

AUSTRALIA

PATENTS ACT 1990

682037

PATENT REQUEST : STANDARD PATENT

I/We being the person(s) identified below as the Applicant(s), request the grant of a patent to the person(s) identified below as the Nominated Person(s), for an invention described in the accompanying standard complete specification.

Full application details follow:

[71/70] Applicant(s)/Nominated Person(s):

First National Bank of Southern Africa Limited

of

Bank City, 3 First Place, Johannesburg, Transvaal, Republic of South Africa

[54] Invention Title:

A system for the secure transportation of articles

[72] Name(s) of actual inventor(s):

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Aharon LUBASHEVSKY**

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Melbourne, Victoria, Australia. Attorney Code: DM**

Basic Convention Application(s) Details:

[31] Application Number	[33] Country	Code	[32] Date of Application
Nil	Nil	Nil	Nil

DATED this EIGHTEENTH day of JULY 1994

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Keith Collison

.....
a member of the firm of
DAVIES COLLISON CAVE for
and on behalf of the
applicant(s)

AUSTRALIA
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NOTICE OF ENTITLEMENT

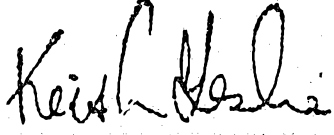
We, **First National Bank of Southern Africa Limited**, the applicant/Nominated Person named in the accompanying Patent Request state the following:-

The Nominated Person is entitled to the grant of the patent because the Nominated Person derives title to the invention because the rights of inventor Aharon Lubashevsky passed to Related Systems (Pty) Ltd. by virtue of his employment by Related Systems (Pty) Ltd. Related Systems (Pty) Ltd. assigned its rights in the invention to First National Bank of Southern Africa Limited by virtue of a written deed of assignment dated 1 June 1994.

Inventors Gerald Anthony Cassidy and Khathutshelo Seth Netshisaulu assigned their rights in the invention to First National Bank of Southern Africa Limited by virtue of a written deed of assignment effective prior to 5th April 1993.

Subsequently inventors Aharon Lubashevsky, Gerald Anthony Cassidy and Khathutshelo Seth Netshisaulu assigned their rights to further aspects of the new invention to First National Bank of Southern Africa Limited by virtue of a written deed of assignment dated 6th June 1994.

DATED this EIGHTEENTH day of JULY 1994


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a member of the firm of
DAVIES COLLISON
CAVE for and on behalf
of the applicant(s)

(DCC ref: 1679968)



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(12) PATENT ABRIDGMENT (11) Document No. AU-B-67525/94
(19) AUSTRALIAN PATENT OFFICE (10) Acceptance No. 682037

- (54) Title
A SYSTEM FOR THE SECURE TRANSPORTATION OF ARTICLES
- International Patent Classification(s)
(51)⁵ **B65D 081/00 B65D 035/02 E05G 001/02**
- (21) Application No. : **67525/94** (22) Application Date : **18.07.94**
- (43) Publication Date : **01.02.96**
- (44) Publication Date of Accepted Application : **18.09.97**
- (71) Applicant(s)
FIRST NATIONAL BANK OF SOUTHERN AFRICA LIMITED
- (72) Inventor(s)
GERALD ANTHONY CASSIDY; AHARON LUBASHEVSKY; KHATHUTSHELO SETH NETSHISAULU
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- (56) Prior Art Documents
EP 30413
WO 8000887
GB 1576567
- (57) Claim

1. A system for the secure transportation of articles characterised in that it comprises first and second docking stations at respective different locations and a container for articles, each docking station comprising:

a housing defining a port for receiving the container;

locating means for locating the container in a predetermined position in the port;

feed means for receiving articles and feeding them into an opening in the container;

control means for controlling and monitoring the operation of the docking station and for generating a record of articles fed into the container; and

communication means for transmitting data between the first and second docking stations independently of the container, the data comprising an access code entered at the first docking station at the time of loading the container, the access code being required by the control means of the second docking station for unloading of the container at the second docking station,

the container comprising:

a housing having at least one opening for receiving articles from the feed means of the docking station;

closure means for closing the at least one opening lockably;

first operating means for selectively locking and unlocking the closure means while the container is received by the port of the docking station; and

monitoring means for monitoring the integrity of the container in use and for generating an alarm signal if the container is opened in an unauthorised manner.

14. A secure container for use in the system of any one of claims 1 to 13, the container being characterised in that it comprises:

a housing having at least one opening for receiving articles from the feed means of the docking station;

closure means for closing the at least one opening lockably;

first operating means for selectively locking and unlocking the closure means while the container is received by the port of the docking station; and

monitoring means for monitoring the integrity of the container in use and for generating an alarm signal if the container is opened in an unauthorised manner, said monitoring means being capable of storing an access code entered at one of the docking stations during loading of the container and used for unloading of the container at the other docking station.

AUSTRALIA
PATENTS ACT 1990
COMPLETE SPECIFICATION

NAME OF APPLICANT(S):

First National Bank of Southern Africa Limited

ADDRESS FOR SERVICE:

DAVIES COLLISON CAVE
Patent Attorneys
1 Little Collins Street, Melbourne, 3000.

INVENTION TITLE:

A system for the secure transportation of articles

The following statement is a full description of this invention, including the best method of performing it known to me/us:-

- 2 -

BACKGROUND OF THE INVENTION

This invention relates to a secure system for the transportation of articles such as cheques, banknotes or other valuable articles.

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The transportation of valuable articles, especially cash, has become increasingly dangerous. Even the use of armed guards and armoured vehicles is proving inadequate to safeguard valuable articles from robbers while in transit, or from theft or fraud on the part of employees handling the articles. As a result, there is a need for increasingly sophisticated systems for

10 safeguarding valuable articles in transit.

SUMMARY OF THE INVENTION

According to the invention there is provided a system for the secure transportation of articles

15 characterised in that it comprises first and second docking stations at respective different locations and a container for articles, each docking station comprising:

a housing defining a port for receiving the container;

locating means for locating the container in a predetermined position in the port;

feed means for receiving articles and feeding them into an opening in the container;

20 control means for controlling and monitoring the operation of the docking station and for generating a record of articles fed into the container; and

communication means for transmitting data between the first and second docking stations independently of the container, the data comprising an access code entered at the first docking station at the time of loading the container, the access code being required by the

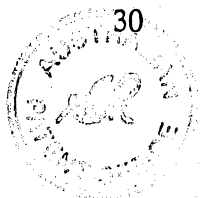
25 control means of the second docking station for unloading of the container at the second docking station,

the container comprising:

a housing having at least one opening for receiving articles from the feed means of the docking station;

closure means for closing the at least one opening lockably;

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first operating means for selectively locking and unlocking the closure means while the container is received by the port of the docking station; and

monitoring means for monitoring the integrity of the container in use and for generating an alarm signal if the container is opened in an unauthorised manner.

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The port in the docking station for receiving the container is preferably an opening extending into the housing which is sized to receive the container.

The locating means may comprise a tray for supporting the container in the opening and

10 indexing means for maintaining the container at a desired one of a plurality of predetermined positions relative to the opening.

The feed means is preferably a device for feeding sheets such as bank notes or cheques into the container.

15

The docking station preferably includes second operating means engagable with the first operating means of the container to lock or unlock the closure means of the container selectively under the control of the control means.

20 The control means may include data acquisition means associated with the feed means for reading and storing data identifying articles fed into the container.

The monitoring means of the container and the control means of the docking station are preferably adapted to communicate so that an access code entered at the first docking station
25 during loading of the container can be stored by the monitoring means of the container, the access code being transmitted independently to the second docking station and being entered therein when the container is received at the second docking station, the monitoring means of the container communicating with the control means of the second docking station to compare the stored and entered access codes and the control means allowing unlocking of the
30 closure means of the container only if the stored and entered access codes correspond.

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The invention also provides a secure container for use in the system defined above, the container being characterised in that it comprises:

a housing having at least one opening for receiving articles from the feed means of the docking station;

5 closure means for closing the at least one opening lockably;

first operating means for selectively locking and unlocking the closure means while the container is received by the port of the docking station; and

monitoring means for monitoring the integrity of the container in use and for generating an alarm signal if the container is opened in an unauthorised manner, said

10 monitoring means being capable of storing an access code entered at one of the docking stations during loading of the container and used for unloading of the container at the other docking station.

BRIEF DESCRIPTION OF THE DRAWINGS

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Figure 1

is a block diagram of a system for the secure transportation of articles according to the invention;

Figure 2 is a pictorial view of a docking station and an "intelligent" container according to the invention;

Figure 3 shows the container received in the docking station;

Figures 4 & 5 are simplified block diagrams of the electronic circuitry of the docking station and the container, respectively;

Figures 6 & 7 are flow diagrams illustrating the operation of the docking station and the container in use; and

Figure 8 is a simplified overall operational flow diagram of the system.

DESCRIPTION OF AN EMBODIMENT

The system block diagram of Figure 1 shows a deposit station 10 which is typically located at the premises of a business, for example, and a depot station 12 which is typically located at the premises of a bank or another financial institution. However, the deposit station and the depot station can be anywhere. Both the deposit station 10 and the depot station 12 have modems 14 which are in communication with one another, for example, via a telephone or telecommunications network.

The modems 14 are connected to respective computers 16, which are in turn connected to docking stations 18, each of which can receive secure "intelligent" containers 20. The containers 20 are transported between the deposit station 10 and the depot station via a transportation system, which may be a conventional system using armoured vehicles or the like. An overall monitoring system 22 monitors the operation of the docking

stations 18 at the deposit station 12, and also monitors the status of the intelligent containers 20 while they are in transit in the transportation system.

In Figure 1, the modems 18 and computers 16 are shown as being separate from the respective docking stations 10 and 12. However, these components can be included in the docking stations. The computers 16 need not be free-standing personal computers, but can comprise, for example, a computer motherboard with a disc drive, connected to the other circuitry of the docking stations.

Referring now to Figure 2, a single docking station 18 and a secure "intelligent" container 20 are shown. The docking station comprises a housing 24 with an opening 26 formed therein which extends right through the housing and which is sized to receive the container 20. Mounted on telescoping rails 28 which extend from the interior of the opening 26 is a folded sheet metal tray 30 into which the container 20 can be placed. The container can then be pushed into the interior of the docking station. A knob 32 on the side of the docking station housing operates an indexing mechanism which engages spaced apart apertures 34 in the edge of the tray 30, to move the container selectively between several predetermined positions within the docking station.

The top surface of the docking station defines a tray 36 for a cassette 38 (see Figure 3) which has been preloaded with valuable articles such as bank notes or cheques. At the inner end of the tray 36 is a set of feed rollers 40 which can either feed cheques or bank notes one at a time into the interior of the docking station, or which feed the bank notes or cheques from the cassette 38.

The secure container 20 is built sturdily from mild steel or hardened

plastics and has a number of compartments which are accessed via respective electronically controlled sliding doors 42. A larger compartment with its own door 44 is provided at one end of the container.

When the container is mounted in the tray 30 and inserted into the docking station, one or the other of the compartments in the container is aligned with a feed mechanism which includes the feed rollers 40, and the respective door 42 is opened by a solenoid mechanism within the container to allow the notes or cheques to be fed into the compartment.

Associated with the feed mechanism is an optical character recognition unit and a counting device which both verifies the authenticity of bank notes and reads their denomination, and which also reads the MICR data from cheques. This information is stored in a control circuit of the docking station.

As each compartment of the container is filled, the container is indexed to the next point on the tray.

Apart from cheques and bank notes, coins, merchant credit card vouchers and withdrawal cheques are deposited in the container, via the door 44.

The electronic circuit of the docking station is illustrated in the simplified block diagram of Figure 4. The docking station circuitry includes a power supply unit 92 which is connected in use to a power source such as an AC mains outlet or an internal battery, and which both supplies the electronic circuitry of the docking station and provides a battery charging output for the internal battery of the secure container 20 via a connector socket 94. The docking station is controlled by a

main micro-controller 96, which in the prototype was a PIC 16C54. The controller 96 controls a solenoid driver interface 98 which powers a plurality of solenoids 100 in the secure container 20, to open and close the respective doors 42 and 44 of the container. Via a multiplexer 102, the controller selects communications lines between an interface circuit 104 of the cheque/note feeder of the docking station, or an interface circuit 106 which communicates with the container 20 when the latter is in place in the docking station.

The operation of the docking station is controlled in use via a keypad 46 and its status is indicated by a liquid crystal display 48. The docking station is connected in use to a personal computer 16 via an RS 232 serial interface. (As mentioned above, the docking station could have a built-in computer instead of being linked to a separate computer). To begin the loading operation, an operator code is entered via the personal computer (PC) initialising the system and allowing notes and cheques to be deposited into the container. A record of the deposited cheques and notes is stored in the PC. The container has its own unique identity number, and this information and the time at which the deposit is completed is also stored in the PC. When the container is removed from the docking station, it is locked automatically under the control of its own electronic monitoring circuitry. A security staff member collecting the container enters the time of collection of the container and a courier reference number, and this information is transmitted to the bank or financial institution via the modem associated with the PC.

In addition, a unique one-time code is generated when the container is loaded, which is transmitted via the modem of the deposit station to the modem of the depot station. The transmitted code is encrypted for extra security. When the container is received at the depot station and loaded into the docking station 18 there, the code must be entered via the PC

at the depot station to unlock the container. The lid 50 of the container is operated by a motorised mechanism in the container which is controlled by the electronic circuitry of the docking station and the monitoring circuitry of the container. The locking mechanism comprises a number of brackets which are rotated into or out of engagement with the walls of the container by the motor to lock or unlock the container.

The electronic circuitry of the container is shown in the simplified block diagram of Figure 5.

The container 20 has its own electronic monitoring system which adds to the security of the container. The monitoring circuitry monitors the state of pairs of optical sensors 56 and 58 which detect whether the respective sliding doors 42 or 44 are open or closed, as well as an optical sensor 60 which detects opening of the main lid 50 of the container. The wall of the container has a lining 62 which comprises a network of parallel foil conductors, with alternate conductors being at ground or a line voltage, so that drilling or cutting through the wall of the container will either cause a short or open circuit condition which can be detected by the monitoring circuit.

The outputs of the sensors 56 to 62 (as well as an output from a radio pager receiver circuit 64, a battery level sensor 66, a temperature sensor 68 and a humidity sensor 70) are fed to a sensor interface circuit 72. In the prototype system, this circuit comprised a PIC 16C71 microcontroller which includes four analogue to digital convertors. The analogue to digital convertors are used to convert the outputs of analogue sensors such as the battery level sensor, temperature sensor and humidity sensor to digital signals for further processing by a main processor 74, which in the prototype was a DS-5000 microcontroller. The main processor handles the communications and database management functions of the

"intelligent" container. In addition, the main processor 74 provides control signals to a dye pack control circuit 76 and a motor control circuit 78, as well as a battery charged control circuit 80. The main processor 74 monitors the status of the various sensors referred to above, as well as a level sensor 82 which detects tilting of a container.

The processor 74 has a real time clock 84 and is controlled by software stored in a first memory 86, typically a pre-programmed ROM. In addition, the processor 74 has a 32 KB non-volatile random access memory 88 which is configured as a "disc" which is divided into 256 sectors of 128 bytes each. The processor 74 also controls an RF communications interface 90, which allows communication between the "intelligent" container and a remote monitoring station.

The electronic circuitry of the container is controlled by a rechargeable lead acid battery, which also controls the motorised locking mechanism of the container and the solenoids which control the sliding doors 42 and 44 via the motor control circuit 78.

The dye dispenser or dye pack in the container has an electrically actuated detonator or other explosive device which can be triggered by the dye pack control circuit 76 at the command of the main processor 74. If any of a number of possible unauthorised events occurs, the processor sends an actuation signal to the dye pack control circuit 76, which in turn detonates the explosive device in the dye pack, rendering the contents of the container unusable. If any of the sliding doors 42 or 44 are forced open, if the main lid 50 is forced open, or if the security lining of the box is damaged, the processor 74 will detect an attempt to breach the security of the container and will detonate the dye pack. In addition, if the temperature inside the box exceeds a predetermined limit (typically 60°C) or if the humidity within the box exceeds a

predetermined limit (typically 90%), the dye pack will be activated. These values respectively correspond to burning or immersion of the container.

If the battery voltage drops below a predetermined minimum level (typically 10 volts in the case of a 12 volt battery) the dye pack will also be actuated. This condition will typically correspond to theft of the container, or a situation in which the container has not reached its intended destination and has been lost. The processor 74 also monitors the time which has elapsed since the container was dispatched from the deposit station, and will detonate the dye pack when the elapsed time exceeds a predetermined limit. This limit can be preset by the user. Finally, the radio pager receiver 64 can receive a remote detonation command to detonate the dye pack. This feature can be used if it is established, for example, a vehicle transporting the container is likely to be hijacked, or in another emergency situation.

The dye used in the dye pack includes a specific combination of rare earth elements in trace quantities. Approximately 100 000 combinations are possible. By recording a code corresponding to the combination used in the dye pack of each container, a bank note, cheque or other document discovered after a robbery or other event causing detonation of the dye pack can be associated with that event.

The container includes a further security system in the form of a connector socket 52 which receives a plug 54 which is connected to an identity device carried by a guard responsible for the container. The identity device has a code which is input to the monitoring circuitry of the container when the plug 54 is inserted into the socket 52, ensuring that only personnel having an identity device with the correct code can take charge of the container. The monitoring circuit may also trigger

the dye dispenser if the plug 54 is removed from the socket 52 during transportation of the container. The monitoring circuit includes a timer and is arranged to trigger the dye dispenser if the container is opened before a predetermined period of time has elapsed after collection of the container. Similarly, if the container is not opened within a second predetermined time period, the dye dispenser is actuated.

The simplified flow diagrams of Figures 6 and 7 indicate the operation of the intelligent container in use.

The simplified flow diagram of Figure 8 summarises the overall operation of the system in use.

The system described above has a number of other useful features. For example, a panic button feature is included, allowing manual triggering of the dye pack in the container by a guard in a threatening situation. The container can include a transponder or the like which must remain within a predetermined range of transmitter in a designated transportation vehicle to prevent actuation of the dye dispenser. The vehicle or the container itself is provided with a global positioning system (GPS) receiver or another positioning system, coupled with a transmitter which transmits the position of the container to a monitoring station, so that the position of the container is monitored closely during transportation. This allows action to be taken, such as the remote actuation of the dye dispenser in the container, if the vehicle transporting the container deviates from a predetermined route.

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THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. A system for the secure transportation of articles characterised in that it comprises first and second docking stations at respective different locations and a container for articles, each
5 docking station comprising:
 - a housing defining a port for receiving the container;
 - locating means for locating the container in a predetermined position in the port;
 - feed means for receiving articles and feeding them into an opening in the container;
 - control means for controlling and monitoring the operation of the docking station and
10 for generating a record of articles fed into the container; and
 - communication means for transmitting data between the first and second docking stations independently of the container, the data comprising an access code entered at the first docking station at the time of loading the container, the access code being required by the control means of the second docking station for unloading of the container at the second
15 docking station,
- the container comprising:
 - a housing having at least one opening for receiving articles from the feed means of the docking station;
 - closure means for closing the at least one opening lockably;
 - 20 first operating means for selectively locking and unlocking the closure means while the container is received by the port of the docking station; and
 - monitoring means for monitoring the integrity of the container in use and for generating an alarm signal if the container is opened in an unauthorised manner.

~~container in use and for generating an alarm signal if the container is opened in an unauthorised manner.~~

2. A system according to claim 1 wherein the port in the docking station for receiving the container is an opening extending into the housing which is sized to receive the container.
3. A system according to claim 1 or claim 2 wherein the locating means comprises a tray for supporting the container in the opening and indexing means for maintaining the container at a desired one of a plurality of predetermined positions relative to the opening.
4. A system according to any one of claims 1 to 3 wherein the feed means is a device for feeding sheets such as bank notes or cheques into the container.
5. A system according to any one of claims 1 to 4 wherein the docking station includes second operating means engagable with the first operating means of the container to lock or unlock the closure means of the container selectively under the control of the control means.
6. A system according to claim 5 wherein the first operating means comprises at least one solenoid arranged to open and close the closure means of the container.
7. A system according to claim 5 or claim 6 wherein the first operating means comprises a motor arranged to operate a locking mechanism.

9. A system according to any one of claims 1 to 8 wherein the control means includes data acquisition means associated with the feed means for reading and storing data identifying articles fed into the container.

10. A system according to claim 9 wherein the data acquisition means comprises counting means for counting the number of articles fed into the container via the feed means.

11. A system according to claim 9 or claim 10 wherein the data acquisition means comprises a character recognition device which reads characters on the articles fed into the container.

15 12. A system according to any one of claims 1 to 11 wherein the monitoring means of the container and the control means of the docking station are adapted to communicate so that an access code entered at the first docking station during loading of the container can be stored by the monitoring means of the container, the access code being transmitted independently to the second docking station and being entered therein when the container is received at the
20 second docking station, the monitoring means of the container communicating with the control means of the second docking station to compare the stored and entered access codes and the control means allowing unlocking of the closure means of the container only if the stored and entered access codes correspond.

25 13. A system for the secure transportation of articles substantially as herein described and
illustrated.

14. A secure container for use in the system of any one of claims 1 to 13, the container being characterised in that it comprises:

30 a housing having at least one opening for receiving articles from the feed means of the

docking station;

closure means for closing the at least one opening lockably;

first operating means for selectively locking and unlocking the closure means while the container is received by the port of the docking station; and

5 monitoring means for monitoring the integrity of the container in use and for generating an alarm signal if the container is opened in an unauthorised manner, said monitoring means being capable of storing an access code entered at one of the docking stations during loading of the container and used for unloading of the container at the other docking station.

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15. A secure container substantially as herein described and illustrated.

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DATED this 21st day of March, 1997

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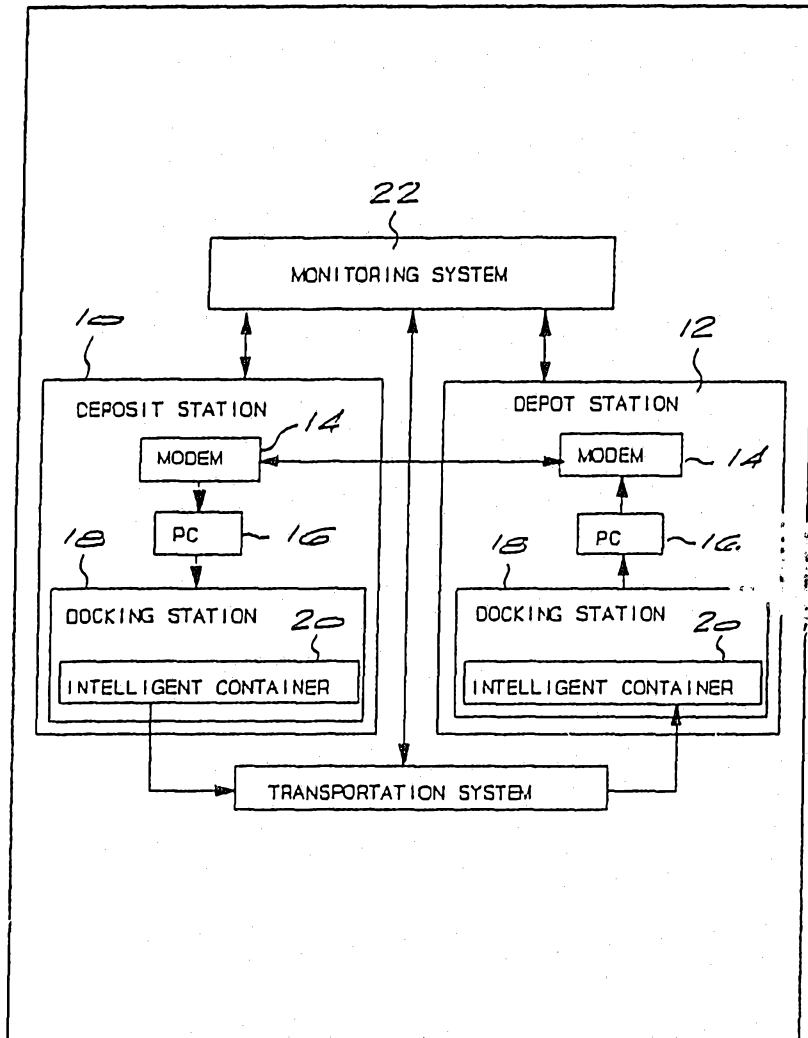
FIRST NATIONAL BANK OF SOUTHERN AFRICA LIMITED

By its Patent Attorneys

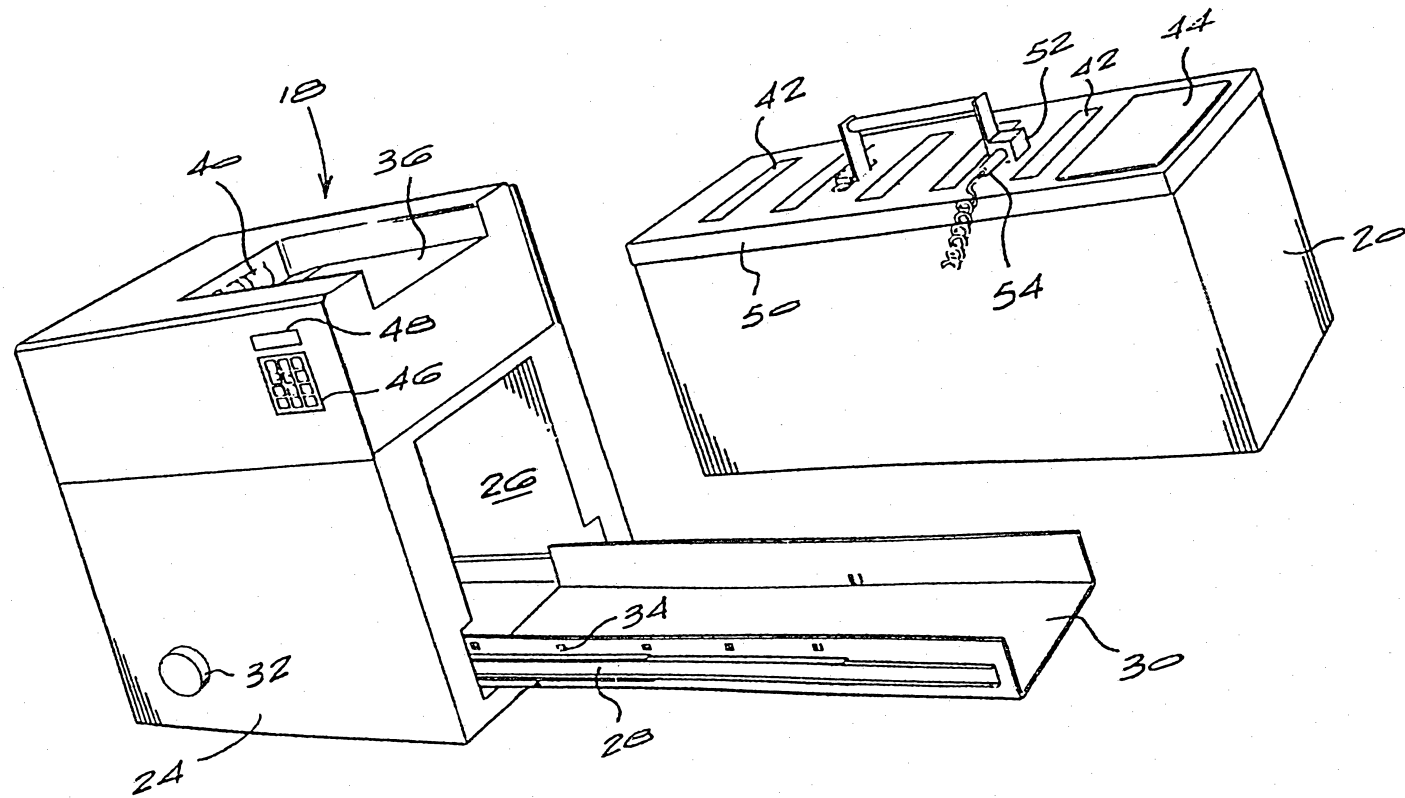
DAVIES COLLISON CAVE

ABSTRACT

A system for the secure transportation of articles such as cheques and bank notes comprises first and second docking stations (18) at different locations, and a secure container (20) which mates with the docking stations. The container has a number of lockable doors (42, 44) into which bank notes are fed by a feeder mechanism (40) at the first docking station. The container has its own microcontroller (72, 74) which monitors the status of the doors and the integrity of the container, and which can respond to external control signals while it is in transit. Once the container has been loaded at one docking station, it is transported to the second docking station at a different location, where it can be unloaded. A one-time code is generated each time the container is loaded, and must be communicated to the second docking station before the container can be unloaded. Any attempt to tamper with the container while it is in transit results in the activation of a dye dispenser, which marks the contents of the container.



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FIG 2

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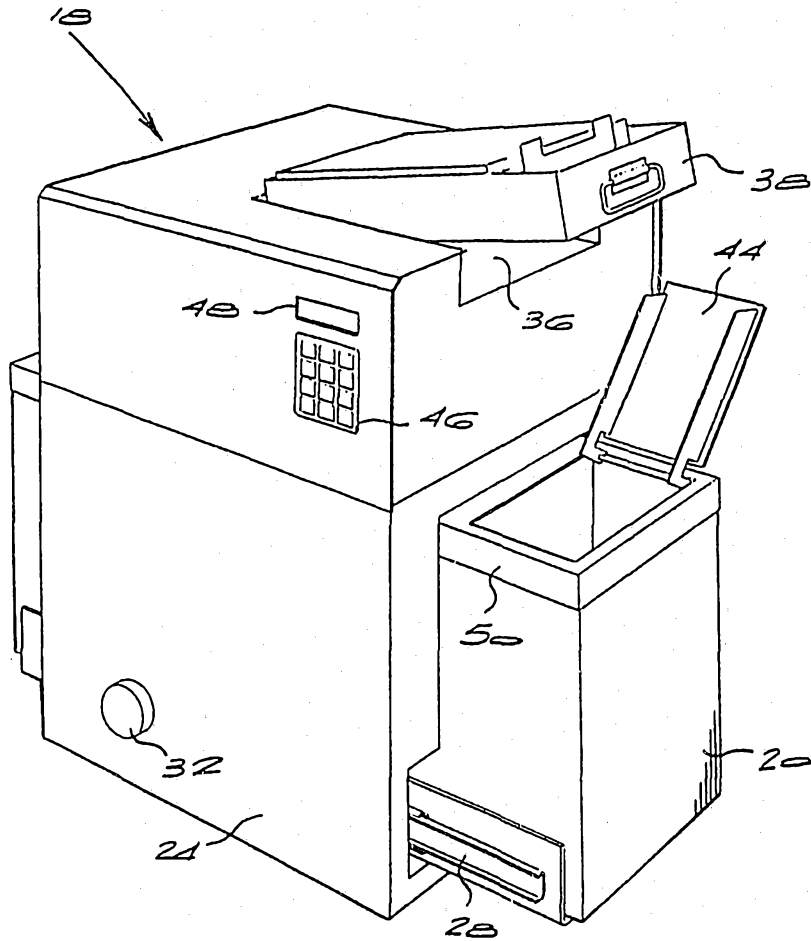


FIG 3

FIG 4

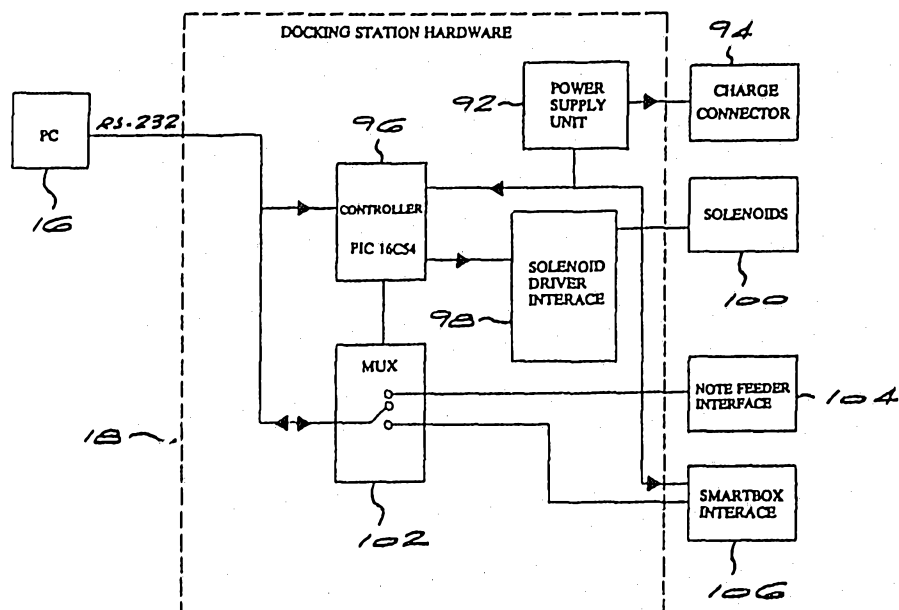
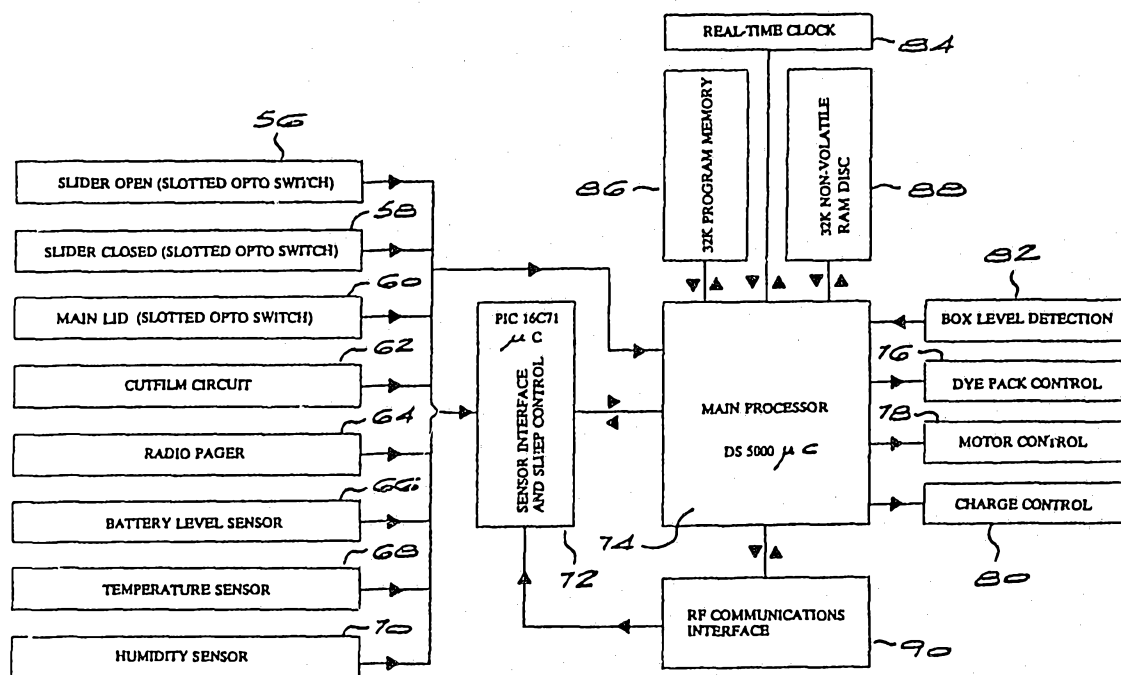
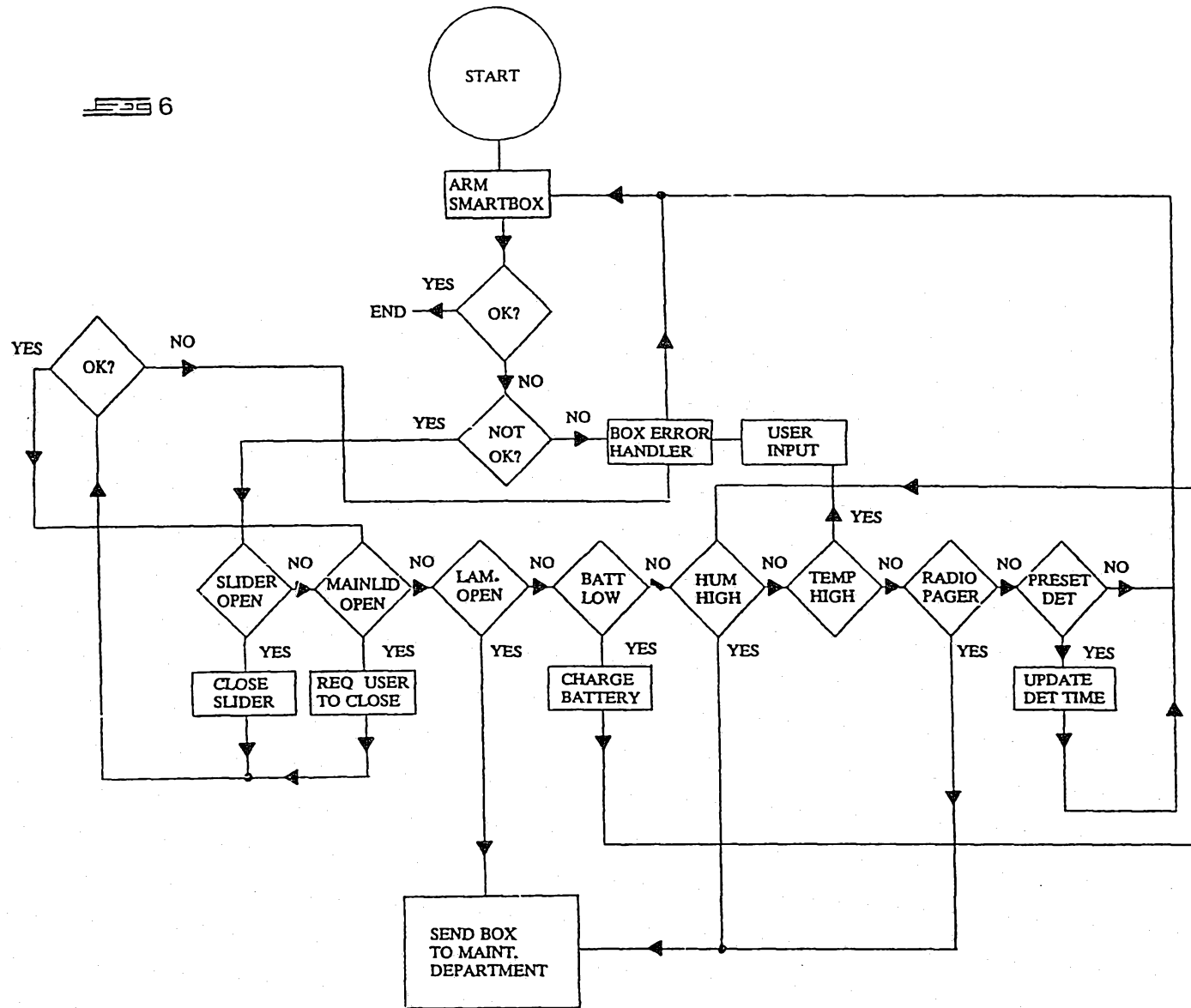


FIG 5



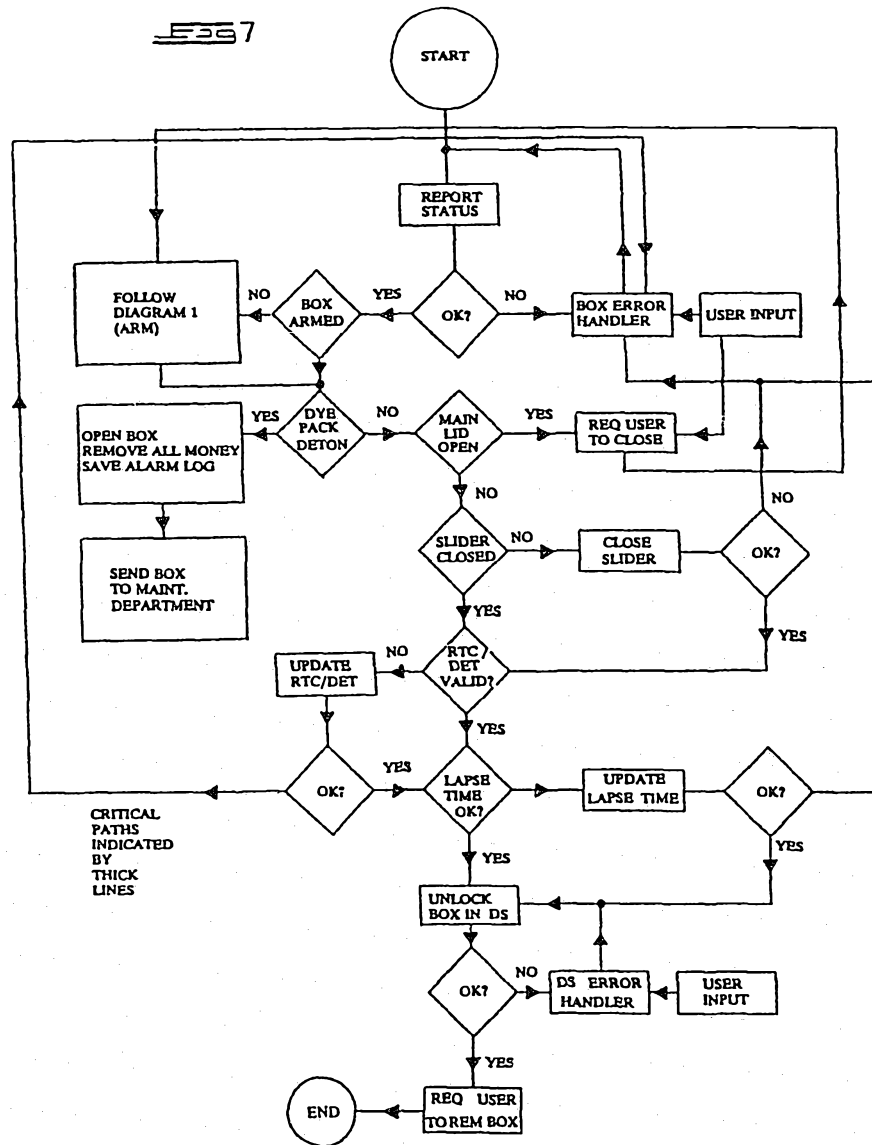
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