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[Continued on next page]

(54) Title: SYSTEMS AND METHODS FOR HOST DETECTION OF USB ASYNCHRONOUS NOTIFICATION CAPABILITY

(57) **Abstract:** Systems and methods for detecting legacy storage devices that may falsely and/or incorrectly report to host systems that they have removable media. Such false or incorrect reporting may cause the host system to periodically poll the storage device as to whether the device is ready for I/O processing. Such polling would be unnecessary and represent a waste of power expended by the host system. In one embodiment, the present system may implement processes that periodically poll storage media and update a database. The database would be a listing of storage devices indicating whether they truly have removable media or falsely report that they have removable media. In another embodiment, the present system may apply some heuristically testing to determine whether a storage device so falsely indicates removable media. In another embodiment, telemetry service may be used by a group of hosts to share data regarding such storage devices.

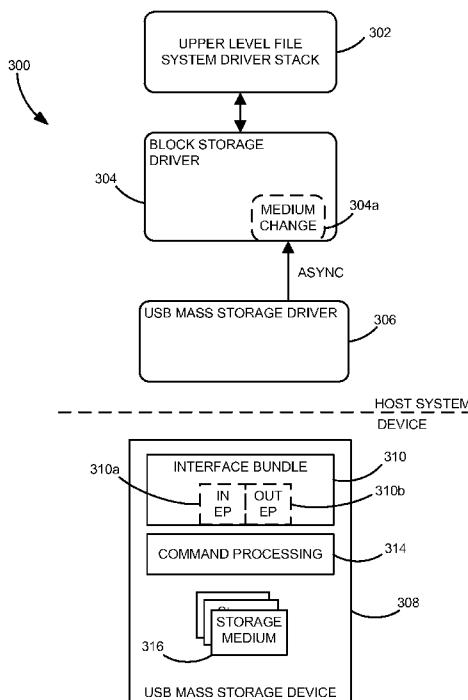


FIG. 3



EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

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SYSTEMS AND METHODS FOR HOST DETECTION OF USB ASYNCHRONOUS NOTIFICATION CAPABILITY

BACKGROUND

5 [0001] Minimal power consumption is an increasing important feature receiving attention in newer computing platforms. Some aspects of the original Universal Serial Bus (USB) mass storage -- e.g., Bulk-Only Transport (BOT) and USB Attached SCSI Protocol (UASP) -- specifications tend to remain at odds with this trend. Overall power expenditure for a host system connected to a USB mass storage device may be improved with only a
10 minor modification to these existing specifications.

[0002] Now, as part of the new USB 3.0 specification, a new feature has been added to improve bus utilization and power efficiency. Certain devices connected via USB 3.0 may now have the ability to notify the host of the device's readiness – in an asynchronous manner, and obviating the need for polling every device connected. In addition,
15 modification of BOT and UASP specifications tends to eliminate the need for another type of polling which detects media state changes in devices with removable media.

SUMMARY

20 [0003] The following presents a simplified summary of the innovation in order to provide a basic understanding of some aspects described herein. This summary is not an extensive overview of the claimed subject matter. It is intended to neither identify key or critical elements of the claimed subject matter nor delineate the scope of the subject innovation. Its sole purpose is to present some concepts of the claimed subject matter in a simplified form as a prelude to the more detailed description that is presented later.

[0004] Systems and methods for detecting legacy storage devices that may falsely
25 and/or incorrectly report to host systems that they have removable media. Such false or incorrect reporting may cause the host system to periodically poll the storage device as to whether the device is ready for I/O processing. Such polling would be unnecessary and represent a waste of power expended by the host system. In one embodiment, the present system may implement processes that periodically poll storage media and update a database. The database would be a listing of storage devices indicating whether they truly
30 have removable media or falsely report that they have removable media. In another embodiment, the present system may apply some heuristically testing to determine whether a storage device so falsely indicates removable media. In another embodiment,

telemetry service may be used by a group of hosts to share data regarding such storage devices.

[0005] In one embodiment, a method for detecting storage devices is disclosed, where the storage devices are connected to a host system, such that said storage devices comprises associated metadata, said associated metadata capable of falsely indicating that such storage devices have removable media, the method comprising: sending a media polling message from said host system to a first storage device, said media polling message querying whether said first storage device is ready; and if said first storage device responds as not ready, updating a database that said first storage device has truly removable media.

[0006] In another embodiment, a system for detecting storage devices on a host system is disclosed where the storage devices are further comprising associated metadata, and the associated metadata may falsely indicate that said storage device comprises removable storage media, said system comprising: a mass storage driver, said mass storage driver capable of connecting with said storage devices and sending messages to and from said storage devices; a block storage driver, said block storage driver connected to said mass storage driver and capable of sending messages and receiving messages to and from said storage devices; and a processor, said processor capable of sending media polling messages to said storage devices and disabling further media polling messages to said storage devices that do not have truly removable media.

[0007] Other features and aspects of the present system are presented below in the Detailed Description when read in connection with the drawings presented within this application.

BRIEF DESCRIPTION OF THE DRAWINGS

[0008] Exemplary embodiments are illustrated in referenced figures of the drawings. It is intended that the embodiments and figures disclosed herein are to be considered illustrative rather than restrictive.

[0009] FIG. 1 depicts one embodiment of a typical host/hub/peripheral device configuration environment.

[0010] FIG. 2 depicts one exemplary embodiment of processing that may occur under the revised BOT specification.

[0011] FIG. 3 depicts one exemplary embodiment of a present system as made in accordance with the principles of the present application.

[00012] FIG. 4 is one flowchart embodiment of a process to identify and/or distinguish between legacy devices that may or may not have removable media.

[00013] FIG. 5 is another flowchart embodiment of a process to identify devices that may be misreporting their removable media status and help implement power savings.

5 [00014] FIG. 6 is yet another flowchart embodiment of a process which collects and aggregates telemetry data from participating host systems.

DETAILED DESCRIPTION

[00015] As utilized herein, terms "component", "system", "interface", "controller" and the like are intended to refer to a computer-related entity, either hardware, software (e.g., in execution), and/or firmware. For example, any of these terms can be a process running on a processor, a processor, an object, an executable, a program, and/or a computer. By way of illustration, both an application running on a server and the server can be a component and/or controller. One or more components/controllers can reside within a process and a component/controller can be localized on one computer and/or distributed between two or more computers.

[00016] The claimed subject matter is described with reference to the drawings, wherein like reference numerals are used to refer to like elements throughout. In the following description, for purposes of explanation, numerous specific details are set forth in order to provide a thorough understanding of the subject innovation. It may be evident, however, that the claimed subject matter may be practiced without these specific details. In other instances, well-known structures and devices are shown in block diagram form in order to facilitate describing the subject innovation.

Introduction

[00017] In one embodiment, power may be saved by eliminating the polling of media state for USB flash drives. However, it may not be possible to do this safely due to the following reasons: (1) the vast majority of USB Flash Drives (UFDs) that inaccurately report removable media; and (2) there currently exists no method to unambiguously distinguish these UFDs from devices that truly contain removable media (e.g., USB flash card reader devices). This is particularly true of legacy UFDs that encoded (however falsely) that they comprised removable media, when in fact they do not have such removable media.

[00018] Now, newer non-legacy devices may implement a new asynchronous notification mechanism by which the host may definitively and safely eliminate media polling without

any risk of malfunction or data corruption. However, this does nothing for all of the legacy devices that are currently in use – now and for the foreseeable future.

[00019] To understand the situation, FIG. 1 is one exemplary embodiment of one architectural diagram (100) of a typical USB 3.0 host/hub/peripheral device configuration.

5 As may be seen in FIG. 1, the new SuperSpeed bus is a part of a dual-bus architecture that operates alongside with the conventional USB 2.0 bus. Controller 102 may be in communication with USB 3.0 host 104 to provide I/O processing and/or functionality. USB 3.0 host 104 may provide compatible support for legacy USB 2.0 host 106 – as well as new SuperSpeed host capability 108. Controller 102 may be a CPU of a system, an I/O controller – or a combination of such controller hardware and/or software components.

10 **[00020]** The host may comprise a number of ports (e.g., 110a and 110b). The ports may connect with a plurality of hubs 112. Hubs 112 may further comprises legacy hubs 114 and new (e.g., SuperSpeed) hubs 116. Such hubs may in turn connect with a plurality of USB peripheral devices 120 – which may comprise a set of non-SuperSpeed functions 122 and SuperSpeed functions 124.

15 **[00021]** Such peripheral devices may comprise various types of legacy devices – e.g., such as USB flash driver 126 which does not have removable media (which may falsely report that it has removable media to the host) or a camera 128 which may have an actual removable media component (e.g., memory card 130).

20 **[00022]** FIG. 2 depicts an exemplary embodiment (200) of processing that may occur under the revised BOT or UASP specifications. USB Mass Storage Device 208 may comprise storage medium 216 – which may or may not be removable, processing unit 214 and an interface bundle 210. Interface bundle 210 may further comprise legacy (e.g., existing BOT and UASP specification) interfaces IN End Point (EP) (210a) and OUT EP 210b – as well as newly-introduced Interrupt End Point (INT EP 212) for asynchronous notice of status.

25 **[00023]** For example, a change in status regarding whether removable storage has been removed or replaced may be asynchronously signaled to the host system – e.g., to US Mass Storage Driver 206 in a Notify process 206a. This notice may be passed further along asynchronously to Block Storage Driver 204 to a Medium Change process 204a. Finally, such change in status may be report to the Upper Level File System Stack 202.

30 **[00024]** As previously described, legacy devices will not report such changes in status asynchronously as they are not implemented according to the new specification. In fact, legacy device may be those devices that pre-date the newly proposed modifications to

BOT/UASP specifications, or have chosen not to implement these modifications. In addition, legacy devices may falsely report that they have removable media (thus, requiring continuous polling) – even when they do not have such removable media. To better appreciate the various aspects to potential power savings, it may be desirable to

5 consider the impact on various components:

Hubs and Controller Considerations

[00025] While managing power for each node of the USB device tree, all children of a particular node must be suspended before that node itself may be suspended. Then, when the entire tree of devices connected to the host controller has been suspended, the controller itself may be suspended. A suspended controller not only saves the power associated with keeping its own circuitry active, it may also lead to lowered host CPU power consumption due to cessation of the interrupts the controller may generate.

CPU Considerations

[00026] To keep the host up to date on the current media state of the device with removable media, the system may affect periodic polling with a TEST UNIT READY (TUR) command – e.g., as a media polling message. This corresponds to periodic execution of code in the context of a timer procedure call which tends to keep the CPU in a higher power state due to this activity. In order to attempt to minimize overall system power consumption, it may be desirable to consider the following:

20 (1) Devices reporting removable media are continuously polled by the host with TEST UNIT READY commands to detect any change in the media state. This tends to consume additional power, keeping the USB device tree as well as the host controller and host CPU active unnecessarily in the absence of actual data I/O; and

25 (2) While connected to more power-optimized hosts, a bus-powered mass storage device might find itself remaining suspended for a more lengthy time period than in previous host versions. This length of time in the suspended state may exceed that which is required for the device to maintain robust operation. In such an environment, the device would require an occasional port resume with port remaining active for a time that allows the device complete some set of internal housekeeping tasks.

Peripheral/Device Types

[00027] In some embodiments herein, the benefit obtained in return for implementing the mechanism described may vary according to the type of device. It may be informative to

broadly consider two types of removability that may influence the outcome: device removability and media removability. Table 1 below shows examples of various device types representing the permutation of these two properties:

5

Table 1 – Device Categories and Removability

	Media Removable	Media Non-Removable
Device Removable	<u>Category 1</u> Flash drive (RMB=1) Removable flash card reader (SD, MMC, MS, xD) Optical drive	<u>Category 2</u> Flash drive (RMB = 0) External HDD
Device Non-Removable	<u>Category 3</u> Removable flash card reader connected to internal bus	<u>Category 4</u> HDD connected to internal bus

[00028] Devices in category 1 and 3 would tend to clearly benefit from the elimination of TEST UNIT READY polling, whereas devices in category 2 and 4 may benefit most from the ability to remote wake the port. In addition, it may be desirable to consider that users

10 of category 1 devices are able to simply unplug them in order to conserve power, whereas users of category 3 devices may not be afforded the same choice. It may also be noted that category 3 devices consume system power regardless of whether the removable media slot is occupied or not. In addition, it may be noted that regular USB flash drives appear under both categories 1 and 2. This is true because the majority of existing flash drives specify a
 15 bit value 1 (TRUE) for Removable Medium Bit (RMB) and thus induce TEST UNIT READY polling -- despite not being true bearers of a removable storage medium. However, some flash drives do accurately represent their non-removable media – e.g., via a Removable Media Bit (RMB) set to zero (that is, RMB = 0) and effectively help

conserve more power for the attached host system -- as compared to devices reporting an inaccurate value (RMB = 1).

One Embodiment

[00029] As mentioned, the benefits obtained by safely eliminating this unnecessary media

5 polling of USB flash drives are manifold:

- (1) Continuous polling tends to keep device active, thus preventing selective suspend. Eliminating polling may allow the device itself to reach the idle state and thus be placed in a low power inactive state;
- (2) This may affect not just device but the entire USB device tree -- as all intervening hub devices tend to remain active in addition to the USB host controller; and
- (3) Continuous polling DPC timer may also tend to keep the CPU unnecessarily active.

[00030] It would thus be desirable to safely eliminate polling by affecting accurate

15 identification of truly removable reader devices vs. USB flash drives. In addition, making an incorrect identification may cause media changes to be missed, thus possibly leading to degraded function and possible data corruption.

[00031] FIG. 3 depicts one exemplary embodiment of a present system (300) -- possibly affecting USB 3.0 standard or the like. Legacy USB Mass Storage Device 308 comprises

20 storage medium 316, processing component 314 and interface bundle 310 -- which may further comprises legacy interfaces IN EP 310a and OUT EP 310b.

[00032] In this case, even if the legacy device may incorrectly designate whether it has removable media or not, the system may still be able to employ an asynchronous notification with USB Mass Storage Driver 306 expecting such asynchronous notification

25 -- which will not arrive. If the system does receive an asynchronous notification message from a storage devices, then it tends to indicate that the storage device is not a legacy device and the system may treat that device accordingly, including upon receipt of such notification message, the system may update a database that the storage device has truly removable media with its ID or other metadata associated with the storage device. This data and/or database may be shared further via telemetry or otherwise, with other host systems.

[00033] Status may be asynchronously passed along to Block Storage Driver 304 via a Medium Change process 304a. Finally, such status may be passed further along to Upper Level File System Driver Stack 302. Block Storage Driver 304 may further comprise a

processor -- or have access to sufficient processing capability -- to execute a Medium Change process or some sufficient process to detect the status of connected storage devices and to query storage devices as to their ready status for I/O processing or RMB status.

5 [00034] In order to affect this power saving asynchronous status update, several embodiments of the present system may employ various techniques and/or methods for improving the correct designation and/or detection of removable media.

[00035] For merely one example, it is possible to collate data before shipping products. First, it may be possible to identify as many known (legacy or otherwise) USB flash drives 10 vs. reader devices as possible. Metadata regarding these readers and devices may be placed into a searchable table or other suitable database. This database may be consulted (e.g., as an initial table) and the system may apply the correct polling behavior as appropriate.

[00036] However, as this initial table/database may be limited in that it is neither 15 exhaustive nor forward-looking, it may be possible for a host system to use certain follow-on heuristic procedures and/or method to further refine it, as follows:

(1) During initialization, issue a TUR command (or some other “ready” command 20 indicating device is ready for I/O processing) once to some or all Legacy Units (LUNs) reporting RMB=1. If at least one LUN responds to TUR with NOT READY - MEDIA NOT PRESENT, then this is a flash card reader or other device with truly removable media. The system or host may treat it accordingly afterwards – including disabling polling to that devices and/or updating the table/database that the device has truly removable media.

(2) If all report READY, then further evidence may be desired to make an accurate 25 determination. In a telemetry report, it may be possible upload, share data (e.g., updating a device errata database with other hosts) to include the hardware ID of the device (VID and PID), the number of LUNs and the number of times each LUN reported READY vs. NOT READY (this may be desirable as some small population of RMB=0 devices occasionally and intermittently report NOT READY).

(3) Aggregate telemetry sample data and identify the highly likely UFDs vs. flash card 30 reader devices which may not have been included in the initial table/database. This review process may help to eliminate any corner cases or anomalies from consideration.

(4) Periodically apply this new information to update the table/database and dynamically update driver.

[00037] As mentioned, new USB mass storage devices implementing asynchronous notification may do so via an additional interrupt endpoint inserted in the interface

5 descriptor bundle exposed to the host. Legacy (or Down level) hosts, being unaware of this new asynchronous notification functionality, may simply ignore the additional endpoint and remain unable to take advantage of the new feature.

[00038] However, only a newer host system is able to recognize and utilize this new asynchronous notification mechanism. It does so by selecting the new interrupt endpoint

10 and maintaining a persistent, outstanding request on this endpoint for as long as the device remains in an active D0 power state. The request is completed by the device upon any media change event generated by the device. Following this, the host re-issues another interrupt endpoint request to replace the recently completed request. As a device transitions to the inactive D3 power state, the host system cancels this outstanding request

15 to the interrupt endpoint. Subsequently as the device resumes to the active D0 power state, either as a result of device wake signaling corresponding to a locally detected media change on the device or due to a host-initiated transition, the host re-establishes the persistent interrupt endpoint asynchronous notification request.

Several Processing Embodiment

20 [00039] FIG. 4 depicts one flowchart embodiment (400) of a process that may help to identify and/or distinguish between legacy devices that may or may not have removable media and help to save power.

[00040] At 402, a device may be inserted into a hub and, at a later time, the system may issue an Inquiry command (i.e., some ready command) at 404. If the device reports back

25 that it does not have removable media (RMB = 0), then the system may transition to power saving path at 414, where the device idle timer may be reset. The system may receive a report that the device is disconnected – in which case, the device may be removed from further consideration at 424.

[00041] If, however, there is an I/O request from the system, then the device idle timer

30 may be reset back at 414, while the request is being served. If there is no such I/O request, the device's idle timer may become expired at 420. If so, it may be possible to suspend the device port to save power at 422.

[00042] If, however, back at 406, the device reports RMB = 1 (i.e., that it has removable media), then the system may apply some heuristic testing to determine whether the device

truly has removable media. In one embodiment, such heuristic testing may proceed according to those given above. If the device has non-removable media according to the heuristics, then the process can transition to 414 and proceed accordingly.

[00043] Otherwise, the system may further inquire as to whether the device implements asynchronous media notification (e.g., according BOT/UASP specification extension or any other specification that may support such asynchronous notification). If so, then the system may transition to 414. Otherwise, the system may implement periodic TUR polling and the device may not become idle.

[00044] FIG. 5 is another flowchart embodiment (500) that may complement other processes (e.g., FIG. 4 or the like) that may help to implement power savings – as well as update and refine the metadata about devices that may be misreporting their removable media status. At 502, the device may be inserted. The system may consult a table/database to determine the status of the particular device at 504. If the device is listed as one that does not have removable media at 506, then the system may disable TUR polling for that device at 508.

[00045] Otherwise, if there is no database entry, then the system may transition to 510 and detect whether the device becomes disconnected. If so, then the system may transition to a device removed state 518. Otherwise, the system may monitor the device's response to TUR polling. If there is response indicating absent media, then the system may collect the data on the device and report the device via telemetry at 516. Otherwise, the system may continue to monitor the device's response to TUR polling and proceed accordingly.

[00046] FIG. 6 is yet another flowchart embodiment (600) of a process (e.g., possibly running offline on a server system) which collects and aggregates telemetry data from participating host systems. This may be used to update tables/databases to properly identify devices that may be misidentifying its removable media status. This process may proceed either off-line or otherwise. At 602, the system may review telemetry data to update a device database. With the database so updated, the system may determine whether there is any non-removable media at 604. If so, then the system may update the database for the purpose of informing all updateable host systems about the handling of the particular device at 606. If there is a new device to report, then the system may push this information to the database. At some later point in time, this database may be shared with all updateable host systems via a suitable update process (e.g., via Windows Update® computer service).

[00047] What has been described above includes examples of the subject innovation. It is, of course, not possible to describe every conceivable combination of components or methodologies for purposes of describing the claimed subject matter, but one of ordinary skill in the art may recognize that many further combinations and permutations of the subject innovation are possible. Accordingly, the claimed subject matter is intended to embrace all such alterations, modifications, and variations that fall within the spirit and scope of the appended claims.

[00048] In particular and in regard to the various functions performed by the above described components, devices, circuits, systems and the like, the terms (including a reference to a "means") used to describe such components are intended to correspond, unless otherwise indicated, to any component which performs the specified function of the described component (e.g., a functional equivalent), even though not structurally equivalent to the disclosed structure, which performs the function in the herein illustrated exemplary aspects of the claimed subject matter. In this regard, it will also be recognized that the innovation includes a system as well as a computer-readable medium having computer-executable instructions for performing the acts and/or events of the various methods of the claimed subject matter.

[00049] In addition, while a particular feature of the subject innovation may have been disclosed with respect to only one of several implementations, such feature may be combined with one or more other features of the other implementations as may be desired and advantageous for any given or particular application. Furthermore, to the extent that the terms "includes" and "including" and variants thereof are used in either the detailed description or the claims, these terms are intended to be inclusive in a manner similar to the term "comprising".

CLAIMS

1. A method for detecting storage devices, said storage devices connected to a host system, such that said storage devices comprises associated metadata, said associated metadata capable of falsely indicated that such storage devices have removable media, the method comprising:

 sending a media polling message from said host system to a first storage device, said media polling message querying whether said first storage device is ready; and

 if said first storage device responds as not ready, updating a database that said first storage device has truly removable media.

2. The method of Claim 1 wherein said associated metadata comprises one of a group, said group comprising: (RMB = 0) status and (RMB =1) status.

3. The method of Claim 1 where the method further comprises:
 receiving asynchronous notification messages from said storage devices; and
 upon receipt, updating a database that said first storage device has truly removable media.

4. The method of Claim 1 wherein the method further comprises:
 disabling further media polling by said host system to said first storage device if said first storage device does not have truly removable media.

5. The method of Claim 1 wherein the method further comprises:
 searching a database of known storage devices comprising associated metadata that falsely indicate removable media for an entry for said first storage device, said first storage device connected to said host system; and
 if an entry exists for said first storage device in said database, disable polling to said first storage device by said host.

6. The method of Claim 1 wherein the method further comprises:
 sharing data regarding storage devices that comprise associated metadata that falsely indicate removable media with a second host system.

7. The method of Claim 6 wherein said sharing data further comprises:
reporting said data to telemetry server;
updating a device errata database; and
pushing said device errata database to a host system via an updating process.

8. A system for detecting storage devices on a host system, said storage devices further comprising associated metadata, said associated metadata capable of falsely indicating that said storage device comprises removable storage media, said system comprising:

a mass storage driver, said mass storage driver capable of connecting with said storage devices and sending messages to and from said storage devices;

a block storage driver, said block storage driver connected to said mass storage driver and capable of sending messages and receiving messages to and from said storage devices; and

a processor, said processor capable of sending media polling messages to said storage devices and disabling further media polling messages to said storage devices that do not have truly removable media.

9. The system of Claim 8 wherein said processor is capable of:
searching a database of known storage devices comprising associated metadata that falsely indicate removable media for an entry for said first storage device, said first storage device connected to said host system; and
if an entry exists for said first storage device in said database as not having truly removable media, disabling polling to said first storage device by said host.

10. The system of Claim 9 wherein said processor is capable of:
sharing data regarding storage devices that comprise associated metadata that falsely indicate removable media with a second host system;
reporting said data to telemetry server;
updating a device errata database; and
pushing said device errata database to a host system via an updating process.

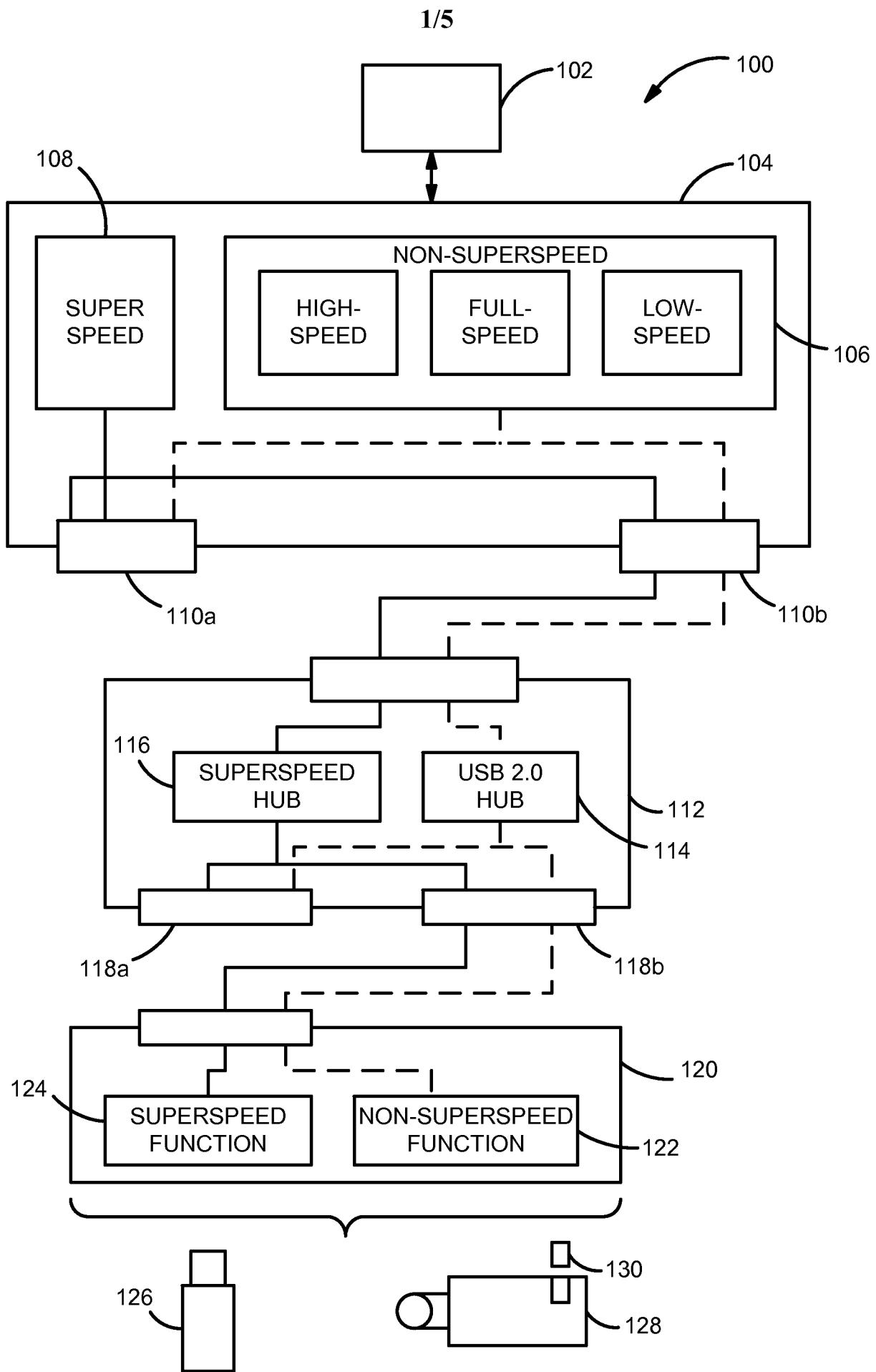


FIG. 1

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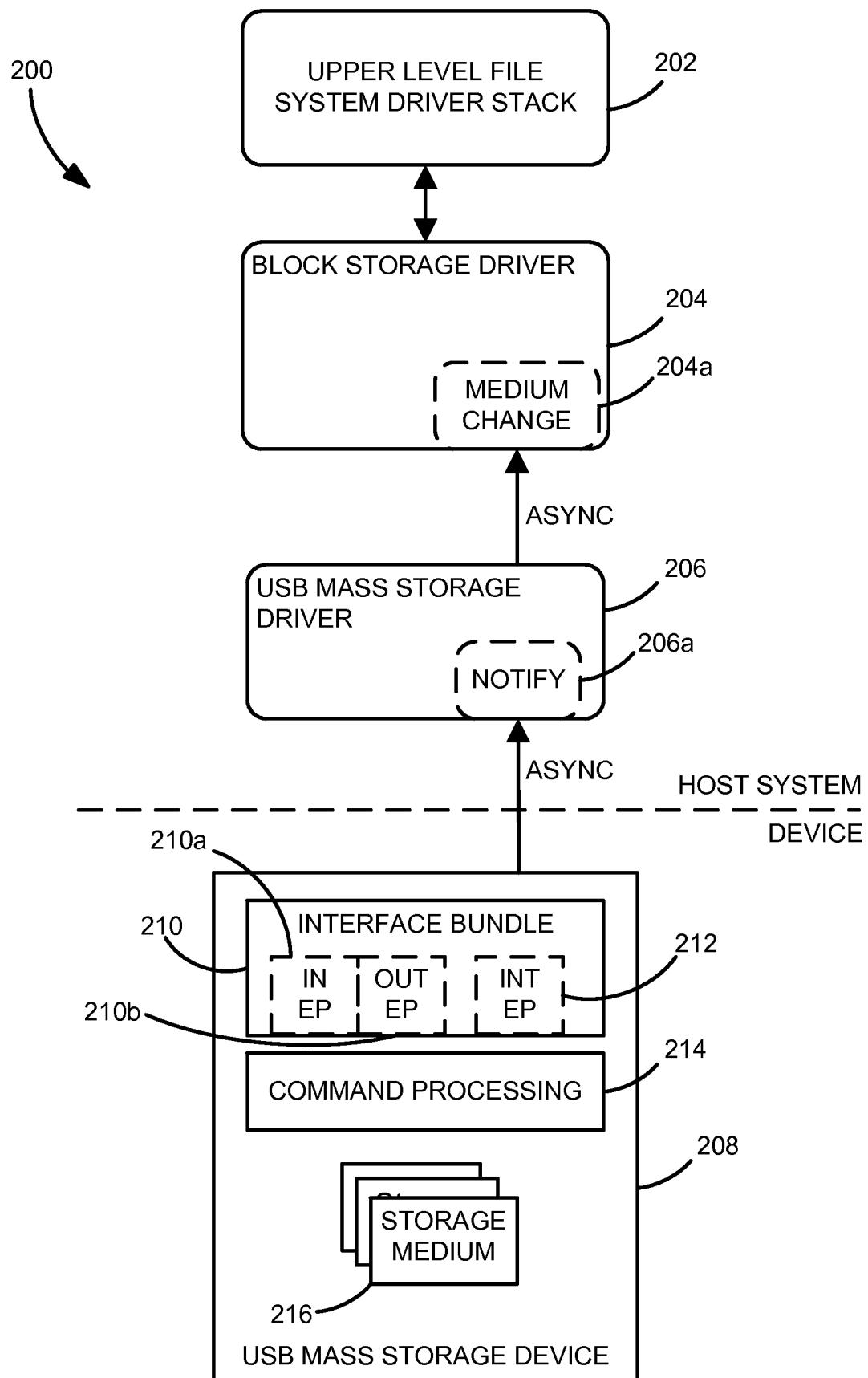


FIG. 2

3/5

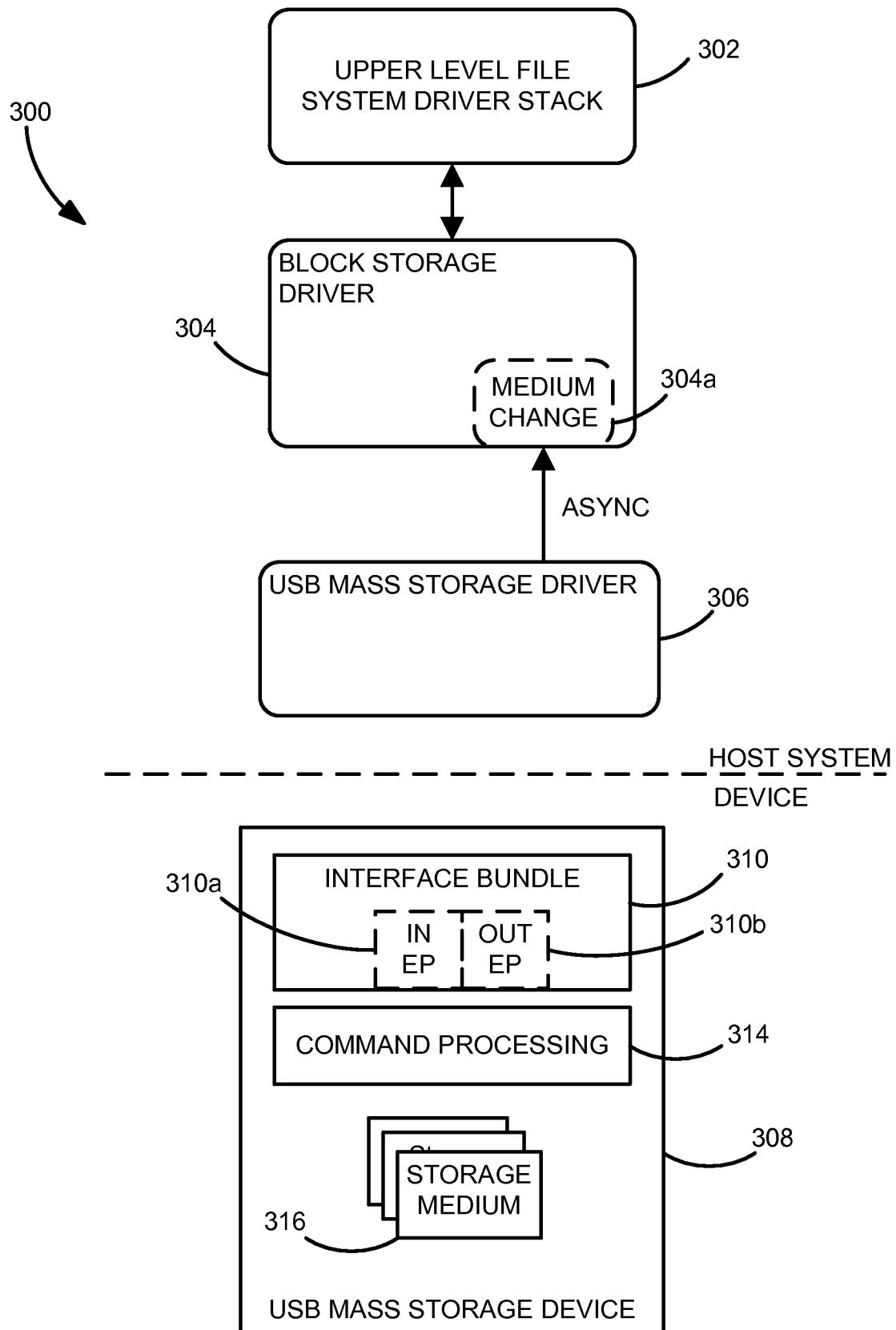


FIG. 3

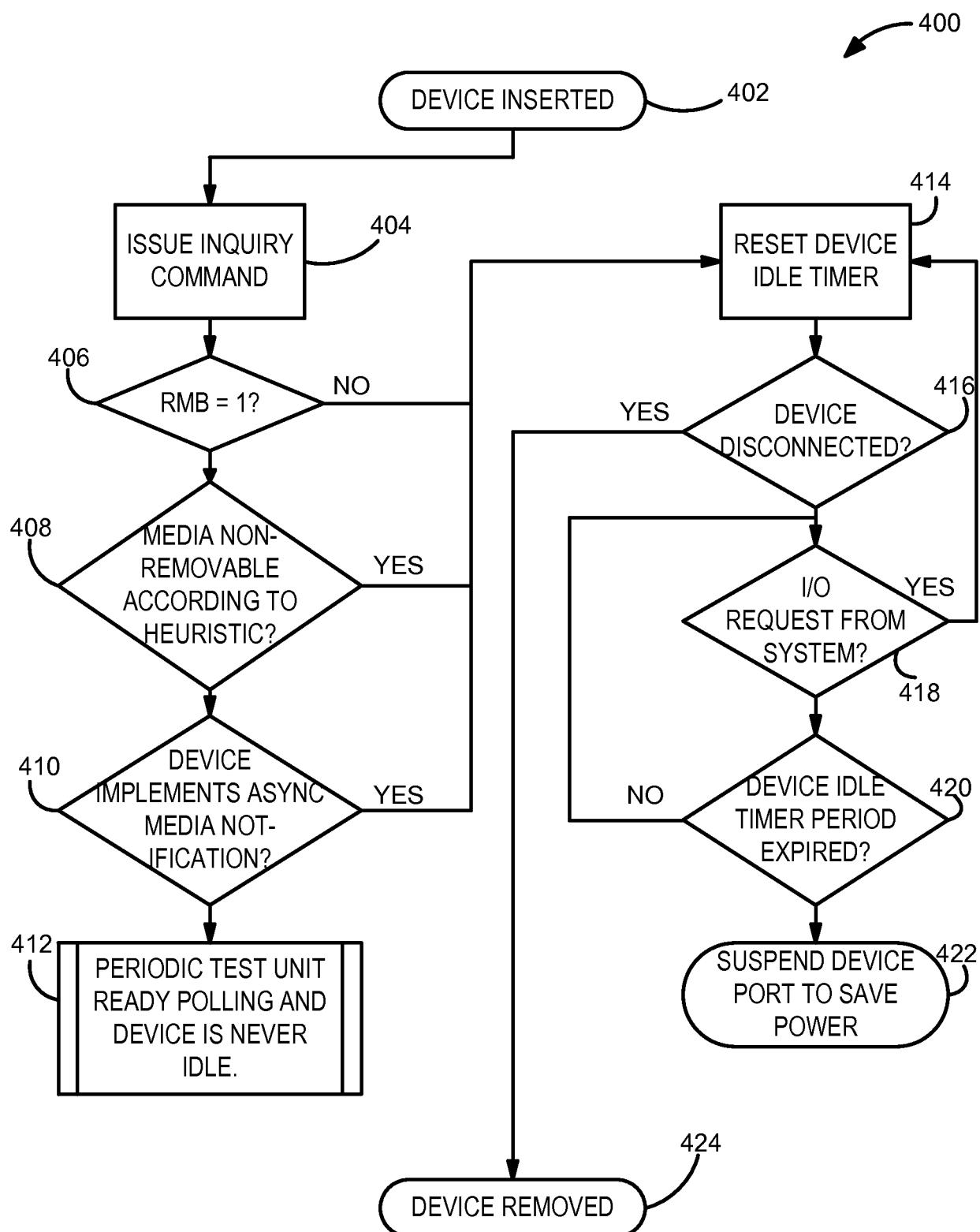


FIG. 4

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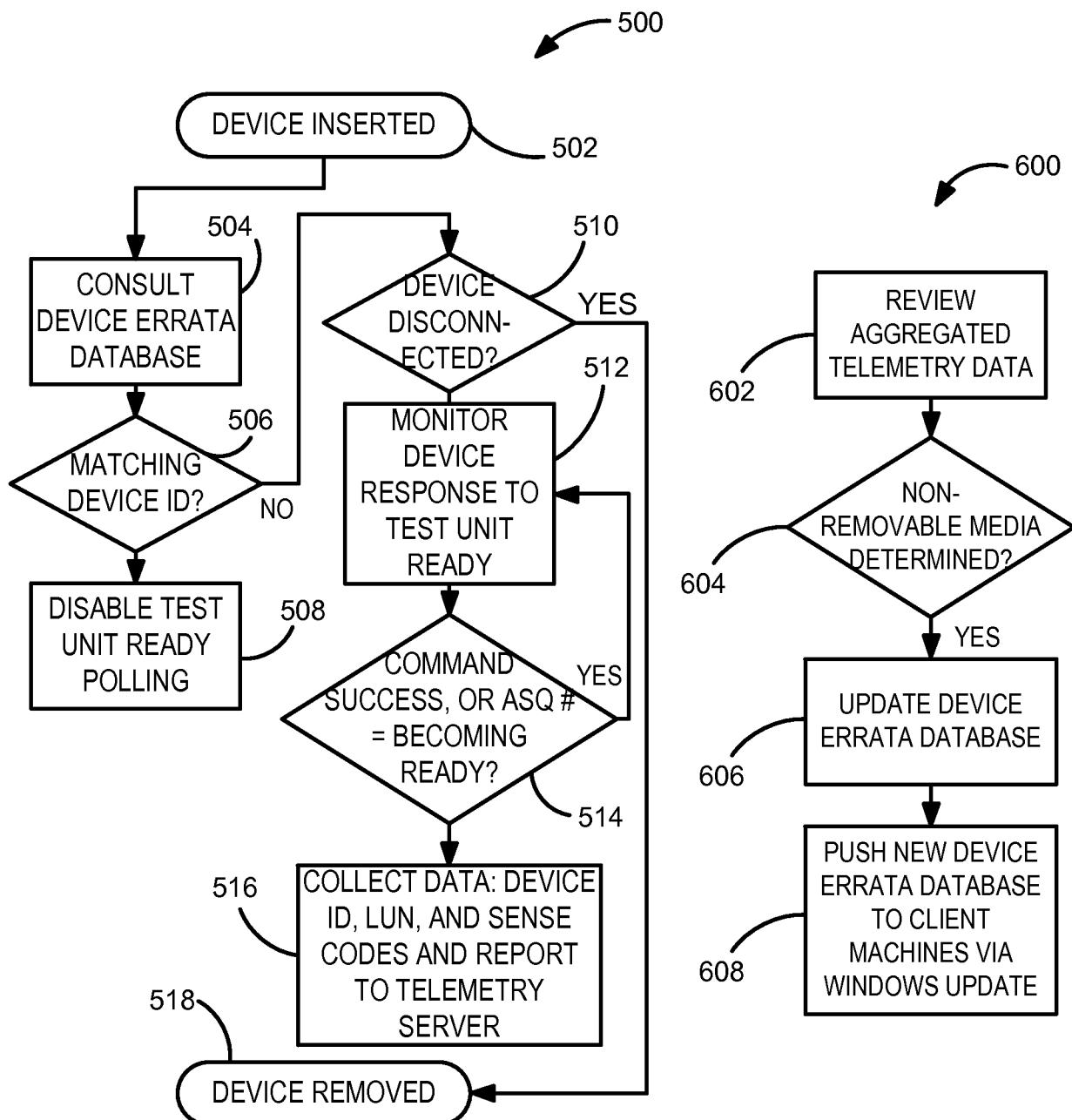


FIG. 5

FIG. 6

INTERNATIONAL SEARCH REPORT

International application No
PCT/US2014/020060

A. CLASSIFICATION OF SUBJECT MATTER
INV. G06F13/38 G06F3/06
ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2007/104042 A1 (SUGAHARA YOSHIYUKI [JP] ET AL) 10 May 2007 (2007-05-10)	8
A	abstract paragraphs [0014], [0018], [0035], [0045], [0049] - [0058] -----	1-7, 9, 10
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