PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7:

G01N 33/543, C12Q 1/68

(11) International Publication Number:

WO 00/04390

(43) International Publication Date:

27 January 2000 (27.01.00)

(21) International Application Number:

PCT/US99/15969

A3

(22) International Filing Date:

14 July 1999 (14.07.99)

(30) Priority Data:

09/115,397

14 July 1998 (14.07.98)

US

(71) Applicant: ZYOMYX, INC. [US/US]; 3912 Trust Way, Hayward, CA 94545 (US).

(72) Inventors: WAGNER, Peter; 2211 Village Court #7, Belmont, CA 94002 (US). AULT-RICHE, Dana; 972 Cajon Way, Palo Alto, CA 94303 (US). NOCK, Steffen; 3629 Glenwood Avenue, Redwood City, CA 94062 (US). ITIN, Christian; 315 Waverley Street #3, Menlo Park, CA 94025 (US).

(74) Agents: CHOW, Y., Ping et al.; Heller Ehrman White & McAuliffe, 525 University Avenue, Palo Alto, CA 94301–1900 (US).

(81) Designated States: AE, AL, AM, AT, AT (Utility model), AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, CZ (Utility model), DE, DE (Utility model), DK, DK (Utility model), EE, EE (Utility model), ES, FI, FI (Utility model), GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (Utility model), SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

With international search report.

(88) Date of publication of the international search report: 4 May 2000 (04.05.00)

(54) Title: MICRODEVICES FOR SCREENING BIOMOLECULES

(57) Abstract

Methods and devices for the parallel, *in vitro* screening of biomolecular activity using miniaturized microfabricated devices are provided. The biomolecules immobilized on the surface of the devices of the present invention include proteins, polypeptides, polynucleotides, polysaccharides, phospholipids, and related unnatural polymers of biological relevance. These devices are useful in drug development, functional proteomics and clinical diagnostics and are preferably used for the parallel screening of families of related proteins.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL AM AT AU AZ BA BB BE BF BG BJ BR BY CA CF CG CH CI CM CN CU CZ DE DK EE	Albania Armenia Austria Australia Azerbaijan Bosnia and Herzegovina Barbados Belgium Burkina Faso Bulgaria Benin Brazil Belarus Canada Central African Republic Congo Switzerland Côte d'Ivoire Cameroon China Cuba Czech Republic Germany Denmark Estonia	ES FI FR GA GB GC GN GR HU IE IL IS IT JP KE KG KP KR LC LI LK LR	Spain Finland France Gabon United Kingdom Georgia Ghana Guinea Greece Hungary Ireland Israel Iceland Italy Japan Kenya Kyrgyzstan Democratic People's Republic of Korea Republic of Korea Republic of Korea Kazakstan Saint Lucia Liechtenstein Sri Lanka Liberia	LS LT LU LV MC MD MG MK ML MN MR MW MX NE NL NO NZ PL PT RO RU SD SE SG	Lesotho Lithuania Luxembourg Latvia Monaco Republic of Moldova Madagascar The former Yugoslav Republic of Macedonia Mali Mongolia Mauritania Malawi Mexico Niger Netherlands Norway New Zealand Poland Portugal Romania Russian Federation Sudan Sweden Singapore	SI SK SN SZ TD TG TJ TM TR TT UA UG US UZ VN YU ZW	Slovenia Slovakia Senegal Swaziland Chad Togo Tajikistan Turkmenistan Turkey Trinidad and Tobago Ukraine Uganda United States of America Uzbekistan Viet Nam Yugoslavia Zimbabwe
--	--	--	---	---	---	--	--

Internation Application No PCT/US 99/15969

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 G01N33/543 C12Q1/68

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) IPC 7-601N-C12Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 596 421 A (HOFFMANN LA ROCHE) 11 May 1994 (1994-05-11) claims column 3, line 18 - line 34 column 6, line 52 -column 7, line 19 column 9, line 50 - line 39	1-44
P,X	WO 98 50773 A (UNIV MINNESOTA) 12 November 1998 (1998-11-12) claims page 8, line 30 -page 9, line 11 page 11, line 25 -page 13, line 23	1-44
	-/	

Special categories of cited documents: A* document defining the general state of the art which is not considered to be of particular relevance.	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention				
"E" earlier document but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone				
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docu-				
"O" document referring to an oral disclosure, use, exhibition or other means	ments, such combination being obvious to a person skilled in the art.				
"P" document published prior to the international filing date but later than the priority date claimed	"&" document member of the same patent family				
Date of the actual completion of the international search	Date of mailing of the international search report				
26 November 1999	0 3. 03. 2000				
Name and mailing address of the ISA	Authorized officer				
European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Routledge, B				

Further documents are listed in the continuation of box C.

Internation `pplication No PCT/US 99/15969

		bc1/02 33/12363
C.(Continua	ntion) DOCUMENTS CONSIDERED TO BE RELEVANT	Relevant to claim No.
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Helevant to claim Ivo.
P,X	WO 98 43086 A (KIMBERLY CLARK CO) 1 October 1998 (1998-10-01) claims 1,9,11-20 figures 1,7 page 10, line 12 -page 11, line 2 page 15, line 27 -page 16, line 17 page 19, line 4 - line 30 page 24, line 9 -page 25, line 23	1-44
P,X	WO 98 39481 A (ROGERS YU HUI ;ANDERSON STEPHEN (US); MOLECULAR TOOL INC (US)) 11 September 1998 (1998-09-11) claims 1,15,23-27 page 9, line 32 -page 10, line 16 page 12, line 12 -page 13, line 33	1-44
X	WO 98 23948 A (UNIV LELAND STANFORD JUNIOR) 4 June 1998 (1998-06-04) claims page 4, line 5 -page 5, line 5 page 11, line 8 - line 19 figures 1,4	1-44
X	WO 95 35505 A (UNIV LELAND STANFORD JUNIOR) 28 December 1995 (1995-12-28) claims 12-20 figures 3,9-11 page 7, line 22 -page 8, line 33 page 23, line 11 -page 24, line 25 page 27, line 18 -page 29, line 4	1-44
X	US 5 776 674 A (ULMER KEVIN M) 7 July 1998 (1998-07-07) claims 9-15 column 4, line 30 - line 41	1-44
X	US 5 688 642 A (CALVERT JEFFREY M ET AL) 18 November 1997 (1997-11-18) cited in the application the whole document	1-44
X	US 5 629 213 A (KORNGUTH STEVEN E ET AL) 13 May 1997 (1997-05-13) cited in the application claims page 2, line 41 - line 51 figure 2 column 3, line 26 - line 39	1-44
X	US 5 677 196 A (JANATOVA VERA ET AL) 14 October 1997 (1997-10-14) cited in the application claims 1-16 column 3, line 56 -column 4, line 46/	1-44

Internation - Application No
PCT/US 99/15969

C.(Continua	ntion) DOCUMENTS CONSIDERED TO BE RELEVANT			
Category °		Relevant to claim No.		
X	US 5 445 934 A (FODOR STEPHEN P A ET AL) 29 August 1995 (1995-08-29) cited in the application claims column 11, line 12 - line 63 figure 1	1-44		
X	US 5 412 087 A (FODOR STEPHEN P A ET AL) 2 May 1995 (1995-05-02) cited in the application claims column 6, line 1 -column 7, line 2 column 10, line 1 - line 62	1-44		

onal application No. PCT/US 99/15969

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: 1-44
Remark on Protest The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

1. Claims: 1-44

Device having a number of non-contiguous reactive sites each comprising a substrate, organic thin film chemisorbed or physisorbed on a portion of the substrate and a biological moiety immobilized on said organic thin film whereineach of said sites idependently react with a component of the sample and is separated from other sites by a region free of organic thin film. Methods for screening and detecting using said device.

2. Claim: 45

Device having a substrate, plurality of parallel microchannels in said substrate and a biological moiety immobilized within at least one of said microchannels and which interacts with a component of the sample fluid

Infor. ...on on patent family members ,

Internation Application No
PCT/US 99/15969

Patent document cited in search report		Publication date		t family ber(s)	Publication date
EP 0596421	A	11-05-1994		2108705 A 5265553 A	07-05-1994 22-09-1994
WO 9850773	Α	12-11-1998	NONE		
WO 9843086	Α	01-10-1998	AU	6763898 A	20-10-1998
WO 9839481	Α	11-09-1998	AU	5837860 A 6540298 A 0910670 A	17-11-1998 22-09-1998 28-04-1999
WO 9823948	Α	04-06-1998		5462498 A 0941474 A	22-06-1998 15-09-1999
WO 9535505	A	28-12-1995	AT AU CA DE 6 DE 6 EP EP ES GR	5807522 A 180570 T 709276 B 2862995 A 2192095 A 9509925 D 9509925 T 0804731 A 0913485 A 2134481 T 3030430 T	15-09-1998 15-06-1999 26-08-1999 15-01-1996 28-12-1995 01-07-1999 05-11-1997 06-05-1999 01-10-1999 30-09-1999 07-04-1998
US 5776674	A	07-07-1998	CA CN EP JP 1	698306 B 6037096 A 2223496 A 1192745 A 0846124 A 1507514 T 9639417 A	29-10-1998 24-12-1996 12-12-1996 09-09-1998 10-06-1998 06-07-1999 12-12-1996
US 5688642	Α	18-11-1997	NONE		
US 5629213	Α	13-05-1997	NONE		
US 5677196	A	14-10-1997	ÜS	5516703 A 5512492 A 5919712 A 2163519 A 0701697 A 9506251 A 5728588 A 4341699 A 704947 B 7311694 A 2162996 A 0700514 A 8510331 T 9427137 A 5846842 A	14-05-1996 30-04-1996 06-07-1999 02-03-1995 20-03-1995 17-03-1995 28-10-1999 06-05-1999 12-12-1994 24-11-1994 24-11-1996 24-11-1998
US 5445934	Α	29-08-1995	US US US	5405783 A 5143854 A 5744305 A	11-04-1995 01-09-1992 28-04-1998

infor. on on patent family members,

Internation Application No
PCT/US 99/15969

Patent document cited in search report	Publication date		ent family ember(s)	Publication date
US 5445934 A		DE	5510270 A 110738 T 175421 T 651795 B 5837190 A 672723 B 7765594 A 2054706 A 69012119 D 69012119 T 69032888 D 69032888 T 476014 A 0619321 A 0902034 A 0953835 A 2058921 T 2129101 T 2248840 A, B 61395 A 64195 A 59938 A 94551 A 11315095 A 11021293 A 4505763 T 9701577 B 9701577 B 9701577 B 9701577 B 9701578 B 9015070 A 191992 B 9022056 T 301233 B 233886 A 13595 G 5744101 A 5889165 A 5753788 A 5753788 A 5770456 A 5800992 A 5800992 A 5800992 A 5871928 A 5527681 A	23-04-1996 15-09-1994 15-01-1999 04-08-1994 07-01-1991 10-10-1996 04-05-1995 08-12-1990 06-10-1994 22-12-1999 29-07-1999 14-11-1994 25-03-1992 12-10-1994 17-03-1999 01-11-1994 01-06-1999 22-04-1992 05-05-1995 28-07-1992 30-03-1995 16-11-1999 08-10-1999 08-10-1999 08-10-1999 11-02-1997 11-02-1997 11-02-1997 11-02-1997 11-02-1997 13-12-1990 01-08-1996 02-03-1995 25-02-1993 16-06-1995 28-04-1998 06-02-1996 30-03-1999 19-05-1998 20-08-1998 01-09-1998 11-05-1999 13-06-1995 16-02-1999 13-06-1995 16-02-1999 13-06-1995
US 5412087 A	02-05-1995	AU AU CA EP EP JP WO WO US	675054 B 3148193 A 4110793 A 2124087 A 0624059 A 0916396 A 0972564 A 7506561 T 9309668 A 9322680 A 5677195 A	23-01-1997 15-06-1993 29-11-1993 27-05-1993 17-11-1994 19-05-1999 19-01-2000 20-07-1995 27-05-1993 11-11-1993 14-10-1997