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[Continued on next page]

(54) Title: SYSTEM AND METHOD FOR OPERATING A PRINCIPAL PRESERVATION FUND BASED UPON OPTION COST PER WEEK

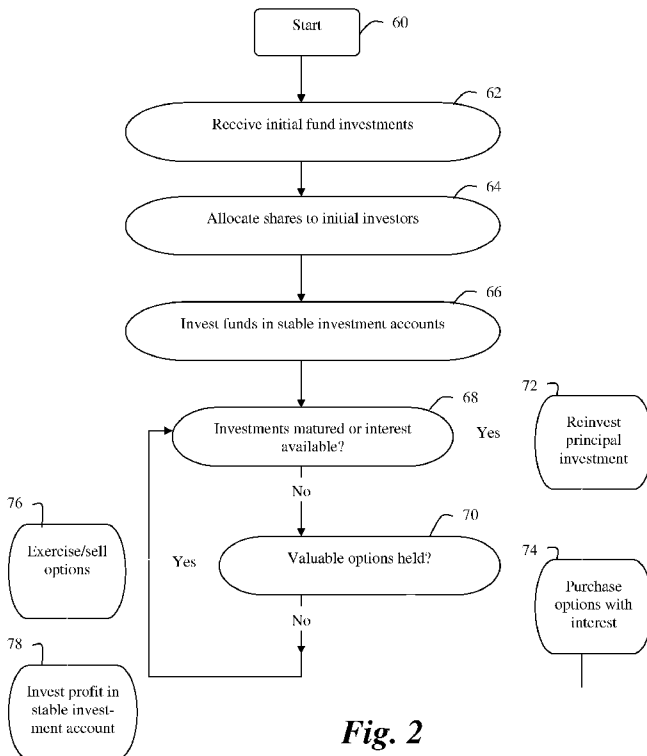


Fig. 2

(57) Abstract: Disclosed is a system and method for operating an investment account which provides a predetermined level of principle preservation while achieving growth by investing gains from zero or low-risk stable investments into options. In one form, the investment account may be owned by an individual investor or by a number of investors, such as in the form of a mutual fund. In yet another form, business logic may be programmed to automatically select the options based upon their associated premium cost per week until expiration.

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- *with international search report (Art. 21(3))*
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A. CLASSIFICATION OF SUBJECT MATTER**G06Q 40/00(2006.01)i**

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B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

G06Q 40/00

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Korean utility models and applications for utility models

Japanese utility models and applications for utility models

(Chinese Patents and application for patent)

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

eKOMPASS(KIPO internal) & Keywords: fund, profit, gain, return, principal investment, associated premium cost, target price, business logic, options

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	KR 10-2002-0060326 A (KOREA INVESTMENT TRUST MANAGEMENT & SECURITIES CO., LTD.) 18 JULY 2002 See the abstract, page 2, line 15 - page 4, line 3 and figures 1-3.	1-24
Y	US 2007/0288400 A1 (MENON, RAMESH K.) 13 DECEMBER 2007 See the abstract, page 1, paragraph [0007] - page 8, paragraph [0068] and figures 1, 2, 4, 6.	1-24
A	KR 10-2003-0045112 A (HITO, KENJI) 9 JUNE 2003 See the abstract, page 2, line 36 - page 18, line 54 and figures 1-4, 14.	1-24
A	US 2008/0016008 A1 (SIEGEL, RICHARD J. et al.) 17 JANUARY 2008 See the abstract, page 2, paragraph [0011] - page 7, paragraph [0089] and figures 3, 4.	1-24

 Further documents are listed in the continuation of Box C. See patent family annex.

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