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(54) Title: PD-1 ANTAGONISTS AND METHODS OF USE THEREOF

(57) Abstract: Compositions and methods for enhancing and/or prolonging the activation of T cells (i.e., increasing antigen-specific proliferation of T cells, enhancing cytokine production by T cells, stimulating differentiation ad effector functions of T cells and/or promoting T cell survival) or overcoming T cell exhaustion and/or anergy are provided. Suitable compositions include PD-I receptor antagonists that bind to and block the endogenous PD-I receptor without triggering inhibitory signals from PD-I, or bind to and block PD-I receptor ligands and preventing them from interacting with PD-I receptors. Methods for using the PD-I receptor antagonists to enhance immune responses in subjects in need thereof are provided.

**PD-1 ANTAGONISTS AND METHODS OF USE THEREOF****CROSS-REFERENCE TO RELATED APPLICATIONS**

This application claims priority to and benefit of U.S. Provisional Application Nos. 61/091,502, 61/091,694, 61/091,709 and 61/091,705, all filed on August 25, 2008, U.S. Provisional Application No. 61/142,548, filed on January 5, 2009, and U.S. Provisional Application No. 61/165,652, filed on April 1, 2009, and where permissible are incorporated by reference in their entireties.

**10 FIELD OF THE INVENTION**

This invention relates to compositions and methods for modulating T-cell activation, in particular to compositions and methods for enhancing T-cell activation.

**BACKGROUND OF THE INVENTION**

15 An antigen specific T cell response is mediated by two signals: 1) engagement of the T cell Receptor (TCR) with antigenic peptide presented in the context of MHC (signal 1), and 2) a second antigen-independent signal delivered by contact between different receptor/ligand pairs (signal 2). This “second signal” is critical in determining the type of T cell response  
20 (activation vs inhibition) as well as the strength and duration of that response, and is regulated by both positive and negative signals from costimulatory molecules, such as the B7 family of proteins. The most extensively characterized T cell costimulatory pathway is B7-CD28, in which B7-1 (CD80) and B7-2 (CD86) each can engage the activating CD28 receptor  
25 and the inhibitory CTLA-4 (CD152) receptor. In conjunction with signaling through the T cell receptor, CD28 ligation increases antigen-specific proliferation of T cells, enhances production of cytokines, activates differentiation and effector function, and promotes survival of T cells (Lenshow, et al., *Annu. Rev. Immunol.*, 14:233-258 (1996); Chambers and  
30 Allison, *Curr. Opin. Immunol.*, 9:396-404 (1997); and Rathmell and Thompson, *Annu. Rev. Immunol.*, 17:781-828 (1999)). In contrast, signaling through CTLA-4 is thought to deliver a negative signal that inhibits T cell

proliferation, IL-2 production, and cell cycle progression (Krummel and Allison, *J. Exp. Med.*, 183:2533-2540 (1996); and Walunas, et al., *J. Exp. Med.*, 183:2541-2550 (1996)). Other members of the B7 family include PD-L1 (Dong, et al., *Nature Med.*, 5:1365-1369 (1999); and Freeman, et al., *J. Exp. Med.*, 192:1-9 (2000)), PD-L2 (Tseng, et al., *J. Exp. Med.*, 193:839-846 (2001); and Latchman, et al., *Nature Immunol.*, 2:261-268 (2001)), B7-H2 (Wang, et al., *Blood*, 96:2808-2813 (2000); Swallow, et al., *Immunity*, 11:423-432 (1999); and Yoshinaga, et al., *Nature*, 402:827-832 (1999)), B7-H3 (Chapoval, et al., *Nature Immunol.*, 2:269-274 (2001)) and B7-H4 (Choi, et al., *J. Immunol.*, 171:4650-4654 (2003); Sica, et al., *Immunity*, 18:849-861 (2003); Prasad, et al., *Immunity*, 18:863-873 (2003); and Zang, et al., *Proc. Natl. Acad. Sci. U.S.A.*, 100:10388-10392 (2003)). B7-H5 is a relatively newly discovered member of the B7 family. B7-H5 is described in PCT Publication No. WO 2006/012232. Functional studies indicate that B7-H5 is a positive regulator of T cell activity that functions to activate T cells.

PD-L1 and PD-L2 are ligands for PD-1 (programmed cell death-1), B7-H2 is a ligand for ICOS, and B7-H3, B7-H4 and B7-H5 remain orphan ligands at this time (Dong, et al., *Immunol. Res.*, 28:39-48 (2003)).

Most B7 family molecules are expressed on the cell surface with a membrane proximal constant IgC domain and a membrane distal IgV domain. Receptors for these ligands share a common extracellular IgV-like domain. Interactions of receptor-ligand pairs are mediated predominantly through residues in the IgV domains of the ligands and receptors (Schwartz, et al., *Nature Immunol.*, 3:427-434 (2002)). In general, IgV domains are described as having two sheets that each contain a layer of  $\beta$ -strands (Williams and Barclay, *Annu. Rev. Immunol.*, 6:381-405 (1988)). The front and back sheets of CTLA-4 contain strands A'GFC'C and ABEDC," respectively (Ostrov, et al., *Science*, 290:816-819 (2000)), whereas the front and back sheets of the B7 IgV domains are composed of strands AGFCC'C" and BED, respectively (Schwartz, et al., *Nature*, 410:604-608 (2001); Stamper, et al., *Nature*, 410:608-611 (2001); and Ikemizu, et al., *Immunity*, 12:51-60 (2000)). Crystallographic analysis revealed that the CTLA-4/B7

binding interface is dominated by the interaction of the CDR3-analogous loop from CTLA-4, composed of a MYPPPY motif, with a surface on B7 formed predominately by the G, F, C, C' and C" strands (Schwartz, et al., *Nature*, 410:604-608 (2001); and Stamper, et al., *Nature*, 410:608-611 (2001)). Data 5 from amino acid homologies, mutation, and computer modeling provide support for the concept that this motif also is a major B7-binding site for CD28 (Bajorath, et al., *J. Mol. Graph. Model.*, 15:135-139 (1997)). Although the MYPPPY motif is not conserved in ICOS, studies have indicated that a related motif having the sequence FDPPPF and located at the 10 analogous position is a major determinant for binding of ICOS to B7-H2 (Wand, et al., *J. Exp. Med.*, 195:1033-1041 (2002)).

PD-L2 (also called B7-DC) is a relatively new member of the B7 family, and has an amino acid sequence that is about 34% identical to PD-L1 (also called B7-H1). Human and mouse PD-L2 orthologues share about 70% 15 amino acid identity. While PD-L1 and PD-L2 transcripts are found in various tissues (Dong, et al., *Nature Med.*, 5:1365-1369 (1999); Latchman, et al., *Nature Immunol.*, 2:261-268 (2001); and Tamura, *Blood*, 97:1809-1816 (2001)), the expression profiles of the proteins are quite distinct. Expression 20 of PD-L1 protein, although essentially not found in normal tissues other than macrophage-like cells, can be induced in a variety of tissues and cell types (Dong, et al., *Nature Med.*, 5:1365-1369 (1999); and Ishida, et al., *Immunol. Lett.*, 84:57-62 (2000)). In contrast, PD-L2 is expressed only in dendritic cells and monocytes.

It has been shown that both PD-L1 and PD-L2 bind to PD-1 25 (Freeman, et al., *J. Exp. Med.*, 192:1027-1034 (2000)), a distant member of the CD28 family with an immunoreceptor tyrosine-based inhibitory motif (ITIM) in its cytoplasmic domain (Ishida, et al., *EMBO J.*, 11:3887-3895 (1992)). PD-1 is expressed on a subset of thymocytes and up-regulated on T, B, and myeloid cells after activation (Agata, et al., *Int. Immunol.*, 8:765-772 30 (1996)). PD-1 acts to antagonize signal transduction downstream of the TCR after it binds a peptide antigen presented by the major histocompatibility complex (MHC). PD-1 signaling is thought to require binding to a ligand in

close proximity to TCR:MHC complex, which occurs at the immunological synapse between a T cell and an antigen presenting cell (Freeman, *Proc. Natl. Acad. Sci. U.S.A.*, 105:10275-10276 (2008)). The primary result of PD-1 ligation by its ligands is to inhibit signaling downstream of the TCR. Therefore, signal transduction via PD-1 usually 5 provides a suppressive or inhibitory signal to the T cell that results in decreased T cell proliferation or other reduction in T cell activity.

The phenotypes of PD-1<sup>-/-</sup> mice provide direct evidence for PD-1 being a negative regulator of immune responses *in vivo*. In the absence of PD-1, mice on the C57BL/6 background slowly develop a lupus-like glomerulonephritis and progressive arthritis 10 (Nishimura, et al., *Immunity*, 11:141-151 (1999)). PD-1<sup>-/-</sup> mice on the BALB/c background rapidly develop a fatal autoimmune dilated cardiomyopathy (Nishimura, et al., *Science*, 291:319-322 (2001)). However, substantial evidence indicates that PD-L2 can function to activate T cell responses. In the presence of suboptimal TCR signals, PD-L2 stimulates increased proliferation and production of cytokines *in vitro* (Tseng, et al., *J. Exp. 15 Med.* 193:839-846 (2001)). On the other hand, *in vitro* studies indicate a negative regulatory role for PD-L2 in T cell responses. These seemingly contradictory data are best interpreted by expression of additional receptors for PD-L2 on T cells other than PD-1.

PD-L1 is the predominant PD-1 ligand causing inhibitory signal transduction in T cells. As PD-1 signaling is thought to require binding to a PD-1 ligand (typically PD-L1) 20 in close proximity to the TCR:MHC complex, proteins, antibodies or small molecules that block the PD-1 receptor from interacting with its endogenous ligands, either by blocking the receptor or inhibiting its ligands, and thus prevent co-ligation of PD-1 and TCR on the T cell membrane are useful PD-1 antagonists that are contemplated.

Thus, in one aspect, the present invention provides compositions and methods for 25 inhibiting signal transduction through PD-1 on T cells.

In a further aspect, the invention provides PD-1 antagonists that bind PD-1 but do not activate PD-1 signal transduction.

In another aspect, the invention provides PD-1 antagonists that bind to PD-1 ligands and inhibit or reduce the interaction of the ligand with PD-1.

30 In a still further aspect, the invention provides PD-1 antagonists that bind to the PD-1 receptor without engaging in signal transduction through the PD-1 receptor, bind to

ligands of PD-1 and inhibit or reduce the interaction of the ligand with PD-1 receptors, and optionally activate T cells through a separate receptor pathway.

In another aspect, the present invention provides cells containing vectors that express nucleic acid molecules encoding antagonist polypeptides of PD-1.

5 In an additional aspect, the present invention provides methods for enhancing and/or prolonging activation of T cells or overcoming T cell exhaustion and/or T cell anergy by contacting them with polypeptides that bind PD-1 without activating PD-1, or bind to ligands of PD-1 and inhibit or reduce the interaction of the ligand with PD-1 receptors.

10 In a further aspect, the invention provides methods for administering antagonist polypeptides of PD-1, nucleic acids encoding the same, or cells transfected or transduced with nucleic acids encoding antagonist polypeptides of PD-1 to a mammal in need thereof.

15 In another aspect, the invention provides methods for potentiating an immune response to an antigen or a vaccine by administering antagonist polypeptides of PD-1 in combination with the antigen or vaccine.

## SUMMARY OF THE INVENTION

Compositions and methods for enhancing and/or prolonging the activation of T cells (i.e., increasing antigen-specific proliferation of T cells, enhancing cytokine production by T cells, stimulating differentiation and effector functions of T cells and/or promoting T cell survival) or overcoming T cell exhaustion and/or anergy are provided. Representative compositions include PD-1 antagonists that bind to and block endogenous PD-1 on

immune cells without triggering inhibitory signals from PD-1. In other embodiments, the compositions include PD-1 antagonists that bind to and block PD-1 ligands and thereby prevent them from interacting with PD-1. Methods for using the PD-1 antagonists to enhance immune responses in subjects in need thereof are provided.

PD-1 antagonists that bind to and block endogenous PD-1 on immune cells, preferably T cells, include PD-L1 and PD-L2 polypeptides, PD-1-binding fragments thereof, PD-1 antibodies, fusion proteins, and variants thereof. These PD-1 antagonist bind to PD-1 under physiological conditions and block T cell inhibition.

PD-1 antagonists that bind to native PD-1 ligands include PD-1 and B7.1 polypeptides, fragments thereof, antibodies, and fusion proteins. These PD-1 antagonists bind to B7-H1 and B7-DC and prevent them from triggering inhibitory signal transduction through PD-1 on immune cells.

In a preferred embodiment, B7-DC and B7-H1 polypeptides, or variants thereof are coupled to other polypeptides to form fusion proteins that antagonize the PD-1 receptor by binding to the PD-1 receptor without causing signal transduction through PD-1. Typically, the fusion polypeptides have a first fusion partner having all or a part of B7-DC or B7-H1, or variants thereof fused (i) directly to a second polypeptide or, (ii) optionally, fused to a linker peptide sequence that is fused to the second polypeptide. The presence of the fusion partner can alter the solubility, affinity and/or valency of the polypeptide. In certain embodiments, B7-DC, B7-H1 or variants thereof are fused to one or more domains of an Ig heavy chain constant region, preferably having an amino acid sequence corresponding to the hinge, C<sub>H</sub>2 and C<sub>H</sub>3 regions of a human immunoglobulin C<sub>γ</sub>1 chain. Similar fusion proteins using B7.1 and PD-1 are provided.

Nucleic acids encoding PD-1 receptor antagonist polypeptides and fusion proteins and host cells containing such nucleic acids in vectors are also provided.

Immunogenic compositions containing the disclosed PD-1 receptor antagonists are also provided. Immunogenic compositions include antigens,

a source of PD-1 receptor antagonist and optionally adjuvants. Suitable antigens include viral, bacterial, parasite, environmental and tumor antigens.

Methods for using PD-1 receptor antagonists to reduce T cell inhibition and/or prolong activation of T cells or overcome T cell exhaustion and/or anergy are provided.

5 Therapeutic uses of PD-1 receptor antagonists and nucleic acids encoding the same are provided. PD-1 receptor antagonist compositions can be used to enhance immune responses to cancer. PD-1 receptor antagonist compositions can also be used to stimulate the immune response of immunosuppressed subjects. In certain embodiments, PD-1 receptor antagonist compositions are administered in conjunction with vaccines.

10 In one aspect, provided is a method of modulating an immune response in a human comprising administering an effective amount of a pharmaceutical composition comprising a polypeptide comprising the amino acid set forth in SEQ ID NO:57 at a dose between 5 mg/kg and 20 mg/kg to said human to induce, augment, or enhance an immune response against a tumor.

15

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figures 1A-B are graphs showing B7-DC-Ig binding to PD-1 in a PD-1 binding ELISA as described in Example 1.

20 Figure 2 is a graph showing that B7-DC-Ig binds to PD-1 expressing CHO cells. Figure 3 is a graph showing that B7-DC-Ig competes with PD-L1 for binding to PD-1.

Figure 4 is a diagram of an exemplary dosing regimen for the P815 tumor model.

Figures 5A-C are line graphs of tumor volumes plotted as a function of time and treatment: A) vehicle control, B) mouse IgG control, and C) murine B7-DC-Ig.

25 Figures 6A-C are line graphs showing that the combination of cyclophosphamide (CTX) and B7-DC-Ig resulted in eradication of established CT26 tumors (colon carcinoma) in mice.

Figure 7 shows that the combination of CTX and B7-DC-Ig eradicated established CT26 tumors (colon carcinoma) in mice and protected against re-challenge with CT26.

30 Figure 8 shows that CTX and B7-DC-Ig treatment resulted in generation of tumor specific memory CTLs.

**DETAILED DESCRIPTION OF THE INVENTION****I. Definitions**

As used herein the term “isolated” is meant to describe a compound of interest (e.g., either a polynucleotide or a polypeptide) that is in an environment different from that in which the compound naturally occurs e.g. separated from its natural milieu such as by concentrating a peptide to a concentration at which it is not found in nature. “Isolated” is meant to include compounds that are within samples that are substantially enriched for the compound of interest and/or in which the compound of interest is partially or substantially purified.

As used herein, the term “polypeptide” refers to a chain of amino acids of any length, regardless of modification (e.g., phosphorylation or glycosylation).

As used herein, a “variant” polypeptide contains at least one amino acid sequence alteration as compared to the amino acid sequence of the corresponding wild-type polypeptide.

As used herein, an “amino acid sequence alteration” can be, for example, a substitution, a deletion, or an insertion of one or more amino acids.

As used herein, a “vector” is a replicon, such as a plasmid, phage, or cosmid, into which another DNA segment may be inserted so as to bring about the replication of the inserted segment. The vectors described herein can be expression vectors.

As used herein, an “expression vector” is a vector that includes one or more expression control sequences

As used herein, an “expression control sequence” is a DNA sequence that controls and regulates the transcription and/or translation of another DNA sequence.

As used herein, “operably linked” means incorporated into a genetic construct so that expression control sequences effectively control expression of a coding sequence of interest.

As used herein, a “fragment” of a polypeptide refers to any subset of the polypeptide that is a shorter polypeptide of the full length protein. Generally, fragments will be five or more amino acids in length.

As used herein, “valency” refers to the number of binding sites 5 available per molecule.

As used herein, “conservative” amino acid substitutions are substitutions wherein the substituted amino acid has similar structural or chemical properties.

As used herein, “non-conservative” amino acid substitutions are those 10 in which the charge, hydrophobicity, or bulk of the substituted amino acid is significantly altered.

As used herein, “isolated nucleic acid” refers to a nucleic acid that is separated from other nucleic acid molecules that are present in a mammalian genome, including nucleic acids that normally flank one or both sides of the 15 nucleic acid in a mammalian genome.

As used herein with respect to nucleic acids, the term “isolated” includes any non-naturally-occurring nucleic acid sequence, since such non-naturally-occurring sequences are not found in nature and do not have immediately contiguous sequences in a naturally-occurring genome.

20 As used herein, the term “host cell” refers to prokaryotic and eukaryotic cells into which a recombinant expression vector can be introduced.

As used herein, “transformed” and “transfected” encompass the 25 introduction of a nucleic acid (e.g., a vector) into a cell by a number of techniques known in the art.

As used herein, the term “antibody” is meant to include both intact molecules as well as fragments thereof that include the antigen-binding site. These include Fab and F(ab')<sub>2</sub> fragments which lack the Fc fragment of an intact antibody.

30 The terms “individual”, “host”, “subject”, and “patient” are used interchangeably herein, and refer to a mammal, including, but not limited to, humans, rodents, such as mice and rats, and other laboratory animals.

As used herein the term “effective amount” or “therapeutically effective amount” means a dosage sufficient to treat, inhibit, or alleviate one or more symptoms of a disease state being treated or to otherwise provide a desired pharmacologic and/or physiologic effect. The precise dosage will 5 vary according to a variety of factors such as subject-dependent variables (e.g., age, immune system health, etc.), the disease, and the treatment being administered.

## II. PD-1 Antagonists

A preferred PD-1 antagonist compound for interfering with the 10 interaction between PD-1 and PD-L1 is PD-L2 (also known as B7-DC), the extracellular domain of PD-L2, fusion proteins of PD-L2, and variants thereof which bind to and block PD-1 without triggering inhibitory signal transduction through PD-1, and prevent binding of PD-L1 to PD-1. Additional PD-1 antagonists include fragments of PD-L1 that bind to PD-1 15 without triggering inhibitory signal transduction through PD-1, PD-1 or soluble fragments thereof that bind to ligands of PD-1 and prevent binding to the endogenous PD-1 receptor on T cells, and B7.1 or soluble fragments thereof that can bind to PD-L1 and prevent binding of PD-L1 to PD-1. In certain embodiments, PD-1 antagonists increase T cell cytotoxicity in a 20 subject. The multiple functionality PD-1 antagonists helps to induce a robust immune response in subjects and overcome T cell exhaustion and T cell anergy.

PD-1 antagonists bind to ligands of PD-1 and interfere with or inhibit the binding of the ligands to the PD-1 receptor, or bind directly to the PD-1 25 receptor without engaging in signal transduction through the PD-1 receptor. In preferred embodiments, the PD-1 antagonists bind directly to PD-1 and block PD-1 inhibitory signal transduction. In other embodiments the PD-1 antagonists bind to ligands of PD-1 and reduce or inhibit the ligands from triggering inhibitory signal transduction through the PD-1. In still another 30 embodiment, the PD-1 antagonists can activate T cells by binding to a receptor other than the PD-1 receptor.

The PD-1 antagonists can be small molecule antagonists. The term “small molecule” refers to small organic compounds having a molecular weight of more than 100 and less than about 2,500 daltons, preferably between 100 and 2000, more preferably between about 100 and about 1250, 5 more preferably between about 100 and about 1000, more preferably between about 100 and about 750, more preferably between about 200 and about 500 daltons. The small molecules often include cyclical carbon or heterocyclic structures and/or aromatic or polyaromatic structures substituted with one or more functional groups. The small molecule antagonists reduce 10 or interfere with PD-1 receptor signal transduction by binding to ligands of PD-1 such as PD-L1 and PD-L2 and preventing the ligand from interacting with PD-1 or by binding directly to the PD-1 receptor without triggering signal transduction through the PD-1 receptor.

Exemplary PD-1 antagonists include, but are not limited to, PD-L2, 15 PD-L1, PD-1 or B7-1 polypeptides, and variants, fragments or fusion proteins thereof. Additional embodiments include antibodies that bind to any of these proteins.

#### A. PD-L2 Based PD-1 antagonists

##### 1. PD-L2 Based PD-1 antagonists that Bind to PD-1

20 PD-1 antagonists bind to PD-1 on immune cells and block inhibitory PD-1 signaling. PD-1 signal transduction is thought to require binding to PD-1 by a PD-1 ligand (PD-L2 or PD-L1; typically PD-L1) in close proximity to the TCR:MHC complex within the immune synapse. Therefore, proteins, antibodies or small molecules that block inhibitory signal transduction 25 through PD-1 and optionally prevent co-ligation of PD-1 and TCR on the T cell membrane are useful PD-1 antagonists.

Representative polypeptide antagonists include, but are not limited to, 30 PD-L2 polypeptides, fragments thereof, fusion proteins thereof, and variants thereof. PD-L2 polypeptides that bind to PD-1 and block inhibitory signal transduction through PD-1 are one of the preferred embodiments. Other embodiments include PD-1 antagonists that prevent native ligands of PD-1 from binding and triggering signal transduction. In certain embodiments, it

is believed that the disclosed PD-L2 polypeptides have reduced or no ability to trigger signal transduction through the PD-1 receptor because there is no co-ligation of the TCR by the peptide-MHC complex in the context of the immune synapse. Because signal transduction through the PD-1 receptor 5 transmits a negative signal that attenuates T-cell activation and T-cell proliferation, inhibiting the PD-1 signal transduction pathway allows cells to be activated that would otherwise be attenuated.

## 2. Exemplary PD-L2 Polypeptide PD-1 Antagonists

10 Murine PD-L2 polypeptides can have at least 80%, 85%, 90%, 95%, 99% or 100% sequence identity to:

MLLILPILNL	SLQLHPVAAL	FTVTAPKEVY	TVDVGSSVSL	ECDFDRRECT	ELEGIRASLQ	60
KVENDTSLQS	ERATLLEEQ	PLGKALFHIP	SQVQRDSCQY	RCLVICGAAW	DYKYLTVKVK	120
ASYMRIDTRI	LEVPGTGEVQ	LTCQARGYPL	AEVSWQNVS	PANTSHIRTP	EGLYQVTSVL	180
15 RLKPQPSRNF	SCMFWNNAHMK	ELTSAIIDPL	SRMEPKVPRT	WPLHVFIPAC	TIALIFLAIV	240
IIQRKRI						247

(SEQ ID NO:1) or

LFTVTAPKEV	YTVDVGSSVS	LECDFDRREC	TELEGIRASL	QKVENDTSLQ	SERATLLEEQ	60
LPLGKALFHI	PSVQRDSCQ	YRCLVICGAA	WDYKYLTVK	KASYMRIDTR	ILEVPGTGEV	120
20 QLTCQARGYP	LAEVSWQNVS	VPANTSHIRT	PEGLYQVTSV	LRLKPQPSRN	FSCMFWNNAHM	180
KELTSAIIDP	LSRMEPKVPR	TWPLHVFIP	CTIALIFLAI	VIIQRKRI		228

(SEQ ID NO:2).

Human PD-L2 polypeptides can have at least 80%, 85%, 90%, 95%, 99% or 100% sequence identity to:

MIFLLLMLSL	ELQLHQIAAL	FTVTVPKELY	IIEHGSNVTL	ECNFDTGSHV	NLGAITASLQ	60
KVENDTSPHR	ERATLLEEQ	PLGKASFHIP	QVQRDEGQY	QCIIIIYGVAW	DYKYLTLKVK	120
ASYRKINTHI	LKVPETDEVE	LTCQATGYPL	AEVSWPNVS	PANTSHSRTP	EGLYQVTSVL	180
25 RLKPPPGRNF	SCVFWNTHVR	ELTLASIDLQ	SQMEPRTHPT	WLLHIFIPFC	IIAFIFIATV	240
IALRKQLCQK	LYSSKDTTKR	PVTTTKREVN	SAI			273

30 (SEQ ID NO:3) or

LFTVTVPKEL	YIIEHGSNT	LECNFDTGSH	VNLGAITASL	QKVENDTSPH	RERATLLEEQ	60
LPLGKASFHI	PQVQRDEGQ	YQCIIIYGV	WDYKYLTLKV	KASYRKINTH	ILKVPETDEV	120
ELTCQATGYP	LAEVSWPNVS	VPANTSHSRT	PEGLYQVTSV	LRLKPPPGRN	FSCVFWNTHV	180
35 RELTLASIDL	QSQMEPRTHP	TWLLHIFIPF	IIAFIFIAT	VIALRKQLCQ	KLYSSKDTTK	240
RPVTTTKREV	NSAI					254

(SEQ ID NO:4).

Non-human primate (*Cynomolgus*) PD-L2 polypeptides can have at least 80%, 85%, 90%, 95%, 99% or 100% sequence identity to:

	MIFLLLMLSL ELQLHQIAAL FTVTVPKELY IIEHGSNVTL ECNFDTGSHV NLGAITASLQ	60
	KVENDTSPHR ERATLLEEQL PLGKASFHIP QVQVRDEGQY QCIIIIYGVAV DYKYLTLKVK	120
	ASYRKINTHI LKVPETDEVE LTCQATGYPL AEVSWPNVSV PANTSHSRTP EGLYQVTSVL	180
	RLKPPPGRNF SCVFWNTHVR ELTLASIDLQ SQMEPRTHPT WLLHIFIPSC IIIFIFIATV	240
5	I ALRKQLCQK LYSSKDATKR PVTTKREVN SAI	273
	(SEQ ID NO:5) or	
	LFTVTVPKEL YIIIEHGSNT LECNFDTGSH VNLGAITASL QKVENDTSPH RERATLLEEQ	60
	LPLCKASFHI PQVQVRDEGQ YQCIIIIYGVAV WDYKYLTLKV KASYRKINTH ILKVPETDEV	120
	ELTCQATGYP LAEVSWPNVS VPANTSHSRTP EGLYQVTSV LRLKPPPGRN FSCVFWNTHV	180
10	RELTLASIDL QSQMEPRTHP TWLLHIFIPS CIIAFIFIAT VIALRKQLCQ KLYSSKDATK	240
	RPVTTTKREV NSAI	254
	(SEQ ID NO:6)	

SEQ ID NOS: 1, 3 and 5 each contain a signal peptide.

#### B. PD-L1 Based PD-1 Antagonists

15 1. PD-L1 Based PD-1 Antagonists that Bind to PD-1  
Receptors

Other PD-1 antagonists that bind to the PD-1 receptor include, but are not limited to, PD-L1 polypeptides, fragments thereof, fusion proteins thereof, and variants thereof. These PD-1 polypeptide antagonists bind to 20 and block the PD-1 receptor and have reduced or no ability to trigger inhibitory signal transduction through the PD-1 receptor. In one embodiment, it is believed that the PD-L1 polypeptides have reduced or no ability to trigger signal transduction through the PD-1 receptor because there is no co-ligation of the TCR by the peptide-MHC complex in the context of 25 the immune synapse. Because signal transduction through the PD-1 receptor transmits a negative signal that attenuates T-cell activation and T-cell proliferation, inhibiting the PD-1 signal transduction using PD-L1 polypeptides allows cells to be activated that would otherwise be attenuated.

30 2. Exemplary PD-L1 Polypeptide PD-1  
Antagonists

Murine PD-L1 polypeptides can have at least 80%, 85%, 90%, 95%, 99% or 100% sequence identity to:

	MRIFAGIIFT ACCHLRAFT ITAPKDLYVV EYGSNVTMEC RFPVEREELDL LALVVYWEKE	60
	DEQVIQFVAG EEDLKPQHSN FRGRASLPKD QLLKGNAALQ ITDVKLQDAG VYCCIISYGG	120
35	ADYKRITLKV NAPYRKINQR ISVDPATSEH ELICQAEGYP EAEEVIWTNSD HQPVSGKRSV	180
	TTSRTEGMLL NVTSSLRVNA TANDVFYCTF WRSQPGQNHT AELIIPELPA THPPQNRTHW	240
	VLLGSILLFL IVVSTVLLFL RKQVRMLDVE KCGVEDTSSK NRNDTQFEET	290

(SEQ ID NO:7) or

5	FTITAPKDLV VVEYGSNVTM ECRFPVEREL DLLALVYYWE KEDEQVIQFV AGEEDLKPQH	60
	SNFRGRASLP KDQLLKGNAQ LQITDVKLQD AGVYCCIISY GGADYKRITL KVNAQYRQIN	120
	QRISVDPATS EHELICQAEG YPEAAEVIWTN SDHQPVSGKR SVTTSRTEGM LLNVTSLLRV	180
	NATANDVFYC TFWRSQPGQN HTAELIIPEL PATHPPQNRT HWVLLGSILL FLIVVSTVLL	240
	FLRKQVRMLD VEKGVEDTS SKNRNDTQFE ET	272

(SEQ ID NO:8).

Human PD-L1 polypeptides can have at least 80%, 85%, 90%, 95%, 99% or 100% sequence identity to:

10	MRIFAVFIFM TYWHLLNAFT VTVPKDLYVV EYGSNMTIEC KFPVEKQLDL AALIVYWEME	60
	DKNIIQFVHG EEDLKVQHSS YRQRARLLKD QLSLGNAALQ ITDVKLQDAG VYRCMISYGG	120
	ADYKRITVKV NAPYNKINQR ILVVDPVTSE HELTCQAEGY PKAEAVIWTSS DHQVLSGKTT	180
	TTNSKREEKL FNVTSTLRIN TTTNEIFYCT FRRLDPEENH TAEELVIEPLP LAHPPNERTH	240
	LVLGAILLC LGVALTFIFR LRKGRRMMDVK KCGIQDTNSK KQSDTHLEET	290

15 (SEQ ID NO:9) or

	FTVTVPKDLY VVEYGSNMTI ECKFPVEKQL DLAALIVYWE MEDKNIIQFV HGEEDLKVQH	60
	SSYRQRARLL KDQLSLGNAQ LQITDVKLQD AGVYRCMISY GGADYKRITV KVNAQYRQIN	120
	QRILVVDPVT SEHELTCQAEGY GYPKAEAVIWT SSDHQVLSGK TTTNSKREE KLFNVTSTLR	180
	INTTTNEIFY CTFRRLDPEE NHTAELVIEPLP LAHPPNERTH THLVILGAIL LCLGVALTFI	240
20	FRLRKGRMMD VKKCGIQDTN SKKQSDTHLE ET	272

(SEQ ID NO:10).

SEQ ID NOs: 7 and 9 each contain a signal peptide.

### C. B7.1 and PD-1 Based PD-1 Antagonists

#### 1. B7.1 and PD-1 Based PD-1 Antagonists that Bind to PD-L1 and PD-L2

25 Other useful polypeptides include the PD-1 receptor protein, or soluble fragments thereof, which can bind to the PD-1 ligands, such as PD-L1 or PD-L2, and prevent binding to the endogenous PD-1 receptor, thereby preventing inhibitory signal transduction. Such fragments also include the soluble ECD portion of the PD-1 protein that optionally includes mutations, such as the A99L mutation, that increases binding to the natural ligands.

30 PD-L1 has also been shown to bind the protein B7.1 (Butte, et al., *Immunity*, 27(1): 111–122 (2007)). Therefore, B7.1 or soluble fragments thereof, which can bind to the PD-L1 ligand and prevent binding to the endogenous PD-1 receptor, thereby preventing inhibitory signal transduction, are also useful.

#### 2. Exemplary B7.1 Polypeptide PD-1 Antagonists

Murine B7.1 polypeptides can have at least 80%, 85%, 90%, 95%, 99% or 100% sequence identity to:

5 MACNCQLMQD TPPLLKPCPR LILLFVLLIR LSQVSSDVDE QLSKSVKDKV LLPCRYNSPH 60  
 EDESEDRIYW QKHDKVVL SV IAGKLKVWPE YKNRTLYDNT TYSLLIILGLV LSDRGTYSCV 120  
 VQKKERGTYE VKHLALV KLS IKADFSTPNI TESGNPSADT KRITCFASGG FPKPRFSWLE 180  
 10 NGRELPGIN TISQDPESEL YTISSQLDFN TTRNHTIKCL IKYGD AHVSE DFTWEKPPED 240  
 PPDSKNTLVL FGAGFGAVIT VVVIVVIIKC FCKHRSCFRR NEASRETNNS LTFGPEEALA 300  
 EQTVFL 306

(SEQ ID NO:11) or

10 VDEQLSKSVK DKVLLPCRYN SPHEDESEDR IYWQKHDKV VLSVIAGKLKV WPEYKNRTLY 60  
 DNNTTYSLLIIL GLVLSDRGTY SCVQKKERG TYEVKHLALV KLSIKADFST PNITESGNPS 120  
 ADTKRITCFA SGGFPKPRFS WLENGRELPG INTTISQDPE SELYTISQL DFNTTRNHTI 180  
 KCLIKYGD AH VSEDFTWEKP PEDPPDSKNT LVLFAGFGA VITVVIVVIIK CFC KHRSC 240  
 FRRNEASRET NNSLTFGPEE ALAEQTVFL 269

15 (SEQ ID NO:12).

Human B7.1 polypeptides can have at least 80%, 85%, 90%, 95%, 99% or 100% sequence identity to:

20 MGHTRRQGTS PSKCPYLNFF QLLVLAGLSH FCSGVIHVTK EVKEVATLSC GHNV SVEELA 60  
 QTRIYWQKEK KMVL TMM MSGD MNIWPEYKNR TIFD ITNNL S I V I L A R P S D E G T Y E C V V L K 120  
 YEKA DAFKREH LAEV T L S V K A D F P T P S I S D F E I P T S N I R R I I C S T S G G F P E P H L S W L E N G E 180  
 E L N A I N T T V S Q D P E T E L Y A V S S K L D F N M T T N H S F M C L I K Y G H L R V N Q T F N W N T T K Q E H F P 240  
 D N L L P S W A I T L I S V N G I F V I C C L T Y C F A P R C R E R R R N E R L R R E S V R P V 288

(SEQ ID NO:13) or

25 V I H V T K E V K E V A T L S C G H N V S V E E L A Q T R I Y W Q K E K K M V L T M M S G D M N I W P E Y K N R T I F D 60  
 I T N N L S I V I L A L R P S D E G T Y E C V V L K Y E K D A F K R E H L A E V T L S V K A D F P T P S I S D F E I P T 120  
 S N I R R I I C S T S G G F P E P H L S W L E N G E E L N A I N T T V S Q D P E T E L Y A V S S K L D F N M T T N H S F 180  
 M C L I K Y G H L R V N Q T F N W N T T K Q E H F P D N L L P S W A I T L I S V N G I F V I C C L T Y C F A P R C R E R 240  
 R R N E R L R R E S V R P V 254

(SEQ ID NO:14).

30 SEQ ID NOs: 11 and 13 each contain a signal peptide.

### 3. Exemplary PD-1 Polypeptide PD-1

#### Antagonists

Human PD-1 polypeptides can have at least 80%, 85%, 90%, 95%, 99% or 100% sequence identity to:

35 M Q I P Q A P W P V V W A V L Q L G W R P G W F I L D S P D R P W N P P T F F P A L L V V T E G D N A T F T C S F S N T S 60  
 E S F V L N W Y R M S P S N Q T D K L A A F P E D R S Q P Q Q D C R F R V T Q L P N G R D F H M S V V R A R R N D S G T 120  
 Y L C G A I S L A P K A Q I K E S L R A E L R V T E R R A E V P T A H P S P P R P A G Q F Q T L V V G V V G G L L G S 180  
 L V L L V W V L A V I C S R A A R G T I G A R R T G Q P L K E D P S A V P V F S V D Y G E L D F Q W R E K T P E P P V P 240  
 C V P E Q T E Y A T I V F P S G M G T S S P A R R G S A D G P R S A Q P L R P E D G H C S W P L 288

40 (SEQ ID NO:15)

Non-human primate (*Cynomolgus*) PD-1 polypeptides can have at least 80%, 85%, 90%, 95%, 99% or 100% sequence identity to:

5	MQIPQAPWPV VWAQLQLGWR PGWFLESPDR PWNAPTFSPA LLLVTEGDN	A TFTCSFSNAS 60
	ESFVLNWyRM SPSNQTDKLA AFPEDRSQPG QDCRFRVTRL PNGRDFHMSV VRARRNDSGT 120	
	YLCGAISLAP KAQIKESLRA ELRVTERRAE VPTAHPSPSP RPAGQFQTLV VGVVGGLLGS 180	
	LVLLVWVLAV ICSRAARGTI GARRTGQPLK EDPSAVPVFS VDYGELDFQW REKTPEPPVP 240	
	CVPEQTEYAT IVFPGSMGTS SPARRGSADG PRSAQPLRPE DGHCSWPL 288	

(SEQ ID NO:16)

SEQ ID NOS: 15 and 16 each contain a signal peptide.

10 **D. Fragments of PD-1 Antagonist Polypeptides**

The PD-1 antagonist polypeptides can be full-length polypeptides, or can be a fragment of a full length polypeptide. As used herein, a fragment of a PD-1 antagonist polypeptide refers to any subset of the polypeptide that is a shorter polypeptide of the full length protein.

15 Useful fragments are those that retain the ability to bind to their natural ligands. A PD-1 antagonist polypeptide that is a fragment of full-length PD-1 antagonist polypeptide typically has at least 20 percent, 30 percent, 40 percent, 50 percent, 60 percent, 70 percent, 80 percent, 90 percent, 95 percent, 98 percent, 99 percent, 100 percent, or even more than 20 percent of the ability to bind its natural ligand(s) as compared to the full-length PD-1 antagonist polypeptide.

20 For example, useful fragments of PD-L2 and PD-L1 are those that retain the ability to bind to PD-1. PD-L2 and PD-L1 fragments typically have at least 20 percent, 30 percent, 40 percent, 50 percent, 60 percent, 70 percent, 80 percent, 90 percent, 95 percent, 98 percent, 99 percent, 100 percent, or even more than 100 percent of the ability to bind to PD-1 as compared to full length PD-L2 and PD-L1.

25 Fragments of PD-1 antagonist polypeptides include soluble fragments. Soluble PD-1 antagonist polypeptide fragments are fragments of PD-1 antagonist polypeptides that may be shed, secreted or otherwise extracted from the producing cells. Soluble fragments of PD-1 antagonist polypeptides include some or all of the extracellular domain of the polypeptide, and lack some or all of the intracellular and/or transmembrane domains. In one embodiment, PD-1 antagonist polypeptide fragments

include the entire extracellular domain of the PD-1 antagonist polypeptide. It will be appreciated that the extracellular domain can include 1, 2, 3, 4, or 5 amino acids from the transmembrane domain. Alternatively, the extracellular domain can have 1, 2, 3, 4, or 5 amino acids removed from the 5 C-terminus, N-terminus, or both.

Generally, the PD-1 antagonist polypeptides or fragments thereof are expressed from nucleic acids that include sequences that encode a signal sequence. The signal sequence is generally cleaved from the immature polypeptide to produce the mature polypeptide lacking the signal sequence. 10 The signal sequence of PD-1 antagonist polypeptides can be replaced by the signal sequence of another polypeptide using standard molecule biology techniques to affect the expression levels, secretion, solubility, or other property of the polypeptide. The signal sequence that is used to replace the PD-1 antagonist polypeptide signal sequence can be any known in the art. 15

## 1. PD-L2 extracellular domains

### a. Human PD-L2 extracellular domains

In one embodiment, the PD-1 antagonist polypeptide includes the extracellular domain of human PD-L2 or a fragment thereof. The PD-1 antagonist polypeptide can be encoded by a nucleotide sequence having at 20 least 80%, 85%, 90%, 95%, 99%, or 100% sequence identity to:

atgatcttc ttctttat gctgttttg	gaattgcaac ttcaccaa	at cgcggccctc	60
tttactgtga ccgtgc	aaa agaactgtat atcattg	acgggtccaa t	120
aatgttaact ttgacaccgg	cagccacgtt aacctgggg	catcactgc cagttg	180
aaagttgaaa acgacacttc	acccacccgg gagagg	cccttttgg g	240
25 ccattgggaa aggccctc	ttcataatccct caggtgc	ggagactac	300
cattgtatcgg ctatccatgg	agggtgcagg ttcggat	ggacagtac	360
gcgtccatc gaaaattaa	tttgcatttgc gattaca	atctgaccc	420
ctgacatgcc aagccaccgg	atgtttttgc gatgtttt	gaaggtaaa	480
30 cctgcttaaca cttctcat	tttgcatttgc tttttttt	ccatcactt	540
cgcctcaaac cggccccc	tttgcatttgc tttttttt	atccgtgc	600
gagctgactc ttgcatttat	tttgcatttgc tttttttt	ccacgtgc	660
tgg			663

(SEQ ID NO:17).

In another embodiment, the PD-1 antagonist polypeptide can have at 35 least 80%, 85%, 90%, 95%, 99%, or 100% sequence identity to the human

amino acid sequence: MIFLLLMLSL ELQLHQIAAL FTVTVPKELY IIEHGSNVTL  
 MIFLLLMLSL ELQLHQIAAL FTVTVPKELY IIEHGSNVTL ECNFDTGSHV NLGAITASLQ 60  
 KVENDTSPHR ERATLLEEQL PLGKASFHIP QVQVRDEGQY QCIIIIYGVAW DYKYLTLKV 120  
 ASYRKINTHI LKVPETDEVE LTCQATGYPL AEVSPNVSV PANTSHSRTP EGLYQVTSVL 180  
 5 RLKPPPGRNF SCVFWNTHVR ELTLASIDLQ SQMPEPRTHPT W 221  
 (SEQ ID NO:18).

It will be appreciated that the signal sequence will be removed in the mature protein. Additionally, it will be appreciated that signal peptides from other organisms can be used to enhance the secretion of the protein from a host during manufacture. SEQ ID NO:19 provides the human amino acid sequence of SEQ ID NO:18 without the signal sequence:

LFTVTVPKEL YIIIEHGSVT LECNFDTGSH VNLAGAITASL QKVENDTSPH RERATLLEEQ 60  
 LPLGKASFHI PQVQVRDEGQ YQCIIIIYGVW WDYKYLTLKV KASYRKINTH ILKVPETDEV 120  
 LTCQATGYP LAEVSPNVS VPANTSHSRP PEGLYQVTSV LRLKPPPGRN FSCVFWNTHV 180  
 15 RELTLASIDL QSQMPEPRTHP TW 202  
 (SEQ ID NO:19).

In another embodiment, the PD-1 antagonist polypeptide includes the IgV domain of human PD-L2. The first fusion partner can be encoded by a nucleotide sequence having at least 80%, 85%, 90%, 95%, 99%, or 100% sequence identity to:

tttactgtga ccgtgccaaa agaactgtat atcattgagc acgggtccaa tgtgaccctc 60  
 gaatgttaact ttgacacccgg cagccacgtt aacctggggg ccatcactgc cagcttgca 120  
 aaagttggaa acgacacttc acctcaccgg gagagggca cccttttggg ggagcaactg 180  
 ccattggggg aggccctcctt tcatatccct caggtgcagg ttcggatga gggacagtac 240  
 25 cagtgcatata ttatctacgg cgtggcttgg gattacaagt atctgaccct gaag 294  
 (SEQ ID NO:20).

The PD-1 antagonist polypeptide can have at least 80%, 85%, 90%, 95%, 99%, or 100% sequence identity to the human amino acid sequence:

FTVTVPKELY IIEHGSNVTL ECNFDTGSHV NLGAITASLQ KVENDTSPHR ERATLLEEQL 60  
 30 PLGKASFHIP QVQVRDEGQY QCIIIIYGVAW DYKYLTLK 98  
 (SEQ ID NO:21), also referred to as PD-L2V.

**b. Non-human primate PD-L2 extracellular domains**

In one embodiment, the PD-1 antagonist polypeptide includes the extracellular domain of non-human primate (*Cynomolgus*) PD-L2 or a fragment thereof. The PD-1 antagonist polypeptide can be encoded by a

nucleotide sequence having at least 80%, 85%, 90%, 95%, 99%, or 100% sequence identity to:

	atgatcttcc	tcctgctaat	gttggccctg	gaattgcagc	ttcaccagat	agcagctta	60
	ttcacagtga	cagtcctaa	ggaactgtac	ataatagac	atggcagaa	tgtgaccctg	120
5	gaatgcaact	ttgacactgg	aagtcatgtg	aaccttggag	caataacagc	cagtttgcaa	180
	aagggtggaaa	atgatacatac	cccacaccgt	gaaagagcca	ctttgcgtt	ggagcagctg	240
	cccttaggga	aggcctcggt	ccacatacct	caagtccaa	tgagggacga	aggacagttac	300
	caatgcataa	tcatctatgg	ggtcgcctgg	gactacaatg	acctgactct	gaaagtcaaa	360
	gcttcctaca	ggaaaataaa	cactcacatc	ctaaaggttc	cagaaacaga	tgaggttagag	420
10	ctcacctgcc	aggctacagg	ttatcctctg	gcagaagtat	cctggccaaa	cgtcagcggt	480
	cctgccaaca	ccagccactc	caggaccctt	gaaggccctt	accaggcatac	cagtgttctg	540
	cgcctaaagc	cacccctgg	cagaaaacttc	agctgtgtgt	tctgaaatac	tcacgtgagg	600
	gaacttactt	tggccagcat	tgaccttcaa	agttagatgg	aacccaggac	ccatccaact	660
	tgg						663

15 (SEQ ID NO:22).

In another embodiment, the PD-1 antagonist polypeptide can have at least 80%, 85%, 90%, 95%, 99%, or 100% sequence identity to the non-human primate amino acid sequence:

	MIFLLMLSL	ELQLHQIAAL	FTVTVPKELY	IIEHGSNVT	ECNFDTGSHV	NLGAITASLQ	60
20	KVENDTSPHR	ERATLLEEQL	PLGKASFHIP	QVQVRDEGQY	QCIIIIYGVAW	DYKYLTLKVK	120
	ASYRKINTHI	LKVPETDEVE	LTCQATGYPL	AEVSWPNVS	PANTSHSRTP	EGLYQVTSVL	180
	RLKPPPGRNF	SCVFWNTHVR	ELTLASIDLQ	SQMEPRTHPT	W		221

(SEQ ID NO:23).

The signal sequence will be removed in the mature protein.

25 Additionally, signal peptides from other organisms can be used to enhance the secretion of the fusion protein from a host during manufacture. SEQ ID NO:24 provides the non-human primate amino acid sequence of SEQ ID NO:23 without the signal sequence:

	LFTVTVPKEL	YIIIEHGSNT	LECNFDTGSH	VNLGAIASL	QKVENDTSPH	RERATLLEEQ	60
30	LPLGKASFHI	PQVQVRDEGQ	YQCIIIIYGV	WDYKYLTLKV	KASYRKINTH	ILKVPETDEV	120
	ELTCQATGYP	LAEVSWPNVS	VPANTSHSRT	PEGLYQVTSV	RLKPPPGRNF	FSCVFWNTHV	180
	RELTLASIDL	QSQMEPRTHP	TW				202

(SEQ ID NO:24).

In another embodiment, the PD-1 antagonist polypeptide includes the IgV domain of non-human primate PD-L2. The first fusion partner can be encoded by a nucleotide sequence having at least 80%, 85%, 90%, 95%, 99%, or 100% sequence identity to:

	ttcacagtga	cagtcctaa	ggaactgtac	ataatagac	atggcagaa	tgtgaccctg	60
	aatgcaact	ttgacactgg	aagtcatgtg	aaccttggag	caataacagc	cagtttgcaa	120

aagggtggaaa atgatacatac cccacaccgt gaaagagcca ctttgctgga ggagcagctg 180  
 cccctaggg aaggcctcggtt ccacatacctt caagtccaa tgagggacga aggacagtac 240  
 caatgcataa tcatctatgg ggtcgccctgg gactacaagt acctgactct gaaa 294  
 (SEQ ID NO:25).

5 The PD-1 antagonist polypeptide can have at least 80%, 85%, 90%,  
 95%, 99%, or 100% sequence identity to the non-human primate amino acid  
 sequence:

FTVTVPKELY IIEHGSNVTL ECNFDTGSHV NLGAIITASLQ KVENDTSPHR ERATLLEEQL 60  
 PLGKASFHIP QVQVRDEGQY QCIIYGVAW DYKYLTLK 98

10 (SEQ ID NO:26), also referred to as PD-L2V.

**d. Murine PD-L2 extracellular domains**

In one embodiment, the PD-1 antagonist polypeptide includes the extracellular domain of murine PD-L2 or a fragment thereof. The PD-1 antagonist polypeptide can be encoded by a nucleotide sequence having at 15 least 80%, 85%, 90%, 95%, 99%, or 100% sequence identity to:

15 atgctgctcc tgctgcccgtt actgaacctg agcttacaaac ttcatcctgtt agcagctta 60  
 ttcaccgtgtt cagccccctaa agaagtgtac accgttagacg tcggcagcag tggatgtt 120  
 gagtgccattt ttgaccgcag agaatgcactt gaaactggaag ggataagagc cagtttgcag 180  
 aaggtagaaa atgatacgtc tctgcaaaatg gaaagagcca ccctgctgga ggagcagctg 240  
 20 cccctggaa aggctttgtt ccacatccctt agtgcctaa tgagagatcc cgggcagttac 300  
 cgttgcctgg tcacatgcgg ggccgcctgg gactacaagt acctgacgtt gaaagtcaaa 360  
 gcttcttaca tgaggataga cactaggatc ctggaggttc caggtacagg ggaggtgcag 420  
 cttacctgcc aggcttaggg ttatcccata gcagaagtgtt cctggcaaaa tggatgtt 480  
 cctgccaaca ccagccacat caggaccccc gaaaggctctt accaggtcac cagtttctg 540  
 25 cgcctcaagc ctcagccctag cagaaacttc agtgcattt tctggatgc tcacatgaag 600  
 gagctgactt cagccatcat tgaccctctg agtcggatgg aacccaaatg ccccaaaacg 660  
 tgg 663

(SEQ ID NO:27).

In another embodiment, the PD-1 antagonist polypeptide can have at 30 least 80%, 85%, 90%, 95%, 99%, or 100% sequence identity to the murine amino acid sequence:

30 MLLLLPILNL SLQLHPVAAL FTVTAPKEVY TVDVGSSVSL ECDFDRRECT ELEGIRASLQ 60  
 KVENDTSLQS ERATLLEEQL PLGKALFHIP SVQVRDGSQY RCLVICGAAW DYKYLTVKVK 120  
 ASYMRIDTRI LEVPGTGEVQ LTCQARGYPL AEVSWQNVSV PANTSHIRTP EGLYQVTSVL 180  
 35 RLKPQPSRNF SCMFWNNAHMK ELTSAIIDPL SRMEPKVPRT W 221

(SEQ ID NO:28).

The signal sequence will be removed in the mature protein.

Additionally, signal peptides from other organisms can be used to enhance the secretion of the protein from a host during manufacture. SEQ ID NO:29

provides the murine amino acid sequence of SEQ ID NO:28 without the signal sequence:

LFTVTAPKEV	YTVDVGSSVS	LECDFDRREC	TELEGIRASL	QKVENDTSLQ	SERATLLEEQ	60	
LPLGKALFHI	PSVQVRDSGQ	YRCLVICGAA	WDYKYLTVKV	KASYMRIDTR	ILEVPGTGEV	120	
5	QLTCQARGYP	LAEVSWQNVS	VPANTSHIRT	PEGLYQVTSV	RLKPKPSRN	FSCMFWNNAHM	180
	KELTSAIIDP	LSRMEPKVPR	TW				202

(SEQ ID NO:29).

In another embodiment, the PD-1 antagonist polypeptide includes the IgV domain of murine PD-L2. The first fusion partner can be encoded by a 10 nucleotide sequence having at least 80%, 85%, 90%, 95%, 99%, or 100% sequence identity to:

ttcaccgtga	cagccccctaa	agaagtgtac	accgttagacg	tccggcagcag	tgtgagccctg	60	
gagtgcgatt	ttgaccgcag	agaatgcact	gaactggaag	ggataagagc	cagtttgcag	120	
15	aaggtagaaa	atgatacgtc	tctgcaaagt	gaaagagcca	ccctgctgga	ggagcagctg	180
	cccttgaa	aggctttgtt	ccacatccct	agtgtccaag	tgagagattc	cgggcagttac	240
	cgttgcctgg	tcatctgcgg	ggccgcctgg	gactacaagt	acctgacggt	gaaa	294

(SEQ ID NO:30).

The PD-1 antagonist polypeptide can have at least 80%, 85%, 90%, 95%, 99%, or 100% sequence identity to the murine amino acid sequence: 20 FTVTAPKEVY TVDVGSSVSL ECDFDRRECT ELEGIRASLQ KVENDTSLQS ERATLLEQL 60 PLGKALFHIP SVQVRDSGQY RCLVICGAAW DYKYLTVK 98 (SEQ ID NO:31), also referred to as PD-L2V.

#### d. PD-L2 extracellular domain fragments

The PD-L2 extracellular domain can contain one or more amino acids 25 from the signal peptide or the putative transmembrane domain of PD-L2. During secretion, the number of amino acids of the signal peptide that are cleaved can vary depending on the expression system and the host. Additionally, fragments of PD-L2 extracellular domain missing one or more amino acids from the C-terminus or the N-terminus that retain the ability to 30 bind to PD-1 can be used.

Exemplary suitable fragments of murine PD-L2 that can be used as a first fusion partner include, but are not limited to, the following:

24-221, 24-220, 24-219, 24-218, 24-217, 24-216, 24-215,
23-221, 23-220, 23-219, 23-218, 23-217, 23-216, 23-215,
35 22-221, 22-220, 22-219, 22-218, 22-217, 22-216, 22-215,
21-221, 21-220, 21-219, 21-218, 21-217, 21-216, 21-215,

20-221, 20-220, 20-219, 20-218, 20-217, 20-216, 20-215,  
19-221, 19-220, 19-219, 19-218, 19-217, 19-216, 19-215,  
18-221, 18-220, 18-219, 18-218, 18-217, 18-216, 18-215,  
17-221, 17-220, 17-219, 17-218, 17-217, 17-216, 17-215,  
5 16-221, 16-220, 16-219, 16-218, 16-217, 16-216, 16-215,  
of SEQ ID NO:53.

Additional suitable fragments of murine PD-L2 include, but are not limited to, the following:

20-221, 33-222, 33-223, 33-224, 33-225, 33-226, 33-227,  
10 21-221, 21-222, 21-223, 21-224, 21-225, 21-226, 21-227,  
22-221, 22-222, 22-223, 22-224, 22-225, 22-226, 22-227,  
23-221, 23-222, 23-223, 23-224, 23-225, 23-226, 23-227,  
24-221, 24-222, 24-223, 24-224, 24-225, 24-226, 24-227,  
of SEQ ID NO:1, optionally with one to five amino acids of a signal peptide  
15 attached to the N-terminal end. The signal peptide may be any disclosed herein, including the signal peptide contained within SEQ ID NO:1, or may be any signal peptide known in the art.

Exemplary suitable fragments of human PD-L2 that can be used as a first fusion partner include, but are not limited to, the following:

20 24-221, 24-220, 24-219, 24-218, 24-217, 24-216, 24-215,  
23-221, 23-220, 23-219, 23-218, 23-217, 23-216, 23-215,  
22-221, 22-220, 22-219, 22-218, 22-217, 22-216, 22-215,  
21-221, 21-220, 21-219, 21-218, 21-217, 21-216, 21-215,  
20-221, 20-220, 20-219, 20-218, 20-217, 20-216, 20-215,  
25 19-221, 19-220, 19-219, 19-218, 19-217, 19-216, 19-215,  
18-221, 18-220, 18-219, 18-218, 18-217, 18-216, 18-215,  
17-221, 17-220, 17-219, 17-218, 17-217, 17-216, 17-215,  
16-221, 16-220, 16-219, 16-218, 16-217, 16-216, 16-215,  
of SEQ ID NO:56.

30 Additional suitable fragments of human PD-L2 include, but are not limited to, the following:

20-221, 33-222, 33-223, 33-224, 33-225, 33-226, 33-227,

21-221, 21-222, 21-223, 21-224, 21-225, 21-226, 21-227,  
22-221, 22-222, 22-223, 22-224, 22-225, 22-226, 22-227,  
23-221, 23-222, 23-223, 23-224, 23-225, 23-226, 23-227,  
24-221, 24-222, 24-223, 24-224, 24-225, 24-226, 24-227,

5 of SEQ ID NO:3, optionally with one to five amino acids of a signal peptide attached to the N-terminal end. The signal peptide may be any disclosed herein, including the signal peptide contained within SEQ ID NO:3, or may be any signal peptide known in the art.

Exemplary suitable fragments of non-human primate PD-L2 that can  
10 be used as a first fusion partner include, but are not limited to, the following:

24-221, 24-220, 24-219, 24-218, 24-217, 24-216, 24-215,  
23-221, 23-220, 23-219, 23-218, 23-217, 23-216, 23-215,  
22-221, 22-220, 22-219, 22-218, 22-217, 22-216, 22-215,  
21-221, 21-220, 21-219, 21-218, 21-217, 21-216, 21-215,  
15 20-221, 20-220, 20-219, 20-218, 20-217, 20-216, 20-215,  
19-221, 19-220, 19-219, 19-218, 19-217, 19-216, 19-215,  
18-221, 18-220, 18-219, 18-218, 18-217, 18-216, 18-215,  
17-221, 17-220, 17-219, 17-218, 17-217, 17-216, 17-215,  
16-221, 16-220, 16-219, 16-218, 16-217, 16-216, 16-215,

20 of SEQ ID NO:5.

Additional suitable fragments of non-human primate PD-L2 include, but are not limited to, the following:

20-221, 33-222, 33-223, 33-224, 33-225, 33-226, 33-227,  
21-221, 21-222, 21-223, 21-224, 21-225, 21-226, 21-227,  
25 22-221, 22-222, 22-223, 22-224, 22-225, 22-226, 22-227,  
23-221, 23-222, 23-223, 23-224, 23-225, 23-226, 23-227,  
24-221, 24-222, 24-223, 24-224, 24-225, 24-226, 24-227,

of SEQ ID NO:5, optionally with one to five amino acids of a signal peptide attached to the N-terminal end. The signal peptide may be any disclosed herein, including the signal peptide contained within SEQ ID NO:5, or may be any signal peptide known in the art.

PD-L2 proteins also include a PD-1 binding fragment of amino acids 20-121 of SEQ ID NO:3 (human full length), or amino acids 1-102 of SEQ ID NO:23 (extracellular domain or ECD). In specific embodiments thereof, the PD-L2 polypeptide or PD-1 binding fragment also incorporates amino acids WDYKY at residues 110-114 of SEQ ID NO:3 or WDYKY at residues 91-95 of SEQ ID NO:23. By way of non-limiting examples, such a PD-1 binding fragment comprises at least 10, at least 20, at least 30, at least 40, at least 50, at least 60, at least 70, at least 75, at least 80, at least 85, at least 90, at least 95, or at least 100 contiguous amino acids of the sequence of amino acids 20-121 of SEQ ID NO:3, wherein a preferred embodiment of each such PD-1 binding fragment would comprise as a sub-fragment the amino acids WDYKY found at residues 110-114 of SEQ ID NO:3 or WDYKY at residues 91-95 of SEQ ID NO:23.

## 2. PD-L1 extracellular domains

15 In one embodiment, the variant PD-L1 polypeptide includes all or part of the extracellular domain. The amino acid sequence of a representative extracellular domain of PD-L1 can have 80%, 85%, 90%, 95%, or 99% sequence identity to

FTVTVPKDLY VVEYGSNMTI ECKFPVEKQL DLAALIVYWE MEDKNIIQFV HGEEDLKVQH	60
20 SSYRQRARLL KDQLSLGNAA LQITDVKLQD AGVYRCMISY GGADYKRITV KVNAPEYNKIN	120
QRILVVDPVT SEHELTQCQAE GYPKAEVIWT SSDHQVLSGK TTTTNSKREE KLFNVTSLR	180
INTTTNEIFY CTFRRILDPEE NHTAELVIPE LPLAHPPNER	220

(SEQ ID NO:32).

25 The transmembrane domain of PD-L1 begins at amino acid position 239 of SEQ ID NO:9. It will be appreciated that the suitable fragments of PD-L1 can include 1, 2, 3, 4, 5, 6, 7, 8, 9, or 10 contiguous amino acids of a signal peptide sequence, for example SEQ ID NO:9 or variants thereof, 1, 2, 3, 4, 5, 6, 7, 8, 9, or 10 amino acids of the transmembrane domain, or combinations thereof.

30 The extracellular domain of murine PD-L1 has the following amino acid sequence

FTITAPKDLY VVEYGSNVTM ECRFPVEREL DLLALVVYWE KEDEQVIQFV AGEEDLKPQH	60
SNFRGRASLP KDQLLKGNAA LQITDVKLQD AGVYCCIISY GGADYKRITL KVNAPEYRKin	120
QRISVDPATS EHASICQAEY YPEAEVIWTN SDHQPVSGKR SVTTSRTEGM LLNVNTSSLRV	180
35 NATANDVFYC TFWRSPQPGQN HTAELIIPEL PATHPPQNRT HWVLLGSILL FLIVVSTVL	239

(SEQ ID NO:33).

The transmembrane domain of the murine PD-L1 begins at amino acid position 240 of SEQ ID NO:7. In certain embodiments the PD-L1 polypeptide includes the extracellular domain of murine PD-L1 with 1, 2, 3, 5 4, 5, 6, 7, 8, 9, or 10 contiguous amino acids of a signal peptide, 1, 2, 3, 4, 5, 6, 7, 8, 9, or 10 contiguous amino acids of the transmembrane domain, or combinations thereof.

### 3. B7.1 extracellular domains

#### a. Murine B7.1 extracellular domains

10 In one embodiment, the PD-1 antagonist polypeptide includes the extracellular domain of murine B7.1 or a fragment thereof. The PD-1 antagonist polypeptide can be encoded by a nucleotide sequence having at least 80%, 85%, 90%, 95%, 99%, or 100% sequence identity to:

15	atggcttgca attgtcagtt gatgcaggat acaccactcc tcaagttcc atgtccaagg	60
	ctcattcttc tctttgtct gctgattcgt ct当地cacaag tgtcttcaga tggatgaa	120
	caactgtcca agtcagtgaa agataaggta ttgctgcctt gccgttacaa ctctcctcat	180
	gaagatgagt ctgaagaccg aatctactgg caaaacatg acaaagtggt gctgtctgtc	240
	attgctggaa aactaaaagt gtggcccgag tataagaacc ggactttata tgacaaacact	300
	acctactctc ttatcatctt gggctggc cttcagacc gggcacata cagctgtgtc	360
20	gttcaaaaaga agggaaagagg aacgtatgaa gttaaacact tggcttagt aaagtgtcc	420
	atcaaagctg acttctctac ccccaacata actgagtcgt gaaaccatc tgcagacact	480
	aaaaggattt cctgctttgc ttccgggggt ttcccaaagc ctgccttc tgggtggaa	540
	aatggaagag aattacctgg catcaatacg acaatttccc agatcctga atctgaattt	600
	tacaccattt gtagccaact agatttcaat acgactcgca accacaccat taagtgtctc	660
25	attaaatatg gagatgctca cgtgtcagag gacttcaccc gggaaaaacc cccagaagac	720
	cctccgtata gcaagaac	738

(SEQ ID NO:34).

30 In another embodiment, the PD-1 antagonist polypeptide can have at least 80%, 85%, 90%, 95%, 99%, or 100% sequence identity to the murine amino acid sequence:

35	MACNCQLMQD TPLLKFPPCR LILLFVLLIR LSQVSSDVDE QLSKSVKDKV LLPCRYNSPH	60
	EDESEDRIYW QKHDKVVL SV IAGKLKVWPE YKNRTLYDNT TYSLLIILGLV LSDRGTYSCV	120
	VQKKERGTYE VKHLALVKLS IKADFSTPNI TESGNPSADT KRITCFASGG FPKPREFSWLE	180
	NGRELPGIN TISQDPESEL YTISQLDFN TTRNHTIKCL IKYGDHVSE DFTWEKPPED	240
	PPDSKN	246

(SEQ ID NO:35).

The signal sequence will be removed in the mature protein.

Additionally, signal peptides from other organisms can be used to enhance

the secretion of the protein from a host during manufacture. SEQ ID NO:36 provides the murine amino acid sequence of SEQ ID NO:35 without the signal sequence:

5 VDEQLSKSVK DKVLLPCRYN SPHEDESEDR IYWQKHDKVV LSVIAGKLKV WPEYKNRTLY 60  
 DNNTYSLIIL GLVLSDRGTY SCVVQKKERG TYEVKHLALV KLSIKADFST PNITESGNPS 120  
 ADTKRITCFA SGGFPKPRFS WLENGRELPG INTTISQDPE SELYTISQL DFNTTRNHTI 180  
 KCLIKYGDAAH VSEDFTWEKP PEDPPDSKN 209  
 (SEQ ID NO:36).

In another embodiment, the PD-1 antagonist polypeptide includes the 10 IgV domain of murine B7.1. The first fusion partner can be encoded by a nucleotide sequence having at least 80%, 85%, 90%, 95%, 99%, or 100% sequence identity to:

15 gttgatgaac aactgtccaa gtcagtgaaa gataaggat tgctgccttg ccgttacaac 60  
 tctccctcatg aagatgagtc tgaagaccga atctactggc aaaaacatga caaagtggtg 120  
 ctgtctgtca ttgctggaa actaaaagtg tggcccgagt ataagaaccg gactttat 180  
 gacaacacta cctactctct tatcatcctg gcctggtcc tttcagaccg gggcacatac 240  
 agctgtgtcg ttcaaaagaa ggaagagga acgtatgaag ttaaacactt g 291  
 (SEQ ID NO:37).

The PD-1 antagonist polypeptide can have at least 80%, 85%, 90%, 20 95%, 99%, or 100% sequence identity to the murine amino acid sequence:

VDEQLSKSVK DKVLLPCRYN SPHEDESEDR IYWQKHDKVV LSVIAGKLKV WPEYKNRTLY 60  
 DNNTYSLIIL GLVLSDRGTY SCVVQKKERG TYEVKHL 97  
 (SEQ ID NO:38), also referred to as B7.1V.

### b. Human B7.1 extracellular domains

25 In one embodiment, the PD-1 antagonist polypeptide includes the extracellular domain of human B7.1 or a fragment thereof. The PD-1 antagonist polypeptide can be encoded by a nucleotide sequence having at least 80%, 85%, 90%, 95%, 99%, or 100% sequence identity to:

30 atgggccaca cacggaggca gggaaacatca ccatccaagt gtcataacct caatttttt 60  
 cagctttgg tgctggctgg tctttctcac ttctgttcag gtgttatcca cgtgaccaag 120  
 gaagtggaaag aagtggcaac gctgtccgtgt ggtcacaatg tttctgtga agagctggca 180  
 caaactcgca tctactggca aaaggagaag aaaatggtgc tgactatgat gtctggggac 240  
 atgaatatat ggcccgagta caagaaccgg accatctttt atatcaactaa taacctctcc 300  
 attggtatcc tggctctgcg cccatctgac gagggcacat acgagtgtgt tggctgtaa 360  
 35 tatgaaaaag acgcttcaa gcgggaaacac ctggctgaag tgacgttatac agtcaaagct 420  
 gacttcccta cacctagtat atctgacttt gaaattccaa cttctaataat tagaaggata 480  
 atttgctcaa cctctggagg tttccagag cctcacctct cctgggttggaa aatgggaa 540  
 gaattaaatg ccatcaacac aacagttcc caagatcctg aaactgagct ctatgtgtt 600  
 agcagcaaac tggattcaa tatgacaacc aaccacagct tcatgtgtt catcaagtat 660

ggacatcaa gagtgaatca gaccaaacaac tgaaatacaa ccaagcaaga gcattttcct 720  
 gataacctgc tc 732  
 (SEQ ID NO:39).

In another embodiment, the PD-1 antagonist polypeptide can have at  
 5 least 80%, 85%, 90%, 95%, 99%, or 100% sequence identity to the human  
 amino acid sequence: MIFLLLMLSL ELQLHQIAAL FTVTVPKELY IIEHGSNVTL  
 MGHTRRQGTS PSKCPYLNFF QLLVLAGLSH FCSGVVIHVTK EVKEVATLSC GHNVSVLELA 60  
 QTRIYWKKEK KMVLTMMSGD MNIWPEYKNR TIFDITNNLIS IVILALRPSD EGTYECVVLK 120  
 YEKDAFKREH LAEVTLISVKA DFPTPSISDF EIPTSNIRRI ICSTSGGFPE PHLSWLENGE 180  
 10 ELNAINTTVAQDPETELVYAV SSKLDFNMNTT NHSFMCLIKY GHLRVNQTFN WNTTKQEHFP 240  
 DNL 243  
 (SEQ ID NO:40).

The signal sequence will be removed in the mature protein.  
 Additionally, signal peptides from other organisms can be used to enhance  
 15 the secretion of the protein from a host during manufacture. SEQ ID NO:41  
 provides the human amino acid sequence of SEQ ID NO:40 without the  
 signal sequence:

VIHVTKEVKE VATLSCGHNV SVEELAQTRI YWQKEKKMVL TMMMSGDMNIW PEYKNRTIFD 60  
 ITNNLNSIVIL ALRPSDEGTY ECVVLKYEKD AFKREHHLAEV TLSVKADFPT PSISDFEIPT 120  
 20 SNIRRIICST SGGFPEPHLS WLENGEELNA INTTVAQDPE TELYAVSSKL DFNMTTNHSF 180  
 MCLIKYGHLR VNQTFNWNTT KQEHFPDNL 209  
 (SEQ ID NO:41).

In another embodiment, the PD-1 antagonist polypeptide includes the  
 IgV domain of human B7.1. The first fusion partner can be encoded by a  
 25 nucleotide sequence having at least 80%, 85%, 90%, 95%, 99%, or 100%  
 sequence identity to:

gttatccacg tgaccaagga agtggaaagaa gtggcaacgc tgcctgtgg tcacaatgtt 60  
 tctgttgaag agctggcaca aactcgcatc tactggcaaa aggagaagaa aatggtgctg 120  
 actatgatgt ctggggacat gaatatatgg cccgagtaca agaaccggac catctttgat 180  
 30 atcactaata acctctccat tgcgtatcctg gctctgcgc catctgacga gggcacatac 240  
 gagtgtgttgc ttctgaagta tgaaaaagac gcttcaagc gggAACACCT ggctgaagtg 300  
 acg 303  
 (SEQ ID NO:42).

The PD-1 antagonist polypeptide can have at least 80%, 85%, 90%,  
 35 95%, 99%, or 100% sequence identity to the human amino acid sequence:

VIHVTKEVKE VATLSCGHNV SVEELAQTRI YWQKEKKMVL TMMMSGDMNIW PEYKNRTIFD 60  
 ITNNLNSIVIL ALRPSDEGTY ECVVLKYEKD AFKREHHLAEV T 101  
 (SEQ ID NO:43), also referred to as B7.1V.

### 3. B7.1 extracellular domain fragments

Exemplary suitable fragments of murine B7.1 that can be used as a costimulatory polypeptide domain include, but are not limited to, the following:

5 42-246, 42-245, 42-244, 42-243, 42-242, 42-241, 42-240,  
41-246, 41-245, 41-244, 41-243, 41-242, 41-241, 41-240,  
40-246, 40-245, 40-244, 40-243, 40-242, 40-241, 40-240,  
39-246, 39-245, 39-244, 39-243, 39-242, 39-241, 39-240,  
38-246, 38-245, 38-244, 38-243, 38-242, 38-241, 38-240,  
10 37-246, 37-245, 37-244, 37-243, 37-242, 37-241, 37-240,  
36-246, 36-245, 36-244, 36-243, 36-242, 36-241, 36-240,  
35-246, 35-245, 35-244, 35-243, 35-242, 35-241, 35-240,  
34-246, 34-245, 34-244, 34-243, 34-242, 34-241, 34-240,  
of SEQ ID NO:11.

15 Additional suitable fragments of murine B7.1 include, but are not limited to, the following:

38-246, 38-247, 38-248, 38-249, 38-250, 38-251, 38-252,  
39-246, 39-247, 39-248, 39-249, 39-250, 39-251, 39-252,  
40-246, 40-247, 40-248, 40-249, 40-250, 40-251, 40-252,  
20 41-246, 41-247, 41-248, 41-249, 41-250, 41-251, 41-252,  
42-246, 42-247, 42-248, 42-249, 42-250, 42-251, 42-252,  
of SEQ ID NO:11, optionally with one to five amino acids of a signal peptide attached to the N-terminal end. The signal peptide may be any disclosed herein, including the signal peptide contained within SEQ ID  
25 NO:11, or may be any signal peptide known in the art.

Exemplary suitable fragments of human B7.1 that can be used as a costimulatory polypeptide domain include, but are not limited to, the following:

39-243, 39-242, 39-241, 39-240, 39-239, 39-238, 39-237,  
30 38-243, 38-242, 38-241, 38-240, 38-239, 38-238, 38-237,  
37-243, 37-242, 37-241, 37-240, 37-239, 37-238, 37-237,  
36-243, 36-242, 36-241, 36-240, 36-239, 36-238, 36-237,

35-243, 35-242, 35-241, 35-190, 35-239, 35-238, 35-237,  
34-243, 34-242, 34-241, 34-240, 34-239, 34-238, 34-237,  
33-243, 33-242, 33-241, 33-240, 33-239, 33-238, 33-237,  
32-243, 32-242, 32-241, 32-240, 32-239, 32-238, 32-237,  
5 31-243, 31-242, 31-241, 31-240, 31-239, 31-238, 31-237,  
of SEQ ID NO:13.

Additional suitable fragments of human B7.1 include, but are not limited to, the following:

35-243, 35-244, 35-245, 35-246, 35-247, 35-248, 35-249,  
10 36-243, 36-244, 36-245, 36-246, 36-247, 36-248, 36-249,  
37-243, 37-244, 37-245, 37-246, 37-247, 37-248, 37-249,  
38-243, 38-244, 38-245, 38-246, 38-247, 38-248, 38-249,  
39-243, 39-244, 39-245, 39-246, 39-247, 39-248, 39-249,

of SEQ ID NO:13, optionally with one to five amino acids of a signal peptide attached to the N-terminal end. The signal peptide may be any disclosed herein, including the signal peptide contained within SEQ ID NO:13, or may be any signal peptide known in the art.

#### **E. Variants**

##### **1. Variant PD-L2 and PD-L1 PD-1**

##### **20 Antagonists**

Additional PD-1 antagonists include PD-L2 and PD-L1, polypeptides and fragments thereof that are mutated so that they retain the ability to bind to PD-1 under physiological conditions, have increased binding to PD-1, or have decreased binding to PD-1 compared to non-mutated PD-1 but are not able to promote signal transduction through the PD-1 receptor. One embodiment provides isolated PD-L2 and PD-L1 polypeptides that contain one or more amino acid substitutions, deletions, or insertions that inhibit or reduce the ability of the polypeptide to activate PD-1 and transmit an inhibitory signal to a T cell compared to non-mutated PD-L2 or PD-L1. The 25 PD-L2 and PD-L1 polypeptides may be of any species of origin. In one embodiment, the PD-L2 or PD-L1 polypeptide is from a mammalian species.

In a preferred embodiment, the PD-L2 or PD-L1 polypeptide is of human or non-human primate origin.

In another embodiment the variant PD-L2 or PD-L1 polypeptide has the same binding activity to PD-1 as wildtype or non-variant PD-L2 or PD-L1 but does not have or has less than 10% ability to stimulate signal transduction through the PD-1 receptor relative to a non-mutated PD-L2 or PD-L1 polypeptide. In other embodiments, the variant PD-L2 or PD-L1 polypeptide has 10%, 20%, 30%, 40%, 50%, 60%, 70%, 80%, 90%, 100% or more binding activity to PD-1 than wildtype PD-L2 or PD-L1 and has less than 50%, 40%, 30%, 20%, or 10% of the ability to stimulate signal transduction through the PD-1 receptor relative to a non-mutated PD-L2 or PD-L1 polypeptide. .

A variant PD-L2 or PD-L1 polypeptide can have any combination of amino acid substitutions, deletions or insertions. In one embodiment, 15 isolated PD-L2 or PD-L1 variant polypeptides have an integer number of amino acid alterations such that their amino acid sequence shares at least 60, 70, 80, 85, 90, 95, 97, 98, 99, 99.5 or 100% identity with an amino acid sequence of a wild type PD-L2 or PD-L1 polypeptide. In a preferred embodiment, B7- H1 variant polypeptides have an amino acid sequence 20 sharing at least 60, 70, 80, 85, 90, 95, 97, 98, 99, 99.5 or 100% identity with the amino acid sequence of a wild type murine, non-human primate or human PD-L2 or PD-L1 polypeptide.

Percent sequence identity can be calculated using computer programs or direct sequence comparison. Preferred computer program methods to 25 determine identity between two sequences include, but are not limited to, the GCG program package, FASTA, BLASTP, and TBLASTN (see, e.g., D. W. Mount, 2001, Bioinformatics: Sequence and Genome Analysis, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, N.Y.). The BLASTP and TBLASTN programs are publicly available from NCBI and other sources. 30 The well-known Smith Waterman algorithm may also be used to determine identity.

Exemplary parameters for amino acid sequence comparison include

the following: 1) algorithm from Needleman and Wunsch (*J. Mol. Biol.*, 48:443-453 (1970)); 2) BLOSUM62 comparison matrix from Hentikoff and Hentikoff (*Proc. Natl. Acad. Sci. U.S.A.*, 89:10915-10919 (1992)) 3) gap penalty = 12; and 4) gap length penalty = 4. A program useful with these 5 parameters is publicly available as the “gap” program (Genetics Computer Group, Madison, Wis.). The aforementioned parameters are the default parameters for polypeptide comparisons (with no penalty for end gaps).

Alternatively, polypeptide sequence identity can be calculated using the following equation: % identity = (the number of identical 10 residues)/(alignment length in amino acid residues)\*100. For this calculation, alignment length includes internal gaps but does not include terminal gaps.

Amino acid substitutions in PD-L2 or PD-L1 polypeptides may be “conservative” or “non-conservative”. As used herein, “conservative” amino 15 acid substitutions are substitutions wherein the substituted amino acid has similar structural or chemical properties, and “non-conservative” amino acid substitutions are those in which the charge, hydrophobicity, or bulk of the substituted amino acid is significantly altered. Non-conservative substitutions will differ more significantly in their effect on maintaining (a) 20 the structure of the peptide backbone in the area of the substitution, for example, as a sheet or helical conformation, (b) the charge or hydrophobicity of the molecule at the target site, or (c) the bulk of the side chain.

Examples of conservative amino acid substitutions include those in which the substitution is within one of the five following groups: 1) small 25 aliphatic, nonpolar or slightly polar residues (Ala, Ser, Thr, Pro, Gly); 2) polar, negatively charged residues and their amides (Asp, Asn, Glu, Gln); polar, positively charged residues (His, Arg, Lys); large aliphatic, nonpolar residues (Met, Leu, Ile, Val, Cys); and large aromatic residues (Phe, Tyr, Trp). Examples of non-conservative amino acid substitutions are those where 1) a 30 hydrophilic residue, e.g., seryl or threonyl, is substituted for (or by) a hydrophobic residue, e.g., leucyl, isoleucyl, phenylalanyl, valyl, or alanyl; 2) a cysteine or proline is substituted for (or by) any other residue; 3) a residue

having an electropositive side chain, e.g., lysyl, arginyl, or histidyl, is substituted for (or by) an electronegative residue, e.g., glutamyl or aspartyl; or 4) a residue having a bulky side chain, e.g., phenylalanine, is substituted for (or by) a residue that does not have a side chain, e.g., glycine.

5 It is understood, however, that substitutions at the recited amino acid positions can be made using any amino acid or amino acid analog. For example, the substitutions at the recited positions can be made with any of the naturally-occurring amino acids (e.g., alanine, aspartic acid, asparagine, arginine, cysteine, glycine, glutamic acid, glutamine, histidine, leucine, 10 valine, isoleucine, lysine, methionine, proline, threonine, serine, phenylalanine, tryptophan, or tyrosine).

While the substitutions described herein are with respect to mouse, non-human primate and human PD-L2 or PD-L1, it is noted that one of ordinary skill in the art could readily make equivalent alterations in the 15 corresponding polypeptides from other species (e.g., rat, hamster, guinea pig, gerbil, rabbit, dog, cat, horse, pig, sheep or cow). However, since binding has a species-specific component, it is preferable to use human when administering PD-1 antagonists to humans.

In one embodiment, the disclosed isolated variant PD-L2 or PD-L1 20 polypeptides are antagonists of PD-1 and bind to and block PD-1 without triggering signal transduction through PD-1. By preventing the attenuation of T cells by PD-1 signal transduction, more T cells are available to be activated. Preventing T cell inhibition enhances T cell responses, enhances proliferation of T cells, enhances production and/or secretion of cytokines by 25 T cells, stimulates differentiation and effector functions of T cells or promotes survival of T cells relative to T cells not contacted with a PD-1 antagonist. The T cell response that results from the interaction typically is greater than the response in the absence of the PD-1 antagonist polypeptide. The response of the T cell in the absence of the PD-1 antagonist polypeptide can 30 be no response or can be a response significantly lower than in the presence of the PD-1 antagonist polypeptide. The response of the T cell can be an effector (e.g., CTL or antibody-producing B cell) response, a helper response

providing help for one or more effector (e.g., CTL or antibody-producing B cell) responses, or a suppressive response.

Methods for measuring the binding affinity between two molecules are well known in the art. Methods for measuring the binding affinity of 5 variant PD-L2 or PD-L1 polypeptides for PD-1 include, but are not limited to, fluorescence activated cell sorting (FACS), surface plasmon resonance, fluorescence anisotropy, affinity chromatography and affinity selection-mass spectrometry.

The variant polypeptides disclosed herein can be full-length 10 polypeptides, or can be a fragment of a full length polypeptide. Preferred fragments include all or part of the extracellular domain of effective to bind to PD-1. As used herein, a fragment refers to any subset of the polypeptide that is a shorter polypeptide of the full length protein.

## 2. Variant B7.1 and PD-1 Antagonists

15 Additional PD-1 antagonists include B7.1 and PD-1 polypeptides and fragments thereof that are modified so that they retain the ability to bind to PD-L2 and/or PD-L1 under physiological conditions, have increased binding, or have decreased binding to PD-L2 and/or PD-L1. The B7.1 and PD-1 polypeptides may be of any species of origin. In one embodiment, the 20 B7.1 or PD-1 polypeptide is from a mammalian species. In a preferred embodiment, the B7.1 or PD-1 polypeptide is of human or non-human primate origin.

A variant B7.1 or PD-1 polypeptide can have any combination of 25 amino acid substitutions, deletions or insertions. In one embodiment, isolated B7.1 or PD-1 variant polypeptides have an integer number of amino acid alterations such that their amino acid sequence shares at least 60, 70, 80, 85, 90, 95, 97, 98, 99, 99.5 or 100% identity with an amino acid sequence of a wild type B7.1 or PD-1 polypeptide. In a preferred embodiment, B7.1 or PD-1 variant polypeptides have an amino acid sequence sharing at least 60, 30 70, 80, 85, 90, 95, 97, 98, 99, 99.5 or 100% identity with the amino acid sequence of a wild type murine, non-human primate or human B7.1 or PD-1 polypeptide.

Amino acid substitutions in B7.1 or PD-1 polypeptides may be “conservative” or “non-conservative”. Conservative and non-conservative substitutions are described above.

In one embodiment, the disclosed isolated variant B7.1 or PD-1 polypeptides are antagonists of PD-1 and bind to PD-L2 and/or PD-L1, thereby blocking their binding to endogenous PD-1. By preventing the attenuation of T cells by PD-1 signal transduction, more T cells are available to be activated. Preventing T cell inhibition enhances T cell responses, enhances proliferation of T cells, enhances production and/or secretion of cytokines by T cells, stimulates differentiation and effector functions of T cells or promotes survival of T cells relative to T cells not contacted with a PD-1 antagonist. The T cell response that results from the interaction typically is greater than the response in the absence of the PD-1 antagonist polypeptide. The response of the T cell in the absence of the PD-1 antagonist polypeptide can be no response or can be a response significantly lower than in the presence of the PD-1 antagonist polypeptide. The response of the T cell can be an effector (e.g., CTL or antibody-producing B cell) response, a helper response providing help for one or more effector (e.g., CTL or antibody-producing B cell) responses, or a suppressive response.

The variant polypeptides can be full-length polypeptides, or can be a fragment of a full length polypeptide. Preferred fragments include all or part of the extracellular domain of effective to bind to PD-L2 and/or PD-L1. As used herein, a fragment refers to any subset of the polypeptide that is a shorter polypeptide of the full length protein.

**25 F. Fusion Proteins**

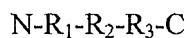
In some embodiments, the PD-1 antagonists are fusion proteins that contain a first polypeptide domain and a second targeting domain that is an antigen-binding domain that targets the fusion protein to tumor cells or tumor cell-associated neovasculature. The fusion protein can either bind to a T cell receptor and enhance a T cell response or preferably the fusion protein can bind to and block inhibitory signal transduction into the T cell, for example by competitively binding to PD-1. By interfering with natural

inhibitory ligands binding PD-1, the disclosed compositions effectively block signal transduction through PD-1. Suitable costimulatory polypeptides include variant polypeptides and/or fragments thereof that have increased or decreased binding affinity to inhibitory T cell signal transduction receptors such as PD-1.

5 The fusion proteins also optionally contain a peptide or polypeptide linker domain that separates the first polypeptide domain from the antigen-binding domain.

Fusion proteins disclosed herein are of formula I:

10



wherein "N" represents the N-terminus of the fusion protein, "C" represents the C-terminus of the fusion protein, "R<sub>1</sub>" is a PD-L2, PD-L1, B7.1, or PD-1 polypeptide or a antigen-binding targeting domain, "R<sub>2</sub>" is a peptide/polypeptide linker domain, and "R<sub>3</sub>" is a targeting domain or a antigen-binding targeting domain, wherein "R<sub>3</sub>" is a polypeptide domain when "R<sub>1</sub>" is a antigen-binding targeting domain, and "R<sub>3</sub>" is a antigen-binding targeting domain when "R<sub>1</sub>" is a PD-L2, PD-L1, B7.1, or PD-1 polypeptide domain. In a preferred embodiment, "R<sub>1</sub>" is a PD-L2, PD-L1, B7.1, or PD-1 polypeptide domain and "R<sub>3</sub>" is a antigen-binding targeting domain.

15 Optionally, the fusion proteins additionally contain a domain that functions to dimerize or multimerize two or more fusion proteins. The domain that functions to dimerize or multimerize the fusion proteins can either be a separate domain, or alternatively can be contained within one of one of the other domains (PD-L2, PD-L1, B7.1, or PD-1 polypeptide domain, antigen-binding targeting domain, or peptide/polypeptide linker domain) of the fusion protein.

20 The fusion proteins can be dimerized or multimerized. Dimerization or multimerization can occur between or among two or more fusion proteins through dimerization or multimerization domains. Alternatively,

dimerization or multimerization of fusion proteins can occur by chemical crosslinking. The dimers or multimers that are formed can be homodimeric/homomultimeric or heterodimeric/heteromultimeric.

5 The modular nature of the fusion proteins and their ability to dimerize or multimerize in different combinations provides a wealth of options for targeting molecules that function to enhance an immune response to the tumor cell microenvironment.

### 1. Antigen-binding targeting domain

10 The fusion proteins also contain antigen-binding targeting domains. In some embodiments, the targeting domains bind to antigens, ligands or receptors that are specific to tumor cells or tumor-associated neovasculature, or are upregulated in tumor cells or tumor-associated neovasculature compared to normal tissue. In some embodiments, the targeting domains bind to antigens, ligands or receptors that are specific to immune tissue involved in the regulation of T cell activation in response to infectious 15 disease causing agents.

#### Tumor/tumor-associated vasculature targeting domains

20 *Antigens, ligands and receptors to target  
Tumor-specific and tumor-associated antigens*

In one embodiment the fusion proteins contain a domain that specifically binds to an antigen that is expressed by tumor cells. The antigen expressed by the tumor may be specific to the tumor, or may be expressed at a higher level on the tumor cells as compared to non-tumor cells. Antigenic 25 markers such as serologically defined markers known as tumor associated antigens, which are either uniquely expressed by cancer cells or are present at markedly higher levels (e.g., elevated in a statistically significant manner) in subjects having a malignant condition relative to appropriate controls, are contemplated for use in certain embodiments.

30 Tumor-associated antigens may include, for example, cellular oncogene-encoded products or aberrantly expressed proto-oncogene-encoded products (e.g., products encoded by the neu, ras, trk, and kit genes), or

mutated forms of growth factor receptor or receptor-like cell surface molecules (e.g., surface receptor encoded by the c-erb B gene). Other tumor-associated antigens include molecules that may be directly involved in transformation events, or molecules that may not be directly involved in

5 oncogenic transformation events but are expressed by tumor cells (e.g., carcinoembryonic antigen, CA-125, melanoma associated antigens, etc.) (see, e.g., U.S. Pat. No. 6,699,475; Jager, et al., *Int. J. Cancer*, 106:817-20 (2003); Kennedy, et al., *Int. Rev. Immunol.*, 22:141-72 (2003); Scanlan, et al. *Cancer Immun.*, 4:1 (2004)).

10 Genes that encode cellular tumor associated antigens include cellular oncogenes and proto-oncogenes that are aberrantly expressed. In general, cellular oncogenes encode products that are directly relevant to the transformation of the cell, and because of this, these antigens are particularly preferred targets for immunotherapy. An example is the tumorigenic neu gene that encodes a cell surface molecule involved in oncogenic

15 transformation. Other examples include the ras, kit, and trk genes. The products of proto-oncogenes (the normal genes which are mutated to form oncogenes) may be aberrantly expressed (e.g., overexpressed), and this aberrant expression can be related to cellular transformation. Thus, the

20 product encoded by proto-oncogenes can be targeted. Some oncogenes encode growth factor receptor molecules or growth factor receptor-like molecules that are expressed on the tumor cell surface. An example is the cell surface receptor encoded by the c-erbB gene. Other tumor-associated antigens may or may not be directly involved in malignant transformation.

25 These antigens, however, are expressed by certain tumor cells and may therefore provide effective targets. Some examples are carcinoembryonic antigen (CEA), CA 125 (associated with ovarian carcinoma), and melanoma specific antigens.

In ovarian and other carcinomas, for example, tumor associated

30 antigens are detectable in samples of readily obtained biological fluids such as serum or mucosal secretions. One such marker is CA125, a carcinoma associated antigen that is also shed into the bloodstream, where it is

detectable in serum (e.g., Bast, et al., *N. Eng. J. Med.*, 309:883 (1983); Lloyd, et al., *Int. J. Canc.*, 71:842 (1997). CA125 levels in serum and other biological fluids have been measured along with levels of other markers, for example, carcinoembryonic antigen (CEA), squamous cell carcinoma antigen (SCC), tissue polypeptide specific antigen (TPS), sialyl TN mucin (STN), and placental alkaline phosphatase (PLAP), in efforts to provide diagnostic and/or prognostic profiles of ovarian and other carcinomas (e.g., Sarandakou, et al., *Acta Oncol.*, 36:755 (1997); Sarandakou, et al., *Eur. J. Gynaecol. Oncol.*, 19:73 (1998); Meier, et al., *Anticancer Res.*, 17(4B):2945 (1997); 5 Kudoh, et al., *Gynecol. Obstet. Invest.*, 47:52 (1999)). Elevated serum CA125 may also accompany neuroblastoma (e.g., Hirokawa, et al., *Surg. Today*, 28:349 (1998), while elevated CEA and SCC, among others, may accompany colorectal cancer (Gebauer, et al., *Anticancer Res.*, 17(4B):2939 (1997)).

10 The tumor associated antigen, mesothelin, defined by reactivity with monoclonal antibody K-1, is present on a majority of squamous cell carcinomas including epithelial ovarian, cervical, and esophageal tumors, and on mesotheliomas (Chang, et al., *Cancer Res.*, 52:181 (1992); Chang, et al., *Int. J. Cancer*, 50:373 (1992); Chang, et al., *Int. J. Cancer*, 51:548 (1992); Chang, et al., *Proc. Natl. Acad. Sci. USA*, 93:136 (1996); 15 Chowdhury, et al., *Proc. Natl. Acad. Sci. USA*, 95:669 (1998)). Using MAb K-1, mesothelin is detectable only as a cell-associated tumor marker and has not been found in soluble form in serum from ovarian cancer patients, or in medium conditioned by OVCAR-3 cells (Chang, et al., *Int. J. Cancer*, 50:373 (1992)). Structurally related human mesothelin polypeptides, 20 however, also include tumor-associated antigen polypeptides such as the distinct mesothelin related antigen (MRA) polypeptide, which is detectable as a naturally occurring soluble antigen in biological fluids from patients having malignancies (see WO 00/50900).

25 A tumor antigen may include a cell surface molecule. Tumor antigens of known structure and having a known or described function, include the following cell surface receptors: HER1 (GenBank Accession No.

U48722), HER2 (Yoshino, et al., *J. Immunol.*, 152:2393 (1994); Disis, et al., *Canc. Res.*, 54:16 (1994); GenBank Acc. Nos. X03363 and M17730), HER3 (GenBank Acc. Nos. U29339 and M34309), HER4 (Plowman, et al., *Nature*, 366:473 (1993); GenBank Acc. Nos. L07868 and T64105), epidermal  
5 growth factor receptor (EGFR) (GenBank Acc. Nos. U48722, and K03193), vascular endothelial cell growth factor (GenBank No. M32977), vascular endothelial cell growth factor receptor (GenBank Acc. Nos. AF022375, 1680143, U48801 and X62568), insulin-like growth factor-I (GenBank Acc. Nos. X00173, X56774, X56773, X06043, European Patent No. GB  
10 2241703), insulin-like growth factor-II (GenBank Acc. Nos. X03562, X00910, M17863 and M17862), transferrin receptor (Trowbridge and Omary, *Proc. Nat. Acad. USA*, 78:3039 (1981); GenBank Acc. Nos. X01060 and M11507), estrogen receptor (GenBank Acc. Nos. M38651, X03635, X99101, U47678 and M12674), progesterone receptor (GenBank Acc. Nos. 15 X51730, X69068 and M15716), follicle stimulating hormone receptor (FSH-R) (GenBank Acc. Nos. Z34260 and M65085), retinoic acid receptor (GenBank Acc. Nos. L12060, M60909, X77664, X57280, X07282 and X06538), MUC-1 (Barnes, et al., *Proc. Nat. Acad. Sci. USA*, 86:7159 (1989); GenBank Acc. Nos. M65132 and M64928) NY-ESO-1 (GenBank Acc. Nos. 20 AJ003149 and U87459), NA 17-A (PCT Publication No. WO 96/40039), Melan-A/MART-1 (Kawakami, et al., *Proc. Nat. Acad. Sci. USA*, 91:3515 (1994); GenBank Acc. Nos. U06654 and U06452), tyrosinase (Topalian, et al., *Proc. Nat. Acad. Sci. USA*, 91:9461 (1994); GenBank Acc. No. M26729; Weber, et al., *J. Clin. Invest.*, 102:1258 (1998)), Gp-100 (Kawakami, et al., 25 *Proc. Nat. Acad. Sci. USA*, 91:3515 (1994); GenBank Acc. No. S73003, Adema, et al., *J. Biol. Chem.*, 269:20126 (1994)), MAGE (van den Bruggen, et al., *Science*, 254:1643 (1991)); GenBank Acc. Nos. U93163, AF064589, U66083, D32077, D32076, D32075, U10694, U10693, U10691, U10690, U10689, U10688, U10687, U10686, U10685, L18877, U10340, U10339, 30 L18920, U03735 and M77481), BAGE (GenBank Acc. No. U19180; U.S. Pat. Nos. 5,683,886 and 5,571,711), GAGE (GenBank Acc. Nos. AF055475, AF055474, AF055473, U19147, U19146, U19145, U19144, U19143 and

U19142), any of the CTA class of receptors including in particular HOM-MEL-40 antigen encoded by the SSX2 gene (GenBank Acc. Nos. X86175, U90842, U90841 and X86174), carcinoembryonic antigen (CEA, Gold and Freedman, *J. Exp. Med.*, 121:439 (1985); GenBank Acc. Nos. M59710, 5 M59255 and M29540), and PyLT (GenBank Acc. Nos. J02289 and J02038); p97 (melanotransferrin) (Brown, et al., *J. Immunol.*, 127:539-46 (1981); Rose, et al., *Proc. Natl. Acad. Sci. USA*, 83:1261-61 (1986)).

Additional tumor associated antigens include prostate surface antigen (PSA) (U.S. Pat. Nos. 6,677,157; 6,673,545);  $\beta$ -human chorionic 10 gonadotropin  $\beta$ -HCG) (McManus, et al., *Cancer Res.*, 36:3476-81 (1976); Yoshimura, et al., *Cancer*, 73:2745-52 (1994); Yamaguchi, et al., *Br. J. Cancer*, 60:382-84 (1989); Alftan, et al., *Cancer Res.*, 52:4628-33 (1992)); glycosyltransferase  $\beta$ -1,4-N-acetylgalactosaminyltransferases (GalNAc) 15 (Hoon, et al., *Int. J. Cancer*, 43:857-62 (1989); Ando, et al., *Int. J. Cancer*, 40:12-17 (1987); Tsuchida, et al., *J. Natl. Cancer*, 78:45-54 (1987); Tsuchida, et al., *J. Natl. Cancer*, 78:55-60 (1987)); NUC18 (Lehmann, et al., *Proc. Natl. Acad. Sci. USA*, 86:9891-95 (1989); Lehmann, et al., *Cancer Res.*, 47:841-45 (1987)); melanoma antigen gp75 (Vijayasaradhi, et al., *J. Exp. Med.*, 171:1375-80 (1990); GenBank Accession No. X51455); human 20 cytokeratin 8; high molecular weight melanoma antigen (Natali, et al., *Cancer*, 59:55-63 (1987); keratin 19 (Datta, et al., *J. Clin. Oncol.*, 12:475-82 (1994)).

Tumor antigens of interest include antigens regarded in the art as 25 “cancer/testis” (CT) antigens that are immunogenic in subjects having a malignant condition (Scanlan, et al., *Cancer Immun.*, 4:1 (2004)). CT antigens include at least 19 different families of antigens that contain one or more members and that are capable of inducing an immune response, including but not limited to MAGEA (CT1); BAGE (CT2); MAGEB (CT3); GAGE (CT4); SSX (CT5); NY-ESO-1 (CT6); MAGEC (CT7); SYCP1 (C8); 30 SPANXB1 (CT11.2); NA88 (CT18); CTAGE (CT21); SPA17 (CT22); OY-TES-1 (CT23); CAGE (CT26); HOM-TES-85 (CT28); HCA661 (CT30); NY-SAR-35 (CT38); FATE (CT43); and TPTE (CT44).

Additional tumor antigens that can be targeted, including a tumor-associated or tumor-specific antigen, include, but not limited to, alpha-actinin-4, Bcr-Abl fusion protein, Casp-8, beta-catenin, cdc27, cdk4, cdkn2a, coa-1, dek-can fusion protein, EF2, ETV6-AML1 fusion protein, LDLR-fucosyltransferaseAS fusion protein, HLA-A2, HLA-A11, hsp70-2, KIAAO205, Marf2, Mum-1, 2, and 3, neo-PAP, myosin class I, OS-9, pml-RAR $\alpha$  fusion protein, PTPRK, K-ras, N-ras, Triosephosphate isomeras, Bage-1, Gage 3,4,5,6,7, GnTV, Herv-K-mel, Lage-1, Mage-A1,2,3,4,6,10,12, Mage-C2, NA-88, NY-Eso-1/Lage-2, SP17, SSX-2, and TRP2-Int2, MelanA (MART-I), gp100 (Pmel 17), tyrosinase, TRP-1, TRP-2, MAGE-1, MAGE-3, BAGE, GAGE-1, GAGE-2, p15(58), CEA, RAGE, NY-ESO (LAGE), SCP-1, Hom/Mel-40, PRAME, p53, H-Ras, HER-2/neu, BCR-ABL, E2A-PRL, H4-RET, IGH-IGK, MYL-RAR, Epstein Barr virus antigens, EBNA, human papillomavirus (HPV) antigens E6 and E7, TSP-180, MAGE-4, MAGE-5, MAGE-6, p185erbB2, p180erbB-3, c-met, nm-23H1, PSA, TAG-72-4, CA 19-9, CA 72-4, CAM 17.1, NuMa, K-ras,  $\beta$ -Catenin, CDK4, Mum-1, p16, TAGE, PSMA, PSCA, CT7, telomerase, 43-9F, 5T4, 791Tgp72,  $\alpha$ -fetoprotein, 13HCG, BCA225, BTAA, CA 125, CA 15-3 (CA 27.29\BCAA), CA 195, CA 242, CA-50, CAM43, CD68\KP1, CO-029, FGF-5, G250, Ga733 (EpCAM), HTgp-175, M344, MA-50, MG7-Ag, MOV18, NB\70K, NY-CO-1, RCAS1, SDCCAG16, TA-90 (Mac-2 binding protein\cyclophilin C-associated protein), TAAL6, TAG72, TLP, and TPS. Other tumor-associated and tumor-specific antigens are known to those of skill in the art and are suitable for targeting by the disclosed fusion proteins.

*Antigens associated with tumor neovasculature*

Protein therapeutics can be ineffective in treating tumors because they are inefficient at tumor penetration. Tumor-associated neovasculature provides a readily accessible route through which protein therapeutics can access the tumor. In another embodiment the fusion proteins contain a domain that specifically binds to an antigen that is expressed by neovasculature associated with a tumor.

The antigen may be specific to tumor neovasculature or may be expressed at a higher level in tumor neovasculature when compared to normal vasculature. Exemplary antigens that are over-expressed by tumor-associated neovasculature as compared to normal vasculature include, but are not limited to, VEGF/KDR, Tie2, vascular cell adhesion molecule (VCAM), endoglin and  $\alpha_5\beta_3$  integrin/vitronectin. Other antigens that are over-expressed by tumor-associated neovasculature as compared to normal vasculature are known to those of skill in the art and are suitable for targeting by the disclosed fusion proteins.

10 *Chemokines/chemokine receptors*

In another embodiment, the fusion proteins contain a domain that specifically binds to a chemokine or a chemokine receptor. Chemokines are soluble, small molecular weight (8–14 kDa) proteins that bind to their cognate G-protein coupled receptors (GPCRs) to elicit a cellular response, usually directional migration or chemotaxis. Tumor cells secrete and respond to chemokines, which facilitate growth that is achieved by increased endothelial cell recruitment and angiogenesis, subversion of immunological surveillance and maneuvering of the tumoral leukocyte profile to skew it such that the chemokine release enables the tumor growth and metastasis to distant sites. Thus, chemokines are vital for tumor progression.

Based on the positioning of the conserved two N-terminal cysteine residues of the chemokines, they are classified into four groups namely CXC, CC, CX3C and C chemokines. The CXC chemokines can be further classified into ELR+ and ELR– chemokines based on the presence or absence of the motif ‘glu-leu-arg (ELR motif)’ preceding the CXC sequence. The CXC chemokines bind to and activate their cognate chemokine receptors on neutrophils, lymphocytes, endothelial and epithelial cells. The CC chemokines act on several subsets of dendritic cells, lymphocytes, macrophages, eosinophils, natural killer cells but do not stimulate neutrophils as they lack CC chemokine receptors except murine neutrophils. There are approximately 50 chemokines and only 20 chemokine receptors,

thus there is considerable redundancy in this system of ligand/receptor interaction.

Chemokines elaborated from the tumor and the stromal cells bind to the chemokine receptors present on the tumor and the stromal cells. The 5 autocrine loop of the tumor cells and the paracrine stimulatory loop between the tumor and the stromal cells facilitate the progression of the tumor. Notably, CXCR2, CXCR4, CCR2 and CCR7 play major roles in tumorigenesis and metastasis. CXCR2 plays a vital role in angiogenesis and CCR2 plays a role in the recruitment of macrophages into the tumor 10 microenvironment. CCR7 is involved in metastasis of the tumor cells into the sentinel lymph nodes as the lymph nodes have the ligand for CCR7, CCL21. CXCR4 is mainly involved in the metastatic spread of a wide variety of tumors.

Molecular classes of targeting domains

15 *Ligands and receptors*

In one embodiment, tumor or tumor-associated neovasculature targeting domains are ligands that bind to cell surface antigens or receptors that are specifically expressed on tumor cells or tumor-associated neovasculature or are overexpressed on tumor cells or tumor-associated 20 neovasculature as compared to normal tissue. Tumors also secrete a large number of ligands into the tumor microenvironment that affect tumor growth and development. Receptors that bind to ligands secreted by tumors, including, but not limited to growth factors, cytokines and chemokines, including the chemokines provided above, are suitable for use in the 25 disclosed fusion proteins. Ligands secreted by tumors can be targeted using soluble fragments of receptors that bind to the secreted ligands. Soluble receptor fragments are fragments polypeptides that may be shed, secreted or otherwise extracted from the producing cells and include the entire extracellular domain, or fragments thereof.

30 *Single polypeptide antibodies*

In another embodiment, tumor or tumor-associated neovasculature

targeting domains are single polypeptide antibodies that bind to cell surface antigens or receptors that are specifically expressed on tumor cells or tumor-associated neovasculature or are overexpressed on tumor cells or tumor-associated neovasculature as compared to normal tissue. Single domain 5 antibodies are described above with respect to coinhibitory receptor antagonist domains.

*Fc domains*

In another embodiment, tumor or tumor-associated neovasculature targeting domains are Fc domains of immunoglobulin heavy chains that bind 10 to Fc receptors expressed on tumor cells or on tumor-associated neovasculature. The Fc region as used herein includes the polypeptides containing the constant region of an antibody excluding the first constant region immunoglobulin domain. Thus Fc refers to the last two constant region immunoglobulin domains of IgA, IgD, and IgG, and the last three 15 constant region immunoglobulin domains of IgE and IgM. In a preferred embodiment, the Fc domain is derived from a human or murine immunoglobulin. In a more preferred embodiment, the Fc domain is derived from human IgG1 or murine IgG2a including the C<sub>H</sub>2 and C<sub>H</sub>3 regions.

In one embodiment, the hinge, C<sub>H</sub>2 and C<sub>H</sub>3 regions of a human 20 immunoglobulin C<sub>γ</sub>1 chain are encoded by a nucleic acid having at least 80%, 85%, 90%, 95%, 99% or 100% sequence identity to:

gagcctaagt	catgtgacaa	gaccatacg	tgcccaccc	gtcccgctcc	agaactgctg	60
gggggaccta	gcgttttctt	gttccccc	aagcccaagg	acaccctcat	gatctcacgg	120
actccccaa	taacatgcgt	agttagtcgac	gtgagccacg	aggatcctga	agtgaagttt	180
25 aattggta	cgagggtgc	aatgc	ctaaacctcg	ggaggagcag		240
tataacagta	cctaccgcgt	ggtatccgtc	ttgacagtgc	tccaccagga	ctggctgaat	300
ggtaaggagt	ataaatgcaa	ggtcagcaac	aaagctttc	ccgccccaa	tgaaaagact	360
atcagcaagg	ccaagggaca	accggcgg	ccccagg	acacccttc	acttcacga	420
30 gacatcg	ccaagaacca	ggtgtctctg	acttgtctgg	tcaaagg	tttcttc	480
ccagtgc	tggagtggg	gtcaaacggg	cagcctgaga	ataactacaa	gaccacaccc	540
ccatgtgc	atagcgatgg	gagtttttc	ctctacagta	agctgactgt	ggacaatcc	600
cgctggc	aggaaacgt	tttctttgt	agcgtcatgc	atgaggccct	ccacaaccat	660
tataactc	aaagcctgag	tctgagtccc	ggcaa			696

(SEQ ID NO:44)

35 The hinge, C<sub>H</sub>2 and C<sub>H</sub>3 regions of a human immunoglobulin C<sub>γ</sub>1 chain encoded by SEQ ID NO:44 has the following amino acid sequence:

EPKSCDKTHT CPPCPAPELL GGPSVLFPP KPKDTLMISR TPEVTCVVVD VSHEDPEVKF	60
NWYVDGVEVH NAKTKPREEQ YNSTYRVVSV LTVLHQDWLN GKEYKCKVSN KALPAPIEKT	120
ISKAKGQPRE PQVYTLPPSR DELTKQVSL TCLVKGFYPS DIAVEWESNG QPENNYKTP	180
PVLDSDGSFF LYSKLTVDKS RWQQGNVFSC SVMHEALHNH YTQKSLSLSP GK	232

5 (SEQ ID NO:45)

In another embodiment, the hinge, C<sub>H</sub>2 and C<sub>H</sub>3 regions of a murine immunoglobulin C<sub>γ</sub>2a chain are encoded by a nucleic acid having at least 80%, 85%, 90%, 95%, 99% or 100% sequence identity to:

gagccaagag gtcctacgt caagccctgc ccgccttgta aatgcccagc tccaaatttg	60
10 ctgggtggac cgtcagtctt tatcttcccg ccaaagataa aggacgtctt gatgatttagt	120
ctgagccca tcgtgacatg cgttgggtg gatgttcag aggtatgaccc cgacgtgcaa	180
atcagtttgt tcgttaacaa cgtggaggtg cataccgctc aaacccagac ccacagagag	240
gattataaca gcaccctgcg ggttagtgc tccagcatca ggattggatg	300
agcgggaaag agttcaagtg taaggtaaac aacaaagatc tgccagcgc gattgaacga	360
15 accattagca agccgaaagg gagcgtgcgc gcacctcagg tttacgtctt tcctccacca	420
gaagaggaga tgacgaaaaa gcaggtgacc ctgacatgca tggtaactga ctttatgcca	480
gaagatattt acgtgaatg gactaataac gaaagacag agctcaatta caagaacact	540
gagcctgttc tggattctga tggcagctac tttatgtact ccaaattgag ggtcgagaag	600
aagaatttggg tcgagagaaa cagttatagt tgctcagtgg tgcattggg cctccataat	660
20 catcacacca caaagtctt cagccgaacg cccgggaaaa	699

(SEQ ID NO:46)

The hinge, C<sub>H</sub>2 and C<sub>H</sub>3 regions of a murine immunoglobulin C<sub>γ</sub>2a chain encoded by SEQ ID NO:46 has the following amino acid sequence:

EPRGPTIKPC PPCKCPAPNL LGGPSVFIFF PKIKDVLMSI LSPIVTCVVV DVSEDDPDVQ	60
25 ISWFVNNVEV HTAQQTQTHRE DYNSTLRVVS ALPIQHQDWMM SGKEFKCKVN NKDLPAPIER	120
TISKPKGSVR APQVYVLPPP EEEEMTKKQVT LTCMVTDFFMP EDIYVEWTNN GKTELNYKNT	180
EPVLDSDGSY FMYSKLRVEK KNWVERNSYS CSVVHEGLHN HHTTKSFSRT PGK	233

(SEQ ID NO:47)

In one embodiment, the Fc domain may contain one or more amino acid insertions, deletions or substitutions that enhance binding to specific Fc receptors that specifically expressed on tumors or tumor-associated neovasculature or are overexpressed on tumors or tumor-associated neovasculature relative to normal tissue. Suitable amino acid substitutions include conservative and non-conservative substitutions, as described above.

35 The therapeutic outcome in patients treated with rituximab (a chimeric mouse/human IgG1 monoclonal antibody against CD20) for non-Hodgkin's lymphoma or Waldenstrom's macroglobulinemia correlated with the individual's expression of allelic variants of Fc<sub>γ</sub> receptors with distinct

intrinsic affinities for the Fc domain of human IgG1. In particular, patients with high affinity alleles of the low affinity activating Fc receptor CD16A (Fc $\gamma$ RIIA) showed higher response rates and, in the cases of non-Hodgkin's lymphoma, improved progression-free survival. In another embodiment, the

5 Fc domain may contain one or more amino acid insertions, deletions or substitutions that reduce binding to the low affinity inhibitory Fc receptor CD32B (Fc $\gamma$ RIIB) and retain wild-type levels of binding to or enhance binding to the low affinity activating Fc receptor CD16A (Fc $\gamma$ RIIA). In a preferred embodiment, the Fc domain contains amino acid insertions,

10 deletions or substitutions that enhance binding to CD16A. A large number of substitutions in the Fc domain of human IgG1 that increase binding to CD16A and reduce binding to CD32B are known in the art and are described in Stavenhagen, et al., *Cancer Res.*, 57(18):8882-90 (2007). Exemplary variants of human IgG1 Fc domains with reduced binding to CD32B and/or

15 increased binding to CD16A contain F243L, R929P, Y300L, V305I or P296L substitutions. These amino acid substitutions may be present in a human IgG1 Fc domain in any combination. In one embodiment, the human IgG1 Fc domain variant contains a F243L, R929P and Y300L substitution. In another embodiment, the human IgG1 Fc domain variant contains a

20 F243L, R929P, Y300L, V305I and P296L substitution.

*Glycophosphatidylinositol anchor domain*

In another embodiment, tumor or tumor-associated neovasculature targeting domains are polypeptides that provide a signal for the posttranslational addition of a glycosylphosphatidylinositol (GPI) anchor.

25 GPI anchors are glycolipid structures that are added posttranslationally to the C-terminus of many eukaryotic proteins. This modification anchors the attached protein in the outer leaflet of cell membranes. GPI anchors can be used to attach T cell receptor binding domains to the surface of cells for presentation to T cells. In this embodiment, the GPI anchor domain is C-terminal to the T cell receptor binding domain.

In one embodiment, the GPI anchor domain is a polypeptide that signals for the posttranslational addition addition of a GPI anchor when the

polypeptide is expressed in a eukaryotic system. Anchor addition is determined by the GPI anchor signal sequence, which consists of a set of small amino acids at the site of anchor addition (the  $\omega$  site) followed by a hydrophilic spacer and ending in a hydrophobic stretch (Low, *FASEB J.*, 3:1600–1608 (1989)). Cleavage of this signal sequence occurs in the ER before the addition of an anchor with conserved central components (Low, *FASEB J.*, 3:1600–1608 (1989)) but with variable peripheral moieties (Homans et al., *Nature*, 333:269–272 (1988)). The C-terminus of a GPI-anchored protein is linked through a phosphoethanolamine bridge to the 10 highly conserved core glycan, mannose( $\alpha$ 1–2)mannose( $\alpha$ 1–6)mannose( $\alpha$ 1–4)glucosamine( $\alpha$ 1–6)myo-inositol. A phospholipid tail attaches the GPI anchor to the cell membrane. The glycan core can be variously modified with side chains, such as a phosphoethanolamine group, mannose, galactose, sialic acid, or other sugars. 15 The most common side chain attached to the first mannose residue is another mannose. Complex side chains, such as the *N*-acetylgalactosamine-containing polysaccharides attached to the third mannose of the glycan core, are found in mammalian anchor structures. The core glucosamine is rarely modified. Depending on the protein and species of origin, the lipid anchor of 20 the phosphoinositol ring is a diacylglycerol, an alkylacylglycerol, or a ceramide. The lipid species vary in length, ranging from 14 to 28 carbons, and can be either saturated or unsaturated. Many GPI anchors also contain an additional fatty acid, such as palmitic acid, on the 2-hydroxyl of the inositol ring. This extra fatty acid renders the GPI anchor resistant to 25 cleavage by PI-PLC.

GPI anchor attachment can be achieved by expression of a fusion protein containing a GPI anchor domain in a eukaryotic system capable of carrying out GPI posttranslational modifications. GPI anchor domains can be used as the tumor or tumor vasculature targeting domain, or can be 30 additionally added to fusion proteins already containing separate tumor or tumor vasculature targeting domains.

In another embodiment, GPI anchor moieties are added directly to isolated T cell receptor binding domains through an *in vitro* enzymatic or chemical process. In this embodiment, GPI anchors can be added to polypeptides without the requirement for a GPI anchor domain. Thus, 5 GPI anchor moieties can be added to fusion proteins described herein having a T cell receptor binding domain and a tumor or tumor vasculature targeting domain. Alternatively, GPI anchors can be added directly to T cell receptor binding domain polypeptides without the requirement for fusion partners encoding tumor or tumor vasculature targeting domains.

10 **2. Peptide or polypeptide linker domain**

Fusion proteins disclosed herein optionally contain a peptide or polypeptide linker domain that separates the costimulatory polypeptide domain from the antigen-binding targeting domain.

*Hinge region of antibodies*

15 In one embodiment, the linker domain contains the hinge region of an immunoglobulin. In a preferred embodiment, the hinge region is derived from a human immunoglobulin. Suitable human immunoglobulins that the hinge can be derived from include IgG, IgD and IgA. In a preferred embodiment, the hinge region is derived from human IgG.

20 In another embodiment, the linker domain contains a hinge region of an immunoglobulin as described above, and further includes one or more additional immunoglobulin domains. In one embodiment, the additional domain includes the Fc domain of an immunoglobulin. The Fc region as used herein includes the polypeptides containing the constant region of an 25 antibody excluding the first constant region immunoglobulin domain. Thus Fc refers to the last two constant region immunoglobulin domains of IgA, IgD, and IgG, and the last three constant region immunoglobulin domains of IgE and IgM. In a preferred embodiment, the Fc domain is derived from a human immunoglobulin. In a more preferred embodiment, the Fc domain is derived from human IgG including the C<sub>H</sub>2 and C<sub>H</sub>3 regions.

30 In another embodiment, the linker domain contains a hinge region of an immunoglobulin and either the C<sub>H</sub>1 domain of an immunoglobulin heavy

chain or the C<sub>L</sub> domain of an immunoglobulin light chain. In a preferred embodiment, the C<sub>H1</sub> or C<sub>L</sub> domain is derived from a human immunoglobulin. The C<sub>L</sub> domain may be derived from either a  $\kappa$  light chain or a  $\lambda$  light chain. In a more preferred embodiment, the C<sub>H1</sub> or C<sub>L</sub> domain is 5 derived from human IgG.

Amino acid sequences of immunoglobulin hinge regions and other domains are well known in the art.

*Other peptide/polypeptide linker domains*

Other suitable peptide/polypeptide linker domains include naturally 10 occurring or non-naturally occurring peptides or polypeptides. Peptide linker sequences are at least 2 amino acids in length. Preferably the peptide or polypeptide domains are flexible peptides or polypeptides. A “flexible linker” herein refers to a peptide or polypeptide containing two or more amino acid residues joined by peptide bond(s) that provides increased 15 rotational freedom for two polypeptides linked thereby than the two linked polypeptides would have in the absence of the flexible linker. Such rotational freedom allows two or more antigen binding sites joined by the flexible linker to each access target antigen(s) more efficiently. Exemplary flexible peptides/polypeptides include, but are not limited to, the amino acid 20 sequences Gly-Ser, Gly-Ser-Gly-Ser (SEQ ID NO:48), Ala-Ser, Gly-Gly-Gly-Ser (SEQ ID NO:49), (Gly<sub>4</sub>-Ser)<sub>3</sub> (SEQ ID NO:50), and (Gly<sub>4</sub>-Ser)<sub>4</sub> (SEQ ID NO:51). Additional flexible peptide/polypeptide sequences are well known in the art.

**3. Dimerization and multimerization domains**

25 The fusion proteins disclosed herein optionally contain a dimerization or multimerization domain that functions to dimerize or multimerize two or more fusion proteins. The domain that functions to dimerize or multimerize the fusion proteins can either be a separate domain, or alternatively can be contained within one of the other domains (T cell costimulatory/coinhibitory 30 receptor binding domain, tumor/tumor neovasculature antigen-binding domain, or peptide/polypeptide linker domain) of the fusion protein.

Dimerization domains

A “dimerization domain” is formed by the association of at least two amino acid residues or of at least two peptides or polypeptides (which may have the same, or different, amino acid sequences). The peptides or polypeptides may interact with each other through covalent and/or non-5 covalent association(s). Preferred dimerization domains contain at least one cysteine that is capable of forming an intermolecular disulfide bond with a cysteine on the partner fusion protein. The dimerization domain can contain one or more cysteine residues such that disulfide bond(s) can form between the partner fusion proteins. In one embodiment, dimerization domains 10 contain one, two or three to about ten cysteine residues. In a preferred embodiment, the dimerization domain is the hinge region of an immunoglobulin. In this particular embodiment, the dimerization domain is contained within the linker peptide/polypeptide of the fusion protein.

Additional exemplary dimerization domain can be any known in the 15 art and include, but not limited to, coiled coils, acid patches, zinc fingers, calcium hands, a C<sub>H</sub>1-C<sub>L</sub> pair, an “interface” with an engineered “knob” and/or “protruberance” as described in U.S. Pat. No. 5,821,333, leucine zippers (e.g., from jun and/or fos) (U.S. Pat. No. 5,932,448), SH2 (src homology 2), SH3 (src Homology 3) (Vidal, et al., *Biochemistry*, 43, 7336-20 592 ((2004))), phosphotyrosine binding (PTB) (Zhou, et al., *Nature*, 378:584-592 (1995)), WW (Sudol, *Prog. Biophys. Mol. Bio.*, 65:113-132 (1996)), PDZ (Kim, et al., *Nature*, 378: 85-88 (1995); Komau, et al., *Science*, 269:1737-1740 (1995)) 14-3-3, WD40 (Hu, et al., *J Biol Chem.*, 273, 33489-33494 (1998)) EH, Lim, an isoleucine zipper, a receptor dimer pair (e.g., 25 interleukin-8 receptor (IL-8R); and integrin heterodimers such as LFA-1 and GPIIb/IIIa), or the dimerization region(s) thereof, dimeric ligand polypeptides (e.g. nerve growth factor (NGF), neurotrophin-3 (NT-3), interleukin-8 (IL-8), vascular endothelial growth factor (VEGF), VEGF-C, VEGF-D, PDGF members, and brain-derived neurotrophic factor (BDNF) 30 (Arakawa, et al., *J. Biol. Chem.*, 269(45): 27833-27839 (1994) and Radziejewski, et al., *Biochem.*, 32(48): 1350 (1993)) and can also be variants of these domains in which the affinity is altered. The polypeptide pairs can

be identified by methods known in the art, including yeast two hybrid screens. Yeast two hybrid screens are described in U.S. Pat. Nos. 5,283,173 and 6,562,576, both of which are herein incorporated by reference in their entireties. Affinities between a pair of interacting domains can be determined 5 using methods known in the art, including as described in Katahira, et al., *J. Biol. Chem.*, 277, 9242-9246 (2002)). Alternatively, a library of peptide sequences can be screened for heterodimerization, for example, using the methods described in WO 01/00814. Useful methods for protein-protein interactions are also described in U.S. Pat. No. 6,790,624.

10 Multimerization domains

A “multimerization domain” is a domain that causes three or more peptides or polypeptides to interact with each other through covalent and/or non-covalent association(s). Suitable multimerization domains include, but are not limited to, coiled-coil domains. A coiled-coil is a peptide sequence 15 with a contiguous pattern of mainly hydrophobic residues spaced 3 and 4 residues apart, usually in a sequence of seven amino acids (heptad repeat) or eleven amino acids (undecad repeat), which assembles (folds) to form a multimeric bundle of helices. Coiled-coils with sequences including some irregular distribution of the 3 and 4 residues spacing are also contemplated. 20 Hydrophobic residues are in particular the hydrophobic amino acids Val, Ile, Leu, Met, Tyr, Phe and Trp. Mainly hydrophobic means that at least 50% of the residues must be selected from the mentioned hydrophobic amino acids.

The coiled coil domain may be derived from laminin. In the 25 extracellular space, the heterotrimeric coiled coil protein laminin plays an important role in the formation of basement membranes. Apparently, the multifunctional oligomeric structure is required for laminin function. Coiled coil domains may also be derived from the thrombospondins in which three (TSP-1 and TSP-2) or five (TSP-3, TSP-4 and TSP-5) chains are connected, or from COMP (COMPcc) (Guo, et al., *EMBO J.*, 1998, 17: 5265-5272) 30 which folds into a parallel five-stranded coiled coil (Malashkevich ,et al., *Science*, 274: 761-765 (1996)).

Additional coiled-coil domains derived from other proteins, and other domains that mediate polypeptide multimerization are known in the art and are suitable for use in the disclosed fusion proteins.

#### 4. Exemplary fusion proteins

##### 5 B7-DC

A representative murine PD-L2 fusion protein is encoded by a nucleic acid having at least 80%, 85%, 90%, 95%, 99% or 100% sequence identity to:

10	atgctgctcc tgctgccat actgaacctg agcttacaac ttcatcctgt agcagctta	60
	ttcacccgtga cagccctaa agaagtgtac accgttagacg tcggcagcag tgtgagcctg	120
	gagtgcgatt ttgaccgcag agaatgcact gaactggaag ggataagagc cagttgcag	180
	aaggtagaaa atgatacgtc tctgcaaagt gaaagagcca ccctgctgga ggagcagctg	240
	ccctgggaa aggcttgtt ccacatccct agtgtccaag tgagagatc cggcagttac	300
	cgttgcctgg tcatctgcgg ggcgcctgg gactacaagt acctgacggt gaaagtcaaa	360
15	gcttcttaca tgaggataga cactaggatc ctggaggatc caggtacagg ggaggtgcag	420
	cttacctgcc aggctagagg ttatccccta gcagaagtgt cctggcaaaa tgtcagtgtt	480
	cctgccaaca ccagccacat caggaccccc gaaggcctct accaggtcac cagtgttctg	540
	cgcctcaagc ctcagccatc cagaaacttc agctgcattgt tctggaatgc tcacatgaag	600
	gagctgactt cagccatcat tgaccctctg agtcggatgg aacccaaagt ccccagaacg	660
20	tgggagccaa gaggcttac gatcaagccc tgccgcctt gtaaatgccc agctccaaat	720
	ttgctgggtt gaccgtcagt ctttatcttc ccgc当地 aagaaggacgt cttgtatgatt	780
	agtctgagcc ccatcgtgac atgcgttgcgt gtggatgtt cagaggatga cccgc当地	840
	caaattcgtt ggttcgttaa caacgtggag gtgc当地 accgc当地 tc当地 accgc当地	900
	gaggattata acagcaccct gcgggtagtgc tccgc当地 cgc当地 tc当地 accgc当地	960
25	atgagcggaa aagagtcaa gtgttaaggta aacaacaaag atctgccc当地 gccc当地	1020
	c当地 accattta gcaaggc当地 agggagc当地 cgc当地 accgc当地 aggtt当地 cctt当地 ccc当地	1080
	ccagaagagg agatgacgaa aaagcaggatgc accgc当地 catggtaac tgactt当地	1140
	ccagaagata ttacgtgaa atggactaat aacggaaaga cagagctcaa ttacaagaac	1200
	actgagcctg ttctggattc tgatggc当地 tactttatgt actccaaatt gagggc当地	1260
30	aagaagaatt gggc当地 gagag aaacagttat agttgcttag tggc当地 catga gggc当地 cccat	1320
	aatcatcaca ccacaaagtc cttcagccga acgccc当地 gggc当地 aatga	1365

(SEQ ID NO:52)

The murine PD-L2 fusion protein encoded by SEQ ID NO:52 has the following amino acid sequence:

35	MLLILPILNL SLQLHPVAAL FTVTAPKEVY TVDVGVSSVSL ECDFDRRECT ELEGIRASLQ	60
	KVENDTSLQS ERATLLEEQI PLGKALFHIP SVQVRDSGQY RCLVICGAAW DYKYLTVKVK	120
	ASYMRIDTRI LEVPGTGEVQ LTCQARGYPL AEVSWQNVSV PANTSHIRTP EGLYQVTSVL	180
	RLKPQPSRNF SCMFWNNAHMK ELTSAIIDPL SRMEPKVPRW WEPRGPTIKP CPPCKCPAPN	240
	LLGGPSVIF PPKIKDVLMI SLSPIVTCVV VDVSEDDPDV QISWFVNNVE VHTAQQTQTHR	300
40	EDYNSTLRVV SALPIQHQDW MSGKEFKCKV NNKDLPAPIE RTISPKGSV RAPQVYVLPP	360
	PEEEEMTKRQV TLTCMVTDFM PEDIYVEWTN NGKTELNYKN TEPVLDSDGS YFMYSKLRVE	420
	KKNWVERNSY SCSVVHEGLH NHHTTKSFSR TPGK	454

(SEQ ID NO:53)

The amino acid sequence of the murine PD-L2 fusion protein of SEQ ID NO:53 without the signal sequence is:

5	LFTVTAPKEV YTVVGSSVS LECDFDRREC TELEGIRASL QKVENDTLSQ SERATLLEEQ LPLGKALFHI PSVQVRDSGQ YRCLVICGAA WDYKYLTVKV KASYMRIDTR ILEVPGTGEV QLTCQARGYP LAEVWSQNVS VPANTSHIRT PEGLYQVTSV LRLKPQPSRN FSCMFWNNAHM	60 120 180
	KELTSAIIDP LSRMEPKVPR TWEPRGPTIK PCPPCKCPAP NLLGGPSVFI FPPKIKDVL ISLSPIVTCV VVDVSEDDPD VQISWFVNNS EVHTAQQTQTH REDYNSTLRV VSALPIQHQD	240 300
	WMSGKEFKCK VNNKDLPAPI ERTISKPKGS VRAPQVYVLP PPEEEMTKKQ VTLTCMVTDF	360
10	MPEDIYVWEI NNGKTELNYK NTEPVLDSDG SYFMYSKLRV EKKNWVERNS YSCSVVHEGL HHHHTTKSFS RTPGK	420 435

(SEQ ID NO:54).

A representative human PD-L2 fusion protein is encoded by a nucleic acid having at least 80%, 85%, 90%, 95%, 99% or 100% sequence identity

(SEQ ID NO:55)

40 The human PD-L2 fusion protein encoded by SEQ ID NO:55 has the following amino acid sequence:

MIFLLMMSL ELQLHQIAAL FTVTVPKELY IIEHGSNVTL ECNFDTGSHV NLGAITASLQ

KVENDTSPHR	ERATLLEEQL	PLGKASFHIP	QVQVRDEGQY	QCIIIYGVAW	DYKYLTLKV	120
ASYRKINTHI	LKVPEDEVE	LTCQATGYPL	AEVSWPNVSV	PANTSHSRTP	EGLYQVTSVL	180
RLKPPPGRNF	SCVFWNTHVR	ELTLASIDLQ	SQMEPRTHPT	WEPKSCDKTH	TCPPCPAPEL	240
LGGPSVFLFP	PKPKDTLMIS	RTPEVTCVVV	DVSHEDEPEVK	FNWYVDGVEV	HNAKTKPREE	300
5	QYNSTYRVVS	VLTVLHQDWL	NGKEYKCKVS	NKALPAPIEK	TISKAKGQPR	360
RDELTKNQVS	LTCLVKGFPY	SDIAVEWESN	GQPENNYKTT	PPVLDSDGSF	FLYSKLTVDK	420
SRWQQGNVFS	CSVMHEALHN	HYTQKSLSLS	PGK			453

(SEQ ID NO:56)

The amino acid sequence of the human PD-L2 fusion protein of SEQ 10 ID NO:56 without the signal sequence is:

LFTVTVPKEL	YIIEHGSNT	LECNFDTGSH	VNLGAITASL	QKVENDTSPH	RERATLLEEQ	60
LPLGKASFHI	PQVQVRDEGQ	YQCIIIYGV	WDYKYLTLKV	KASYRKINTH	ILKVPETDEV	120
ELTCQATGYP	LAEVSWPNVS	VPANTSHSRT	PEGLYQVTSV	RLKPPPGRNF	SCVFWNTHV	180
RELTLASIDL	QSQMEPRTHP	TWEPKSCDKT	HTCPPCPAPE	LLGGPSVFLF	PPPKDITLMI	240
15	SRTPEVTCVV	VDVSHEDPEV	KFNWYVDGVE	VHNAKTKPREE	EQYNSTYRVV	300
LNGKEYKCKV	SNKALPAPIE	KTISKAKGQP	REPQVYTLPP	SRDELTKNQV	SLTCLVKGFY	360
PSDIAVEWES	NGQPENNYKT	TPPVLDSDGS	FFLYSKLTVD	KSRWQQGNVF	SCSVMHEALH	420
NYTQKSLSL	SPGK					434

(SEQ ID NO:57).

20 **G. Isolated Nucleic Acid Molecules Encoding PD-1 Receptor Antagonists**

Isolated nucleic acid sequences encoding PD-1 antagonist polypeptides, variants thereof and fusion proteins thereof are disclosed. As used herein, “isolated nucleic acid” refers to a nucleic acid that is separated 25 from other nucleic acid molecules that are present in a mammalian genome, including nucleic acids that normally flank one or both sides of the nucleic acid in a mammalian genome.

An isolated nucleic acid can be, for example, a DNA molecule, provided one of the nucleic acid sequences normally found immediately 30 flanking that DNA molecule in a naturally-occurring genome is removed or absent. Thus, an isolated nucleic acid includes, without limitation, a DNA molecule that exists as a separate molecule independent of other sequences (e.g., a chemically synthesized nucleic acid, or a cDNA or genomic DNA fragment produced by PCR or restriction endonuclease treatment), as well as 35 recombinant DNA that is incorporated into a vector, an autonomously replicating plasmid, a virus (e.g., a retrovirus, lentivirus, adenovirus, or herpes virus), or into the genomic DNA of a prokaryote or eukaryote. In

addition, an isolated nucleic acid can include an engineered nucleic acid such as a recombinant DNA molecule that is part of a hybrid or fusion nucleic acid. A nucleic acid existing among hundreds to millions of other nucleic acids within, for example, a cDNA library or a genomic library, or a gel slice 5 containing a genomic DNA restriction digest, is not to be considered an isolated nucleic acid.

Nucleic acids can be in sense or antisense orientation, or can be complementary to a reference sequence encoding a B7-DC, PD-L1, PD-1 or B7.1 polypeptide or variant thereof. Reference sequences include, for 10 example, the nucleotide sequence of human B7-DC, human PD-L1 or murine PD-L2 and murine PD-L1 which are known in the art and discussed above.

Nucleic acids can be DNA, RNA, or nucleic acid analogs. Nucleic acid analogs can be modified at the base moiety, sugar moiety, or phosphate backbone. Such modification can improve, for example, stability, 15 hybridization, or solubility of the nucleic acid. Modifications at the base moiety can include deoxyuridine for deoxythymidine, and 5-methyl-2'-deoxycytidine or 5-bromo-2'-deoxycytidine for deoxycytidine. Modifications of the sugar moiety can include modification of the 2' hydroxyl of the ribose sugar to form 2'-O-methyl or 2'-O-allyl sugars. The 20 deoxyribose phosphate backbone can be modified to produce morpholino nucleic acids, in which each base moiety is linked to a six membered, morpholino ring, or peptide nucleic acids, in which the deoxyphosphate backbone is replaced by a pseudopeptide backbone and the four bases are retained. See, for example, Summerton and Weller (1997) *Antisense Nucleic Acid Drug Dev.* 7:187-195; and Hyrup *et al.* (1996) *Bioorgan. Med. Chem.* 4:5-23. In addition, the deoxyphosphate backbone can be replaced with, for 25 example, a phosphorothioate or phosphorodithioate backbone, a phosphoroamidite, or an alkyl phosphotriester backbone.

#### **H. Vectors and Host Cells Expressing PD-1 Receptor Antagonists**

Nucleic acids, such as those described above, can be inserted into vectors for expression in cells. As used herein, a “vector” is a replicon, such

as a plasmid, phage, or cosmid, into which another DNA segment may be inserted so as to bring about the replication of the inserted segment. Vectors can be expression vectors. An “expression vector” is a vector that includes one or more expression control sequences, and an “expression control sequence” is a DNA sequence that controls and regulates the transcription and/or translation of another DNA sequence.

5 Nucleic acids in vectors can be operably linked to one or more expression control sequences. As used herein, “operably linked” means incorporated into a genetic construct so that expression control sequences 10 effectively control expression of a coding sequence of interest. Examples of expression control sequences include promoters, enhancers, and transcription terminating regions. A promoter is an expression control sequence composed of a region of a DNA molecule, typically within 100 nucleotides upstream of the point at which transcription starts (generally near the 15 initiation site for RNA polymerase II). To bring a coding sequence under the control of a promoter, it is necessary to position the translation initiation site of the translational reading frame of the polypeptide between one and about fifty nucleotides downstream of the promoter. Enhancers provide expression specificity in terms of time, location, and level. Unlike promoters, enhancers 20 can function when located at various distances from the transcription site. An enhancer also can be located downstream from the transcription initiation site. A coding sequence is “operably linked” and “under the control” of expression control sequences in a cell when RNA polymerase is able to 25 transcribe the coding sequence into mRNA, which then can be translated into the protein encoded by the coding sequence.

Suitable expression vectors include, without limitation, plasmids and viral vectors derived from, for example, bacteriophage, baculoviruses, tobacco mosaic virus, herpes viruses, cytomegalovirus, retroviruses, vaccinia viruses, adenoviruses, and adeno-associated viruses. Numerous 30 vectors and expression systems are commercially available from such corporations as Novagen (Madison, WI), Clontech (Palo Alto, CA), Stratagene (La Jolla, CA), and Invitrogen Life Technologies (Carlsbad, CA).

An expression vector can include a tag sequence. Tag sequences, are typically expressed as a fusion with the encoded polypeptide. Such tags can be inserted anywhere within the polypeptide including at either the carboxyl or amino terminus. Examples of useful tags include, but are not limited to,

5 green fluorescent protein (GFP), glutathione S-transferase (GST), polyhistidine, c-myc, hemagglutinin, Flag<sup>TM</sup> tag (Kodak, New Haven, CT), maltose E binding protein and protein A. In one embodiment, the variant PD-L2 fusion protein is present in a vector containing nucleic acids that encode one or more domains of an Ig heavy chain constant region, preferably

10 having an amino acid sequence corresponding to the hinge, C<sub>H2</sub> and C<sub>H3</sub> regions of a human immunoglobulin C<sub>Y1</sub> chain.

Vectors containing nucleic acids to be expressed can be transferred into host cells. The term "host cell" is intended to include prokaryotic and eukaryotic cells into which a recombinant expression vector can be introduced. As used herein, "transformed" and "transfected" encompass the introduction of a nucleic acid molecule (e.g., a vector) into a cell by one of a number of techniques. Although not limited to a particular technique, a number of these techniques are well established within the art. Prokaryotic cells can be transformed with nucleic acids by, for example, electroporation or calcium chloride mediated transformation. Nucleic acids can be transfected into mammalian cells by techniques including, for example, calcium phosphate co-precipitation, DEAE-dextran-mediated transfection, lipofection, electroporation, or microinjection. Host cells (e.g., a prokaryotic cell or a eukaryotic cell such as a CHO cell) can be used to, for example, produce the PD-1 antagonist polypeptides described herein.

### I. Antibody PD-1 antagonists

Monoclonal and polyclonal antibodies that are reactive with epitopes of the PD-1 antagonists, or PD-1, are disclosed. Monoclonal antibodies (mAbs) and methods for their production and use are described in Kohler and Milstein, *Nature* 256:495-497 (1975); U.S. Pat. No. 4,376,110; Hartlow, E. et al., *Antibodies: A Laboratory Manual*, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, N.Y., 1988); Monoclonal Antibodies and

Hybridomas: A New Dimension in Biological Analyses, Plenum Press, New York, N.Y. (1980); H. Zola et al., in Monoclonal Hybridoma Antibodies: Techniques and Applications, CRC Press, 1982)).

Antibodies that bind to PD-1 and block signal transduction through 5 PD-1, and which have a lower affinity than those currently in use, allowing the antibody to dissociate in a period of less than three months, two months, one month, three weeks, two weeks, one week, or a few days after administration, are preferred for enhancement, augmentation or stimulation of an immune response.

10 Immunoassay methods are described in Coligan, J. E. et al., eds., Current Protocols in Immunology, Wiley-Interscience, New York 1991 (or current edition); Butt, W. R. (ed.) Practical Immunoassay: The State of the Art, Dekker, N.Y., 1984; Bizollon, Ch. A., ed., Monoclonal Antibodies and New Trends in Immunoassays, Elsevier, N.Y., 1984; Butler, J. E., ELISA 15 (Chapter 29), In: van Oss, C. J. et al., (eds), Immunochemistry, Marcel Dekker, Inc., New York, 1994, pp. 759-803; Butler, J. E. (ed.), Immunochemistry of Solid-Phase Immunoassay, CRC Press, Boca Raton, 1991; Weintraub, B., Principles of Radioimmunoassays, Seventh Training Course on Radioligand Assay Techniques, The Endocrine Society, March, 20 1986; Work, T. S. et al., Laboratory Techniques and Biochemistry in Molecular Biology, North Holland Publishing Company, NY, (1978) (Chapter by Chard, T., "An Introduction to Radioimmune Assay and Related Techniques").

Anti-idiotypic antibodies are described, for example, in Idiotype in 25 Biology and Medicine, Academic Press, New York, 1984; Immunological Reviews Volume 79, 1984; Immunological Reviews Volume 90, 1986; Curr. Top. Microbiol., Immunol. Volume 119, 1985; Bona, C. et al., CRC Crit. Rev. Immunol., pp. 33-81 (1981); Jerne, N K, *Ann. Immunol.* 125C:373-389 (1974); Jerne, N K, In: Idiotypes--Antigens on the Inside, Westen-Schnurr, 30 I., ed., Editiones Roche, Basel, 1982, Urbain, J. et al., *Ann. Immunol.* 133D:179-(1982); Rajewsky, K. et al., *Ann. Rev. Immunol.* 1:569-607 (1983).

The antibodies may be xenogeneic, allogeneic, syngeneic, or modified forms thereof, such as humanized or chimeric antibodies.

Antiidiotypic antibodies specific for the idiotype of a specific antibody, for example an anti-PD-L2 antibody, are also included. The term "antibody" is

5 meant to include both intact molecules as well as fragments thereof that include the antigen-binding site and are capable of binding to a PD-1 antagonist epitope. These include, Fab and F(ab')<sub>2</sub> fragments which lack the Fc fragment of an intact antibody, clear more rapidly from the circulation, and may have less non-specific tissue binding than an intact antibody (Wahl  
10 et al., *J. Nuc. Med.* 24:316-325 (1983)). Also included are Fv fragments (Hochman, J. et al. (1973) *Biochemistry* 12:1130-1135; Sharon, J. et al.(1976) *Biochemistry* 15:1591-1594). These various fragments are produced using conventional techniques such as protease cleavage or chemical cleavage (see, e.g., Rousseaux et al., *Meth. Enzymol.*, 121:663-69  
15 (1986)).

Polyclonal antibodies are obtained as sera from immunized animals such as rabbits, goats, rodents, etc. and may be used directly without further treatment or may be subjected to conventional enrichment or purification methods such as ammonium sulfate precipitation, ion exchange

20 chromatography, and affinity chromatography.

The immunogen may include the complete PD-1 antagonist, PD-1, or fragments or derivatives thereof. Preferred immunogens include all or a part of the extracellular domain (ECD) of PD-1 antagonist or PD-1, where these residues contain the post-translation modifications, such as glycosylation.

25 Immunogens including the extracellular domain are produced in a variety of ways known in the art, e.g., expression of cloned genes using conventional recombinant methods or isolation from cells of origin.

Monoclonal antibodies may be produced using conventional hybridoma technology, such as the procedures introduced by Kohler and  
30 Milstein, *Nature*, 256:495-97 (1975), and modifications thereof (see above references). An animal, preferably a mouse is primed by immunization with an immunogen as above to elicit the desired antibody response in the primed

animal. B lymphocytes from the lymph nodes, spleens or peripheral blood of a primed, animal are fused with myeloma cells, generally in the presence of a fusion promoting agent such as polyethylene glycol (PEG). Any of a number of murine myeloma cell lines are available for such use: the P3-5 NS1/1-Ag4-1, P3-x63-k0Ag8.653, Sp2/0-Ag14, or HL1-653 myeloma lines (available from the ATCC, Rockville, Md.). Subsequent steps include growth in selective medium so that unfused parental myeloma cells and donor lymphocyte cells eventually die while only the hybridoma cells survive. These are cloned and grown and their supernatants screened for the 10 presence of antibody of the desired specificity, e.g. by immunoassay techniques using PD-L2 or PD-L1 fusion proteins. Positive clones are subcloned, e.g., by limiting dilution, and the monoclonal antibodies are isolated.

Hybridomas produced according to these methods can be propagated 15 *in vitro* or *in vivo* (in ascites fluid) using techniques known in the art (see generally Fink et al., *Prog. Clin. Pathol.*, 9:121-33 (1984)). Generally, the individual cell line is propagated in culture and the culture medium containing high concentrations of a single monoclonal antibody can be harvested by decantation, filtration, or centrifugation.

20 The antibody may be produced as a single chain antibody or scFv instead of the normal multimeric structure. Single chain antibodies include the hypervariable regions from an Ig of interest and recreate the antigen binding site of the native Ig while being a fraction of the size of the intact Ig (Skerra, A. et al. *Science*, 240: 1038-1041 (1988); Pluckthun, A. et al. 25 *Methods Enzymol.* 178: 497-515 (1989); Winter, G. et al. *Nature*, 349: 293-299 (1991)). In a preferred embodiment, the antibody is produced using conventional molecular biology techniques.

### III. Methods of Manufacture

#### A. Methods for Producing PD-1 antagonist

##### 30 Polypeptides and Variants Thereof

Isolated PD-1 antagonist polypeptides, variants thereof, and fusion proteins thereof can be obtained by, for example, chemical synthesis or by

recombinant production in a host cell. To recombinantly produce a PD-1 antagonist polypeptide, a nucleic acid containing a nucleotide sequence encoding the polypeptide can be used to transform, transduce, or transfect a bacterial or eukaryotic host cell (e.g., an insect, yeast, or mammalian cell).

5 In general, nucleic acid constructs include a regulatory sequence operably linked to a nucleotide sequence encoding a PD-1 antagonist polypeptide. Regulatory sequences (also referred to herein as expression control sequences) typically do not encode a gene product, but instead affect the expression of the nucleic acid sequences to which they are operably linked.

10 Useful prokaryotic and eukaryotic systems for expressing and producing polypeptides are well known in the art include, for example, *Escherichia coli* strains such as BL-21, and cultured mammalian cells such as CHO cells.

15 In eukaryotic host cells, a number of viral-based expression systems can be utilized to express PD-1 antagonist polypeptides. Viral based expression systems are well known in the art and include, but are not limited to, baculoviral, SV40, retroviral, or vaccinia based viral vectors.

20 Mammalian cell lines that stably express variant costimulatory polypeptides can be produced using expression vectors with appropriate control elements and a selectable marker. For example, the eukaryotic expression vectors pCR3.1 (Invitrogen Life Technologies) and p91023(B) (see Wong *et al.* (1985) *Science* 228:810-815) are suitable for expression of variant costimulatory polypeptides in, for example, Chinese hamster ovary (CHO) cells, COS-1 cells, human embryonic kidney 293 cells, NIH3T3 cells, 25 BHK21 cells, MDCK cells, and human vascular endothelial cells (HUVEC). Following introduction of an expression vector by electroporation, lipofection, calcium phosphate, or calcium chloride co-precipitation, DEAE dextran, or other suitable transfection method, stable cell lines can be selected (e.g., by antibiotic resistance to G418, kanamycin, or hygromycin). 30 The transfected cells can be cultured such that the polypeptide of interest is expressed, and the polypeptide can be recovered from, for example, the cell culture supernatant or from lysed cells. Alternatively, a PD-1 antagonist

polypeptide can be produced by (a) ligating amplified sequences into a mammalian expression vector such as pcDNA3 (Invitrogen Life Technologies), and (b) transcribing and translating *in vitro* using wheat germ extract or rabbit reticulocyte lysate.

5 PD-1 antagonist polypeptides can be isolated using, for example, chromatographic methods such as DEAE ion exchange, gel filtration, and hydroxylapatite chromatography. For example, a costimulatory polypeptide in a cell culture supernatant or a cytoplasmic extract can be isolated using a protein G column. In some embodiments, variant costimulatory

10 10 polypeptides can be "engineered" to contain an amino acid sequence that allows the polypeptides to be captured onto an affinity matrix. For example, a tag such as c-myc, hemagglutinin, polyhistidine, or Flag<sup>TM</sup> (Kodak) can be used to aid polypeptide purification. Such tags can be inserted anywhere within the polypeptide, including at either the carboxyl or amino terminus.

15 15 Other fusions that can be useful include enzymes that aid in the detection of the polypeptide, such as alkaline phosphatase. Immunoaffinity chromatography also can be used to purify costimulatory polypeptides.

Methods for introducing random mutations to produce variant polypeptides are known in the art. Random peptide display libraries can be 20 used to screen for peptides which interact with a PD-1 receptors or ligands. Techniques for creating and screening such random peptide display libraries are known in the art (Ladner et al., U.S. Pat. No. 5,223,409; Ladner et al., U.S. Pat. No. 4,946,778; Ladner et al., U.S. Pat. No. 5,403,484 and Ladner et al., U.S. Pat. No. 5,571,698) and random peptide display libraries and kits for 25 screening such libraries are available commercially.

**B. Methods for Producing Isolated Nucleic Acid Molecules Encoding PD-1 antagonist Polypeptides**

Isolated nucleic acid molecules encoding PD-1 antagonist polypeptides can be produced by standard techniques, including, without 30 limitation, common molecular cloning and chemical nucleic acid synthesis techniques. For example, polymerase chain reaction (PCR) techniques can be used to obtain an isolated nucleic acid encoding a variant costimulatory

polypeptide. PCR is a technique in which target nucleic acids are enzymatically amplified. Typically, sequence information from the ends of the region of interest or beyond can be employed to design oligonucleotide primers that are identical in sequence to opposite strands of the template to 5 be amplified. PCR can be used to amplify specific sequences from DNA as well as RNA, including sequences from total genomic DNA or total cellular RNA. Primers typically are 14 to 40 nucleotides in length, but can range from 10 nucleotides to hundreds of nucleotides in length. General PCR 10 techniques are described, for example in PCR Primer: A Laboratory Manual, ed. by Dieffenbach and Dveksler, Cold Spring Harbor Laboratory Press, 1995. When using RNA as a source of template, reverse transcriptase can be used to synthesize a complementary DNA (cDNA) strand. Ligase chain 15 reaction, strand displacement amplification, self-sustained sequence replication or nucleic acid sequence-based amplification also can be used to obtain isolated nucleic acids. See, for example, Lewis (1992) *Genetic Engineering News* 12:1; Guatelli *et al.* (1990) *Proc. Natl. Acad. Sci. USA* 87:1874-1878; and Weiss (1991) *Science* 254:1292-1293.

Isolated nucleic acids can be chemically synthesized, either as a 20 single nucleic acid molecule or as a series of oligonucleotides (e.g., using phosphoramidite technology for automated DNA synthesis in the 3' to 5' direction). For example, one or more pairs of long oligonucleotides (e.g., >100 nucleotides) can be synthesized that contain the desired sequence, with each pair containing a short segment of complementarity (e.g., about 15 nucleotides) such that a duplex is formed when the oligonucleotide pair is 25 annealed. DNA polymerase can be used to extend the oligonucleotides, resulting in a single, double-stranded nucleic acid molecule per oligonucleotide pair, which then can be ligated into a vector. Isolated nucleic acids can also be obtained by mutagenesis. PD-1 antagonist encoding 30 nucleic acids can be mutated using standard techniques, including oligonucleotide-directed mutagenesis and/or site-directed mutagenesis through PCR. See, Short Protocols in Molecular Biology. Chapter 8, Green Publishing Associates and John Wiley & Sons, edited by Ausubel *et al*,

1992. Examples of amino acid positions that can be modified include those described herein.

#### IV. Formulations

##### A. PD-1 Antagonist Formulations

###### 5 A. PD-1 Antagonist Formulations

Pharmaceutical compositions including PD-1 antagonists are provided. Pharmaceutical compositions containing peptides or polypeptides may be for administration by parenteral (intramuscular, intraperitoneal, intravenous (IV) or subcutaneous injection), transdermal (either passively or 10 using iontophoresis or electroporation), or transmucosal (nasal, vaginal, rectal, or sublingual) routes of administration. The compositions may also be administered using bioerodible inserts and may be delivered directly to an appropriate lymphoid tissue (e.g., spleen, lymph node, or mucosal-associated lymphoid tissue) or directly to an organ or tumor. The compositions can be 15 formulated in dosage forms appropriate for each route of administration.

Compositions containing antagonists of PD-1 receptors that are not peptides or polypeptides can additionally be formulated for enteral administration.

As used herein the term “effective amount” or “therapeutically effective amount” means a dosage sufficient to treat, inhibit, or alleviate one 20 or more symptoms of the disorder being treated or to otherwise provide a desired pharmacologic and/or physiologic effect. The precise dosage will vary according to a variety of factors such as subject-dependent variables (e.g., age, immune system health, etc.), the disease, and the treatment being effected. Therapeutically effective amounts of PD-1 antagonist cause an 25 immune response to be activated, enhanced, augmented, or sustained, and/or overcome or alleviate T cell exhaustion and/or T cell anergy, and/or activate monocytes, macrophages, dendritic cells and other antigen presenting cells (“APCs”).

In a preferred embodiment, the PD-1 antagonist is administered in a 30 range of 0.1 – 20 mg/kg based on extrapolation from tumor modeling and bioavailability. A most preferred range is 5-20 mg of PD-1 antagonist/kg.

Generally, for intravenous injection or infusion, dosage may be lower than when administered by an alternative route.

### **1. Formulations for Parenteral Administration**

In a preferred embodiment, the disclosed compositions, including 5 those containing peptides and polypeptides, are administered in an aqueous solution, by parenteral injection. The formulation may also be in the form of a suspension or emulsion. In general, pharmaceutical compositions are provided including effective amounts of a peptide or polypeptide, and optionally include pharmaceutically acceptable diluents, preservatives, 10 solubilizers, emulsifiers, adjuvants and/or carriers. Such compositions include sterile water, buffered saline (e.g., Tris-HCl, acetate, phosphate), pH and ionic strength; and optionally, additives such as detergents and solubilizing agents (e.g., TWEEN® 20, TWEEN 80, Polysorbate 80), anti- 15 oxidants (e.g., ascorbic acid, sodium metabisulfite), and preservatives (e.g., Thimersol, benzyl alcohol) and bulking substances (e.g., lactose, mannitol). Examples of non-aqueous solvents or vehicles are propylene glycol, polyethylene glycol, vegetable oils, such as olive oil and corn oil, gelatin, and injectable organic esters such as ethyl oleate. The formulations may be lyophilized and redissolved/resuspended immediately before use. The 20 formulation may be sterilized by, for example, filtration through a bacteria retaining filter, by incorporating sterilizing agents into the compositions, by irradiating the compositions, or by heating the compositions.

### **2. Controlled Delivery Polymeric Matrices**

Compositions containing one or more PD-1 antagonist or nucleic 25 acids encoding the PD-1 antagonist can be administered in controlled release formulations. Controlled release polymeric devices can be made for long term release systemically following implantation of a polymeric device (rod, cylinder, film, disk) or injection (microparticles). The matrix can be in the form of microparticles such as microspheres, where peptides are dispersed 30 within a solid polymeric matrix or microcapsules, where the core is of a different material than the polymeric shell, and the peptide is dispersed or suspended in the core, which may be liquid or solid in nature. Unless

specifically defined herein, microparticles, microspheres, and microcapsules are used interchangeably. Alternatively, the polymer may be cast as a thin slab or film, ranging from nanometers to four centimeters, a powder produced by grinding or other standard techniques, or even a gel such as a

5 hydrogel. The matrix can also be incorporated into or onto a medical device to modulate an immune response, to prevent infection in an immunocompromised patient (such as an elderly person in which a catheter has been inserted or a premature child) or to aid in healing, as in the case of a matrix used to facilitate healing of pressure sores, decubitis ulcers, etc.

10 Either non-biodegradable or biodegradable matrices can be used for delivery of PD-1 antagonist or nucleic acids encoding them, although biodegradable matrices are preferred. These may be natural or synthetic polymers, although synthetic polymers are preferred due to the better characterization of degradation and release profiles. The polymer is selected

15 based on the period over which release is desired. In some cases linear release may be most useful, although in others a pulse release or "bulk release" may provide more effective results. The polymer may be in the form of a hydrogel (typically absorbing up to about 90% by weight of water), and can optionally be crosslinked with multivalent ions or polymers.

20 The matrices can be formed by solvent evaporation, spray drying, solvent extraction and other methods known to those skilled in the art. Bioerodible microspheres can be prepared using any of the methods developed for making microspheres for drug delivery, for example, as described by Mathiowitz and Langer, *J. Controlled Release*, 5:13-22 (1987);

25 Mathiowitz, et al., *Reactive Polymers*, 6:275-283 (1987); and Mathiowitz, et al., *J. Appl. Polymer Sci.*, 35:755-774 (1988).

Controlled release oral formulations may be desirable. Antagonists of PD-1 inhibitory signaling can be incorporated into an inert matrix which permits release by either diffusion or leaching mechanisms, e.g., films or

30 gums. Slowly disintegrating matrices may also be incorporated into the formulation. Another form of a controlled release is one in which the drug is enclosed in a semipermeable membrane which allows water to enter and

push drug out through a single small opening due to osmotic effects. For oral formulations, the location of release may be the stomach, the small intestine (the duodenum, the jejunum, or the ileum), or the large intestine. Preferably, the release will avoid the deleterious effects of the stomach 5 environment, either by protection of the active agent (or derivative) or by release of the active agent beyond the stomach environment, such as in the intestine. To ensure full gastric resistance an enteric coating (i.e., impermeable to at least pH 5.0) is essential. These coatings may be used as mixed films or as capsules such as those available from Banner Pharmacaps.

10 The devices can be formulated for local release to treat the area of implantation or injection and typically deliver a dosage that is much less than the dosage for treatment of an entire body. The devices can also be formulated for systemic delivery. These can be implanted or injected subcutaneously.

15 **3. Formulations for Enteral Administration**

Antagonists of PD-1 can also be formulated for oral delivery. Oral solid dosage forms are known to those skilled in the art. Solid dosage forms include tablets, capsules, pills, troches or lozenges, cachets, pellets, powders, or granules or incorporation of the material into particulate preparations of 20 polymeric compounds such as polylactic acid, polyglycolic acid, etc. or into liposomes. Such compositions may influence the physical state, stability, rate of *in vivo* release, and rate of *in vivo* clearance of the present proteins and derivatives. See, e.g., Remington's Pharmaceutical Sciences, 21st Ed. (2005, Lippincott, Williams & Wilkins, Baltimore, Md. 21201) pages 889- 25 964. The compositions may be prepared in liquid form, or may be in dried powder (e.g., lyophilized) form. Liposomal or polymeric encapsulation may be used to formulate the compositions. See also Marshall, K. In: Modern Pharmaceutics Edited by G. S. Bunker and C. T. Rhodes Chapter 10, 1979. In general, the formulation will include the active agent and inert ingredients 30 which protect the PD-1 antagonist in the stomach environment, and release of the biologically active material in the intestine.

Liquid dosage forms for oral administration, including pharmaceutically acceptable emulsions, solutions, suspensions, and syrups, may contain other components including inert diluents; adjuvants such as wetting agents, emulsifying and suspending agents; and sweetening, 5 flavoring, and perfuming agents.

#### **B. Vaccines Including PD-1 Receptor Antagonists**

Vaccines require strong T cell responses to eliminate cancer cells. PD-1 antagonists described herein can be administered as a component of a vaccine to prevent an inhibitory signal to T cells. Vaccines disclosed herein 10 include antigens, a source of PD-1 antagonist polypeptides and optionally adjuvants and targeting molecules. Sources of PD-1 antagonist polypeptides include any disclosed B7-DC, PD-L1, PD-1, or B7.1 polypeptides, fusion proteins thereof, variants thereof, nucleic acids encoding these polypeptides and fusion proteins, or variants thereof or host cells containing vectors that 15 express PD-1 antagonist polypeptides.

##### **1. Antigens**

Antigens can be peptides, proteins, polysaccharides, saccharides, lipids, nucleic acids, or combinations thereof. The antigen can be derived from a transformed cell such as a cancer or leukemic cell and can be a whole 20 cell or immunogenic component thereof. Suitable antigens are known in the art and are available from commercial government and scientific sources. The antigens can be purified or partially purified polypeptides derived from tumors or can be recombinant polypeptides produced by expressing DNA encoding the polypeptide antigen in a heterologous expression system. 25 The antigens can be DNA encoding all or part of an antigenic protein. The DNA may be in the form of vector DNA such as plasmid DNA.

Antigens may be provided as single antigens or may be provided in combination. Antigens may also be provided as complex mixtures of polypeptides or nucleic acids.

30 The antigen can be a tumor antigen, including a tumor-associated or tumor-specific antigen, such as, but not limited to, alpha-actinin-4, Bcr-Abl fusion protein, Casp-8, beta-catenin, cdc27, cdk4, cdkn2a, coa-1, dek-can

fusion protein, EF2, ETV6-AML1 fusion protein, LDLR-fucosyltransferaseAS fusion protein, HLA-A2, HLA-A11, hsp70-2, KIAAO205, Mart2, Mum-1, 2, and 3, neo-PAP, myosin class I, OS-9, pml-RAR $\alpha$  fusion protein, PTPRK, K-ras, N-ras, Triosephosphate isomeraser,

5 Bage-1, Gage 3,4,5,6,7, GnTV, Herv-K-mel, Lage-1, Mage-A1,2,3,4,6,10,12, Mage-C2, NA-88, NY-Eso-1/Lage-2, SP17, SSX-2, and TRP2-Int2, MelanA (MART-1), gp100 (Pmel 17), tyrosinase, TRP-1, TRP-2, MAGE-1, MAGE-3, BAGE, GAGE-1, GAGE-2, p15(58), CEA, RAGE, NY-ESO (LAGE), SCP-1, Hom/Mel-40, PRAME, p53, H-Ras, HER-2/neu,

10 BCR-ABL, E2A-PRL, H4-RET, IGH-IGK, MYL-RAR, Epstein Barr virus antigens, EBNA, human papillomavirus (HPV) antigens E6 and E7, TSP-180, MAGE-4, MAGE-5, MAGE-6, p185erbB2, p180erbB-3, c-met, nm-23H1, PSA, TAG-72-4, CA 19-9, CA 72-4, CAM 17.1, NuMa, K-ras,  $\beta$ -Catenin, CDK4, Mum-1, p16, TAGE, PSMA, PSCA, CT7, telomerase, 43-9F, 5T4, 791Tgp72,  $\alpha$ -fetoprotein, 13HCG, BCA225, BTAA, CA 125, CA 15-3 (CA 27.29\BCAA), CA 195, CA 242, CA-50, CAM43, CD68\KP1, CO-029, FGF-5, G250, Ga733 (EpCAM), HTgp-175, M344, MA-50, MG7-Ag, MOV18, NB\70K, NY-CO-1, RCAS1, SDCCAG16, TA-90 (Mac-2 binding protein\cyclophilin C-associated protein), TAAL6, TAG72, TLP, and TPS.

20

## 2. Adjuvants

Optionally, the vaccines described herein may include adjuvants. The adjuvant can be, but is not limited to, one or more of the following: oil emulsions (e.g., Freund's adjuvant); saponin formulations; virosomes and 25 viral-like particles; bacterial and microbial derivatives; immunostimulatory oligonucleotides; ADP-ribosylating toxins and detoxified derivatives; alum; BCG; mineral-containing compositions (e.g., mineral salts, such as aluminium salts and calcium salts, hydroxides, phosphates, sulfates, etc.); bioadhesives and/or mucoadhesives; microparticles; liposomes; 30 polyoxyethylene ether and polyoxyethylene ester formulations; polyphosphazene; muramyl peptides; imidazoquinolone compounds; and

surface active substances (e.g. lysolecithin, pluronic polyols, polyanions, peptides, oil emulsions, keyhole limpet hemocyanin, and dinitrophenol).

5 Adjuvants may also include immunomodulators such as cytokines, interleukins (e.g., IL-1, IL-2, IL-4, IL-5, IL-6, IL-7, IL-12, etc.), interferons (e.g., interferon-.gamma.), macrophage colony stimulating factor, and tumor necrosis factor. In addition to PD-1 antagonists, other co-stimulatory molecules, including other polypeptides of the B7 family, may be administered. Such proteinaceous adjuvants may be provided as the full-length polypeptide or an active fragment thereof, or in the form of DNA, 10 such as plasmid DNA.

#### IV. Methods of Use

##### A. Activation of T cells

15 PD-1 antagonists polypeptides and small molecules, variants thereof, fusion proteins thereof, nucleic acids encoding the PD-1 antagonist polypeptides and fusion proteins, or cells expressing the PD-1 antagonist polypeptides and fusions proteins can be used to prevent inactivation and/or prolong activation of T cells (i.e., increase antigen-specific proliferation of T cells, enhance cytokine production by T cells, stimulate differentiation and effector functions of T cells and/or promote T cell survival) or overcome T 20 cell exhaustion and/or anergy.

Preferred PD-1 antagonists include polypeptides that bind to endogenous PD-L1 or PD-L2 and reduce or inhibit PD-L1 and PD-L2 from interacting with the PD-1 receptor, such as PD-1 or B7-1 polypeptides. By reducing the interaction these ligands with PD-1, the negative signal 25 transmitted by PD-1 is prevented or reduced. In the presence of suboptimal TCR signals, exogenous PD-L2 or PD-L1 polypeptides can stimulate increased proliferation and production of cytokines *in vitro*. Thus, PD-L2 and PD-L1 appear to also bind to T cell receptors other than PD-1. PD-1 antagonists that bind to and block the PD-1 receptor without transmitting the 30 negative signal through PD-1 are also preferred. Examples of these antagonists include recombinant ligands of PD-1 such as PD-L2 and PD-L1 that do not trigger signal transduction with they bind to PD-1.

Methods for using PD-1 antagonist polypeptides include contacting a T cell with a PD-1 antagonist polypeptide in an amount effective to inhibit or reduce PD-1 signal transduction in the T cell. The contacting can be *in vitro*, *ex vivo*, or *in vivo* (e.g., in a mammal such as a mouse, rat, rabbit, dog, cow, 5 pig, non-human primate, or a human).

The contacting can occur before, during, or after activation of the T cell. Typically, contacting of the T cell with a PD-1 antagonist polypeptide can be at substantially the same time as activation. Activation can be, for example, by exposing the T cell to an antibody that binds to the T cell 10 receptor (TCR) or one of the polypeptides of the CD3 complex that is physically associated with the TCR. Alternatively, a T cell can be exposed to either an alloantigen (e.g., a MHC alloantigen) on, for example, an APC [e.g., an interdigitating dendritic cell (referred to herein as a dendritic cell), a 15 macrophage, a monocyte, or a B cell] or an antigenic peptide produced by processing of a protein antigen by any of the above APC and presented to the T cell by MHC molecules on the surface of the APC. The T cell can be a CD4<sup>+</sup> T cell or a CD8<sup>+</sup> T cell.

In some embodiments, the PD-1 antagonist polypeptide can be administered directly to a T cell. Alternatively, an APC such as a 20 macrophage, monocyte, interdigitating dendritic cell (referred to herein as a dendritic cell), or B cell can be transformed, transduced, or transfected with a nucleic acid containing a nucleotide sequence that encodes a PD-1 antagonist polypeptide, and the T cell can be contacted by the transformed, transduced, or transfected APC. The transformed, transduced, or transfected cell can be 25 a cell, or a progeny of a cell that, prior to being transformed, transduced, or transfected, can be obtained from the subject to which it is administered, or from another subject (e.g., another subject of the same species).

The PD-1 antagonist polypeptide can be any PD-1 antagonist polypeptide described herein, including any of the disclosed amino acid 30 alterations, polypeptide fragments, fusion proteins and combinations thereof.

If the activation is *in vitro*, the PD-1 antagonist polypeptide can be bound to the floor of a relevant culture vessel, or bead or other solid support, e.g. a well of a plastic microtiter plate.

*In vitro* application of the PD-1 antagonist polypeptide can be useful, 5 for example, in basic scientific studies of immune mechanisms or for production of activated T cells for use in studies of T cell function or, for example, passive immunotherapy. Furthermore, PD-1 antagonist polypeptides can be added to *in vitro* assays (e.g., T cell proliferation assays) designed to test for immunity to an antigen of interest in a subject from 10 which the T cells were obtained. Addition of PD-1 antagonist polypeptides to such assays would be expected to result in a more potent, and therefore more readily detectable, *in vitro* response. Moreover, PD-1 antagonist polypeptide, or an APC transformed, transfected, or transduced with a nucleic acid encoding such a polypeptide, can be used: (a) as a positive 15 control in an assay to test for T cell enhancing activity by other molecules; or (b) in screening assays for compounds useful in inhibiting T costimulation (e.g., compounds potentially useful for treating autoimmune diseases or organ graft rejection).

#### **B. Therapeutic Uses of PD-1 antagonists**

##### **1. Treatment of Cancer**

The PD-1 antagonists provided herein are generally useful *in vivo* and *ex vivo* as immune response-stimulating therapeutics. In general, the disclosed antagonist compositions are useful for treating a subject having or being predisposed to any disease or disorder to which the subject's immune 25 system mounts an immune response. The ability of PD-1 antagonists to inhibit or reduce PD-1 signal transaction enables a more robust immune response to be possible. The disclosed compositions are useful to stimulate or enhance immune responses involving T cells.

The disclosed PD-1 antagonists are useful for stimulating or 30 enhancing an immune response in host for treating cancer by administering to subject an amount of a PD-1 antagonist effective to costimulate T cells in the subject. The types of cancer that may be treated with the provided

compositions and methods include, but are not limited to, the following: bladder, brain, breast, cervical, colo-rectal, esophageal, kidney, liver, lung, nasopharyngeal, pancreatic, prostate, skin, stomach, uterine, ovarian, testicular and hematologic.

5        Malignant tumors which may be treated are classified herein according to the embryonic origin of the tissue from which the tumor is derived. Carcinomas are tumors arising from endodermal or ectodermal tissues such as skin or the epithelial lining of internal organs and glands. Sarcomas, which arise less frequently, are derived from mesodermal 10 connective tissues such as bone, fat, and cartilage. The leukemias and lymphomas are malignant tumors of hematopoietic cells of the bone marrow. Leukemias proliferate as single cells, whereas lymphomas tend to grow as tumor masses. Malignant tumors may show up at numerous organs or tissues of the body to establish a cancer.

15        **2. Use of PD-1 antagonists in Vaccines**

The disclosed PD-1 antagonists or nucleic acids encoding the same may be administered alone or in combination with any other suitable treatment. In one embodiment the PD-1 antagonists can be administered in conjunction with, or as a component of, a vaccine composition. Suitable 20 components of vaccine compositions are described above. The disclosed PD-1 antagonists can be administered prior to, concurrently with, or after the administration of a vaccine. In one embodiment the PD-1 antagonist composition is administered at the same time as administration of a vaccine.

The disclosed PD-1 antagonists compositions may be administered in conjunction with prophylactic vaccines, or therapeutic vaccines, which can be used to initiate or enhance a subject's immune response to a pre-existing antigen, such as a tumor antigen in a subject with cancer.

The desired outcome of a prophylactic, therapeutic or de-sensitized immune response may vary according to the disease, according to principles 30 well known in the art. Similarly, immune responses against cancer, allergens or infectious agents may completely treat a disease, may alleviate symptoms, or may be one facet in an overall therapeutic intervention against a disease.

For example, the stimulation of an immune response against a cancer may be coupled with surgical, chemotherapeutic, radiologic, hormonal and other immunologic approaches in order to affect treatment.

### 3.      **Adjvant Therapy**

5           The disclosed PD-1 antagonists or nucleic acids encoding the same may be used to overcome tolerance to antigens, and thereby treat cancer. Appropriate targeting of co-signaling pathways can lead to activation of T cells and overcome tolerance to tumor antigens. One embodiment provides administering an effective amount of a PD-1 antagonists or nucleic acids  
10          encoding the same to overcome antigen tolerance. Inhibition or reduction of PD-1 negative signaling can also amplify T cell responses and overall immunity following administration of a first therapeutic agent or a response to a poorly immunogenic antigen such as a tumor associated antigen. One embodiment provides passive administration of PD-1 antagonists or nucleic acids  
15          encoding the same following primary treatment, vaccination, or killing of the tumor (antibody-mediated, with chemotherapy or radiation or any combination thereof). The PD-1 antagonists are believed to enhance/boost the primary response resulting in a robust and long-lasting protective response to the tumor.  
20          Treatment that is administered in addition to a first therapeutic agent to eradicate tumors is referred to as adjuvant therapy. Adjuvant treatment is given to augment the primary treatment, such as surgery or radiation, to decrease the chance that the cancer will recur. This additional treatment can result in an amplification of the primary response as evidenced by a more potent and/or prolonged response.  
25

There are five main types of adjuvant therapy (note that some of these are also used as primary/monotherapy as well): 1.) Chemotherapy that uses drugs to kill cancer cells, either by preventing them from multiplying or by causing the cells to self-destruct., 2.) Hormone therapy  
30          to reduce hormone production and prevent the cancer from growing, 3.) Radiation therapy that uses high-powered rays to kill cancer cells, 4.) Immunotherapy that attempts to influence the body's own immune system to

attack and eradicate any remaining cancer cells. Immunotherapy can either stimulate the body's own defenses (cancer vaccines) or supplement them (passive administration of antibodies or immune cells), or 5.) Targeted therapy that targets specific molecules present within cancer cells, leaving 5 normal, healthy cells alone. For example, many cases of breast cancer are caused by tumors that produce too much of a protein called HER2. Trastuzumab (Herceptin) is used as adjuvant therapy that targets HER2 positive tumors.

Typically adjuvant treatments are co-administered or given in 10 conjunction with primary treatments to induce multiple mechanisms and increase the chances of eradicating the tumor. Immunotherapy, and vaccines in particular, offer the unique advantages of inducing a sustained antitumor effect with exquisite specificity and with the ability to circumvent existing immune tolerance. It has been discovered that delaying "adjuvant therapy" 15 maximizes the response and increases the chances of eradicating tumors.

In a preferred embodiment, PD-1 antagonists or nucleic acids encoding the same, as described herein, are administered following administration of a first therapeutic agent such as a cancer therapeutic agent. The timing of the administration of the adjuvant can range from day 0 to day 20 14 after the primary treatment and can include single or multiple treatments. In certain embodiments, the PD-1 antagonist is administered 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, or 14 days after administration of the primary treatment. The adjuvant is preferably administered systemically to the patient (IV, IM or SQ).

25 The choice of PD-1 antagonist for use to enhance the immune response may depend on the original mode of primary treatment. For example, the same PD-1 antagonist used in conjunction with chemotherapy may not work well with radiation treatment. Therefore specific combinations of therapeutics and PD-1 antagonist molecules may be required for optimum 30 efficacy. The PD-1 antagonists may be optimized for the type of cancer, for example solid versus liquid tumor for example using affinity maturation.

PD-1 antagonists and nucleic acids encoding the same may be useful in the induction or enhancement of an immune response to tumors. For example cells can be engineered to carry a nucleic acid encoding a PD-1 antagonist as described herein, and then administered to a subject to traverse 5 tumor-specific tolerance in the subject. Notably, ectopic expression of B7-1 in B7 negative murine tumor cells has been shown to induce T-cell mediated specific immunity accompanied by tumor rejection and prolonged protection to tumor challenge in mice. Cell gene therapy treatments utilizing B7-related factors may be modeled on animal experiments (see K. Dunussi- 10 Joannopoulos et al., *J. Pediatr. Hematol. Oncol.* 19:356-340 (1997); K. Hiroishi et al., *Gene Ther.* 6:1988-1994 (1999); B. K. Martin et al., *J. Immunol.* 162:6663-6670 (1999); M. Kuiper et al., *Adv. Exp. Med. Biol.* 465:381-390(2000)), or human phase I trial experiments (H. L. Kaufman et al. *Hum. Gene Ther.* 11:1065-1082 (2000)), which use B7-1 or B7-2 for gene 15 transfer therapy.

Administration is not limited to the treatment of an existing tumor or infectious disease but can also be used to prevent or lower the risk of developing such diseases in an individual, i.e., for prophylactic use. Potential candidates for prophylactic vaccination include individuals with a 20 high risk of developing cancer, i.e., with a personal or familial history of certain types of cancer.

Another embodiment provides a method for increasing the population of tumor infiltrating leukocytes in a subject by administering to the subject an effective amount of PD-1 antagonists or nucleic acids encoding the same 25 to enhance activation of the subject's T cells.

### C. Combination Therapies

The disclosed PD-1 antagonist compositions can be administered to a subject in need thereof alone or in combination with one or more additional therapeutic agents or combinations of the recited PD-1 antagonists. The 30 additional therapeutic agents are selected based on the condition, disorder or disease to be treated. For example, PD-1 antagonists can be co-administered

with one or more additional agents that function to enhance or promote an immune response.

### 1. Chemotherapeutic Agents

The PD-1 antagonist can also be combined with one or more additional therapeutic agents. Representative therapeutic agents include, but are not limited to chemotherapeutic agents and pro-apoptotic agents. Representative chemotherapeutic agents include, but are not limited to amsacrine, bleomycin, busulfan, capecitabine, carboplatin, carmustine, chlorambucil, cisplatin, cladribine, clofarabine, crisantaspase, cyclophosphamide, cytarabine, dacarbazine, dactinomycin, daunorubicin, docetaxel, doxorubicin, epirubicin, etoposide, fludarabine, fluorouracil, gemcitabine, hydroxycarbamide, idarubicin, ifosfamide, irinotecan, leucovorin, liposomal doxorubicin, liposomal daunorubicin, lomustine, melphalan, mercaptopurine, mesna, methotrexate, mitomycin, mitoxantrone, oxaliplatin, paclitaxel, pemetrexed, pentostatin, procarbazine, raltitrexed, satraplatin, streptozocin, tegafur-uracil, temozolomide, teniposide, thioguanine, topotecan, treosulfan, vinblastine, vincristine, vindesine, vinorelbine, or a combination thereof. Representative pro-apoptotic agents include, but are not limited to fludarabinetaurosporine, cycloheximide, actinomycin D, lactosylceramide, 15d-PGJ(2) and combinations thereof.

In certain embodiments, more than one PD-1 antagonist can be used in combination to increase or enhance an immune response in a subject.

### 2. Fusion Proteins that enhance immune responses

In other embodiments, the PD-1 antagonist may be co-administered with compositions containing other B7 family costimulatory molecules that enhance an immune response. The other B7 costimulatory polypeptide may be of any species of origin. In one embodiment, the costimulatory polypeptide is from a mammalian species. In a preferred embodiment, the costimulatory polypeptide is of murine or human origin. In one embodiment, the polypeptide is B7.1. Useful additional human B7 polypeptides have at least about 80, 85, 90, 95 or 100% sequence identity to the B7-2 polypeptide encoded by the nucleic acid having GenBank

Accession Number U04343 or; the B7-H5 polypeptide encoded by the nucleic acid having GenBank Accession Number NP\_071436. B7-H5 is also disclosed in PCT Publication No. WO 2006/012232.

5 In a preferred embodiment, the additional B7 family molecules are provided as soluble fusion proteins as described herein. Soluble fusion proteins of B7 molecules that form dimers or multimers and have the ability to crosslink their cognate receptors and thereby function as receptor agonists.

10 In one embodiment, the first fusion partner is a fragment of a B7 family molecule, including, but not limited to B7-1, B7-2, or B7-H5. As used herein, a fragment of B7 molecule refers to any subset of the polypeptide that is a shorter polypeptide of the full length protein. Useful fragments are those that retain the ability to bind to their natural ligands. A B7 polypeptide that is a fragment of full-length B7 molecule typically has at least 20 percent, 30 percent, 40 percent, 50 percent, 60 percent, 70 percent, 15 80 percent, 90 percent, 95 percent, 98 percent, 99 percent, 100 percent, or even more than 100 percent of the ability to bind its natural ligand(s) as compared to full-length B7 molecules.

20 Fragments of B7 polypeptides include soluble fragments. Soluble B7 polypeptide fragments are fragments of B7 polypeptides that may be shed, secreted or otherwise extracted from the producing cells. Soluble fragments of B7 polypeptides include some or all of the extracellular domain of the receptor polypeptide, and lack some or all of the intracellular and/or transmembrane domains. In one embodiment, B7 polypeptide fragments include the entire extracellular domain of the B7 polypeptide. In other 25 embodiments, the soluble fragments of B7 polypeptides include fragments of the extracellular domain that retain B7 biological activity. It will be appreciated that the extracellular domain can include 1, 2, 3, 4, or 5 amino acids from the transmembrane domain. Alternatively, the extracellular domain can have 1, 2, 3, 4, or 5 amino acids removed from the C-terminus, 30 N-terminus, or both.

Generally, the B7 polypeptides or fragments thereof are expressed from nucleic acids that include sequences that encode a signal sequence. The

signal sequence is generally cleaved from the immature polypeptide to produce the mature polypeptide lacking the signal sequence. It will be appreciated that the signal sequence of B7 polypeptides can be replaced by the signal sequence of another polypeptide using standard molecule biology 5 techniques to affect the expression levels, secretion, solubility, or other property of the polypeptide. The signal sequence that is used to replace the signal sequence can be any known in the art.

B7 molecule fusion polypeptides include variant polypeptides that are mutated to contain a deletion, substitution, insertion, or rearrangement of one 10 or more amino acids relative to the wild-type polypeptide sequence. Useful variant B7 fusion proteins are those that retain the ability to bind to receptor polypeptides. Variant B7 fusion polypeptides typically have at least 20 percent, 30 percent, 40 percent, 50 percent, 60 percent, 70 percent, 80 percent, 90 percent, 95 percent, 98 percent, 99 percent, 100 percent, or even 15 more than 100 percent of the ability to bind to B7 receptor polypeptides as compared to full-length B7 molecules.

Variant B7-H5 fusion polypeptides can have any combination of amino acid substitutions, deletions or insertions. Variant polypeptides may contain one or more amino acid deletions, substitutions, insertions, or 20 rearrangements within either or all of the first fusion partner, the second polypeptide, and/or the optional linker peptide sequence.

#### **D. Combination Therapies**

The PD-1 antagonist compositions can be administered to a subject in need thereof alone or in combination with one or more additional therapeutic 25 agents. The additional therapeutic agents are selected based on the condition, disorder or disease to be treated. For example, aPD-1 antagonist can be co-administered with one or more additional agents that function to enhance or promote an immune response.

#### **E. Adoptive Transfer**

30 Adoptive T-cell therapy is a promising strategy for the treatment of patients with established tumors but is often limited to specific cancers where tumor-infiltrating lymphocytes, the source of T cells for *ex vivo* culture, can

be obtained. One embodiment provides a method for treating cancer by administering an effective amount of an antagonist for PD-1 to inhibit or reduce PD-1 receptor mediated signal transduction in a tumor cell in combination with adoptive T-cell therapy of antigen specific T cells. The 5 adoptive T-cell transfer can be administered to the subject prior to or following administration of the antagonist of PD-1 or added to the cells *ex vivo*.

Antigen-specific T-cell lines can be generated by *in vitro* stimulation with antigen followed by nonspecific expansion on CD3/CD28 beads. The 10 ability to expand antigen-specific T cells can be assessed using IFN-gamma and granzyme B enzyme-linked immunosorbent spot. The phenotype of the resultant T-cell lines can be evaluated by flow cytometry, including the presence of FOXP3-expressing CD4(+) T cells. Amplification of antigen-specific T cell populations from Peripheral Blood Mononuclear Cells 15 (PBMCs) is usually performed through repeated in-vitro stimulation with optimal length antigenic peptides in the presence of IL-2. Low doses of IL-2 (between 10 and 50 U/ml) have been used traditionally to avoid the activation/expansion of lymphokine-activated killer cells, as revealed in chromium release assays that were commonly employed to monitor specific 20 T cell expansion. Concentrations of antigenic peptides can be 0.1–10 µM.

### **1. Tumor-specific and Tumor-associated Antigens**

Antigens useful for expanding T cells can be obtained from biopsies of tumors from the subject to be treated. The antigens can be biochemically purified from the tumor biopsy. Alternatively, the antigens can be 25 recombinant polypeptides. The antigen expressed by the tumor may be specific to the tumor, or may be expressed at a higher level on the tumor cells as compared to non-tumor cells. Antigenic markers such as serologically defined markers known as tumor associated antigens, which are either uniquely expressed by cancer cells or are present at markedly higher 30 levels (e.g., elevated in a statistically significant manner) in subjects having a malignant condition relative to appropriate controls, are contemplated for use in certain embodiments.

Tumor-associated antigens may include, for example, cellular oncogene-encoded products or aberrantly expressed proto-oncogene-encoded products (e.g., products encoded by the neu, ras, trk, and kit genes), or mutated forms of growth factor receptor or receptor-like cell surface molecules (e.g., surface receptor encoded by the c-erb B gene). Other tumor-associated antigens include molecules that may be directly involved in transformation events, or molecules that may not be directly involved in oncogenic transformation events but are expressed by tumor cells (e.g., carcinoembryonic antigen, CA-125, melanoma associated antigens, etc.)

5 (see, e.g., U.S. Pat. No. 6,699,475; Jager, et al., *Int. J. Cancer*, 106:817-20 (2003); Kennedy, et al., *Int. Rev. Immunol.*, 22:141-72 (2003); Scanlan, et al. *Cancer Immun.*, 4:1 (2004)).

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Genes that encode cellular tumor associated antigens include cellular oncogenes and proto-oncogenes that are aberrantly expressed. In general, 15 cellular oncogenes encode products that are directly relevant to the transformation of the cell, and because of this, these antigens are particularly preferred targets for immunotherapy. An example is the tumorigenic neu gene that encodes a cell surface molecule involved in oncogenic transformation. Other examples include the ras, kit, and trk genes. The 20 products of proto-oncogenes (the normal genes which are mutated to form oncogenes) may be aberrantly expressed (e.g., overexpressed), and this aberrant expression can be related to cellular transformation. Thus, the product encoded by proto-oncogenes can be targeted. Some oncogenes encode growth factor receptor molecules or growth factor receptor-like 25 molecules that are expressed on the tumor cell surface. An example is the cell surface receptor encoded by the c-erbB gene. Other tumor-associated antigens may or may not be directly involved in malignant transformation. These antigens, however, are expressed by certain tumor cells and may 30 therefore provide effective targets. Some examples are carcinoembryonic antigen (CEA), CA 125 (associated with ovarian carcinoma), and melanoma specific antigens.

In ovarian and other carcinomas, for example, tumor associated antigens are detectable in samples of readily obtained biological fluids such as serum or mucosal secretions. One such marker is CA125, a carcinoma associated antigen that is also shed into the bloodstream, where it is

5 detectable in serum (e.g., Bast, et al., *N. Eng. J. Med.*, 309:883 (1983); Lloyd, et al., *Int. J. Canc.*, 71:842 (1997). CA125 levels in serum and other biological fluids have been measured along with levels of other markers, for example, carcinoembryonic antigen (CEA), squamous cell carcinoma antigen (SCC), tissue polypeptide specific antigen (TPS), sialyl TN mucin (STN),

10 and placental alkaline phosphatase (PLAP), in efforts to provide diagnostic and/or prognostic profiles of ovarian and other carcinomas (e.g., Sarandakou, et al., *Acta Oncol.*, 36:755 (1997); Sarandakou, et al., *Eur. J. Gynaecol. Oncol.*, 19:73 (1998); Meier, et al., *Anticancer Res.*, 17(4B):2945 (1997); Kudoh, et al., *Gynecol. Obstet. Invest.*, 47:52 (1999)). Elevated serum

15 CA125 may also accompany neuroblastoma (e.g., Hirokawa, et al., *Surg. Today*, 28:349 (1998), while elevated CEA and SCC, among others, may accompany colorectal cancer (Gebauer, et al., *Anticancer Res.*, 17(4B):2939 (1997)).

The tumor associated antigen, mesothelin, defined by reactivity with

20 monoclonal antibody K-1, is present on a majority of squamous cell carcinomas including epithelial ovarian, cervical, and esophageal tumors, and on mesotheliomas (Chang, et al., *Cancer Res.*, 52:181 (1992); Chang, et al., *Int. J. Cancer*, 50:373 (1992); Chang, et al., *Int. J. Cancer*, 51:548 (1992); Chang, et al., *Proc. Natl. Acad. Sci. USA*, 93:136 (1996);

25 Chowdhury, et al., *Proc. Natl. Acad. Sci. USA*, 95:669 (1998)). Using MAb K-1, mesothelin is detectable only as a cell-associated tumor marker and has not been found in soluble form in serum from ovarian cancer patients, or in medium conditioned by OVCAR-3 cells (Chang, et al., *Int. J. Cancer*, 50:373 (1992)). Structurally related human mesothelin polypeptides,

30 however, also include tumor-associated antigen polypeptides such as the distinct mesothelin related antigen (MRA) polypeptide, which is detectable

as a naturally occurring soluble antigen in biological fluids from patients having malignancies.

A tumor antigen may include a cell surface molecule. Tumor antigens of known structure and having a known or described function (see 5 above).

## 2. Antigens Associated With Tumor Neovasculature

Protein therapeutics can be ineffective in treating tumors because they are inefficient at tumor penetration. Tumor-associated neovasculature provides a readily accessible route through which protein therapeutics can 10 access the tumor. In another embodiment the fusion proteins contain a domain that specifically binds to an antigen that is expressed by neovasculature associated with a tumor.

The antigen may be specific to tumor neovasculature or may be expressed at a higher level in tumor neovasculature when compared to 15 normal vasculature. Exemplary antigens that are over-expressed by tumor-associated neovasculature as compared to normal vasculature include, but are not limited to, VEGF/KDR, Tie2, vascular cell adhesion molecule (VCAM), endoglin and  $\alpha_5\beta_3$  integrin/vitronectin. Other antigens that are over-expressed by tumor-associated neovasculature as compared to normal 20 vasculature are known to those of skill in the art and are suitable for targeting by the disclosed fusion proteins.

## Examples

The present invention may be further understood by reference to the following non-limiting examples.

### 25 Example 1: B7-DC binding to PD-1

PD-1 binding activity of human B7-DC-Ig was assessed by ELISA. 96-well ELISA plates were coated with 100  $\mu$ L 0.75 ug/mL recombinant 30 human PD-1/Fc (R&D Systems) diluted in BupH Carbonate/Bicarbonate pH 9.4 buffer (Pierce) for 2 hours and then blocked with BSA solution (Jackson ImmunoResearch) for 90-120 minutes. Serially diluted human B7-DC-Ig as well as human IgG1 isotype control were allowed to bind for 90 minutes. Bound B7-DC-Ig was detected using 100 uL of 0.5 ug/mL biotin conjugated

anti-human B7-DC clone MIH18 (eBioscience) followed by 1:1000 diluted HRP-Streptavidin (BD Bioscience) and TMB substrate (BioFX).

Absorbance at 450 nm was read using a plate reader (Molecular Devices) and data were analyzed in SoftMax using a 4-parameter logistic fit.

5 PD-1 binding activity of murine B7-DC-Ig was assessed by ELISA. 96-well ELISA plates were coated with 100  $\mu$ L 0.75 ug/mL recombinant mouse PD-1/Fc (R&D Systems) diluted in BupH Carbonate/Bicarbonate pH 9.4 buffer (Pierce) for 2 hours and then blocked with BSA solution (Candor-Bioscience) for 90 minutes. Serially diluted murine B7-DC-Ig (wild type, as  
10 well as D111S and K113S mutants that were selected for reduced binding to PD-1) as well as murine IgG2a isotype control were allowed to bind for 90 minutes. Bound B7-DC-Ig was detected using 100  $\mu$ L of 0.25 ug/mL biotin conjugated anti-mouse B7-DC clone 112 (eBioscience) followed by 1:2000 diluted HRP-Streptavidin (BD Bioscience) and TMB substrate (BioFX).  
15 Absorbance at 450 nm was read using a plate reader (Molecular Devices) and data were analyzed in SoftMax using a 4-parameter logistic fit.

Figures 1A and 1B show line graphs of OD450 versus amount of B7-DC-Ig (ug/ml) in a PD-1 binding ELISA. Figure 4A 1A shows binding of four different lots of human B7-DC-Ig. Figure 4B 1B shows binding of wild type murine B7-DC-Ig (circle), the DS mutant (B7-DC-Ig with the D111S substitution; triangle) and KS mutant (B7-DC-Ig with the K113S substitution; square), and murine IgG2a isotype control (diamond).  
20

#### **Example 2: B7-DC binding to PD-1 expressing CHO cells**

B7-DC-Ig was first conjugated with allophycocyanin (APC) and then  
25 incubated at various concentrations with a CHO cell line constitutively expressing PD-1 or parent CHO cells that do not express PD-1. Binding was analyzed by flow cytometry. Figure 2 shows the median fluorescence intensity (MFI) of B7-DC-Ig-APC (y-axis) as a function of the concentration of probe (x-axis). B7-DC-Ig-APC binds to CHO.PD-1 cells (solid circle) but  
30 not untransfected CHO cells (gray triangle).

#### **Example 3: B7-DC-Ig competes with B7-H1 for binding to PD-1**

B7-H1-Ig was first conjugated with allophycocyanin (APC).

Unlabeled B7-DC-Ig at various concentrations was first incubated with a CHO cell line constitutively expressing PD-1 before adding B7-H1-Ig-APC to the probe and cell mixture. Figure 3 shows the median fluorescence intensity (MFI) of B7-H1-Ig-APC (y-axis) as a function of the concentration of unlabeled B7-DC-Ig competitor (x-axis) added. As the concentration of unlabeled B7-DC-Ig is increased the amount of B7-H1-Ig-APC bound to CHO cells decreases, demonstrating that B7-DC-Ig competes with B7-H1 for binding to PD-1.

10 **Example 4: P815 Mastocytoma Model**

The *in vivo* activity of murine B7-DC-Ig was tested in the P815 mastocytoma tumor model. P815 mastocytoma cells were derived from DBA/2 mice after methylcholanthrene (MCA) treatment. Injection of  $5 \times 10^4$  cells SC can result in mortality approximately 35 days post tumor inoculation.

DBA/2 mice (6 – 10 weeks of age, females) were first challenged with  $5 \times 10^4$  live P815 cells injected SC in the flank. Six days later, the mice were treated with murine B7-DC-Ig via IP injection. The dosing regimen, shown in Figure 4, was 100  $\mu$ g of murine B7-DC-Ig per injection (approximately 5 mg/kg), 2 times per week, up to 6 doses. Control groups were treated with vehicle only or with murine IgG. Tumor size was measured with digital calipers every 2 – 3 days. Mice were euthanized and defined as dead when their tumor size reached or exceeded 1000  $\text{mm}^3$ , according to protocols approved by the Institutional Animal Care and Use Committee (IACUC) of the American Red Cross (ARC; the site of Amplimmune's vivarium). Surviving tumor free mice were re-challenged with P815 tumor cells on Day 52.

As shown in Table 1 and Figure 4, all of the mice treated with vehicle or control mouse IgG required euthanasia by Day 38 because their tumor volumes reached the IACUC limit. Four of 5 murine B7-DC-Ig treated mice responded to treatment: tumor was eradicated in two mice and two additional mice showed delayed tumor growth during murine B7-DC-Ig treatment.

Table 1. P815 tumor model results.

Group	Treatment	# Tumor free	# Tumor < 500 mm <sup>3</sup>	# Tumor ≥ 500 mm <sup>3</sup>
A	Vehicle control	0	0	5
B	Mouse IgG control	0	0	5
C	Murine B7-DC-Ig (5 mg/kg IP biw 3 weeks starting Day 6)	2	2	1

Figures 5A-C show tumor eradication in mice using murine B7-DC-Ig. The tumor-free mice were then re-challenged with  $5 \times 10^4$  P815 cells administered to the flank opposite the primary inoculation site on Day 52. The mice remained tumor free through 74 days after the primary inoculation, while all naïve mice challenged with P815 cells developed tumors. This suggests that mice inoculated with P815 cells and treated with murine B7-DC-Ig developed long-term immunity against P815 mastocytoma.

10 Rationale of the CTX + B7-DC-Ig Regimen

Murine B7-DC-Ig alone is effective in the P815 model, which is considered relatively immunogenic, but shows minimal activity against more aggressive, less immunogenic tumor types. We expect that it will also be difficult to promote an effective anti-tumor immune response in human

15 cancer patients.

To improve the activity of B7-DC-Ig and its murine analog, CTX was incorporated in the treatment regimen based on studies demonstrating that a low dose of CTX can safely and effectively augment the activity of cancer immunotherapies. Doses of 100 – 300 mg/m<sup>2</sup> in human or 20-200 mg/kg in

20 mouse are typically used. These doses are sub-therapeutic and do not have direct anti-tumor activity.

In cancer patients and in murine syngeneic and genetic models of cancer, low doses of CTX lead to selective depletion of Treg. Treg are relatively abundant in the tumor microenvironment and play a major role in

25 suppressing anti-tumor immune responses. Administration of CTX prior to treatment with an antigenic stimulus, vaccine, or cytokine, promotes a more

functional anti-tumor immune response leading to enhanced tumor eradication. A number of clinical trials of low-dose CTX administered as a single agent or in combination with cancer vaccines or cytokines were extremely well tolerated and showed evidence of immune enhancement as well as clinical efficacy.

5 Based on the extensive experience and safety using low-dose CTX, its characterization as an immunopotentiator, as well as data generated at Amplimmune, B7-DC-Ig should be administered in a standard regimen consisting of CTX administration followed by B7-DC-Ig administration. A 10 dose of 100 mg/kg was used in animal studies. CTX is delivered 24 hours before B7-DC-Ig or murine B7-DC-Ig treatment is initiated. Alternate dosing regimens such as metronomic CTX can be used.

#### **Example 6: CT26 Tumor Model**

15 Mouse colorectal tumor cell line, CT26, was obtained from ATCC. A master cell bank at Passage 4 was generated following ATCC guidelines. Cells were tested and confirmed no mycoplasma and other pathogen contamination.

One vial of tumor cells was thawed from the cryopreserved stocks and grown for two passages prior to inoculation.

20 CT26 cells were split at 1:5 dilution with 30 mL complete medium (RPMI + 10% FBS, 2 mM L-Glu, and 1x P/S) for two days culture or at 1:10 dilution with 30 ml complete medium for 3 days culture.

25 CT26 cells were harvested by aspirating medium, rinsing the flask with 5 mL PBS, adding 5 mL trypsin, incubating at 37 °C for 2 min, and then neutralizing with 10 mL complete medium. After centrifuge at 600 x g (~1000 rpm) for 5 min, media was sspirated and the cell pellet was resuspended by pipetting with 10 ml plain RPMI. This wash step was repeated for three times.

30 Cell number and viability of the inoculated cells were analyzed by trypan blue dye staining with proper dilution (e.g. 1:5 dilution, 10 µL cells + 40 µL trypan blue) and confirmed by NOVA cell count during the last wash step.

Cell viability generally was greater than 95% for inoculation.

CT26 cells were diluted to  $6.7 \times 10^5$  cells/mL for initial inoculation with plain RPMI and stored on ice. Typically each mouse was inoculated with 150  $\mu$ L ( $1 \times 10^5$  cells).

On Day 9, all the tumor-bearing mice were first grouped into a rat cage and 5 randomly divided the mice to experimental groups. CTX solution was reconstituted by 1x PBS to 4 mg/mL. Mice were intraperitoneally (IP) injected with 0.5 mL of CTX solution resulting in 2 mg for a 20 gram mouse, i.e. 100 mg/kg.

On Day 10, mice were IP injected with 0.5 mL of B7-DC-Ig (0.2 mg/mL) 10 resulting in 0.1 mg for a 20 gram mouse, i.e. 5 mg/kg. The same dose was given 2 time a week for 4 weeks, total 8 doses. Tumor growth were monitored by measuring the tumor twice weekly, starting on the day when giving B7-DC-Ig via a digital caliper. Tumor volume was calculated as following:

15 Tumor volume =  $\pi(D_{short})^2 \times (D_{long})/6 = \sim 0.52 \times (D_{short})^2 \times (D_{long})$   
Mice were euthanized and taken off the study if the tumor volume reached 2000 mm<sup>3</sup> or if there were skin ulcers and infections at the tumor inoculation site.

20 **Example 5: Combination of cyclophosphamide and B7-DC-Ig can eradicate established tumors**

Balb/C mice at age of 9 to 11 weeks were implanted subcutaneously with  $1.0 \times 10^5$  CT26 colorectal tumor cells as described above. On day 10 post tumor implantation, mice received 100 mg/kg of cyclophosphamide. B7-DC-Ig treatment started 1 day later, on day 11. Mice were treated with 25 100 ug of B7-DC-Ig, 2 doses per week, for 4 weeks and total 8 doses. 75% of the mice that received the CTX + B7-DC-Ig treatment regimen eradicated the established tumors by Day 44, whereas all mice in the control CTX alone group died as a result of tumor growth or were euthanized because tumors exceeded the sizes approved by IACUC (results shown in Figure 6). These 30 results demonstrate the effectiveness of the treatment regimen on established tumors and not mere prophylaxis.

**Example 6: Combination of cyclophosphamide and B7-DC-Ig can eradicate established tumors and protect against tumor re-challenge**

Mice eradicated established CT26 colorectal tumors from the above described experiment were rechallenged with  $1 \times 10^5$  CT26 cells on Day 44 and Day 70. No tumors grew out from the rechallenge suggesting they had developed long term anti-tumor immunity from the cyclophosphamide and B7-DC-Ig combination treatment. All mice in the vehicle control group developed tumors (results shown in Figure 7). These results show the effectiveness of the treatment regimen on established tumors and that the cyclophosphamide and B7-DCIg combination treatment resulted in memory responses to tumor antigens.

**Example 7: Combination of cyclophosphamide and B7-DC-Ig can generate tumor specific, memory cytotoxic T lymphocytes**

Mice eradicated established CT26 colorectal tumors from the above described experiment were rechallenged with  $2.5 \times 10^5$  CT26 cells on Day 44. Seven days later, mouse spleens were isolated. Mouse splenocytes were pulsed with 5 or 50  $\mu$ g/mL of ovalbumin (OVA) or AHI peptides for 6 hours in the presence of a Golgi blocker (BD BioScience). Memory T effector cells were analyzed by assessing CD8+/IFN $\gamma$ + T cells. Results in Figure 8 show that there were significant amount of CT26 specific T effector cells in the CT26 tumor-eradicated mice.

Unless defined otherwise, all technical and scientific terms used herein have the same meanings as commonly understood by one of skill in the art to which the disclosed invention belongs.

Those skilled in the art will recognize, or be able to ascertain using no more than routine experimentation, many equivalents to the specific embodiments of the invention described herein. Such equivalents are intended to be encompassed by the following claims.

Throughout this specification and the claims which follow, unless the context requires otherwise, the word "comprise", and variations such as "comprises" and "comprising", will be understood to imply the inclusion of a stated integer or step or group of integers or steps but not the exclusion of any other integer or step or group of integers or steps.

- 90 -

The reference in this specification to any prior publication (or information derived from it), or to any matter which is known, is not, and should not be taken as an acknowledgment or admission or any form of suggestion that that prior publication (or information derived from it) or known matter forms part of the common general knowledge in the field of endeavour to which this specification relates.

2009288289 05 Oct 2012

THE CLAIMS COMPRISING THE INVENTION ARE AS FOLLOWS:

1. A method of modulating an immune response in a human comprising administering an effective amount of a pharmaceutical composition comprising a polypeptide comprising the amino acid set forth in SEQ ID NO:57 at a dose between 5 mg/kg and 20 mg/kg to said human to induce, augment, or enhance an immune response against a tumor.
2. The method of claim 1, wherein the polypeptide inhibits or reduces binding of endogenous PD-L1 to PD-1.
3. The method of claim 1 or claim 2, wherein the polypeptide inhibits or reduces binding of endogenous PD-L2 to PD-1.
4. The method of any one of claims 1 to 3, wherein the polypeptide binds to PD-1.
5. The method of any one of claims 1 to 4, wherein the polypeptide binds to PD-1 or a ligand thereof for three months or less after *in vivo* administration.
6. The method of any one of claims 1 to 5, wherein more than one PD-1 antagonist is administered.
7. The method of any one of claims 1 to 6, wherein the tumor is a cancer selected from the group consisting of: bladder, brain, breast, cervical, colorectal, esophageal, kidney, liver, lung, nasopharangeal, pancreatic, prostate, skin, stomach, uterine, ovarian, testicular and hematologic.
8. The method of any one of claims 1 to 7, further comprising administering a tumor antigen in combination with the polypeptide to enhance an immune response against the tumor.
9. The method of any one of claims 1 to 8, wherein the polypeptide consists of an amino acid sequence according to SEQ ID NO:57.
10. The method of any one of claims 1 to 9, further comprising administering with the polypeptide an additional active agent selected from the group consisting of immunomodulators, agents that deplete or inhibit the function of Tregs, and costimulatory molecules.
11. The method of claim 10, wherein the additional active agent is an agent that

depletes or inhibits the function of CD4+CD25+ Tregs.

12. The method of claim 11, wherein the agent that depletes or inhibits the function of CD4+CD25+ Tregs is cyclophosphamide.

13. The method of any one of claims 1 to 12, wherein the method enhances antigen presenting cell function; and the method comprises contacting AFCs with the polypeptide comprising the amino acid set forth in SEQ ID NO:57 in an amount effective to inhibit, reduce, or block PD-1 signal transduction in the APCs or enhance clearance of disease.

14. The method of claim 13, wherein said cyclophosphamide is administered to said human at a dose of between 100-300 mg/m<sup>2</sup>.

15. The method of any one of claims 1 to 14, wherein said pharmaceutical composition comprising said polypeptide is administered to said human twice a week.

16. The method of any one of claims 1 to 15, substantially as hereinbefore described.

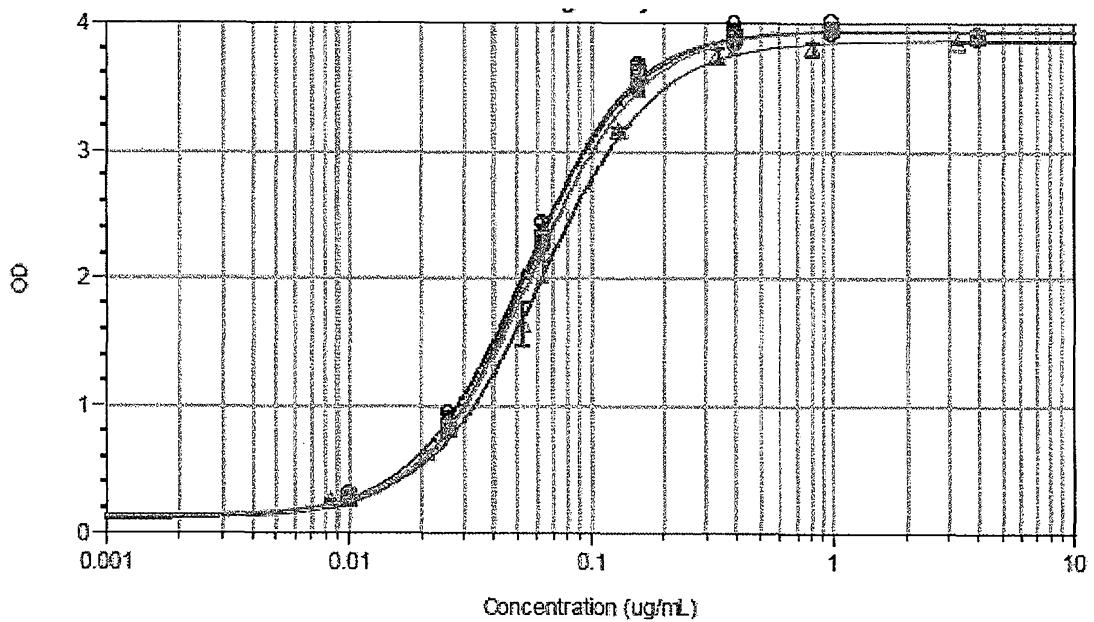


Figure 1A

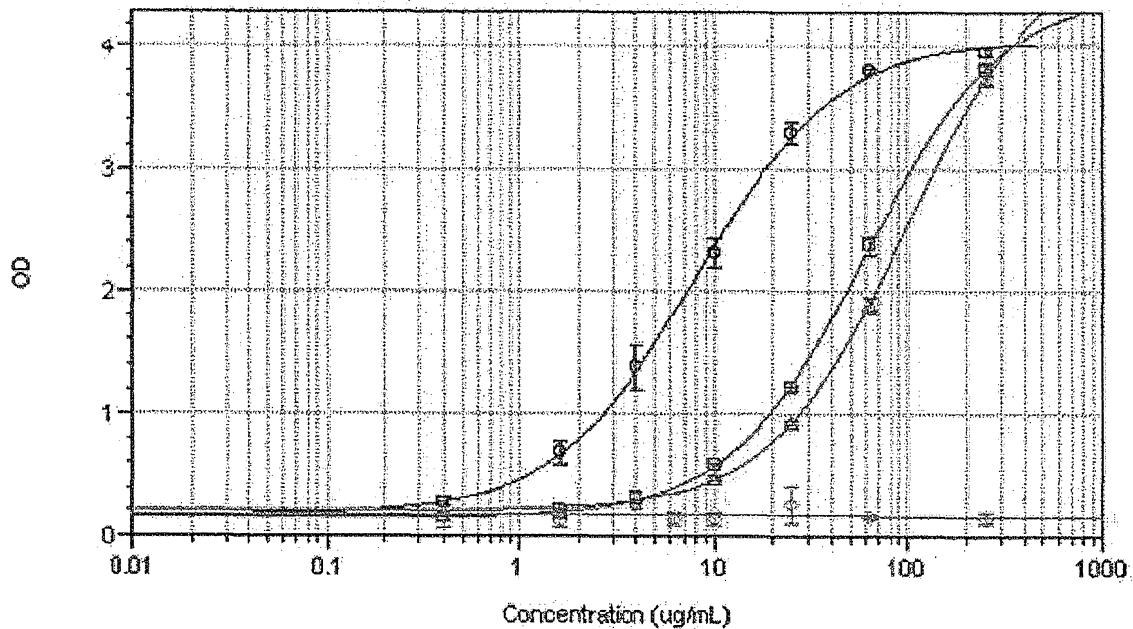


Figure 1B

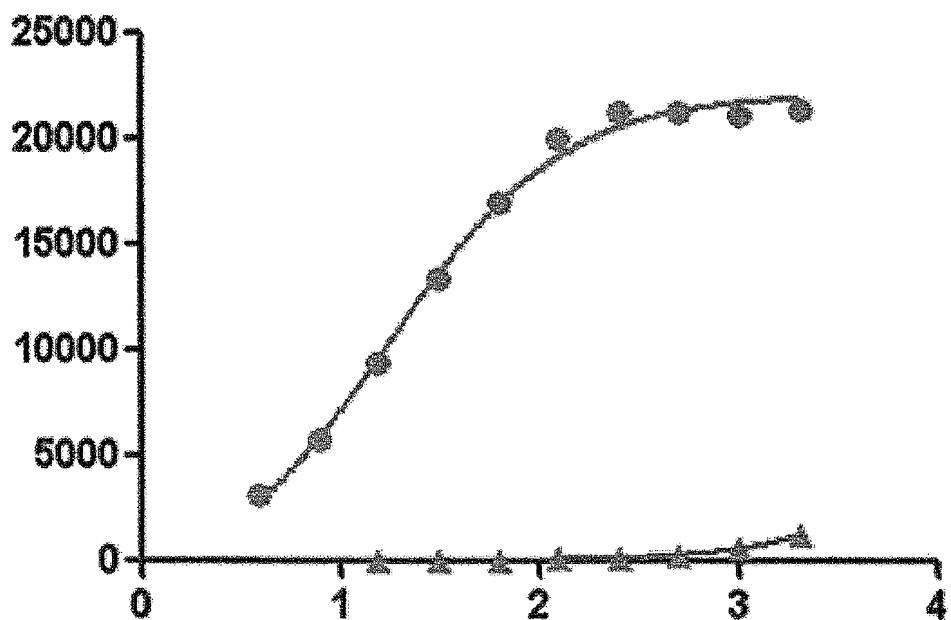


Figure 2

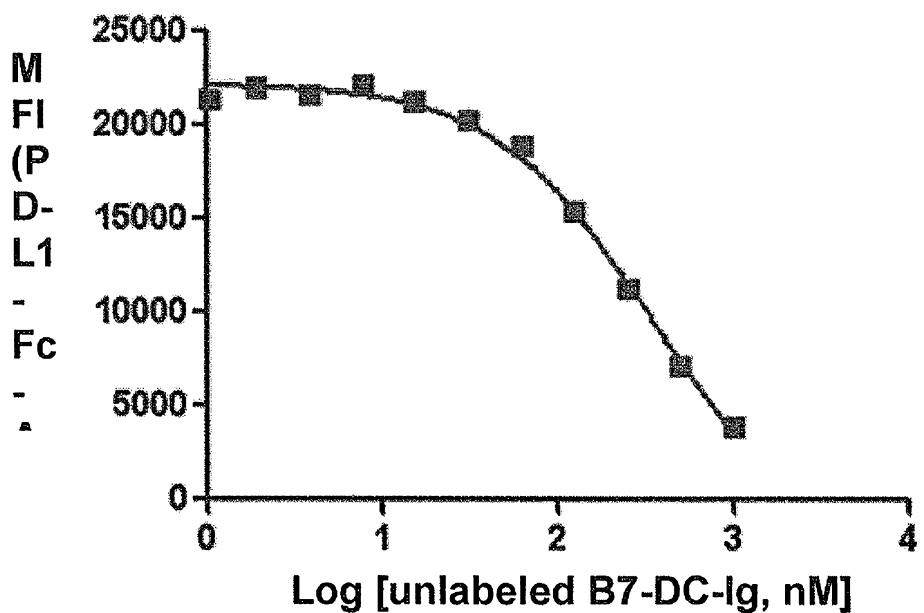


Figure 3

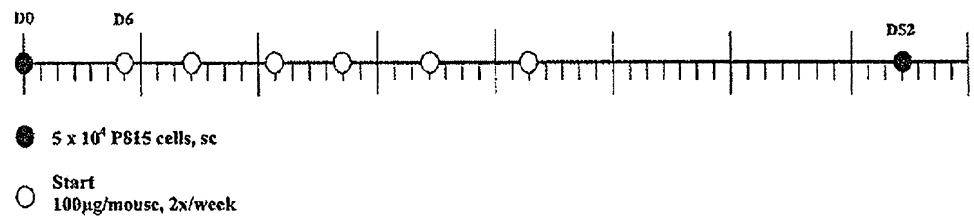
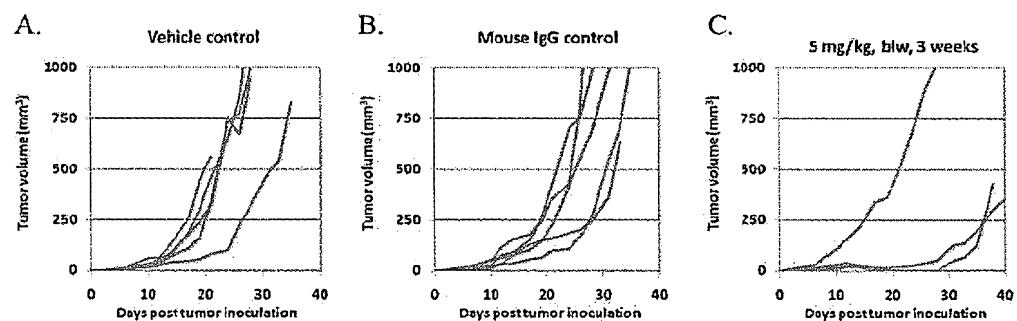
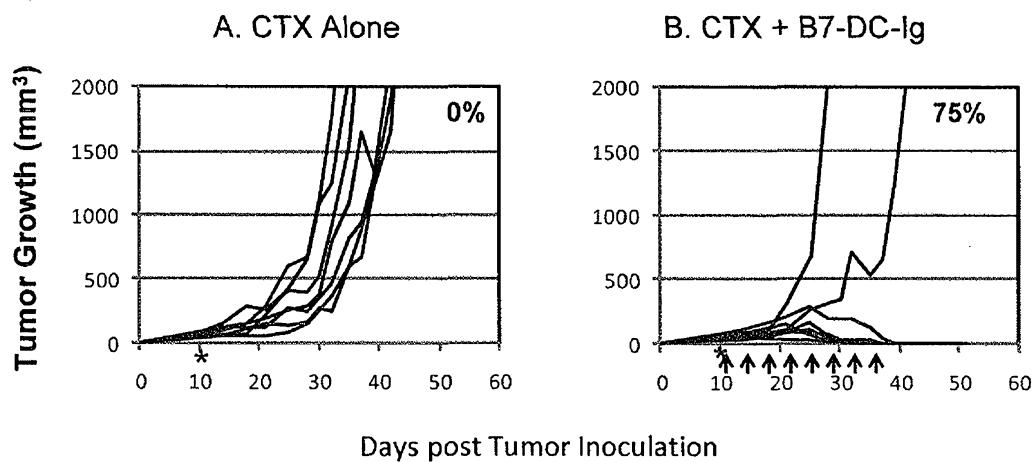


Figure 4



Figures 5A-C



Figures 6A-B

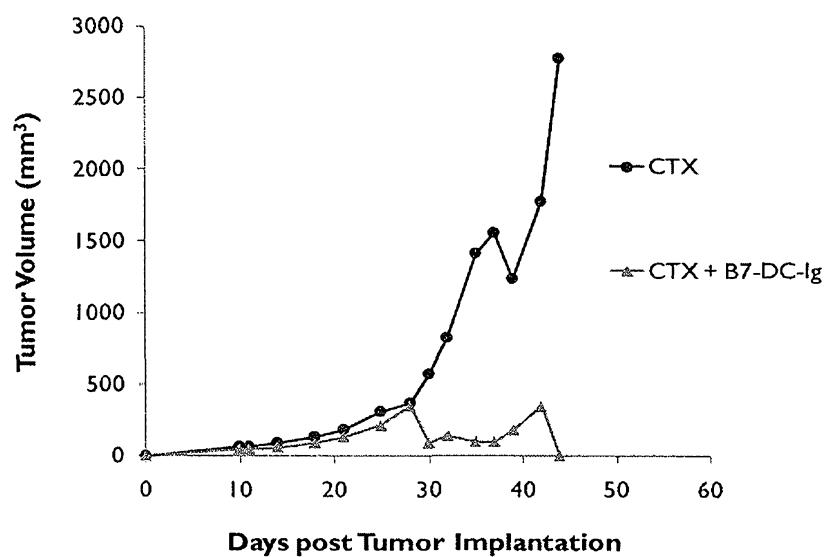


Figure 6C

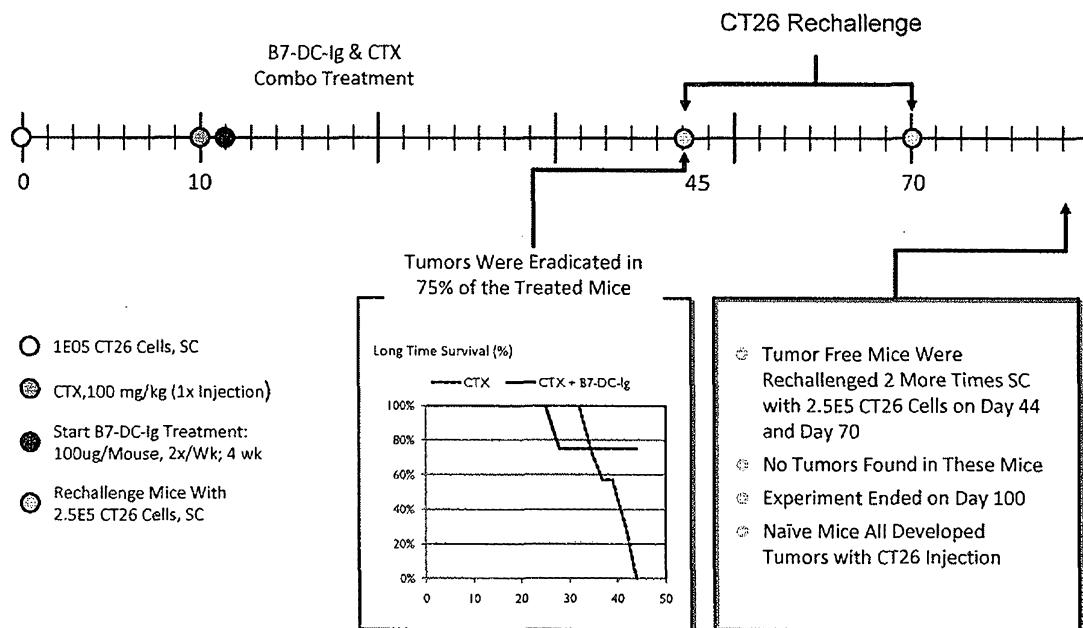


Figure 7

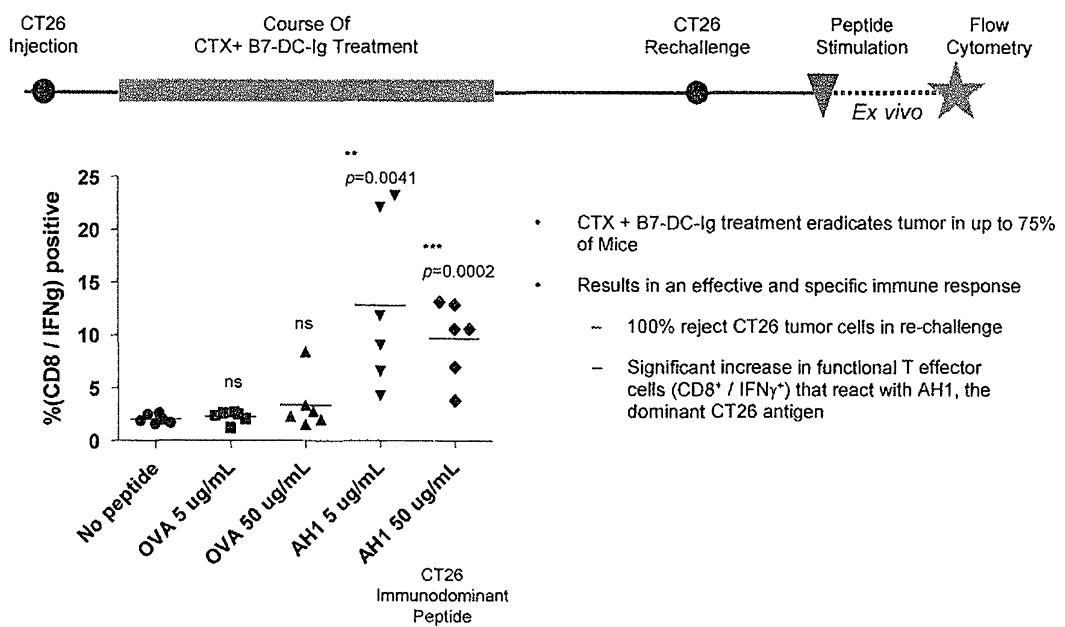


Figure 8