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(54) Title: ORGANOMETALLIC COMPLEX CATALYST AND POLYMERIZATION METHOD EMPLOYING SAME

(57) Abstract: A novel class of organometallic complexes can be used as an ingredient of a catalyst system. The catalyst system can be used in polymerizations of ethylenically unsaturated hydrocarbon monomers that include both olefins and polyenes.

ORGANOMETALLIC COMPLEX CATALYST AND POLYMERIZATION METHOD EMPLOYING SAME

CROSS REFERENCE TO RELATED APPLICATION

[0001] This international application claims the benefit of U.S. provisional patent application no. 61/744,870, filed 4 October 2012, the disclosure of which is incorporated herein by reference.

BACKGROUND INFORMATION

[0002] Rubber goods such as tire treads often are made from elastomeric compositions that contain one or more reinforcing materials such as, for example, particulate carbon black and silica; see, e.g., *The Vanderbilt Rubber Handbook*, 13th ed. (1990), pp. 603-04.

[0003] Good traction and resistance to abrasion are primary considerations for tire treads; however, motor vehicle fuel efficiency concerns argue for a minimization in their rolling resistance, which correlates with a reduction in hysteresis and heat build-up during operation of the tire. Reduced hysteresis and traction are, to a great extent, competing considerations: treads made from compositions designed to provide good road traction usually exhibit increased rolling resistance and vice versa.

[0004] Filler(s), polymer(s), and additives typically are chosen so as to provide an acceptable compromise or balance of these properties. Ensuring that reinforcing filler(s) are well dispersed throughout the elastomeric material(s) both enhances processability and acts to improve physical properties. Dispersion of fillers can be improved by increasing their inter-action with the elastomer(s), which commonly results in reductions in hysteresis (see above). Examples of efforts of this type include high temperature mixing in the presence of selectively reactive promoters, surface oxidation of compounding materials, surface grafting, and chemically modifying the polymer, typically at a terminus thereof.

[0005] Various natural and synthetic elastomeric materials often are used in the manufacture of vulcanizates such as, e.g., tire components. Some of the most commonly employed synthetic materials include high-cis polybutadiene, often made by processes

employing catalysts, and substantially random styrene/butadiene interpolymers, often made by processes employing free radical or anionic initiators.

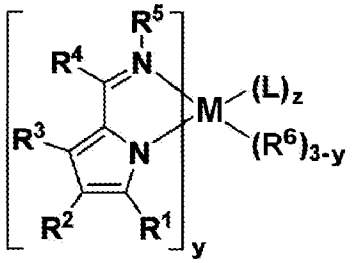
[0006] Of particular difficulty to synthesize are interpolymers of olefins and polyenes, particularly conjugated dienes, due in large part to their very different reactivities. Their respective susceptibilities to coordinate with metal atoms which can catalyze polymerizations are quite different.

[0007] Although difficult to synthesize, such interpolymers have many potential commercial uses. Because polyene and olefin monomers usually originate from different raw materials and are provided via different techniques, manufacturers of elastomeric materials have significant interest in being able to provide interpolymers with varying and/or adjustable amounts of each type of monomer so as to guard against supply and price disruptions of either.

SUMMARY

[0008] Any of a class of iminopyrrolyl-metal complexes can be used as an ingredient of a catalyst system. The catalyst system can be used in polymerizations of ethylenically unsaturated hydrocarbon monomers, including mixtures or blends of polyenes and olefins.

[0009] The class of organometallic complexes can be represented by the general formula



(I)

where M represents a Group 3 metal atom; L represents a neutral Lewis base; z is an integer of from 0 to 3 inclusive; y is 1 or 2; R^1, R^2 and R^3 independently are H, a halogen atom, a silyl group, a siloxy group, a nitro group, a sulfonate group, an alkoxy group, a C_1-C_{20} alkyl group, an aralkyl group, or a substituted or unsubstituted aryl group; R^4 is H, a C_1-C_{10} alkyl group, or a substituted or unsubstituted aryl group; R^5 is a C_1-C_{12} alkyl group, a C_5-C_8 cycloalkyl group, or an aryl group; and R^6 is a monoanionic ligand such as a C_1-C_{12} alkyl group, an aralkyl group, a substituted or unsubstituted allyl group, an amide group, an alkoxy group, a siloxy group, H or a halogen atom.

[0010] In formula (I), certain individual variables optionally can be combined to form cyclic moieties. For example, R^1 can join with R^2 so as to provide a substituted or unsubstituted hydrocarbylene group (i.e., an aromatic or non-aromatic carbocyclic ring fused with the pyrrole moiety); similarly, R^2 can join with R^3 so as to provide the same type(s) of substituted or unsubstituted hydrocarbylene group. Additionally or alternatively, R^3 can join with R^4 so as to provide a substituted or unsubstituted hydrocarbylene group (i.e., a non-aromatic carbocyclic ring).

[0011] Additionally, where one or more of R^1, R^2 and R^3 is an aryl group, it optionally can be substituted with one or more C_1-C_8 alkyl, perfluoroalkyl, alkoxy, nitro, sulfonate or halogen substituents.

[0012] Further, where R^5 is an aryl group, it optionally can be substituted, relative to the point of connection to the N atom, at the para position with a nitro group, a trifluoromethyl group, a halogen atom, an alkoxy group, a C_1-C_4 alkyl group, or an aryl group, and/or at one or both ortho positions with a C_1-C_4 alkyl group or an aryl group.

[0013] In other aspects are provided a catalyst composition that includes the formula (I) complex with a catalyst activator, as well as methods of making the composition. Methods of making the complex of formula (I) and the catalyst composition also are provided.

[0014] In a still further aspect is provided a process of polymerizing ethylenically unsaturated hydrocarbon monomers. The method involves contacting the monomers with the aforedescribed catalyst composition. The ethylenically unsaturated hydrocarbon monomers can include one or more types of polyene and, optionally, one or more types of olefin; where one or more types of olefin are present in the monomers, the resulting interpolymer can contain up to 50 mole percent, typically up to 25 mole percent, polyene mer. This process also can include providing the resulting polymer with a terminal moiety so as to enhance the ability of the polymer to interact with a variety of types of particulate filler such as, e.g., carbon black and/or silica.

[0015] Also provided are compositions, including vulcanizates, that include particulate fillers and the resulting polymers, certain embodiments of which may also include terminal functionality, as are methods of providing and using such compositions.

[0016] Other aspects of the invention will be apparent to the ordinarily skilled artisan from the detailed description that follows. To assist in understanding that description, certain

definitions are provided immediately below, and these are intended to apply throughout unless the surrounding text explicitly indicates a contrary intention:

"polymer" means the polymerization product of one or more monomers and is inclusive of homo-, co-, ter-, tetra-polymers, etc.;

"mer" and "mer unit" both mean that portion of a polymer derived from a single reactant molecule (e.g., ethylene mer has the general formula $-CH_2CH_2-$);

"copolymer" means a polymer that includes mer units derived from two reactants, typically monomers, and is inclusive of random, block, segmented, graft, etc., copolymers;

"interpolymer" means a polymer that includes mer units derived from at least two reactants, typically monomers, and is inclusive of copolymers, terpolymers, tetra-polymers, and the like;

"substituted" means containing a heteroatom or functionality (e.g., hydrocarbyl group) that does not interfere with the intended purpose of the group in question;

"heterocyclic compound" means a cyclic compound that includes within its ring structure a heteroatom;

"polyene" means a molecule, typically a monomer, with at least two double bonds located in the longest portion or chain thereof, and specifically is inclusive of dienes, trienes, and the like;

"polydiene" means a polymer that includes mer units from one or more dienes;

"lanthanide metal" means any element having an atomic number of 57-71 inclusive;

"Group 3 metal" means Sc, Y or a lanthanide metal;

"phr" means parts by weight (pbw) per 100 pbw rubber;

"radical" means the portion of a molecule that remains after reacting with another molecule, regardless of whether any atoms are gained or lost as a result of the reaction;

"neutral Lewis base" means a non-ionic compound (or radical) that includes an available pair of electrons;

"aryl" means a phenyl or polycyclic aromatic radical;

"aralkyl" means an alkyl radical that includes an aryl substituent, e.g., a benzyl group;

"non-coordinating anion" means a sterically bulky anion that does not form coordinate bonds with, for example, the active center of a catalyst system due to steric hindrance;

"non-coordinating anion precursor" means a compound that is able to form a non-coordinating anion under reaction conditions;

"terminus" means an end of a polymeric chain;

"terminally active" means a polymer with a living or pseudo-living terminus; and

"terminal moiety" means a group or functionality located at a terminus.

[0017] Throughout this document, all values given in the form of percentages are weight percentages unless the surrounding text explicitly indicates a contrary intention. The relevant portion(s) of any specifically referenced patent and/or published patent application are incorporated herein by reference.

DETAILED DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS

[0018] As apparent from the foregoing, the catalyst composition can be used to polymerize one or more types of polyene, optionally but in some respects preferably in combination with one or more types of olefins. The resulting polymer can be elastomeric, including mer units that include ethylenic unsaturation. Mer units that include ethylenic unsaturation can be derived from polyenes, particularly dienes and trienes (e.g., myrcene). Illustrative polyenes include C_4-C_{30} dienes, preferably C_4-C_{12} dienes. Preferred among these are conjugated dienes such as, but not limited to, 1,3-butadiene, 1,3-pentadiene, 1,3-hexadiene, 1,3-octadiene, 2,3-dimethyl-1,3-butadiene, 2-ethyl-1,3-butadiene, 2-methyl-1,3-pentadiene, 3-methyl-1,3-pentadiene, 4-methyl-1,3-pentadiene, 2,4-hexadiene, and the like.

[0019] Polyenes can incorporate into polymeric chains in more than one way, and controlling this manner of incorporation can be desirable, particularly where elastomers are to be incorporated in vulcanizates intended for use in the manufacture of articles such as tire treads. A polymer chain with an overall 1,2-microstructure, given as a numerical percentage relative to total polyene content, of from ~10 to ~80%, optionally from ~25 to ~65%, can be desirable for certain end use applications. A polymer that has an overall 1,2-microstructure of no more than ~50%, preferably no more than ~45%, more preferably no more than ~40%, even more preferably no more than ~35%, and most preferably no more than ~30%, based on total polyene content, is "substantially linear." For certain end use applications, however,

keeping the content of 1,2-linkages even lower – e.g., to less than 20%, less than 15%, less than 10%, less than 7%, less than 5%, less than 2%, or less than 1% – can be desirable. Vinyl content (i.e., 1,2-linkages) can be adjusted by inclusion of, as well as the particular identity and amount of, a coordinator, usually a polar organic compound that includes a heteroatom having a non-bonded pair of electrons (e.g., O or N), in the polymerization ingredients. Non-limiting examples of compounds that can be used to adjust vinyl content include any of a variety of ethers and amines, i.e., compounds an oxygen or nitrogen atom with a non-bonded pair of electrons, e.g., dialkyl ethers of mono and oligo alkylene glycols, crown ethers, tertiary amines such as tetramethylethylene diamine (TMEDA), linear THF oligomers; and the like. Specific examples of compounds useful as polar coordinators include THF, linear and cyclic oligomeric oxolanyl alkanes such as 2,2-bis(2′-tetrahydrofuryl) propane, di-piperidyl ethane, dipiperidyl methane, hexamethylphosphoramide, N,N′-dimethylpiperazine, diazabicyclooctane, dimethyl ether, diethyl ether, tributylamine and the like.

[0020] Certain end use applications call for polymers that have properties that can be difficult or inefficient to achieve via free radical or anionic (living) polymerizations. For example, in some applications, conjugated diene polymers having high cis-1,4-linkage contents can be desirable. These commonly are prepared by processes using catalysts (as opposed to the initiators employed in living polymerizations) and may display pseudo-living characteristics.

[0021] For those polyene mer not incorporating into the polymer chain so as to have 1,2-microstructure, i.e., those having a 1,4-linkage, such mer can incorporate in either a cis or trans configuration. The present process can provide polymers with polydiene mer having a cis-1,4-linkage content of at least ~60%, at least ~75%, at least ~85%, at least ~90%, and even at least ~95%, with each of the foregoing representing a numerical percentage relative to total polyene content.

[0022] Examples of olefins that can be employed in the polymerization process include C_2-C_{30}, preferably C_2-C_{20} and more preferably C_2-C_{12}, straight chain or branched α-olefins such as ethylene, propylene, 1-butene, 1-pentene, 3-methyl-1-butene, 1-hexene, 4-methyl-1-pentene, 3-methyl-1-pentene, 1-octene, 1-decene, 1-dodecene, 1-tetradecene, 1-hexadecene, 1-octadecene, 1-eicosene, and the like, as well as C_3-C_{30} cycloolefins such as cyclopentene, cycloheptene, norbornene, 5-methyl-2-norbornene, and tetracyclododecene.

[0023] The polymerization process can provide an olefin/polyene interpolymer with a predominant amount of polyene mer, e.g., an olefin/conjugated diene copolymer that includes a predominant amount of conjugated diene mer. The resulting interpolymer can contain up to 10, 20 or even 30% olefin mer and at least 70, 80 or even 90% polyene mer. The interpolymer can include from 1 to 30% olefin mer and from 70 to 99% conjugated diene mer, from 1 to 20% olefin mer and from 80 to 99% conjugated diene mer, or from 1 to 10% olefin mer and from 10 to 99% conjugated diene mer. (All percentages in this paragraph are mole percents.)

[0024] The number average molecular weight (M_n) of a polymer produced according to the disclosed methods typically is such that a quenched sample exhibits a gum Mooney viscosity (ML_{1+4}/100°C) of from ~2 to ~150, more commonly from ~2.5 to ~125, even more commonly from ~5 to ~100, and most commonly from ~10 to ~75; the foregoing generally corresponds to a M_n of from ~5,000 to ~250,000 Daltons, commonly from ~10,000 to ~150,000 Daltons, more commonly from ~50,000 to ~120,000 Daltons, and most commonly from ~10,000 to ~100,000 Daltons or even ~10,000 to ~80,000 Daltons. The resulting interpolymer typically has a molecular weight distribution (M_w/M_n) of from 1 to 5, commonly from 2 to 5, and more commonly from ~2.0 to ~4.0. (Both M_n and M_w can be determined by GPC using polystyrene standards for calibration.)

[0025] The foregoing types of polymers can be made by solution polymerization, which affords exceptional control of properties as randomness, microstructure, etc. Solution polymerizations have been performed since about the mid-20th century, so the general aspects thereof are known to the ordinarily skilled artisan; nevertheless, certain aspects are provided here for convenience of reference.

[0026] Suitable solvents include those organic compounds that do not undergo polymerization or incorporation into propagating polymer chains (i.e., are inert toward and unaffected by the catalyst composition) and preferably are liquid at ambient temperature and pressure. Examples of suitable organic solvents include hydrocarbons with low or relatively low boiling points such as aromatic hydrocarbons and (cyclo)aliphatic hydrocarbons. Exemplary polymerization solvents include various C_5-C_{12} cyclic and acyclic alkanes (e.g., n-pentane, n-hexane, n-heptane, n-octane, n-nonane, n-decane, isopentane, isohexanes, isooctanes, 2,2-dimethylbutane, cyclopentane, cyclohexane, methylcyclopentane, methylcyclohexane, etc.) as well as their alkylated derivatives, certain liquid aromatic compounds (e.g., benzene, toluene, xylenes, ethylbenzene, diethylbenzene, and mesitylene), petroleum ether,

kerosene, petroleum spirits, and mixtures thereof. Other potentially suitable organic compounds that can be used as solvents include high-boiling hydrocarbons of high molecular weights such as paraffinic oil, aromatic oil, or other hydrocarbon oils that are commonly used to oil-extend polymers. The ordinarily skilled artisan is aware of other useful solvent options and combinations.

[0027] In the polymerization process, a catalyst composition that includes a Group 3 metal complex is employed. The term "catalyst composition" encompasses a simple mixture of ingredients, a complex of various ingredients that is caused by physical or chemical forces of attraction, a chemical reaction product of some or all of the ingredients, or a combination of the foregoing.

[0028] Exemplary catalyst compositions include (a) a formula (I) complex, an alkylating agent and optionally a halogen-containing compound (where neither the formula (I) complex or the alkylating agent contains a halogen atom); (b) a formula (I) complex and an aluminoxane; or (c) a formula (I) complex, an alkylating agent, and a non-coordinating anion or precursor thereof. Each component of these exemplary compositions is discussed separately below.

[0029] The polymerization processes described herein employ a specific genus of organometallic complexes, specifically, those defined by formula (I) set forth above. The organometallic complex can be formed prior to introduction to the polymerization vessel, or components (reactants) can be added separately and permitted to react so as to form the organometallic complex (catalyst) in situ.

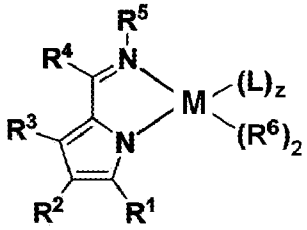
[0030] In formula (I), M represents a Group 3 metal atom. Where M is a lanthanide series metal, it preferably is Nd or Gd. M can be in any of a number of oxidation states, with +2 to +5 being common and +3 being perhaps the most common.

[0031] Again referring to formula (I), L represents a neutral Lewis base, examples of which include but not limited to (thio)ethers, cyclic (thio)ethers, amines, cyclic amines, phosphines, and cyclic phosphines. Specific non-limiting examples of L groups include THF, diethyl ether, dimethyl aniline, trimethyl phosphine, neutral olefins, neutral diolefins, and the like. Use of ethers and cyclic ethers as L in formula (I) complexes can be preferred.

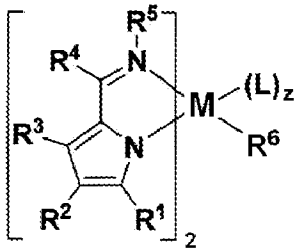
[0032] Again referring to formula (I), z can be an integer of from 0 to 3 (determined by the available coordination number(s) of M), so the complex can contain no L groups, one L group, or a plurality of L groups. In some embodiments, preference can be given to

complexes where z is 0; examples of two such embodiments are given below in the examples section. Where z is 2 or 3, each L can be the same or different, although preference can be given to those complexes where each L is the same.

[0033] Again referring to formula (I), the variable y can be either 1 or 2, with the resulting complexes being represented below by, respectively, formulas (Ia) and (Ib):



(Ia)



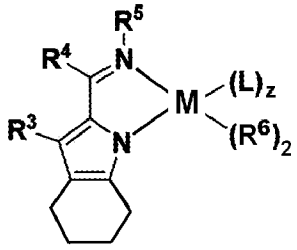
(Ib)

Depending on the value of z, M in the formula (Ia) complex is bonded to from 4 to 7 other atoms whereas M in the formula (Ib) complex can be bonded to from 5 to 8 other atoms. The coordination numbers of Group 3 metal atoms, particularly lanthanide metal atoms, in organometallic complexes can range from 3 to 12, with the bulkiness of the ligands being the primary deciding factor on the upper limit. Such metal atoms typically have a coordination number of at least 6, but bulky ligands can result in lower coordination numbers. Therefore, in the case of formula (Ib) complexes, particularly where R^6 is a relatively bulky ligand, z might be limited to 0 to 2 inclusive, or even 0 or 1.

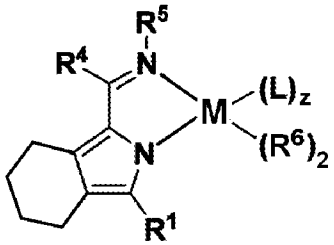
[0034] Again referring to formula (I), R^1, R^2 and R^3 independently can be H, a halogen atom, a silyl group, a siloxy group, a nitro group, a sulfonate group, an alkoxy (particularly a C_1-C_6 alkoxy) group, a C_1-C_{20} alkyl group, an aralkyl group, or an aryl group. Where any one or more of R^1, R^2 and R^3 is an aryl group, it optionally can include one or more C_1-C_8 alkyl, perfluoroalkyl, alkoxy, nitro, sulfonate or halogen/halogenated substituents. In certain embodiments, each of R^1, R^2 and R^3 can be an H atom or an alkyl, aralkyl, aryl, or silyl group.

[0035] Alternatively, R^1 can join with R^2 or R^2 can join with R^3 so as to provide a substituted or unsubstituted hydrocarbylene group, i.e., an aromatic or non-aromatic carbocyclic ring fused with the pyrrole moiety. General formulas (IIa) and (IIb) below show complexes that

result from a formula (Ia)-type complex when a cyclohexyl group (as a non-limiting example of the type of carbocyclic ring that can form) is provided from R^1/R^2 or R^2/R^3 respectively:

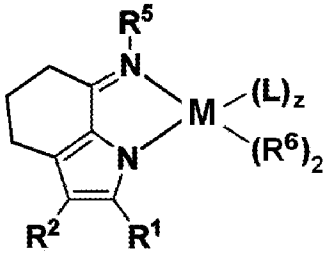


(IIa)



(IIb)

[0036] Again referring to formula (I), R^4 can be H, a C_1-C_{10} alkyl group, or a substituted or unsubstituted aryl group. Alternatively, R^3 and R^4 can join so as to provide a substituted or unsubstituted hydrocarbylene group, i.e., a non-aromatic carbocyclic ring. General formula (IIc) below shows a complex that results from a formula (Ia)-type complex when a cyclohexyl group (as a non-limiting example of the type of carbocyclic ring that can form) is provided from R^3/R^4:



(IIc)

[0037] Again referring to formula (I), R^5 can be a C_1-C_{12} alkyl group, a C_5-C_8 cycloalkyl group (including saturated groups such as cyclopropyl, cyclopentyl, cyclohexyl, etc., as well as unsaturated groups such as cyclohexenyl) or an aryl group, particularly a phenyl, biphenyl or naphthyl group. An R^5 aryl group can be substituted, relative to the point of connection to the N atom, at the para position with a nitro group, a trifluoromethyl group, a halogen atom, an alkoxy group, a C_1-C_4 alkyl group or an aryl group. Additionally or alternatively, an R^5 aryl group can be substituted, relative to the point of connection to the N atom, at one or both ortho positions with a C_1-C_4 alkyl group or an aryl group.

[0038] Again referring to formula (I), R^6 is a monoanionic, X-type ligand such as a C_1-C_{12} alkyl group, an aralkyl group, a substituted or unsubstituted allyl group, an amide group (particularly any of a variety of bis(trialkylsilyl) amino groups), an alkoxy group, a siloxy group, H or a halogen atom (especially Cl or Br). Where R^6 is a substituted allyl group, exemplary substituents include but are not limited to halogen atoms, nitro groups and sulfonate groups.

[0039] In the foregoing definitions of the R^1-R^6 variables, exemplary straight-chain or branched alkyl groups include, but are not limited to, methyl, ethyl, n-propyl, isopropyl, n-butyl, isobutyl, sec-butyl, tert-butyl, neopentyl, n-hexyl, and octyl. Additionally, in the foregoing definitions of the R^1-R^5 variables, exemplary aryl groups include, but are not limited to, phenyl, tolyl, benzyl, naphthyl, biphenyl, phenanthryl, anthracenyl and terphenyl.

[0040] For at least the R^6 group, and to varying extents for the other variables, in addition to any specifically mentioned in the preceding text, exemplary substituents include, but are not limited to halogen atoms, halo-substituted groups (e.g., halogenated C_1-C_{30} hydrocarbyl groups such as trifluoromethyl, pentafluorophenyl, and chlorophenyl), other hydrocarbyl groups (e.g., aryl-substituted alkyl groups such as benzyl and cumyl), heteroatom-containing groups (e.g., alkoxy, aryloxy such as 2,6-dimethylphenoxy or 2,4,6-trimethylphenoxy, acyl such as p-chlorobenzoyl or p-methoxybenzoyl, (thio)carboxyl, carbonato, hydroxy, peroxy, (thio)ester such as acetyloxy or benzoyloxy, (thio)ether, anhydride, amino, imino, amide such as acetamido or N-methylacetamido, imide such as acetimido and benzimido, hydrazino, hydrazono, nitro, nitroso, cyano, isocyano, (thio)cyanic acid ester, amidino, diazo, borandiyl, borantriyl, diboranyl, mercapto, dithioester, alkylthio, arylthio such as (methyl)phenylthio, or naphthylthio, thioacyl, isothiocyanic acid ester, sulfonester, sulfonamide, dithiocarboxyl, sulfo, sulfonyl, sulfinyl, sulfenyl, phosphido, (thio)-phosphoryl, phosphato, silyl, siloxy, hydrocarbyl-substituted silyl groups such as methylsilyl, dimethylsilyl, trimethylsilyl, ethylsilyl, diethylsilyl, triethylsilyl, diphenylmethylsilyl, triphenylsilyl, dimethylphenylsilyl, dimethyl-t-butylsilyl, dimethyl(pentafluorophenyl)silyl, bistrimethylsilylmethyl, and hydrocarbyl-substituted siloxy groups such as trimethylsiloxy), and the like. (Replacing the silicon atom in the Si-containing groups with Ge or Sn can provide useful Ge- or Sn-containing groups.) The Al- and B-containing groups can be represented, respectively, by AlR^7_4 and BR^7_m where m is 2 or 3 and R^7 is H, a halogen atom, a substituted or unsubstituted aryl group, etc.

[0041] Formula (I)-type complexes can be prepared following a procedure similar to that described in the examples section below, the teaching of which can be extended or modified readily by the ordinarily skilled artisan.

[0042] Component (b) of the catalyst composition, referred to herein as a co-catalyst or catalyst activator, includes an alkylating agent and/or a compound containing a non-coordinating anion or a non-coordinating anion precursor.

[0043] An alkylating agent can be considered to be an organometallic compound that can transfer hydrocarbyl groups to another metal. These agents typically are organometallic compounds of electropositive metals such as Groups 1, 2, and 3 metals. Exemplary alkylating agents include organoaluminum compounds such as those having the general formula $AlR^8_nX_{3-n}$ where n is an integer of from 1 to 3 inclusive; each R^8 independently is a monovalent organic group, which may contain heteroatoms such as N, O, B, Si, S, P, and the like, connected to the Al atom via a C atom; and each X independently is H, a halogen atom, a carboxylate group, an alkoxide group, or an aryloxide group. In one or more embodiments, each R^8 independently can be a hydrocarbyl group such as, for example, alkyl, cycloalkyl, substituted cycloalkyl, alkenyl, cycloalkenyl, substituted cycloalkenyl, aryl, substituted aryl, aralkyl, alkaryl, allyl, and alkynyl groups, with each group containing from a single C atom, or the appropriate minimum number of C atoms to form the group, up to ~20 C atoms. These hydrocarbyl groups may contain heteroatoms including, but not limited to, N, O, B, Si, S, and P atoms. Non-limiting species of organoaluminum compounds within this general formula include

trihydrocarbylaluminum compounds such as trimethylaluminum, triethylaluminum, triisobutylaluminum (TIBA), tri-n-propylaluminum, triisopropylaluminum, tri-n-butylaluminum, tri-t-butylaluminum, tri-n-pentylaluminum, trineopentylaluminum, tri-n-hexylaluminum, tri-n-octylaluminum, tris(2-ethylhexyl)aluminum, tricyclohexylaluminum, tris(1-methylcyclopentyl)aluminum, triphenylaluminum, tri-p-tolylaluminum, tris(2,6-dimethylphenyl)aluminum, tribenzylaluminum, diethylphenylaluminum, diethyl-p-tolylaluminum, diethylbenzylaluminum, ethyldiphenylaluminum, ethyldi-p-tolylaluminum, and ethyldibenzylaluminum;

dihydrocarbylaluminum hydrides such as diethylaluminum hydride, di-n-propylaluminum hydride, diisopropylaluminum hydride, di-n-butylaluminum hydride, diisobutylaluminum hydride (DIBAH), di-n-octylaluminum hydride, diphenylaluminum hydride,

di-p-tolylaluminum hydride, dibenzylaluminum hydride, phenylethylaluminum hydride, phenyl-n-propylaluminum hydride, phenylisopropylaluminum hydride, phenyl-n-butylaluminum hydride, phenylisobutylaluminum hydride, phenyl-n-octylaluminum hydride, p-tolylethylaluminum hydride, p-tolyl-n-propylaluminum hydride, p-tolylisopropylaluminum hydride, p-tolyl-n-butylaluminum hydride, p-tolylisobutylaluminum hydride, p-tolyl-n-octylaluminum hydride, benzylethylaluminum hydride, benzyl-n-propylaluminum hydride, benzylisopropylaluminum hydride, benzyl-n-butylaluminum hydride, benzylisobutylaluminum hydride, and benzyl-n-octylaluminum hydride;

hydrocarbylaluminum dihydrides such as ethylaluminum dihydride, n-propylaluminum dihydride, isopropylaluminum dihydride, nbutylaluminum dihydride, isobutylaluminum dihydride, and n-octylaluminum dihydride;

dihydrocarbylaluminum carboxylates;

hydrocarbylaluminum bis(carboxylate)s;

dihydrocarbylaluminum alkoxides;

hydrocarbylaluminum dialkoxides;

dihydrocarbylaluminum halides such as diethylaluminum chloride (DEAC), di-n-propylaluminum chloride, diisopropylaluminum chloride, di-n-butylaluminum chloride, diisobutylaluminum chloride, di-n-octylaluminum chloride, diphenylaluminum chloride, di-p-tolylaluminum chloride, dibenzylaluminum chloride, phenylethylaluminum chloride, phenyl-n-propylaluminum chloride, phenylisopropylaluminum chloride, phenyl-n-butylaluminum chloride, phenylisobutylaluminum chloride, phenyl-n-octylaluminum chloride, ptolylethylaluminum chloride, p-tolyl-n-propylaluminum chloride, p-tolylisopropylaluminum chloride, p-tolyl-n-butylaluminum chloride, p-tolylisobutylaluminum chloride, p-tolyl-noctylaluminum chloride, benzylethylaluminum chloride, benzyl-n-propylaluminum chloride, benzylisopropylaluminum chloride, benzyl-n-butylaluminum chloride, benzylisobutylaluminum chloride, and benzyl-n-octylaluminum chloride;

hydrocarbylaluminum dihalides such as ethylaluminum dichloride, n-propylaluminum dichloride, isopropylaluminum dichloride, n-butylaluminum dichloride, isobutylaluminum dichloride, and n-octylaluminum dichloride;

dihydrocarbylaluminum aryloxides; and

hydrocarbylaluminum diaryloxides.

In certain embodiments, the alkylating agent can include trihydrocarbylaluminum, dihydrocarbylaluminum hydride, and/or hydrocarbylaluminum dihydride.

[0044] Other organoaluminum compounds that can serve as alkylating agents include, but are not limited to, dimethylaluminum hexanoate, diethylaluminum octoate, diisobutylaluminum 2-ethylhexanoate, dimethylaluminum neodecanoate, diethylaluminum stearate, diisobutylaluminum oleate, methylaluminum bis(hexanoate), ethylaluminum bis(octoate), isobutylaluminum bis(2-ethylhexanoate), methylaluminum bis(neodecanoate), ethylaluminum bis(stearate), isobutylaluminum bis(oleate), dimethylaluminum methoxide, diethylaluminum methoxide, diisobutylaluminum methoxide, dimethylaluminum ethoxide, diethylaluminum ethoxide, diisobutylaluminum ethoxide, dimethylaluminum phenoxide, diethylaluminum phenoxide, diisobutylaluminum phenoxide, methylaluminum dimethoxide, ethylaluminum dimethoxide, isobutylaluminum dimethoxide, methylaluminum diethoxide, ethylaluminum diethoxide, isobutylaluminum diethoxide, methylaluminum diphenoxide, ethylaluminum diphenoxide, and isobutylaluminum diphenoxide.

[0045] Aluminoxanes constitute another class of organoaluminum compounds suitable for use as an alkylating agent. This class includes oligomeric linear aluminoxanes and oligomeric cyclic aluminoxanes, formulas for both being provided in a variety of references including, for example, U.S. Patent No. 8,017,695. (Where the oligomeric type of compound is used as an alkylating agent, the number of moles refers to the number of moles of Al atoms rather than the number of moles of oligomeric molecules, a convention commonly employed in the art of catalyst systems utilizing aluminoxanes.)

[0046] Aluminoxanes can be prepared by reacting trihydrocarbylaluminum compounds with water. This reaction can be performed according to known methods such as, for example, (1) dissolving the trihydrocarbylaluminum compound in an organic solvent and then contacting it with water, (2) reacting the trihydrocarbylaluminum compound with water of crystallization contained in, for example, metal salts, or water adsorbed in inorganic or organic compounds, or (3) reacting the trihydrocarbylaluminum compound with water in the presence of the monomer(s) to be polymerized.

[0047] Suitable aluminoxane compounds include, but are not limited to, methylaluminoxane (MAO), modified methylaluminoxane (MMAO, formed by substituting ~20 to 80% of the methyl groups of MAO with C_2-C_{12} hydrocarbyl groups, preferably with isobutyl groups, using known techniques), ethylaluminoxane, n-propylaluminoxane, isopropylalumin-

oxane, butylaluminoxane, isobutylaluminoxane, n-pentylaluminoxane, neopentylaluminoxane, n-hexylaluminoxane, n-octylaluminoxane, 2-ethylhexylaluminoxane, cyclohexylaluminoxane, 1-methylcyclopentylaluminoxane, phenylaluminoxane, and 2,6-dimethylphenylaluminoxane.

[0048] Aluminoxanes can be used alone or in combination with other organoaluminum compounds. In one embodiment, MAO and at least one other organoaluminum compound such as DIBAH can be employed in combination. The interested reader is directed to U.S. Patent No. 8,017,695 for other examples of aluminoxanes and organoaluminum compounds employed in combination.

[0049] Also suitable as alkylating agents are organomagnesium compounds such as those having the general formula $R^9_gMgX_{2-g}$ where X is defined as above, g is 1 or 2, and R^9 is the same as R^8 except that each monovalent organic group is connected to the Mg atom via a C atom. Potentially useful organomagnesium compounds include, but are not limited to, diethylmagnesium, di-n-propylmagnesium, diisopropylmagnesium, dibutylmagnesium, dihexylmagnesium, diphenylmagnesium, dibenzylmagnesium, hydrocarbylmagnesium hydride (e.g., methylmagnesium hydride, ethylmagnesium hydride, butylmagnesium hydride, hexylmagnesium hydride, phenylmagnesium hydride, and benzylmagnesium hydride), hydrocarbylmagnesium halide (e.g., methylmagnesium chloride, ethylmagnesium chloride, butylmagnesium chloride, hexylmagnesium chloride, phenylmagnesium chloride, benzylmagnesium chloride, methylmagnesium bromide, ethylmagnesium bromide, butylmagnesium bromide, hexylmagnesium bromide, phenylmagnesium bromide, and benzylmagnesium bromide), hydrocarbylmagnesium carboxylate (e.g., methylmagnesium hexanoate, ethylmagnesium hexanoate, butylmagnesium hexanoate, hexylmagnesium hexanoate, phenylmagnesium hexanoate, and benzylmagnesium hexanoate), hydrocarbylmagnesium alkoxide (e.g., methylmagnesium ethoxide, ethylmagnesium ethoxide, butylmagnesium ethoxide, hexylmagnesium ethoxide, phenylmagnesium ethoxide, and benzylmagnesium ethoxide), and hydrocarbylmagnesium aryloxide (e.g., methylmagnesium phenoxide, ethylmagnesium phenoxide, butylmagnesium phenoxide, hexylmagnesium phenoxide, phenylmagnesium phenoxide, and benzylmagnesium phenoxide).

[0050] The catalyst composition also or alternatively can contain a non-coordinating anion or a non-coordinating anion precursor. Exemplary non-coordinating anions include borate anions, particularly fluorinated tetraarylborate anions. Specific examples of non-coordinating anions include tetraphenylborate, tetrakis(monofluorophenyl) borate, tetrakis-

(difluorophenyl) borate, tetrakis(trifluororphenyl) borate, tetrakis(tetrafluorophenyl) borate, tetrakis(pentafluorophenyl) borate, tetrakis(tetrafluoromethylphenyl) borate, tetra(tolyl) borate, tetra(xylyl) borate, [tris(phenyl), pentafluorophenyl] borate, [tris(pentafluorophenyl), phenyl] borate, tridecahydride-7,8-dicarbaundecaborate and the like. Tetrakis(penta-fluorophenyl) borate is among the preferred non-coordinating anions.

[0051] Compounds containing a non-coordinating anion also contain a countercation such as a carbonium (e.g., tri-substituted carbonium cation such as triphenylcarbonium cation, tri(substituted phenyl)carbonium cation (e.g., tri(methylphenyl)carbonium cation), oxonium, ammonium (e.g., trialkyl ammonium cations, N,N-dialkyl anilinium cations, dialkyl ammonium cations, etc.), phosphonium (e.g., triaryl phosphonium cations such as triphenyl phosphonium cation, tri(methylphenyl)phosphonium cation, tri(dimethylphenyl)-phosphonium cation, etc.), cycloheptatrieneyl, or ferrocenium cation (or similar). Among these, N,N-dialkyl anilinium or carbonium cations are preferred, with the former being particularly preferred.

[0052] Examples of compounds containing a non-coordinating anion and a counter cation include triphenylcarbonium tetrakis(pentafluorophenyl)borate, N,N-dimethylanilinium tetrakis(pentafluorophenyl)borate, triphenylcarbonium tetrakis[3,5-bis(trifluoromethyl)-phenyl]borate, and N,N-dimethylanilinium tetrakis[3,5-bis(trifluoromethyl)phenyl]borate.

[0053] Exemplary non-coordinating anion precursors include boron compounds that include strong electron-withdrawing groups. Specific examples include triarylboron compounds where each aryl group is strongly electron withdrawing, e.g., pentafluorophenyl or 3,5-bis(trifluoromethyl)phenyl.

[0054] Catalyst compositions of the type just described have very high catalytic activity for polymerizing polyenes such as conjugated dienes (and optionally olefins, particularly α-olefins) into stereospecific polymers over a wide range of concentrations and ratios, although polymers having the most desirable properties typically are obtained from systems that employ a relatively narrow range of concentrations and ratios of ingredients. Further, the catalyst composition ingredients are believed to interact to form an active catalyst species, so the optimum concentration for each ingredient can depend on the concentrations of the other ingredients. The following molar ratios are considered to be relatively exemplary for a variety of different systems based on the foregoing ingredients:

alkylating agent to formula (I) complex: from ~1:1 to ~1000:1, commonly from ~2:1 to ~500:1, typically from ~5:1 to ~200:1;

aluminoxane to formula (I) complex, specifically equivalents of aluminum atoms in the aluminoxane to equivalents of Group 3 atoms in the complex: from ~5:1 to ~1000:1, commonly from ~10:1 to ~700:1, typically from ~20:1 to ~500:1;

organoaluminum compound to formula (I) complex: from ~1:1 to ~200:1, commonly from ~2:1 to ~150:1, typically from ~5:1 to ~100:1; and

non-coordinating anion or precursor to formula (I) complex: from ~1:2 to ~20:1, commonly from ~3:4 to ~10:1, typically from ~1:1 to ~6:1.

[0055] The molecular weight of polymers produced with a formula (I) complex-containing catalyst composition can be controlled by adjusting the amount of organometallic complex used and/or the amounts of co-catalyst compound concentrations within the catalyst composition; polymers having a wide range of molecular weights can be produced in this manner. In general, increasing the organometallic complex and co-catalyst concentrations reduces the molecular weight of resulting polymers, although very low molecular weight polymers (e.g., liquid polydienes) require extremely high catalyst concentrations. Typically, this necessitates removal of catalyst residues from the polymer to avoid adverse effects such as retardation of the sulfur cure rate.

[0056] A formula (I) complex-containing catalyst composition can be formed using any of the following methods:

(1) In situ. The catalyst ingredients are added to a solution containing monomer and solvent (or simply bulk monomer). The addition can occur in a stepwise or simultaneous manner. In the case of the latter, the alkylating agent preferably is added first followed by the formula (I) complex.

(2) Pre-mixed. The ingredients can be mixed outside the polymerization system, generally at a temperature of from about -20° to ~80°C, before being introduced to the monomer(s).

(3) Pre-formed in the presence of monomer(s). The catalyst ingredients are mixed in the presence of a small amount of monomer(s) at a temperature of from about -20° to ~80°C. The amount of monomer(s) can range from ~1 to ~500 moles, commonly from ~5 to ~250 moles, typically from ~10 to ~100 moles, per mole of the formula (I) complex. The resulting catalyst composition is added to the remainder of the monomer(s) to be polymerized.

(4) Two-stage procedure.

(a) The alkylating agent is combined with the formula (I) complex in the absence of monomer or in the presence of a small amount of monomer(s) at a temperature of from about -20° to ~80°C.

(b) The foregoing mixture and the remaining components are charged in either a stepwise or simultaneous manner to the remainder of the monomer(s) to be polymerized.

When a solution of one or more of the catalyst ingredients is prepared outside the polymerization system in the foregoing methods, an organic solvent or carrier is preferably employed; useful organic solvents include those mentioned previously. In other embodiments, one or more monomers can be used as a carrier or the catalyst ingredients can be employed neat, i.e., free of any solvent of other carrier.

[0057] In one or more embodiments, some or all of the catalyst composition can be supported on an inert carrier. The support can be a porous solid such as talc, a sheet silicate, an inorganic oxide or a finely divided polymer powder. Suitable inorganic oxides are oxides of elements from any of Groups 2-5 and 13-16. Exemplary supports include SiO_2, aluminum oxide, and also mixed oxides of the elements Ca, Al, Si, Mg or Ti and also corresponding oxide mixtures, Mg halides, styrene/divinylbenzene copolymers, polyethylene or polypropylene.

[0058] The production of polymers such as cis-1,4-polydiene (or interpolymers that include cis-1,4-diene mer) is accomplished by polymerizing conjugated diene monomer(s) in the presence of a catalytically effective amount of a catalyst composition as described above. The total catalyst concentration to be employed in the polymerization mass depends on the interplay of various factors such as the purity of ingredients, the polymerization temperature, the polymerization rate and conversion desired, the molecular weight desired, and many other factors; accordingly, a specific total catalyst concentration cannot be definitively set forth except to say that catalytically effective amounts of the respective catalyst ingredients should be used. The amount of the formula (I) complex used generally ranges from ~0.01 to ~2 mmol, commonly from ~0.02 to ~1 mmol, typically from ~0.05 to ~0.5 mmol per 100 g monomer. All other ingredients generally can be added in amounts based on the amount of formula (I) complex; see the various ratios set forth above.

[0059] Where an olefin interpolymer is desired, the molar ratio of polyene (e.g., conjugated diene) to olefin introduced into the reaction vessel can vary over a wide range.

For example, the molar ratio of polyene (e.g., conjugated diene) to olefin can range from ~100:1 to 1:100, commonly from ~20:1 to 1:20, and typically from ~5:1 to 1:5.

[0060] Polymerization preferably is carried out in one or more organic solvents of the type(s) set forth above, i.e., as a solution polymerization (where both the monomer(s) and the polymers formed are soluble in the solvent) or precipitation polymerization (where the monomer is in a condensed phase but the polymer products are insoluble). The catalyst ingredients preferably are solubilized or suspended in the organic liquid, and additional solvent (beyond that used in preparing the catalyst composition) usually is added to the polymerization system; the additional solvent(s) may be the same as or different from the solvent(s) used in preparing the catalyst composition. In one or more embodiments, the solvent content of the polymerization mixture may be more than 20%, more than 50%, or even more than 80% (by wt.) of the total weight of the polymerization mixture. The concentration of monomer present at the beginning of the polymerization generally ranges from ~3 to ~80%, commonly from ~5 to ~50%, and typically from ~10% to ~30% (by wt.).

[0061] In certain embodiments, a bulk polymerization system that includes no more than a minimal amount of solvent can be used, i.e., a bulk polymerization process where one or more of the monomers act(s) as the solvent; examples of potentially useful bulk polymerization processes are disclosed in U.S. Patent No. 7,351,776. In a bulk polymerization, the solvent content of the polymerization mixture may be less than ~20%, less than ~10%, or even less than ~5% (by wt.) of the total weight of the polymerization mixture. The polymerization mixture even can be substantially devoid of solvent, i.e., contain less than that amount of solvent which otherwise would have an appreciable impact on the polymerization process.

[0062] The polymerization can be conducted in any of a variety of reaction vessels. For example, solution polymerizations can be conducted in a conventional stirred-tank reactor. Bulk polymerizations also can be conducted in a stirred-tank reaction if the monomer conversion is less than ~60%. Where monomer conversion is higher than ~60%, which typically results in a highly viscous polymer cement (i.e., mixture of solvent, polymers and any remaining monomer(s)), bulk polymerization can be conducted in an elongated reactor in which the viscous cement is driven by, for example, piston or self-cleaning single- or double-screw agitator.

[0063] All components used in or during the polymerization can be combined in a single vessel (e.g., a stirred-tank reactor), and the entirety of the polymerization process can

be conducted in that vessel. Alternatively, two or more of the ingredients can be combined outside the polymerization vessel and transferred to another vessel where polymerization of the monomer(s), or at least a major portion thereof, can be conducted.

[0064] The polymerization can be carried out as a batch, continuous, or semi-continuous process. The conditions under which the polymerization proceeds can be controlled to maintain the temperature of the polymerization mixture in a range of from -10° to ~200°C, commonly from ~0° to ~150°C, and typically from ~20° to ~100°C. Heat generated by the polymerization can be removed by external cooling by a thermally controlled reactor jacket and/or internal cooling (by evaporation and condensation of the monomer through use of a reflux condenser connected to the reactor). Also, conditions may be controlled to conduct the polymerization under a pressure of from ~0.01 to ~5 MPa, commonly from ~0.05 to ~2 MPa, typically from ~0.1 to ~1 MPa; the pressure at which the polymerization is carried out can be such that the majority of monomers are in the liquid phase. In these or other embodiments, the polymerization mixture may be maintained under anaerobic conditions, typically provided by an inert protective gas such as N_2, Ar or He.

[0065] Regardless of whether a batch, continuous, or semi-continuous process is employed, the polymerization preferably is conducted with moderate to vigorous agitation.

[0066] The described polymerization process advantageously results in polymer chains that possess reactive (pseudo-living) terminals, which can be further reacted with one or more functionalizing agents so as to provide a polymer with a terminal functionality. These types polymers can be referred to as functionalized and are distinct from a propagating chain that has not been similarly reacted. In one or more embodiments, reaction between the functionalizing agent and the reactive polymer can proceed via an addition or substitution reaction.

[0067] The terminal functionality can be reactive or interactive with other polymer chains (propagating and/or non-propagating) or with other materials in a rubber compound such as particulate reinforcing fillers (e.g. carbon black). As described above, enhanced interactivity between a polymer and particulate fillers in rubber compounds improves the mechanical and dynamic properties of resulting vulcanizates. For example, certain functionalizing agents can impart a terminal functionality that includes a heteroatom to the polymer chain; such functionalized polymer can be used in rubber compounds from which vulcanizates can be provided, and that vulcanizates can possess high temperature (e.g., 50°C) hysteresis losses (as indicated by a reduction in high temperature tan δ values, more infor-

mation about which appears below) that are less than those possessed by vulcanizates prepared from similar rubber compounds that do not include such functionalized polymers. Reductions in high temperature hysteresis loss can be at least 5%, at least 10%, or even at least 15%.

[0068] The functionalizing agent(s) can be introduced after a desired monomer conversion is achieved but prior to introduction of a quenching agent (a compound with a protic H atom) or after the polymerization mixture has been partially quenched. The functionalizing agent can be added to the polymerization mixture after a monomer conversion of at least 5%, at least 10%, at least 20%, at least 50%, or at least 80%. In certain embodiments, the functionalizing agent is added after complete, or substantially complete, monomer conversion. In particular embodiments, a functionalizing agent may be introduced to the polymerization mixture immediately prior to, together with, or after the introduction of a Lewis base as disclosed in U.S. Patent Publ. No. 8,324,329.

[0069] Useful functionalizing agents include compounds that, upon reaction, provide a functional group at the end of a polymer chain without joining two or more polymer chains together, as well as compounds that can couple or join two or more polymer chains together via a functional linkage to form a single macromolecule. The ordinarily skilled artisan is familiar with numerous examples of terminal functionalities that can be provided through this type of post-polymerization functionalization with terminating reagents, coupling agents and/or linking agents. For additional details, the interested reader is directed to any of U.S. Patent Nos. 4,015,061, 4,616,069, 4,906,706, 4,935,471, 4,990,573, 5,064,910, 5,153,159, 5,149,457, 5,196,138, 5,329,005, 5,496,940, 5,502,131, 5,567,815, 5,610,227, 5,663,398, 5,567,784, 5,786,441, 5,844,050, 6,812,295, 6,838,526, 6,992,147, 7,153,919, 7,294,680, 7,642,322, 7,671,136, 7,671,138, 7,732,534, 7,750,087, 7,816,483, 7,879,952, 8,063,153, 8,088,868, 8,183,324 etc., as well as references cited in these patents and later publications citing these patents; see also U.S. Patent Publ. No. 2007/0078232 and the like. Specific exemplary functionalizing compounds include metal halides (e.g., $SnCl_4$), $R^{10}{}_3SnCl$, $R^{10}{}_2SnCl_2$, $R^{10}SnCl_3$, metalloid halides (e.g., $SiCl_4$), carbodiimides, ketones, aldehydes, esters, quinones, N-cyclic amides, N,N′-disubstituted cyclic ureas, cyclic amides, cyclic ureas, Schiff bases, iso(thio)-cyanates, metal ester-carboxylate complexes (e.g., dioxtyltin bis(octylmaleate), 4,4′-*bis*(di-ethylamino) benzophenone, alkyl thiothiazolines, alkoxysilanes (e.g., $Si(OR^{10})_4$, $R^{10}Si(OR^{10})_3$, $R^{10}{}_2Si(OR^{10})_2$, etc.), cyclic siloxanes, alkoxystannates, and mixtures thereof. (In the foregoing,

each R^{10} independently is a C_1-C_{20} alkyl group, C_3-C_{20} cycloalkyl group, C_6-C_{20} aryl group, or C_7-C_{20} aralkyl group.) Specific examples of preferred functionalizing compounds include $SnCl_4$, tributyl tin chloride, dibutyl tin dichloride, and 1,3-dimethyl-2-imidazolidinone (DMI).

[0070] The amount of functionalizing agent added to the polymerization mixture can depend on various factors including the amount of formula (I) complex used, the type of functionalizing agent, the desired level of functionality, etc. In one or more embodiments, the amount of functionalizing agent may be in a range of from ~1 to ~200 moles, commonly from ~5 to ~150 moles, and typically from ~10 to ~100 moles per mole of formula (I) complex.

[0071] Because reactive polymer chains slowly self-terminate at high temperatures, the functionalizing agent can be added to the polymerization mixture when or soon after a peak polymerization temperature is observed or, at least in some embodiments, within ~25 to ~35 minutes thereafter. Reaction of these types of compounds with a terminally active polymer can be performed relatively quickly (a few minutes to a few hours) at moderate temperatures (e.g., 0° to 75°C).

[0072] The functionalizing agent can be introduced to the polymerization mixture at a location (e.g., within a vessel) where the polymerization, or at least a portion thereof, has been conducted or at a location distinct therefrom. For example, the functionalizing agent can be introduced to the polymerization mixture in downstream vessels including down-stream reactors or tanks, in-line reactors or mixers, extruders, or devolatilizers.

[0073] Although not mandatory, if desired, quenching can be performed to inactivate any residual reactive copolymer chains and the catalyst composition. Quenching can be conducted by stirring the polymer and an active hydrogen-containing compound, such as an alcohol or acid, for up to ~120 minutes at temperatures of from ~25° to ~150°C. In some embodiments, the quenching agent can include a polyhydroxy compound as disclosed in U.S. Patent No. 7,879,958. An antioxidant such as 2,6-di-t-butyl-4-methylphenol (BHT) may be added along with, before, or after the addition of the quenching agent; the amount of anti-oxidant employed can be from ~0.2 to 1% (by wt.) of the polymer product. The quenching agent and the antioxidant can be added neat or, if necessary, dissolved in a hydrocarbon solvent or liquid monomer prior to being added to the polymerization mixture.

[0074] Once polymerization, functionalization (if any) and quenching (if any) are complete, the various constituents of the polymerization mixture can be recovered.

Unreacted monomers can be recovered from the polymerization mixture by, for example, distillation or use of a devolatilizer. Recovered monomers can be purified, stored, and/or recycled back to the polymerization process.

[0075] The polymer product can be recovered from the polymerization mixture using known techniques. For example, the polymerization mixture can be passed through a heated screw apparatus, such as a desolventizing extruder, in which volatile substances (e.g., low boiling solvents and unreacted monomers) are removed by evaporation at appropriate temperatures (e.g., ~100° to ~170°C) and under atmospheric or sub-atmospheric pressure. Another option involves steam desolvation followed by drying the resulting polymer crumbs in a hot air tunnel. Yet another option involves recovering the polymer directly by drying the polymerization mixture on a drum dryer. Any of the foregoing can be combined with coagulation with water, alcohol or steam; if coagulation is performed, oven drying may be desirable.

[0076] Recovered polymer can be grafted with other monomers and/or blended with other polymers (e.g., polyolefins) and additives to form resin compositions useful for various applications. The polymer, regardless of whether further reacted, is particularly suitable for use in the manufacture of various tire components including, but not limited to, tire treads, sidewalls, subtreads, and bead fillers. It also can be used as a compatibilizer for elastomeric blends and/or used in the manufacture of hoses, belts, shoe soles, window seals, other seals, vibration damping rubber, and other industrial or consumer products.

[0077] When the resulting polymer is utilized in a tread stock compound, it can be used alone or blended with any conventionally employed tread stock rubber including natural rubber and/or non-functionalized synthetic rubbers such as, e.g., one or more of homo- and interpolymers that include just polyene-derived mer units (e.g., poly(butadiene), poly(isoprene), and copolymers incorporating butadiene, isoprene, and the like), SBR, butyl rubber, neoprene, EPR, EPDM, acrylonitrile/butadiene rubber (NBR), silicone rubber, fluoroelastomers, ethylene/acrylic rubber, EVA, epichlorohydrin rubbers, chlorinated polyethylene rubbers, chlorosulfonated polyethylene rubbers, hydrogenated nitrile rubber, tetrafluoroethylene/propylene rubber and the like. When a functionalized polymer(s) is blended with conventional rubber(s), the amounts can vary from ~5 to ~99% of the total rubber, with the conventional rubber(s) making up the balance of the total rubber. The minimum amount depends to a significant extent on the degree of hysteresis reduction desired.

[0078] Amorphous silica (SiO_2) can be utilized as a filler. Silicas are generally classified as wet-process, hydrated silicas because they are produced by a chemical reaction in water, from which they are precipitated as ultrafine, spherical particles. These primary particles strongly associate into aggregates, which in turn combine less strongly into agglomerates. "Highly dispersible silica" is any silica having a very substantial ability to de-agglomerate and to disperse in an elastomeric matrix, which can be observed by thin section microscopy.

[0079] Surface area gives a reliable measure of the reinforcing character of different silicas; the Brunauer, Emmet and Teller ("BET") method (described in *J. Am. Chem. Soc.*, vol. 60, p. 309 et seq.) is a recognized method for determining surface area. BET surface area of silicas generally is less than 450 m^2/g, and useful ranges of surface include from ~32 to ~400 m^2/g, ~100 to ~250 m^2/g, and ~150 to ~220 m^2/g.

[0080] The pH of the silica filler is generally from ~5 to ~7 or slightly over, preferably from ~5.5 to ~6.8.

[0081] Some commercially available silicas which may be used include Hi-Sil™ 215, Hi-Sil™ 233, and Hi-Sil™ 190 (PPG Industries, Inc.; Pittsburgh, Pennsylvania). Other suppliers of commercially available silica include Grace Davison (Baltimore, Maryland), Degussa Corp. (Parsippany, New Jersey), Rhodia Silica Systems (Cranbury, New Jersey), and J.M. Huber Corp. (Edison, New Jersey).

[0082] Silica can be employed in the amount of ~1 to ~100 phr, preferably in an amount from ~5 to ~80 phr. The useful upper range is limited by the high viscosity that such fillers can impart.

[0083] Other useful fillers include all forms of carbon black including, but not limited to, furnace black, channel blacks and lamp blacks. More specifically, examples of the carbon blacks include super abrasion furnace blacks, high abrasion furnace blacks, fast extrusion furnace blacks, fine furnace blacks, intermediate super abrasion furnace blacks, semi-reinforcing furnace blacks, medium processing channel blacks, hard processing channel blacks, conducting channel blacks, and acetylene blacks; mixtures of two or more of these can be used. Carbon blacks having a surface area (EMSA) of at least 20 m^2/g, preferably at least ~35 m^2/g, are preferred; surface area values can be determined by ASTM D-1765 using the CTAB technique. The carbon blacks may be in pelletized form or an unpelletized flocculent mass, although unpelletized carbon black can be preferred for use in certain mixers.

[0084] The amount of carbon black can be up to ~50 phr, with ~5 to ~40 phr being typical. When carbon black is used with silica, the amount of silica can be decreased to as low as ~1 phr; as the amount of silica decreases, lesser amounts of the processing aids, plus silane if any, can be employed.

[0085] Elastomeric compounds typically are filled to a volume fraction, which is the total volume of filler(s) added divided by the total volume of the elastomeric stock, of ~25%; accordingly, typical (combined) amounts of reinforcing fillers, i.e., silica and carbon black, is ~30 to 100 phr.

[0086] When silica is employed as a reinforcing filler, addition of a coupling agent such as a silane is customary so as to ensure good mixing in, and interaction with, the elastomer(s). Generally, the amount of silane that is added ranges between ~4 and 20%, based on the weight of silica filler present in the elastomeric compound.

[0087] Coupling agents can have a general formula of A-T-G, in which A represents a functional group capable of bonding physically and/or chemically with a group on the surface of the silica filler (e.g., surface silanol groups); T represents a hydrocarbon group linkage; and G represents a functional group capable of bonding with the elastomer (e.g., via a sulfur-containing linkage). Such coupling agents include organosilanes, in particular polysulfurized alkoxysilanes (see, e.g., U.S. Pat. Nos. 3,873,489, 3,978,103, 3,997,581, 4,002,594, 5,580,919, 5,583,245, 5,663,396, 5,684,171, 5,684,172, 5,696,197, etc.) or polyorganosiloxanes bearing the G and A functionalities mentioned above. An exemplary coupling agent is bis[3-(tri-ethoxysilyl)propyl]tetrasulfide.

[0088] Addition of a processing aid can be used to reduce the amount of silane employed. See, e.g., U.S. Pat. No. 6,525,118 for a description of fatty acid esters of sugars used as processing aids. Additional fillers useful as processing aids include, but are not limited to, mineral fillers, such as clay (hydrous aluminum silicate), talc (hydrous magnesium silicate), and mica as well as non-mineral fillers such as urea and sodium sulfate. Preferred micas contain principally alumina, silica and potash, although other variants also can be useful. The additional fillers can be utilized in an amount of up to ~40 phr, typically up to ~20 phr.

[0089] Other conventional rubber additives also can be added. These include, for example, process oils, plasticizers, anti-degradants such as antioxidants and antiozonants, curing agents and the like.

[0090] All of the ingredients can be mixed using standard equipment such as, e.g., Banbury or Brabender mixers. Typically, mixing occurs in two or more stages. During the first stage (often referred to as the masterbatch stage), mixing typically is begun at temperatures of ~120° to ~130°C and increases until a so-called drop temperature, typically ~165°C, is reached.

[0091] Where a formulation includes silica, a separate re-mill stage often is employed for separate addition of the silane component(s). This stage often is performed at temperatures similar to, although often slightly lower than, those employed in the masterbatch stage, i.e., ramping from ~90°C to a drop temperature of ~150°C.

[0092] Reinforced rubber compounds conventionally are cured with ~0.2 to ~5 phr of one or more known vulcanizing agents such as, for example, sulfur or peroxide-based curing systems. For a general disclosure of suitable vulcanizing agents, the interested reader is directed to an overview such as that provided in Kirk-Othmer, *Encyclopedia of Chem. Tech.*, 3d ed., (Wiley Interscience, New York, 1982), vol. 20, pp. 365-468. Vulcanizing agents, accelerators, etc., are added at a final mixing stage. To ensure that onset of vulcanization does not occur prematurely, this mixing step often is done at lower temperatures, e.g., starting at ~60° to ~65°C and not going higher than ~105° to ~110°C.

[0093] Polymers and vulcanizates intended for use in high performance applications such as tire tread compounds often are tested in a variety of ways which, over time, have become recognized as predictive of end-use performance. For example, a reduction in hysteresis commonly is associated with a decrease in tan δ value at an elevated temperature, e.g., 50° or 60°C, versus a comparable polymer (e.g., similar molecular weight, similar composition, etc.). Good wet traction performance commonly is considered to be associated with an increase in tan δ value at a low temperature, e.g., 0°C. Other potentially important predictive physical properties include but are not limited to G′, ΔG′, and G″.

[0094] The following non-limiting, illustrative examples provide the reader with detailed conditions and materials that can be useful in the practice of the present invention.

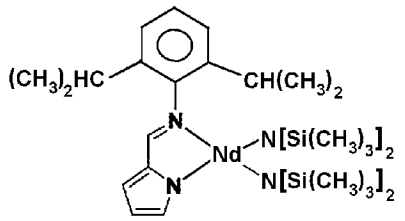
EXAMPLES

Example 1: *Nd complex*

[0095] A solution of ~1.22 g (4.8 mmol) 2-[(2,6-diisopropylphenylimino)methyl]-pyrrole in 15 mL hexane was slowly added to a solution of ~3.00 g (4.8 mmol) tris[N,N-

bis(trimethylsilyl)amide]neodymium(III) in 15 mL hexane under Ar. The color of the solution rapidly changed from light blue to light green.

[0096] The combined mixture was allowed to stir overnight before liquids were evaporated under vacuum to give ~3.40 g of a green solid neodymium complex (98.7% yield) having the following structure:

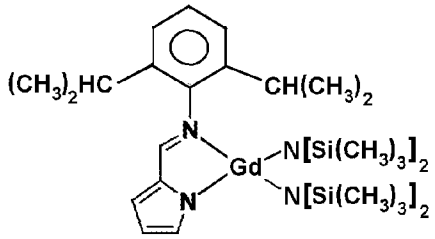


(IIIa)

Example 2: *Gd complex*

[0097] A solution of ~1.00 g (3.9 mmol) 2-[(2,6-diisopropylphenylimino)methyl]-pyrrole in 40 mL hexane was slowly added to a solution of ~2.50 g (3.9 mmol) tris[N,N-bis(trimethylsilyl)amide]gadolinium(III) in 40 mL hexane under Ar. The colorless solution acquired a light yellow hue.

[0098] The combined mixture was allowed to stir overnight before liquids were evaporated under vacuum to give ~2.86 g of a yellow solid gadolinium complex (99.9% yield) having the following structure:



(IIIb)

Examples 3-8: *polybutadienes, Nd complexes*

[0099] To six oven dried, N_2-purged bottles were added ~300 g 1,3-butadiene solution (15.0% in hexane), followed by ~0.52 mL MAO (4.75 M in toluene), ~1.0 mL of a 0.025 M solution of the Nd complex from Example 1, followed by the types and amounts of alkylating agents shown in the following table (with each being diluted in hexane). Each bottle was tumbled in a 80°C water bath for ~60 minutes.

Table 1: Organoaluminum compounds used in catalyst compositions

	3	4	5	6	7	8
1.05 M DIBAH, mL	0.53	0.53	0.53	0	0	0
0.68 M TIBA, mL	0	0	0	0.82	0.82	0.82
0.107 M DEAC, mL	0	0.23	0.46	0	0.23	0.46

[0100] Each resulting polymer cement was quenched with ~3 mL isopropanol containing BHT before being coagulated in isopropanol. The resulting polymers were drum dried at 120°C.

[0101] The properties of these polymers are summarized in the following table. Mooney viscosity (ML_{1+4}) and t_{80} (Mooney stress relaxation time to 80% decay) values were determined with an Alpha Technologies™ Mooney viscometer (large rotor) using a one-minute warm-up time and a four-minute running time. Percentage functionality determinations (rounded to one decimal place) were made via GPC analysis.

Table 2: Properties of polymers

	3	4	5	6	7	8
conversion, %	62.6	97.0	98.0	70.3	99.2	89.9
ML_{1+4} @ 100°C	32.2	18.6	16.2	64.4	101.9	79.6
t_{80}, seconds	4.7	1.7	1.5	3.2	>30	15.6
cis BD mer, mol %	83.5	87.1	91.1	88.2	97.3	98.2
trans BD mer, mol %	13.1	11.9	8.0	8.4	1.7	0.8
1,2-vinyl BD mer, mol %	3.4	1.0	0.9	3.4	1.1	1.1
M_n (kg/mol)	70.4	94.2	93.1	137.3	218.6	191.6
M_p (kg/mol)	133.0	142.6	138.6	210.6	311.0	274.3
M_w/M_n	3.13	1.79	1.77	2.21	2.21	2.24

Examples 9-14: *polybutadienes, Gd complexes*

[0102] To dry, N_2-purged bottles were added 2.7 mL 1,3-butadiene solution (21.5% in hexane) and 5.6 mL toluene, followed by ~2.61 mL MAO (4.75 M in toluene), 0.091 g of the Gd complex from Example 2, and the following amounts of alkylating agents:

Ex. 9-11: 2.65 mL DIBAH (1.05 M in hexane), and
Ex. 12-14: 2.75 mL TIBA (0.68 M in hexane).

The resulting mixtures, designated below as, respectively, "partial catalyst solution A" and "partial catalyst solution B," were aged at room temperature for ~2 minutes prior to further use.

[0103] To six oven dried, N_2-purged bottles were added ~300 g 1,3-butadiene solution (15.0% in hexane) followed by the types and amounts of materials shown in the following table. Each bottle was tumbled in a 65°C water bath for ~60 minutes.

Table 3: Additional ingredients used in catalyst compositions

	9	10	11	12	13	14
partial catalyst solution A, mL	2.48	2.48	2.48	0	0	0
partial catalyst solution B, mL	0	0	0	2.75	2.75	2.75
0.107 M DEAC, mL	0	0.21	0.42	0	0.21	0.42

[0104] Each resulting polymer cement was quenched with ~3 mL isopropanol containing BHT before being coagulated in isopropanol. The resulting polymers were drum dried at 120°C.

[0105] The properties of these polymers are summarized in the following table.

Table 4: Properties of polymers

	9	10	11	12	13	14
conversion, %	52.7	98.2	95.6	44.7	79.3	18.2
ML_{1+4} @ 100°C	9.0	28.2	28	90.7	84.7	--
t_{80}, sec.	3.8	1.8	1.7	6.3	>30	--
cis BD mer, mol %	88.8	95.4	96.7	91.5	98.1	98.3
trans BD mer, mol %	8.4	4.0	2.9	5.2	1.3	1.0
1,2-vinyl BD mer, mol %	2.8	0.6	0.4	3.3	0.6	0.8
M_n (kg/mol)	61.5	103.8	103.7	125.3	246.8	190.6
M_p (kg/mol)	94.7	171.3	172.3	279.0	375.8	290.1
M_w/M_n	2.52	1.95	1.96	2.66	2.08	2.19

Examples 15-17: *copolymers*

[0106] To three 200 mL dry bottles, each containing 30 mL toluene, were added 3 mmol triethylaluminum and the ingredients listed in Table 5 below, where DMATPFPB

stands for N,N-dimethylanilinium tetrakis(pentafluorophenyl)borate, to provide three different catalyst compositions.

Table 5: Catalyst compositions

	15	16	17
Nd complex from Ex. 1, g	0.086	0	0
Gd complex from Ex. 2, g	0	0.088	0.088
MAO (4.75 M in toluene), mL	0	2.53	2.53
DMATPFPB, g	0.101	0.101	0

[0107] To a dry 3.8 L reactor purged with ethylene was charged ~2 kg 1,3-butadiene solution (12.0% in hexane); this reactor was pressurized to ~0.2 MPa with ethylene and heated with 80°C water in the reactor jacket. A catalyst solution was charged into the reactor, and the reactor was pressurized to 1.72 MPa with ethylene. The polymerization was allowed to proceed for ~100 minutes in Example 15 and for ~90 minutes in both Examples 16 and 17.

[0108] The polymer cements were cooled and discharged into isopropanol containing BHT with the resulting polymers being drum-dried at 120°C. The properties of these polymers are provided in the following table, where the amounts of ethylene mer were determined by ^{1}H NMR and the microstructure values were determined by FTIR.

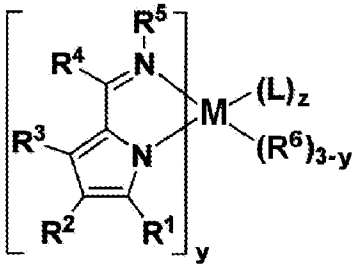
Table 6: Properties of polymers from Examples 15-17

	15	16	17
amount recovered, g	186.0	74.5	52.0
ethylene mer, mol %	1.6	2.4	9.9
1,4-BD mer, mol %	97.2	96.8	94.5
1,2-vinyl BD mer, mol %	2.8	3.2	5.5
M_n (kg/mol)	109.4	85.5	64.5
M_w/M_n	3.0	10.9	108.1

CLAIMS

That which is claimed is:

1. An organometallic complex of the general formula



where

M represents a Group 3 metal atom;

L represents a neutral Lewis base;

z is an integer of from 0 to 3 inclusive;

y is 1 or 2;

R^1, R^2 and R^3 independently are H; a halogen atom; a silyl group; a siloxy group; nitro group; sulfonate group; alkoxy group; a C_1-C_{20} alkyl group; aralkyl group; or an aryl group, optionally including one or more C_1-C_8 alkyl, perfluoroalkyl, alkoxy, nitro, sulfonate or halo substituents, with the proviso that R^1 and R^2 or R^2 and R^3 can join so as to provide a substituted or unsubstituted hydrocarbylene group which forms an aromatic or non-aromatic carbocyclic ring fused with the pyrrole moiety;

R^4 is H, a C_1-C_{10} alkyl group, or a substituted or unsubstituted aryl group, with the proviso that R^4 can join with R^3 so as to provide a substituted or unsubstituted hydrocarbylene group which forms a non-aromatic carbocyclic ring;

R^5 is a C_1-C_{12} alkyl group, a C_5-C_8 cycloalkyl group, or an aryl group, optionally substituted at, relative to the point of connection to the N atom,

the para position with a nitro group, a trifluoromethyl group, a halogen atom, an alkoxy group, a C_1-C_4 alkyl group, or an aryl group, or

one or both ortho positions with a C_1-C_4 alkyl group or an aryl group; and

R^6 is a monoanionic ligand such as a C_1-C_{12} alkyl group, an aralkyl group, a substituted or unsubstituted allyl group, an amide group, an alkoxy group, a siloxy group, H or a halogen atom.

2. The organometallic complex of claim 1 wherein z is 0.

3. The organometallic complex of claim 1 wherein R^6 is an amide group.

4. The organometallic complex of claim 1 wherein M is a lanthanide metal atom.

5. The organometallic complex of claim 4 wherein said lanthanide metal is Nd or Gd.

6. The organometallic complex of claim 1 wherein R^5 is an aryl group.

7. A catalyst composition comprising the organometallic complex of any of claims 1 to 6 and a catalyst activator.

8. The catalyst composition of claim 7 wherein said catalyst activator comprises an alkylating agent.

9. The catalyst composition of claim 8 wherein said alkylating agent is an organo-aluminum compound or an organomagnesium compound.

10. The catalyst composition of any of claims 7 to 8 wherein said catalyst activator comprises a non-coordinating anion.

11. The catalyst composition of any of claims 7 to 8 wherein said catalyst activator comprises a non-coordinating anion precursor.

12. A process for providing a polymer, said process comprising contacting one or more types of ethylenically unsaturated hydrocarbon monomers with the catalyst composition of claim 7, said polymer constituting the polymerization product of said monomers, said process optionally further comprising reacting said polymer with a functionalizing agent so as to provide terminal functionality to said polymer.

13. The process of claim 12 wherein said one or more types of ethylenically unsaturated hydrocarbon monomers comprises at least one type of polyene.

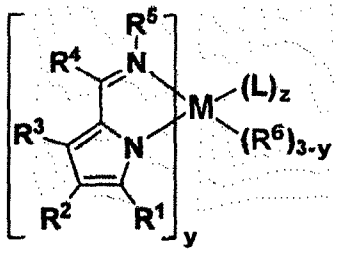
14. The process of claim 13 wherein said at least one type of polyene comprises one or more conjugated dienes.

15. The process of any of claims 13 to 14 wherein said one or more types of ethylenically unsaturated hydrocarbon monomers further comprises at least one type of α-olefin.

AMENDED CLAIMS
received by the International Bureau on 22 March 2014 (22.03.2014)

That which is claimed is:

1. A process for providing a polymer, said process comprising contacting at least one type of polyene monomer with a catalyst composition that comprises a complex of the general formula



where

M represents a Group 3 metal atom;

L represents a neutral Lewis base;

z is an integer of from 0 to 3 inclusive;

y is 1 or 2;

R^1, R^2 and R^3 independently are H; a halogen atom; a silyl group; a siloxy group; nitro group; sulfonate group; alkoxy group; a C_1-C_{20} alkyl group; aralkyl group; or an aryl group, optionally including one or more C_1-C_8 alkyl, perfluoro-alkyl, alkoxy, nitro, sulfonate or halo substituents, with the proviso that R^1 and R^2 or R^2 and R^3 can join so as to provide a substituted or unsubstituted hydrocarbyl-ene group which forms an aromatic or non-aromatic carbocyclic ring fused with the pyrrole moiety;

R^4 is H, a C_1-C_{10} alkyl group, or a substituted or unsubstituted aryl group, with the proviso that R^4 can join with R^3 so as to provide a substituted or unsubstituted hydrocarbylene group which forms a non-aromatic carbocyclic ring;

R^5 is a C_1-C_{12} alkyl group, a C_5-C_8 cycloalkyl group, or an aryl group, optionally substituted at, relative to the point of connection to the N atom,

the para position with a nitro group, a trifluoromethyl group, a halogen atom, an alkoxy group, a C_1-C_4 alkyl group, or an aryl group, or

one or both ortho positions with a C_1-C_4 alkyl group or an aryl group; and

R^6 is a monoanionic ligand,

thereby providing said polymer.

2. The process of claim 1 wherein said at least one type of polyene comprises one or more conjugated dienes.

3. The process of any of claims 1 to 2 further comprising reacting said polymer with a functionalizing agent so as to provide terminal functionality to said polymer.

4. The process of claim 3 wherein said catalyst composition additionally is contacted with at least one type of α-olefin.

5. The process of any of claims 1 to 2 wherein said catalyst composition additionally is contacted with at least one type of α-olefin.

6. The process of any of claims 1 to 2 wherein said catalyst composition further comprises a catalyst activator.

7. The process of claim 6 wherein said catalyst activator comprises an alkylating agent.

8. The process of claim 7 wherein said alkylating agent is an organoaluminum compound or an organomagnesium compound.

9. The process of any of claims 7 to 8 wherein said catalyst activator comprises a non-coordinating anion or non-coordinating anion precursor.

10. The process of any of claims 1 to 2 wherein z of said complex is 0.

11. The process of any of claims 1 to 2 wherein R^6 of said complex is a C_1-C_{12} alkyl group, an aralkyl group, a substituted or unsubstituted allyl group, an amide group, an alkoxy group, a siloxy group, H or a halogen atom.

12. The process of claim 12 wherein R^6 is an amide group.

13. The process of any of claims 1 to 2 wherein M of said complex is a lanthanide metal atom.

14. The process of claim 13 wherein said lanthanide metal atom is Nd or Gd.

15. The process of any of claims 1 to 2 wherein R^5 is an aryl group.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US2013/063466

A. CLASSIFICATION OF SUBJECT MATTER

C07F 5/00(2006.01)i, C07F 9/00(2006.01)i, B01J 31/12(2006.01)i, C08F 10/00(2006.01)i

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
C07F 5/00; C07F 9/00; B01J 31/12; C08F 10/00

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
Korean utility models and applications for utility models
Japanese utility models and applications for utility models

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
eKOMPASS(KIPO internal) & Keywords: iminopyrrolyl , lanthanide , catalyst, precursor, polymerization.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	Shuangliu Zhoua et al., 'Synthesis, characterization, and catalytic activities of rare-earth metal complexes with iminopyrrolyl ligands', Inorganic Chemistry Communications, Volume 14, Issue 8, August 2011, Pages 1196-1200 See page 1196 and scheme 1.	1,2,4-15
X	K. Mashima et al., 'Uniqueness and versatility of iminopyrrolyl ligands for transition metal complexes', Journal of Organometallic Chemistry, 690, 2005, pages 4414-4423 See pages 4414-4416 and 4418.	1-3,6-15
Y	Ying Yang et al., 'Syntheses and Reactions of Derivatives of (Pyrrolylaldiminato)germanium(II) and -Aluminum(III)', Organometallics 2012, 31, pages 1958-1964(Publication Date (Web): February 22, 2012) See page 1959.	1-15
Y	Guofu Zi, 'Asymmetric hydroamination/cyclization catalyzed by organo lanthanide complexes with chiral biaryl-based ligands', Dalton Trans.,2009,pages 9101-9109 See page 9106.	1-15

☐ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

* Special categories of cited documents:
"A" document defining the general state of the art which is not considered to be of particular relevance
"E" earlier application or patent but published on or after the international filing date
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
"O" document referring to an oral disclosure, use, exhibition or other means
"P" document published prior to the international filing date but later than the priority date claimed
"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents,such combination being obvious to a person skilled in the art
"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
22 January 2014 (22.01.2014)	**22 January 2014 (22.01.2014)**

Name and mailing address of the ISA/KR
Korean Intellectual Property Office
189 Cheongsa-ro, Seo-gu, Daejeon Metropolitan City, 302-701, Republic of Korea
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Authorized officer
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Telephone No. +82-42-481-8132

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.
PCT/US2013/063466

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
None			