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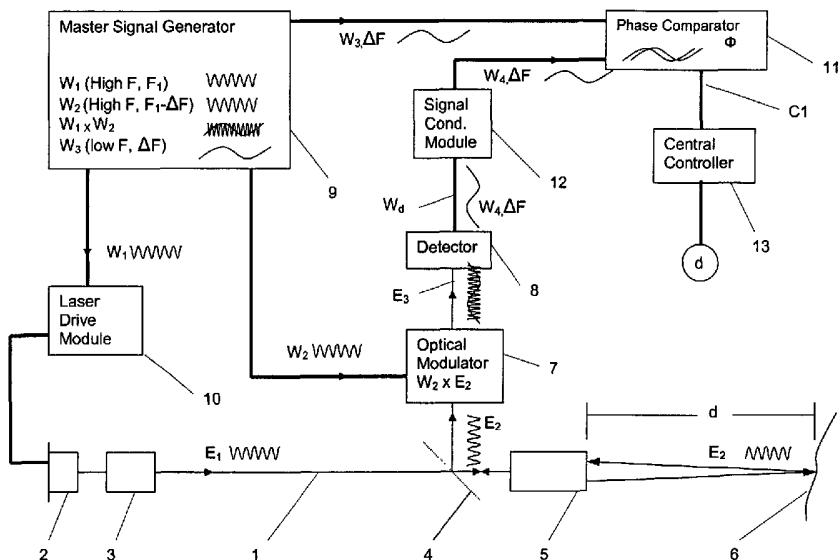
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(54) Title: OPTICAL SENSOR FOR DISTANCE MEASUREMENT



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(57) Abstract: Apparatus and method are provided for distance measurement to a remote surface using high frequency modulated transmitted and reflected laser beams and phase-shift calculations. To improve phase-shift resolution, the reflected beam is further modulated, before detection, at a high frequency similar yet different from that of the transmitted beam so as create a resulting detector signal having at least a lower frequency signal which is easily detected by a response limited detector. The lower frequency signal retains the phase-shift information and thus enables determination of the phase-shift information with stable, inexpensive low-frequency optical detectors. Three-dimensional mapping can be performed wherein one or more apparatus employ a plurality of detectors or a scanner producing a plurality of sequential reflected beams, each of which results in a plurality of phase-shift information for an area on the surface.



For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

1 **“OPTICAL SENSOR FOR DISTANCE MEASUREMENT”**

2

3 FIELD OF THE INVENTION

4 This invention relates to optical devices for the measurement of
5 distance. In particular, the invention is related to devices wherein an amplitude-
6 modulated beam of light is reflected from a remote surface which may be
7 optically rough, and the phase difference between the transmitted beam and the
8 received reflected beam is used to determine the distance to the remote surface.

9

10 BACKGROUND OF THE INVENTION

11 Existing implementations of the amplitude-modulated, phase-shift
12 measurement technique are capable of very high accuracy when the remote
13 surface is a cooperative target such as a retro-reflective prism. Under these
14 conditions, the reflected beam is of relatively high power when it is received at
15 the instrument, enabling photoelectric detection of even very high frequency
16 modulation to be achieved with a high signal to noise ratio. Under such ideal
17 conditions, at higher modulation frequencies, the distance over which the phase
18 difference between the transmitted and reflected beams cycles through 360
19 degrees becomes shorter and the greater is the resulting accuracy of distance
20 measurement for a given accuracy of comparative phase measurement.

21 Simply, an intensity-modulated beam is transmitted from a
22 measuring station, is reflected from a remote surface, and the reflected beam is
23 received back at the measuring station. The phase difference between the
24 transmitted beam and the reflected beam is used to determine the distance from
25 the measuring station to the remote surface. The phase-shift Φ or phase

1 difference in degrees is equal to $360(2d/\lambda)$ and in radians is equal to $2\pi(2d/\lambda)$,
2 where d is the distance to be measured and λ is the wavelength associated with
3 the intensity modulation envelope ($\lambda = c/F$, where c is the velocity of light and F is
4 the modulation frequency). As a simple example, for $F=25\times 10^6$ Hz,
5 $c=300\times 10^6$ m/s and $d=1.5$ m the resulting phase-shift Φ is 90 degrees or $\pi/2$. As
6 is well understood, if $d > \lambda/2$, the phase difference exceeds 2π radians, leading to
7 ambiguities in the inferred distance which may be resolved by changing the value
8 of F and repeating the measurement. $\lambda/2$ is called the ambiguity interval.

9 However, when measurements are made on an optically rough
10 surface, the received reflected beam is of low power, particularly if the power of
11 the transmitted beam is limited to prevent any significant risk of damage to the
12 eyes of an operator. Available optical detectors are incapable of measuring low
13 power beams at high frequencies. Accordingly, under low power conditions, the
14 characteristics of the available optical detectors limit the maximum practical
15 modulation frequency, the maximum achievable signal to noise ratio and,
16 consequently, adversely affect the accuracy of distance measurement that can
17 be achieved. Conventional rough surface measurement systems based on
18 modulation phase measurement have achieved ranging accuracies varying
19 between 0.1 mm and several mm, using a measuring beam power typically in the
20 region of 30-50 mW in conjunction with modulation frequencies from around
21 10 MHz to over 700 MHz. Note that maximum power output allowed for Class IIIA
22 laser products is 5 mW.

23 Prior art implementations of intensity-modulated, phase-shift
24 measurement techniques have used fast response optical detectors to sense the
25 high frequency modulation of the received reflected beam. Electronic mixing

1 techniques have then been applied to the high frequency electronic output from
2 the detector so as to generate low frequency signals preserving the important
3 phase information. However, if the optical detector is not capable of resolving the
4 information from the beam, then the output will have a low signal to noise ratio.

5 There is a need for a system which circumvents the limitations of
6 the available optical detectors so as to respond to the reality of low power
7 reflected beams.

8

1

SUMMARY OF THE INVENTION

2 Accordingly, in a preferred aspect of the invention, apparatus and
3 method are provided for distance measurement to a remote surface using a laser
4 beam and phase-shift calculations without encountering frequency response,
5 signal to noise ratio, or bandwidth response limitations associated with fast
6 optical detectors. Before detection, reflected radiation from the surface is further
7 modulated to create a radiation signal having a lower frequency than that
8 characteristic of the transmitted and reflected radiation. The lower frequency
9 radiation signal retains the phase-shift information and thus enables
10 implementation of stable, inexpensive low-frequency optical detectors. An optical
11 modulator is employed to modify the received radiation prior to detection so as to
12 create an additional, low frequency component of optical amplitude modulation
13 that preserves the phase-shift associated with the reflected beam. Thus, the
14 resulting phase-shift can be measured with a precision possible within low
15 frequency waveforms and approaching fundamental physical limits of available
16 optical detectors while still retaining the high modulation frequency for the
17 measuring beam which maximizes the achievable accuracy of distance
18 measurement.

19 Using a laser measuring beam, a first waveform at a high frequency
20 is applied to the transmitted beam directed at the remote surface. Before
21 receiving a reflected beam at a detector, an additional optical modulator is
22 employed to apply a second waveform at a high but different frequency to the
23 reflected beam. The further modulation of the reflected beam creates an
24 additional low frequency component of optical amplitude modulation at the
25 difference between the first and second frequencies. The low frequency

1 component preserves the phase-shift associated with the reflected beam and can
2 be compared with a corresponding reference phase of the measurement beam.
3 In this way, mixing of the information signal down to a convenient low frequency
4 is achieved optically and prior to detection. As a result, limitations on frequency
5 response, limitations on achievable signal to noise ratio, and limitations on
6 response bandwidth associated with optical detectors may be circumvented,
7 enabling measurement of the phase-shift to an accuracy more closely
8 approaching fundamental physical limits. The advantage gained by mixing down
9 to a lower frequency range is that a greater accuracy of phase measurement is
10 possible. The key inventive step of the present invention is the incorporation of
11 means to modulate the electromagnetic or optical signal, rather than an electronic
12 signal, mixing down to a low frequency range prior to detection. The
13 performance-limiting characteristics of such detectors may then be circumvented,
14 resulting in improved overall accuracy of distance measurement when the
15 received reflected beam is of low power.

16 Accordingly, apparatus is provided for determining phase-shift
17 between a first second electromagnetic radiation signal which is reflected from a
18 surface as a second electromagnetic radiation signal, comprising a first
19 modulator for modulating the first electromagnetic radiation signal by a first
20 waveform signal having a first frequency; a second modulator for multiplying the
21 first waveform signal by a second waveform signal modulated at a second
22 frequency, the second frequency being different from the first frequency, for
23 establishing a third waveform signal of known phase and having a third frequency
24 equal to the difference of the first and second frequencies; a third modulator for
25 modulating the second electromagnetic radiation signal by the second waveform

1 signal to form a third electromagnetic radiation signal; an electromagnetic
2 radiation detector for receiving the third electromagnetic radiation signal and for
3 establishing a detector output waveform signal having a shifted phase at the third
4 frequency; and a comparator for comparing the known phase of the third
5 waveform signal and the shifted phase for establishing a phase-shift between the
6 first and second electromagnetic radiation signals. Preferably, a controller
7 performs calculations for determining a distance traversed by the first and second
8 electromagnetic radiation signals from the phase-shift. Preferably, the detector is
9 an array of detectors for receiving reflected beams from an area of the surface
10 determining phase-shift and distances across this limited spatial area of the
11 surface. Further, scanning permits sequential determination of phase-shift along
12 a path and ultimately an area of the remote surface 6. Multiple instruments
13 implementing the invention can obtain two and three-dimensions mapping of
14 surfaces.

15 The above apparatus enables practice of a novel method of
16 determining phase-shift between the first and second electromagnetic radiation
17 signals and the traversed distances to a remote surface comprising the steps of:
18 multiplying the first waveform signal by the second waveform signal modulated at
19 the second frequency for establishing a third waveform signal of known phase
20 and third frequency equal to the difference of the first and second frequencies;
21 modulating the second electromagnetic radiation signal by the second waveform
22 signal to form a third electromagnetic radiation signal; receiving the third
23 electromagnetic radiation signal at the detector for establishing a detector output
24 waveform signal having a shifted phase at the third frequency; and comparing the
25 known phase and the shifted phase for establishing a phase-shift between the

1 first and second electromagnetic radiation signals, the phase-shift being related
2 to the distance to the remote surface. Preferably, high frequency first and second
3 electromagnetic radiation signals are modulated by a second waveform signal
4 having a high second frequency which is substantially equal to the first frequency
5 so that the resulting third frequency is a low frequency which is easily and
6 accurately detected.

7

1 BRIEF DESCRIPTION OF THE DRAWINGS2 Figure 1 is a schematic block diagram showing the disposition of
3 key components required to implement the invention;4 Figure 2 shows an arrangement of optical components to optimize
5 the measurement accuracy of the invention;6 Figure 3 is a schematic illustrating a the apparatus of a first crude
7 theoretical example of the invention;8 Figure 4 is a graph according to the first example of Fig. 3,
9 illustrating the phase-shifted detector responses of the transmitted and reflected
10 beams E1,E2;11 Figure 5 is a graph according to the first example of Fig. 3,
12 illustrating the separate waveform signals of the reflected beam E2 and the
13 modulating second waveform signal W2;14 Figure 6 is a graph according to the first example of Fig. 3,
15 illustrating the electro-optical multiplication or modulation of signals $E2 \times W2$ of
16 the respective waveform signals of the reflected beam E2 and the modulating
17 waveform signal W2;18 Figure 7 is a graph according to the first example of Fig. 3,
19 illustrating the low frequency component W4 of the signal E3 of Fig. 6;20 Figure 8 is a graph according to the first example of Fig. 3,
21 illustrating the high frequency component S6 of the signal E3 of Fig. 6; and22 Figure 9 is a schematic of a second example of an actual
23 experiment proving the phase-shift sensitivity of the present invention using a 1
24 GHz measuring beam.

25

1 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

2 In Fig. 1, in one embodiment of the invention, a laser, such as an
3 inexpensive laser diode 2, emits electromagnetic radiation as a first
4 electromagnetic radiation signal or beam E1. The laser itself or the beam E1 is
5 modulated and directed through beam-shaping optics 3 and traverses a beam-
6 splitter 4 and through beam expansion and focusing optics 5 onto remote surface
7 6 spaced from the optics 5 by distance d. The surface 6 may be optically rough
8 which can result in a low power reflection of the beam E1. While the current
9 invention is particularly suited to improving signal to noise upon the refection, it is
10 clear that the apparatus and methods herein provide enhancement of the
11 measurement performance in substantially all cases.

12 At least a portion of the beam E1 is reflected back from the surface
13 6 as a second electromagnetic radiation signal or reflected beam E2 which
14 passes back through optics 5. At least a part of the reflected beam E2 is directed
15 by the beam splitter 4 to a modulator 7. For light transmission wavelengths, the
16 modulator may be an optical modulator. The modulator 7 modifies the reflected
17 beam E2 for creating a third electromagnetic radiation signal E3 which impinges
18 upon and is received by at least one electromagnetic radiation or optical detector
19 8.

20 A master signal generator 9 supplies electronic signals or waveform
21 signals having characteristics of phase and frequency. Note that herein, that
22 reference numerals prefaced by "W" generally represent waveforms provided as
23 electrical or electronic signals and those prefaced by "E" generally represent
24 waveforms which are present as electromagnetic radiation signals such as optical
25 beams.

1 The generator 9 generates at least three discrete waveform signals:
2 a first waveform signal W1 at a first frequency F_1 , a second waveform signal W2
3 at a second frequency F_2 , and third waveform signal W3 being one low frequency
4 component resulting from the multiplication of the first and second waveforms
5 $W_1 \cdot W_2$ (mixing) and having a third difference frequency ΔF . Herein, the
6 modification of an electromagnetic signal by an electronic signal is termed
7 modulation. Similarly, multiplication of one electrical waveform signal by another
8 electrical waveform signal is also deemed to be modulation as the context
9 suggests.

10 The second waveform signal W2 can have a second frequency F_2
11 of either $F_1 - \Delta F$ or $F_1 + \Delta F$. Herein, for consistency purposes (herein), this
12 differential signal or second waveform signal W2, is deemed to have a frequency
13 at $F_1 - \Delta F$. Waveform signals W1, W2 and W3, having respective frequencies F_1 ,
14 F_2 and ΔF are all phase-locked to a common reference frequency generator
15 having high accuracy and stability. The low frequency ΔF , third waveform signal
16 W3, having a known and reference phase, is fed as a reference waveform to an
17 electronic phase comparator 11.

18 The first waveform signal W1 is chosen with a high frequency F_1
19 which is used to modulate the transmitted beam E1 through a compatible laser
20 diode drive module 10. Alternately, for some instances, the beam E1 can be
21 continuous and instead be optically modulated by the waveform signal W1.

22 The reflected beam E2 characteristically retains the same first
23 frequency F_1 as the transmitted beam E1 but the reflected beam E2 has a
24 distance-dependent phase-shift ϕ from that of the transmitted beam 1 due to the
25 distance d traversed.

1 The second waveform signal W_2 , having a second frequency F_2 or
2 $F_1 - \Delta F$, drives the optical modulator 7 so as to modify through multiplication, or
3 mix down, the reflected beam E_2 which results in the third electromagnetic
4 radiation signal E_3 which contains both a low frequency component ΔF and a
5 high frequency component ($F_1 + F_2$).

6 The detector 8 receives the third electromagnetic radiation signal
7 E_3 and, subject to the detector's frequency response, produces an output signal
8 W_d containing at least a low frequency signal which is amplified and filtered in a
9 signal conditioning module 12 before being output as a fourth, low frequency
10 waveform signal W_4 . The fourth waveform signal W_4 has the same frequency
11 ΔF as the third waveform W_3 but also includes the phase-shift ϕ . This low
12 frequency, fourth waveform signal W_4 is also fed to the electronic phase
13 comparator 11 for subsequent phase-shift comparison with the reference phase
14 from the third waveform W_3 and determination of the value of the phase-shift ϕ .

15 An output signal C_1 from phase comparator 11 is sampled by a
16 control unit 13 having a microprocessor. Control unit 13 provides processing
17 capabilities for calculating the distance d to remote surface 6 from the phase
18 difference or phase-shift ϕ reported by the phase comparator 11, and further
19 provides centralized control of the various components including modulator 7,
20 master signal generator 9, laser diode drive module 10, phase comparator 11,
21 and the signal conditioning module 12.

22 More specifically, the transmitted beam E_1 is modulated by the first
23 waveform signal W_1 to have a form of $a + b \sin(2\pi F_1 t)$. As a result, the form of
24 the electromagnetic radiation signal of the reflected beam E_2 from the remote

1 surface 6 will be substantially of the form $a + b\sin(2\pi F_1 t - \phi)$, having the phase-
2 shift ϕ component included. In accordance with the present invention, the second
3 waveform signal W2 has the form $c + d\sin 2\pi F_2 t$.

4 Modulation of the reflected beam E2 by the second waveform signal
5 W2 results in the modified third electromagnetic radiation signal E3. The
6 modulation is a multiplication of the waveform of the reflected beam E2, having
7 the phase-shift ϕ component therein, and the second waveform W2 as follows:

8
$$[a + b\sin(2\pi F_1 t - \phi)] * [c + d\sin 2\pi F_2 t].$$

9 Expansion of the above expression yields four terms:

10
$$\underline{ac} + \underline{bcsin(2\pi F_1 t - \phi)} + \underline{adsin 2\pi F_2 t} + \underline{bdsin(2\pi F_1 t - \phi)sin 2\pi F_2}$$

11
$$\underline{t}.$$

12 The first and second frequencies F_1, F_2 typically range between
13 50MHz and in excess of 1 GHz and even as high as 2 GHz, while the difference
14 or third frequency ΔF might typically be between 500Hz and 100kHz.

15 The overall response cutoff frequency or response of the detector 8
16 and of the signal conditioning module 12 are tailored to provide a stable response
17 at ΔF , while remaining very much lower than F_1 ($\ll F_1$ and ΔF). Components of
18 the third electromagnetic radiation signal E3 which have frequencies in the range
19 of F_1 or F_2 are too high to generate a response from the detector 8. Hence, in the
20 expanded expression above, the second and third terms generate no response
21 and do not contribute to the output signal Wd. Further, the first term is a DC
22 component that may be removed by high pass filtering.

23 This leaves the fourth term which may be further expanded as:

24
$$\frac{bd}{2} [\cos(4\pi F_1 t + 2\pi (F_1 - F_2)t + \phi) - \cos(2\pi(F_1 - F_2)t - \phi)]$$

1 Again, the first term, having the predominant and high frequency of .
2 F_1 , is eliminated due to detector frequency limitations which leaves the last term
3 wherein the low frequency component or $F_1-F_2 = \Delta F$ remains as follows:

4
$$\frac{bd}{2} [\cos(2\pi\Delta F t - \phi)]$$

6 The above remaining term forms the fourth waveform signal W4
7 output from detector 7 and includes only a low frequency component at frequency
8 ΔF however it continues to retain the phase-shift ϕ characteristic of the reflected
9 beam E2.

10 As stated, the low frequency ΔF , fourth waveform signal W4 is fed
11 to the electronic phase comparator 11 for phase-shift comparison with the
12 reference phase from the third waveform W3 for establishing a value for the
13 phase-shift ϕ and determination of the distance d to the remote surface 6 such as
14 through solution of $\phi = 2\pi(2d/\lambda)$ where ϕ is in radians and upon resolution of
15 inferred distance ambiguities.

16 Measurements can be further improved using additional optics.
17 With reference to Fig. 2, an arrangement of optical components may be used in
18 the vicinity of beam-splitter 4 to minimize the degradation of measurement
19 accuracy caused by back reflections from internal optical surfaces, and by
20 collection of ambient light illuminating the remote surface 6. Accordingly, beam
21 E1 is expanded by lens 14 and collimated by under-filled lens 15 to form a
22 focused spot on remote surface 6 (not shown). If the surface 6 is optically rough,
23 the back-reflected radiation is scattered into a wide angle, ensuring that the
24 received radiation completely fills the aperture of lens 15. Under these
25 conditions, beam-splitter 4 may be replaced by aperture mirror 16 to minimize

1 internally generated scatter from the output beam. Spatial filter 17 limits the
2 collection of ambient light to that in the immediate vicinity of the focused spot on
3 the remote surface 6. A polarizing filter 18 may also be used to reduce the
4 intensity of stray reflections of a linearly polarized output beam E1 from internal
5 optical surfaces. If output beam E1 is not naturally linearly polarized to a high
6 degree, an additional polarizing filter 19 may be included to create a linearly
7 polarized beam. A quarter wave plate 20 may be used to rotate the plane of
8 polarization of the returning reflected beam E2 to ensure efficient transmission of
9 the beam E2 through polarizing filter 18. However, if the remote surface 6 is
10 optically rough, the reflected beam E2 will normally be de-polarized to the extent
11 that wave plate 20 serves no useful purpose. Narrow band optical filter 21 further
12 rejects ambient light by limiting the wavelength of collected radiation to a narrow
13 band centered on the wavelength of the laser diode source. Finally, lens 22, and
14 subsequent optical components, are chosen to achieve efficient transmission
15 through optical modulator 7.

16 Various modifications to the apparatus and methodology can be
17 made in additional embodiments. Optical modulator 7 may be an acousto-optic
18 or electro-optic device. Further, as with known implementations of amplitude-
19 modulated, phase measurement techniques for distance sensing, it is necessary
20 to use more than one modulation frequency F_1 on the transmitted beam E1 (or
21 some other coarse ranging method) to eliminate the uncertainty associated with
22 the range ambiguity interval $3 \times 10^8/(2F_1)$ meters. The master signal generator 9
23 may be designed to provide additional drive and reference frequencies as
24 required. The optical modulator 7 may also form part of an automatic control loop
25 to regulate the average power level of the beam E3 received by the detector 7.

1 However, it is then necessary to compensate for any amplitude-dependent
2 phase-shifts exhibited by the modulator in conjunction with the modulator driver.

3 Any source of electromagnetic radiation may be employed to
4 implement the invention, but a laser source provides the most intense output
5 beam. Of available laser sources, a laser diode emitting visible or near-infrared
6 radiation is the most appropriate since it is compact, electrically efficient, and
7 provides adequate beam power and beam quality. Use of superluminescent
8 diode sources may be preferred to minimize optical effects associated with laser
9 speckle. Further, laser diodes can be directly modulated at very high frequency.
10 If the source is not capable of being directly modulated, an additional optical
11 modulator may be incorporated between beam shaping optics 3 and beam-
12 splitter 4. Electromagnetic radiation wavelengths may range from 200nm to
13 50 μ m, but telecom wavelengths (1300-1550nm) are particularly useful as
14 inexpensive emitting hardware is commercially available.

15 The detector 8 may be optimized to suit the particular
16 electromagnetic radiation signal and the detection difference frequency ΔF . For
17 visible or near infrared radiation a small area silicon or indium gallium arsenide
18 diode coupled to a low-noise transimpedance amplifier is a suitable choice.

19 For developing a two or three dimensional map of the remote
20 surface, a plurality of distance measurements can be obtained using one or more
21 instruments implementing the present invention and wherein the one or more
22 instruments implement optical modulation of a plurality of reflected beams. For
23 obtaining a plurality of reflected beams E2, and the phase-shifts associated
24 therewith, either the detector 8 is provided with some spatial capability for
25 receiving a plurality of modulated electromagnetic signals E3 or a spatially limited

1 detector is provided with a plurality of sequential signals E3. In the former case,
2 a modulator 7 of sufficient aperture or a plurality of modulators modify a plurality
3 of reflected beams E2 and impinge the modified beams E3 onto an array
4 detector. Accordingly, distance measurements may be made over an area or an
5 array of locations at the remote surface for which plurality of detector output
6 waveform signals correspond. Alternatively, the measuring beam E1 may be
7 directed along a path across remote surface 6 by means of an optical scanning
8 mechanism located between focusing optics 5 and the remote surface 6. Such a
9 device capable of such precision targeting are direct driven, gimbaled or fast
10 steering mirrors positioned between the optics and the surface. A plurality of
11 reflected beams E2 are processed by the detector 7, preferably sequentially, for
12 sequential determination of each phase-shift ϕ and the traversed distance d at
13 each location on the surface.

14

15 **Example 1**

16 With reference to Figs. 3 – 8, a simplified practical theoretical
17 example is provided in which a transmitted beam having waveform E1 at a
18 frequency F_1 of 1 GHz, is directed at a remote surface 6. A reflected beam E2,
19 retaining frequency F_1 , is modulated using a modulating waveform W3, at
20 frequency F_2 , for creating a mixed electromagnetic radiation signal E3 before
21 being received at the detector 7. The frequency F_2 of the modulating beam W3
22 was chosen to result in a difference frequency ΔF which more practically and
23 graphically illustrates the resulting phase-shift ϕ . Basically

24 $E1 = \text{Sin}(2\pi F_1 t)$

25 where $F_1 = 1$ GHz, no DC offset; and

1 $E2 = \sin(2\pi F_1 t - \phi)$

2 where $E2$ is phase-shifted $E1$ due to round trip $2d$;

3 $\phi = 4\pi F_1 d/c$, $c = 3 \times 10^8 \text{ ms}^{-1}$; and

4 $d = (37.5 + n \cdot 150) \text{ mm}$ yields $\phi = \pi/2$ radians

5 The transmitted and reflected waveforms $E1, E2$ are shown in Fig.

6 3.

7 According to the present invention, the modulating waveform $W3$ at
 8 frequency F_2 is applied to the reflected waveform $E2$. In an actual application
 9 applied for high accuracy, a modulating frequency F_2 would be selected which is
 10 very nearly the same as the transmitted frequency F_1 , such as $F_2 =$
 11 0.999999GHz, shifted from F_1 only by a ΔF of 1kHz. However, the resulting
 12 relative frequency $(F_1 - F_2)/F_1 = 10^{-6}$ is difficult to depict graphically.

13 Accordingly, with reference to Fig. 4, and (example purposes) so as
 14 to visualize the key aspects of result of the method of the invention, consider
 15 instead the case when $F_1 = 1 \text{ GHz}$ and $F_2 = 0.95 \text{ GHz}$.

16 $W2 = \sin(2\pi F_2 t)$

17 $F_2 = 0.95 \text{ GHz}$, no DC offset

18 F_2 shifted from F_1 by 0.05 GHz

19 Multiplying the reflected signal $E2$ by the modulating third waveform
 20 $E2, W3$ results in an output signal $E4$ as follows:

21 $E4 = E2 \cdot W2 = \sin(2\pi F_1 t - \phi) \cdot \sin(2\pi F_2 t)$

22 $= 0.5 \cos\{2\pi(F_1 - F_2)t - \phi\} - 0.5 \cos\{2\pi(F_1 + F_2)t - \phi\}$

23 $= 0.5 \cos(2\pi \cdot 10^3 t - \phi) - 0.5 \cos(2\pi \cdot 1.999999 \cdot 10^9 t - \phi)$

24 $= W4 - W6$

6 Therefore with $F_1 = 1$ GHz and $F_2 = 0.95$ GHz, and a value of $\pi/2$
 7 radians for the distance-related phase-shift ϕ , we have $E_2 = \sin(2\pi \cdot 10^9 t - \pi/2)$,
 8 and $E_3 = \sin(2\pi \cdot 0.95 \cdot 10^9 t)$.

9 Turning to Figs. 5-7, waveforms E3, W4 and W6 result.
10 Transmitted and received waveforms E1,E2 are at an accurate, high frequency F.
11 The modulating waveform is also at a high frequency but at a slightly different
12 frequency, shown herein as slightly slower. As a result of combining the
13 waveforms E2 and W2, the waveform W4 results which is the difference of the
14 two waveforms exhibiting the same phase-shift ϕ .

15 Waveform W4, is a cosine wave preserving the $\pi/2$ phase-shift, and
16 is advantageously at a measurable and low frequency difference of F_1-F_2 , while
17 W6 is at a high frequency sum of F_1+F_2 . It is the phase ϕ , imposed on the
18 measuring beam at frequency F_1 that enables measurement of the round trip
19 distance d .

20

21 Example 2

With reference to Fig. 9, a simple in-line experimental arrangement was used to validate measurement principles of the present invention.

1 A low power 1 GHz laser beam, emulating that reflected back from
2 a rough object or surface 6 was modulated at a frequency difference of 500Hz.
3 The distance d of a fiber emitter 30 from a fiber receiver 31 was precisely varied
4 using a linear translation stage. Implementing the present invention to determine
5 distance d, the detector 8 measured phase-shifts ϕ with a precision of 1.2
6 degrees per millimeter of movement. The detector 8 achieved this precision
7 without the need to react to frequencies greater than 500Hz. This precision was
8 achieved because the phase-shift can now be measured while still implementing
9 a 1 GHz output beam having a wavelength of (0.3 meters) of 300 mm.
10 Movement resolution at 1.2 degrees is $1.2/360*300\text{mm} = 1 \text{ mm}$. In
11 contradistinction, however impractical, an optical detector 8 capable of direct
12 measurement of phase-shift ϕ from a 500Hz measurement beam would have an
13 associated wavelength of 6×10^5 meters and 1.2 degree accuracy would
14 represent movement as gross as 2000 meters.

15 In the experiment, a 1mW, fiber-pigtailed 1550nm laser diode 29
16 (PD-LD Inc., part number PL15N001TFCA-0-0-01) 32 was operated to emit beam
17 33, which emulates a beam received from a surface. The beam 33 was
18 collimated by a lens 34 (Edmund Industrial Optics, part number L45-806) at the
19 fiber-emitter 30 to form a parallel beam 35, 1 to 2 cm in diameter. The beam 35
20 was passed through a polarizer 36 (Lambda Research Optics Inc, part number
21 PB-05B-1500) and a narrow band optical filter 37 (Lambda Research Optics Inc,
22 part number 1550-F30-25.4) at the fiber receiver 31. The beam 35 fell directly on
23 the 9 micron core input face of a fiber-pigtailed 1550nm telecom modulator 38
24 (JDS Uniphase, part number 10023828). The polarizer 36 ensured that only
25 linearly-polarized radiation entered the modulator pigtail 38, a condition

1 necessary for high modulation efficiency. The narrow band optical filter 37
2 minimized the collection of spurious radiation by limiting the spectral passband to
3 a narrow region centered on 1550nm. Since no lens was used to focus the beam
4 35 into the modulator pigtail 38, the peak power traversing the modulator 38 was
5 in the range 10 to 100pW, similar to the power levels available when making
6 measurements on a rough surface at a range of 5 to 10m. The separation of the
7 emitting and receiving assemblies was varied precisely by mounting one of the
8 assemblies on the linear translation stage 39. The detector 8 was a low-noise
9 InGaAs PIN diode with high gain transimpedance amplifier and 750Hz electronic
10 response bandwidth (New Focus Inc., part number 2153).

11 A Fluke type 6060A signal generator 40 was used to generate a
12 first sine wave waveform signal F_1 at 1 GHz and to modulate the emitting laser
13 diode output 35 via a commercial driver 41 (Maxim, part number Max 3261). A
14 second sine wave waveform signal F_2 at 0.9999995 GHz was obtained from an
15 HP type 8560 spectrum analyzer (not shown), using its built-in tracking generator
16 facility to effectively lock the 1 GHz and 0.9999995GHz signals to the same
17 master clock. The 0.9999995 GHz signal was used to modulate the reflected
18 beam via the telecom modulator 38 in conjunction with two commercial drivers 42
19 used in series (supplier WJ Communications, part number AH102). The
20 electronic mixer 43 generated a phase reference to track fluctuations caused by
21 instability of the high frequency drive signals. A high precision lock-in amplifier
22 44 (supplier Stanford Research Systems, part number SR 830) enabled phase
23 comparison of the resulting third 500Hz waveform signals W3,W4 to an accuracy
24 in the order of 0.01 degrees. The arrangement as described exhibited slow
25 random phase drift between the 500Hz reference signal W3 and the 500Hz

1 detected signal W4, most likely due to uncompensated instability of the laser,
2 modulator or drivers.

3 The separation between the fiber emitter 30 and fiber receiver 31
4 was varied using the linear translator stage 39. Despite the slow phase drift
5 experienced in the experiment, a repeatable phase-shift ϕ of approximately 1.2
6 degrees per mm of movement was obtained upon varying the separation
7 between the fiber emitter 30 and fiber receiver 31. This experiment and result
8 confirmed the predicted phase sensitivity for 1 GHz modulation.

1 **THE EMBODIMENTS OF THE INVENTION FOR WHICH AN**
2 **EXCLUSIVE PROPERTY OR PRIVILEGE IS CLAIMED ARE DEFINED AS**
3 **FOLLOWS:**

4

5 1. A method for determining phase-shift between first and
6 second electromagnetic radiation signals, the first electromagnetic radiation
7 signal being modulated by a first waveform signal having a first frequency, the
8 first electromagnetic radiation signal being transmitted at a surface which results
9 in at least a portion of the first electromagnetic radiation signal being reflected as
10 a second electromagnetic radiation signal comprising the steps of:

11 multiplying the first waveform signal by a second waveform signal
12 modulated at a second frequency, the second frequency being different from the
13 first frequency, for establishing a third waveform signal of known phase and
14 having a third frequency equal to the difference of the first and second
15 frequencies;

16 modulating the second electromagnetic radiation signal by the
17 second waveform signal to form a third electromagnetic radiation signal;

18 receiving the third electromagnetic radiation signal at a detector for
19 establishing a detector output waveform signal having a shifted phase at the third
20 frequency; and

21 comparing the known phase of the third waveform signal and the
22 shifted phase for establishing a phase-shift between the first and second
23 electromagnetic radiation signals.

24

1 2. The method of claim 1 further comprising the steps of:
2 modulating the first electromagnetic radiation signal with the first
3 waveform signal having a high first frequency; and
4 multiplying the first and second electromagnetic radiation signal by
5 the second waveform signal having high second frequency which is substantially
6 equal to the first frequency so that the third frequency is a low frequency.

7

8 3. The method of claim 1 wherein the first electromagnetic
9 radiation signal is a laser beam.

10

11 4. The method of claim 1 further comprising the steps of:
12 directing the first electromagnetic radiation signal through focusing
13 optics onto the remote surface; and
14 directing the second electromagnetic radiation signal through an
15 optical modulator for modulating the second electromagnetic radiation signal by
16 the second waveform signal to form the third electromagnetic radiation signal.

17

- 1 5. The method of claim 4 further comprising the steps of:
 - 2 directing the first electromagnetic radiation signal through focusing
 - 3 optics onto the surface;
 - 4 receiving the second electromagnetic radiation signal through the
 - 5 focusing optics; and
 - 6 redirecting the second electromagnetic radiation signal through a
 - 7 optical modulator for modulating the second electromagnetic radiation signal by
 - 8 the second waveform signal to form the third electromagnetic radiation signal.

9

10 6. The method of claim 5 further comprising the steps of:

11 expanding the first electromagnetic radiation signal;

12 collimating the first electromagnetic radiation signal;

13 directing the expanded first electromagnetic radiation signal through

14 the focusing optics onto the surface; and

15 receiving an expanded second electromagnetic radiation signal

16 which substantially fills the focusing optics.

17
18 7. The method of claim 1 wherein the first and second
19 electromagnetic radiation signals each traverse a distance to and from the
20 surface further comprising the step of calculating the traversed distance from the
21 phase-shift.

1 8. The method of claim 7 further comprising the steps of
2 repeating the preceding steps at one or more additional and different first
3 waveform signals for resolving ambiguities in the distances calculated from each
4 phase-shift at each of the one or more different first waveform signals.

5

6 9. The method of claim 2 wherein the first and second
7 waveform signals have frequencies selected from a range of between about
8 50MHz and about 2 GHz.

9

10 10. The method of claim 2 wherein the first and second
11 waveform signals have frequencies which are selected so as to result in a third
12 frequency having a range of between about 500Hz and about 100kHz.

13

1 11. The method of claim 1 wherein a plurality of second
2 electromagnetic radiation signals are reflected from an area from a remote
3 surface and further comprising the steps of:

4 modulating each of the second electromagnetic radiation signals by
5 the second waveform signal to form a plurality of corresponding third
6 electromagnetic radiation signals;

7 receiving the plurality of third electromagnetic radiation signals at a
8 spatial array of detectors for establishing a plurality of detector output waveform
9 signals for the area, each of which has a shifted phase at the third frequency; and
10 comparing the known phase of the third waveform signal and each
11 shifted phase for establishing a plurality of phase-shifts between the first and
12 second electromagnetic radiation signals.

13

14 12. The method of claim 11 wherein each of the plurality second
15 electromagnetic radiation signals traverse a distance to and from the area of the
16 remote surface further comprising the step of calculating each of the traversed
17 distances from the phase-shift for each of the plurality second electromagnetic
18 radiation signals.

19

1 13. The method of claim 1 further comprising the steps of:
2 scanning the first electromagnetic radiation signal over the remote
3 surface for reflection of a plurality of sequential second electromagnetic radiation
4 signals from an area of the remote surface and repeating the modulating step
5 upon each sequential second electromagnetic radiation signal for forming a
6 plurality of sequential third electromagnetic radiation signals;

7 sequentially receiving the sequential third electromagnetic radiation
8 signals at the detector for establishing a plurality of sequential detector output
9 waveform signals for the area, each of which has a shifted phase at the third
10 frequency; and

11 comparing the known phase of the third waveform signal and each
12 shifted phases of the detector output waveform signals for establishing a plurality
13 of phase-shifts between the first and second electromagnetic radiation signals.

14

15 14. The method of claim 13 wherein each of the sequential
16 second electromagnetic radiation signals traverse a distance to and from the area
17 of the remote surface further comprising the step of calculating each of the
18 traversed distances from the phase-shift for each of the sequential second
19 electromagnetic radiation signals.

20

1 15. A method for measuring distance to a remote surface
2 comprising:
3 generating a first waveform signal at a first frequency;
4 generating a second waveform signal at a second frequency which
5 is different than the first frequency ;
6 multiplying the first and second waveform signals to obtain a
7 reference waveform signal at a reference phase and at a third frequency;
8 modulating a first electromagnetic radiation signal by the first
9 waveform signal;
10 directing the modulated first electromagnetic radiation signal at the
11 remote surface;
12 receiving a second electromagnetic radiation signal which is a least
13 a portion of the first electromagnetic radiation signal reflected from the remote
14 surface;
15 modulating the second electromagnetic radiation signal with the
16 second waveform signal to form a third electromagnetic radiation signal;
17 receiving the third electromagnetic radiation signal at a detector for
18 establishing a shifted waveform signal having a shifted phase at the third
19 frequency; and
20 comparing the reference phase and the shifted phase for
21 establishing a phase-shift related to the distance to the remote surface.

22

23 16. Apparatus for determining phase-shift between a first second
24 electromagnetic radiation signal which is reflected from a surface as a second
25 electromagnetic radiation signal comprising:

1 a first modulator for modulating the first electromagnetic radiation
2 signal by a first waveform signal having a first frequency;
3 a second modulator for multiplying the first waveform signal by a
4 second waveform signal modulated at a second frequency, the second frequency
5 being different from the first frequency, for establishing a third waveform signal of
6 known phase and having a third frequency equal to the difference of the first and
7 second frequencies;
8 a third modulator for modulating the second electromagnetic
9 radiation signal by the second waveform signal to form a third electromagnetic
10 radiation signal;
11 an electromagnetic radiation detector for receiving the third
12 electromagnetic radiation signal and for establishing a detector output waveform
13 signal having a shifted phase at the third frequency; and
14 a comparator for comparing the known phase of the third waveform
15 signal and the shifted phase of the detector output waveform signal for
16 establishing a phase-shift between the first and second electromagnetic radiation
17 signals.
18

1 17. The apparatus of claim 16 further comprising:
2 a controller for determining a distance traversed by the first and
3 second electromagnetic radiation signals using the phase-shift.

4

5 18. The apparatus of claim 17 further comprising an array of
6 electromagnetic radiation detectors for establishing a plurality of detector output
7 waveform signals corresponding to an area on the remote surface, each detector
8 output waveform signal having a shifted phase at the third frequency so that
9 comparator can establish a plurality of phase-shifts between the first and second
10 electromagnetic radiation signals and their respective distances traversed.

11

12 19. The apparatus of claim 17 further comprising an optical
13 scanner for directing the first electromagnetic radiation signal to scan over along
14 a path across the remote surface for the reflection of sequential second
15 electromagnetic radiation signals from the remote surface and repeating the
16 modulating step for each sequential second electromagnetic radiation signal for
17 forming as plurality of sequential third electromagnetic radiation signals received
18 at the electromagnetic radiation detector for establishing a plurality of sequential
19 detector output waveform signals for the remote surface, each of which has a
20 shifted phase at the third frequency; and comparing the known phase of the third
21 waveform signal and each shifted phase of the detector output waveform signals
22 for establishing a plurality of phase-shifts between the first and second
23 electromagnetic radiation signals.

1/5

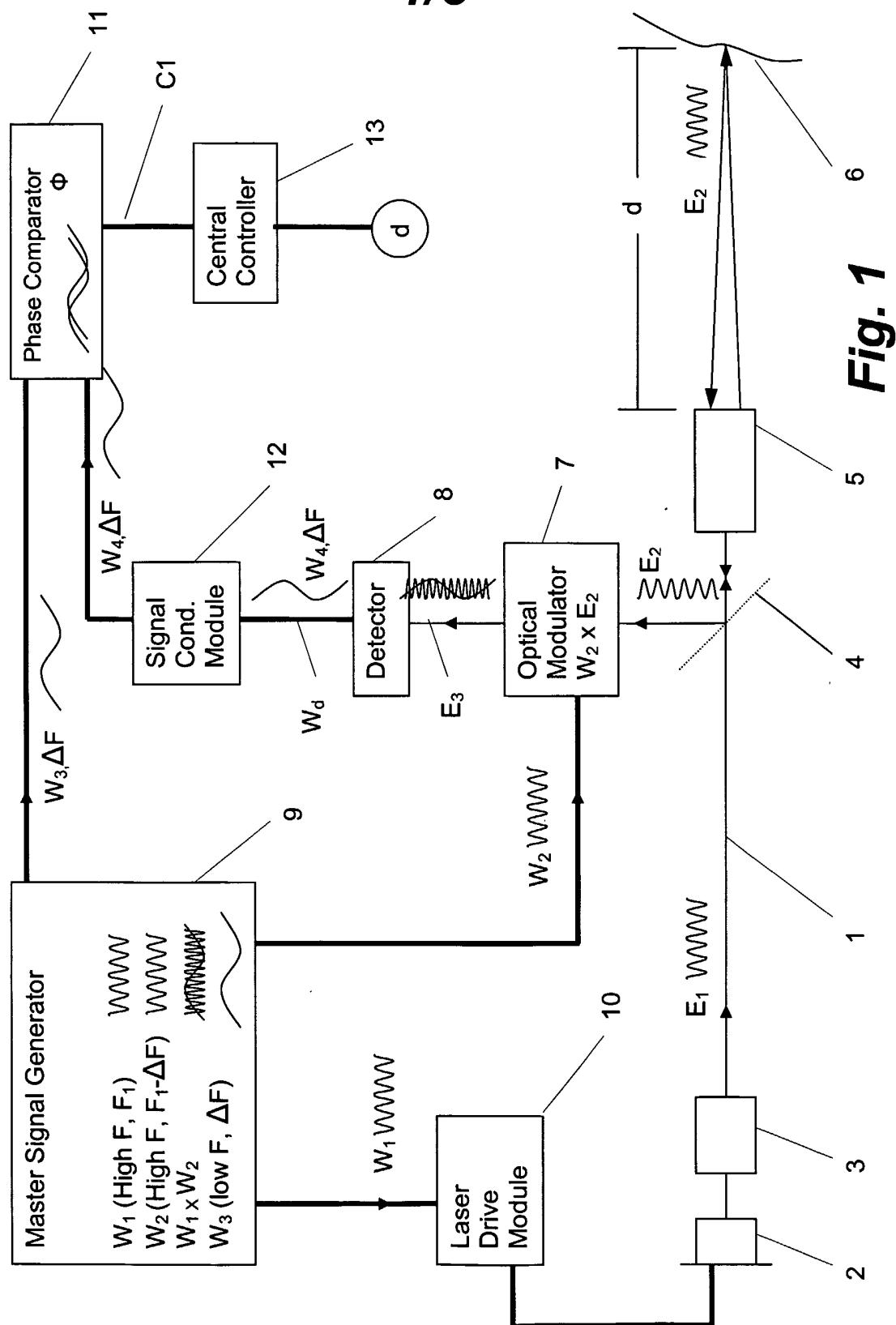


Fig. 1

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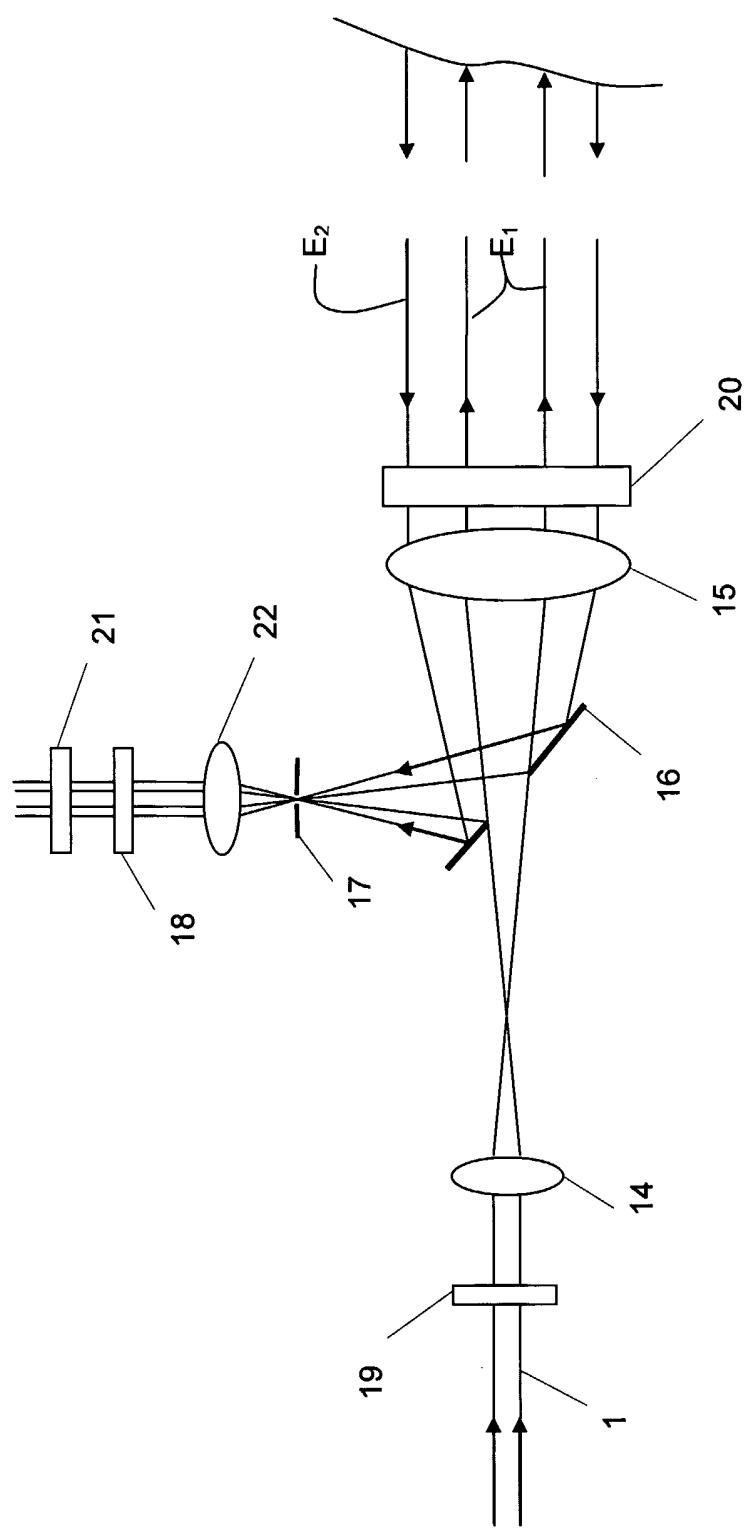
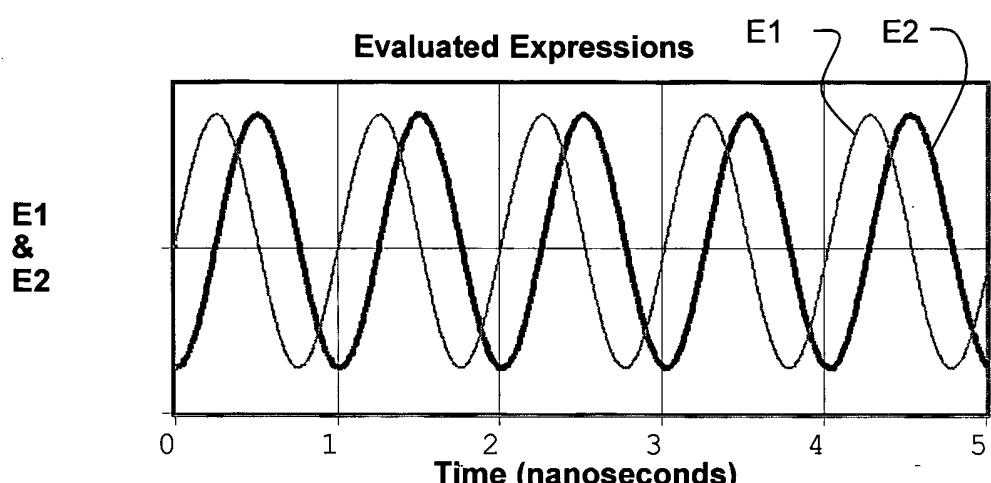
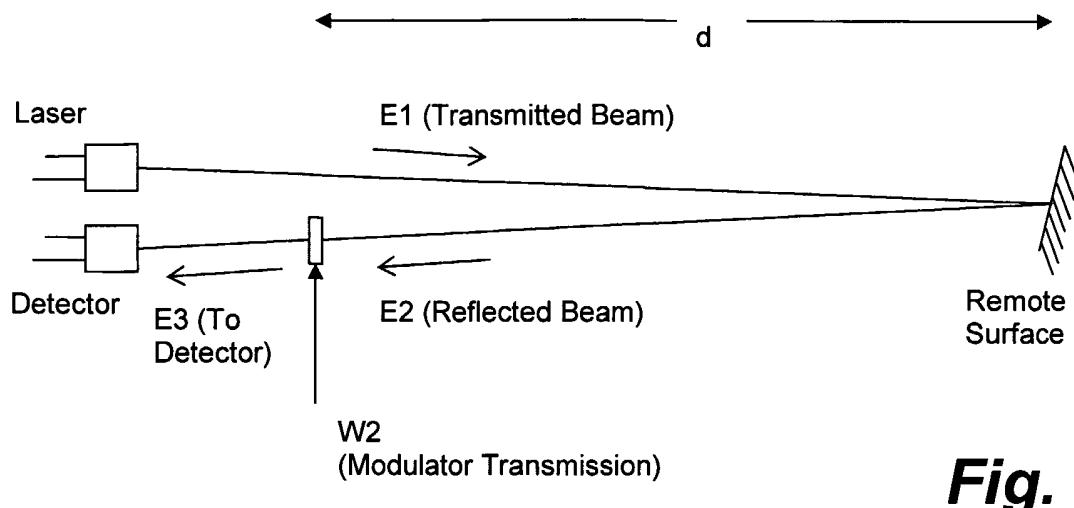
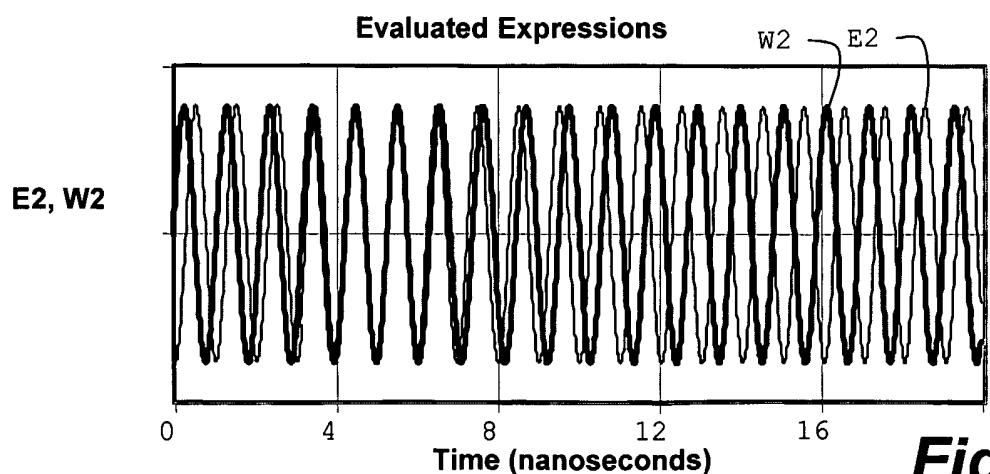
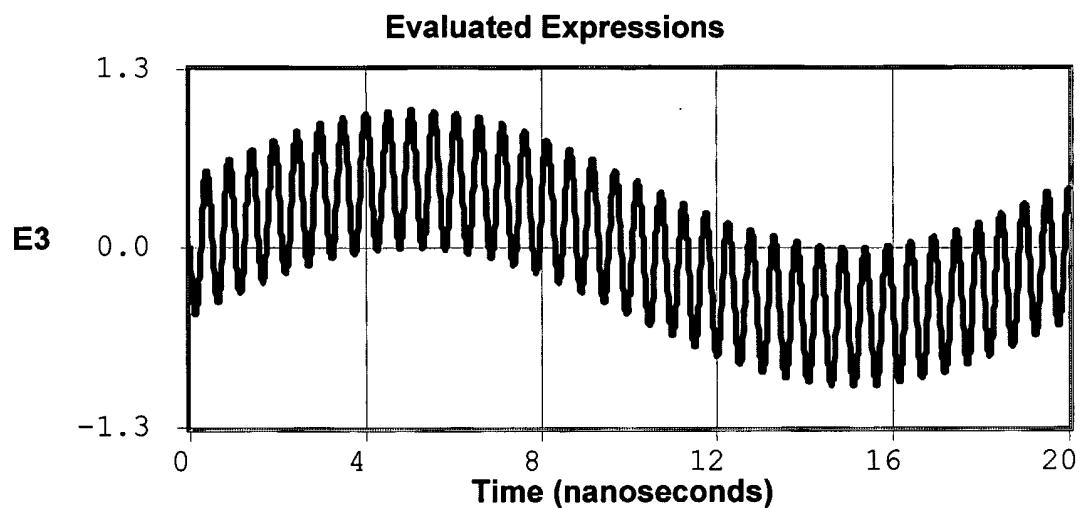
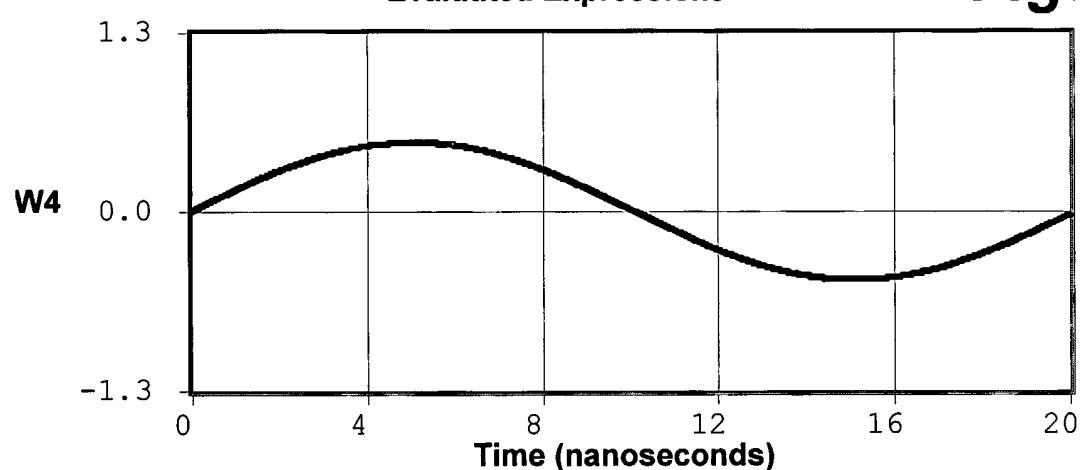
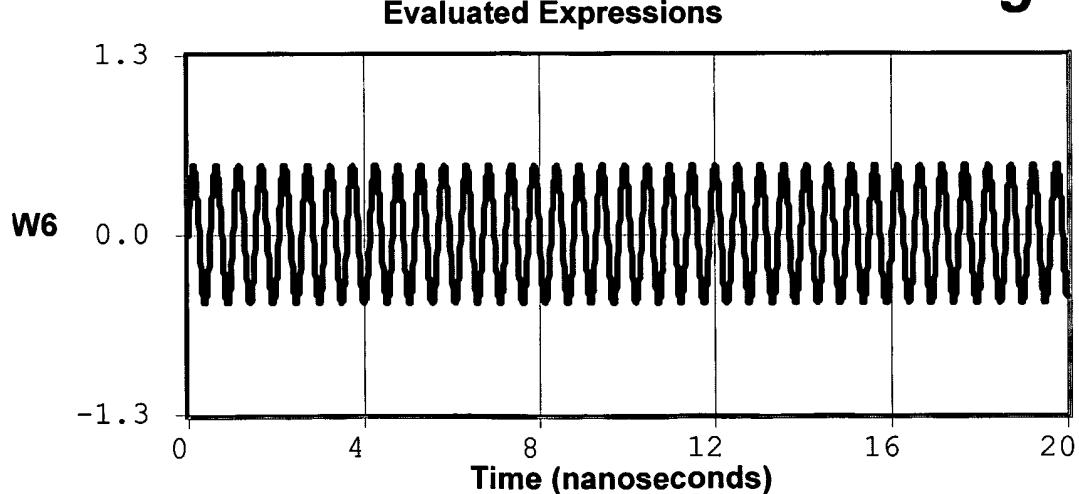


Fig. 2

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**Fig. 4****Fig. 5**

4/5**Fig. 6****Fig. 7****Fig. 8**

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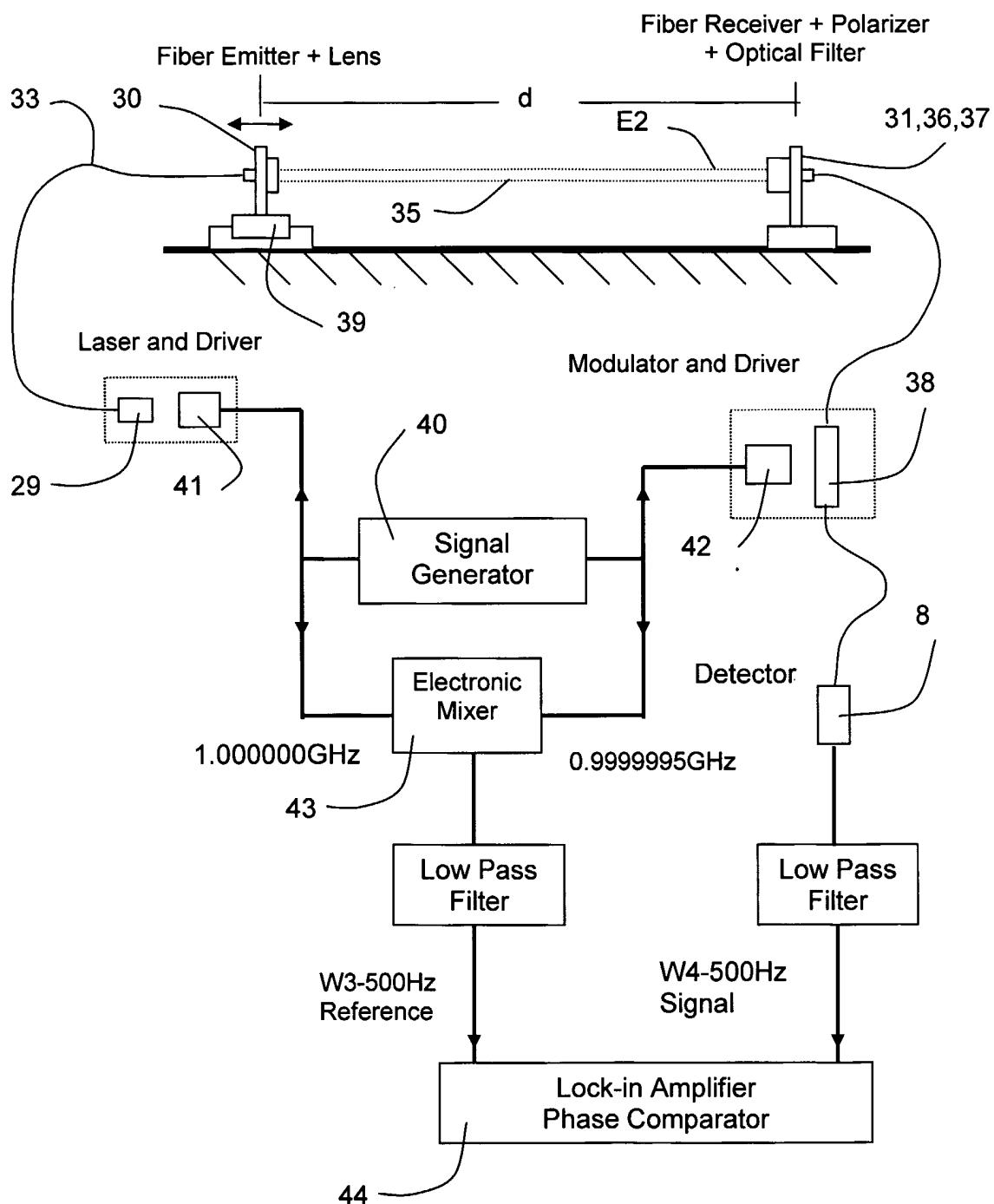


Fig. 9