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(71) Applicant: **BAVARIAN NORDIC A/S** [DK/DK];  
Hejreskovvej 10 A, DK-3490 Kvistgaard (DK).

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(72) Inventors: **CHEMINAY, Cédric**; Netzerstrasse 39B, 80992 Munich (DE). **VOLKMANN, Ariane**; Langäcker 11, 86911 Diessen (DE). **CHAPLIN, Paul**; Waldstrasse 11a, 82166 Gräfelfing (DE). **SUTER, Mark**; Kapuzinerweg 11, CH-6006 Luzern (CH).

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(54) Title: SINGLE HIGH DOSE OF MVA INDUCES A PROTECTIVE IMMUNE RESPONSE IN NEONATES AND INFANTS

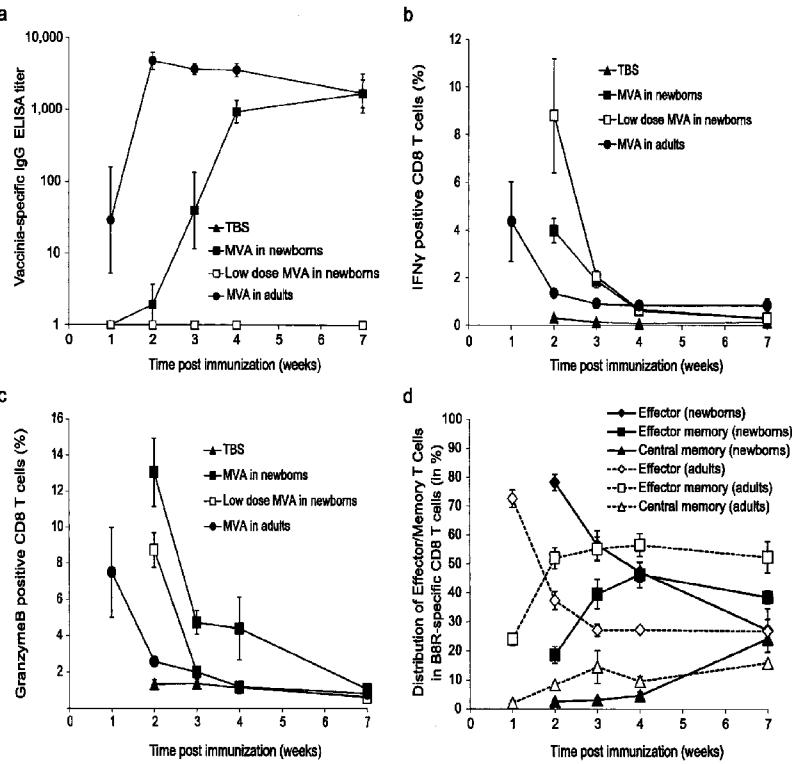


Fig. 1

**(57) Abstract:** The invention relates to compositions and methods for inducing a protective immune response against a poxvirus in a human neonate or infant of less than 6 months of age. The invention encompasses administering a single high dose of an MVA to a human neonate or infant of less than 6 months of age, wherein the administration induces protective T- and B-cell responses against a poxvirus in the human neonate or infant.



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## SINGLE HIGH DOSE OF MVA INDUCES A PROTECTIVE IMMUNE RESPONSE IN NEONATES AND INFANTS

The present invention relates to a method for inducing a protective immune response  
5 against a poxvirus in a human neonate or infant of less than 6 months of age comprising administering a dose of at least  $10^8$  TCID<sub>50</sub> of an MVA to a human neonate.

### Background of the Invention

10

There are only three vaccines that are licensed globally for immunization at birth: Bacille Calmette-Guérin (BCG) to prevent tuberculosis, oral Polio vaccine (OPV), and hepatitis B vaccine (HBV). Sanchez-Schmitz et al., *Sci. Transl. Med.* 3, 90ps27 (2011). BCG is a single-dose vaccine of freeze-dried, live *Mycobacterium bovis*. *Id.* OPV is a single-dose vaccine of a live-attenuated poliovirus. *Id.* HBV vaccine is a recombinant hepatitis B surface antigen expressed in yeast that is administered with Alum in three-doses, starting at birth. *Id.* Thus, two of these are live, replicating vaccines, and the other is a recombinant protein given in three doses.

20

The immaturity of the immune system in newborns has been a major bottleneck to develop safe and effective vaccines at this age. Under the current vaccination schedule for infants, only the Hepatitis B vaccine is recommended at birth, while others are given later during infancy (first 12 months, e.g. rotavirus, inactivated poliovirus vaccine), or are only recommended at 12 months or older (e.g. 25 measles/mumps/rubella vaccine), although in all cases multiple vaccinations are required during infancy / childhood to induce high levels of protection. Sanchez-Schmitz et al., *Sci.* , there is a time span of six to nine months after birth with increased susceptibility to diseases that could be prevented by vaccines. *Id.* Smallpox, AIDS, malaria, tuberculosis, and other diseases occur in young children 30 with a rapid and often severe disease progression. Even for childhood diseases such as RSV or measles, vaccines do not exist or cannot be administered before 9 months of age. Consequently, vaccination of neonates (within first 4 weeks) and/or a reduced or more effective schedule in infants would be a major advance in reducing mortality and morbidity associated with infectious diseases.

35

It is generally accepted that newborns mount mainly T<sub>H</sub>2 biased T-cell responses and produce no or only low levels of antibodies with limited affinity. In addition, these responses are of shorter duration than in adults. Adkins et al., *Nat. Rev. Immunol.* 4,

553-564 (2004); Marshall-Clarke et al., *Immunol. Today* 21, 35-41 (2000); Siegrist,C.A., *Vaccine* 19, 3331-3346 (2001).

5 However, under certain circumstances, such as activation of pattern recognition receptors or during certain viral infections, newborn mice can mount protective T-cell responses over time, indicating the potential for neonatal immunization. Forsthuber et al., *Science* 271, 1728-1730 (1996); Sarzotti et al., *Science* 271, 1726-1728 (1996).

10 Parallel to the development of adjuvants improving existing vaccines (Gracia et al.,

15 *Vaccine* 29, 1595-1604 (2011); Kamath et al., *PLoS. One.* 3, e3683 (2008)), new antigen delivery systems like DNA vaccines (Hassett et al., *J. Virol.* 74, 2620-2627 (2000); Rigato et al., *Virology* 406, 37-47 (2010)) and the three attenuated replicating bacterial strains *Salmonella enteric* (Ramirez et al., *Vaccine* 28, 6065-6075 (2010)), *Listeria monocytogenes* (Kollmann et al., *J. Immunol.* 178, 3695-3701 (2007)), and

20 BCG (Nascimento et al., *Microbes. Infect.* 10, 198-202 (2008); Ranganathan et al., *Vaccine* 28, 152-161 (2009)) were shown to induce efficient immune responses when administered in one week old mice or even at birth. However, only live attenuated replicating vaccines induced protection against lethal infections, and were generally effective only after several immunizations and thus at a stage with a progressed

25 immunological maturity. Hence, replicative vaccines require substantial time to induce successful protection, and the risk of uncontrolled disseminated infections of live attenuated replicating vaccines still represent major limitations (Galen et al., *Immunol. Cell Biol.* 87, 400-412 (2009); Johnson et al., *Microbiol. Immunol.* 55, 304-317 (2011); Li et al., *Zhonghua Er. Ke. Za. Zhi.* 48, 65-68 (2010); Liu et al., *Immunol. Rev.* 239, 62-84 (2011)).

30 Modified Vaccinia virus Ankara (MVA) has been administered to over 100,000 individuals during the smallpox eradication campaign without any complications.

35 However, MVA still represents a complex mixture of viruses with different levels of attenuation and immunogenicity. Suter et al., *Vaccine* 27, 7442-7450 (2009). The plaque-purified MVA developed by Bavarian Nordic (MVA-BN) completely fails to replicate in mammals including humans and is safe even in immune-compromised hosts. *Id.* Besides its excellent safety profile, MVA is highly immunogenic in humans (Vollmar et al., *Vaccine* 24, 2065-2070 (2006)) and its efficacy has been proven in several smallpox animal models such as Ectromelia virus (ECTV), rabbitpox or monkeypox (Garza et al., *Vaccine* 27, 5496-5504 (2009); Samuelsson et al., *J. Clin. Invest.* 118, 1776-1784 (2008); Stittelaar et al., *J. Virol.* 79, 7845-7851 (2005)).

Another major advantage of MVA is its capacity to support the genetic insertion of several antigens (Timm et al., *Vaccine* 24, 4618-4621 (2006)) that could concomitantly induce protection against other infectious diseases or cancer ((Harrer et al. *Antivir. Ther.* 10, 285-300 (2005); Mandl et al., *Cancer Immunol. Immunother.*(2011); Meyer et al., *Cancer Immunol. Immunother.* 54, 453-467 (2005)).

ECTV (the causative agent of mousepox) in mice is a good model system for human poxvirus infection. Esteban et al., *Journal of General Virology* (2005), 86, 2645–2659. The course of disease is very similar for mousepox and smallpox, including the entry route, the high infectivity at low doses, the development of viremia, the restricted host range, and the delayed but fatal outcome. Therefore, mousepox can be regarded as a valuable small animal model for human smallpox and, in general, as a model for acute, fatal viral diseases. Lauterbach et al., *PLoS ONE*, Volume 5(3 ): e9659 (2010).

15

The pathogenesis of ECTV infection in mice, with localized replication and systemic spread, is similar to the pathogenesis of Variola virus in humans. Chapman et al., *Vet Pathol* 2010 47: 852 (2010). A comparison of short-term and postexposure protection in mice infected with VACV-WR and ECTV suggested that ECTV infection more closely resembles human smallpox. Paran et al., *The Journal of Infectious Diseases*; 199:39–48 (2009).

The vaccination of mice with MVA at birth is safe and induces an increase of FLT3 ligand, leading to an accelerated development of plasmacytoid dendritic cells (pDC) and activation of conventional (c) DC resulting in improved resistance against heterologous viral infection. (Franchini et al., *J. Immunol.* 172, 6304-6312 (2004), Vollstedt et al., *Eur J Immunol.* 34: 1849-1860 (2004) Vollstedt et al., *Eur J Immunol.* 36: 1231-1240 (2006). Vaccination of one or two-day old mice with  $2.5 \times 10^7$  TCID<sub>50</sub> of MVA protected most mice against challenge with a lethal dose of herpes simplex virus 1 (HSV-1) at 7-8 days after vaccination and protected most mice against challenge with a lethal dose of vaccinia Western Reserve (VV-WR) at 4 weeks after immunization, when the mice were considered adults. WO 03/088994A2. To determine the virus dose needed for maximal induction of CD11c+ cells, graded doses of MVA were tested. Maximal numbers of CD11c+ cells were detected after treatment with  $2.5 \times 10^6$  TCID<sub>50</sub> of virus; whereas, doses below and above this were less effective. *Id.* Thus,  $2.5 \times 10^6$  TCID<sub>50</sub> was considered to be the optimal dose of MVA for the vaccination of neonates.

Consequently, a need in the art exists for compositions and methods for vaccination of neonates to achieve strong T-cell and antibody responses and protection against pathogens. The invention fulfills this need.

5

### **Summary of the invention**

The invention encompasses compositions and methods for inducing a protective immune response against a poxvirus in a human neonate or infant of less than 6 months of age. In one embodiment, the invention encompasses administering a dose of at least  $10^8$  TCID<sub>50</sub> of an MVA to a human neonate or infant of less than 6 months of age, wherein the administration induces protective T- and B-cell responses against a poxvirus in the human neonate prior to 6 months of age, preferably within 2 weeks of the administration. Most preferably, the immune response is induced in the absence of a second administration of the MVA.

In various embodiments, the administration is administered to a human neonate or infant of less than 2 months of age or within 72 hours after birth.

20 Preferably, the administration induces protective T- and B-cell responses against a poxvirus. Most preferably, the administration induces protective T- and B-cell responses against smallpox.

25 In some embodiments, the invention encompasses administering one or more boosting administrations of the MVA.

In some embodiments, the MVA is a recombinant MVA. In some embodiments, the administration induces T- and B-cell responses against a heterologous antigen encoded by the recombinant MVA.

30

### **Brief description of the figures**

Figures 1a-d show a comparison of the vaccinia-specific immune responses in newborn versus adult mice after a single MVA-BN vaccination. Newborn or adult C57BL/6 mice were immunized with a high dose ( $1 \times 10^8$  TCID<sub>50</sub>) or a low dose ( $2 \times 10^6$  TCID<sub>50</sub>) of MVA. Animals were bled and sacrificed 1, 2, 3, 4 or 7 weeks post-immunization. (a) Vaccinia-specific IgG in serum was measured by ELISA. Geometric

mean titers +/- standard error of the mean (GMT +/- SEM) are shown. **(b)** Percentage of B8R-specific IFN $\gamma$ -secreting CD8+ T-cells in spleen was determined by flow cytometry. Mean percentages +/- standard error of the mean (SEM) are shown. **(c)** Percentage of granzyme B-expressing CD8+ T-cells in spleen was determined by flow cytometry. Mean percentages +/- standard error of the mean (SEM) are shown. **(d)** Distribution (in %) of effector (CD44<sup>high</sup>CD62L<sup>-</sup>CD127<sup>-</sup>), effector memory (CD44<sup>high</sup>CD62L<sup>-</sup>CD127<sup>+</sup>) and central memory (CD44<sup>high</sup>CD62L<sup>+</sup>CD127<sup>+</sup>) cells within the B8R-specific CD8+ T-cell population isolated from spleen was measured by flow cytometry. Mean percentages +/- standard error of the mean (SEM) are shown. The distribution was identical in newborn mice immunized with the two different doses of MVA-BN, only the  $1 \times 10^8$  TCID<sub>50</sub> dose is shown. Analysis in one week old mice was not possible due to insufficient numbers of CD8+ T-cells in the spleen.

**Figures 2a-d** show that neonatal immunization with  $10^8$  TCID<sub>50</sub> of an MVA induces complete protection against ECTV challenge. C57BL/6 mice were immunized with a high dose ( $1 \times 10^8$  TCID<sub>50</sub>) or low dose ( $2 \times 10^6$  TCID<sub>50</sub>) of MVA or administered TBS at birth. Four weeks after immunization, mice were challenged with  $1 \times 10^4$  TCID<sub>50</sub> ECTV. **(a)** Survival and **(b)** relative body weight change in % (mean +/- SEM) were monitored for 21 days. Similarly, mice immunized at birth with  $1 \times 10^8$  TCID<sub>50</sub> of MVA were challenged with **(c)**  $3 \times 10^4$  TCID<sub>50</sub> ECTV 7 weeks post-immunization or **(d)**  $1 \times 10^2$  TCID<sub>50</sub> ECTV 2 weeks post-immunization.

**Figures 3a-d** show that protection depends on the T- and B-cell immune responses. **(a, b)** FLT3 or **(c, d)** TCR $\beta\delta$  knockout mice were immunized at birth with  $1 \times 10^8$  TCID<sub>50</sub> of MVA and challenged with  $1 \times 10^3$  TCID<sub>50</sub> of ECTV 4 weeks later. **(a, c)** Survival was monitored for 21 days. **(b, d)** At the time of death or at the end of the observation period, lungs were necropsied, homogenized and the ECTV titer per lung was determined by plaque assay (GMT +/- SEM).

**Figures 4a-d** show that both T- and B-cell responses are required for complete protection **(a, b)**  $\beta$ 2m knockout or **(c, d)** T11 $\mu$ MT transgenic mice were immunized at birth with  $1 \times 10^8$  TCID<sub>50</sub> of MVA and challenged with  $1 \times 10^4$  TCID<sub>50</sub> of ECTV 4 weeks later. **(a, c)** Survival was monitored for 21 days. **(b, d)** At the time of death or at the end of the observation period, lungs were necropsied, homogenized and the ECTV titer per lung was determined by plaque assay (GMT +/- SEM).

**Figures 5a-b** show the immunogenicity of a recombinant MVA-Measles vaccine in newborn and adult mice. **(a, b)** Newborn or adult BALB/c mice were immunized twice with  $1 \times 10^8$  TCID<sub>50</sub> of MVA-Measles three weeks apart. **(a)** In addition, some neonates were immunized only at birth. Adult mice were bled 2, 3, 4 and 5 weeks after the first immunization, whereas newborns could be bled only 3 weeks after birth. Blood was then drawn every two weeks (four times) and again when mice were sacrificed (15 weeks after neonatal immunization). Measles-specific IgG was measured by ELISA (GMT +/- SEM). **(b)** Two weeks after the second immunization, measles-specific T-cells were measured after *in vitro* stimulation of splenocytes with a nucleocapsid-specific peptide and IFN $\gamma$ -secreting cells were detected by ELISpot. (Mean of stimulation indexes +/- SEM).

**Figure 6** shows long term vaccinia-specific B-cell responses in newborn mice after a single vaccination with MVA or UV-treated MVA. Newborn C57BL/6 mice were immunized with  $1 \times 10^8$  TCID<sub>50</sub> of MVA or with  $1 \times 10^8$  TCID<sub>50</sub> of UV-treated MVA. Animals were bled and sacrificed 1, 2, 3, 4, 7 or 16 weeks post-immunization. Vaccinia-specific IgG in serum was measured by ELISA. Geometric mean titers +/- standard error of the mean (GMT +/- SEM) are shown.

**Figure 7** shows long term vaccinia-specific T-cell responses in newborn mice after a single MVA or UV-treated MVA vaccination. Newborn C57BL/6 mice were immunized with  $1 \times 10^8$  TCID<sub>50</sub> of MVA or with  $1 \times 10^8$  TCID<sub>50</sub> of UV-treated MVA. Animals were sacrificed 1, 2 or 16 weeks post-immunization. Vaccinia-specific T-cells were measured after *in vitro* stimulation of splenocytes with a B8R-specific peptide and IFN $\gamma$ -secreting cells were detected by ELISpot. (Mean of stimulation indexes +/- SEM).

**Figure 8** shows CD8+ T-cell frequency in newborn mice compared to adult mice. For 1-, 2-, 3-, 4- and 7-week old newborn mice, the percentage of CD8+ T-cells in spleen was determined by flow cytometry and compared to adult mice. Mean percentages +/- standard error of the mean (SEM) are shown

**Figures 9a-b** show that an immunoglobulin class switch is required for viral clearance. Activation-induced cytidine deaminase (AID) knockout mice were immunized at birth with  $1 \times 10^8$  TCID<sub>50</sub> of MVA and challenged with  $1 \times 10^4$  TCID<sub>50</sub> of ECTV 4 weeks later. **(a)** Survival was monitored for 21 days. **(b)** At time of death or at

the end of the observation period, lungs were necropsied, homogenized and the ECTV titer per lung was determined by plaque assay (GMT +/- SEM).

### **Detailed description of the invention**

5

The threat of a potential bioterrorism attack or emergence of zoonotic poxviruses in the human population has prompted several efforts to develop a safer third generation smallpox vaccine suitable for at-risk populations contraindicated for ACAM2000™, the smallpox vaccine currently licensed in the USA. However, at-risk 10 populations include not only immuno-compromised individuals such as HIV patients or individuals suffering from skin disorders like atopic dermatitis, but also children less than one year old due to the immaturity of their immune system. MVA-BN with its excellent safety profile as a replication-deficient live virus has previously been shown to enhance broad-spectrum resistance to viral infections in the first week of life in 15 mice. Franchini, *J. Immunol.* 172, 6304-6312 (2004).

Naïve neonates are considered difficult if not impossible to protect against fatal infections shortly after birth. However, by increasing the vaccination dose to a dose of  $1 \times 10^8$  TCID<sub>50</sub> of Modified Vaccinia Ankara (MVA), it was demonstrated that a single 20 immunization of mice at birth induced fully functional T- and B-cell responses that rapidly conferred full protection against a lethal orthopoxvirus challenge. Surprisingly, protection is induced within 2 weeks and is mainly T-cell-dependent. Furthermore, persisting immunological T-cell memory and neutralizing antibodies were obtained with this single vaccination. Thus, MVA administered as early as at birth induces 25 immediate and long-term protection against fatal diseases and appears attractive as a platform for early childhood vaccines.

A single vaccination of mice with MVA at birth not only induces innate, but also adaptive immune responses including effector and long term memory T-cells as well 30 as neutralizing antibody responses. Importantly, within two weeks after vaccination the adaptive immune response fully protects mice against a lethal intranasal challenge with ECTV.

Here, it is demonstrated that an important role for T-cells exists in newborn mice. 35 When immunized with a low dose of  $2 \times 10^6$  TCID<sub>50</sub> of MVA, a strong cytotoxic T-cell response was induced, which led to partial protection from ECTV challenge in the absence of detectable antibody responses. Complete protection was only achieved

after vaccination with a high dose of  $1 \times 10^8$  TCID<sub>50</sub> of MVA, a dose that also induces B-cell responses. This was confirmed in T11 $\mu$ MT transgenic mice, in which partial protection showed that B-cells are also required in order to achieve complete protection after a single vaccination with MVA at birth.

5

The invention encompasses compositions and methods for inducing a protective immune response against a poxvirus in a human neonate or infant. In one embodiment, the invention encompasses administering a dose of at least  $10^8$  TCID<sub>50</sub> of an MVA to a human neonate or infant. The MVA can be administered to a human 10 neonate or infant prior to the full maturation of the immune system.

The invention further encompasses MVA for use in inducing a protective immune response against a poxvirus in a human neonate or infant.

15 The invention also encompasses MVAs for use in vaccinating a human neonate or infant. The invention also encompasses the use of MVAs as vaccines for treating a human neonate or infant and the use of MVAs in the preparation of vaccines or medicaments for treating or vaccinating a human neonate or infant.

20 **Human Neonates and Infants**

Within the context of this invention, the term "human neonate" refers to a newborn human less than 1 month of age and the term "human infant" refers to a human between birth and 1 year of age. Preferably, the human neonate is less than 4 weeks 25 of age, less than 3 weeks of age, less than 2 weeks of age, or less than 1 week of age. More preferably, the human neonate is less than 6, 5, 4, 3, 2, or 1 days of age.

In one embodiment, a dose of MVA is administered to a human neonate. In various embodiments, a dose of MVA is administered to a human neonate of less than 4 weeks of age, less than 3 weeks of age, less than 2 weeks of age, or less than 1 week of age. In various embodiments, a dose of MVA is administered to a human neonate of less than 6, 5, 4, 3, 2, or 1 days of age. In preferred embodiments, a dose of MVA is administered to a human neonate within 3, 2, or 1 days of birth.

35 In one embodiment, a dose of MVA is administered to a human infant of less than 6, 5, 4, 3, 2, or 1 months of age. In various embodiments, a dose of MVA is administered to a human infant of less than 8 weeks of age, less than 7 weeks of

age, less than 6 weeks of age, or less than 5 weeks of age. In preferred embodiments, a dose of MVA is administered to a human infant of less than 2 months of age.

## 5    Modified Vaccinia Ankara (MVA) Viruses

The invention encompasses any and all MVA viruses. Preferred MVA viruses include MVA variant strains such as MVA-BN (deposited at the European Collection of Animal Cell Cultures, Vaccine Research and Production Laboratory, 10 Public Health Laboratory Service, Centre for Applied Microbiology and Research, Porton Down, Salisbury, Wiltshire SP4 0JG, United Kingdom (ECACC) on August 30, 2000, under Accession No. V00083008), MVA-575 (deposited at ECACC on December 7, 2000, under Accession No. V00120707), and MVA-572 (deposited at ECACC on January 27, 1994 under Accession No. V94012707). Derivatives of the 15 deposited strain are also preferred.

Preferably, the MVA has the capability of reproductive replication *in vitro* in chicken embryo fibroblasts (CEF) or other avian cell lines or *in vivo* in embryonated eggs, but no capability of reproductive replication in human cells in which MVA 575 or MVA 572 20 can reproductively replicate. Most preferably, the MVA has no capability of reproductive replication in the human keratinocyte cell line HaCaT, the human embryo kidney cell line 293 (also referred to as HEK293), the human bone osteosarcoma cell line 143B, and the human cervix adenocarcinoma cell line HeLa.

25    In preferred embodiments, the Modified vaccinia virus Ankara (MVA) virus is characterized by having the capability of reproductive replication *in vitro* in chicken embryo fibroblasts (CEF) and by being more attenuated than MVA-575 in the human keratinocyte cell line HaCaT, in the human bone osteosarcoma cell line 143B, and in the human cervix adenocarcinoma cell line HeLa. Preferably, the MVA virus is capable 30 of an amplification ratio of greater than 500 in CEF cells. The "amplification ratio" of a virus is the ratio of virus produced from an infected cell (Output) to the amount originally used to infect the cells in the first place (Input). A ratio of "1" between Output and Input defines an amplification status wherein the amount of virus produced from the infected cells is the same as the amount initially used to infect 35 the cells.

## Recombinant MVAs

The invention encompasses recombinant MVA viruses generated with any and all MVA viruses. In one embodiment, the recombinant MVA virus is a recombinant MVA-BN virus. The recombinant MVA virus comprises at least one heterologous nucleic acid sequence. In the context of this invention, the term "heterologous" nucleic acid sequence refers to a nucleic acid sequence that is not naturally found in the MVA.

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Preferably, the heterologous nucleic acid sequence is a sequence coding for at least one antigen, antigenic epitope, and/or a therapeutic compound. The antigenic epitopes and/or the antigens can be antigenic epitopes and/or antigens of an infectious agent. The infectious agents can be viruses, fungi, pathogenic unicellular eukaryotic or prokaryotic organisms, and parasitic organisms. In some embodiments, the infectious agent is a virus selected from any of the following: Rotavirus, Rubella virus, Poliovirus, Influenza virus, Flavivirus (particularly Dengue virus and Yellow Fever virus), Paramyxovirus (particularly measles virus, mumps virus, and respiratory syncytial virus (RSV)), Hepatitis virus (particularly Hepatitis A, B, and C viruses), Human immunodeficiency virus (particularly HIV-1), Filovirus (particularly Ebola virus and Marburg virus) or from other viruses causing hemorrhagic fever. In some embodiments, the infectious agent is a bacterium selected from any of the following: *Bacillus anthracis*, *meningococcus*, *pneumococcus*, *Haemophilus influenza*, *Corynebacterium diphtheriae*, *Clostridium tetani*, *Burkholderia*, *Francisella tularensis*, *Coxiella burnetii*, or *Bordetella pertussis*.

Any antigen, including those that induce a T-cell response, can be expressed by the recombinant MVA of the invention. Viral, bacterial, fungal, and cancer antigens are preferred. Preferred antigens are antigens of any of the viruses or bacteria described above. HIV-1 antigens, Dengue virus antigens, anthrax antigens, measles virus antigens, influenza virus antigens, picornavirus antigens, coronavirus antigens and respiratory syncytial virus antigens are particularly preferred antigens. Preferably, the antigen is a foreign antigen or neoantigen. Within the context of this invention, the term "neoantigen" refers to an antigen not naturally expressed by the poxviral vector.

In some embodiments, the administration induces T- and/or B-cell responses against a heterologous antigen encoded by the recombinant MVA. The T-cell response can be an effector and/or long term memory T-cell response. The B-cell response can be a neutralizing antibody response.

5

## Administration

The invention encompasses administration of a dose of an MVA to a human neonate or infant via any route. Preferred routes of administration include subcutaneous (s.c.), intradermal (i.d.), intramuscular (i.m.), in bone marrow (i.bm.) or intravenous (i.v.) injection, oral administration and mucosal administration, especially intranasal administration, or inhalation. The quantity to be administered (dosage) depends on the subject to be treated, considering among other things the condition of the patient, the state of the individual's immune system, the route of administration and the size of the host.

The invention further encompasses MVAs for use as a pharmaceutical composition or vaccine for vaccinating a human neonate or infant, the use of MVAs as pharmaceutical compositions or vaccines for treating a human neonate or infant, and the use of MVAs in the preparation of pharmaceutical compositions or vaccines or medicaments for treating or vaccinating a human neonate or infant.

The pharmaceutical composition, vaccine or medicament can generally include one or more auxiliary substances, such as pharmaceutically acceptable and/or approved carriers, additives, antibiotics, preservatives, adjuvants, diluents and/or stabilizers. Such auxiliary substances can be water, saline, glycerol, ethanol, oil, wetting or emulsifying agents, pH buffering substances, or the like. Suitable carriers are typically large, slowly metabolized molecules such as proteins, polysaccharides, polylactic acids, polyglycolic acids, polymeric amino acids, amino acid copolymers, lipid aggregates, or the like.

For the preparation of pharmaceutical compositions or vaccines or medicaments, the MVA according to the invention can be converted into a physiologically acceptable form. This can be done based on experience in the preparation of poxvirus vaccines used for vaccination against smallpox (as described by Stickl et al. 1974). The purified virus can be stored at -20°C, or -80°C, frozen in a liquid. Preferably, the

virus has a titer of  $5 \times 10^8$  TCID<sub>50</sub>/ml, and can be formulated in a buffered solution, for example, in 10 mM Tris, 140 mM NaCl, at pH 7.4.

The virus formulation can contain additional additives such as mannitol, dextran, sugar, glycine, lactose or polyvinylpyrrolidone or other auxiliary substances, such as 5 antioxidants or inert gas, stabilizers or recombinant proteins (e.g., human serum albumin, or HSA) suitable for *in vivo* administration.

Alternatively, the vaccine can be produced by stepwise freeze-drying of the virus in a formulation. For example,  $10^8$  particles of the virus can be lyophilized in 100  $\mu$ l to 1 ml of phosphate-buffered saline (PBS) in the presence of 2% peptone and 1% HSA in an ampoule, preferably a glass ampoule. The glass ampoule is then sealed and can be stored between 4°C and room temperature for several months. However, as long as no need exists the ampoule is stored preferably at temperatures below -20°C.

15 For vaccination or therapy, the virus can administered either systemically or locally, *i.e.*, parenterally, subcutaneously, intravenously, intramuscularly, intranasally, or by any other path of administration known to the skilled practitioner.

## 20 **Dose**

The invention encompasses a dose of at least  $10^8$  TCID<sub>50</sub> of an MVA administered to a human neonate or infant. Preferably, the dose is at least  $10^8$  TCID<sub>50</sub>,  $2 \times 10^8$  TCID<sub>50</sub>, 25  $3 \times 10^8$  TCID<sub>50</sub>,  $4 \times 10^8$  TCID<sub>50</sub>,  $5 \times 10^8$  TCID<sub>50</sub>,  $6 \times 10^8$  TCID<sub>50</sub>,  $7 \times 10^8$  TCID<sub>50</sub>,  $8 \times 10^8$  TCID<sub>50</sub>,  $9 \times 10^8$  TCID<sub>50</sub>, or  $10^9$  TCID<sub>50</sub> of an MVA. A particularly preferred dose is  $2 \times 10^8$  TCID<sub>50</sub>,  $3 \times 10^8$  TCID<sub>50</sub>,  $4 \times 10^8$  TCID<sub>50</sub>,  $5 \times 10^8$  TCID<sub>50</sub>,  $6 \times 10^8$  TCID<sub>50</sub>,  $7 \times 10^8$  TCID<sub>50</sub>,  $8 \times 10^8$  TCID<sub>50</sub>,  $9 \times 10^8$  TCID<sub>50</sub>, or  $10^9$  TCID<sub>50</sub> of an MVA. Especially preferred is a dose of  $10^8$  TCID<sub>50</sub>.

30 The human neonate or infant can be vaccinated with a single administration of the MVA in the absence of any additional ("boosting") administrations. In other embodiments, one or more boosting administrations are administered. In one embodiment, a second administration is given four weeks to eight weeks after the first vaccination administration. Preferably, the second administration is given at 2, 4, 6, 35 or 8 weeks after the first administration. In other embodiments, a third, fourth, fifth, sixth, seventh, eighth, ninth, tenth, or additional administration is given.

The boosting administration can be administered to increase immune response when the initial response decays or to further increase the initial response. Thus, in some embodiments a boosting administration is provided to augment or reestablish a desired level of immune response.

5

The time between the first and second administrations and between an administration and a subsequent administration can vary. In one embodiment, the time between administrations is two to six weeks. In various embodiments, the time between administrations is at least 2, 4, 6, 8, 10, 12, 15, 30, or 52 weeks. In various 10 embodiments, the time between administrations is at least 1, 3, 6, 9, 12, 24, 36, or 48 months. In various embodiments, the time between administrations is at least 1, 2, 3, 4, 5, 6, 7, 8, 9, or 10 years.

### **Protective immune response**

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The invention encompasses the induction of a protective immune response against a poxvirus by administration of a dose of an MVA to a human neonate or infant. Preferably the administration induces protective T- and B-cell responses against the poxvirus in the human neonate or infant prior to 6 months of age. Most preferably, 20 the immune response is induced in the absence of a second administration of the MVA. Within the context of this invention, the phrase "the immune response is induced in the absence of a second administration of the MVA" means that the immune response does not depend on the administration of a second (*i.e.*, boosting) dose of the MVA. The immune response is induced by the first administration. Thus, 25 within the context of this invention, the phrase "the immune response is induced in the absence of a second administration of the MVA" does not mean that a second administration is not administered; it only means that a second administration is not required to induce the protective immune response. In some embodiments, a second or subsequent administration is administered. The second or subsequent 30 administration can increase the level of the immune response and/or the longevity of the immune response.

The protective immune response can protect at least 75%, 80%, 90%, 95%, 96%, 97%, 98%, 99% or 100% of the neonates or infants to which the MVA is administered 35 from death and/or disease symptoms.

Preferably, the protective immune response is against a poxvirus, particularly an orthopoxvirus. In some embodiments, the poxvirus is a vaccinia virus or a variola virus. Most preferably, the protective immune response is against smallpox.

- 5 Preferably, the protective immune response is induced in the human neonate or infant prior to 6 months of age. More preferably, the protective immune response is induced in the human neonate or infant prior to 5, 4, 3, 2, or 1 months of age. Most preferably, the protective immune response is induced in the human neonate or infant within 4, 3, or 2 weeks of the administration.

10

## Compositions

The invention encompasses pharmaceutical compositions and vaccines comprising at least  $10^8$  TCID<sub>50</sub> of an MVA for administration to an infant or neonate to induce a

- 15 protective immune response. Preferably, the composition comprises  $10^8$  TCID<sub>50</sub>,  $2 \times 10^8$  TCID<sub>50</sub>,  $3 \times 10^8$  TCID<sub>50</sub>,  $4 \times 10^8$  TCID<sub>50</sub>,  $5 \times 10^8$  TCID<sub>50</sub>,  $6 \times 10^8$  TCID<sub>50</sub>,  $7 \times 10^8$  TCID<sub>50</sub>,  $8 \times 10^8$  TCID<sub>50</sub>,  $9 \times 10^8$  TCID<sub>50</sub>, or  $10^9$  TCID<sub>50</sub> of an MVA. A particularly preferred dose is  $2 \times 10^8$  TCID<sub>50</sub>,  $3 \times 10^8$  TCID<sub>50</sub>,  $4 \times 10^8$  TCID<sub>50</sub>,  $5 \times 10^8$  TCID<sub>50</sub>,  $6 \times 10^8$  TCID<sub>50</sub>,  $7 \times 10^8$  TCID<sub>50</sub>,  $8 \times 10^8$  TCID<sub>50</sub>,  $9 \times 10^8$  TCID<sub>50</sub>, or  $10^9$  TCID<sub>50</sub> of an MVA.

20 Especially preferred is a dose of  $10^8$  TCID<sub>50</sub>.

## Examples

The following examples will further illustrate the present invention. It will be well

- 25 understood by a person skilled in the art that the provided examples in no way may be interpreted in a way that limits the applicability of the technology provided by the present invention to this examples.

### Example 1: Mice

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Time-mated C57BL/6J and BALB/c female mice were obtained from Harlan Winkelmann, whereas B-cell receptor/T11 $\mu$ MT transgenic, activation-induced cytidine deaminase-deficient (AID-deficient), MHC class I/ $\beta$ 2m-deficient, T-cell receptor  $\beta$  $\delta$ deficient and FLT3-deficient mice on a C57BL/6 background were obtained from the animal facilities of the University Zürich or Bavarian Nordic-Munich. Litters were of mixed gender. Pups were weaned at 4 weeks of age.

**Example 2: Vaccines and challenge virus.**

The MVA used was MVA-BN, developed by Bavarian Nordic and deposited at ECACC under Accession No. V00083008 (see above). The recombinant MVA-  
5 measles vaccine MVA-mBN85B encodes 3 measles genes: the Fusion-, Hemagglutinin- and Nucleo-proteins. The gene sequences were derived from RNA of measles strain Khartoum SUD/34.97 (Genotype B3). Both viruses were propagated and titrated on primary chicken embryo fibroblasts that were prepared from 11-day-old embryonated, pathogen-free hen eggs (Charles River, Massachusetts, USA) and  
10 cultured in RPMI-1640 medium. ECTV strain Moscow was obtained from the American Type Culture Collection (ATCC) under Accession No. VR-1372, and was propagated and titered on Vero C1008 cells (ECACC Accession No. 85020206), maintained in Dulbecco's Modified Eagle's Medium (DMEM; Invitrogen) supplemented with 10% FCS without antibiotics. All viruses were purified through a  
15 sucrose cushion.

**Example 3: Immunization and challenge.**

Mice were immunized subcutaneously within 6-24 hours after birth with 50  $\mu$ l of viral  
20 suspension. 8-weeks old animals were used for the comparison of newborns to adults (i.e., adults were 8-weeks old).  $1 \times 10^8$  TCID<sub>50</sub> MVA or MVA-mBN85B was applied, except for some animals that received either a lower dose ( $2 \times 10^6$  TCID<sub>50</sub>) or  $1 \times 10^8$  TCID<sub>50</sub> of UV-inactivated MVA. Samuelsson *et al.*, *J. Clin. Invest.* 118, 1776-1784 (2008). Control animals were treated with TRIS-buffered saline, pH 7.7. For MVA-  
25 mBN85B, mice were immunized twice three weeks apart. For immunogenicity studies, animals were bled and sacrificed at different time points and spleens were processed for flow cytometric analyses.

For ECTV challenge, mice were anaesthetized with ketamine/xylamine and virus was applied intranasally in a volume of 25  $\mu$ l, except for 2-week old animals, which received virus in a volume of 12.5  $\mu$ l. For each age group and mice strain, the optimal dose inducing 100% death within 2 weeks and with approximately a viral load of 8 Log<sub>10</sub> pfu in necropsied lung was determined. For 29-day old mice, the optimal dose was  $1 \times 10^4$  TCID<sub>50</sub> (4 times the LD<sub>50</sub> determined for adult C57BL/6J mice; Samuelsson *et al.*, *J. Clin. Invest.* 118, 1776-1784 (2008)), except for the FLT3-deficient and TCR $\beta\delta$ -deficient mice. In these highly susceptible mice,  $1 \times 10^3$  TCID<sub>50</sub> of ECTV was sufficient. For 2-week- and 7 week-old mice, the challenge dose was  $1 \times 10^2$

TCID<sub>50</sub> and 3×10<sup>4</sup> TCID<sub>50</sub>, respectively. After challenge, weight loss sickness and death were monitored daily for 21 days. 5 to 7 pups were included in each group and data are representative of two or three experiments.

5 **Example 4: ECTV plaque assay.**

ECTV plaque assay was used to determine the viral load in necropsied lung. Lungs were homogenized and titered on Vero C1008 cells using four-fold serial dilutions starting at 1:100. After 3 days of incubation and a crystal violet staining (Sigma 10 Aldrich), the titer was calculated from the first dilution step that revealed a mean plaque number ≤ 150.

**Example 5: ELISA.**

15 Vaccinia-specific serum IgG titers were measured by direct ELISA as described previously. Garza et al., *Vaccine* 27, 5496-5504 (2009). Briefly, 96-well plates were coated overnight with MVA antigen. Test sera were titrated using twofold serial dilutions starting at 1:50. A sheep anti-mouse IgG-HRP (AbD Serotec) was used as detection antibody. The antibody titers were calculated by linear regression and 20 defined as the serum dilution that resulted in an optical density of 0.30 at OD<sub>450</sub>. Measles-specific serum IgG titers were measured with the Enzygnost® ELISA kit (Dade Behring), but using the sheep anti-mouse IgG conjugated to horseradish peroxidase.

25 **Example 6: Plaque reduction neutralization test (PRNT) assay.**

Vaccinia-based PRNT assay was performed as described in Garza et al. *Vaccine* 27, 5496-5504 (2009). Briefly, heat-inactivated sera were serially diluted and incubated with vaccinia virus Western Reserve (Advanced Biotechnologies Inc.). After 30 incubation the mixtures were allowed to adsorb on Vero cells for 70 minutes. Then, overlay medium was added and plates were incubated for 24 hours. After staining with Crystal Violet, the neutralizing titer was determined as the serum dilution which was able to neutralize 50% of the mature virus.

35 **Example 7: Flow cytometry and ELISpot.**

After erythrolysis, a part of the splenocytes were incubated 5 hours with or without the B8R-peptide (Tscharke *et al.*, *J. Exp. Med.* 201, 95-104 (2005)) (5 $\mu$ g/ml B8R<sub>20-27</sub>), Coring) in the presence of GolgiPlug™ (BD Biosciences). Cells were then stained with anti-CD8+-eFluor™-450, anti-CD4+-eFluor™-780, anti-CD44-FITC, anti-CD62L-  
5 PercP-Cy5.5, anti-CD127-APC, anti-IFN $\gamma$ -PE-Cy7 (all eBioscience) and anti-Granzyme B-PE (Invitrogen). Intracellular staining was performed after fixation/permeabilization (BD Cytofix/Cytoperm™, BD Biosciences). Flow cytometric analysis was performed using an LSR II (BD Biosciences). Data were analyzed with FlowJo (Tree Star). The rest of the splenocytes were stimulated 20 hours with or  
10 without B8R/vaccinia- or N/measles-specific (Halassy *et al.*, *Vaccine* 24, 185-194 (2006); Bergen *et al.*, *PLoS one* 5(4):e10297, 2010) peptides (5 $\mu$ g/ml; aa 335-345; N) and IFN $\gamma$ -secreting cells were detected by ELISpot assay (BD Biosciences). The stimulation index was obtained by subtracting the number of unspecific spots from non-stimulated cells from the number of spots obtained with the specific stimulation.

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**Example 8: Neutralizing antibodies as well as effector and long-term memory T-cells are induced by MVA in newborn mice.**

Newborn mice were immunized at birth with a high dose (1 $\times$ 10<sup>8</sup> TCID<sub>50</sub>) or low dose  
20 (2 $\times$ 10<sup>6</sup> TCID<sub>50</sub>) of MVA used previously in newborn mice. Franchini *et al.*, *J. Immunol.* 172, 6304-6312 (2004). Vaccinia-specific IgG antibody responses were determined by enzyme-linked immunosorbent assay (ELISA) performed 1, 2, 3, 4 and 7 weeks post-immunization (Fig. 1a). In adult mice, vaccinia-specific antibodies were detectable seven days following a single immunization with 1 $\times$ 10<sup>8</sup> TCID<sub>50</sub> of MVA and  
25 reached a plateau one week later. Surprisingly, specific IgG responses after a single high dose immunization at birth reached comparable antibody levels, albeit with a delay of 1-2 weeks (Fig. 1a). Despite the immaturity of the neonatal immune system, even vaccinia-neutralizing antibodies were induced, although complete seroconversion was not observed and titers were approximately 10-fold lower than in  
30 adult mice (Table 1).

**Table 1: Vaccinia-specific neutralizing antibody responses**

Age group	Treatment	weeks post immunization	1	2	3	4	7
Newborn	TBS	seroconversion <sup>a</sup>	0.0	0.0	0.0	0.0	0.0
		Titer <sup>b</sup>	1.0	1.0	1.0	1.0	1.0

	2x10 <sup>6</sup> TCID <sub>50</sub> MVA	seroconversion <sup>a</sup>	0.0	0.0	0.0	0.0	0.0
		Titer <sup>b</sup>	1.0	1.0	1.0	1.0	1.0
	1x10 <sup>8</sup> TCID <sub>50</sub> MVA	seroconversion <sup>a</sup>	0.0	16.7	33.3	66.7	66.7
Adult	1x10 <sup>8</sup> TCID <sub>50</sub> MVA	Titer <sup>b</sup>	1.0	1.3	2.6	11.6	5.7
		seroconversion <sup>a</sup>	33.3	100.0	66.7	100.0	00.0
		Titer <sup>b</sup>	1.8	18.9	11.5	163.7	37.9

<sup>a</sup> in percent <sup>b</sup> geometric mean titer

The B-cell response induced by a single immunization with MVA-BN at birth was still detectable 16 weeks after immunization (Fig. 6) and could be boosted by a second immunization 3 or 4 weeks after birth. As with the B-cell response, a slight delay in the CD8+ T-cell responses induced by immunization with MVA at birth was observed. The vaccinia-specific T-cell response measured by IFNy intracellular staining 2 weeks post-immunization of newborn mice was similar to the peak response in adult mice observed one week post immunization (Fig. 1b). Whereas no antibody response could be detected after vaccination with the low dose of MVA (Fig. 1a), the same or even higher levels of T-cell responses were induced by vaccination with the low dose (Fig. 1b). The presence of vaccinia-specific T-cells induced by MVA vaccination at birth was confirmed by enzyme-linked immunospot (ELISpot) assay, which detected vaccinia-specific IFN- $\gamma$  producing cells already one week post immunization (Fig. 7). This early time point is even more remarkable when considering the low number of CD8+ T-cells in the spleen of one week old mice (Fig. 8). T-cell activation was also confirmed by analysis of Granzyme B expression in the CD8+ T-cell population. This effector molecule of cytotoxic T-cells was induced by immunization at birth with both doses of MVA at a similar level of expression as that seen in adults, albeit one week delayed (Fig. 1c). For a more detailed analysis, the vaccinia-specific CD8+ T-cells were subdivided into effector, effector memory and central memory cells based on the differential expression of CD44, CD62L and CD127 as described by Kaech *et al.*, *Nat. Immunol.* 4, 1191-1198 (2003). As expected, the majority of the vaccinia-specific T-cells were effector cells at the peak of the T-cell response in both newborn and adult mice (Fig. 1d). During the subsequent contraction phase, they acquired similar effector memory or central memory phenotypes in both age groups (Fig. 1d). As for the B-cell response, T-cells specific for MVA were still detectable 16 weeks after neonatal immunization (Fig. 7). No antigen-specific B- and T-cell responses were

induced after UV treatment of MVA prior to immunization (Fig. 6 and 7), revealing the requirement for transcription and protein synthesis of the non-replicating MVA. The lack of antigen-specific B- and T-cell responses after UV treatment was previously shown for Herpex Simplex Virus (Franchini *et al.* *J. Virol.* 75, 83-89 (2001)).

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**Example 9: MVA induces protection against a lethal ECTV challenge in two week old mice.**

In order to investigate the functionality of the T- and B-cell responses induced by 10 MVA immunization at birth even further, the intranasal ECTV challenge model was adapted to young mice. Four weeks post-neonatal immunizations with a low or high dose of MVA, animals were challenged via the intranasal route with  $1 \times 10^4$  TCID<sub>50</sub> ECTV. All control mice treated with placebo (Tris-buffered saline, TBS pH 7.7; 1.21 mg/ml TRIS-(hydroxymethyl)-amino-methane, 8.18 mg/ml sodium chloride) died 9 to 15 12 days post-challenge (Fig. 2a) with approximately  $8 \text{ Log}_{10}$  ECTV plaque forming units (pfu) in their lungs, whereas all mice treated with a dose of  $10^8$  TCID<sub>50</sub> MVA survived this otherwise lethal challenge and completely recovered after a minor transient weight loss (Fig. 2a and 2b). All vaccinated mice had cleared ECTV from 20 their lungs confirming complete protection. Immunization with the low dose of MVA afforded protection in 80% of the mice, despite the fact that only T-cell responses but 25 no antibodies could be detected prior to challenge in this group (Fig. 2a). In addition to the reduced survival rate, mice immunized with the low dose showed increased disease symptoms and body weight loss (Fig. 2b) compared to those vaccinated with a dose of  $1 \times 10^8$  TCID<sub>50</sub> of MVA. The longevity observed for B- and T-cell responses 30 after neonatal immunization with MVA-BN (Fig. 6 and 7) translated into long-term protection in adulthood: mice were fully protected from challenge with the lethal dose of  $3 \times 10^4$  TCID<sub>50</sub> ECTV at the latest time point tested, *i.e.*, 7 weeks after neonatal immunization (Fig. 2c). On the other hand, protection could already be demonstrated as early as 2 weeks after neonatal immunization, the earliest time point when ECTV 35 challenge was technically feasible due to animal size. At this age,  $10^2$  TCID<sub>50</sub> of ECTV killed naïve mice within 6 to 8 days, while MVA immunization at birth conferred 100% protection (Fig. 2d).

**Example 10: Protection against lethal ECTV challenge depends on the adaptive immune response.**

It has previously been shown that injection of MVA at birth boosts early development of pDC and leukocyte precursors via an increase of FLT3 ligand (FLT3-L), which led to an increased resistance to viral infections in the first week of life. Franchini *et al.*, *J. Immunol.* 172, 6304-6312 (2004); Vollstedt *et al.*, *Eur. J. Immunol.* 36, 1231-1240 (2006). Therefore, the role of FLT3-L in the protection against lethal ECTV challenge was investigated using FLT3-L knockout mice. These mice have about tenfold less pDC than C57BL/6 wild type mice and are unable to up-regulate pDC. In addition, these mice lack other cell types of the innate immune system. Vollstedt *et al.*, *Eur. J. Immunol.* 36, 1231-1240 (2006). FLT3-L knockout mice were immunized with MVA at birth and challenged 4 weeks later with  $1 \times 10^3$  TCID<sub>50</sub> ECTV. All vaccinated mice survived the infection (Fig. 3a) and completely cleared ECTV from their lungs (Fig. 3b), while all non-vaccinated mice succumbed to infection. Since FLT3-L knockout mice are more sensitive to viral infection, this lower dose of  $1 \times 10^3$  TCID<sub>50</sub> ECTV was chosen (Fig. 3a). Similar results were obtained in 2-week-old FLT3-L knockout mice. As both B- and T-cell immune responses were not affected by the reduced level of pDC and the lack of other innate cells, it clearly indicates that the innate immune system is not the sole mechanism of protection induced by MVA.

The role of the adaptive immune response in the protection afforded by neonatal immunization was investigated. T-cell receptor  $\beta\delta$  (TCR $\beta\delta$ ) knockout mice are devoid of T-cells and are also unable to mount a vaccinia-specific B-cell response due to the absence of T-helper cells. TCR $\beta\delta$  knockout mice vaccinated with MVA at birth succumbed 11 to 12 days after an intranasal challenge with  $1 \times 10^3$  TCID<sub>50</sub> ECTV, arguing for the requirement of an adaptive immune response for protection (Fig. 3c). Similar to the FLT3-L knockout mice, this lower challenge dose was chosen based on the acute sensibility of TCR $\beta\delta$  knockout mice to viral infection. At death, both untreated and MVA immunized mice had a viral load in their lungs comparable to naïve wild type mice challenged with  $1 \times 10^4$  TCID<sub>50</sub> ECTV (Fig. 3d). Using these two knockout mouse models, it was shown that the protection afforded by neonatal immunization was not due to an unspecific resistance offered by a boosted innate immunity but that it was afforded by vaccinia-specific adaptive immune responses mounted by a relatively undeveloped immune system.

#### **Example 11: Both T- and B-cell responses are required for complete protection**

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The role of cellular versus humoral immune responses in protection was examined. The fact that 2-week-old mice were protected at a time when T-cell responses but

hardly any antibodies could be detected led to the notion of a dominant role for T-cells in protection of newborn mice. Indeed, in the absence of CD8+ T-cells in  $\beta$ 2m knockout mice, immunization with MVA did not induce protection, (Fig. 4a and b), although antibody responses were not affected. To evaluate the need for vaccinia-specific B-cells, T11 $\mu$ MT genetically modified mice were utilized. These mice have a rearranged heavy chain gene specific for a VSV virus and are thus are unable to generate specific antibodies upon vaccination with MVA. In the absence of vaccinia-specific B-cell responses, one T11 $\mu$ MT mouse immunized with MVA died of ECTV infection two days before the end of the observation period (Fig. 4c) and only two-thirds of the mice had cleared ECTV from their lungs at the end of the 21-day observation period (Fig. 4d). Similar observations were made in AID knockout mice able to mount only IgM responses (Fig. 9). Taken together, these results reveal a primary role for cytotoxic T-cells, which requires support by antibodies to afford complete protection induced by MVA vaccination at birth.

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**Example 12: Recombinant MVA as vector for vaccines against childhood diseases**

The fact that a single immunization with MVA at birth induced short and long term protective immunity suggests an opportunity for its use as viral vector to develop childhood vaccines. Therefore the potential of recombinant MVA as vaccine against childhood disease was analyzed using MVA-Measles in the neonate mouse model. MVA-Measles encodes three different measles virus proteins within the MVA backbone: the haemagglutinin- and fusion-proteins involved in binding and fusion with the host cell, as well as the nucleocapsid-protein associated with the viral single strand RNA. As seen for neonatal vaccination with MVA, recombinant MVA-Measles also elicited strong vaccinia-specific B- and T-cell responses after immunization at birth and boost 3 weeks later. More importantly, also Measles-specific B- and T-cell responses were readily detectable (Fig. 5a and 5b). The magnitude of the response was comparable to that seen in adult mice vaccinated with MVA-Measles using the same schedule, albeit with the same 1-2 week delay in antibody responses as seen for MVA-induced vaccinia responses. Again, a single vaccination with MVA-Measles at birth led to a strong and sustained measles-specific antibody response with levels only slightly lower compared to those observed in mice receiving a booster vaccination (Fig. 5a).

## Claims

1. A method for inducing a protective immune response against a poxvirus in a human neonate or infant of less than 6 months of age comprising administering a dose of at least  $10^8$  TCID<sub>50</sub> of an MVA to a human neonate, wherein the administration induces protective T- and B-cell responses against a poxvirus in the human neonate prior to 6 months of age in the absence of a second administration of the MVA.  
5
- 10 2. The method of claim 1, wherein the administration is administered to a human infant of less than 2 months of age.
3. The method of claim 1, wherein the administration is administered to a human neonate.  
15
4. The method of claim 1, wherein the administration is administered to a human neonate within 72 hours after birth.  
5
- 20 5. The method of claim 1, wherein the administration induces protective T- and B-cell responses against an orthopoxvirus.  
6. The method of claim 1, wherein the administration induces protective T- and B-cell responses against a Vaccinia virus.  
25
7. The method of claim 1, wherein the administration induces protective T- and B-cell responses against smallpox.  
8. The method of claim 1, further comprising administering one or more boosting administrations of the MVA.  
30
9. The method of claim 1, wherein the MVA is a recombinant MVA.  
10. The method of claim 9, wherein the administration induces T- and B-cell responses against a heterologous antigen encoded by the recombinant MVA.  
35
11. A method for inducing a protective immune response against a poxvirus in a human neonate or infant comprising administering a dose of at least  $10^8$  TCID<sub>50</sub> of an

MVA to a human neonate or infant of less than 6 months of age, wherein the administration induces protective T- and B-cell responses against a poxvirus in the human neonate or infant within 2 weeks of the administration.

5 12. The method of claim 11, wherein the administration is administered to a human infant of less than 2 months of age.

13. The method of claim 11, wherein the administration is administered to a human neonate.

10

14. The method of claim 11, wherein the administration is administered to a human neonate within 72 hours after birth.

15

15. The method of claim 11, wherein the administration induces protective T- and B-cell responses against an orthopoxvirus.

16. The method of claim 11, wherein the administration induces protective T- and B-cell responses against a Vaccinia virus.

20

17. The method of claim 11, wherein the administration induces protective T- and B-cell responses against smallpox.

18. The method of claim 11, further comprising administering one or more boosting administrations of the MVA.

25

19. The method of claim 11, wherein the MVA is a recombinant MVA.

20. The method of claim 19, wherein the administration induces T- and B-cell responses against a heterologous antigen encoded by the recombinant MVA.

30

21. An MVA for use in inducing a protective immune response against a poxvirus in a human neonate or infant of less than 6 months of age comprising administering a dose of at least  $10^8$  TCID<sub>50</sub> of the MVA to a human neonate, wherein the administration induces protective T- and B-cell responses against a poxvirus in the human neonate prior to 6 months of age in the absence of a second administration of the MVA.

22. The MVA of claim 21, wherein the administration is administered to a human infant of less than 2 months of age.

23. The MVA of claim 21, wherein the administration is administered to a human  
5 neonate.

24. The MVA of claim 21, wherein the administration is administered to a human neonate within 72 hours after birth.

10 25. The MVA of claim 21, wherein the administration induces protective T- and B-cell responses against an orthopoxvirus.

26. The MVA of claim 21, wherein the administration induces protective T- and B-cell responses against a Vaccinia virus.

15 27. The MVA of claim 21, wherein the administration induces protective T- and B-cell responses against smallpox.

20 28. The MVA of claim 21, further comprising administering one or more boosting administrations of the MVA.

29. The MVA of claim 21, wherein the MVA is a recombinant MVA.

25 30. The MVA of claim 29, wherein the administration induces T- and B-cell responses against a heterologous antigen encoded by the recombinant MVA.

30 31. An MVA for use in inducing a protective immune response against a poxvirus in a human neonate or infant comprising administering a dose of at least  $10^8$  TCID<sub>50</sub> of the MVA to a human neonate or infant of less than 6 months of age, wherein the administration induces protective T- and B-cell responses against a poxvirus in the human neonate or infant within 2 weeks of the administration.

32. The MVA of claim 31, wherein the administration is administered to a human infant of less than 2 months of age.

35 33. The MVA of claim 31, wherein the administration is administered to a human neonate.

34. The MVA of claim 31, wherein the administration is administered to a human neonate within 72 hours after birth.

35. The MVA of claim 31, wherein the administration induces protective T- and B-cell responses against an orthopoxvirus.

36. The MVA of claim 31, wherein the administration induces protective T- and B-cell responses against a Vaccinia virus.

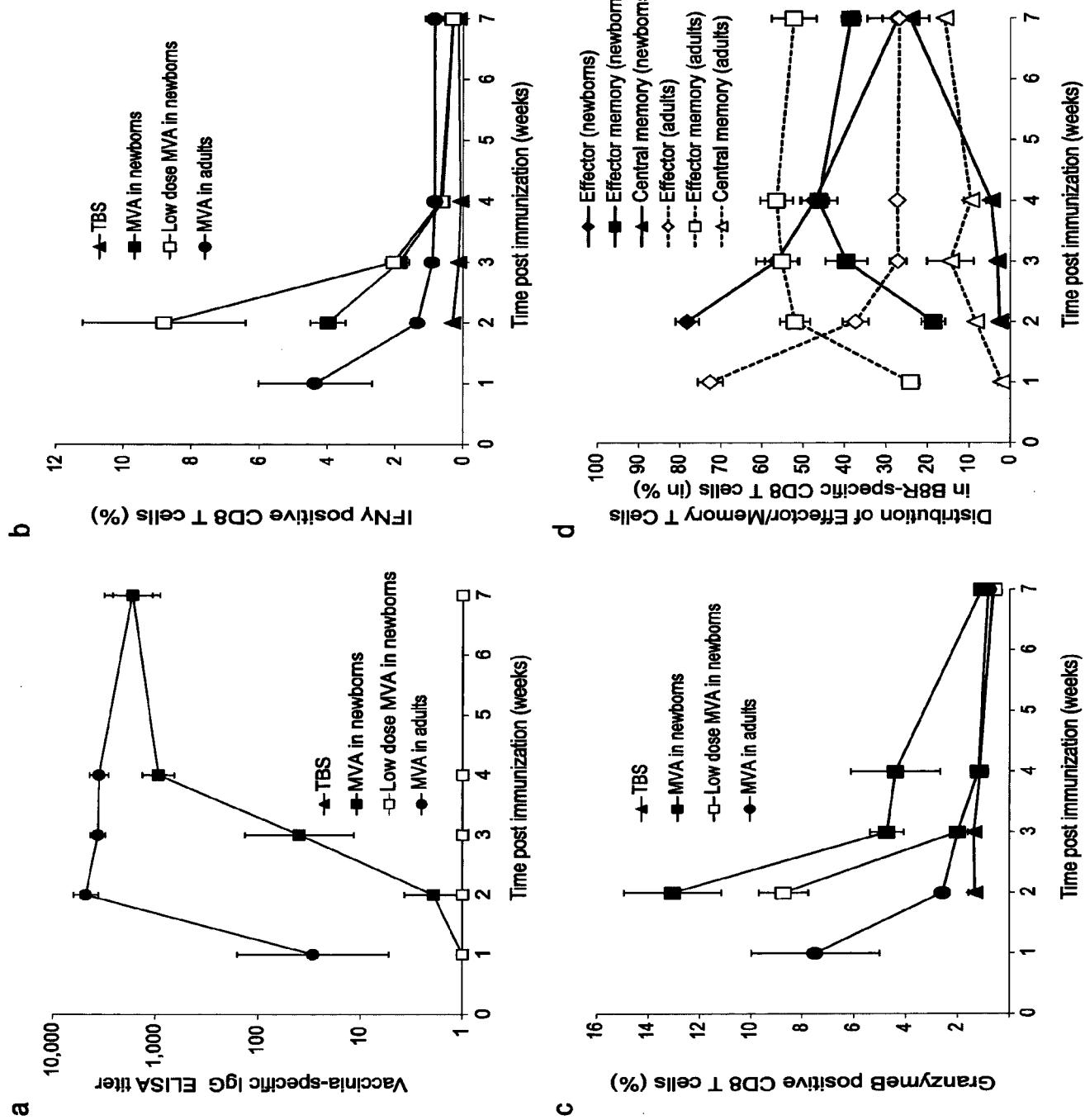
10 37. The MVA of claim 31, wherein the administration induces protective T- and B-cell responses against smallpox.

38. The MVA of claim 31, further comprising administering one or more boosting administrations of the MVA.

15 39. The MVA of claim 31, wherein the MVA is a recombinant MVA.

40. The MVA of claim 39, wherein the administration induces T- and B-cell responses against a heterologous antigen encoded by the recombinant MVA.

20



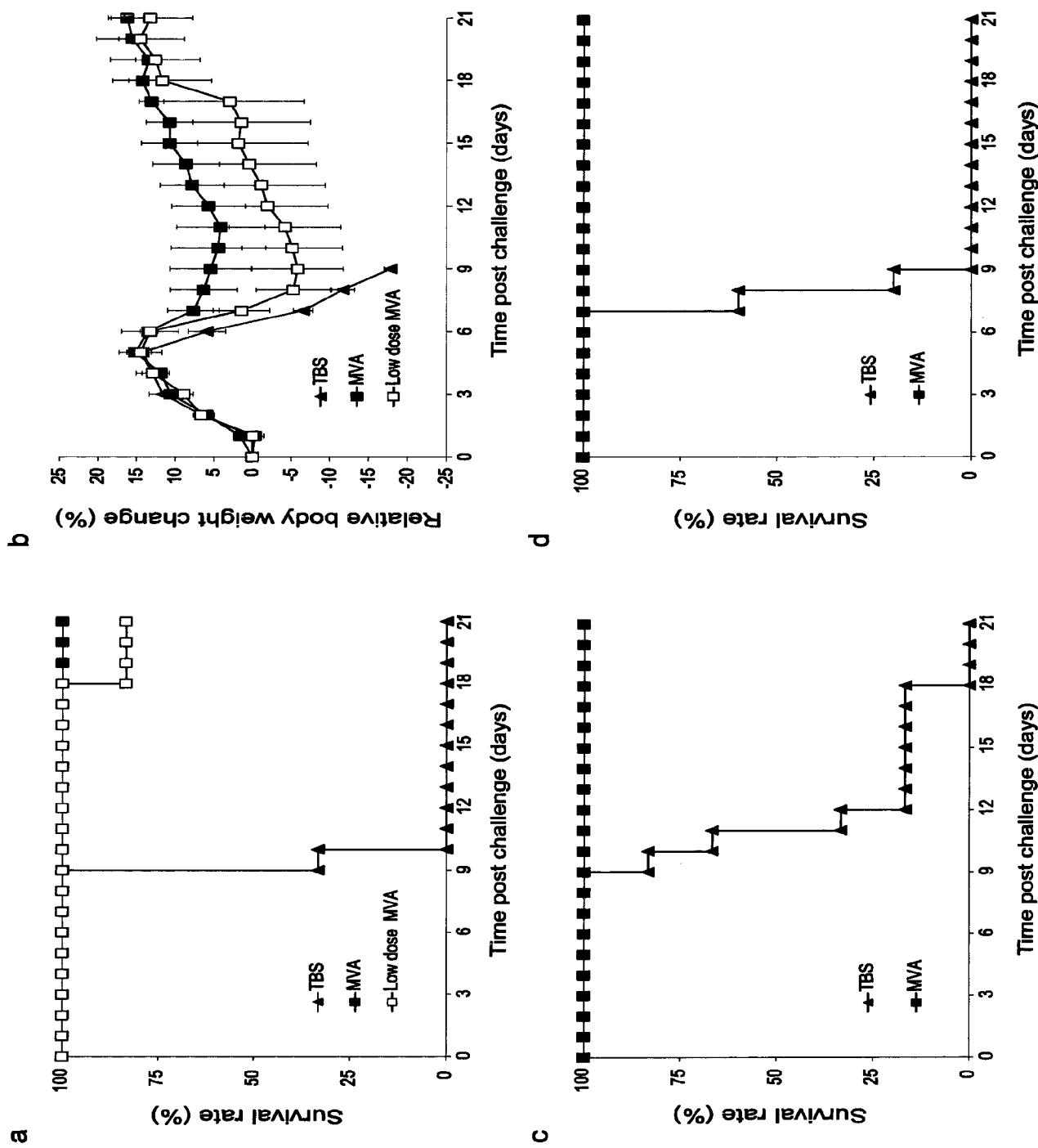


Fig. 2

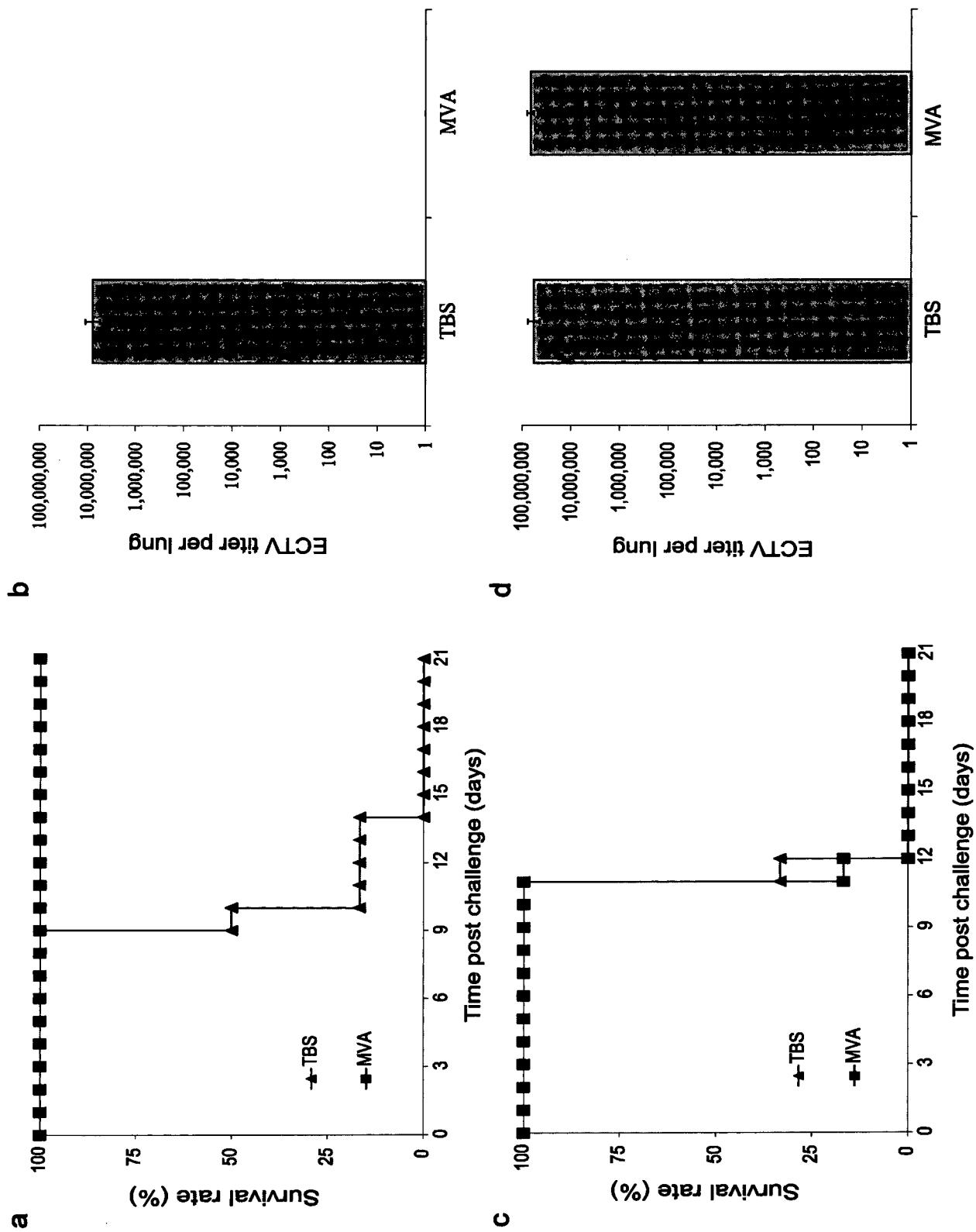


Fig. 3

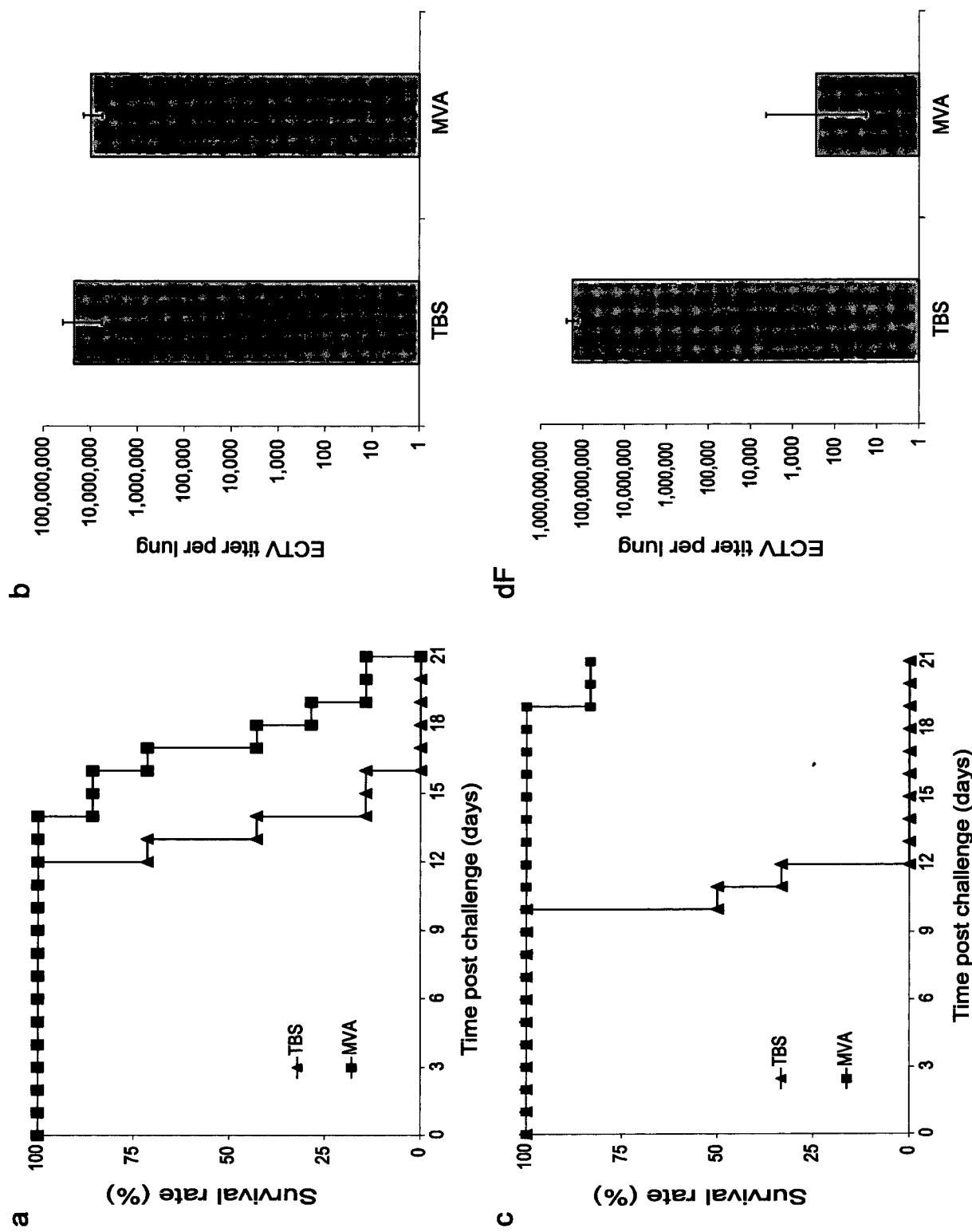
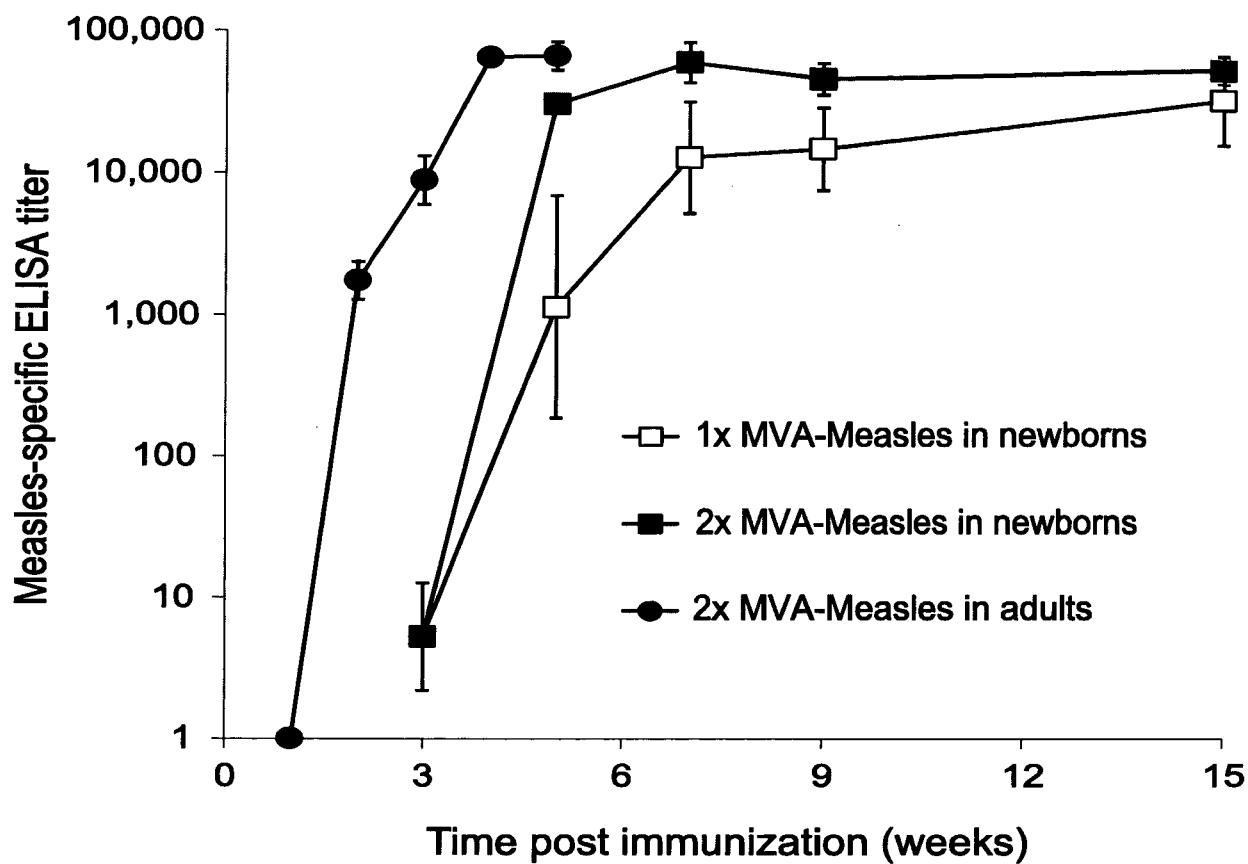
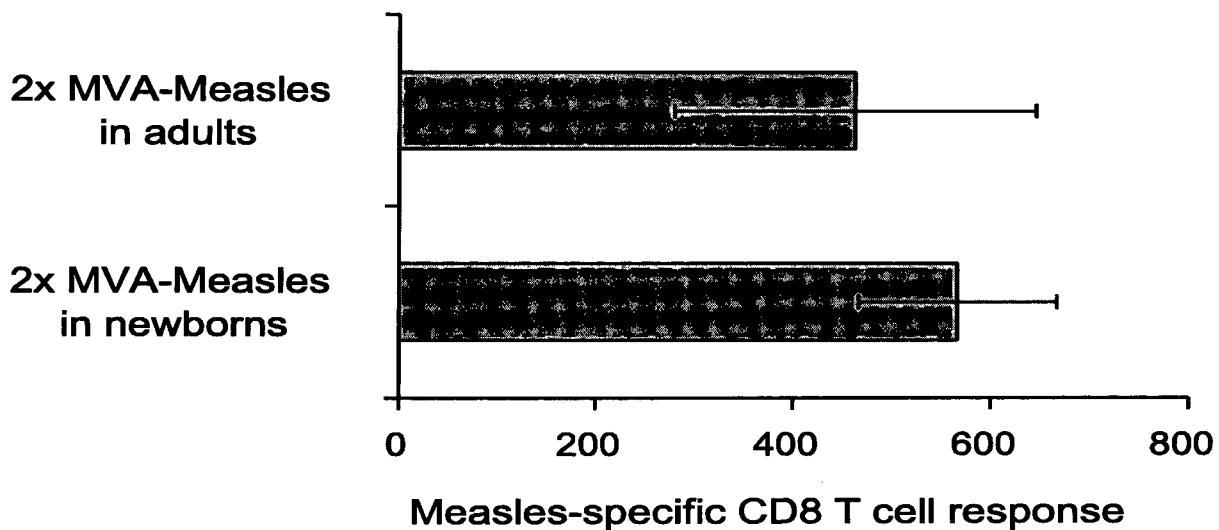


Fig. 4

**a****b****Fig. 5**

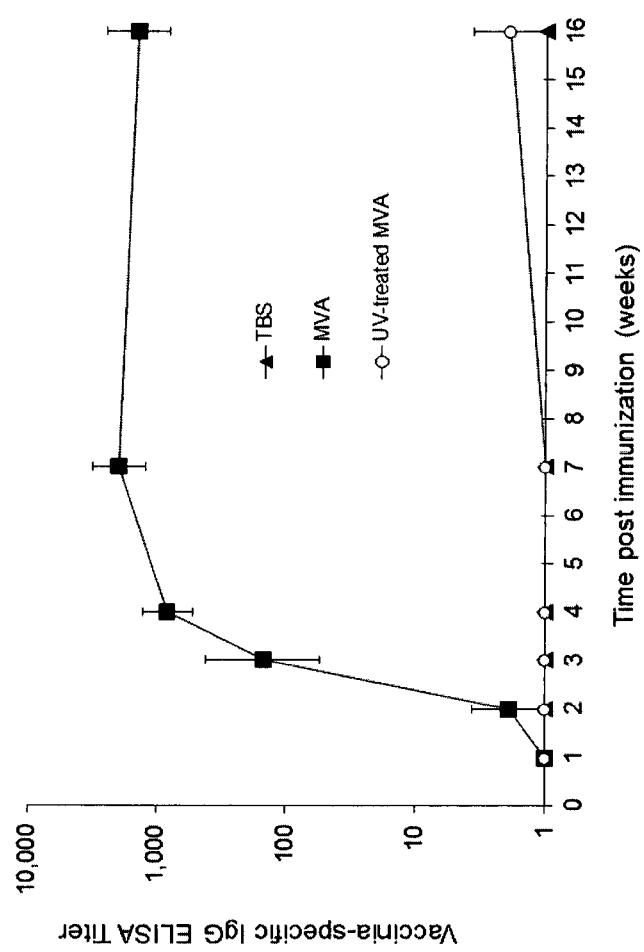
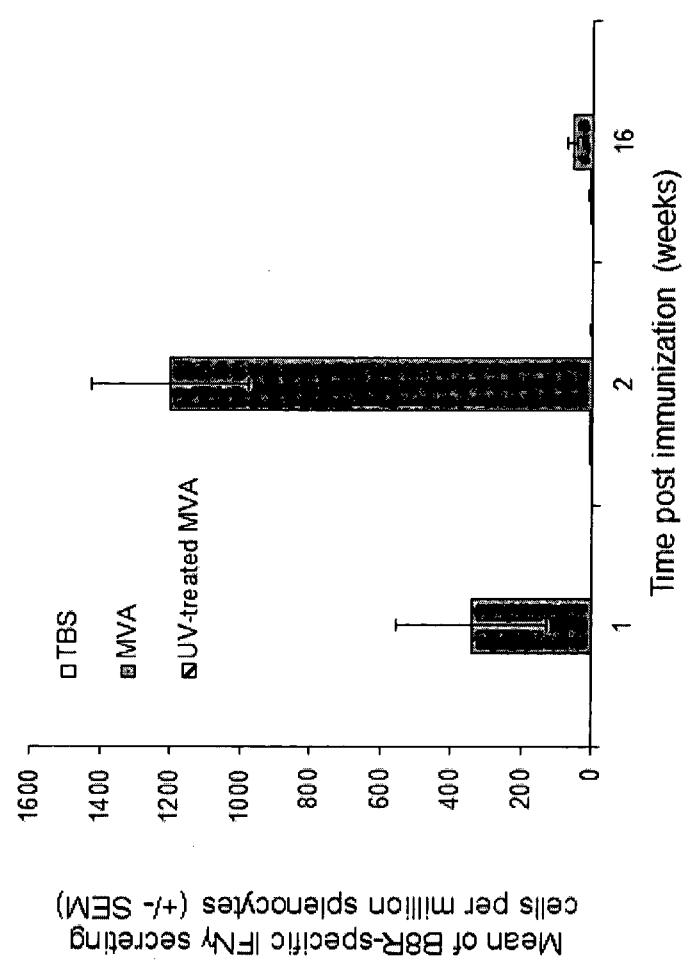


Fig. 6



**Fig. 7**

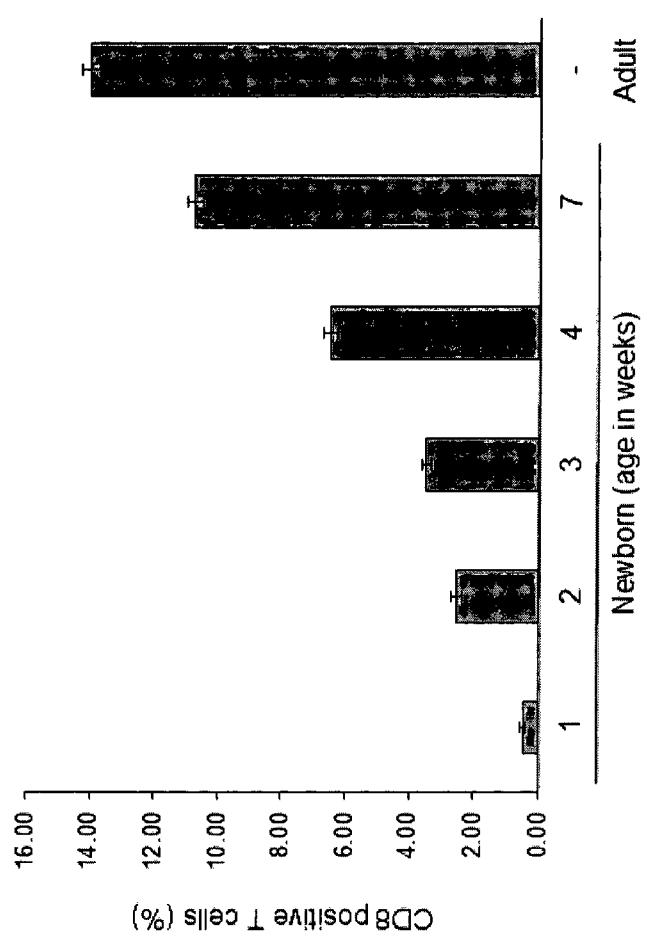


Fig. 8

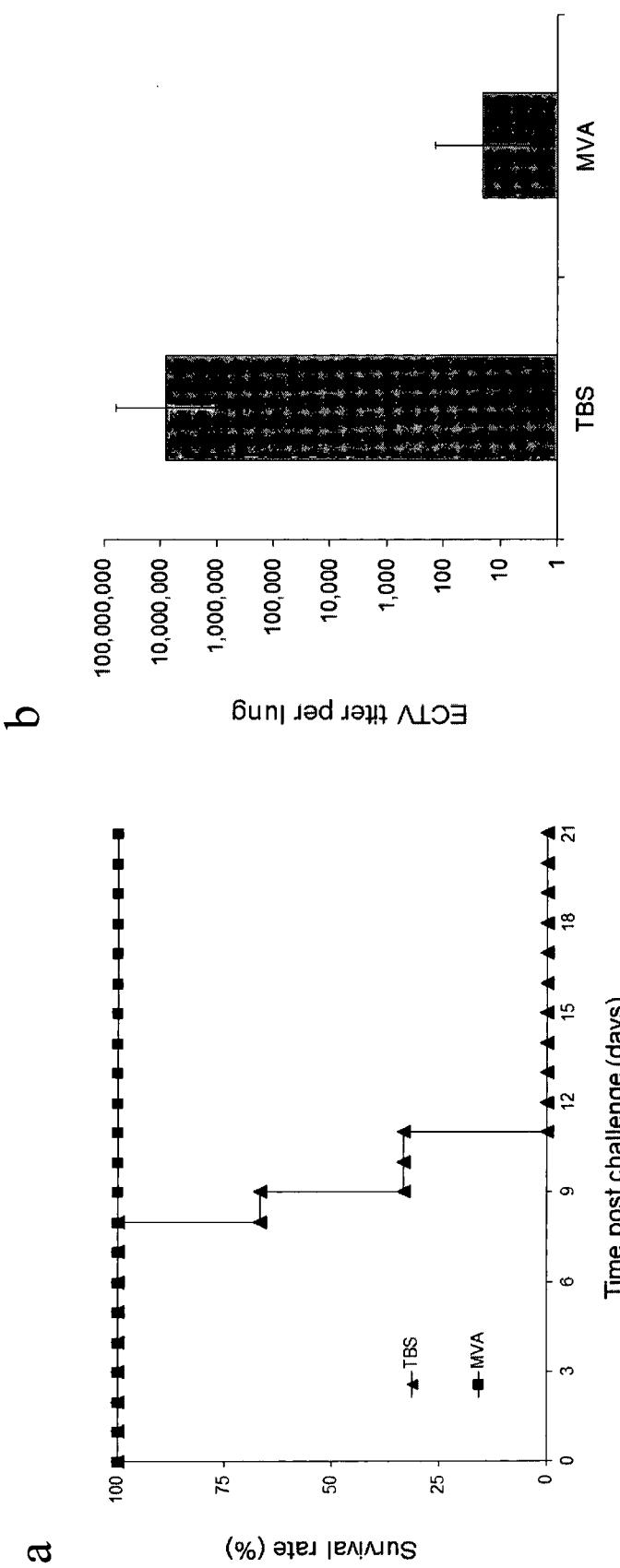


Fig. 9

# INTERNATIONAL SEARCH REPORT

International application No  
PCT/EP2014/000693

**A. CLASSIFICATION OF SUBJECT MATTER**  
INV. A61K39/165 A61K39/285  
ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, BIOSIS, EMBASE, WPI Data

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>WO 03/088994 A2 (BAVARIAN NORDIC AS [DK]; CHAPLIN PAUL [DE]; SUTER MARK [CH]; ACKERMANN) 30 October 2003 (2003-10-30) cited in the application page 13, line 5 - line 12 page 16, line 20 - line 22 page 16, line 31 - page 17, line 4 page 17, line 22 - page 18, line 31 page 20, line 29 - page 21, line 2 page 24, line 19 - page 25, line 10; examples</p> <p style="text-align: center;">----- -/-</p>	1-40

Further documents are listed in the continuation of Box C.

See patent family annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier application or patent but published on or after the international filing date
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- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
10 June 2014	24/06/2014
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer  Loubradou, Gabriel

## INTERNATIONAL SEARCH REPORT

International application No
PCT/EP2014/000693

## C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>WO 2009/152969 A1 (BAVARIAN NORDIC AS [DK]; CHAPLIN PAUL [DE]) 23 December 2009 (2009-12-23) page 11, line 10 - line 12 page 13, line 16 - line 19 page 15, line 3 - line 8 page 15, line 20 - line 24 page 16, line 29 - page 17, line 4 page 21, line 10 - line 17 page 23, line 7 - line 8 page 24, line 24 - page 25, line 11; examples</p> <p>-----</p>	1-40
A	<p>"Safety and Immunogenicity of MVA85A Prime and Bacille Calmette-Guerin Boost Vaccination (MVA(TB)029)", ClinicalTrials.gov</p> <p>, 30 January 2013 (2013-01-30), XP002725419, Retrieved from the Internet: URL:<a href="http://clinicaltrials.gov/show/NCT01650389">http://clinicaltrials.gov/show/NCT01650389</a> [retrieved on 2014-06-04] the whole document</p> <p>-----</p>	1-40
A	<p>WALSH STEPHEN R ET AL: "Safety and immunogenicity of modified vaccinia Ankara in hematopoietic stem cell transplant recipients: a randomized, controlled trial", THE JOURNAL OF INFECTIOUS DISEASES UNITED STATES 15 DEC 2010, INFECTIOUS DISEASES SOCIETY OF AMERICA, US, vol. 207, no. 12, 12 March 2013 (2013-03-12), pages 1888-1897, XP009178362, ISSN: 1537-6613 abstract</p> <p>-----</p>	1-40

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No PCT/EP2014/000693
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Patent document cited in search report	Publication date	Patent family member(s)		Publication date
WO 03088994	A2	30-10-2003	AT 366586 T AU 2003239805 A1 BR 0309339 A CA 2478009 A1 CN 1646158 A DE 60314823 T2 DK 1420822 T3 EP 1420822 A2 EP 1839672 A2 EP 2204179 A2 EP 2204180 A2 ES 2288609 T3 HK 1078777 A1 JP 4801880 B2 JP 2005523318 A JP 2010120955 A JP 2011157400 A KR 20040111467 A KR 20110017904 A MX PA04010353 A NZ 536592 A NZ 547776 A PT 1420822 E SG 173216 A1 UA 85371 C2 US 2009104224 A1 US 2011135683 A1 US 2012183574 A1 WO 03088994 A2	15-08-2007 03-11-2003 08-03-2005 30-10-2003 27-07-2005 13-03-2008 08-10-2007 26-05-2004 03-10-2007 07-07-2010 07-07-2010 16-01-2008 22-01-2010 26-10-2011 04-08-2005 03-06-2010 18-08-2011 31-12-2004 22-02-2011 07-03-2005 26-01-2007 28-08-2009 04-09-2007 29-08-2011 26-01-2009 23-04-2009 09-06-2011 19-07-2012 30-10-2003
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## 摘要

本发明涉及用于在小于 6 个月龄的人类新生儿或婴儿中诱导针对痘病毒的保护性免疫反应的组合物和方法。本发明涵盖向小于 6 个月龄的人类新生儿或婴儿施用单次高剂量的 MVA，其中所述施用在人类新生儿或婴儿中诱导针对痘病毒的保护性 T 细胞和 B 细胞反应。