

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
10 August 2006 (10.08.2006)

PCT

(10) International Publication Number
WO 2006/082425 A1

- (51) International Patent Classification:
G01R 31/36 (2006.01) H01M 10/48 (2006.01)
H02J 7/00 (2006.01)
- (21) International Application Number:
PCT/GB2006/000385
- (22) International Filing Date: 6 February 2006 (06.02.2006)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
0502274.4 4 February 2005 (04.02.2005) GB
- (71) Applicant (for all designated States except US):
XIPOWER LIMITED [GB/GB]; Springfield House,
Laurelhill Business Park, StirlingFK7 9JQ (GB).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): HUGGINS, Mark

[GB/GB]; 25 The Loan, Torphichen, West Lothian EH48 4NF (GB).

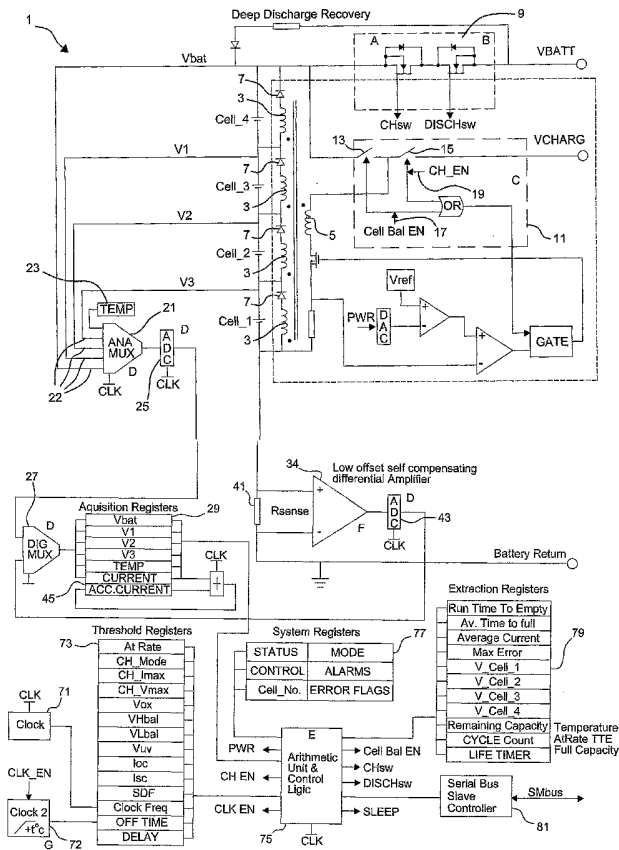
(74) Agent: KENNEDYS PATENT AGENCY LIMITED;
185 St. Vincent Street, Glasgow G2 5QD (GB).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI,

[Continued on next page]

(54) Title: BATTERY MANAGEMENT SYSTEM



(57) Abstract: A battery management system for use with one or more cells comprising the system having one or more battery monitor and programmable logic which is connected to the one or more battery monitor to modify its battery operation and report battery status. The programmable logic may be configured to analyse physical data relating to the effect of temperature on battery capacity and/or the effect of temperature on battery self discharge current. Implementation is applicable to all electrical energy storage systems that comprise series or parallel connected electro chemical storage elements. This includes Super or Ultra Capacitor's, fuel cells, NiMH, NiCd, Pb & Lithium Chemistry battery packs.

WO 2006/082425 A1



FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT,
RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA,
GN, GQ, GW, ML, MR, NE, SN, TD, TG).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Published:

— *with international search report*

1 **Battery Management System**

2

3 The present invention relates to battery management
4 systems, to their implementation in Electronic Integrated
5 Circuits, and more particularly to large scale
6 integration techniques commonly known as System On Chip
7 or System Level Integration.

8

9 In the field of battery management, it is highly
10 desirable to monitor and/or control a number of
11 parameters that affect battery performance. For example,
12 a battery management system might include some of the
13 following functionality:

14 State of Charge (SoC) measurement for determining the
15 amount of remaining stored energy;

16 State of Health (SoH) measurement for determining the
17 battery life expectancy;

18 Battery protection monitoring to ensure safe battery
19 operation;

20 Charge Control for regulation of charging current and
21 voltage; and

22 Cell Balancing to ensure maximum energy is stored and
23 delivered without activating protection circuitry.

24

25 Presently many different electronic circuits are employed
26 to provide the above described functionality. Current
27 industry implementation makes use of up to seven
28 integrated circuits to provide a total solution with only
29 a few devices contained within the battery pack. Cell
30 balancing, charger, and some data processing are housed
31 within the host system.

32

1 Semiconductor manufacturers have developed specific
2 electronic integrated circuits that provide one or more
3 of these features in an attempt to reduce cost and
4 minimise solution size. Such examples of devices are Fuel
5 Gauging IC's that provide SoC, Protection IC's that
6 monitor the safe operation of the battery, Passive Cell
7 Balancer IC's that ensure safe charging of multiple
8 series connected battery cells, and Charger IC's that
9 control the battery's charger unit. It therefore takes a
10 number of integrated circuits and additional discrete
11 circuitry to build a complete battery management system.

12

13 Problems that are not currently addressed are:

14 Accurate determination and compensation of battery self
15 discharge current;
16 Compensation of SoC for battery operational temperature;
17 Cell balancing during discharge;
18 Accurate SoH (State of Health) determination; and
19 Application of battery management to large Cell stacks
20 (greater than 8 series or parallel connected cells).

21

22 **Passive Cell Balancing**

23

24 A single cell Li-Ion battery provides a nominal output
25 voltage of around 3.7V and has a narrow range of safe
26 operation of between 3V and 4.2V. Should the cell voltage
27 drift outwith this safe zone, through over discharge or
28 over charging, the Li-Ion cell will be irreparably
29 damaged and under certain circumstances there is risk of
30 catastrophic failure resulting in fire and or explosion.

31

32 An internal protection circuit as described earlier
33 prevents the cell from being over charged or discharged.

1 In multi-cell battery packs, cells are connected in
2 series to provide a greater output voltage for use in
3 applications that require a greater energy capacity such
4 as lap top computers and electric vehicles. Each cell has
5 slightly different electrical characteristics due to
6 variations in assembly and chemistry. The protection
7 circuit must act on the lowest and/or highest cell
8 voltage in the multi-cell pack. This means that battery
9 packs can be disabled for just a single discharged cell,
10 thus preventing further energy being released, or a
11 single overcharged cell preventing full charge of the
12 battery pack. This problem significantly reduces the
13 available charge from a multi-cell battery.

14

15 The objective of cell balancing is to compensate for
16 these variations in cell electrical characteristics such
17 as impedance and capacity by ensuring that each 'series'
18 connected cell operates with the same cell voltage and
19 within an acceptable tolerance. Cell balancing maximises
20 available charge in series connected multi-cell battery
21 packs and increases life expectancy through reduction in
22 charging cycles.

23

24 Passive:

25

26 Passive cell balancing switches a resistor across a high
27 voltage cell to remove charge from it and pass it onto
28 the lower cell/cells. Another possible approach would be
29 to use a shunt regulator across each cell this would
30 remove the need for the resistor with all cell voltage
31 being supported by the shunt pass transistor. Both
32 methods have two problems; firstly they both dissipate
33 energy, secondly their only use is during the charging

1 cycle as it would lessen battery capacity and shorten
2 life during discharge cycle due to the additional
3 dissipation. Current Li-Ion battery management
4 integrated circuits that incorporate cell balancing
5 utilise the passive approach examples being Xicor's X310
6 series and Texas Instruments bq29311.

7

8 It is an object of the present invention to provide a
9 complete battery management system. It is a further
10 object of the invention to implement the battery
11 management system on a single Application Specific
12 Integrated Circuit or by using several integrated
13 circuits with or without further discrete circuitry.

14

15 In accordance with a first aspect of the present
16 invention there is provided a battery management system
17 for use with one or more cells comprising a battery, the
18 battery management system comprising:

19 one or more battery monitoring means; and
20 programmable logic;

21 wherein the programmable logic is connected to the one or
22 more battery monitoring means to modify its battery
23 operation and report battery status.

24

25 Preferably, the battery monitoring means reports battery
26 status through a communication bus to an external host.

27

28 Implementation is applicable to all electrical energy
29 storage systems that comprise series or parallel
30 connected electro chemical storage elements. This
31 includes but is not limited to Super or Ultra
32 Capacitor's, fuel cells, NiMH, NiCd, Pb & Lithium
33 Chemistry battery packs.

1 Implementation of programmable logic enables the
2 invention to be configured for a variety of battery
3 chemistries.

4

5 Preferably, the battery monitoring means is provided with
6 data acquisition means to record battery performance
7 parameters.

8

9 Preferably, the programmable logic is configured to
10 analyse data received from the one or more battery
11 monitoring means and to modify the operation of the
12 battery in response to said data.

13

14 Preferably, data acquisition means is placed across each
15 cell of the battery to collect data from said cell.

16

17 Optionally, a data acquisition device is configured to
18 collect data from a plurality of cells.

19

20 Preferably, the programmable logic is configured to
21 analyse physical data.

22

23 Preferably, the programmable logic is configured to
24 analyse physical data relating to the effect of
25 temperature on battery capacity and/or the effect of
26 temperature on battery self discharge current.

27

28 Preferably, the programmable logic is configured to
29 derive the actual state of charge at any operational
30 temperature.

31

32 Preferably, the programmable logic contains one or more
33 look-up tables and/or algorithms.

1 Preferably, the programmable logic comprises a digital
2 microprocessor and digital memory.

3

4 Preferably, the programmable logic comprises a digital
5 means of communication with internal and external systems
6 and the ability to report battery status and provide
7 external control of a battery.

8

9 Preferably, the programmable logic is embedded in the
10 battery management system.

11

12 Preferably, the battery monitoring means comprises state
13 of charge measurement means.

14

15 Preferably, the battery monitoring means comprises state
16 of health measurement means.

17

18 Preferably, the battery monitoring means comprises
19 battery protection means.

20

21 Preferably, the battery protection means comprises
22 switching means to control current flow from a power
23 source.

24

25 Preferably, the battery monitoring means comprises
26 charging control means.

27

28 Preferably, the battery monitoring means comprises active
29 cell balancing control means enabling transfer of energy
30 from strong to weak cells.

31

32 Preferably, the active cell balancing control comprises
33 a switched mode converter, attachable to a primary energy

1 source and capable of moving energy from the primary
2 energy source to one or more cells depending upon the
3 respective energy requirements of the cells. The primary
4 energy source can be a battery or an external power
5 supply.

6

7 Preferably, the programmable logic is adapted to operate
8 temperature control means.

9

10 Preferably, the temperature control means comprises
11 heating means to warm the cells.

12

13 The primary energy source can be external to the battery
14 pack in charge mode.

15

16 Preferably, the primary energy source can be derived from
17 the battery pack in active cell balancing mode

18

19 Preferably, the active cell balancing circuitry can
20 operate as an integral charger.

21

22 Preferably, the active cell balancing circuitry can
23 operate as a sulphation removal system when used in a Pb
24 (lead acid) battery stack.

25

26 This is a result of the the ability of the active cell
27 balancing circuitry to deliver current pulses.

28

29 A Flyback topology can be used as a switched mode
30 converter.

31

32 The type of switched mode converter is not limited to
33 flyback and can comprise other converter topologies.

1

2 The use of a Flyback Switched Mode Converter in both
3 discontinuous and continuous mode is an effective energy
4 transfer device for cell balancing and cell charging as
5 all outputs track.

6

7 Preferably, the Flyback switched mode converter is
8 provided with one or more synchronous output or secondary
9 rectifiers.

10

11 The use of synchronous rectifiers improves energy
12 conversion efficiency and can better steer energy to the
13 appropriate weak cell.

14

15 Optionally, the Flyback switched mode converter is
16 provided with one or more output or secondary rectifier
17 diodes.

18

19 Preferably, a switched magnetic or capacitive converter
20 may be configured to actively transfer energy from strong
21 cells to weak cells within the battery pack.

22

23 Preferably, the battery management system is provided
24 with self discharge current measurement means.

25

26 Preferably, the self discharge measurement means
27 comprises a current oscillator which can be coupled to a
28 battery when the battery is in sleep mode, the current
29 oscillator having a temperature coefficient that
30 corresponds to the temperature coefficient of the
31 battery.

32

1 Preferably the battery management system is provided with
2 means for disabling the battery during transit, said
3 means being provided as an instruction from the
4 programmable logic.

5 In accordance with a second aspect of the invention there
6 is provided a battery management system of the first
7 aspect of the invention incorporated in an application
8 specific integrated circuit.

9

10 In accordance with a third aspect of the invention there
11 is provided a battery management system of the first
12 aspect of the invention incorporated in a discrete printed
13 circuit board.

14

15 In accordance with a fourth aspect of the invention there
16 is provided a battery pack containing a battery and a
17 battery management system of the first aspect of the
18 invention wherein the battery management system is
19 embedded in the battery pack.

20

21 Preferably, application to a large cell stack can be
22 implemented through modules that comprise individual
23 DC/DC converters, all monitoring, communication and logic
24 functions. Each cell in the ~~series-connected~~ stack is
25 connected to its own individual cell module.

26

27 The present invention will now be described by way of
28 example only, with reference to the accompanying drawings
29 in which:

30

31 Figure 1 is a schematic diagram of an example of the
32 present invention;

33

1 Figure 2 is a circuit block diagram of a first embodiment
2 of a battery management system in accordance with the
3 present invention;

4

5 Figure 3 is circuit block diagram of a switched mode
6 converter used in a second embodiment of the present
7 invention;

8

9 Figure 4 is a circuit block diagram of a data acquisition
10 device suitable for use in an embodiment of the present
11 invention;

12

13 Figure 5 is a circuit block diagram of a data acquisition
14 device suitable for use in an embodiment of the present
15 invention;

16

17 Figure 6 is a circuit block diagram of a second
18 embodiment of the present invention;

19

20 Figure 7 is a circuit block diagram of a digital
21 processor and controller for use in an embodiment of the
22 present invention with a large number of series connected
23 cells;

24

25 Figure 8 is a circuit block diagram showing the
26 configuration of modules described in figure 6 where the
27 circuit is in charge mode;

28

29 Figure 9 is the circuit block diagram of figure 8 in
30 discharge mode; and

31

32 Figure 10 is the circuit block diagram of figure 8
33 implemented with a constant voltage charger.

1

2 As shown in Figure 1, the present invention incorporates
3 battery monitoring means 20 such as active cell balancing
4 control and status reporting, SoC measurement and
5 reporting, SoH measurement and reporting, Protection
6 control and status reporting, Charging control and
7 reporting.

8

9 These battery monitoring means are programmable through
10 the implementation of programmable logic 30 as an
11 embedded digital microprocessor and digital memory. The
12 battery management system is able to communicate so with
13 an external host through the implementation of a serial
14 or parallel wired bus or through a wireless communication
15 link. The programmable logic is also able to communicate
16 with the battery 40.

17

18 Implementation of a digital microprocessor and digital
19 memory enables the present invention to be configured for
20 multiple battery chemistries. In addition, the digital
21 microprocessor and digital memory enables processing of
22 captured data to compensate for a wide variety of
23 physical processes not currently considered in the state
24 of the art. In particular algorithms or look up tables
25 can be used to compensate for the effect of temperature
26 on battery capacity and for the effect of temperature on
27 battery self discharge current. Algorithms are also used
28 to establish cell aging from variation in complex cell
29 impedance coupled with depth of discharge history. The
30 complex and static impedance being derived from the
31 measurements made by the data acquisition modules.

32

33 An example of the present invention is shown in Figure 2.

1
2 The implementation of a Flyback Switched mode power
3 supply either operating in the discontinuous or
4 continuous mode offers a source of charge current for
5 each series connected cell within the battery. Multiple
6 secondary windings on a single coupled inductor enable
7 the sharing of energy that is delivered to the coupled
8 inductor through the primary inductor winding. The
9 primary energy source can be from an external charge
10 source or if connected to the battery output from the
11 battery itself.

12
13 Implementation can also comprise individual switch mode
14 power supply converters without coupled secondary
15 windings.

16
17 When connected to the battery output the circuit is
18 configured for Active Cell Balancing. In this mode energy
19 is taken from the battery pack and delivered to the
20 weakest (lowest charge state) cell effectively
21 transferring energy from higher capacity cells into lower
22 capacity cells to enable the maximum energy to be
23 withdrawn from the battery pack. Without Active Cell
24 Balancing the battery's protection circuit would turn off
25 the battery output when the lowest charged cell was
26 depleted even though energy remained in higher capacity
27 cells.

28
29 An enhancement of the Active Cell Balancer circuit shown
30 as a Flyback switched mode power supply in figure 1 is to
31 place individual data acquisition devices across each
32 cell as shown in figure 3. This enables greater accuracy
33 of capacity determination in accommodating energy lost

1 through cell balancing. It is to be noted that Active
2 Cell balancing provides greater accuracy due to its
3 significantly higher efficiency than Passive Cell
4 balancing. This configuration can also report individual
5 cell absolute and relative capacities as they age so
6 providing useful service information. Typical data
7 acquisition devices are shown in figures 4 and 5.

8

9 The data acquisition device 83 of figure 4 comprises
10 inputs from a cell 84, 85 a low offset compensating
11 differential amplifier 86 connected to an analogue
12 multiplexer 87 which also has a temperature sensor 88
13 connected to its input. The analogue to digital
14 converter 89 provides the input to register 90 and
15 communications means 91. A synchronising clock input 92
16 is also provided.

17

18 An additional enhancement to the Active Cell Balancer
19 shown as a Flyback converter in figures 2 and 3 is to
20 replace all output diodes with synchronous rectifiers
21 (figure 6). In this embodiment the microprocessor can
22 select which synchronous rectifier to activate in order
23 to better steer energy into the weakest cell without
24 energy escaping into higher charged cells which reduces
25 overall efficiency. In this embodiment the data
26 acquisition device 93 has, in addition to those features
27 shown in figure 4, a control port 94 that activates the
28 synchronous rectifier (figure 5).

29

30 A four cell battery management system incorporating
31 active cell balancing is described in figure 2, 3 and 6.

32

33 The system contains six functional blocks

1

2 The battery protection block that protects the battery
3 from excessive charge and discharge.

4

5 The charger block that replenishes charge once the
6 battery is discharged.

7

8 The data acquisition block that acquires state of battery
9 (voltage, current, temperature, capacity) information.

10

11 The coulomb counter block that accurately determining the
12 available capacity of the battery (fuel gauge).

13

14 The digital processor and digital bus communication block
15 that processes data and hosts communications.

16

17 The Cell Balancing used to maximise the available charge
18 in series connected multi-cells.

19

20 The Active Cell Balancing unit can be configured to act
21 as the charger thereby eliminating the need for an
22 additional charger circuit.

23

24

25 **Battery Protection**

26

27 Referring to figure 2 battery protection 9 is afforded
28 through two power switches referenced A and B. These two
29 switches are controlled by logic that operate each switch
30 depending on the operating condition sensed by the data
31 acquisition circuitry. The two switches enable either
32 full charge/discharge (two way current flow), charge only
33 (one way current flow into battery), discharge only (one

1 way current flow out of the battery) and finally in a
2 fault condition both switches are off enabling no current
3 to flow into or out of the battery.

4

5 It is to be noted that Li-Ion batteries have a very
6 narrow window of safe operation and if subject to
7 operating conditions outwith this window extensive damage
8 can result to the Li-Ion cell/battery and in extreme
9 situations there is risk of excessive heat/explosion.

10 Battery protection is therefore for Li-Ion
11 cells/batteries.

12

13 The conditional state of protection circuitry and
14 operating mode can be relayed to the host system by the
15 digital bus communication link between battery pack and
16 host system.

17

18 **Battery Charger**

19

20 Referring to figure 2 the battery charger 11 is
21 represented by the block identified as reference C. The
22 purpose of the charger block is to replenish the battery
23 charge from a variety of power sources such as a mains
24 outlet block, or vehicle 12V/24V socket. The charger
25 block is under control of the internal data processor and
26 the host system controller via the digital bus
27 communication link between battery pack and host system.

28

29 The Charger block is function performed by the Active
30 Cell Balancer block. Two switches controlled by CH_EN 19
31 and CellBalEN 17 select which mode is appropriate. The
32 two switches are never on at the same time.

33

1 The charger can operate in a number of modes
2 accommodating a variety of different battery cell
3 chemistries. These modes include constant current
4 followed by constant voltage and float charging. A
5 detailed description of operation now follows:-

6

7 **Data Acquisition**

8

9 The purpose of the data acquisition circuitry is to
10 provide measurements of all the batteries vital
11 performance parameters such as cell voltage, current flow
12 and temperature. These parameters are analogue so they
13 need to be sensed (21, 22) and then converted into
14 digital signals 25 before being handed over to the
15 digital processor. Two 12 bit Analogue to Digital
16 Converters 25, ADC's, are used. The inputs 22 to the
17 ADC's are multiplexed 21 to save on operating current and
18 circuit area. One analogue multiplexer 21 and one digital
19 multiplexer 27 are used. An acquisition register 29 is
20 provided to hold the acquired data for further
21 processing. All this circuitry is represented by the
22 reference D in figure 2.

23

24 The analogue and digital multiplexers are programmable to
25 accommodate different numbers of series connected cells.

26

27 The embodiment described in figure 3 and 6 show each cell
28 having its own data acquisition device (133,233,135,235)
29 each reporting to the main micro controller through a
30 common serial communication bus. This improves the
31 accuracy of data collected specific to each individual
32 cell.

33

1 **Coulomb Counter**

2

3 To accurately determine available capacity of battery
4 (fuel gauge) the current into and out of the battery is
5 sensed and integrated (accumulated). The charge is
6 counted going into the battery and the charge is counted
7 leaving the battery the difference between the two counts
8 is the estimated remaining battery capacity. An ultra low
9 offset self compensating differential amplifier 34,
10 figure 2 reference F, senses the voltage across the
11 current sense resistor 41. The maximum voltage
12 corresponding to maximum current will be around +/-100mV.
13 The output of the differential amplifier is then
14 converted to a digital value by an ADC and placed in the
15 acquisition register for later processing by the
16 arithmetic unit and accumulated current register. Current
17 is integrated by the cumulative addition of sampled
18 current in the ACC. CURRENT register 45. The output of
19 the current ADC is signed in 2's complement to enable
20 subtraction for discharge.

21

22 In the embodiment described by figures 3 and 6 each cell
23 has its own Coulomb Counter to improve accuracy of
24 measurement and provide additional state of health
25 information.

26

27 **Digital Processor and Digital Bus communication**

28

29 A digital signal processor is required to control system
30 operation, collate acquired data, process data,
31 communicate data with host system, and accept system
32 control commands from host system via the communication
33 digital bus.

1
2 The digital processor and digital bus communication
3 functionality of figure 2 provide the programmable logic
4 functions which operate upon the data acquired relating
5 to cell performance. A clock 71 and clock enable 72 are
6 provided along with a threshold register which contains
7 threshold values of many of the measurable physical
8 parameters of a cell such as Ch_Imax (Charge Current
9 Maximum). The arithmetic unit and control logic 75 is
10 programmable in a manner selected by the user depending
11 upon for instance the physical properties of the cells
12 in the battery.

13
14 System register 77 which controls status and mode of the
15 system as well as an extraction register 79 and
16 communications (bus 81) are also shown.

17
18 The programmable logic is programmed to optimise battery
19 performance in response to changes in battery performance
20 identified through acquired data.

21

22 **Active Cell Balancing**

23

24 The present invention uses active cell balancing. Active
25 cell balancing makes use of switching capacitors or
26 magnetic circuits to balance each cell voltage. The
27 active approach can be applied in both the charge and
28 discharge cycle furthermore the efficiency of conversion
29 is greatly increased. There are potentially many
30 different types of active cell balancing circuits, some
31 of the simplest make use of capacitors that are switched
32 across each cell in rotation. The capacitors transfer
33 charge to and from each cell to balance their respective

1 voltages. As a consequence of the size of capacitors and
2 switching frequency required this configuration works
3 best for low capacity batteries.

4

5 Other possible active cell balancing schemes can make use
6 of magnetic switching circuits such as the Buck and
7 Flyback topologies. The Flyback approach is simple to
8 implement and has the inherent ability to distribute
9 energy without the need for any complex control
10 circuitry. However, the design of the Coupled Inductor is
11 important because all leakage inductances must balance
12 within limits to enable accurate charge distribution.

13

14 Given the application tolerances for Li-Ion the Flyback
15 approach has been adopted in the embodiment of the
16 invention shown in figures 2 and 3 and 6.

17

18 Figure 2 shows a four cell system. However, the digital
19 processor and controller can be made flexible to
20 accommodate a defined maximum number of cells. The cell
21 number register can be written to via the serial bus to
22 define the number of cells for any given application.
23 This data register is then used by the controller to
24 configure all Analogue and Digital multiplexers and data
25 registers to control a specific number of cells for that
26 programmed application. Though the maximum number of
27 series connected cells is envisaged to be no more than
28 eight in this embodiment. For application to a greater
29 number of series connected cells the embodiment described
30 by figures 7 and 8 and 10 would enable systems to be
31 built that would support application to heavy industrial
32 devices such as electric vehicles and standby battery

1 banks that generally require terminal voltages exceeding
2 300V.

3

4 The cell balancing circuit activation can be enabled
5 outside of two programmable thresholds V_{Hbal} and V_{Lbal} .
6 This will prevent the cell balancing circuitry being
7 active during most operating conditions and hence save on
8 battery life. Only when any cell voltage is higher than
9 the V_{Hbal} or lower than the V_{Lbal} thresholds will the
10 cell balancing circuitry be active.

11

12 **Accurate self discharge estimate:** When the battery is
13 lying idle with no current being drawn from it there
14 exists a low internal self discharge current that changes
15 with cell temperature and cell voltage. If the appliance
16 is switched off for an extended period of time the
17 indicated remaining capacity will be in error due to the
18 extended period of self discharge. The present invention
19 provides a means of estimating the self discharge current
20 during power down and thus provides a far more accurate
21 indication of remaining capacity when the appliance is
22 turned on after an extended power off period.

23

24 The present invention uses an ultra low current
25 oscillator (reference G), that operates when the battery
26 is in sleep mode. The oscillator has a strong temperature
27 coefficient that corresponds with that of the battery
28 self discharge temperature profile. The count obtained
29 from the sleep counter is processed with the capacity
30 register on recovery from sleep mode to provide an
31 accurate estimate of remaining capacity.

32

1 The ultra low current oscillator prevents further drain
2 on battery during sleep mode and to match the temperature
3 coefficient to that of the battery cell discharge
4 profile.

5

6 **Safe Transportation and Storage:** Use of internal
7 protection circuit to disable battery pack when in
8 transportation, storage or host demand. The digital
9 serial bus enables commands to be sent to the battery
10 management system controller to disable the battery on
11 demand.

12

13 **Temperature Variation of State of Charge:** This effect is
14 particularly acute for Lithium based cell chemistries.
15 The available capacity from a cell can significantly
16 reduce as temperature falls. The full capacity is
17 restored upon temperature recovery. The implementation of
18 an embedded digital microprocessor and digital memory
19 enables acquired capacity data to be processed using look
20 up tables or algorithms to compensate for this
21 temperature affect.

22

23 Figure 8 shows the configuration of modules 52 described
24 in figure 7 to implement a full active cell balancing
25 system for a stack of four cells (61,63,65,67). The
26 modular construction permits as many series connected
27 cells as the rated isolation voltage of the DC/DC
28 converter and communication system can tolerate. Figure 8
29 shows a battery system being supplied by a Constant
30 Current Constant Voltage (CCCV) charger connected across
31 Battery +ve and Battery -ve terminals. At the start of
32 the charge cycle a constant current, I_{ch} , is supplied to
33 the cell stack. Current is diverted away from the cell

1 stack, I_{stack} , by CELL Pod DC/DC converters, I_{con} , to
2 support cells that have lower voltages. This reduces the
3 rate at which higher voltage cells charge and increases
4 the rate at which lower voltage cells charge. It is
5 through this mechanism that each cell voltage may be
6 balanced during the charge cycle. This implementation
7 relies on their being a constant current charge source
8 which is valid for Lithium Ion and many other cell
9 chemistries.

10

11 In the discharge cycle as shown in figure 9 current is
12 taken from the cell stack by DC/DC converter/converters
13 to boost the cell voltage/voltages of cells that have a
14 lower voltage. In this embodiment $I_{stack} \geq I_{discharge}$
15 ($I_{stack} = I_{con} + I_{discharge}$) though individual cells of low
16 voltage will have significantly lower current than I_{stack}
17 with the DC/DC converter supporting I_{stack} though
18 bypassing each low voltage cell. For low voltage cells
19 $I_{cell_x} < I_{stack}$ with $I_{cell_x} + I_{cell} = I_{stack}$.

20

21 Figure 10 shows implementation to constant voltage
22 chargers as used with Lead Acid cell technology. In this
23 embodiment all charge current is passed through the cell
24 DC/DC converters. Each cell converter has direct control
25 over its connected cell charge rate and so can regulate
26 its cell voltage at an appropriate level during charge
27 cycle. When in discharge mode the DC/DC converters are
28 all connected to the cell stack and cell balancing works
29 in exactly the same way as above Lithium Ion
30 implementation. Switch A is closed and switch B is open
31 during charge mode. In discharge mode Switch A is open
32 and Switch B is closed.

33

1 In an alternative embodiment the programmable logic can
2 be programmed to operate internal heaters to warm the
3 cells to enable additional energy release. The heaters
4 deriving their power from the battery pack. This
5 technique enables maximum energy to be released from the
6 battery pack at low temperatures. The heaters may also
7 operate in charge mode to increase charge acceptance of
8 the battery pack thus enabling maximum energy storage.
9 The programmable logic algorithms compensate for charge
10 acceptance and charge release with cell temperature to
11 allow accurate tracking of cell capacity.

12

13 In one preferred embodiment of the invention, Protection,
14 SoC, SoH, Active Cell Balance Control, Charger Control,
15 Communication Bus, Microprocessor, and Memory monitoring
16 means are integrated onto a single Application Specific
17 Integrated Circuit using CMOS, BiCMOS or BiPOLAR
18 semiconductor process. All electronic power circuitry
19 would be external to the Application Specific Integrated
20 Circuit.

21

22 In addition all power electronic circuitry can be
23 integrated onto the substrate as control, monitoring,
24 acquisition, processing and communication.

25

26 Other embodiments make use of several integrated circuits
27 and additional electronic circuitry.

28

29 The present invention allows the integration of all the
30 above functional blocks onto a single integrated circuit
31 in a way that will serve a wide application base. This
32 single integrated circuit can then be embedded into the
33 battery pack to remove all battery management from the

1 host system and in doing so reduce manufacturing cost,
2. increase battery capacity, increase battery life, and
3 increase system reliability.

4

5 Improvements and modifications may be incorporated herein
6 without deviating from the scope of the invention.

7

1 Claims

2

3 1. A battery management system for use with one or more
4 cells comprising a battery, the battery management system
5 comprising:

6 one or more battery monitoring means; and

7 programmable logic;

8 wherein the programmable logic is connected to the one or
9 more battery monitoring means to modify its battery
10 operation and report battery status.

11

12 2. A battery management system as claimed in claim 1
13 wherein, the battery monitoring means reports battery
14 status through a communication bus to an external host.

15

16 3. A battery management system as claimed in Claim 1 or
17 claim 2 wherein the battery monitoring means is provided
18 with data acquisition means to record battery performance
19 parameters.

20

21 4. A battery management system as claimed in any
22 preceding claim wherein the programmable logic is
23 configured to analyse data received from the one or more
24 battery monitoring means and to modify the operation of
25 the battery in response to said data.

26

27 5. A battery management system as claimed in claim 3
28 wherein, the data acquisition means is placed across each
29 cell of the battery to collect data from said cell.

30

31 6. A battery management system as claimed in claim 3 or
32 claim 5 wherein the data acquisition means is configured
33 to collect data from a plurality of cells.

1

2 7. A battery management system as claimed in any
3 preceding claim wherein, the programmable logic is
4 configured to analyse physical data.

5

6 8. A battery management system as claimed in any
7 preceding claim wherein, the programmable logic is
8 configured to analyse physical data relating to the
9 effect of temperature on battery capacity and/or the
10 effect of temperature on battery self discharge current.

11

12 9. A battery management system as claimed in any
13 preceding claim wherein, the programmable logic is
14 configured to derive the actual state of charge at any
15 operational temperature.

16

17 10. A battery management system as claimed in any
18 preceding claim wherein, the programmable logic contains
19 one or more look-up tables and/or algorithms.

20

21 11. A battery management system as claimed in any
22 preceding claim wherein, the programmable logic comprises
23 a digital microprocessor and digital memory.

24

25 12. A battery management system as claimed in any
26 preceding claim wherein, the programmable logic comprises
27 a digital means of communication with internal and
28 external systems and the ability to report battery status
29 and provide external control of a battery.

30

31 13. A battery management system as claimed in any
32 preceding claim wherein, the programmable logic is
33 embedded in the battery management system.

1

2 14. A battery management system as claimed in any
3 preceding claim wherein, the battery monitoring means
4 comprises state of charge measurement means.

5

6 15. A battery management system as claimed in any
7 preceding claim wherein, the battery monitoring means
8 comprises state of health measurement means.

9

10 16. A battery management system as claimed in any
11 preceding claim wherein, the battery monitoring means
12 comprises battery protection means.

13

14 17. A battery management system as claimed in any
15 preceding claim wherein, the battery protection means
16 comprises switching means to control current flow from a
17 power source.

18

19 18. A battery management system as claimed in any
20 preceding claim wherein, the battery monitoring means
21 comprises charging control means.

22

23 19. A battery management system as claimed in any
24 preceding claim wherein, the battery monitoring means
25 comprises active cell balancing control means enabling
26 transfer of energy from strong to weak cells.

27

28 20. A battery management system as claimed in claim 19
29 wherein, the active cell balancing control comprises a
30 switched mode converter, attachable to a primary energy
31 source and capable of moving energy from the primary
32 energy source to one or more cells depending upon the
33 respective energy requirements of the cells.

1

2 21. A battery management system as claimed in any
3 preceding claim wherein, the programmable logic is
4 adapted to operate temperature control means.

5

6 22. A battery management system as claimed in claim 21
7 wherein, the temperature control means comprises heating
8 means to warm the cells.

9

10 23. A battery management system as claimed in claim 19
11 or claim 20 wherein, the active cell balancing control
12 means operates as an integral charger.

13

14 24. A battery management system as claimed in claim 19,
15 20 or 23 wherein, the active cell balancing means
16 operates as a sulphation removal system when used in a Pb
17 (lead acid) battery stack.

18

19 25. A battery management system as claimed in claim 20
20 wherein, a Flyback topology can be used as a switched
21 mode converter.

22

23 26. A battery management system as claimed in claim 25
24 wherein, the Flyback switched mode converter is provided
25 with one or more synchronous output or secondary
26 rectifiers.

27

28 27. A battery management system as claimed in claim 25
29 or claim 26 wherein, the Flyback switched mode converter
30 is provided with one or more output or secondary
31 rectifier diodes.

32

1 28. A battery management system as claimed in any
2 preceding claim wherein, a switched magnetic or
3 capacitive converter may be configured to actively
4 transfer energy from strong cells to weak cells within
5 the battery pack.
6

7 29. A battery management system as claimed in any
8 preceding claim wherein, the battery management system is
9 provided with self discharge current measurement means.
10

11 30. A battery management system as claimed in claim 29
12 wherein, the self discharge measurement means comprises a
13 current oscillator which can be coupled to a battery when
14 the battery is in sleep mode, the current oscillator
15 having a temperature coefficient that corresponds to the
16 temperature coefficient of the battery.
17

18 31. A battery management system as claimed in any
19 preceding claim wherein the battery management system is
20 provided with means for disabling the battery during
21 transit, said means being provided as an instruction from
22 the programmable logic.
23

24 32. A battery management system as claimed in any of
25 claims 1 to 31 wherein the battery management system is
26 incorporated in an application specific integrated
27 circuit.
28

29 33. A battery management system as claimed in any of
30 claims 1 to 31 wherein the battery management system is
31 incorporated in a discrete printed circuit board.
32

1 34. A battery pack containing a battery and a battery
2 management system as claimed in any of claims 1 to 31
3 wherein the battery management system is embedded in the
4 battery pack.

5

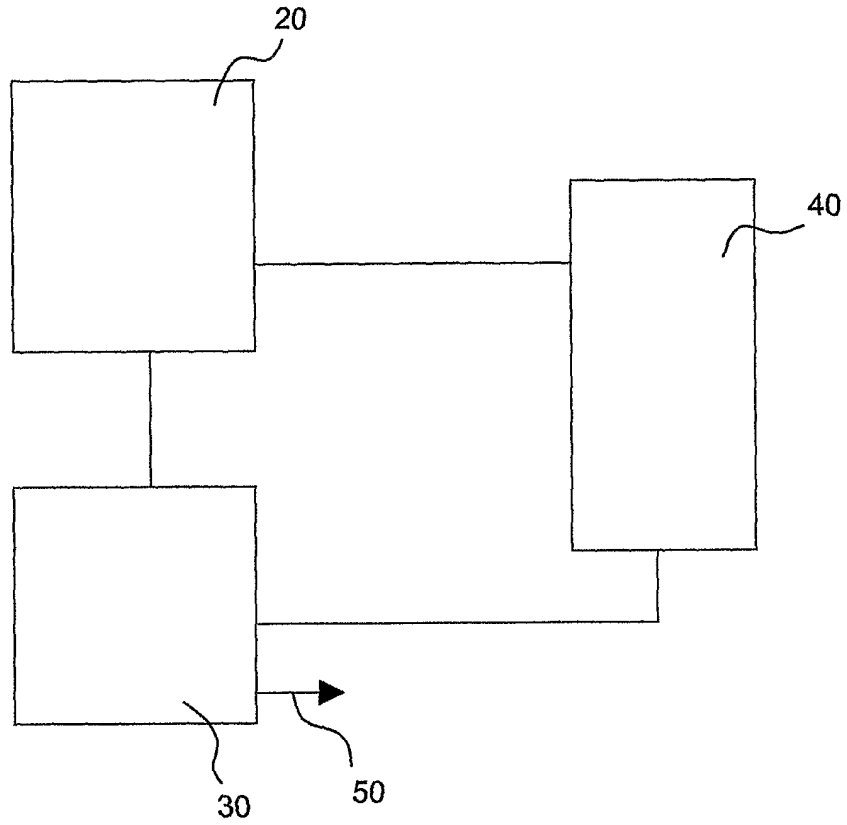


Fig 1.

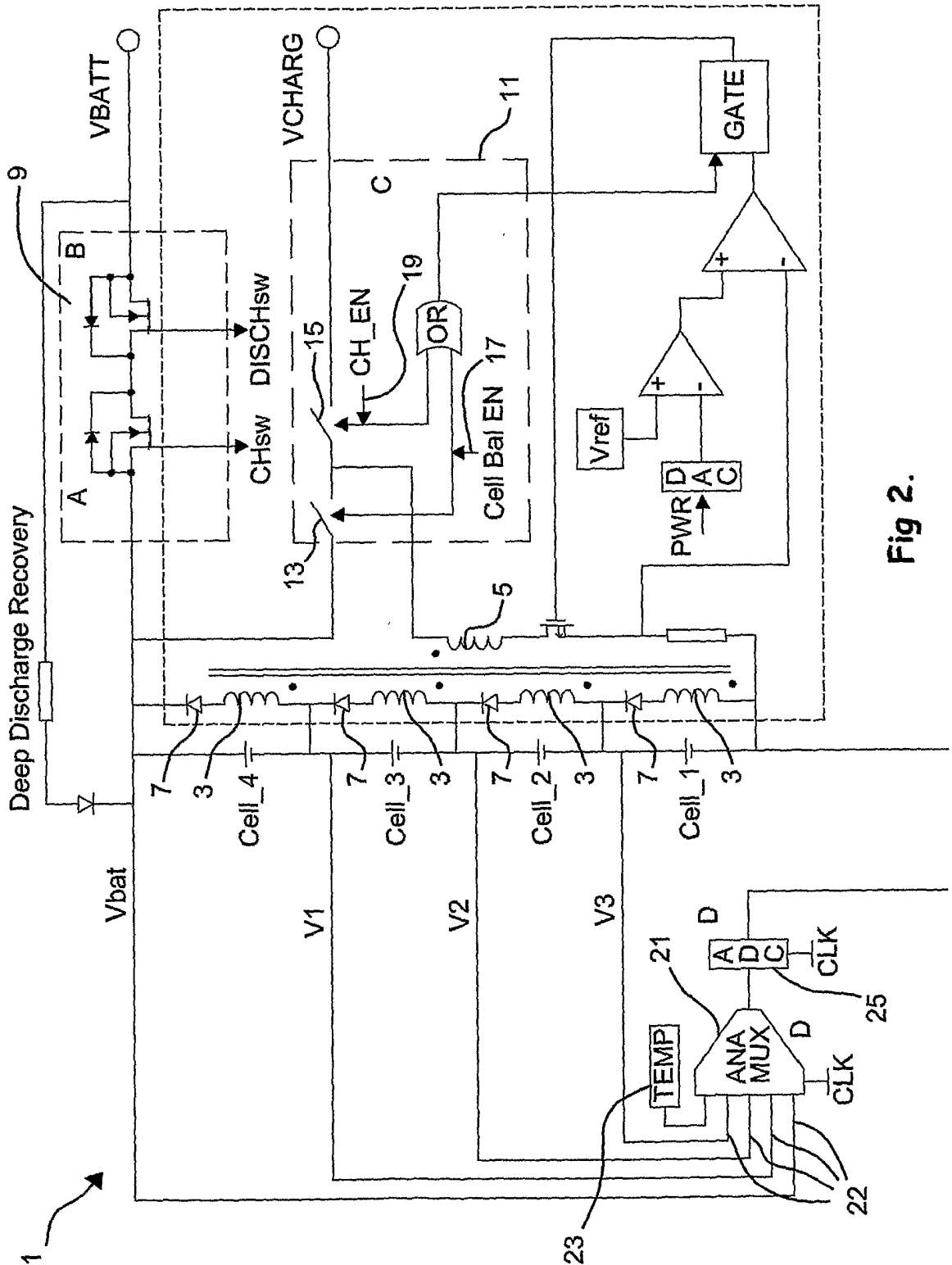


Fig 2.

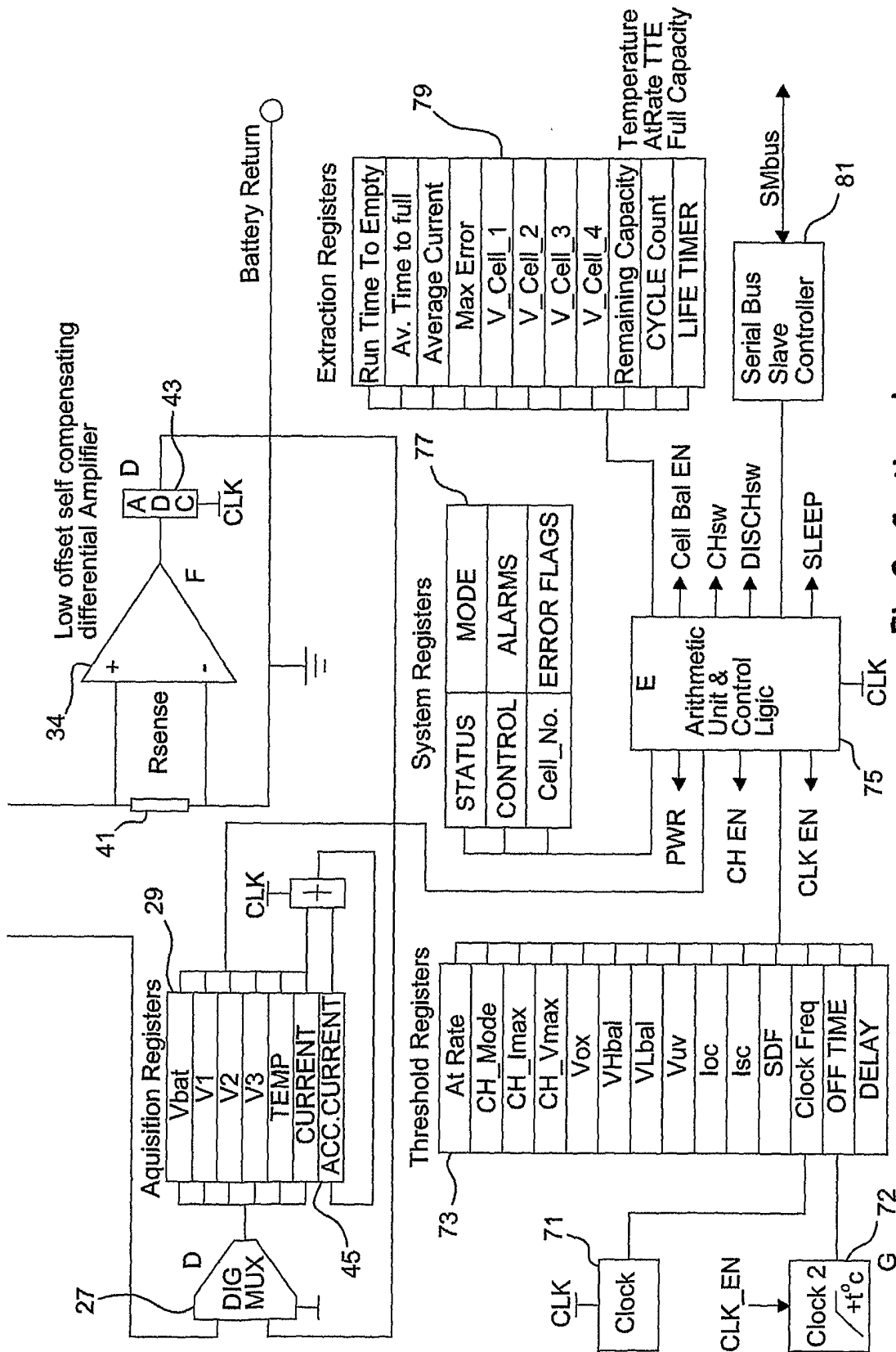


Fig 2. Continued

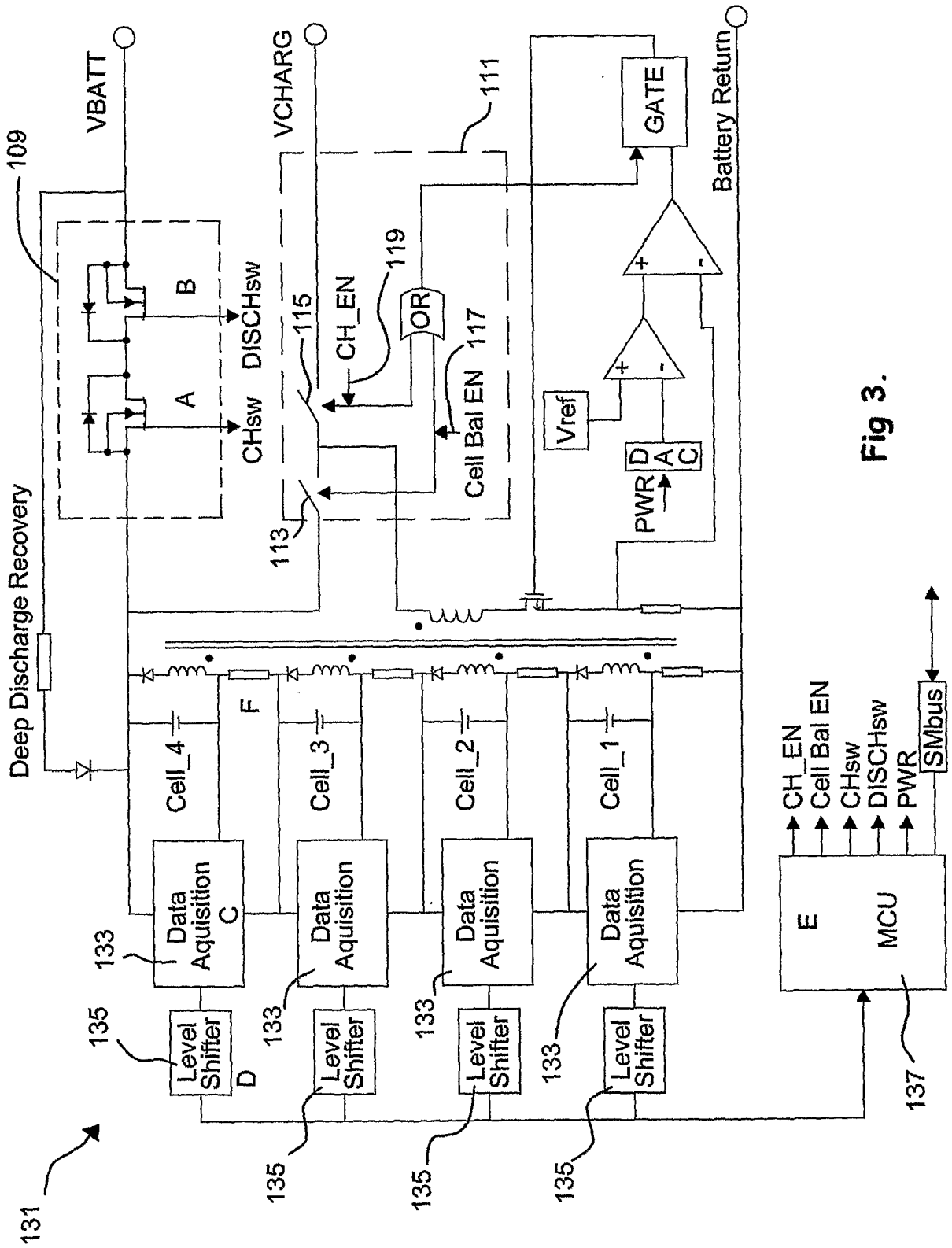


Fig 3.

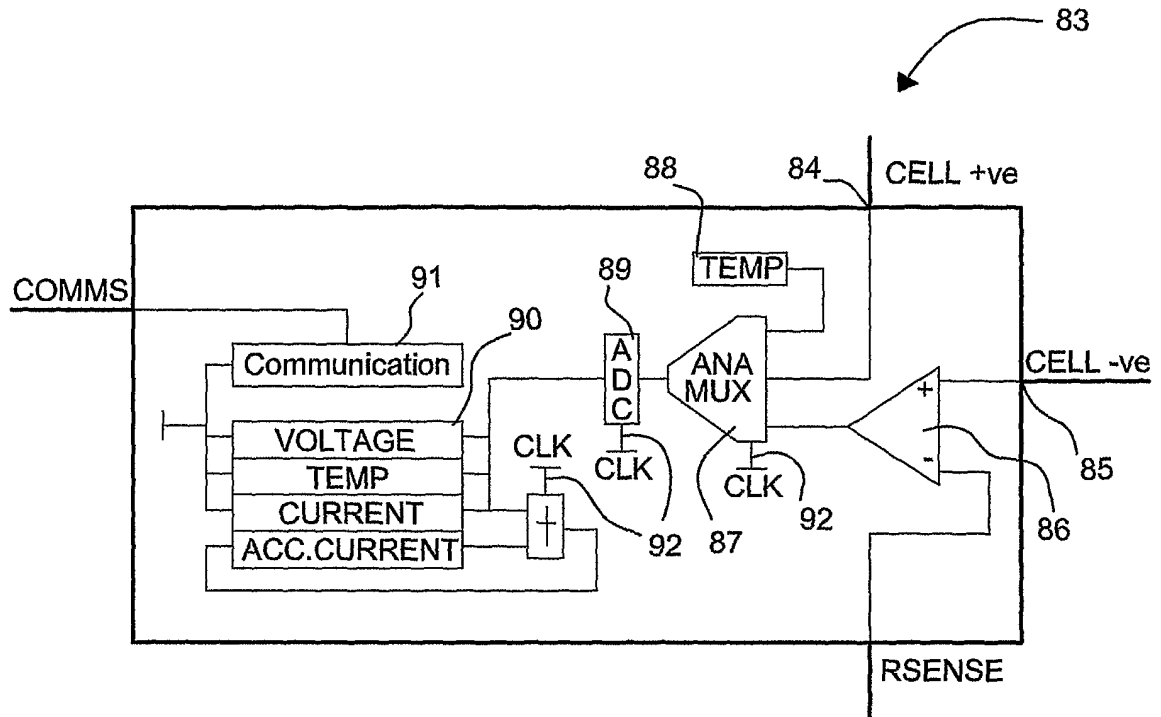


Fig 4.

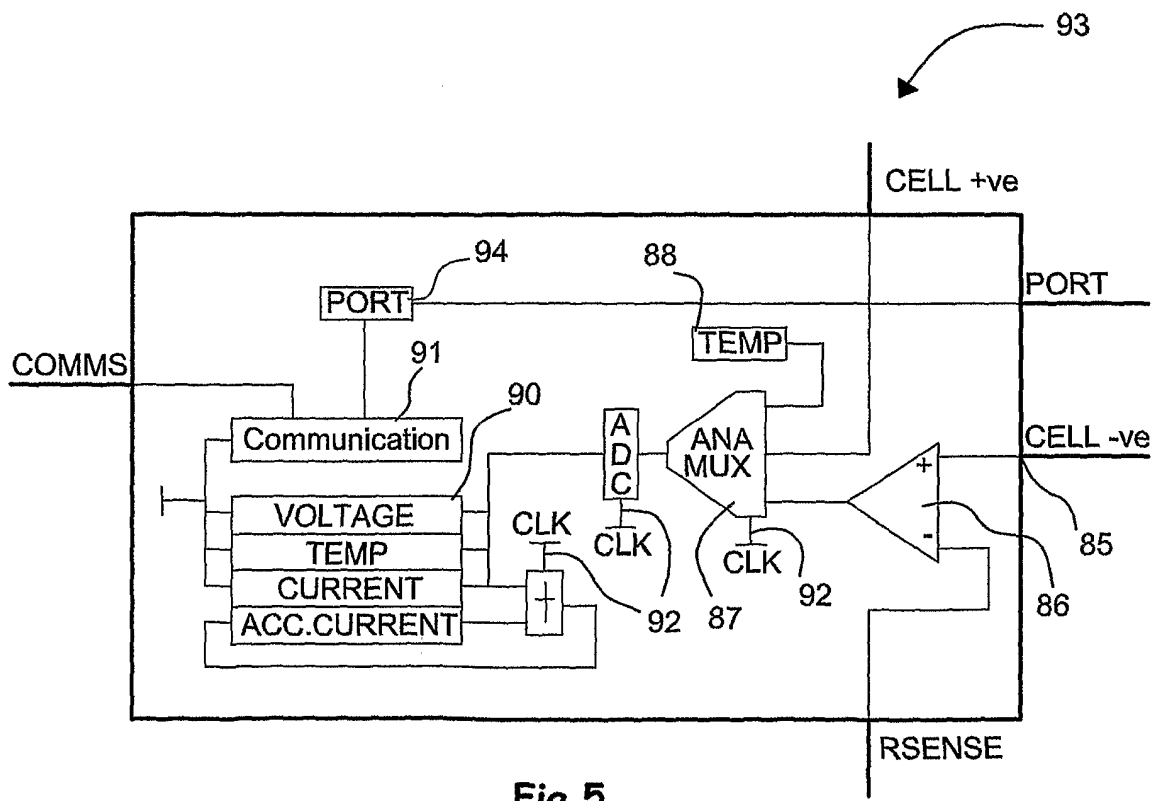


Fig 5.

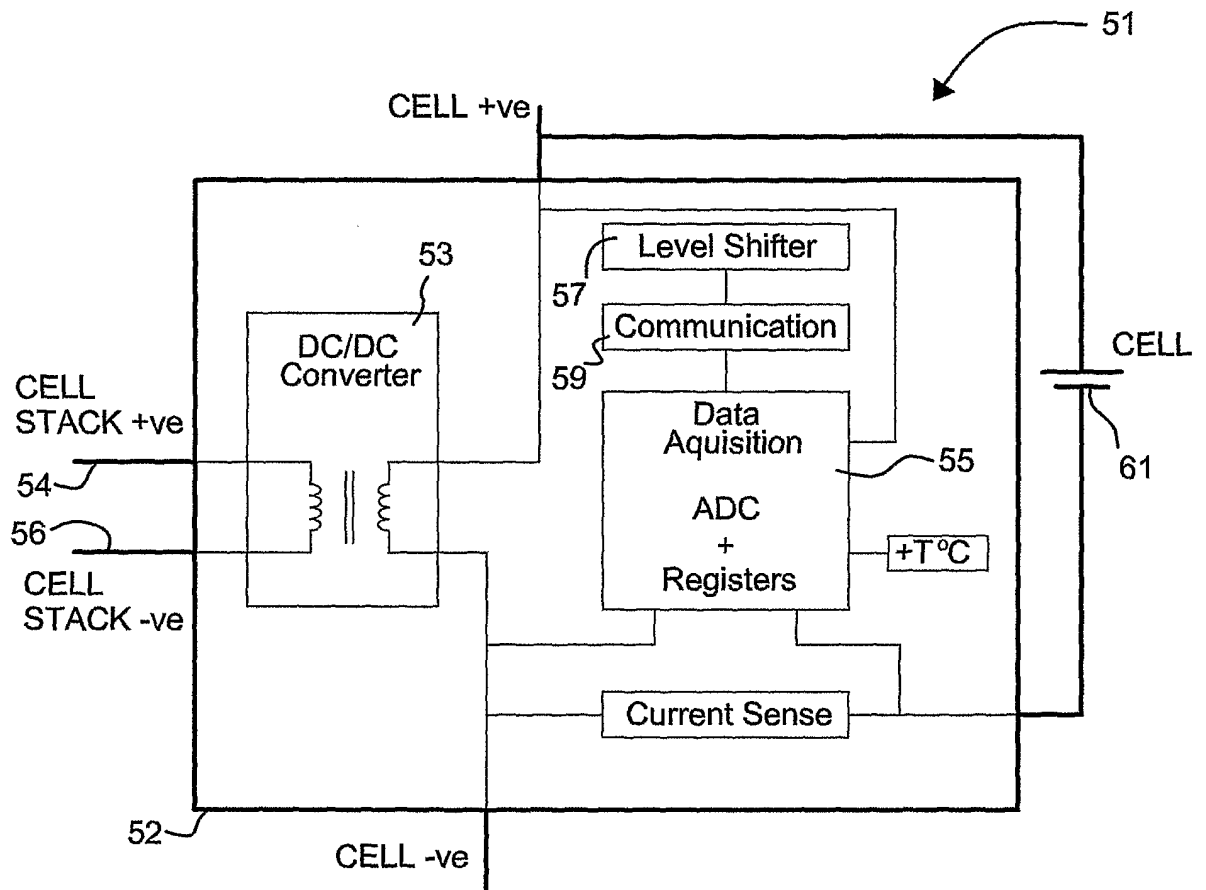


Fig 7.

8/10

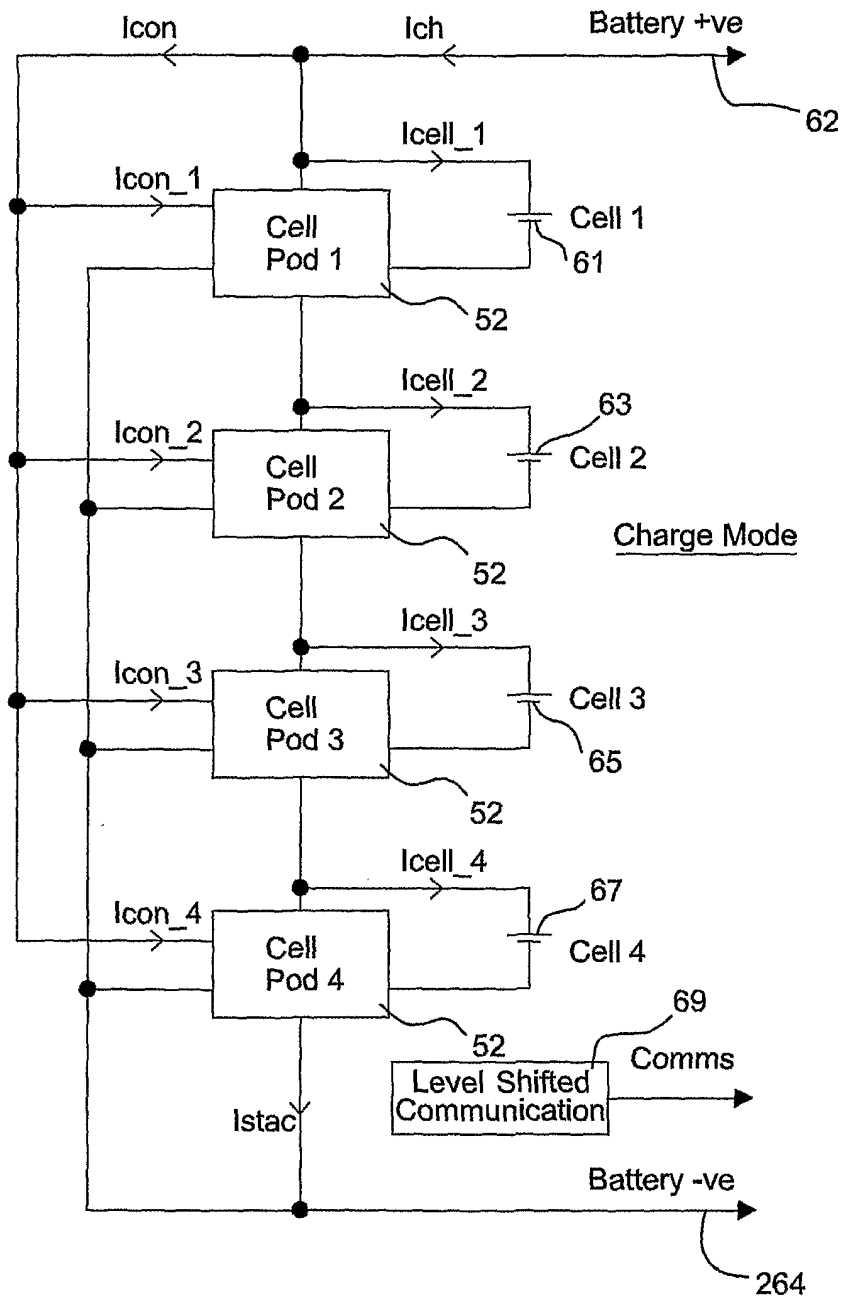


Fig 8.

9/10

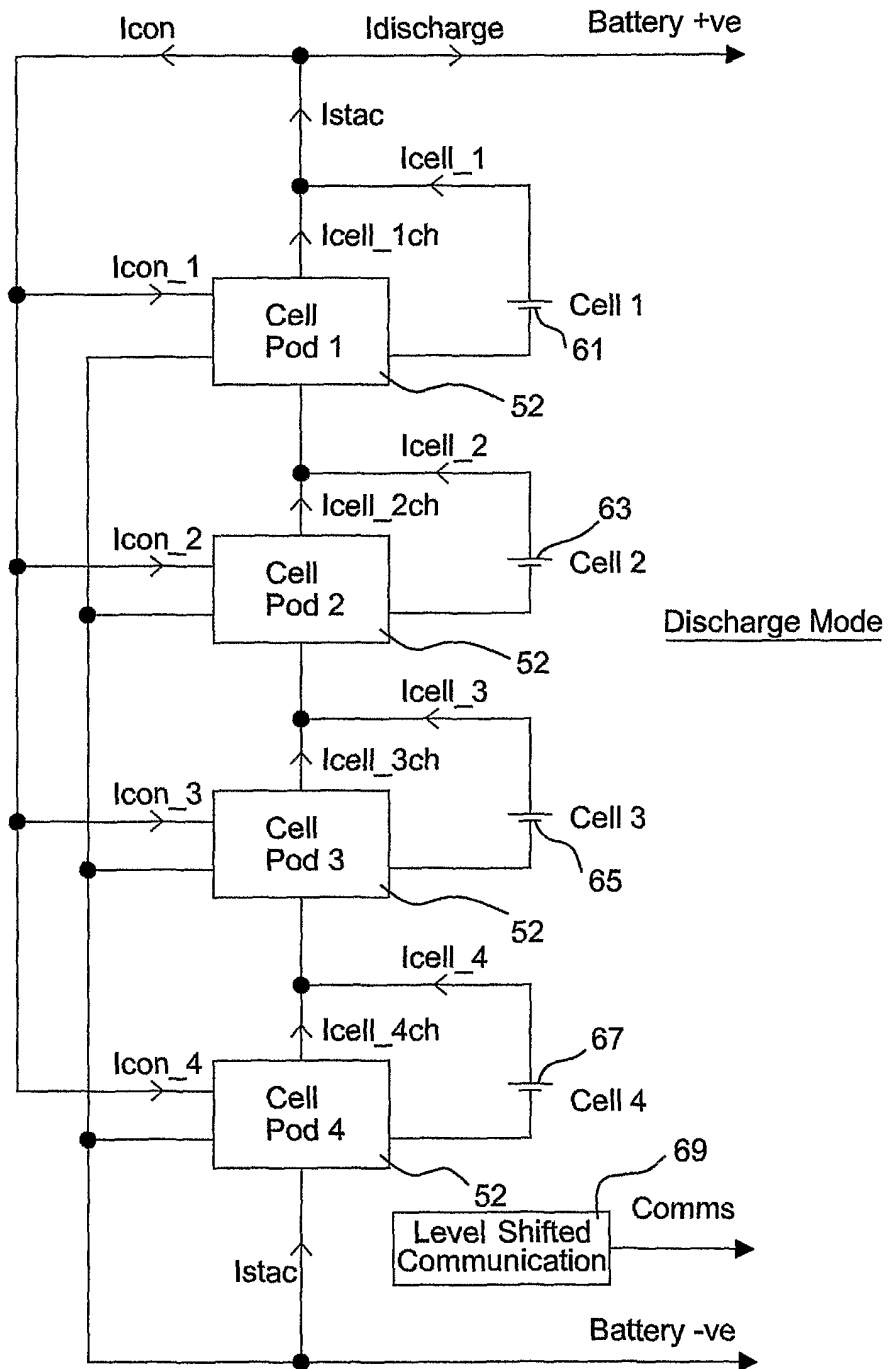


Fig 9.

10/10

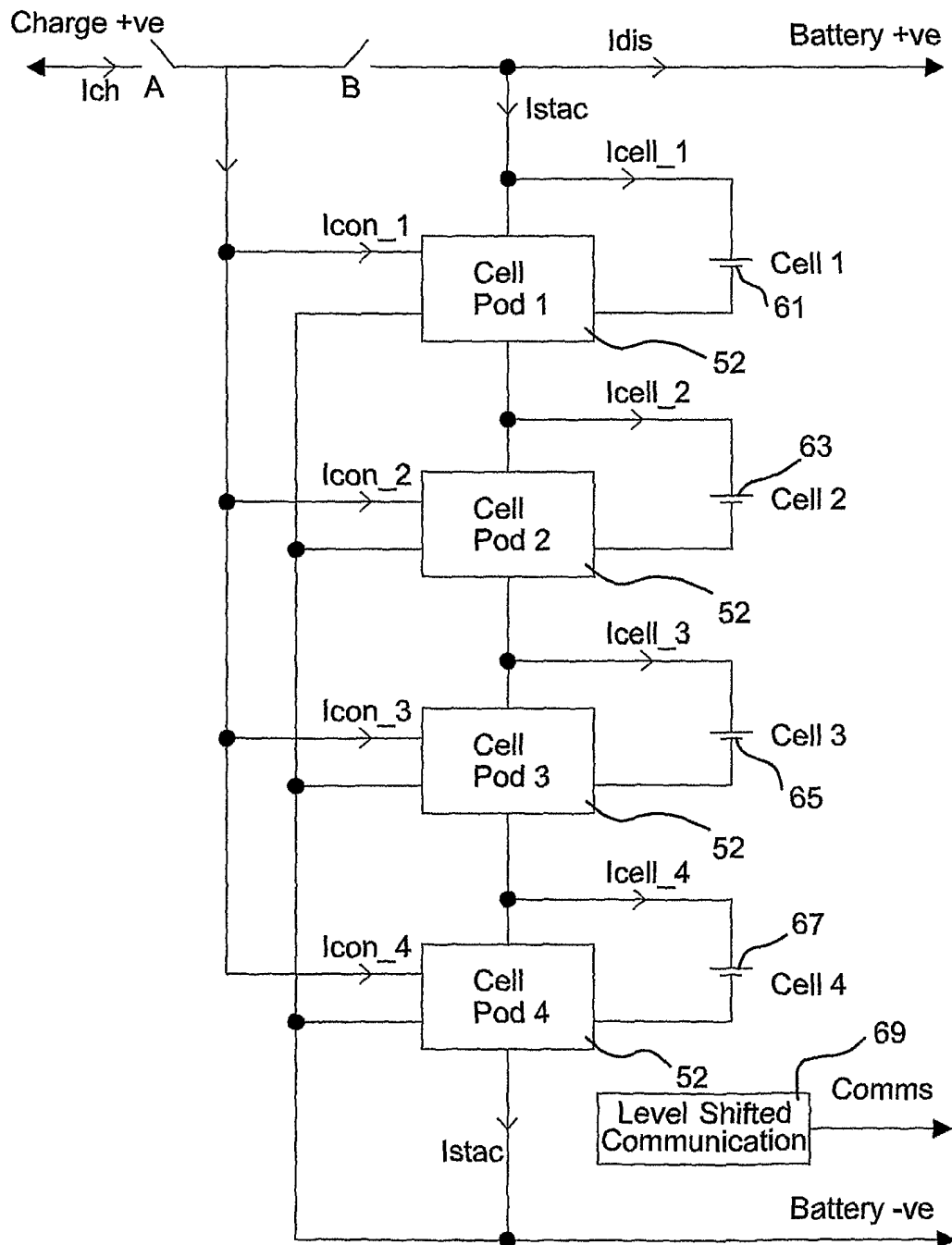


Fig 10.

INTERNATIONAL SEARCH REPORT

International application No
PCT/GB2006/000385

A. CLASSIFICATION OF SUBJECT MATTER INV. G01R31/36 H02J7/00 H01M10/48		
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) G01R H02J H01M		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, PAJ, WPI Data		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 00/42689 A (FARNOW TECHNOLOGIES PTY LTD; HOLTOM, STEPHEN, WAYNE; THOMAS, JEREMY, J) 20 July 2000 (2000-07-20) page 6, line 3 - line 16 page 11, line 13 - page 13, line 23; claims 1-5; figures 2,3,5	1-9, 11, 12, 17-21, 29
X	DE 197 56 744 A1 (ELEKTRON - BREMEN FABRIK FUER ELEKTROTECHNIK GMBH, 28197 BREMEN, DE) 1 July 1999 (1999-07-01) column 2, line 14 - column 3, line 30; claims 1-14	1-7
X	US 6 504 344 B1 (ADAMS WILLIAM ET AL) 7 January 2003 (2003-01-07) column 4, line 34 - column 5, line 25; claims 1-20	1-7, 9-15, 18, 21, 23
----- -/--		
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C.		<input checked="" type="checkbox"/> See patent family annex.
* Special categories of cited documents :		
A document defining the general state of the art which is not considered to be of particular relevance *E* earlier document but published on or after the international filing date *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means *P* document published prior to the international filing date but later than the priority date claimed	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. *&* document member of the same patent family	
Date of the actual completion of the international search <p style="text-align: center;">11 May 2006</p>	Date of mailing of the international search report <p style="text-align: center;">23/05/2006</p>	
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2260 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer <p style="text-align: center;">Wiedemann, E</p>	

INTERNATIONAL SEARCH REPORT

International application No

PCT/GB2006/000385

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 016 047 A (NOTTEN ET AL) 18 January 2000 (2000-01-18) column 8, line 36 - column 9, line 27 column 9, line 45 - column 10, line 67; claims 1-15 -----	1-7,9
X	US 5 982 143 A (STUART ET AL) 9 November 1999 (1999-11-09) column 10, line 49 - column 11, line 33; claims 1-17 -----	1-7,9
X	WO 96/31933 A (ELECTROSOURCE, INC) 10 October 1996 (1996-10-10) page 1, line 17 - line 30 page 8, line 8 - page 10, line 2 page 18, line 25 - page 20, line 20 page 22, line 30 - page 23, line 8 page 38, line 4 - line 25; claims 1-53; figures 1-12 -----	1-7, 9-18,21, 23,32

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/GB2006/000385

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 0042689	A	20-07-2000	BR 9917209 A	30-10-2001
			CA 2361387 A1	20-07-2000
			CN 1333939 A	30-01-2002
			EP 1161787 A1	12-12-2001
			ID 30557 A	20-12-2001
			JP 2002535627 T	22-10-2002
			NZ 513579 A	28-09-2001
DE 19756744	A1	01-07-1999	NONE	
US 6504344	B1	07-01-2003	NONE	
US 6016047	A	18-01-2000	DE 69730413 D1	30-09-2004
			DE 69730413 T2	08-09-2005
			WO 9822830 A2	28-05-1998
			JP 2000504477 T	11-04-2000
US 5982143	A	09-11-1999	US 5666041 A	09-09-1997
WO 9631933	A	10-10-1996	AU 5136196 A	23-10-1996
			US 5698967 A	16-12-1997
			US 5701068 A	23-12-1997