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(54) Title: ULTRA-BREATHABLE AND PROTECTIVE MEMBRANES WITH SUB-5 NM CARBON NANOTUBE PORES

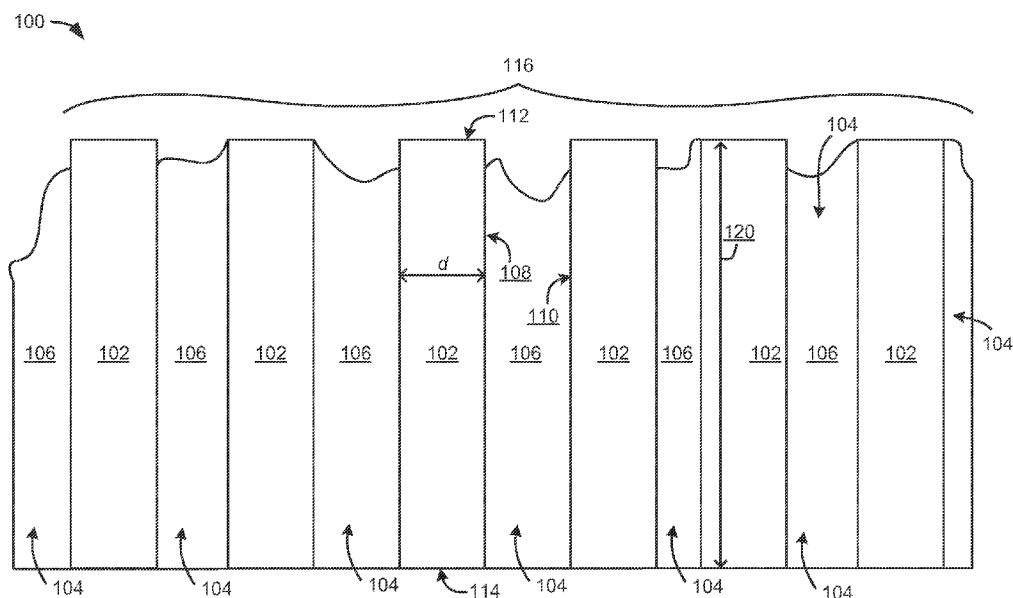


FIG. 1

(57) Abstract: In one embodiment, a product includes a plurality of carbon nanotubes and a fill material in interstitial spaces between the carbon nanotubes for limiting or preventing fluidic transfer between opposite sides of the product except through interiors of the carbon nanotubes. Moreover, the longitudinal axes of the carbon nanotubes are substantially parallel, where an average inner diameter of the carbon nanotubes is about 20 nanometers or less. In addition, the ends of the carbon nanotubes are open and the fill material is impermeable or having an average porosity that is less than the average inner diameter of the carbon nanotubes.





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ULTRA-BREATHABLE AND PROTECTIVE MEMBRANES WITH SUB-5 NM CARBON NANOTUBE PORES

[0001] The United States Government has rights in this invention pursuant to Contract No. DE-AC52-07NA27344 between the United States Department of Energy and Lawrence Livermore National Security, LLC for the operation of Lawrence Livermore National Laboratory.

FIELD OF THE INVENTION

[0002] The present invention relates to flexible membranes, and more particularly, this invention relates to ultra-breathable and protective membranes with sub-5 nm carbon nanotube pores.

BACKGROUND

[0003] Translation from the nanoscale into macroscale structures of the outstanding thermal, electrical, mechanical, and fluidic properties encountered in many nanomaterials promises to offer new solutions to long-standing challenges in materials science and technology. For membrane applications, materials with properties to overcome the typical

trade-off between permeability and selectivity could provide major breakthroughs in several areas, from efficient water purification and energy harvesting, to low-cost separation of high-value chemicals, pharmaceuticals, and biological components.

[0004] Recent studies demonstrated that carbon nanotubes (CNTs) enable liquid and gas transport rates within their narrow core that are several orders of magnitude larger than expected for nanopores of similar sizes. Thus, (sub-) nanometer CNT pores have great potential for transforming separation applications if large-scale CNT membranes can be assembled. This ability of CNTs to sustain ultrafast rates of fluid transport is especially promising for the so-far unexplored yet critical field of breathable and protective fabrics. Recent world events, including viral epidemics (Ebola, SARS, avian flu) and the release of chemical warfare agents (sarin, sulfur mustard) in the ongoing Syria conflict, have highlighted the urgency to develop materials that can protect against hazardous agents to ensure the safety of civilian, medical, and military personnel.

[0005] To minimize physiological burden and prevent the risk of heat stress, a protective garment also has to allow facile perspiration and efficient heat loss from the body by evaporative cooling. Unfortunately, achieving both high protection and breathability (i.e. rapid water vapor transport) in a single material remains elusive. Current protective materials sacrifice breathability in order to prevent exposure to harmful agents. There are typically either impermeable barriers that entirely block penetration of chemical and biological hazards (but also of water vapor), or heavy-weight laminates containing adsorbents for harmful agents. Conversely, macroporous membranes with high permeability to moisture vapor and air offer poor protection. Indeed, because their ability to protect typically relies on hydrophobicity/oleophobicity,

low-tension liquids can penetrate their porous network structure and potentially shuttle in other hazardous components. Furthermore, these macroporous materials are ineffective against vapor-phase threats.

[0006] Recent approaches to achieve adequate breathability in protective materials typically encompass selective monolithic membranes made of novel hydrophilic polymers, or multifunctional materials containing chemical groups/oxide nanoparticles with antibacterial or self-decontamination ability. An alternative route with truly transformative potential requires designing/fabricating smart dynamic materials that exhibit a reversible, rapid transition from a breathable state to a protective state triggered by environmental threats. These responsive membranes are expected to be particularly effective in mitigating physiological burden because a less breathable but protective state can be actuated locally and only when needed.

SUMMARY

[0007] According to one embodiment, a product includes a plurality of carbon nanotubes and a fill material in interstitial spaces between the carbon nanotubes for limiting or preventing fluidic transfer between opposite sides of the product except through interiors of the carbon nanotubes. Moreover, the longitudinal axes of the carbon nanotubes are substantially parallel, where an average inner diameter of the carbon nanotubes is about 20 nanometers or less. In addition, the ends of the carbon nanotubes are open and the fill material is impermeable or having an average porosity that is less than the average inner diameter of the carbon nanotubes.

[0008] According to another embodiment, a product includes a plurality of carbon nanotubes having substantially parallel longitudinal axes and a fill material in interstitial spaces between the carbon nanotubes for limiting or preventing fluidic transfer between opposite sides of the product except through interiors of the carbon nanotubes. Moreover, an average inner diameter of the carbon nanotubes is about 6 nanometers or less, and ends of the carbon nanotubes are open. In addition, the fill material is impermeable or having an average porosity that is less than the average inner diameter of the carbon nanotubes, where a density of the carbon nanotubes is in a range of 10^{11} and 10^{13} carbon nanotubes per square centimeter.

[0009] According to yet another embodiment, a method includes forming a plurality of carbon nanotubes having substantially parallel longitudinal axes and where an average inner diameter of the carbon nanotubes is about 20 nanometers or less, filling interstitial spaces between the carbon nanotubes with a fill material for blocking passage of at least

any material through the interstitial spaces having a size greater than the average inner diameter of the carbon nanotubes, and after filling the interstitial spaces, opening ends of the carbon nanotubes.

[0010] Other aspects and advantages of the present invention will become apparent from the following detailed description, which, when taken in conjunction with the drawings, illustrate by way of example the principles of the invention.

BRIEF DESCRIPTION OF THE DRAWINGS

[0011] FIG. 1 is schematic drawing of a membrane according to one embodiment.

[0012] FIG. 2 is a flowchart of a method according to one embodiment.

[0013] FIGS. 3A-3C are schematic drawing of a method to form a membrane according to an exemplary embodiment.

[0014] FIG. 3D is a cross-sectional scanning electron micrograph of a CNT forest according to one embodiment.

[0015] FIG. 3E is a scanning electron micrograph of a CNT-parylene composite before etching according to one embodiment. FIG. 3E includes an inset of a high magnification of the area in the box.

[0016] FIG. 3F is a scanning electron micrograph of the top surface of the membrane after etching, according to one embodiment. FIG. 3F includes an inset of a photograph of a flexible, free-standing CNT-parylene composite according to one embodiment.

[0017] FIG. 4A is a plot of CNT diameter distribution measured from high-resolution TEM images of a CNT forest, according to one embodiment.

[0018] FIG. 4B is plot of Raman spectroscopy of a typical SWNT forest, according to one embodiment.

[0019] FIG. 4C is an example of an azimuthal profile of X-ray scattering intensity used to quantify CNT tortuosity.

[0020] FIG. 5A is UV-vis spectra (plot) and optical images (inset) of K_3FeCN_6 feed and permeate solutions after filtration through a CNT membrane.

[0021] FIG. 5B is UV-vis spectra (plot) and optical images (inset) of Direct Blue 71 nanoparticle feed and permeate solutions after filtration through a CNT membrane.

[0022] FIG. 5C is UV-vis spectra (plot) and optical images (inset) of nAu5 gold nanoparticle feed and permeate solutions after filtration through a CNT membrane.

[0023] FIG. 5D includes scanning electron micrograph images (left) of a CNT membrane and an ePTFE membrane after filtration of Dengue virus solution, optical images (middle and right) of culture plates from plaque assay analysis of feed viral solution and permeate.

[0024] FIG. 5E is a plot of the qRT-PCR analysis of filtration of Dengue virus solution through CNT membrane and ePTFE membrane.

[0025] FIG. 6 is a plot of MVTR and corresponding R_{tot} of CNT membranes and commercial breathable fabrics.

[0026] FIG. 7 is a plot of single-pore water vapor permeability of a CNT membrane and several porous membranes. Inset is a bar graph of the enhancement factor defined as a ratio of measured to predicted permeability of CNT membrane and several porous membranes.

DETAILED DESCRIPTION

[0027] The following description is made for the purpose of illustrating the general principles of the present invention and is not meant to limit the inventive concepts claimed herein. Further, particular features described herein can be used in combination with other described features in each of the various possible combinations and permutations.

[0028] Unless otherwise specifically defined herein, all terms are to be given their broadest possible interpretation including meanings implied from the specification as well as meanings understood by those skilled in the art and/or as defined in dictionaries, treatises, etc.

[0029] It must also be noted that, as used in the specification and the appended claims, the singular forms "a," "an" and "the" include plural referents unless otherwise specified.

[0030] The following description discloses several preferred embodiments of ultrabreathable membranes with sub-5 nm carbon nanotube pores and/or related systems and methods.

[0031] In one general embodiment, a product includes a plurality of carbon nanotubes and a fill material in interstitial spaces between the carbon nanotubes for limiting or preventing fluidic transfer between opposite sides of the product except through interiors of the carbon nanotubes. Moreover, the longitudinal axes of the carbon nanotubes are substantially parallel, where an average inner diameter of the carbon nanotubes is about 20 nanometers or less. In addition, the ends of the carbon nanotubes are open and the fill

material is impermeable or having an average porosity that is less than the average inner diameter of the carbon nanotubes.

[0032] In another general embodiment, a product includes a plurality of carbon nanotubes having substantially parallel longitudinal axes and a fill material in interstitial spaces between the carbon nanotubes for limiting or preventing fluidic transfer between opposite sides of the product except through interiors of the carbon nanotubes. Moreover, an average inner diameter of the carbon nanotubes is about 6 nanometers or less, and ends of the carbon nanotubes are open. In addition, the fill material is impermeable or having an average porosity that is less than the average inner diameter of the carbon nanotubes, where a density of the carbon nanotubes is in a range of 10^{11} and 10^{13} carbon nanotubes per square centimeter.

[0033] In yet another general embodiment, a method includes forming a plurality of carbon nanotubes having substantially parallel longitudinal axes and where an average inner diameter of the carbon nanotubes is about 20 nanometers or less, filling interstitial spaces between the carbon nanotubes with a fill material for blocking passage of at least any material through the interstitial spaces having a size greater than the average inner diameter of the carbon nanotubes, and after filling the interstitial spaces, opening ends of the carbon nanotubes.

[0034] A list of acronyms used in the description is provided below.

ALD	atomic layer deposition
Au	gold
cm ⁻¹	per centimeter
CMP	chemical mechanical planarization

CNT	carbon nanotube
DMPC	dynamic moisture permeation cell
ePTFE	expanded polytetrafluoroethylene
Fe/Mo	iron/molybdenum
mL	millilitre
MPa	megapascal
MVTR	moisture vapor transport rate
nm	nanometer
qRT-PCR	quantitative reverse transcription-polymerase chain reaction
RH	relative humidity
R _{BL}	boundary layer resistance
R _{CNT}	resistance of CNT membranes
R _{ePTFE}	resistance of ePTFE membranes
R _{tot}	total resistance
SARS	Severe Acute Respiratory Syndrome
SEM	scanning electron microscopy
SWNT	single walled nanotubes
TEM	transmission electron microscopy
UV	ultraviolet

[0035] There is a need for protective yet breathable adaptive garments. Various embodiments described herein include a chemical threat responsive membrane based on two components: a highly breathable CNT-membrane that provides an effective barrier against biological threats; and a thin responsive functional layer grafted or coated on the

membrane surface that either closes the CNT pore entrance upon contact with a chemical warfare agent or self-exfoliates in the region of contamination after neutralizing the threat.

[0036] Various embodiments described herein demonstrate fabrication of a flexible membrane with aligned, sub-5 nm CNT channels as moisture conductive pores. In some approaches, the membranes described below provide rates of water vapor transport that surpass those of commercial breathable fabrics, even though the CNT pores are only a few nm wide and the overall porosity may be less than 5.5%. Moreover, the membranes described herein may be fabricated over a large area in the dimensions of as large as several m².

[0037] Furthermore, quantifying the single-pore permeability to water vapor enables benchmarking the performance of these materials when a concentration gradient is used as a driving force. CNT nanochannels may sustain gas-transport rates exceeding Knudsen diffusion theory by more than one order of magnitude.

[0038] In addition, various embodiment demonstrate complete rejection of 2 nm charged dyes, 5 nm uncharged gold (Au) nanoparticles, and about 40-60 nm Dengue virus from aqueous solutions during filtration tests. Thus, some embodiments described herein demonstrate effective protection from biological threats by size exclusion rather than by merely preventing wetting.

[0039] FIG. 1 depicts a product **100** for an ultra-breathable and protective membrane, in accordance with one embodiment. As an option, the present product **100** may be implemented in conjunction with features from any other embodiment listed herein, such as those described with reference to the other FIGS. Of course, however, such product

100 and others presented herein may be used in various applications and/or in permutations which may or may not be specifically described in the illustrative embodiments listed herein. Further, the product **100** presented herein may be used in any desired environment.

[0040] According to one embodiment illustrated in FIG. 1, a product **100** includes a plurality **116** of CNTs **102** having sidewalls **108**, **110**, and a fill material **106** in interstitial spaces **104** between the CNTs **102** for limiting or preventing fluidic transfer between opposite sides of the product except through interiors of the CNTs **102**. Moreover, longitudinal axes **120** of the CNTs **102** may be substantially parallel. For example, the CNTs may form a “forest” of CNTs and the forest may cover the area of the thickness of the membrane.

[0041] In various embodiments, the sidewalls of the CNTs may be primarily one carbon atom thick, but could be higher, e.g., 2 or more atoms thick.

[0042] The average inner diameter d of the CNTs **102** may be about 20 nanometers or less, where the average inner diameter d may be the average considering all, or a large sampling, of the CNTs **102** in an array **116** (rather than a diameter d along the longitudinal axis **120** of each CNT **102**). In some approaches, the inner diameter d may be about the same as the outer diameter. In other approaches, the average inner diameter d of the CNTs **102** may be less than about 6 nanometers. In yet other approaches, the average inner diameter d of the CNTs **102** may be less than about 4 nm. In yet other approaches, the average inner diameter d of the CNTs **102** may be greater than about 1 nm.

[0043] According to one embodiment of product **100**, the ends **112**, **114** of the CNTs **102** may be open. Furthermore, the fill material **106** may be impermeable to anything, or impermeable to anything other than water vapor. In further approaches, the fill material **106** may have an average porosity that is less than the average inner diameter d of the CNTs **102**. In other approaches, the fill material **106** may exclude chemicals based on a mechanism other than size exclusion. For example, but not limited to, the fill material may block chemicals that are not soluble in the filling material even though the size of the chemical may be similar to the size of water vapor.

[0044] In some embodiments, the fill material **106** may be permeable. In some approaches, the fill material is permeable for water vapor, where the fill material may be impermeable to chemicals and molecules, for example but not limited to biologicals (e.g., pathogens, pollen, spores, etc.), which typically have a size larger than a water molecule.

[0045] In other embodiments, the fill material may be selectively permeable, being permeable for water vapor, while providing a chemical barrier to chemicals and/or biologicals, e.g., as in the following examples. In some approaches the fill material may have selective permeability properties that include low permeability to chemicals (e.g., less than 10% permeability), for example a low solubility, a low diffusivity, or a combination of both thereof. In other approaches, the fill material may react with the chemicals and neutralize the chemical activity. In yet other approaches, the fill material may block chemicals and/or biologicals of interest altogether, while being permeable for water vapor.

[0046] The fill material **106** may be constructed of any suitable material that would become apparent to one skilled in the art reading the present disclosure. In some

approaches, the fill material may be polymeric. In other approaches, the fill material may be ceramic.

[0047] In various embodiments, the density of the CNTs **102** is in a range of 10^{11} and 10^{13} CNTs per square centimeter, for example, the CNTs may form a forest of CNTs that cover the entire thickness of the membrane.

[0048] In various embodiments, transport of gas and/or liquid occurs through the CNTs. In some approaches, water vapor transport through the single-walled nanotubes (SWNTs) of the CNT membrane may be driven by a relative humidity gradient. In other approaches, the CNT membranes with < 5 nm conductive pores may exhibit outstanding moisture vapor transport rates (MVTR), for example but not limited to MVTR approaching $8000 \text{ g/m}^2\text{d}$ while rejecting ≥ 5 nm analytes by size exclusion.

[0049] In various embodiments, a concentration gradient may be used as a driving force such that CNT nanochannels in the membranes may sustain gas-transport rates that exceed Knudsen diffusion theory (as discussed further below) by more than one order of magnitude. Furthermore, the CNT membranes may provide rates of water vapor transport that surpass conventional breathable fabrics, even though the CNT pore size of the CNT channels may be only a few nm wide and the overall porosity in a range of greater than 0 to 15%, and preferably in the range of about 1% to about 5%.

[0050] Moreover, the CNT pores may be narrow and may block biological threats like viruses and bacteria by size exclusion.

[0051] FIG. 2 shows a method **200** for forming a plurality of CNTs, in accordance with one embodiment. As an option, the present method **200** may be implemented for forming products such as those shown in the other FIGS. described herein. Of course,

however, this method **200** and others presented herein may be used to form a structure which may or may not be related to the illustrative embodiments listed herein. Further, the methods presented herein may be carried out in any desired environment. Moreover, more or less operations than those shown in FIG. **200** may be included in method **200**, according to various embodiments. It should also be noted that any of the aforementioned features may be used in any of the embodiments described in accordance with the various methods.

[0052] According to one embodiment as shown in the diagram in FIG. **2**, method **200** begins with step **202** of forming plurality of CNTs having substantially parallel longitudinal axes, where an average inner diameter of the CNTs may be in a range of about 20 nanometers to greater than about 1 nm.

[0053] Known techniques may be used to form the plurality of CNTs. In some embodiments, the method to grow the CNTs may involve a single metal catalyst layer, for example but not limited to iron (Fe), cobalt (Co), nickel (Ni), platinum (Pt), etc. In other embodiments, the method to grow the CNTs may involve a bimetallic catalyst layer, for example, but not limited to Co-molybdenum (Co-Mo), Fe-Mo, Ni-Mo, Fe-Co, Co-copper (Co-Cu), etc.

[0054] In various embodiments, CNTs may be grown as a forest of CNTs on a large scale, for example, wafer scale of 4 to 6 inches. In some approaches, the CNT forests may be grown on a larger scale. In other approaches, the CNTs may be grown on a smaller scale. The area of the CNT forest may depend on the size limit of the growth chamber and the substrate.

[0055] In various embodiments, the height of the CNTs may be grown in the range of about 1 μm to about 1 mm or more in vertical height. In some embodiments, the CNTs may grow to about 20 to 30 μm in height.

[0056] FIGS. **3A-3F** show an exemplary embodiment of method **200**. A schematic representation of step **202** is shown in FIG. **3A** in which vertically aligned CNT **302** forests **301** may be synthesized from an Fe/Mo catalyst layer **324** on a substrate **322**, that may be a silicon wafer, using atmospheric-pressure chemical vapor deposition with ethylene as the carbon source. FIG. **3D** shows a scanning electron micrograph (SEM) image of a cross-section of a CNT forest **301** (represented by the dashed square of FIG. **3A**), according to one embodiment.

[0057] In some approaches, the diameter of the CNTs may be tuned according to the size of the particles with which the CNTs are grown. For example, larger particles may result in larger diameter tubes, and smaller particles may result in smaller diameter tubes. In other approaches, the diameter of the CNTs may be tuned according to the amount of carbon added to the growing step. For example, if the particles are starved of carbon, then smaller CNTs may be fabricated, but if a higher amount of carbon is added, then larger CNTs may be fabricated.

[0058] FIG. **4A** shows a high-resolution transmission electron microscopy (TEM) analysis of CNTs formed as described in step **202** of method **200**, according to one embodiment. Analysis shows that the CNTs are SWNTs with diameters in the range of 1-5 nm (3.3 nm average). In addition, these pore sizes (1-5 nm) are smaller than typical dimensions of viruses and bacteria and thus, the CNTs may block biological threats.

[0059] The graph in FIG. 4B confirms the presence of small-diameter tubes formed by the CNT fabrication process (step 202 of method 200, FIG. 2) by Raman spectroscopy analysis. The graph of Raman spectroscopy shows sharp radial breathing modes (RBM) of intensity (y-axis) in the 100 to 300 cm^{-1} wavenumber region (x-axis). The measured peak intensity ratios of **G**-band (at about 1590 cm^{-1}) and **D**-band (at about 1310 cm^{-1}) are high and typically in the range of 5-10, thus confirming the high graphitization of fabricated CNT channels.

[0060] The density of CNTs in the membrane may correlate with the size of the CNTs. For example, smaller tubes may generate a higher density membrane.

[0061] Looking back to FIG. 2, step 204 of method 200 involves filling interstitial spaces between the CNTs with a fill material for blocking passage of any material through the interstitial spaces having a size greater than the average inner diameter of the CNTs. In various embodiments, the fill material may be any polymer that can infiltrate a CNT forest and has at least one of the following characteristics: nonpermeable to chemicals and/or biological threats, permeable with water vapor or liquid, and depositable with vapor phase deposition. For example, but not limited to, in the application of the membrane as breathable fabric, a fill material that blocks any unwanted chemicals or bio-molecules, regardless of size, as well as liquid water may be preferable. For other applications, for example, water purification, a porous fill material may be preferable.

[0062] In a preferable embodiment, the fill material may be flexible, e.g., resiliently deformable. For example, if the membrane is used in a breathable fabric, a flexible fill

material is preferred. In other approaches where the membrane may be used as a type of shield on a building or device, the fill material may be rigid and/or hard in the final form.

[0063] Examples of fill material may include polymers, for example, parylenes, polyurethanes, polyamides, epoxy, etc; ceramics; nitrides, for examples, silicon nitride (Si_3N_4); metal oxides, for example titanium oxide (TiO_2), etc.; oxides of metalloids, for example, silicon oxide (SiO_2), etc.; etc. Examples of selectively permeable fill material that allows some water vapor permeability but provides a chemical barrier to chemicals (e.g. unwanted chemical warfare agents) and/or biologicals, regardless of size, may include polymers such as polyamines, sulphonated polymers, polymers containing fluorinated sulphonic acids, Chitosan, polyvinyl alcohol, polyalkylene-imine, etc.

[0064] In various embodiments, methods to fill the interstitial spaces between the CNTs as described by step **202** of method **200** may include atomic layer deposition (ALD), initiated chemical vapor deposition, polymer melting, spin-coating, dipping, etc. and combinations thereof.

[0065] FIG. **3B** shows a schematic representation of step **204** (FIG. **2**), according to an exemplary embodiment, in which the interstitial spaces **304** surrounding the CNT **302** may be filled with parylene-N material **306** by conformal coating from the vapor phase under vacuum and at room temperature, according to an exemplary embodiment. FIG. **3E** shows a SEM image of a CNT-parylene composite **326** (represents the dashed square of FIG. **3B**) following infiltration of the CNT **302** forests with parylene-N material **306**, according to an exemplary embodiment. The high magnification SEM image inset (100 nm) of FIG. **3E** shows a good conformal coating of the CNTs **302** after polymer deposition (for example, parylene-N material **306**). The SEM image of the surfaces (top

third portion of FIG. 3E) and cross sections (bottom two-thirds portion of FIG. 3E) of the CNT-parylene composite 326 shows efficient filling of the gaps (interstitial spaces 304) between CNTs 302 by the polymeric matrix (for example, parylene-N material 306) while maintaining vertical alignment of the native CNT forests 301 (FIG. 3D).

[0066] Efficient polymer infiltration (as shown in FIG. 3B) not only may ensure fluidic transport occurs through the inner core of the CNT nanochannels, but also the resulting CNT-parylene composites may display mechanical properties that are important for the application of the membranes as garment components. For example, various embodiments of fabrication of CNT-parylene composites show relatively high tensile strength (about 9 ± 3 MPa) and elastic modulus (about 382 ± 190 MPa).

[0067] Looking to FIGS. 3A and 3B, the CNTs may be formed on a release layer 320. In various embodiments, the release layer 320 may include material that may be dissolved by treatment with acid or base, for example alumina, oxides, etc. The CNT forests 301 infiltrated with fill material 306 may be released from the substrate 322 by dissolving the release layer 320 in acid or base, depending on the material of the release layer.

[0068] Referring back to FIG. 2, step 206 of method 200 involves opening ends of the CNTs. In various embodiments, the process to open the ends of the CNTs may be appropriate to the type of infiltrating material, for example but not limited to, etching, dissolution, lapping by process such as chemical mechanical planarization (CMP), etc.

[0069] FIG. 3C shows a schematic representation of step 206 (FIG. 2), according to an exemplary embodiment, in which the CNT-parylene composite 326 of FIG. 3B may be released from the substrate 322 (silicon wafer) by soaking in an acidic solution.

Methods to remove excess parylene and to open the CNT **302** tips on either end **312**, **314** may include reactive ion etching, air-plasma treatment, etc. The resulting structure **300** as shown in FIG. **3C** may be a CNT-parylene composite that includes CNTs **302** with parylene-N material **306** infiltrated in the interstitial spaces **304** between the CNTs **302**.

[0070] FIG. **3F** shows a SEM image of the top portion of the CNT-parylene composite **300** as represented by the dashed square in FIG. **3C**. The inset of FIG. **3F** shows a photograph of a flexible, free-standing CNT-parylene composite **300**, according to one embodiment.

[0071] In various embodiments as shown, for example, in FIG. **3C**, the CNTs **302** of the CNT-parylene composite **300** may function as low-tortuosity fluidic conduits, through the individual CNTs **302** that span the entire membrane thickness th . In other words, there is a low level of curvature (or tortuosity) of the path fluid may follow when entering the open top end **312**, spanning the thickness th of the membrane, and exiting the open bottom end **314**. For example, as shown in FIG. **4C**, the tortuosity ($\tau = 1.25$) of the CNTs was quantified from the anisotropy of the small-angle X-ray scattering pattern along the azimuthal angle ϕ , which was collected with the incident X-ray beam orthogonal to the vertically aligned forest **301**.

[0072] **Experimental**

[0073] *Fabrication of CNT membranes*

[0074] Briefly, 20-30 μm tall vertically aligned CNT forests were synthesized from a 5.5/0.5 Å Fe/Mo catalyst layer on a silicon (100) wafer using atmospheric-pressure chemical vapor deposition with ethylene as the carbon source. CNT interstitial spaces were then filled with parylene-N via conformal coating from the vapor phase under

vacuum and at room temperature. The CNT-parylene composite films were released from the silicon wafer by soaking in a 37 wt% aqueous HCl solution overnight and then mounted on a polyester plastic film with a punched hole of 1.5 cm diameter. To remove the excess parylene-N covering the CNT tips and open the CNTs to fluid flow, reactive ion etching was used followed by a milder air-plasma treatment.

[0075] *Density of CNT forests with and without parylene infiltration*

[0076] Various methods may be performed to determine the density of the CNTs **302** in the forest **301** as formed in step **202** (FIG. 2, FIG. 3A). The weight gain method following conventional techniques measures a mean density calculated from a volumetric mass density of the CNTs, the height of the forest measured by SEM, and the silicon support area under the forest. Synchrotron X-ray attenuation measures the mass density of the CNT forest by measuring the X-ray intensity of scattering upstream and downstream of the sample, and then using these values to calculate the CNT forest mass density based on the Beer-Lambert law following conventional techniques. Using these two methods, the CNT forest density has been calculated to be 5.2×10^{11} CNT/cm for weight gain, and 5.1×10^{11} CNT/cm for X-ray attenuation.

[0077] A third method, KCl diffusion, measures the density of open CNT pores after parylene infiltration and membrane surface etching. In these KCl diffusion studies, the CNT forest density was calculated to be 6.5×10^{11} CNT/cm², which compares closely with the density measurement using the weight gain method and the synchrotron X-ray attenuation measurements. All three density values correspond to a porosity of 4.4% to 5.5%.

[0078] *Transport across CNT membranes*

[0079] The CNT membranes were challenged to filter out a series of molecules having different sizes and charges in order to demonstrate transport across the membranes occurs through the CNT pores. FIGS. **5A-5C** show three different sized and charged molecules tested to transport through the CNT membranes: FIG. **5A** shows potassium ferricyanide ($[\text{Fe}(\text{CN})_6]^{3-}$ anion, size about 0.95 nm), FIG. **5B** shows a larger negatively charged dye (Direct Blue 71, size $3 \times 1.5 \times 1 \text{ nm}^3$), and FIG. **5C** shows a neutral polyethylene glycol (PEG)-coated Au nanoparticles (“nAu5,” size 5 nm). Ultraviolet-visible (UV-vis) spectroscopy shows a reduction in $[\text{Fe}(\text{CN})_6]^{3-}$ concentration by about 55% in the permeated solution (dotted line, FIG. **5A**), and the slight changes are observed in the inset showing the left tube with $[\text{Fe}(\text{CN})_6]^{3-}$ feed solution and the right tube with permeate solution.

[0080] The negatively charged molecules (Direct Blue 71, FIG. **5B**) and the larger particles of PEG coated Au (FIG. **5C**) each show an absence of detectable peaks in the permeate UV-vis spectra (dotted lines on the plots), and permeates in the tubes (right tubes in the insets) do not contain the color of the feed solutions (left tubes in inset). Thus, there appeared to be a complete rejection of feed solutions during filtration through the CNT membranes as shown in FIGS. **5B** and **5C**.

[0081] Overall there appeared to be both steric and electrostatic effects that contributed to the membrane selectivity. Since the diameters of the CNT pores are significantly wider than the molecular size of $[\text{Fe}(\text{CN})_6]^{3-}$, the partial rejection of the molecules seemed to be attributed to electrostatic interactions between the negatively charged carboxylic groups at the tube entrance and the anions in solution. Similarly, even though the dimensions of the Direct Blue 71 molecule fall within the CNT diameter

distribution, complete dye exclusion may have been achieved because of the contribution of the electrostatic interactions. Finally, since nAu5 does not carry a charge, its rejection may be due exclusively to steric effects, i.e. size exclusion. All of these filtration results suggest that transport occurs through the CNT pores. Moreover, the exclusion of uncharged species with sizes just above the upper bound of the CNT diameter distribution suggests that garments incorporating these membranes may protect against viruses and bacteria by size exclusion.

[0082] FIGS. **5D** and **5E** show CNT membranes can block biological threats. In FIG. **5D**, an aqueous solution of about 40 to 60 nm Dengue virus was filtered through a CNT membrane. Both quantitative polymerase chain reaction (qRT-PCR) and the plaque assay were employed to test the penetration of viral particles across the membrane to the permeate side. The plaque assay (FIG. **5D**) revealed that CNT membrane permeate was free of infectious virus as indicated by the absence of plaque (white particles) in the culture dish of the optical image (top right circle). The qRT-PCR measurements (FIG. **5E**) confirmed these findings by showing a four –log decrease in viral RNA concentration on the permeate side after filtration, $<2 \log(\text{cells/mL})$ (**CNT membrane**) compared to the levels in the beginning solution, nearly $6 \log(\text{cells/mL})$ (**Feed**).

[0083] In sharp contrast, when the virus filtration challenge was repeated for a reference macroporous membrane made of highly breathable expanded polytetrafluoroethylene (ePTFE), Dengue virus penetrated freely through the membrane as shown in FIG. **5D**, bottom row, with viral plaques (white particles) in both the feed and permeate and in FIG. **5E** with viral titres of ePTFE (**solid triangle**) at levels similar to

the feed, approximately 5-6 log(cells/mL). Thus, the ePTFE membrane provides little protection from biological hazards due to its open macroporous structure.

[0084] *Vapor transport across CNT membranes*

[0085] To allow efficient evaporative cooling of a the body through perspiration, a protective ensemble must provide a moisture vapor transport rate (MVTR) greater than 1500 to 2000 g/m²day. For CNT membranes, breathability was measured with the dynamic moisture permeation cell (DMPC) method, in which purely diffusive steady-state transport of water vapor was established by exposing each membrane side to a gas stream with a different relative humidity (RH). From experimental MVTR and the average water-vapor concentration difference across the membrane ΔC , the corresponding total resistance to moisture vapor transport was calculated, $R_{\text{tot}} = \Delta C / \text{MVTR}$.

[0086] In FIG. 6, the measurements of MVTR (bars, left y-axis) and R_{tot} (open circles, right y-axis) for CNT membranes and commercial breathable fabrics are compared, including macroporous films (ePTFE, eVent, NoeShell) or dense polymer laminates (Gore-Tex, Pro Shell, Sympatex). All samples were measured at 30°C and at a mean RH = 30% for an incoming gas stream humidity difference $\Delta \text{RH} = 50\%$. Data for CNT membranes and ePTFE films were collected with 1-2 cm² area samples, while the data of the remaining fabrics were measured with 25 cm² area samples. Because of the intrinsically different transmembrane ΔC stemming from the difference in active membrane area, results are best compared in terms of R_{tot} . The black dashed line represents the boundary layer resistance R_{BL} .

[0087] At these conditions, the R_{tot} for CNT membrane (resistance to water vapor transport) was comparable or smaller than R_{tot} for conventional breathable fabrics (open circles graph of FIG. 6).

[0088] In particular, looking at the bar graph of FIG. 6, CNT membranes provided MVTR close to 8000 g/m²day, i.e., fourfold larger than the breathability target. Notably this transport rate approaches that of macroporous ePTFE in spite of the much smaller porosity (<5.5% vs about 64%) and average pore size (3.3 nm vs about 210 nm).

[0089] *Single Pore Water Vapor Permeability*

[0090] The DMPC method measures the total resistance to water vapor diffusion (R_{tot}), including the contribution of resistances (R_{BL}) due to the air-side boundary layer at the two membrane surfaces. To benchmark the performance of CNT pores against various porous materials, the intrinsic mass transport properties of the CNT membranes (R_{CNT}) was obtained by accounting for R_{BL} which is membrane-independent and was obtained from the y-intercept in a linear plot of R_{tot} versus membrane thickness. In this case, the R_{BL} was obtained using ePTFE membranes by stacking a different number (1, 5, 10, and 15) of identical layers, each about 18 μm thick. Application of a resistance-in-series model allowed extracting the magnitude of all resistances ($R_{\text{BL}} = 104.5 \text{ s/m}$, $R_{\text{ePTFE}} = 5 \text{ s/m}$, and $R_{\text{CNT}} = 38.5 \text{ s/m}$) and revealed a large contribution of the external air-side resistance to the total measured breathability.

[0091] FIG. 7 shows a breathability/protection quality plot for porous materials using the knowledge of the intrinsic membrane properties for water vapor transport. The plot represents the water vapor permeability of a single pore as a function of its diameter. As shown in the plot, for the small pores, the predicted transport rate using the Knudsen

diffusion equation is shown by the **dashed** line. For intermediate pores, the predicted transport rate using the transition regime diffusivity equation is shown by the **solid** line. For large pores, the predicted transport rate using the bulk diffusion equation is shown by the **dotted-dashed** line.

[0092] The single pore permeability for several porous membranes (polycarbonate, PC = **circle**, ePTFE = **triangle**, anodic aluminum oxide, AAO = **diamond**, CNT, CNT = **square**) were obtained with the DMPC method at 30°C with average RH = 30% and an incoming gas stream humidity difference of 50%. The inset of FIG. 7 shows the enhancement factor defined as ratio of measured to predicted permeability. On the upper x-axis of the plot are the typical dimensions, in nm, of biological threats.

[0093] FIG. 7 shows that these gas diffusion theories predict with good accuracy (within a factor of 3) the magnitude of the transport rates for conventional membranes (the points are close to the predicted lines), whereas the permeability of the CNT channels is 24 times larger than Knudsen diffusion predictions (**dashed** line) and comparable to bulk diffusivities (**dotted-dashed** line)(about 0.16 cm²/s in the CNTs vs 0.26 cm²/s in the bulk).

[0094] Without wishing to be bound by any theory, the inventors believe this plot shows enhanced gas-transport in CNTs driven by a concentration gradient. Molecular dynamics (MD) simulations predicted diffusivities approaching bulk diffusion for pure gases like H₂, CH₄, Ne, Ar, N₂, and CO₂ inside a CNT and also showed that the Fickian diffusivities in a CH₄/H₂ gas mixture transporting through a CNT are close to that of their pure-component counterparts. This enhancement may be explained with the intrinsic atomic smoothness of well-graphitized CNT walls.

[0095] More specifically, in the Knudsen regime, molecule-surface collisions dominate over molecule-molecule collisions and dictate the magnitude of gas transport. Knudsen diffusion assumes diffusive scattering after a molecule-wall collision. However, if the inner surface of a pore instead of being rough (such as in a typical ceramic or polymeric membrane) is atomically smooth (such as in a CNT), the nature of the gas-wall collisions shifts from purely diffusive to a combination of diffusive and specular collisions. In addition, for a pore with a very smooth potential energy surface such as a CNT, the energy required to move an adsorbed gas molecule along the pore wall is very small. Thus, gas diffusivities in CNTs are much larger than estimated by Knudsen diffusion and found in other nanoporous materials with comparable pore dimensions.

[0096] CNTs may have an enhancement of water vapor transport over Knudsen theory with a magnitude approaching that measured for pure gasses under a pressure gradient. To confirm this expectation, the mass transport rate of nitrogen was quantified in the SWNTs under a pressure gradient. The about 23 μm thick CNT-composite membranes were measured to have a nitrogen permeance of $1.81 \pm 0.36 \times 10^{-5}$ $\text{mol/m}^2\text{sPa}$, which was about 50 times larger than predictions based on Knudsen diffusion theory. Thus, without wishing to be bound by any theory, the inventors believe that the high breathability of the CNT membranes may be attributed to the smoothness of the SWNT pores.

[0097] *Liquid flow transport rates*

[0098] The water permeability of CNT membranes was quantified under hydraulic pressure gradient. For dilute aqueous solutions, a liquid permeance of 42.5 ± 17.3 $\text{L/m}^2\text{hbar}$ was found based on total membrane area which corresponded to an

enhancement factor of 214 ± 93 with respect to the Hagen-Poiseuille equation. In addition to demonstrating fast flow, this enhancement factor suggested that transport measured across the CNT membranes may occur through the CNT pores rather than through nm sized gaps in the polymer matrix.

[0099] In use, incorporation of CNTs in flexible polymeric matrices as selective, moisture-conductive pores may be used in protective fabrics for applications in both civilian (for example, first response and clean-up missions, hospitals, etc.) and military settings (for example, protection from chemical warfare agents).

[00100] Ultrabreathable and protective CNT membranes described herein may also be useful in separation processes, such as membrane distillation, pervaporation, water purification and desalination.

[00101] The inventive concepts disclosed herein have been presented by way of example to illustrate the myriad features thereof in a plurality of illustrative scenarios, embodiments, and/or implementations. It should be appreciated that the concepts generally disclosed are to be considered as modular, and may be implemented in any combination, permutation, or synthesis thereof. In addition, any modification, alteration, or equivalent of the presently disclosed features, functions, and concepts that would be appreciated by a person having ordinary skill in the art upon reading the instant descriptions should also be considered within the scope of this disclosure.

[00102] While various embodiments have been described above, it should be understood that they have been presented by way of example only, and not limitation. Thus, the breadth and scope of an embodiment of the present invention should not be

limited by any of the above-described exemplary embodiments, but should be defined only in accordance with the following claims and their equivalents.

CLAIMS

What is claimed is:

1. A product, comprising:
a plurality of carbon nanotubes; and
a fill material in interstitial spaces between the carbon nanotubes for limiting or
preventing fluidic transfer between opposite sides of the product except
through interiors of the carbon nanotubes,
wherein longitudinal axes of the carbon nanotubes are substantially parallel,
wherein an average inner diameter of the carbon nanotubes is about 20
nanometers or less,
wherein ends of the carbon nanotubes are open,
wherein the fill material is impermeable or having an average porosity that is less
than the average inner diameter of the carbon nanotubes.
2. The product as recited in claim 1, wherein sidewalls of the carbon nanotubes are
primarily one atom thick.
3. The product as recited in claim 1, wherein the average inner diameter of the
carbon nanotubes is less than about 6 nanometers.

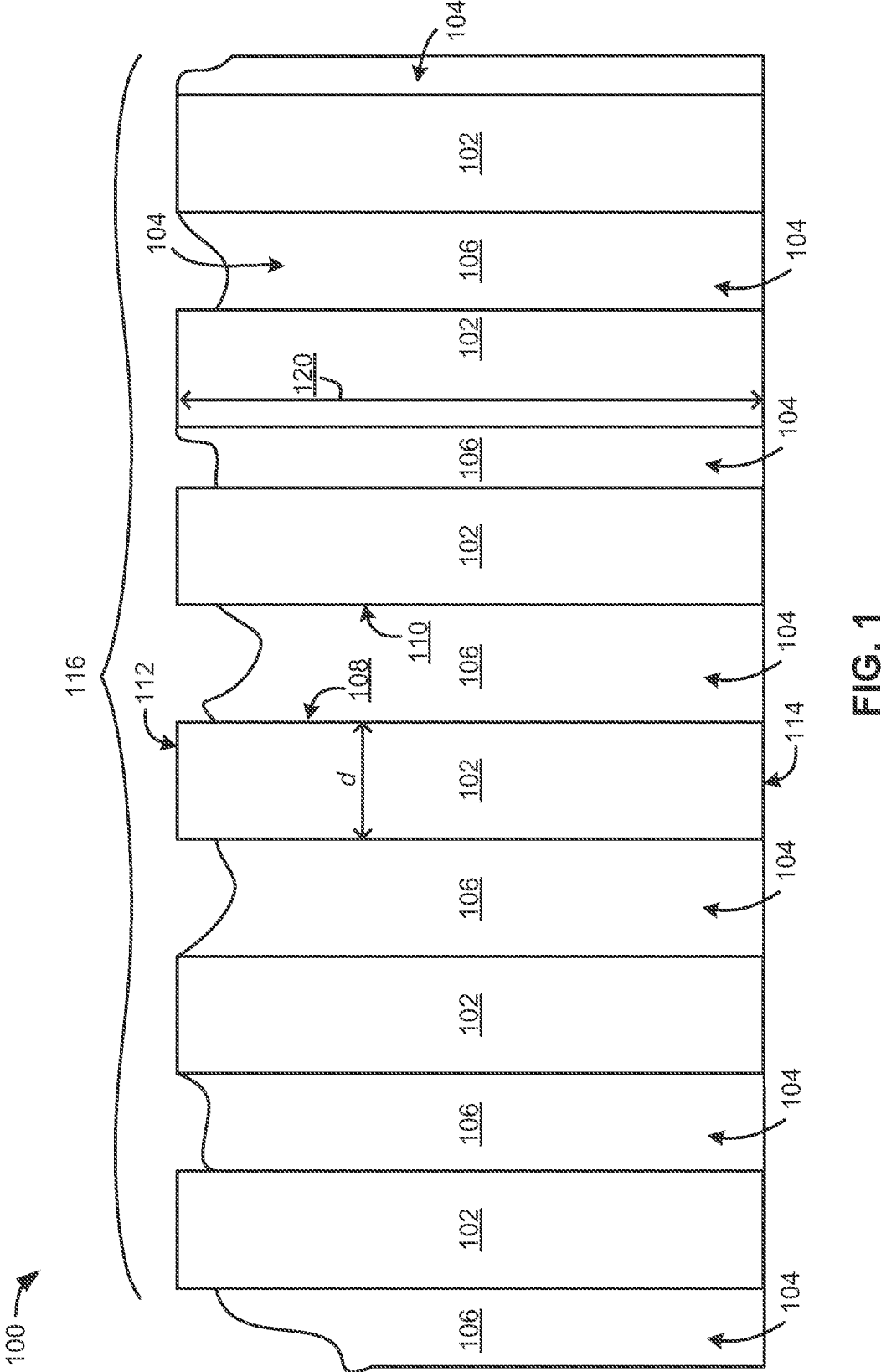
4. The product as recited in claim 1, wherein the average inner diameter of the carbon nanotubes is less than about 4 nanometers.
5. The product as recited in claim 1, wherein the fill material is impermeable.
6. The product as recited in claim 1, wherein the fill material is permeable for water vapor, wherein the fill material is impermeable to chemicals and biologicals having a size larger than a water molecule.
7. The product as recited in claim 1, wherein the fill material is permeable for water vapor, wherein the fill material provides a chemical barrier to chemicals and/or biologicals.
8. The product as recited in claim 1, wherein the fill material is polymeric.
9. The product as recited in claim 1, wherein the fill material is ceramic.
10. The product as recited in claim 1, wherein a density of the carbon nanotubes is in a range of 10^{11} and 10^{13} carbon nanotubes per square centimeter.
11. A product, comprising:
a plurality of carbon nanotubes having substantially parallel longitudinal axes;
and

a fill material in interstitial spaces between the carbon nanotubes for limiting or preventing fluidic transfer between opposite sides of the product except through interiors of the carbon nanotubes,
wherein an average inner diameter of the carbon nanotubes is about 6 nanometers or less,
wherein ends of the carbon nanotubes are open,
wherein the fill material is impermeable or having an average porosity that is less than the average inner diameter of the carbon nanotubes,
wherein a density of the carbon nanotubes is in a range of 10^{11} and 10^{13} carbon nanotubes per square centimeter.

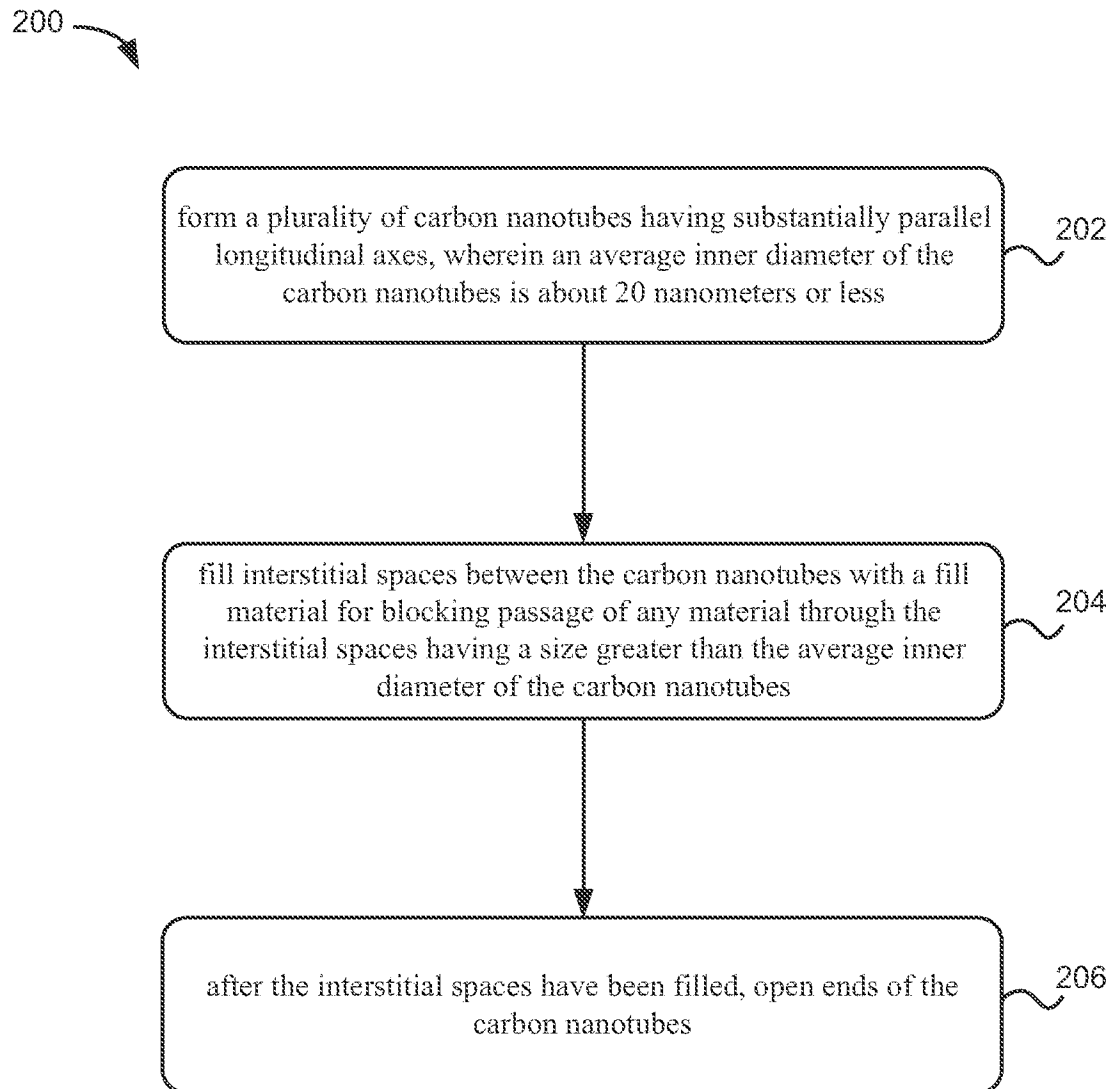
12. The product as recited in claim 11, wherein the average inner diameter of the carbon nanotubes is less than about 4 nanometers.
13. The product as recited in claim 11, wherein the fill material is impermeable.
14. The product as recited in claim 11, wherein the fill material is permeable for water vapor, wherein the fill material is impermeable to chemicals and biologicals having a size larger than a water molecule.
15. The product as recited in claim 11, wherein the fill material is permeable for water vapor, wherein the fill material provides a chemical barrier to chemicals and/or biologicals.

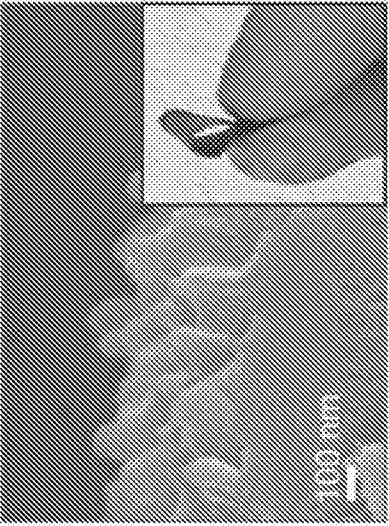
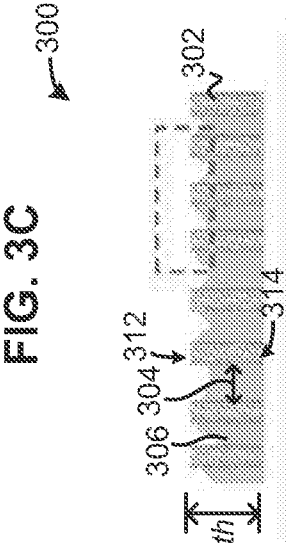
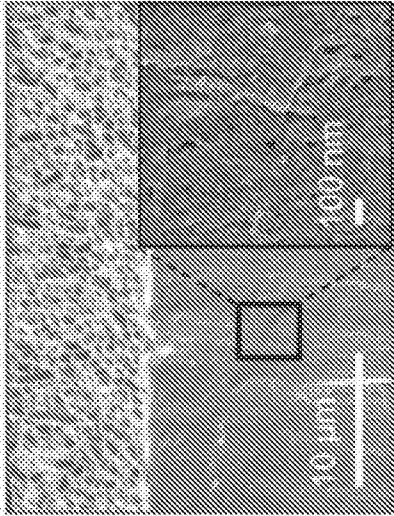
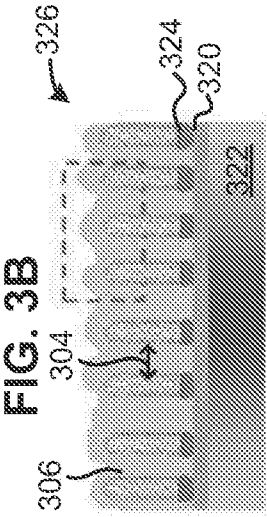
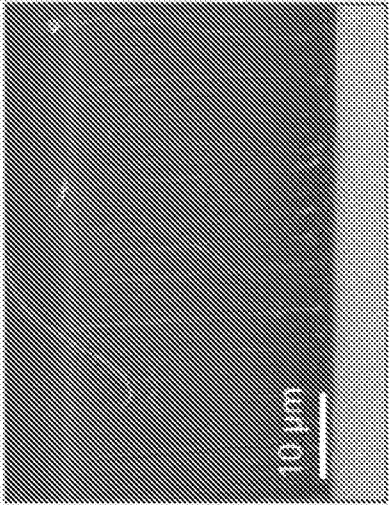
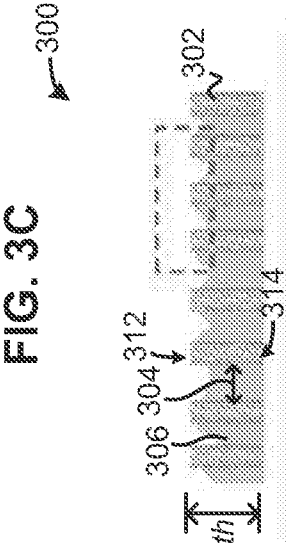
16. The product as recited in claim 11, wherein the fill material is polymeric.
17. The product as recited in claim 11, wherein the fill material is ceramic.
18. A method, comprising:
forming a plurality of carbon nanotubes having substantially parallel longitudinal axes, wherein an average inner diameter of the carbon nanotubes is about 20 nanometers or less;
filling interstitial spaces between the carbon nanotubes with a fill material for blocking passage of at least any material through the interstitial spaces having a size greater than the average inner diameter of the carbon nanotubes; and
after filling the interstitial spaces, opening ends of the carbon nanotubes.
19. The method as recited in claim 18, wherein the fill material is impermeable or having an average porosity that is less than the average inner diameter of the carbon nanotubes.
20. The method as recited in claim 18, wherein the average inner diameter of the carbon nanotubes is less than about 6 nanometers.

21. The method as recited in claim 18, wherein the average inner diameter of the carbon nanotubes is less than about 4 nanometers.
22. The method as recited in claim 18, wherein a density of the carbon nanotubes is in a range of 10^{11} and 10^{13} carbon nanotubes per square centimeter.



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**FIG. 2**



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FIG. 4B

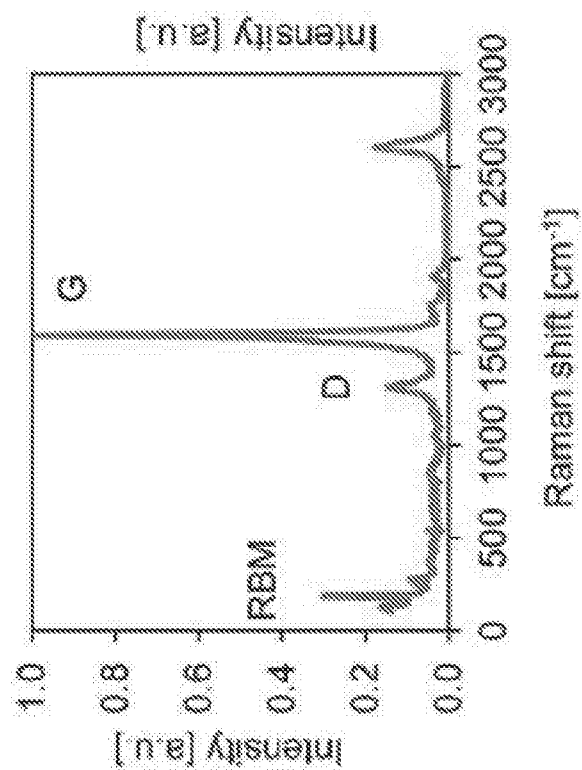


FIG. 4A

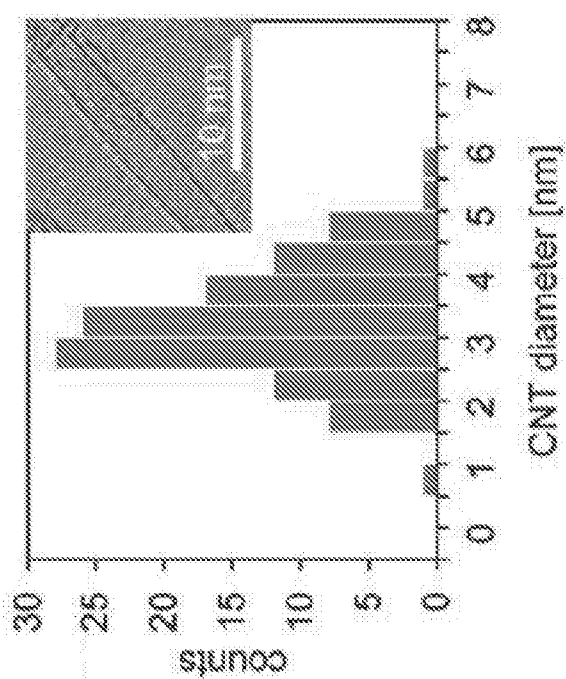


FIG. 4C

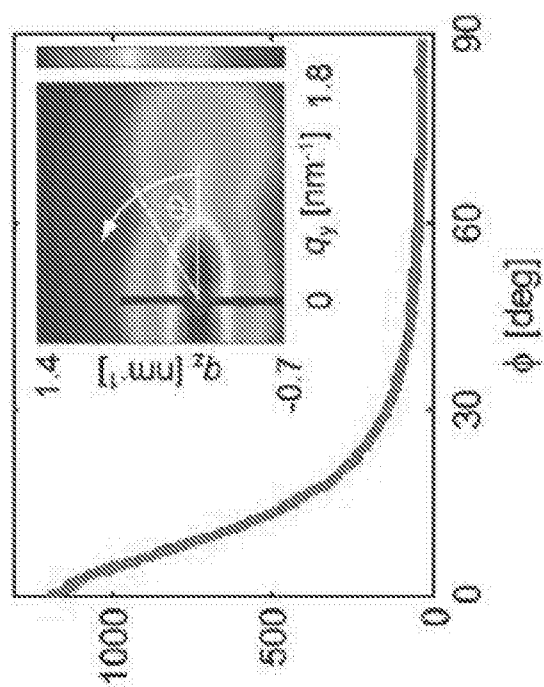


FIG. 5A

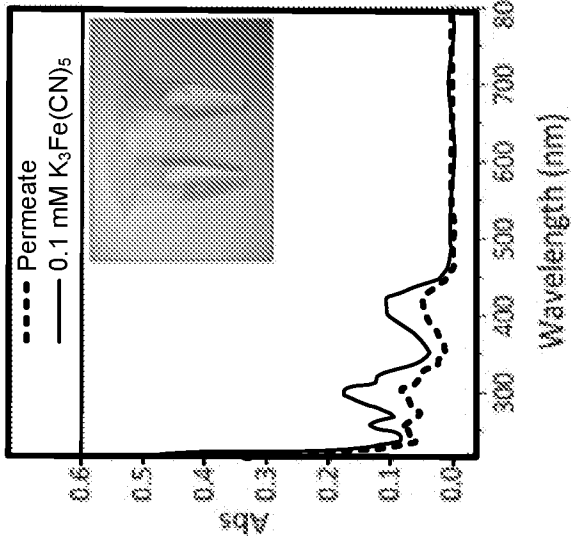


FIG. 5B

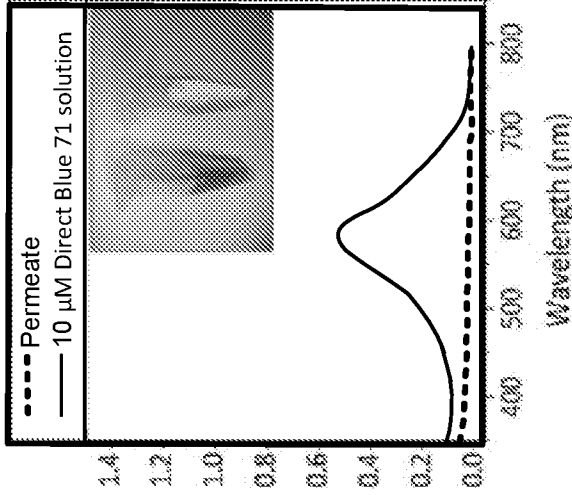


FIG. 5C

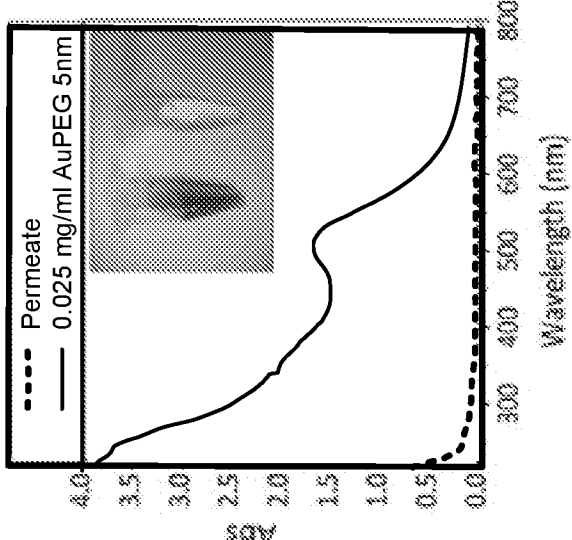


FIG. 5D

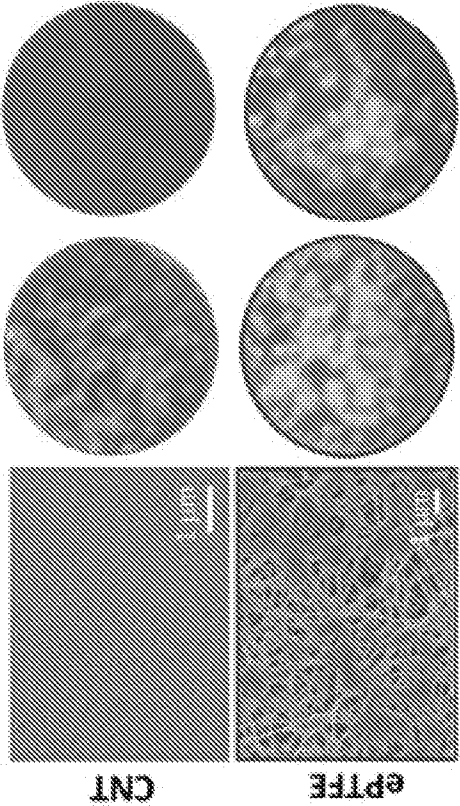
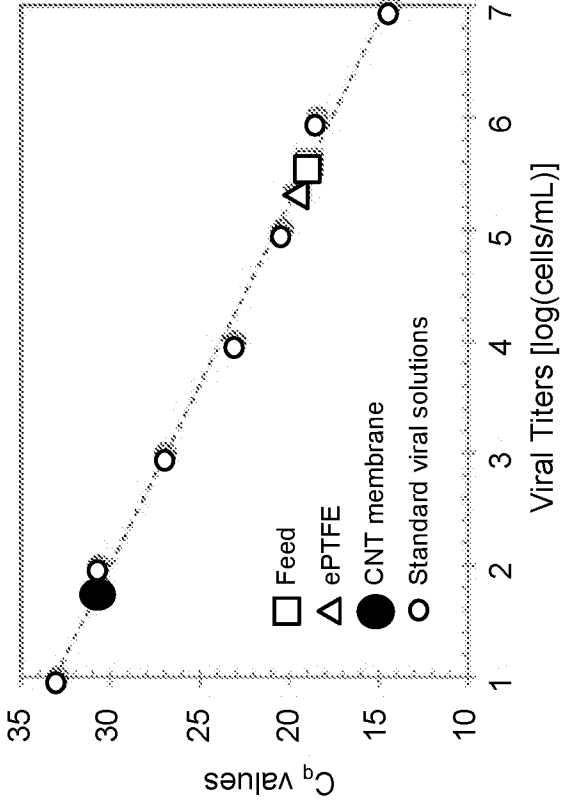


FIG. 5E



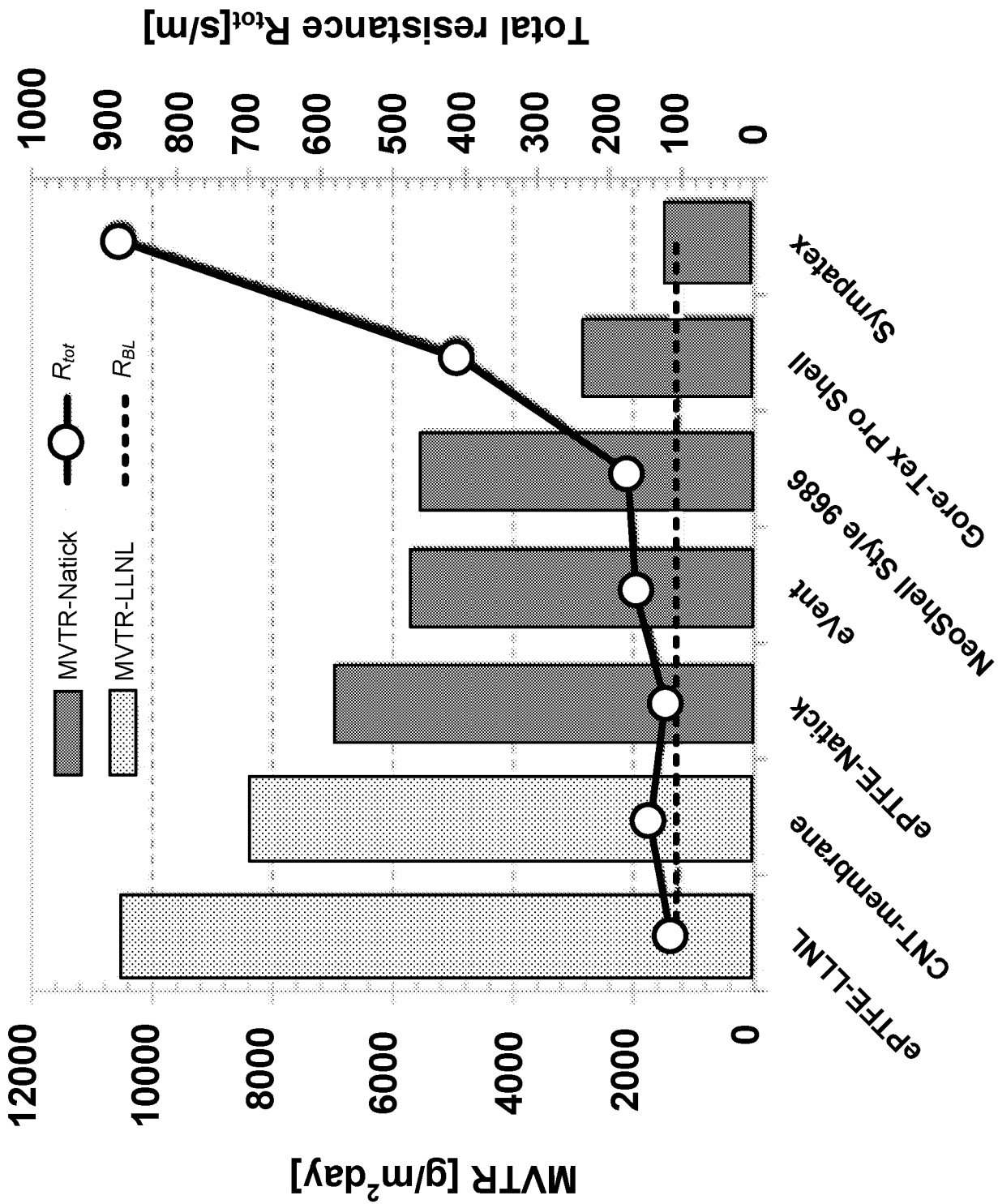


FIG. 6

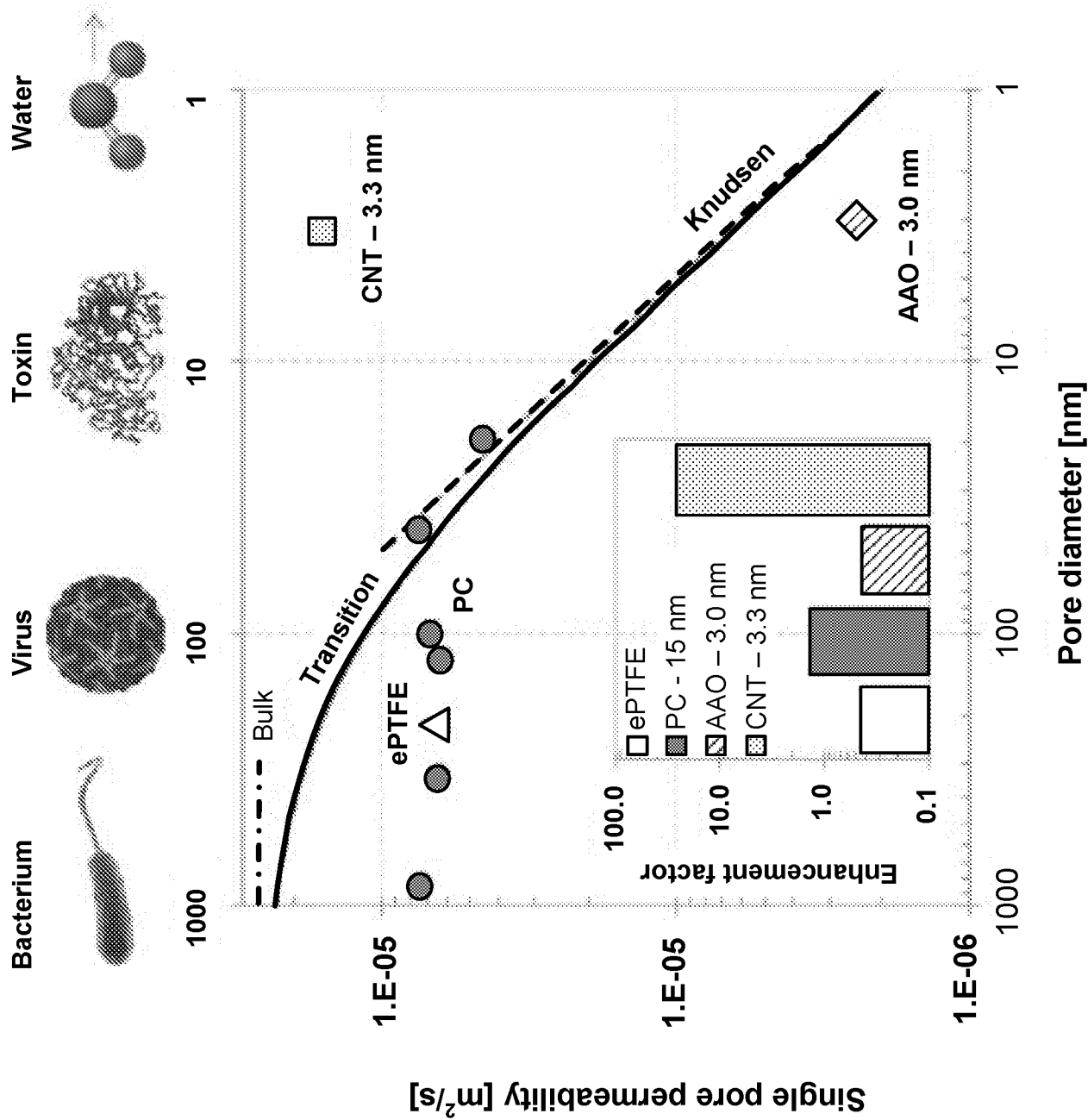


FIG. 7

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2018/018150

A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - B01D 71/02; B01D 71/00; B01D 71/06; C01B 32/00; C01B 32/158 (2018.01)

CPC - B01D 71/021; B01D 71/00; B01D 71/02; B01D 71/06; C01B 32/00; C01B 32/158 (2018.02)

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

See Search History document

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

See Search History document

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	BUI et al., Ultrabreathable and Protective Membranes with Sub-5 nm Carbon Nanotube Pores, Advanced Materials, Vol. 28, July 2016, Pgs. 5871-5877	1-4, 6, 8, 10-12, 14, 16, 18-22

Y		5, 7, 9, 13, 15, 17
Y	US 2011/0253630 A1 (BAKAJIN et al) 20 October 2011 (20.10.2011) entire document	5, 9, 13, 17
Y	US 9,475,263 B1 (RANGAN et al) 25 October 2016 (25.10.2016) entire document	7, 15
A	VAN DER BRUGGEN, The Separation Power of Nanotubes in Membranes: A Review, ISRN Nanotechnology, Vol. 2012, 2012 [retrieved on 15 March 2018]. Retrieved from the Internet: <URL: https://www.hindawi.com/journals/isrn/2012/693485/>. entire document	1-22

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

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Date of the actual completion of the international search

15 March 2018

Date of mailing of the international search report

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