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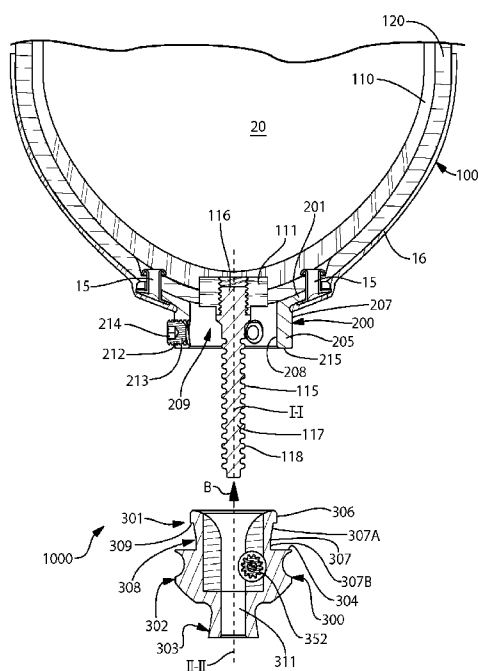


FIG. 7

(57) Abstract: The present invention is directed to a prosthetic adapter that allows for rotation of a prosthetic about central axis. In one embodiment, the invention is a prosthetic adapter comprising; a first component adapted to be coupled to a residual limb; a second component adapted to be coupled to a prosthesis: the first component or the second component comprising a collar forming a central cavity formed about a first central axis; the other one of the first component or the second component comprising a hub extending along a second central axis, the hub positioned in the central cavity so that the first and second central axes are substantially coaxial; wherein when the hub is positioned within the central cavity of the collar, the hub has only two degrees of freedom, rotation about the first central axis and translation along the first central axis.

## **ROTATABLE PROSTHETIC ADAPTER**

### **Cross-Reference to Related Patent Applications**

[0001] The present application claims the benefit of United States Provisional Patent Application 61/226,426, filed July 17, 2009, the entirety of which is hereby incorporated by reference.

### **Field of the Invention**

[0002] This invention relates generally to adapters for coupling a prosthesis to a residual limb, and specifically to a prosthetic adapter that allows for rotation of the prosthesis about a central axis without uncoupling the prosthesis from the residual limb.

### **Background**

[0003] Various types of foot and leg prosthetic devices are well known in the art. Such devices frequently include some form of attachment for coupling the device to the distal end of the residual limb of an amputee and for extending to the ground to provide body support. One form of prosthesis is fabricated as an assembly having a flexible roll-on suction suspension liner, a socket, a shuttle lock, a lower leg component and a foot. The shuttle lock provides rigid attachment of the suspension liner to the socket and lower leg component while providing an easy way of enabling the amputee to release a prosthesis or other lower leg component from the amputee's residual limb. Other types of adaptors, such as a double head adaptor or a pyramid adaptor, are used to accommodate various situations such as when distance, or the length of the residual limb, is a problem.

[0004] Some currently available shuttle lock components utilized in below-knee prosthesis designs consist of a ratchet style or clutch style cylindrical body portion having a hole for receipt of the clutch pin, which is typically connected to the suspension liner. The body includes a clutch mechanism to disengage a gear located within the cylindrical body from the clutch pin. A problem with existing types of shuttle lock designs is that the cylindrical body must become integral and permanently molded to the prosthetic socket during fabrication. If the cylindrical body is improperly positioned during fabrication, the pin may not align easily and consistently with the shuttle lock latching mechanism. The only alternative is either to refabricate the socket, which can be time consuming and generate additional costs, or try to train the patient to overcome the difficulty he faces in donning the prosthesis. An example of an existing shuttle lock is disclosed in U.S. Patent No. 5,888,234, issued March 30, 1999 to

Littig, the entirety of which is hereby incorporated by reference.

[0005] Consequently, there exists a need for a new and improved prosthetic adaptor for a prosthesis that can be positioned and repositioned at any time on the distal socket to provide on-axis alignment of the adaptor and hence, the prosthetic device, to alleviate patient frustration and eliminate rejection of an improperly aligned socket.

### Summary

[0006] The present invention is directed to a prosthetic adaptor that provides for rotation that enables an amputee to place a prosthesis into proper axial alignment without removing the entire prosthetic device from the amputee's residual limb.

[0007] In one aspect, the invention can be a prosthetic adapter comprising: a first component adapted to be coupled to a residual limb; a second component adapted to be coupled to a prosthesis, the first and second components adapted to be repetitively coupled and separated from one another; the first component or the second component comprising a collar having an outer surface and an inner surface, the inner surface forming a central cavity about a first central axis; the other one of the first component or the second component comprising a body portion and a cylindrical hub extending from the body portion along a second central axis, the cylindrical hub positioned in the central cavity so that the first and second central axes are substantially coaxial; and an anti-rotation member adjustable between: (1) a first state in which the anti-rotation member does not obstruct the cylindrical hub from being translated along the first central axis out of the central cavity; (2) a second state in which the anti-rotation member prohibits the cylindrical hub from being translated along the first central axis out of the central cavity while allowing the cylindrical hub to rotate within the central cavity of the collar about the first central axis; and (3) a third state in which the anti-rotation member prohibits the cylindrical hub from being translated along the first central axis out of the central cavity of the collar and prohibits rotation of the cylindrical hub within the central cavity of the collar about the first central axis.

[0008] In another aspect, the invention can be a prosthetic adapter comprising: a first component adapted to be coupled to a residual limb; a second component adapted to be coupled to a prosthesis; the first component or the second component comprising a collar having an outer surface and an inner surface, the inner surface forming a central cavity about a first central axis; the other one of the first component or the second component comprising a body portion and a hub extending from the body portion along a second central axis, the

hub having a flange extending transversely from the hub, the flange spaced from the body portion so that a groove is formed between the body portion and the flange; the flange having a circular transverse cross-sectional profile having a first diameter, the inner surface of the collar having a circular transverse cross-sectional profile having a second diameter, wherein the first and second diameters are substantially equal, the hub positioned in the central cavity so that the first and second central axes are substantially coaxial; and an element extending through the collar and adjustable between: (1) a retracted state in which the element does not protrude from the inner surface of the collar; and (2) an anti-rotation state in which a tip portion of the element extends into the annular groove and engages a floor of the annular groove.

[0009] In yet another aspect, the invention can be a prosthetic adapter comprising: a first component adapted to be coupled to a residual limb; a second component adapted to be coupled to a prosthesis, the first and second components adapted to be repetitively coupled and separated from one another; the first component or the second component comprising a collar having an outer surface and an inner surface, the inner surface forming a central cavity formed about a first central axis; the other one of the first component or the second component comprising a body portion and a hub extending from the body portion along a second central axis, the hub positioned in the central cavity so that the first and second central axes are substantially coaxial; wherein when the hub is positioned within the central cavity of the collar, the hub has only two degrees of freedom, a first of the two degrees of freedom being rotation about the first central axis, and a second degree of the two degrees freedom being translation along the first central axis.

### **Brief Description of the Drawings**

[0010] Figure 1 is a cross-sectional schematic of a rotatable prosthetic adapter according to one embodiment of the present invention coupling a prosthetic foot to a residual limb.

[0011] Figure 2 is a bottom perspective view of the collar component and the hub component of the rotatable prosthetic of FIG. 1 in an assembled state.

[0012] Figure 3 is a top perspective view of the assembled collar component and hub component of FIG. 2.

[0013] Figure 4 is a bottom perspective view of the collar component and hub component of FIG. 2 in an exploded state, with the addition of a clutch pin.

[0014] Figure 5 is a top perspective view of the collar component and hub component of FIG.

2 in an exploded state, with the addition of a clutch pin.

[0015] Figure 6 is a vertical cross-sectional view of the collar component and shuttle lock component of FIG. 5 taken along the central axes.

[0016] Figure 7 is a cross-sectional schematic of the rotatable prosthetic adapter of FIG. 1 coupled to a residual limb, wherein the hub component is separated from the collar component.

[0017] Figure 8 is a cross-sectional schematic of the rotatable prosthetic adapter of FIG. 1 coupled to a residual limb wherein the hub component is mated with the collar component and the anti-rotation member is in a retracted state.

[0018] Figure 9 is a cross-sectional schematic of the rotatable prosthetic adapter of FIG. 8, wherein the anti-rotation member has been adjusted to be in an anti-rotation state.

[0019] Figure 10 is a top perspective view of a rotatable prosthetic adapter according to a second embodiment of the present invention.

[0020] Figure 11 is a top perspective view of the rotatable prosthetic adapter of FIG. 10 in an exploded state.

[0021] Figure 12 is a cross-sectional view of the rotatable prosthetic adapter of FIG. 11 along the central axes.

[0022] Figure 13 is a cross-sectional view of the rotatable prosthetic adapter of FIG. 10 along the central axes wherein the hub component is mated with the collar component and the anti-rotation member is in a retracted state.

[0023] Figure 14 is a cross-sectional schematic of the rotatable prosthetic adapter of FIG. 13 wherein the anti-rotation member has been adjusted to be in an anti-rotation state.

### Detailed Description of the Drawings

[0024] Referring first to FIG. 1, a rotatable prosthetic adapter **1000** according to one embodiment of the present invention is illustrated. The rotatable prosthetic adapter **1000** is illustrated in a disassembled state wherein its components are arranged in axial alignment along longitudinal axis **A-A** for assembly and the coupling of a prosthetic foot **10** to a residual limb **20**. While the rotatable prosthetic adapter **1000** is exemplified as being used to attach a prosthetic foot **10** to the residual limb **10**, it is to be understood that the invention is in no way limited by the type of prosthesis used. Moreover, based on the disclosure of the present application, those skilled in the art will appreciate that the inventive concepts discussed herein can be incorporated into a wide variety of prosthetic adapter types.

[0025] The rotatable prosthetic adapter **1000** generally comprises a sleeve assembly **100**, a collar component **200**, and a hub component **300**. In the illustrated embodiment, the hub component **300** is of the shuttle lock design. The sleeve assembly **100** comprises a flexible liner **110** and socket **120**. The flexible liner **110** is a closed-end sleeve that fits snugly over the residual limb **20**. The flexible liner **110** is preferably constructed of a gel, elastomeric, or other soft material to provide a cushioning layer for the residual limb **20**. A clutch pin **115** is fixed to the flexible liner **110** and extends from the distal end of the residual limb **20** along the longitudinal axis **A-A**.

[0026] The clutch pin **115** is an elongated cylindrical structure comprising a threaded portion **116** and a serrated portion **117**. The threaded portion **116** comprises helical threads that are used to secure the clutch pin **115** to a nut **111** that is embedded within the flexible liner **110**. Of course, the clutch pin **115** can be fixed to the flexible liner by a wide variety of techniques that are known to those skilled in the art. The serrated portion **117** comprises a plurality of axially spaced ring-like serrations **118** for operably engaging a gear **352** of the clutch mechanism **350** of the hub component **300** (discussed in greater below). Of course, the exact structure of the clutch pin **115** can take on a wide variety of embodiments, none of which are to be considered limiting of the present invention.

[0027] The socket **120** is a rigid (or semi-rigid) sleeve structure that fits over the flexible liner **110**. The socket **120** forms an internal receiving cavity **121** in which at least a portion of the residual limb **20** of the user nests. The socket **120** is preferably constructed of a material, such as carbon fiber, rigid plastics, or lightweight materials having sufficient rigidity and structural integrity. Of course, other materials can be used to construct the socket **120** and are known to those skilled in the art. The socket **120** (i.e., the internal receiving cavity **121**) is preferably designed to be specific to the size and shape of the user's residual limb **20** to maximize comfort and the ability to control the prosthesis **10**. The socket **120** further comprises an opening **122** at the distal end of the residual limb **20** through which the clutch pin **115** extends.

[0028] Referring to FIGS. 1 and 3, the collar component **200** is fixed to the sleeve assembly **100**. More specifically, the collar component **200** is fixed to the socket **120** of the sleeve assembly **100** through a combination of fasteners **15** and a laminate **16**. Suitable fasteners **15** include without limitation rivets, nails, screws, bolts, and/or clamps. Any number of fasteners **15** can be used in conjunction with, or instead of, the laminate **16**. Similarly, any number of laminates **16** can also be used in conjunction with, or instead of, the fasteners **15**.

Moreover, it is also possible to use other techniques to fix the collar component **200** to the socket **120**, including without limitation, adhesives, thermal bonds, welding, chemical bonds, clamps, mechanical interference connections and/or combinations thereof. Furthermore, it is also contemplated that the collar component **200** could be integrally formed with the socket **120** if desired. Such an integral construction is within the scope of the present invention, unless specifically stated otherwise.

[0029] To assist with the fixing (and relative positioning) of the collar component **200** to the socket **120**, the collar component **200** comprises a cup-shaped flange **201**. The cup-shaped flange **201** has an upper concave surface **202** that forms a cup-shaped depression (or cavity) **203**. The cup-shaped depression **203** provides a nesting volume in which the distal-most portion of the socket **120** can be positioned.

[0030] The cup-shaped flange **201** is an annular flange that circumferentially surrounds and extends laterally from the longitudinal axis **A-A**. It should be noted at this point that, for purposes of simplification in this detailed description, the reference axis **A-A** is interchangeable with both the first central axis **I-I** (FIG. 6) of the collar component **200** and the second central axis **II-II** (FIG. 6) of the hub component **300**. The longitudinal axis **A-A** is used for reference purposes when the first central axis **I-I** of the collar component **200** and the second central axis **II-II** are coaxial. However, in certain instances, the distinction between the first and second central axes **I-I** and **II-II** (FIG. 6) must be made because, when the collar component **200** and the hub component **300** are not assembled, it is possible to rotate one or both of the components **200**, **300** so that the first and second central axes **I-I** and **II-II** (FIG. 6) are no longer coaxial. With this in mind, we return to our discussion of the cup-shaped flange **201** of the collar component **200**.

[0031] In the exemplified embodiment, the cup-shaped flange **201** comprises four circumferentially spaced apart segments **201a-d** located 90 degrees apart from one another. Each of the flange segments **201a-d** comprises a fastener hole **204** through which one of the fasteners **15** extend when fixing the collar component to the socket **120**. Of course, more or less than four flange segments could be implemented as desired. Furthermore, the cup-shaped flange **201** could also be a continuous (i.e., non segmented) structure if desired. With respect to the lamination, the cup-shaped flange **201** acts as an anchoring structure for the collar component **200** in that is covered by the laminate **16** while the collar **205** protrudes through the laminate **16**. The collar component **200** will be described in much greater detail with respect to FIGS. 2-6.

[0032] Referring again solely to FIG. 1, the prosthetic adapter **1000** also comprises a hub component **300** that is coupled to a prosthetic **10**. This coupling can either be a direct coupling in which the hub component **300** is coupled directly to the prosthetic **10** without the existence of intervening parts (as illustrated in FIG. 1) or an indirect coupling in which one or more couplers, other adapters or intermediary members are disposed. Similarly, the coupling between the collar component **200** and the residual limb **20** can likewise be a direct or indirect coupling.

[0033] The hub component **300** generally comprises a hub **301**, a body portion **302**, and a male adapter block **303**. In the exemplified embodiment, the male adapter block **303** is a pyramid block, the type of which is known in the art. The pyramid block **303** and the hub **301** are located on opposite ends (top and bottom) of the body portion **302**. The hub component **300** is coupled, at one end, to the collar component **200** through mating of the hub **301** and the collar **205**, and, at the other end, to the prosthetic **10** through mating of the pyramid block **303** and a pyramid block receiving cavity **9** of the prosthetic **10**. The mating pyramid blocks with the pyramid block receiving cavities is known in the art and requires no further discussion. The mating of the hub **301** and the collar **205**, however, will be described in much greater detail below with respect to FIGS. 7-9.

[0034] While the hub component **200** comprises a male connector block **303**, in the form of a pyramid block, to couple to the prosthetic **10**, the male connector block **303** may be replaced with a female receiving cavity in alternative embodiments, or with other types of male connecting blocks. In still further embodiments, the body portion **302** of the hub component **300** opposite the hub **301** can be adapted to be coupled to a prosthetic **10** via other structures and/or technique, now existing or later developed. For example, the body portion **302** can further comprise a clamp, a threaded fitting, a snap-fit mechanism, a tight-fit mechanism, twist-and-lock mechanism, a cotter pin mechanism, and/or combinations thereof.

[0035] Referring now to FIGS. 2-6 concurrently, the structural details of the collar component **200** and the hub component **300** will be described in greater deal. Turning first to the collar component **200**, the collar component **200** comprises a collar **205** and a cup-shaped flange **201** as mentioned above. Preferably, the collar **205** and the cup-shaped flange **201** are integrally formed so as to be a unitary structure. In one preferred embodiment, the collar **205** and cup-shaped flange **201** are constructed of a metal (which includes metal alloys). Suitable metals may include without limitation steel and aluminum. Of course other metals and materials may be used, including plastics, ceramics, composite material, and/or combinations



thereof. Additionally, in certain alternative embodiments, the collar **205** and cup-shaped flange **201** may be separate structures that are fixed together by welding, fastening or other techniques.

[0036] The collar **205** is a ring-like structure comprising an outer surface **207** and an inner surface **208**. The inner surface **208** of the collar **200** forms a central cavity **209** about the first central axis **I-I** (FIG. 6) (which corresponds to the longitudinal axis **A-A** in FIGS. 2-5). The central cavity **209** is a cylindrical cavity having a circular transverse cross-sectional profile delimited by the inner surface **208**. The circular transverse cross-sectional profile of the central cavity **209** (or though of another way the inner surface **208**) has a first diameter **D<sub>1</sub>**. The inner surface **208** forms an annular wall that circumferentially surrounds the first central axis **I-I** (FIG. 6) (which corresponds to the longitudinal axis **A-A** in FIGS. 2-5). A bottom edge **210** of the inner surface **208** is chamfered to help assist with guiding the hub **301** of the hub component **300** into the central cavity **209** during assembly of the collar and hub components **200, 300** together.

[0037] The collar **205** further comprises a plurality of threaded holes **211** extending transversely through the collar **205**. The threaded holes **211** extend through the collar **205** from the outer surface **207** to the inner surface **208**, thereby forming passageways from outside of the collar **205** to the central cavity **209**. The inner surface of each of the threaded holes **211** comprises helical threads for threadably engaging a set screw **212**. The threaded holes **211** are arranged about the collar **205** in a circumferentially equi-spaced manner. In the exemplified embodiment, there are three threaded holes **211** arranged at 120 degree intervals about the collar **205**. In alternative embodiments, more or less threaded holes **211** may be provided as desired, and in non-symmetric spacing arrangements.

[0038] A plurality of set screws **212** are also provided. A set screw **212** is positioned within each of the threaded holes **211** in a threadably engaged manner. The set screws **212** comprise a tip portion **213** and a head portion **214**. The tip portion **213** has a tapered profile in the form of a truncated cone. The head portion **214** comprises an actuator so that a user can manually turn the set screw **212** by hand or with the use of a tool. In the exemplified embodiment, the actuator is in the form of hex cavity for receiving an appropriate bit or wrench. In alternative embodiments, the actuator can take on wide variety of shapes and mechanisms, the number of which is too great to mention here but is well known to those skilled in the art.

[0039] As used herein, the term set screw is not limited to short cylinder screws as exemplified but is intended to include all types of bolts, screws, or other cylindrical bodies

that can be translated along their axis through rotation about that axis. As will be discussed in greater detail below with respect to FIGS. 7-9, the set screws **212** are anti-rotation members that can be adjusted between different states to achieve different axial locking and anti-rotation effects on the hub **301** when the hub **301** is positioned within the central cavity **209**.

[0040] The collar **205** further comprises a bottom surface **215** and a top **206**. The cup-shaped flange **201** is located at and extends laterally outward from the top **206** of the collar **205**. As mentioned above, the cup-shaped flange **201** forms a cup-shaped depression **203** for receiving the socket **120**. The cup-shaped cavity **203** is in spatial cooperation/communication with the central cavity **209** so that a passageway is formed through the entire collar component **200** along the first central axis **I-I** (FIG. 6) (which corresponds to the longitudinal axis **A-A** in FIGS. 2-5).

[0041] Referring still to FIGS. 2-6 concurrently, we now turn to the hub component **200**. Generally speaking, the hub component **200** is of the kind that is generally referred to in the industry as a shuttle lock design. The hub component **200** generally comprises a hub **301**, a body portion **302**, and a pyramid block **303**. The body portion **302** comprises a top surface **304** and a bottom surface **305**. The hub **301** extends from the top surface **304** along the second central axis **II-II** (FIG. 6) (which corresponds to the longitudinal axis **A-A** in FIGS. 2-5). The hub **301** is a cylindrical structure extending from the top surface **304** of the body portion **302**. The hub **301** is centrally located on the body portion **302** and has a transverse cross-sectional profile at its base that is smaller than that of the body portion **302** at the top surface **304**. As a result, the top surface **304** of the body portion **302** forms an annular shoulder that extends transversely outward from the hub **301** orthogonally to the second central axis **II-II** (FIG. 6) (which corresponds to the longitudinal axis **A-A** in FIGS. 2-5).

[0042] The hub **301** comprises an annular flange **306** extending from a lateral surface of the hub **301** that circumferentially surrounds the second central axis **II-II** (FIG. 6) (which corresponds to the longitudinal axis **A-A** in FIGS. 2-5). The annular flange **306** is located at the top of the hub **301** and is axially spaced apart from the top surface **304** of the body portion **302**. The hub **301** further comprises an annular groove **308** formed into the lateral surface below the annular flange **306** that circumferentially surrounds the second central axis **II-II** (FIG. 6) (which corresponds to the longitudinal axis **A-A** in FIGS. 2-5). In the exemplified embodiment, the sidewalls of the annular groove **308** are formed by a bottom surface **309** of the annular flange **306** and the top surface **304** of the body portion **302**. In

alternative embodiments, however, the annular groove **308** may be formed as an isolated channel formed into the lateral surface of the hub **301** at a location where the channel's sidewalls will not be formed by the bottom surface **309** of the annular flange **306** or the top surface **304** of the body portion **302**, but rather by surfaces created in the body of the hub **301** itself by the creation of the channel. Furthermore, while both the annular groove **308** and the annular flange **306** are preferably continuous and uninterrupted in nature, it may be possible to form these features as segmented structures or interrupted series of grooves or depressions.

[0043] The annular groove **308** comprises a floor **307**. The floor **307** comprises a portion **307A** that is inclined relative to the second central axis **II-II** (FIG. 6) (which corresponds to the longitudinal axis **A-A** in FIGS. 2-5). The portion **307A** is preferably inclined relative to the second central axis **II-II** in an amount between 1° and 5°, and more preferably 2°. Of course, the invention is not to be so limited. In the exemplified embodiment, the inclined portion **307A** has a transverse cross-sectional profile that tapers in size moving toward the body portion **302**. In the preferred embodiment, the section of the hub **301** that forms the inclined portion **307A** of the floor **307** of the annular groove **308** has a circular transverse cross-sectional profile that decreases in diameter moving toward the body portion **302**.

Thought of another way, the inclined portion **307A** in this embodiment would form a truncated-cone shape that tapers to a smaller transverse cross-sectional area moving toward the body portion **302**.

[0044] As discussed below, the inclined nature of the tapered portion **307A** of the floor **307** of the annular groove **308** provides an improved connection between the collar component **200** and the hub component **300**, and a substantial increase in the structural integrity when these components **200**, **300** are assembled. In an alternative embodiment, the inclined portion **307A** may have a contoured axial profile rather than a linear angled axial profile. Moreover, in other embodiments the inclined portion **307A** may have other transverse cross-sectional profile shapes.

[0045] The floor **307** further comprises a section **307B** that is parallel relative to the second central axis **II-II** (FIG. 6) (which corresponds to the longitudinal axis **A-A** in FIGS. 2-5). This parallel section **307B** is located below the inclined section **307A**. In other embodiments, the entire floor **307** may be inclined or parallel as desired.

[0046] The annular flange **306** has a circular transverse cross-sectional profile having a second diameter **D<sub>2</sub>**. The second diameter **D<sub>2</sub>** is larger than the largest diameter of the transverse cross-sectional profile of the floor **307** of the annular groove **308**. The hub **301** is

also designed so that the second diameter  $D_2$  is substantially equal to the first diameter  $D_1$  of the transverse cross-sectional profile of the inner surface 208 of the collar 205. By making the second diameter  $D_2$  substantially equal to the first diameter  $D_1$ , when the hub 301 is positioned within the central cavity 209 (as in FIGS. 2, 3 and 8), the first and second central axes **I-I** and **II-II** will be in coaxial alignment and the hub 301 will not be able to be rotated to move the first and second central axes **I-I** and **II-II** out of coaxial alignment without first removing the hub 301 from the central cavity 209. Thought of another way, by making the second diameter  $D_2$  substantially equal to the first diameter  $D_1$ , when the hub 301 is positioned within the central cavity 209, the hub 301 has only two degrees of freedom, namely rotation about the first central axis **I-I** and translation along the first central axis **I-I**. Of course, as understood by those skilled in the art, a certain minimum tolerance between first diameter  $D_1$  and the second diameter  $D_2$  must be allotted to ensure that the hub 301 fits within the central cavity 308. The top edge 310 of the hub 301 is chamfered to assist with guiding the hub 301 into the central cavity 209 during assembly of the collar and hub components 200, 300.

[0047] The hub component 300 further comprises an axial passageway 311 extending along the second central axis **II-II** (FIG. 6) (which corresponds to the longitudinal axis **A-A** in FIGS. 2-5). The axial passageway 311 forms a passageway through the hub 301, body portion 302, and pyramid block 303 of the hub component 300. The axial passageway 311 is provided to receive the clutch pin 115 of the sleeve assembly 100 when the hub component 300 is coupled to the collar component 200. The axial passageway 311 comprises a funnel shaped section 312 and a constant cross sectional area section 313 (FIG. 6).

[0048] The hub component 300 also comprises a clutch mechanism 350. The clutch mechanism comprises a helical reset spring 351, a locking gear 352, a one way bearing 353 (such as a sprag clutch), a push rod 354, and an actuator 355. The clutch mechanism 350 is slidably inserted into a transverse passageway 340 that extends from an outer surface of the body portion 302 to the axial passageway 311. The clutch mechanism 350 is slidably inserted into the transverse passageway 340 in the order of the reset spring 351, the locking gear 352, the one way bearing 353 and the push rod 354, which extends through the one way bearing 353. When the clutch mechanism 350 is assembled within the body portion 303, the spring 351 biases the locking gear 352 into a first position in which its teeth extend into the axial passageway 311. When the clutch pin 115 is inserted into the axial passageway 311, the serrated portion 117 of the clutch pin 115 is operably engaged by the locking gear 352.

Because of the one way bearing **353**, the clutch pin **115** can be translated only in a single direction along the second central axis **II-II** (FIG. 6) (which corresponds to the longitudinal axis **A-A** in FIGS. 2-5). When so assembled, the clutch mechanism **350** is actuatable (by pressing the actuator **355**) between this first position in which the clutch pin **115** is engaged by the locking gear **352** and a second position in which the locking gear **352** is translated to disengage the clutch pin **115**, thereby allowing the clutch pin **115** to be retracted from the axial passageway **311**.

[0049] The body portion **302** further comprises a cleaning passageway **341** extending from the outer surface of the body portion to the transverse passageway **340** so that the internal components of the clutch mechanism **350** can be cleaned without the need for disassembly.

[0050] Preferably, the hub **301**, the body portion **302** and the pyramid block **303** are integrally formed so as to be a unitary structure. In one preferred embodiment, the hub **301**, body portion **302** and pyramid block **303** are constructed of a metal (which includes metal alloys). Suitable metals may include without limitation steel and aluminum. Of course other metals and materials may be used, including plastics, ceramics, composite material, and/or combinations thereof. Additionally, in certain alternative embodiments, the hub **301**, the body portion **302** and the pyramid block **303** may be separate structures that are fixed together by welding, fastening or other techniques. Finally, a sheath **345** constructed of a different material than the hub **301**, the body portion **302** and the pyramid block **303** may be disposed within body portion **302** to form the axial passageway **311**. The sheath **345** can be formed of a plastic or other material that provides a reduced frictional surface for contact with the clutch pin **115** to reduce wear over time.

[0051] Referring now to FIGS. 7-9, the assembly of the collar component **200** and the hub component **300** of the rotatable prosthetic adapter **1000** will be discussed. Beginning with FIG. 7, when a user desires to use the rotatable prosthetic **1000**, he/she first couples the collar component **200** to the residual limb **20** using the sleeve assembly **100** so that the clutch pin **115** extends along the first central axis **I-I** of the collar component **200**, as discussed above with respect to FIG. 1. The user also couples the hub component **300** to the desired prosthesis **10** (omitted from FIGS. 7-9 for clarity) using the pyramid block **303**, as also discussed above with respect to FIG. 1. If necessary, the set screws **212** are adjusted to ensure that the set screws **212** are in a retracted state in which the tip portions **213** of the set screws **212** do not protrude from the inner surface **208** of the collar **205** (i.e., into the central cavity **209**). When in the retracted state, the set screws **212** do not interfere with or obstruct

the hub **201** from being fully inserted fully into (or removed from) the central cavity **209** of the collar **205**.

[0052] Once this is done, the hub component **200** is aligned with the collar component **300** so that first and second central axes **I-I** and **II-II** are substantially coaxial. The hub component **200** is then translated in a direction along the second central axis **II-II**, indicated by arrow **B**.

[0053] The translation of the hub component **200** along the second central axis **II-II** is continued until the hub **301** slides into the central cavity **209** of the collar **205** so that the bottom surface **215** of the collar **205** of the collar component **200** is in surface contact with the top surface **304** of the body portion **303** of the hub component **200**, thereby forming an interface therebetween. Concurrently during this translation, the clutch pin **115** also slides into axial passageway **311** of the hub component **300**, thereby engaging the locking gear **352** as discussed above. At this stage, the hub **302** is positioned within the central cavity of the collar **205**, and the clutch pin **115** is positioned within the axial passageway **311**, as illustrated in FIG. 8.

[0054] Referring now to FIG. 8, the hub component **200** is assembled to the collar component **300** by the positioning of the hub **302** within the central cavity **209** of the collar **205**. However, the set screws **212** remain in their retracted state. As mentioned above, even in this arrangement, because the transverse cross-sectional profiles of the inner surface **208** of the collar **205** and the annular flange **306** have substantially the same diameter, the first and second central axes **I-I** and **II-II** are forced into coaxial alignment and the hub **301** can not be rotated to move the first and second central axes **I-I** and **II-II** out of coaxial alignment without first removing the hub **301** from the central cavity **209**. Thought of another way, as illustrated in FIG. 8, the hub **301** has only two degrees of freedom, namely rotation about the first central axis **I-I** and translation along the first central axis **I-I** out of the central cavity **209**. As can be seen, at this stage, the annular flange **306** is located above the set screws **212** (and threaded holes **211**) and the annular groove **308** is in transverse alignment with the set screws **212** (and threaded holes **211**).

[0055] Once the relative positioning of FIG. 8 is achieved, the user adjusts the set screws **212** from the retracted state into an anti-rotation state in which the tip portions **213** of the set screws **212** protrude from the inner surface **208** of the collar **205**, move into the annular groove **308** of the hub **301**, and engage the inclined portion **307A** of the floor **307** of the annular groove **308**. This arrangement is illustrated in FIG. 9.

[0056] Referring now to FIG. 9, when the set screws **212** are in the anti-rotation state, the

hub **301** is prohibited from rotating about the first central axis **I-I** within the central cavity **209** of the collar **205**. Additionally, the contact between the tip portions **213** of the set screws **212** and the inclined portions **307A** of the floor **307** of the annular groove **308** urges the hub component **300** and the collar component **200** together, thereby providing an improved connection and rigidity between the two components **200, 300**. The inclined nature of the floor portion **307A** results in the set screws **212** generating an axial force on the hub component **300** as the set screws are tightened, thereby further forcing the hub component **300** and the collar component **200** to pull closer together. As a result, the engagement between the hub component **300** and the collar component **200** becomes stronger and relative rotation between the two components **200, 300** is prohibited.

[0057] While not illustrated, it is important to understand that in the event the prosthesis **10** were to be undesirably rotated about the longitudinal axis **A-A** during use, the prosthetic adapter **1000** of the present invention allows for a quick and discreet rotational adjustment of the prosthesis **10** without the danger of the prosthesis **10** becoming completely separated from the residual limb **20**. This is accomplished by adjusting the set screws **212** to an intermediate state between the retracted state and the anti-rotation state in which the tip portions **213** of the set screws **212** extend into the annular groove **308** of the hub **301** but do not engage the floor **307**. In this state, referred to as the axial locking state, the hub **301** can rotate about the first central axis **I-I** but is prohibited from translating along the first central axis **I-I** out of the central cavity **209** due to contact between the tip portions **213** of the set screws **212** and the annular flange **306**.

[0058] Referring now to FIGS. 10-14 concurrently, a rotatable prosthetic adapter **2000** according to a second embodiment of the present invention is illustrated. The rotatable prosthetic adapter **2000** of FIGS. 10-14 is identical to the rotatable prosthetic adapter **1000** of FIGS. 1-9 with the exception that: (1) the collar component **2200** comprises a pyramid block receiver **2500** rather than an annular flange; and (2) the hub component **2300** comprises a pyramid block receiver **2600** as its body portion rather than a shuttle-lock. Thus in order to avoid redundancy, only those aspects of the rotatable prosthetic adapter **2000** that are different than the rotatable prosthetic adapter **1000** of FIGS. 1-9 will be discussed herein with the understanding that the aforementioned discussion of FIGS. 1-9 is applicable. Moreover, in FIGS. 10-14, like numbers are used to identify like elements with the exception that the numbers are in the "2000" series. For example, "the tapered portion **307A** of the floor **307** of the annular groove **308**" from FIGS. 1-9 corresponds to "the tapered portion **2307A** of the

floor **2307** of the annular groove **2308**" from FIGS. 10-14.

[0059] The rotatable prosthetic adapter **2000** comprises a collar component **2200** and a hub component **2300**. The collar component **2200** is a tubular structure comprising a pyramid block receiver **2500** and a collar **2205**. The pyramid block receiver **2500** forms an upper portion of the collar component **2200** while the collar **2205** forms a lower portion of the collar component **2200**. The pyramid block receiver **2500** extends from a top of the collar **2205** and is integrally formed therewith. The pyramid block receiver **2500** is an annular and slight conical structure comprising a pyramid block receiving cavity **2550** that is sized and shaped to receive a male pyramid block. The pyramid block receiving cavity **2550** is in spatial communication with the central cavity **2209** of the collar **2205**. The pyramid block receiver **2500** comprises a plurality of threaded holes **2211** arranged in spaced apart manner and aligned at a non-normal angle relative to the first central axis **I-I**. Set screws **212** threadly engage the threaded holes **2211** of the pyramid block receiving cavity **2550**.

[0060] The hub component **2300** is a tubular structure comprising a hub **2301** and a pyramid block receiver **2600**. The hub **2301** forms an upper portion of the hub component **2300** while the pyramid block receiver **2600** forms a lower portion of the hub component **2300**. The hub **2301** extends from a top surface **2304** of the pyramid block receiver **2600** and is integrally formed therewith. The pyramid block receiver **2600** is an annular and slight conical structure comprising a pyramid block receiving cavity **2650** that is sized and shaped to receive a male pyramid block. The pyramid block receiving cavity **2650** is in spatial communication with a passageway **2311** of the hub **2301**. The pyramid block receiver **2600** comprises a plurality of threaded holes **2211** arranged in spaced apart manner and aligned at a non-normal angle relative to the first central axis **I-I**. Set screws **2212** threadly engage the threaded holes **2211** of the pyramid block receiving cavity **2550**.

[0061] The floor **2307** of the hub **2301** is formed only of an inclined portion **2307A** that is preferably angled between  $1^{\circ}$  and  $5^{\circ}$  relative to the axis **II-II**, and more preferably  $2^{\circ}$  relative to the axis **II-II**. Of course, the invention is not so limited and the floor **2307** of the hub **2301** may have an inclined portion and a portion that is parallel to the axis **II-II** as described above.

[0062] The hub **2301** of the hub component **2300** mates with the central cavity **2209** of the collar **2205** of the collar component **2200** in the exact same manner as that set forth above with respect to FIGS. 1-9. Additionally, while both of the hub component **2300** and the collar component **2200** comprise a pyramid block receiver, either or both of these pyramid



block receivers can be replaced by a male pyramid block or another type of coupling or linking structure as desired. It should become apparent from the discussion set forth herein that the hub and collar coupling concept of the present invention can be used with almost any other structure.

[0063] Finally, in the embodiments of the rotatable prosthetic adapters **1000**, **2000** illustrated in FIGS. 1-14, set screws are the exemplified anti-rotation member that is adjustable to achieve the desired retracted, axial-locking, and anti-rotation states. The invention, however, is not limited to the use of set screws as the anti-rotation member. In other embodiments, the anti-rotation member may take the form of one or more spring-loaded cylindrical pins that extend through the collar. In such an embodiment, the spring-loaded cylindrical pins may be biased into the anti-rotations state and have a locking mechanism for holding the spring-loaded cylindrical pins in the retracted state during assembly. Additionally, in another embodiment, a clamp can be used to either adjust the engagement force imparted by the collar on the hub and/or to extend and retract protrusions into and out of the central cavity through adjustment of the clamp. Furthermore, while the anti-rotation member is preferably in the form of cylindrical element, such as a screw or pin, it is to be understood that the anti-rotation member can take on a wide variety of structures, including arcuate members, block-like members, or any protuberance.

[0064] While a number of embodiments of the current invention have been described and illustrated in detail, various alternatives and modifications will become readily apparent to those skilled in the art without departing from the spirit and scope of the invention. As various changes could be made in the above methods, compositions and structures without departing from the scope of the invention, it is intended that all matter contained in this application, including all mechanisms and/or modes of interaction described above, shall be interpreted as illustrative only and not limiting in any way the scope of the appended claims.

## Claims

What is claimed is:

1. A prosthetic adapter comprising:

a first component adapted to be coupled to a residual limb;

a second component adapted to be coupled to a prosthesis, the first and second components adapted to be repetitively coupled and separated from one another;

the first component or the second component comprising a collar having an outer surface and an inner surface, the inner surface forming a central cavity about a first central axis;

the other one of the first component or the second component comprising a body portion and a cylindrical hub extending from the body portion along a second central axis, the cylindrical hub positioned in the central cavity so that the first and second central axes are substantially coaxial; and

an anti-rotation member adjustable between: (1) a first state in which the anti-rotation member does not obstruct the cylindrical hub from being translated along the first central axis out of the central cavity; (2) a second state in which the anti-rotation member prohibits the cylindrical hub from being translated along the first central axis out of the central cavity while allowing the cylindrical hub to rotate within the central cavity of the collar about the first central axis; and (3) a third state in which the anti-rotation member prohibits the cylindrical hub from being translated along the first central axis out of the central cavity of the collar and prohibits rotation of the cylindrical hub within the central cavity of the collar about the first central axis.

2. The prosthetic adapter of claim 1 further comprising: the cylindrical hub comprising: an annular groove circumferentially surrounding the second central axis; and an annular flange circumferentially surrounding the second central axis, the annular groove located between the annular flange and the body portion; the anti-rotation member comprising at least one cylindrical element that extends through a hole extending from the outer surface of the collar to the inner surface of the collar; and wherein, in the first state, the cylindrical element does not protrude from the inner surface of the collar, and, in the second state, a tip portion of the cylindrical element extends into the annular groove but does not contact a floor of the annular

groove, and, in the third state, the tip portion of the set screw engages the floor of the annular groove.

3. The prosthetic adapter of claim 2 further comprising: at least a portion of the floor of the annular groove being inclined relative to the second central axis; the inclined portion having a circular transverse cross-sectional profile that tapers in size moving toward the body portion; and wherein, in the third state, the tip portion of the of the cylindrical element engages the inclined portion of the floor of the annular groove, thereby urging the first and second components together.

4. The prosthetic adapter of claim 3 wherein the cylindrical element is a set screw and the hole is threaded, the set screw in threaded cooperation within the threaded hole.

5. The prosthetic adapter of claim 1 further comprising: a sleeve assembly having a cavity in which the residual limb nests, the sleeve assembly comprising a pin extending therefrom, the first component comprising the collar and fixed to the sleeve assembly so that the pin extends through the central cavity of the collar along the first central axis.

6. The prosthetic adapter of claim 5 wherein the sleeve assembly further comprises a flexible liner and a socket, and wherein the first component comprises a cup-shaped flange extending from a top edge of the collar forming a cup-shaped depression in spatial communication with the central cavity, the socket nesting within the cup-shaped depression and the first component fixed to the socket, and wherein the pin is fixed to the flexible liner.

7. The prosthetic adapter of claim 5 wherein the second component comprises the cylindrical hub and the body portion, and wherein the second component is a shuttle lock comprising: an axial passageway extending along the second central axis through the cylindrical hub and the body portion, the pin extending into the axial passageway, and a clutch mechanism extending through a transverse passageway, the clutch mechanism actuatable between a first position in which the pin is engaged by the clutch mechanism and a second position in which the pin is not engaged by the clutch mechanism.

8. The prosthetic adapter of claim 7 wherein when the clutch mechanism is in the first position, the pin can be translated only in a single direction along the second central axis.
9. The prosthetic adapter of claim 8 wherein the clutch mechanism comprises a rod extending transversely through the main body portion of the second component, a one-way bearing, a gear located at a distal end of the rod, and a helical spring that biases the gear into the first position.
10. The prosthetic adapter of claim 9 further comprising a cleaning passageway extending from the outer surface of the second component to the transverse passageway.
11. The prosthetic adapter of claim 1 wherein the first component comprises an upper portion and a lower portion, the upper portion of the first component comprising a first pyramid block receiving cavity, the lower portion of the first component comprising the collar, and wherein the second component comprises an upper portion and lower portion, the upper portion of the second component comprising the cylindrical hub, the lower portion of the second component comprising the body portion having a second pyramid block receiving cavity.
12. The prosthetic adapter of claim 1 wherein when the anti-rotation member is in the first state, the cylindrical hub can not be pivoted to move the first and second central axes out of coaxial alignment without translating the cylindrical hub at least partially out of the central cavity of the collar.
13. The prosthetic adapter of claim 1 wherein the collar comprises a bottom surface and the body portion comprises a top surface, the cylindrical hub extending from the top surface of the body portion, and wherein the bottom surface of the collar and the top surface of the body portion are in contact with one another so as to form an interface extending transversely to the first and second axes.
14. The prosthetic adapter of claim 1 further comprising: the cylindrical hub comprising: an annular flange circumferentially surrounding the second central axis, the annular flange

having a circular transverse cross-sectional profile having a first diameter; the inner surface of the collar having a circular transverse cross-sectional profile having a second diameter; and wherein the first and second diameters are substantially equal.

15. A prosthetic adapter comprising:

a first component adapted to be coupled to a residual limb;

a second component adapted to be coupled to a prosthesis;

the first component or the second component comprising a collar having an outer surface and an inner surface, the inner surface forming a central cavity about a first central axis;

the other one of the first component or the second component comprising a body portion and a hub extending from the body portion along a second central axis, the hub having a flange extending transversely from the hub, the flange spaced from the body portion so that a groove is formed between the body portion and the flange;

the flange having a circular transverse cross-sectional profile having a first diameter, the inner surface of the collar having a circular transverse cross-sectional profile having a second diameter, wherein the first and second diameters are substantially equal, the hub positioned in the central cavity so that the first and second central axes are substantially coaxial; and

an element extending through the collar and adjustable between: (1) a retracted state in which the element does not protrude from the inner surface of the collar; and (2) an anti-rotation state in which a tip portion of the element extends into the annular groove and engages a floor of the annular groove.

16. The prosthetic adapter of claim 15 further comprising: at least a portion of the floor of the groove being inclined relative to the second central axis; the inclined portion having a transverse cross-sectional profile that tapers in size moving toward the body portion; and wherein, in the anti-rotation state, the tip portion of the of the element engages the inclined portion of the floor of the annular groove, thereby urging the first and second components together.

17. The prosthetic adapter of claim 15 wherein the element is further adjustable between (1) the retracted state, (2) the anti-rotation state, and (3) an axial locking state in which the tip

portion of the element extends into the annular groove but does not contact the floor of the annular groove, thereby prohibiting the collar from being translated out of the central cavity of the collar while allowing the hub to rotate within the central cavity of the collar about the first central axis; and wherein the element is a set screw in threaded cooperation with a threaded hole of the collar.

18. The prosthetic adapter of claim 15 further comprising a sleeve assembly having a cavity in which the residual limb nests, the sleeve assembly comprising a pin extending therefrom, the first component comprising the collar and fixed to the sleeve assembly so that the pin extends through the central cavity of the collar along the first central axis; and wherein the first component comprises a cup-shaped flange extending from a top edge of the collar forming a cup-shaped cavity in spatial communication with the central cavity, and wherein the second component comprises the hub, and wherein the second component is a shuttle lock.

19. The prosthetic adapter of claim 15 wherein the first component comprises an upper portion and a lower portion, the upper portion of the first component comprising a first pyramid block receiving cavity, the lower portion of the first component comprising the collar; wherein the second component comprises an upper portion and lower portion, the upper portion of the second component comprising the hub, the lower portion of the second component comprising the body portion having a second pyramid block receiving cavity; wherein the upper portion of the first component comprises a plurality of set screws for operably engaging a pyramid block disposed within the first pyramid block receiving cavity; and wherein the lower portion of the second component comprises a plurality of set screws for operably engaging a pyramid block disposed within the second pyramid block receiving cavity.

20. A prosthetic adapter comprising:

- a first component adapted to be coupled to a residual limb;

- a second component adapted to be coupled to a prosthesis, the first and second components adapted to be repetitively coupled and separated from one another;

the first component or the second component comprising a collar having an outer surface and an inner surface, the inner surface forming a central cavity formed about a first central axis;

the other one of the first component or the second component comprising a body portion and a hub extending from the body portion along a second central axis, the hub positioned in the central cavity so that the first and second central axes are substantially coaxial;

wherein when the hub is positioned within the central cavity of the collar, the hub has only two degrees of freedom, a first of the two degrees of freedom being rotation about the first central axis, and a second degree of the two degrees freedom being translation along the first central axis.

21. The prosthetic adapter of claim 20 further comprising an anti-rotation member adjustable between: (1) a first state in which the anti-rotation member does not obstruct the hub from being translated out of the central cavity along the first central axis; (2) a second state in which the anti-rotation member prohibits the hub from being translated out of the central cavity of the collar along the first central axis while allowing the hub to rotate within the central cavity of the collar about the first central axis; and (3) a third state in which the anti-rotation member prohibits the hub from being translated out of the central cavity of the collar along the first central axis and prohibits rotation of the hub within the central cavity of the collar about the first central axis.

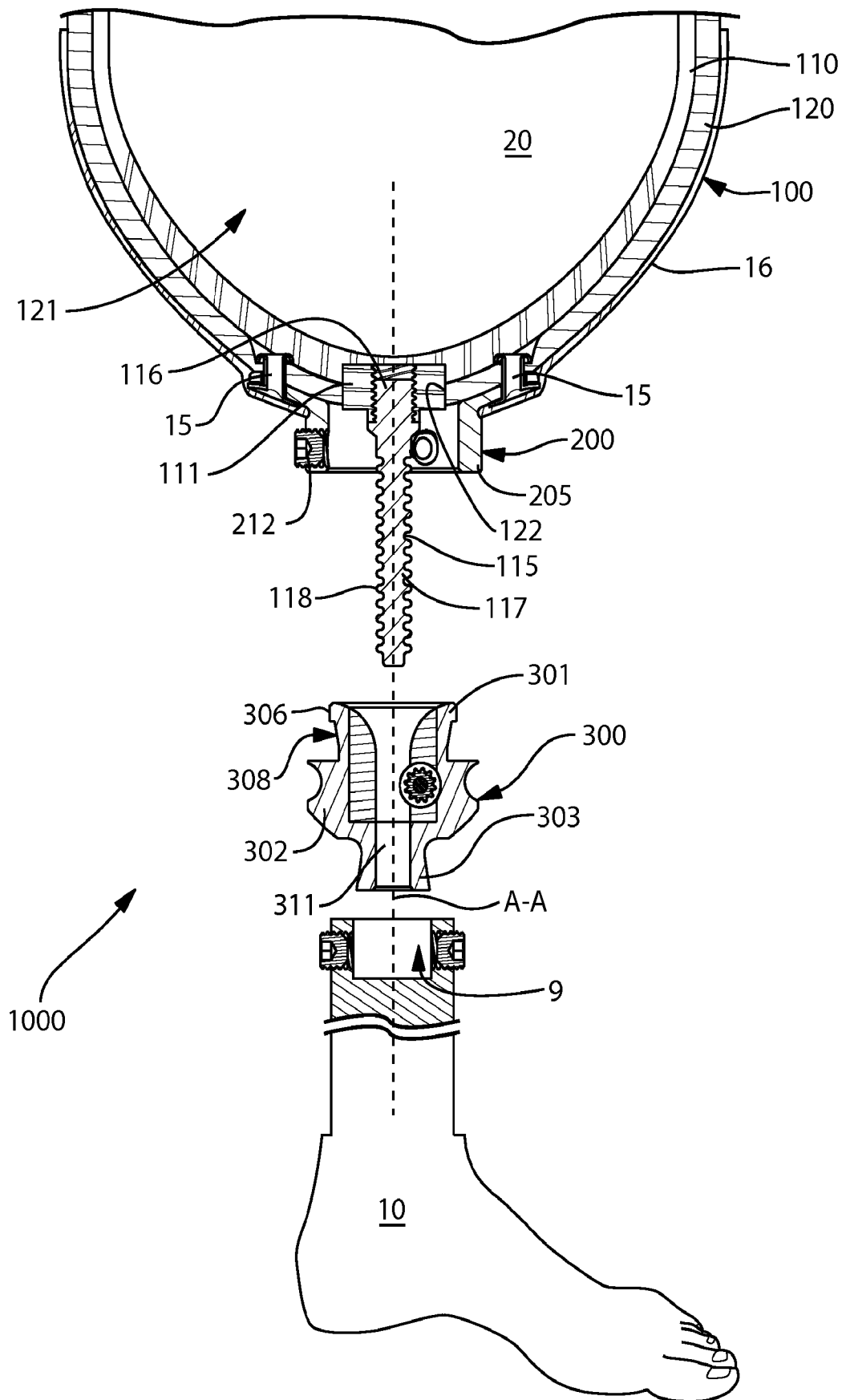


FIG. 1



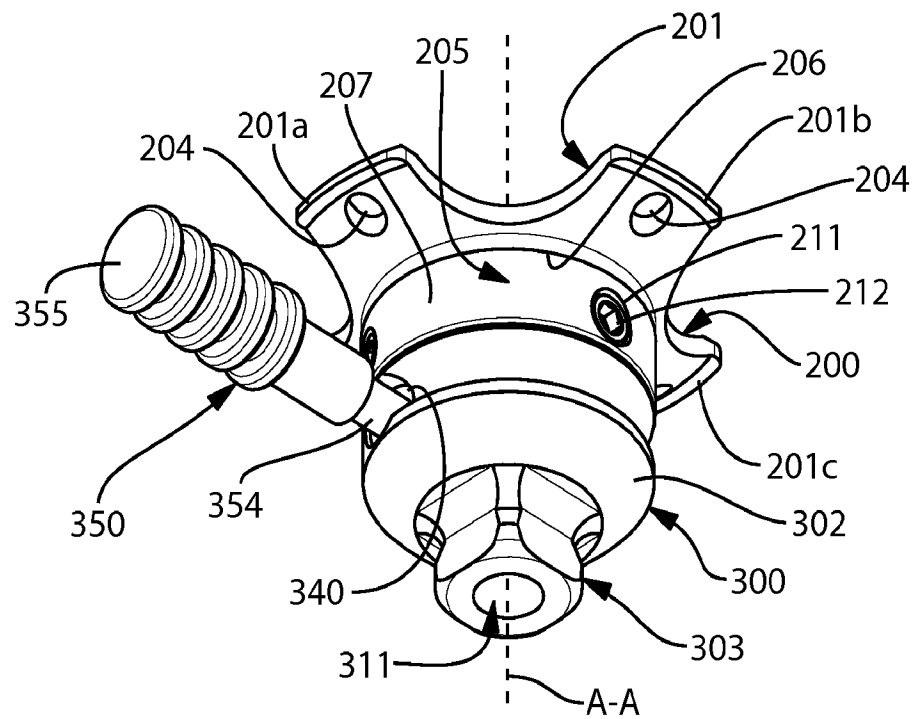


FIG. 2

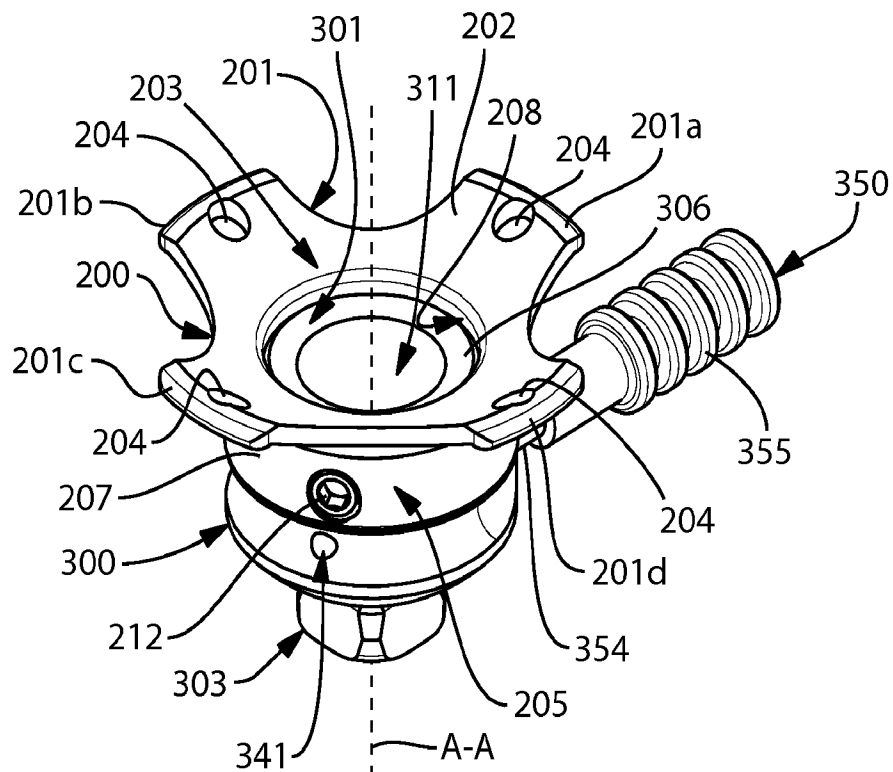
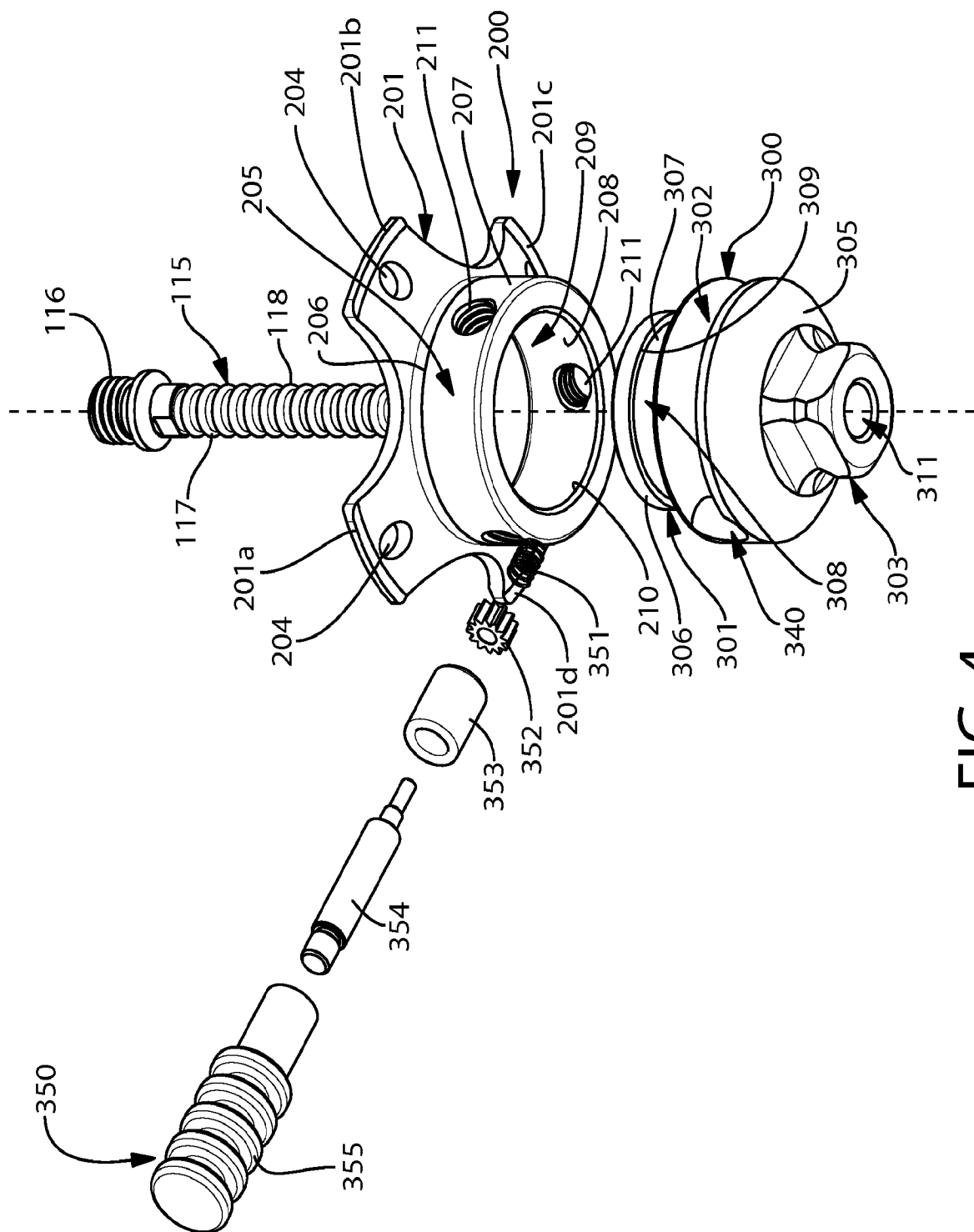


FIG. 3



**FIG. 4**

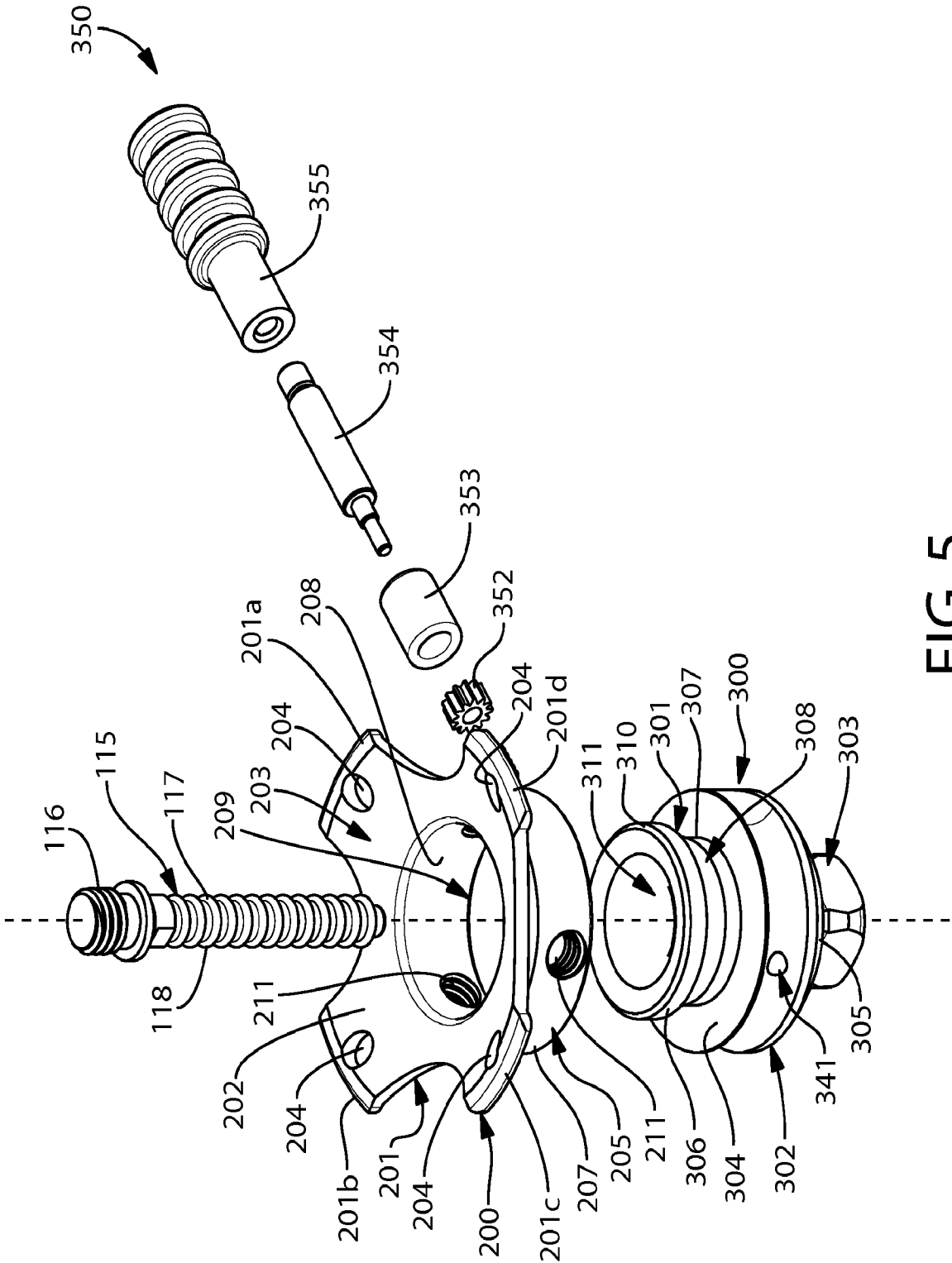


FIG.5

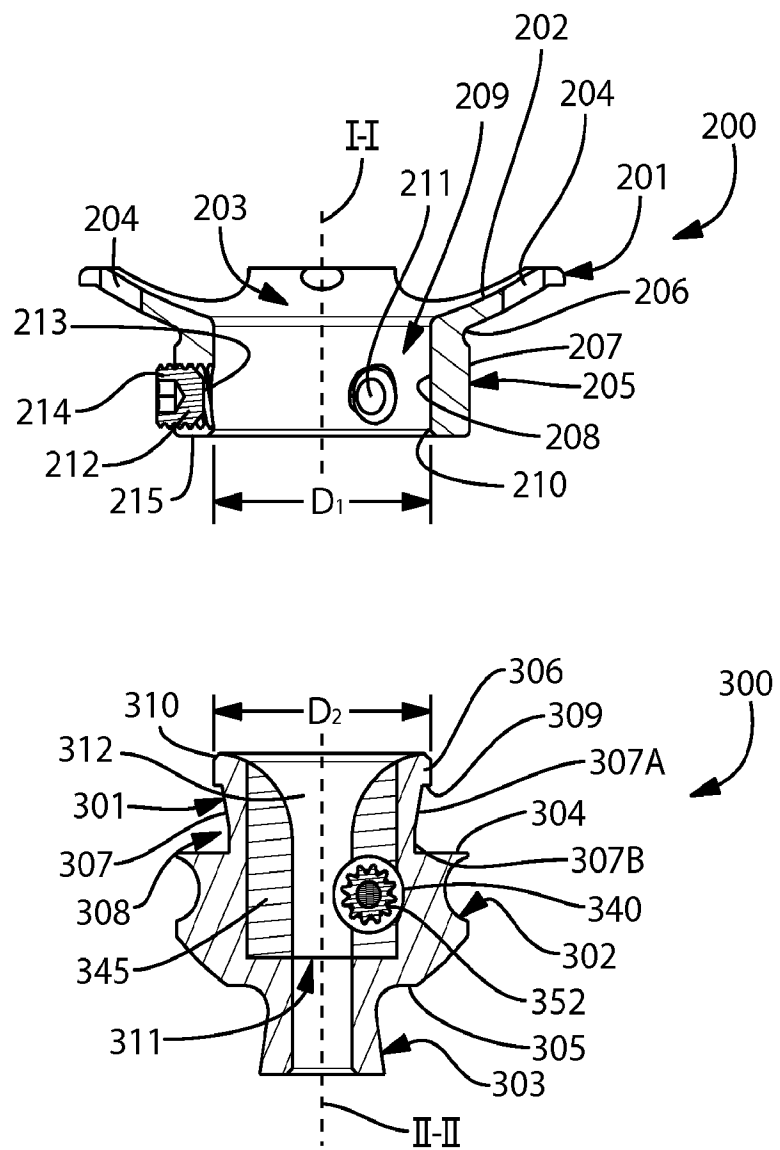
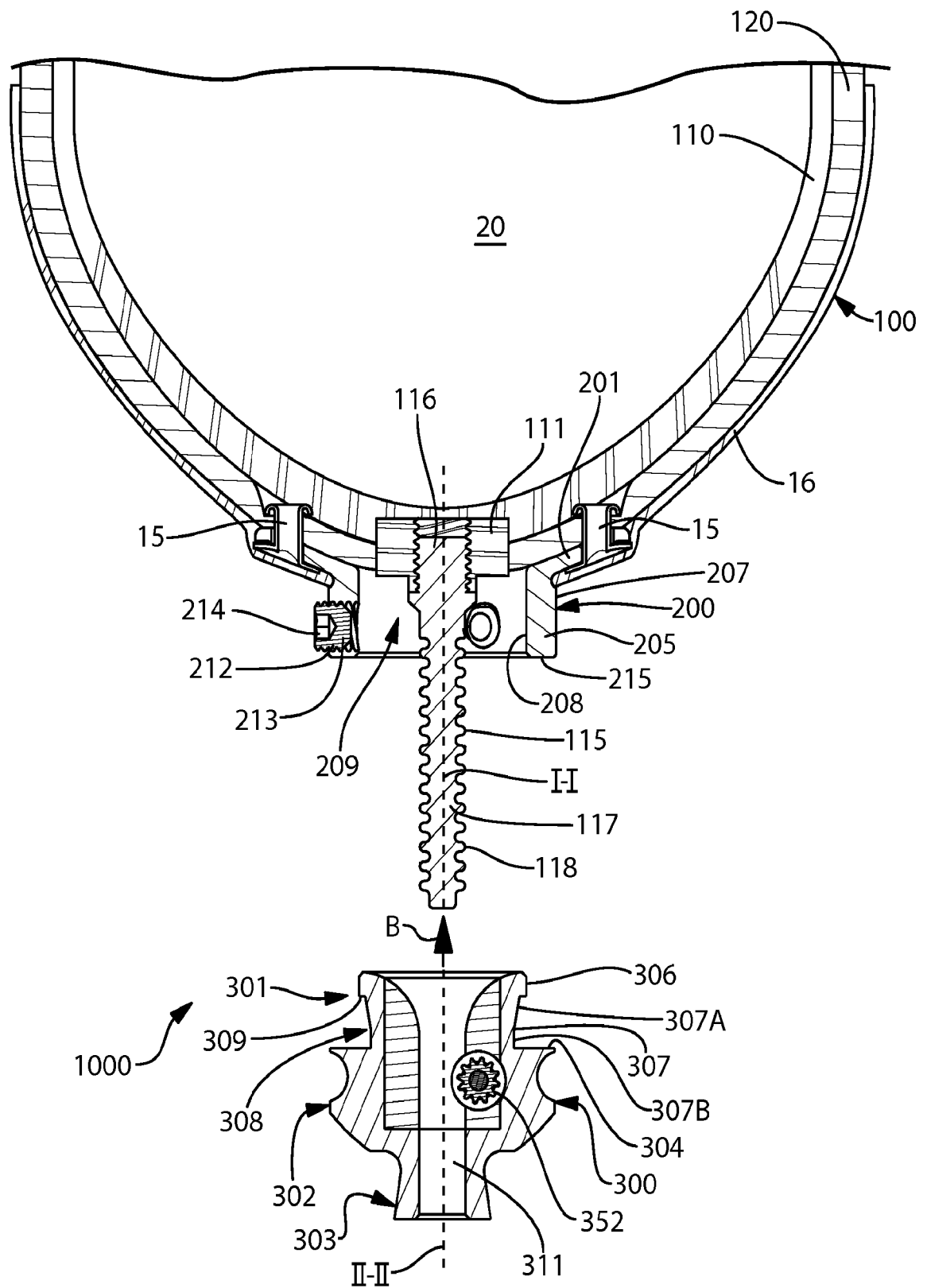


FIG. 6



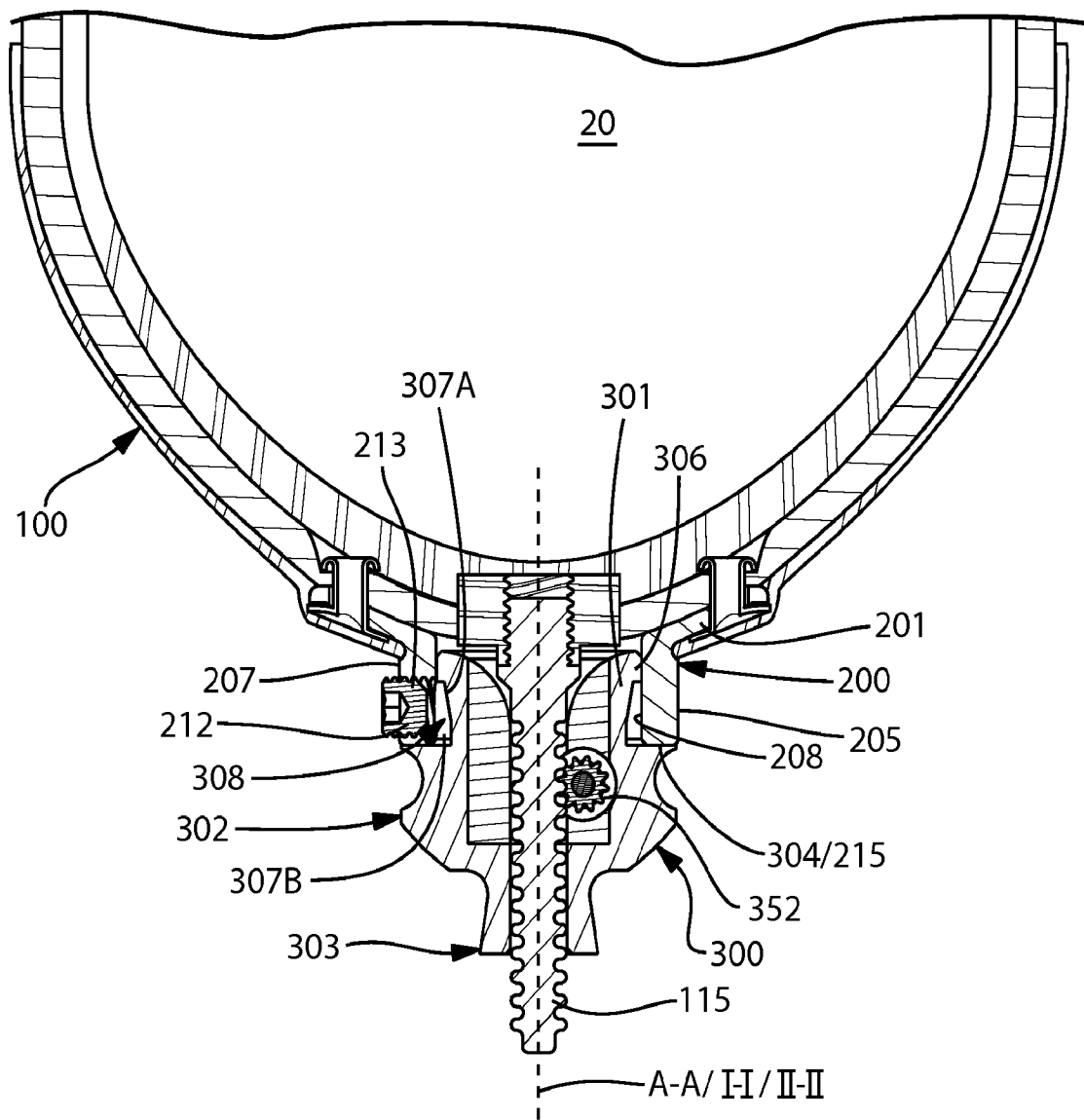


FIG. 8

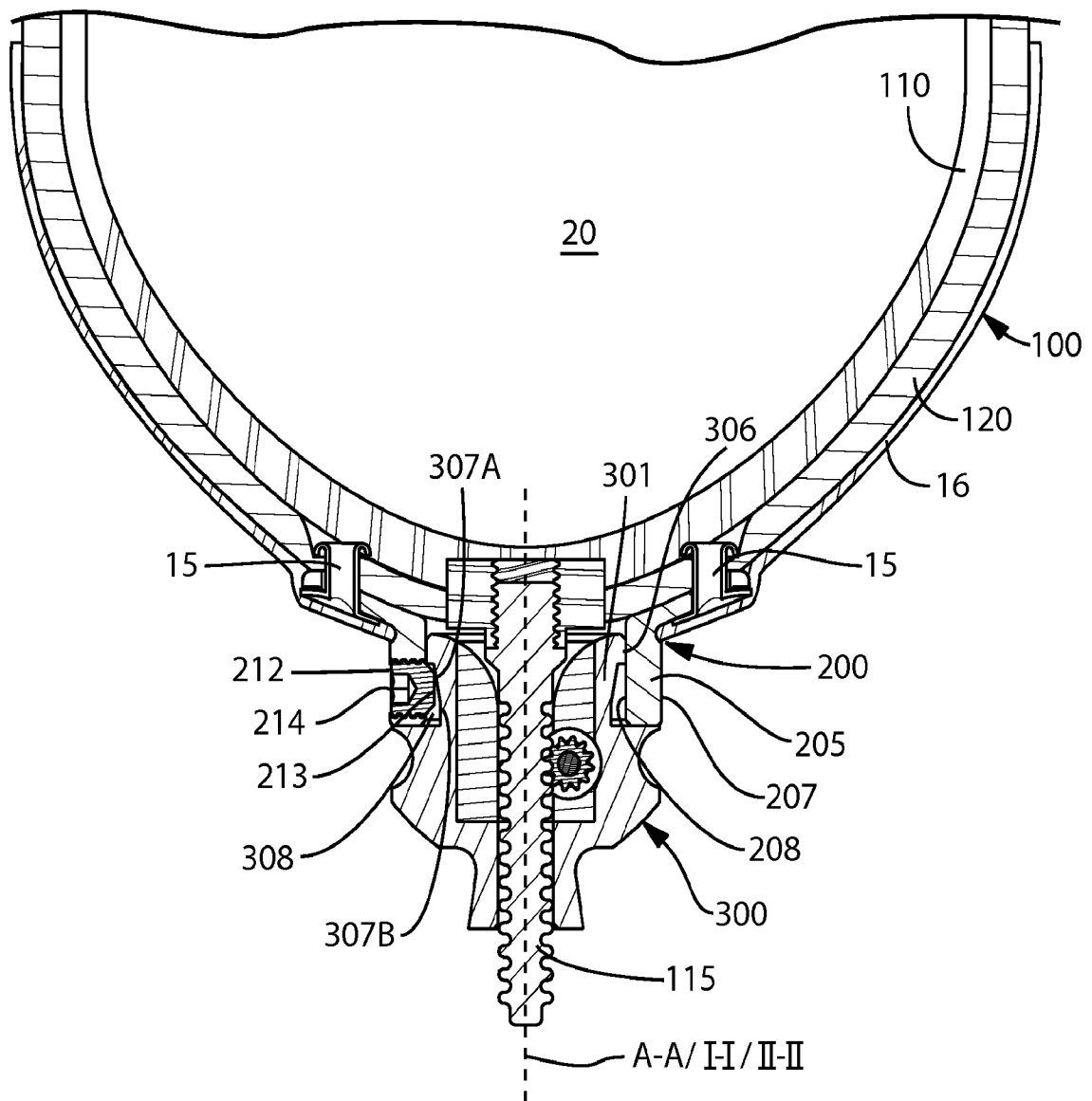


FIG. 9

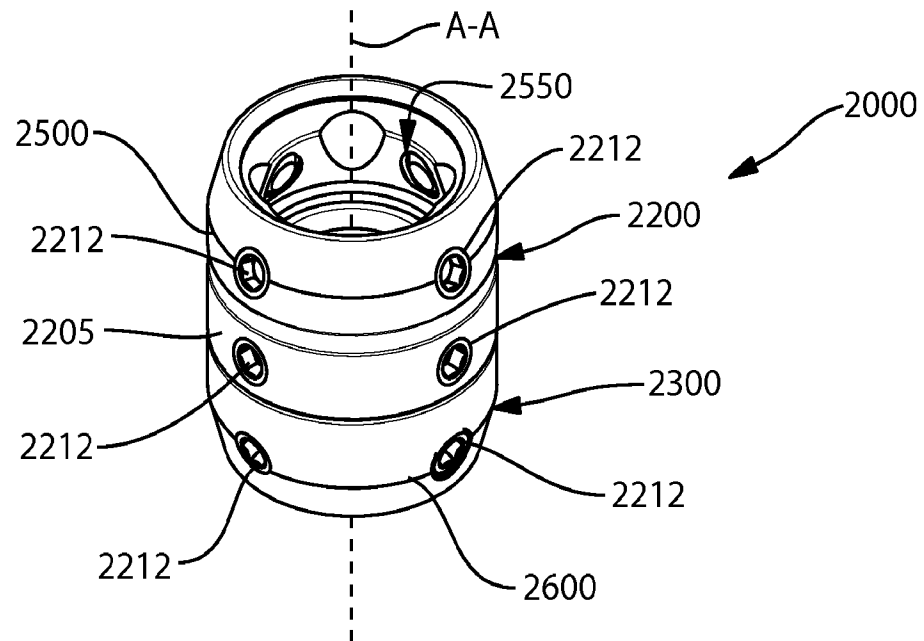


FIG. 10

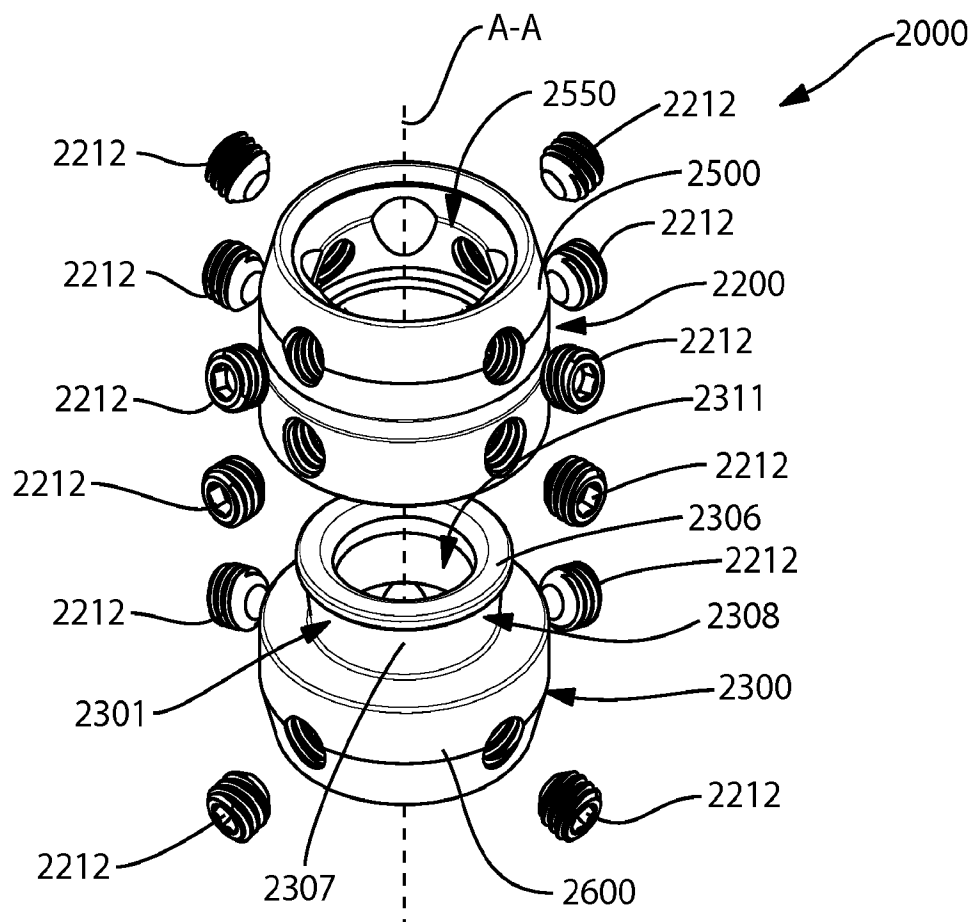


FIG. 11



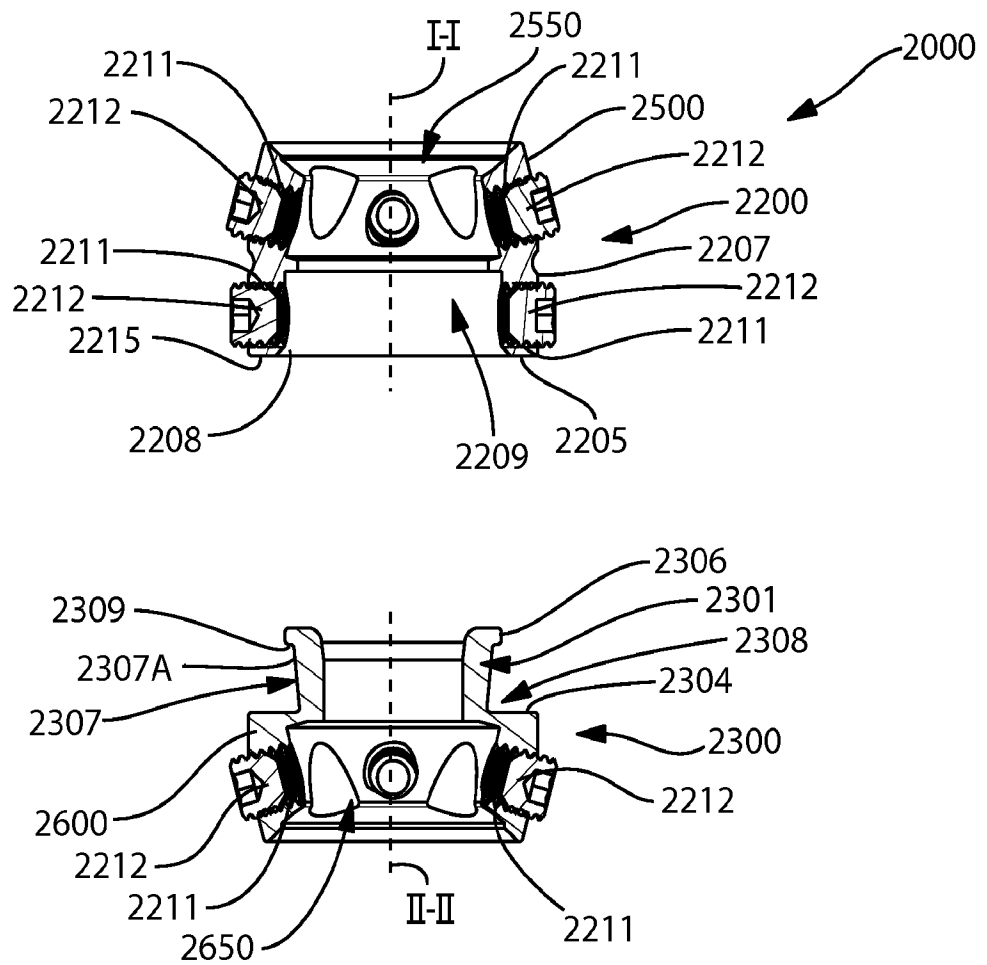


FIG. 12

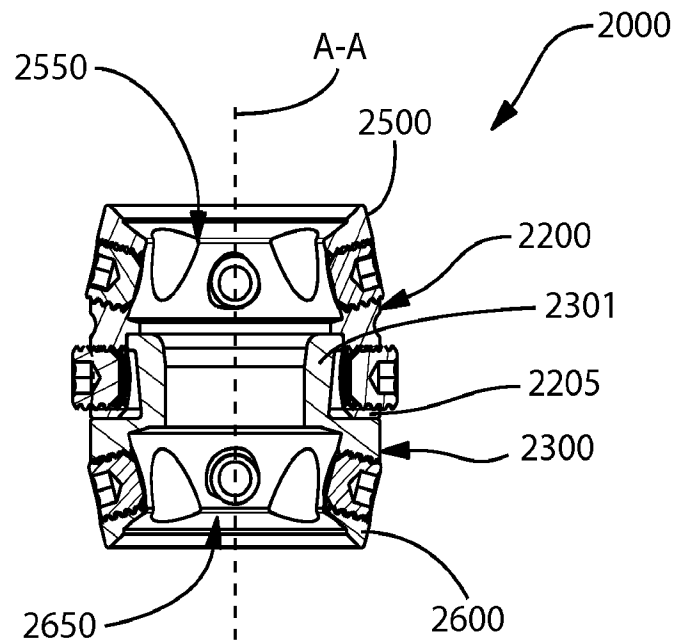


FIG. 13

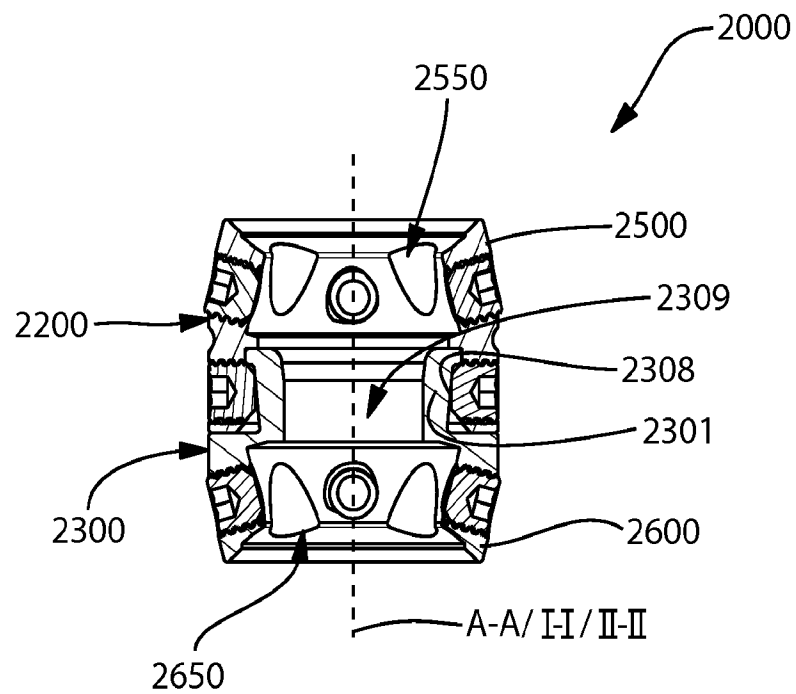


FIG. 14

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2010/042479

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - A61F 2/62 (2010.01)

USPC - 623/38

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC(8) - A61F 2/62(2010.01)

USPC - 623/33, 38

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
ECLA - K61F 2/00T (2010.01)

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Patbase, Google Patents

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6,398,817 B1 (HELLBERG et al) 04 June 2002 (04.06.2002) entire document	1-4, 12-17, 20-21
---		
Y		5-11, 18-19
Y	US 5,728,170 A (BECKER et al) 17 March 1998 (17.03.1998) entire document	5-10, 18
Y	US 5,226,918 A (SILAGY et al) 13 July 1993 (13.07.1993) entire document	7-10, 18
Y	US 2009/0082869 A1 (SLEMKER et al) 26 March 2009 (26.03.2009) entire document	11, 19
A	US 5,507,837 A (LAGHI) 16 April 1996 (16.04.1996) entire document	1-21
A	US 2005/0209697 A1 (PAPONNEAU et al) 22 September 2005 (22.09.2005) entire document	1-21

☐ Further documents are listed in the continuation of Box C.

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"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

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"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;" document member of the same patent family

Date of the actual completion of the international search

01 September 2010

Date of mailing of the international search report

09 SEP 2010

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