

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
18 June 2009 (18.06.2009)

PCT

(10) International Publication Number  
**WO 2009/074438 A1**

(51) International Patent Classification:  
*C09C 1/30* (2006.01)

(21) International Application Number:  
PCT/EP2008/065912

(22) International Filing Date:  
20 November 2008 (20.11.2008)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
07122862.1 11 December 2007 (11.12.2007) EP

(71) Applicant (for all designated States except US): **EVONIK DEGUSSA GMBH** [DE/DE]; Rellinghauser Strasse 1-11, 45128 Essen (DE).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **MICHAEL, Günther** [DE/DE]; Hugo-Dümmler-Str.24, 63791 Karlstein (DE). **MEYER, Jürgen** [DE/DE]; Grossostheimer Str. 51, 63811 Stockstadt (DE).

(74) Common Representative: **EVONIK DEGUSSA GMBH**; DG-IPM-PAT, Postcode 84/339, Rodenbacher Chaussee 4, 63457 Hanau (DE).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report

(54) Title: COATING SYSTEMS

(57) Abstract: Coating systems characterized in that they contain 0.5% by weight to 30% by weight of a hydrophilic, structurally modified, optionally reground, fumed silica.



**WO 2009/074438 A1**

### Coating systems

The invention relates to coating systems.

The incorporation of fumed (pyrogenically prepared) silica (silicon dioxide) into coating materials is known.

- 5 From the document Schriftenreihe Pigmente No. 18 (April 1980) page 4, the incorporation of hydrophobic fumed silica (Aerosil® 972) together with pigments and fillers into coating materials is known. To produce a clearcoat material, for instance, the preparation is recommended of
- 10 an approximately 10% paste in the respective binder solution, for which it is possible to use known dispersing equipment. The paste thus prepared is used as a grinding medium for the further steps in producing the coating material.
- 15 Also known is the incorporation into coating materials of silanized, structurally modified fumed silica, the binder being mixed with solvent, and the silica being mixed into this mixture by means of a high-speed mixer. The mixture is subsequently dispersed using a bead mill (WO 2004/020532).
- 20 Also known is the use of a dispersion of fumed silica characterized in that it comprises a structurally modified silanized silica and a solvent for the preparation of coating materials which are characterized in that the coating materials, in addition to a relatively high scratch
- 25 resistance, exhibit less of an unwanted haze or an unwanted frosting (WO 2007/128636).

The known methods of incorporating fumed silica into the coating system have the disadvantage that the clearcoat materials produced in this way exhibit inadequate

30 brightness of colour, measured on the basis of the black number My (also called Jetness, Technical Information No. 1204, Degussa AG).

The object was therefore to develop a process allowing the fumed silica, with easy dispersibility, to be incorporated into coating systems in such a way that the coating materials exhibit not only improved scratch resistance but also high brilliance of colour, measured on the basis of the black number My.

The invention provides coating systems characterized in that they contain 0.5% by weight to 30% by weight of a hydrophilic, structurally modified and optionally reground, fumed silica.

The structurally modified, hydrophilic, fumed silica used in accordance with the invention can have a tamped density of 100 to 250 g/l.

Starting from a fumed, hydrophilic silica having a BET surface area of  $200 \pm 25 \text{ m}^2/\text{g}$ , the structurally modified silica used in accordance with the invention can have a DBP number of 160 to 240 g/100 g (DIN 53601).

Starting from a fumed, hydrophilic silica having a BET surface area of  $300 \pm 30 \text{ m}^2/\text{g}$  the structurally modified silica used in accordance with the invention can have a DBP number of 180 to 250.

The water content not only of the silica starting material used but also of the structurally modified silicas used in accordance with the invention can be less than 1.5% by weight.

The reground, structurally modified silicas used in accordance with the invention can have a grindometer value of less than/equal to 60  $\mu\text{m}$ , preferably less than/equal to 40  $\mu\text{m}$ .

The structurally modified silica used in accordance with the invention can have a water content which is higher than that of the silica starting materials used. It can be not

more than 3.5% by weight.

A process for preparing the hydrophilic fumed silica used in accordance with the invention can be characterized in that hydrophilic fumed silica is structurally modified and  
5 optionally reground.

The structural modification is carried out by means of a ball mill, or of a continuously operating ball mill. Prior to and/or during the structural modification, water can be added to the silica. This addition may be made in an amount  
10 of 0.5% to 5% by weight, preferably 0.5% to 2% by weight. It results in a lower thickening effect.

Following structural modification, the DBP number is lower or cannot be ascertained.

The structural modification raises the grindometer value  
15 (particle size limit). The increased grindometer value, however, is lowered again during regrinding.

In the course of structural modification, the agglomeration structure of the fumed silica is very largely destroyed. This can be seen from the figures.

20 Figure 1 shows the original fumed silica, while Figure 2 represents the structurally modified fumed silica.

The structurally modified fumed silica has a higher tamped density. The individual aggregates remaining are more spherical. They can have a thickening effect of  $< 1400$ . In  
25 the case of an initial silica having a BET surface area of  $200 \pm 25 \text{ m}^2/\text{g}$ , the thickening effect can be  $< 1000$ . In the case of an initial silica having a BET surface area of  $300 \pm 30 \text{ m}^2/\text{g}$ , the thickening effect may be  $< 1400$ .

Regrinding reduces the grindometer value, with the particle  
30 size distribution being shifted towards smaller particles.

The structure of the fumed silica that has remained after

structural modification is not adversely affected by regrinding.

Regrinding has virtually no effect on the performance properties of the structurally modified, fumed silica,  
5 since the energy input for regrinding is lower than in the ball mill.

For the regrinding it is possible, for example, to use the following apparatus: air-jet mill, toothed-disc mill or pinned-disc mill. More preferably an air-jet mill can be  
10 used.

Following structural modification and/or regrinding, a heat treatment may be carried out. This heat treatment may take place batchwise, in a drying cabinet for example, or continuously, in a fluid bed or fluidized bed, for example.

15 As hydrophilic fumed silicas it is possible to use hydrophilic fumed silicas which have a BET surface area of  $50 \pm 30$  to  $380 \pm 30$  m<sup>2</sup>/g. With particular preference it is possible to use hydrophilic fumed silicas which have a BET surface area of  $200 \pm 25$  or  $300 \pm 30$  m<sup>2</sup>/g.

20 More particularly it is possible to use the following hydrophilic fumed silicas listed in Table 1.

Table 1

		AEROSIL® 200	AEROSIL® 300
CAS Reg. number			
Attitude to water			
Appearance			
BET surface area <sup>1)</sup>	m <sup>2</sup> /g	200 ± 25	300 ± 30
Average primary particle size	nm	12	7
Tamped density <sup>2)</sup> standard product compacted product (coded "C")	g/l g/l	about 50 about 120	about 50 about 120
Loss on drying <sup>3)</sup> (2 h at 105°C) on leaving supply plant	%	< 1.5	< 1.5
Loss on ignition <sup>4)</sup> (2 h at 1000°C)	%	< 1	< 2
pH <sup>5)</sup> (in 4% aqueous dispersion)		3.7 - 4.7	3.7 - 4.7
SiO <sub>2</sub> <sup>8)</sup>	%	> 99.8	> 99.8
Al <sub>2</sub> O <sub>3</sub> <sup>8)</sup>	%	< 0.05	< 0.05
Fe <sub>2</sub> O <sub>3</sub> <sup>8)</sup>	%	< 0.003	< 0.003
TiO <sub>2</sub> <sup>8)</sup>	%	< 0.03	< 0.03
HCl <sup>8)10)</sup>	%	< 0.025	< 0.025
Sieve residue <sup>6)</sup> (Mocker, 45 µm)	%	< 0.05	< 0.05

1) based on DIN 66131

2) based on DIN ISO 787/XI, JIS K 5101/18 (unsieved)

3) based on DIN ISO 787/II, ASTM D 280, JIS K 5101/21

4) based on DIN 55921, ASTM D 1208, JIS K 5101/23

5) based on DIN ISO 787/IX, ASTM D 1208, JIS K 5101/24

6) based on DIN ISO 787/XVIII, JIS K 5101/20

7) based on substance dried at 105°C for 2 hours

8) based on substance calcined at 1000°C for 2 hours

9) special moisture-protective packaging

10) HCl content in constituent from loss on ignition

**Examples**

The hydrophilic fumed silica Aerosil® 200 and the hydrophilic fumed silica Aerosil® 300 are structurally modified using a ball mill. In this case, as indicated in  
5 Table 2, water is added and regrinding takes place.

The structurally modified silicas obtained have a higher loss on drying than the initial silica.

10 The physicochemical data of the structurally modified, hydrophilic silicas obtained are shown in Table 3.

Table 2

Identification	Oxide/starting material	Structural modification	Parts H <sub>2</sub> O/ 100 parts oxide	Regrinding*
Comparative Example 1	AEROSIL® 200	No	–	No
Comparative Example 2	AEROSIL® 300	No	–	No
Example 1	AEROSIL® 200	Yes	1.0	No
Example 2	AEROSIL® 200	Yes	1.5	No
Example 3	AEROSIL® 200	Yes	2.0	No
Example 4	AEROSIL® 200	Yes	5.0	No
Example 5	AEROSIL® 200	Yes	1.0	TM
Example 6	AEROSIL® 200	Yes	1.0	AJ
Example 7	AEROSIL® 300	Yes	1.33	No
Example 8	AEROSIL® 300	Yes	3.0	No
Example 9	AEROSIL® 300	Yes	3.0	No
Example 10	AEROSIL® 300	Yes	2.0	No
Example 11	AEROSIL® 300	Yes	1.0	No
Example 12	AEROSIL® 300	Yes	1.0	TM
Example 13	AEROSIL® 300	Yes	1.0	AJ

- 5    \*: TM = toothed-disc mill  
       AJ = air-jet mill



Physicochemical data of the inventive silicas and of the comparative silicas

Table 3

Identification	BET specific surface area [m <sup>2</sup> /g]	Tamped density [g/l]	Loss on drying [%]	Loss on ignition [%]	pH	DBP [%]	Grindometer value [μm]	Thickening
Comparative Example 1	202	54	0.8	0.7	4.5	290	28	2890
Comparative Example 2	306	50	0.5	0.8	4.0	323	30	2955
Example 1	206	172	1.3	0.8	4.4	219	175	290
Example 2	203	184	1.8	0.8	4.5	201	-	-
Example 3	204	179	2.1	0.8	4.5	198	-	-
Example 4	202	189	4.6	1.0	4.6	186	-	-
Example 5	204	142	1.3	0.8	4.7	204	150	350
Example 6	203	31	0.9	1.0	4.5	199	30	760
Example 7	311	133	1.2	1.1	4.4	232	-	-
Example 8	309	185	2.8	1.2	5.7	197	-	-
Example 9	308	181	3.0	1.2	4.2	215	-	-
Example 10	308	144	2.0	1.0	4.3	227	-	-
Example 11	306	127	1.3	1.0	4.4	230	175	330
Example 12	306	117	1.1	1.1	4.4	230	150	310
Example 13	307	27	1.0	1.0	4.3	206	50	735

Using various physicochemical data, Table 3 shows the effects of structural modification and of regrinding on the hydrophilic fumed silicas AEROSIL® 200 and AEROSIL® 300.

AEROSIL® 200 in the untreated state possesses a surface area of 202 m<sup>2</sup>/g. This is not influenced or changed by the structural modification and regrinding (see Comparative Example 1 and also Examples 1 to 6).

The tamped density is increased as a result of the structural modification. The subsequent regrinding, however, lowers the tamped density again (see Examples 5 and 6).

The DBP number is lowered by the structural modification. It remains, however, unaffected by the regrinding.

The grindometer value is raised by the structural modification and returned to the original value by the regrinding, if the air-jet mill is used for regrinding.

The thickening effect of the hydrophilic fumed silica is lowered significantly by the structural modification, but increases somewhat as a result of the regrinding.

#### Grindometer value

##### Principles:

The degree of dispersion determines the performance properties of the liquid thickened with Aerosil. The measurement of the grindometer value serves for assessing the degree of dispersion. By the grindometer value is meant the boundary layer thickness below which the bits or aggregates present become visible on the surface of the sample which has been coated out.

The sample is coated out in a groove with a scraper, the depth of the groove at one end being twice the size of the diameter of the largest Aerosil particles, and decreasing steadily down to 0 at the other end. On a scale indicating the depth of the groove, the depth value is read off, in micrometres, the value in question being that below which a relatively large number of Aerosil particles become

visible as a result of bits or scratches on the surface of the binder system. The value read off is the grindometer value of the system present.

Apparatus and reagents:

Hegmann grindometer with a depth range of 100-0 micrometre.  
Polyester resin dispersion with 2% Aerosil, prepared according to Testing Instructions 0380.

The testing instructions run as follows:

Apparatus and reagents:

Dispermat AE02-C1, VMA-Getzmann (dispersing disc, diameter 5 cm)  
plastic beaker, 350 ml, external diameter 8.4 cm  
plastic lid to fit  
Monostyrene solution (100 g monostyrene + 0.4 g paraffin)  
Palatal® P6-01, DSM Composite Resins  
Centrifuge, Jouan GmbH  
Thermal-conditioning cabinet

Procedure:

142.5 g of Palatal® are weighed out into a plastic beaker and 7.5 g of Aerosil are weighed in; subsequently the Aerosil is stirred carefully into the Palatal, using the Dispermat at about 1000 min<sup>-1</sup> (any residues of Aerosil adhering to the beaker walls are brushed into the beaker with the Dispermat switched off) and then dispersed for 5 minutes at 3000 min<sup>-1</sup> (the distance of the dispersing disc from the bottom of the beaker is about 1 mm); the beaker is covered during this procedure with a lid including a drill hole.

60 g of the dispersion and 27 g of monostyrene solution are introduced into a further plastic beaker, with 63 g of Palatal® P6, and dispersion is carried out using the Dispermat at 1500 min<sup>-1</sup> for 3 minutes (beaker covered).

This results in a concentration of 2% Aerosil in the final mixture, which contains 18% monostyrene.

In order to remove air bubbles, the plastic beaker, sealed, is centrifuged in a laboratory centrifuge at  $2500 \text{ min}^{-1}$  for 2.5 minutes. The dispersion is left standing in the covered beaker in the thermal-conditioning cabinet at  $22^{\circ}\text{C}$  for 1 hour and 50 minutes.

#### **A. Preparation of a mixture of unsaturated polyester resins with silica filler**

Using the operating instructions described here, mixtures of hydrophilic AEROSIL® grades and unsaturated polyester resins are prepared, in order to characterize the granularity and the thickening capacity of the silicas.

##### Formulation

98%	Palatal A 410	(from BÜFA)
2%	silica	

205.8 g of Palatal A 410 and 4.2 g of silica are weighed out into a PE beaker and the dissolver disc is fully immersed. Then the silica is homogenized (incorporated) at a speed  $n_1$  of  $1000 \text{ min}^{-1}$  with the lid closed. As soon as the silica is fully incorporated, the speed is increased to  $n_2$ ,  $3000 \text{ min}^{-1}$ , and dispersion is carried out for 5 minutes. Subsequently the mixture is deaerated in a vacuum cabinet and stored in a water bath at  $25^{\circ}\text{C}$  for at least 90 minutes.

#### **B. Measurement of the viscosity of resins with silica filler**

Resins (e.g. polyester resin, UP resin, vinyl ester resin) generally contain fillers for the purpose of improving the performance properties. Depending on the field of use, the nature and concentration of the filler used influence the rheological behaviour of the resin. A Brookfield DV III rheometer is used. Using a spatula, the mixture is homogenized in its storage vessel for 1 minute. In the course of this homogenization no bubbles ought to form. Subsequently the mixture is introduced into a 180 ml beaker until the beaker is almost full. Without delay, the measuring head of the rheometer is immersed fully into the mixture, and measurement takes place as follows:

5 rpm	value read off after	60 s
50 rpm	value read off after	30 s

The values read off are the viscosities [Pa\*s] at the respective rpm.

### **C. Determination of the grindometer value to DIN 53 203**

Test instrument

A Hegmann grindometer block is used.

#### Measuring procedure

The grindometer block is placed on a flat, slip-proof surface and is wiped clean immediately prior to testing. A bubble-free sample is then introduced at the deepest point of the groove in such a way that it flows off somewhat over the edge of the groove. The scraper is then held in both hands and placed, perpendicularly to the grindometer block and at right angles to its longitudinal edge, with gentle pressure, onto the low end of the groove. The sample is then coated out in the groove by means of slow, uniform drawing of the scraper over the block. The granularity is read off not later than 3 seconds after the sample has been scraped.

The surface of the sample is viewed obliquely from above (at an angle of 20-30° to the surface) transversely to the groove. The block is held to the light in such a way that the surface structure of the sample is readily apparent.

The value found as granularity on the scale is the figure in micrometres below which a relatively large number of silica grains become visible as bits or scratches on the surface. Individual bits or scratches occurring randomly are not taken into account in this context.

The granularity is assessed at least twice, in each case on a dispersion which has been newly coated out.

Evaluation:

From the measured values the arithmetic mean is formed.

The relationship between the grindometer value in micrometres and the FSPT units and Hegmann units, which are based on the inch system, is as follows:

$$B = 8 - 0.079 A$$

$$C = 10 - 0.098 A = 1.25 B$$

In this relationship:

A = grindometer value in micrometres

B = grindometer value in Hegmann units

C = grindometer value in FSPT units

Table 4: Formula of 2K PU HS clearcoat

Grinding medium	Blank sample	Silica powder
Macrynal SM 565, 70%	61.0	61.0
Butyl acetate	9.3	9.3
Methoxypropyl acetate	1.7	1.7
Solvesso 100	3.0	3.0
Xylene	2.7	2.7
Silica powder	-	5.0
Curing agent		
Desmodur N 3390, 90%	22.3	22.3
□	100.0	105.0

Preparation and testing of the coating materials:

Predispersion	Disperse 2.5 times the amount of grinding medium for 5 minutes using a dissolver at 2500 rpm
Dispersion	Bead mill SL 5, 2500 rpm, pump 50%, time: 45 min 0.5 mm Ce-stabilized ZrO <sub>2</sub> beads grindometer value after 15 min <10 µm
Addition of the curing agent	The curing agent Desmodur N 3390 is added with stirring (1000 rpm), followed by homogenization for about 1 minute
Viscosity measurement	Compilation of flow curves of the grinding medium on the next day and flow curves and yield curves of the coating material 15 minutes following addition of the curing agent: - flow curve: $\dot{\gamma} = 50 \text{ s}^{-1}$ (30 s) rest (600 s) $\dot{\gamma} = 0.1 \text{ s}^{-1}$ to $500 \text{ s}^{-1}$ (150 s)
Application	Spray application at 21 s DIN 4 mm on black-painted panels (DT36) using an automatic sprayer Setting: 1 cross pass at setting 3.5 Dry film thickness: about 40 µm Spraying diluent: Butyl acetate 98% 60 Xylene 25 Solvesso 15
Drying conditions	About 24 h at RT, then 2 h at 70°C
20° reflectometer value Haze	The gloss and haze are assessed on coating films applied to black panels, using a reflectometer from Byk Gardner
Black number My (assessment of transparency)	The determination is made on coating films which have been applied to panels sprayed black, using a D19C densitometer from Gretag Macbeth. The black number My is the value measured multiplied by one hundred.
Wave scan (levelling)	The levelling is assessed using a Wave-scan plus instrument from Byk-Gardner

Scratch resistance, wet	<p>Abrasion testing instrument from Braive Instruments, using abrasive brushes with pig bristles, 40 double rubs with SiO<sub>2</sub> slurry.</p> <p>The slurry was prepared anew for each abrasion procedure (4 panels):</p> <p style="padding-left: 40px;">strong: 400 g deionized water 4 g Marlon A 350, 0.25% strength in water 20 g of Sikron SF 500 (quartz)</p> <p>Homogenized with a paddle stirrer at 1000 rpm for 1 minute.</p> <p>The scratch tests were carried out a week after applying the coatings.</p> <p>Gloss and haze measured 10 minutes after scratching, against the scratch tracks.</p> <p>The residual gloss is reported in %.</p>
Scratch resistance, dry	<p>Crockmeter model 670 (Schröder), five double rubs using polishing paper grade 9MIC blue (281Q Wetordry Production - 3M)</p>



**Results**Table 5: Viscosity - flow time, optical properties

	<b>Blank sample</b>	<b>Dispersion from WO 07/128636</b>	<b>Dispersion from WO 04/020532</b>	<b>Silica from Comparative Example 1</b>	<b>Silica from Example 1</b>
Flow time DIN 4 mm (s)	44	63	64	89	60
Wave scan					
L	3	9	15	7	5
S	0.3	3	13	2	3
Flow, visual*	1	2	3-4	2	2

\* German school grade system

Table 6: Scratch tests

5% Sikron SF500	Black number Mp	Gloss before scratch	Gloss after scratch	Residual gloss [%]	Haze before scratch	Haze after scratch	$\Delta$ Haze
Blank sample	289	88.0	32.3	36.7	8	102	94
Dispersion from WO 07/128636	270	83.8	63.9	76.3	9	33	24
Dispersion from WO 04/020532	278	84.7	54.1	63.8	9	52	43
Silica from Comparative Example 1	283	87.3	51.6	59.1	9	62	53
Silica from Example 1	281	86.5	54.0	62.4	8	60	51
Crockmeter							
Blank sample		88.0	39.4	44.8	8	120	112
Dispersion from WO 07/128636		83.8	69.6	83.1	9	58	49
Dispersion from WO 04/020532		84.8	70.7	83.4	9	59	50
Silica from Comparative Example 1		87.3	61.3	70.2	9	83	74
Silica from Example 1		86.5	65.4	78.8	9	64	55

Experience suggests that structurally modified silicas are more difficult to disperse. In the examples above, therefore, dispersion was carried out using a bead mill. The examples below show the effect of grinding on the structurally modified hydrophilic silicas. To assess the dispersibility, dispersion was carried out for 60 minutes using a Skandex disperser, in 500 ml glass bottles with addition of 400 g of glass beads (3 mm in diameter).

Table 7:

	<b>Grindometer value (<math>\mu\text{m}</math>) 30'</b>	<b>Black number My</b>	<b>20° reflecto- meter value</b>	<b>Haze</b>
Example 1	16	275	85.7	21
Example 5	16	277	85.7	22
Example 6	10	276	86.4	16
Example 11	17	279	85.3	28
Example 12	17	279	85.3	29
Example 13	11	278	85.9	22

The coatings properties are not notably affected in the case of grinding in accordance with version /1; it is therefore surprising that the process /2 significantly improves the grindometer value and the haze in the case of the AEROSIL 200 and the AEROSIL 300 variant.

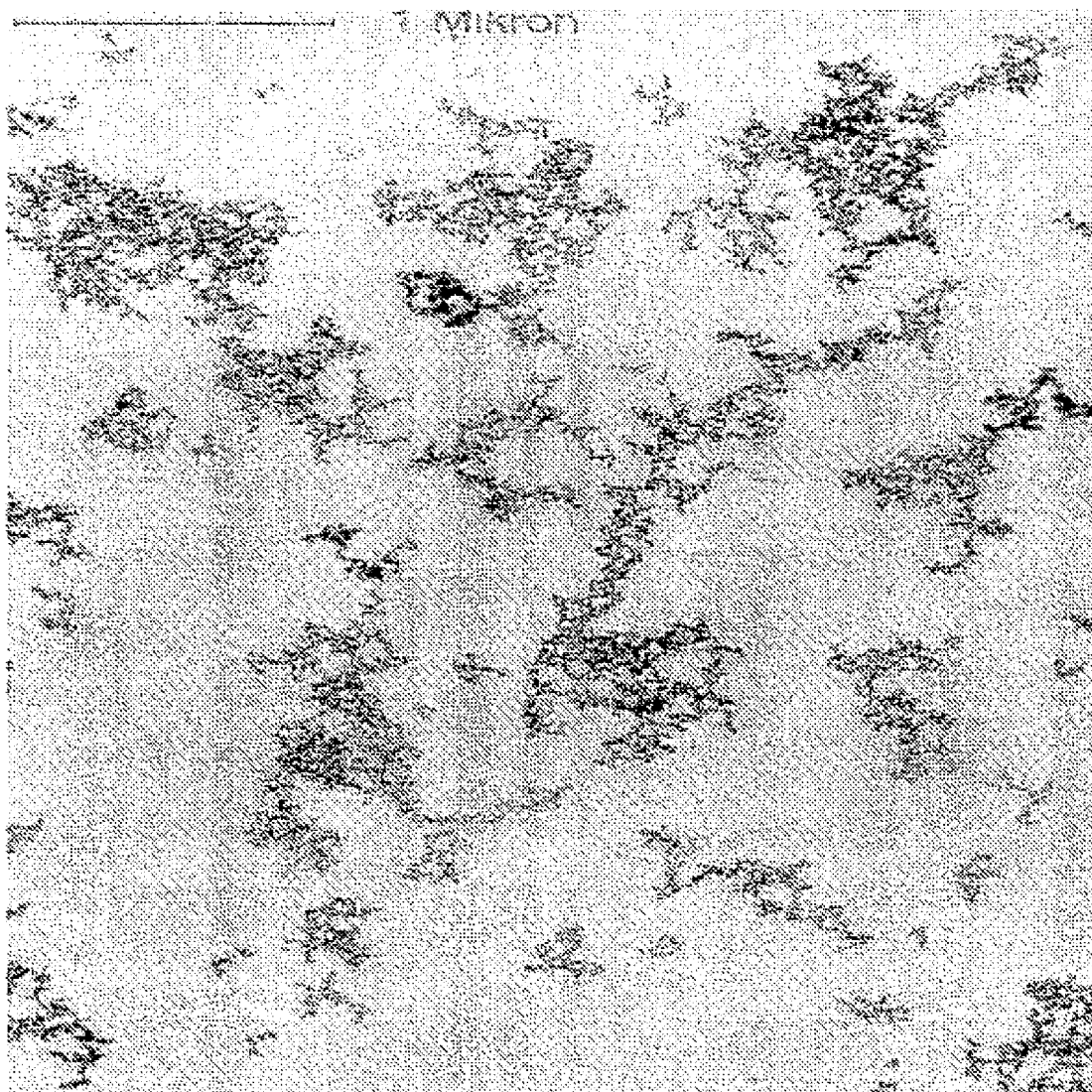
**Claim**

1. Coating systems characterized in that they contain  
0.5% by weight to 30% by weight of a hydrophilic,  
structurally modified, optionally reground, fumed  
5 silica.

**Figure 1**

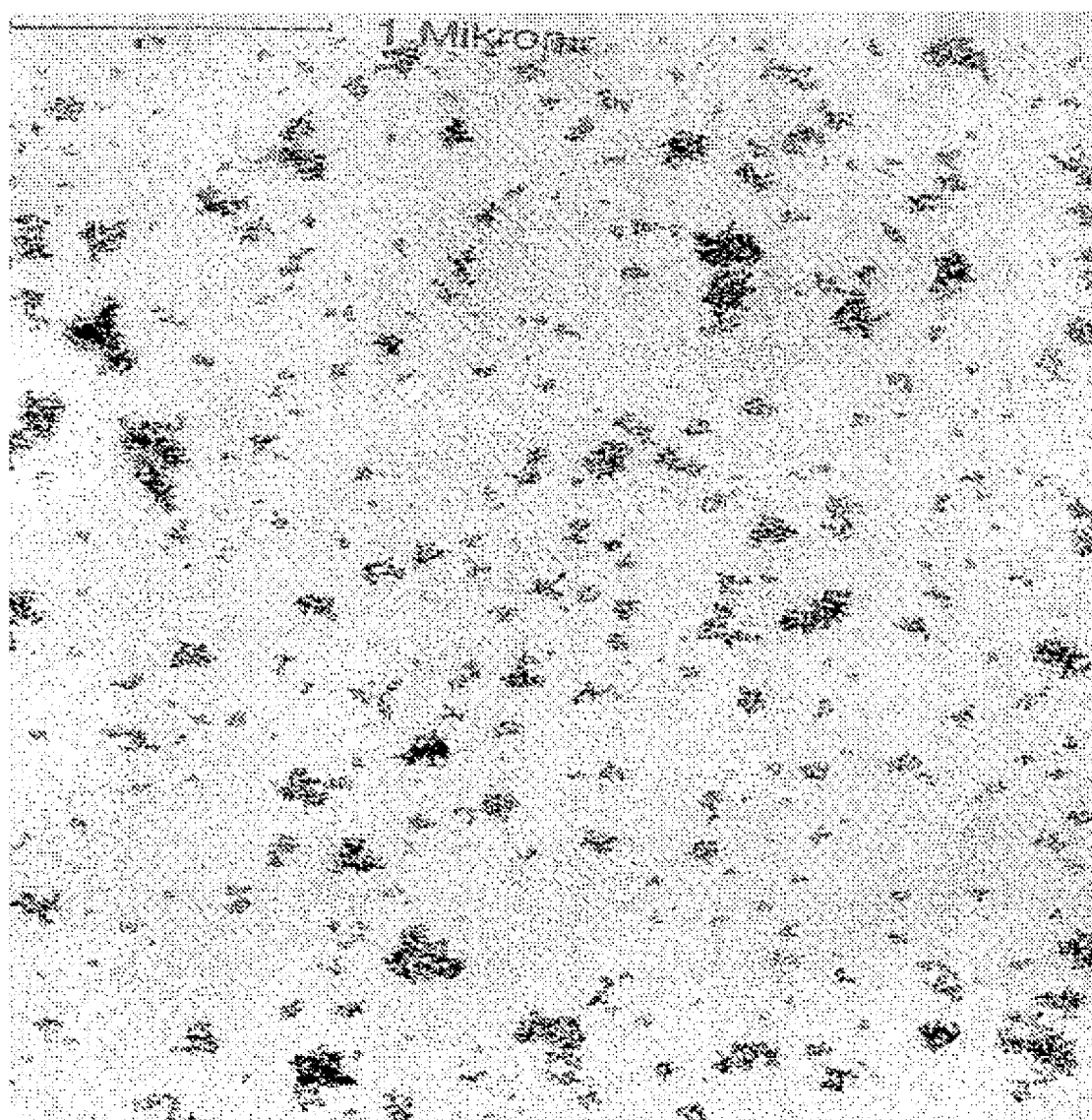
The effect of structural modification in pyrogenic oxides on the basis of TEM micrographs

5 Not structurally modified



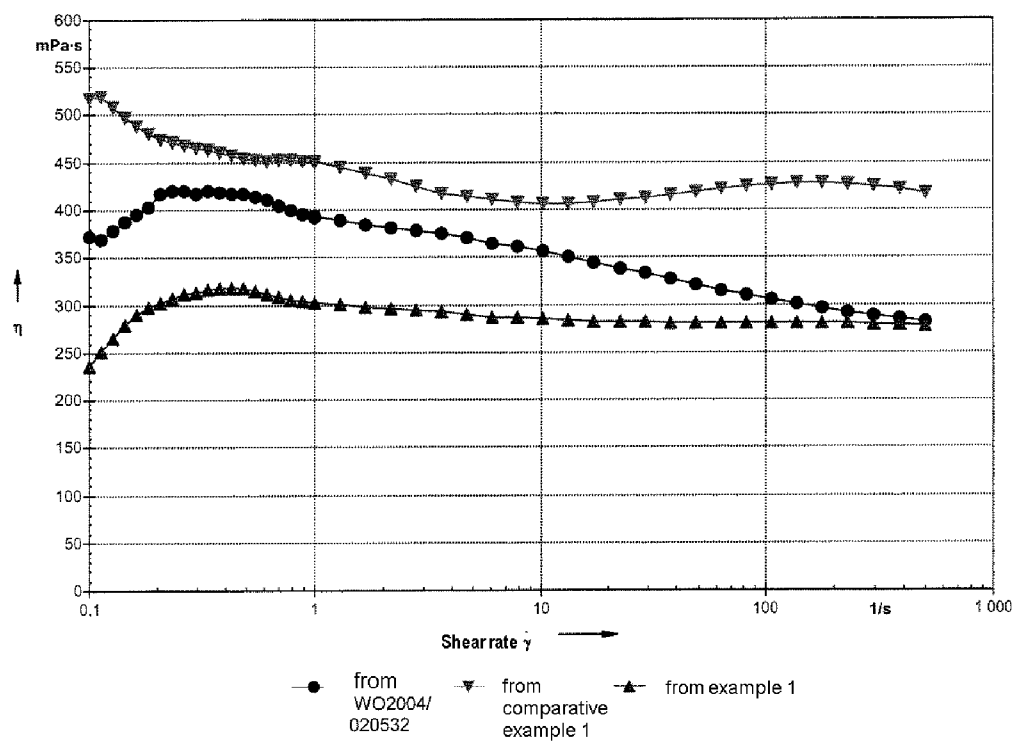
**Figure 2**

Structurally modified



**Figure 3**

2K PU HS clearcoat (flow curve)



# INTERNATIONAL SEARCH REPORT

International application No  
PCT/EP2008/065912

**A. CLASSIFICATION OF SUBJECT MATTER**  
INV. C09C1/30

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
C08K C09C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, CHEM ABS Data

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 2004/020531 A (DEGUSSA [DE]; MEYER JUERGEN [DE]; FRAHN STEPHANIE [DE]; ETTLINGER MANF) 11 March 2004 (2004-03-11) page 8, lines 1-3 examples 1-3	1
X	WO 2004/020532 A (DEGUSSA [DE]; MEYER JUERGEN [DE]; FRAHN STEPHANIE [DE]; ETTLINGER MANF) 11 March 2004 (2004-03-11) cited in the application page 8, lines 1-3 claims 1-5	1
X	EP 1 736 505 A (DEGUSSA [DE]) 27 December 2006 (2006-12-27) paragraph [0021] claim 1	1
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☒ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

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- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
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Date of the actual completion of the international search

5 March 2009

Date of mailing of the international search report

16/03/2009

Name and mailing address of the ISA/

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040,  
Fax: (+31-70) 340-3016

Authorized officer

Andriollo, Giovanni



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International application No

PCT/EP2008/065912

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