(19) World Intellectual Property Organization

International Bureau



1 | 1881 | 1 | 1881 | 1 | 1881 | 1881 | 1881 | 1881 | 1881 | 1881 | 1881 | 1881 | 1881 | 1881 | 1881 | 1881 |

(43) International Publication Date 19 August 2004 (19.08.2004)

PCT

(10) International Publication Number WO 2004/070538 A3

(51) International Patent Classification⁷:

G06F 1/00

(21) International Application Number:

PCT/US2004/002356

(22) International Filing Date: 28 January 2004 (28.01.2004)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

60/444,581 10/726,284 3 February 2003 (03.02.2003) US 2 December 2003 (02.12.2003) US

(71) Applicant (for all designated States except US): TENNESSEE PACIFIC GROUP, L.L.C. [US/US]; 1393 Old Hillsboro Road, Franklin, Tennessee 37069 (US).

(72) Inventors: and

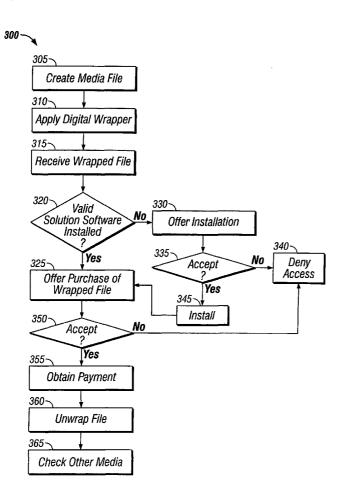
(75) Inventors/Applicants (for US only): POU, Robin

[US/US]; 3301 Villanova, Dallas, TX 75225 (US). ED-MONSON, Brad [US/US]; 605 Wildflower Ct., Franklin, TN 37064 (US). JAWORSKI, Dave [CA/US]; 5116 Seward Road, Brentwood, TN 37027 (US). NUYENS, Jozef [BE/US]; 5409 Sassafras Place, Brentwood, TN 37027 (US). LEWIS, Scott [US/US]; 645 Hillview Ct, El Dorado Hills, CA 95742 (US).

- (74) Agent: PATTERSON, Spencer, C.; Fish & Richardson P.C., 5000 Bank One Center, 1717 Main Street, Dallas, TX 75201-4605 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM,

[Continued on next page]

(54) Title: DISTRIBUTION AND RIGHTS MANAGEMENT OF DIGITAL CONTENT



(57) Abstract: Techniques and systems for for managing digital rights can be implemented to protect against unauthorized copying of digital content and to help ensure payment to content owners and distributors. Digital wrappers can be applied (310, 1020) to data files to prevent access (135, 340, 440) without a valid authorization. Information relating to authorizations to access data files and/or keys for accessing the data files may be stored and retrieved using data stored in a non-volatile storage area (220) of a user device (205). Software on the user device can be used to recognize files (110, 415) and to apply digital wrappers (310, 455, 1020) to recognized files. In addition, the software can be used to remove digital wrappers (360, 685, 770) from files in accordance with authorizations to access the files. Payments or other credits can be allocated (920, 940) among distributors of a data file using information associated with the digital wrappers.



 $\label{eq:tn_tr_def} \text{TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.}$

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

with international search report

(88) Date of publication of the international search report: $10 \ {\rm February} \ 2005$

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

itional Application No PCT/US2004/002356

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 G06F1/00							
According to International Patent Classification (IPC) or to both national classification and IPC							
Minimum do	SEARCHED ocumentation searched (classification system followed by classification)	on symbols)					
IPC 7 GO6F							
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched							
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)							
EPO-In	ternal, WPI Data						
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT						
Category °	Citation of document, with indication, where appropriate, of the rel	evant passages	Relevant to claim No.				
		-/					
[hands are listed in the continuation of hour	V Detail family members are listed in	oppov				
X Further documents are listed in the continuation of box C. Patent family members are listed in annex.							
 Special categories of cited documents: A' document defining the general state of the art which is not considered to be of particular relevance E' earlier document but published on or after the international T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention X' document of particular relevance; the claimed invention 							
filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or "C" document referring to an oral disclosure, use, exhibition or							
other means *P* document published prior to the international filing date but later than the priority date claimed *Real document member of the same patent family *A document member of the same patent family							
Date of the	Date of the actual completion of the international search Date of mailing of the international search report						
2	28 October 2004 10/11/2004						
Name and	Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,						
	Fax: (+31-70) 340-3016	Anticoli, C					

It ational Application No PCT/US2004/002356

Ition) DOCUMENTS CONSIDERED TO BE RELEVANT	
Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
US 2002/161718 A1 (COLEY CHRISTOPHER D ET AL) 31 October 2002 (2002-10-31)	1,2, 7-19,21, 22, 25-39, 60-63, 66-74, 77-93, 108, 110-112
paragraph '0041! – paragraph '0049!	3-6,20, 23,24, 64,65, 75,76, 109
paragraph '0065! paragraph '0079! paragraph '0087! – paragraph '0090! paragraph '0106! paragraph '0109!	
US 6 108 420 A (ALLAN DAVID IAN ET AL) 22 August 2000 (2000-08-22) abstract column 3, line 5 - line 56	20,109
WO 02/086803 A (RHOADS GEOFFREY B; DIGIMARC CORP (US); HIATT R STEPHEN (US); LEVY KEN) 31 October 2002 (2002-10-31) abstract paragraph '0003! - paragraph '0014!	3-6,23, 24,64, 65,75,76
WO 01/41027 A (KOVAC MARIO ; ORSULIC JOSKO (HR); RUNJE DAVOR (HR); UZELAC TOMISLAV (H) 7 June 2001 (2001-06-07) abstract	3-6,23, 24,64, 65,75,76
US 4 796 220 A (WOLFE EVERETT W) 3 January 1989 (1989-01-03) column 3, line 1 - line 25 column 6, line 4 - column 7, line 56	40-48, 95-99, 113-118
US 5 490 216 A (RICHARDSON III FREDERIC B) 6 February 1996 (1996-02-06)	40-48, 95-99, 113-118
column 2, line 50 - column 3, line 32 column 6, line 60 - column 7, line 7	
US 6 189 099 B1 (BEHAR YAACOV ET AL) 13 February 2001 (2001-02-13)	40-48, 95-99, 113-118
column 1, line 30 - line 62	
-/	
	US 2002/161718 A1 (COLEY CHRISTOPHER D ET AL) 31 October 2002 (2002-10-31) paragraph '0041! - paragraph '0049! paragraph '0079! paragraph '0106! paragraph '0106! paragraph '0109! US 6 108 420 A (ALLAN DAVID IAN ET AL) 22 August 2000 (2000-08-22) abstract column 3, line 5 - line 56 WO 02/086803 A (RHOADS GEOFFREY B; DIGIMARC CORP (US); HIATT R STEPHEN (US); LEVY KEN) 31 October 2002 (2002-10-31) abstract paragraph '0003! - paragraph '0014! WO 01/41027 A (KOVAC MARIO; ORSULIC JOSKO (HR); RUNJE DAVOR (HR); UZELAC TOMISLAV (H) 7 June 2001 (2001-06-07) abstract US 4 796 220 A (WOLFE EVERETT W) 3 January 1989 (1989-01-03) column 3, line 1 - line 25 column 6, line 4 - column 7, line 56 US 5 490 216 A (RICHARDSON III FREDERIC B) 6 February 1996 (1996-02-06) column 2, line 50 - column 3, line 32 column 6, line 60 - column 7, line 7 US 6 189 099 B1 (BEHAR YAACOV ET AL) 13 February 2001 (2001-02-13) column 1, line 30 - line 62

II tional Application No
PUI/US2004/002356

	PC1/U32U04/U02350
•	
Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
US 5 563 946 A (NAGDA JAGDISH ET AL) 8 October 1996 (1996-10-08) column 8, line 28 - line 62 column 19, line 18 - column 20, line 32	49,100
EP 1 096 382 A (IONTAS LTD) 2 May 2001 (2001-05-02) paragraph '0003! paragraph '0028!	49-59, 100-107
WO 02/052388 A (ALDRIDGE JANE LESLEY; GAFFNEY PHILIP MICHAEL (GB); INTERNET EXTRA LTD) 4 July 2002 (2002-07-04) page 1, line 8 - line 14 claim 1	49-59, 100-107
US 6 282 653 B1 (HIMMEL MARIA AZUA ET AL) 28 August 2001 (2001-08-28)	55,104 49-59,
column 19, line 18 - column 20, line 32	100-107
US 2003/236978 A1 (EVANS GLENN F ET AL) 25 December 2003 (2003-12-25) paragraph '0004! - paragraph '0005!	49-59, 100-107
	8 October 1996 (1996-10-08) column 8, line 28 - line 62 column 19, line 18 - column 20, line 32 EP 1 096 382 A (IONTAS LTD) 2 May 2001 (2001-05-02) paragraph '0003! paragraph '0028! WO 02/052388 A (ALDRIDGE JANE LESLEY; GAFFNEY PHILIP MICHAEL (GB); INTERNET EXTRA LTD) 4 July 2002 (2002-07-04) page 1, line 8 - line 14 claim 1 US 6 282 653 B1 (HIMMEL MARIA AZUA ET AL) 28 August 2001 (2001-08-28) column 1, line 5 - column 5, line 8 column 19, line 18 - column 20, line 32 US 2003/236978 A1 (EVANS GLENN F ET AL) 25 December 2003 (2003-12-25)

rnational application No. PCT/US2004/002356

Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
see additional sheet
1. As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-39, 60-94, 108-112

managing digital rights by using a digital wrapper and a license database

1.1. claims: 29-39

allocating proceeds in connection with a distribution of digital rights

2. claims: 40-48, 95-99, 113-118

facilitating digital rights management by generating a digital key

3. claims: 49-59, 100-107

managing digital rights when transferring files

INTERNATIONAL SEARCH REPORT Information on patent family members

tional Application No PCI/US2004/002356

			· · · · · · · · · · · · · · · · · · ·				2004/002356
	atent document d in search report		Publication date		Patent family member(s)		Publication date
US	2002161718	A1	31-10-2002	US	2001011253	A1	02-08-2001
US	6108420	Α	22-08-2000	AU CA WO CN EP JP	6492198 2285392 9845768 1255209 0974084 2002503365	A1 A1 T A1	30-10-1998 15-10-1998 15-10-1998 31-05-2000 26-01-2000 29-01-2002
WO	02086803	A	31-10-2002	US WO	2002186844 02086803		12-12-2002 31-10-2002
WO	0141027	A	07-06-2001	AU WO	1943801 0141027		12-06-2001 07-06-2001
US	4796220	A	03-01-1989	NONE			
US	5490216	A	06-02-1996	AU AU WO CA CN EP NZ	678985 4811393 9407204 2145068 1103186 0689697 255971	A A1 A1 A A1	19-06-1997 12-04-1994 31-03-1994 31-03-1994 31-05-1995 03-01-1996 26-05-1997
US	6189099	B1	13-02-2001	US US US	6216230 6401205 6425084	B1	10-04-2001 04-06-2002 23-07-2002
US	5563946	A	08-10-1996	DE DE EP JP JP	69528408 69528408 0679977 7295798 2002251325	T2 A1 A	07-11-2002 03-07-2003 02-11-1995 10-11-1995 06-09-2002
EP	1096382	A	02-05-2001	EP IE	1096382 20000864		02 - 05-2001 29 - 05-2002
WO	02052388	Α	04-07-2002	WO	02052388	A2	04-07-2002
US	6282653	B1	28-08-2001	CA CN CZ DE DE EP ES WO JP PL	2268377 1292896 20004231 69809800 69809800 1076845 2184347 9960461 2002516426 343928	T A3 D1 T2 A1 T3 A1 T	15-11-1999 25-04-2001 12-06-2002 09-01-2003 04-12-2003 21-02-2001 01-04-2003 25-11-1999 04-06-2002 10-09-2001
US	2003236978	A1	25-12-2003	BR CA CN EP JP NO PL	0302113 2428953 1471021 1376302 2004062886 20032887 360755	A1 A A2 A	08-09-2004 24-12-2003 28-01-2004 02-01-2004 26-02-2004 29-12-2003 29-12-2003

INTERNATIONAL SEARCH REPORT Information on patent family members

cited	tent document in search report		Publication date		member(s)	Publication date
US	2003236978	A1		ZA	200303975 A	25-03-2004
						•
			•			