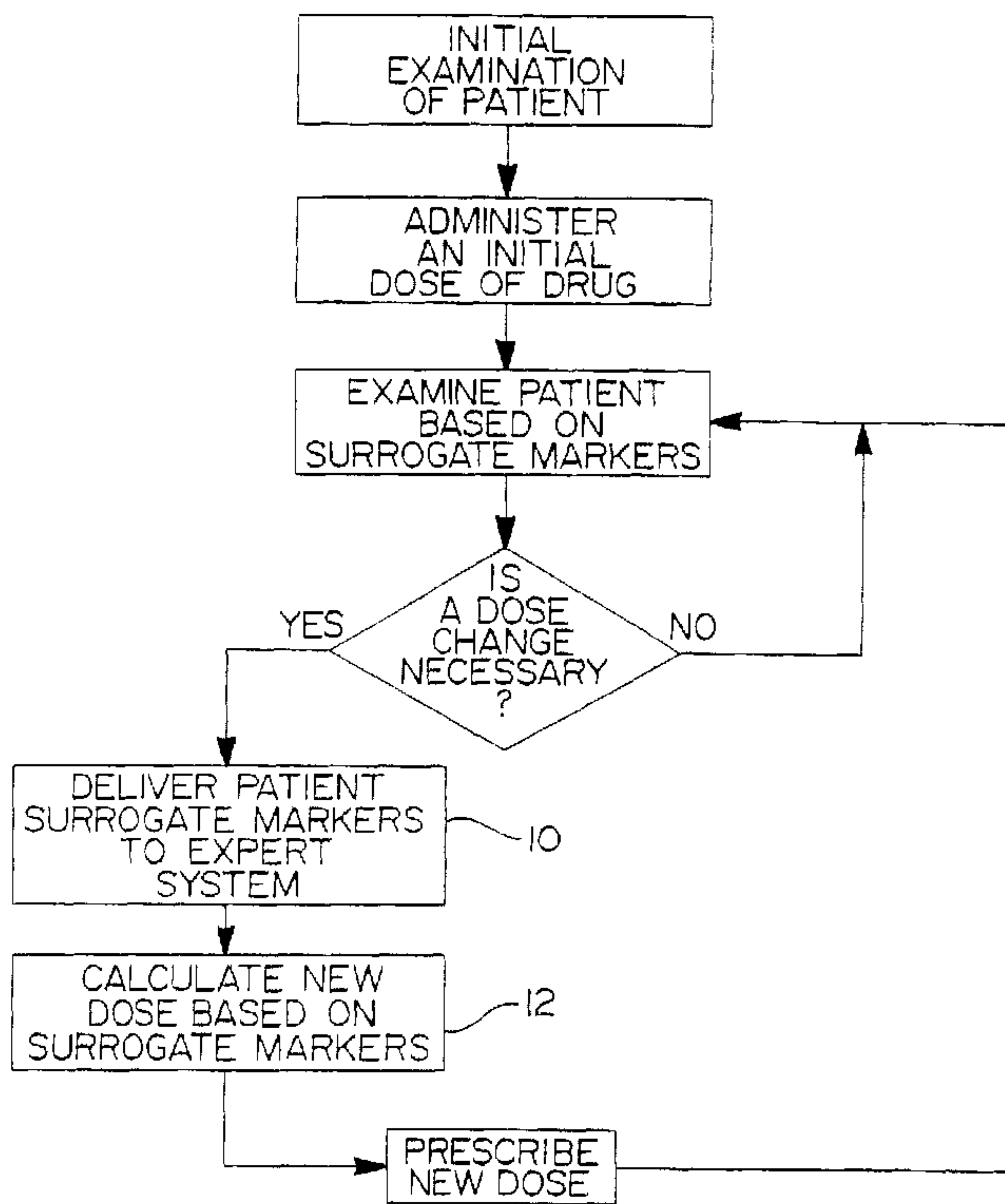




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(57) **Abrégé/Abstract:**

A method, system and apparatus for use in treating a patient receiving a biological substance, such as a cancer vaccine, to optimize therapy and prevent an adverse response. This system employs surrogate markers or indicators including blood levels of the vaccine to determine the next required dose for a patient. Since the surrogate markers may be employed as a percent change in status, virtually any indicator can be used. Surrogate markers could include any measure of the effectiveness of the vaccine's action. Given the effectiveness of the vaccine's action relative to the surrogate markers, a change in vaccine dose is calculated by the system. Conversely, by employing this system, one could determine the expected result of the vaccine dose change on the surrogate markers.

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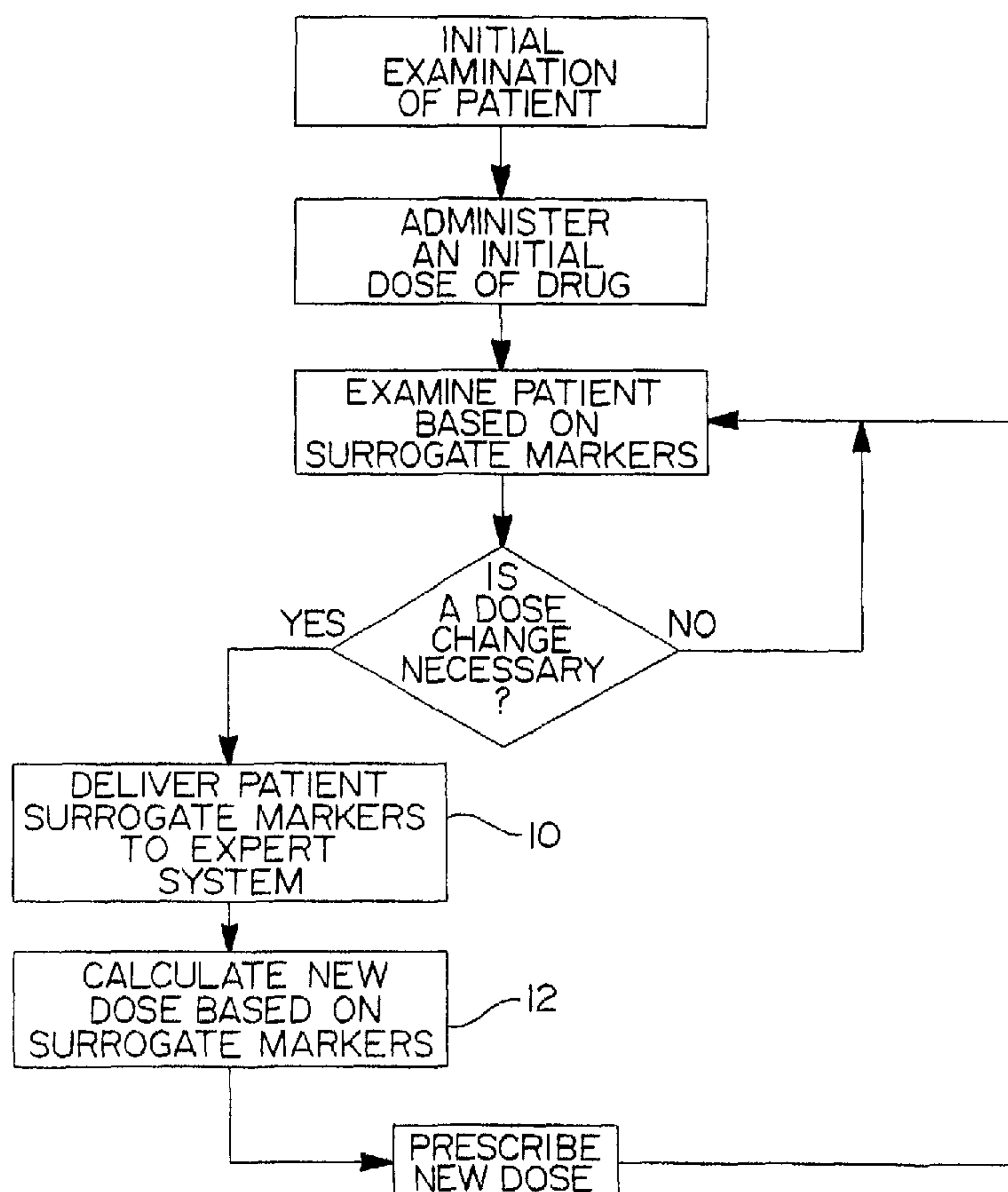
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(54) Title: METHOD AND SYSTEM TO DETERMINE REVISED DOSAGE



(57) Abstract: A method, system and apparatus for use in treating a patient receiving a biological substance, such as a cancer vaccine, to optimize therapy and prevent an adverse response. This system employs surrogate markers or indicators including blood levels of the vaccine to determine the next required dose for a patient. Since the surrogate markers may be employed as a percent change in status, virtually any indicator can be used. Surrogate markers could include any measure of the effectiveness of the vaccine's action. Given the effectiveness of the vaccine's action relative to the surrogate markers, a change in vaccine dose is calculated by the system. Conversely, by employing this system, one could determine the expected result of the vaccine dose change on the surrogate markers.

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**METHOD AND SYSTEM FOR USE IN TREATING A
PATIENT WITH A BIOLOGICAL SUBSTANCE TO OPTIMIZE
THERAPY AND PREVENT AN ADVERSE RESPONSE**

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Related Applications

The present patent application is a continuation-in-part of the following
United States Patent Applications: Serial Number 09/348,592 filed on July 6,
1999, which will issue as U.S. Patent 6,267,116 on July 31, 2001; Serial
10 Number 09/644,503 filed on August 24, 2000; Serial Number 09/817,906 filed
on March 26, 2001; and Serial Number 09/832,090 filed on April 10, 2001; the
entire contents of which applications are incorporated herein by reference
thereto.

Field of the Invention

15 The present invention relates generally to a method and system for use
in treating a patient with a biological substance to optimize therapy and to
prevent an adverse response. More particularly, the present invention relates to
a method and system for use in treating a patient with vaccines, serums, and/or
drugs. The present invention can utilize either biological substance levels or
20 other surrogate markers to determine the effectiveness of the dosing regimen
and, if necessary, to suggest a new more optimal biological substance dose.

The term "biological substance" as used herein means all biological
substances and includes, but is not limited to, vaccines, serums, drugs,
adjuvants to enhance or modulate a resulting immune response, vitamin
25 antagonists, medications, and all substances derived from and/or related to the
foregoing substances.

Furthermore, wherever the generic term "biological substance" is used
herein it is also intended to mean species which employ any or more of the
individual biological substances as defined and/or alluded to herein.

30 The term "vaccine" or "vaccines" as used herein means all vaccines and
includes, but is not limited to: autologous whole-cell vaccines (using cells
derived from a patient's own tumor); allogenic whole-cell vaccines (using

cancer cell lines established *in vitro* and then used to vaccinate multiple patients); tumor specific antigen/tumor associated antigen (TSA/TAA) based vaccines and hormonal autoimmunization approaches; all other cancer vaccines; Melacine; CancerVax; immune-boosting interferon; peptides; dendritic cells having melanoma protein thereon; interleukin-12; substances which stimulate or energize blood cells known as CD8 T cells; genes which make interleukin-12; tumor cells weakened by genes which make interleukin-12; substances which block blood-vessel formation to prevent growth of tumors; immunized cells; recombinant subunit vaccines; DNA vaccines; live recombinant viral vector vaccines; live recombinant bacterial vector vaccines; live-attenuated vaccines; whole-inactivated vaccines; virus-like particle vaccines; synthetic peptide vaccines; "Jennerian" vaccines; complex vaccines; and combinations of two or more of the foregoing.

The term "surrogate marker" as used herein means all surrogate markers and includes, but is not limited to: a measurement of biological activity within the body which indirectly indicates the effect of treatment on a disease state or on any condition being treated; and any measurement taken on a patient which relates to the patient's response to an intervention, such as the intervention of a biological substance introduced into or on the patient. For example, CD4 cell counts and viral load are examples of surrogate markers in HIV infection.

Background of the Invention

When a patient begins taking a biological substance or any medication for a length of time, a titration of the amount of biological substance taken by the patient is necessary in order to achieve the optimal benefit of the biological substance, and at the same time to prevent any undesirable side effects that taking too much of the biological substance could produce. Thus, there is a continuous balance between taking enough of the biological substance in order to gain the benefits from that biological substance, and at the same time not taking so much biological substance as to illicit a toxic event.

There is large inter-individual variability in the patient biological interactions and/or the patient pharmacodynamic and pharmacokinetic interactions of biological substances. What may be an appropriate biological substance dose for one individual, may be too much or too little for another. A
5 physician was required to estimate the correct biological substance dosage for a patient and then to experiment with that dosage, usually by trial and error, until the correct dosage was achieved. Likewise, the FDA labeling of a biological substance suggests dosages based on epidemiological studies and again does not account for inter-individual variability. Non-linear least squares modeling
10 methods involve the use of large amounts of data relating to a general population in order to calculate a best fit. Much like linear regression models, this method cannot take into account the variability between people with the same population characteristics.

Bayesian analysis is another method used to relate biological substance
15 dose to efficacy. This method employs large-scale population parameters to stratify a population in order to better characterize the individuals. This method does not take into account the changes that can occur within a person over time, and as a result cannot reliably estimate dosages.

Pharmacokinetic compartment modeling has had success with some
20 biological substances, but because the models are static and cannot adapt themselves to changes within a population or a patient, they are once again undesirable for dynamically determining biological substance dosages.

Expert systems have been developed using similar technology to predict
25 specific drug dosages for specific immunosuppressant drugs (see, e.g., U.S. Patent Nos. 5,365,948, 5,542,436 and 5,694,950). These algorithms, however, are not generic and only use immunosuppressant blood levels. Each algorithm is specific to an individual specific immunosuppressant drug. As it stands, these inventions cannot be applied to other biological substances and do not have a non-linear feedback loop mechanism.

Summary of the Invention

The present invention provides in one embodiment thereof a method for calculating a revised dose of a biological substance for a patient using said biological substance, comprising the steps of: accepting as a first input the patient's current biological substance dose; accepting as a second input a maximum dose of said biological substance; accepting as a third input a percent response of the patient based on one or more surrogate markers for said patient; and determining a revised dose, wherein said revised dose is a function of said current dose minus a ratio of the percent response of the patient and a ratio of said current dose to said maximum dose plus the percent of individual patient response multiplied by a response factor.

The present invention provides in another embodiment thereof a method for calculating a revised dose of a biological substance for a patient using said biological substance comprising the steps of: accepting as a first input the patient's current biological substance dose; accepting as a second input the maximum dose of the biological substance; accepting as a third input one or more numerical markers indicating a response of the patient; and calculating said revised dose, wherein said revised dose is a function of said current dose minus the ratio of the change in numerical markers and the ratio of said current dose to said maximum dose plus the percent of individual patient response multiplied by a response factor. According to the present invention, patient dosing occurs through a cyclic series of events, depicted in flow chart form in Figure 1. After an initial examination, an initial dose of a biological substance, such as a cancer vaccine, is prescribed and administered by a physician for a patient. The initial dose is based on the FDA recommended dosage found on the biological substance label. The biological substance dose is further refined upon repeated dosing by the physician based on the patient's response to the biological substance. Too much biological substance could cause the patient to experience toxic biological substance effects, and the biological substance dose would need to be reduced. Too little biological

substance could cause the patient not to receive the benefit the biological substance therapy could offer, and the dosage would need to be increased.

A preferred embodiment of the invention requires that a physician determine the percentage of response by the patient to the biological substance based on the surrogate markers for that biological substance. A relationship is then employed which uses the input parameters described above to determine the next dose for the patient.

Each specie of the invention has two preferred embodiments; one which uses actual numerical surrogate markers to calculate a dose, and another embodiment that uses percentages as the numerical input for the surrogate markers.

Description of the Drawings

Figure 1 shows a flow chart of the process by which revised doses of a biological substance are determined, according to the method of the invention described herein.

Figure 2 shows an apparatus for use in calculating revised doses of a biological substance according to the present invention.

Detailed Description of the Invention

A method of this invention for use in treating a patient receiving a biological substance to optimize therapy and to prevent an adverse biological substance response can be implemented in two different embodiments, two of which will each be described separately.

Figure 1 shows a flow chart of the overall process of treating a patient using this expert system. The actual expert system, however, performs only the steps shown in blocks 10 and 12 of the flow chart.

This expert system includes a general purpose computer, shown in Figure 2, comprising an input means, preferably a keyboard 20 and/or a mouse 22, an output means 30, preferably a video display screen, a data storage means 50, preferably a hard disk drive, and a processor. The expert computer program receives input data from a physician regarding the patient's current biological substance dose, the maximal dose range for that particular biological

substance, and the percent response of the patient based on the surrogate markers used to monitor that biological substance.

Also characterized is the patient's response to the last dosing cycle as well as a dose response constant. This allows the expert system to individualize the patient dosing based on the patient's individual response to that particular biological substance. The system calculates a revised dosage based on the data input by the physician.

The software portion of the invention includes a user interface portion 100 to receive the input data and to output the revised dosage information, and a data analysis portion 110, which calculates the new dosage information based on the input data.

Numerical Surrogate Markers Embodiment

A physician prescribes a biological substance for a patient based on the FDA recommended dose on the label of the biological substance. The physician then re-evaluates the patient, usually daily, either in person or remotely depending on the biological substance being prescribed.

During the subsequent evaluations by the physician, the surrogate markers are monitored and sequentially compared to determine if there are any toxicities associated with the biological substance. Also the numerical markers will be evaluated to see if the desired effect of the biological substance is being achieved.

Based on this evaluation by the physician, the current biological substance dose, the current biological substance numerical marker, the desired biological substance numerical marker, and the previous biological substance numerical marker are then input into the embodiment and the new biological substance dose is calculated based on the equation:

$$NBD = CBD - \{[(CBNM - DBNM) / CBNM] / (1 + (CBD / HIGH))\} \times CBD + LV$$

where:

$$LV = \{(RESPONSE \times CBD) \times [(1 + D) - (1 + E)] / \text{abs}(1 + D)\} / 1.3^{(CBD / HIGH)}$$

$$E = CBNM - PBNM$$

$$D = DBNM - PBNM$$

and wherein:

NBD = New Biological Substance Dose

CBD = Current Biological Substance Dose

CBNM = Current Biological Substance Numerical Marker

5 DBNM = Desired Biological Substance Numerical Marker

PBNM = Previous Biological Substance Numerical Marker

HIGH = The input parameter that is the high dose range for said
biological substance

10 RESPONSE = Percent of total dose available for individualizing patient
dose

abs = The absolute value of

$1.3^{(CBD/HIGH)}$ = 1.3 raised to an exponent of (CBD/HIGH).

Percentage Surrogate Markers Embodiment

15 In this preferred embodiment, a physician prescribes a biological
substance for a patient based on the FDA recommended dose on the label of
the biological substance. The physician then re-evaluates the patient, usually
daily, either in person or remotely depending on the agent being prescribed.

20 During the subsequent evaluations by the physician, the surrogate
markers are monitored and sequentially compared to determine if there are any
toxicities associated with the biological substance. Also the surrogate markers
are evaluated to see if the desired effect of the biological substance is being
achieved.

25 Based on this evaluation by the physician, the current biological
substance dose, and the percent response of the patient to the last dosing based
on a surrogate marker are then input into the system and the new biological
substance dose is calculated based on the equation:

$$NBD = CBD - \left\{ \left[\frac{(PBD-100)}{PBD} \right] \left[1 + (CBD/HIGH) \right] \right\} \times CBD + LV$$

where:

$$LV = \left\{ (RESPONSE \times CBD) \times \left[\frac{(100-RES)}{100} \right] \right\} / 1.3^{(CBD/HIGH)}$$

30 and wherein:

NBD = New Biological Substance Dose

CBD = Current Biological Substance Dose

PBD = Percent response of patient to surrogate marker

RES = Percent response of patient to last dosing based on surrogate marker

5 HIGH = The input parameter that is the high dose range for said biological substance

RESPONSE = Percent of total dose available for individualizing patient dose

$1.3^{(CBD/HIGH)}$ = 1.3 raised to an exponent of (CBD/HIGH).

10 This cycle of repeated re-evaluation of the numerical surrogate markers is continued as long as the patient is required to take the biological substance.

Two embodiments of the invention have been described, one using numerical markers, and one using a percentage surrogate marker.

The invention thus provides methods, systems and apparatus for use in
15 treating a patient receiving a biological substance or a substance, such as a cancer vaccine, to optimize therapy and prevent an adverse response. This system employs surrogate markers or indicators including blood levels of the biological substance to determine the next required dose for a patient. Since the surrogate markers may be employed as a percent change in status, virtually
20 any indicator can be used. Surrogate markers could include any measure of the effectiveness of the biological substance's action.

Given the effectiveness of the biological substance's action relative to the surrogate markers, a change in biological substance dose is calculated by the system. Conversely, by employing this system, one could determine the
25 expected result of the biological substance dose change on the surrogate marker.

Example 1: Cancer vaccine.

A physician would give a cancer patient a standard amount of the cancer vaccine (based on meter squared calculation) by infusing the patient
30 with cells impregnated with the vaccine and would then monitor the increased lymphocyte activity as a response to the introduction of the vaccine. This test

is done by drawing a small amount of the patient's blood and performing a test on it that usually determines the rise in the cytotoxic T-lymphocyte (CTL) activity. The physician could also use the clinical state of the patient (i.e., progression of disease, increase in the vascularization or size of the tumor).

5 Each of these two methods is used to determine the patient's response to the cancer vaccine therapy. This information (vaccine dose and response) along with the desired response are used in the next dose calculation by the methods in accordance with the present invention.

Example 2: Alzheimer's vaccine.

10 It is believed that beta-amyloid causes the onset of Alzheimer's disease. A physician would give an Alzheimer's patient a standard amount of the beta-amyloid vaccine (based on meter squared calculation) by infusing the patient with cells impregnated with the vaccine and would then monitor the increased anti-beta-amyloid activity as a response to the introduction of the vaccine, i.e.,
15 an increase in the beta-amyloid binding antibodies. This test is done by drawing a small amount of the patient's blood and performing a test. This information (vaccine dose and response) along with the desired response are used in the next dose calculation by the methods in accordance with the present invention.

20 **Example 3:** Biologics (generally).

Dose: Biologics, such as vaccines are delivered to the patient via cells (e.g., dendritic cells) the vaccine is impregnated into the cells. The cells are then delivered into the patient. Therefore the dose that a patient receives is dependant on the number of cells containing the biologic substance delivered to
25 the patient, usually measured in millions of cells.

Response: The response measured depends on the disease being treated. Here are some examples:

Nicotine vaccine: increase in the titres of nicotine specific antibodies.

30 Hepatitis B vaccine: increase in the HBV antibodies or a decrease in the HBV antigen (shows presence of the disease).

Although the invention has been described in detail in the foregoing for the purpose of illustration, it is to be understood that such detail is solely for that purpose and that variations can be made therein by those of ordinary skill in the art without departing from the spirit and scope of the invention as
5 defined by the following claims, including all equivalents thereof.

CLAIMS

1 1. A method for calculating a revised dose of a biological
 2 substance for a patient using said biological substance, comprising the steps of:
 3 accepting as a first input the patient's current biological substance dose;
 4 accepting as a second input a maximum dose of said biological
 5 substance;
 6 accepting as a third input a percent response of the patient based on one
 7 or more surrogate markers for said patient; and
 8 determining a revised dose, wherein said revised dose is a function of
 9 said current dose minus a ratio of the percent response of the patient and a ratio
 10 of said current dose to said maximum dose plus the percent of individual
 11 patient response multiplied by a response factor.

1 2. The method of claim 1, wherein:
 2 said determining step includes determining said revised dose based on
 3 the equation

$$4 \quad RBD = CBD - \left\{ \left[\frac{(PBD - 100)}{PBD} \right] \left[\frac{1}{1 + (CBD/HIGH)} \right] \times CBD \right\} + LV$$

5 where:

$$6 \quad LV = \left\{ (RESPONSE \times CBD) \times [(100 - RES) \times 0.01] \right\} / 1.3^{(CBD/HIGH)}$$

7 and wherein:

8 RBD = Revised Biological Substance Dose

9 CBD = Current Biological Substance Dose

10 PBD = Percent response of patient to surrogate marker

11 RES = Percent response of patient to last dosing based on surrogate
 12 marker

13 HIGH = The input parameter that is the high dose range for said
 14 biological substance

15 RESPONSE = Percent of total dose available for individualizing patient
 16 dose

17 $1.3^{(CBD/HIGH)}$ = 1.3 raised to an exponent of (CBD/HIGH).

1 3. The method of claim 1, wherein:
2 said biological substance is selected from a group comprising vaccines,
3 serums, drugs, all vitamin antagonists, and all substances derived from and/or
4 related to the foregoing substances.

1 4. A method for calculating a revised dose of a biological
2 substance for a patient using said biological substance comprising the steps of:
3 accepting as a first input the patient's current biological substance dose;
4 accepting as a second input the maximum dose of the biological
5 substance;
6 accepting as a third input one or more numerical markers indicating a
7 response of the patient; and
8 calculating said revised dose, wherein said revised dose is a function of
9 said current dose minus the ratio of the change in numerical markers and the
10 ratio of said current dose to said maximum dose plus the percent of individual
11 patient response multiplied by a response factor.

1 5. The method of claim 4, wherein:
2 said calculating step includes calculating said revised dose based on the
3 equation

$$4 \quad RBD = CBD - \left\{ \left[\frac{(CBNM - DBNM)}{CBNM} \right] / \left[1 + \left(\frac{CBD}{HIGH} \right) \right] \right\} \times CBD + LV$$

5 where:

$$6 \quad LV = \left\{ (RESPONSE \times CBD) \times \left[\frac{(1+D) - (1+E)}{\text{abs}(1+D)} \right] \right\} / 1.3^{(CBD/HIGH)}$$

$$7 \quad E = CBNM - PBNM$$

$$8 \quad D = DBNM - PBNM$$

9 and wherein:

10 RBD = Revised Biological Substance Dose

11 CBD = Current Biological Substance Dose

12 CBNM = Current Biological Substance Numerical Marker

13 DBNM = Desired Biological Substance Numerical Marker

14 PBNM = Previous Biological Substance Numerical Marker

15 HIGH = The input parameter that is the high dose range for said
16 biological substance

17 RESPONSE = Percent of total dose available for individualizing patient
18 dose

19 abs = The absolute value of

20 $1.3^{(CBD/HIGH)}$ = 1.3 raised to an exponent of (CBD/HIGH).

1 6. The method of claim 4, wherein:

2 said biological substance is selected from a group comprising vaccines,
3 serums, drugs, all vitamin antagonists, and all substances derived from and/or
4 related to the foregoing substances.

1 7. A method for determining a dose of a biological substance for a
2 patient, comprising the steps of:

3 administering an initial dose of said biological substance to the patient;

4 evaluating the patient to monitor and characterize one or more
5 numerical surrogate markers;

6 determining, based on said numerical surrogate markers, if a dose
7 change for said biological substance is necessary; and

8 calculating a revised dose as a function of said current dose minus the
9 ratio of a percent response of the patient and the ratio of said current dose to
10 said maximum dose plus the percent of individual patient response multiplied
11 by a response factor.

1 8. The method of claim 7, wherein:

2 said biological substance is selected from a group comprising vaccines,
3 serums, drugs, all vitamin antagonists, and all substances derived from and/or
4 related to the foregoing substances.

1 9. A method for determining a dose of a biological substance for a
2 patient, comprising the steps of:

3 administering an initial dose of said biological substance to the patient;
4 examining the patient to monitor and characterize one or more
5 numerical surrogate markers;
6 determining if a dose change is necessary; and
7 calculating a revised dose as a function of said current dose minus the
8 ratio of the change in numerical markers and the ratio of said current dose to
9 said maximum dose plus the percent of individual patient response multiplied
10 by a response factor.

1 10. A method for calculating a revised dose of a biological
2 substance for a patient currently using said biological substance, comprising
3 the steps of:
4 accepting as input the patient's current biological substance dose;
5 accepting as input the maximum dose of said biological substance;
6 accepting as input the percent response of the patient based on surrogate
7 markers; and
8 calculating a revised dose, wherein said revised dose is a function of
9 said current dose, said maximum dose, and said percent response of the patient
10 based on said surrogate markers.

1 11. A method for calculating a revised dose of a biological
2 substance for a patient currently using said biological substance, comprising
3 the steps of:
4 accepting as input a patient's current biological substance dose;
5 accepting as input a maximum dose of said biological substance;
6 accepting as input the previous, current and desired values of one or
7 more numerical surrogate markers indicating the response of the patient; and
8 calculating a revised dose, wherein said revised dose is a function of
9 said current dose, said maximum dose, and said previous, current and desired
10 values of said numerical surrogate markers.

1 12. A storage device having stored thereon an ordered set of
2 instructions which, when executed by a computer, performs a predetermined
3 method, comprising:

4 first means for accepting as input a patient's current biological
5 substance dose;

6 second means for accepting as input a maximum dose of said biological
7 substance;

8 third means for accepting as input a percent response of a patient based
9 on predetermined surrogate markers; and

10 calculating a revised dose, wherein said revised dose is a function of
11 said current dose minus the ratio of a percent response of the patient and the
12 ratio of said current dose to said maximum dose plus the percent of individual
13 patient response multiplied by a response factor.

1 13. The storage device of claim 12, wherein:

2 said biological substance is selected from a group comprising vaccines,
3 serums, drugs, all vitamin antagonists, and all substances derived from and/or
4 related to the foregoing substances.

1 14. A storage device having stored thereon an ordered set of
2 instructions which, when executed by a computer, performs a predetermined
3 method, comprising:

4 first means for accepting as input a patient's current biological
5 substance dose;

6 second means for accepting as input the maximum dose of said
7 biological substance;

8 third means for accepting as input one or more numerical surrogate
9 markers indicating the response of said patient to said biological substance; and

10 calculating a revised dose, wherein said revised dose is a function of
11 said current dose minus the ratio of the change in said numerical surrogate

12 markers and the ratio of said current dose to said maximum dose plus the
13 percent of individual patient response multiplied by a response factor.

1 15. An apparatus for calculating a revised dose of a biological
2 substance for a patient, comprising:

3 first means for accepting as input one or more surrogate markers which
4 indicate a patient's response to a dose of said biological substance;

5 second means for accepting as input the patient's current biological
6 substance dose;

7 third means for accepting as input the maximum dose of said biological
8 substance; and

9 fourth means for calculating a revised dose of said biological substance
10 as a function of said surrogate markers, said current biological substance dose,
11 and said maximum biological substance dose.

1 16. The apparatus of claim 15, wherein:
2 said surrogate markers are actual numerical markers.

1 17. The apparatus of claim 15, wherein:
2 said surrogate markers are surrogate markers representing a percent
3 response of the patient to said biological substance.

1 18. The apparatus of claim 15, wherein:
2 said revised dose is calculated by the equation:

$$3 \quad RBD = CBD - \{[(CBNM-DBNM)/CBNM]/[1+(CBD/HIGH)]\} \times CBD\} + LV$$

4 where:

$$5 \quad LV = \{(RESPONSE \times CBD) \times [(1+D)-(1+E)]/abs(1+D)\}/1.3^{(CBD/HIGH)}$$

$$6 \quad E = CBNM - PBNM$$

$$7 \quad D = DBNM - PBNM$$

8 and wherein:

9 RBD = Revised Biological Substance Dose

10 CBD = Current Biological Substance Dose
 11 CBNM = Current Biological Substance Numerical Marker
 12 DBNM = Desired Biological Substance Numerical Marker
 13 PBNM = Previous Biological Substance Numerical Marker
 14 HIGH = The input parameter that is the high dose range for said
 15 biological substance
 16 RESPONSE = Percent of total dose available for individualizing patient
 17 dose
 18 abs = The absolute value of
 19 $1.3^{(CBD/HIGH)}$ = 1.3 raised to an exponent of (CBD/HIGH).

1 19. The apparatus of claim 15, wherein:

2 said revised dose is calculated by the equation:

$$3 \quad RBD = CBD - \left\{ \left[\frac{(PBD-100)}{PBD} \right] \left[\frac{1}{1+(CBD/HIGH)} \right] \times CBD \right\} + LV$$

4 where:

$$5 \quad LV = \left\{ (RESPONSE \times CBD) \times [(100-RES) \times 0.01] \right\} / 1.3^{(CBD/HIGH)}$$

6 and wherein:

7 RBD = Revised Biological Substance Dose

8 CBD = Current Biological Substance Dose

9 PBD = Percent response of patient to surrogate marker

10 RES = Percent response of patient to last dosing based on surrogate
 11 marker

12 HIGH = The input parameter that is the high dose range for said
 13 biological substance

14 RESPONSE = Percent of total dose available for individualizing patient
 15 dose

16 $1.3^{(CBD/HIGH)}$ = 1.3 raised to an exponent of (CBD/HIGH).

1 20. The apparatus of claim 15, wherein:

2 said biological substance is selected from a group comprising vaccines,
3 serums, drugs, all vitamin antagonists, and all substances derived from and/or
4 related to the foregoing substances.

1 21. A method for calculating a revised dose of a vaccine for a
2 patient using said vaccine, comprising the steps of:
3 accepting as a first input the patient's current vaccine dose;
4 accepting as a second input a maximum dose of said vaccine;
5 accepting as a third input a percent response of the patient based on one
6 or more surrogate markers for said patient; and
7 determining a revised dose, wherein said revised dose is a function of
8 said current dose minus a ratio of the percent response of the patient and a ratio
9 of said current dose to said maximum dose plus the percent of individual
10 patient response multiplied by a response factor.

1 22. The method of claim 21, wherein:
2 said determining step includes determining said revised dose based on
3 the equation

$$4 \quad RVD = CVD - \left\{ \left[\frac{(PVD - 100)}{PVD} \right] / \left[1 + \left(\frac{CVD}{HIGH} \right) \right] \right\} \times CVD + LV,$$

5 where

$$6 \quad LV = \left\{ (RESPONSE \times CVD) \times \left[\frac{(100 - RES) \times 0.01}{1.3^{(CVD/HIGH)}} \right] \right\}$$

7 and wherein:

8 RVD = Revised Vaccine Dose

9 CVD = Current Vaccine Dose

10 PVD = Percent response of patient to surrogate marker

11 RES = Percent response of patient to last dosing based on surrogate
12 marker

13 HIGH = The input parameter that is the high dose range for said
14 vaccine

15 RESPONSE = Percent of total dose available for individualizing patient
16 dose

17 $1.3^{(CVD/HIGH)} = 1.3$ raised to an exponent of (CVD/HIGH).

1 23. A method for calculating a revised dose of a vaccine for a
 2 patient using said vaccine comprising the steps of:
 3 accepting as a first input the patient's current vaccine dose;
 4 accepting as a second input the maximum dose of the vaccine;
 5 accepting as a third input one or more numerical markers indicating a
 6 response of the patient; and
 7 calculating said revised dose, wherein said revised dose is a function of
 8 said current dose minus the ratio of the change in numerical markers and the
 9 ratio of said current dose to said maximum dose plus the percent of individual
 10 patient response multiplied by a response factor.

1 24. The method of claim 23, wherein:
 2 said calculating step includes calculating said revised dose based on the
 3 equation

$$4 \quad RVD = CVD - \left\{ \left[\frac{(CVNM - DVNM)}{CVNM} \right] / \left[1 + (CVD/HIGH) \right] \right\} \times CVD + LV$$

5 where:

$$6 \quad LV = \{ (RESPONSE \times CVD) \times [(1+D) - (1+E)] / \text{abs}(1+D) \} / 1.3^{(CVD/HIGH)}$$

$$7 \quad E = CVNM - PVNM$$

$$8 \quad D = DVNM - PVNM$$

9 and wherein:

10 RVD = Revised Vaccine Dose

11 CVD = Current Vaccine Dose

12 CVNM = Current Vaccine Numerical Marker

13 DVNM = Desired Vaccine Numerical Marker

14 PVNM = Previous Vaccine Numerical Marker

15 HIGH = The input parameter that is the high dose range for said
 16 vaccine

17 RESPONSE = Percent of total dose available for individualizing patient
 18 dose

19 abs = The absolute value of
20 $1.3^{(CVD/HIGH)}$ = 1.3 raised to an exponent of (CVD/HIGH).

1 25. A method for determining a dose of a vaccine for a patient,
2 comprising the steps of:
3 administering an initial dose of said vaccine to the patient;
4 evaluating the patient to monitor and characterize one or more
5 numerical surrogate markers;
6 determining, based on said numerical surrogate markers, if a dose
7 change for said vaccine is necessary; and
8 calculating a revised dose as a function of said current dose minus the
9 ratio of a percent response of the patient and the ratio of said current dose to
10 said maximum dose plus the percent of individual patient response multiplied
11 by a response factor.

1 26. A method for determining a dose of a vaccine for a patient,
2 comprising the steps of:
3 administering an initial dose of said vaccine to the patient;
4 examining the patient to monitor and characterize one or more
5 numerical surrogate markers;
6 determining if a dose change is necessary; and
7 calculating a revised dose as a function of said current dose minus the
8 ratio of the change in said numerical surrogate markers and the ratio of said
9 current dose to said maximum dose plus the percent of individual patient
10 response multiplied by a response factor.

1 27. A method for calculating a revised dose of a vaccine for a
2 patient currently using said vaccine, comprising the steps of:
3 accepting as input the patient's current vaccine dose;
4 accepting as input the maximum dose of said vaccine;

5 accepting as input the percent response of the patient based on surrogate
6 markers; and

7 calculating a revised dose, wherein said revised dose is a function of
8 said current dose, said maximum dose, and said percent response of the patient
9 based on said surrogate markers.

1 28. A method for calculating a revised dose of a vaccine for a
2 patient currently using said vaccine, comprising the steps of:

3 accepting as input a patient's current vaccine dose;

4 accepting as input a maximum dose of said vaccine;

5 accepting as input the previous, current and desired values of one or
6 more numerical surrogate markers indicating the response of the patient; and

7 calculating a revised dose, wherein said revised dose is a function of
8 said current dose, said maximum dose, and said previous, current and desired
9 values of said numerical surrogate markers.

1 29. A storage device having stored thereon an ordered set of
2 instructions which, when executed by a computer, performs a predetermined
3 method, comprising:

4 first means for accepting as input a patient's current vaccine dose;

5 second means for accepting as input a maximum dose of said vaccine;

6 third means for accepting as input a percent response of a patient based
7 on predetermined surrogate markers; and

8 calculating a revised dose, wherein said revised dose is a function of
9 said current dose minus the ratio of a percent response of the patient and the
10 ratio of said current dose to said maximum dose plus the percent of individual
11 patient response multiplied by a response factor.

1 30. A storage device having stored thereon an ordered set of
2 instructions which, when executed by a computer, performs a predetermined
3 method, comprising:

4 first means for accepting as input a patient's current vaccine dose;
 5 second means for accepting as input the maximum dose of said vaccine;
 6 third means for accepting as input one or more numerical surrogate
 7 markers indicating the response of said patient to said vaccine; and
 8 calculating a revised dose, wherein said revised dose is a function of
 9 said current dose minus the ratio of the change in said numerical surrogate
 10 markers and the ratio of said current dose to said maximum dose plus the
 11 percent of individual patient response multiplied by a response factor.

1 31. An apparatus for calculating a revised dose of a vaccine for a
 2 patient, comprising:

3 first means for accepting as input one or more surrogate markers which
 4 indicate a patient's response to a dose of said vaccine;

5 second means for accepting as input the patient's current vaccine dose;

6 third means for accepting as input the maximum dose of said vaccine;

7 and

8 fourth means for calculating a revised dose of said vaccine as a function
 9 of said surrogate markers, said current vaccine dose, and said maximum
 10 vaccine dose.

1 32. The apparatus of claim 31, wherein:

2 said surrogate markers are actual numerical markers.

1 33. The apparatus of claim 31, wherein:

2 said surrogate markers are surrogate markers representing a percent
 3 response of the patient to said vaccine.

1 34. The apparatus of claim 31, wherein:

2 said revised dose is calculated by the equation:

3
$$RVD = CVD - \{[(CVNM - DVNM) / CVNM] / [1 + (CVD / HIGH)] \times CVD\} + LV$$

4 where:

5 $LV = \{(\text{RESPONSE} \times \text{CVD}) \times [(1+D)-(1+E)]/\text{abs}(1+D)\}/1.3^{(\text{CVD}/\text{HIGH})}$

6 $E = \text{CVNM} - \text{PVNM}$

7 $D = \text{DVNM} - \text{PVNM}$

8 and wherein:

9 RVD = Revised Vaccine Dose

10 CVD = Current Vaccine Dose

11 CVNM = Current Vaccine Numerical Marker

12 DVNM = Desired Vaccine Numerical Marker

13 PVNM = Previous Vaccine Numerical Marker

14 HIGH = The input parameter that is the high dose range for said
15 vaccine

16 RESPONSE = Percent of total dose available for individualizing patient
17 dose

18 abs = The absolute value of

19 $1.3^{(\text{CVD}/\text{HIGH})} = 1.3$ raised to an exponent of (CVD/HIGH).

1 35. The apparatus of claim 31, wherein:

2 said revised dose is calculated by the equation:

3 $\text{RVD} = \text{CVD} - \{[(\text{PVD}-100)/\text{PVD}]/[1 + (\text{CVD}/\text{HIGH})] \times \text{CVD}\} + \text{LV}$

4 where:

5 $LV = \{(\text{RESPONSE} \times \text{CVD}) \times [(100-\text{RES}) \times 0.01]\}/1.3^{(\text{CVD}/\text{HIGH})}$

6 and wherein:

7 RVD = Revised Vaccine Dose

8 CVD = Current Vaccine Dose

9 PVD = Percent response of patient to surrogate marker

10 RES = Percent response of patient to last dosing based on surrogate
11 marker

12 HIGH = The input parameter that is the high dose range for said
13 vaccine

14 RESPONSE = Percent of total dose available for individualizing patient
15 dose

16 $1.3^{(\text{CVD}/\text{HIGH})} = 1.3$ raised to an exponent of (CVD/HIGH).

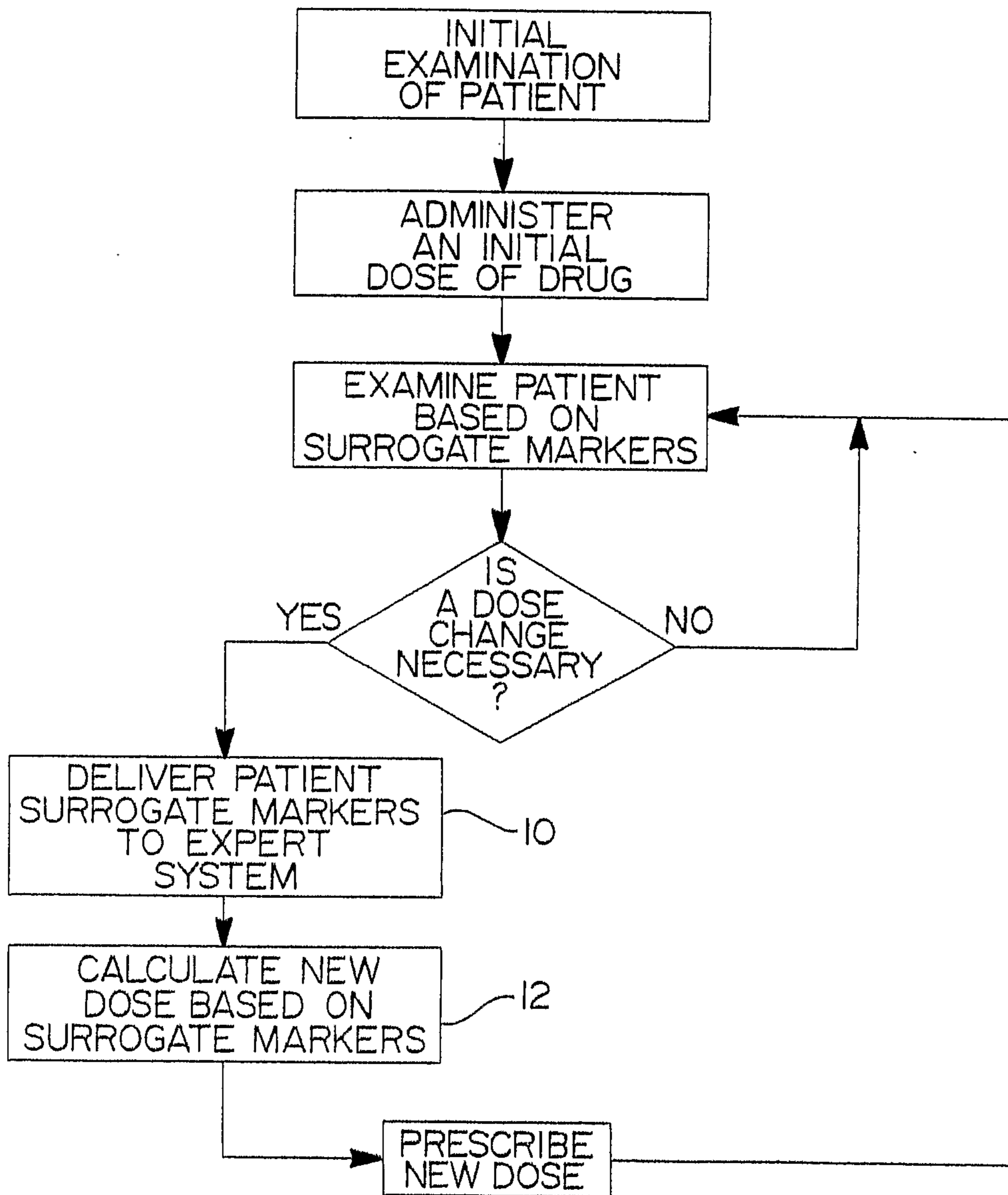


FIG 1

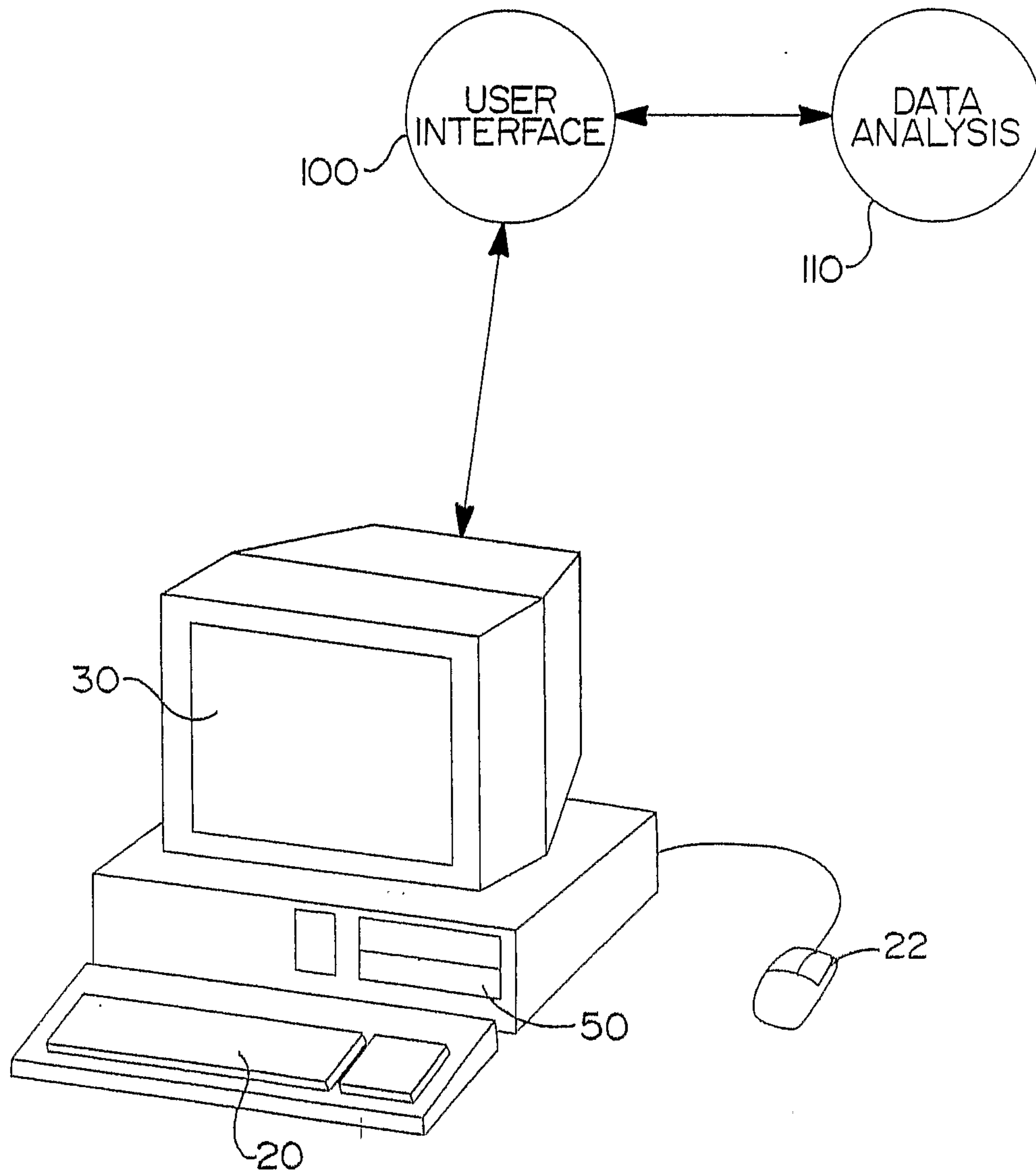
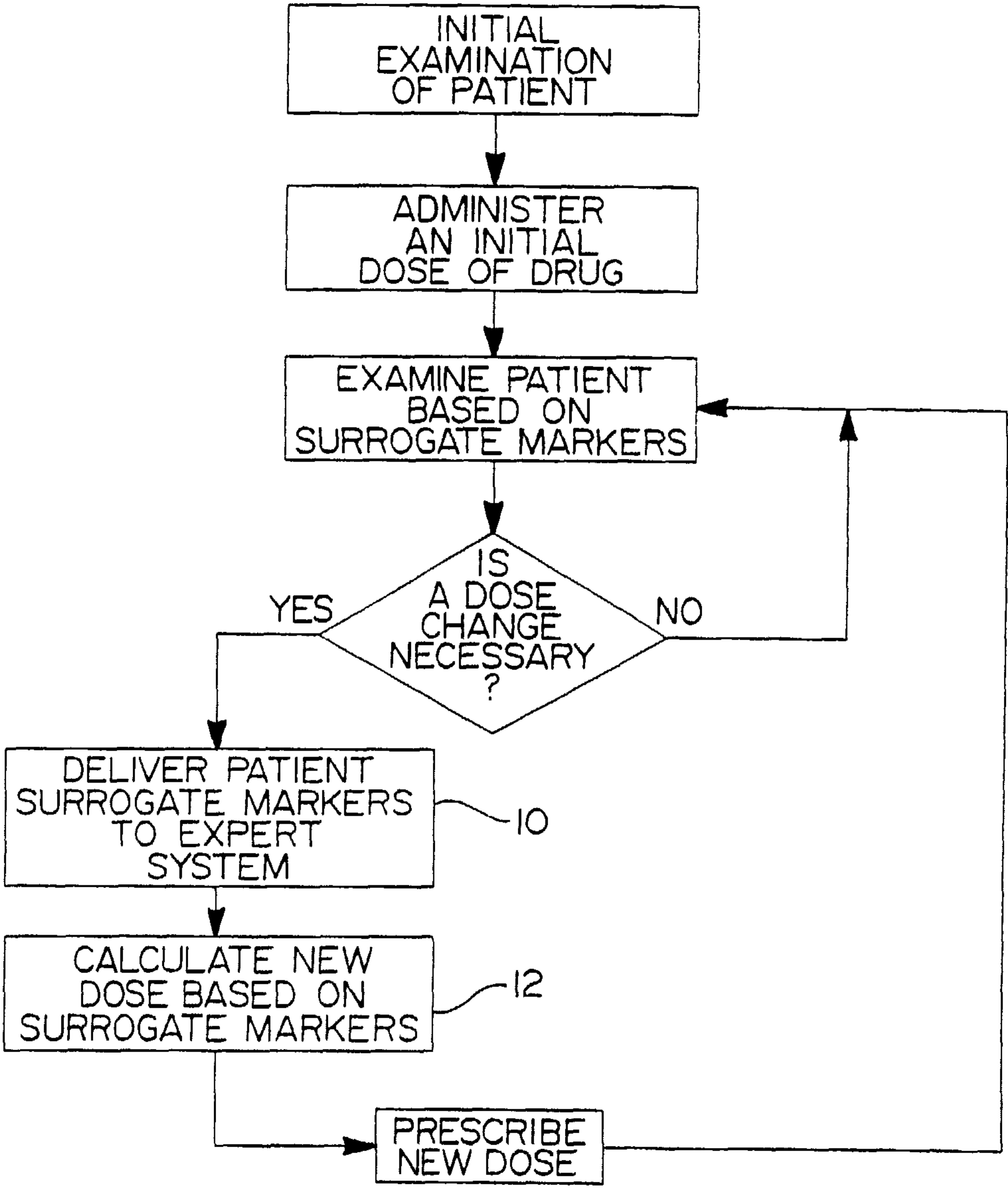


FIG 2



INITIAL
EXAMINATION
OF PATIENT

ADMINISTER
AN INITIAL
DOSE OF DRUG

EXAMINE PATIENT
BASED ON
SURROGATE MARKERS

IS
A DOSE
CHANGE
NECESSARY
?
YES NO

DELIVER PATIENT
SURROGATE MARKERS
TO EXPERT
SYSTEM

10

CALCULATE NEW
DOSE BASED ON
SURROGATE MARKERS

12

PRESCRIBE
NEW DOSE