

Office de la Propriété Intellectuelle du Canada

Un organisme d'Industrie Canada Canadian
Intellectual Property
Office

An agency of Industry Canada

CA 2746319 C 2017/09/19

(11)(21) 2 746 319

(12) BREVET CANADIEN CANADIAN PATENT

(13) **C** 

- (86) Date de dépôt PCT/PCT Filing Date: 2009/12/08
- (87) Date publication PCT/PCT Publication Date: 2010/07/08
- (45) Date de délivrance/Issue Date: 2017/09/19
- (85) Entrée phase nationale/National Entry: 2011/06/08
- (86) N° demande PCT/PCT Application No.: US 2009/067091
- (87) N° publication PCT/PCT Publication No.: 2010/077630
- (30) Priorité/Priority: 2008/12/09 (US61/120,932)

- (51) Cl.Int./Int.Cl. *C10M 129/76* (2006.01), *C10M 133/16* (2006.01), *C10M 141/08* (2006.01), *C10M 141/10* (2006.01)
- (72) Inventeurs/Inventors:
  BARTLEY, STUART L., US;
  BAKER, MARK R., US;
  BASU, SHUBHAMITA, US
- (73) Propriétaire/Owner: THE LUBRIZOL CORPORATION, US
- (74) Agent: RIDOUT & MAYBEE LLP

(54) Titre: COMPOSITION LUBRIFIANTE CONTENANT UN COMPOSE ISSU D'UN ACIDE HYDROXYCARBOXYLIQUE
 (54) Title: LUBRICATING COMPOSITION CONTAINING A COMPOUND DERIVED FROM A HYDROXY-CARBOXYLIC
 ACID

#### (57) Abrégé/Abstract:

The invention relates to a lubricating composition comprising (a) a compound derived from a hydroxy-carboxylic acid, and (b) an oil of lubricating viscosity. The invention further provides for the use of the lubricating composition for lubricating a limited slip differential.





#### (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

## (19) World Intellectual Property Organization

International Bureau

## (43) International Publication Date 8 July 2010 (08.07.2010)





# (10) International Publication Number WO $2010/077630~\mathrm{A}1$

(51) International Patent Classification:

C10M 129/76 (2006.01) C10M 141/08 (2006.01) C10M 133/16 (2006.01) C10M 141/10 (2006.01)

(21) International Application Number:

PCT/US2009/067091

(22) International Filing Date:

8 December 2009 (08.12.2009)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

61/120,932 9 December 2008 (09.12.2008) US

- (71) Applicant (for all designated States except US): THE LUBRIZOL CORPORATION [US/US]; 29400 Lakeland Blvd., Wickliffe, Ohio 44092-2298 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): BARTLEY, Stuart, L. [US/US]; 29400 Lakeland Blvd., Wickliffe, Ohio 44092-2298 (US). BAKER, Mark, R. [US/US]; 29400 Lakeland Blvd., Wickliffe, Ohio 44092-2298 (US). BASU, Shubhamita [IN/US]; 29400 Lakeland Blvd., Wickliffe, Ohio 44092-2298 (US).
- (74) Agents: SHOLD, David, M. et al.; The Lubrizol Corporation, Patent Dept./052A, 29400 Lakeland Blvd., Wickliffe, Ohio 44092-2298 (US).

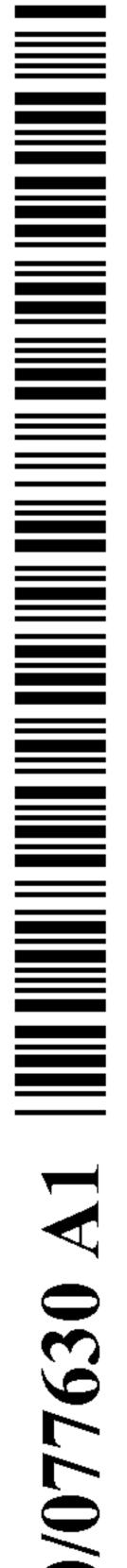
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### **Declarations under Rule 4.17:**

— as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))

#### **Published:**

— with international search report (Art. 21(3))





(57) Abstract: The invention relates to a lubricating composition comprising (a) a compound derived from a hydroxy-carboxylic acid, and (b) an oil of lubricating viscosity. The invention further provides for the use of the lubricating composition for lubricating a limited slip differential.

#### TITLE

Lubricating Composition Containing a Compound Derived From a Hydroxy-Carboxylic Acid

#### FIELD OF INVENTION

[0001] The invention relates to a lubricating composition comprising (a) a compound derived from a hydroxy-carboxylic acid, and (b) an oil of lubricating viscosity. The invention further provides for the use of the lubricating composition for lubricating a limited slip differential.

### BACKGROUND OF THE INVENTION

[0002] A limited slip differential in a vehicle typically employs a wet multiplate clutch, i.e., clutch plates which are immersed in a lubricant. The limited slip differential typically has bevel gear or spur gear planetary systems which distribute the drive torque evenly to the two driving wheels irrespective of their rotational speed. This makes it possible for the driven wheels to roll during cornering without slip between the wheel and road surface in spite of their different rotational speed. In order for the slip to be controlled lubricants containing compounds capable of improving friction performance, dispersants and sulphur- and/or phosphorus- containing extreme pressure agents may be used. Examples of lubricants of this type are disclosed in US Patents 4,308,154; 5,547,586; 4,180,466; 3,825,495; and European Patent Application 0 399 764 A1.

[0003] Lubricants containing compounds suitable for (i) deposit control (US Patent 3,284,409), and (ii) wear performance are described in International Application WO 96/037585, US Patent Application 2002/0119895, and US Patent 5,487,838.

#### SUMMARY OF THE INVENTION

[0004] The inventors of this invention have discovered that a lubricating composition and method as disclosed herein is capable of providing an acceptable level of at least one of (i) lubricant thermal stability, (ii) lubricant oxidative stability, (iii) high static coefficient of friction, (iv) fuel economy, (v) deposit control, (vi) seal compatibility, (vii) cleanliness and (viii) low tendency

towards noise, vibration and harshness (NVH) often manifested as chatter (i.e. an abnormal noise typically referred to as a low-frequency "growl" and "groan", particularly during higher-speed cornering manoeuvres). The inventors have also unexpectedly discovered that the lubricant composition and method disclosed herein may also be suitable for limited slip systems having one or more distinct plate materials. For example the plate materials may be steel, paper, ceramic, carbon fibers and systems employing a mixture of plate types such as steel on ceramic, carbon fibers in paper or steel on paper.

[0005] In one embodiment, the invention provides a method of lubricating a limited slip differential comprising supplying to the limited slip differential a lubricating composition comprising (a) a derivative of (or a compound derived from) a hydroxy-carboxylic acid, and (b) an oil of lubricating viscosity.

[0006] In one embodiment, the invention provides for the use of a lubricating composition comprising (a) a derivative of (or a compound derived from) a hydroxy-carboxylic acid, and (b) an oil of lubricating viscosity in a limited slip differential to provide an acceptable level of at least one of (i) lubricant thermal stability, (ii) lubricant oxidative stability, (iii) friction coefficient, (iv) fuel economy, (v) deposit control, (vi) seal compatibility, and (vii) chattering (abnormal noise). In one embodiment the use provides an acceptable level of friction coefficient.

#### DETAILED DESCRIPTION OF THE INVENTION

[0007] The present invention provides a lubricating composition and method as disclosed herein above.

#### Compound Derived from a Hydroxy-Carboxylic Acid

[0008] The lubricating composition of the present invention includes a derivative of (or a compound derived from) a hydroxy-carboxylic acid, or mixtures thereof. As used herein, the expression "a derivative of" is meant to encompass materials that are literally "derived from" the indicated hydroxy-carboxylic acid as well as those materials that are potentially "derivable from" the hydroxy-carboxylic acid, whether or not they are actually prepared using the indicated acid as a starting material. Derivatives of hydroxy-carboxylic acids include materials prepared or preparable by reaction of the acid group

and/or the alcohol group, such as esters, amides, and imides and mixtures of multiple such functionalities.

[0009] The hydroxy-carboxylic acid includes monohydroxy monocarboxylic acids, polyhydroxy monocarboxylic acids, monohydroxy polycarboxylic acids and polyhydroxy polycarboxylic acids. Also, hydroxy polycarboxylic acids may be monohydroxy polycarboxylic acids such as citric acid or polyhydroxy polycarboxylic acids such as tartaric acid. The derivative of (or compound derived from) a hydroxy-carboxylic acid includes amide, ester or imide derivatives of a hydroxy-carboxylic acid, or mixtures thereof. Typically, the derivative of a hydroxy-carboxylic acid may be a derivative of a hydroxy-polycarboxylic acid such as tartaric acid.

[0010] In one embodiment the an amide, ester or imide derivative of a hydroxy-carboxylic acid may be at least one of hydroxy-carboxylic acid diester, a hydroxy-carboxylic acid di-amide, a hydroxy-carboxylic acid esteramide, a hydroxy-carboxylic acid esteramide, a hydroxy-carboxylic acid ester-imide, and a hydroxy-carboxylic acid imide-amide. In one embodiment the amide, ester or imide derivative of a hydroxy-carboxylic acid may be at least one of the group consisting of a hydroxy-carboxylic acid di-ester, a hydroxy-carboxylic acid di-amide, and a hydroxy-carboxylic acid ester-amide.

[0011] Examples of a suitable a hydroxy-carboxylic acid include citric acid, tartaric acid, lactic acid, glycolic acid, hydroxy-propionic acid, hydroxyglutaric acid, or mixtures thereof. In one embodiment the amide, ester or imide derivative of a hydroxy-carboxylic acid may be derived from tartaric acid, citric acid, hydroxy-succinic acid, dihydroxy mono-acids, mono-hydroxy diacids, or mixtures thereof. In one embodiment the amide, ester or imide derivative of a hydroxy-carboxylic acid includes a derivative or (or compound derived from) tartaric acid or citric acid. In one embodiment the amide, ester or imide derivative of a hydroxy-carboxylic acid includes a compound derived from tartaric acid.

[0012] The derivative of a hydroxy-carboxylic acid may be selected from the group consisting of a hydroxy-carboxylic acid di-ester, a hydroxy-

carboxylic acid di-amide, a hydroxy-carboxylic acid imide, a hydroxy-carboxylic acid ester-amide, a hydroxy-carboxylic acid ester-amide, a hydroxy-carboxylic acid imide-amide.

[0013] The derivative of a hydroxy-carboxylic acid may be selected from the group consisting of a hydroxy-carboxylic acid imide, a hydroxy-carboxylic acid di-imide, a hydroxy-carboxylic acid ester-imide, and a hydroxy-carboxylic acid imide-amide.

[0014] The derivative of a hydroxy-carboxylic acid may be selected from the group consisting of a hydroxy-carboxylic acid imide and a hydroxy-carboxylic acid di-imide.

[0015] The derivative of a hydroxy-carboxylic acid may be derivative of tartaric acid, an imide derivative of citric acid, or mixtures thereof.

[0016] The derivative of a hydroxy-carboxylic acid may be imide derivative of tartaric acid, an imide derivative of citric acid, or mixtures thereof.

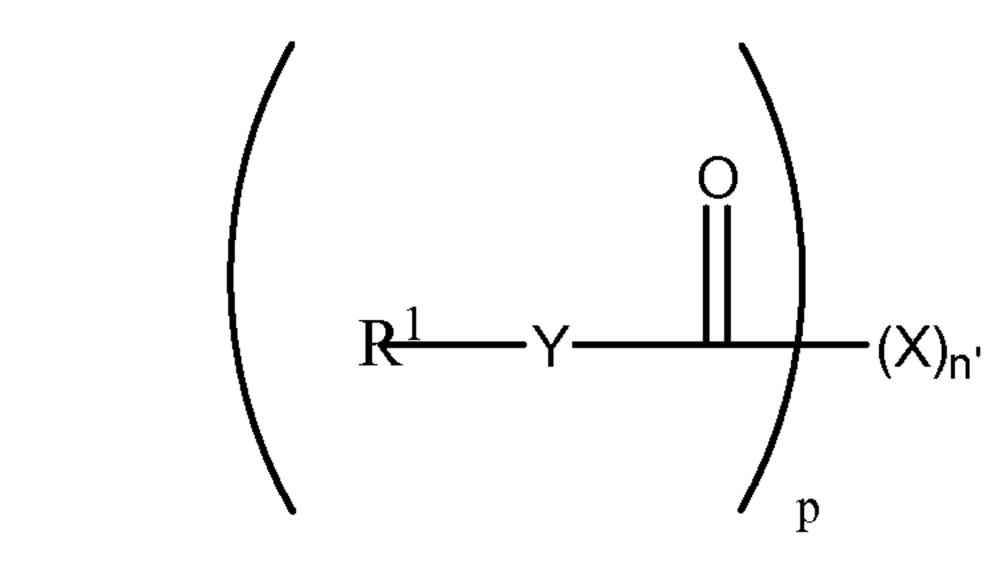
[0017] In one embodiment the derivative of a hydroxy-carboxylic acid is either an ester or imide. The ester derivative of a hydroxy-carboxylic acid may be a tartrate. The imide derivative of a hydroxy-carboxylic acid may be a tartrimide.

[0018] In one embodiment the derivative of (or compound derived from) a hydroxy-carboxylic acid may be imide derivative of a hydroxy-carboxylic acid.

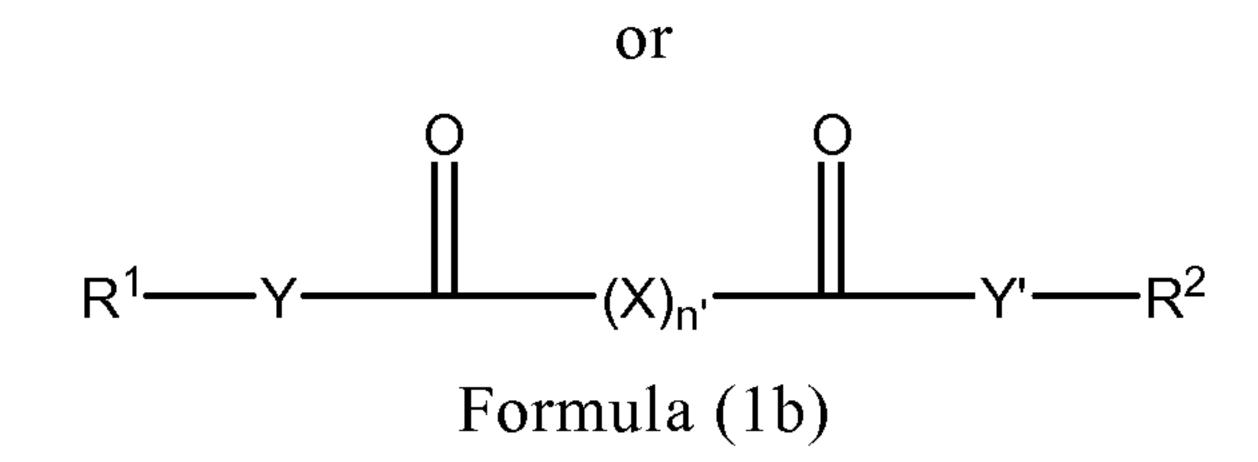
[0019] US Patent Applications US 60/939949 (filed May 24, 2007), now WO2008/147704, and US 60/939952 (filed May 24, 2007), now WO 2008/147700, disclose suitable hydroxy-carboxylic acid compounds, and methods of preparing the same.

[0020] Canadian Patent 1 183 125; US Patent Publication numbers 2006/0183647 and US-2006-0079413; US Patent Application number 60/867402 (now WO2008/067259); and British Patent 2 105 743 A, all disclose examples of suitable tartaric acid derivatives.

[0021] In one embodiment the amide, ester or imide derivative of a hydroxy-carboxylic acid may be represented by Formula (1) (that is, 1a or 1b):



Formula (1a)



wherein

n' is 0 to 10 for Formula (1b), and 1 to 10 for Formula (1a); p is 1 to 5;

Y and Y' are independently -O-, >NH, >NR<sup>3</sup>, or an imide group formed by taking together both Y and Y' groups in (1b) or two Y groups in (1a) and forming a R<sup>1</sup>-N< group between two >C=O groups;

X is independently  $-CH_2$ -,  $>CHR^4$ ,  $>CR^4R^5$ ,  $>CHOR^6$ ,  $>C(OH)CO_2R^6$ ,  $>C(CO_2R^6)_2$ ,  $-CH_3$ ,  $-CH_2R^4$  or  $CHR^4R^5$ ,  $-CH_2OR^6$ ,  $-CH(CO_2R^6)_2$ ,  $\equiv C-R^6$  (where  $\equiv$  equals three valences, and may only apply to Formula (1a)) or mixtures thereof to fulfill the valence of Formula (1a) and/or (1b) (typically the compound of Formula (1a) or (1b) has at least one X that is hydroxylcontaining (i.e.,  $>CHOR^6$ , wherein  $R^6$  is hydrogen));

R<sup>1</sup> and R<sup>2</sup> are independently hydrocarbyl groups, typically containing 1 to 150, or 4 to 30, or 8 to 15 carbon atoms;

R<sup>3</sup> is a hydrocarbyl group;

 $R^4$  and  $R^5$  are independently keto-containing groups (such as acyl groups), ester groups or hydrocarbyl groups, or  $-OR^6$ , or  $-CO_2R^6$ , or -OH (typically not more than one -OH when X is  $>CR^4R^5$ ); and

R<sup>6</sup> is independently hydrogen or a hydrocarbyl group, typically containing 1 to 150, or 4 to 30, or 8 to 15 carbon atoms.

[0022] In one embodiment the compound of Formula (1) contains an imide group. The imide group is typically formed by taking together the Y and Y' groups and forming a R<sup>1</sup>-N< group between two >C=O groups.

[0023] In one embodiment the compound of Formula (1) has m, n, X, and R<sup>1</sup>, R<sup>2</sup> and R<sup>6</sup> defined as follows: m is 0 or 1, n is 1 to 2, X is >CHOR<sup>6</sup>, and R<sup>1</sup>, R<sup>2</sup> and R<sup>6</sup> are independently hydrocarbyl groups containing 4 to 30 carbon atoms.

[0024] In one embodiment Y and Y' are both -O-.

[0025] In one embodiment the compound of Formula (1) has m, n, X, Y, Y' and  $R^1$ ,  $R^2$  and  $R^6$  defined as follows: m is 0 or 1, n is 1 to 2, X is >CHOR<sup>6</sup>; Y and Y' are both -O-, and  $R^1$ ,  $R^2$  and  $R^6$  are independently hydrogen or hydrocarbyl groups containing 4 to 30 carbon atoms.

[0026] The di-esters, di-amides, ester-amide, ester-imide compounds of Formula (1) may be prepared by reacting a dicarboxylic acid (such as tartaric acid), with an amine or alcohol, optionally in the presence of a known esterification catalyst. In the case of ester-imide compounds it is necessary to have at least three carboxylic acid groups (such as citric acid). The amine or alcohol typically has sufficient carbon atoms to fulfill the requirements of R<sup>1</sup> and/or R<sup>2</sup> as defined in Formula (1).

[0027] In one embodiment R<sup>1</sup> and R<sup>2</sup> are independently linear or branched hydrocarbyl groups. In one embodiment the hydrocarbyl groups are branched. In one embodiment the hydrocarbyl groups are linear. The R<sup>1</sup> and R<sup>2</sup> may be incorporated into Formula (1) by either an amine or an alcohol. The alcohol includes both monohydric alcohol and polyhydric alcohol. The carbon atoms of the alcohol may be linear chains, branched chains, or mixtures thereof.

[0028] Examples of a suitable branched alcohol include 2-ethylhexanol, isotridecanol, Guerbet alcohols, or mixtures thereof.

[0029] Examples of a monohydric alcohol include methanol, ethanol, propanol, butanol, pentanol, hexanol, heptanol, octanol, nonanol, decanol, undecanol, dodecanol, tridecanol, tetradecanol, pentadecanol, hexadecanol, heptadecanol, octadecanol, nonadecanol, eicosanol, or mixtures thereof. In one embodiment the monohydric alcohol contains 5 to 20 carbon atoms.

[0030] The alcohol includes either a monohydric alcohol or a polyhydric alcohol. Examples of a suitable polyhydric alcohol include ethylene glycol, propylene glycol, 1,3-butylene glycol, 2,3-butylene glycol, 1,5-pentane diol, 1,6-hexane diol, glycerol, sorbitol, pentaerythritol, trimethylolpropane, starch, glucose, sucrose, methylglucoside, or mixtures thereof. In one embodiment the polyhydric alcohol is used in a mixture along with a monohydric alcohol. Typically, in such a combination the monohydric alcohol constitutes at least 60 mole percent, or at least 90 mole percent of the mixture.

[0031] The tartaric acid used for preparing the tartrates of the invention can be commercially available, and it is likely to exist in one or more isomeric forms such as d-tartaric acid, l-tartaric acid, d,l-tartaric acid or mesotartaric acid, often depending on the source (natural) or method of synthesis (from maleic acid). For example a racemic mixture of d-tartaric acid and l-tartaric acid is obtained from a catalysed oxidation of maleic acid with hydrogen peroxide (with tungstic acid catalyst). These derivatives can also be prepared from functional equivalents to the diacid readily apparent to those skilled in the art, such as esters, acid chlorides, or anhydrides.

When the compound of Formula (1) is derived from tartaric acid, [0032]resultant tartrates may be solid, semi-solid, or liquid oil depending on the particular alcohol used in preparing the tartrate. For use as additives in a lubricating composition the tartrates are advantageously soluble and/or stably dispersible in such oleaginous compositions. For example, compositions intended for use in oils are typically oil-soluble and/or stably dispersible in an oil in which they are to be used. The term "oil-soluble" as used in this specification and appended claims does not necessarily mean that all the compositions in question are miscible or soluble in all proportions in all oils. Rather, it is intended to mean that the composition is soluble in an oil (mineral, synthetic, etc.) or in a formulated lubricant in which it is intended to function, to an extent which permits the solution to exhibit one or more of the desired properties. Similarly, it is not necessary that such "solutions" be true solutions in the strict physical or chemical sense. They may instead be micro-emulsions or colloidal dispersions which, for the purpose of this invention, exhibit

properties sufficiently close to those of true solutions to be, for practical purposes, interchangeable with them within the context of this invention.

[0033] The derivative of (or compound derived from) a hydroxy-carboxylic acid may be present in the lubricating composition in an amount in the range of 0.1 wt % to 5 wt %, or 0.2 wt % to 3 wt %, or greater than 0.2 wt % to 3 wt % of the lubricating composition.

## Amine Salt of a Phosphoric Acid Ester

[0034] In one embodiment the lubricating composition further includes an amine salt of a phosphoric acid ester. The phosphoric acid utilised to prepare the phosphoric acid ester amine salt may be either a phosphoric acid or a thiophosphoric acid.

[0035] The amine salt of a phosphoric acid ester may contain ester groups each having 1 to 30, 6 to 30, 8 to 30, 10 to 24 or 12 to 20, or 16 to 20 carbon atoms, with the proviso that a portion or all of ester groups are sufficiently long to solubilise the amine salt of a phosphoric acid ester in an oil of lubricating viscosity. Typically ester groups containing 4 or more carbon atoms are particularly useful.

[0036] Examples of suitable ester groups include isopropyl, methyl-amyl (may also be referred to as 1,3-dimethyl butyl), 2-ethylhexyl, heptyl, octyl, nonyl, decyl, dodecyl, butadecyl, pentadecyl, hexadecyl, heptadecyl, octadecyl, nonadecyl, eicosyl, or mixtures thereof.

[0037] In one embodiment the ester groups is selected from the group consisting of isopropyl, methyl-amyl (may also be referred to as 1,3-dimethyl butyl), 2-ethylhexyl, heptyl, octyl, nonyl, decyl, and mixtures thereof.

[0038] The amines which may be suitable for use as the amine salt include primary amines, secondary amines, tertiary amines, and mixtures thereof. The amines include those with at least one hydrocarbyl group, or, in certain embodiments, two or three hydrocarbyl groups. The hydrocarbyl groups may contain 2 to 30 carbon atoms, or in other embodiments 8 to 26, or 10 to 20, or 13 to 19 carbon atoms.

[0039] Primary amines include ethylamine, propylamine, butylamine, 2-ethylhexylamine, octylamine, and dodecylamine, as well as linear amines as

n-octylamine, n-decylamine, n-dodecylamine, n-tetradecylamine, n-hexadecylamine, n-octadecylamine and oleyamine. Other useful fatty amines include commercially available fatty amines such as "Armeen®" amines (products available from Akzo Chemicals, Chicago, Illinois), such as Armeen C, Armeen O, Armeen OL, Armeen T, Armeen HT, Armeen S and Armeen SD, wherein the letter designation relates to the fatty group, such as coco, oleyl, tallow, or stearyl groups.

[0040] Examples of suitable secondary amines include dimethylamine, diethylamine, dipropylamine, dibutylamine, diamylamine, dihexylamine, dihexylamine, methylethylamine, ethylbutylamine, ethylamylamine, dicocoamine and di-2-ethylhexylamine. The secondary amines may be cyclic amines such as piperidine, piperazine and morpholine.

[0041] The amine may also be a tertiary-aliphatic primary amine. The aliphatic group in this case may be an alkyl group containing 2 to 30, or 6 to 26, or 8 to 24 carbon atoms. Tertiary alkyl amines include monoamines such as tert-butylamine, tert-hexylamine, 1-methyl-1-amino-cyclohexane, tert-octylamine, tert-decylamine, tertdodecylamine, tert-tetradecylamine, tert-hexadecylamine, tert-octadecylamine, tert-tetracosanylamine, and tert-octacosanylamine.

[0042] The amine salt of a phosphorus acid ester may be a reaction product of a  $C_{12-20}$  alkyl phosphoric acid with a tertiary  $C_{11-22}$  alkyl primary amine.

[0043] In one embodiment the amine salt of a phosphorus acid ester includes an amine with C11 to C14 tertiary alkyl primary amino groups or mixtures thereof. In one embodiment the amine salt of a phosphorus compound includes an amine with C14 to C18 tertiary alkyl primary amines or mixtures thereof. In one embodiment the amine salt of a phosphorus compound includes an amine with C18 to C22 tertiary alkyl primary amines or mixtures thereof.

[0044] In one embodiment the amine salt of a phosphorus acid ester includes the reaction product of octadecenyl phosphoric acid with Primene 81R<sup>TM</sup>.

[0045] Mixtures of amines may also be used in the invention. In one embodiment a useful mixture of amines is "Primene<sup>TM</sup> 81R" and "Primene<sup>TM</sup> JMT." Primene<sup>TM</sup> 81R and Primene<sup>TM</sup> JMT (both produced and sold by Rohm

& Haas) are mixtures of C11 to C14 tertiary alkyl primary amines and C18 to C22 tertiary alkyl primary amines respectively.

[0046] In one embodiment the amine salt of a phosphorus acid ester is the reaction product of a C14 to C18 alkylated phosphoric acid with Primene 81R<sup>TM</sup> which is a mixture of C11 to C14 tertiary alkyl primary amines.

[0047] Examples of the amine salt of a phosphorus acid ester include the reaction product(s) of isopropyl, methyl-amyl (1,3-dimethyl butyl or mixtures thereof), 2-ethylhexyl, heptyl, octyl, nonyl or decyl dithiophosphoric acids with ethylene diamine, morpholine, or Primene 81R<sup>TM</sup>, and mixtures thereof.

[0048] Examples of the amine salt of a phosphorus acid ester include the reaction product(s) of tetradecyl, pentadecyl, hexadecyl, heptadecyl, octadecyl, nonadecyl or eicosyl dithiophosphoric acids with ethylene diamine, morpholine, or Primene 81R<sup>TM</sup>, and mixtures thereof. In one embodiment the amine salt of a phosphorus acid ester includes the reaction product of octadecenyl dithiophosphoric acid with Primene 81R<sup>TM</sup>.

[0049] In one embodiment the amine salt of a phosphorus compound may be an amine salt of either (i) a hydroxy-substituted di- ester of phosphoric acid, or (ii) a phosphorylated hydroxy-substituted di- or tri- ester of phosphoric acid. A more detailed description of this type of compound is described in International Publication WO 2008/094759.

[0050] In one embodiment the amine salt of a phosphoric acid is a compound described in US Patent 3,197,405. In one embodiment the amine salt of a phosphorus compound other than those disclosed above, may be prepared by any one of examples 1 to 25 of US Patent 3,197,405.

[0051] In one embodiment the amine salt of a phosphorus compound other than those disclosed above, is a reaction product prepared from a dithiophosphoric acid is reacting with an epoxide or a glycol. This reaction product is further reacted with a phosphorus acid, anhydride, or lower ester (where "lower" signifies 1 to 8, or 1 to 6, or 1 to 4, or 1 to 2 carbon atoms in the alcohol-derived portion of the ester). The epoxide includes an aliphatic epoxide or a styrene oxide. Examples of useful epoxides include ethylene oxide, propylene oxide, butene oxide, octene oxide, dodecene oxide, styrene

oxide and the like. In one embodiment the epoxide is propylene oxide. The glycols include aliphatic glycols having 2 to 12, or 2 to 6, or 2 to 3 carbon atoms. The dithiophosphoric acids, glycols, epoxides, inorganic phosphorus reagents and methods of reacting the same are described in U.S. Patent numbers 3,197,405 and 3,544,465. The resulting acids are then salted with amines.

[0052] An example of suitable dithiophosphoric acid based product is prepared by adding phosphorus pentoxide (about 64 grams) at 58 °C over a period of 45 minutes to 514 grams of hydroxypropyl O,O-di(1,3-dimethylbutyl)phosphorodithioate (prepared by reacting di(1,3-dimethylbutyl)phosphorodithioic acid with 1.3 moles of propylene oxide at 25 °C). The mixture is heated at 75 °C for 2.5 hours, mixed with a diatomaceous earth and filtered at 70 °C. The filtrate contains 11.8% by weight phosphorus, 15.2% by weight sulphur, and an acid number of 87 (bromophenol blue).

[0053] The amine salt of a phosphorus acid ester may be present at 0 wt % to 5 wt %, or 0.01 wt % to 5 wt %, or 0.01 wt % to 2 wt %, or 0.25 wt % to 1 wt % of the lubricating composition.

#### Oils of Lubricating Viscosity

[0054] The lubricating composition comprises an oil of lubricating viscosity. Such oils include natural and synthetic oils, oil derived from hydrocracking, hydrogenation, and hydrofinishing, unrefined, refined and rerefined oils and mixtures thereof.

[0055] Unrefined oils are those obtained directly from a natural or synthetic source generally without (or with little) further purification treatment.

[0056] Refined oils are similar to the unrefined oils except they have been further treated in one or more purification steps to improve one or more properties. Purification techniques are known in the art and include solvent extraction, secondary distillation, acid or base extraction, filtration, percolation and the like.

[0057] Re-refined oils are also known as reclaimed or reprocessed oils, and are obtained by processes similar to those used to obtain refined oils and often are additionally processed by techniques directed to removal of spent additives and oil breakdown products.

[0058] Natural oils useful in making the inventive lubricants include animal oils (e.g., lard oil), vegetable oils (e.g., castor oil), mineral lubricating oils such as liquid petroleum oils and solvent-treated or acid-treated mineral lubricating oils of the paraffinic, naphthenic or mixed paraffinic-naphthenic types and oils derived from coal or shale or mixtures thereof.

[0059] Synthetic lubricating oils are useful and include hydrocarbon oils such as polymerised and interpolymerised olefins (e.g., polybutylenes, polypropylenes, propyleneisobutylene copolymers); poly(1-hexenes), poly(1-octenes), poly(1-decenes), and mixtures thereof; alkylbenzenes (e.g. dodecylbenzenes, tetradecylbenzenes, dinonylbenzenes, di-(2-ethylhexyl)-benzenes); polyphenyls (e.g., biphenyls, terphenyls, alkylated polyphenyls); alkylated diphenyl ethers and alkylated diphenyl sulphides and the derivatives, analogs and homologs thereof or mixtures thereof.

Priolube®3970), diesters, liquid esters of phosphorus-containing acids (e.g., tricresyl phosphate, trioctyl phosphate, and the diethyl ester of decane phosphonic acid), or polymeric tetrahydrofurans. Synthetic oils may be produced by Fischer-Tropsch reactions and typically may be hydroisomerised Fischer-Tropsch hydrocarbons or waxes. In one embodiment oils may be prepared by a Fischer-Tropsch gas-to-liquid synthetic procedure as well as other gas-to-liquid oils.

[0061] Oils of lubricating viscosity may also be defined as specified in the American Petroleum Institute (API) Base Oil Interchangeability Guidelines. The five base oil groups are as follows: Group I (sulphur content >0.03 wt %, and/or <90 wt % saturates, viscosity index 80-120); Group II (sulphur content ≤0.03 wt %, and ≥90 wt % saturates, viscosity index 80-120); Group III (sulphur content ≤0.03 wt %, and ≥90 wt % saturates, viscosity index ≥120); Group IV (all polyalphaolefins (PAOs)); and Group V (all others not included in Groups I, II, III, or IV). The oil of lubricating viscosity includes an API Group I, Group II, Group III, Group IV, Group V oil or mixtures thereof. Often the oil of lubricating viscosity is an API Group I, Group II, Group III,

Group IV oil or mixtures thereof. Alternatively the oil of lubricating viscosity is often an API Group II, Group III or Group IV oil or mixtures thereof.

[0062] The amount of the oil of lubricating viscosity present is typically the balance remaining after subtracting from 100 wt % the sum of the amount of the borated phospholipid, the amine salt of a phosphoric acid ester, and the other performance additives.

[0063] The lubricating composition may be in the form of a concentrate and/or a fully formulated lubricant. If the lubricating composition disclosed herein is in the form of a concentrate (which may be combined with additional oil to form, in whole or in part, a finished lubricant), the ratio of the of components of the lubricating composition to the oil of lubricating viscosity and/or to diluent oil include the ranges of 1:99 to 99:1 by weight or 80:20 to 10:90 by weight. When in the form of a concentrate, the present invention may be part of a full lubricant composition or may be a supplemental additive package or "top treat".

#### Other Performance Additives

[0064] The composition of the invention optionally further includes at least one other performance additive. The other performance additives include dispersants, metal deactivators, detergents, viscosity modifiers, extreme pressure agents (typically boron- and/or sulphur- and/or phosphorus-containing), antiwear agents, antioxidants (such as hindered phenols, aminic antioxidants or molybdenum compounds), corrosion inhibitors, foam inhibitors, demulsifiers, pour point depressants, seal swelling agents, friction modifiers and mixtures thereof.

[0065] The total combined amount of the other performance additives (excluding the viscosity modifiers) present on an oil free basis may include ranges of 0 wt % to 25 wt %, or 0.01 wt % to 20 wt %, or 0.1 wt % to 15 wt % or 0.5 wt % to 10 wt %, or 1 to 5 wt % of the composition. Although one or more of the other performance additives may be present, it is common for the other performance additives to be present in different amounts relative to each other.

[0066] In one embodiment the lubricating composition is free of molybdenum-containing additives.

#### Viscosity Modifiers

[0067] In one embodiment the lubricating composition further includes one or more viscosity modifiers.

[0068] When present the viscosity modifier may be present in an amount of 0.5 wt % to 70 wt %, 1 wt % to 60 wt %, or 5 wt % to 50 wt %, or 10 wt % to 50 wt % of the lubricating composition.

[0069] Viscosity modifiers include (a) polymethacrylates, (b) esterified copolymers of (i) a vinyl aromatic monomer and (ii) an unsaturated carboxylic acid, anhydride, or derivatives thereof, (c) esterified interpolymers of (i) an alpha-olefin; and (ii) an unsaturated carboxylic acid, anhydride, or derivatives thereof, or (d) hydrogenated copolymers of styrene-butadiene, (e) ethylene-propylene copolymers, (f) polyisobutenes, (g) hydrogenated styrene-isoprene polymers, (h) hydrogenated isoprene polymers, or (i) mixtures thereof.

[0070] In one embodiment the viscosity modifier includes (a) a polymethacrylate, (b) an esterified copolymer of (i) a vinyl aromatic monomer; and (ii) an unsaturated carboxylic acid, anhydride, or derivatives thereof, (c) an esterified interpolymer of (i) an alpha-olefin; and (ii) an unsaturated carboxylic acid, anhydride, or derivatives thereof, or (d) mixtures thereof.

#### Extreme Pressure Agents

[0071] Extreme pressure agents include compounds containing boron and/or sulphur and/or phosphorus.

[0072] The extreme pressure agent may be present in the lubricating composition at 0 wt % to 20 wt %, or 0.05 wt % to 10 wt %, or 0.1 wt % to 8 wt % of the lubricating composition.

[0073] In one embodiment the extreme pressure agent is a sulphur-containing compound. In one embodiment the sulphur-containing compound may be a sulphurised olefin, a polysulphide, or mixtures thereof.

[0074] Examples of the sulphurised olefin include a sulphurised olefin derived from propylene, isobutylene, pentene; an organic sulphide and/or polysulphide including benzyldisulphide; bis—(chlorobenzyl) disulphide; dibutyl tetrasulphide; di-tertiary butyl polysulphide; and sulphurised methyl ester of oleic acid, a sulphurised alkylphenol, a sulphurised dipentene, a

sulphurised terpene, a sulphurised Diels-Alder adduct, an alkyl sulphenyl N'N-dialkyl dithiocarbamates; or mixtures thereof. In one embodiment the sulphurised olefin includes a sulphurised olefin derived from propylene, isobutylene, pentene or mixtures thereof.

[0075] In one embodiment the extreme pressure agent sulphur-containing compound includes a dimercaptothiadiazole or derivative, or mixtures thereof. Examples of the dimercaptothiadiazole include 2,5-dimercapto-1,3,4-thiadiazole, or oligomers thereof. The oligomers of hydrocarbyl-substituted 2,5-dimercapto-1,3,4-thiadiazole typically form by forming a sulphur-sulphur bond between 2,5-dimercapto-1,3,4-thiadiazole units to form derivatives or oligomers of two or more of said thiadiazole units. Suitable 2,5-dimercapto-1,3,4-thiadiazole derived compounds include 2,5-bis(tert-nonyldithio)-1,3,4-thiadiazole or 2-tert-nonyldithio-5-mercapto-1,3,4-thiadiazole.

[0076] The number of carbon atoms on the hydrocarbyl substituents of the hydrocarbyl-substituted 2,5-dimercapto-1,3,4-thiadiazole typically include 1 to 30, or 2 to 20, or 3 to 16.

[0077] In one embodiment the extreme pressure agent includes a boron-containing compound. The boron-containing compound includes a borate ester (which in some embodiments may also be referred to as a borated epoxide), a borated alcohol, a borated dispersant or mixtures thereof. In one embodiment the boron-containing compound may be a borate ester or a borated alcohol.

[0078] The borate ester may be prepared by the reaction of a boron compound and at least one compound selected from epoxy compounds, halohydrin compounds, epihalohydrin compounds, alcohols and mixtures thereof. The alcohols include dihydric alcohols, trihydric alcohols or higher alcohols, with the proviso for one embodiment that hydroxyl groups are on adjacent carbon atoms i.e. vicinal.

[0079] Boron compounds suitable for preparing the borate ester include the various forms selected from the group consisting of boric acid (including metaboric acid, HBO<sub>2</sub>, orthoboric acid, H<sub>3</sub>BO<sub>3</sub>, and tetraboric acid, H<sub>2</sub>B<sub>4</sub>O<sub>7</sub>), boric oxide, boron trioxide and alkyl borates. The borate ester may also be prepared from boron halides.

[0080] In one embodiment suitable borate ester compounds include tripropyl borate, tributyl borate, tripentyl borate, trihexyl borate, triheptyl borate, trioctyl borate, trinonyl borate and tridecyl borate.

[0081] In one embodiment the borate ester compounds include tributyl borate, tri-2-ethylhexyl borate or mixtures thereof.

[0082] In one embodiment, the boron-containing compound is a borated dispersant, typically derived from an N-substituted long chain alkenyl succinimide. In one embodiment the borated dispersant includes a polyisobutylene succinimide. Borated dispersants are described in more detail in US Patents 3,087,936; and Patent 3,254,025.

[0083] In one embodiment the borated dispersant may be used in combination with a sulphur-containing compound or a borate ester.

[0084] In one embodiment the extreme pressure agent is other than a borated dispersant.

[0085] The number average molecular weight of the hydrocarbon from which the long chain alkenyl group was derived includes ranges of 350 to 5000, or 500 to 3000, or 550 to 1500. The long chain alkenyl group may have a number average molecular weight of 550, or 750, or 950 to 1000.

[0086] The N-substituted long chain alkenyl succinimides are borated using a variety of agents including boric acid (for example, metaboric acid, HBO<sub>2</sub>, orthoboric acid, H<sub>3</sub>BO<sub>3</sub>, and tetraboric acid, H<sub>2</sub>B<sub>4</sub>O<sub>7</sub>), boric oxide, boron trioxide, and alkyl borates. In one embodiment the borating agent is boric acid which may be used alone or in combination with other borating agents.

[0087] The borated dispersant may be prepared by blending the boron compound and the N-substituted long chain alkenyl succinimides and heating them at a suitable temperature, such as, 80 °C to 250 °C, or 90 °C to 230 °C, or 100 °C to 210 °C, until the desired reaction has occurred. The molar ratio of the boron compounds to the N-substituted long chain alkenyl succinimides may have ranges including 10:1 to 1:4, or 4:1 to 1:3; or the molar ratio of the boron compounds to the N-substituted long chain alkenyl succinimides may be 1:2. An inert liquid may be used in performing the reaction. The liquid may include toluene, xylene, chlorobenzene, dimethylformamide or mixtures thereof.

[0088] Friction modifiers (other than (a) a borated phospholipid, and (b) an amine salt of a phosphoric acid ester) include fatty amines, esters such as borated glycerol esters, fatty phosphites, fatty acid amides, fatty epoxides, borated fatty epoxides, alkoxylated fatty amines, borated alkoxylated fatty amines, metal salts of fatty acids, or fatty imidazolines, condensation products of carboxylic acids and polyalkylene-polyamines.

[0089] In one embodiment the lubricating composition may contain phosphorus- or sulphur- containing antiwear agents other than compounds described as an extreme pressure agent of the amine salt of a phosphoric acid ester described above. Examples of the antiwear agent may include a non-ionic phosphorus compound (typically compounds having phosphorus atoms with an oxidation state of +3 or +5), a metal dialkyldithiophosphate (typically zinc dialkyldithiophosphates), a metal mono- or di- alkylphosphate (typically zinc phosphates), or mixtures thereof.

[0090] The non-ionic phosphorus compound includes a phosphite ester, a phosphate ester, or mixtures thereof. A more detailed description of the non-ionic phosphorus compound include column 9, line 48 to column 11, line 8 of US 6,103,673.

[0091] In one embodiment the lubricating composition of the invention further includes a dispersant. The dispersant may be a succinimide dispersant (for example N-substituted long chain alkenyl succinimides), a Mannich dispersant, an ester-containing dispersant, a condensation product of a fatty hydrocarbyl monocarboxylic acylating agent with an amine or ammonia, an alkyl amino phenol dispersant, a hydrocarbyl-amine dispersant, a polyether dispersant or a polyetheramine dispersant.

[0092] In one embodiment the succinimide dispersant includes a polyisobutylene-substituted succinimide, wherein the polyisobutylene from which the dispersant is derived may have a number average molecular weight of 400 to 5000, or 950 to 1600.

[0093] Succinimide dispersants and their methods of preparation are more fully described in U.S. Patents 4,234,435 and 3,172,892.

[0094] Suitable ester-containing dispersants are typically high molecular weight esters. These materials are described in more detail in U.S. Patent 3,381,022.

[0095] In one embodiment the dispersant includes a borated dispersant. Typically the borated dispersant includes a succinimide dispersant including a polyisobutylene succinimide, wherein the polyisobutylene from which the dispersant is derived may have a number average molecular weight of 400 to 5000. Borated dispersants are described in more detail above within the extreme pressure agent description.

[0096] Dispersant viscosity modifiers (often referred to as DVMs) include functionalised polyolefins, for example, ethylene-propylene copolymers that have been functionalized with the reaction product of maleic anhydride and an amine, a polymethacrylate functionalised with an amine, or esterified styrene-maleic anhydride copolymers reacted with an amine may also be used in the composition of the invention.

[0097] Corrosion inhibitors include 1-amino-2-propanol, octylamine octanoate, condensation products of dodecenyl succinic acid or anhydride and/or a fatty acid such as oleic acid with a polyamine.

[0098] Metal deactivators include derivatives of benzotriazoles (typically tolyltriazole), 1,2,4-triazoles, benzimidazoles, 2-alkyldithiobenzimidazoles or 2-alkyldithiobenzothiazoles. The metal deactivators may also be described as corrosion inhibitors.

[0099] Foam inhibitors include copolymers of ethyl acrylate and 2-ethylhexylacrylate and optionally vinyl acetate.

[0100] Demulsifiers include trialkyl phosphates, and various polymers and copolymers of ethylene glycol, ethylene oxide, propylene oxide, or mixtures thereof.

[0101] Pour point depressants including esters of maleic anhydride-styrene, polymethacrylates, polyacrylates or polyacrylamides.

[0102] Seal swell agents including Exxon Necton-37<sup>TM</sup> (FN 1380) and Exxon Mineral Seal Oil<sup>TM</sup> (FN 3200).

## Industrial Application

[0103] The limited slip differential typically incorporates a self-contained lubricant supply isolated from the lubricant disposed in the differential housing or carrier. The self-contained lubricant of the limited slip differential is generally different from the lubricant supplied to a manual transmission or an automatic transmission fluid. In both the manual and automatic transmission systems not comprising a limited slip differential, one lubricant is typically sufficient to lubricate all of the transmission constituents.

[0104] An axle gear may have any one of a number of different types of differentials. A differential typically has three major functions. The first function is to transmit engine power to the wheels. The second function is act as the final gear reduction in the vehicle, slowing the rotational speed from the transmission to the wheels. The third function is to transmit the power to the wheels while allowing them to rotate at different speeds. A number of differentials are known and include an open differential, a clutch-type limited slip differential, a viscous coupling differential, a Torsen differential and a locking differential. All of these differentials may be generically referred to as axle gears.

[0105] Axle gears typically require a lubricant. The lubricant formulation is dependent on the type of axle gear, and the operating conditions of the axle gear. For example, an open differential axle gear is believed to require antiwear and/or extreme pressure additives. In contrast, a limited slip differential typically requires a friction modifier because, in addition to an open differential (known from many axle fluids), a spring pack and a clutch pack are typically present. The clutch pack may contain one or more reaction plates (often made from steel) and one or more friction plates. The friction plates are known, and may be made from a number of materials including paper, carbon, graphite, steel and a composite.

[0106] The lubricating composition suitable for the limited slip differential may have a sulphur content in the range of 0.3 wt % to 5 wt %, or 0.5 wt % to 5 wt %, or 0.5 wt % to 3 wt % or 0.8 wt % to 2.5 wt %, or 1 wt % to 2 wt %.

[0107] In one embodiment the lubricating composition suitable for the limited slip differential may be a fully formulated fluid.

[0108] In one embodiment the lubricating composition suitable for the limited slip differential may be a top treat concentrate.

[0109] When the lubricating composition is in the form of a top treat concentrate, the concentrate may be added at 0.2 wt % to 10 wt %, or 0.5 wt % to 7 wt % relative to the amount of lubricant in a limited slip differential.

[0110] The following examples provide illustrations of the invention. These examples are non exhaustive and are not intended to limit the scope of the invention.

#### **EXAMPLES**

[0111] Example 1 (EX1): 1271 g of a commercially available limited slip axle fluid is top-treated with 1.74 wt % of oleyl tartrimide.

[0112] Comparative Example 1 (CE1) is the same commercially available axle fluid as EX1, except the oleyl tartrimides is not added.

The examples prepared (EX1 and CE1) are evaluated using a mu-PVT friction coefficient, obtained at varying Pressures, Velocities and Temperatures) friction screen test on a Low Speed SAE #2 test machine. This friction screen test utilises a Dana<sup>TM</sup> Model 80 plate configuration with Miba<sup>TM</sup> MC-631 friction material with the following plate configuration (S-F-S-F-S-F-S, where S is a steel plate, and F is a friction plate) thereby producing 8 active friction surfaces. The test runs through a map of varying apply pressures and plate differential speeds while holding the temperature constant at 50 °C. There are six apply pressure settings of 190, 380, 570, 760, 950 and 1075 kPa. At each apply pressure setting, four distinct plate differential speeds of 15, 50, 85 and 120 rpm are utilised. At each plate differential speed, twenty five repeat cycles are conducted. Each test has a total of 600 cycles (six pressures x four speeds x twenty-five cycles). A 600 cycle mu-PVT or friction map is conducted before and after a durability cycle to assess the change in friction performance. The durability cycle consists of a constant apply pressure of 570 kPa at a fluid temperature of 80 °C and cycling the plate differential speed between 120 and 0 rpm. One complete cycle consists of 5 seconds at 0 rpm and 5 seconds at 120 rpm. This is repeated for a total of 2500

cycles. The primary measurement is an NVH rating that depicts the variation in the torque signal during each discrete speed event or the difference between the minimum and maximum friction coefficient obtained during the event. This measurement assigns a number to the magnitude of the torque signal variation according to the following table:

Torque Signal Variation	NVH Rating
Between 0 and 0.02 Coefficient of Friction Units	0
Between 0.02 and 0.04 Coefficient of Friction Un	its 1
Between 0.04 and 0.06 Coefficient of Friction Un	its 2
Between 0.06 and 0.08 Coefficient of Friction Un	its 3
Between 0.08 and 0.10 Coefficient of Friction Un	its 4
Between 0.10 and 0.12 Coefficient of Friction Un	its 5
Between 0.12 and 0.14 Coefficient of Friction Un	its 6
Between 0.14 and 0.16 Coefficient of Friction Un	its 7

[0114] These ratings are summed up for all cycles completed at one apply pressure and speed setting and then for the entire test. The maximum NVH rating is 9600 points (6 pressures x 4 speeds x 25 repeats x 8 NVH Rating = 4800, x 2 for pre and post durability evaluation = 9600). This would be considered very poor friction performance. The minimum NVH rating is 0 points. This would be considered excellent friction performance. The Post Durability NVH rating for EX1 is 152, and 565 for CE1. The total NVH rating for EX1 and CE1 is 471 and 728 respectively.

[0115] The data obtained from the tests indicates that the lubricating composition of the invention is capable of providing a limited slip differential with a low tendency towards post durability NVH often manifested as chatter.

[0116] It is known that some of the materials described above may interact in the final formulation, so that the components of the final formulation may be different from those that are initially added. The products formed thereby, including the products formed upon employing lubricant composition of the present invention in its intended use, may not be susceptible of easy description. Nevertheless, all such modifications and reaction products are included within the scope of the present invention; the present invention

encompasses lubricant composition prepared by admixing the components described above.

- [0117] As used herein, the term "hydrocarbyl substituent" or "hydrocarbyl group" is used in its ordinary sense, which is well-known to those skilled in the art. Specifically, it refers to a group having a carbon atom directly attached to the remainder of the molecule and having predominantly hydrocarbon character. Examples of hydrocarbyl groups include:
- (i) hydrocarbon substituents, that is, aliphatic (e.g., alkyl or alkenyl), alicyclic (e.g., cycloalkyl, cycloalkenyl) substituents, and aromatic-, aliphatic-, and alicyclic-substituted aromatic substituents, as well as cyclic substituents wherein the ring is completed through another portion of the molecule (e.g., two substituents together form a ring);
- (ii) substituted hydrocarbon substituents, that is, substituents containing non-hydrocarbon groups which, in the context of this invention, do not alter the predominantly hydrocarbon nature of the substituent (e.g., halo (especially chloro and fluoro), hydroxy, alkoxy, mercapto, alkylmercapto, nitro, nitroso, and sulphoxy);
- (iii) hetero substituents, that is, substituents which, while having a predominantly hydrocarbon character, in the context of this invention, contain other than carbon in a ring or chain otherwise composed of carbon atoms; and
- (iv) heteroatoms include sulphur, oxygen, nitrogen, and encompass substituents as pyridyl, furyl, thienyl and imidazolyl. In general, no more than two, preferably no more than one, non-hydrocarbon substituent will be present for every ten carbon atoms in the hydrocarbyl group; typically, there will be no non-hydrocarbon substituents in the hydrocarbyl group.

#### [0118]

Except in the Examples, or where otherwise explicitly indicated, all numerical quantities in this description specifying amounts of materials, reaction conditions, molecular weights, number of carbon atoms, and the like, are to be understood as modified by the word "about." Unless otherwise indicated, each chemical or composition referred to herein should be interpreted as being a commercial grade material which may contain the isomers, by-products,

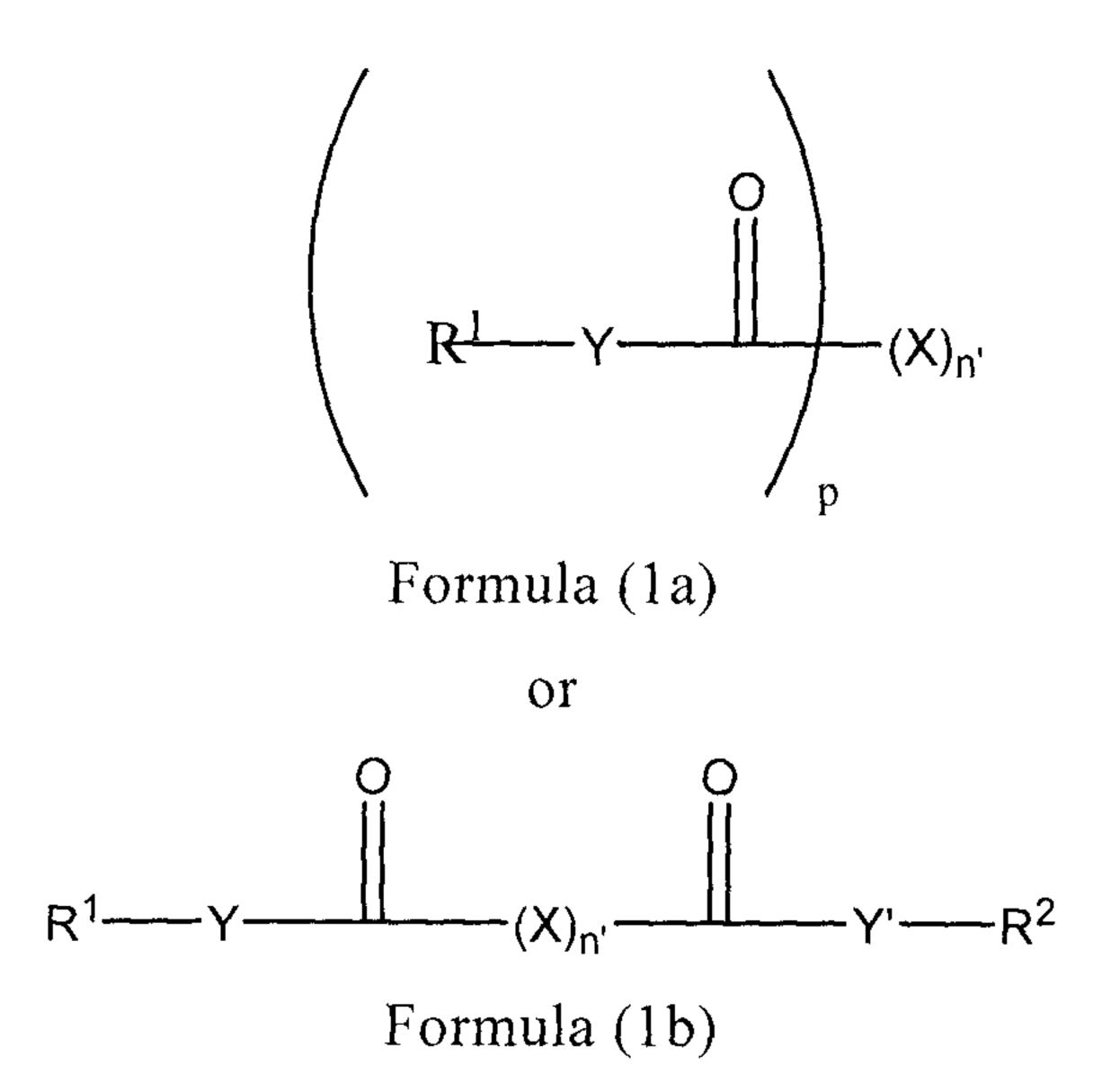
derivatives, and other such materials which are normally understood to be present in the commercial grade. However, the amount of each chemical component is presented exclusive of any solvent or diluent oil, which may be customarily present in the commercial material, unless otherwise indicated. It is to be understood that the upper and lower amount, range, and ratio limits set forth herein may be independently combined. Similarly, the ranges and amounts for each element of the invention may be used together with ranges or amounts for any of the other elements.

[0119] While the invention has been explained in relation to its preferred embodiments, it is to be understood that various modifications thereof will become apparent to those skilled in the art upon reading the specification. Therefore, it is to be understood that the invention disclosed herein is intended to cover such modifications as fall within the scope of the appended claims.

What is claimed is:

1. A method of lubricating a limited slip differential comprising supplying to the limited slip differential a lubricating composition comprising (a) a derivative of a hydroxy-carboxylic acid, and (b) an oil of lubricating viscosity,

wherein derivative of a hydroxy-carboxylic acid is represented by Formula 1a or 1b:



wherein

n' is 0 to 10 for Formula (1b), and 1 to 10 for Formula (1a); p is 1 to 5;

Y and Y' are independently -O-, >NH,  $>NR^3$ , or an imide group formed by taking together both Y and Y' groups in (1b) or two Y groups in (1a) and forming a  $R^1$ -N< group between two >C=O groups;

X is independently -CH<sub>2</sub>-, >CHR<sup>4</sup>, >CR<sup>4</sup>R<sup>5</sup>, >CHOR<sup>6</sup>, >C(OH)CO<sub>2</sub>R<sup>6</sup>, >C(CO<sub>2</sub>R<sup>6</sup>)<sub>2</sub>, -CH<sub>3</sub>, -CH<sub>2</sub>R<sup>4</sup> or CHR<sup>4</sup>R<sup>5</sup>, -CH<sub>2</sub>OR<sup>6</sup>, -CH(CO<sub>2</sub>R<sup>6</sup>)<sub>2</sub>,  $\equiv$ C-R<sup>6</sup> (where  $\equiv$  equals three valences, and may only apply to Formula (1a)) or mixtures thereof to fulfill the valence of Formula (1a) and/or (1b), wherein at least one X is hydroxyl containing;

R<sup>1</sup> and R<sup>2</sup> are independently hydrocarbyl groups, containing 4 to 30 carbon atoms;

R<sup>3</sup> is a hydrocarbyl group;

R<sup>4</sup> and R<sup>5</sup> are independently keto-containing groups, ester groups or hydrocarbyl groups, or -OR<sup>6</sup>, or -CO<sub>2</sub>R<sup>6</sup>, or -OH; and

R<sup>6</sup> is independently hydrogen or a hydrocarbyl group, containing 4 to 30 carbon atoms.

- 2. The method of claim 1, wherein the derivative of a hydroxy-carboxylic acid is a derivative of a hydroxy-polycarboxylic acid.
- 3. The method of claim 2, wherein the derivative of a hydroxy-polycarboxylic acid is selected from the group consisting of a hydroxy-carboxylic acid di-ester, a hydroxy-carboxylic acid di-amide, a hydroxy-carboxylic acid imide, a hydroxy-carboxylic acid ester-amide, a hydroxy-carboxylic acid ester-imide, and a hydroxy-carboxylic acid imide-amide.
- 4. The method of any one of claims 2 to 3, wherein the derivative of a hydroxy-polycarboxylic acid is selected from the group consisting of a hydroxy-carboxylic acid imide, a hydroxy-carboxylic acid di-imide, a hydroxy-carboxylic acid ester-imide, and a hydroxy-carboxylic acid imide-amide.
- 5. The method of any one of claims 2 to 4, wherein the derivative of a hydroxy-polycarboxylic acid is selected from the group consisting of a hydroxy-carboxylic acid imide and a hydroxy-carboxylic acid di-imide.
- The method of any one of claims 2 to 5, wherein the derivative of a hydroxy-polycarboxylic acid is a derivative of tartaric acid, an imide derivative of citric acid, or mixtures thereof.
- 7. The method of any one of claims 2 to 6, wherein the derivative of a hydroxy-polycarboxylic acid is an imide derivative of tartaric acid, an imide derivative of citric acid, or mixtures thereof.
- 8. The method of any one of claims 2 to 7, wherein the derivative of a hydroxy-polycarboxylic acid is an imide derivative of tartaric acid, or mixtures thereof.
- 9. The method of any one of claims 1 to 8, wherein the derivative of a hydroxy-carboxylic acid is present in an amount in the range of 0.1 wt % to 5 wt % of the lubricating composition.
- 10. The method of any one of claims 1 to 9, wherein the lubricating composition further comprises a sulphur-containing compound.
- 11. The method of claim 10, wherein the sulphur-containing compound is a dimercaptothiadiazole or derivative of a dimercaptothiadiazole, or mixtures thereof.
- 12. The method of claim 10, wherein the sulphur-containing compound is a polysulphide or a sulphurised olefin.
- 13. The method of any one of claims 1 to 12, wherein the lubricating composition further comprises a phosphorus-containing compound, wherein the phosphorus containing compound is an amine salt of a phosphoric acid ester.

- 14. The method of claim 13, wherein the amine salt of a phosphoric acid ester is an amine salt of either (i) a hydroxy-substituted di- ester of phosphoric acid, or (ii) a phosphorylated hydroxy-substituted di- or tri- ester of phosphoric acid.
- 15. The method of any one of claims 1 to 14 wherein the lubricating composition further comprises a boron-containing compound, wherein the boron-containing compound is a borated dispersant, a borate ester or a borated phospholipid.
- 16. The method of claim 1, wherein the hydroxy-carboxylic acid comprises a monohydroxy monocarboxylic acid.
- 17. The method of claim 16 wherein the monohydroxy monocarboxylic acid comprises glycolic acid.
- 18. The method of claim 1, wherein the derivative of the hydroxy-carboxylic acid is an amide.