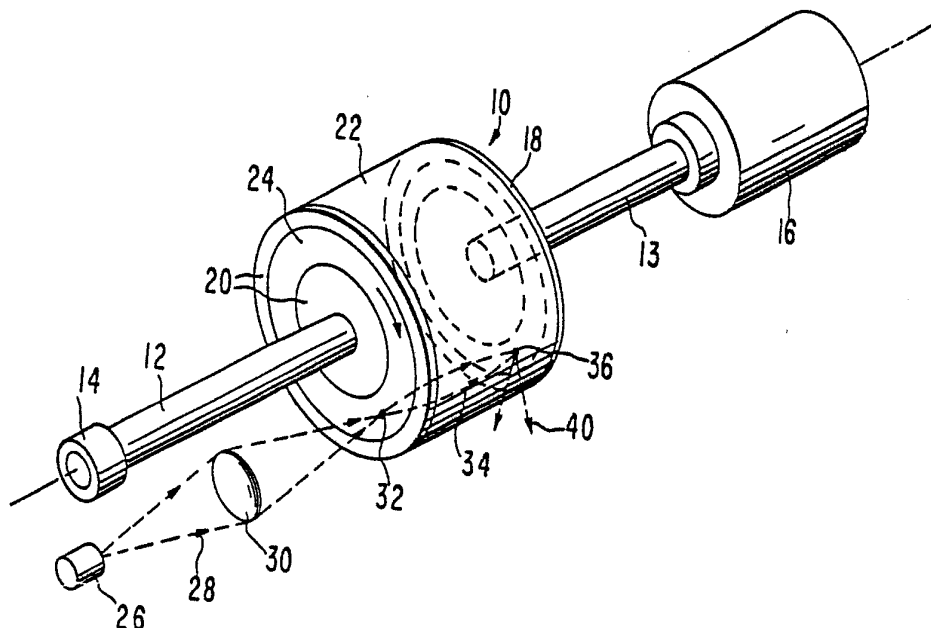




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(54) Title: PHOTOELECTRIC X-RAY TUBE



(57) Abstract

The average power capacity of a rotating-anode X-ray generator tube is limited by the slow radiation cooling of the anode. The invention removes this limitation by rotating the entire vacuum envelope (22') so the heat can be conducted directly to the air or to a circulating liquid. The cathode (33) and anode (36') are made as figures of revolution about the axis. A stationary source of X-rays is produced by focusing a stationary spot of light (32') onto a rotating photocathode (33). The photoelectrons (34') are drawn off and focused onto a stationary spot on the rotating anode, to provide a stationary source of X-rays (40').

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Photoelectric X-Ray TubeField of the Invention

5 The invention pertains to rotating-target X-ray tubes for generating high-power, pulsed and continuous fluxes of X-rays.

Prior Art

10 The classic X-ray tubes have a thermionic cathode at one end and a fixed metallic anode at the other. Their power capacity is limited by the conductive cooling of the anode target by the electron beam which, must be tightly focused to
15 provide a high-definition image.

A later advance was the rotating-target tube in which the target is the surface of a metal disc spinning rapidly on bearings inside the vacuum envelope and driven by the rotor of an electric
20 induction motor whose stator is outside the envelope. The rotating anode spreads the heat over an annular area of the target and provides much higher power for a short operating time, as in medical radiography. The ultimate cooling of the
25 anode is mostly by thermal radiation in the high vacuum, so these tubes are inadequate for high-duty or CW operation. One has to wait for the massive anode to slowly cool.

U.S. Patent Application No. 683,988 filed
30 December 12, 1984 by the inventor of the present invention describes methods by which the rotating anode is made part of the vacuum envelope while the cathode is operationally fixed in space. One method is to have the rotating thermionic cathode emit
35 along the axis of rotation and the electron beam is

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then deflected by a stationary magnetic field to a stationary spot on the rotating anode. In another variation, the cathode is held stationary off-axis by hanging on bearings from the rotating envelope and being held stationary by a magnetic or
5 gravitational field.

Summary of the Invention

A purpose of the invention is to provide
10 an X-ray tube capable of generating a high-power flux of radiation with a high duty cycle or CW operation, as desired for medical radiology or X-ray photolithography.

These purposes are fulfilled by having the
15 whole vacuum envelope rotate with the anode. The anode being part of the vacuum envelope, it can be cooled from outside by liquid or air. The cathode also rotates. It is an axially symmetric band of photocathode surface which is illuminated by a
20 focused, stationary spot of light entering the envelope through an axially symmetric, transparent window part of the vacuum envelope. Photoelectrons from the cathode are focused, as by a stationary magnetic field, onto a small stationary spot on the
25 anode.

Brief Description of the Drawings

FIG. 1 is an isometric sketch of an embodiment of the invention.

30 FIG. 2 is an axial section of part of a different embodiment.

FIG. 3 is an axial section of an alternative construction of the rotor.

35 FIG. 4 is an end view of an alternative arrangement of the fins of FIG. 3.

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Description of the Preferred Embodiments

FIG. 1 shows a mechanically simple embodiment of the invention. An axially symmetric rotor 10 constitutes the vacuum envelope and also the electrodes of the tube. It is connected to opposed axial shafts 12,13 which constitute the high-voltage connections to the tube. Shafts 12,13 are rotatable on one or more bearings 14 and driven by a motor 16. Rotor 10 comprises two end-plates 18,20 joined by a hollow cylindrical section 22 of the vacuum envelope. An annular section 24 of end-plate 20 is of optically transparent material such as glass or sapphire hermetically sealed to the adjacent metal parts. An external stationary light source 26 emits a beam of electromagnetic radiation 28, such as visible light. Light 28 is focused by a stationary condensing lens 30 onto a stationary region 32 on a rotating photocathode which is held on the vacuum, inner side of window 24. Photoelectrons 34 emitted from cathode region 32 are drawn off by high positive voltage on the anode, which in this embodiment is the metallic end-plate 18 of rotor 10. Electrons 34 are focused, as by a stationary, generally axial magnetic field (not shown) onto a stationary anode spot 36 on rotating plate 18. Alternatively, electrostatic or proximity focusing may be used. X-rays 40 emitted from spot 36 pass out through a vacuum window, which in this embodiment is a band on cylindrical rotor element 22. Cylinder 22 may also be the high-voltage insulator between cathode end-plate 20 and anode end-plate 18. It would typically be of high-alumina ceramic which has good X-ray transmissivity. Heat from anode plate 18 is carried off by the surrounding air. Alternatively, liquid coolant may be circulated through channels in shaft 13, requiring only a liquid-tight rotating seal instead of a vacuum-tight one.

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FIG. 2 illustrates a slightly different embodiment. The photocathode surface 33 is formed as an annular ring on end-plate 18' which is the cathode electrode in this embodiment. Light 28' is focused with the help of a mirror 42 onto a stationary region 32' on photocathode 33. Electrons 34' are drawn back to end-plate 20' which is now the anode electrode. They are focused onto a stationary spot 36' on a rotating conical anode surface 38 which is slanted as well known in the art for maximum radiated flux in the desired direction. The electrons are focused by an essentially axial magnetic field produced by an external coil 44, as known in the art of electron optics. Alternatively, electrostatic or proximity focusing may be used.

FIG. 3 is a section of an alternative rotor with different constructional features. Window 24", as of glass, is sealed between coaxial, axially extending flanges 46,47 of metal adapted for sealing to glass, such as certain iron-nickel-cobalt alloys sold under trademarks such as "Kovar". High-voltage insulating cylinder 22" is sealed by brazing its metalized ends to the ends of thin, axial, metallic flanges 48 on the end-plates 18'',20''. This accommodates differences in thermal expansion. To provide enhanced fluid-cooling, metallic protruberances 50 are thermally bonded to anode end-plate 20. Protuberances 50 may be coaxial cylindrical fins. Alternatively they may be peripherally separated to enhance fluid turbulence as they rotate.

As shown in FIG. 4 the protuberances may be shaped in the form of spiral fins 50' that simultaneously provide increased thermal contact between the fluid and the x-ray anode and also provide pumping action to the adjacent fluid to continuously bring fresh cool fluid into the region of thermal contact.

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In the next section, calculations will be given as to possible operating parameters of the inventive tube. For a medical X-ray system such as a CAT scanner, one could use an electron current of 200 ma at 100 KV. The power in the light flux would have to be

$$P_{\text{light}} = \frac{I h \nu}{e} \quad \text{watts}$$

10 where

I is the photoemitted current, 0.2 amperes

h is Plancks' constant, 6.6×10^{-34} joules/second

ν is the light frequency, equal to C/λ

where

15 C is the velocity of light, 3×10^8 meters/second

λ is the wavelength, taken as 0.5 micron

then

$$\nu = 3 \times 10^8 / 0.5 \times 10^{-6} = 6 \times 10^{14} \text{ cycles/second}$$

$$h = 4.0 \times 10^{-19} \text{ joules/photon}$$

20 η is the photoelectric particle efficiency in electrons per photon, typically about 0.4 in a high-efficiency photocathode

e is the electron charge in coulombs, 1.6×10^{-19}

Putting these values into the above equation

25

$$P_{\text{light}} = 1.25 \text{ watts}$$

Xenon arc lamps have power efficiencies from 25 to 40%, with about 10% of the radiation having a wavelength below 0.7 microns and thus effective for photoemission (Reference "Solid State Laser Engineering" by W. Koechner, Springer-Verlag, N.Y. 1976). Thus we can assume an overall power efficiency $\eta_p = 0.03$.

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The geometric efficiency η_s of collecting the light is given by

$$\eta_s = (\text{area of lens}) \div 4 \pi R^2,$$

where R is the distance from lamp to lens,
for a 1.5 inch, 35 mm focal length lens, (f 1.0)
lens radius a = 3.5 cm, R = 5 cm

$$\eta_s = \frac{\pi a^2}{4 \pi R^2} = \frac{3.5^2}{4 \times 5^2} = 0.12$$

The total lamp input electric power is than
 $P_e = P_{\text{light}} (\eta_s \eta_p) = 1.25 / 0.03 \times 0.12 = 350$ watts.
A commercially available 500W Xenon lamp would be adequate.

The above calculations show that the inventive tube using a photocathode is possible using known techniques and materials. To keep the photocathode active will require a very high vacuum. However, the absence of both a hot cathode and moving bearings in the vacuum envelope remove two possible sources of gas and permits an excellent vacuum.

It will be recognized by those skilled in the art that many different embodiments may occur within the scope of the invention. For example, the window and/or one of the electrodes may be on an axially-extending surface of the vacuum chamber instead of on a flat end. Also, the transparent window may be part or all of the insulating portion of the vacuum envelope. It is only necessary that the axial symmetry be maintained. The invention is to be limited only by the following claims and their legal equivalents.

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Claims

I claim:

- 5 1. An X-ray generating source comprising:
a vacuum envelope structure, rotatable about
an axis, comprising; an axially symmetric metallic
anode surface part of said envelope structure, an
axially symmetric photocathode part of said envelope
10 structure spaced from and facing said anode surface,
an optically transparent, axially symmetric window
part of said vacuum envelope located so that light
from outside said envelope structure may strike
said cathode, an axially symmetric insulating
15 portion of said vacuum envelope between said anode
surface and said photocathode;
means for cooling said anode surface from
outside said vacuum envelope;
means for rotating said envelope structure
20 about said axis comprising at least one axial
bearing;
means for focusing a beam of light from a
stationary source outside said envelope through
said window onto a small stationary region on said
25 photocathode; and
means for focusing a beam of photoelectrons
from said cathode region onto a small stationary
spot on said anode surface.
- 30 2. The tube of claim 1 wherein said transparent
part is also part of said insulating portion.
- 35 3. The tube of claim 1 wherein said means for
focusing photoelectrons comprises means for supplying
a stationary magnetic field between said cathode spot
and said anode spot.

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4. The tube of claim 1 wherein said means for focusing photoelectrons comprises electrostatic means.

5. The tube of claim 1 wherein said photocathode surface is deposited on the vacuum surface of said transparent portion.

6. The tube of claim 1 wherein said vacuum envelope is generally a closed cylinder about said axis.

7. The tube of claim 6 wherein said cathode surface is on one closed end of said cylinder and said anode surface is on the opposed closed end of said cylinder.

8. The tube of claim 1 wherein cooling of said anode structure includes metallic protuberances that are thermally bonded to the anode structure and extend external to the vacuum region to provide increased thermal contact to an external cooling fluid.

9. The tube of claim 7 wherein said metallic protuberances are in the shape of spiral fins.

AMENDED CLAIMS

[received by the International Bureau on 26 May 1987 (26.05.87);
original claims 1-9 amended, claims 10 and 11 new (2 pages)]

1. An X-ray generating device comprising:
 - a vacuum envelope, rotatable about an axis,
5 comprising: a metallic anode symmetric with respect
to said axis, a photocathode symmetric with respect
to said axis spaced from and facing said anode, a
window of optically transparent material located
10 in said vacuum envelope so that light from outside
said vacuum envelope may strike said photocathode,
and an insulator being a portion of said vacuum
envelope between said anode and said photocathode;
means for cooling said anode from outside said
vacuum envelope;
 - 15 means for rotating said vacuum envelope about
said axis comprising at least one axial bearing;
 - means for focusing a beam of light from a
stationary source outside said vacuum envelope
through said window onto a first stationary region
20 through which said photocathode is rotatable; and
means for focusing a beam of photoelectrons
from said first stationary region onto a second
stationary region through which a surface of said
anode is rotatable.
- 25 2. The device of claim 1 wherein said window of
optically transparent material is also part of said
insulator.
- 30 3. The device of claim 1 wherein said means for
focusing said beam of photoelectrons comprises means
for supplying a stationary magnetic field between
said first stationary region and said second
stationary region.

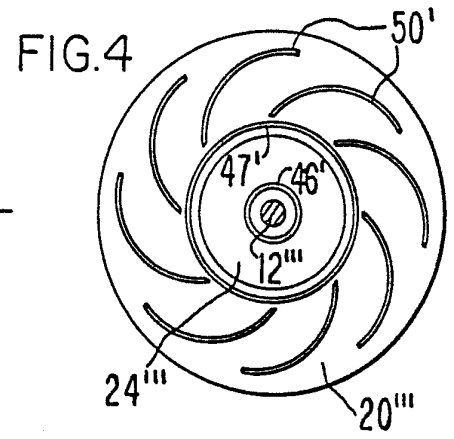
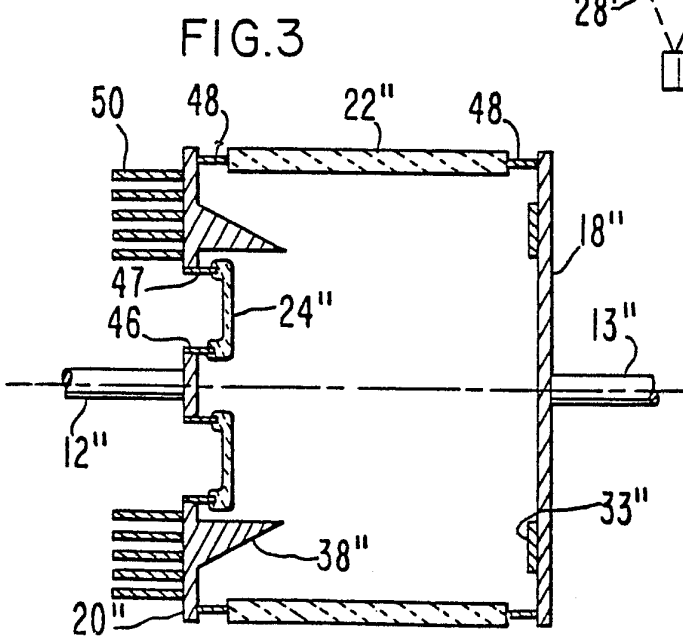
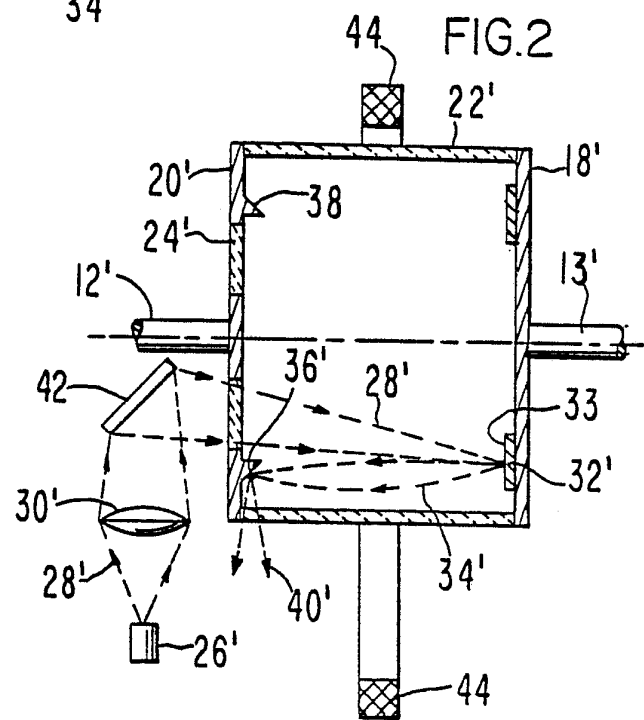
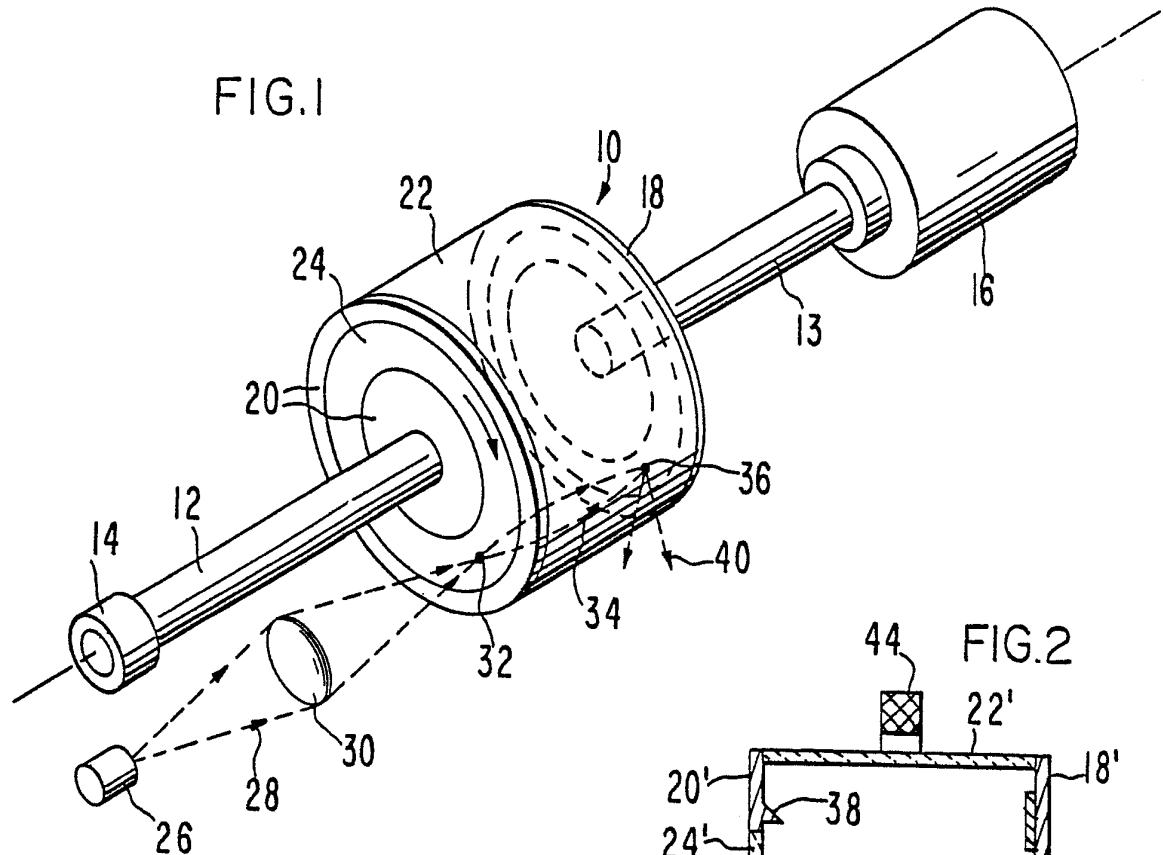
4. The device of claim 1 wherein said means for focusing photoelectrons comprises electrostatic means.
5. The device of claim 1 wherein said photocathode is deposited on the inner surface of said window.
6. The device of claim 1 wherein said vacuum envelope is generally a closed cylinder about said axis.
7. The device of claim 6 wherein said photocathode is on one closed end of said cylinder and said anode is on the opposed closed end of said cylinder.
8. The device of claim 1 wherein said means for cooling of said anode includes metallic protuberances that are thermally bonded to said anode and extend on the external side of said vacuum envelope to provide increased thermal contact to an external cooling fluid.
9. The device of claim 8 wherein said metallic protuberances are in the shape of spiral fins.
10. The device of claim 1 wherein said photocathode comprises an annular region.
11. The device of claim 1 wherein said anode comprises an annular region.

STATEMENT UNDER ARTICLE 19

The amendments to claims 1 through 9 provide proper antecedents for all terms in the claims and are believed to clarify the statement of applicant's invention. No new matter is introduced.

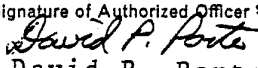
New claim 10 is supported in the specification on page 4, lines 2-4, wherein it is stated "The photocathode surface is formed as an annular ring on end-plate 18' which is the cathode electrode in this embodiment."

New claim 11 is supported by FIG. 1.



INTERNATIONAL SEARCH REPORT

International Application No PCT/US87/00418

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) ³		
According to International Patent Classification (IPC) or to both National Classification and IPC		
IPC(4): H01j 37/10		
US Cl. 378/136		
II. FIELDS SEARCHED		
Minimum Documentation Searched ⁴		
Classification System	Classification Symbols	
US	378/121, 125, 127, 136, 141, 144	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched ⁵		
III. DOCUMENTS CONSIDERED TO BE RELEVANT ¹⁴		
Category *	Citation of Document, ¹⁶ with indication, where appropriate, of the relevant passages ¹⁷	Relevant to Claim No. ¹⁸
Y	US,A, 2,900,543 (HEUSE) 18 August 1959 See columns 3 and 4.	1-9
Y	US,A, 4,606,061 (RAMAMURTI) 12 August 1986 See column 2, line 67 to column 3 line 32.	1-9
Y	US,A, 4,165,472 (WITTRY) 21 August 1979 See column 6, lines 1-5.	8-9
A	US,A, 2,111,412 (UNGELENK) 15 March 1938	1-9
<p>* Special categories of cited documents: ¹⁵</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&" document member of the same patent family</p>		
IV. CERTIFICATION		
Date of the Actual Completion of the International Search ²	Date of Mailing of this International Search Report ³	
7 April 1987	24 APR 1987	
International Searching Authority ¹	Signature of Authorized Officer ²⁰	
ISA/US	 David P. Porta/wb	