



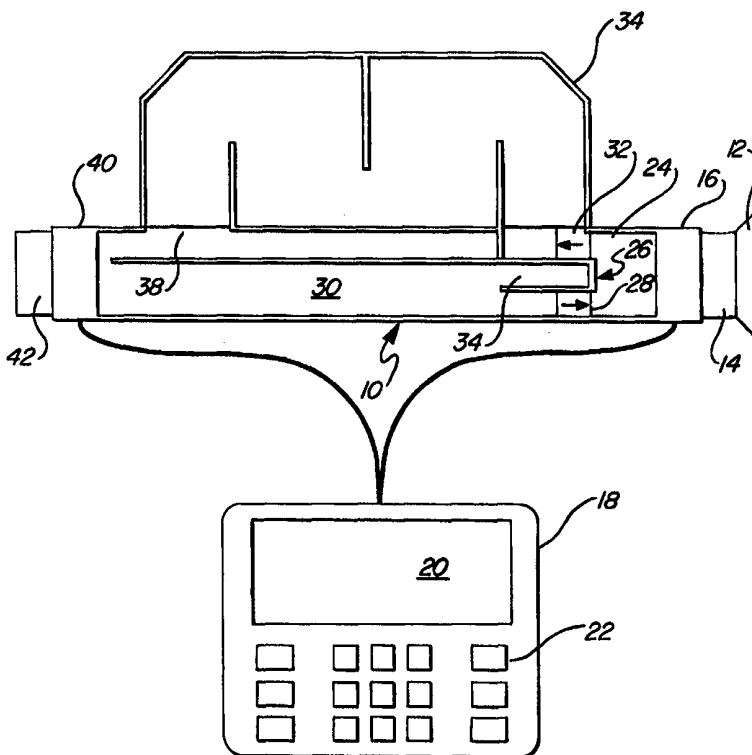
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(54) Title: METABOLIC GAS EXCHANGE AND NONINVASIVE CARDIAC OUTPUT MONITOR

(57) Abstract

This invention is a respiratory gas analyzer (10) for measuring metabolic activity. The cardiac output of a subject includes a bi-directional flow meter (40), a capnometer sensor (16) interconnected by conduits to a mouthpiece (12), a pass through carbon dioxide scrubber (34) for absorption of the carbon dioxide, and a computer (18) for calculating the patient's cardiac output.



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**METABOLIC GAS EXCHANGE AND
NONINVASIVE CARDIAC OUTPUT MONITOR**

Field of the Invention

This invention relates to a respiratory gas analyzer employing a flow
5 sensor and a capnometer which may be interconnected in a first configuration to
measure metabolic activity of a patient or in a second configuration to measure
the cardiac output of the patient.

Background of the Invention

My U.S. Patent No. 5,179,958 and related patents including 5,038,792
10 and 4,917,708 disclose respiratory calorimeters connected to a mouthpiece
which measure the volume of gas inhaled by a patient over a period of time and
pass the exhaled gasses through a carbon dioxide scrubber and then a flow
meter. Broadly, the integrated flow differences between the inhalations and the
carbon dioxide scrubbed exhalations are a measure of the patient's oxygen
15 consumption and thus the patient's metabolic activity. These devices may
incorporate a capnometer to measure the carbon dioxide concentration of the
exhaled air. A computer receiving signals from the flow meter and the
capnometer may calculate, in addition to the oxygen consumption of the patient,
the Respiratory Quotient and the Resting Energy Expenditure of the patient as
20 calculated from the Weir equation.

The cardiac output of a patient, that is the volume of blood ejected from
the heart per unit time, is another important measured parameter in hospitalized
patients. Currently, cardiac output is routinely measured by invasive techniques

including thermal dilution using an indwelling pulmonary artery catheter. This technique has several disadvantages including the morbidity and mortality of placing an invasive intracardiac catheter, the infectious disease risks, significant expense and the fact that it provides an intermittent rather than a continuous measurement. A noninvasive, reusable, continuous cardiac output measurement device would substantially improve patient care and reduce hospital costs.

The partial rebreathing technique is a known method for cardiac output measurement. As described in Capek and Roy, "The Noninvasive Measurement of Cardiac Output Using Partial CO₂ Rebreathing", *IEEE Transactions on Biomedical Engineering*, Vol. 35, No. 9, September 1988, pp. 653-659, the method utilizes well known Fick procedures, substituting carbon dioxide for oxygen, and employing a sufficiently short measurement period such that venous carbon dioxide levels and cardiac output can be assumed to remain substantially constant during the measurement. In its original form, the Fick method of measuring cardiac output requires blood gas values for arterial and mixed venous blood as follows:

$$C.O. = \frac{VO_2}{CaO_2 - CvO_2}$$

where C.O. is cardiac output, VO₂ is oxygen consumption, CaO₂ is the arterial oxygen content and CvO₂ is the venous oxygen content. By substituting carbon dioxide for oxygen in the Fick equation, the partial rebreathing method allows

computation of cardiac output without invasive blood gas measurements as follows:

$$C.O. = \frac{VCO_2}{CaCO_2 - CvCO_2}$$

The partial rebreathing technique uses the change in CO₂ production (VCO₂) and end-tidal CO₂ in response to a brief change in ventilation. The change in CO₂ production divided by the change in CO₂ content of arterial blood (CaCO₂), as
5 estimated from end-tidal CO₂, equals pulmonary capillary blood flow as follows:

$$C.O. = \frac{\Delta VCO_2}{\Delta etCO_2}$$

Clinical studies have verified the accuracy of this partial rebreathing method relative to more conventional invasive techniques. Despite the advantages of the partial rebreathing technique it has not achieved extensive usage.

10 I have discovered that minor modifications of my respiratory calorimeter will enable it to practice cardiac output measurement using the partial carbon dioxide rebreathing technique as well as making the metabolic related measurements described in my patent.

Summary of the Invention

15 The present invention is accordingly directed toward a respiratory gas analyzer capable of measuring either the metabolic activity or the cardiac output of a subject. The configuration of the preferred embodiment of the analyzer substantially resembles the indirect calorimeter disclosed in my previous patents

in that it incorporates a bi-directional flow meter, a capnometer and a carbon dioxide scrubber. Conduits connect the flow meter between a source of respiratory gasses, which is typically atmospheric air, and a mouthpiece, so that the flow meter measures the gas volume during inhalation. During exhalation
5 the gas is passed through a capnometer to the carbon dioxide scrubber and the output of the scrubber is fed back through the flow meter to the atmosphere. In this configuration the computer connected to receive the electrical outputs of the flow meter and capnometer calculates the patient's oxygen consumption either alone or along with one or more of the derivative measurements of Respiratory
10 Quotient and Respiratory Energy Expenditure.

In order to perform measurements of patient's cardiac output using partial CO₂ rebreathing the system is convertible into the configuration in which the exhaled breath is not passed through the carbon dioxide scrubber but is rather passed directly to the flow meter or into an interior volume within the
15 analyzer that connects to the flow meter but allows the accumulation of a fraction of an exhalation which is then mixed with additional air passing through the flow meter on the next inhalation to increase the carbon dioxide content of that subsequent inhalation. The analyzer may be formed so that the carbon dioxide scrubber is completely removable for purposes of taking cardiac output
20 measurements, or, alternatively, the scrubber may be maintained in position on the analyzer with the flow passages altered so that the exhaled air is not passed through the scrubber.

The analyzer further includes valving connected to the circuitry to shift the circuitry between two alternative configurations. In the first configuration exhaled gasses are passed through the capnometer and then directly to the flow meter. Upon the subsequent inhalation fresh respiratory gasses are drawn through the flow meter. In the second alternative configuration, after the valve is shifted, the exhaled gasses are passed through the capnometer and then fed into a conduit connecting to the flow meter. The conduit volume thus acts as a dead space. When the subject then inhales a substantial portion of the inhaled gasses constitutes rebreathed gasses from the conduit dead space having a high carbon dioxide content. Preferably from 20% to 70% of the inhaled air constitutes rebreathed air, with the balance being made up of air drawn in through the flow meter with the inhalation.

The metabolic measurements are made with the scrubber connected in operative configuration so that exhaled air passes through the carbon dioxide scrubber and then the flow meter. A computer connected to the flow meter integrates the inhaled and exhaled flow signals. Their difference is a function of the subject's metabolic rate. To use the device to calculate cardiac output, the scrubber is either removed or its input is blocked and the computer receives signals from the flow meter and the capnometer while the subject breathes while the valve is in the first configuration in which the exhaled gas is passed through the capnometer and then directly out through the flow meter. The computer integrates the capnometer measurement over the flow volume to determine the

carbon dioxide content of the exhalations and also determines the carbon dioxide content of an exhalation at the end of the breath; i.e. the end-tidal carbon dioxide measurement. The valve is then shifted to bring the circuitry into the alternate configuration in which the exhaled breath is introduced into the dead space
5 volume within the circuitry so that only a proportion of each exhaled breath passes out through the flow sensor. Each inhaled breath includes a proportion of rebreathed air having an increased carbon dioxide content. Measurement is made for about thirty seconds during which time the computer again measures the end-tidal carbon dioxide. This measurement is used with the measurements
10 made while the valve was in its first configuration to calculate cardiac output.

Alternatively, the volume of the flow chamber containing rebreathed air is made adjustable and/or computer controlled so as to adjust the dead space to the breath volume of the user.

Other objects, advantages and applications of the present invention will
15 be made apparent from the following detailed description of the preferred embodiments.

Description of the Drawings

Figure 1 is a schematic diagram illustrating a preferred embodiment of my invention in the configuration which measures metabolic activity;

20 Figure 2 is a schematic diagram of the system of Figure 1 in a configuration for making the first measurements required to determine a patient's cardiac output; and

Figure 3 is a schematic diagram of the system of Figure 1 in a configuration for making the second measurement required to determine cardiac output.

Detailed Description of the Invention

5 The preferred embodiment of the invention, as illustrated in Figure 1, and generally indicated at 10 is in a configuration in which it may be used to measure a patient's metabolic activity. The analyzer employs a mouthpiece 12 adapted to engage the inner surfaces of the user's mouth, so as to form the sole passage for flowing respiratory gasses into and out of the mouth. A nose clamp
10 of conventional construction (not shown) may be employed in connection with the mouthpiece 12 to assure that all respiratory gas passes through the mouthpiece. In alternative configurations, a mask that engages the nose as well as the mouth might be employed or an endotracheal tube could be used.

The mouthpiece 12 connects through a short passage 14 to a capnometer
15 sensor 16. The capnometer 16 generates an electrical signal which is a function of the instantaneous carbon dioxide concentration of gas passing through the mouthpiece 12. The capnometer may be of a conventional type such as those described in U.S. Patent Nos. 4,859,858; 4,859,859; 4,914,720 or 4,958,075. The capnometer provides an electrical output signal to a computation unit 18
20 incorporating a suitably programmed microprocessor (not shown), a display 20, and a keypad 22.

The capnometer is connected by a short passage 24 to a two position, three-way valve, generally indicated at 26. The valve has a single input flow channel from a one-way valve 28 which connects to a gas flow conduit 30. The valve has a first position, illustrated in Figure 1, in which output is provided to a second one-way valve 32 connecting to the input of a carbon dioxide scrubber 34. In its second position, schematically illustrated in Figure 3, the valve is shifted so as to block gas flow to the valve 32 and thus the scrubber and to direct flow to an air passage 34 which connects with the gas conduit volume 30.

The carbon dioxide scrubber 34 is a container having a central gas passageway filled with a carbon dioxide absorbent material such as sodium hydroxide or calcium hydroxide. Such absorbent materials may include sodium hydroxide and/or calcium hydroxide mixed with silica in a form known as "Soda Lime™." Another absorbent material which may be used is "Baralyme™" which comprises a mixture of barium hydroxide and calcium hydroxide. The carbon dioxide scrubber has internal baffles 36 which provide a labyrinth flow of gasses.

The output 38 of the scrubber is located adjacent to a bi-directional volume flow sensor 40 which is positioned at the end of the volume 30 opposite to the valve 26. The flow sensor is preferably of the pressure differential type such as manufactured by Medical Graphics Corporation of St. Paul, Minnesota under the trademark "Medgraphics" of the general type illustrated in U.S. Patent No. 5,038,773. Alternatively other types of flow transducers such as

pneumatics or spirameters might be employed. The other end of the flow sensor is connected to a source and sink for respiratory gasses through a line 42. The source and sink is typically the atmosphere but may alternatively be a suitable form of positive pressure ventilator. The electrical output of the bi-directional volume flow sensor is connected to the computation unit 18.

With the valve 26 in the first position schematically illustrated in Figure 1, the system operates in the same manner as the unit described in my patent 5,179,958 to calculate various respiratory parameters of the patient such as oxygen consumption per unit time, the Respiratory Quotient (RQ) which equals VCO_2 divided by VO_2 , and the Resting Energy Expenditure (REE) preferably calculated from the Weir equation.

In this mode of operation, assuming that room air is being inhaled, an inhalation by the subject on the mouthpiece 12 draws room air in through the intake 42 through the flow meter 40, generating an electrical signal to the computation unit 18. The inhaled air then passes through the volume 30 and through the one-way valve 28, to the passage 24 leading to the capnometer sensor 16. The sensor 16 generates an electrical signal which is provided to the computation unit 18. The inhaled air then passes through the passage 14 to the patient via the mouthpiece 12. When the patient exhales the expired gasses pass through the capnometer 16 in the reverse direction and then through the one-way valve 32 to the input of the carbon dioxide scrubber 34. The scrubber absorbs the carbon dioxide in the exhaled breath and provides its output into the volume

30 immediately adjacent the bi-directional volume flow sensor 40 in a direction opposite to the inhaled gas.

The volume of exhaled air passing through the flow sensor 40 will be lower than the volume of inhaled air because of the absorption of the carbon dioxide by the scrubber 34. This difference in volume is a function of the oxygen absorbed from the inhaled air by the patient's lungs. The computation unit 18 converts the signals from the capnometer 16 and the flow sensor 40 into digital form if the signals are in analog form, as employed in the preferred embodiment of the invention. The computation unit 18 otherwise operates in the manner disclosed in my U.S. Patent 4,917,718 to integrate signals representing the difference between the inhaled and exhaled volume for the period of the test and multiply them by a constant to arrive at a display of kilocalories per unit time. The Resting Energy Expenditure (REE) and the Respiratory Quotient (RQ) are similarly calculated. The keyboard 22 associated with the computation unit 18 allows storage and display of various factors in the same manner as the systems of my previous patent.

The unit may incorporate an artificial nose and/or a bacterial filter as described in my previous patents or may incorporate a temperature sensor which provides a signal to the computation unit 18 to adjust the measurements as a function of the breath and external air temperature.

In order to use the analyzer to noninvasively measure the patient's cardiac output, the connections between scrubber 34 and the main body of the

unit are blocked. The scrubber may be physically removed from the main unit or may continue to be supported on the main unit with appropriate valving (not shown) shifted to block off the scrubber so it is inoperative during the measurement.

5 Figure 2 illustrates the unit with the scrubber 34 physically detached and with wall sections 50 and 52 blocking off the ports in the main body to which the input and output connections of the scrubber 34 connect. This creates a relatively narrow, low volume passage 54 connecting the output of the one way valve 32 to the area adjacent the flow meter 40.

10 In this position, when the patient inhales air or respiratory gasses are drawn in through the inlet 42, passed through the bi-directional sensor 40, passed through the volume 30 and the one way valve 28, through the capnometer 16 to the mouthpiece 12. When the patient exhales, gasses are passed from the mouthpiece 12, through the passage 14, through the capnometer 16, through the
15 one way valve 32 and the passage 54 and out the bi-directional sensor 40.

 The computation unit 18 may control the two position valve 26 and move it to a second position, illustrated schematically in Figure 3, in which the flow passage to the one-way valve 32 is blocked and the passage 34 is open to the flow volume 24 adjacent the capnometer 16. The shifted valve prevents exhaled
20 gasses from entering the passage 56 and instead returns the exhaled gasses back in the direction of the flow sensor 40 through the conduit volume 30. This creates a temporary increase in dead space that causes rebreathing of carbon

dioxide enriched air from the volume 30 when the patient inhales to create changes in the carbon dioxide content of the exhalation (VCO_2) and in the end-tidal carbon dioxide ($etCO_2$) so that the computation unit 18 may generate a signal which is a function of the cardiac output.

- 5 The measurement sequence is as follows:
1. With valve 26 in the position illustrated in Figure 2, VCO_2 and $etCO_2$ are recorded over three minutes. The volume of VCO_2 is calculated by integrating the instantaneous measurements of the capnometer sensor over the flow volume as indicated by sensor
10 40. The $etCO_2$ is calculated on a breath-by-breath basis using a peak detection algorithm which stores the maximum value of the transient CO_2 signal from the capnometer 16 for each breath. The inhaled air is not admixed to any appreciable degree with previously exhaled air.
 - 15 2. The computation unit 18 then switches the valve 26 to the position illustrated in Figure 3. The volume of the conduit 30 is then filled with exhaled breath, with the overflow being passed out through the bi-directional flow sensor 40. The volume of the passage 30 is preferably about 15-25% of the tidal volume of the
20 subject. Typical tidal volumes range between 600 ml and 1000 ml and the volume of the chamber 30 is preferably about 150 ml. The subject therefore rebreathes carbon dioxide from the

temporary dead space chamber for approximately thirty seconds. During this thirty second period breath-to-breath end-tidal carbon dioxide and total integrated volume of carbon dioxide are recorded.

- 5 3. The collected data are than processed by the computation unit 18 and the results are displayed or printed.

The unit can thus calculate and display the following parameters: oxygen consumption (VO_2), measured energy expenditure (MEE), carbon dioxide production (VCO_2), cardiac output (CO), respiratory exchange ratio (RER),
10 minute ventilation (V), and end-tidal carbon dioxide ($etCO_2$).

The computation unit 18, in the cardiac output mode may employ a computation algorithm of the type described in the Capek and Roy paper.

Having thus described my invention I claim:

CLAIMS

1 1. A respiratory gas analyzer for measuring the metabolic activity
2 or cardiac output of a subject, comprising:
3 a respiratory connector operative to be supported in contact with a
4 subject so as to pass inhaled and exhaled gasses as the subject breathes;
5 means for connecting to a source of respiratory gasses;
6 a bi-directional flow meter adapted to generate electrical signals as a
7 function of the volume of gasses which pass through it in either direction;
8 a pass-through carbon dioxide scrubber operative to absorb carbon
9 dioxide from gasses which pass through it;
10 a capnometer;
11 a valve shiftable between a first configuration and a second
12 configuration;
13 conduits interconnecting said respiratory connector, said means for
14 connecting to a source of respiratory gasses, said scrubber, said flow meter, said
15 capnometer and said valve;
16 computer means for receiving the outputs of the flow meter and the
17 capnometer;
18 means for controlling the position of the valve;
19 said computer being connected to said means for controlling the valving
20 so as to interconnect the components in either a first configuration in which,
21 upon inhalation by a subject substantially the entire inhaled volume is passed

22 from the source of respiratory gasses, through the flow meter to the subject and
23 upon exhalation by a subject substantially all of the exhaled gasses are passed
24 through the flow meter in a direction opposite to the inhaled gasses, or a second
25 configuration in which upon inhalation by a subject only a fraction of the inhaled
26 gasses are passed through the flow meter from the source of respiratory gasses,
27 with the balance constituting previously exhaled gasses stored in said conduits,
28 and upon exhalation by a subject only a fraction of the exhaled gasses are passed
29 through the flow meter in a direction opposite to the inhaled gasses, the balance
30 being stored in said conduits, whereby the computer may calculate the cardiac
31 output of a subject based on the difference between the carbon dioxide content
32 of the exhaled gasses between the time the valves are in the first configuration
33 and the time the valves are in the second configuration and the difference in the
34 end-tidal carbon dioxide content of the exhaled breath between the times the
35 valves are in the first configuration and the valves are in the second
36 configuration; and means operative at such time as said valve is in said first
37 configuration, whereby upon exhalation by a subject, the exhaled gasses are
38 passed first through the scrubber, then through the flow meter in a direction
39 opposite to the inhaled gasses, whereby the computer may generate a signal
40 proportional to the integral of the difference between the inhaled and exhaled gas
41 volumes over a period of time to calculate a subject's metabolic rate.

1 2. The metabolic gas analyzer of claim 1 wherein the scrubber may
2 be connected to the conduits so that upon exhalation by a subject the exhaled
3 gasses are passed first through the scrubber, then through the flow meter in a
4 direction opposite to the inhaled gasses, or the scrubber may be removed from
5 the conduit so that upon exhalation by a subject exhaled gasses passing through
6 the flow meter in a direction opposite to the inhaled gasses are not first passed
7 through the scrubber.

1 3. A respiratory gas analyzer useful for calculating the respiratory
2 oxygen consumption per unit time or the cardiac output of a subject, comprising:
3 a respiratory connector operative to be supported in contact with the
4 mouth of a subject so as to pass inhaled and exhaled gasses as the subject
5 breathes;
6 means for connecting to a source of respiratory gasses;
7 a pass-through bi-directional flow meter adapted to generate electrical
8 signals as a function of the volume of gasses which pass through it in either
9 direction;
10 a pass-through carbon dioxide scrubber operative to absorb carbon
11 dioxide from gasses which pass through it;
12 a capnometer;
13 a computer for receiving generated electrical signals from the flow meter
14 and the capnometer;

15 conduits interconnecting said respiratory connector, said means for
16 connecting to a source of respiratory gasses, said capnometer and said flow
17 meter;

18 a connector for removably attaching the scrubber to the conduits; and

19 valve means connected to the conduits for arranging the respiratory gas
20 analyzer in either a first configuration in which, upon inhalation by a subject
21 gasses are passed from the source of respiratory gasses through the flow meter
22 to a subject so that substantially all of the inhaled gasses are passed through the
23 flow meter or a second configuration wherein upon inhalation by a subject a
24 portion of the inhaled gasses are derived from previously exhaled gas stored
25 within the conduits and the balance is derived from the source of respiratory
26 gasses through the flow meter, the computer being operative to calculate an
27 electrical signal which is a function of the cardiac output of a subject based upon
28 the difference in the carbon dioxide content in the expired gasses between the
29 time that the valve is in the first configuration and the valve is in the second
30 configuration, and the difference between the end-tidal carbon dioxide content
31 of the exhaled breath between the times that the valve is said first configuration
32 and the time that the valve is in said second configuration; and

33 means for connecting said scrubber to the conduits when said valve is in
34 said first configuration, so that exhaled gasses are passed first through the
35 scrubber, then through the flow meter in a direction opposite to the inhaled
36 gasses, whereby the flow meter is operative to generate a signal proportional to

37 the integral of the difference between the inhaled and exhaled gas volumes over
38 a period of time to calculate the metabolic activity of a subject.

1 4. The respiratory gas analyzer of claim 3 in which the source of
2 respiratory gasses is the atmosphere.

1 5. The respiratory gas analyzer of claim 3 in which said portion of
2 inhaled gasses which are derived from the previously exhaled gasses represents
3 about 10-60% of the entire inhaled gas.

FIG-1

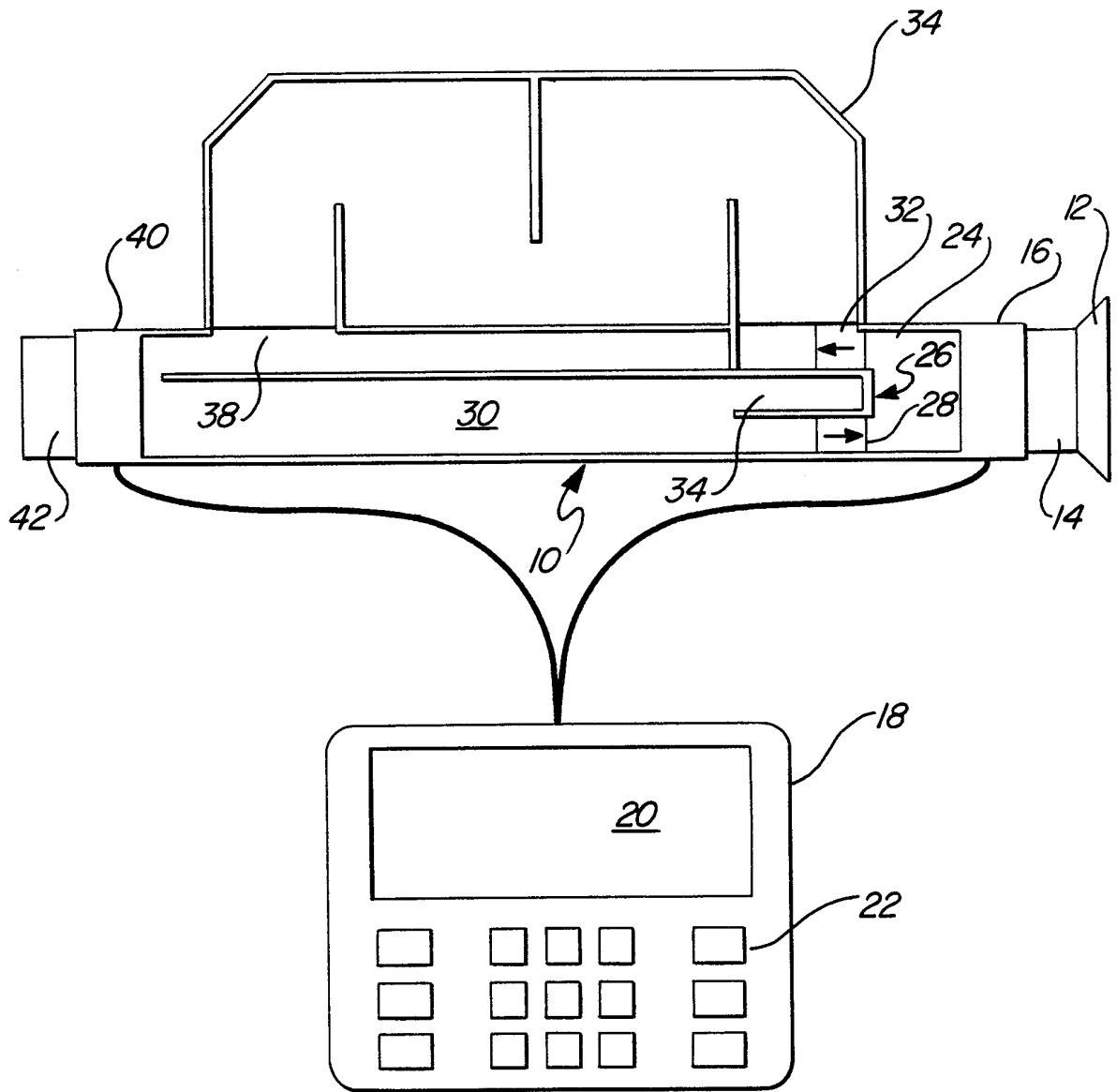
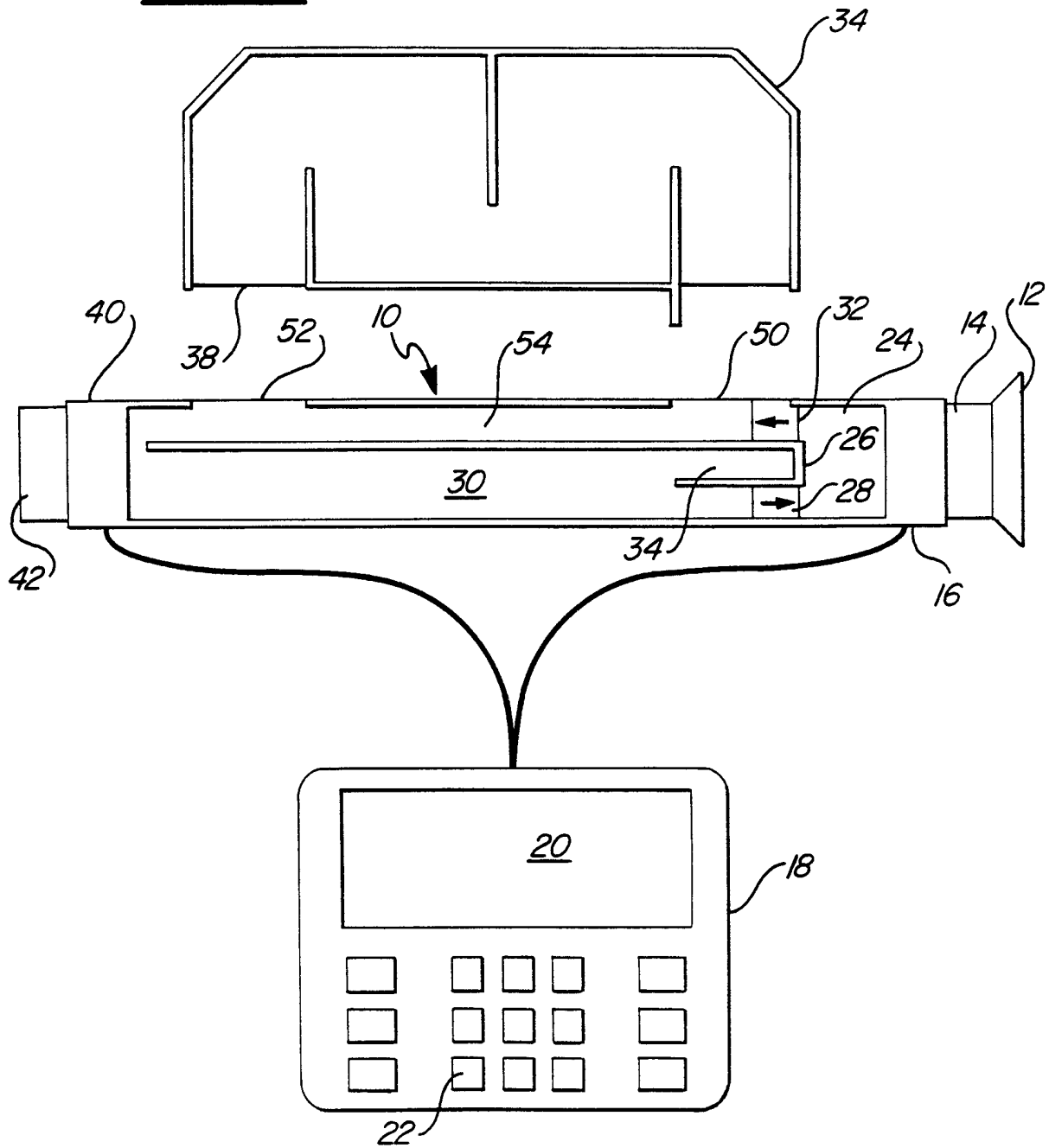


FIG-2



INTERNATIONAL SEARCH REPORT

International application No.
PCT/US98/05184

A. CLASSIFICATION OF SUBJECT MATTER
 IPC(6) : A61M 16/00
 US CL : 128/204.22, 204.23; 600/529, 532
 According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED
 Minimum documentation searched (classification system followed by classification symbols)
 U.S. : 128/204.22, 204.23; 600/529, 532

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
 APS

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4,619,269 A (CUTLER et al) 28 October 1986, col. 4 lines 1-7, and col. 5 lines 17-24 and 33-40.	1-5
A	US 3,527,205 A (JONES) 08 September 1970, col. 6 lines 3-32.	1-5
A	US 5,095,913 A (YELDERMAN et al) 17 March 1992, col.2lines 58-65.	1-5

Further documents are listed in the continuation of Box C. See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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Date of the actual completion of the international search 16 JUNE 1998	Date of mailing of the international search report 06 JUL 1998
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Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 308-0758	Authorized officer <i>Virendra Srivastava</i> VIRENDRA SRIVASTAVA Telephone No. (703) 308-0959
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