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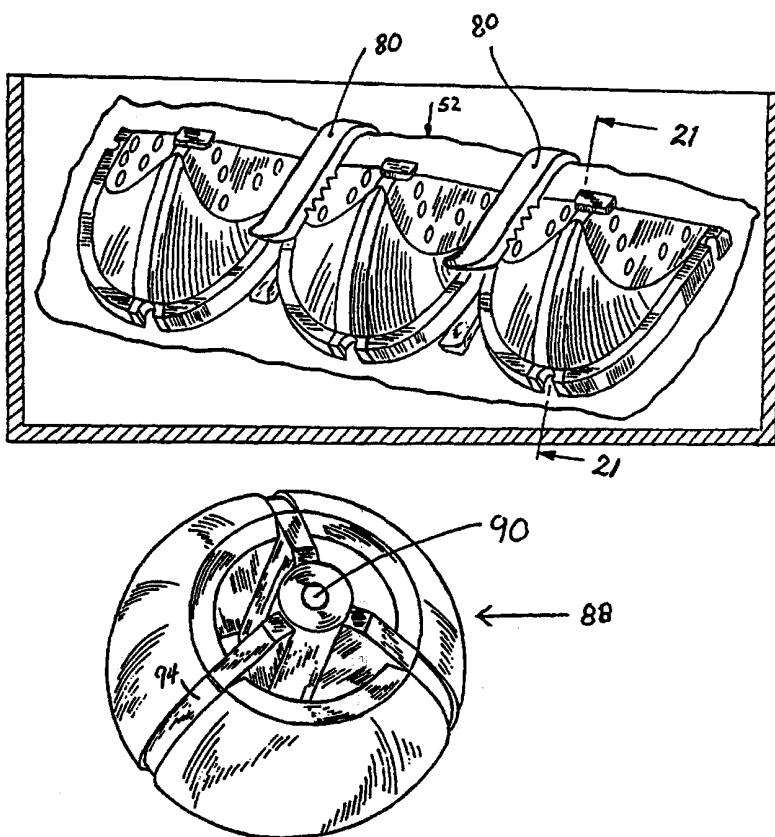
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[Continued on next page]

(54) Title: **MOLD TO FORM STENT-LESS REPLACEMENT HEART VALVES FROM BIOLOGICAL MEMBRANES**



(57) Abstract: A pair of templates form a mold for substantially flat biological membranes to shape the membrane into a configuration that, after trimming of excess tissue, is adapted for forming a replacement heart valve. Each template of the pair has three members joined to another laterally, with each member configured to form, together with its mating member, the mold for one leaflet or cusp of the replacement heart valve. Each of the templates is made of thin, shell like material and has beveled edges. The biological membrane is placed between the mating convex and concave surfaces of the two templates assembled to one another to form the membrane into the configuration of the three leaflets of the replacement heart valve. The templates of the mold are provided with apertures to allow a liquid composition to percolate to the membrane and affix the membrane in the configuration defined by the mold. The bevelled edges meet in the assembled mold in acute angle, whereby a surgical knife can trim the biological membrane precisely in conformance with the shape of the

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MOLD TO FORM STENT-LESS REPLACEMENT HEART VALVES FROM BIOLOGICAL MEMBRANES

BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention is in the field of equipment and devices related to manufacturing heart valves to be used in cardiac surgery. More specifically, the present invention is directed to molds in which biological membranes are shaped into the configuration of replacement heart valves to be used in cardiac surgery. The present invention is also directed to a sizer for measuring the valve annulus of a patient so as to determine the appropriate size of mold to be used in that patient.

2. Brief Description of the Prior Art

Heart valve reconstruction using patient's own tissue (autologous tissue) or cadaver (homologous) or animal (heterologous) tissue is not new. As is known, heart valves (aortic, pulmonary, tricuspid or mitral) function essentially as check valves which operate hemodynamically in synchronization with the pumping action of the heart, allowing blood flow in a downstream direction and blocking flow in the opposite or upstream direction. One of the important requirements in valve reconstruction using autologous, homologous or heterologous tissue is the ability to produce a valve form which is as close to the natural valve as possible in order to serve its function effectively as a replacement valve. In addition it is important to fabricate the replacement valve accurately to fit any specific patient, quickly and effectively within the shortest possible time frame.

Among the tissues used in these molds for fabricating replacement heart valves, autologous tissue has been given special consideration because of its low cost, availability from the patient who is undergoing the open heart surgery operation, and relative ease of handling. For the ultimate replacement valve design, however, the nature of the mold in which the valve is formed is also of great importance, and over the years, a number of molds or templates

1 were introduced to fabricate replacement heart valves from autologous,
2 homologous or heterologous tissue. As noted above, the replacement valve
3 should mimic the natural heart valve as close as possible. As a summary of
4 prior art valve design, the following developments are noted.

5 *Duran et al.* have developed a valve mold comprising 3 bulges or lobes
6 in a rectangular plastic container. The bulges serve to reproduce the 3 leaflets
7 of the aortic valve. A strip of autologous pericardium is laid over the bulges
8 and an opposite mold to the 3 bulges is held over the pericardial strip whilst
9 immersed in a solution containing a tanning agent that fixes the pericardium
10 strip in the shape dictated by the mold. After fixation, the pericardial strip is
11 removed and is held in the surgeon's hand for trimming along the impression
12 left by the mold. Trimming of the unsupported autologous tissue, which is
13 flimsy and thus difficult to handle results in certain inaccuracy in tracing the
14 path marked by the mold.

15 *Love et al.* have designed a valve mold with a concept similar to the
16 above-described, involving a positive and a negative template and having an
17 added feature of a "cutter" to trim the excess autologous tissue while the tissue
18 is held in the mold. The *Love et al.* valve mold is constructed primarily of
19 metal which makes the overall device heavy and relatively bulky.

20 Generally speaking, the two main problems which can be identified in
21 current state-of-the-art valve mold design are:

- 22 (i) Difficulty in trimming the excess pericardium effectively, accurately within
23 shortest possible time, and
24 (ii) the overall design of the valve mold is heavy and or bulky.

25 In light of the foregoing, there is a need in the state-of-the-art for a
26 mold design for valves that offers greater precision and reproducibility to
27 overcome the above-stated problems, and is cost effective in manufacturing.

28 Written descriptions of examples of prior art valve mold design and of

1 the procedures employed by the surgeon in the operating room are found in
2 United States Patent Nos. 5,344,442; 5,425,741; 5,489,298; 5,500,015;
3 5,509,930; 5,522,885; 5,531,784; 5,531,785; 5,571,174; 5,584,878;
4 5,588,967; 5,609,600; 5,612,885; 5,653,749; 5,662,705; 5,697,382, and
5 5,716,399 . Many of the valve constructions described in these patents include
6 valve stents that are needed to support the molded tissue to form the
7 replacement valve.

8 The following scientific publications also describe or relate to the use
9 of human or animal membranes for heart valve replacement.

10 1. *Senning A.* Fascia Lata Replacement of Aortic Valves (unstented,
11 unshaped) Journal of Thoracic Cardiovascular Surgery 1967, 54: 465 - 470;

12 2. *Edwards et al.* Mitral and Aortic Valve Replacement with Fascia
13 Lata on a Frame (stented, unshaped) Journal of Thoracic Cardiovascular
14 Surgery 1969, 58 : 854 - 858;

15 3. *Yacoub et al.* Aortic Valve Replacement Using Unstented Dura or
16 Calf Pericardium : Early and Medium Term Results in Biologic Bioprosthetic
17 Valves (unstented,unshaped) Proceedings of the third international
18 symposium. In: Bodnar E, Yacoub m (ed) Yorke Medical books, New York
19 1986: 684 - 690;

20 4. *Batista et al.* Clinical Experience with Stentless Pericardium Aortic
21 Monopatch for Aortic Valve Replacement (unstented, unshaped) Journal of
22 Thoracic and Cardiovascular Surgery 1987 : 93 :19 - 26;

23 5. *Duran et al.* From Aortic Cusp Extension to Valve Replacement
24 with Stentless Pericardium (unstented, shaped) Annal Thoracic Surgery, 1995,
25 60 : S428 - 32;

26 6. *Duran et al.* Aortic Valve Replacement with Freehand Autologous
27 Pericardium (unstented, shaped) Journal of Thoracic and Cardiovascular
28 Surgery 1995 August;

1 7. *Duran et al.* Aortic Valve Replacement with Autologous
2 Pericardium (unstented, shaped) Surgical Technique Journal of
3 Cardiovascular Surgery 1995, 10 : 1-19;

4 8. Love Autologous Pericardial Reconstruction of Semilunar Valves
5 Journal of Heart Valve Disease 1998, Vol 7 No. 1;

6 SUMMARY OF THE INVENTION

7 It is an object of the present invention to provide a mold that is suitable
8 for rapidly forming a substantially flat biological membrane into a
9 configuration that can be quickly and accurately trimmed to provide a
10 replacement heart valve.

11 It is another object of the present invention to provide a mold that
12 satisfies the foregoing objective and allows formation of the replacement heart
13 valve with high accuracy and repeatability.

14 It is still another object of the present invention to provide a mold that
15 satisfies the foregoing objectives and is relatively easy and economical to
16 manufacture and handle.

It is yet another object of the present invention to provide a set of sizers to measure accurately the diameter of the aortic valve annulus of the patient in order to determine and select the appropriate size of mold to be used in that particular patient.

The foregoing and other objects and advantages are attained by a pair of templates provided to form a mold for substantially flat biological membranes such as a pericardium, peritonium or pleura, to shape the membrane into a configuration that, after trimming of excess tissue, is adapted for forming a replacement aortic, pulmonary, tricuspid or mitral heart valve. Each template of the pair has three members joined to another laterally, with each member configured to form, together with its mating member, the mold for one leaflet or cusp of the replacement heart valve. The first or negative template has

1 concave surfaces for each member and the second or positive template has
2 convex surfaces which mate with the concave surfaces of the first template.
3 Each of the templates is made of thin, shell like material and has thin edges
4 that makes it easy to cut with a surgical knife close to the mold. The
5 biological membrane is placed between the mating convex and concave
6 surfaces of the two templates assembled to one another to form the membrane
7 into the configuration of the three leaflets of the replacement heart valve.
8 The templates of the mold are provided with apertures to allow a liquid
9 composition to percolate to the membrane and affix the membrane in the
10 configuration defined by the mold.

11 BRIEF DESCRIPTION OF THE DRAWINGS

12 **Figure 1** is a schematic perspective view showing the general
13 configuration of one cusp of a negative template of a mold used for shaping
14 an aortic valve replacement from autologous tissue, utilizing the novel solution
15 and method of the present invention.

16 **Figure 2** is a schematic perspective view showing the general
17 configuration of one cusp of a positive template of the mold used for shaping
18 an aortic valve replacement from autologous tissue, utilizing the novel solution
19 and method of the present invention.

20 **Figure 3** is a top plan view of the negative cusp of **Figure 1**.

21 **Figure 4** is an end plan view of the negative cusp of **Figure 1**.

22 **Figure 5** is a side plan view of the negative cusp of **Figure 1**.

23 **Figure 6** is a schematic top plan view showing the general
24 configuration of three negative cusps of **Figure 1** assembled to form a
25 negative template used for shaping an aortic valve replacement from
26 autologous tissue, utilizing the novel solution and method of the present
27 invention.

28 **Figure 7** is a front plan view of the negative template of **Figure 6**.

1 **Figure 8** is a schematic perspective view of the negative template of
2 **Figure 6.**

3 **Figure 9** is a detailed top plan view of a first preferred embodiment of a
4 negative template used for shaping a pericardial valve replacement from
5 autologous tissue, utilizing the novel solution and method of the present
6 invention.

7 **Figure 10** is an end view of the first preferred embodiment of the
8 negative template of **Figure 9.**

9 **Figure 11** is a front plan view of the first preferred embodiment of the
10 negative template of **Figure 9**, with part of the front material broken away.

11 **Figure 12** is a detailed top plan view of a first preferred embodiment of
12 a positive template used for shaping a pericardial valve replacement from
13 autologous tissue, utilizing the novel solution and method of the present
14 invention.

15 **Figure 13** is an end view of the first preferred embodiment of the
16 positive template of **Figure 12.**

17 **Figure 14** is a front view of the first preferred embodiment of the
18 positive template of **Figure 12.**

19 **Figure 15** is a perspective view of the first preferred embodiment of the
20 negative template of **Figure 9.**

21 **Figure 16** is a perspective view of the first preferred embodiment of the
22 positive template of **Figure 12.**

23 **Figure 17** is a top plan view showing the first preferred embodiment of
24 the negative template of **Figure 9** and the first preferred embodiment of the
25 positive template of **Figure 12** assembled to one another.

26 **Figure 18** is a front plan view showing the first preferred embodiment
27 of the positive template of **Figure 9** and the first preferred embodiment of the
28 negative template of **Figure 12** assembled to one another.

1 **Figure 19** is a partial cross-sectional view taken on lines 19,19 of
2 **Figure 18**.

3 **Figure 20** is a view showing the assembled mold of **Figure 18** having
4 autologous tissue and immersed in a solution in accordance with the present
5 invention.

6 **Figure 21** is a partial cross sectional view of the mold with autologous
7 tissue, the cross-section being taken on lines 21,21 of **Figure 20**.

8 **Figure 22** is a partial schematic view, schematically showing the
9 trimming of excess autologous tissue to form a replacement heart valve, in
10 accordance with the present invention.

11 **Figure 23** is a cross-sectional view taken on lines 23,23 of **Figure 22**.

12 **Figure 24** is a partial cross sectional view of the trimmed autologous
13 tissue.

14 **Figure 25** is a schematic top plan view showing the general
15 configuration of three negative cusps of second preferred embodiment
16 assembled to form a negative template used for shaping an aortic valve
17 replacement from autologous tissue, utilizing the novel solution and method of
18 the present invention.

19 **Figure 26** is a front plan view of the second preferred embodiment of
20 the negative template of **Figure 25**.

21 **Figure 27** is a schematic perspective view of the second preferred
22 embodiment of the negative template of **Figure 25**.

23 **Figure 28** is a plan view of a first preferred embodiment of a valve
24 sizer in accordance with another aspect of the present invention.

25 **Figure 29** is another plan view of the first preferred embodiment of the
26 valve sizer.

27 **Figure 30** is still another plan view of the first preferred embodiment of
28 the valve sizer.

1 **Figure 31** is a perspective view of the first preferred embodiment of the
2 valve sizer.

3 **Figure 32** is a top plan view of the first preferred embodiment of the
4 valve sizer.

5 **Figure 33** is another perspective view of the first preferred embodiment
6 of the valve sizer.

7 DESCRIPTION OF THE PREFERRED EMBODIMENTS

8 The following specification taken in conjunction with the
9 drawings sets forth the preferred embodiments of the present invention. The
10 embodiments of the invention disclosed herein are the best modes
11 contemplated by the inventors for carrying out their invention in a commercial
12 environment, although it should be understood that various modifications can
13 be accomplished within the parameters of the present invention.

14 Referring now to the drawing figures, a mold for forming heart
15 replacement valves from biological membranes is disclosed. It should be
16 noted at the outset that molds for forming aortic, pulmonary, tricuspid and
17 mitral replacement valves can be provided in accordance with the present
18 invention. It should also be noted that autologous, homologous and
19 heterologous biological membranes, such as the peritonium, pericardium and
20 pleura are suitable to be used in the molds of the present invention to form the
21 above noted replacement heart valves. **Figures 1 - 8** schematically illustrate
22 without all details of an actual fabricated embodiment, the general geometry
23 and configuration of a mold for forming aortic heart valve replacements. An
24 exemplary first preferred embodiment of an actual mold for forming
25 pericardial heart valve replacements is schematically illustrated in **Figures 9 -**
26 **24**, and the invention is described below primarily with reference to the
27 schematic illustration of **Figures 1 - 8** and the first preferred embodiment
28 shown in **Figures 9 - 24**. An exemplary second preferred embodiment is

1 illustrated in **Figures 26 - 28**.

2 A principal feature of the molds of the present invention is that it
3 comprises two thin templates having matching, complementary surfaces that
4 mate with one another and that the joint mating surfaces of the two shell
5 templates define the configuration and dimensions of the resulting heart valve
6 replacement membrane. Thus, the mold schematically illustrated by its
7 overall dimensions and configuration in **Figures 1- 8** includes a negative
8 template **30** schematically shown in its entirety in **Figures 6 - 8** and a positive
9 template **32**, one member or cusp **36** of which is schematically shown in
10 **Figure 2**. A perspective view of one member or cusp **34** of the negative
11 template of the herein described aortic mold is shown in **Figure 1**.

12 The templates **30** and **32** are made of sufficiently thin material that
13 when the templates are assembled their joint thickness does not substantially
14 exceed 4 mm. The thickness of the templates **30** and **36** is preferably in the
15 range of approximately 0.5 to 2.5 mm. The templates **30** and **32** can be
16 manufactured in the herein described configurations and dimensions from
17 plastic materials, such as high density polyethylene, polypropylene, polyesters,
18 polyamides and other suitable plastic materials, by plastic manufacturing
19 techniques well known in the art, for example by injection molding in a
20 suitable die (not shown). The template can also be made of resorbable
21 material which would provide a platform or skeleton for cultured cells from
22 autologous, homologous or heterologous origin to grow on and into it.

23 It should be already apparent from the foregoing description that the
24 shape or configuration and dimensions of the templates **30** and **32**, more
25 precisely the shape and dimensions of their mating surfaces determine the
26 configuration and dimensions of the heart valve that is molded in the
27 templates. Keeping the foregoing in mind, and primarily with reference to the
28 plan views of **Figures 3, 4** and **5**, the configuration and dimensions of the

1 aortic valve negative template are illustrated. Because the templates are made
2 of thin material where the thickness of the material is substantially uniform
3 and insignificant relative to the overall size of the template, therefore the
4 illustrated surface of each template in the drawing figures also substantially
5 illustrates with opposite concavity or convexity, as applicable, the general
6 geometry of the other side of the template.

7 Thus, the curvature of the concave mating surface of the cusp **34** of the
8 negative template **30** is such that the line **38** shown in the plan view of **Figure**
9 **3** is an ellipse described by the equation

10
$$x^2/a^2 + y^2/b^2 = 1$$

11 where **a** has a value greater than zero and less than 22.0 ($0 < \mathbf{a} < 22.0$),
12 and

13 **b** has a value greater than zero and less than 14.0 ($0 < \mathbf{b} < 14.0$).

14 The curvature indicated with the line **40** in **Figure 5** in each cusp **34** of the
15 negative template is also an ellipse described by the equation

16
$$x^2/a^2 + z^2/c^2 = 1$$

17 where **a** has a value greater than zero and less than 14.0 ($0 < \mathbf{a} < 14.0$),
18 **c** has a value greater than zero and less than 12.0 ($0 < \mathbf{c} < 12.0$), and
19 **x**, **y** and **z** represent variables in the respective **x**, **y** and **z** axes of space.

20 The angle between the two lines **41a** and **41b** in **Figure 3** is α whose
21 range is between approximately 90 to 115°.

22 The apex **42** of the template, as shown in the side plan view of **Figure 4**
23 is formed between two straight lines **44** and **46** with an angle Θ . Angle Θ
24 generally is in the range of approximately 110 to 120° to ensure proper valve
25 closure such that the molded leaflets are in contact with one another. The
26 preferred range for angle Θ is approximately 113 to 116°. Due to the angle
27 Θ three leaflets of the heart valve molded and assembled in accordance with
28 the present invention which are similar in form (although not necessarily in

size) contact one another and provide perfect or substantially perfect closing of the heart valve. The overall dimension indicated with line **d** on Figures 1, 3 and 5 is between 0.8 d' to 1.0 d' ($0.8d' < d < 1.0d'$) where **d'** represents the diameter of the patient's valve root annulus. In this connection it is noted that several molds of varying sizes may be provided in accordance with the present invention to fit several patient's with varying heart valve root annulus dimensions, or that a mold can be fabricated to provide a heart valve that fits an individual patient. Height of the cusp 34 is determined by the dimension **d**, (which itself depends on the diameter (**d'**) of the patient's valve root annulus) and by the angle of Θ formed at the apex of the equal-sided triangle shown in **Figure 4**. In this connection, the values of the parameters **a**, **b**, **c**, and **d** are determined by the dimension of the heart valve replacement needed for any given patient, whether the mold for the valve is fabricated for the individual or is selected from among numerous available molds of varying sizes. As it will be readily understood by those skilled in the art of cardiology and related cardiac surgery, echocardiograms of the patient provide the information as to what size heart valve replacement is needed for that patient. It should be further understood in this connection that natural or native heart valves vary in sizes and that the three cusps of the native heart valve of a patient may not be of identical size. For this reason, a "tailor" made mold for an individual patient may not have equal size for each of the three cusps although each cusp would be described by the equation defined above just that the parameters **a**, **b**, **c** and **d** may have different values for each cusp. As noted above, the parameters **a**, **b**, **c** and **d** can be determined for each cusp from echocardiograms of the patient in order to make a custom or "tailor"-made mold and replacement heart valve.

Figures 6, 7 and 8 schematically illustrate the overall dimensions and configuration of the negative template 30 "assembled" from three members or

1 cusps **34** previously described and illustrated in **Figures 1, 3, 4** and **5**. Thus,
2 the three cusps **34** are connected with small strips of substantially flat material
3 whose width is indicated in **Figure 6** by the reference **g**. The width of these
4 connecting strips **48** is between approximately 0.05 to 0.20 d' ($0.05 d' < g <$
5 $0.20 d'$), where d' again represents the diameter of the patient's valve root
6 annulus. The positive template (shown and described for the detailed
7 embodiment below) has a configuration that provides a mating surface to the
8 negative template **30**. Those skilled in the plastic manufacturing and related
9 arts will readily appreciate that the templates **30** and **32** are not necessarily
10 physically assembled from the individual cusps, rather each template is
11 preferably fabricated as a single integral unit, for example by an injection
12 molding technique. The substantially flat connecting strips **48** provide flat
13 areas in the resulting replacement heart valve where the heart valve can be
14 sutured to the aortic root of the patient.

15 **Figures 9** through **18** disclose a mold **52** for a pericardial heart valve
16 replacement in more detail than the schematic views of **Figures 1** through **8**.
17 It should be understood that the overall configurations and dimensions of the
18 two templates of the mold **52** are as described above. However, certain
19 features of the mold **52** are shown only in these detailed figures. **Figures 9,**
20 **10, 11,** and **15** illustrate the negative template **54** of the mold **52**. The negative
21 template **54** is an integrally fabricated (preferably by injection molding) thin
22 shell of substantially uniform thickness. As described above in connection
23 with the general geometry of the mold **52**, the thin shell template **54** is
24 comprised of three members or cusps **56** which are joined together with
25 substantially flat narrow connecting strips **48**. Through holes or perforations
26 **58** are located evenly in the shell. The holes or perforations **58** allow a
27 treating or tanning solution to penetrate through the mold **52** to a biological
28 membrane (shown in **Figures 19 -24**) which is placed into the mold **52**.

1 Grooves or indentations **60** which appear semi-circular in the top plan view are
2 disposed in the negative template **54** at the highest and lowest points of the
3 commissures or cusps **56**. The grooves **60** mark the biological membrane
4 tissue that is molded in the mold **54**, and later guide the suturing process. A
5 downward angle or bevel is also disposed in the edges **50** of the negative
6 template **54** in its interior or lower surface that mates with the positive
7 template shown in **Figures 12 - 14** and **16**. The angle or bevel of the edge **50**
8 of the negative template **54** is shown in the break-away portion of **Figure 11**.
9 The angled or beveled edge which mate with the beveled edge **62** of the
10 positive template **64** serves an important function by allowing the surgical
11 knife or scissor to come very close to the tissue to effectively and accurately
12 trace the profile of the valve mold as the knife or scissor trims the tissue
13 sandwiched in the mold **52**. Without this bevel or thin edge around the mold
14 **52** the trimming of the sandwiched tissue would be difficult and inaccurate
15 particularly at sharp corners.

16 In the negative template **54** a small gap or open space **66** is disposed
17 between the cusps **56**, leading to a hole or aperture **68** located at the highest
18 point on the commissure or cusp **56**. The gap **66** is wide enough to allow a
19 surgical knife to pass through to make a cut up to the hole **68**. The hole **68**
20 allows the surgeon to identify the highest point in the membrane being
21 molded. Two of the indentations or grooves **60** in the edge of the negative
22 template **54** are in positions where they align with the hole **68**. These two
23 grooves **60** also mark the highest point on the commissure.

24 As shown in **Figures 11** and **18**, the interior or lower surface of the
25 edge of the negative template **54** includes a substantially flat **70** strip of less
26 than approximately 2 mm in width. This provides area for suturing the molded
27 heart valve into the natural heart valve root (not shown).

28 **Figures 12, 13, 14** and **16** illustrate in detail the positive template **64** of

1 the mold 52. The positive template 64 is also an integrally fabricated
2 (preferably by injection molding) thin shell of substantially uniform thickness.
3 The thin shell positive template 64 is also comprised of three members or
4 cusps 74 which are joined together with substantially flat narrow connecting
5 strips 76. The thin shell of the positive template 64 also includes a plurality of
6 holes or perforations 78, which are, however preferably not aligned with the
7 holes 58 of the negative template 54. The relative dispositions of the
8 perforations 58 and 78 allow a treating or tanning solution to seep through and
9 effectively wet and treat the biological membrane enclosed in the mold. The
10 positive template 64 is also beveled in the edges as is shown in **Figure 17** and
11 indicated with the reference numeral 79. The bevels on the edges of the
12 negative template 54 and the positive template 64 are opposite to one another
13 so that in the assembled mold 52 they come together in an acute angle.

14 A feature unique to the first preferred embodiment of the mold is the
15 presence of a small protrusion or knob 79a at the apex of both the negative 54
16 and positive 64 templates. The purpose of the knob 79a is to duplicate the
17 native anatomical feature of the aortic valve, known as *nodule arantius*.

18 **Figures 17, 18, and 19** illustrate the negative template 54 and positive
19 template 64 of the pericardial heart replacement valve mold 52 assembled to
20 one another, so that their respective convex and concave mating surfaces touch
21 or almost touch one another. **Figures 20 through 23** schematically illustrate
22 how the mold 52 of the present invention is used for fabricating a replacement
23 heart valve from a biological membrane. As it was noted above, the mold 52
24 of the invention is well suited for fabricating replacement heart valves from
25 autologous, homologous or heterologous biological membrane tissue. In each
26 instance of using any one of these tissues, it is necessary to treat the tissue with
27 a tanning or other type of treating solution to change the consistency of the
28 tissue, to conform it to the configuration dictated by the mold and to cause the

1 tissue to substantially retain that configuration. As it is known in the prior
2 art, one type of tanning solution for this purpose contains an aldehyde,
3 preferably glutaraldehyde, which destroys all living cells in the tissue and
4 causes cross-linking in the tissue. The mold **52** of the present invention is
5 suitable for use in conjunction with this type of glutaraldehyde-containing or
6 other prior art tanning solution.

7 A presently contemplated preferred use of the mold **52** is with
8 autologous tissue, for example pericardium, that is obtained from the patient
9 while cardiac surgery is in progress, and which can be fabricated quickly,
10 accurately and efficiently into a replacement heart valve in the mold **52** with
11 the use of a liquid composition that contains the following ingredients:
12 approximately 10 to 70 % by volume of a water-miscible non toxic polar
13 solvent, such as ethyl alcohol, approximately 2 to 30 % by weight of
14 polyethylene glycol of a molecular weight between approximately 6,000 to
15 15,000 D, and approximately 0.01 to 1.0 % by weight of heparin, the rest of
16 the liquid composition consisting substantially of water. A preferred
17 embodiment of the liquid composition that is preferably used in conjunction
18 with the mold of the present invention contains: approximately 50 % by
19 volume ethanol, approximately 5 % by volume of polyethylene glycol having
20 a molecular weight of approximately 8,000 D, and approximately 0.5 %
21 heparin, the rest of the composition consisting substantially of water.

22 In this presently preferred mode of using of the mold **52** of the
23 invention, fresh pericardium, obtained from the patient who is undergoing
24 open-heart surgery, is placed into the mold **52**. The two parts or templates **54**
25 and **64** of the mold **52** are held together with clips **80**, shown in **Figure 20**.
26 The mold **52** having the tissue **82** sandwiched between the two templates **54**
27 and **64** is submerged in the above described composition for approximately 2
28 to 8 minutes. This is schematically illustrated in **Figure 20**. Instead of the

1 clips **80**, other mechanical devices such as bolts, or springs can be used to hold
2 the two templates together. However, because the process of using the mold
3 must be performed quickly, easy to put on and easy to remove means of
4 fastening, such as the clips **80**, offer an advantage.

5 During submersion the liquid composition percolates through the tissue
6 **82** and modifies its tissue reactivity and renders the tissue temporarily more
7 rigid than in its natural state, thereby making it more easy to handle. After the
8 2 to 8 minutes long submersion in the liquid composition the tissue **82** is
9 trimmed with a surgical knife along the edges of the mold **52**. Because the
10 mold **52** is made of two templates **54** and **64** of thin shell construction, and
11 because of the above-described and illustrated shape of the mold **52**, especially
12 the bevelled edges that form an acute angle in area **79** of the assembled mold
13 **52**, the surgical knife can come very close to the tissue. This renders possible
14 precise, effective and quick trimming. The trimming process is schematically
15 illustrated in **Figure 22**, where the surgical knife bears the reference numeral
16 **84**.

17 After the trimmed tissue **82** is removed from the mold **52**, its is
18 noticeably more rigid and easier to handle than the native tissue. The two
19 loose ends of the trimmed tissue are attached to one another to form the heart
20 valve (not shown). The replacement heart valve (not shown) molded in this
21 manner is placed into the heart (not shown) by suturing into the valve root (not
22 shown). The valve molded in this manner from autologous tissue and treated
23 with the preferred liquid composition quickly regains the physical
24 characteristics of the native tissue, after being rinsed with saline, or being
25 exposed to physiological solutions such as the patient's blood. The molded
26 valve functions just as the natural valve in opening and closing phase. In the
27 closing position the molded cusps fold back to seal and prevent back-flow or
28 leakage in the rearward direction. In the open position, the molded cusps fold

1 out to their maximum to allow free flow of blood across the replacement
2 valve. It should be readily apparent from the foregoing that the mold of the
3 present invention is not an implantable device but a tool for forming
4 implantable heart valve replacements from biological membranes. The thin
5 shell construction of the mold makes the trimming process, that is the final
6 shaping of the heart valve, accurate and more easily performed than the
7 procedures employed in the prior art. In this regard these bevelled edges of
8 the template play a particularly advantageous role. The perforations in the
9 templates play the advantageous role of allowing effective fluid flow and
10 percolation of the tissue when the tissue is exposed in the mold to a treating
11 solution. The grooves contained in the positive mold allow the surgeon to
12 identify the highest and lowest points of commissures and ease the suturing
13 process.

14 Referring now to **Figures 25 - 27** a second preferred embodiment of the
15 mold is disclosed through the drawings which illustrate the negative template
16 **30** of this embodiment. Principal differences between the second preferred
17 embodiment and the previously described first preferred embodiment is that
18 the second preferred embodiment lacks the protrusion or knob **79a** of the first
19 preferred embodiment, and also in that it lacks the curved extension of the
20 commissure **86** of the first preferred embodiment which in the first preferred
21 embodiment is designed to meet the edge of the aortic root (not shown).

22 The molds of the invention may be made inexpensive enough to be
23 considered disposable, in the sense that each mold may be used only once in a
24 single heart valve replacement operation where the mold has been custom-
25 fabricated for the patient. Alternatively, a number of molds of varying sizes
26 may be provided, from among which the one of appropriate size is chosen for
27 any given patient.

28 **Figures 28** through **33** disclose a first embodiment of a sizing device or

1 sizer **88** that is used to determine, under certain circumstances, whether or not
2 the surgical procedure of valve replacement in accordance with the present
3 invention is appropriate for a given patient, and also to select the appropriate
4 mold for a particular patient. As is shown, the sizing device or sizer **88** is a
5 body configured as a sphere truncated at two opposite ends, and with a hole **90**
6 or other means to attach a rod **92** that serves as a handle. The truncated
7 spherical body of the sizer **88** can be made practically from any material that
8 can be sterilized and is biocompatible in the sense that it can be brought into
9 temporary contact with living tissue. Medical grade plastic is the preferred
10 material presently contemplated for the valve sizer. The rod **92** that is attached
11 as a handle is preferably stainless steel, although the rod **92** can also be made
12 of medical grade plastic.

13 Three grooves or markers **94** are placed at 120° apart from one another
14 on the exterior of the sizer **88** so as to divide the spherical, or truncated
15 spherical body of the sizer **88** into three equal segments. When the diameter
16 of the spherical body of the sizer is d , then the width x of each groove or
17 marker is in the range of $0.08 d < x < 0.2 d$. Ideally the width of each groove
18 is approximately 3 % of the circumference of the sphere. The sizer **88** is used
19 in the following manner. During cardiac surgery, after the surgeon has excised
20 the patient's diseased aortic valve, an orifice (not shown) is created by the
21 excision to which the replacement aortic valve will be sutured during the rest
22 of the surgical procedure. Numerous sizers **88** with diameters (d) ranging
23 from 15 mm to 33 mm are provided and are made available to the operating
24 surgeon (not shown). The surgeon then measures the diameter of the aortic
25 valve replacement that would be appropriate for the patient (not shown) by
26 finding the sizer **88** that fits best within the orifice (not shown) created by the
27 excision. This is done by gently pushing the spherical body of the sizer **88**
28 into the orifice (not shown) while it is held by the rod **92**, to determine which

1 sizer provides a tight but comfortable fit. The diameter (**d**) of the sizer that fits
2 indicates the diameter of the patient's valve root annulus.

3 It is known that there is a variability in the location of aortic valve
4 commissures among patients, and in some cases the different distances
5 between commissures is so significant that the proposed surgical technique of
6 aortic valve reconstruction would not be considered valid or proper. The
7 grooves or markers **94** have the width indicated above. After having
8 determined with the sizer **88** the diameter of the patient's valve root annulus
9 thereby enabling the selection of the appropriately sized mold to form the
10 aortic valve replacement, the surgeon attempts to align the markers **94** of the
11 sizer **88** with the aortic commissures of the patient. If the commissures are not
12 located within the markers **94**, then that is an indication that for this particular
13 patient the contemplated aortic valve replacement is unlikely to be appropriate,
14 and that an alternative surgical technique is advisable.

15 Because the sizers are made from medical grade plastic they are
16 generally considered disposable, and are used only a single time. It should be
17 readily apparent from the foregoing that the sizer **88** does not need to comprise
18 a solid body, but can be hollow. Moreover, it is not necessary for the sizer to
19 be spherical, a cylindrical body with ribs or markers separated at 120 ° from
20 each other would also be suitable. However, the use of a spherical, or
21 truncated spherical body is advantageous, because a spherical body does not
22 need to be axially aligned with the orifice that has been created with the
23 excision while a cylindrical body would have to be axially aligned to give an
24 accurate measurement.

WHAT IS CLAIMED IS:

- 1
2 **1.** A mold for forming substantially flat biological membranes into
3 a configuration suited for forming a replacement tissue heart valve, the mold
4 comprising:
5 a first template comprising a first, a second and a third cusp, the second
6 cusp being laterally joined to the first cusp by a narrow band of material, and
7 the third cusp being laterally joined to the second cusp by a narrow band of
8 material, each cusp having a concave surface, the three joined cusps thereby
9 forming a substantially hollow concave surface with three distinct cavities, and
10 a second template comprising a first, a second and a third cusp, the
11 second cusp being laterally joined to the first cusp by a narrow band of
12 material, and the third cusp being laterally joined to the second cusp by a
13 narrow band of material, each cusp having an exterior convex surface, the
14 concave and convex surfaces of the first and second templates being
15 configured to mate with one another whereby the second template can be fitted
16 to tightly abut against the first template with the cusps of the second template
17 fitted in the cavities formed in the first template, the abutting concave and
18 convex mating surfaces of the first and second templates also being configured
19 in the shape adapted for forming a replacement tissue heart valve.
- 20 **2.** The mold of Claim 1 wherein each template further comprises a
21 plurality of aperture whereby a liquid may penetrate to a thin flat membrane
22 that may be placed between the mating surfaces of the first and second
23 templates to form said replacement tissue heart valve.
- 24 **3.** The mold of Claim 1 further comprising means for holding the
25 first and second templates together with their respective mating surfaces
26 abutting each other.
- 27 **4.** The mold of Claim 1 wherein the narrow bands of the first
28 template include a slot that permits entry of a surgical knife into the slot.

1 5. The mold of Claim 4 wherein each slot terminates in a
2 substantially round aperture that is substantially wider than the slot.

3 6. The mold of Claim 1 wherein the first template has a narrow
4 peripheral skirt including a flat area that in the molded biological membrane
5 provides an area for placing sutures.

6 7. The mold of Claim 6 wherein each template includes bevelled
7 edges, the bevels of the first and second templates being disposed in opposite
8 directions so that in the assembled templates the bevelled edges form an acute
9 angle, whereby a biological membrane fitted between the templates can be
10 precisely trimmed to conform to the shape of the mold.

11 8. The mold of Claim 4 wherein the peripheral skirt includes a
12 plurality of indentations that provide a mark in the biological membrane
13 placed in the mold.

14 9. A mold for forming substantially flat biological membranes into
15 a configuration suited for forming a replacement tissue heart valve, the mold
16 comprising:

17 a first template comprising a first, a second and a third cusp, each cusp
18 including an inferior concave surface, a narrow substantially flat band on one
19 lateral side, and a narrow skirt that includes a flat area, and a plurality of
20 apertures that penetrate through each cusp from its superior surface to the
21 inferior concave surface, the second cusp being laterally joined to the first
22 cusp by the narrow band of material, and the third cusp being laterally joined
23 to the second cusp by a narrow band of material, the three joined cusps thereby
24 forming a substantially hollow concave surface with three distinct cavities,

25 a second template comprising a first, a second and a third cusp, each
26 cusp including a superior convex surface, a narrow substantially flat band on
27 one lateral side, and a narrow skirt that includes a flat area, and a plurality of
28 apertures that penetrate through each cusp from its superior convex surface to

1 the inferior surface, the second cusp being laterally joined to the first cusp by
2 the narrow band, and the third cusp being laterally joined to the second cusp
3 by a narrow band of material, the three joined cusps thereby forming a
4 substantially convex surface, the concave and convex surfaces of the first and
5 second templates being configured to mate with one another whereby the
6 second template can be fitted to tightly abut against the first template with the
7 cusps of the second template fitted in the cavities formed in the first template,
8 the abutting concave and convex mating surfaces of the first and second
9 templates also being configured in the shape adapted for forming a
10 replacement tissue heart valve.

11 **10.** The mold of Claim 9 further comprising means for holding the
12 first and second templates together with their respective mating surfaces
13 abutting each other.

14 **11.** The mold of Claim 9 wherein the narrow bands of the first
15 template include a slot that permits entry of a surgical knife into the slot.

16 **12.** The mold of Claim 9 wherein each slot terminates in a
17 substantially round aperture that is substantially wider than the slot.

18 **13.** The mold of Claim 9 wherein each template includes bevelled
19 edges, the bevels of the first and second templates being disposed in opposite
20 directions so that in the assembled templates the bevelled edges form an acute
21 angle, whereby a biological membrane fitted between the templates can be
22 precisely trimmed to conform to the shape of the mold.

23 **14.** The mold of Claim 9 wherein the mating surfaces of the first and
24 second templates define three substantially identical curved surfaces, with
25 each curved surface having curvature that includes a first curve in the x and y
26 coordinates of space that is defined by the equation

27
$$x^2/a^2 + y^2/b^2 = 1$$

28 where **a** has a value greater than zero and less than 22.0 ($0 < a < 22.0$), and

1 **b** has a value greater than zero and less than 14.0 ($0 < \mathbf{b} < 22.0$),
2 and a second curve in the x and z coordinates of space that is defined by the
3 equation

$$4 \quad x^2/a^2 + z^2/c^2 = 1$$

5 where **a** has a value greater than zero and less than 14.0 ($0 < \mathbf{a} < 22.0$),
6 and **c** has a value greater than zero and less than 12.0 ($0 < \mathbf{c} < 22.0$).

7 **15.** The mold of Claim 9 wherein the width of each cusp of the first
8 mold is 0.8 d' to 1.0 d' ($0.8\mathbf{d}' < \mathbf{d} < 1.0\mathbf{d}'$) wherein **d'** represents the diameter
9 of a patient's valve root annulus for whom the mold is utilized to form a
10 replacement heart valve.

11 **16.** The mold of Claim 9 wherein the width of the narrow band of
12 the first template is between approximately 0.05 to 0.12 d' ($0.05 \mathbf{d}' < \mathbf{g} <$
13 $0.12\mathbf{d}'$), where **d'** represents the diameter of a patient's valve root annulus for
14 whom the mold is utilized to form a replacement heart valve.

15 **17.** The mold of Claim 14 wherein the width of each cusp of the first
16 mold is 0.8 d' to 1.0 d' ($0.8\mathbf{d}' < \mathbf{d} < 1.0\mathbf{d}'$) wherein **d'** represents the diameter
17 of a patient's valve root annulus for whom the mold is utilized to form a
18 replacement heart valve.

19 **18.** The mold of Claim 17 wherein the width of the narrow band of
20 the first template is between approximately 0.05 to 0.12 d' ($0.05 \mathbf{d}' < \mathbf{g} <$
21 $0.12\mathbf{d}'$).

22 **19.** The mold of Claim 18 wherein each template includes bevelled
23 edges, the bevels of the first and second templates being disposed in opposite
24 directions so that in the assembled templates the bevelled edges form an acute
25 angle, whereby a biological membrane fitted between the templates can be
26 precisely trimmed to conform to the shape of the mold.

27 **20.** The mold of Claim 19 further comprising means for holding the
28 first and second templates together with their respective mating surfaces

1 abutting each other.

2 **21.** A sizing device for measuring the diameter of a patient's aortic
3 valve root annulus, the sizing device comprising:

4 a body having a shape selected from that of sphere, truncated sphere
5 and cylinder, said body having a curved exterior surface;

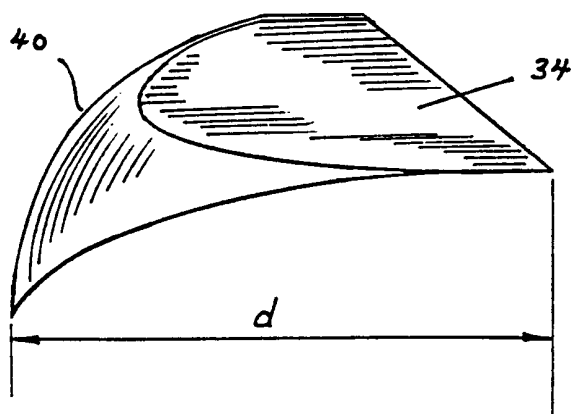
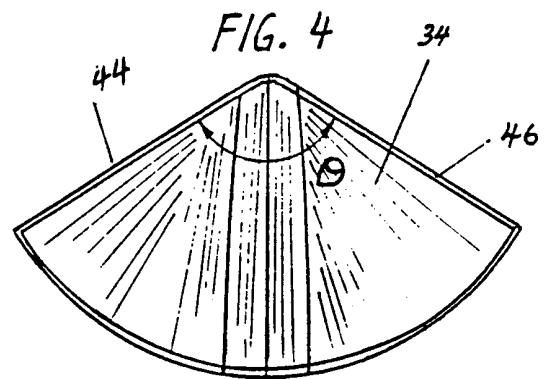
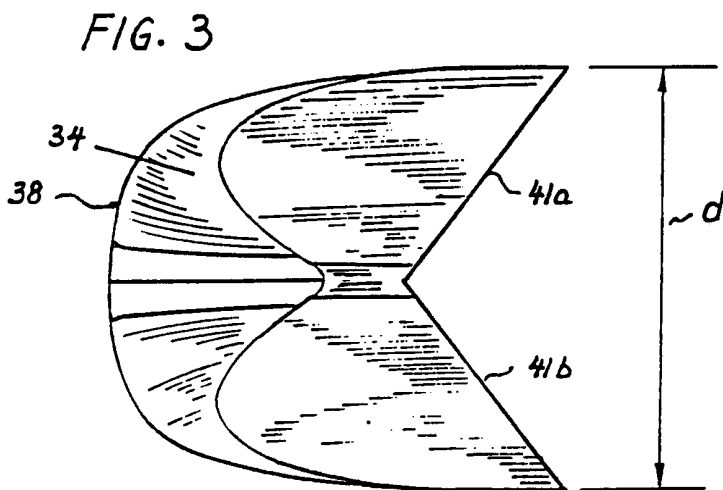
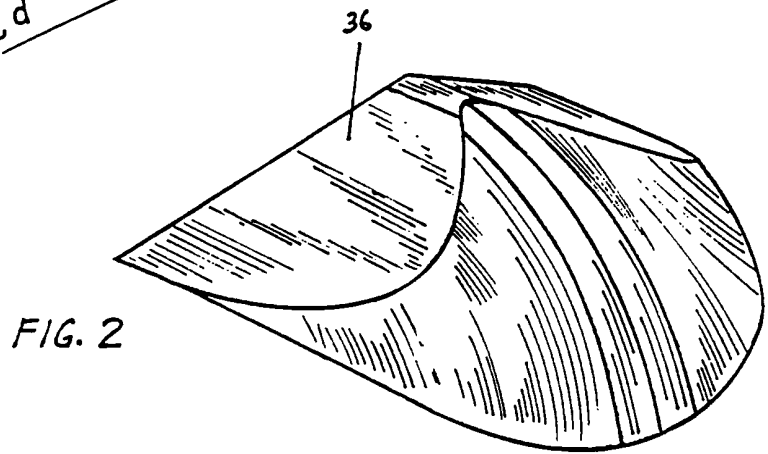
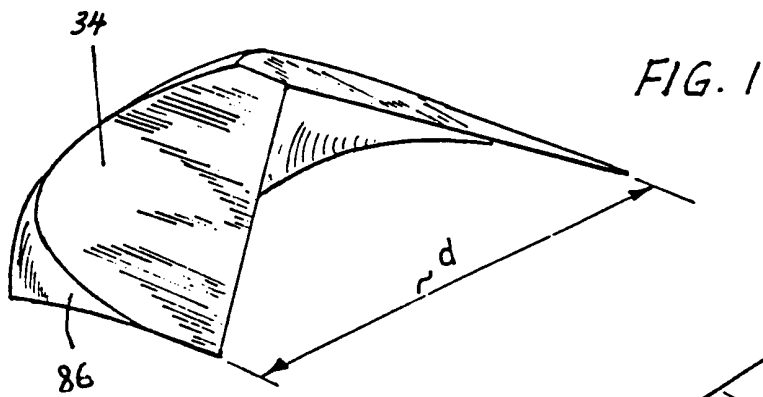
6 a handle attached to the body, and

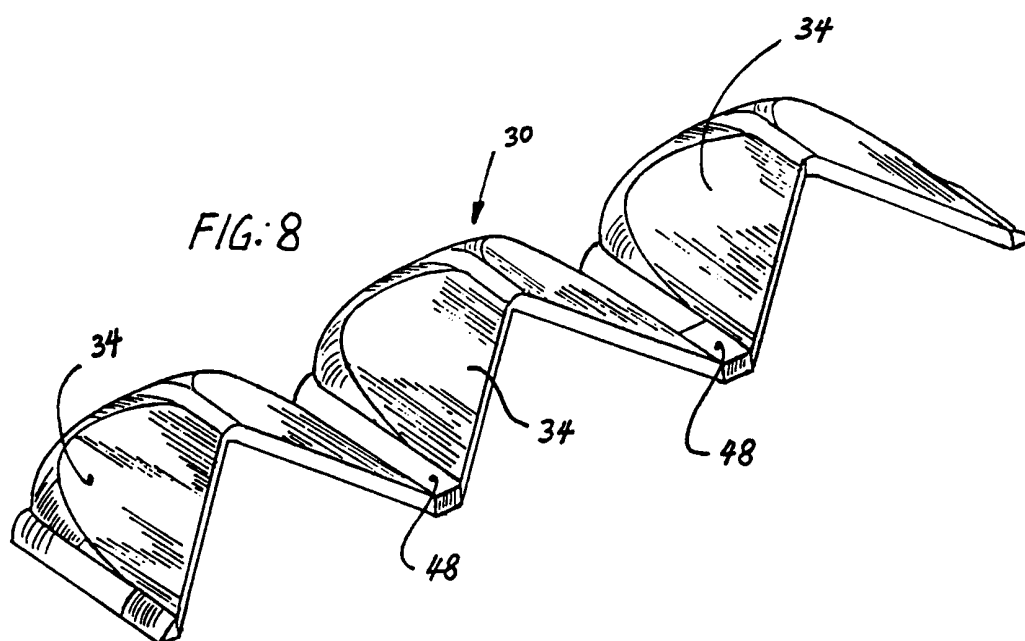
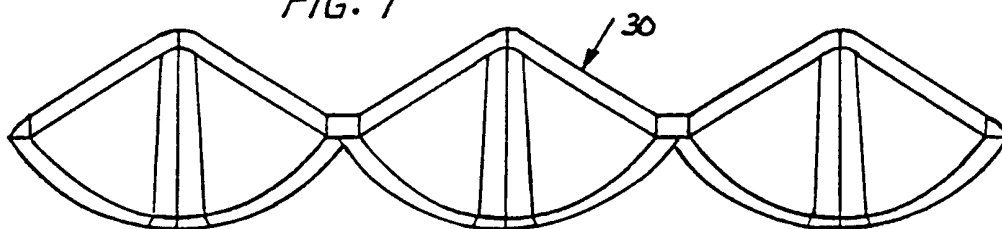
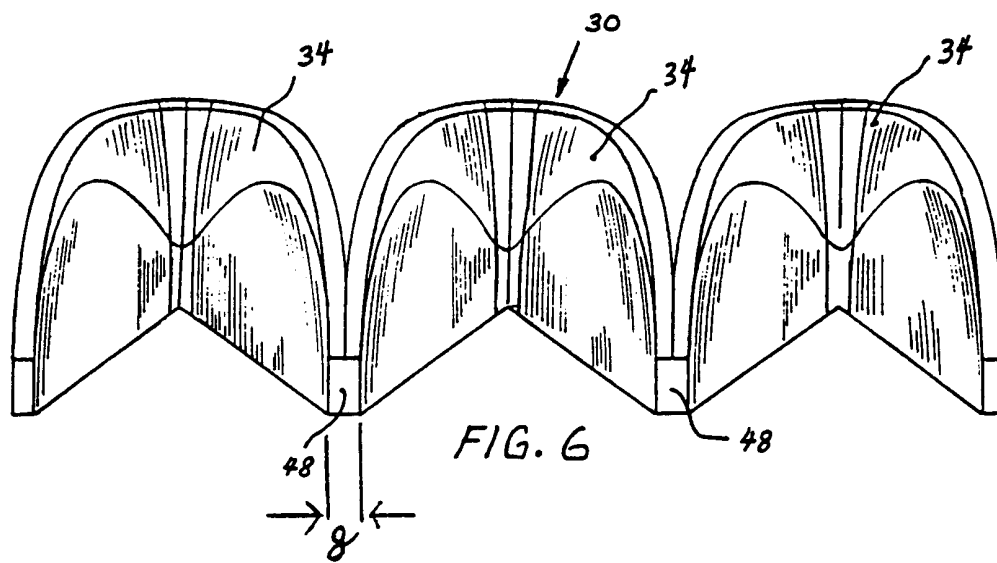
7 three markers disposed on the exterior surface, dividing the exterior
8 surface into three equal parts, said three markers being separated 120° from
9 one another.

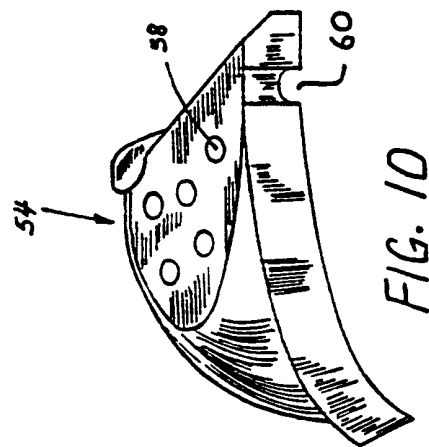
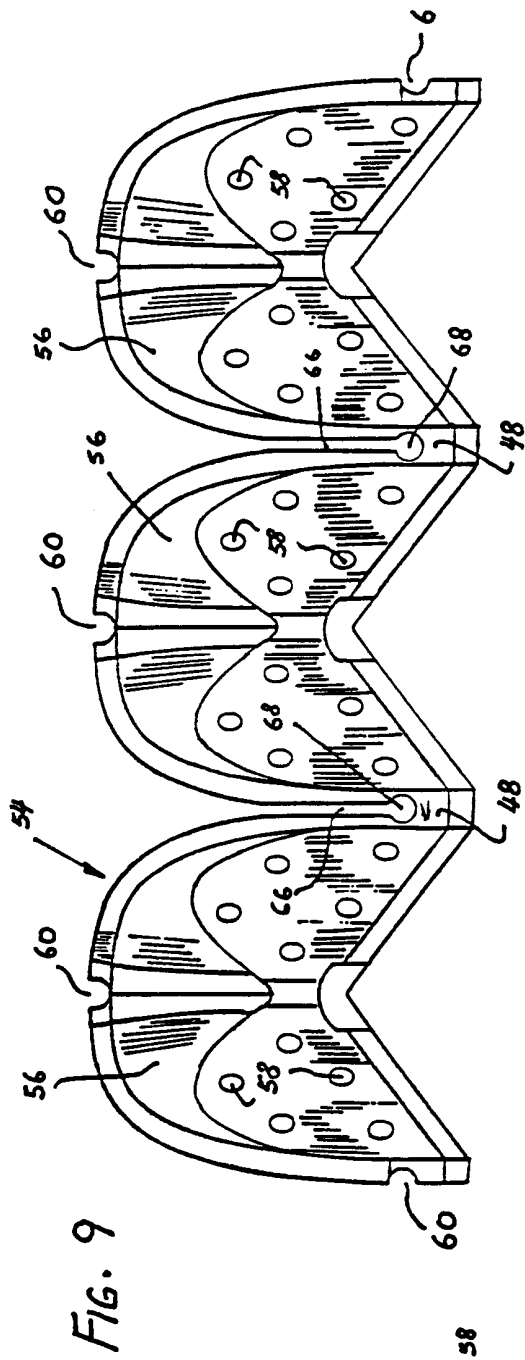
10 **22.** A sizing device in accordance with Claim 21 wherein the body is a
11 truncated sphere.

12 **23.** A sizing device in accordance with Claim 22 wherein the diameter
13 of the truncated sphere is d and the width of each marker is x and where x is
14 in the range of $0.08 d < x < 0.2 d$.

15 **24.** A sizing device in accordance with Claim 23 wherein each marker
16 comprises a groove in the surface of the truncated sphere.







3 / 11

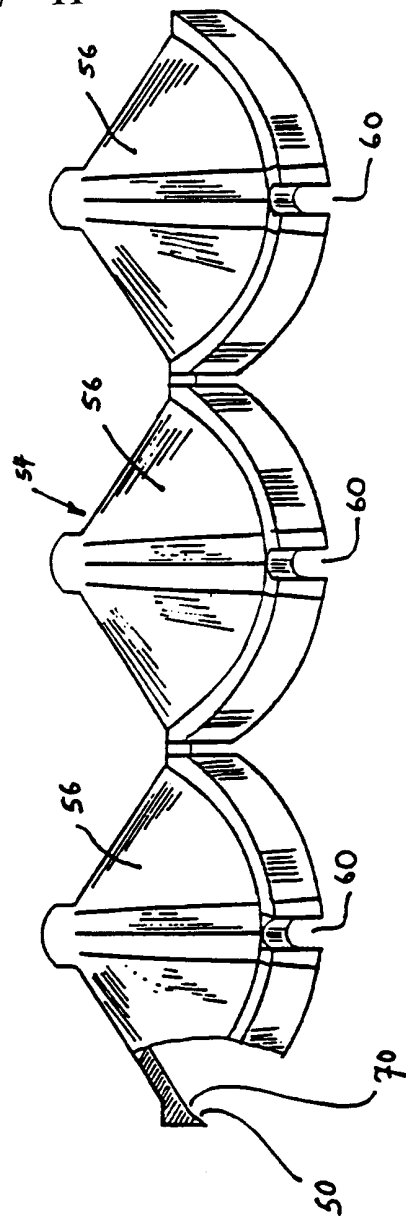


FIG. 11

4 / 11

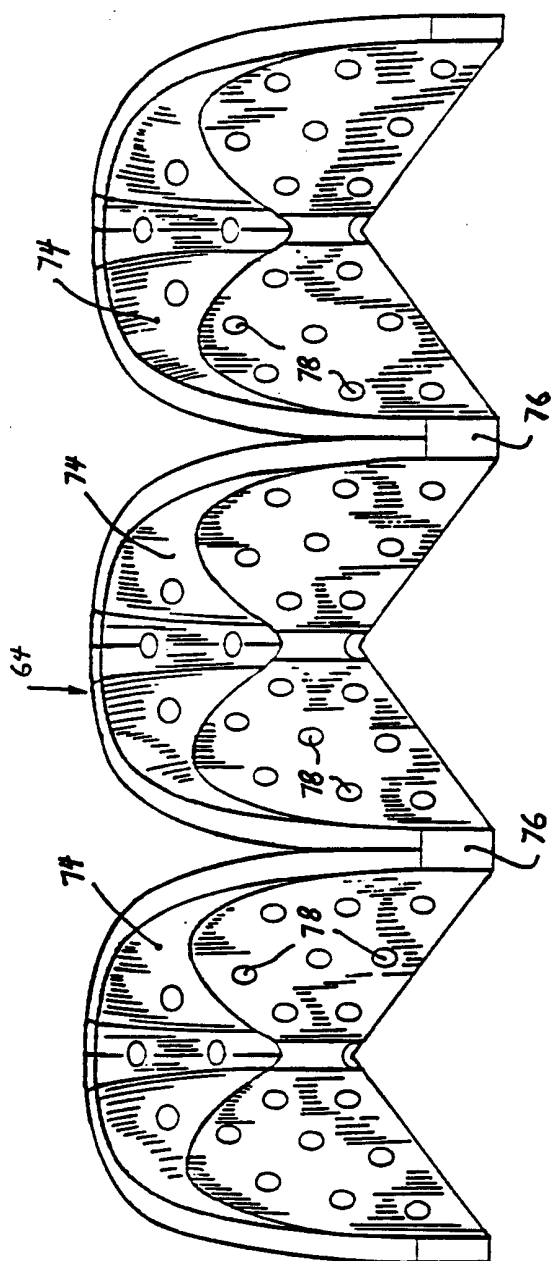


FIG. 12

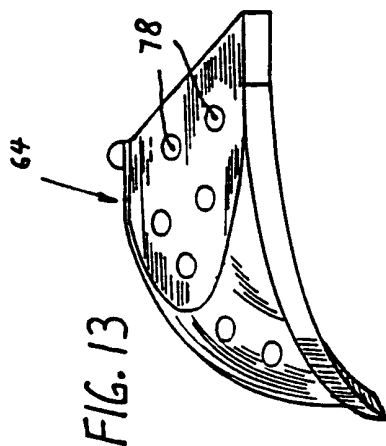


FIG. 13

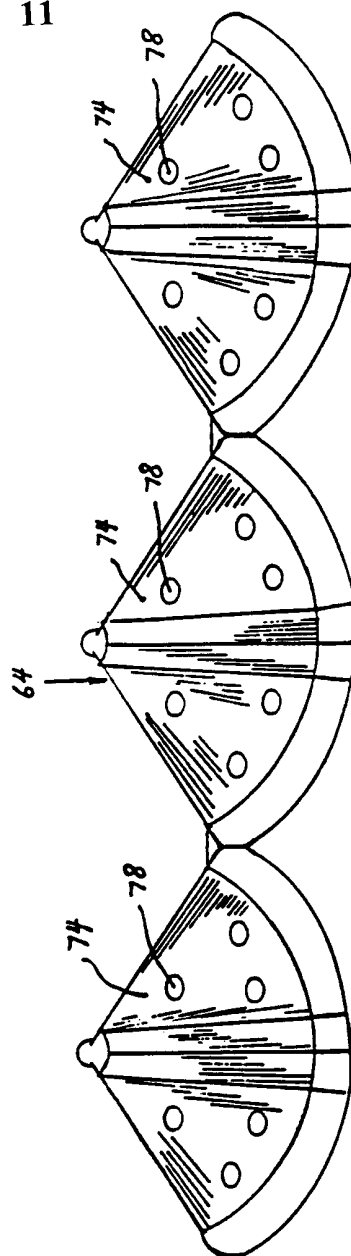
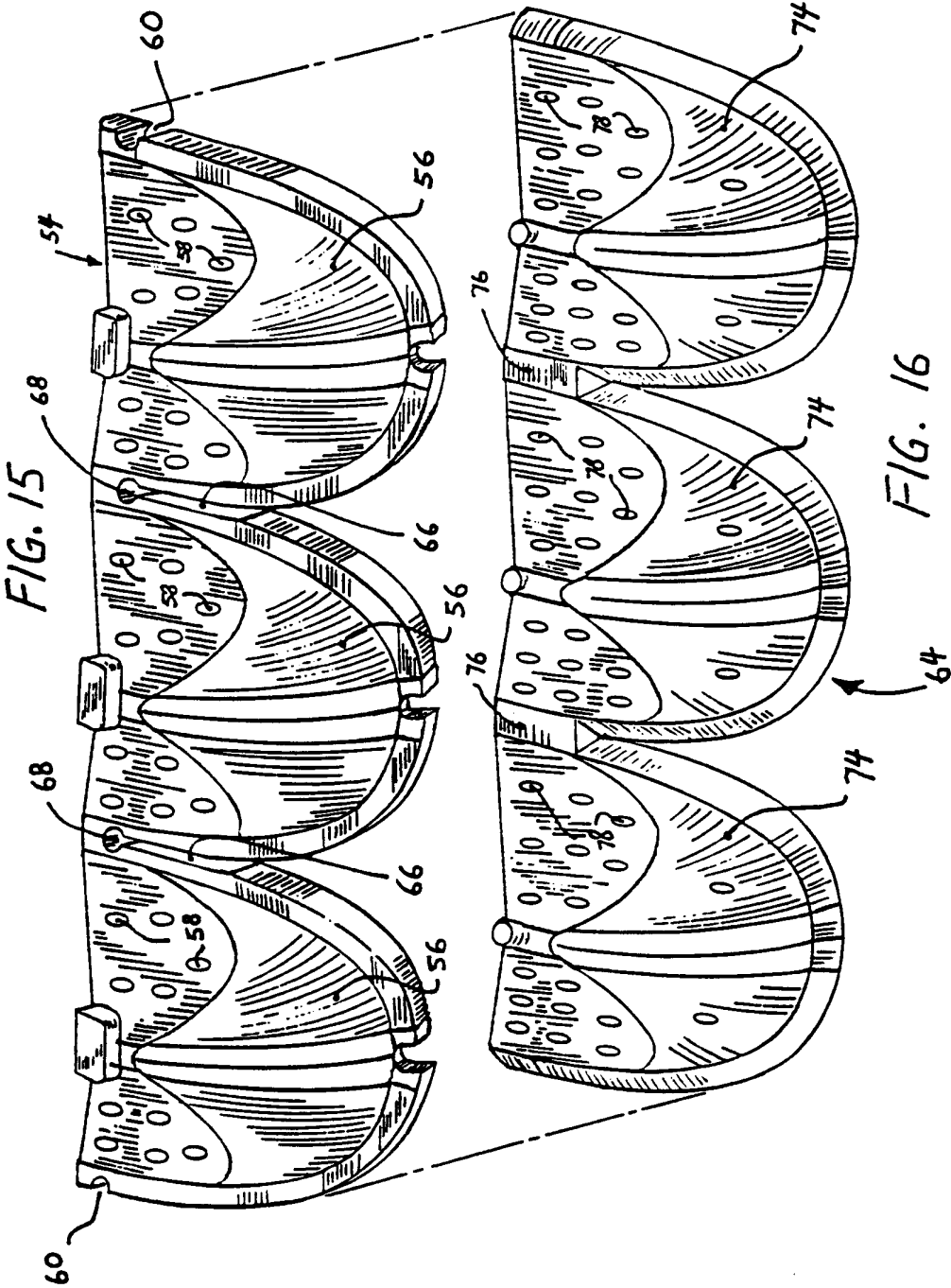


FIG. 14



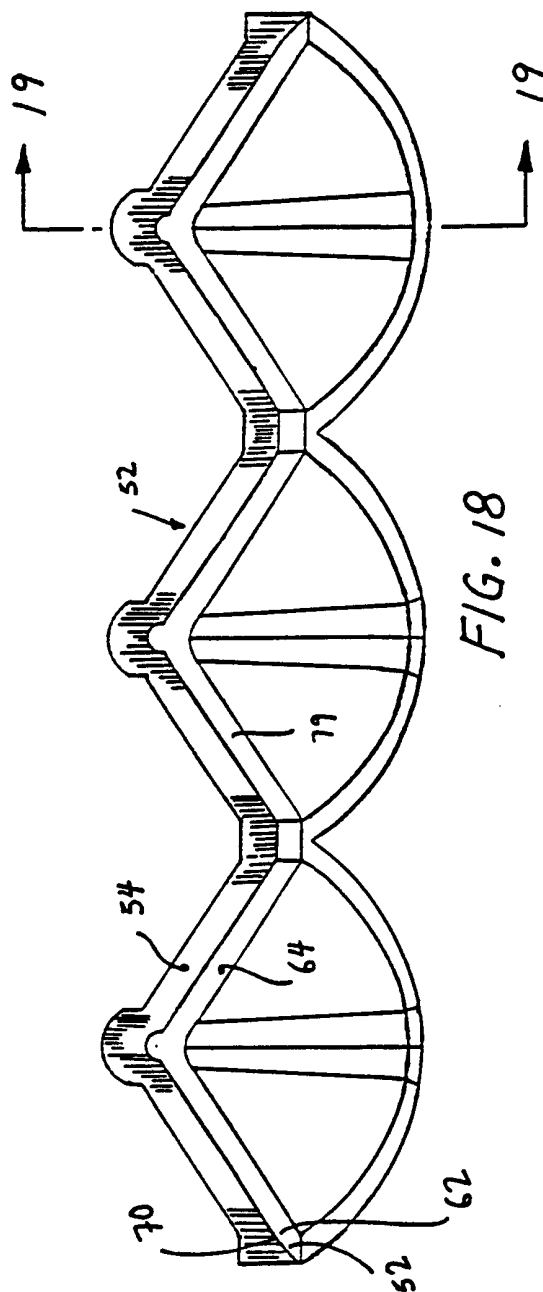
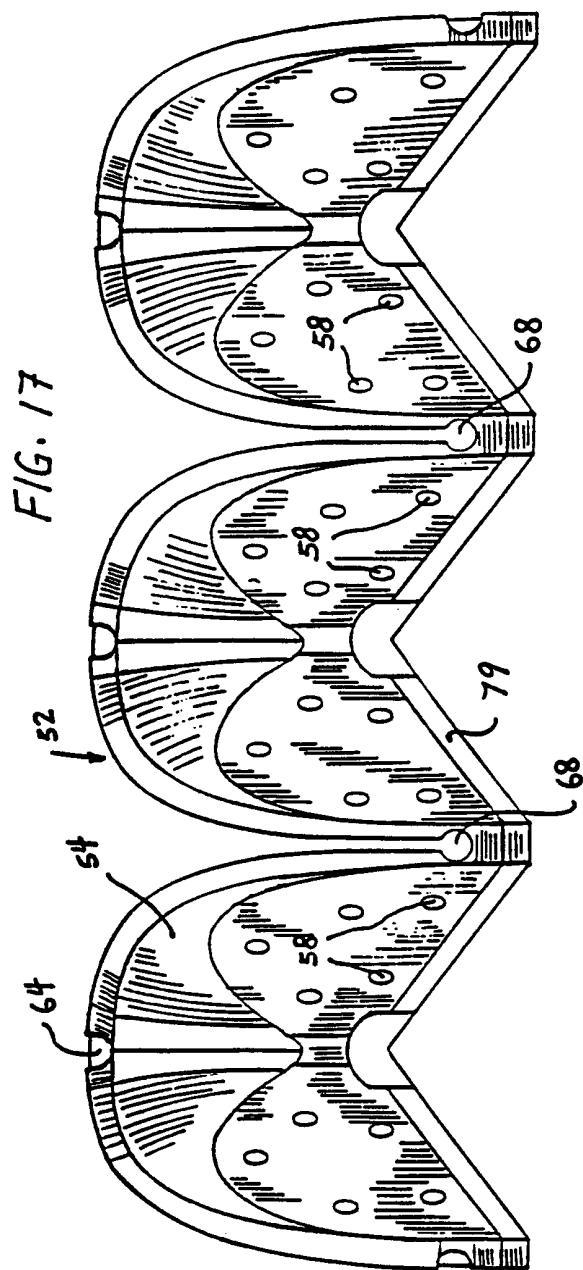


FIG. 19

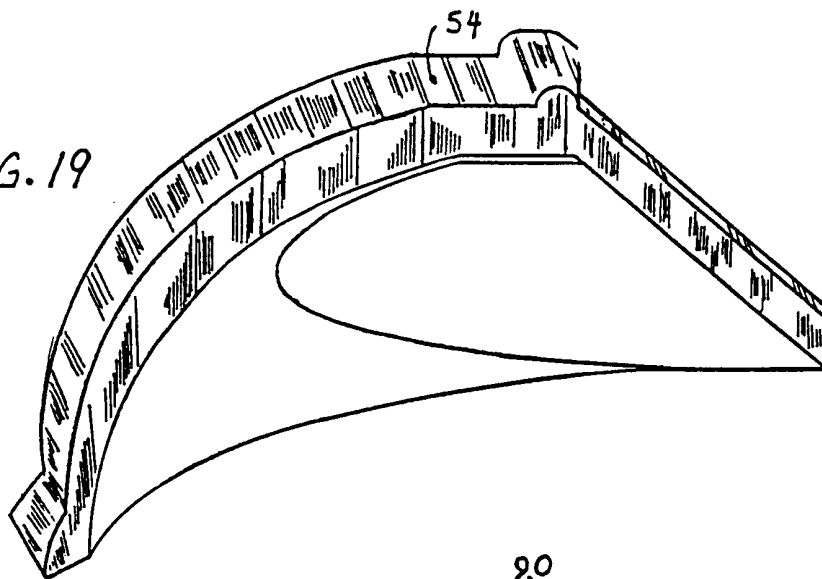


FIG. 20

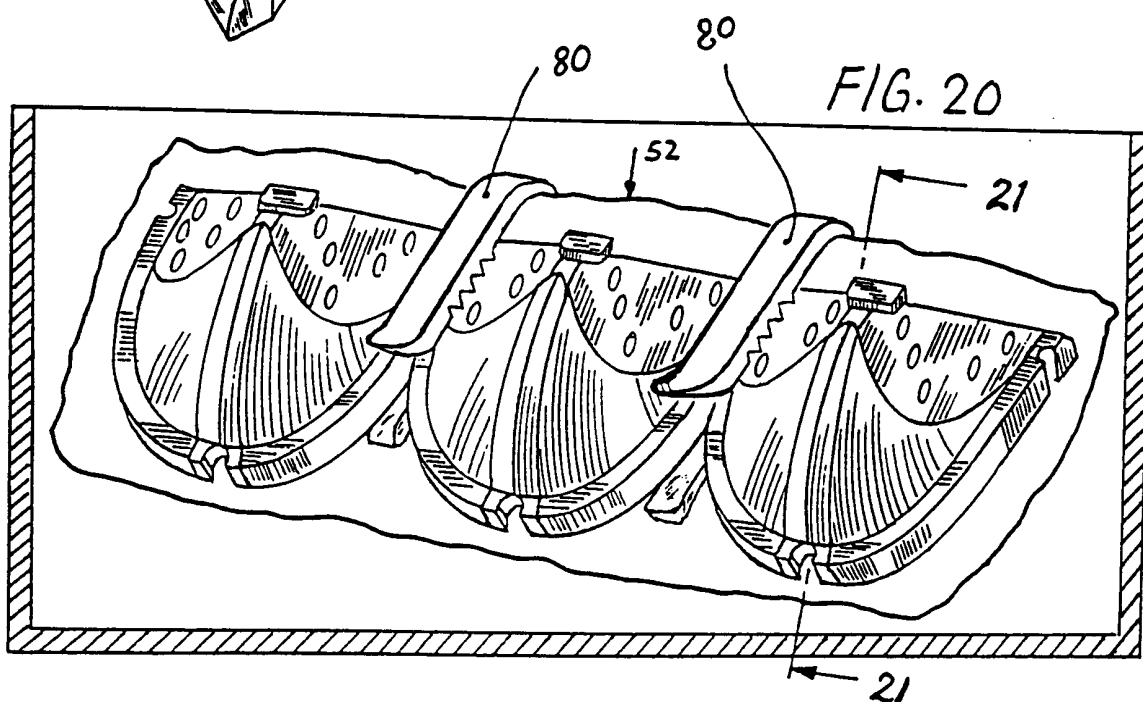
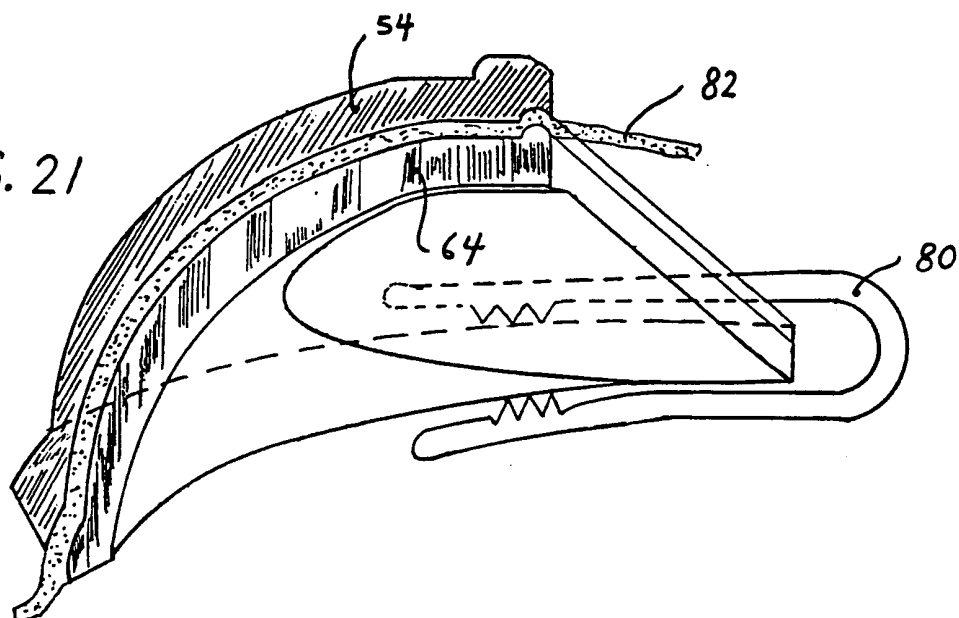
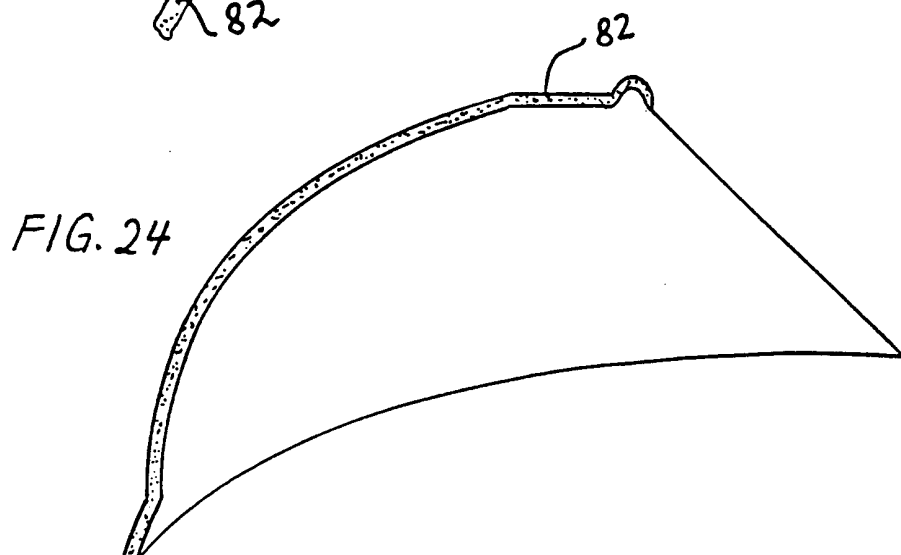
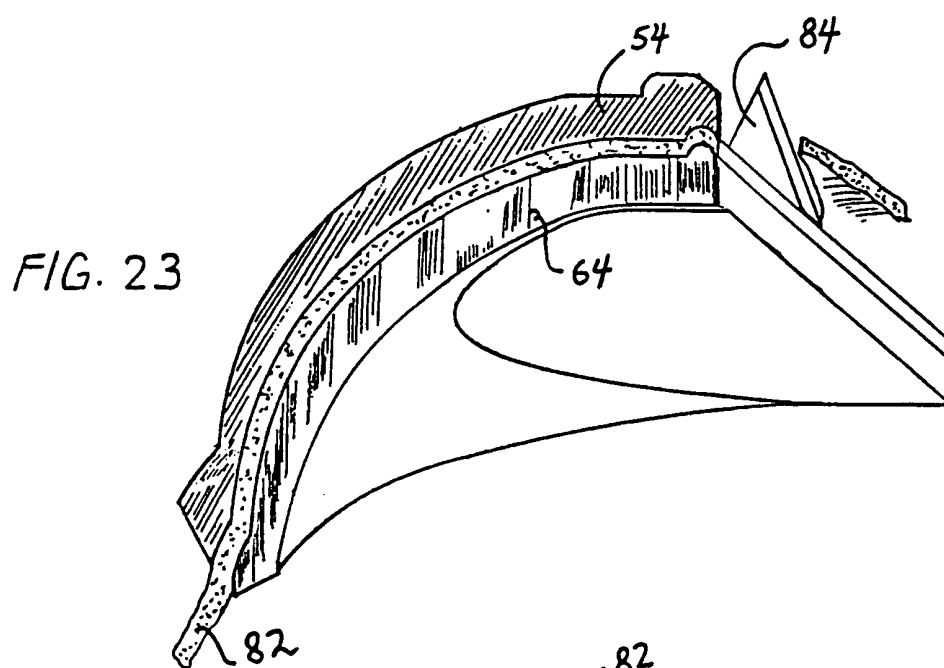
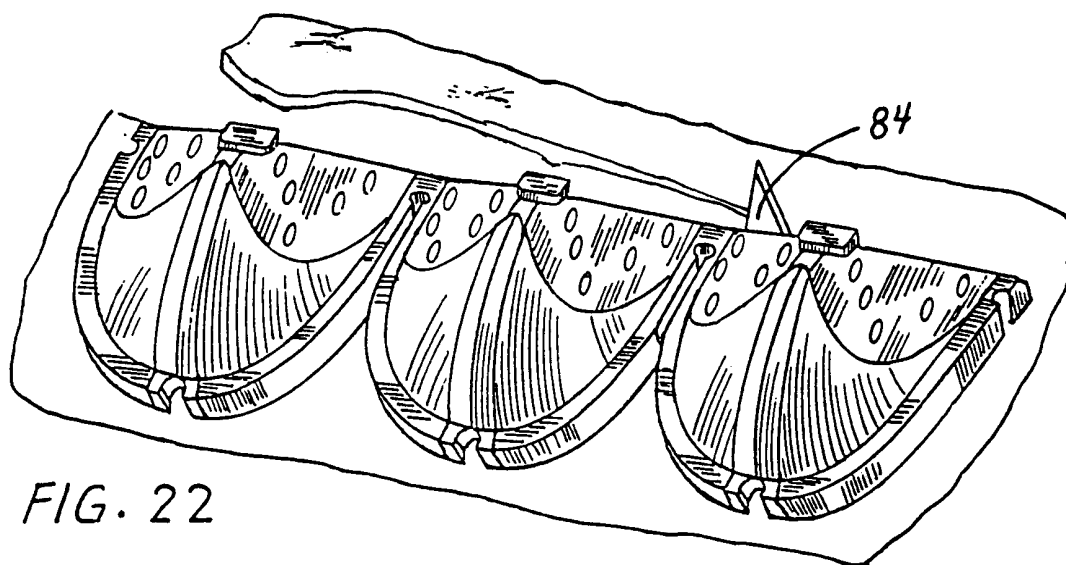
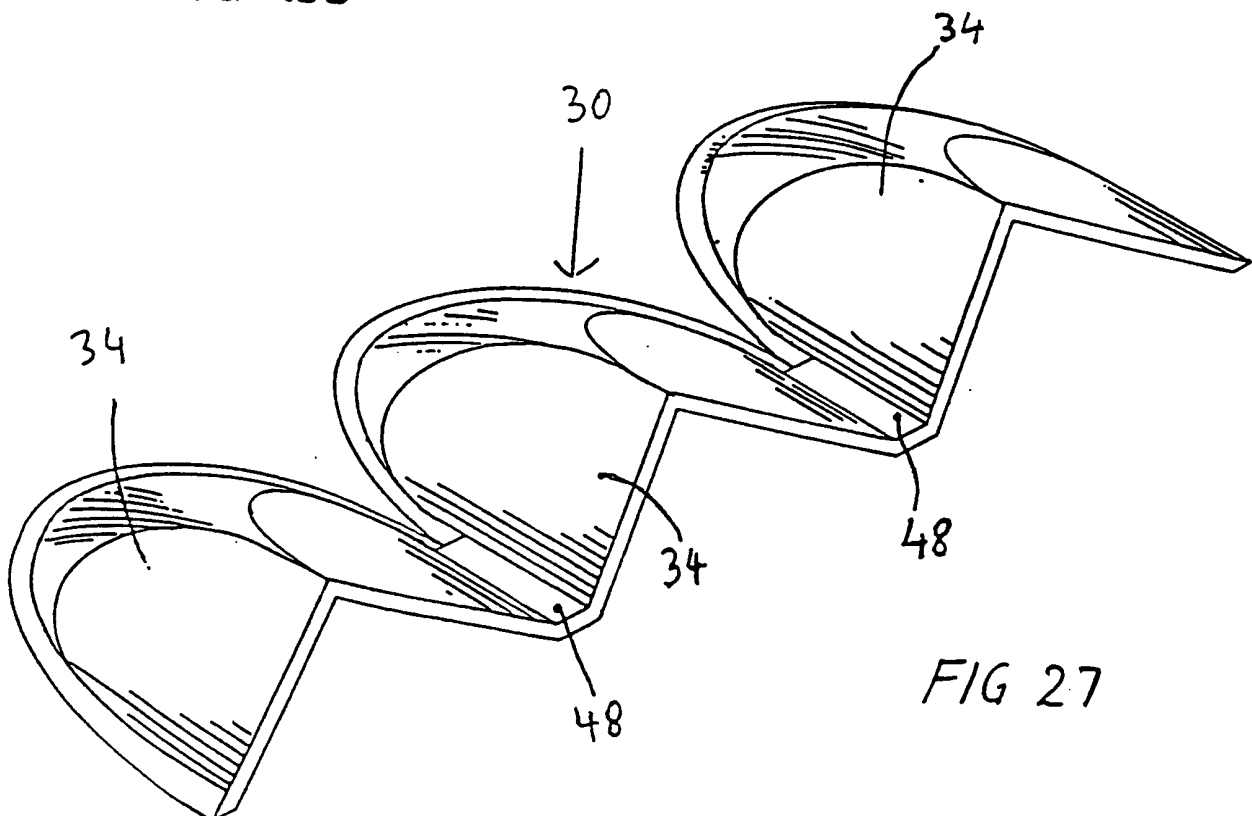
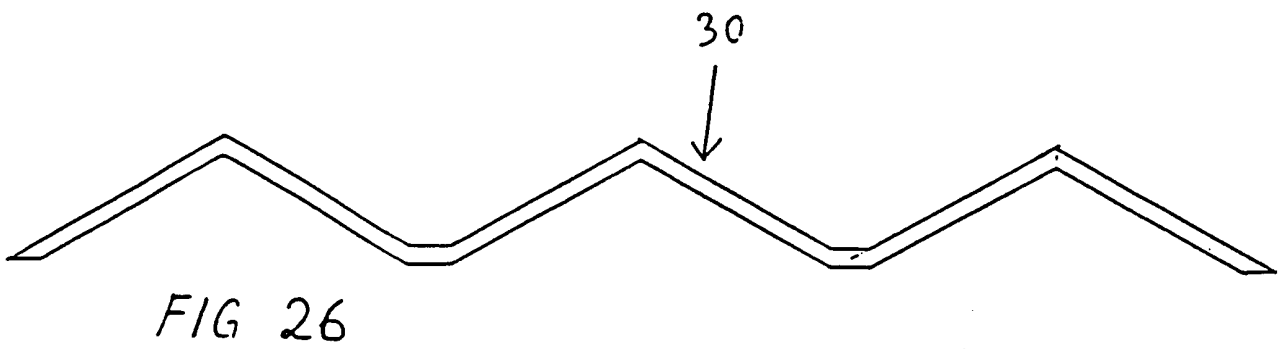
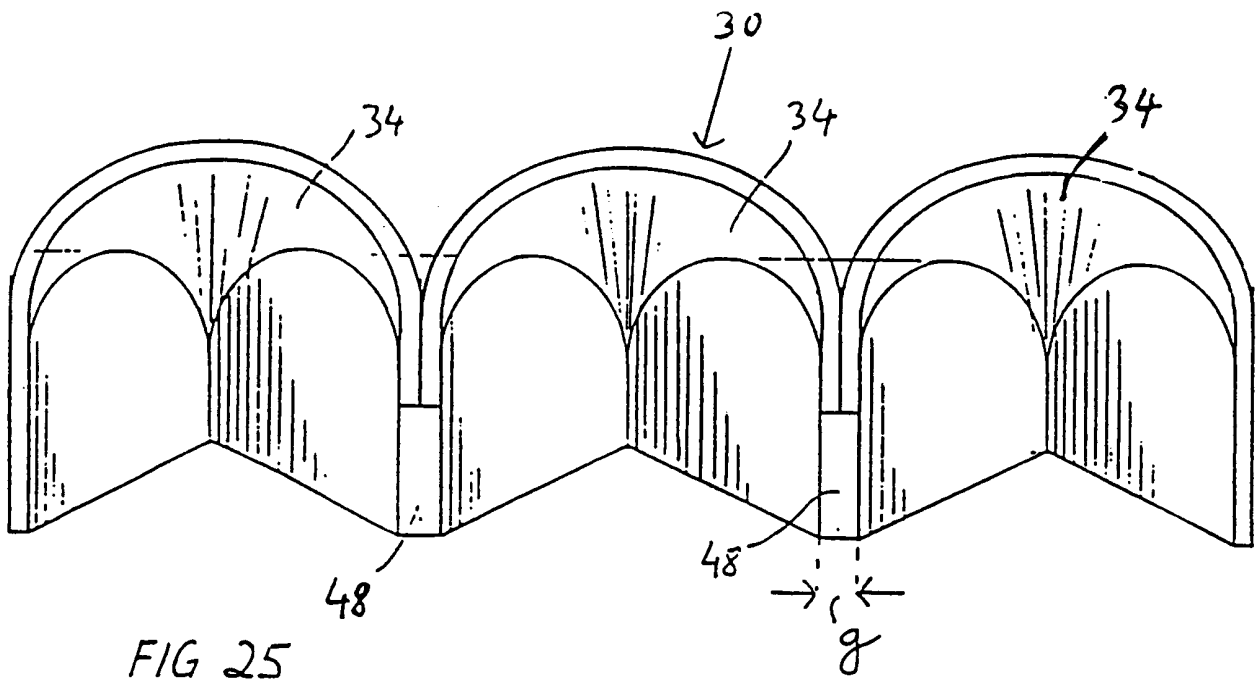
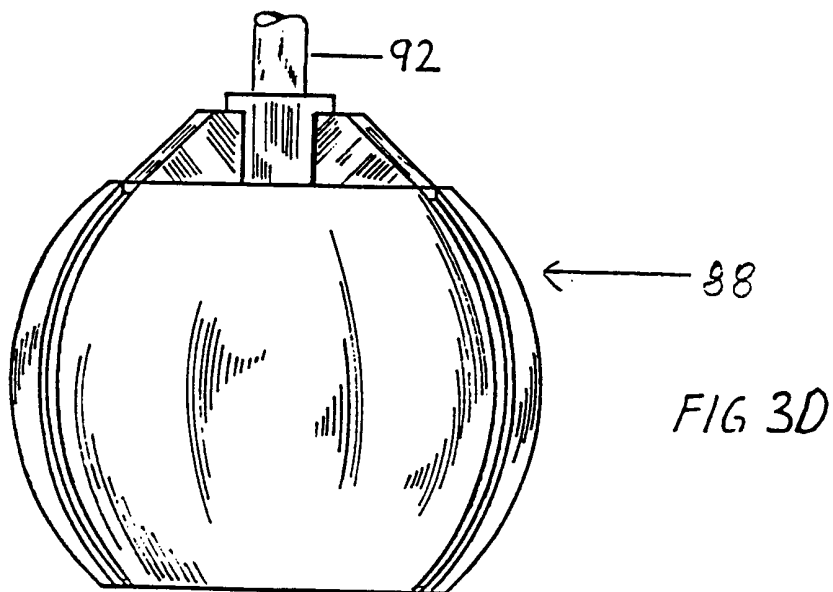
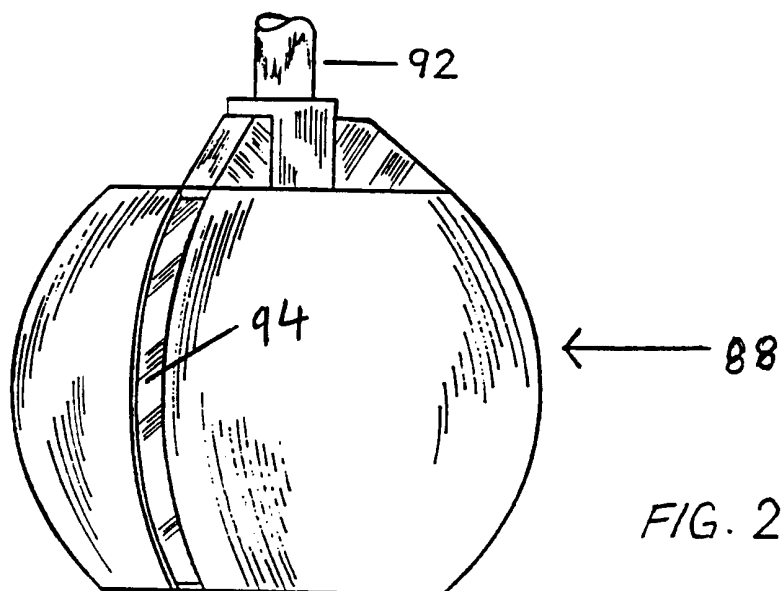
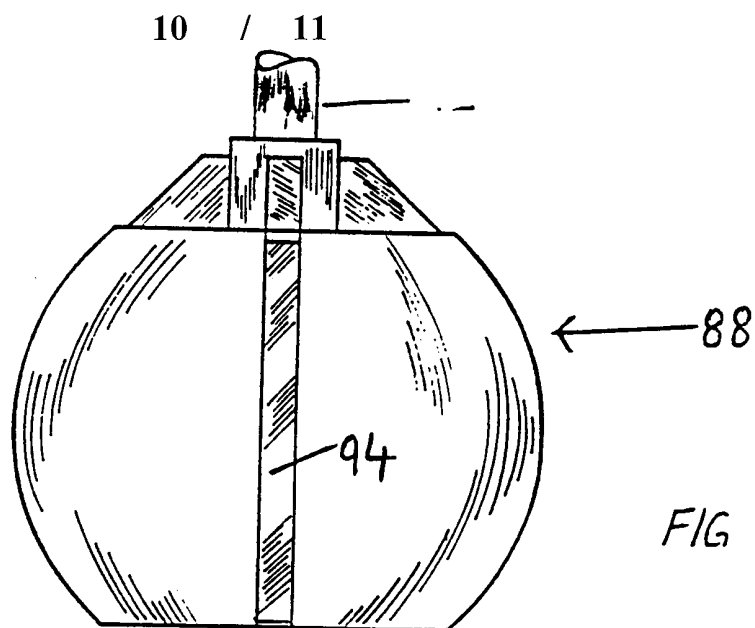


FIG. 21









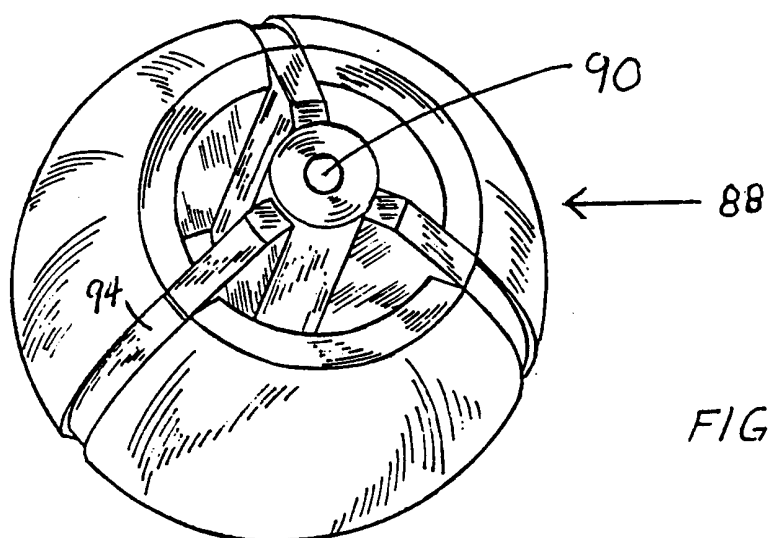


FIG 31

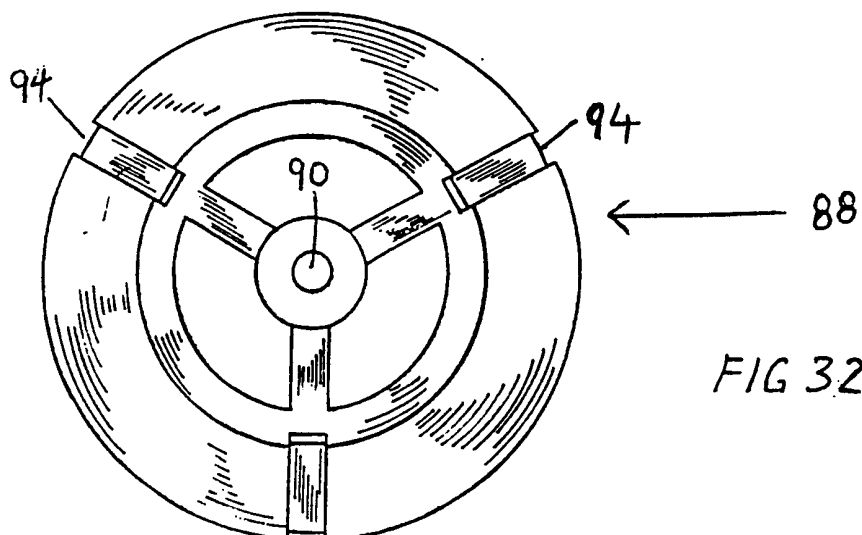


FIG 32

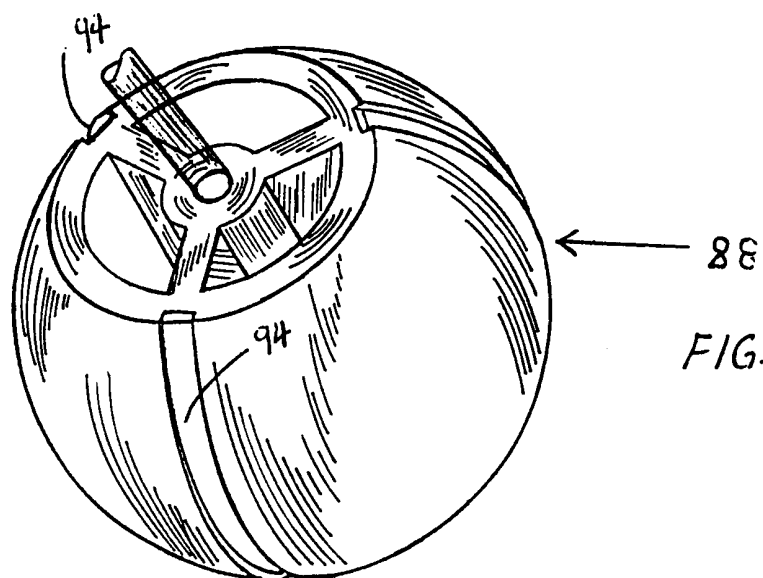


FIG. 33

INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 00/41141

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61F2/24

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 692 164 A (DZEMESHKEVICH SERGEI L ET AL) 8 September 1987 (1987-09-08) figures 12-16 column 13, line 40 -column 15, line 4 ---	1,9
A	US 5 716 399 A (LOVE JACK W) 10 February 1998 (1998-02-10) cited in the application figures 1-5D column 3, line 61 -column 4, line 52 column 5, line 7 - line 35 --- -/--	1,9,21

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

22 March 2001

Date of mailing of the international search report

29/03/2001

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INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/41141

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 588 967 A (LEMP STEVEN K ET AL) 31 December 1996 (1996-12-31) cited in the application figure 1 column 2, line 66 - column 3, line 23 column 4, line 10 - line 27 claim 1 -----	1,9
X	WO 98 32401 A (GORE & ASS) 30 July 1998 (1998-07-30) figures 2A-2C page 9, line 12 - line 25 -----	21
A	-----	22-24
A	US 5 885 228 A (DANIEL SEAN CHRISTOPHER ET AL) 23 March 1999 (1999-03-23) figure 1 column 2, line 53 - line 61 column 3, line 37 - line 47 -----	21-24

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/41141

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