



(51) International Patent Classification:  
*A61K 9/00* (2006.01)

(21) International Application Number:  
PCT/PT2014/000050

(22) International Filing Date:  
31 July 2014 (31.07.2014)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
61/860,516 31 July 2013 (31.07.2013) US

(71) Applicant: **BLUEPHARMA - INDUSTRIA FARMACÊUTICA, S.A.** [PT/PT]; Rua da Bayer, S. M. Bispo, P-3045-016 Coimbra (PT).

(72) Inventors: **SILVA BORGES, Ana Filipa**; Rua da Bayer, P-3045-016 Coimbra (PT). **ALMEIDA SILVA, Branca Margarida**; Rua da Bayer, P-3045-016 Coimbra (PT). **JORDÃO COELHO, Jorge Fernando**; Rua da Bayer, P-3045-016 Coimbra (PT). **SOUSA SILVA, Cláudia**; Rua da Bayer, P-3045-016 Coimbra (PT). **SIMÕES, Sérgio Paulo**; Rua da Bayer, P-3045-016 Coimbra (PT).

(74) Agent: **VIEIRA PEREIRA FERREIRA, Maria Silvina**; Clarke, Modet & Co., Rua Castilho, 50-9º, P-1269-163 Lisboa (PT).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

**Published:**

— without international search report and to be republished upon receipt of that report (Rule 48.2(g))

(54) Title: ORAL DISPERSIBLE FILMS

(57) Abstract: Described herein are orodispersible films comprising a film forming hydrophobic polymer, a disintegrant, a plasticizer and a stabilizer.



## **ORAL DISPERSIBLE FILMS**

### **Background of the Invention**

[0001] Oral dispersible films have been introduced in the market as an alternative to conventional oral dosage forms to enhance patient compliance. For example, mucoadhesive film formulations have been described that improve absorption of pharmaceutical agents through the mucosal tissue to bypass barriers in the gastrointestinal tract. Oral dispersible films also can overcome the swallowing problems associated with the capsules or tablets. Many of the oral dispersible films that have previously been disclosed are designed for delivering a particular pharmaceutical agent. It is desirable to develop an oral dispersible film with a matrix flexible enough to incorporate a variety of agents, e.g. pharmaceutical agents, nutraceutical agents, dietary supplements, or cosmetic agents.

[0002] Oral dispersible films that are currently available can become sticky over time when exposed to ordinary environment conditions, even at minimal humidity, leading to low stability and undesirable texture and appearance. Typically oral dispersible films employ hydrophilic polymers as film forming agents, which can exacerbate stability issues due to their water-soluble nature. Even formulations proposed to overcome these issues have been based on hydrophilic polymers, as described in US 2004/0247648 and US 2011/0293673 (disclosing modified starch and a mixture of polyvinylpyrrolidone, and hydroxypropylcellulose, respectively).

[0003] Current oral dispersible films typically are able to carry and deliver only relatively low percentage by weight of an active agent. High loading of active agent in the film tends to interfere with film formation, film stability and desirable film properties.

[0004] There is a need for development of an oral dispersible film dosage form having flexibility for use with various agents, particularly at high active agent content, and having increased chemical stability and resistance to room and environmental conditions without compromising disintegration time, texture and appearance.

### **Detailed Description of Certain Embodiments of the Invention**

[0005] The present disclosure relates to an edible film comprising (i) a film-forming polymer, (ii) a disintegrant, and (iii) a stabilizer that is one or both of polyvinyl alcohol (PVA) and hydroxypropylmethyl cellulose (HPMC). In certain embodiments, a provided film comprises

only one of PVA or HPMC. In certain embodiments, the film forming polymer is not hydrophilic. In certain embodiments, the film forming polymer is hydrophobic. In certain embodiments, a provided film further includes a plasticizer. In embodiments, a provided film comprises one or more film forming polymer dispersants. In any of the embodiments, the film forming polymer comprises one or more active agents.

**[0006]** In certain embodiments, an orodispersible film described herein is prepared from an aqueous solution without any preservative or gelling additives. In certain embodiments, a provided oral dispersible film contains only one film-forming agent. In any of the foregoing embodiments, a provided oral dispersible film may contain one or more active agents (e.g., pharmaceutical agent, nutraceutical agent, cosmetic agent, supplement). In certain embodiments, a provided oral dispersible film displays rapid disintegration, such as less than 90 seconds. In certain embodiments, a provided oral dispersible film displays a moderate disintegration time, such as between 4 and 8 minutes.

**[0007]** Although there are several oral dispersible films currently available, they suffer from various disadvantages. The present invention aims to ameliorate one or more of these disadvantages by providing one or more of reduced sticky sensation, enhanced moisture stability, easy handling, clean mouth feel, higher active agent loading and/or rapid disintegration. In certain embodiments, compositions provided herein have the advantage of improved stability and ease of manufacturing due to being less sensitive to the relative humidity than current oral films.

**[0008]** In certain embodiments, a provided oral dispersible film comprises a disintegrant and a hydrophobic film forming polymer. In certain embodiments, the desired disintegration time is not affected by the incorporation of a hydrophobic film forming polymer. In certain embodiments, a provided film is produced from an aqueous solution or suspension, rather than using organic solvents. In certain embodiments, a provided film is produced from a water/ethanol mixture.

**[0009]** In certain embodiments, a film-forming polymer provides a film-forming matrix for a provided film. In certain embodiments, the film forming polymer of a provided oral dispersible film has one or more of the following attributes: it is not toxic and/or it is not an irritant; it has good wetting and spreadability properties; it has suitable mechanical properties; it is tasteless; it is readily available; it is inexpensive; it provides moderate disintegration time; and/or it rapidly dissolves on the tongue or in the buccal cavity.

**[0010]** Film-forming polymers included in traditional oral dispersible films are generally characterized by charged or polar side groups on their structure that preferentially attract water, and thus they are generally categorized as hydrophilic, water-soluble and/or water swellable. Water-soluble film forming polymers include some cellulose derivatives containing hydrophilic groups, such as cellulose ethers (e.g., hydroxypropylmethyl cellulose, hydroxypropyl cellulose, hydroxyethyl cellulose, sodium carboxy methyl cellulose (Na-CMC)), starch and derivatives thereof (e.g., maltodextrins, pullulan, gelatin, gums (e.g., gum acacia, gum arabic, xanthan gum), pectin, chitosan derivatives, dextran, carrageenan, hyaluronic acid), poly(ethylene glycol) (PEG), polyvinyl pyrrolidone, polyvinyl pyrrolidone-vinyl acetate copolymer, polyvinyl alcohol, polyacrylic acid, divinyl ether-maleic anhydride, polyphosphazene, polyphosphates, polyphosphonates, poly(2-alkyl-2-oxazolines), N-(2-hydroxypropyl) methacrylamide, and polyacrylamide.

**[0011]** According to the present invention, films provided herein employ film forming polymers that are not hydrophilic, such as water-insoluble polymers, non-swellable polymers and/or hydrophobic polymers. Exemplary water-insoluble polymers include, but are not limited to, ethyl cellulose, hydroxypropyl ethyl cellulose, cellulose acetate phthalate, hydroxypropyl methyl cellulose phthalate, acrylic polymers (e.g., methacrylate copolymer, e.g., methyl methacrylate-diethylaminoethyl methacrylate copolymer), polyvinyl acetate, sodium sulphonated polyesters, carboxylated acrylics and shellac.

**[0012]** The film forming polymer is typically the main component of the films of the invention, other than the active agent. In certain embodiments, a provided film comprises a hydrophobic film-forming polymer in a range from about 40% to 99% by weight in the polymer component without the active substance, and 19% to 99% when including between 0.01% to 60% by weight of active substance. In certain embodiments, the film forming polymer represents about 19% to about 95% based on the dry weight of all the components of the film. In certain embodiments, the film-forming polymer represents about 19% to about 70% based on the dry weight of all the components of the film. In certain embodiments, the film-forming polymer represents about 19% to about 60% based on the dry weight of all the components of the film. In certain embodiments, the film-forming polymer represents about 30% to about 50% based on the dry weight of all the components of the film. In certain embodiments, the film-forming polymer represents about 19%, about 25%, about 30%, about 35%, about 40%, about 45%, about 50%, about 55%, about

60%, about 65%, about 70%, about 75%, about 80%, about 85%, about 90%, or about 95% based on the dry weight of all the components of the film.

**[0013]** It will be understood that when a range is recited in the application, the ends of the range are specifically disclosed as if specifically recited. For example, a range of 19%-99% specifically include a disclosure separately of 19% and separately of 99%.

**[0014]** In embodiments, oral dispersible films provided herein also include a disintegrant. A disintegrant facilitates the break-up of the film-forming matrix in an aqueous medium. Exemplary disintegrants include, but are not limited to, sugar alcohols, such as mannitol, sorbitol, xylitol, erythritol, lactitol, and maltitol; starches and starch derivatives such as pregelatinized starch and hydroxypropyl starch; cellulose derivatives, such as carboxymethylcellulose, croscarmellose, low-substituted hydroxypropyl cellulose and microcrystalline cellulose; and others disintegrants such as polacrillin potassium, glycine, crospovidone and magnesium aluminum silicate. In certain embodiments, the disintegrant is carboxymethylcellulose or a salt thereof. In certain embodiments, the disintegrant is sodium carboxymethylcellulose. In certain embodiments, the amount of disintegrant employed in a provided film depends on the nature of the polymer matrix and the rate of disintegration desired. In certain embodiments, the disintegrant represents about 0.5% to about 22% based on the dry weight of the formulation. In certain embodiments, the disintegrant represents about 2.5% to 17.5% based on the dry weight of all the components of the film. In certain embodiments, the disintegrant represents about 5% to 17.5% based on the dry weight of all the components of the film. In certain embodiments, the disintegrant represents about 5% to 15% based on the dry weight of all the components of the film.

**[0015]** In embodiments, a provided film comprises a stabilizer that is one or both of PVA and HPMC. In certain embodiments, a provided film includes either PVA or HPMC. In certain embodiments, a stabilizer represents about 0.1% to about 21% based on the dry weight of all the components of the film. In certain embodiments, a stabilizer represents about 0.5% to about 21% based on the dry weight of all the components of the film. In certain embodiments, a stabilizer represents about 1% to about 21% based on the dry weight of all the components of the film. In certain embodiments, a stabilizer represents about 2.5% to about 17.5% based on the dry weight of all the components of the film. In certain embodiments, a stabilizer represents about 5% to about 17.5% based on the dry weight of all the components of the film. In certain embodiments,

a stabilizer represents about 5% to about 15% based on the dry weight of all the components of the film. In certain embodiments, a stabilizer employed in a provided film has low molecular weight less than 205 000 g / mol. In certain embodiments, a stabilizer employed in a provided film has low molecular weight, such as less than 100 000 g / mol. In certain embodiments, a stabilizer employed in a provided film has low molecular weight, such as less than 37 000 g / mol. In certain embodiments, a stabilizer employed in a provided film has low molecular weight, such as less than 11 000 g / mol.

[0016] In embodiments, the ratio of the film forming polymer to the stabilizer is about 20:1 to about 1:2 weight per weight. In certain embodiments, the ratio of the film forming polymer to the stabilizer is about 15:1 to about 1:1 weight per weight. In certain embodiments, the ratio of the film forming polymer to the stabilizer is about 11:1 to about 1:1 weight per weight. In certain embodiments, the ratio of the film forming polymer to the stabilizer is about 1:1, 2:1, 3:1, 4:1, 5:1, 6:1, 7:1, 8:1, 9:1, 10:1, or 11:1 weight per weight. In some embodiments, the film forming polymer is polyvinyl acetate, which represents about 30% to about 80% based on the dry weight of all the components of the film, and the stabilizer is polyvinyl alcohol present at a ratio of between 11:1 and 1:1 weight per weight of polyvinyl acetate:polyvinyl alcohol. In some embodiments, the film forming polymer is methacrylate copolymer, which represents about 19% to about 65% based on the dry weight of all the components of the film, and the stabilizer is polyvinyl alcohol present at a ratio of between 6:1 and 2:1 weight per weight of methacrylate copolymer:polyvinyl alcohol. In some embodiments, the film forming polymer is shellac, which represents about 30% to about 65% based on the dry weight of all the components of the film, and the stabilizer is hydroxypropylmethylcellulose present at a ratio of between 5:1 and 1:1 weight per weight of shellac:hydroxypropylmethylcellulose.

[0017] Other components can be added to provided films, including but not limited to, plasticizers, film forming polymer dispersants, surfactants, preservatives, taste masking agents, sweeteners, flavor and coloring agents, anti-foam agents, penetration enhancers, saliva stimulating agents, buffering agents, thickening agents, enzyme inhibitors, solubilizers, fillers, mucoadhesion substances, anti-oxidants and cooling agents.

[0018] In certain embodiments, a provided film comprises a plasticizer. Exemplary plasticizers include, but are not limited to, phthalate derivatives (e.g., dimethyl phthalate, diethyl phthalate, dibutyl phthalate), citrate derivatives (e.g., tributylcitrate, triethylcitrate, acetyl citrate, citric

acid), polyalkylene oxides (e.g., polyethylene glycols, polypropylene glycols, polyethylene-propylene glycols), glycerol, glycerol monoacetate, glycerol diacetate, triacetate, triacetin, polysorbate, cetyl alcohol, propylene glycol, sorbitol, sodium diethylsulfosuccinate, and castor oil. In certain embodiments, a plasticizer represents 0% to about 30% based on the dry weight of all the components of the film. In certain embodiments, a plasticizer represents about 1% to about 20% based on the dry weight of all the components of the film. In certain embodiments, a plasticizer represents about 2.5% to about 17.5% based on the dry weight of all the components of the film. In certain embodiments, a plasticizer represents about 2.5% to about 10% based on the dry weight of all the components of the film.

**[0019]** In certain embodiments, the provided film comprises a dispersant for the film forming polymer. Film forming polymers are often supplied as a solution containing dispersants for maintaining stability of the film forming polymer dispersion. For example, polyvinyl acetate can be supplied with dispersants such as sodium lauryl sulfate and povidone. As another example, methacrylate copolymer can be supplied with macrogol cetostearyl ether and sodium lauryl sulfate, or sorbic acid and sodium hydroxide as dispersants. The dispersant(s) typically are present in amounts from 0.001% to 10% based on the dry weight of all the components of the film. In certain embodiments, the dispersant is present in amounts ranging from 0.01% to 8% based on the dry weight of all the components of the film. In certain embodiments, a dispersant is present in amounts ranging from 0.1% to 5% based on the dry weight of all the components of the film. In certain embodiments, a dispersant represents about 0.001% to about 1% based on the dry weight of all the components of the film. In certain embodiments, a dispersant represents about 0.04% to about 0.7% based on the dry weight of all the components of the film. In certain embodiments, a dispersant represents about 0.01% to about 7.5% based on the dry weight of all the components of the film.

**[0020]** In certain embodiments, a provided film comprises a sweetener. Sweeteners can be used to improve palatability and are usually classified as natural or artificial sweeteners. Exemplary natural sweeteners include, but are not limited to, dextrose, fructose, glucose, liquid glucose, maltose, rebiana, glycyrrhizin, thaumatin, sorbitol, mannitol, isomalt, maltitol, xylitol, and erythritol. Exemplary artificial sweeteners include, but are not limited to, saccharin, cyclamate, aspartame, acesulfame-K, sucralose, alitame and neotame. In certain embodiments, monoammonium glycyrrhizinate is used as a sweetener. In certain embodiments, neohesperidin

dihydrochalcone is used as a sweetener. In certain embodiments, a sweetener represents about 0% to about 10% based on the dry weight of all the components of the film. In certain embodiments, a sweetener represents about 0.1% to about 10% based on the dry weight of all the components of the film. In certain embodiments, a sweetener represents about 1% to about 10% based on the dry weight of all the components of the film. In certain embodiments, a sweetener represents about 1% to about 7% based on the dry weight of all the components of the film. In certain embodiments, a sweetener represents about 1% to about 6% based on the dry weight of all the components of the film. In certain embodiments, a sweetener represents about 1% to about 5% based on the dry weight of all the components of the film.

**[0021]** In certain embodiments, a provided film comprises a saliva stimulant. Saliva stimulants can be added to increase the rate of saliva production in order to promote a faster disintegration of the orodispersible film. Exemplary saliva stimulants include, but are not limited to, acidic compounds as citric acid, malic acid, lactic acid, ascorbic acid and tartaric acid. In other embodiments, some sweeteners can be used as saliva stimulants, including but not limited to glucose, fructose, xylose, maltose, and lactose. In certain embodiments, a saliva stimulant represents about 0% to about 10% based on the dry weight of all the components of the film. In certain embodiments, a saliva stimulant represents about 0% to about 7% based on the dry weight of all the components of the film. In certain embodiments, a saliva stimulant represents 0% to about 6% based on the dry weight of all the components of the film. In certain embodiments, a saliva stimulant represents about 2% to about 6% based on the dry weight of all the components of the film.

**[0022]** In certain embodiments, a provided film comprises a buffering agent. Buffering agents can be added to manipulate the pH. The pH is involved in the dissolution and stabilization of the components in the formulation, but also with their absorption through the oral mucosa. Exemplary buffer agents include, but are not limited to citrate buffers, phosphate buffers, acetate buffers, carbonate buffers, ammonia buffers, borate buffers, lactate buffers, ethanolamine buffers, glycine buffers, methionine buffers, glutamate buffers and succinate buffers. In certain embodiments the pH buffer is an acid/acid salt system. Exemplary acid/acid salt systems include, but are not limited to, citric acid / citric acid salts (e.g. sodium citrate, potassium citrate), citric acid / phosphoric acid salts (e.g. sodium aluminium phosphate, sodium monobasic phosphate, sodium dibasic phosphate, sodium tribasic phosphate, potassium tribasic phosphate, potassium

monobasic phosphate, potassium dibasic phosphate), citric acid / tartaric acid salts (e.g. sodium tartrate, potassium tartrate), citric acid / boric acid salts (e.g. sodium borate, potassium borate), citric acid / malic acid salts (e.g. sodium malate, potassium malate), citric acid / maleic acid salts (e.g. sodium maleate, potassium maleate), tartaric acid / citric acid salts (e.g. sodium citrate, potassium citrate), tartaric acid / phosphoric acid salts (e.g. sodium aluminium phosphate, sodium monobasic phosphate, sodium dibasic phosphate, sodium tribasic phosphate, potassium tribasic phosphate, potassium monobasic phosphate, potassium dibasic phosphate), tartaric acid / tartaric acid salts (e.g. sodium tartrate, potassium tartrate), tartaric acid / boric acid salts (e.g. sodium borate, potassium borate), tartaric acid / malic acid salts (e.g. sodium malate, potassium malate), tartaric acid / maleic acid salts (e.g. sodium maleate, potassium maleate), boric acid / citric acid salts (e.g. sodium citrate, potassium citrate), boric acid / phosphoric acid salts (e.g. sodium aluminium phosphate, sodium monobasic phosphate, sodium dibasic phosphate, sodium tribasic phosphate, potassium tribasic phosphate, potassium monobasic phosphate, potassium dibasic phosphate), boric acid / tartaric acid salts (e.g. sodium tartrate, potassium tartrate), boric acid / boric acid salts (e.g. sodium borate, potassium borate), boric acid / malic acid salts (e.g. sodium malate, potassium malate), boric acid / maleic acid salts (e.g. sodium maleate, potassium maleate), malic acid / citric acid salts (e.g. sodium citrate, potassium citrate), malic acid / phosphoric acid salts (e.g. sodium aluminium phosphate, sodium monobasic phosphate, sodium dibasic phosphate, sodium tribasic phosphate, potassium tribasic phosphate, potassium monobasic phosphate, potassium dibasic phosphate), malic acid / tartaric acid salts (e.g. sodium tartrate, potassium tartrate), malic acid / boric acid salts (e.g. sodium borate, potassium borate), malic acid / malic acid salts (e.g. sodium malate, potassium malate), malic acid / maleic acid salts (e.g. sodium maleate, potassium maleate), maleic acid / citric acid salts (e.g. sodium citrate, potassium citrate), maleic acid / phosphoric acid salts (e.g. sodium aluminium phosphate, sodium monobasic phosphate, sodium dibasic phosphate, sodium tribasic phosphate, potassium tribasic phosphate, potassium monobasic phosphate, potassium dibasic phosphate), maleic acid / tartaric acid salts (e.g. sodium tartrate, potassium tartrate), maleic acid / boric acid salts (e.g. sodium borate, potassium borate), maleic acid / malic acid salts (e.g. sodium malate, potassium malate), maleic acid / maleic acid salts (e.g. sodium maleate, potassium maleate). In certain embodiments, the buffer system represents about 0% to about 15% by weight of the film. In certain

embodiments, a buffer system represents 0% to about 10% by weight of the film. In certain embodiments, a buffer system represents about 0% to about 7.5% by weight of the film.

[0023] In certain embodiments, a provided film comprises taste-masking agents. Taste-masking agents can be added to ameliorate the organoleptic characteristics of the orodispersible film. In certain embodiments, taste masking agents may be used to mask unpleasant taste of some components. Exemplary of taste-masking agents include, but are not limited to, cyclodextrins, maltodextrins, ion-exchange resins, amino acids, gelatin, gelatinized starch, liposomes, lecithins or lecithin-like substances and salts. In certain embodiments, the taste masking agent comprises about 0% to about 15% based on the dry weight of all the components of the film. In certain embodiments, the taste masking agent represents 0% to about 10% based on the dry weight of all the components of the film. In certain embodiments, the taste masking agent represents about 0% to about 7.5% based on the dry weight of all the components of the film. In certain embodiments, the taste masking agent represents about 0% to about 5% based on the dry weight of all the components of the film.

[0024] In certain embodiments, a provided film comprises a flavoring agent. In certain embodiments, flavoring agents may be natural flavors, derived from various parts of the plants like leaves, fruits and flowers, or synthetic flavor oils or powders. Exemplary flavor oils include, but are not limited to, peppermint oil, cinnamon oil, spearmint oil, and oil of nutmeg. Exemplary fruity flavors include, but are not limited to, vanilla, cocoa, coffee, chocolate and citrus. Exemplary fruit essence flavors include, but are not limited to, apple, raspberry, cherry, and pineapple. Flavors can be used alone or in the combination and its selection will be dependent upon the target population and any other substance (e.g., a pharmaceutical agent) incorporated on the film. The perception of the flavors changes from individual to individual and also with age: typically a geriatric population will prefer mint or orange flavors whereas younger populations tend to prefer flavors like fruit punch, raspberry, etc. Generally the amount of flavor needed to mask an unpleasant taste or improve taste overall will depend on the flavor type and its strength. In certain embodiments, a flavoring agent represents about 0% to about 10% based on the dry weight of all the components of the film. In certain embodiments, a flavoring agent represents about 1% to about 10% based on the dry weight of all the components of the film. In certain embodiments, a flavoring agent represents about 1% to about 6% based on the dry weight of all the components of the film.

[0025] Cooling agents may also be added in order to improve the aftertaste of an oral film formulation. Exemplary cooling agents include but are not limited to menthol flavor and some polyol sugars which are widely used for this purpose. In some embodiments, monoammonium glycyrrhizinate can be added to improve the flavor strength and extend sweetness. Other components can also be added that should compete with sensory stimuli, such as Cremophor (which is used to coat the surface protein receptors), or saline solutions (e.g. sodium chloride, which competes within channel receptors with the bitter stimuli to reduce the overall perception of bitterness). Additionally, cooling agent neohesperidin dihydrochalcone can also be used as a flavor and/or sweetener.

[0026] In certain embodiments, a provided film comprises a colorant. Colorants can be added to enhance the aesthetic appeal of the oral film, especially when formulation ingredients or drugs are presented in insoluble or suspension form. Generally, any colorant could be added, such as for example titanium dioxide, iron oxides or FD&C pigments. In certain embodiments, a colorant represents 0% to about 1% based on the dry weight of all the components of the film. In certain embodiments, a colorant represents about 0.001% to about 1% based on the dry weight of all the components of the film. In certain embodiments, a colorant represents about 0.1% to about 0.5% based on the dry weight of all the components of the film.

[0027] In certain embodiments, a provided film comprises a surfactant. Exemplary edible surfactants include, but are not limited to, sorbitan fatty acid esters (e.g., sorbitan monoisostearate, sorbitan monolaurate, sorbitan monooleate, sorbitan monopalmitate, sorbitan monostearate, sorbitan sesquisteate, sorbitan sesquioleate, sorbitan trilaurate, sorbitan trioleate, sorbitan tristearate), sucrose palmitate, glyceryl monooleate, vitamin E polyethylene glycol succinate, propylene glycol monolaurate, myristyl alcohol, polyoxyethylene alkyl ethers, polyoxyethylene castor oil derivatives, sodium lauryl sulfate, and propylene glycol dilaurate. In certain embodiments, a surfactant represents about 0.01% to about 5 % based on the dry weight of all the components of the film. In certain embodiments, a surfactant represents about 0.4% to about 0.7% based on the dry weight of all the components of the film.

[0028] In certain embodiments, a provided film comprises: about 30-95% by weight of polyvinyl acetate as a film forming polymer; about 0.001-1% by weight of sodium lauryl sulfate as a film forming polymer dispersant; about 0.01-7.5% by weight of povidone as a film forming polymer dispersant; about 1-21% by weight of polyvinyl alcohol or hydroxypropylmethylcellulose as a

stabilizer; and about 1-22% by weight of sodium carboxymethylcellulose as a disintegrant, wherein the total percentage by weight of all components does not exceed 100%. These proportions (and those that follow in this paragraph) are without taking into account the active substance to be included in the formulation. In certain embodiments, polyvinyl acetate comprises about 30-60% by weight of the film. In certain embodiments, the film comprises a polyvinyl acetate dispersion containing sodium lauryl sulfate and povidone as about 50% by weight of the film. In certain embodiments, povidone comprises about 1-6.5% by weight of the film. In certain embodiments, sodium lauryl sulfate comprises about 0.04-0.7% by weight of the film. In certain embodiments, polyvinyl alcohol or hydroxymethylcellulose comprises about 5-17.5% by weight of the film. In certain embodiments, polyvinyl alcohol comprises about 15% by weight of the film. In certain embodiments, the film includes a disintegrant. In certain embodiments, the disintegrant is sodium carboxymethylcellulose, present in an amount of about 5-20% by weight of the film. In certain embodiments, sodium carboxymethylcellulose comprises about 15% by weight of the film. In certain embodiments, the film further comprises triethylcitrate. In certain embodiments, the film further comprises triethylcitrate as about 5% by weight of the film.

[0029] In certain embodiments, the film further comprises citric acid. Citric acid can act as a plasticizer and also as a component of a buffer system. In certain embodiments, the film further comprises citric acid as about 3.5-7% based on the dry weight of all the components of the film. In certain embodiments, the film further comprises neohesperidine dihydrochalcone. In certain embodiments, the film further comprises neohesperidine dihydrochalcone as about 0.5-1% based on the dry weight of all the components of the film. In certain embodiments, the film further comprises monoammonium glycyrrhizinate as about 0.5-1% based on the dry weight of all the components of the film. In certain embodiments, the film further comprises sucralose. In certain embodiments, the film further comprises sucralose as about 0.5-5% based on the dry weight of all the components of the film. In certain embodiments, the film further comprises a flavoring agent. In certain embodiments, the film further comprises a flavoring agent as about 2.5-5% by weight of the film. In certain embodiments, the film further comprises a colorant. In certain embodiments, the film further comprises a colorant as about 0.5-1% by weight of the film.

[0030] In certain embodiments, a provided film comprises: about 30-95% by weight of shellac as a film forming polymer; about 1-21% by weight of polyvinyl alcohol or hydroxypropylmethylcellulose as a stabilizer; and about 1-22% by weight of sodium

carboxymethylcellulose as a disintegrant, wherein the total percentage by weight of all components does not exceed 100%. These proportions (and those that follow in this paragraph) are without taking into account the active substance to be included in the formulation. In certain embodiments, shellac comprises about 30-60% by weight of the film. In certain embodiments, shellac comprises about 50% by weight of the film. In certain embodiments, polyvinyl alcohol or hydroxypropylmethylcellulose comprises about 5-17.5% by weight of the film. In certain embodiments, polyvinyl alcohol or hydroxypropylmethylcellulose comprises about 10-20% by weight of the film. In certain embodiments, hydroxypropylmethylcellulose comprises about 20% by weight of the film. In certain embodiments, sodium carboxymethylcellulose comprises about 5-20% by weight of the film. In certain embodiments, sodium carboxymethylcellulose comprises about 17.5% by weight of the film. In certain embodiments, the film further comprises propylene glycol. In certain embodiments, the film further comprises propylene glycol as about 9.5% by weight of the film. In certain embodiments, the film further comprises neohesperidine dihydrochalcone. In certain embodiments, the film further comprises monoammonium glycyrrhizinate as about 0.5-1% based on the dry weight of all the components of the film. In certain embodiments, the film further comprises sucralose. In certain embodiments, the film further comprises a flavoring agent. In certain embodiments, the film further comprises a colorant.

[0031] In certain embodiments, a provided film comprises: about 19-95% by weight of methacrylate copolymer as a film forming polymer; about 0.001-5% by weight of macrogol cetostearyl ether and sodium lauryl sulfate, or sorbic acid and sodium hydroxide, as film forming polymer dispersants; about 1-21% by weight of polyvinyl alcohol or hydroxypropylmethylcellulose as a stabilizer; and about 1-22% by weight of sodium carboxymethylcellulose as a disintegrant, wherein the total percentage by weight of all components does not exceed 100%. These proportions (and those that follow in this paragraph) are without taking into account the active substance to be included in the formulation. In certain embodiments, methacrylate copolymer comprises about 19-60% by weight of the film. In certain embodiments, the film comprises a methacrylate copolymer dispersion containing macrogol cetostearyl ether and sodium lauryl sulfate, or sorbic acid and sodium hydroxide as about 50%-60%, or about 55%, by weight of the film. In certain embodiments, polyvinyl alcohol or hydroxypropylmethylcellulose comprises about 5-17.5% by weight of the film. In certain

embodiments, polyvinyl alcohol comprises about 15% by weight of the film. In certain embodiments, sodium carboxymethylcellulose comprises about 5-20% by weight of the film. In certain embodiments, sodium carboxymethylcellulose comprises about 20% by weight of the film. In certain embodiments, the film further comprises glycerol. In certain embodiments, the film further comprises glycerol as about 10% by weight of the film. In certain embodiments, the film further comprises neohesperidine dihydrochalcone. In certain embodiments, the film further comprises monoammonium glycyrrhizinate as about 0.5-1% based on the dry weight of all the components of the film. In certain embodiments, the film further comprises sucralose. In certain embodiments, the film further comprises a flavoring agent.

[0032] In any of the foregoing embodiments, a provided oral dispersible film may contain one or more active agents, e.g., pharmaceutical agent, nutraceutical agent, cosmetic agent, supplement. In embodiments, the active agent is included in an amount from about 0.001% to 60% based on the weight of all the components of the film. In embodiments, the active agent is included in an amount from about 0.1% to 45% based on the weight of all the components of the film. In embodiments, the active agent is included in an amount from about 1% to 40% based on the weight of all the components of the film.

[0033] In certain embodiments, a pharmaceutical agent is included in an oral dispersible film described herein. In certain embodiments, the oral dispersible film can be designed such that a pharmaceutical agent included therein has a local effect. In certain embodiments, the oral dispersible film can be designed such that a pharmaceutical agent included therein is absorbed by the oral mucosa. In certain embodiments, the oral dispersible film can be designed such that a pharmaceutical agent included therein mimics the pharmacokinetics of a traditional dosage form (e.g., an oral dosage form), such as a marketed dosage form, such as a capsule or tablet. Non-limiting examples of pharmaceutical agents that may be included in an oral dispersible film described herein include 5HT3 antagonists, Ace inhibitors, alcohols, alkaloid narcotics, alkaloids, alpha-1-adrenergic receptor antagonists, amides, amino acid preparations, anabolic preparations, barbiturate acid derivatives, benzodiazepines and derivatives, bromides, beta-adrenergic antagonists, dopamine D1/D2 antagonists, H2 antagonists, mineralocorticoids, monoamine oxidase inhibitors, acne drugs, agents for virulent carcinoma, Alzheimer's disease medicines, analeptics, analgesics, anesthetics, antacids, CGRP receptor antagonists, antiallergic medications, antianginal drugs, anti-anxiety agents, anti-arrhythmias, anti-asthmatics,

antibiotics, anti-cholesterolemics, anticoagulants, anticonvulsants, antidepressants, antidiabetic drugs, anti-diarrhea preparations, anti-emetics, anti-epileptics, antifungals, antihistamines, anti-hypertensive drugs, anti-inflammatory drugs, anti-inflammatory anodynes, anti-inflammatory enzymes, anti-inflammatory steroids, anti-lipid agents, anti-malarials, anti-maniacs, anti-migraines, anti-nauseants, anti-neoplastics, anti-obesity drugs, antiparasitic agents, anti-Parkinsonian agents, anti-periodontitis agents, antipodagrics, antipsychotics, anti-pyretics, including analgesic anti-pyretics, anti-rheumatic agents, antispasmodic agents, anti-stroke agents, anti-thrombotic agents, anti-thyroid preparations, anti-tumor drugs, antitussives, anti-ulcer agents, anti-uricemic drugs, antivirals, appetite stimulants, appetite suppressants, awakening agents, biological response modifiers, blood coagulation inhibitors, blood modifiers, blood pressure depressing agents, blood vein dilating agents, blood vessel protective agents, bone metabolism regulators, bronchodilators, carbamates, cardiac strengthening agents, cardiotonic drugs, cardiovascular agents, central nervous system stimulants, cerebral circulation agents, cerebral dilators, chemically therapeutic agents, chemotherapeutics, narcotics, chloral derivatives, cholagogues, cholinesterase inhibitors, contraceptives, coronary dilators, cough curing agents, cough suppressants, decongestants, dermatological agents, diabetic angina agents, digesting organ curing agents, diuretics, DNA and genetic modifying drugs, drugs for renal failure, drugs for treating gastric disorders, drugs which selectively modify CNS function, hormone replacement therapies, emetic agents, endometriosis management agents, enzymes, erectile dysfunction therapies, erythropoietic drugs, expectorants, fertility agents, gastrointestinal agents, glucocorticoids, steroids, hardening agents, hemostatic agents, homeopathic remedies, hormonal drugs, hormones, hyperglycemic agents, hypoglycemic agents, hypercalcemia and hypocalcemia management agents, hypnotics, hypolipidemic drugs, hypotensives, immunomodulators, immunosuppressives, intestinal regulators, ion exchange resins, laxatives, local anesthetic agents, local narcotic agents, lupus erythematosus agents, metabolism ameliorators, migraine treatments, miotic agents, motion sickness treatments, mucolytic agents, muscle relaxants, narcotic analgesics, narcotic antagonists, neuromuscular blocking agents, neuromuscular drugs, neuroprotective agents, non-cyclic ureides, nootropics, obesity management agents, ophthalmic agents, osteoporosis drugs, ovarian hormones, oxytocic agents, oxytocics, parasympatholytics, parasympathomimetics, pepsin inhibitors, peripheral vasodilators, peristaltic stimulants, piperidinediones, progestogens, prolactin inhibitors, prostaglandins,

protease inhibitors, proton pump inhibitors, psychoneurotopic agents, psychopharmacological drugs, psychotherapeutic agents, psychotropics, quinazalone derivatives, respiratory agents, respiratory stimulants, rhinitis drugs, sedatives, somnifacients, selective serotonin reuptake inhibitors, sexual hormones, skeletal muscle relaxants, smoking cessation agents, sore throat and mouth treatments, periodontal disease treatments, statins, stomachics, styptic agents, sympatholytics, systemic anti-infective agents, nonsystemic anti-infective agents, terine relaxants, thrombolytic agents, thyroid preparations, antithyroid preparations, thyroid hormones, tranquilizers, tranquilizer antipsychotics, treatments for acute radiation exposure, treatments for attention-deficit hyperactivity disorder, treatments for glaucoma, treatments for gout, treatments for Sjorgren's syndrome, tremor preparations, ulcer treatments, uricosuric agents, urinary tract agents, vaccines, vasoconstrictors, vasodilators, vasopressors, and veterinary drugs. For example, in some embodiments, a pharmaceutical agent included in an oral dispersible film described herein is 2'-deoxycytidine 5'-monophosphate, 2':3'-cyclic monophosphate, 2'-deoxyadenosine 5'5'-triphosphate, 2'-deoxyadenosine 5'-monophosphate, 2'-deoxyguanosine 5'-monophosphate, 3',5'-cyclic monophosphate, 5'-monophosphate, 8B/9A-substituted oestra-L 3,5(10)-triene, acetaminophen, acycloguanosine, adenosine 3',5'-cyclic monophosphate, alaproclate, alexidine, alfalcaldol, almotriptan, alprazolam, ambrisentan, ambroxol, ambroxol hydrochloride, amitriptyline hydrochloride, amlodipine, amobarbital, amphotericin B, apomorphine, aprepitant, aripipazole, ascorbic acid, asenapine, aspirin, atenolol, atomoxetine, ATP, avitriptan, azasetron, azathioprine, batanopride, benzalkonium chloride, benzocaine, benzonatate, beta-histine, betamethasone, betaxolol, bis-biguanide, bretazenil, bromazepam, brompheniramine maleate, buprenorphine, buprenorphine hydrochloride, caffeine, caramiphen edisylate, carbamazepime, carbinoxamine maleate, cetirizine, cetirizine hydrochloride, cetyl pyridium chloride, cetylpyridinium chloride, chlophedianol hydrochloride, chlordiazepoxide, chlorhexidine, chlorhexidine digluconate and tetracaine combination, chlorpheniramine, chlorpromazine, cimetidine, ciprofloxacin, citalopram, clebopride, clemastine fumarate, clonazepam, clonixine, clozapine, cobamamide, codeine, cyclobenzaprine, cyclophosphamide, cytidine 5'-monophosphate, dalfampridine, dasatinib, dazopride, D-chlorpheniramine maleate, dapoxetine, dapoxetine and tadalafil, deferiprone, delmopinol, desloratadine, dexchlorpheniramine maleate, dexketoprofen, dextromethorphan, dextromethorphan hydrobromide, diazepam, diclofenac, diclofenac sodium, diclofenac potassium, dicyclomine hydrochloride, diflunisal, dimenhydrinate,

diphenhydramine citrate, diphenhydramine hydrochloride, diphenylpyraline hydrochloride, dolasetron, domiphen bromide, domperidone, donepezil hydrochloride, doxylamine succinate, dronabinol, dutasteride, EDTA, eletriptan, enalapril, enzalutamide, enoxacin, erlotinib, estazolam, estradiol, etoricoxib, everolimus, exemestane, ezetimibe, eszopiclone, famotidine, fenoprofen calcium, fentanyl, finasteride, fingolimod, flumazenil, flurazepam, fluorides, fluoxetine, fluvoxamine, frovatriptan, galantamine, glatiramer acetate, growth hormone releasing peptide-2, glimepiride, granisetron, grepafloxacin, guaifenesin, guanosine 2':3'-cyclic monophosphate, guanosine 2'-monophosphate, guanosine 3',5'-cyclic monophosphate, guanosine 3'-monophosphate, guanosine 5'-monophosphate, haloperidol, hexetidine, hydrocodone, hydrocortisone, hydromorphone, ibuprofen, imatinib, imipramine hydrochloride, indomethacin, inosine 5'-monophosphate, iodine, ipecac, isopropyl antipyrine, itasetron, ketoprofen, ketotifen, ketotifen fumarate, lansoprazole, lenalidomide, L-arginin, levobetaxolol hydrochloride, levodopa, levofloxacin, levorphanol, levosulpiride, levothyroxine, linacotide, lisinopril, liothyronine, L-lysine, lomefloxacin, loperamide, loperamide hydrochloride, loratidine, lorazepam, lormetazepam, L-valine, meclizine, mecobalamin, mefanamic acid, melatonin, melatonin analog, meloxicam, memantine, mequitazine, methadone, methylphenidate, metoclopramide, metopon, metopimazine, montelukast sodium, morphine, morphine sulfate, mosine 5'-monophosphate, nabilone, nalidixic acid, nalorphine, naloxone, naloxone hydrochloride dehydrate, naltrexone, naproxen, naratriptan, neramexane, nicotine, nicotine analog, nicotinic acid, nifedipine, nilvadipine, nimesulide, nisin formulations, nitrazepam, nitroglycerin, N-tetradecyl-4-ethylpyridinium chloride, nystatin, octapinol, octenidine, olanzapine, omeprazole, ondansetron, ondansetron base, orbifloxacin, oseltamivir carboxylate, oxazolam, oxybutinine, oxycodone, oxymorphone, palonosetron, pancopride, paracetamol, paroxetine, pentobarbital, phenols, phenylephrine, phenylpropanolamine, picosulfate sodium, piroxicam, potassium iodide, pramipexole, prednisolone, prelanenant, prochlorperazine, progesterone, progestin, promethazine hydrochloride, pronase, propranolol, propiverine, propoxyphene, pseudoephedrine hydrochloride, pyrilamine maleate, quaternary ammonium salts, romasetron, ranitidine, rasigiline, remacemide, repaglinide, risperidone, rivaroxaban, rivastigmine, rivastigmine tartrate, rizatriptan, roflumilast, ropinirole, rosuvastatin, roxithromycin, salbutamol, salicylanilide, salivary gland hormone, sanguinarine, scopolamine, selegiline, serrapeptase, sertraline, sildenafil, sildenafil citrate, simethicone, simvastatine,

solifenacin, streptodornase, streptokinase, structural homolog of adenosine 5'monophosphate, sulfonamide, sulpiride, sumatriptan, sunitinib, tadalafil, tapentadol, tecfidera, tegafur, teriflunomide, terpin hydrate, tetradecylpyridinium chloride, tetrahydrolipstatin, thalidomide, thiamazole, thiocolchicine derivatives, timidazole, tocopherol nicotinate, tolmetin sodium, topiramate, tramadol hydrochloride, triazolam, triclosan, trihexyphenidyl, trimetazidine, trimethobenzamide, tripelennamine citrate, tripolyphosphate sodium, triprolidine hydrochloride, tropisetron, umatriptan, uridine 5'-monophosphate, valproic acid, vardenafil, vardenafil hydrochloride, varenicline, vinpocetine, xanthone, xycodone, zatosetron, zinc compounds, zinc histidine, zolmitriptan, or zolpidem. Combinations of pharmaceutical agents (e.g., those described herein) may also be used in a single oral dispersible film preparation.

**[0034]** In certain embodiments, a nutraceutical agent or supplement is included in an oral dispersible film described herein. In certain embodiments, the oral dispersible film can be designed such that a nutraceutical agent or supplement included therein has a local effect. In certain embodiments, the oral dispersible film can be designed such that a nutraceutical agent or supplement included therein is absorbed by the oral mucosa. In certain embodiments, the oral dispersible film can be designed such that a nutraceutical agent or supplement included therein mimics the pharmacokinetics of a traditional dosage form (e.g., an oral dosage form), such as a marketed dosage form, such as a capsule or tablet. Non-limiting examples of nutraceutical agents and supplements that may be included in an oral dispersible film described herein include anesthetics, antibacterials, steroids, anticaries agents, anti-cavity ingredients, anti-gingivitis agents, anti-inflammatory agents, antioxidants, antiperspirants, antiplaque agents, antitussives, cold prevention agents, cold and allergy treatments, cough treatments, dermatological agents, diarrhea treatments, enzymes, erectile dysfunction treatments, female sexual dysfunction treatments, heartburn and dyspepsia agents, hemostatics, herbals, hydration agents, oral hygiene treatments, periodontal actives, periodontal disease drugs, pH control agents, plaque disclosing agents, pre-treatment and treatment for exposure to chemical weapons, provitamins, respiratory disorder treatments, sleep aids, smoking cessation, sore throat agents, stimulants, stomatitis therapies, tartar control agents, vaccines, vitamin derivatives, vitamin extracts, and vitamins. For example, in some embodiments, a nutraceutical agent or supplement included in an oral dispersible film described herein is acerola, electrolytes, aloe, aluminum, amino acids, anise, antibiotics, antimicrobial essential oil, apple extract, arsenic, balsam pear, barium chlorite,

benzocaine, beta-carotene, beta-glucans, bicarbonate, bioflavonoids, biotene (glucose oxidase lactose peroxidase and lysozyme), biotin, blueberry, boron, breath freshening agents, bromine, buckwheat, cadmium, calcium, calcium chlorite, calcium peroxide, carbohydrates, carbonate, carvacrol, catechol, cevimeline, chitosan, chlorides, chlorine, chlorine dioxide, choline, chromium, cinnamon, citral, cobalt, coenzyme Q10, copper, DHA, edible organic acid, EPA, erythritol, essential oils, eucalyptol, evening primrose, fluorine, folic acid, garlic, geraniol, germinated unpolished rice, ginkgo leaf, glucose tolerance factor chromium, glutathione, glycerin, grapefruit extracts, green tea, green tea extracts, guava sesame, herb extracts, herbs, hinokitiol, huperzine-A, hydrogen peroxide, hydrogen peroxide adduct of carbodiimide persulfate, hydroperoxide, inositol, iodine, iron, isomaltulose, kava-kava extract (e.g., standardized to 30% kavalactones), lactitol, lactobacillus, lithium, lithium chlorite, magnesium, magnesium chlorite, maltitol powdered hydrogenated glucose syrup, manganese, mannitol, manose, matrimony vine (e.g. lychium Chinese), melatonin, menthol, meswak extract, metal chlorite, methylsalicylate, minerals, molybdenum, molybdenum nickel, momordicae fructus, mugwort, mulberry leaf, niacin (vitamin B3), niacin amide, oils, organic peroxides, PABA, pantethine, pantothenic acid, papain, parched bean flour, peppermint, perborate salt, perboric acid, percarbonate salt, perilla, peroxide generating compounds, peroxyacids, peroxy carbamate, persulfate salt, persulfates, phenol, phosphate ions, phosphorus, pilocarpine, polyalcohol, potassium, potassium chlorite, protein, PVP-hydrogen peroxide complex, pyridoxine (vitamin B6), red ginseng extracts, riboflavin, rose hip, seaweed extracts, selenium, silicon, sodium, sodium chlorite, sorbitol, soybean isoflavone, spearmint, strontium, sugar, superoxide dismutase, sweet tea, tea tree oil, thiamine, thyme oil, thymol, tin, tree and plant components/extracts, turmeric, eucalyptol, vanadium, vanadium glutathionine, vitamin A, vitamin B complex, vitamin B1, vitamin B12, vitamin B2, vitamin B6, vitamin C, vitamin D, vitamin D3, vitamin E, vitamin K, vitamin P, vitamins, wintergreen, or zinc.

**[0035]** Other agents that may be included in oral dispersible films described herein include antidotes, antigens or allergens, recombinant allergens, allergoids, antimicrobial agents, antiperspirants, antiseptics, anti-smoking formula, aromatizing agents, botanicals, breath deodorizing agents, breath freshening agents, breath masking agents, Chinese medicines, comfort agents, conditioning agents, cosmetic agents, deodorant actives, diet formula, dyes, emollients, flavor masking agents, flavors, food products, fragrances, heating agents, humectants, insects,

malodor control agents, minerals, moisturizers, mouthwash components, oral band, oral freshness formula, proteins, refreshment agents, saliva stimulating agents, sexual enhancement formula, sugars, veterinary agents, whitening agents, wound-burn protective agents, wound-healing drugs, and homeopathic medicines.

[0036] The amount of an agent (e.g. pharmaceutical agent, nutraceutical agent, supplement, or cosmetic agent) or combinations thereof included in a provided oral dispersible film will depend on the indication target population. In some embodiments, a provided oral dispersible film contains an effective amount of an agent. The term “effective amount” as used herein, refers to a sufficient amount of agent to produce a desired outcome. The exact amount required will vary from subject to subject, depending on the species, age, and general condition of the subject, the indication, the particular agent, and the like. In some embodiments, when the agent is a pharmaceutical agent or nutraceutical agent, the oral dispersible film contains a therapeutically effective amount of the agent. The term “therapeutically effective amount” as used herein refers to a sufficient amount of a pharmaceutical or nutraceutical agent to achieve the intended purpose, such as, for example, to cause a reduction of symptoms of a disease.

[0037] It will be understood that the total daily usage of film described herein may be decided by an attending physician within the scope of sound medical judgment. The specific therapeutically effective dose level for any particular patient or organism will depend upon a variety of factors including the disorder being treated and the severity of the disorder; the activity of the specific compound employed; the specific composition employed; the age, body weight, general health, sex and diet of the patient; the time of administration, route of administration, and rate of excretion of the specific compound employed; the duration of the treatment; drugs used in combination or coincidental with the specific compound employed; and like factors well known in the medical arts (see, for example, Goodman and Gilman’s, “The Pharmacological Basis of Therapeutics”, Tenth Edition, A. Gilman, J. Hardman and L. Limbird, eds., McGraw-Hill Press, 155-173, 2001).

[0038] In certain embodiments, a provided film may be administered to the oral mucosa or other mucous membranes where they are rapidly disintegrated by saliva and/or other aqueous materials on the mucosal surface. In certain embodiments, a provided film may be administered to the oral mucosa or other mucous membranes where they disintegrate at a moderate rate which can favor drug substance adsorption by the oral mucosa. In certain embodiments, upon disintegration, a

provided film releases one or more agents (e.g., pharmaceutical agent, nutraceutical agent, supplement, or cosmetic agent) to the mucous membranes. A provided film may be administered in such a manner so as to deliver an effective amount of an agent.

**[0039]** Hydrophilic polymers tend to easily absorb surrounding moisture, leading to a structural modification of the polymer matrices regarding their mechanical and physical properties. The oral dispersible films based on hydrophilic polymers tend to become sticky and less ductile over time. Indeed, it is necessary to strictly control relative humidity during the manufacture of traditional oral dispersible films. In certain embodiments, oral dispersible films provided herein are more hydrophobic in order to decrease sensitivity to humidity.

**[0040]** The relative hydrophobicity/hydrophilicity of a membrane surface is commonly determined by contact angle measurements. This property is characterized by a small liquid droplet resting on a flat horizontal solid / liquid surface. In hydrophilic materials the droplet completely spread out on the solid surface and the contact angle tends to be  $0^\circ$ , since the liquid is strongly attracted to the solid surface. In turn, less hydrophilic materials present higher contact angles closest to  $90^\circ$ . Generally, highly hydrophilic surfaces have contact angles of  $0^\circ$  to  $30^\circ$ , whereas highly hydrophobic surfaces have water contact angles as high as  $150^\circ$  or even nearly  $180^\circ$ . Regarding to these surfaces, the water droplets do not actually wet the surface at any significant extent which would compromise the disintegration of the oral dispersible film. In certain embodiments, a desirable oral dispersible film provided herein relies in a balance between these properties, elevated contact angle and enough wettability for a desirable disintegration time.

**[0041]** In certain embodiments, an orodispersible film described herein has a rapid disintegration time. In certain embodiments, an orodispersible film described herein has a disintegration time less than or equal to 90 seconds. In certain embodiments, an orodispersible film described herein has a disintegration time less than or equal to 60 seconds. In certain embodiments, an orodispersible film described herein has a disintegration time less than or equal to 45 seconds. In certain embodiments, an orodispersible film described herein has a disintegration time less than or equal to 30 seconds. In certain embodiments, an orodispersible film described herein has a disintegration time less than or equal to 20 seconds. In certain embodiments, an orodispersible film described herein has a disintegration time less than or equal to 15 seconds. In certain embodiments, an oral dispersible film described herein has a disintegration time less than or

equal to 10 seconds. In certain embodiments, an oral dispersible film described herein has a disintegration time less than or equal to 8 seconds. In certain embodiments, an orodispersible film described herein has a disintegration time less than or equal to 5 seconds. In certain embodiments, an orodispersible film described herein has a moderate disintegration time, such as between 4 and 8 minutes.

[0042] In certain embodiments, a provided film has a thickness in the range of about 20  $\mu\text{m}$  to about 3000  $\mu\text{m}$ . In certain embodiments, a provided film has a thickness in the range of about 20  $\mu\text{m}$  to about 2000  $\mu\text{m}$ . In certain embodiments, a provided film has a thickness in the range of about 20  $\mu\text{m}$  to about 1200  $\mu\text{m}$ . In certain embodiments, a provided film has a thickness of about 20  $\mu\text{m}$ , about 50  $\mu\text{m}$ , about 75  $\mu\text{m}$ , about 100  $\mu\text{m}$ , about 125  $\mu\text{m}$ , about 150  $\mu\text{m}$ , about 175  $\mu\text{m}$ , about 200  $\mu\text{m}$ , about 225  $\mu\text{m}$ , about 250  $\mu\text{m}$ , about 300  $\mu\text{m}$ , about 400  $\mu\text{m}$ , about 500  $\mu\text{m}$ , about 600  $\mu\text{m}$ , about 700  $\mu\text{m}$ , about 800  $\mu\text{m}$ , about 900  $\mu\text{m}$ , about 1000  $\mu\text{m}$  about 1500  $\mu\text{m}$  about 2000  $\mu\text{m}$  or about 2500  $\mu\text{m}$ . In any of the foregoing embodiments, the film may be a monolayer.

[0043] The representative examples which follow are intended to help illustrate the invention, and are not intended to, nor should they be construed to, limit the scope of the invention. Indeed, various modifications of the invention and many further embodiments thereof, in addition to those shown and described herein, will become apparent to those skilled in the art from the full contents of this document, including the examples which follow and the references to the scientific and patent literature cited herein. It should further be appreciated that, unless otherwise indicated, the entire contents of each of the references cited herein are incorporated herein by reference to help illustrate the state of the art. The following examples contain important additional information, exemplification and guidance which can be adapted to the practice of this invention in its various embodiments and the equivalents thereof.

[0044] These and other aspects of the present invention will be further appreciated upon consideration of the following Examples, which are intended to illustrate certain particular embodiments of the invention but are not intended to limit its scope, as defined by the claims.

### Examples

[0045] An exemplary preparation is described below.

[0046] In certain embodiments, a solution is prepared by dissolving a disintegrant and a stabilizer in ultra-purified water or in a mixture of water and ethanol. After complete dissolution a plasticizer is added, and the solution is kept under magnetic stirring at high shear rate, e.g., for at least 1 hour. A film forming polymer solution is added and the high shear rate agitation is maintained, e.g., for 2 hours. The final solution is maintained until complete homogeneity. In certain embodiments, when additives such as colorants, flavors, or sweeteners are included in the formulation, they are added prior to film forming polymer addition. In certain embodiments, the procedure is performed at room temperature.

[0047] In certain embodiments, film solutions are cast in release lines (substrate) with a film applicator. To adjust for different heights a vertically adjustable doctor knife may be used and film solutions are cast, e.g., with speeds of 18 mm/s. In certain embodiments, a provided film is cast with a gap of 250-500  $\mu\text{m}$ . In certain embodiments, cast films are dried in an appropriate equipment, e.g., at 40 °C, until dryness. The duration of dryness depends on the properties of the formulation and polymer.

### Example 1

[0048] The disintegrant (NaCMC) and the stabilizer (HPMC or PVA) are dissolved in ultra-purified water or in a mixture of water and ethanol. The solution can be heated for PVA fast dissolution. After their completely dissolution, the plasticizer is added, and the solution is kept under magnetic agitation at high shear rate, for at least 1 hour. Finally, the PVAc dispersion is added and the high shear rate agitation is maintained for more 2 hours. The film solution is cast in release liners (substrate) with a film applicator. To adjust to different heights a vertically adjustable doctor knife is used and the film solutions are cast with a maximum speed of 18 mm/s. The films are cast with a gap of 250-500  $\mu\text{m}$ , but preferentially at 300  $\mu\text{m}$ . The cast films are dried at 40 °C. The duration of dryness depends on the properties of each polymer.

Table 1 – Rapid disintegration films with high contact angle containing PVAc dispersion, a stabilizer (PVA), a disintegrant (NaCMC) and a plasticizer (Triethylcitrate); laboratory preparation.

Films			
1	2	1	2

	mixture (g)		%w/w film	
PVAc dispersion (Kollicoat SR 30D)	2,39	3,11	43,46%	55,16%
PVA (Mowiol 4-88)	0,30	0,26	19,54%	17,09%
Triethylcitrate	0,26	0,15	17,43%	10,68%
NaCMC (Blanose)	0,30	0,26	19,57%	17,07%
Water	9,78	7,37		
Young's Modulus (Mpa)	577,3	678,5		
Elongation (%)	9,53	45,82		
Tensile strength	7,04	9,80		
Water content (%)	6,875	5,470		
Disintegration time (s)	5	7		
Contact angle (°)	89,18	72,69		

### Example 2

[0049] The disintegrant (NaCMC) and the stabilizer (HPMC or PVA) are added to 80% of the quantity of the ultra-purified water under stirring. The solution can be heated for PVA fast dissolution. After their completely dissolution and cooling, the additives (citric acid, mannitol, sucralose, mono-ammonium glycyrrhizinate) and the other 20% of the water are added under stirring for at least 1 hour or until complete dissolution. The PVAc dispersion is added at higher shear rate and maintained for at least 2 hours. The film solution is cast with a film applicator preferentially at 300  $\mu$ m. The cast films are dried at 40 °C. The duration of dryness depends on the properties of each polymer.

Table 2a - Rapid disintegration oral films with high contact angle containing a mixture of the main film-forming components, flavors, sweeteners, colorants, other additives; laboratory preparation (amounts given in grams).

	Films							
	3	4	5	6	7	8	9	10
	mixture (g)							
PVAc dispersion (Kollicoat SR 30D)	2,94	3,27	2,52	3,33	3,32	2,5	2,74	2,85
PVA (Mowiol 4-88)	0,26	0,11	0,23	0,23	0,11	0,32	0,31	0,29

Triethylcitrate	0,09	0,16		0,15	0,02			
PEG 6000						0,21	0,15	0,12
NaCMC (Blanose)	0,26	0,22	0,23	0,10	0,22	0,31	0,30	0,29
Citric acid	0,09		0,14	0,08		0,02	0,03	0,04
Mannitol		0,08	0,15		0,08			
Mono- ammonium glycyrrhizinate		0,01	0,07					
Sucralose			0,06		0,06			
Maltodextrins		0,05		0,05	0,05			
Strawberry flavor					0,08			
Red iron oxide		0,01	0,01					
Water	1,86	1,26	4,84	0,81	1,91	2,03	2,66	2,12
Young's Modulus (Mpa)	457,4	330,2	585,9	292,4	901,4	1294	1089	1023,0
Elongation (%)	25,68	38,65	1,115	81,94	6,795	12,41	7,11	8,085
Tensile strength	10,07	2,44	9,44	3,54	23,39	0,79	0,52	0,65
Water content (%)	4,66	3,73	5,445	3,095	4,255	6,310	4,655	4,715
Disintegration time (s)	13,50	17,06	28,50	17,30	46,22	16,00	10,50	11,00
Contact angle (°)	58,8	64,2	79,8	57,5	74,4	53,5	73,8	73,6

Table 2b - Rapid disintegration oral films with high contact angle containing a mixture of the main film-forming components, flavors, sweeteners, colorants, other additives; laboratory preparation (amounts given in %w/w).

	Films							
	3	4	5	6	7	8	9	10
	%w/w film							
PVAc dispersion (Kollicoat SR 30D)	52,8%	58,9%	43,2%	59,9%	59,9%	43,1%	44,3%	48,1%
PVA (Mowiol 4-88)	16,8%	7,0%	14,6%	15,2%	7,2%	19,5%	20,9%	20,4%
Triethylcitrate	6,9%	10,6%		10,0%	1,3%			
PEG 6000						15,8%	13,8%	9,8%
NaCMC (Blanose)	17,4%	14,5%	14,5%	6,9%	14,5%	20,5%	20,0%	19,7%
Citric acid	6,0%		9,1%	5,0%		1,2%	1,0%	2,0%
Mannitol		5,0%	9,5%		5,1%			
Mono-ammonium		0,5%	4,7%					

glycyrrhizinate				
Sucralose		3,9%		4,0%
Maltodextrins	3,0%		3,0%	3,0%
Strawberry flavor				5,0%
Red iron oxide	0,4%	0,5%		

### Example 3

[0050] The disintegrant (NaCMC) and the stabilizer (HPMC or PVA) are dissolved in ultra-purified water or in a mixture of water and ethanol. The solution can be heated for PVA fast dissolution. After their completely dissolution, the plasticizer is added, and the solution is kept under magnetic agitation at high shear rate, for at least 1 hour. The Shellac aqueous solution is added and the high shear rate agitation is maintained for more 2 hours. The film solution is cast in release liners (substrate) with a film applicator preferentially at 300  $\mu\text{m}$ . The cast films are dried at 40 °C until dryness. The duration of dryness depends on the properties of each polymer.

Table 3 – Rapid disintegration films with high contact angle containing Shellac aqueous solution, a stabilizer (HPMC), a disintegrant (NaCMC) and a plasticizer (PEG 6000, PEG 1000, or 1,2-propanediol); laboratory preparation.

	Films							
	11	12	13	14	11	12	13	14
	mixture (g)				%w/w film			
Shellac	3,15	3,58	3,57	3,79	51,4%	57,4%	57,9%	59,8%
Aquagold)								
HPMC E5	0,30	0,26	0,30	0,30	19,6%	17,0%	19,7%	18,9%
1,2-propanediol	0,17	0,24	0,02		11,4%	15,5%	1,1%	
PEG 6000								
PEG 1000				0,01				0,8%
NaCMC								
(Blanose)	0,27	0,16	0,33	0,32	17,6%	10,1%	21,2%	20,5%
Water	5,44	3,40	7,69	7,62				
Young's	306,46	106,75	997,1	773,7				
modulus (Mpa)								
elongation (%)	1,01	2,69	0,475	0,48				
tensile strength	4,31	4,00	5,39	3,81				
water content								
(%)	5,15	4,69	4,64	5,26				

Disintegration time (s)	4,0	18,0	7,0	6,5
-------------------------	-----	------	-----	-----

#### Example 4

[0051] The disintegrant (NaCMC) and the stabilizer (PVA) are dissolved in ultra-purified water or in a mixture of water and ethanol. The solution can be heated for PVA fast dissolution. After their completely dissolution, the plasticizer is added, and the solution is kept under magnetic agitation at high shear rate, for at least 1 hour. Finally, the Methacrylate copolymer dispersion is added and the high shear rate agitation is maintained for more 2 hours. The film solution is cast in release liners (substrate) with a film applicator. To adjust to different heights a vertically adjustable doctor knife is used and the film solutions are cast with speeds of 18 mm/s. The films are cast with a gap of 250-500  $\mu\text{m}$ , but preferentially at 400  $\mu\text{m}$ . The cast films are dried at 40 °C. The duration of dryness depends on the properties of each polymer.

Table 4 – Rapid disintegration films with high contact angle containing Methacrylate copolymer, a stabilizer (PVA), a disintegrant (NaCMC) and a plasticizer (Glycerol); laboratory preparation.

	Films											
	15	16	17	18	19	20	15	16	17	18	19	20
	mixture (g)						%w/w film					
Disintegrant RL 30D	2,760	2,510	2,490	3,030	2,748	2,420	58,1%	51,6%	52,6%	59,8%	54,71%	48,1%
Glycerol	0,150	0,300	0,220	0,160	0,155	0,140	10,5%	20,6%	15,5%	10,5%	10,31%	9,3%
Blanose	0,147	0,098	0,151	0,301	0,298	0,261	10,3%	6,7%	10,6%	19,8%	19,80%	17,3%
Mowiol 4-88	0,300	0,307	0,302	0,149	0,228	0,199	21,0%	21,1%	21,3%	9,8%	15,17%	13,1%
Citric acid						0,030						1,9%
Lemon flavor						0,077						5,1%
Sucralose						0,057						3,7%
NHDC						0,015						1,0%
Yellow Iron Oxide						0,001						0,0%
Titanium dioxide						0,001						0,0%
Water	2,593	2,055	2,647	6,150	6,341	5,236						
Young's Modulus (Mpa)	450,70	161,20	219,50	488,20	596,01							
Elongation (%)	11,67	6,98	7,49	4,03	7,01							

ensile Strength (Mpa)	2,99	31,58	7,25	0,63	17,18	
ater Content (%)	5,98	5,95	5,29	6,45	7,75	
integration time (s)	19,00	19,00	44,50	33,00	27	57
ontact Angle (°)	61,12	61,24	63,54	69,61		

### Example 5

[0052] The disintegrant (NaCMC) and the stabilizer (PVA) are added to 80% of the quantity of the ultra-purified water under stirring. The solution can be heated for PVA fast dissolution. After their completely dissolution and cooling the additives (mannitol, sucralose, flavor, NHDC, citric acid, citrate tri-sodium), the drug substance and the other 20% of the water are added under stirring for at least 1 hour or until complete dissolution. The PVAc dispersion is added at higher shear rate and maintained for at least 2 hours. The film solution is cast with a film applicator, The cast films are dried at 40 °C. The duration of dryness depends on the properties of each polymer.

Table 5 – Rapid disintegration films containing drug substances; laboratory preparation.

	Films					
	21	22	23	24	25	26
	mixture (g)					
Kollicoat SR 30D	2,96	3,22	2,86	2,86	2,22	1,95
Mowiol 4-88	0,20	0,23	0,23	0,23	0,18	0,16
Blanose	0,20	0,21	0,15	0,23	0,17	0,15
Triethylcitrate	0,09	0,07	0,05	0,07	0,06	0,06
Citric acid	0,07	0,08	0,08	0,07	0,03	0,03
Mannitol	0,10	0,05	0,08	0,07	0,05	0,04
Citrate tri-sodium					0,03	0,02
Lemon flavor					0,04	0,03
Sucralose					0,04	0,03
NHDC					0,01	0,01
Pramipexole	0,05	0,02	0,17	0,08	0,30	0,45

Water	9,22	3,71	3,86	4,28	3,54	3,23
Young's Modulus (Mpa)	89,42	211,4	89,98	67,41	27,32	99,95
Elongation (%)	42,61	34,83	41,89	26,19	65,12	19,89
Tensile Strength (Mpa)	10,05	10,81	8,425	7,330	2,09	1,46
Water Content (%)	4,745	4,035	5,000	5,430	6,98	7,56
Disintegration time (s)	12,50	15,00	12,50	8	20,5	15

	Films					
	21	22	23	24	25	26
	%w/w film					
Kollicoat SR 30D	53,1%	57,4%	51,1%	51,4%	40,0%	34,9%
Mowiol 4-88	13,1%	14,9%	14,9%	15,0%	12,0%	10,4%
Blanose	13,5%	14,1%	10,0%	15,1%	11,3%	9,9%
Triethylcitrate	6,3%	4,5%	3,0%	4,4%	4,0%	3,8%
Citric acid	4,5%	5,0%	5,0%	4,5%	2,2%	1,9%
Mannitol	6,5%	3,0%	5,0%	4,5%	3,2%	2,8%
Citrate tri-sodium					1,8%	1,6%
Lemon flavor					2,4%	2,1%
Sucralose					2,4%	2,1%
NHDC					0,7%	0,6%
Pramipexole	3,1%	1,0%	11,0%	5,0%	20,0%	29,9%

### Example 6

[0053] The disintegrant (NaCMC) and the stabilizer (PVA) are added to 80% of the quantity of the ultra-purified water under stirring. The solution can be heated for PVA fast dissolution. After their completely dissolution and cooling the additives (sucralose, flavor, NHDC, citric acid, citrate tri-sodium), the drug substance and the other 20% of the water are added under stirring for at least 1 hour or until complete dissolution. The Methacrylate copolymer dispersion is added at higher shear rate and maintained for at least 2 hours. The film solution is cast with a film applicator. The cast films are dried at 40 °C. The duration of dryness depends on the properties of each polymer.

Table 6 – Orodispersable films with Methacrylate copolymer containing drug substances; laboratory preparation.

	Films							
	27	28	29	30	27	28	29	30
	mixture (g)				%w/w film			
Eudragit RL 30D	2,734	2,720	2,679	1,923	54,40%	54,12%	53,62%	38,62%
Glycerol	0,160	0,159	0,151	0,107	10,60%	10,55%	10,04%	7,14%
Blanose	0,295	0,293	0,290	0,208	19,57%	19,44%	19,34%	13,89%
Mowiol 4-88	0,225	0,225	0,217	0,155	14,93%	14,91%	14,49%	10,33%
Pramipexole	0,008	0,015	0,038	0,450	0,51%	0,99%	2,51%	30,02%
Water	5,800	5,793	5,730	4,716				
Young's Modulus (Mpa)	395,22	577,62	457,63	110,85				
Elongation (%)	5,62	7,73	4,1	21,80				
Tensile Strength (Mpa)	11,39	16,85	13,3	7,11				
Water Content (%)	6,46	6,88	6,5	7,82				
Disintegration time (s)	25	45,5	38,6	75,5				

Table 7 – Orodispersable films with Methacrylate copolymer containing drug substances and additives; laboratory preparation.

	Films							
	31	32	33	34	31	32	33	34
	mixture (g)				%w/w film			
Eudragit RL 30D	1,710	1,460	1,230	0,970	33,70%	29,11%	24,36%	19,35%
Glycerol	0,098	0,081	0,074	0,054	6,42%	5,41%	4,88%	3,61%
Blanose	0,188	0,157	0,131	0,105	12,38%	10,47%	8,65%	6,95%
Mowiol 4-88	0,143	0,118	0,097	0,082	9,39%	7,87%	6,43%	5,48%
Citric acid	0,021	0,018	0,016	0,013	1,40%	1,20%	1,02%	0,84%
Lemon flavour	0,055	0,046	0,039	0,031	3,64%	3,08%	2,54%	2,04%
Sucralose	0,041	0,034	0,029	0,023	2,71%	2,29%	1,89%	1,52%
NHDC	0,011	0,009	0,008	0,006	0,71%	0,60%	0,50%	0,40%
Yellow Iron Oxide	0,001	0,001	0,000	0,000	0,05%	0,04%	0,03%	0,03%
Titanium dioxide	0,001	0,001	0,000	0,000	0,05%	0,04%	0,03%	0,03%
Pramipexole	0,450	0,600	0,752	0,899	29,56%	39,89%	49,66%	59,76%
Water	4,610	4,189	3,805	3,604				
Disintegration time	80,5	57,7	60,5	28,5				

(s)

**Example 7**

[0054] Example 6 describes exemplary formulations.

Formulation A

Category		Component	% by weight	Exemplary range
<b>Film forming polymer</b>	present	Polyvinyl acetate (PVAc) dispersion	30-95%	30-60%
<b>Stabilizer</b>	present	Polyvinyl alcohol (PVA) or Hydroxypropylmethyl cellulose (HPMC)	1-21%	5-17,5%
<b>Disintegrant</b>	present	Carboxymethylcellulose sodium	1-22%	6-22%
<b>Plasticizer</b>	<i>optional</i> <sup>§</sup>	Triethylcitrate (or other citrate derivative) or glycerol or propylene glycol or polyethylene glycol	0-30%	0-17%
<b>Sweetener</b>	<i>optional</i> <sup>§</sup>	Sucralose or acesulfame K or mannitol	0-10%	0-10%
	<i>optional</i> <sup>§</sup>	Monoammonium glycyrrhizinate or neohesperidin dihydrochalcone (NHDC)	0-5%	0-5%
<b>Colorant</b>	<i>optional</i> <sup>¶</sup>	...	0-1%	0-1%
<b>Flavor</b>	<i>optional</i> <sup>¶</sup>	...	0-10%	0-5%
<b>Saliva stimulant</b>	<i>optional</i> <sup>¶</sup>	...	0-10%	0-7%
<b>Taste-masking</b>	<i>optional</i> <sup>¶</sup>	...	0-60%	0-10%
<b>Buffer system</b>	<i>optional</i> <sup>¶</sup>	...	0-15%	0-10%
<b>Drug substance</b>	<i>optional</i> <sup>¶</sup>	...	0,001-60%	0-60%

<sup>§</sup> A wide range of components can be selected as described herein; only exemplary ones are listed.

<sup>¶</sup> A wide range of components can be selected as described herein.

Formulation A1

PVAc dispersion 50%

NaCMC 15%

PVA 4-88	15%
Triethylcitrate	5%
Citric acid	7%
NHDC	1%
Sucralose	1%
Flavor	5%
Colorant	1%

Formulation B

Category		Component	% by weight	Exemplary range
<b>Film forming polymer</b>	present	Shellac	30-95%	50-60%
<b>Stabilizer</b>	present	Polyvinyl alcohol (PVA) or Hydroxypropylmethyl cellulose (HPMC)	1-21%	5-17,5%
<b>Disintegrant</b>	present	Carboxymethylcellulose sodium	1-22%	10-22%
<b>Plasticizer</b>	<i>optional</i> <sup>§</sup>	Triethylcitrate (or other citrate derivative) or glycerol or propylene glycol or polyethylene glycol	0-30%	0-15,5%
<b>Sweetener</b>	<i>optional</i> <sup>§</sup>	Sucralose or acesulfame K or mannitol	0-10%	0%
	<i>optional</i> <sup>§</sup>	Monoammonium glycyrrhizinate or neohesperidin dihydrochalcone (NHDC)	0-5%	0%
<b>Colorant</b>	<i>optional</i> <sup>¶</sup>	...	0-1%	0%
<b>Flavor</b>	<i>optional</i> <sup>¶</sup>	...	0-10%	0%
<b>Saliva stimulant</b>	<i>optional</i> <sup>¶</sup>	...	0-10%	0%
<b>Taste-masking</b>	<i>optional</i> <sup>¶</sup>	...	0-60%	0%
<b>Buffer system</b>	<i>optional</i> <sup>¶</sup>	...	0-15%	0%
<b>Drug substance</b>	<i>optional</i> <sup>¶</sup>	...	0,001-60%	0%

<sup>§</sup> A wide range of components can be selected as described herein; only exemplary ones are listed.

<sup>¶</sup> A wide range of components can be selected as described herein.

Formulation B1

Shellac	53%
NaCMC	17,5%
HPMC	20%
Propylene glycol	9,5%
NHDC	0%
Sucralose	0%
Flavor	0%
Colorant	0%

Formulation C

Category		Component	% by weight	Exemplary range
<b>Film forming polymer</b>	present	Methacrylate copolymer dispersion	19-95%	19-60%
<b>Stabilizer</b>	present	Polyvinyl alcohol (PVA) or hydroxypropylmethyl cellulose (HPMC)	1-21%	5-17,5%
<b>Disintegrant</b>	present	Carboxymethylcellulose sodium	1-22%	6-20%
<b>Plasticizer</b>	<i>optional</i> <sup>\$</sup>	Triethylcitrate (or other citrate derivative) or glycerol or propylene glycol or polyethylene glycol	0-30%	3-21%
<b>Sweetener</b>	<i>optional</i> <sup>\$</sup>	Sucralose or acesulfame K or mannitol	0-10%	0-4%
	<i>optional</i> <sup>\$</sup>	Monoammonium glycyrrhizinate or neohesperidin dihydrochalcone (NHDC)	0-5%	0-1%
<b>Colorant</b>	<i>optional</i> <sup>#</sup>	...	0-1%	0 -0,12%
<b>Flavor</b>	<i>optional</i> <sup>#</sup>	...	0-10%	0-5%
<b>Saliva stimulant</b>	<i>optional</i> <sup>#</sup>	...	0-10%	0-2%
<b>Taste-masking</b>	<i>optional</i> <sup>#</sup>	...	0-60%	0%
<b>Buffer system</b>	<i>optional</i> <sup>#</sup>	...	0-15%	0-2%
<b>Drug substance</b>	<i>optional</i> <sup>#</sup>	...	0,001-60%	0-60%

§ A wide range of components can be selected as described herein; only exemplary ones are listed.

¥ A wide range of components can be selected as described herein.

Formulation C1

Methacrylate copolymer dispersion	57,5%
NaCMC	9,9%
PVA 4-88	18,5%
Glycerol	14%
NHDC	0%
Sucralose	0%
Flavor	0%

## Claims

We claim:

1. An orodispersible film comprising (i) a film forming polymer, (ii) a disintegrant and (iii) polyvinyl alcohol (PVA) or hydroxypropylmethyl cellulose (HPMC).
2. The orodispersible film of claim 1, wherein the film forming polymer is a hydrophobic film-forming polymer.
3. The orodispersible film of claim 2, wherein the film forming polymer is (i) polyvinyl acetate, (ii) shellac, or a (iii) methacrylate copolymer.
4. The orodispersible film of any one of claims 1-3, wherein the film forming polymer comprises about 19-95% by weight of the film.
5. The orodispersible film of claim 4, wherein the film forming polymer comprises about 19-60% by weight of the film.
6. The orodispersible film of any one of claims 1-5, wherein the disintegrant is (i) a cellulose derivative, (ii) a cellulose ether, (iii) carboxymethylcellulose or a salt thereof, or (iv) sodium carboxymethylcellulose.
7. The orodispersible film of any one of claims 1-6, wherein the disintegrant comprises about 1-22% by weight of the film.
8. The orodispersible film of claim 7, wherein the disintegrant comprises about 5-17.5% by weight of the film.
9. The orodispersible film of any one of claims 1-8 further comprising one or more dispersants.

10. The orodispersible film of claim 9, wherein the one or more dispersants comprises (i) sodium lauryl sulfate, (ii) povidone, (iii) macrogol cetostearyl ether, and (iv) sorbic acid and sodium hydroxide.
11. The orodispersible film of any one of claims 9-10, wherein the dispersant comprises about 0.001-10% by weight of the film.
12. The orodispersible film of any one of claims 1-11 further comprising a plasticizer.
13. The orodispersible film of claim 12, wherein the plasticizer is (i) a citrate derivative, (ii) triethylcitrate, (iii) glycerol, (iv) polyethylene glycol, or (v) propylene glycol.
14. The orodispersible film of any one of claims 12-13, wherein the plasticizer comprises about 0-30% by weight of the film.
15. The orodispersible film of any one of claims 1-14, wherein at least one of the polyvinyl alcohol or hydroxypropylmethylcellulose comprises about 1-21% by weight of the film.
16. The orodispersible film of any one of claims 1-14, wherein at least one of the polyvinyl alcohol or hydroxypropylmethylcellulose comprises about 5-17.5% by weight of the film.
17. The orodispersible film of any one of claims 1-15 further comprising one or more of (i) one or more sweeteners, (ii) one or more colorants, (iii) one or more flavoring agents, and (iv) one or more saliva stimulants.
18. The orodispersible film of claim 1 wherein:  
the film forming polymer is 30-95% by weight of polyvinyl acetate;  
1-21% by weight of polyvinyl alcohol or hydroxypropylmethylcellulose; and  
the disintegrant is 1-22% by weight of sodium carboxymethylcellulose,  
wherein the total percentage by weight of all components in the orodispersible film does not exceed 100%.

19. The orodispersible film of claim 18, wherein the weight of polyvinyl acetate comprises about 30-60% by weight of the film.
20. The orodispersible film of claim 18, wherein the polyvinyl alcohol or hydroxypropylmethylcellulose is polyvinyl alcohol.
21. The orodispersible film of claim 18 wherein the polyvinyl alcohol or hydroxypropylmethylcellulose is hydroxypropylmethylcellulose.
22. The orodispersible film of any one of claims 18-21, wherein the polyvinyl alcohol or hydroxymethylcellulose comprises 5-17.5% by weight of the film.
23. The orodispersible film of any one of claims 18-21 further comprising a plasticizer.
24. The orodispersible film of any one of claims 18-21 further comprising one or more of (i) a sweetener, (ii) a colorant, (iii) a flavoring agent, and a saliva stimulant.
25. The orodispersible film of claim 1 wherein:  
the film forming polymer is 30-95% by weight of shellac;  
1-21% by weight of polyvinyl alcohol or hydroxypropylmethylcellulose; and  
the disintegrant is 1-22% by weight of sodium carboxymethylcellulose,  
wherein the total percentage by weight of all components orodispersible film does not exceed 100%.
26. The orodispersible film of claim 25, wherein the shellac comprises about 30-60% by weight of the film.
27. The orodispersible film of any one of claims 25-26, wherein the polyvinyl alcohol or hydroxypropylmethylcellulose comprises 5-17.5% by weight of the film.

28. The orodispersible film of claim 25, wherein the polyvinyl alcohol or hydroxypropylmethylcellulose is polyvinyl alcohol.
29. The orodispersible film of claim 25 wherein the polyvinyl alcohol or hydroxypropylmethylcellulose is hydroxypropylmethylcellulose.
30. The orodispersible film of any one of claims 25-29 further comprising a plasticizer.
31. The orodispersible film of claim 25-29, further comprising one or more of (i) a sweetener, (ii) a colorant, (iii) a flavoring agent, and a saliva stimulant.
32. The orodispersible film of claim 1 wherein:  
the film forming polymer is 19-95% by weight of methacrylate copolymer;  
1-21% by weight of polyvinyl alcohol or hydroxypropylmethylcellulose; and  
the disintegrant is 1-22% by weight of sodium carboxymethylcellulose,  
wherein the total percentage by weight of all components in the orodispersible film does not exceed 100%.
33. The orodispersible film of claim 32, wherein the methacrylate copolymer is 19-60% by weight of the film.
34. The orodispersible film of claim 32 wherein the methacrylate copolymer is 19- 54.4% by weight of the film.
35. The orodispersible film of any one of claims 32-34, wherein the polyvinyl alcohol or hydroxypropylmethylcellulose comprises about 5-17.5% by weight of the film.
36. The orodispersible film of claim 32, wherein the polyvinyl alcohol or hydroxypropylmethylcellulose is polyvinyl alcohol.

37. The orodispersible film of claim 32 wherein the polyvinyl alcohol or hydroxypropylmethylcellulose is hydroxypropylmethylcellulose.
38. The orodispersible film of any one of claims 32-37 further comprising a plasticizer.
39. The orodispersible film of claim 38, further comprising one or more of (i) a sweetener, (ii) a colorant, (iii) a flavoring agent, and a saliva stimulant
40. The orodispersible film of any one of claims 1-39 further comprising an active agent that is a pharmaceutical agent, a nutraceutical agent, a supplement, or a cosmetic agent.
41. The orodispersible film of claim 40 wherein the active agent is present about 0.001% and 60% based on the dry weight of all the components of the film.
42. The orodispersible film of claim 41, wherein the ratio of film forming polymer to stabilizer is between 20:1 and 1:1 weight per weight.
43. A method of administering the orodispersible film of any one of claims 1-42 comprising placing the film into the oral cavity for a sufficient period of time to disintegrate.



- (51) **International Patent Classification:**  
*A61K 9/00* (2006.01) *A61K 47/38* (2006.01)  
*A61K 47/32* (2006.01)
- (21) **International Application Number:**  
PCT/PT2014/000050
- (22) **International Filing Date:**  
31 July 2014 (31.07.2014)
- (25) **Filing Language:** English
- (26) **Publication Language:** English
- (30) **Priority Data:**  
61/860,516 31 July 2013 (31.07.2013) US
- (71) **Applicant:** BLUEPHARMA - INDUSTRIA FARMACÊUTICA, S.A. [PT/PT]; Rua da Bayer, S. M. Bispo, P-3045-016 Coimbra (PT).
- (72) **Inventors:** SILVA BORGES, Ana Filipa; Rua da Bayer, P-3045-016 Coimbra (PT). ALMEIDA SILVA, Branca Margarida; Rua da Bayer, P-3045-016 Coimbra (PT). JORDÃO COELHO, Jorge Fernando; Rua da Bayer, P-3045-016 Coimbra (PT). SOUSA SILVA, Cláudia; Rua da Bayer, P-3045-016 Coimbra (PT). SIMÕES, Sérgio Paulo; Rua da Bayer, P-3045-016 Coimbra (PT).
- (74) **Agent:** VIEIRA PEREIRA FERREIRA, Maria Silvina; Clarke, Modet & Co., Rua Castilho, 50-9º, P-1269-163 Lisboa (PT).

- (81) **Designated States** (*unless otherwise indicated, for every kind of national protection available*): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) **Designated States** (*unless otherwise indicated, for every kind of regional protection available*): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

**Published:**

- with international search report (Art. 21(3))  
— with amended claims (Art. 19(1))

- (88) **Date of publication of the international search report:**  
16 April 2015

(54) **Title:** ORAL DISPERSIBLE FILMS

(57) **Abstract:** Described herein are orodispersible films comprising a film forming hydrophobic polymer, a disintegrant, a plasticizer and a stabilizer.



## INTERNATIONAL SEARCH REPORT

International application No

PCT/PT2014/000050

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> INV. A61K9/00 A61K47/32 A61K47/38 ADD.											
According to International Patent Classification (IPC) or to both national classification and IPC											
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) A61K											
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched											
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) EPO-Internal											
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <table border="1"> <thead> <tr> <th>Category*</th> <th>Citation of document, with indication, where appropriate, of the relevant passages</th> <th>Relevant to claim No.</th> </tr> </thead> <tbody> <tr> <td>X</td> <td>EP 0 381 194 A2 (NITTO DENKO CORP [JP]) 8 August 1990 (1990-08-08) column 6, lines 51-58 examples 1-2</td> <td>1-8, 40-43</td> </tr> <tr> <td>X</td> <td>EP 2 332 523 A1 (MONOSOL RX LLC [US]) 15 June 2011 (2011-06-15) page 19 - page 21; tables 1-3 ----- -/--</td> <td>1-8, 40-43</td> </tr> </tbody> </table>			Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	X	EP 0 381 194 A2 (NITTO DENKO CORP [JP]) 8 August 1990 (1990-08-08) column 6, lines 51-58 examples 1-2	1-8, 40-43	X	EP 2 332 523 A1 (MONOSOL RX LLC [US]) 15 June 2011 (2011-06-15) page 19 - page 21; tables 1-3 ----- -/--	1-8, 40-43
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.									
X	EP 0 381 194 A2 (NITTO DENKO CORP [JP]) 8 August 1990 (1990-08-08) column 6, lines 51-58 examples 1-2	1-8, 40-43									
X	EP 2 332 523 A1 (MONOSOL RX LLC [US]) 15 June 2011 (2011-06-15) page 19 - page 21; tables 1-3 ----- -/--	1-8, 40-43									
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input checked="" type="checkbox"/> See patent family annex.											
* Special categories of cited documents : "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family											
Date of the actual completion of the international search		Date of mailing of the international search report									
31 October 2014		30/01/2015									
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016		Authorized officer  Weiss, Marie-France									

## INTERNATIONAL SEARCH REPORT

International application No

PCT/PT2014/000050

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>Yogyata S Pathare ET AL: "Polymers used for Fast Disintegrating Oral Films: A Review", International Journal of Pharmaceutical Sciences Review and Research, 1 January 2013 (2013-01-01), pages 169-178, XP055136062, Retrieved from the Internet: URL:<a href="http://globalresearchonline.net/journalcontents/v21-1/29.pdf">http://globalresearchonline.net/journalcontents/v21-1/29.pdf</a> [retrieved on 2014-08-22] the whole document</p> <p>-----</p>	1-8, 40-43

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/PT2014/000050

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
EP 0381194	A2	08-08-1990	DE	69011885 D1	06-10-1994
			DE	69011885 T2	22-12-1994
			EP	0381194 A2	08-08-1990
			JP	2656338 B2	24-09-1997
			JP	H02202814 A	10-08-1990
			US	5137729 A	11-08-1992
-----					
EP 2332523	A1	15-06-2011	DK	2332523 T3	16-12-2013
			EP	2332523 A1	15-06-2011
			US	2003107149 A1	12-06-2003
			US	2005184427 A1	25-08-2005
			US	2007069416 A1	29-03-2007
			US	2008226695 A1	18-09-2008
			US	2008268027 A1	30-10-2008
			US	2009181069 A1	16-07-2009
-----					

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 2-8, 40-42(completely); 1, 43(partially)

an orodispersible film with desired rate of disintegration

---

2. claims: 9-14, 17(completely); 1, 43(partially)

an alternative orodispersible film

---

3. claims: 15, 16(completely); 1, 43(partially)

orodispersible film with desired rate of stability

---

4. claims: 18-39(completely); 1, 43(partially)

an alternative orodispersible film which is disintegrable

---

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/PT2014/000050

### Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

2-8, 40-42(completely); 1, 43(partially)

#### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.



(51) International Patent Classification:

A61K 9/00 (2006.01) A61K 47/38 (2006.01)  
A61K 47/32 (2006.01)

(21) International Application Number:

PCT/PT2014/000050

(22) International Filing Date:

31 July 2014 (31.07.2014)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

61/860,516 31 July 2013 (31.07.2013) US

(71) Applicant: **BLUEPHARMA - INDUSTRIA FARMACÊUTICA, S.A.** [PT/PT]; Rua da Bayer, S. M. Bispo, P-3045-016 Coimbra (PT).

(72) Inventors: **SILVA BORGES, Ana Filipa**; Rua da Bayer, P-3045-016 Coimbra (PT). **ALMEIDA SILVA, Branca Margarida**; Rua da Bayer, P-3045-016 Coimbra (PT). **JORDÃO COELHO, Jorge Fernando**; Rua da Bayer, P-3045-016 Coimbra (PT). **SOUSA SILVA, Cláudia**; Rua da Bayer, P-3045-016 Coimbra (PT). **SIMÕES, Sérgio Paulo**; Rua da Bayer, P-3045-016 Coimbra (PT).

(74) Agent: **VIEIRA PEREIRA FERREIRA, Maria Silvina**; Clarke, Modet & Co., Rua Castilho, 50-9º, P-1269-163 Lisboa (PT).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM,

AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

Published:

— with international search report (Art. 21(3))

— with amended claims and statement (Art. 19(1))

(88) Date of publication of the international search report:

16 April 2015

Date of publication of the amended claims and statement:

4 June 2015

(54) Title: ORAL DISPERSIBLE FILMS

(57) Abstract: Described herein are orodispersible films comprising a film forming hydrophobic polymer, a disintegrant, a plasticizer and a stabilizer.



WO 2015/016727 A4

**AMENDED CLAIMS**  
**received by the International Bureau on 24 March 2015 (24.03.2015)**

1. An orodispersible film comprising (i) a film forming polymer, (ii) a disintegrant, and (iii) polyvinyl alcohol (PVA) or hydroxypropylmethyl cellulose (HPMC), wherein the film forming polymer is a hydrophobic film-forming polymer.
2. The orodispersible film of claim 1, wherein the film forming polymer is (i) polyvinyl acetate, (ii) shellac, or a (iii) methacrylate copolymer.
3. The orodispersible film of any one of claims 1-2, wherein the film forming polymer comprises about 19-95% by weight of the film.
4. The orodispersible film of claim 3, wherein the film forming polymer comprises about 19-60% by weight of the film.
5. The orodispersible film of any one of claims 1-4, wherein the disintegrant is (i) a cellulose derivative, (ii) a cellulose ether, (iii) carboxymethylcellulose or a salt thereof, or (iv) sodium carboxymethylcellulose.
6. The orodispersible film of any one of claims 1-5, wherein the disintegrant comprises about 1-22% by weight of the film.
7. The orodispersible film of claim 6, wherein the disintegrant comprises about 5-17.5% by weight of the film.
8. The orodispersible film of any one of claims 1-7 further comprising one or more dispersants.

9. The orodispersible film of claim 8, wherein the one or more dispersants comprises (i) sodium lauryl sulfate, (ii) povidone, (iii) macrogol cetostearyl ether, and (iv) sorbic acid and sodium hydroxide.

10. The orodispersible film of any one of claims 8-9, wherein the dispersant comprises about 0.001-10% by weight of the film.

11. The orodispersible film of any one of claims 1-10 further comprising a plasticizer.

12. The orodispersible film of claim 11, wherein the plasticizer is (i) a citrate derivative, (ii) triethylcitrate, (iii) glycerol, (iv) polyethylene glycol, or (v) propylene glycol.

13. The orodispersible film of any one of claims 11-12, wherein the plasticizer comprises about 0-30% by weight of the film.

14. The orodispersible film of any one of claims 1-13, wherein at least one of the polyvinyl alcohol or hydroxypropylmethylcellulose comprises about 1-21% by weight of the film.

15. The orodispersible film of claim 14, wherein at least one of the polyvinyl alcohol or hydroxypropylmethylcellulose comprises about 5-17.5% by weight of the film.

16. The orodispersible film of any one of claims 1-14 further comprising one or more of (i) one or more

sweeteners, (ii) one or more colorants, (iii) one or more flavoring agents, and (iv) one or more saliva stimulants.

17. The orodispersible film of claim 1 wherein:

the film forming polymer is 30-95% by weight of polyvinyl acetate;

1-21% by weight of polyvinyl alcohol or hydroxypropylmethylcellulose; and

the disintegrant is 1-22% by weight of sodium carboxymethylcellulose,

wherein the total percentage by weight of all components in the orodispersible film does not exceed 100%.

18. The orodispersible film of claim 17, wherein the weight of polyvinyl acetate comprises about 30-60% by weight of the film.

19. The orodispersible film of claim 17, wherein the polyvinyl alcohol or hydroxypropylmethylcellulose is polyvinyl alcohol.

20. The orodispersible film of claim 17 wherein the polyvinyl alcohol or hydroxypropylmethylcellulose is hydroxypropylmethylcellulose.

21. The orodispersible film of any one of claims 17-20, wherein the polyvinyl alcohol or hydroxymethylcellulose comprises 5-17.5% by weight of the film.

22. The orodispersible film of any one of claims 17-20 further comprising a plasticizer.

23. The orodispersible film of any one of claims 17-20 further comprising one or more of (i) a sweetener, (ii) a

colorant, (iii) a flavoring agent, and (iv) a saliva stimulant.

24. The orodispersible film of claim 1 wherein:

the film forming polymer is 30-95% by weight of shellac;

1-21% by weight of polyvinyl alcohol or hydroxypropylmethylcellulose; and

the disintegrant is 1-22% by weight of sodium carboxymethylcellulose,

wherein the total percentage by weight of all components orodispersible film does not exceed 100%.

25. The orodispersible film of claim 24, wherein the shellac comprises about 30-60% by weight of the film.

26. The orodispersible film of any one of claims 24-25, wherein the polyvinyl alcohol or hydroxypropylmethylcellulose comprises 5-17.5% by weight of the film.

27. The orodispersible film of claim 24, wherein the polyvinyl alcohol or hydroxypropylmethylcellulose is polyvinyl alcohol.

28. The orodispersible film of claim 24 wherein the polyvinyl alcohol or hydroxypropylmethylcellulose is hydroxypropylmethylcellulose.

29. The orodispersible film of any one of claims 24-28 further comprising a plasticizer.

30. The orodispersible film of claim 24-28, further comprising one or more of (i) a sweetener, (ii) a colorant, (iii) a flavoring agent, and (iv) a saliva stimulant.

31. The orodispersible film of claim 1 wherein:

the film forming polymer is 19-95% by weight of methacrylate copolymer;

1-21% by weight of polyvinyl alcohol or hydroxypropylmethylcellulose; and

the disintegrant is 1-22% by weight of sodium carboxymethylcellulose,

wherein the total percentage by weight of all components in the orodispersible film does not exceed 100%.

32. The orodispersible film of claim 31, wherein the methacrylate copolymer is 19-60% by weight of the film.

33. The orodispersible film of claim 31 wherein the methacrylate copolymer is 19- 54.4% by weight of the film.

34. The orodispersible film of any one of claims 31-33, wherein the polyvinyl alcohol or hydroxypropylmethylcellulose comprises about 5-17.5% by weight of the film.

35. The orodispersible film of claim 31, wherein the polyvinyl alcohol or hydroxypropylmethylcellulose is polyvinyl alcohol.

36. The orodispersible film of claim 31 wherein the polyvinyl alcohol or hydroxypropylmethylcellulose is hydroxypropylmethylcellulose.

37. The orodispersible film of any one of claims 31-36 further comprising a plasticizer.

38. The orodispersible film of claim 37, further comprising one or more of (i) a sweetener, (ii) a colorant, (iii) a flavoring agent, and (iv) a saliva stimulant

39. The orodispersible film of any one of claims 1-38 further comprising an active agent that is a pharmaceutical agent, a nutraceutical agent, a supplement, or a cosmetic agent.

40. The orodispersible film of claim 39 wherein the active agent is present about 0.001% and 60% based on the dry weight of all the components of the film.

41. The orodispersible film of claim 40, wherein the ratio of film forming polymer to stabilizer is between 20:1 and 1:1 weight per weight.

42. An orodispersible film of any one of claims 1-41 for use in a method of administering the film, the method comprising placing the film into the oral cavity for a sufficient period of time to disintegrate.

**STATEMENT UNDER ARTICLE 19 (1)**

In view of the examiner's novelty and inventive step objections considering D1 and D2, the applicant amended claim 1 to incorporate the technical features described in the original claim 2. Additionally, the applicant requests the reconsideration of the fact appointed by the examiner regarding the HPMC as being a well-known disintegrant. According to a standard reference book of excipients (*The handbook of Pharmaceutical Excipients*) the HPMC is commonly used as a binder, not as a disintegrant. Therefore, HPMC is not typically used as a disintegrant and certainly is not used in the context of D1 as a disintegrant.

The dependent claims 2-41 are used to define preferred embodiments of the independent claim 1, and therefore they are also new and inventive. Indeed, according to the Guidelines PCT International Search and Preliminary Examination Guidelines, Part IV, Chapter 15, point 15.23, it is recognized that if the independent claim is new and inventive, no need to investigate the novelty and inventive step of the dependent claims.

Since the subject-matter of claims 1-42 is new over D1 and D2, therefore the examiner's unity of invention objection do not apply, according to the Guidelines PCT International Search and Preliminary Examination Guidelines, Part III, Chapter 10, point 10.02.

**Subject Matter under Article 17(2)(a)(i) (Rule 39.1(iv) PCT)**

In view of the examiner's subject matter objection to claim 43, under Article 17(2)(a)(i), the applicant amended claim 43 in order to overcome the raised issues and therefore the claim was reformulated to an allowable claim of medical treatment use.

## 摘要

本文中描述了口腔可分散膜，其包含疏水性成膜聚合物、崩解剂、增塑剂和稳定剂。