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(54) Title: WATER-REDUCIBLE URETHANE OLIGOMERS

(57) Abstract: Water-dispersible urethane oligomers formed by reacting a polyisocyanate with silicone, reactant, and optionally a reactive substance, wherein either one, or more, of the ingredients have single isocyanate-reactive group and ionizable group, or wherein the silicone, reactant and substance have two, or more, isocyanate-reactive groups and the sum of the isocyanate-reactive groups is substantially greater than the number of isocyanate.

WATER-REDUCIBLE URETHANE OLIGOMERS

BACKGROUND OF THE INVENTION

Field of the Invention

This invention discloses water-reducible urethane oligomers prepared from
5 polyisocyanates and silicones having one or more isocyanate-reactive groups and
ionizable groups. Emphasis is being placed on the use of the oligomers as release coating
in adhesive-coated products as well as a process for creating adhesive tapes and labels.

Description of the Prior Art

10 A pressure-sensitive adhesive tape is generally manufactured and sold with the
tape wound upon itself in convolutions to form a roll of some suitable length of tape.
Consequently, when it is necessary to use the tape, it must be possible to unwind the
desired length from the roll without excessive force or delaminating of the backing,
offsetting of the adhesive, or the like, regardless of the time or conditions under which the
15 tape has remained in roll form prior to use. For these reasons, a coating known as a
release coat or backsize is generally provided on the backside of the tape backing
member, i.e., the side opposite that on which the adhesive mass is applied. Such a coat,
compared to an uncoated backing member, as is its objective, offers relatively low
adhesion to the adhesive mass.

20 EPA 0 380 236 A2 of Leir discloses a diamine-terminated silicone, the preparation
of ionically-modified silicone-polyurea from ingredients having "a high degree of
difunctionality with little contamination from monofunctional...impurities.." which
"inhibit the chain extension reaction and limit the attainment of optimum molecular
weight, and thereby optimum tensile strength of the polyurea", and its use as an
25 elastomer, a pressure sensitive adhesive and a low adhesion backsize.

U.S. Pat. 4,287,109 of Schlak et al. disclose an aqueous resin of a Silicone-Si-O-C-
Polyester block copolymer. B.P. 1,128,642 of Keberle et al. and G.P. DE 37 30 780 A1
of Nagorski et al. disclose water base silicone-modified polyurethanes. Keberle suggests
their use for impregnating and coating fabrics, leather, paper, glass, wood, laminates and
30 foamed plastics for anti static finishes and hydrophobic coatings, and as binder, lubricant,
mold release agent, cleaning agent, leveling agent and corrosion inhibitor. Nagorski

suggests usage as coating for wood, metal, paper, synthetic fabric, flock and leather. EPA 0 342 826 of Higgins and its corresponding USP 5,082,704 disclose a silicone-modified polyurethane dispersion in water which may be used to fabricate a release liner for adhesives, most notably for asphalt roofing materials. My own inventions, U.S. patent 5 5,356,706 and 5,543,171, disclose processes for manufacturing aqueous release coatings for pressure sensitive adhesive tapes by reacting a silicone oligomer having 2-3 isocyanate-reactive groups and a diol having an acidic group with an excess polyisocyanate, and further reacting, in water, with a tertiary amine to form a salt and a primary or secondary polyamine to extend the prepolymer into a polymeric chain. USP 10 5,679,754 of Larson et al. disclose fluorinated polyurethanes with sulfonate groups as release agent dispersion in water. USP 5,750,630 and 6,072,019 of Sengupta disclose a "polyurethane polymer whose chain includes silicone-containing segments and whose polymer chain is end-capped with a single isocyanate-reactive silane group" and a 2.5-30 weight percent solution in water of the polyurethane.

15 The Encyclopedia of Polymer Science and Engineering (Ed. Herman F. Mark, Wiley Interscience, Vol. 10, P.432) reports the definition of an oligomer by the International Union of Pure and Applied Chemistry (IUPAC) as "a substance composed of molecules containing a few of one or more species of atoms (constitutional units) repetitively linked to each other." A polymer, on the other hand, is characterized by the 20 repetition of a great number constitutional units, or monomers. The average number of monomers per molecule, also referred to as average degree of polymerization (DP), is about 3-50 for an oligomer and about 50-1,000 for polymers. The "number-average molecular weights (M_n) of commercial polymers usually lie in the range of 10,000-100,000" (Textbook of Polymer Science, Third Edition, Fred W. Billmeyer, Jr., Ed., p.17) 25 with weight-average molecular weights (M_w) being 2.5-5 times higher.

 Condensation polymers, such as polyurethanes, are synthesized by stepwise polymerization. In order to obtain good physical properties, such as tensile strength, elasticity and cohesive strength, which prevents transfer to the pressure sensitive adhesive in tape and label applications thus detackifying the adhesive, they are prepared from 30 polyfunctional reactants in close stoichiometric proportions to build long polymeric chains containing monomeric units in excess of 50 and M_w in the 20,000-120,000 ranges. The use of monofunctional monomers is avoided since they yield low molecular weight

products, which generally result in poor physical properties. Thus, in the preparation of prior art polyurethanes all the monomers are polyfunctional and they are present in stoichiometric proportions to obtain high DP and M_w . Sengupta's polyurethane also includes a minor stoichiometric amount, 3-6%, of a monofunctional silane but in his invention "polymers having weight average molecular weight in the range of from about 20,000 to about 120,000 are found to be suitable" (USP6072019, p.4, 1.3-6). Their concentrations in solution or dispersion were 26-37%, about the same as those of my previous inventions listed above. Higher polymer concentrations yield too high, impractical, solution viscosities, above 20,000 cps at ambient.

10

SUMMARY OF THE INVENTION

A mixture of oligomeric water-soluble or water-dispersible coating is prepared, according to this invention, from polyisocyanates and compounds with one and multiple isocyanate-reactive groups. They may also be prepared solely from multifunctional if the sum of all the isocyanate-reactive groups is substantially greater than the number of isocyanate groups. The reaction with isocyanate may produce urethane, urea, and amide bonds. For simplicity the reaction product is hereon may be referred to as urethane, oligomer or isocyanate adduct.

One aspect of this invention describes a mixture of urethane oligomers formed by reaction of a composition comprising in admixture: A. Polyisocyanate, B. Silicone, C. optionally reactant, and wherein either silicone B, or reactant C, or both, have single isocyanate-reactive group and wherein either silicone B or reactant C, or both, have at least one ionizable group, D. optionally organic substance having one or more isocyanate-reactive group and no ionizable group, and E. compound providing counterion for said ionizable group.

Another aspect of the invention discloses the oligomers prepared from the above ingredients, wherein each silicone B and reactant C has two or more isocyanate-reactive groups, and the substance D has either:

- two or more isocyanate-reactive groups, and the sum of the isocyanate-reactive groups of said silicone B, reactant C and substance D is substantially greater than the number of isocyanate groups, or
- single isocyanate-reactive group and the presence of substance D in said composition is mandatory. The imbalance of the isocyanate

groups over the isocyanate-reactive groups is greater than 6% and in most cases greater than 10% in favor of the isocyanate groups.

The product of this invention can be prepared in melt without solvent. However, it is most practically prepared in a dry, water-soluble solvent, such as acetone, N-methyl pyrrolidone, dimethylformamide, and alike. The total solids concentration of the reaction product dissolved in a solvent, such as a 1/1 acetone/water mixture, by weight, can be as high as 40%, even 50-65%, without excessive viscosity build-up that is difficult to handle, such as 20,000cps at ambient temperature (20°C). A Brookfield RVT viscometer may be used to measure viscosity. Prior art polyurethane compositions reached this viscosity at less than 40% solids, most at 35% or less.

This invention further discloses a process for manufacturing a pressure sensitive adhesive-coated product comprising the steps of:

- i. providing a mixture of urethane oligomers with weight average molecular weight of about 500-10000 formed by reaction of a composition comprising in admixture optionally dissolved in a water-soluble solvent: A. polyisocyanate, B. silicone, C. optionally reactant, and wherein either silicone B, or reactant C, or both, have at least one ionizable group, D. optionally organic substance with no silicon and no ionizable group, and E. compound providing counterion for said ionizable group, and wherein either a. silicone B, reactant C and substance D has each two, or more, isocyanate-reactive groups, and the sum of their isocyanate-reactive groups is substantially greater than the number of isocyanate groups, or b. one, or more, of said silicone B, reactant C and substance D have single isocyanate-reactive groups.
- ii. mixing with water to form dilute solution or dispersion, iii. coating a flexible substrate with said solution or dispersion and removing water or solvent by heat, and iv. coating said substrate with a pressure sensitive adhesive.

The order of some of the steps may be reversed. For example, ionization with the counterion may be accomplished by reacting the ionizable group with the counterion prior to the reaction with the polyisocyanate. Furthermore, if a solvent solution of the adduct is desired the said ionizable group and the mixing with a counterion are optional, the mixing in step ii is with a solvent, which is removed in step iii.

The silicone portion of the oligomers contribute release from pressure sensitive

adhesive, water repellency, oil repellency, and low friction. The polar groups provide good adhesion, without need for a primer, between the oligomeric coating and various substrate materials. The divalent polar groups, e.g. carbamate, urea, thiocarbamate, as well as aromatic and ionic groups increase the glass transition temperature and render the compound solid, cohesive and high temperature melting.

This invention provides a reaction product with average DP of about 3-50, preferably about 4-20, and most preferably about 4-10. The M_w is from about 500 to less than 15,000, preferably about 500-10,000 and most preferably about 600-6000. It was surprising to discover that in spite of the low DP and M_w , the oligomers provide consistent release, even with aggressively tacky adhesives. It is recognized that the said reaction product comprises a mixture of oligomeric species comprising various combinations and permutations of monomers, such as ABC, ABCD, AB, ABD, AC, AD and ACD.

The oligomeric mixture of urethane of this invention has a number of advantages over the prior art polyurethanes:

- It provides easier release than the prior art release agents at the same silicone concentration in the molecule
- The concentration of the expensive silicone moiety can be reduced by a factor of 2-10, and more in some cases, while maintaining the same release properties
- The adduct can be prepared and applied to tape backings either from solvent or water
- It can be manufactured in one reactor, instead of two, as my said previous polymeric release agents
- It needs not contain expensive silanes, as Sengupta's polyurethane does
- It can be manufactured and shipped at about twice the active ingredient concentration level than that of prior art release agents resulting in lower manufacturing cost per unit weight of neat release agent, lower shipping cost, lower storage cost and lower drum disposal cost.

A dilute aqueous, or solvent solution, or dispersion, of the oligomers can be coated onto various substrates and dried with heat to form a thin film with good adhesion to the substrates. In spite of the low DP and M_w , they provide good release, even to aggressively tacky adhesives.

DETAILED DESCRIPTION OF THE INVENTION AND PREFERRED EMBODIMENTS

The silicone segment in the oligomer of this invention is introduced into the molecule by a compound having a number of dimethylsiloxane segment units, and/or
5 1-2 trimethylsiloxane end-groups, and one or more isocyanate-reactive groups. Preferably, the isocyanate-reactive groups in the silicone are bonded through intermediate Si-C linkage to polyalkylene, polyalkyleneoxy or polyester groups. Preferred alkyleneoxy units are ethyleneoxy and propyleneoxy. Unlike the Si-O-C linkage, the Si-C bond provides hydrolytic stability. The silicone may also have one
10 or more ionizable group preferably linked through a Si-C bond. The dimethylsiloxane segment may be part of the main chain, or side chain. The number of dimethylsiloxane units per reactant molecule is 1-25 in most cases, but may be 50, or more. Preferred silicone-containing compound of this invention are hydroxyl, thiol, amino and carboxyl functional. The isocyanate-reactive groups may be placed either
15 at the end of the molecule, or side chain. An example of a series of such reactants have alkylene, alkyleneoxy, and their combination, such as $-(\text{CH}_2)_3\text{-O-}(\text{CHRCH}_2\text{O})_n\text{H}$ termination with $\text{R}=\text{H}$ or CH_3 and $n=0-50$, preferably 5-20.

Examples of compound B with ionic or potential anionic groups are: 2,2'-
dimethylol propionic acid, tartaric acid, lysine, N-2-aminoethyl-2-aminopropionic acid,
20 N-2-aminoethyl-2-aminoethane sulfonic acid, the propoxylated adduct of 2-butene-1,4-diol with sodium bisulfite, N-2-aminoethyl-2-aminoethane phosphonic acid. Other examples are reaction products of cyclic anhydrides with a multifunctional compounds having one or more group capable of reacting with the anhydride and one or more group capable of reacting isocyanate. The multifunctional compound may be a polyamine,
25 polyol, aminoalcohol, aminoacid and hydroxyacid. Examples of anhydrides are phthalic anhydride, hydrogenated phthalic anhydride, methyltetrahydro phthalic anhydride and succinic anhydride. The reaction takes place at mild conditions, usually under 100°C , and the product may be an amide or ester having a carboxyl group and one or more groups capable of reacting with the isocyanate. Part of the carboxyl groups may also react with
30 isocyanate. Preferred multifunctional compounds reacting with anhydride are aminoalcohols, such as diethanolamine, 2-ethanolamine, N-methyl-2-ethanolamine, propylene glycol, 2-amino-2-ethyl-1,3-propanediol, 4-amino benzoic acid, N-methyl-

propylenediamine, glycerol, and alike.

Examples of suitable cationic groups in compounds also having isocyanate-reactive groups are $-NHR_2^+X^-$ or $-NR_3^+X^-$ wherein X may be a soluble anion, such as halide, hydroxide or carboxylate and R may be alkyl, cycloaliphatic alkyl or aryl group with 1-6, preferably 1-4 carbon atoms. Examples of compounds providing the positively charged part of the formula are tertiary amines and polyamines having primary amine groups and secondary amine group, such as diethylene triamine. Counterions for acids are bases, such as ammonia, potassium hydroxide, triethylamine, N,N'-dimethylpropylamine, N-methyl-di-(2-ethanol)amine, N-methylmorpholine, ethanolamine and ethylene diamine. Volatile amines, such as lower alkyl amines, aminoalcohols and ammonium hydroxide are preferred. Examples of compound providing negatively charged counterions to the amine group are ethyl bromide, 2-chloroacetamide, acetic acid and dimethyl sulfate, which form with said amines tertiary or quaternary ammonium salts.

Nonionic hydrophilic groups, such as hydroxyl, polyoxyethylene and oxypropylene segments are often part of the adduct composition. They may be bonded to the silicone or introduced into the urethane oligomers of this invention by the reaction of a molecule having polyethylene glycol or polypropylene glycol segments with the isocyanate. However, their concentration should be limited since they tend to increase the water sensitivity of the urethanes.

Any of the polyisocyanates heretofore used for the formation of polyurethanes are suitable for the purposes of the present invention although diisocyanates and triisocyanates are preferred. Those diisocyanates, which can be used in the practice of the invention, in general, include aromatic, aliphatic and cycloaliphatic diisocyanates. In addition, mixtures of two or more species, kinds and types of the isocyanate functional component can be employed.

Examples of polyisocyanates that can be employed in the instant invention include toluene-2,4-diisocyanate, a mixture of toluene-2,4- and toluene-2,6-diisocyanate, metaphenylenediisocyanate, methylene-bis-2,4- and 2,6-phenylisocyanate (MDI), hydrogenated MDI, isophorone diisocyanate, tetramethyl-m-xylene diisocyanate and polymeric MDI's, which are mixtures of di- and triisocyanates based on MDI. Isocyanate terminated prepolymers can also be used as well as mixtures of isocyanates with average fractional values of isocyanate functionality. In the broad context of the present invention,

it will be appreciated that polyisocyanates also include those compositions that provide requisite isocyanate functionality within the polyisocyanate composition to react with both components B and C as herein described. The proportion of polyisocyanate, providing hard segments for the oligomers, is generally in the range of 15-60%, and most are in the range of 30-45%.

Prior arts reported that higher silicone content, without upper limit, in the urethane polymer results in better release. Thus, high silicone-content polyurethanes were commercialized, at great expense, to satisfy many demands. In contrast, the oligomer of this invention attains excellent release values at lower silicone levels, most at 1-10%. The methylsiloxane content, e.g. the sum of mono-, di- and trimethylsiloxanes in the oligomers for most applications of this invention is 0.5-10%. It is recognized that di- and trimethylsiloxanes are the most effective ones. Other characteristics attributed to silicones, such as slip, and hydrophobicity is in the same line.

The amount of reactant having isocyanate-reactive groups and acid or amine group is also important since it provides dispersibility and solubility through their potential ionic groups. Useful amounts of carboxyl content in the copolymer were found to be in the range 20-300 mEq./100g of urethane. Lower amounts are not enough to disperse or solubilize the urethane oligomer in water while higher ones render the coating water sensitive. The preferred range is 60-200 and the most preferred range is 80-120 mEq./100g. The ionic strength of sulfonic acid and phosphonic acid groups is much higher than that of the carboxylic acid group. Consequently, considerably lower amount of these anionic groups are required to solubilize the urethane in water. The amount of the cationic compound depends on the number carbon atoms in the molecule and the amount of nonionic hydrophilic groups but their proportion should be sufficient to disperse or solubilize the adduct in water.

The reaction of the polyisocyanate with the isocyanate-reactive groups is carried out preferably in two stages. At the first stage an NCO-terminated compound is formed, which is then capped with an isocyanate-reactive compound. The average degree of polymerization, DP and weight average molecular weight, M_w can be regulated by the initial stoichiometry of the reacting monomers. In general, the higher the NCO/OH the lower is the DP and M_w . At the capping stage, the larger the stoichiometric excess of the monomer with two or more isocyanate-reactive groups, the lower is the DP and M_w of the

resulting urethane. Carother's equations, reported in Principles of Polymerization, third Edition, by Georges Odian, Ed., p. 110-111, quantify these relationships. For nonstoichiometric mixtures the formulas are:

$$\text{DP} = 2 / (2 - f_{\text{avg}}), \quad M_w = \text{SUM}(w_i M_i (\text{DP})), \quad \text{and}$$

5 $f_{\text{avg}} = 2(\text{Total Number of Functional Groups that Are Not in Excess}) / \text{SUM}(N_i)$

wherein f_{avg} is the average functionality of the monomers, N_i is the number of molecules of monomers i with functionality f_i , w_i is the weight fraction of the monomer i and M_i is molecular weight of the monomer i .

It is thus appreciated that the DP and M_w depend on the functionality, molecular weight and weight proportion of the monomers and they can be readily adjusted by the use of the above equations to yield the product of this invention. One of ordinary skilled in the art will recognize that other equivalent parameters may also apply.

As the reactions with isocyanates are exothermic, it may be only necessary to mix the various components together and allow the temperature to rise to the exotherm temperature and further adjusting the temperature with or without external heating or cooling. The reaction is conducted under anhydrous conditions for such a time at the selected temperature that is practical to provide the desired results.

The quantity of organic polyisocyanates used to prepare the prepolymer is dependent upon the quantity of active hydrogen groups in the monomer and oligomer, the particular isocyanate compound used, the molecular weight of the isocyanate, the isocyanate (NCO)/isocyanate-reactive group ratio, etc. All of these factors, while influencing the amount of polyisocyanate to be used are easily taken into account by one skilled in the art to determine the precise amount of NCO groups required in any particular formulation. The initial stoichiometric ratio of NCO to the sum of isocyanate-reactive groups in the practice of this invention is generally between about 1.2 and 4, preferably 1.4-2.5 and most preferably 1.5-2. Lower ratios may increase the viscosity, which may be difficult to handle, and increases the solvent demand, while higher ones may decrease the compound's solubility and create excessive foaming if it is further reacted in the presence of water.

If desired, catalysts that are normally used to accelerate the NCO reaction can be employed in the instant invention. The use of a catalyst is particularly useful to accelerate the reaction of aliphatic isocyanates, secondary OH/ortho-NCO, SH/NCO and

COOH/NCO reactions. Catalysts include tertiary amines such as triethylamine, tributylamine, N-methylmorpholine, and organometallic compounds such stannous octoate, dibutyl tin dilaurate, zinc octoate.

The NCO terminated prepolymer thus prepared is then capped with an excess
5 of said silicone B, reactant C or substance D. Examples of substance D are amines, alcohols, aminoalcohols, ammonia and carboxylic acids, such as methanol, propyleneglycol, methoxypropanol, dipropyleneglycolmonomethyl ether, water, morpholine, propylenediamine, adipic acid, hydroxyacetic acid, and lysine. Large stoichiometric excess may be applied when multifunctional compounds are used. The
10 isocyanate-terminated compound may also be reacted in aqueous medium with either water itself or a primary or secondary amine followed by reaction of the acid functionality with an amine. The order may be reversed or may be run concurrently by using a tertiary amine as counterion for the acid.

The preparation of the oligomers at high solids makes easier to prepare a neat
15 (100% active) product in powder form by solvent evaporation, such as spray drying or oven drying. The neat product is less costly to transport from the manufacturing plant to the tape processing facility where it is redissolved in a solvent, or preferably dissolved or dispersed in water with added base, if necessary. Residual solvent, such as acetone, may also be evaporated by fractional or vacuum distillation and replaced with water to yield an
20 emulsion or solution.

The water solution, or dispersion, of the urethane oligomers can be diluted further with water to provide a desired coating concentration, for example 0.25 to 5%, or more, which can be cast on a substrate, and the water evaporated, thereby casting a thin film of the urethane on the substrate.

25 Optionally, the oligomer of this invention may be crosslinked following coating. This is normally not necessary. It may sometime be desirable, if increased heat stability and higher resistance to humidity and solvents are desirable for some particular application.

The oligomer of this invention can be used alone or mixed with various polymeric
30 film formers. Such compositions are of particular advantage, as satisfactory release and other functional properties in some instances can be provided much more economically, for example, when the substrate coated is relatively porous as is the case of a paper

backing member in the manufacture of pressure-sensitive adhesive tape. The release agent is present in the release composition in only minor amounts, usually 1 to less than 10%. Thus, as the siloxane release agent is the most expensive component of the release compositions, its use therein results in considerable savings. Examples of film formers in emulsion form are polyvinyl acetate, ethylene vinylacetate copolymers, polyamides, polyacrylics, polyurethanes, epoxy resins, polyvinyl chloride homo and copolymers, and their mixtures. It is theorized that the composition of this invention migrates to the outer surface of a coating, thus providing the desirable surface characteristics.

The oligomers of this invention can be applied to various substrates, such as plastic film, glass fabric, metal foil, paper and latex impregnated paper by various means. Plastic films are usually coated with a #3-20 Meyer rod, #130-250 rotogravure roll or a series of rotating smooth rolls. Where the substrate is porous, e.g., papers and textile fabrics, the coating can be applied by such operations as immersion, spraying, brushing, slot and roll coating. Heat, moving air, or their combination may be applied to volatilize water and any solvent, if present, thereby leaving a deposit or coating of the release agent or composition on the substrate.

Adhesive-coated products include tapes, labels, protective film or paper, printing ink with antiblock, slip, and release properties, and write-on office products such as repositionable note pads. Other applications are, water repellent coating for masonry, concrete, stone, textile, and metal finishing. Further applications include coating for fibrous containers, rubber, plastic, for the adhesive under linoleum and tile surface and mold release agent.

The pressure-sensitive adhesive composition may comprise in admixture elastomers, a tackifying resins and additives. The elastomer may be styrene-isoprene or styrene-butadiene block or random copolymers, natural rubber or ethylene-vinylacetate rubber. Other generic adhesives may also be used, such as polyacrylates, polyurethanes and vinyl ether polymers. The adhesive is applied to the backing member in the form of a solvent solution, aqueous emulsion or hot-melt by methods of calendering, extrusion, kiss roll coating, etc. The solvent or water is removed from the adhesive composition by evaporation by heating. The adhesive product is then generally wound on itself for storage and for slitting into rolls or sheeted out into suitable width and length. The coverage of pressure-sensitive adhesive composition (on a dry basis) is preferred to be in

the range of between about 10-100 g/sqm but may be outside this range if required for specific purposes.

The invention will now be further illustrated and described by reference to the following specific non-limiting Examples. The quantities and proportions are expressed
5 in this Application in grams and percent by weight, unless specifically stated otherwise.

The following abbreviations are used:

MEOA: N-methyl-ethanolamine, MEK: methylethyl ketone, MPK: methyl-n-propyl
ketone, TEG: triethylene glycol, NMP: N-methyl-2-pyrrolidone, PGL: propylene glycol,
PAN: phthalic anhydride, DEOA: diethanolamine, PMA: propyleneglycolmethylether
10 acetate , TEEG: tetraethylene glycol,

TDI: 80/20 mixture of 2,4- and 2,6-toluenediisocyanate, PGL: propylene glycol, DMEA:
N,N'-dimethyl ethanolamine, TS: total solids, VIS: viscosity, ST: softening temperature,
SO: Proportion of siloxane in silicone.

SIL1: monocarbinol-terminated silicone with OH equivalent of 600

15 $(\text{CH}_3)_3\text{SiO}((\text{CH}_3)\text{SiO})(\text{CH}_3)_3\text{Si}(\text{CH}_2)_3\text{O}(\text{CH}_2\text{CH}_2\text{O})_{7.6}\text{H}$

SIL2: linear dimethylsiloxane oligomer having di-omega groups of
 $-(\text{CH}_2)_3\text{O}(\text{CH}_2\text{CH}_2\text{O})_{15}\text{H}$ and OH equivalent of 1150

PODA: polyoxypropylene diamine, amine equivalent 115 and formula



20 EXAMPLE 1

This Example illustrates the preparation and evaluation of the product of this invention from the three essential monomers A, B, and C, wherein the silicone B has only one isocyanate-reactive group.

DEOA, 16.7 (318 mE-OH) was dissolved in a mixture of acetone, 46.7 and NMP,
25 20, and PAN, 23.1 (156 mM) was sifted in gradually while stirring and cooling externally with ice-water while the internal temperature was maintained below 55°C (Solution A). Infrared spectrum of the reaction-product confirmed that the reaction product was an amide having carboxyl and hydroxyl groups.

TDI, 30.2 (346 mE-NCO) were charged into a reactor and portion of the above-
30 prepared solution, 59.3 (177 mE-OH), was added slowly while stirring and cooling to maintain 50-55°C during the reaction. To this solution was than mixed SIL1, 30.0 (50 mE-OH) and the mixture was left to react at 50°C for four hours to let all the OH groups

be consumed. The isocyanate-terminated compound was then capped with the remainder of the Solution A and let react at 50°C overnight to yield a clear solution. The carboxyl function was then neutralized with DMEA, 9.2 and further diluted with water, 6.9 and methanol, 13.9, to yield a clear solution having TS=62% and VIS=5900 cps. The solid
5 isolated from the solution had ST=110-116°C, SO=9.9%.

EXAMPLE 2

This Example illustrates the preparation and evaluation of the product of this invention wherein the ion-providing C monomer has only one isocyanate-reactive group and there are two kinds of optional D monomer included: one with one isocyanate-
10 reactive group and another with two isocyanate-reactive groups.

MEOA, 6.8 (92 mM, 93 mE-OH) was dissolved in a mixture of NMP, 54.3 and PMA, 27.2, and PAN, 13.2 (89 mM and 89 mE-COOH) was sifted in gradually while stirring and cooling externally with ice-water while the internal temperature was 50-55°C. Infrared spectrum of the reaction-product confirmed that the reaction product was an
15 amide having carboxyl and hydroxyl groups.

TDI, 39 (447 mE-NCO) were charged into a reactor and the above-prepared solution, mixed with TEG, 10.3 (137mE-OH) was added slowly while stirring and cooling to maintain 50-55°C during the reaction. This was followed by stirring in SIL2, 20.1 (19 mE-OH). The mixture was left to react at 60°C for one hour to let all the OH
20 groups consume. The isocyanate-terminated intermediate was then further reacted at 30-60°C with PODA, 8 (70mE-NH) followed by capping and methanol, 3.1 (97mE-OH) with an additional 1.0g excess methanol stirred in, and reacted for 2 hours at 55°C. It was then cooled to ambient and mixed with DMEA, 7.9 (89mM) to yield a clear solution with TS=55%, VIS=5900cps and ST=86-88°C, SO=8.0%.

25 EXAMPLE 3

This Example illustrates the preparation and evaluation of the product of this invention wherein both the silicone monomer B and the ion-providing C monomer have each one isocyanate-reactive group.

MEOA, 9.0 (120 mE-OH) was dissolved in NMP, 81.8 and PAN, 17.7 (117 mM
30 and 117 mE-COOH) was sifted in gradually while stirring and cooling externally with ice-water while the internal temperature was 50-55°C. The solution was then mixed with TEG, 6.0 (80 mE-OH) and gradually added to TDI, 34.5 (396 mE-NCO) and reacted

while maintaining 55-60°C. The NCO-functional intermediate was then reacted with SIL1, 20 (35 mE-OH), as in Example 1 and further reacted with PODA, 15.4 (134 mE-NH) as in Example 2. It was then cooled to ambient and mixed with DMEA, 8.2 (92 mM) to yield a clear solution with TS=55%, VIS=5300cps and ST=84-87°C, MS=6.6%.

5 EXAMPLE 4

This Example illustrates the preparation and evaluation of the product of this invention wherein SO was reduced to 6.0% of the solids.

MEOA, 6.8 (91 mM, 91 mE-OH) was dissolved in a mixture of NMP, 53.8 and PMA, 26.9, and PAN, 13.2 (89 mM) was sifted in gradually while stirring and cooling
10 externally with ice-water while the internal temperature was 50-55°C. The solution was then mixed with TEG, 12.0 (160 mE-OH) and reacted with TDI, 41.5 (476 mE-NCO) as in Example 3. The NCO-functional intermediate was then reacted with SIL2, 15 (14 mE-OH), further reacted with PODA, 8.0 (70 mE-NH), capped with methanol, 2.5 (108 mE-OH) and an additional 1.0 g methanol was mixed into the solution, all as in Example 3. It
15 was then cooled to ambient and mixed with DMEA, 5.9 (66 mM) to yield a clear solution with TS=55%, VIS=7300cps and ST=88-89°C.

EXAMPLE 5

This Example illustrates the preparation and evaluation of the product of this invention wherein SO was reduced to 4.4% of the solids.

MEOA, 8.0 (107 mE-OH) was dissolved in a mixture of NMP, 48.6 and PMA, 32.2, and PAN, 15.6 (106 mM) was sifted in gradually while stirring and cooling
20 externally with ice-water while the internal temperature was 50-55°C. The solution was then mixed with TEG, 11.8 (157 mE-OH) and reacted with TDI, 42.0 (482 mE-NCO) as in Example 3. The NCO-functional intermediate was then reacted with SIL2, 11 (10 mE-
25 OH), further reacted with PODA, 8.3 (73 mE-NH), capped with methanol, 3.3 (103 mE-OH) and an additional 1.0 g methanol was mixed into the solution, all as in Example 3. The solution was cooled to ambient and mixed with DMEA, 7.1 (78 mM) to yield a clear solution with TS=55%, VIS=8300cps and ST=86-92°C.

EXAMPLE 6-7

This Example illustrates the evaluation, as release agents in adhesive tapes, of the products prepared in the previous Examples and compares them to the prior art release agents.

A biaxially oriented polypropylene (BOPP) film, 30 micron thick, was corona
5 treated on both sides to yield surfaces of 42 dynes/cm surface tension. It was then coated on one side with 3% aqueous solutions of the urethanes of the Examples (Ex.) 1-5 using a #6 Meyer rod and dried in an oven at 65° C for 10 minutes. The release-coated films were than laminated with commercially available pressure sensitive adhesive tapes and submitted to accelerated aging at 72° C for 16 hours. The tapes were then peeled from the
10 films at a T-peel mode at a speed of 25 cm/min and the force required to peel was measured. The peeled tapes were then laminated to a finely polished flat stainless steel plate, peeled at an 180° angle and the peel force measured. For comparison, test specimen were made up similarly by laminating the tapes to uncoated BOPP, designated as No RA, and to BOPP coated with the commercial release agent composition of USP 5,356,706
15 (Shores) having 40% silicone and 15% SO. The following adhesive tapes were laminated to the release-coated BOPP:

- Packaging Tape: "Super Strength Packaging Tape" manufactured by 3M Company, 2" wide, believed to have a 50 micron thick BOPP backing and a hot melt adhesive based on a styrene-isoprene-styrene block copolymer mixed with a high melting
20 temperature terpene resin.

- Masking Tape: Anchor tape #504, 1" wide, believed to have an adhesive based on natural rubber and SBR.

The average degree of polymerization (DP) and weight average molecular weights (M_w) were calculated from the stoichiometry of the reactants using Carother's equations
25 and confirmed by Size exclusion Chromatography analysis.

T-peel values from the release agents (RA) and adhesion to steel (ASS) are reported in gram force units in Table I. The analysis of the results show that the release agents of this invention are in general better than the polymeric release agent disclosed by the prior art.

TABLE I

| EX. | %SIL1 | %SIL2 | DP | M_w | % TS | Packaging Tape | | Masking Tape | | |
|-----|----------|-------|----|-------|-------------------|----------------|----------------------------|--------------|---------------|-----|
| | | | | | | T-Peel | ASS | T-Peel | ASS | |
| 5 | 1 | 20 | - | 15 | 5100 | 62 | 450 | 3.1 | 320 | 1.5 |
| | 2 | - | 20 | 4 | 2400 | 55 | 210 | 2.9 | 180 | 1.3 |
| | 3 | 19 | - | 6 | 1600 | 55 | 330 | 3.0 | 320 | 1.4 |
| | 4 | - | 15 | 4 | 1900 | 55 | 230 | 3.0 | 200 | 1.5 |
| | 5 | - | 11 | 4 | 1600 | 55 | 260 | 3.1 | 220 | 1.5 |
| 10 | Sengupta | - | | 50+ | 20000- 2000000 | 26-37 | - | - | - | - |
| | Shores | - | 40 | | | 29 | 610 | 3.0 | 280 | 1.3 |
| | 6 | No RA | - | - | - | - | 1600 Adhesive Delamination | | 1100 Adh.Del. | |

EXAMPLE 8

15 This Example illustrates the preparation and evaluation of the oligomers of this invention by using a monofunctional D substance to cap the isocyanate of the prepolymer.

DEOA, 13.1 (125 mM, 250 mE-OH) was dissolved in a mixture of MPK, 27 and NMP, 27, and PAN, 18.1 (122 mM and 122 mE-COOH) was sifted in gradually while stirring and cooling externally with ice-water while the internal temperature was 50-55°C.
 20 Infrared spectrum of the reaction-product confirmed that it was an amide having carboxyl and hydroxyl groups.

TDI, 41.6 (478 mE-NCO) were charged into a reactor and the above-prepared solution was added slowly while stirring and cooling to maintain 40-47°C during the reaction. This was followed by stirring in 2 drops of the antifoam D.C. 163 and SIL, 21.3
 25 (19 mE-OH) and left to react at 65°C for one hour to let all the OH groups consume. The isocyanate-terminated prepolymer was then capped by mixing into the reaction mixture methanol, 7.0, which is 25% more than the stoichiometric amount (175mE-OH) for the purpose of accelerating and completing the capping reaction. The carboxyl functionality was than neutralized with DMEA, 8.1 to yield a clear, free flowing solution having
 30 TS=68.1%, VIS=39000 cps; and TS= 58%, VIS=1100cps, SO=8.4%.

EXAMPLE 9

This Example illustrates the preparation and evaluation of the oligomer of this

invention by using a large stoichiometric excess of a difunctional monomer D to cap the isocyanate of the prepolymer.

DEOA, 60 (1572 mM, 1144 mE-OH) was dissolved in a mixture of MPK, 116.5 and NMP, 116.5, and PAN, 82.8 (559 mM) was sifted in gradually while stirring and
5 cooling externally with ice-water to maintain the internal temperature of 50-55°C.

TDI, 82.3 (946 mE-NCO) were charged into a reactor and portion of the above-prepared solution, 127.4 was added slowly while stirring and cooling to maintain temperature slightly above ambient during the reaction. This was followed by adding slowly and stirring into 48.5 g of this solution TEEG, 4.5 (47mE-OH) and SIL, 9.5 (9
10 mE-OH) and left to react at 65°C for one hour to let all the OH groups consume. The isocyanate-terminated prepolymer was then capped by mixing into the reaction mixture PGL, 14.9 out of which only 2.2 (61 mE-OH) was the stoichiometric quantity and left to react overnight at 65°C. The carboxyl functionality was than neutralized with DMEA, 3.9 (44 mE) to yield a clear solution having TS=55.9% and VIS=8400 cps. The solid isolated
15 from the solution had ST=90-92°C, SO=8.0%.

EXAMPLE 10

This Example illustrates the preparation and evaluation of the product of this invention wherein MS was reduced to 6.0% of the solids.

DEOA, 20.9 (199 mM, 399 mE-OH) was dissolved in a mixture of MPK, 44 and
20 NMP, 44, and PAN, 29.0 (196 mM) was sifted in gradually while stirring and cooling externally with ice-water to maintain the internal temperature of 50-55°C.

TDI, 46.0 (528 mE-NCO) was charged into a reactor and portion of the above-prepared solution, 62.7 mixed with TEG, 10.0 (134mE-OH) was added slowly while stirring and cooling to maintain temperature slightly above ambient during the reaction.
25 This was followed by stirring in SIL, 15.0 (13 mE-OH) and left to react at 65°C for one hour to let all the OH groups consume. The isocyanate-terminated prepolymer was then capped by mixing into the reaction mixture the stoichiometric quantity of methanol, 4.9 (153mE-OH) and an additional and an excess of methanol, 25.1 to accelerate and complete the capping reaction and to compensate solvent evaporation, and left to react
30 overnight at 65°C. The carboxyl functionality was than neutralized with DMEA, 7.9 (89 mE) to yield a clear solution having TS=57.6% and VIS=820 cps. The solid isolated from the solution had ST=84-89°C.

EXAMPLE 11

This Example illustrates the preparation and evaluation of the product of this invention wherein SO was reduced to 4.0% of the solids.

DEOA, 6.3 (120 mE-OH) was dissolved in a mixture of MPK, 10 and NMP, 10
5 and PAN, 8.7 (159 mM) was sifted in gradually while stirring and cooling externally with ice-water while the internal temperature was 50-55°C.

TDI, 25.7 (295 mE-NCO) were charged into a reactor and the above-prepared solution mixed with TEG, 4.4 (60 me-OH) was added slowly while stirring and cooling to maintain temperature below 65°C during the reaction. This was followed by stirring in
10 SIL, 5.3 (5 mE-OH) and left to react at 60°C for one hour to let all the OH groups consume. The isocyanate-terminated prepolymer was then capped by mixing into the reaction mixture methanol, 2.8 (88mE-OH) and an additional stoichiometric excess of methanol, 8 to accelerate the capping and to compensate solvent evaporation during the reactions. The carboxyl functionality was than neutralized with DMEA, 5.2 to yield a
15 clear solution having TS=57% and VIS=3700 cps. The solid isolated from the solution had ST=86-89°C.

EXAMPLE 12

This Example illustrates the evaluation, as in Ex. 7, of the products prepared in Ex. 8-10 and compares them to the prior art release agents in Table II.

20

TABLE II

| EX. | % SOL2 | | % VIS, cps | DP | M _w | Packaging Tape | | Masking Tape | | |
|----------|--------|-------|------------|-----|----------------|----------------------------|-----|---------------|-----|-----|
| | S | I L2 | TS | | | T-Peel | ASS | T-Peel | ASS | |
| 8 | 21 | 58 | 1100 | 3.5 | 2300 | 325 | 2.9 | 250 | 1.4 | |
| 9 | 20 | 56 | 8400 | 7.6 | 3500 | 325 | 3.0 | 250 | 1.4 | |
| 25 | 9 | 15 | 58 | 820 | 4.3 | 2200 | 400 | 2.9 | 520 | 1.3 |
| 10 | 10 | 57 | 3700 | 4.4 | 1700 | 450 | 2.9 | 520 | 1.3 | |
| Sengupta | 26-37 | - | - | 50+ | 20000-120000 | - | - | - | - | |
| Shores | 40 | 38 | 27000 | | | 610 | 3.0 | 280 | 1.3 | |
| 30 | 6 | No RA | - | - | - | 1600 Adhesive Delamination | | 1100 Adh.Del. | | |

EXAMPLE 13

This Example illustrates the preparation and evaluation of the product of this

invention wherein the silicone reactant B bears both isocyanate-reactive groups and an ionizable group.

TDI, 40.0 (449 mE-NCO) and NMP, 52 and a silicone composition, 30.0 (50 mE-OH and 100mE-COOH), derived from ethoxylated trisiloxane with OH equivalent of 600, 5 COOH equivalent of 300 and molecular weight of 600 were charged into a reactor and allowed to react below 55°C. After the exotherm subsided TEG, 13.5 (180mE-OH) was added slowly while stirring and cooling to maintain 50-55°C during the reaction. The isocyanate-terminated intermediate was then further reacted at 30-60°C with PODA, 16.5 (143mE-NH). The solution was then mixed with DMEA, 6.5 (75mM) and water, 12, 10 cooled to ambient to yield a clear solution with TS=58.7%, VIS=9500cps and SO=9.2%. Release characteristics were comparable to those of Ex. 1.

EXAMPLE 14-15

This Example shows that incorporating a small amount of release agent of this invention blended with a film former yields good unwind characteristics in adhesive 15 tapes.

The release agent of Example 2 and 8 were blended with an emulsion of polyvinyl acetate in water each at the 10% (dry/dry) level, SO=0.8% of the total solids.

The formulation was coated onto a latex impregnated paper backing member in an amount to provide a dry weight of 14g/m². This was accomplished by heating the coated 20 paper to remove water and fuse the backsize.

The backsize coated backing member was then coated with a hot melt adhesive formulated with a styrene-isoprene-styrene block copolymer, and tackified with rosin ester tackifiers, after which the mass coated sheet was slit to 25 mm wide rolls of tape. These were oven aged for 16 hours at 72° C and tested for unwind adhesion and adhesion 25 to stainless steel. The results are indicated below:

Unwind Adhesion for Ex. 2 and 7: 240 and 270 g/25 mm

Adhesion to SS for Ex. 2 and 7: 920 and 980 g/25 mm.

EXAMPLE 16-17

This Example illustrates the good release characteristics obtainable with pressure 30 sensitive adhesive-coated notepads by using the composition of this invention.

Clay coated craft paper having thickness of 10.9 kg/276 m² (24 lb/ream) was coated with a 2% TS solution in water of the urethane solution of Example 5 and 9 using

a #6 Meyer rod and dried in a 72° C oven for 5 minutes. The coated paper was laminated with a 25 mm strip of a pressure sensitive adhesive-coated paper taken from a note pad manufactured by 3M Company under the Post-it brand name. A similar laminate was made with the same craft paper not coated with the urethane solution of this invention
5 (Control).

The laminate was aged in an oven at 50° C for 2 weeks and tested for T-peel adhesion at a separation rate of 30 mm/min (12"/min). The force required to separate the laminates was 8 and 9 g/25mm for the release-coated paper of the Ex. 5 and 9 and 80g/25mm for the uncoated paper.

10 EXAMPLE 18

This Example illustrates the preparation and evaluation of the product of this invention wherein the silicone content was reduced to 2% and the SO to 1.0%.

MEOA, 12.4 (166mM, 166mE-OH) was dissolved in a mixture of NMP, 40 and PMA, 10, and PAN, 24.5 (166 mM and 166 mE-COOH) was added slowly while stirring
15 and cooling to maintain 50-55oC during the reaction. This was followed by stirring in SIL2, 2.0 (1.7 mE-OH). The mixture was left to react at 60°C for three hours to let all the OH groups consume. The isocyanate-terminated intermediate was then further reacted at 65-70°C for 4 hours with a 100% excess of PG, 9.4 (123mE-OH). The solution was cooled to ambient and mixed with DMEA, 14.8 (166mM) to yield a clear solution with
20 TS=52.5%, VIS=2225cps.

The solution was then evaluated as release agent with the 2" wide BOPP packaging tape, all as in Ex. 7, to yield the following results: T-Peel: 475g, ASS: 3.1kg. The release agent of this Example yielded better release characteristics than my earlier release agents disclosed in patents, even though it contains twenty times less of the same
25 silicone.

I claim:

1. Urethane oligomers formed by reaction of a composition comprising in admixture:
A. polyisocyanate, B. silicone, C. optionally reactant, and wherein either silicone B, or
reactant C, or both, have single isocyanate-reactive group and wherein either silicone B or
5 reactant C, or both, have at least one ionizable group, D. optionally organic substance
having one or more isocyanate-reactive group and no ionizable group, and E. compound
providing counterion for said ionizable group.
2. Oligomers according to claim 1 with weight average molecular weight about 500-
10000.
- 10 3. Oligomers according to claim 3 with weight average molecular weight 600 to less
than 6000.
4. Oligomers, according to claim 1, having viscosity less than about 20000cps at 20°C
when dissolved in a 1/1 acetone/water mixture at 40% solids or more.
5. Oligomers, according to claim 4 dissolved at 50% solids or more.
- 15 6. Oligomers according to claim 1 wherein said isocyanate-reactive groups are
selected from the group consisting of hydroxyl, primary amino, secondary amino,
carboxyl and thiol, or mixtures thereof.
7. Oligomers according to claim 6 wherein the isocyanate-reactive groups are bonded
through intermediate Si-C linkage to ethyleneoxy or propyleneoxy groups.
- 20 8. Oligomers according to claim 1 wherein said ionizable group is selected from the
group consisting of carboxylic acid, sulfonic acid, phosphonic acid, tertiary amine and
polyamine, said counterion for the acids is provided by an amine or ammonia, and
counterion for amines is provided by ethyl bromide, 2-chloroacetamide, acetic acid or
dimethyl sulfate.
- 25 9. Oligomers according to claim 8 wherein said reactant is either dimethylolpropionic
acid or the reaction product of a cyclic anhydride with a multifunctional compound
selected from the group consisting of polyamine, polyol, amino alcohol, amino acid
and hydroxyacid.
10. Oligomers according to claim 1 wherein said substance is selected from the group
30 consisting of amines, alcohols, aminoalcohols, ammonia, carboxylic acids and water.
11. Oligomers, according to claim 1, coated on pressure sensitive adhesive products.
12. Oligomers, according to claim 11, blended with a film-forming polymer.

13. Oligomers, according to claim 1, mixed with ink or paint, or used as overprint varnish, mold release, and finish material for fibers, fabrics or paper products.
14. Urethane oligomers formed by reaction of a composition comprising in admixture:
A. polyisocyanate, B. silicone with two or more isocyanate-reactive groups, C. optionally
5 reactant with two or more isocyanate-reactive groups, and wherein either silicone B, or
reactant C, or both, have at least one ionizable group, D. optionally organic substance
with no silicon and no ionizable group, and having either: a. two or more isocyanate-
reactive groups, and the sum of the isocyanate-reactive groups of said silicone B, reactant
C and substance D is substantially greater than the number of isocyanate groups, or b.
10 single isocyanate-reactive group and the presence of substance D in said composition is
mandatory.
15. Oligomers, according to claim 14, with weight average molecular weight about
500-10000.
16. Oligomers, according to claim 15, with weight average molecular weight 600-
15 6000.
17. Oligomers, according to claim 14, having viscosity less than about 20000cps at
20°C when dissolved in a 1/1 acetone/water mixture at 40% solids or more.
18. Oligomers, according to claim 17, dissolved at 50% solids or more.
19. Process for manufacturing a pressure sensitive adhesive-coated product,
20 optionally in an organic solvent, comprising the steps of:
- i. providing a mixture of urethane oligomers with weight average molecular weight of
about 500-10000 formed by reaction of a composition comprising in admixture
optionally dissolved in a water-soluble solvent: A. polyisocyanate, B. silicone, C.
optionally reactant, and wherein either silicone B, or reactant C, or both, have at least
25 one ionizable group, D. optionally organic substance with no silicon and no ionizable
group, and E. compound providing counterion for said ionizable group, and wherein
either a. silicone B, reactant C and substance D has each two, or more, isocyanate-
reactive groups, and the sum of their isocyanate-reactive groups is substantially
greater than the number of isocyanate groups, or b. wherein one, or more, of said
30 silicone B, reactant C and substance D have single isocyanate-reactive groups,

ii. mixing with water to form dilute solution or dispersion, iii. coating a flexible substrate with said solution or dispersion and removing water or solvent by heat, and iv. coating said substrate with a pressure sensitive adhesive.

20. Oligomers, according to claim 19, wherein said polyisocyanate is an isomer of toluene diisocyanate, monomer or oligomer of methylene-bis- phenylisocyanate, said
5 silicone has one or more hydroxyl groups bonded through intermediate Si-C linkage to ethyleneoxy groups, said reactant is the reaction product of a cyclic anhydride with N-methylethanol amine, diethanol amine or propylene glycol and said counterion is a tertiary amine.
- 10 21. Oligomers, according to claim 20, with weight average molecular weight 600-6000 and prepared in a solvent that is removed.