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(74) Agent: TALKINGTON, Jeffrey C.; Squire, Sanders & Dempsey L.L.P., 1 Maritime Plaza, Suite 300, San Francisco, CA 94111-3492, (US).

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(71) Applicant (for all designated States except US): **ABBOTT CARDIOVASCULAR SYSTEMS INC.** [US/US]; 3200 Lakeside Drive, Santa Clara, California 95054-2807 (US).

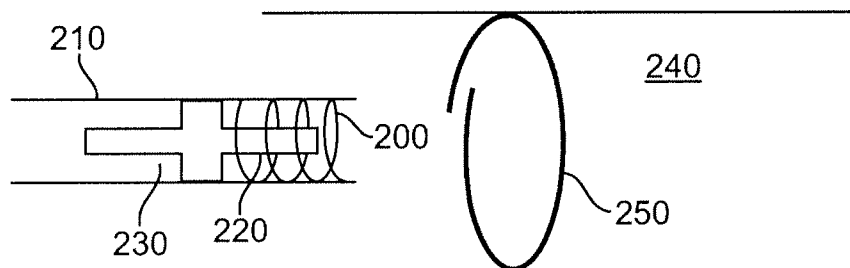
(72) Inventors; and

(75) Inventors/Applicants (for US only): **KRAMER-BROWN, Pamela A.** [US/US]; 3046 Fowler Road, San Jose, California 95135 (US). **LUDWIG, Florian N.** [DE/US]; 757 Lola Lane, Mountain View, California 94040 (US).

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(54) Title: BIOACTIVE AGENT-CONTAINING IMPLANTABLE RINGS



(57) Abstract: A bioactive agent-containing implantable open ring-shaped medical device and methods of using for the treatment of disease are disclosed.

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BIOACTIVE AGENT-CONTAINING IMPLANTABLE RINGS

FIELD OF THE INVENTION

The present invention is directed to a bioactive agent-containing implantable rings and methods of using for the treatment of disease.

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BACKGROUND OF THE INVENTION

The traditional method of administering therapeutic agents to treat diseases of the internal organs and vasculature has been by systemic or local delivery. Systemic delivery involves administering a therapeutic agent at a discrete location followed by the agent
10 migrating throughout the patient's body including, of course, to the afflicted organ or area of the vasculature. Systemic delivery is carried out primarily in two ways: introduction of the therapeutic agent into the digestive tract (enteral administration) or into the vascular system (parenteral administration), either directly such as injection into a vein or an artery or indirectly such as injection into a muscle or into the bone marrow. Delivery by each of
15 these routes is strongly influenced by the so-called ADMET factors: absorption, distribution, metabolism, excretion and toxicity. For enteric administration, such factors as a compound's solubility, its stability in the acidic environs of the stomach and its ability to permeate the intestinal wall all affect the extent to which the drug is absorbed and therefore its bioavailability. For parenteral delivery factors such as enzymatic degradation,
20 the lipophilic/hydrophilic partitioning coefficient and protein binding will affect the bioavailability of an agent.

At the other end of the spectrum is local delivery, which comprises administering the therapeutic agent directly to the afflicted site. The ADMET factors tend to be less important than with systemic administration since the agent is being administered essentially directly to the treatment site. Thus, the initial dose can be at or very close to the therapeutically effective amount. With time, some of the locally delivered therapeutic agent may diffuse over a wider region but such is not the intent of localized delivery and the concentration of the diffused agent will ordinarily be sub-therapeutic, i.e., too low to have a therapeutic effect. Since localized delivery targets only the desired treatment site, it is possible that some of the causal factors of the disease that have spread to as yet non-afflicted regions of the organ at the periphery of the afflicted region may not undergo sufficient treatment, resulting in reoccurrence of the disease.

What would be beneficial are devices and methods that can be used to treat a disease such that the ADMET factors are of reduced significance as in the case of local delivery while at the same time some of the broad coverage afforded by systemic delivery is maintained. The current invention provides such devices and methods.

SUMMARY OF THE INVENTION

The present invention relates to an implantable medical device that includes an open ring-shaped device body having a first and second end and one or more bioactive agents adhered to a surface of, integrated into the structure of or disposed within pores in the device body.

In one aspect, the first and second ends of the open ring-shaped device body overlap each other. In another aspect, the first and second ends of the open ring-shaped device body do not overlap each other.

In various aspects, the device body comprises a material selected from a group that includes nitinol, a biodegradable material, a polymeric material and any combination thereof.

5 In various aspects, the device body comprises a polymeric material and a nitinol core.

In various aspects, the implantable medical device further includes two or more open ring-shaped device bodies.

In various aspects, the implantable medical device further includes a degradable casing in which the open ring-shaped device body is contained in a compressed state.
10 The casing can degrade within 10 seconds to 10 minutes.

In various aspects, the implantable medical device further includes a tether wherein the open ring-shaped device body encircles the tether. In various aspects, the open ring-shaped device body abuts a spherical member coupled to the distal end of the tether. In one embodiment, the spherical member is biodegradable.

15 In various aspects, the implantable medical device further includes a plurality of tethers wherein one open ring-shaped device encircles each tether and abuts a spherical member. In various embodiments, the implantable medical device includes two or more open-ringed shaped devices encircling at least one tether. In other embodiments, the two or more open-ringed shaped devices each individually abut a
20 spherical member coupled to the tether.

Another aspect of the present invention relates to a method for treating or preventing a disease. The method involves providing an implantable medical device of the invention, wherein the device is in a compressed state and positioned at the distal end of a catheter, inserting the catheter into a blood vessel of a patient upstream of a
25 disease site locale and deploying the device into the bloodstream of the patient,

wherein the device expands to the circumference of the blood vessel in which it is positioned.

In various aspects, deploying the device involves using a plunger having a longitudinally-oriented cylindrical member on which the compressed implantable
5 medical device is positioned.

In various aspects, the disease to be treated is a vascular disease. When a vascular disease is to be treated it can be atherosclerosis, restenosis, vulnerable plaque or peripheral arterial disease.

In various aspects, the disease to be treated is selected from a group that includes
10 cancer, coronary artery disease, kidney disease, liver disease, respiratory disease, infectious diseases and autoimmune diseases.

Another aspect of the present invention relates to a method for treating or preventing a disease. The method involves providing an implantable medical device according to the invention wherein the device is positioned in the distal end of a
15 catheter, inserting the catheter into a blood vessel of a patient upstream of a disease site locale, deploying the device into the bloodstream of the patient such that the device is allowed to move in the direction of blood flow to be positioned at or near a disease site locale while the proximal end of the tether remains operatively coupled to the catheter and retrieving the tether.

20 In various aspects, the disease to be treated is a vascular disease. When a vascular disease is to be treated it can be atherosclerosis, restenosis, vulnerable plaque or peripheral arterial disease.

In various aspects, the disease to be treated is selected from a group that includes cancer, coronary artery disease, kidney disease, liver disease, respiratory disease,
25 infectious diseases and autoimmune diseases.

BRIEF DESCRIPTION OF THE DRAWINGS

Figures 1A-B depict a cross-sectional view of a blood vessel in which implantable medical devices of the invention are positioned. Figure 1A depicts a device positioned in
5 a blood vessel in which the ends of an open ring-shaped device overlap each other. Figure 1B depicts a device positioned in a blood vessel in which the ends of the open ring-shaped device do not overlap each other.

Figure 2 depicts a cross-sectional view of a blood vessel showing a medical device in a compressed state in a catheter where the medical device is positioned on a cylindrical
10 member of a plunger. A fully deployed medical device in the blood vessel is also shown.

Figure 3 illustrates a vascular network showing a device of the invention abutting a spherical member, both of which are contained within a degradable casing. A tether running from the spherical member through the device and to a catheter is also shown.

DETAILED DESCRIPTION

15

DEFINITIONS:

Use of the singular herein includes the plural and visa versa unless expressly stated to be otherwise. That is, "a" and "the" refer to one or more of whatever the word modifies. For example, "a bioactive agent" includes one such agent, two such agents, etc.

20 As used herein, "implantable medical device" refers to any type of appliance that is totally or partly introduced, surgically or medically, into a patient's body or by medical intervention into a natural orifice, and which is intended to remain there after the procedure. The duration of implantation may be essentially permanent, i.e., intended to remain in place for the remaining lifespan of the patient; until the device biodegrades; or
25 until it is physically removed. At present, a preferred implantable medical device for use

with this invention includes a open ring-shaped device body that can be employed for the regional or localized delivery of bioactive agents to specific treatment sites or areas in a patient's body.

As used herein, "device body" refers to a fully formed implantable medical device
5 with an outer surface to which no coating or layer of material different from that of which the device itself is manufactured has been applied.

As used herein, "open ring-shaped device body" refers to generally circular shaped device body in which the ends of the circle are not connected. Rings in which the ends overlap or do not overlap are encompassed by the present invention. The terms "open
10 ring-shaped device body", "device body" and "ring" and will be used interchangeably (unless the context shows otherwise).

As used herein, "adhered to the surface of" means the bioactive agent is covalently or non-covalently attached to the outer surface of the device body.

As used herein, "integrated into the structure of" means the bioactive agent is
15 encapsulated in the material forming the device body.

As used herein, "disposed within pores" means the bioactive agent is located within pores, or holes, that are part of the device body.

As used herein, "tether" refers to any connecting mechanism, e.g., a string, that is flexible and capable of being coupled, either directly or indirectly to a component of the
20 invention.

As used herein, "operatively coupled" refers to the attachment of a tether to the biodegradable spherical member, the biodegradable casing or the device body of the invention through either direct or indirect means. For example, the tether can be directly attached to the surface of the casing or the spherical member by a portion of the tether
25 itself. Alternatively, the device body can encircle the tether, in which case it would be

indirectly attached to the tether.

As used herein, "casing" refers to a material that completely encases a device body and optionally a spherical member of the invention. The casing will be readily biodegradable or biosoluble such that once the device body is positioned at a target site in the vasculature it will degrade or dissolve within a few seconds to a few minutes to release the device body within. Preferably, a casing will degrade within 10 seconds to 10 minutes after deployment *in vivo*. Examples of biodegradable and biosoluble materials include polyethylene glycol, polyvinyl pyrrolidone, polysaccharides, tyrosine-based polycarbonates and amphiphilic block copolymers such as poly ethylene glycol-poly lactic acid. Other suitable biodegradable materials are known to those skilled in the art.

As used herein, "biodegradable" as well as degraded, eroded, and absorbed, are used interchangeably (unless the context shows otherwise) and refer to materials that are capable of being degraded or absorbed when exposed to bodily fluids such as blood, and components thereof such as enzymes, and that can be gradually resorbed, absorbed, and/or eliminated by the body.

As used herein, "spherical member" refers to a generally round end piece attached to the distal end of the tether and on which the ring-shaped device body can be positioned during deployment. It can be biodegradable, biosoluble or biostable. Examples of biodegradable, biosoluble and biostable materials are described below, and others are known to those skilled in the art.

As used herein, "patient" refers to any organism that can benefit from the administration of a bioactive agent. In particular, patient refers to a mammal such as a cat, dog, horse, cow, pig, sheep, rabbit, goat or a human being.

As used herein, "treating" refers to the administration of a therapeutically effective amount of a bioactive agent to a patient known or suspected to be suffering from a disease.

As used herein, "therapeutically effective amount" refers to the amount of bioactive agent that has a beneficial effect, which may be curative or palliative, on the health and well-being of a patient with regard to a disease with which the patient is known or suspected to be afflicted. A therapeutically effective amount may be administered as a
5 single bolus, as intermittent bolus charges, as short, medium or long term sustained release formulations or as any combination of these.

As used herein, "known" to be afflicted with a disease refers first to a condition that is relatively readily observable and or diagnosable. An example, without limitation, of such a disease is atherosclerosis, which is a discrete narrowing of a patient's arteries.
10 Restenosis, on the other hand, while in its latter stages, like atherosclerosis, is relatively readily diagnosable or directly observable, may not be so in its nascent stage. Thus, a patient may be "suspected" of being afflicted or of being susceptible to affliction with restenosis at some time subsequent to a surgical procedure to treat an atherosclerotic lesion.

15 As used herein, "bioactive agent" can be used interchangeably with therapeutic agent. A bioactive agent of the invention can be an anti-restenosis agent, an antiproliferative agent, an anti-inflammatory agent, an antineoplastic, an antimetabolic, an antiplatelet, an anticoagulant, an antifibrin, an antithrombin, a cytostatic agent, an antibiotic, an anti-allergic agent, an anti-enzymatic agent, an angiogenic agent, a cyto-
20 protective agent, a cardioprotective agent, a proliferative agent, an ABC A1 agonist, an antioxidant, or any combination thereof.

Examples of antiproliferative agents include, without limitation, actinomycins, taxol, docetaxel, paclitaxel, rapamycin, 40-*O*-(3-hydroxy)propyl-rapamycin, 40-*O*-[2-(2-hydroxy)ethoxy]ethyl-rapamycin, or 40-*O*-tetrazole-rapamycin, 40-*epi*-(N1-tetrazolyl)-
25 rapamycin, everolimus, biolimus, perfenidone and derivatives, analogs, prodrugs, co-drugs

and combinations of any of the foregoing.

Examples of anti-inflammatory agents include both steroidal and non-steroidal (NSAID) anti-inflammatory agents such as, without limitation, clobetasol, alclofenac, alclometasone dipropionate, algestone acetonide, alpha amylase, amcinafal, amcinafide, amfenac sodium, amiprilose hydrochloride, anakinra, anirolac, anitrazafen, apazone, balsalazide disodium, bendazac, benoxaprofen, benzydamine hydrochloride, bromelains, broperamole, budesonide, carprofen, cicloprofen, cintazone, cliprofen, clobetasol propionate, clobetasone butyrate, clopirac, cloticasone propionate, cormethasone acetate, cortodoxone, deflazacort, desonide, desoximetasone, dexamethasone dipropionate, diclofenac potassium, diclofenac sodium, diflorasone diacetate, diflumidone sodium, diflunisal, difluprednate, diftalone, dimethyl sulfoxide, drocinonide, endrysone, enlimomab, enolicam sodium, epirizole, etodolac, etofenamate, felbinac, fenamole, fenbufen, fenclofenac, fenclorac, fendosal, fempipalone, fentiazac, flazalone, fluazacort, flufenamic acid, flumizole, flunisolide acetate, flunixin, flunixin meglumine, fluocortin butyl, fluorometholone acetate, fluquazone, flurbiprofen, fluretofen, fluticasone propionate, furaprofen, furobufen, halcinonide, halobetasol propionate, halopredone acetate, ibufenac, ibuprofen, ibuprofen aluminum, ibuprofen piconol, ilonidap, indomethacin, indomethacin sodium, indoprofen, indoxole, intrazole, isoflupredone acetate, isoxepac, isoxicam, ketoprofen, lofemizole hydrochloride, lomoxicam, loteprednol etabonate, meclofenamate sodium, meclofenamic acid, meclorisone dibutyrate, mefenamic acid, mesalamine, meseclazone, methylprednisolone suleptanate, momiflumate, nabumetone, naproxen, naproxen sodium, naproxol, nimazone, olsalazine sodium, orgotein, orpanoxin, oxaprozin, oxyphenbutazone, paranyline hydrochloride, pentosan polysulfate sodium, phenbutazone sodium glycerate, pirfenidone, piroxicam, piroxicam cinnamate, piroxicam olamine, pirprofen, prednazate, prifelone, prodolic acid,

proquazone, proxazole, proxazole citrate, rimexolone, romazarit, salcolex, salnacedin, salsalate, sanguinarium chloride, seclazone, sermetacin, sudoxicam, sulindac, suprofen, talmetacin, talniflumate, talosalate, tebufelone, tenidap, tenidap sodium, tenoxicam, tesicam, tesimide, tetrydamine, tiopinac, tixocortol pivalate, tolmetin, tolmetin sodium, 5 triclone, triflumidate, zidometacin, zomepirac sodium, aspirin (acetylsalicylic acid), salicylic acid, corticosteroids, glucocorticoids, tacrolimus, pimecrolimus and derivatives, analogs, prodrugs, co-drugs and combinations of any of the foregoing.

Examples of antineoplastics and antimitotics include, without limitation, paclitaxel, docetaxel, methotrexate, azathioprine, vincristine, vinblastine, fluorouracil, doxorubicin 10 hydrochloride, and mitomycin.

Examples of antiplatelet, anticoagulant, antifibrin, and antithrombin drugs include, without limitation, sodium heparin, low molecular weight heparins, heparinoids, hirudin, argatroban, forskolin, vapiprost, prostacyclin, prostacyclin dextran, D- phe-pro-arg-chloromethylketone, dipyridamole, glycoprotein IIb/IIIa platelet membrane receptor 15 antagonist antibody, recombinant hirudin and thrombin, thrombin inhibitors such as Angiomax ä, calcium channel blockers such as nifedipine, colchicine, fish oil (omega 3-fatty acid), histamine antagonists, lovastatin, monoclonal antibodies such as those specific for Platelet-Derived Growth Factor (PDGF) receptors, nitroprusside, phosphodiesterase inhibitors, prostaglandin inhibitors, suramin, serotonin blockers, steroids, thioprotease 20 inhibitors, triazolopyrimidine, nitric oxide or nitric oxide donors, super oxide dismutases, super oxide dismutase mimetic, 4-amino-2,2,6,6-tetramethylpiperidine-1-oxyl (4-amino-TEMPO) and derivatives, analogs, prodrugs, codrugs and combinations thereof.

Examples of cytostatic or antiproliferative agents include, without limitation, angiotensin converting enzyme inhibitors such as captopril, cilazapril or • 25 lisinopril, calcium channel blockers such as nifedipine; colchicine, fibroblast growth factor

(FGF) antagonists; fish oil (ω -3-fatty acid); histamine antagonists; lovastatin, monoclonal antibodies such as, without limitation, those specific for Platelet-Derived Growth Factor (PDGF) receptors; nitroprusside, phosphodiesterase inhibitors, prostaglandin inhibitors, suramin, serotonin blockers, steroids, thioprotease inhibitors, triazolopyrimidine (a PDGF antagonist) and nitric oxide.

Examples of antiallergic agents include, without limitation, permirrolast potassium.

Other compounds that may be used as bioactive agents of this invention include, without limitation, alpha-interferon, genetically engineered epithelial cells, dexamethasone, antisense molecules which bind to complementary DNA to inhibit transcription, and ribozymes, antibodies, receptor ligands, enzymes, adhesion peptides, blood clotting factors, inhibitors or clot dissolving agents such as streptokinase and tissue plasminogen activator, antigens for immunization, hormones and growth factors, oligonucleotides such as antisense oligonucleotides and ribozymes and retroviral vectors for use in gene therapy; antiviral agents; analgesics and analgesic combinations; anorexics; antihelminthics; antiarthritics, antiasthmatic agents; anticonvulsants; antidepressants; antidiuretic agents; antidiarrheals; antihistamines; antimigrain preparations; antinauseants; antiparkinsonism drugs; antipruritics; antipsychotics; antipyretics; antispasmodics; anticholinergics; sympathomimetics; xanthine derivatives; cardiovascular preparations including calcium channel blockers and beta-blockers such as pindolol and antiarrhythmics; antihypertensives; diuretics; vasodilators including general coronary; peripheral and cerebral; central nervous system stimulants; cough and cold preparations, including decongestants; hypnotics; immunosuppressives; muscle relaxants; parasympatholytics; psychostimulants; sedatives; tranquilizers; naturally derived or genetically engineered lipoproteins; and derivatives, analogs, prodrugs, codrugs and combinations of any of the foregoing.

Other bioactive agents include a corticosteroid, everolimus, zotarolimus, sirolimus, and derivatives thereof, paclitaxel, biolimus A9, a bisphosphonate, ApoA1, a mutated ApoA1, ApoA1 milano, an ApoA1 mimetic peptide, an ABC A1 agonist, an anti-inflammatory agent, an anti-proliferative agent, an anti-angiogenic agent, a matrix metalloproteinase inhibitor and a tissue inhibitor of metalloproteinase.

The present invention relates to an implantable medical device that includes a ring having a first and second end and one or more bioactive agents adhered to a surface of, integrated into the structure of or disposed within pores in the ring. Methods of adhering to a surface of, integrating into the structure of and disposing within pores of an implantable medical device are known to those skilled in the art.

A ring of the invention will necessarily be made of a material that has the ability to be compressed and to subsequently expand to its original shape. Exemplary ring materials include nitinol, biodegradable materials, polymeric materials and any combination thereof. A presently preferred ring material includes a polymeric material with a nitinol core. The polymeric material will allow for efficient bioactive agent loading and subsequent release while the nitinol core will allow for efficient compression and subsequent expansion of the ring.

Polymeric materials of the invention will be generally biocompatible and can be biodegradable or biostable as well as hydrophobic or hydrophilic.

As used herein, "biocompatible" refers to a polymer that both in its intact, as synthesized state and in its decomposed state, i.e., its degradation products, is not, or at least is minimally, toxic to living tissue; does not, or at least minimally and reparably, injure(s) living tissue; and/or does not, or at least minimally and/or controllably, cause(s) an immunological reaction in living tissue.

Biocompatible, biostable polymers include, without limitation, parylene, poly(D,L-

lactide-co-glycolide), poly(l-lactide-co-glycolide) poly(3-hydroxybutyrate), poly(4-hydroxybutyrate), poly(3-hydroxybutyrate-co-3-hydroxyvalerate), polyorthoester, polyanhydride, poly(glycolic acid), poly(glycolide), poly(L-lactic acid), poly(L-lactide), poly(D,L-lactic acid), poly(D,L-lactide), poly(L-lactide-co-D,L-lactide),
 5 poly(caprolactone), poly(L-lactide-co-caprolactone), poly(D,L-lactide-co-caprolactone), poly(glycolide-co-caprolactone), poly(trimethylene carbonate), polyester amide, poly(glycolic acid-co-trimethylene carbonate), co-poly(ether-esters) (e.g. PEO/PLA), polyphosphazenes, polyurethanes, silicones, polyesters, polyolefins, polyisobutylene and ethylene-alphaolefin copolymers, acrylic polymers and copolymers other than
 10 polyacrylates, vinyl halide polymers and copolymers (such as polyvinyl chloride), polyvinyl ethers (such as polyvinyl methyl ether), polyvinylidene halides (such as polyvinylidene chloride), poly(vinylidene fluoride), poly(vinylidene fluoride-co-hexafluoropropylene), polyacrylonitrile, polyvinyl ketones, polyvinyl aromatics (such as polystyrene), polyvinyl esters (such as polyvinyl acetate), acrylonitrile-styrene
 15 copolymers, ABS resins, polyamides (such as Nylon 66 and polycaprolactam), polycarbonates including tyrosine-based polycarbonates, polyoxymethylenes, polyimides, polyethers, polyurethanes, rayon, rayon-triacetate, fullerenes and lipids.

Biocompatible, biodegradable polymers include, without limitation, naturally-occurring polymers such as, without limitation, collagen, chitosan, alginate, fibrin,
 20 fibrinogen, cellulotics, starches, dextran, dextrin, hyaluronic acid, heparin, glycosaminoglycans, polysaccharides and elastin.

Synthetic biocompatible, biodegradable polymers include, without limitation, polylactic acid, polyglycolic acid, polyethylene glycol, polycaprolactone, polyanhydrides, polyvinyl alcohol and poly(ester-amides).

25 As used herein, a synthetic polymer refers to one that is created wholly in the

laboratory while a semi-synthetic polymer refers to a naturally-occurring polymer than has been chemically modified in the laboratory. Examples of synthetic polymers include, without limitation, polyphosphazines, polyphosphoesters, polyphosphoester urethane, polyhydroxyacids, polyhydroxyalkanoates, polyanhydrides, polyesters, polyorthoesters, polyamino acids, polyoxymethylenes, poly(ester-amides) and polyimides.

A ring of the invention will also necessarily have an open structure so that it can effectively be compressed when positioned within a catheter or within a casing of the invention as well as expand to the circumference of a vessel after it is deployed. Figures 1A-B illustrate rings of the invention in their deployed state. Figure 1A illustrates an open ring with overlapping ends positioned in a blood vessel while Figure 1B illustrates an open ring with ends that do not overlap positioned in a blood vessel. In each situation, the self-expanding material from which the rings are made allow the ring to naturally expand to fit any vessel circumference.

Another aspect of the invention relates to a method for treating or preventing a disease using a bioactive agent-containing ring of the invention. The method involves compressing a ring and positioning it at the distal end of a catheter, inserting the catheter into a blood vessel of a patient upstream of a disease site locale and deploying the ring into the bloodstream of the patient, wherein the ring expands to the circumference of the blood vessel in which it is positioned.

A preferred method of deploying the ring involves using a deployment plunger having a longitudinally-oriented cylindrical member on which the compressed implantable medical device is positioned.

Figure 2 illustrates this aspect of the invention. Ring **200** is compressed within catheter **210** and positioned over longitudinally-oriented cylindrical member **220** of deployment plunger **230**. Catheter **210** is inserted into blood vessel **240** then ring **200**

is deployed by plunger **230** into vessel **240** where it expands to the circumference of vessel **240**. Figure 2 also shows a ring **250** in its expanded state positioned along the circumference of vessel **240**, thereby not impeding the flow of blood through vessel **240**. It is to be understood that ring **200** can be deployed in either a 'downstream' manner, for example in the direction of blood flow, or in an 'upstream' manner depending on the needs of the practitioner.

Once the ring is deployed, bioactive agent that was adhered to a surface of, integrated into the structure of or disposed within pores in the ring will diffuse into the vessel wall or into the bloodstream, thereby providing a means for the regional treatment of disease. In specific aspects, the disease to be treated is a vascular disease including, but not limited to, atherosclerosis, restenosis, vulnerable plaque or peripheral arterial disease.

While vascular diseases are presently preferred targets of the composition and methods herein, a host of other diseases are expected to be amenable to treatment or prevention such as, without limitation, cancer, coronary artery disease, kidney disease, liver disease, respiratory disease, infectious diseases and autoimmune diseases.

Cancer refers to malignant neoplasms, which, in turn relate to a large group of diseases that can arise in virtually any tissue composed of potentially dividing cells. The basic characteristic of cancer is a transmissible abnormality of cells that is manifested by reduced control over growth and function leading to serious life-threatening effects on the host through invasive growth and metastases.

Coronary artery disease refers to a narrowing of the coronary arteries cause by atherosclerosis that, when sufficiently severe, limits, or, in its most serious form completely occludes, the flow of blood to the myocardium (heart muscle).

Respiratory disease refers to a disease in which the lungs do not work properly so

that breathing is affected. Examples of respiratory diseases include, without limitation, asthma, tuberculosis, cystic fibrosis and pneumonia.

Kidney disease refers to any disease or disorder that affects the function of the kidneys such as, without limitation, acute nephritic syndrome, atheroembolic renal disease, chronic nephritis, kidney cancer, Goodpasture's syndrome, interstitial nephritis and lupus nephritis.

Liver disease refers to any disease that affects the function of the liver such as, without limitation, hepatitis (A, B, C, D or autoimmune), liver cancer and cirrhosis.

Infectious disease refers to any disease transmitted by a microorganism such as, without limitation, a bacterium, a virus, a prion, a fungus, an amoeba or a protozoon. In general, infectious diseases are communicable in nature and may be transmitted from one individual to another and are capable of producing serious illness in the other individual.

Autoimmune disease refers to diseases and disorders (and related diseases and disorders) involving an abnormal immune response such as, without limitation, Hashimoto's thyroiditis, diabetes, rheumatoid arthritis, systemic lupus erythematosus, Sjogren syndrome, multiple sclerosis, myasthenia gravis and Grave's disease.

In another aspect of the invention, a ring of the invention is compressed and encased in a degradable casing. Suitable casing materials include biodegradable, biosoluble and biocompatible polymeric materials and are described above. Methods of encasing a device are known to those skilled in the art. In this aspect of the invention, the degradable casing can also contain a spherical member, which can be biodegradable or biostable, to which the distal end of a tether is directly attached. Suitable biodegradable and biostable materials are described above. In this aspect of the invention, the degradable casing can also be adjacent to a spherical member.

Figure 3 illustrates this aspect of the invention. Spherical member **320** is connected

to the distal end of tether **330** inside of casing **350**. Ring **300** encircles tether **330** which, when deployed *in vivo*, runs from spherical member **320** through the vasculature to catheter **310**. Spherical member **320** acts to orient ring **300** so that upon casing **350** degradation ring **300** will be positioned along the circumference of vessel **340**.

5 Another aspect of the invention relates to a method for treating or preventing a disease using a ring encased in a biodegradable casing as described above. The method involves positioning the encased ring, i.e., device, in the distal end of a catheter, inserting the catheter into a blood vessel of a patient upstream of a disease site locale and deploying the device into the bloodstream of the patient such that the device is allowed to move in
10 the direction of blood flow. Using the attached tether, the encased ring can be positioned at or near a disease site locale while the proximal end of the tether remains operatively coupled to the catheter. Figure 3 illustrates one embodiment of this aspect. It is to be understood that degradable casing materials will be chosen so as to allow sufficient time for the device to become positioned upstream of a disease site locale but degrade quickly
15 enough so as to not block blood flow for any extended amount of time.

Once encased ring **360** is positioned upstream of a disease site locale, casing **350** will degrade. During this time ring **300** which abuts spherical member **320** will begin to slowly expand as casing **350** degrades. Once casing **350** has completely degraded, ring **300** will expand fully to match the circumference of blood vessel **340**. Spherical member
20 **320** will then be able to be pulled back into catheter **310** by tether **330** or will degrade on its own. In either case, tether **330** will be pulled back into catheter **310**.

While vascular diseases are presently preferred targets of these methods, other diseases are expected to be amenable to treatment or prevention and are described above.

It is to be understood that multiple rings and multiple tethers can be used
25 concurrently with any of the above aspects of the invention and are encompassed by the

present invention. Indeed, in various aspects, the implantable medical device includes a plurality of tethers wherein one open ring-shaped device encircles each tether and abuts a spherical member. In other aspects, the implantable medical device includes two or more open-ringed shaped devices encircling at least one tether. In this aspect, 5 the two or more open-ringed shaped devices can each individually abut a spherical member coupled to the tether thereby forming a 'beads on a string' sort of formation.

When multiple rings are used in a single casing, the segments may be open-ended such that the ring edges are overlapping. Alternatively, the rings may be shaped so as to separate from each other upon expansion.

10 While particular embodiments of the present invention have been shown and described, it will be obvious to those skilled in the art that changes and modifications can be made without departing from this invention in its broader aspects. Therefore, the claims are to encompass within their scope all such changes and modifications as fall within the true spirit and scope of this invention.

15

WHAT IS CLAIMED IS:

1. An implantable medical device comprising:
an open ring-shaped device body having a first and second end; and
one or more bioactive agents adhered to a surface of, integrated into the
structure of or disposed within pores in the device body.
2. The implantable medical device according to claim 1, wherein the first and
second ends of the open ring-shaped device body overlap each other.
3. The implantable medical device according to claim 1, wherein the first and
second ends of the open ring-shaped device body do not overlap each other.
4. The implantable medical device according to claim 1, wherein the device
body comprises a material selected from the group consisting of nitinol, a
biodegradable material, a polymeric material or any combination thereof.
5. The implantable medical device according to claim 4, wherein the device
body comprises a polymeric material and a nitinol core.
6. The implantable medical device according to claim 1, wherein the
implantable medical device further comprises two or more open ring-shaped device
bodies.
7. The implantable medical device according to claim 1, wherein the
implantable medical device further comprises a degradable casing in which the open
ring-shaped device body is contained in a compressed state.
8. The implantable medical device according to claim 7, wherein the casing
degrades within 10 seconds to 10 minutes.
9. The implantable medical device according to claim 7, further comprising a
tether wherein the open ring-shaped device body encircles the tether.

10. The implantable medical device according to claim 9, wherein the open ring-shaped device body abuts a spherical member coupled to the distal end of the tether.

11. The implantable medical device according to claim 10, wherein the spherical member is biodegradable.

12. The implantable medical device according to claim 9, further comprising a plurality of tethers wherein one open ring-shaped device encircles each tether and abuts a spherical member.

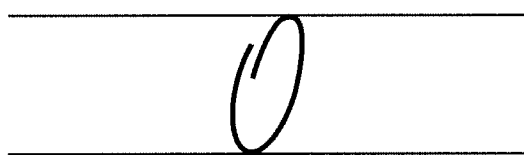
13. The implantable medical device according to claim 12, further comprising two or more open-ringed shaped devices encircling at least one tether.

14. The implantable medical device according to claim 13, wherein the two or more open-ringed shaped devices each individually abut a spherical member coupled to the tether.

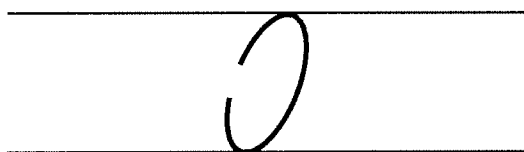
15. A method for treating or preventing a disease comprising:
providing the implantable medical device according to claim 1, wherein the device is in a compressed state and positioned at the distal end of a catheter;
inserting the catheter into a blood vessel of a patient upstream of a disease site locale; and
deploying the device into the bloodstream of the patient, wherein the device expands to the circumference of the blood vessel in which it is positioned.

16. The method according to claim 15, wherein deploying the device comprises using a plunger having a longitudinally-oriented cylindrical member on which the compressed implantable medical device is positioned.

1 / 3



A



B

FIG. 1

2 / 3

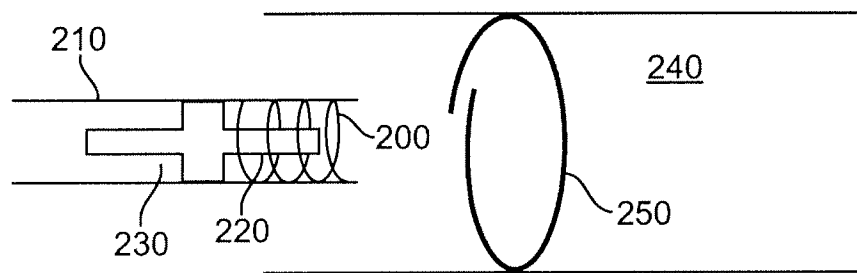


FIG. 2

3 / 3

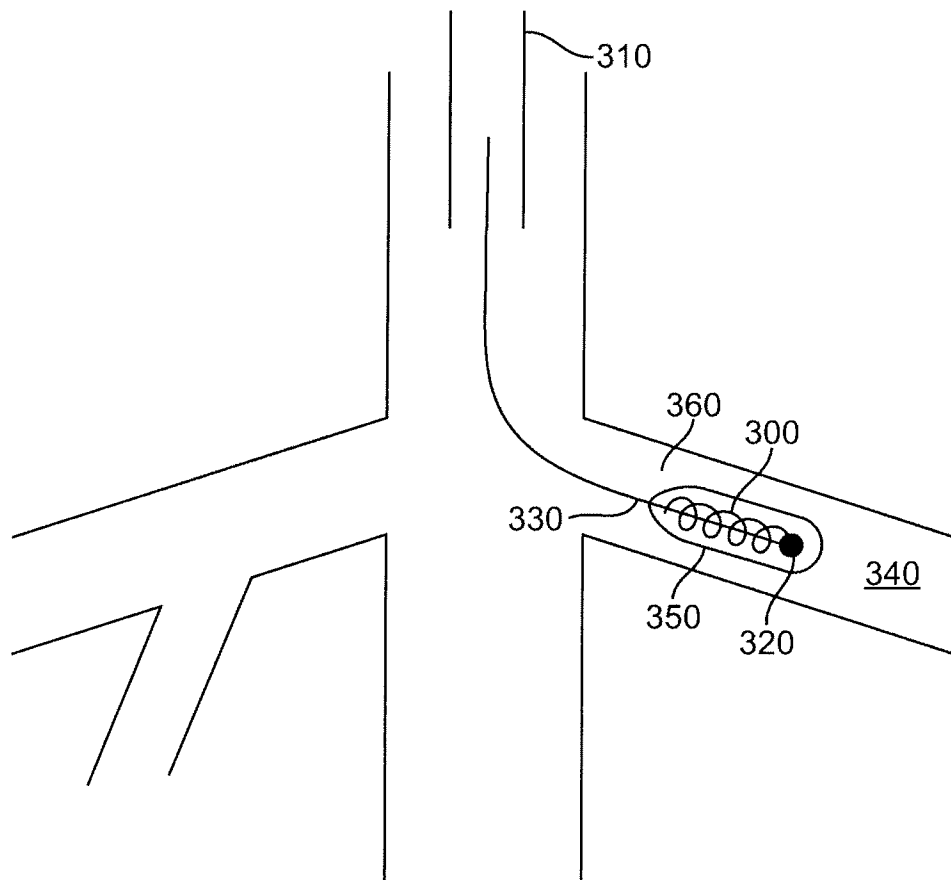


FIG. 3

INTERNATIONAL SEARCH REPORT

International application No

PCT/US2007/078035

A. CLASSIFICATION OF SUBJECT MATTER

INV. A61F2/06

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2005/163821 A1 (SUNG HSING-WEN [TW] ET AL) 28 July 2005 (2005-07-28)	1-8
A	paragraphs [0055], [0229] - [0233], [0236], [0249] - [0253], [0294], [0295]; figures 6,7,14A-19B,20,21	9-14
X	US 2005/203613 A1 (ARNEY SUSANNE [US] ET AL) 15 September 2005 (2005-09-15)	1
X	paragraphs [0031] - [0033]; figure 5	
X	US 6 416 549 B1 (CHINN JOSEPH A [US] ET AL) 9 July 2002 (2002-07-09)	1,3,4
	column 4, lines 10-27; figure 1B	
	column 6, lines 37-45	
	column 7, line 45 - column 8, line 18	
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☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

* Special categories of cited documents :

A document defining the general state of the art which is not considered to be of particular relevance

E earlier document but published on or after the international filing date

L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

O document referring to an oral disclosure, use, exhibition or other means

P document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

& document member of the same patent family

Date of the actual completion of the international search

9 January 2008

Date of mailing of the international search report

18/01/2008

Name and mailing address of the ISA/

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

PANTELIDIS, D

INTERNATIONAL SEARCH REPORT

International application No

PCT/US2007/078035

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 02/055123 A (MINDGUARD LTD [IL]; GRAD YGAEL [IL]; ZAFRIR-PACHTER NITZAN [IL]; RAPAP) 18 July 2002 (2002-07-18) page 17, column 16 – page 18, column 8; figures 3A-3C -----	1-14
A	US 2003/069593 A1 (TREMULIS WILLIAM S [US] ET AL) 10 April 2003 (2003-04-10) paragraphs [0037] – [0042]; figures 1-5 -----	1-6

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US2007/078035

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 15, 16
because they relate to subject matter not required to be searched by this Authority, namely:
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers allsearchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2007/078035

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US 2005163821	A1	28-07-2005	NONE	
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US 2003069593	A1	10-04-2003	NONE	