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(71) Applicant(s)  
**Banner Pharmacaps, Inc.**

(72) Inventor(s)  
**Hassan, Emadeldin M.;Chidambaram, Nachiappan;Fatmi, Aqeel A.**

(74) Agent / Attorney  
**Cullens Patent and Trade Mark Attorneys, Level 32 239 George Street, Brisbane, QLD, 4000**

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(71) Applicant (for all designated States except US): **BANNER PHARMACAPS, INC.** [US/US]; 4100 Mendenhall Oaks Parkway, Suite 301, High Point, North Carolina 27265 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **HASSAN, EmadEldin M.** [EG/EG] (EG). **CHIDAMBARAM, Nachiappan** [IN/US]; 4001 River Pointe Place, Apt. 3D, High Point, North Carolina 27265 (US). **FATMI, Aqeel, A.** [US/US]; 3809 Camden Falls Court, Greensboro, NC 27410 (US).

(74) Agents: **HICKS, Jack, B.** et al.; Womble Carlyle Sandridge & Rice PLLC, One Atlantic Center; Ste. 3500, 1201 West Peachtree Street, Atlanta, Georgia 30309 (US).

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(54) Title: CONTROLLED RELEASE PREPARATIONS

(57) Abstract: Controlled release preparations and capsules are provided. Also provided are emulsions and suspensions, including compositions and methods of manufacturing controlled release capsules, where the fill contains a suspension and/or an emulsion.



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## CONTROLLED RELEASE PREPARATIONS

### Field of the Invention

5           This invention relates generally to controlled release preparations and soft capsules. The invention relates further to emulsions and suspensions, including compositions and methods of manufacturing controlled release capsules where the fill contains a suspension and/or an emulsion.

### 10    Background of the Invention

          Controlled release preparations have been a vital development in healthcare sciences. One advantage of such medicaments is improved patient compliance, especially where patients are under multiple or chronic treatments. Regarding the need to increase compliance rates, it is noted that the growing population of elder  
15    people further increases the demand for controlled release medication. Elderly patients often have particular difficulty with compliance for multiple daily dosages, especially in the context of a multiplicity of required medications.

          While patient compliance is an immediate benefit of controlled release products, minimization of side effects of potent medicines is also a desirable  
20    advantage of controlled release preparations. For example, tachycardia, a well-known side effect of the cardiovascular drug nifedipine, can be significantly controlled when the drug is administered in a controlled release form. In fact, using controlled release preparations helps avoid sudden high drug concentrations of drugs in the systemic circulation and reduces subsequent adverse effects or toxicity.

25           Oral controlled release technologies are classified generally as of "matrix" or "film" nature. The matrix type is mainly used in tablets using polymeric or lipid materials that control both penetration of water and the release of the active ingredient to the surrounding environment. For example, U.S. Pat. No 4,882,167 describes tablet compositions containing a hydrophobic carbohydrate polymer, *e.g.* ethyl  
30    cellulose and a wax material such as carnauba wax and made by direct compression. Despite of the apparent simplicity of the direct compression technique, it has limitations when applied to low dose, potent active ingredients. The low amounts of potent drugs are hardly well distributed in a directly compressed matrix due to the

uncontrolled differences in particle size and density between the drug and matrix particles. Such differences usually lead to lack of homogeneous distribution of the drug in the matrix and lack of content uniformity. To overcome the limitations of direct compression matrix manufacture, a wet granulation technique is often applied.

5 An example of the wet granulation procedure is described in U.S. Pat. No. 6,572,889 to Guo where granulation of active materials such as cabamazepine is performed in presence of water and polymeric substances. While wet granulation basically improves the distribution of an active material in a matrix, it is still considered a tedious and time-consuming technique.

10 The second major technology for oral controlled release preparations is applying coating or films to control the drug release from particles (*e.g.*, pellets or microcapsules) or unit doses such as tablets. U.S. Pat Nos. 5,871,776 and 4,572,833 provide details of preparing controlled release particles that can be filled into hard gelatin capsules or compressed into tablets. While pellets or microcapsules are fairly  
15 popular in controlled release products, they are considered an intermediate product that requires additional manufacturing steps to produce as a useful dosage form, suitable for direct consumption by patients. On the other hand, coating unit doses such as tablets seems to be a more direct approach to manufacture oral controlled release pharmaceuticals. Tablet coating for controlled release purposes has been quite  
20 well known in the pharmaceutical industry for a long period of time and is well illustrated in standard pharmaceutical text books (see for example Remington's Pharmaceutical Industries, 18<sup>th</sup> edition, Pages 1666 to 1675. Alfonso Gennaro, editor, Mack Publishing Co. Easto, PA, 1990). As experienced persons in the art would expect, unit dose coating has many drawbacks that may lead to performance failures  
25 due to defects in the coat, such as pinholes and sticking.

Soft capsules have been tested as a controlled drug delivery system by Cohen, *et al.* (U.S. Pat. No. 4,795,642), where an aqueous fill of the polysaccharide gum sodium alginate forms a gel in presence of cationic elements such as heavy metal ions. However, the manufacture of soft capsules is presently the least utilized  
30 technique for producing oral controlled release preparations.

### Summary of the Invention

The present invention provides numerous matrix systems based on lipids and lipophilic materials either alone or in presence of a hydrophilic phase. The described matrices have a hydrophobic surface in contact with the hydrophilic capsule shell to minimize any potential shell-fill interactions, as described elsewhere when soft capsules are filled with hydrophilic materials such as polyethylene glycol or similar vehicles.

This invention provides compositions of controlled release products and methods of preparation thereof. The present invention also provides compositions and methods of manufacture of controlled release medicaments in the soft gel dosage form. The invention also provides methods for manufacture of the fill of a controlled release soft gel in the form of a suspension, where part or all of the active ingredient or drug is suspended or dissolved in a matrix. Also provided are compositions and methods where the active ingredient or drug of a medicament is incorporated in a one-phase or two-phase matrix. A one-phase matrix can be comprised of homogeneous lipid materials, while the two-phase matrix can comprise an emulsion of aqueous hydrophilic material as the internal phase, and a hydrophobic external phase.

Accordingly, in one aspect the invention relates to a controlled release soft capsule having a shell and a matrix fill, wherein the matrix fill includes an active ingredient or drug incorporated as solid particles in lipid or lipophilic materials. In some embodiments, the lipid or lipophilic material can be a vegetable oil, hydrogenated vegetable oil, fatty acid, wax, fatty acid ester, or a combination thereof. The matrix fill can include a release regulator which can be a fatty acid salt, fatty acid ester, or fatty acid polyoxyethylene derivative. The release regulator can be a surfactant having an hydrophilic/lipophilic balance (HLB) value between about 3 and about 40.

In some embodiments, the active ingredient or drug can be a non-steroid anti-inflammatory drug or an anti-asthmatic. The active ingredient or drug can be diclofenac, naproxene, ibuprofen, ketoprofen, celecoxib, or theophylline. The ratio of the active ingredient or drug to the matrix fill can be from about 1:9 to about 1:1 by weight. The ratio can also be from about 1:8 to about 1:1 by weight.

In another aspect, the invention relates to a controlled release soft capsule having a shell and a matrix fill including an active ingredient or drug, wherein the

physical state of the matrix can be a semi-fluid, or a structured solid state. In some embodiments, the matrix can be a fluid or semi-fluid at room temperature, or at a body temperature of a subject to which the capsule is intended to be administered. In some embodiments, the active ingredient or drug can be partially soluble in the matrix and at least a portion of the active ingredient or drug can be in solid form in the matrix.

In another aspect, the invention relates to a controlled release soft capsule including a shell and a matrix fill, wherein the matrix fill includes two phases in the form of an emulsion. In some embodiments, the emulsion can be a water-in-oil type emulsion. The emulsion can include a surfactant or combination of surfactants having HLB values ranging from about 2 to about 20. The HLB values can also range from about 5 to about 15.

In some embodiments, the active ingredient or drug can be an anti-asthmatic, narcotic analgesic, narcotic antagonist, or cardiovascular drug. The active ingredient or drug can be diltiazem, nifedipine, oxycodone, morphine, morphine analogues, or morphine antagonists.

In some embodiments, the ratio of the active ingredient or drug to the matrix fill can be from about 1:100 to about 1:2 by weight. The ratio can also be from about 1:50 to about 1:3 by weight.

In some embodiments, the emulsion can include an aqueous or hydrophilic internal phase and a lipid or lipophilic external phase. The internal phase can include polyethylene glycol of molecular weight ranging from about 200 to about 8000. In some embodiments, the internal phase can be an aqueous or hydro-alcoholic solution including cellulose derivatives, polyacrylates, polyvinyl polymers, or combinations thereof.

In some embodiments, the internal phase can include at least one polymer which can be methylcellulose, hydroxypropylmethyl cellulose, polymethylmethacrylate, or polyvinylpyrrolidone (PVP). The internal phase can also be structured.

In some embodiments, the external phase can include a vegetable oil, hydrogenated vegetable oil, fatty acid, wax, fatty acid ester, or a combination thereof.

In some embodiments, the active ingredient or drug can be dispersed in the internal phase as a solution or suspension form.

In some embodiments, the ratio of the internal phase to external phase can be from about 0.5:10 to about 1:1 by weight. The ratio can also be from about 1:9 to about 1:1 by weight.

5 In another aspect, the invention relates to a controlled release soft capsule having a shell and a matrix fill, wherein the matrix fill includes two phases in the form of an emulsion, with an active ingredient or drug distributed in both an external and internal phase. The active ingredient or drug can be in the form of solid particles. The active ingredient or drug can be present as solid particles incorporated in both the internal phase and the external phase.

10 In another aspect, the invention relates to a method of manufacturing a matrix fill for a controlled release soft capsule according to the invention. The method includes applying heat to the matrix components during mixing or prior to mixing at about the melting point of the matrix fill composition; and mixing the active ingredient or drug with the lipid or lipophilic matrix ingredients using mechanical or  
15 ultrasonic forces to form the matrix fill. The matrix fill can be flowable such that it can be encapsulated using a rotary die encapsulation machine. In some embodiments, the matrix components can be heated to a temperature in the range of from about 25°C to about 70°C. The matrix components can also be heated to a temperature in the range of from about 30°C to about 50°C.

20 In another aspect, the invention relates to a method of manufacturing a controlled release soft capsule, wherein the matrix fill includes two phases in the form of an emulsion. The method includes dispersing the active ingredient or drug in an internal phase to form a clear solution or suspension using propeller or homogenizer mixers; adding the internal phase materials to a molten external phase containing at  
25 least one surfactant in an amount from about 0.1% to about 5% by weight to form a resulting mixture; forming an emulsion from the resulting mixture by subjecting the mixture to mechanical forces generated by a propeller mixer, a homogenizer, or a microfluidizer; cooling the emulsion to from about 20°C to about 35°C; and encapsulating the emulsion using a rotary die encapsulation machine to form the  
30 controlled release capsule.

In yet another aspect, the invention relates to controlled release hard shell capsules containing the matrix fills of the invention. In particular embodiments, the matrix fills of the invention are encapsulated in two-piece hard shell capsules.

5 Definitions of the specific embodiments of the invention as claimed herein follow.

According to a first embodiment of the invention, there is provided an orally ingestible controlled release soft capsule comprising a shell formulated and configured to be orally administered to a human recipient and a matrix fill disposed within the shell and comprising an active ingredient or drug of a type and dosage suitable for ingestion by the recipient, wherein the matrix fill comprises two phases in the form of an emulsion comprising an aqueous or hydrophilic internal phase comprising one or more cellulose derivatives; and a lipid or lipophilic external phase; wherein the matrix fill is formulated to release the active ingredient or drug for a prolonged period of time following ingestion of the capsule by the recipient.

15 According to a second embodiment of the invention, there is provided an orally ingestible controlled release soft capsule comprising a shell formulated and configured to be orally administered to a human recipient and a matrix fill disposed within the shell and comprising an active ingredient or drug of a type and dosage suitable for ingestion by the recipient, wherein the matrix fill comprises two phases in the form of an emulsion comprising an aqueous or hydrophilic internal phase comprising polyacrylates, polyvinyl polymers, or combinations thereof; and a lipid or lipophilic external phase; wherein the matrix fill is formulated to release the active ingredient or drug for a prolonged period of time following ingestion of the capsule by the recipient.

### **Detailed Description of the Invention**

25 Generally, the controlled release soft capsules according to the invention comprise a shell and a matrix fill. The matrix fill can be a suspension-type matrix or an emulsion-type matrix.

In an embodiment of the invention having a suspension-type matrix fill, the active ingredient or drug is incorporated in the matrix fill as solid particles in lipid or lipophilic materials such as vegetable oils, hydrogenated vegetable oils, fatty acids, waxes, or fatty acid esters, or a combination thereof. The matrix composition may further contain a release regulator to modify the release profile to suit an optimum therapeutic requirement. The release regulator can be a surface-active agent that enhances water penetration into the lipid or lipophilic matrix to increase drug release. Examples of release regulators are fatty acid slats, fatty acid esters, or

fatty acid polyoxyethylene derivatives. Surfactants having HLB values between about 3 and about 40 can be selected as release regulators. The hydrophilic/lipophilic balance (HLB) characteristic of surfactants can be determined in accordance with "Physical Pharmacy: Physical Chemical Principles in the Pharmaceutical Sciences," Fourth Edition, pp. 371-373, A.Martin, Ed., Lippincott Williams & Wilkins, Philadelphia (1993).

In another embodiment of the invention having a suspension-type matrix fill, the matrix, at room or body temperature, can be in a fluid or structured solid state (solid, semi-solid, or gel). The drug can be partially soluble in the matrix while the rest of the drug is in a solid form. The presence of drug in two physical forms, solid particles and solution, can be useful by providing dual release patterns where one drug state is released faster than the other form.

In addition to suspension-type matrix fills, the invention also includes emulsion-type fills. Such fills are described herein as "emulsion-type" fills because they comprise an emulsion. The matrix fills for these embodiments can be characterized generally as emulsion-type fills, even though the active ingredient or

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[Text continues on page 7]

drug can be present as a suspension in one or more phases of the emulsions of embodiments as described herein.

In another embodiment of the invention, the soft gel matrix fill comprises two phases in the form of an emulsion (emulsion-type matrix). The emulsion can be a water-in-oil type emulsion. The internal phase comprises aqueous or hydrophilic materials, such as polyethylene glycol of molecular weight ranging from about 200 to about 8000. The internal phase can also be an aqueous or hydro-alcoholic solution comprising cellulose derivatives, polyacrylates, or polyvinyl polymers. Examples of such polymers include methylcellulose, hydroxypropylmethyl cellulose, polymethylmethacrylate, and polyvinylpyrrolidone (PVP). The internal phase state can be "fluid" or "structured." A "fluid" internal phase, as used herein, means a completely flowable liquid whose globules can aggregate to make a larger globule. A "structured" internal phase, as used herein, means a solid, semisolid or a gel whose shape is relatively stable and does not usually aggregate to form a large globule. A structured internal phase therefore provides more controlled drug release and stabilizes the physical state of the matrix.

The external phase of the matrix fill emulsion comprises lipid or lipophilic materials similar to those described above. The active ingredient or drug can be dispersed in the internal phase as a solution and/or as a suspension. The emulsion matrix can contain a surfactant or combination of surfactants having HLB values ranging from about 2 to about 20. The HLB range can also be from about 5 to about 15.

In another embodiment, the matrix fill is of an emulsion type, where the drug is distributed in both external and internal phases. One portion of the active ingredient or drug in form of solid particles can be incorporated in the internal phase, while another portion is dispersed in the external phase as solid particles.

This invention also provides methods for making controlled release products in a soft capsule form. The methods are applicable for production of controlled release preparations of low dose (potent) drugs that are highly water-soluble. The methods are also suitable for preparing controlled release products of relatively less potent, moderately water-soluble drugs.

The suspension-type matrix fill compositions can be used for drugs that are moderately water-soluble at a dosage of between about 25 mg to about 500 mg. Such

drugs include non-steroid anti-inflammatory drugs and anti-asthmatics, *e.g.*, diclofenac, naproxene, ibuprofen, ketoprofen, celecoxib, and theophylline.

On the other hand, the emulsion-type matrix fill can be used for highly water-soluble molecules such as anti-asthmatics, narcotic analgesics, and analgesic antagonists as well as cardiovascular drugs, *e.g.*, diltiazem, nifedipine, oxycodone, morphine, morphine analogues, and morphine antagonists.

The suspension-type matrix fill can be manufactured by mixing the active ingredient or drug with the lipid or lipophilic matrix ingredients using mechanical or ultrasonic forces. Applying heat while or prior to mixing has the benefit of reducing the matrix viscosity. Reduced matrix viscosity in turn results in more efficient mixing. The matrix materials can be heated to temperature at or close to the melting point of the matrix composite. The melting point of the composite matrix is workable in the range of from about 25°C to about 70°C. The melting point range of the matrix composition can also be from about 30°C to about 50°C. The drug-to-matrix ratio can be concentrated enough to provide a low total mass per unit dose, yet can still be flowable to allow encapsulation using a rotary die encapsulation machine. A workable drug-to-matrix ratio range is from about 1:9 to about 1:1 by weight. The drug-to-matrix ratio range can also be from about 1:8 to about 1:1 by weight.

The emulsion-type of matrix fill can be manufactured by dispersing the active ingredient or drug in the internal phase to provide a clear solution or suspension. The active ingredient or drug can be dispersed using propeller or homogenizer mixers. The internal phase materials can then be added to the molten external phase containing surfactant from about 0.1% to about 5% by weight. The emulsion can be made using mechanical forces generated by a propeller mixer, a homogenizer, or a microfluidizer. The matrix is then cooled to a temperature of from about 20°C to about 35°C for encapsulation using a rotary die encapsulation machine. The internal-to-external phase workable ratio is in the range of from about 0.5:10 to about 1:1 by weight. The ratio range can also be from about 1:9 to about 1:1 by weight. The workable drug-to-matrix ratio can be from about 1:100 to about 1:2 by weight. The range of the drug-to-matrix can also be from about 1:50 to about 1:3 by weight.

In an alternative aspect, the matrix fills of the invention are encapsulated in hard shell capsules. Guidance regarding hard shell, liquid filling technology can be found in Walker, S.E., *et al.*, "The filling of molten and thixotropic formulations into

hard gelatin capsules,” *J. Pharm. Pharmacol.* 32:389 - 393 (1980); McTaggart, C., *et al.*, “The evaluation of an automatic system for filling liquids into hard gelatin capsules,” *J. Pharm. Pharmacol.* 36:119 – 121 (1984); Hawley, A.R. *et al.*, “Physical and chemical characterization of thermosoftened bases for molten filled hard gelatin capsule formulations,” *Drug. Devel. Ind. Pharm.* 18(16):1719 (1992); and Cade, D., *et al.*, “Liquid filled and sealed hard gelatin capsules,” *Acta Pharm. Technol.* 33(2):97 – 100 (1987), all fully incorporated herein by reference.

The following Examples are intended for purposes of illustration only, and should not be interpreted as limiting in any way of the scope of the invention.

## Examples

### Formulation 1:

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	5.00
Soybean Oil	6.24
Vegetable Shortening	60.00
Vegetable Flakes	12.00
Glyceryl mono oleate	2.35
Span 60*	0.16
Methyl Cellulose	1.50
PEG 3350	4.50
PEG 400	8.25

\*sorbitan stearate.

### 15 **Procedure:**

Vegetable shortening, vegetable flakes, Glyceryl mono oleate, Span 60 and soybean oil were melted together at 50° to 70°C (wax or lipophilic phase). Methylcellulose, PEG 3350 and PEG 400 were melted separately at 50° to 70°C (aqueous phase). Diltiazem hydrochloride was dispersed in the melted aqueous phase and added slowly to the wax phase with homogenization, while maintaining the temperature between 50° and 70°C. The resultant homogeneous emulsion phase was cooled and encapsulated.

**Evaluation:**

Filled capsules were subjected to dissolution as per USP using the paddle method in distilled water at 100 RPM.

5 **Result:**

T<sub>50</sub> (time required for 50% dissolution) is about 18h.

Note: The Procedure and Evaluation followed for Formulation 1 was also used for Formulations 2-24 below.

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**Formulation 2:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	5.00
Soybean Oil	27.84
Vegetable Shortening	38.40
Vegetable Flakes	12.00
Glyceryl mono oleate	2.35
Span 60	0.16
Methyl Cellulose	1.50
PEG 3350	4.50
PEG 400	8.25

**Result:** T<sub>50</sub> (time required for 50% dissolution) is about 3h.

**Formulation 3:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	5.00
Soybean Oil	23.84
Vegetable Shortening	42.40
Vegetable Flakes	12.00
Glyceryl mono oleate	2.35
Span 60	0.16
Methyl Cellulose	1.50
PEG 3350	4.50
PEG 400	8.25

**Result:**  $T_{50}$  (time required for 50% dissolution) is about 1h.

5 **Formulation 4:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	10.00
Soybean Oil	4.68
Vegetable Shortening	44.70
Vegetable Flakes	9.00
Glyceryl mono oleate	2.70
Span 60	0.12
Lecithin	0.30
Methyl Cellulose	3.00
PEG 3350	9.00
PEG 400	16.50

**Result:**  $T_{50}$  (time required for 50% dissolution) is about 4h.

**Formulation 5:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	10.00
Soybean Oil	20.88
Vegetable Shortening	25.50
Vegetable Flakes	12.00
Glyceryl mono oleate	2.70
Span 60	0.12
Lecithin	0.30
Methyl Cellulose	3.00
PEG 3350	9.00
PEG 400	16.50

**Result:** T<sub>50</sub> (time required for 50% dissolution) is about 8h.

5 **Formulation 6:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	10.00
Soybean Oil	20.88
Vegetable Shortening	28.50
Vegetable Flakes	9.00
Glyceryl mono oleate	2.70
Span 60	0.12
Lecithin	0.30
Methyl Cellulose	3.00
PEG 3350	12.00
PEG 400	13.50

**Result:** T<sub>50</sub> (time required for 50% dissolution) is about 3.5h.

**Formulation 7:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	10.00
Soybean Oil	27.00
Vegetable Shortening	13.88
Vegetable Flakes	18.00
Glyceryl mono oleate	2.70
Span 60	0.12
Lecithin	0.30
Methyl Cellulose	3.00
PEG 3350	9.00
PEG 400	16.50

**Result:** T<sub>50</sub> (time required for 50% dissolution) is about 4h.

5 **Formulation 8:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	10.00
Soybean Oil	27.00
Vegetable Shortening	13.88
Vegetable Flakes	18.00
Glyceryl mono oleate	2.70
Span 60	0.12
Lecithin	0.30
Methyl Cellulose	3.00
PEG 3350	12.00
PEG 400	13.50

**Result:** T<sub>50</sub> (time required for 50% dissolution) is about 11h.

**Formulation 9:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	10.00
Soybean Oil	23.38
Vegetable Shortening	24.00
Yellow Beeswax	6.00
Vegetable Flakes	6.00
Glyceryl mono oleate	2.70
Span 60	0.12
Lecithin	0.30
Methyl Cellulose	3.00
PEG 3350	9.00
PEG 400	16.50

**Result:** T<sub>50</sub> (time required for 50% dissolution) is about 10h.

5 **Formulation 10:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	10.00
Soybean Oil	18.65
Vegetable Shortening	20.00
Yellow Beeswax	5.00
Vegetable Flakes	5.00
Glyceryl mono oleate	3.00
Span 60	0.12
Lecithin	0.30
Methyl Cellulose	3.00
PEG 3350	9.00
PEG 400	16.50

**Result:** T<sub>50</sub> (time required for 50% dissolution) is about 3.5h.

**Formulation 11:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	10.00
Soybean Oil	23.38
Vegetable Shortening	24.00
Yellow Beeswax	6.00
Vegetable Flakes	6.00
Glyceryl mono oleate	2.70
Span 60	0.12
Lecithin	0.30
Methyl Cellulose	3.00
PEG 3350	15.00
PEG 400	10.50

**Result:**  $T_{50}$  (time required for 50% dissolution) is about >24h.

5 **Formulation 12:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	10.00
Soybean Oil	18.65
Vegetable Shortening	20.00
Yellow Beeswax	5.00
Vegetable Flakes	5.00
Glyceryl mono oleate	3.00
Span 60	0.12
Lecithin	0.30
Methyl Cellulose	3.00
PEG 3350	15.00
PEG 400	10.50

**Result:**  $T_{50}$  (time required for 50% dissolution) is about >24h.

**Formulation 13:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	10.00
Soybean Oil	10.39
Vegetable Shortening	31.99
Yellow Beeswax	8.00
Vegetable Flakes	8.00
Glyceryl mono oleate	2.70
Span 60	0.12
Lecithin	0.30
Methyl Cellulose	3.00
PEG 3350	9.00
PEG 400	16.50

**Result:**  $T_{50}$  (time required for 50% dissolution) is about 6.5h.

5 **Formulation 14:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	10.00
Soybean Oil	8.66
Vegetable Shortening	26.67
Yellow Beeswax	6.67
Vegetable Flakes	6.67
Glyceryl mono oleate	3.00
Span 60	0.10
Lecithin	0.25
Methyl Cellulose	4.00
PEG 3350	12.00
PEG 400	22.00

**Result:**  $T_{50}$  (time required for 50% dissolution) is about 3.5h.

**Formulation 15:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	10.00
Soybean Oil	10.34
Vegetable Shortening	32.00
Yellow Beeswax	8.00
Vegetable Flakes	8.00
Glyceryl mono oleate	2.50
Span 60	0.10
Lecithin	0.30
Methyl Cellulose	3.00
PEG 3350	15.00
PEG 400	10.50

**Result:**  $T_{50}$  (time required for 50% dissolution) is about >24h.

5 **Formulation 16:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	10.00
Soybean Oil	8.66
Vegetable Shortening	26.67
Yellow Beeswax	6.67
Vegetable Flakes	6.67
Glyceryl mono oleate	3.00
Span 60	0.10
Lecithin	0.25
Methyl Cellulose	4.00
PEG 3350	20.00
PEG 400	14.00

**Result:**  $T_{50}$  (time required for 50% dissolution) is about 6.5h.

**Formulation 17:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	10.00
Soybean Oil	46.34
Vegetable Shortening	8.00
Yellow Beeswax	2.00
Vegetable Flakes	2.00
Glyceryl mono oleate	2.70
Span 60	0.12
Lecithin	0.30
Methyl Cellulose	3.00
PEG 3350	15.00
PEG 400	10.50

**Result:**  $T_{50}$  (time required for 50% dissolution) is about 1.5h.

5 **Formulation 18:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	10.00
Soybean Oil	38.66
Vegetable Shortening	6.67
Yellow Beeswax	1.67
Vegetable Flakes	1.67
Glyceryl mono oleate	3.00
Span 60	0.10
Lecithin	0.25
Methyl Cellulose	4.00
PEG 3350	20.00
PEG 400	14.00

**Result:**  $T_{50}$  (time required for 50% dissolution) is about 1.5h.

**Formulation 19:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	10.00
Soybean Oil	34.34
Vegetable Shortening	16.00
Yellow Beeswax	4.00
Vegetable Flakes	4.00
Glyceryl mono oleate	2.70
Span 60	0.12
Lecithin	0.30
Methyl Cellulose	3.00
PEG 3350	15.00
PEG 400	10.50

**Result:**  $T_{50}$  (time required for 50% dissolution) is about 20h.

5 **Formulation 20:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	10.00
Soybean Oil	28.66
Vegetable Shortening	13.33
Yellow Beeswax	3.33
Vegetable Flakes	3.33
Glyceryl mono oleate	3.00
Span 60	0.10
Lecithin	0.25
Methyl Cellulose	4.00
PEG 3350	20.00
PEG 400	14.00

**Result:**  $T_{50}$  (time required for 50% dissolution) is about 20h.

**Formulation 21:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	5.00
Soybean Oil	12.46
Vegetable Shortening	52.50
Vegetable Flakes	3.50
Glyceryl mono oleate	2.65
Span 60	0.20
Methyl Cellulose	2.50
PEG 900	15.75
PEG 400	5.25

**Result:**  $T_{50}$  (time required for 50% dissolution) is about 0.3h.

5 **Formulation 22:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	5.00
Soybean Oil	9.79
Vegetable Shortening	27.50
Vegetable Flakes	2.75
Glyceryl mono oleate	2.75
Glyceryl mono stearate	2.00
Span 60	1.00
Methyl Cellulose	4.00
PEG 900	8.40
PEG 400	25.20

**Result:**  $T_{50}$  (time required for 50% dissolution) is about 0.3h.

**Formulation 23:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Famotidine	1.00
Soybean Oil	12.00
Vegetable Shortening	15.00
Vegetable Flakes	1.50
Glyceryl mono oleate	1.50
Span 60	0.06
Methyl Cellulose	6.90
Cremophor RH 40	0.69
Glyceryl mono stearate	3.45
PEG 400	57.96

**Result:** T<sub>50</sub> (time required for 50% dissolution) is about 0.6h.

5

**Formulation 24:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Vegetable Shortening	25.00
Methyl Cellulose	11.30
Cremophor RH 40	0.70
Glyceryl mono stearate	3.50
PEG 400	59.50

**Formulation 25 (Dual Release):**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Diltiazem Hydrochloride	10.33
Soybean Oil	36.15
Vegetable Shortening	10.74
Yellow Beeswax	2.69
Vegetable Flakes	2.69
Glyceryl mono oleate	2.87
Span 60	0.11
Lecithin	0.27
Methyl Cellulose	3.60
PEG 3350	17.98
PEG 400	12.59

**Procedure:**

Vegetable shortening, vegetable flakes, yellow beeswax, glyceryl mono oleate,  
5 lecithin, Span 60 and soybean oil were melted together at 50° to 70° C (wax phase).  
Methylcellulose, PEG 3350 and PEG 400 were melted separately at 50° to 70° C  
(aqueous phase). About 77% of diltiazem hydrochloride was dispersed in the melted  
aqueous phase and added slowly to the wax phase with homogenization, while  
maintaining the temperature between 50° and 70°C. Remaining 23% of diltiazem  
10 hydrochloride was added to the final resultant homogeneous emulsion. The emulsion  
was cooled and encapsulated.

**Evaluation:**

15 Filled capsules were subjected for dissolution as per USP using paddle method  
in distilled water at 100 RPM.

**Result:** T<sub>50</sub> (time required for 50% dissolution) is about 4.2h.

**Formulation 26:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Oxycodone Hydrochloride	5.00
Soybean Oil	36.56
Vegetable Shortening	11.00
Yellow Beeswax	2.75
Vegetable Flakes	2.75
Glyceryl mono oleate	3.35
Span 60	0.55
Lecithin	0.28
Methyl Cellulose	4.00
PEG 3350	20.00
PEG 400	14.00

**Procedure & Evaluation:** Procedure adopted was as described in Formulation 1.

- 5 **Result:**  $T_{50}$  (time required for 50% dissolution) is about 3.5h.

**Formulation 27:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Oxycodone Hydrochloride	5.00
Water	6.00
Soybean Oil	36.56
Vegetable Shortening	11.00
Yellow Beeswax	2.75
Vegetable Flakes	2.75
Glyceryl mono oleate	3.10
Span 60	0.55
Lecithin	0.28
Methyl Cellulose	4.00
PEG 3350	20.00
PEG 400	8.00

**Procedure:**

Procedure adopted was similar to Formulation 25, but the model drug was dissolved in water before adding to the rest of the formulation.

5 **Evaluation:**

Filled capsules were subjected for dissolution as per USP using paddle method in distilled water at 100 RPM.

**Result:**  $T_{50}$  (time required for 50% dissolution) is about >8h.

10

**Formulation 28:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Theophylline	10.00
Soybean Oil	36.36
Vegetable Shortening	45.45
Vegetable Flakes	3.64
Glyceryl Mono oleate	4.54
Cremophor EL 40	0.91

**Procedure:**

15 Vegetable shortening, vegetable flakes, GMO, and Cremophor EL 40 were melted with soybean oil between 50 and 70<sup>0</sup>C. To this melted mass, theophylline was added and homogenized. The resultant mixture was cooled while mixing and encapsulated.

**Result:**  $T_{50}$  (time required for 50% dissolution) is about 1h.

20

**Formulation 29:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Theophylline	10.00
Soybean Oil	36.36
Vegetable Shortening	45.45
Vegetable Flakes	4.32
Glyceryl Mono oleate	4.54
Cremophor RH 40	0.23

**Procedure:** Procedure adopted was similar to Formulation 28.

- 5 **Result:**  $T_{50}$  (time required for 50% dissolution) is about >24h.

**Formulation 30:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Theophylline	10.00
Soybean Oil	36.36
Vegetable Shortening	45.45
Vegetable Flakes	3.86
Glyceryl Mono oleate	4.54
Cremophor RH 40	0.68

**Procedure:** Procedure adopted was similar to Formulation 28.

10

- Result:**  $T_{50}$  (time required for 50% dissolution) is about 16h.

**Formulation 31:**

<i>Ingredients</i>	<i>Amount (% w/w)</i>
Theophylline	10.00
Soybean Oil	36.36
Vegetable Shortening	45.45
Vegetable Flakes	4.09
Glyceryl Mono oleate	4.54
Cremophor RH 40	0.45

**Procedure:** Procedure adopted was similar to Formulation 28.

5 **Result:**  $T_{50}$  (time required for 50% dissolution) is about 12h.

The term “comprise” and variants of the term such as “comprises” or “comprising” are used herein to denote the inclusion of a stated integer or stated integers but not to exclude any other integer or any other integers, unless in the context or usage an exclusive interpretation of the term is required.

10 Any reference to publications cited in this specification is not an admission that the disclosures constitute common general knowledge in Australia.

The claims defining the invention are as follows:

1. An orally ingestible controlled release soft capsule comprising a shell formulated and configured to be orally administered to a human recipient and a matrix fill disposed within the shell and comprising an active ingredient or drug of a type and dosage suitable for ingestion by the recipient, wherein the matrix fill comprises two phases in the form of an emulsion comprising an aqueous or hydrophilic internal phase comprising one or more cellulose derivatives; and a lipid or lipophilic external phase; wherein the matrix fill is formulated to release the active ingredient or drug for a prolonged period of time following ingestion of the capsule by the recipient.
2. The controlled release soft capsule of claim 1, wherein the internal phase comprises at least one of methylcellulose and hydroxypropylmethyl cellulose.
3. The controlled release soft capsule of claim 1 or claim 2, wherein the internal phase is structured.
4. The controlled release soft capsule of any one of claims 1 to 3, wherein the external phase comprises a vegetable oil, hydrogenated vegetable oil, fatty acid, wax, fatty acid ester, or a combination thereof.
5. The controlled release soft capsule of any one of claims 1 to 4, wherein the active ingredient or drug is dispersed in the internal phase as a solution or suspension form.
6. The controlled release soft capsule of any one of claims 1 to 5, wherein the ratio of the internal phase to external phase is from about 0.5:10 to about 1:1 by weight.
7. The controlled release soft capsule of any one of claims 1 to 5, wherein the ratio of the internal phase to external phase is from about 1:9 to about 1:1 by weight.
8. The controlled release soft capsule of any one of claims 1 to 4, wherein the active ingredient or drug is distributed in both the external phase and the internal phase.

9. The controlled release soft capsule of claim 8, wherein the active ingredient or drug is in the form of solid particles.
10. The controlled release soft capsule of claim 8, wherein the active ingredient or drug is present as solid particles incorporated in both the internal phase and the external phase.
11. An orally ingestible controlled release soft capsule comprising a shell formulated and configured to be orally administered to a human recipient and a matrix fill disposed within the shell and comprising an active ingredient or drug of a type and dosage suitable for ingestion by the recipient, wherein the matrix fill comprises two phases in the form of an emulsion comprising an aqueous or hydrophilic internal phase comprising polyacrylates, polyvinyl polymers, or combinations thereof; and a lipid or lipophilic external phase; wherein the matrix fill is formulated to release the active ingredient or drug for a prolonged period of time following ingestion of the capsule by the recipient.
12. The controlled release soft capsule of claim 11, wherein the internal phase comprises at least one polymer selected from the group consisting of polymethylmethacrylate and polyvinylpyrrolidone (PVP).
13. An orally ingestible controlled release soft capsule as defined in claim 1 or claim 11 and substantially as hereinbefore described with reference to one or more of the accompanying examples.

Dated: 6 May 2010