corresponding recess 117, 127 in an adjacent plate. Accordingly, relative motion between plate segments is allowed, without necessitating manufacture and assembly of separate components. In line with such embodiments, it is particularly conceived that any permutation of arrangements of separate or integral engagement members 140 is possible, with any suitable number of engagement members 140 being provided between adjacent plates.

The round spring engagement members or bosses 115, 125 allow for relative movement of the springs when the construct 100 is subjected to different loading conditions, such as axial tensile or compressive forces or lateral bending (in a plane that is substantially

parallel to the plate surface and parallel to the longitudinal axis of the construct, for example).

Alternatively, the bosses 115, 125 can be any suitable shape, including but not limited to elliptical, oblong, polygonal (e.g., square, hexagonal). Shapes of bosses 115, 125 that inhibit rotation thereabout can enhance lateral stability of the construct 100. A relatively shallow
5 recess 119 is provided in one or more of the upper plate portions 110a-c and lower plate portions 120a-c. The recesses 119 are configured to provide room for elastic deformation of the springs 130 under the aforementioned axial compression and/or bending conditions.

Upper and lower portions of the plate segments, such as upper plate portion 110a and lower plate portion 120a, can be mutually secured in any suitable manner, including but not
10 limited to welding, mechanical fasteners, solders, adhesives, epoxy materials, mechanical interlock features or the like.

As mentioned above, Figures 2A and 2B are, respectively, an isometric line drawing and an isometric rendering showing internal structure of the dynamic vertebral column plate system of Figures 1A and 1B, shown without accompanying screws, in accordance with the
15 present invention. Figures 3A and 3B are, respectively, a top line drawing and a top rendering showing internal structure of the dynamic vertebral column plate system of Figures 1A and 1B, shown with accompanying screws. Figure 3C is a top line drawing of the dynamic vertebral column plate system of Figures 1A and 1B, shown without the accompanying screws. Figures 4A and 4B are, respectively, an end line drawing and an end rendering
20 showing internal structure of the dynamic vertebral column plate system of Figures 1A and 1B, shown with accompanying screws. Figures 5A and 5B are, respectively, a side line drawing and a side rendering showing internal structure, of the dynamic vertebral column plate system of Figures 1A and 1B, shown with accompanying screws. Figure 6A is a bottom line drawing of the dynamic vertebral column plate system of Figures 1A and 1B, shown with
25 accompanying screws. Figure 6B is a bottom line drawing of the dynamic vertebral column

plate system of Figures 1A and 1B, shown without the accompanying screws. Figures 7A and 7B are, respectively, a line drawing and a rendering illustrating a detail view of a portion of the dynamic vertebral column plate system of Figures 1A and 1B, shown with an accompanying screw. Figures 8A and 8B are, respectively, isometric line drawings of top and bottom surfaces of an upper plate segment of the dynamic vertebral column plate system of Figures 1A and 1B. Figure 9 is an isometric line drawing showing the lower surface of the upper plate segments of the dynamic vertebral column plate system of Figures 1A and 1B, and Figure 10 is an isometric line drawing, showing the upper surface of the lower plate segments of the dynamic vertebral column plate system of Figures 1A and 1B.

As best seen in Figure 3C, for example, the lower plate portions 120a-c of each plate segment include smaller apertures 123a, b or c, respectively for the screws 150 than the apertures 113a-c provided, respectively in the upper plate portions 110a-c. This allows firm engagement of the construct 100 to the vertebral column, with the larger apertures 113a-c allowing space for inserting the retaining clip 159.

As seen best in Figures 11A-11D, the screws 150 illustrated can include external threads 151 thereon for securely engaging bone. A proximal groove 155 accepts a retaining clip 159 for facilitating engagement with the construct 100. As seen in Figure 12, the screw 150 can include a socket portion 153 and internal threads 152 provided therein to facilitate removal of the screw 150 from the bone, if necessary or desired. Such threads 152 are preferably opposite in directionality to the threads 151 of the screw 150, so that as the screw is being removed, the extraction tool does not disconnect itself from the screw 150.

As best seen in Figure 13, the engagement member 140 is shaped in this embodiment substantially as a solid "I-beam." Any of a variety of materials can be used, including but not limited to stainless steel, titanium alloys, nickel alloys such as Nitinol, polymeric materials, ceramic materials or composite materials, for example. The shape of the engagement

member 140, and particularly the web portion 143 thereof, provides resistance to, but can allow, if so-embodied, a predetermined amount of bending of a construct created therewith.

In accordance with a preferred embodiment, the plate segments move in an axial direction (parallel to the longitudinal axis of the construct 100), guided by the engagement members 140. The springs 130 exert a compressive force between segments of the construct 100, while the engagement members 140 help stabilize the construct 100. In such embodiments, the engagement members 140 are preferably relatively strong, and stiff (i.e. resistant to bending forces).

Alternatively, if embodied to allow bending of the construct 100, due to the placement of the springs 130 with respect to the engagement member 140, lateral bending (roughly in the plane of the plate segments 110, 120, but parallel to the longitudinal axis thereof) would generally be less than in the direction perpendicular thereto (out of the plane of the plate segments 110, 120 (but still in a plane parallel to the longitudinal axis of the construct)). The stiffness of the engagement member 140 in such embodiments can be selected by varying the material properties thereof, by changing the composition of the material, treating the material, or by altering the shape thereof—particularly the cross-sectional shape to alter the area moment of inertia thereof.

As seen in Figures 14 and 15A-15F, the spring elements 130 include engagement apertures 135 for mating with the spring engagement members 115 formed on the upper plate portions 110a-c and spring engagement members 125 formed on the lower plate portions 120a-c. The spring elements 130 can be formed of any suitable material, including but not limited to stainless steel, titanium alloys, nickel alloys such as Nitinol, polymeric materials, ceramic materials or composite materials, for example. The stiffness of the spring elements 130 can be selected by varying the material properties thereof, by changing the composition of the material, treating the material, or by altering the shape thereof. With respect to the

springs 130, the nature of the integral bend and the cross-section of the component in that area can be altered to increase or decrease the stiffness thereof.

As illustrated, the spring 130 narrows to a relatively small cross-sectional area. When axial compression is the main modality of loading, the springs 130 can be provided pre-stressed, wherein the relaxed state of the spring results in a shorter length of the construct 100 than the pre-stressed state. In such embodiments, the construct 100 can be provided with removable spacers 160 (Figure 1C) between plate segments that are removed following attachment to the vertebral column segment. Thereafter, the springs 130 exert a constant axial compressive force on the vertebral column segment.

In accordance with the invention, the stiffness of the engagement members 140, springs 130, in conjunction with the materials of the plate segments 110, 120 are selected to provide a desired amount of flexion in the construct when joined with a vertebral column segment. In accordance with one aspect, devices in accordance with the invention allow for between about 0 and 5.0 mm, and preferably between about 1.0 mm to 3.0 mm of axial contraction at each level, across each intervertebral space. In accordance with another preferred aspect, the subject devices allow for about 2.0 mm of axial contraction at each level. If desired, the characteristics of the construct can be varied at different levels, providing greater preload force, or alternatively resistance to axial contraction and/or bending at one level than at another level, if desired.

The shape of the plate segments 110, 120, engagement members 140 and springs 130 preferably result, when combined with the respective vertebral column segment, in a curvature very close to the natural curvature of that vertebral segment. Other than providing a bias to maintain pressure across the intervertebral spaces to promote fusion of bone grafts, the curvature is preferably very close to that of the vertebral column segment to which it is to be attached.

Further, the spacing between adjacent plate segments can be selected as desired, and can vary between adjacent levels, across consecutive intervertebral spaces, for example. Such flexibility allows for more versatility when used with a patient's individual anatomy.

Moreover, devices in accordance with the invention can be configured so as to provide preloading across an intervertebral space to facilitate spinal fusion. This is accomplished, for example, by providing a bias in the curvature of the assembled construct 100. This can be achieved by providing the engagement members 140 and/or springs 130 with a preformed bend. Such bend need only be slight to result in an effective bias.

Screws, such as screws 150, for use in conjunction with devices in accordance with the invention can include any desired features known in the art. Such screws can be adapted for fixed angle insertion or variable angle insertion having an arcuate lower surface at the junction of the plate segments 110, 120. Such screws can be self-tapping or self-drilling. Features of example screws for use with devices in accordance with the invention are described below in connection with Figures 31A-H.

Figures 16-29 illustrate various views of another exemplary embodiment of a dynamic vertebral column plate system construct in accordance with the invention, designated generally by reference number 200. The construct 200 has, among other features, arcuately bent rod or bar-shaped springs 230 and an integral cam element 261 that permits use of the construct 200 as either a static plate or dynamic plate, providing preload at one or more levels of a spinal segment. Simply put, if the cam 261 is left in a locked position (e.g., as shown in Figure 23) following implantation or alternatively, unlocked prior to implantation, then no preload will be applied to that respective level. If, however, the cam 261 is locked during implantation and unlocked following attachment to a spinal segment, a preload, provided by a respective spring 230, will be applied at that level.

The construct 200 includes a number of features analogous to the construct 100 discussed in connection with Figures 1-15F. For example, the construct 200 includes a plurality of apertures 223a-c for accepting screws for connection with respective vertebrae, a plurality of lower plate portions 220a-c, engagement members or guides 240, and springs 230 for applying preload at respective levels, although the configuration of these features may vary somewhat substantially from those of the construct 100, as will be described in more detail below.

Notable differences between the construct 100 discussed in connection with Figures 1-15F, and the construct 200 of Figures 16-29 include a unitary upper plate 210, an integral cam 261 and associated features, a different arrangement of the springs 230 that apply a preload, if desired.

The unitary upper plate 210 of the construct 200, is distinct in configuration from the individual upper plate portions 110a-c of the construct 110 of Figures 1-15F. The unitary upper plate advantageously enhances stability of the construct 200, and thus also any attached spinal segment, while permitting linear translation of adjacent lower plate segments 220a, 220b, 220c, and therefore also permitting an axial application of load across the attached spinal segment to promote fusion.

As can be seen particularly in Figures 22A, 22B and 22C, the end plate segments 220a, 220c are engaged with the upper plate 210 by way of a female dovetail 228 formed in the lower plate segments 220a, 220c, and a male dovetail 218 formed on the upper plate 210. The dovetails 218, 228 restrain the relative movement between the end plate segments 220a, 220c and the top plate 210 along each axis except for along a longitudinal axis, which movement is restrained in expansion by engagement members 240 and springs 230, and restrained in contraction by interference with adjacent plates, such as the intermediate plate 220b. Engagement of the dovetail 218 of the upper plate 210 is permitted with the provision

a cutout 212, which allows for deflection of resulting forks 214 of the upper plate 210, surrounding the cutout 212, on which the dovetail 218 is formed.

As with the construct 100, engagement members 240 are provided, which serve to promote stability of the construct 200 and to limit expansion of the construct 200 beyond a predetermined amount. The lower plate segments 220a-c include slots 227 to accommodate the engagement members 240, while the upper plate 210 includes corresponding slots 217 for that purpose. The upper plate 210 includes tail portions 216 that partially define slots 217 therein for the engagement member 240, and permit close engagement at lateral edges of the construct 200 between the upper plate 210 and lower plate segments 220a, 220b, 220c.

Various apertures 211a, 211b, 211c are provided in the upper plate 210 for respective purposes. A central aperture 211c is provided to permit pinning of the lower intermediate plate segment 220b to the upper plate 210 during assembly. Such a pin can be peened, welded or connected to the upper and lower plates in another suitable manner. Such a pin can be integrally formed, such as by casting and/or machining, with one of the lower intermediate plate segment 220b and the upper plate 210, for example. Alternatively, any intermediate plate such as plate 220b can be connected to the upper plate 210 in another manner, such as by a dovetail feature discussed in connection with the end plate segments 220a, 220c, for example.

Respective apertures 211a are provided to enable access to each cam 261, to rotate the cam 261 between locked and unlocked positions, as illustrated in Figure 30B, for example. Apertures 211b are also provided in line with the spaces 291a, 291b between lower plate segments 220a, 220b, 220c, which apertures 211b provide a viewing window of the intervertebral space, through the construct 200, so that a surgeon can view the relative spacing between lower plate segments 220a, 220b, 220c, and also the condition of a bone graft (of the vertebrae and any fusion devices or materials), during and after attachment of the

construct 200 to a spinal segment. A surgeon can therefore determine, based on his or her experience, whether or not that level of the construct should remain static or if the cam 261 should be unlocked to provide a dynamic load application at that level. The surgeon may take various factors into account, including any gaps that he or she may see in the
5 intervertebral space, between vertebrae and any fusion materials, for example.

Following implantation, a surgeon can elect to leave one or more of the cams 261 in the locked position, or alternatively can unlock one or more cams prior to implantation, causing the corresponding gap (e.g. 291a, 291b) to close. In either case, that level of the construct 200 would behave essentially as a static plate. More typically, however, following
10 implantation, each of the cams 261 will be unlocked by turning the cam 261 from its seat in recess 265, as shown in Figure 26, for example. At that time, even if no visible contraction occurs, the spring 230 at that level begins to exert force across the corresponding gap (e.g. 291a), and therefore to the spinal segment, which will typically be a fusion between vertebrae.

15 In accordance with an alternative embodiment, the cam 261 can be provided that is similar to the cam 561 discussed below in connection with the embodiment of Figures 33-39. Alternatively still, the cam 261 can be configured and adapted to engage a pin at one position to stretch the respective spring 230, to thus effect an increased preload following implantation.

20 The springs 230 are configured as an arcuate rod or bar. As illustrated, the ends of the springs 230 are held in pins 231 that are translatable with respect to slots 215 and 225, formed respectively in the upper plate 210 and lower plates 220a, 220c (See Figures 23 and 24).

At the maximum extent of expansion of the construct 200, illustrated for example in
25 Figures 16, 17, 20, and 23, gaps 291a, 291b between adjacent lower plate segments 220a,

220b and 220c are also at their maximum, which is limited by the central spring 230 and the laterally placed U-shaped engagement members 240, which are engaged in recesses 117, 127 formed in the top plate 110 and each of the bottom plate segments 220a-c, respectively. The cams 261, rotate on respective bosses 267 and in their locked position, engage recesses 265
5 formed on a facing surface of the adjacent plate, maintaining a predetermined spacing. In addition to an aperture in the cam 261 for accommodating the boss 267, an aperture 269 can be provided in the cam for engagement with a tool for rotating the cam 261.

The dimensions of the components can be selected to vary the amount of spacing between adjacent plates, however, in accordance with one preferred embodiment, the
10 maximum spacing of the gaps 291a, 291b is about 2.0 mm, for example, for use in a cervical spinal segment. This spacing can be selected to be smaller or larger, such as between 1.0 mm and 3.0 mm of translation, depending on the placement of the construct 200 (or any other construct in accordance with the invention). That is, if used on a lumbar spinal segment, the construct can be configured so as to provide a larger maximum spacing between plate
15 segments, for example 3.0 mm or perhaps larger if indicated for a particular application. The maximum spacing 291a, 291b between plate segments 220a-c determines the maximum range of travel along which the spring 230 can apply a preload to a level of the spine, such as across a fusion.

A block of bone, a fusion cage or other fusion material is typically inserted in place of
20 a disc, between vertebrae that are to be fused together and carries the bulk of load carried by the spine. The construct 200, then provides stabilization to the spinal segment to which it is attached while minimizing load transfer to the construct, which promotes proper fusion. The springs 230 also maintain a load on the segment even in the absence of external load. In this manner, the construct 200 (and other constructs in accordance with the invention)

advantageously permit settling of fusion materials, while minimizing any spacing between adjacent vertebrae and the fusion materials, further enhancing fusion.

In the embodiment of the construct 200 of Figures 16-29, implantation of which is illustrated in Figures 30A, 30B and 30C, the springs 230 are arcuately-shaped rod or bar

5 elements, formed from a resilient material. In accordance with a preferred aspect, the springs

230 are formed of a shape memory alloy, such as Nitinol. In accordance with one aspect, the

springs 230 are linear in their natural state and are bent into the illustrated arcuate

configuration upon assembly of the construct 200. The diameter of the springs 230 is

selected based on the desired amount of force to be applied. Accordingly, the springs 230, in

10 attempting to revert to their natural configuration, rotate in an outward arc, exerting initially a substantially laterally outward force through the pins 231 at the ends thereof to the outer plate segments 220a, 220c by way of the slots 225 formed therein.

The slots 215 formed in the underside of the top plate follow the arc of the pins 231 caused by the spring 230. The slots 225 in the lower end plate segments 220a, 220b are

15 linear in configuration, with the longitudinal component of the arc of travel of the pins 231

being provided in the translation of the plate segments 220a, 220c themselves, in closing the

gaps 291a, 291b. The linear configuration of the slots 225 of the lower end plate segments

220a, 220c in which the pins 231 ride, promote resolution of the generally arcuate application

of spring force into an axial force, parallel to the translation of the end plate segments 220a,

20 220c. As can be appreciated, any transverse component of force applied by the spring will be

applied symmetrically by each of the pins 231, which forces with therefore cancel one

another within the outer plate segments 220a, 220c, and not result in any net external forces.

As configured, the slots 225 are not perfectly parallel to the edge of the plates 220a,

220c. The degree of angle of the slots 225 is provided to increase the distance of translation

25 of the outer plate segments 220a, 220c across which sufficient force application is applied.

In accordance with the invention, a target force application can be between about 0 N and 90 N (between about 0 - 20 pounds-force). In accordance with one embodiment of the invention, a target force application is between about 13 N and 44 N (between about 3 - 10 pounds-force) for applications on a cervical vertebral segment. Alternatively, depending on the spinal segment, the target force application can be greater or smaller. In accordance with another embodiment of the invention, a target force for application is between about 44 and 89 N (between about 10 - 20 pounds-force) for thoracic or lumbar vertebral segments. As discussed herein, if resistance to compressive forces are desired and application of a preload by any of the constructs described herein is not desired, then such target force is 0N. Any application of force sufficient to safely achieve the desired effect is possible in accordance with the invention.

Figure 26 illustrates the construct 200 in an expanded condition, just after disengagement of the cams 261 from the opposing recesses 265. As illustrated in Figures 28 and 29, in the absence of an attached spinal segment, upon disengagement of the cam 261 from the opposing recess 265, the outer plate segments 210a, 210c are pulled inward by the action of the springs 230.

Figures 30A-C illustrate implantation of the dynamic vertebral column plate system construct 200 of Figures 16-29 in various stages of attachment to a spinal segment 90. Figure 30A illustrates the construct 200, in an expanded condition, attached to three vertebral bodies 91, 93 and 95, and spanning two intervertebral spaces 92 and 94 of a spinal segment 90, and insertion of a screw 150 with an insertion tool 81 therefor. Figure 30B illustrates the construct 200 during disengagement of the lower cam 261 with a tool 83 therefor. Figure 30C is a plan view of the construct 200, with the upper plate 210 removed for visibility, following disengagement of each cams 261 from its respective opposing recess 265. Force applied by the springs 230 is indicated by arrows, with resultant force applied to the spinal

segment illustrated by arrows parallel to the longitudinal axis thereof. As illustrated, the cams 261 can't rotate fully away from the adjacent plate due to the position of pins 231 following disengagement. However, as settling occurs and the pins 231 move laterally outward, the cam 261 can continue to rotate away from the adjacent plate.

5 Figures 31A-H are side and cross-sectional views of various screw configurations for use with the dynamic vertebral column plate systems of the invention. Figures 31A and 31B illustrate a screw 250 having a self-tapping end 254 and a head 258 permitting variable angle engagement with an attached plate. A groove 255 is provided in the head 258 of the screw 250 for receiving a locking element, which can be any suitable element, including but not
10 limited to a resilient o-ring, circlip, or another suitable element, such as a latching toroidal coil available from Bal Seal Engineering, Inc. of Foothill Ranch, California, USA. The locking element can be formed of any suitable material, such as a metal, metal alloys, an elastomeric material, silicone, polychloroprene (e.g. Neoprene), or a plastic material such as polyetheretherketone (PEEK), for example. The locking element, carried by the screw can
15 seat in a groove provided in the construct being used.

As with the screw 150 discussed in connection with the embodiment of Figures 1-15F, the screw 250 includes a socket 153 for engaging an insertion tool for implantation, and internal threads 153 are preferably provided to facilitate removal of the screw 250, if necessary. Figures 31C and 31D are side and cross-sectional views of a screw 350, having a
20 head 258 permitting variable angle engagement, and a self-drilling end 356. Figures 31E and 31F are side and cross-sectional views of a screw 450 having a head 459 permitting only fixed-angle engagement with an attached plate, due to the trapezoidal cross-section thereof, as compared with the more rounded cross-section of the head 258 of screws 250 and 350. The screw 450 also includes a self-drilling end 356. Figures 31G and 31H illustrate a screw
25 550 with a head 459 for fixed-angle engagement, and a self-tapping end 254.

Figure 32A is an isometric view of a dynamic vertebral column plate system construct 300 in accordance with the invention having two levels of plate segments, 320a, 320b and a unitary upper plate 310. The internal components can be any of those illustrated herein, but as illustrated, the construct 300 is provided with a spring arrangement similar to that of the construct 200 described in connection with Figures 16-29.

Figure 32B is an isometric view of a dynamic vertebral column plate system construct 400 in accordance with the invention having four levels of plate segments, 420a, 420b, 420c and 420d, and a unitary upper plate 410. The internal components can be any of those illustrated herein, but as illustrated, the construct 400 is provided with a spring arrangement similar to that of the construct 200 described in connection with Figures 16-29. As discussed above, the intermediate plates 420a, 420b can be connected by way of a pin, or in an alternative manner.

In any case, it is generally preferred, but not required, that no more than one lower plate segment (e.g. 420a-d) be non-translatably secured to the upper plate 410. In the case of a two-level construct, one level can be pinned to the upper plate, or alternatively, both can be slideable with respect thereto. In the case of a three-level construct, as illustrated in Figures 16-29, the intermediate plate can be non-translatably secured by a pin or other feature.

Although a dovetail feature can be applied to intermediate plates, connection with one or more pins may provide for easier assembly of the construct 400. Accordingly, in a four-level construct, as with construct 400, one of the intermediate plates, e.g., 420b can be non-translatably pinned, while the other of the intermediate plates e.g., 420c can be pinned by way of a slot 411 in the upper plate 410. Such a pin and slot 411 configuration can additionally be applied to, or alternatively in place of, any dovetail configuration described herein, if desired.

In accordance with the invention, the number of lower plates can be selected as desired. In practice, the number of lower plate levels that would typically be used would range from between two and six. Accordingly, any construct in accordance with the invention could include five or six levels, even though those are not explicitly illustrated
5 herein.

Figures 33-39 various views of further exemplary embodiment of a dynamic vertebral column plate system construct 500 in accordance with the invention, having band-shaped springs 530 and an integral cam element 561 adapted and configured to permit a plurality of selectable preloads. The springs 530 can be formed of any suitable material, but in
10 accordance with one preferred embodiment are a shape memory alloy, such as Nitinol.

As with the construct 200 illustrated in Figures 16-29, a unitary upper plate 510 is provided. Different from that embodiment, however, the upper plate 510 of the construct 500 of Figures 33-39 includes a camming surface 512 on the underside thereof, as best seen in Figure 36. When the cams 561 are rotated in line with the central axis of the construct 500,
15 as illustrated in Figures 33, 35 and 37, they engage the camming surface 512, which then serves to push the outer plate segments 520a, 520c outward, away from the intermediate plate 520b, because the cams 561 are rotatably attached to the outer plate segments 520a, 520c, and the upper plate 510 is secured to the intermediate plate 520b. Accordingly, the construct 500 can in implanted with the cams 561 in such an orientation.

20 Following attachment of the construct 561 to a spinal segment, each of the cams can be rotated either clockwise or counter-clockwise. The shape of the cams 561 is generally oblong, having opposed projections 562 extending therefrom, and a socket 569 to engage with a tool for actuating the cams 561. The projections 562 include on their outer ends, detents 564 for catching slideable pins 531 when the cams 561 are rotated clockwise, and
25 inner hooks 566 for catching the slideable pins 531 when the cams 561 are rotated counter-

clockwise. The two positions of each cam 561 permit selectable levels of tension of the springs 530, and thus selectable levels of preload applied to a spinal segment. Such a cam arrangement can be applied to the other embodiments of constructs described herein, including, but not limited to the construct 200 described in connection with Figures 16-29.

5 As with the construct 200 described above, the slideable pins 531 are held in tracks 525, which are, as embodied, substantially parallel to the inner edges of the end plate segments 520a, 520c.

When implanting the construct 500 on a spinal segment, therefore, spacing between adjacent plates is maintained by the cams 561, engaging the camming surface 512 of the
10 upper plate 510. Following attachment to respective vertebrae, one or more cams 561 can be left in the axial position, thus essentially providing a static plate at that level. If dynamic loading is desired at one or more levels, the respective cam 561 is then rotated either clockwise or counter-clockwise, as described above, securing the slideable pins 531 in either an intermediate position or at their most laterally outward extent.

15 During implantation, a surgeon can apply the smaller of the two selectable preloads by rotating one or both of the cams 561 counter-clockwise, leaving the cam 561 in the position illustrated in Figure 38. The surgeon can then evaluate whether the preload is sufficient to produce the desired effect, such as in reducing gaps between adjacent vertebrae and fusion materials. If an increased preload is desired, the cam 561 can then be rotated
20 clockwise (by about one-half of a rotation), leaving the cam 561 in the position illustrated in Figure 39, or vice versa.

It should be noted that in the closed arrangement of the construct 500 illustrated in Figures 38 and 39, the gaps 591a and 591b are fully closed because the construct is not connected to a spinal segment. If the construct were connected to a spinal segment, gaps
25 591a, 591b would remain open indefinitely if the respective cam(s) 561 were left in the

locked position (parallel to the longitudinal axis), and would likely remain open indefinitely to some extent, unless a fusion material settled to such an extent after implantation that the intervertebral space contracted by the entire amount of the respective gap 591a, 591b.

Materials for the components set forth above, including the plate segments 110, 120, 5 can include stainless steels, titanium alloys, memory metals such as Nitinol, polymeric materials, ceramic materials such as silicon nitride or composite materials, for example.

Devices in accordance with the invention are applicable to any region of the vertebral column, such as from the first cervical vertebra (C1) to the first sacral vertebra (S1). When used in different locations along the spinal column, the plate segments 110, 120, engagement 10 members 140, springs 130 and screws 150 are sized according to the size of the vertebral bodies in that region and to the loading conditions that will be experienced.

Kits in accordance with the invention can be provided, and include a range of plate sizes, springs 130 with varying stiffnesses, engagement members with varying stiffnesses and/or shapes, bone screws of varying sizes, and can include fixed and/or variable angle 15 (polyaxial) screws. Kits can include plates having sizes suitable for cervical and/or thoracic and/or lumbar and/or sacral application.

The devices, systems and methods of the present invention, as described above and shown in the appended drawings, provide for vertebral column plate system constructs and related systems, methods and kits with superior properties and versatility, and adaptably 20 enhance fusion of a bone graft.

In short, constructs in accordance with the invention can be selectively dynamic, the dynamism can be passive or active, and if active, a level of preload can be easily selected. That is, constructs in accordance with the invention can be used as completely static (being dynamically active at no levels) constructs, can be used as static at one or more levels and 25 dynamic at the remaining levels, or can be used as dynamic at all levels. Moreover, the

selectable dynamism can be active, such as in which a preload is applied by the construct, or alternatively passive, in which forces are managed through load sharing between the attached spinal segment and the construct.

In applications of passive dynamism in accordance with the invention, constructs can
5 be configured to provide a predetermined amount of resistance to compressive forces in translation and/or bending between adjacent plate segments, thereby allowing for a predetermined amount of load sharing between the construct and the vertebral column segment. The active dynamism can include a preload that is selectable, such as by varying tension in one or more members, such as in one or more springs. Moreover, it should be
10 noted that although the term “spring” is used herein, it is to be understood that the appearance of such a spring can vary from and is not limited to conventional notions of springs.

It is to be understood that Applicant conceives that features described herein in connection with one embodiment can advantageously be applied any other embodiment described herein, even if such feature is not explicitly described in connection with such
15 embodiment, except where such features are mutually exclusive. That is, it is specifically conceived that elements of one embodiment are interchangeable with those of another embodiment, without limitation, except if such features would be incompatible with other features or necessarily displace another feature, for example. It will be apparent to those skilled in the art that further modifications and variations can be made in the devices, systems
20 and methods of the present invention without departing from the spirit or scope of the invention.

CLAIMS

What is claimed is:

1. A vertebral column construct for stabilizing a segment of a vertebral column,
comprising:

- 5 a) a first plate segment;
- b) a second plate segment connected to the first plate segment; and
- c) a spring connected between the first and second plate segments.

2. The vertebral column construct wherein the spring is adapted and configured to
10 provide a predetermined preload between the first and second plate segments.

3. The vertebral column construct wherein the spring is adapted and configured to resist,
by a predetermined degree, loading between the first and second plate segments.

15 4. The vertebral column construct of claim 1, wherein a cam is provided on one of the
first and second plate segments, moveable between engagement with cam surface in
connection with the other of the first and second plate segments, and disengagement
therefrom, wherein engagement between the cam and the cam surface prevents dynamic
loading of the spinal segment, between the first and second plates.

20

5. The vertebral column construct of claim 4, wherein the cam is adapted and configured
to adjust preload applied between segments by adjusting tension in the spring.

6. The vertebral column construct of claim 1, wherein the spring is an arcuately bent rod
25 or bar.

7. The vertebral column construct of claim 6, wherein the spring is engaged with grooves in one of the plate segments, the grooves being configured such that outward application of force by the spring is resolved as a net axial contractive force between the first
5 and second plate segments.

8. The vertebral column construct of claim 1, further comprising a common upper plate connected to the first and second plate segments.

10 9. The vertebral column construct of claim 8, wherein the upper plate and at least one of the first and second plate segments is adapted and configured for a substantially linearly translatable connection therebetween.

10. The vertebral column construct of claim 1, further comprising a third plate segment,
15 connected to at least one of the first and second plate segments by a spring.

11. The vertebral column construct of claim 1, wherein at least two plate segments are provided, and the construct is adapted and configured such that a connection spanned between first and second plate segments is selectable between static and dynamic
20 configurations.

12. The vertebral column construct of claim 1, wherein at least three plate segments are provided, spanning two connections, respectively, and the construct is adapted and configured such that each of the two connections spanned is selectable between static and
25 dynamic configurations.

13. A vertebral column plate system construct for stabilizing a segment of a vertebral column, the construct comprising:

a) a first plate segment;

5 b) a second plate segment connected to the first plate segment;

c) a spring element connected between adjacent plate segments, adapted and configured for providing a predetermined preload between adjacent plate segments, to enhance spinal fusion;

d) an upper plate connected to the first and second plate segments; and

10 e) a cam provided on one of the first and second plate segments, moveable between engagement with cam surface in connection with the other of the first and second plate segments, and disengagement therefrom, wherein engagement between the cam and the cam surface prevents dynamic loading of the spinal segment, between the first and second plates.

15 14. A method of implanting a vertebral column construct on a spinal segment, the method comprising, in any order, the steps of:

a) securing each of a plurality of plates of the construct to respective vertebrae;

b) determining whether to apply a preload between first and second levels of vertebrae; and

20 c) applying a first preload between said first and second levels of vertebrae.

15. The method of claim 14, wherein the step of applying the first preload includes rotating a first cam of the dynamic vertebral column construct in a first direction.

25 16. The method of claim 14, further comprising the steps of:

a) evaluating efficacy of the first preload; and

b) applying a second preload, in place of the first preload, between said first and second levels of vertebrae, the second preload being different from the first preload.

5 17. The method of claim 16, wherein the step of applying a second preload includes rotating a first cam in a second direction.

18. The method of claim 14, further comprising the steps of:

10 a) determining whether to apply a preload between first and second levels of vertebrae; and

b) applying a third preload between said second and third levels of vertebrae.

19. The method of claim 18, wherein the step of applying the third preload includes rotating a second cam of the dynamic vertebral column construct in a first direction.

15

20. The method of claim 18, further comprising the steps of:

a) evaluating efficacy of the third preload; and

b) applying a fourth preload, in place of the third preload, between said second and third levels of vertebrae, the fourth preload being different from the third preload.

Fig. 1A

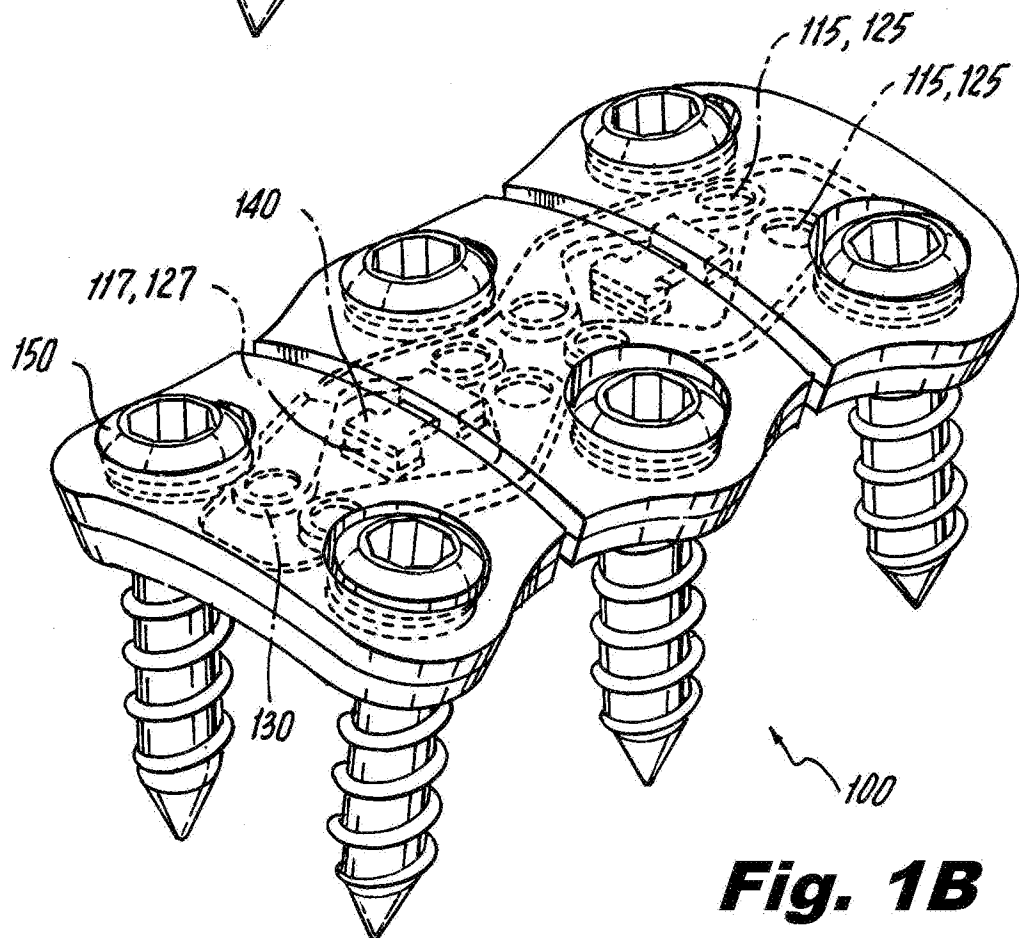
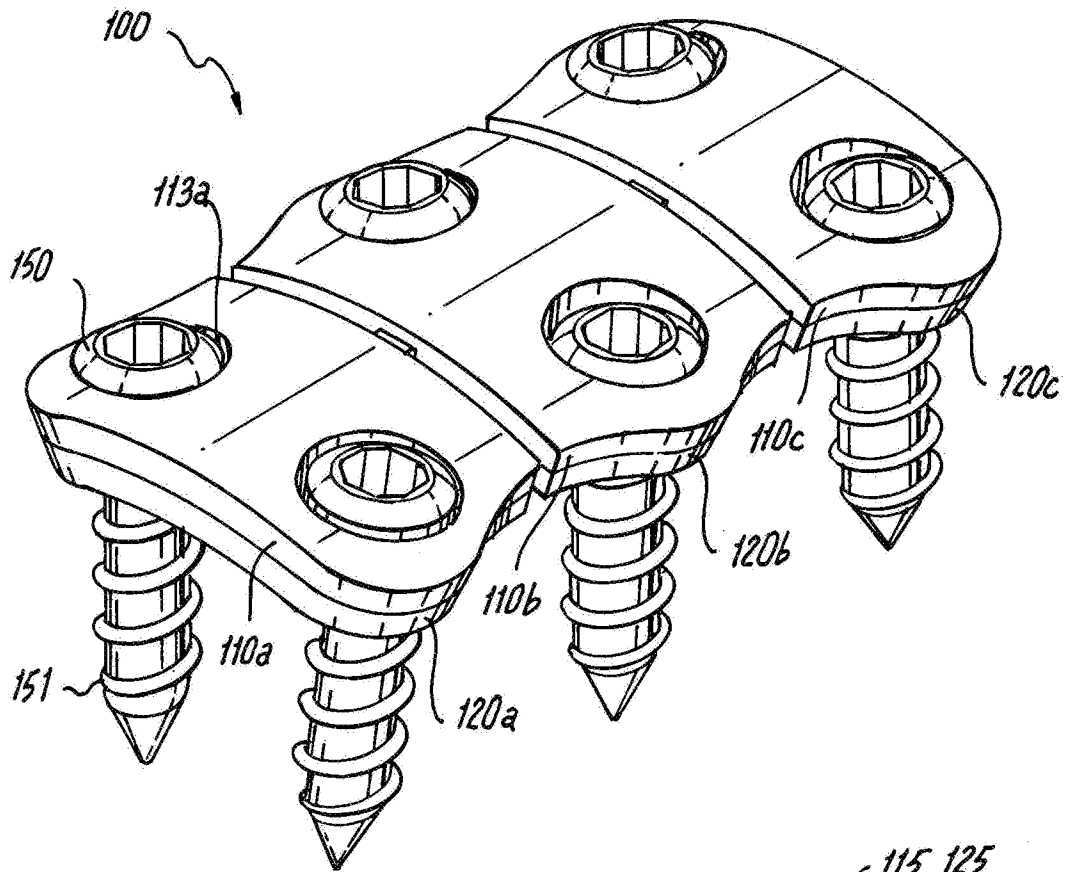


Fig. 1B

2/49

Fig. 1C

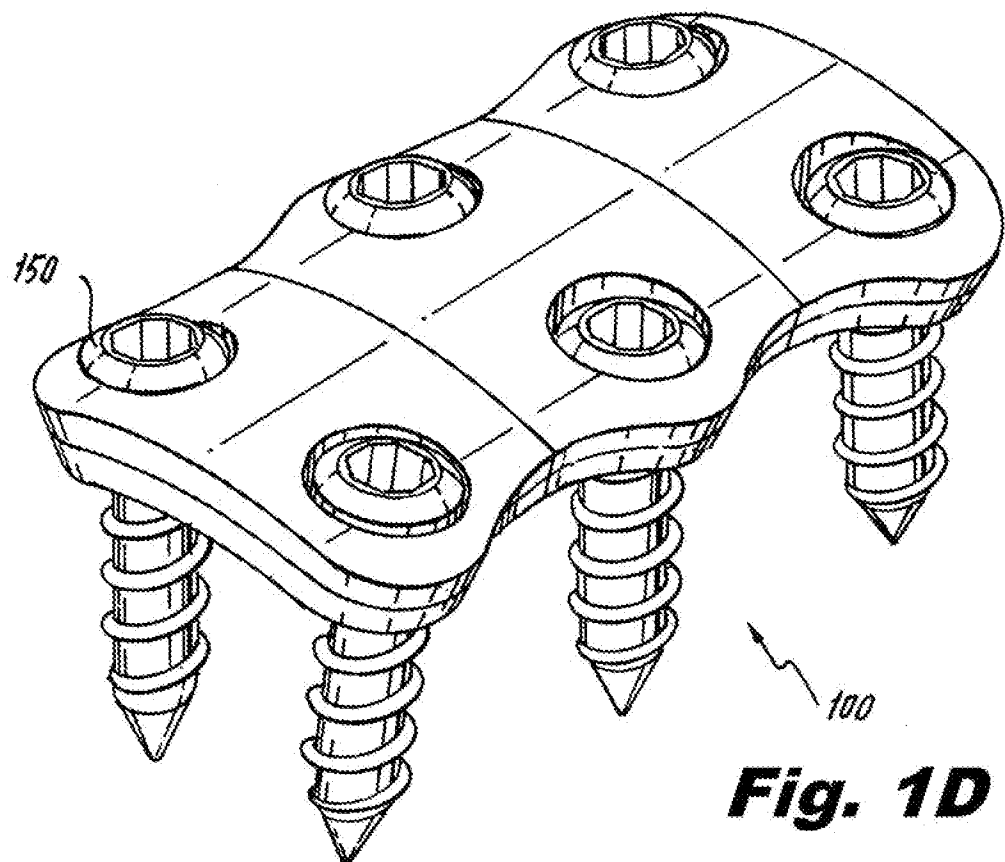
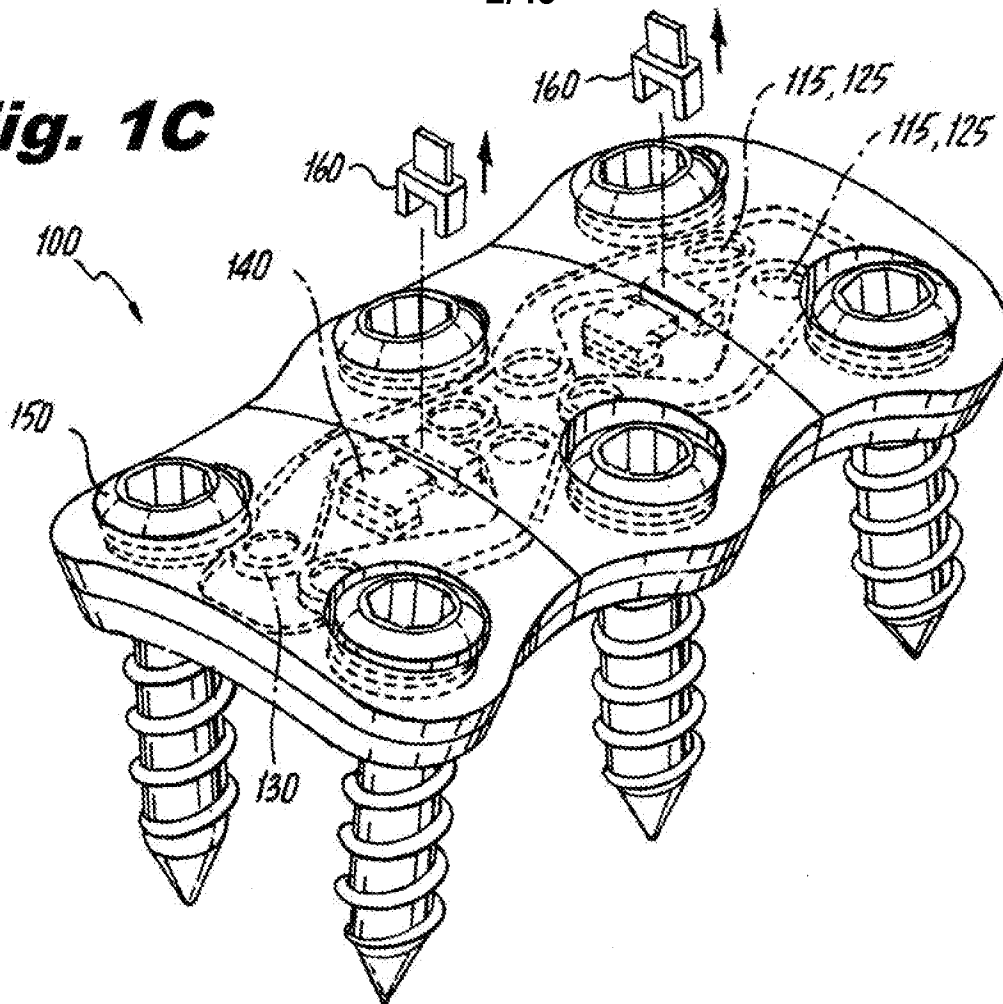
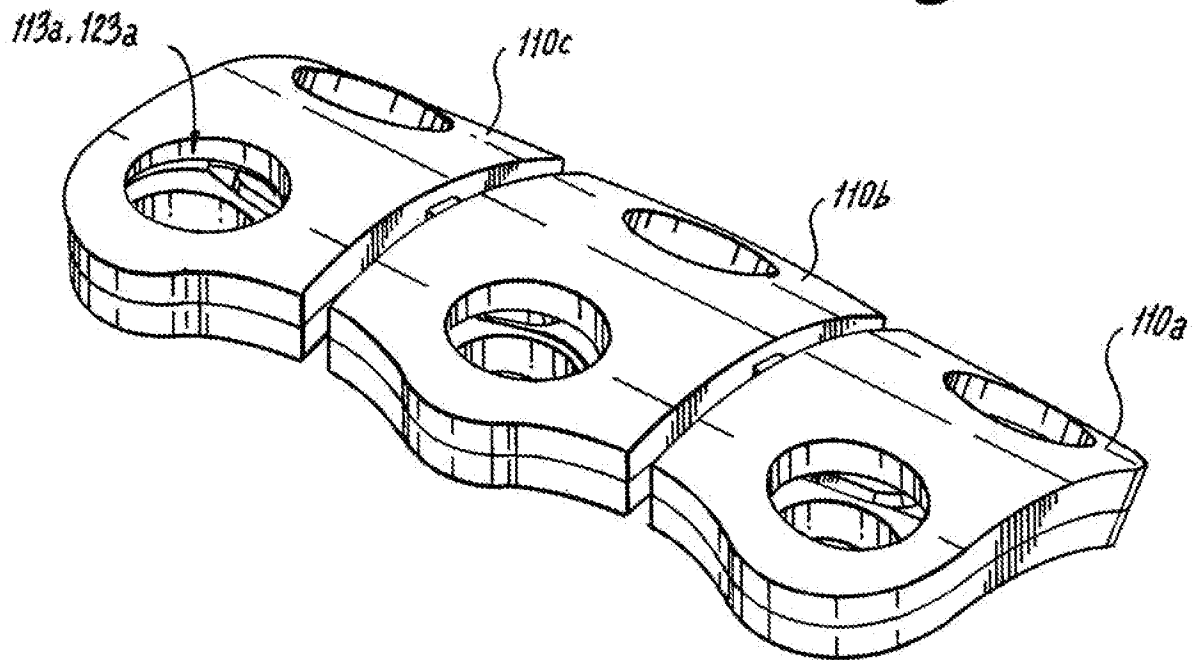
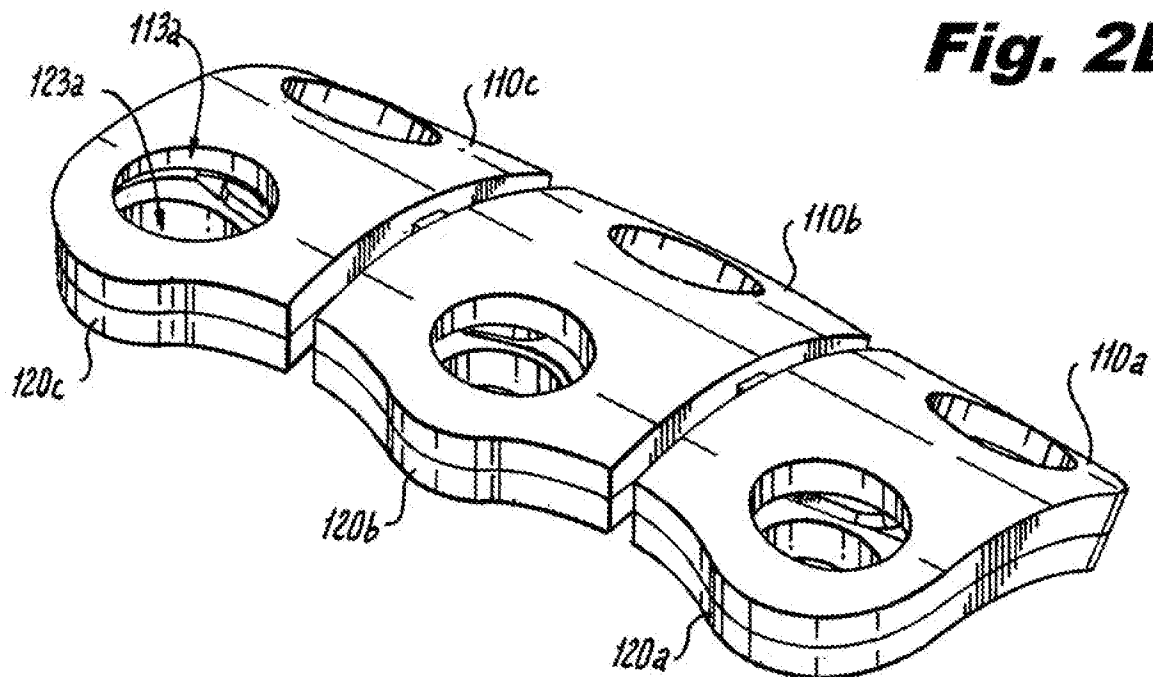
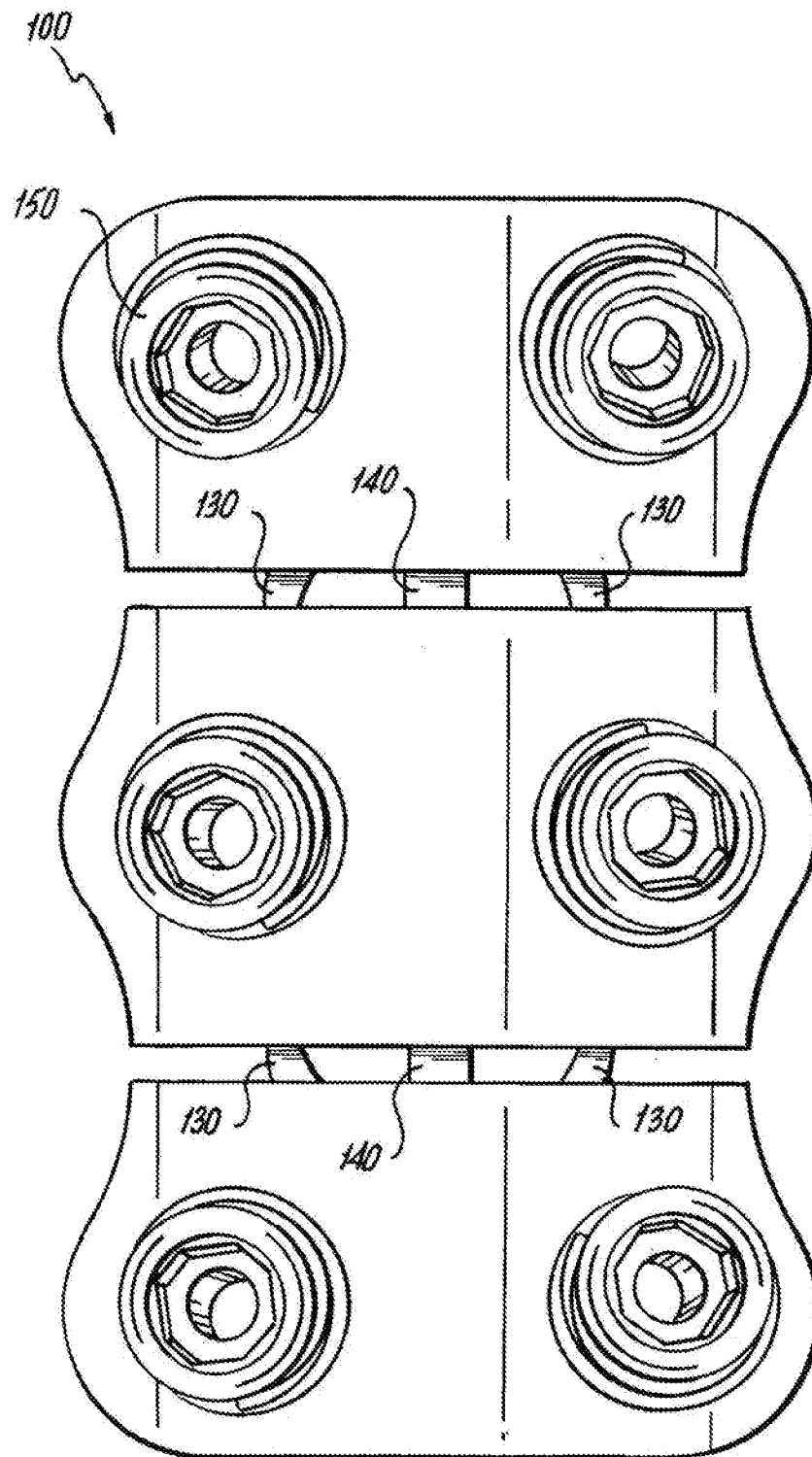
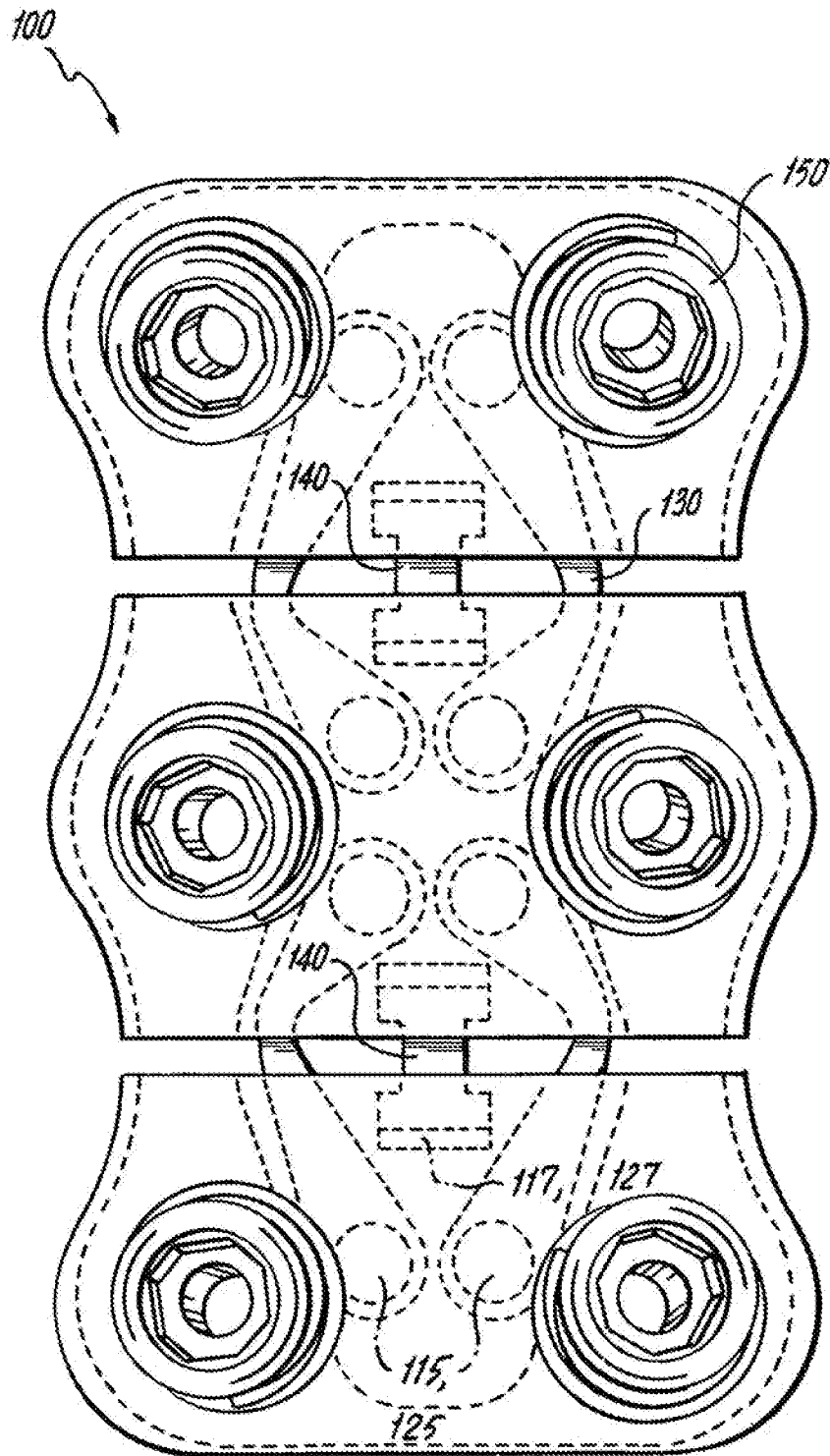
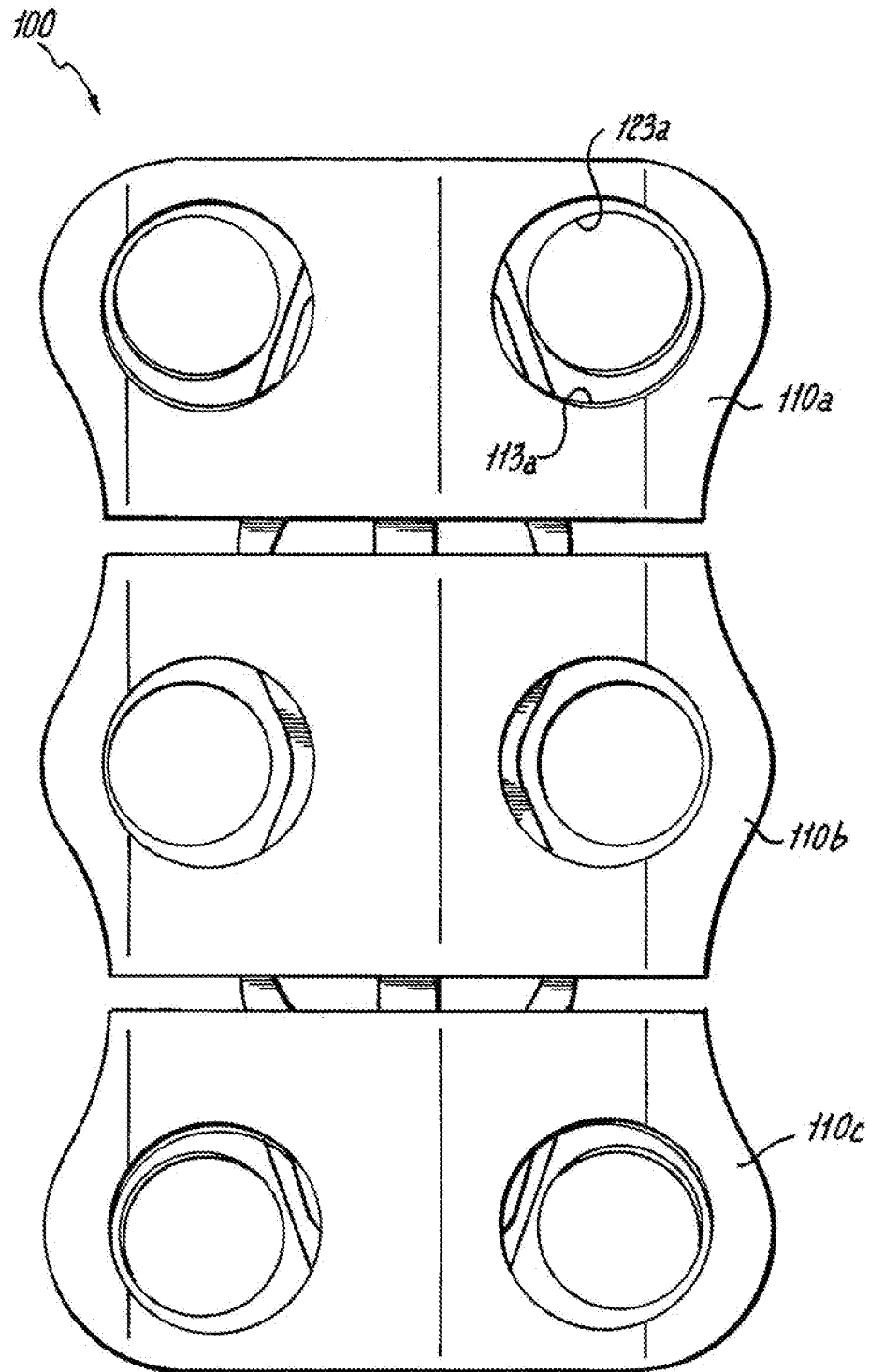


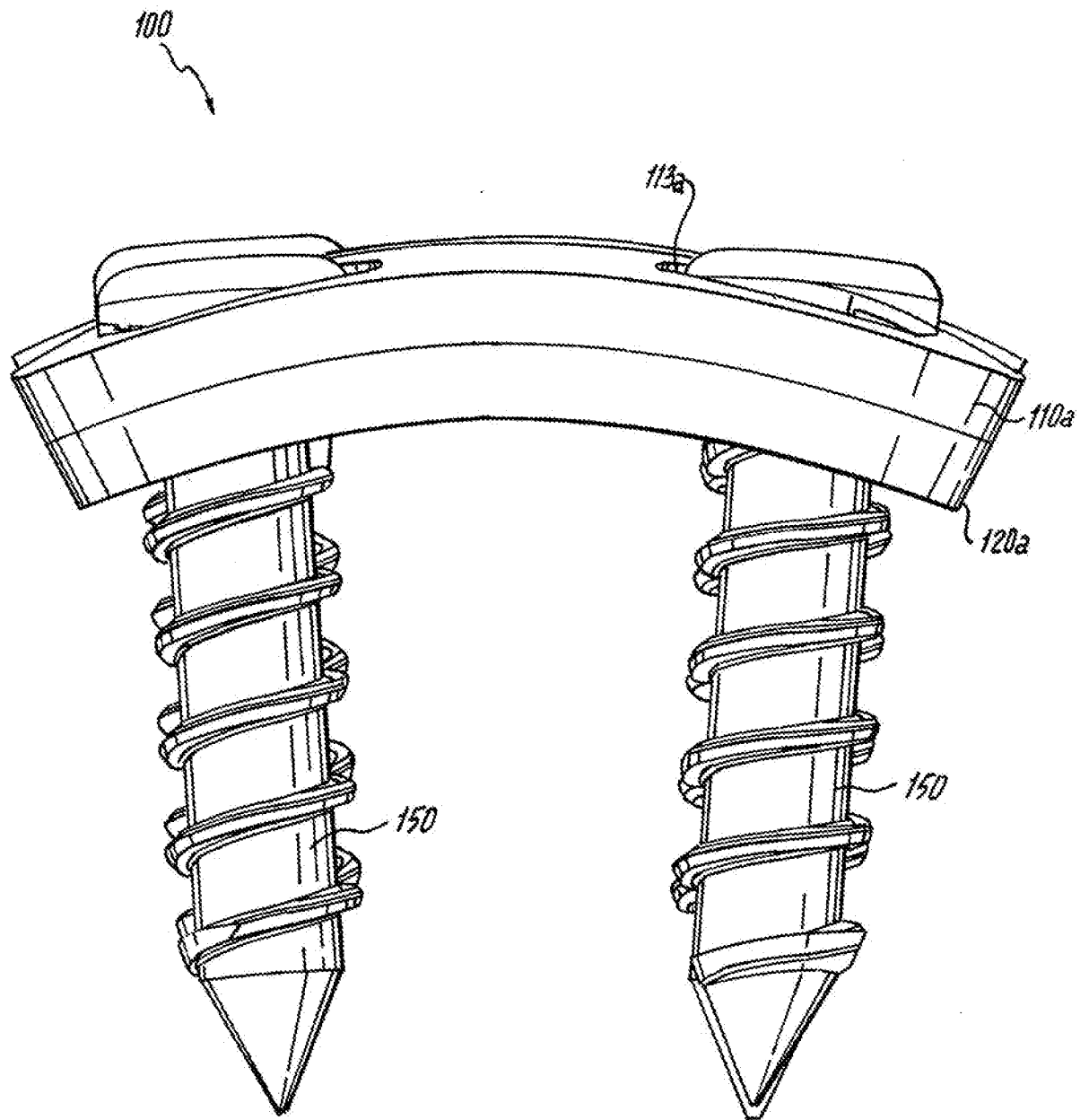
Fig. 1D

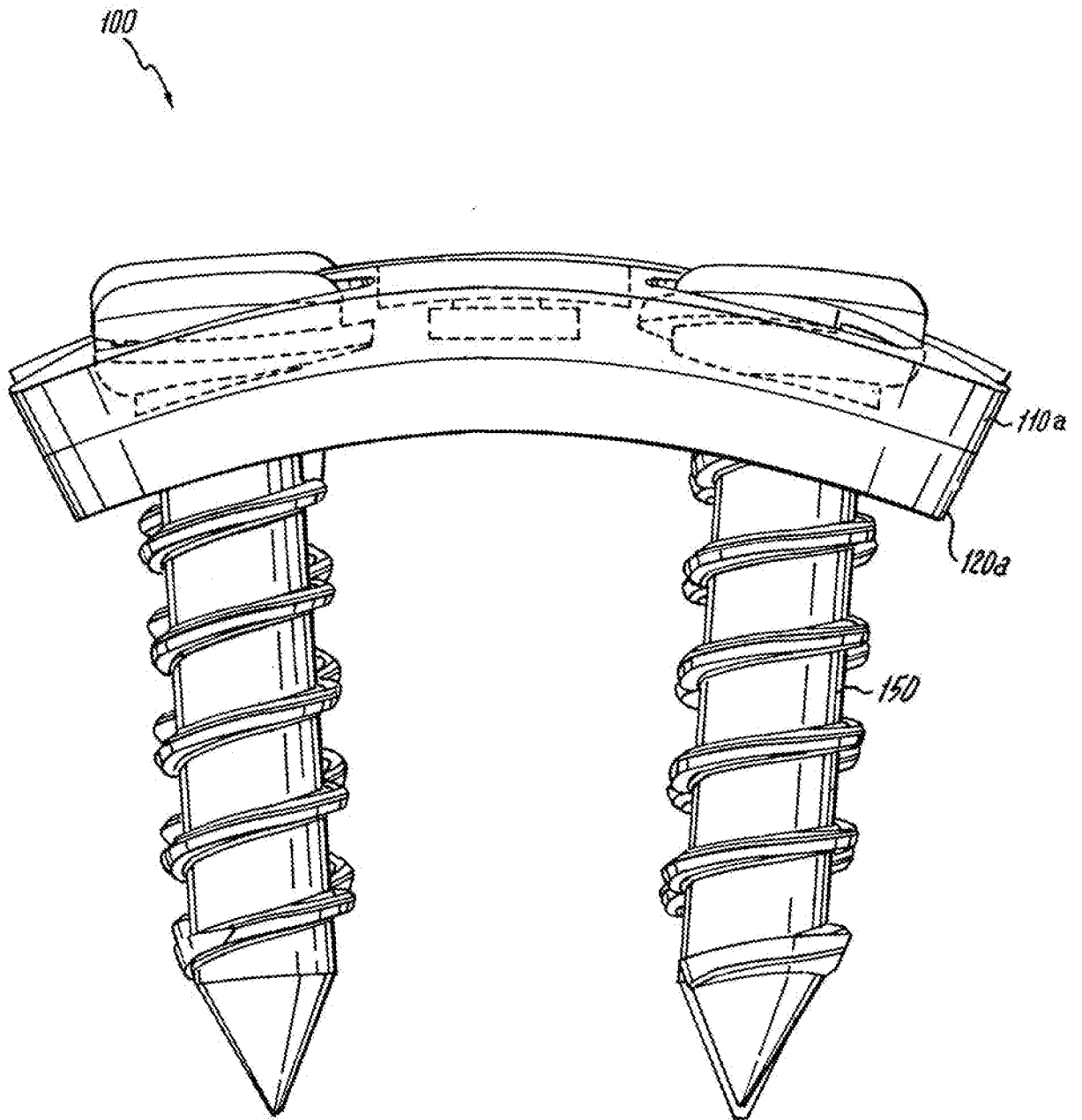
Fig. 2A**Fig. 2B**

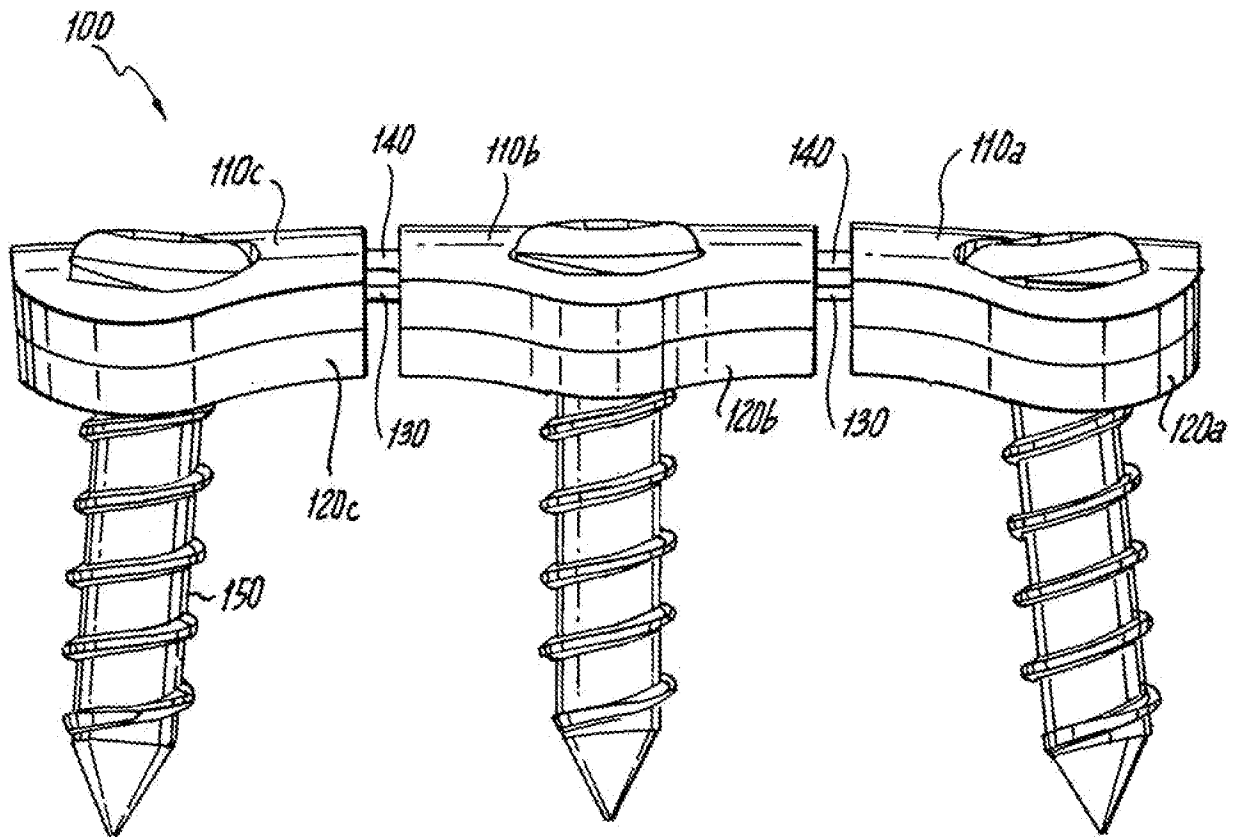
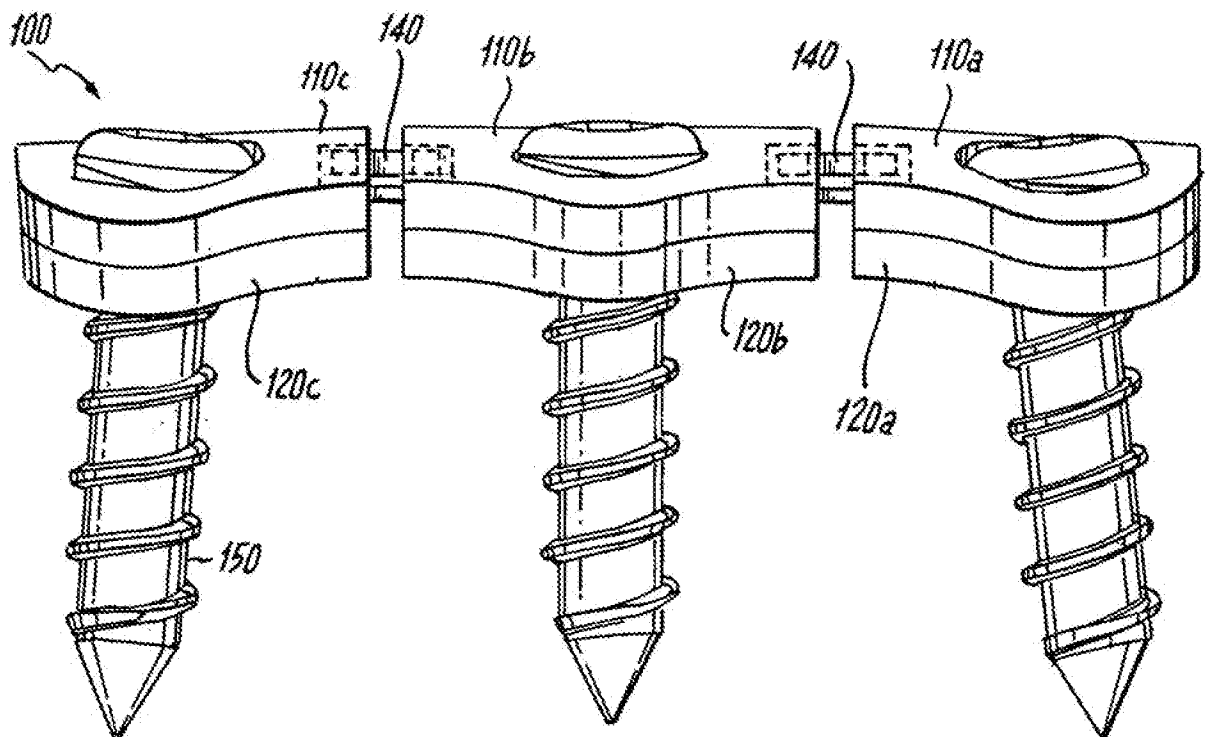
**Fig. 3A**

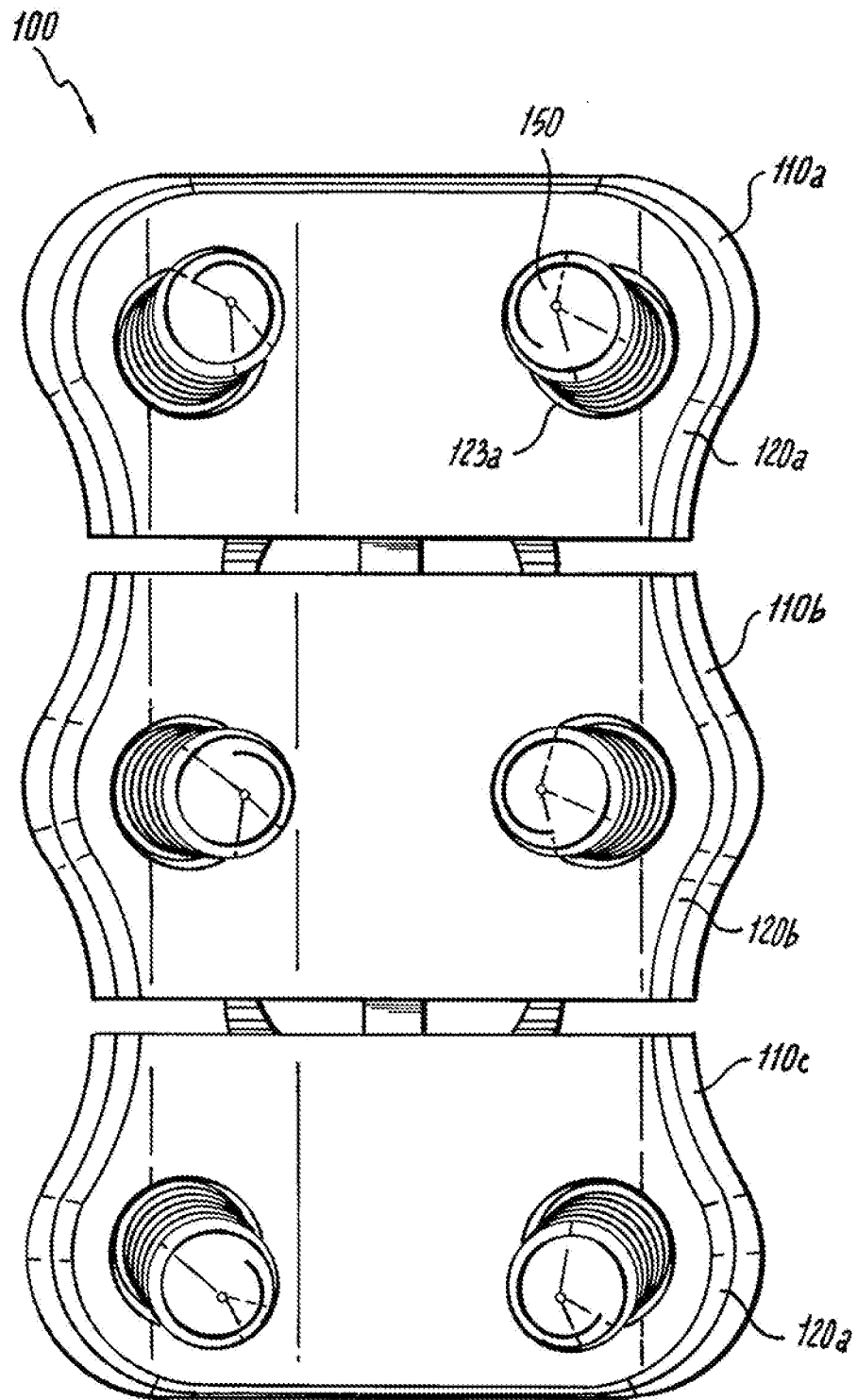
**Fig. 3B**

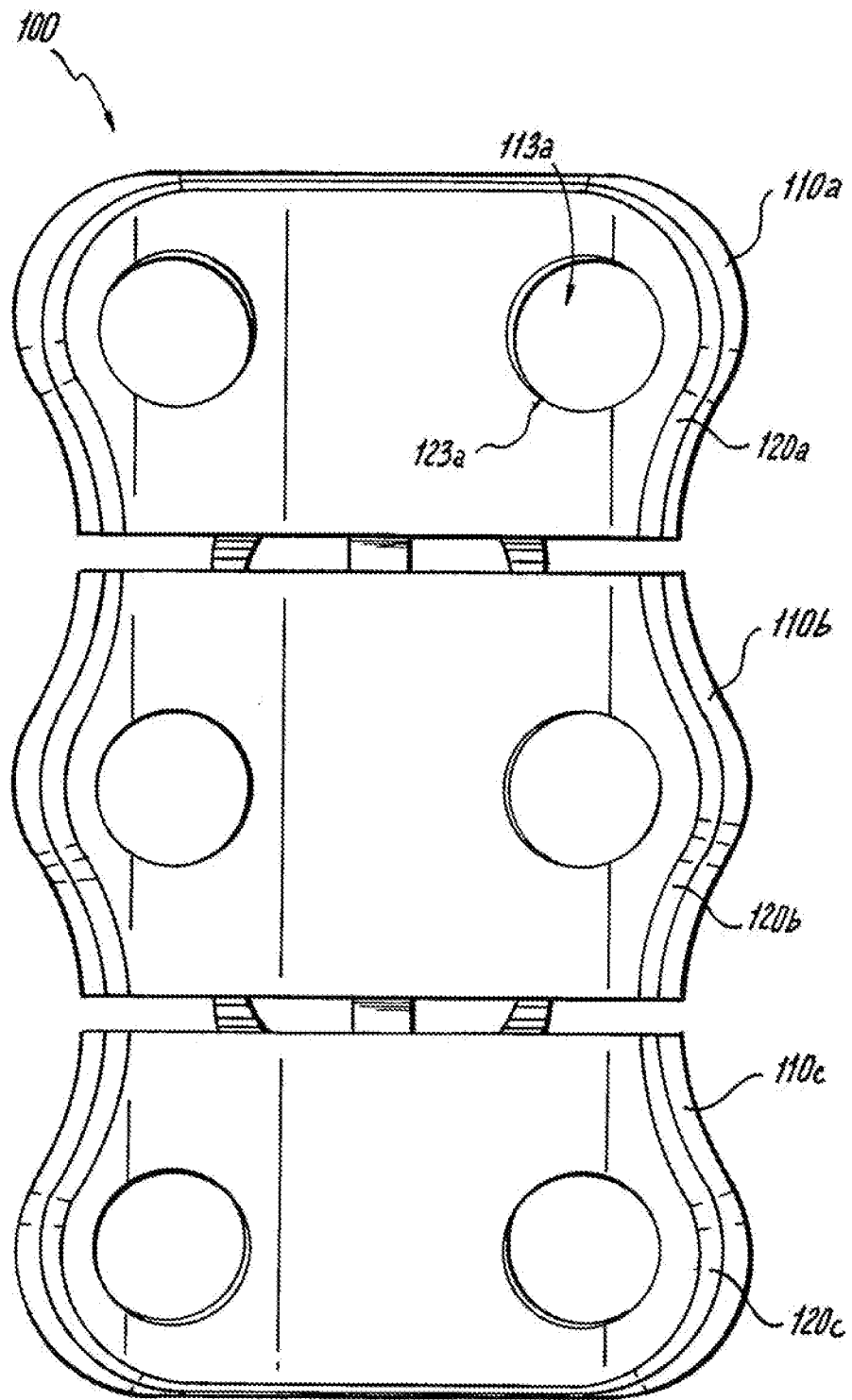
**Fig. 3C**

**Fig. 4A**

**Fig. 4B**

**Fig. 5A****Fig. 5B**

**Fig. 6A**

**Fig. 6B**

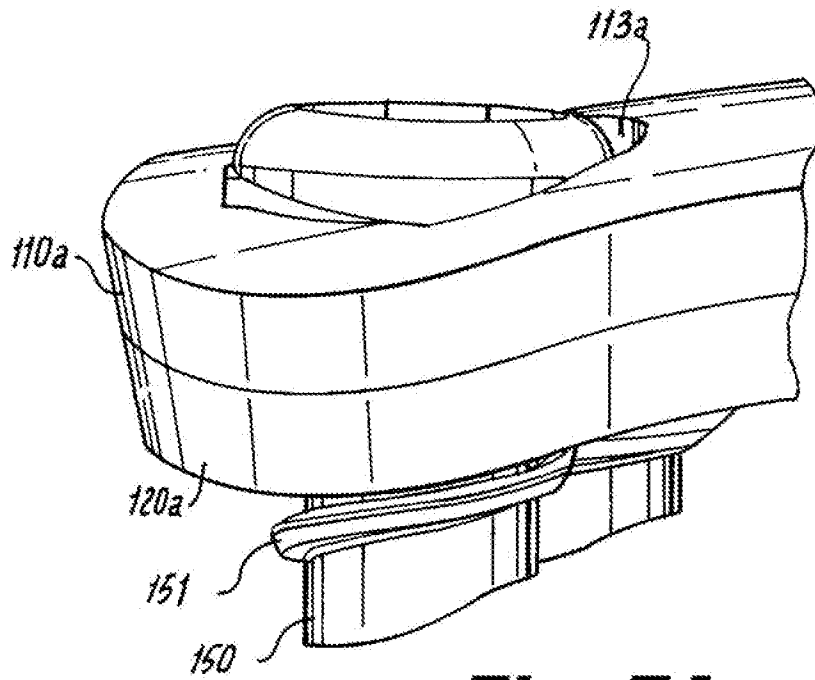


Fig. 7A

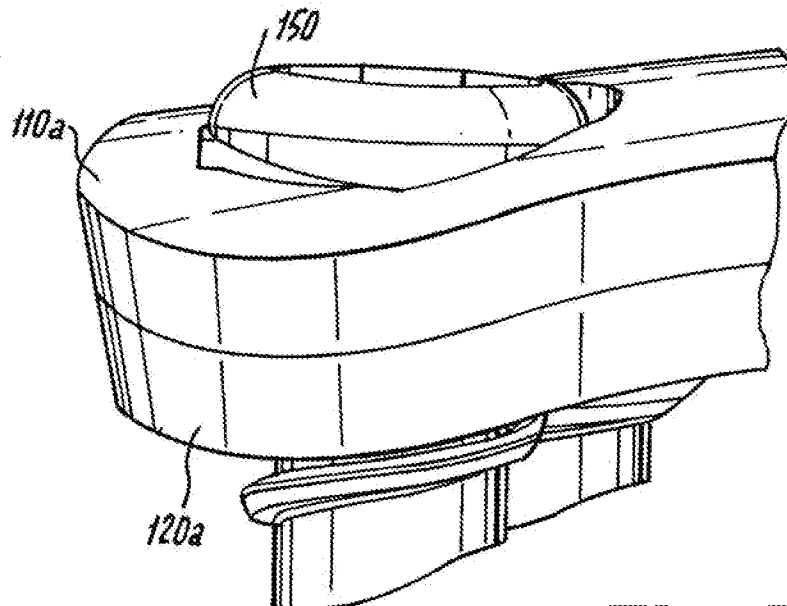
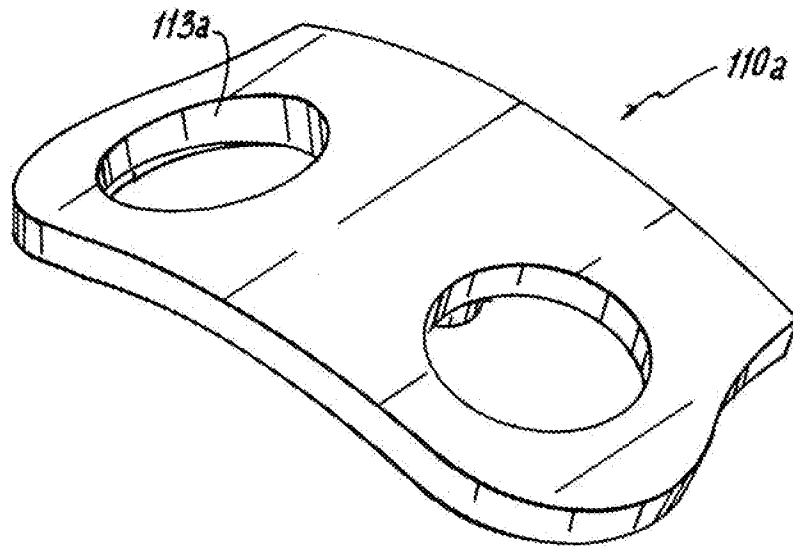
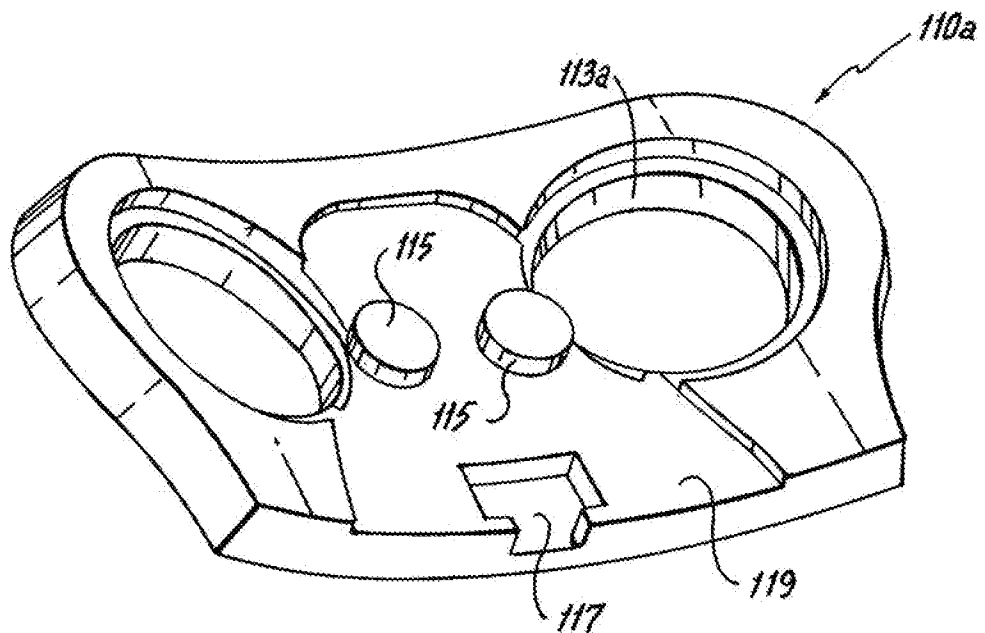
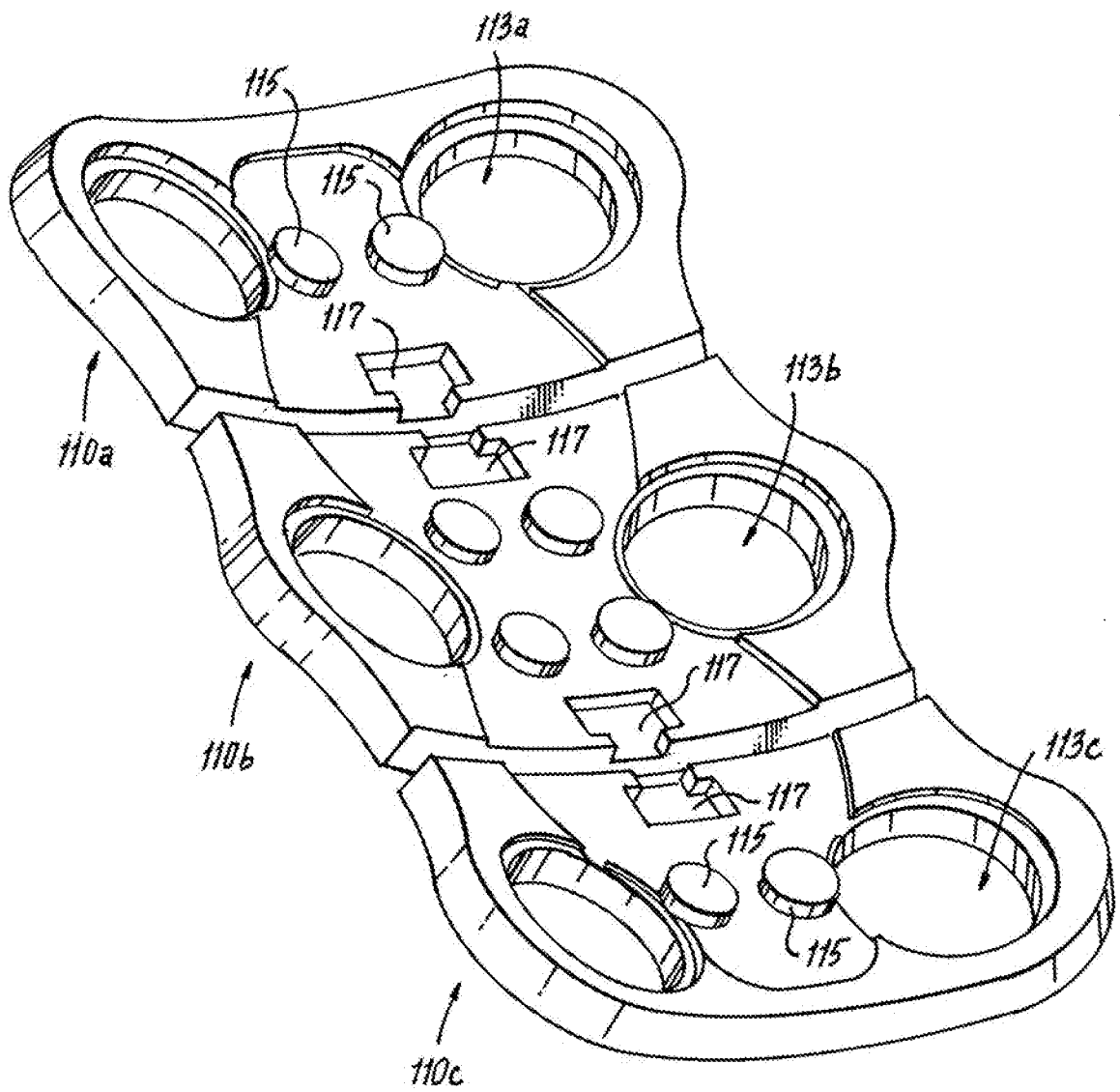
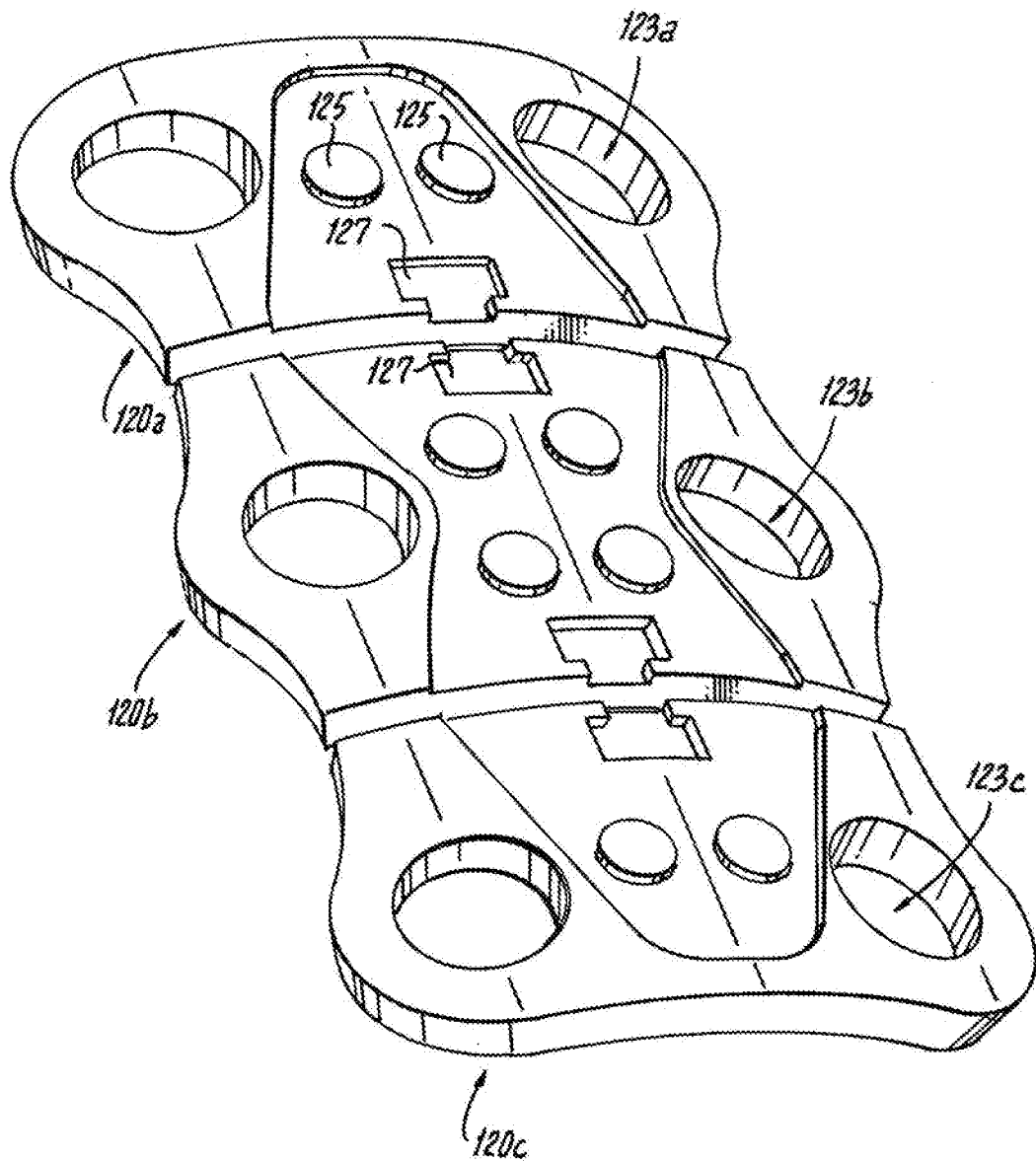


Fig. 7B

**Fig. 8A****Fig. 8B**

**Fig. 9**

**Fig. 10**

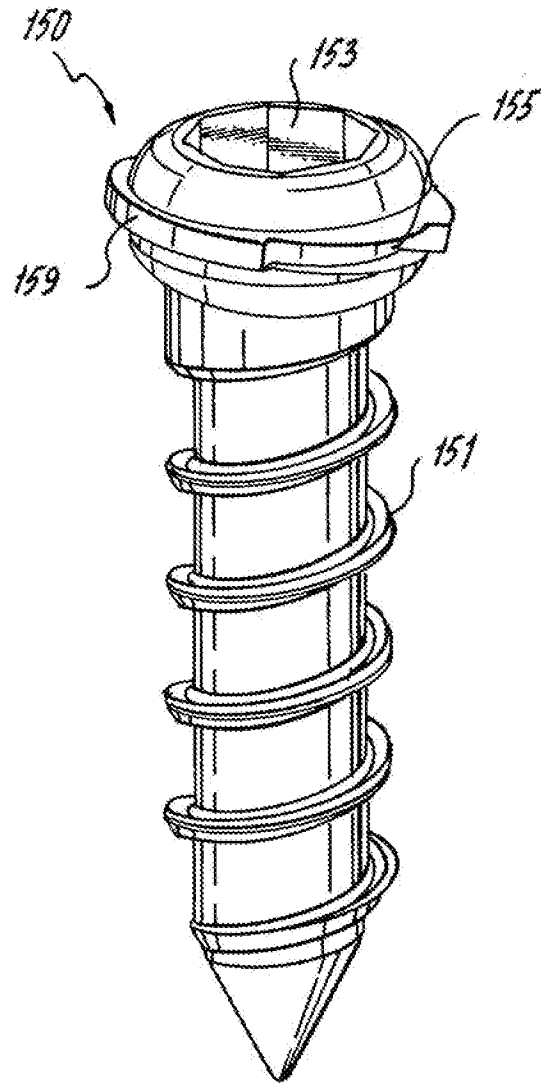


Fig. 11A

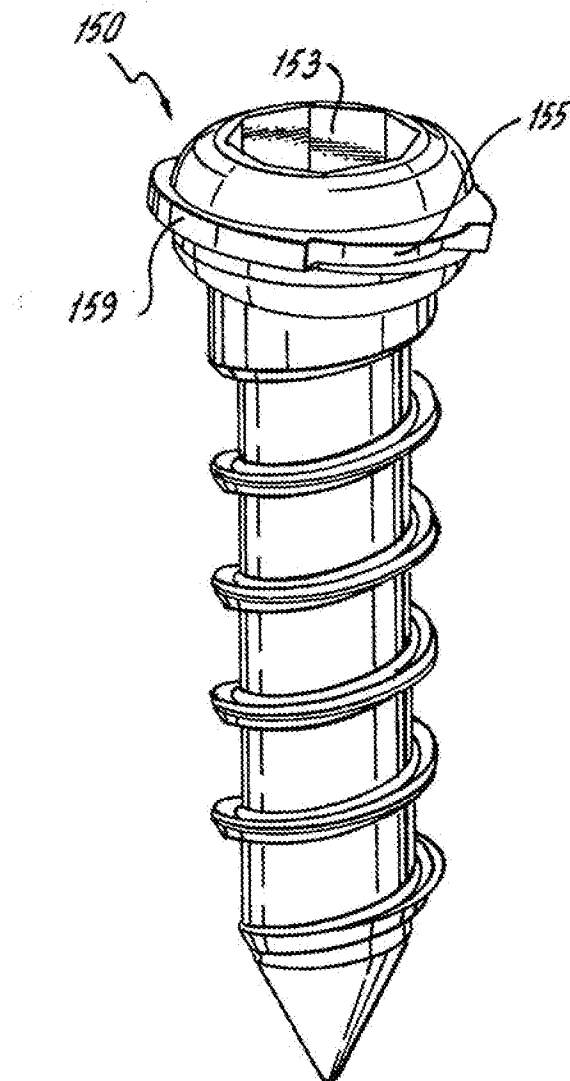


Fig. 11B

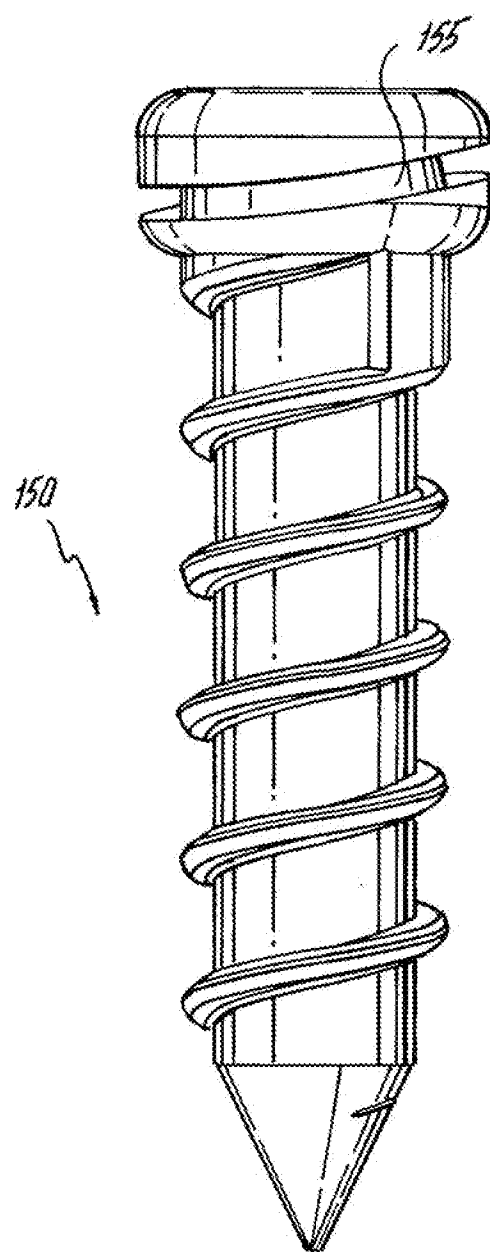


Fig. 11C

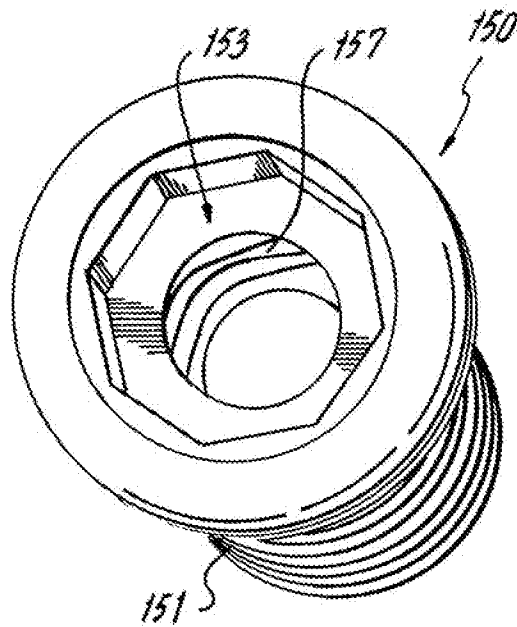
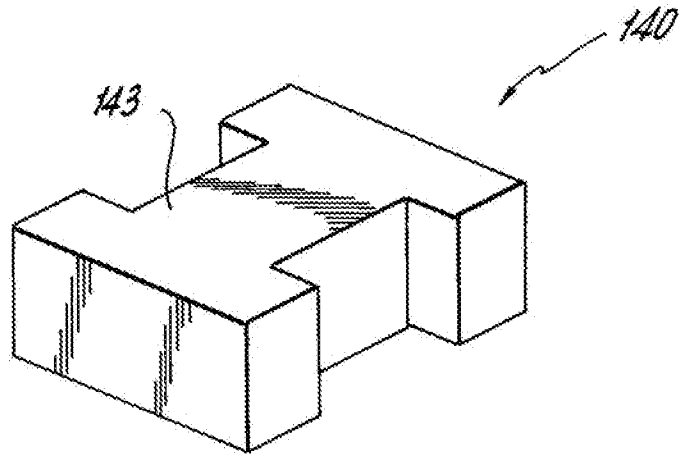
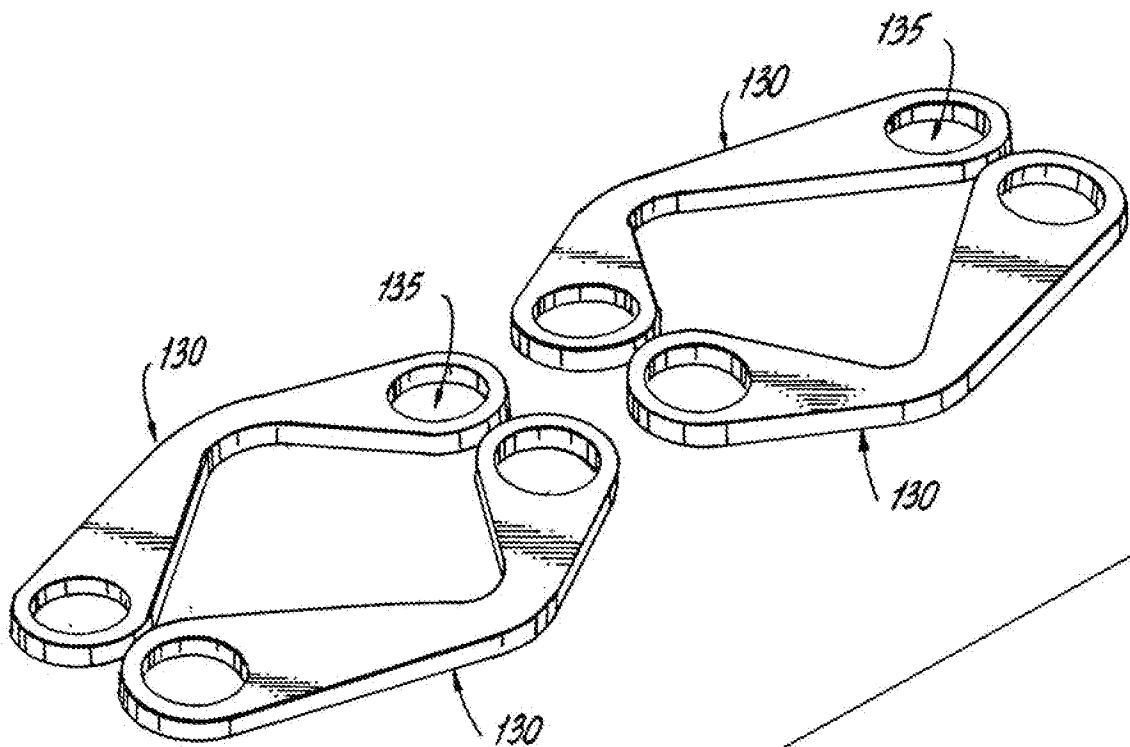


Fig. 12

**Fig. 13****Fig. 14**

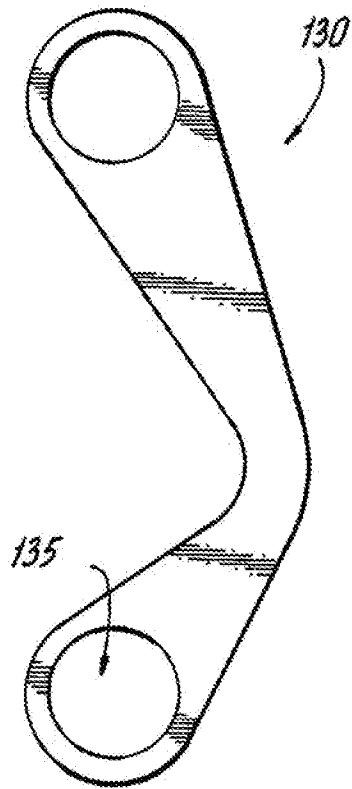


Fig. 15A

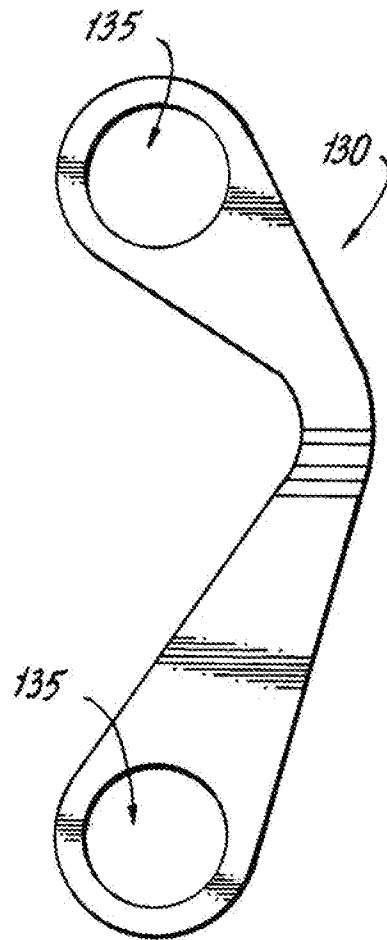


Fig. 15B

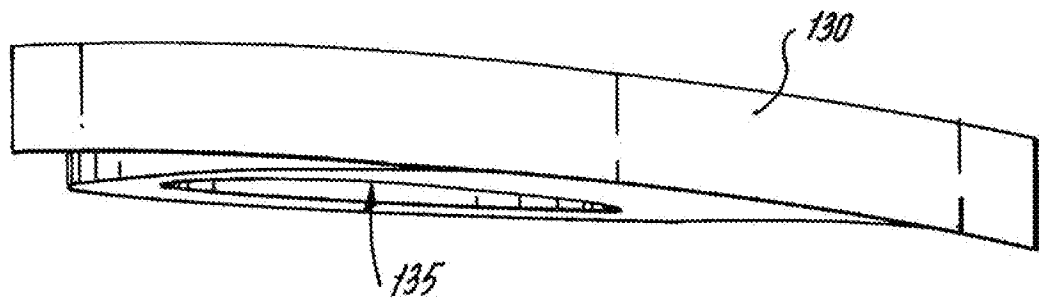


Fig. 15C

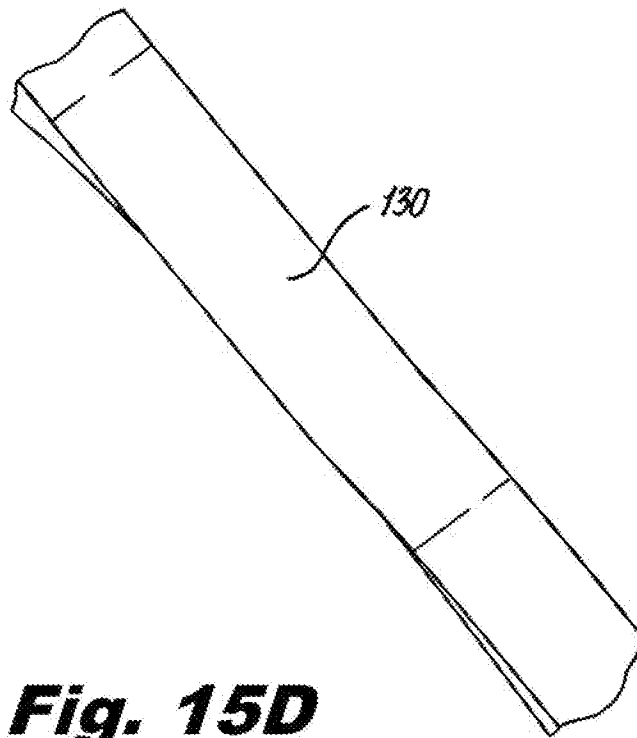


Fig. 15D

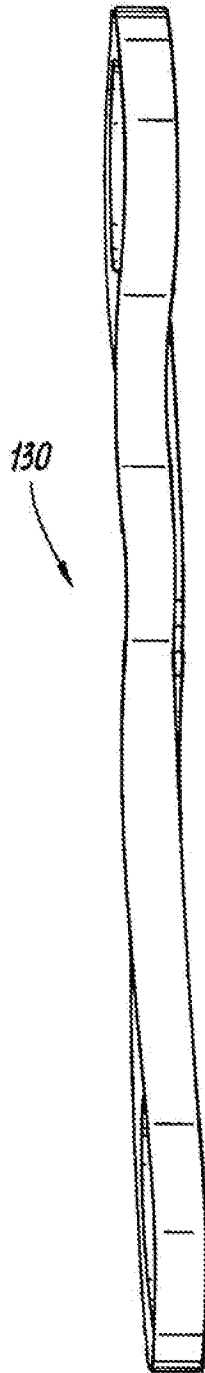


Fig. 15E

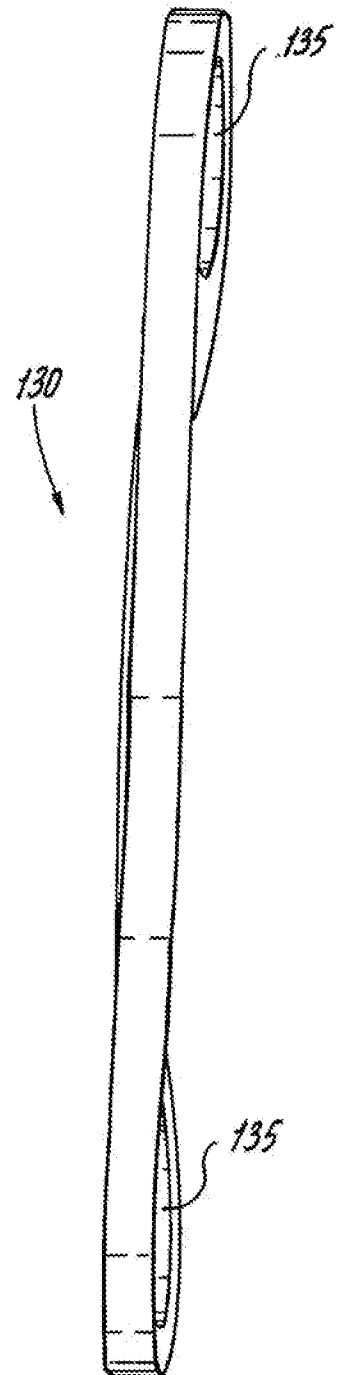


Fig. 15F

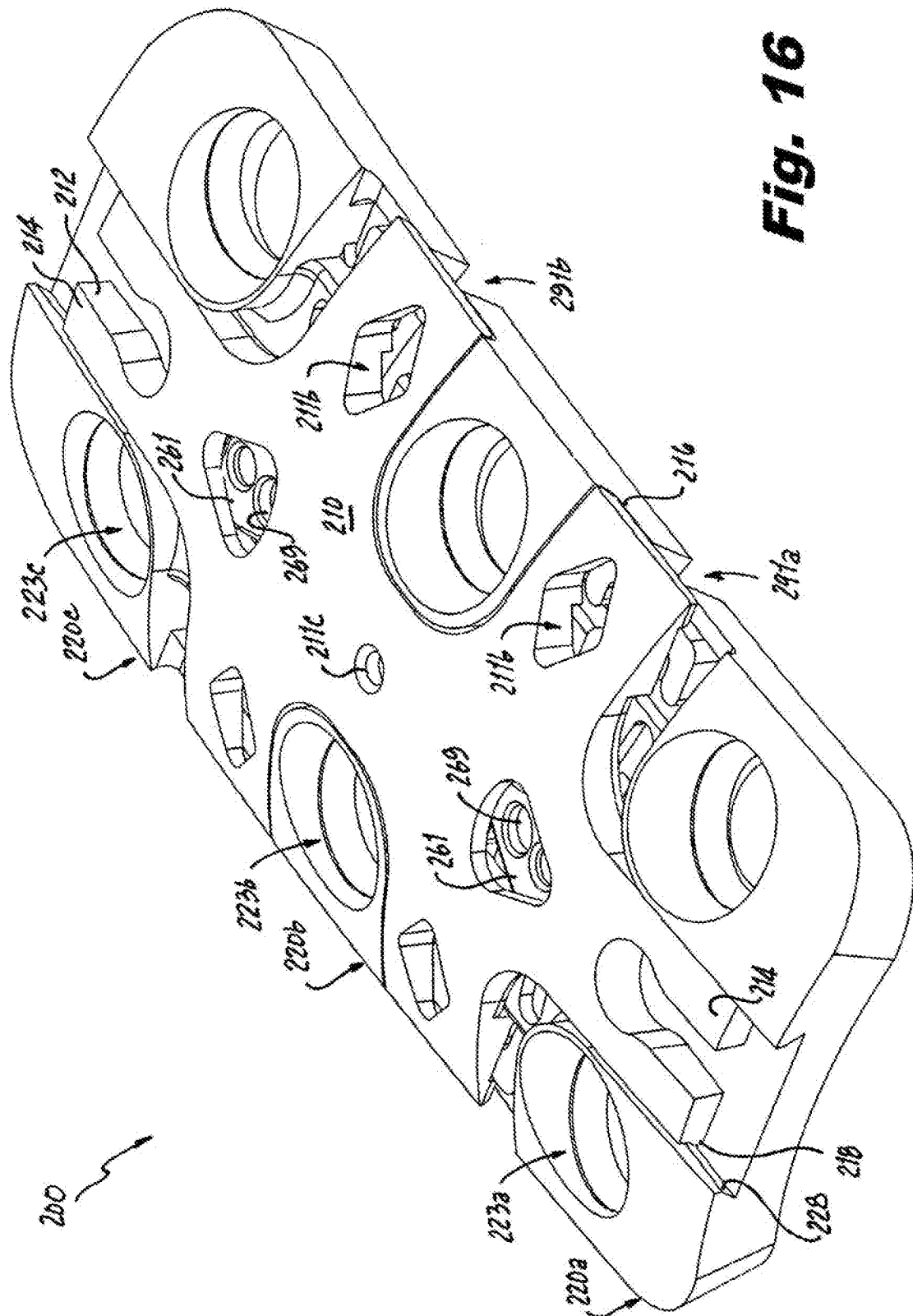


Fig. 16

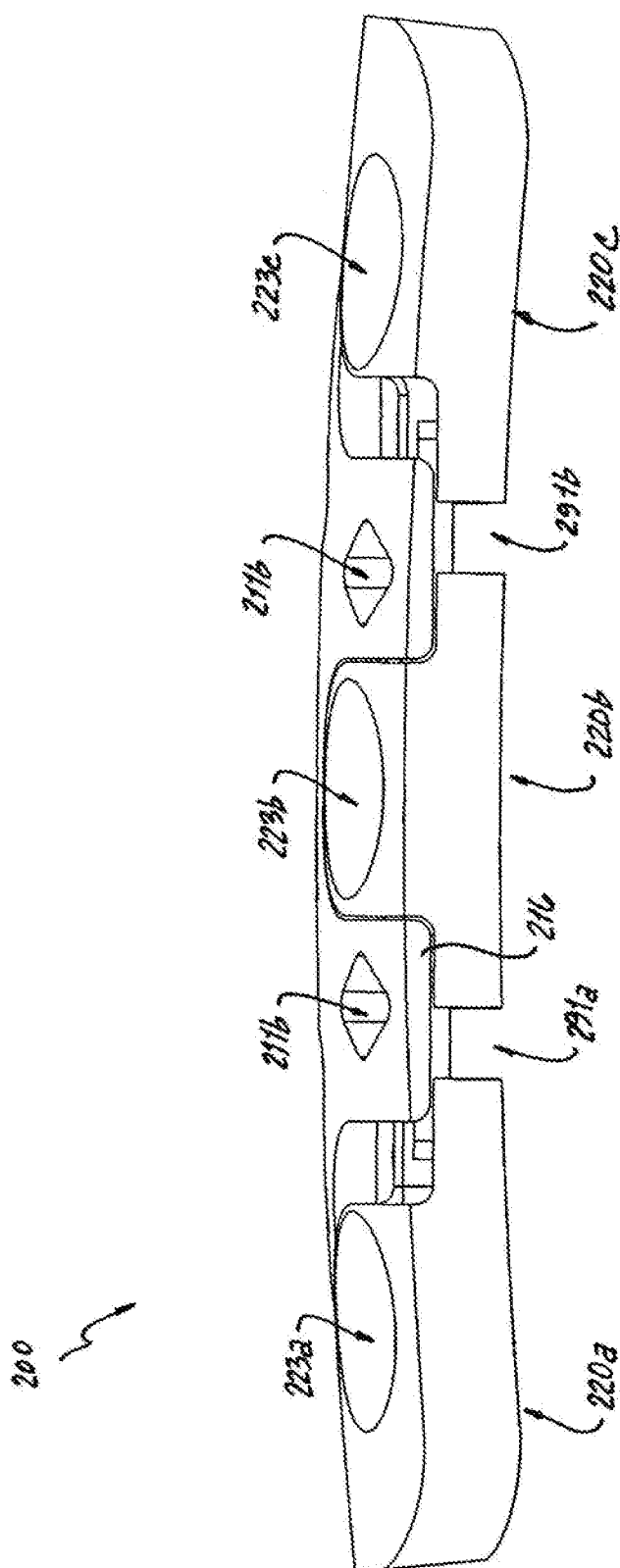


Fig. 17

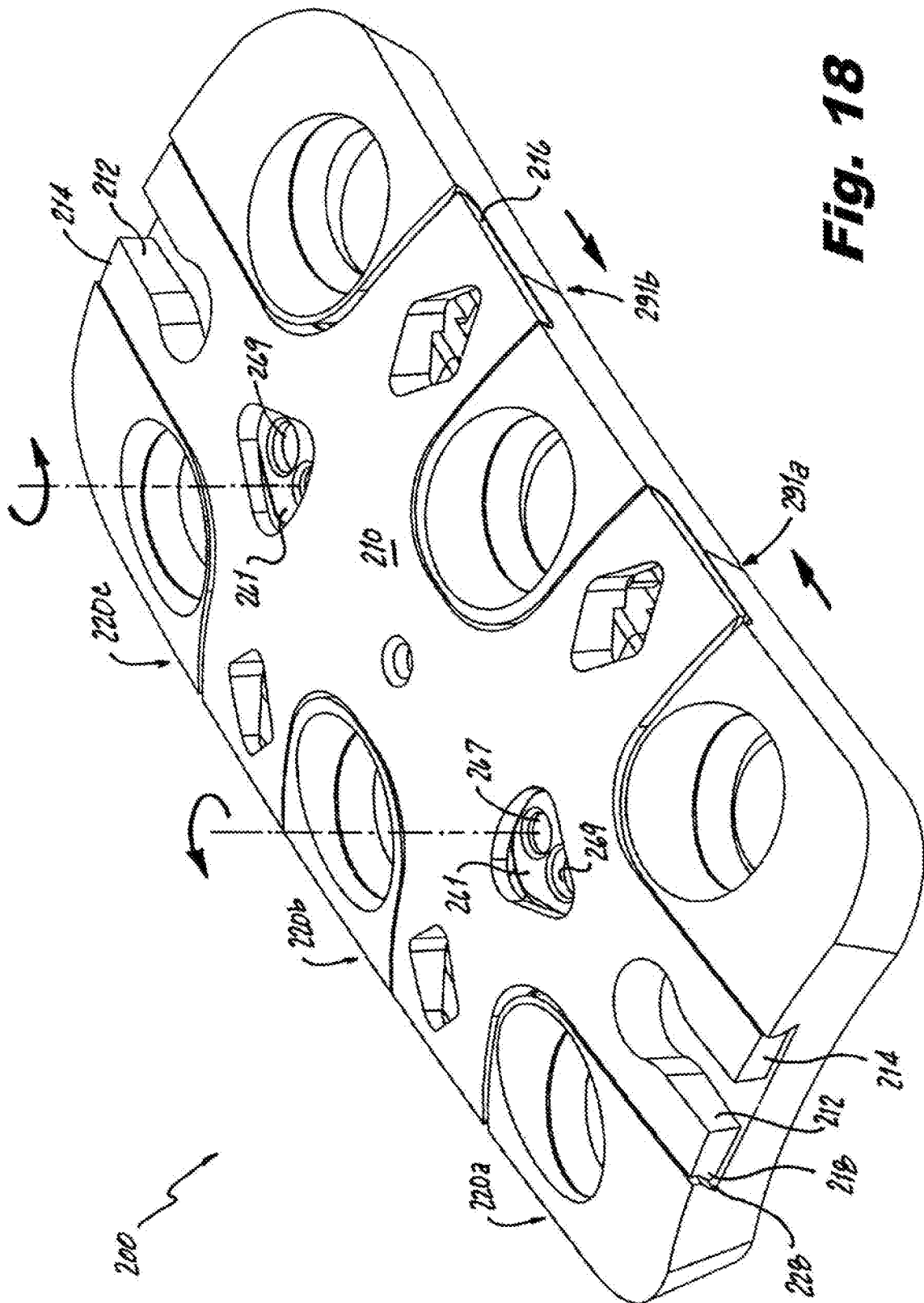


Fig. 18

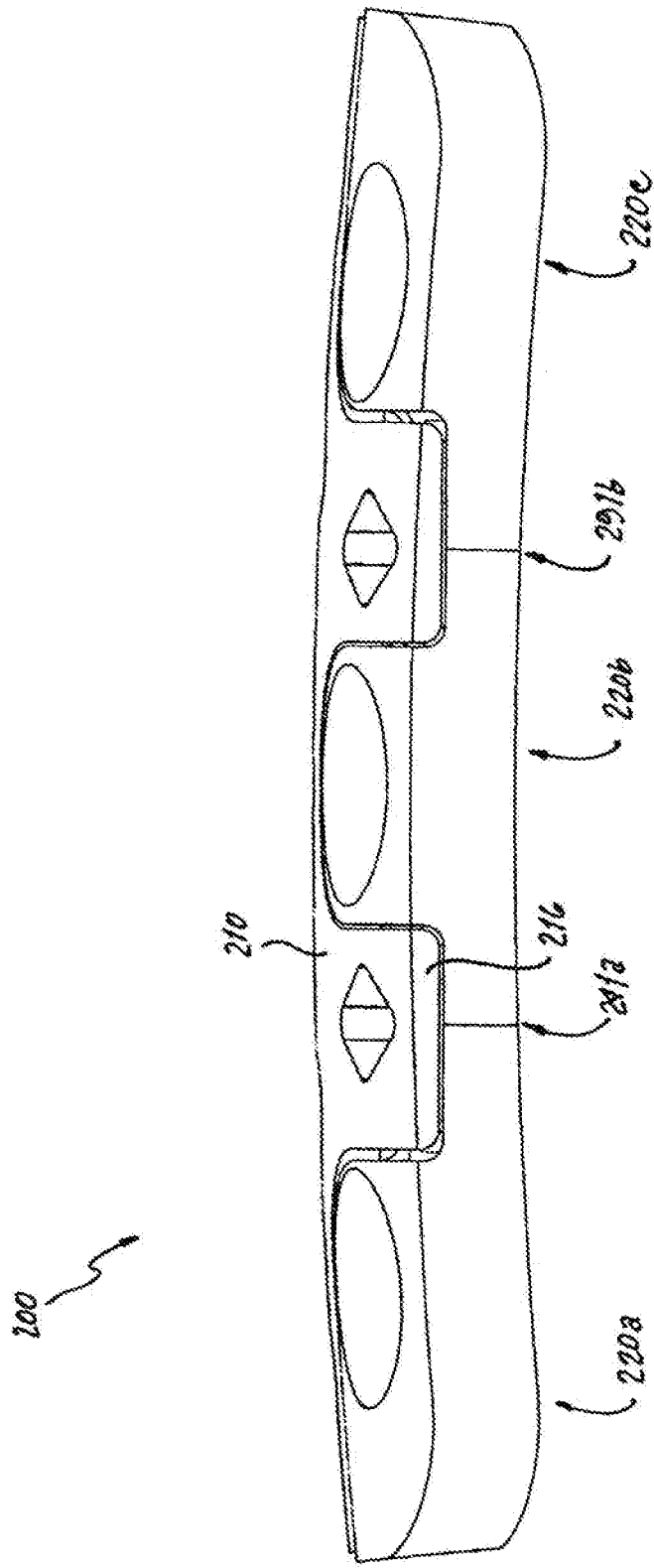
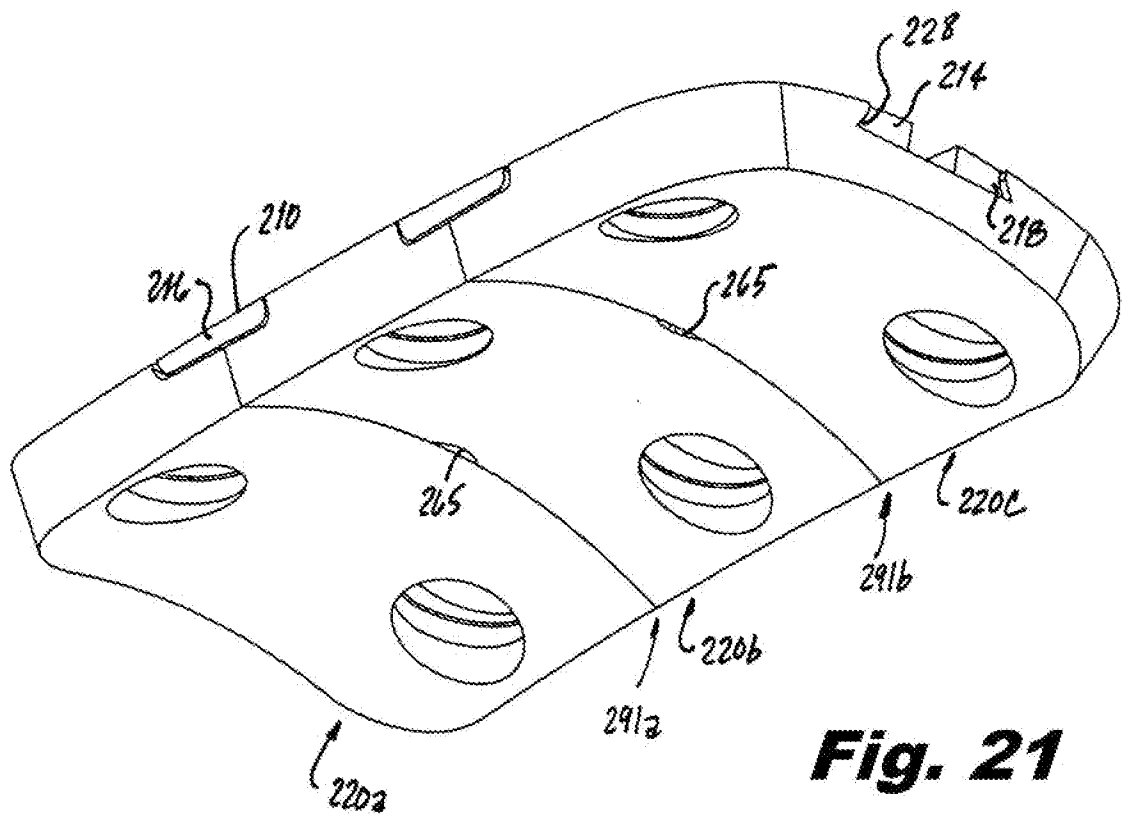
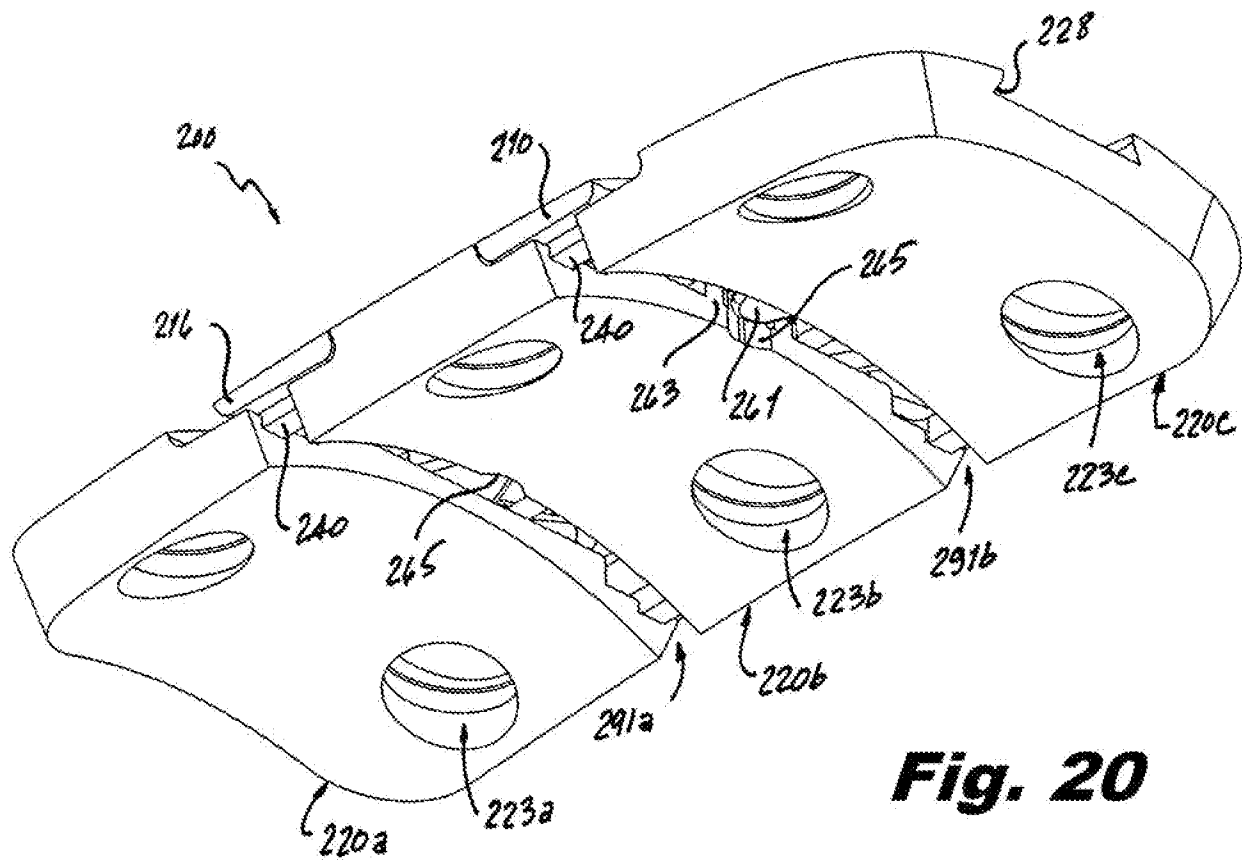


Fig. 19



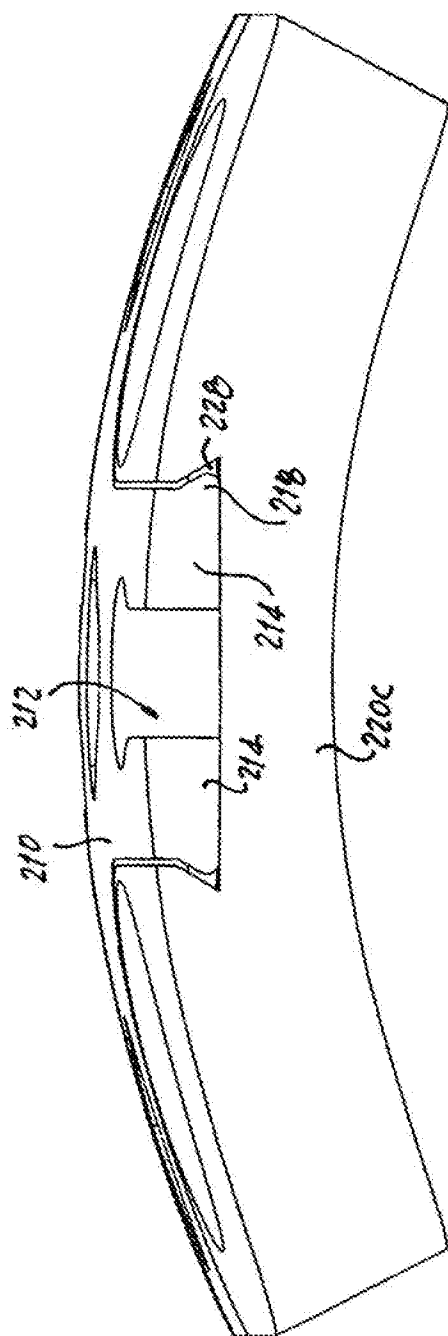


Fig. 22C

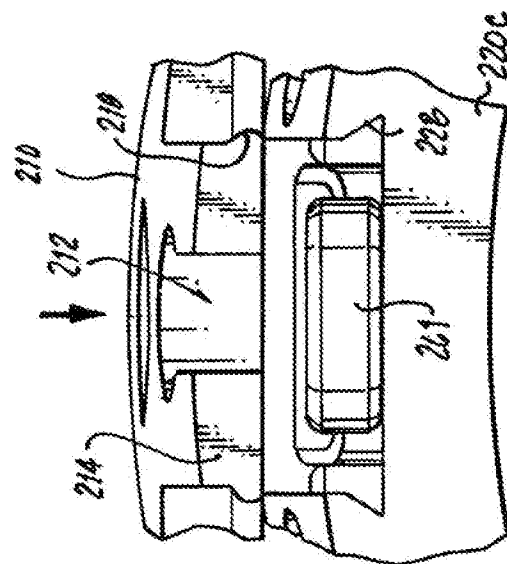


Fig. 22A

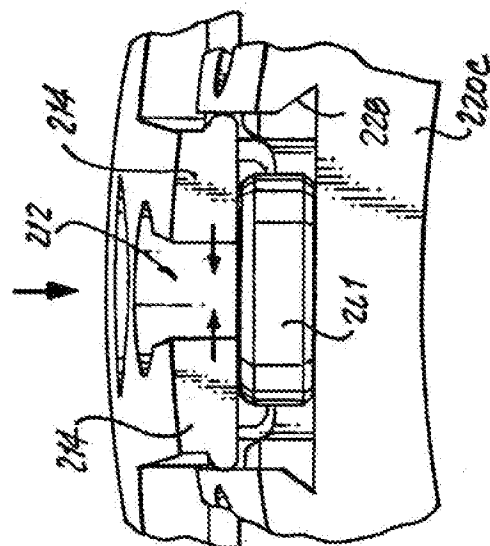
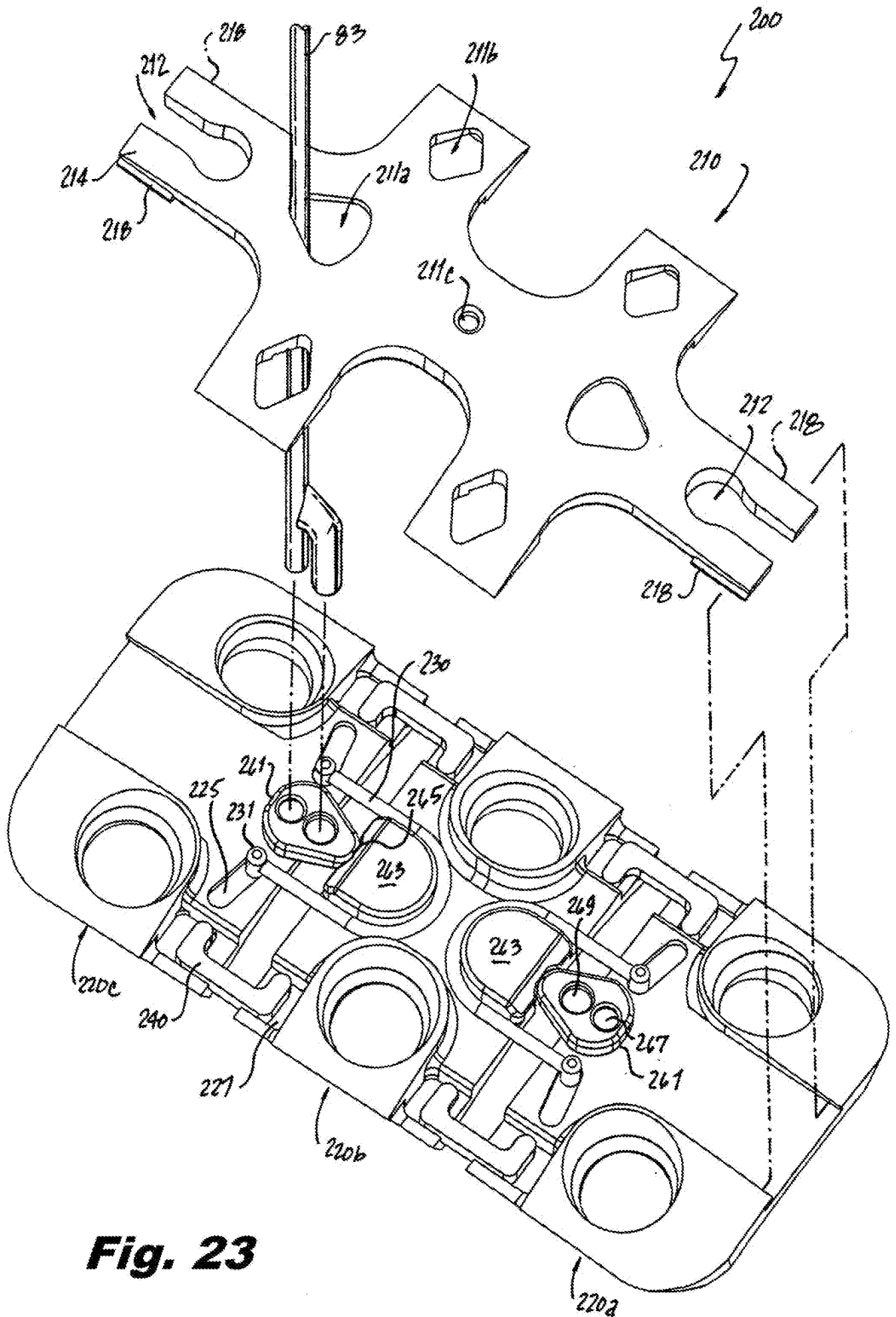


Fig. 22B

**Fig. 23**

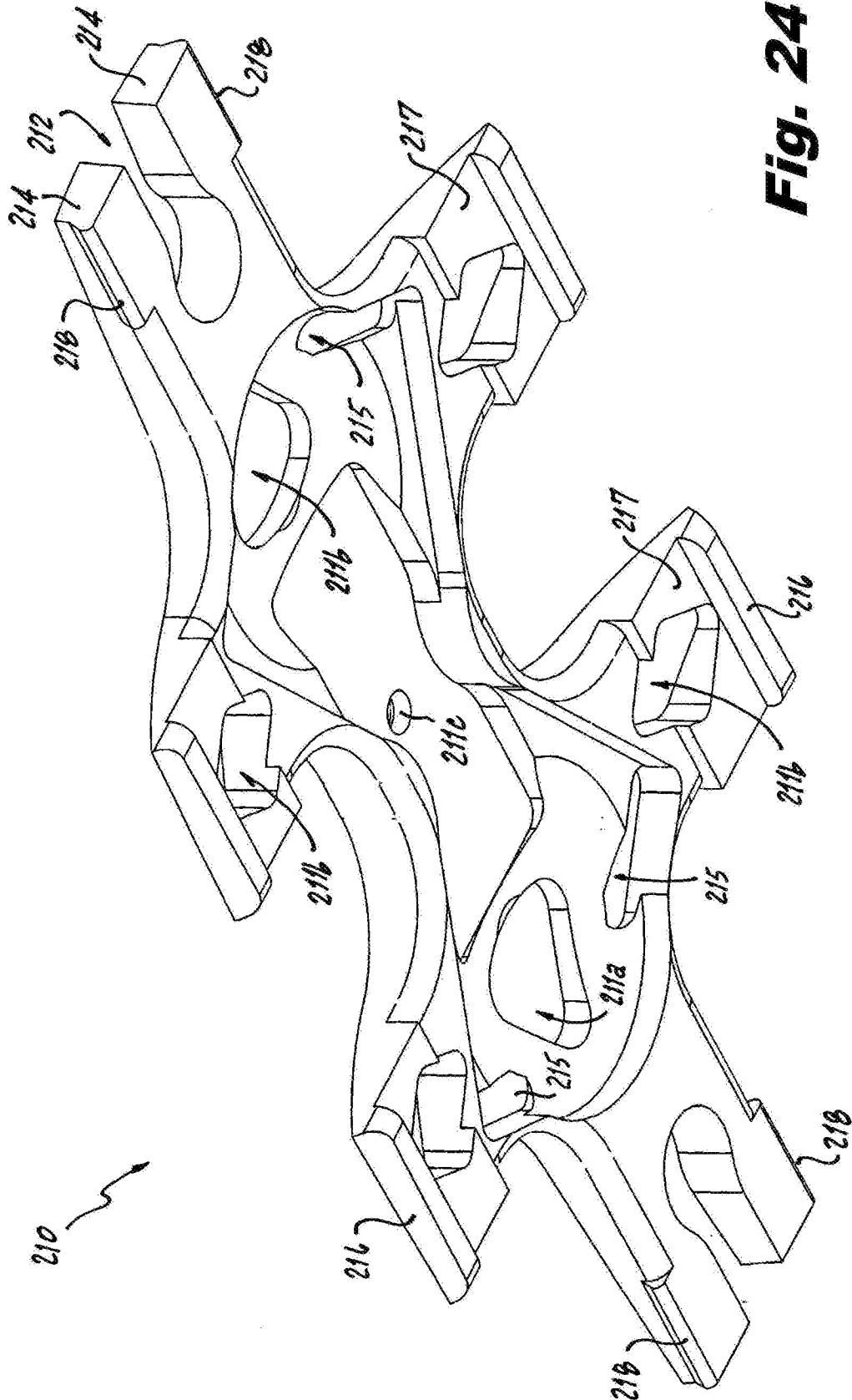
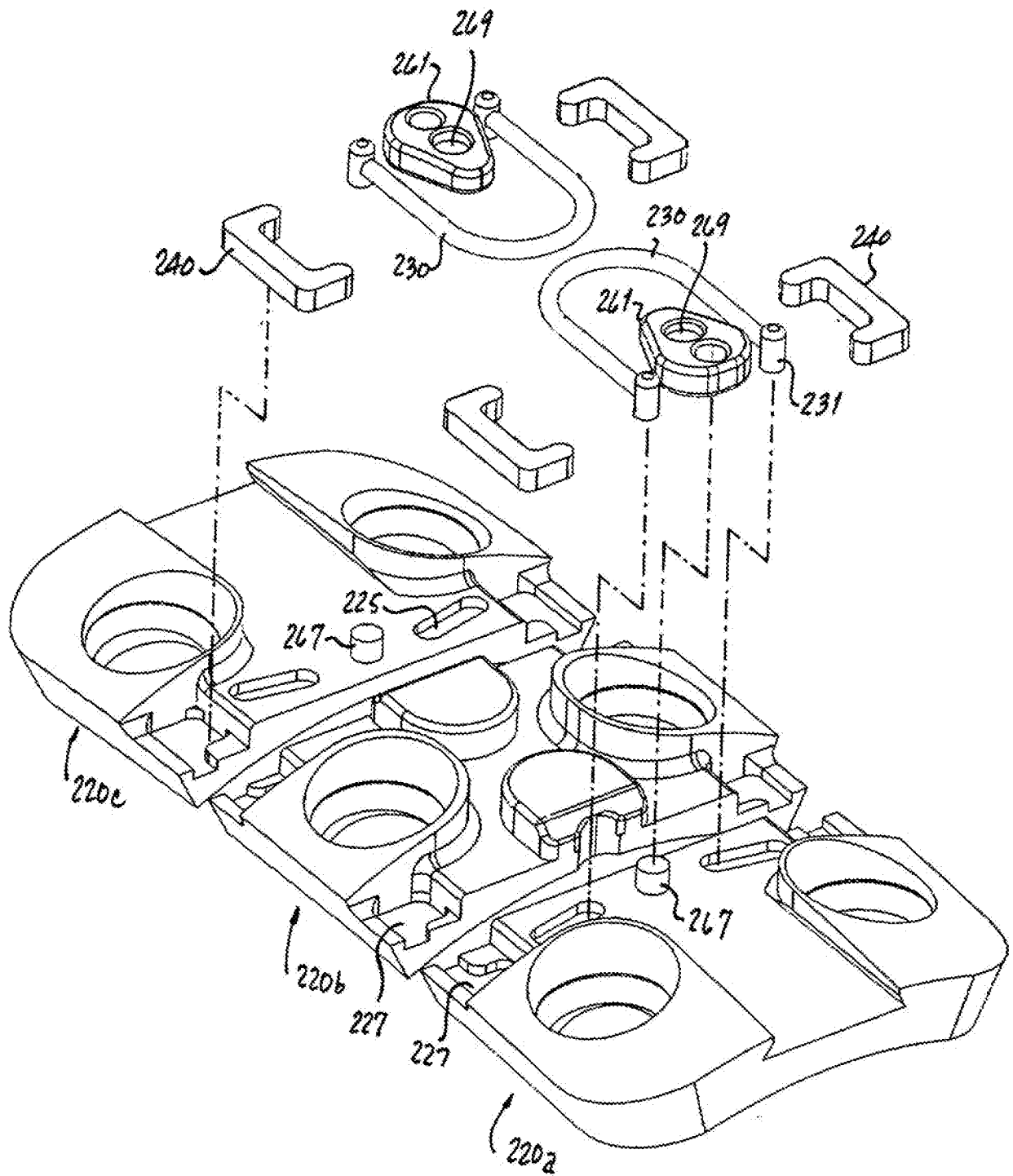


Fig. 24

**Fig. 25**

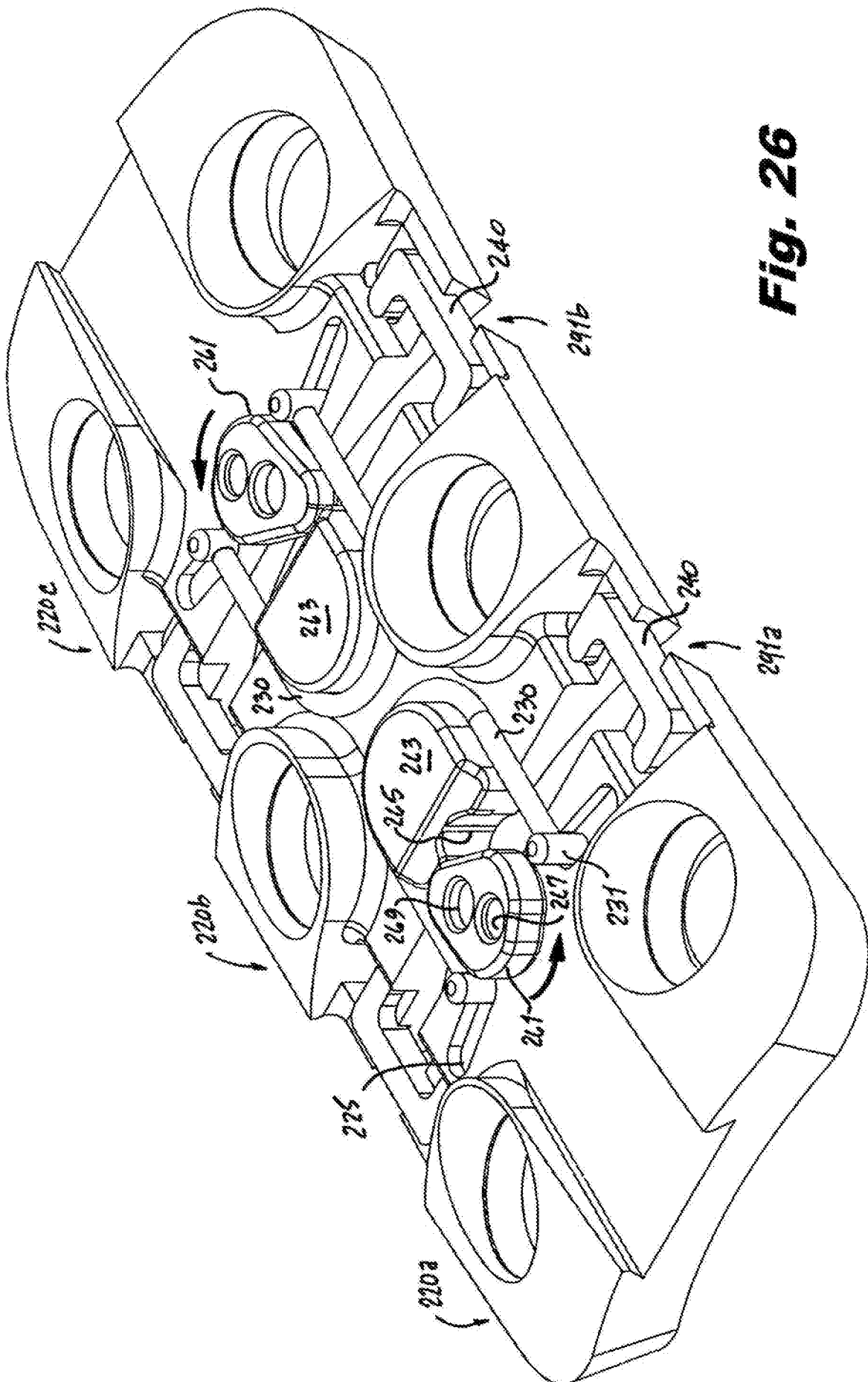


Fig. 26

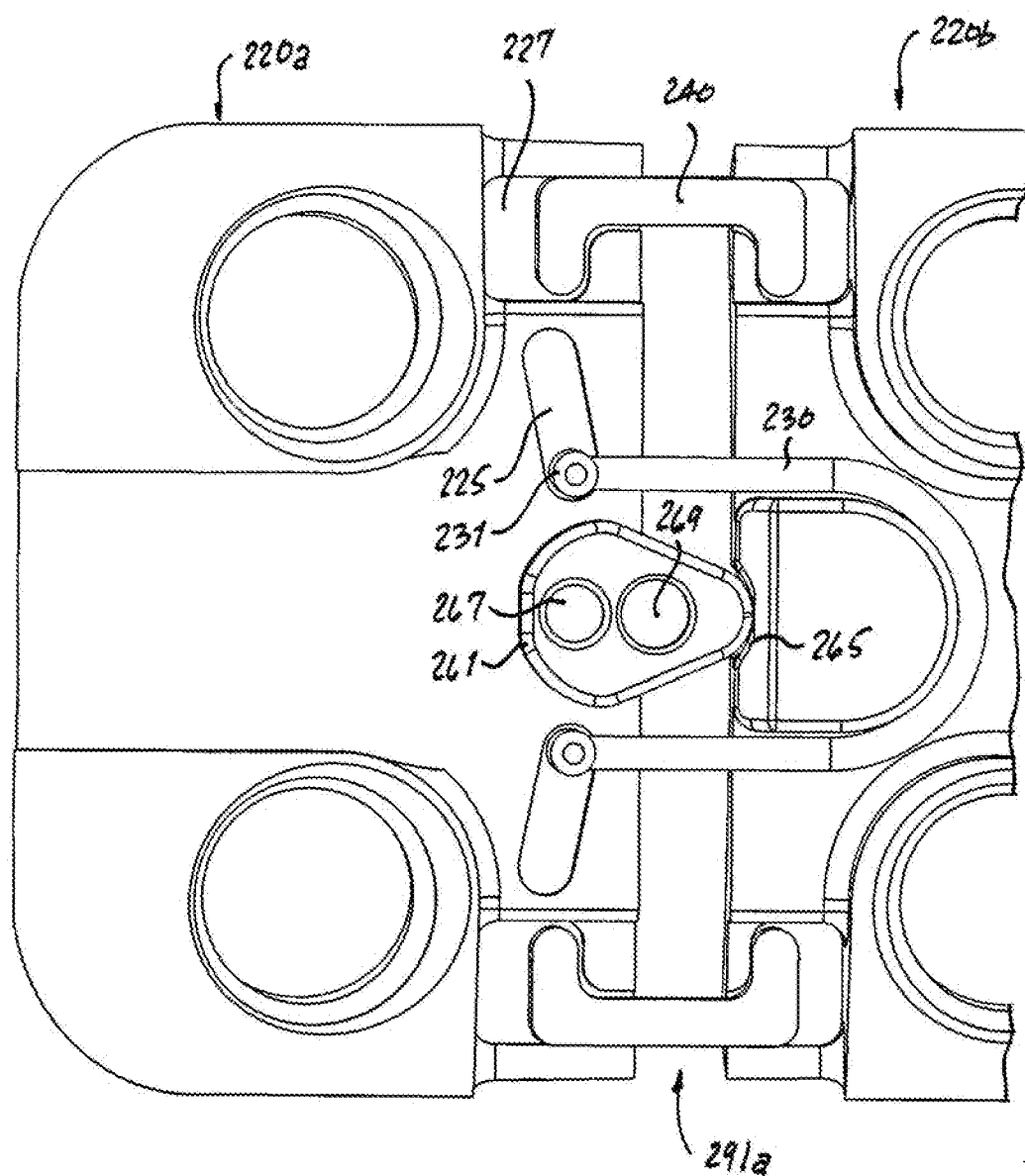


Fig. 27

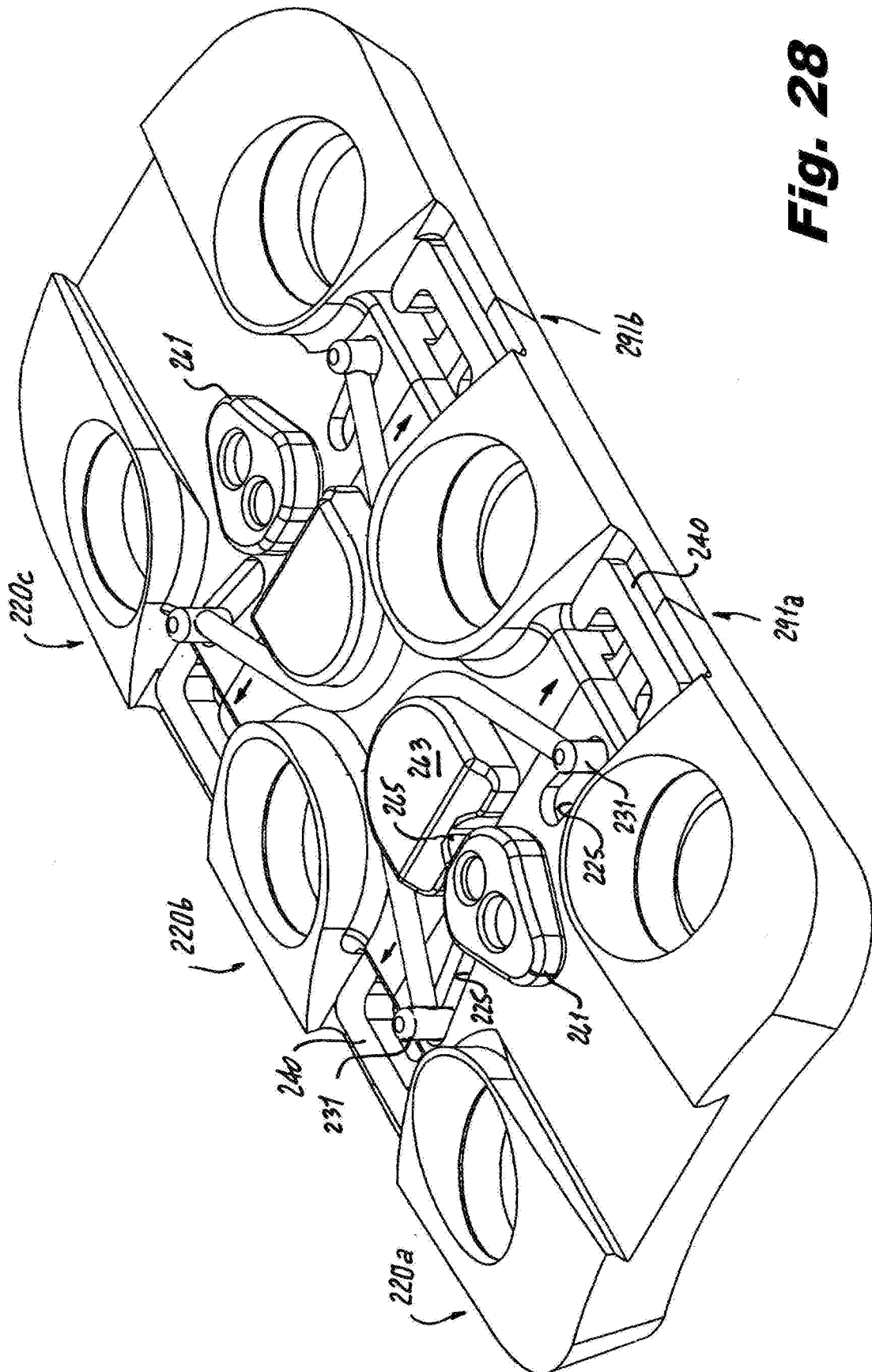


Fig. 28

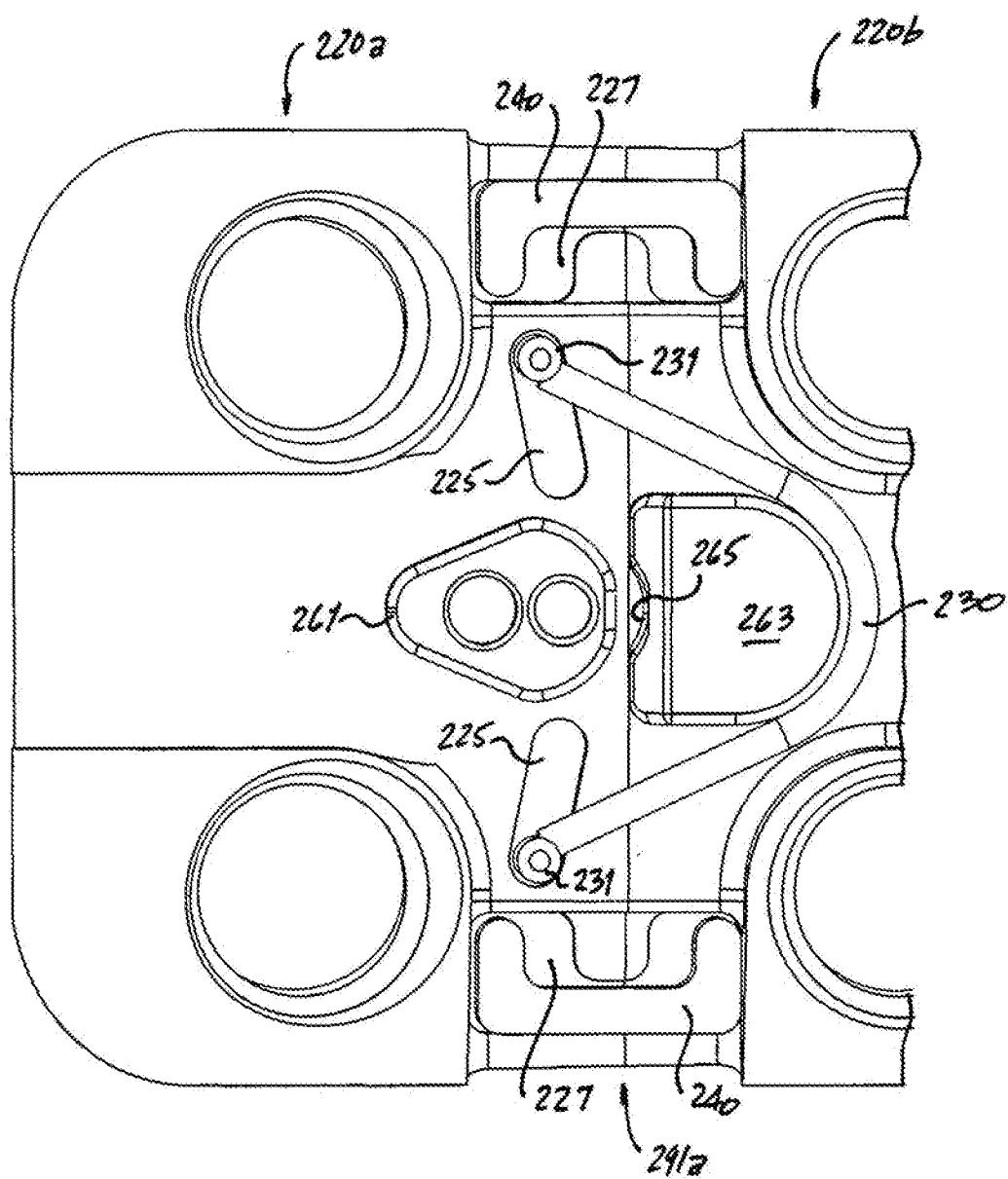


Fig. 29

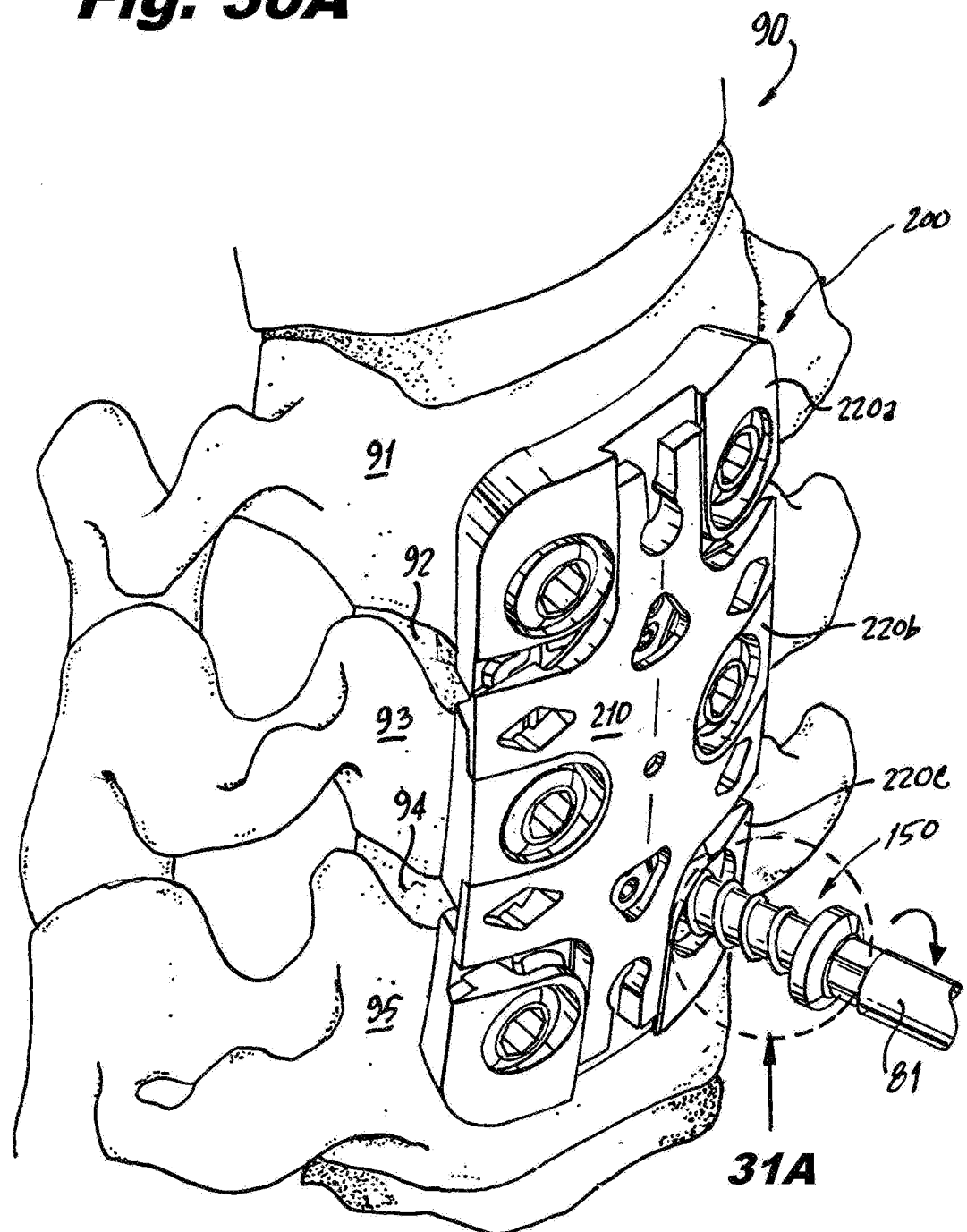
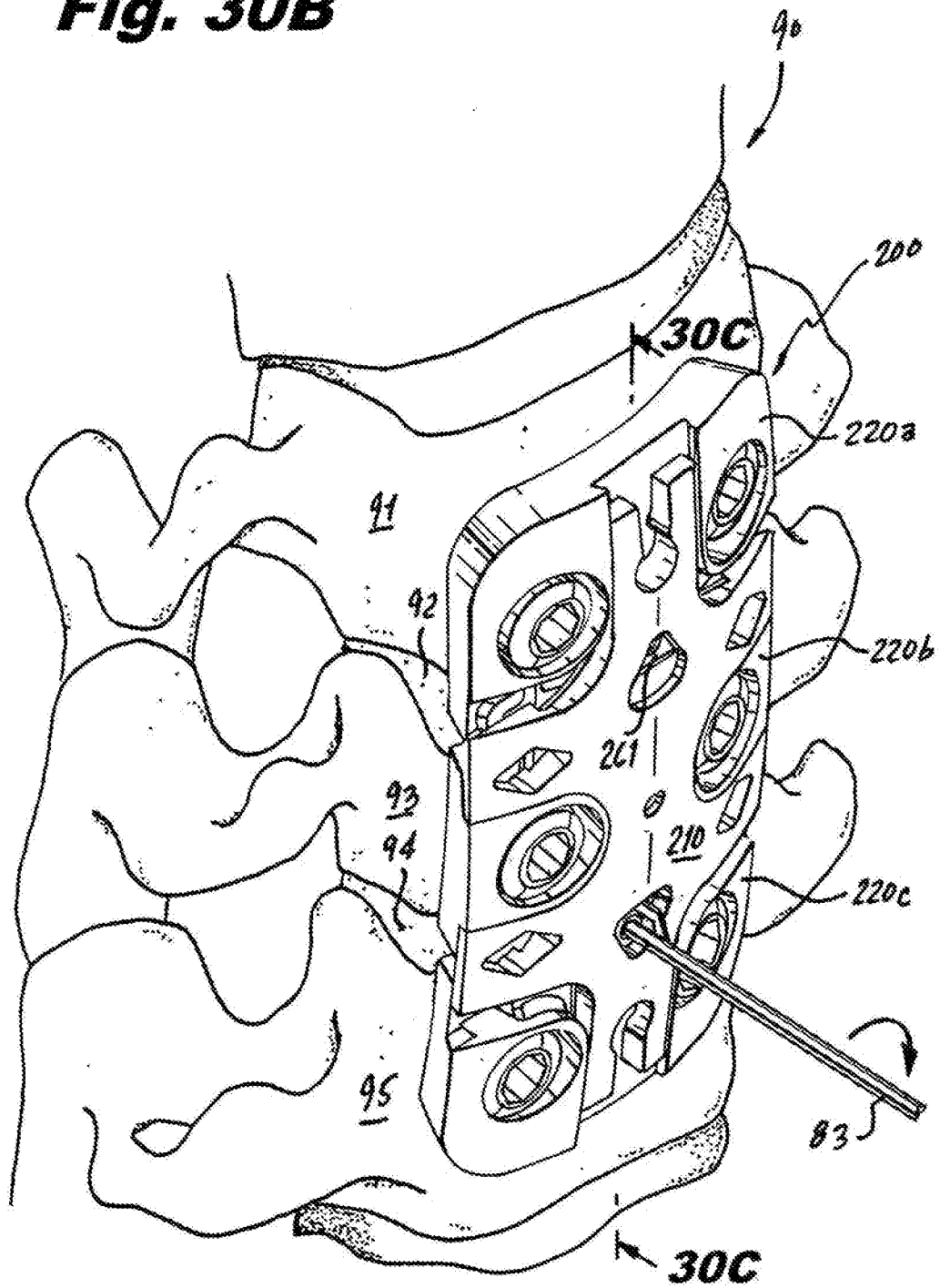
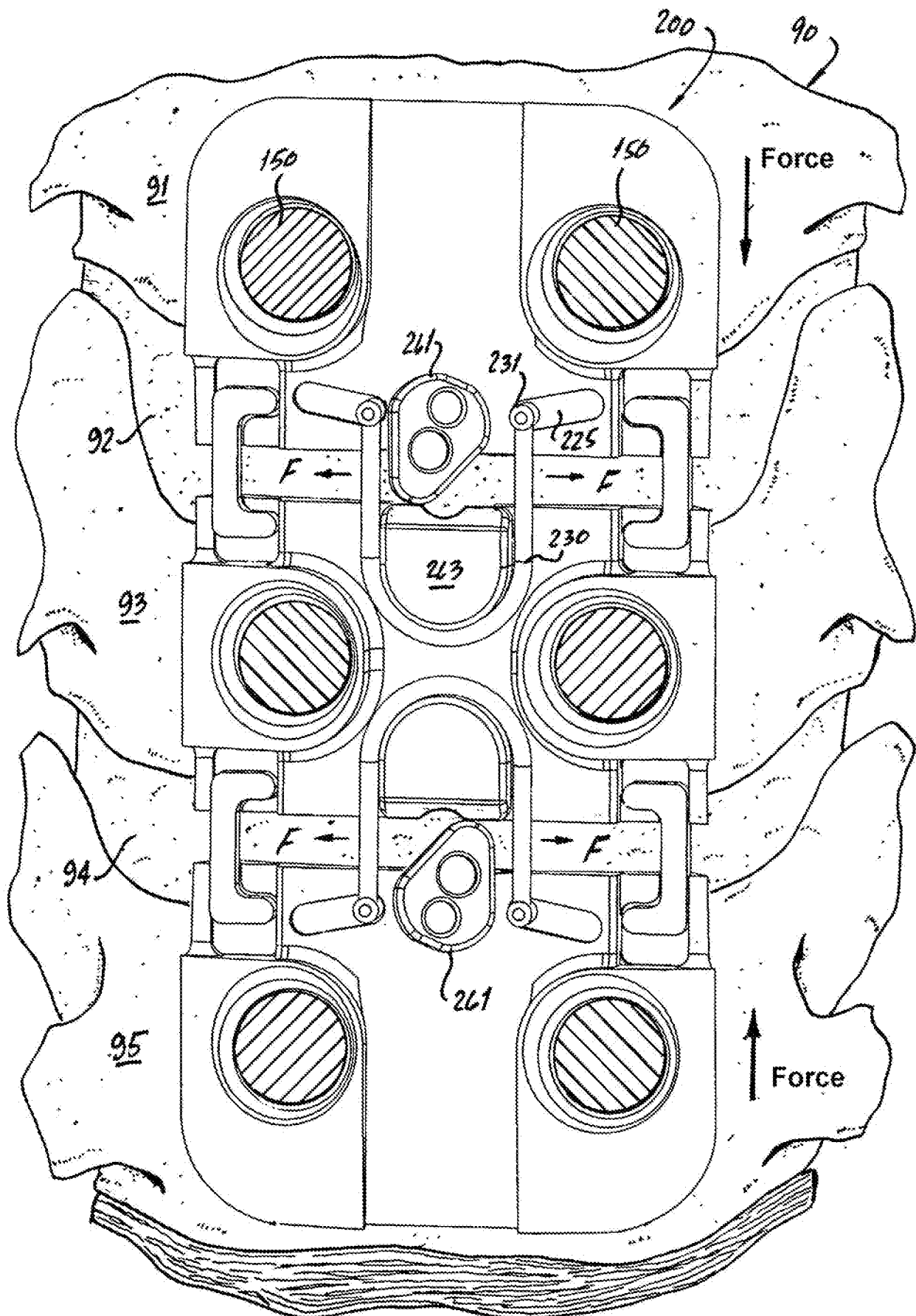
Fig. 30A

Fig. 30B

**Fig. 30C**

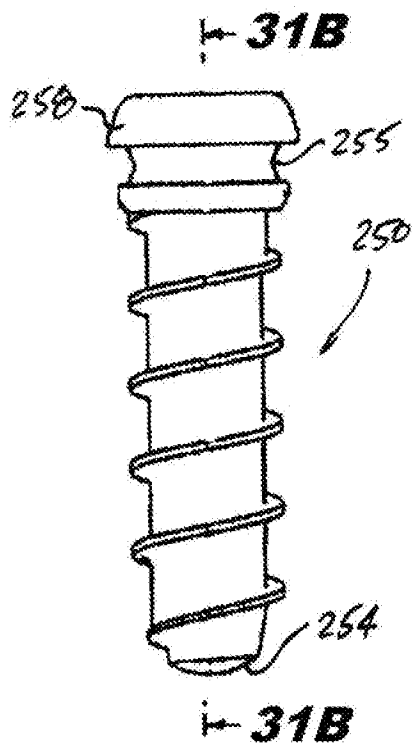


Fig. 31A

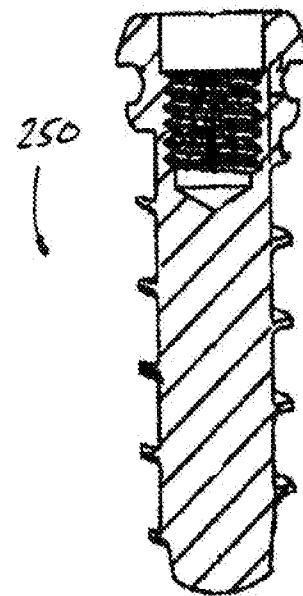


Fig. 31B

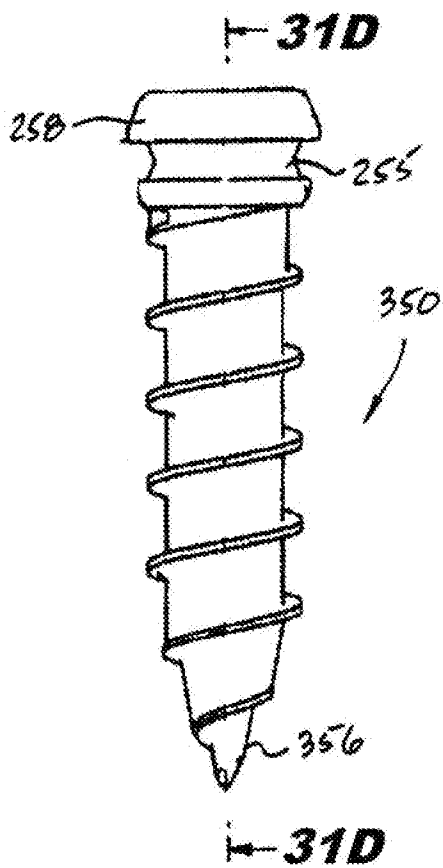


Fig. 31C

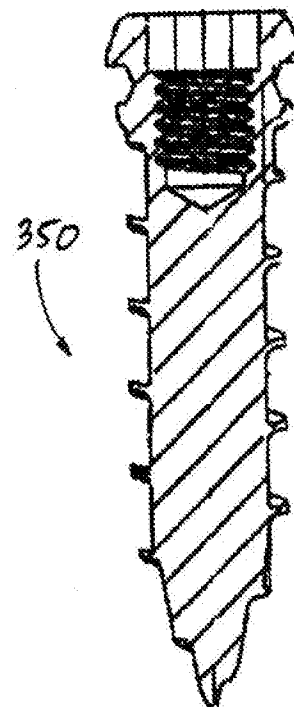


Fig. 31D

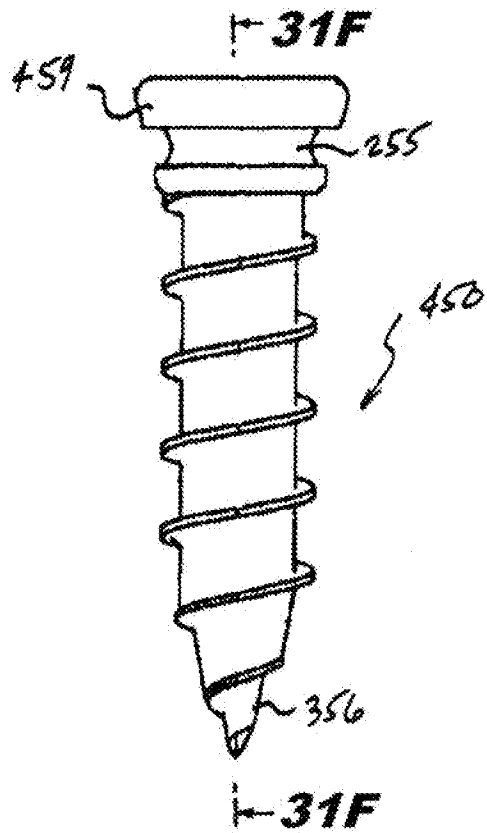


Fig. 31E

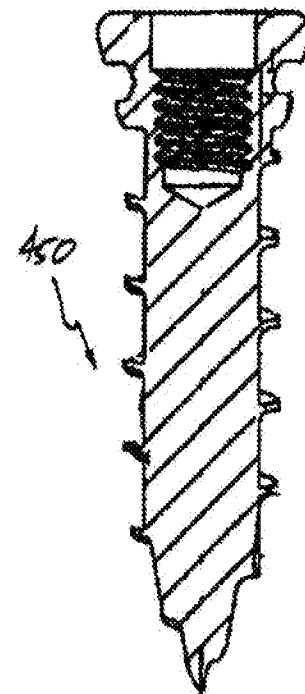


Fig. 31F

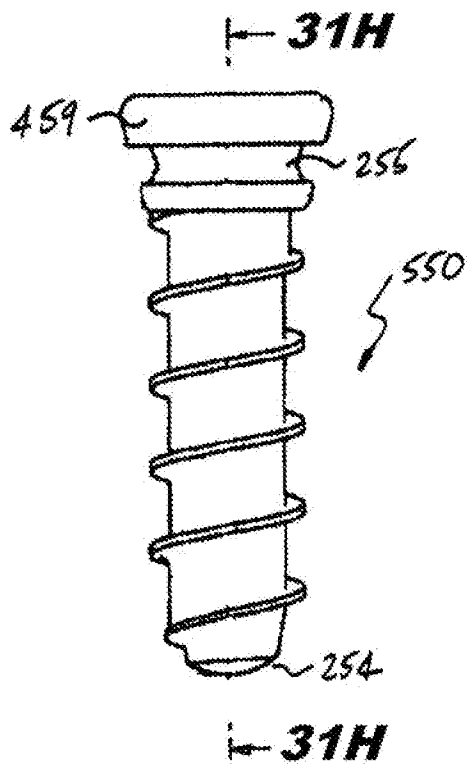


Fig. 31G

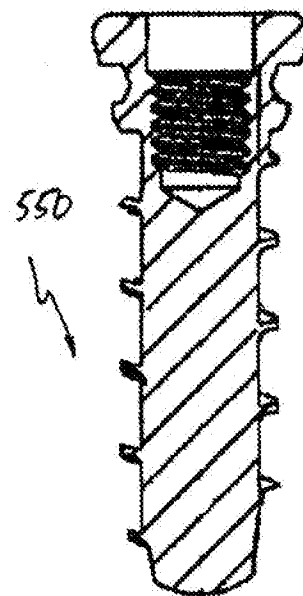


Fig. 31H

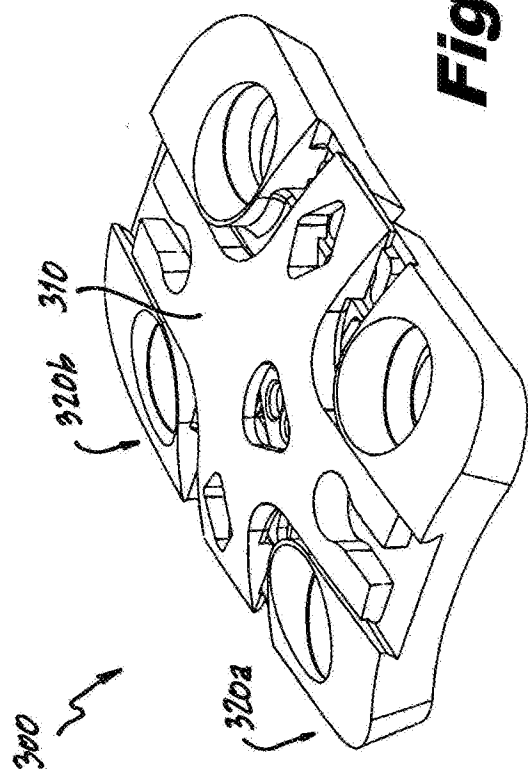


Fig. 32A

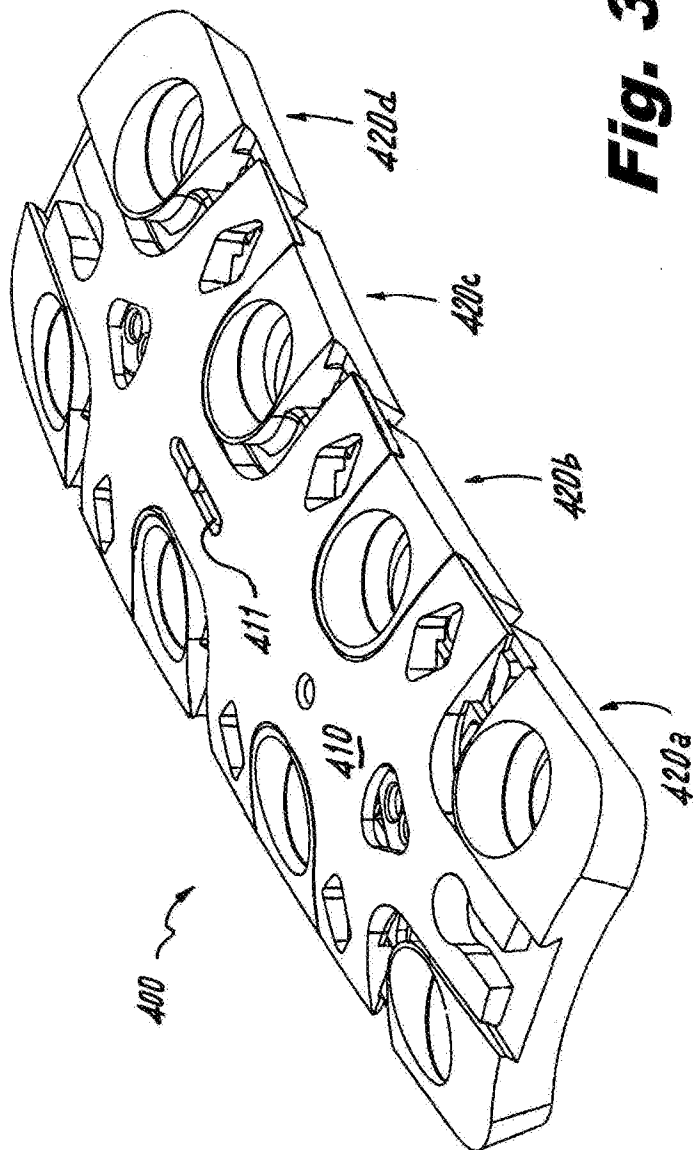
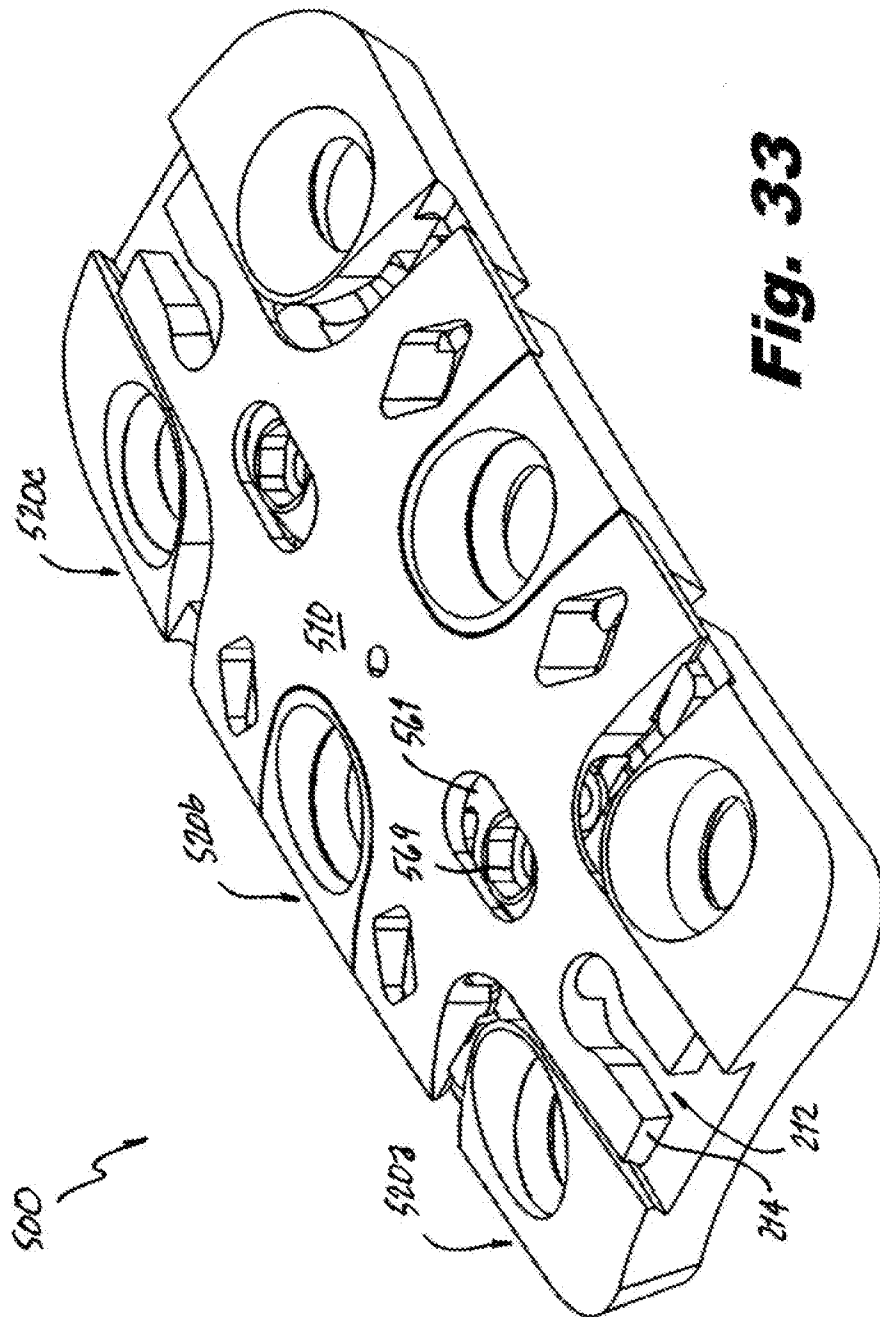


Fig. 32B



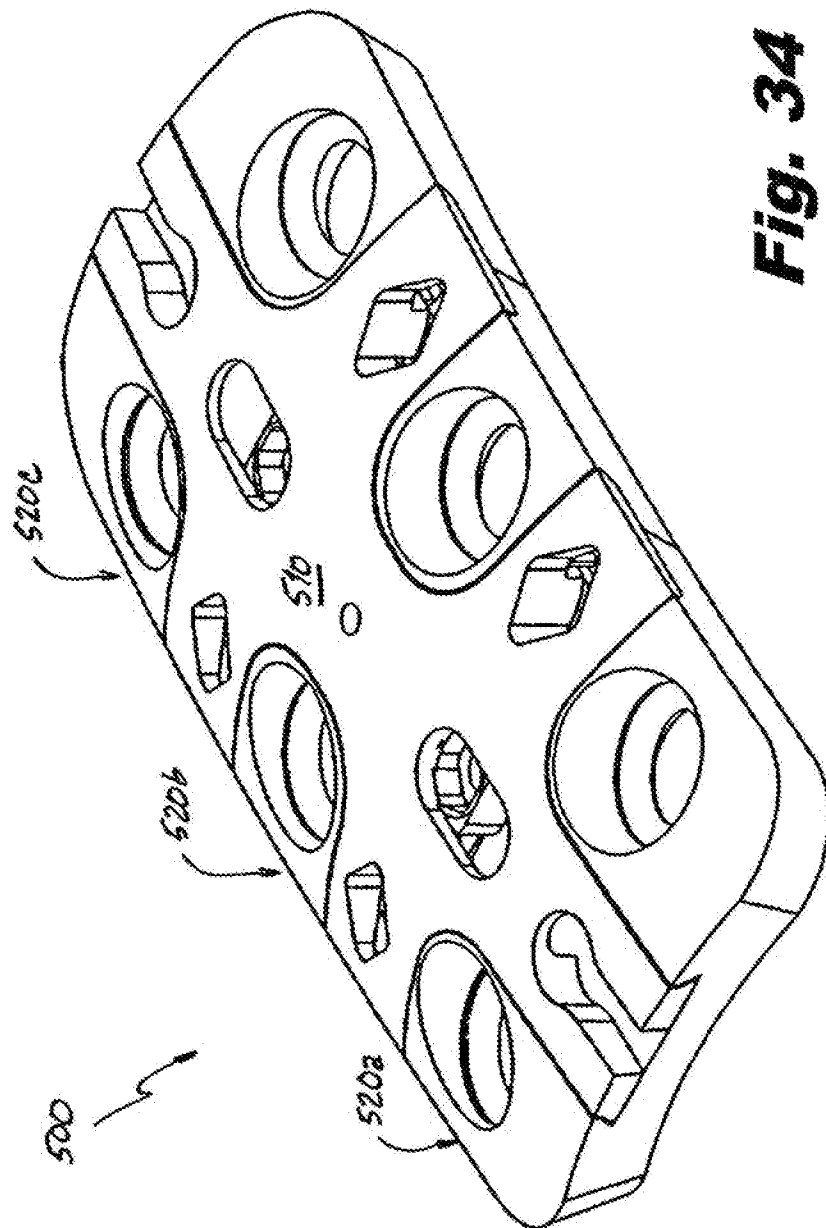


Fig. 34

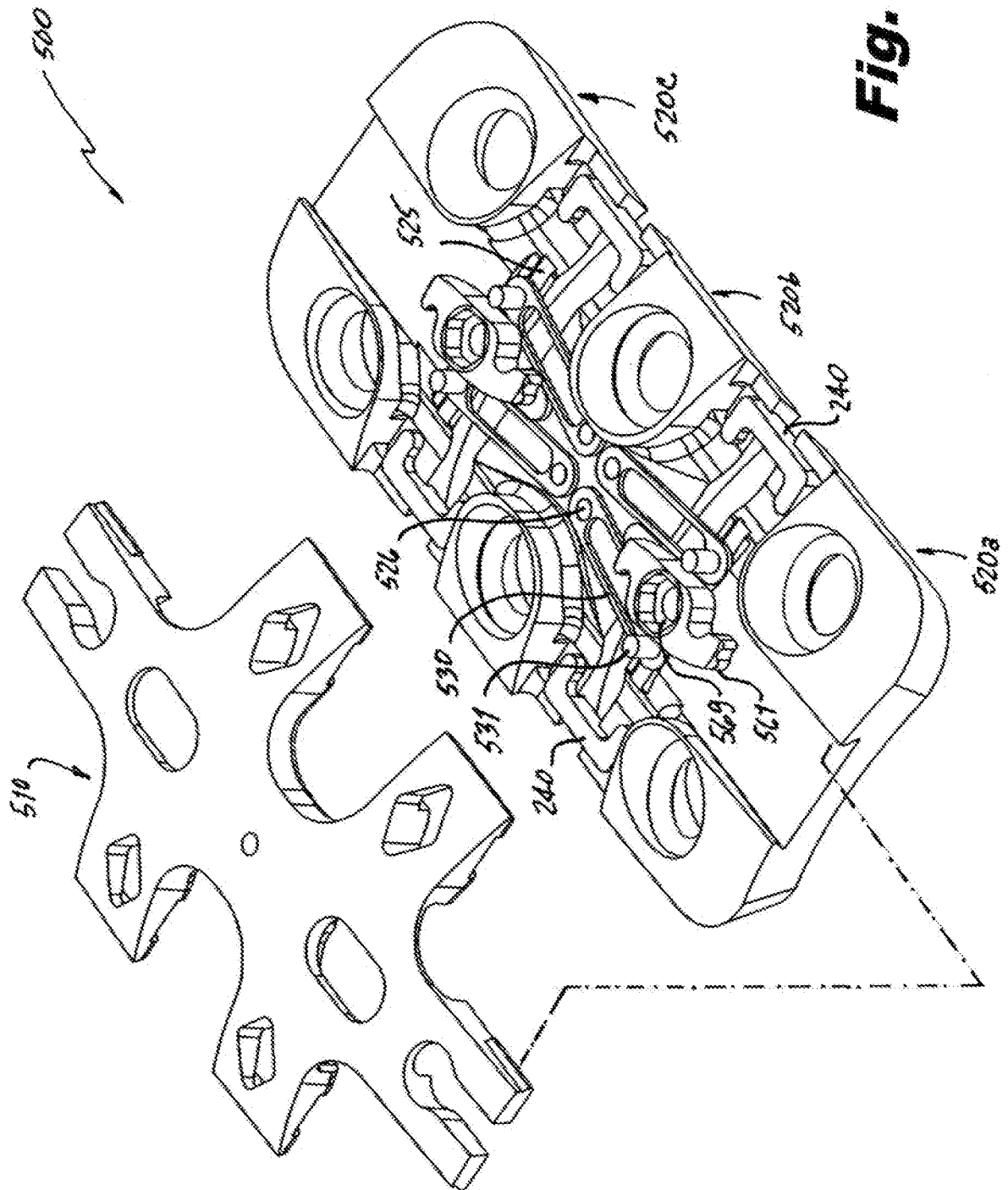
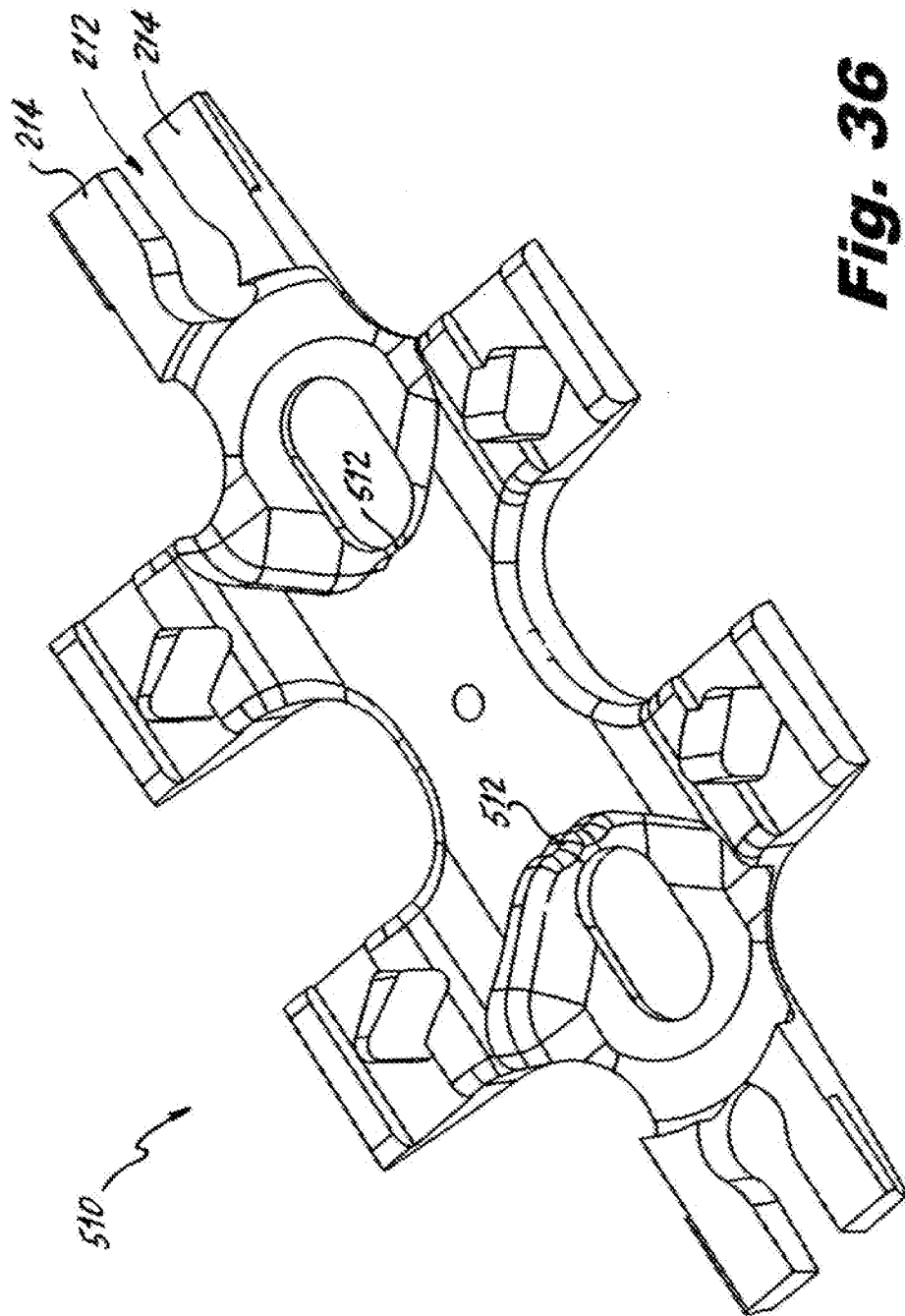


Fig. 35



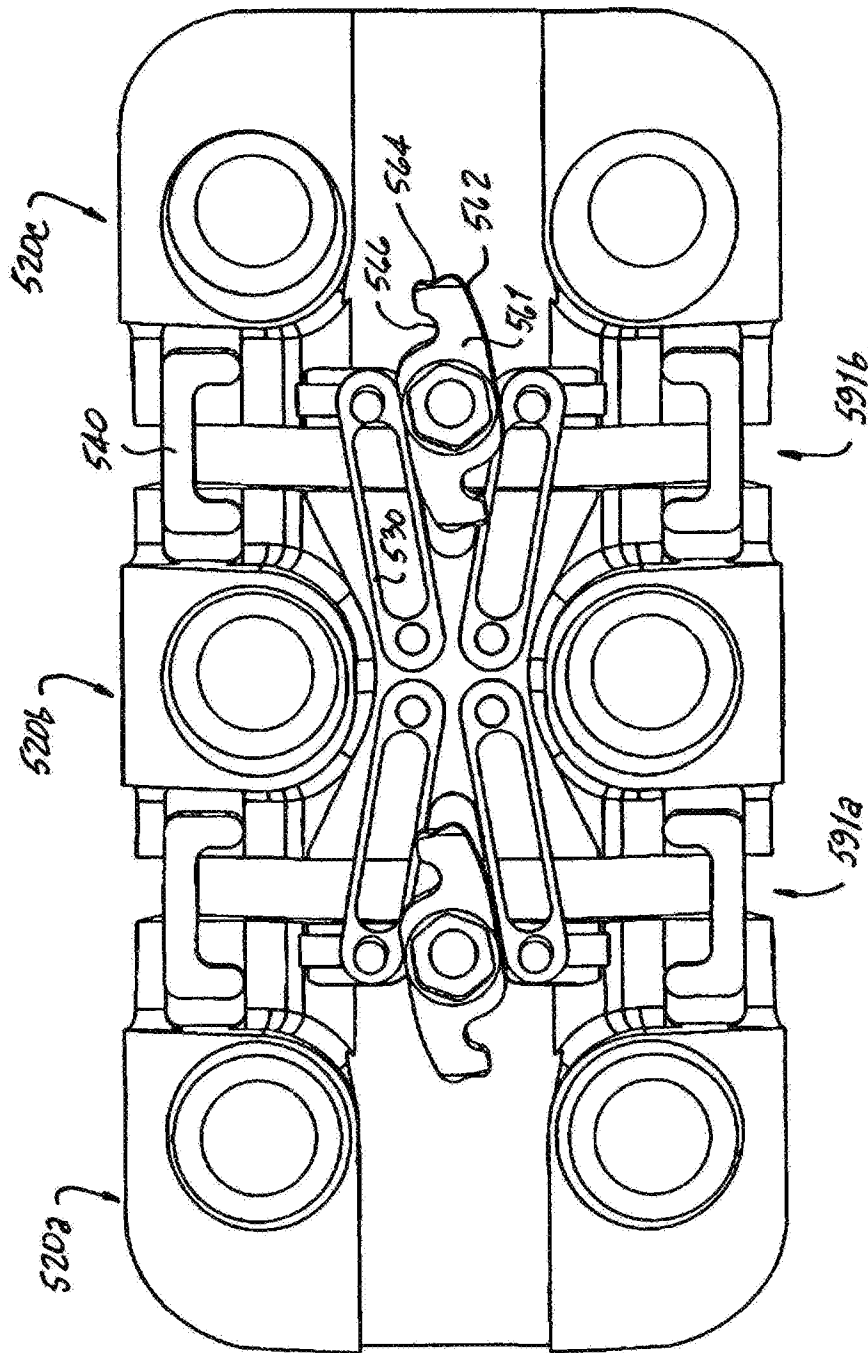


Fig. 37

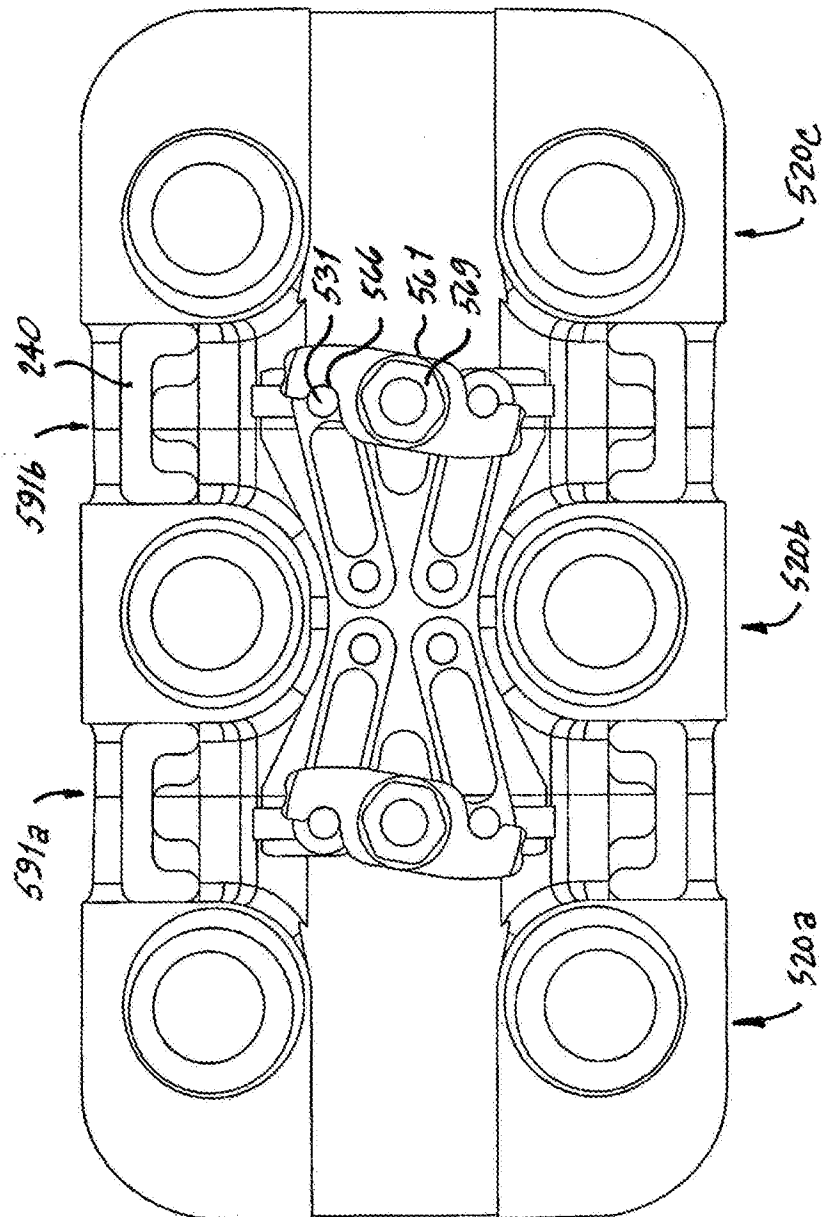


Fig. 38

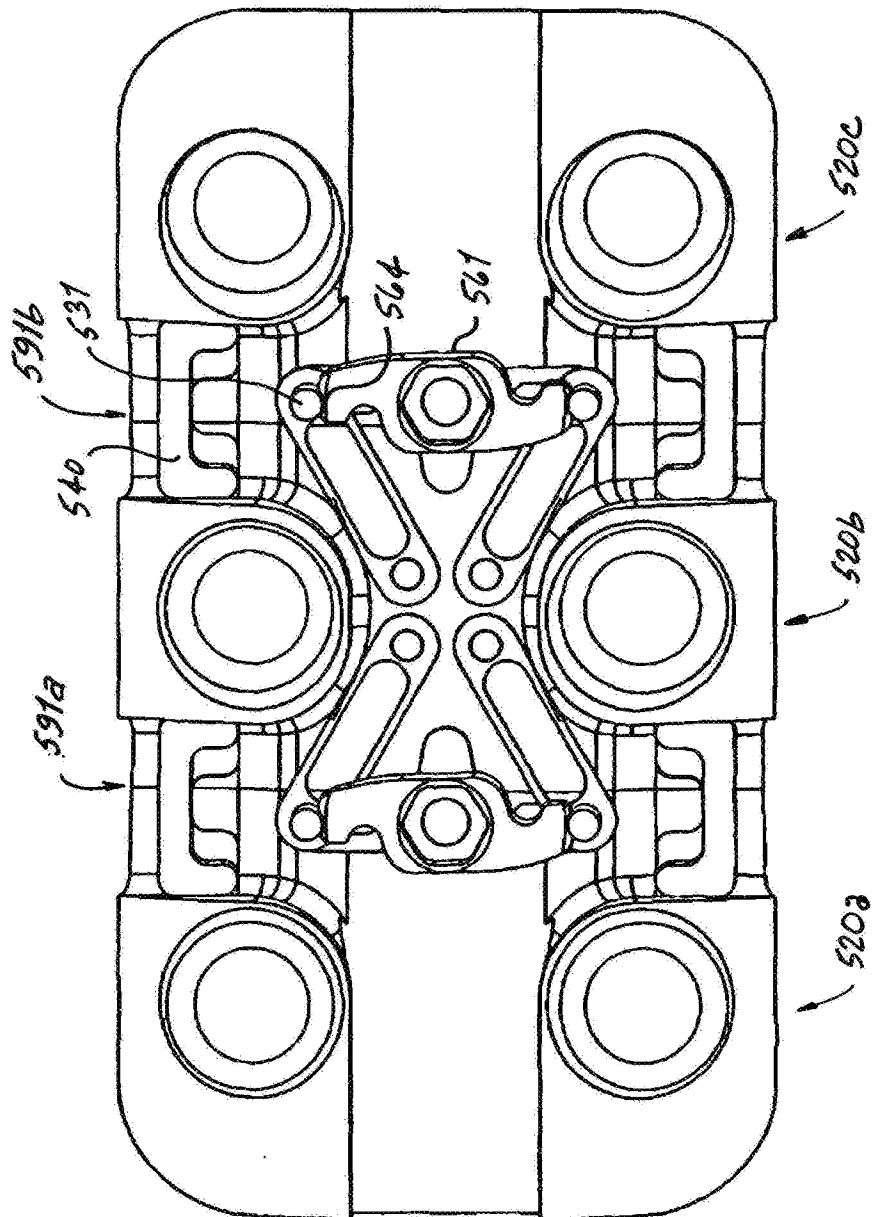


Fig. 39

INTERNATIONAL SEARCH REPORT

International application No
PCT/US2010/027368

A. CLASSIFICATION OF SUBJECT MATTER
INV. A61B17/70 A61B17/80
ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EP0-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2005/043732 A1 (DALTON BRIAN E [US]) 24 February 2005 (2005-02-24)	1-3,6-10
Y	page 1, paragraph 4 page 2, paragraph 16 - page 3, paragraph 20 page 3, paragraph 46 - page 6, paragraph 64 figures 1,2,10,17,18,20,21	4,11-13
X	WO 2007/117571 A2 (LOTUS MEDICAL LLC [US]; PARTIN JASON IAN [US]) 18 October 2007 (2007-10-18)	1-3
A	page 5, paragraph 36 page 12, paragraph 58 - page 14, paragraph 62 figures 8A-8D	11,12
	----- -/--	

☒ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

* Special categories of cited documents :

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"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

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Date of the actual completion of the international search

16 June 2010

Date of mailing of the international search report

25/06/2010

Name and mailing address of the ISA/

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
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Fax: (+31-70) 340-3016

Authorized officer

Kakoullis, Marios

INTERNATIONAL SEARCH REPORT

International application No
PCT/US2010/027368

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X A	DE 34 12 769 A1 (AESCULAP WERKE AG [DE]) 31 October 1985 (1985-10-31) page 6, paragraph 1 - page 7, paragraph 2 page 17, paragraph 2 - page 19, paragraph 2 figures 9,10,15	1-3,6 11
Y A	US 2003/225409 A1 (FREID JAMES M [US] ET AL) 4 December 2003 (2003-12-04) page 9, paragraph 111 figure 23	4,11-13 1

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US2010/027368

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 14-20
because they relate to subject matter not required to be searched by this Authority, namely:
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2010/027368

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 2005043732	A1	24-02-2005	EP 1656074 A2	17-05-2006
			WO 2005018419 A2	03-03-2005
WO 2007117571	A2	18-10-2007	US 2007270855 A1	22-11-2007
DE 3412769	A1	31-10-1985	NONE	
US 2003225409	A1	04-12-2003	NONE	