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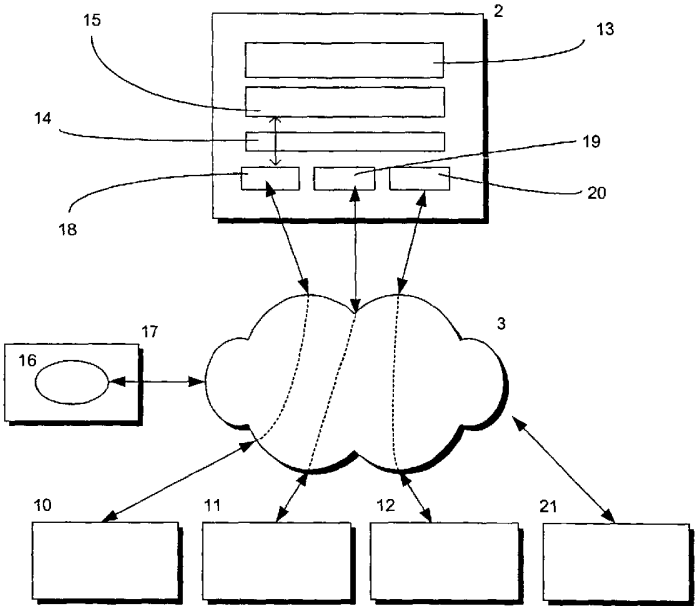
(54) Title: CLIENT-SERVER COMMUNICATION SYSTEM



(57) Abstract: A browser is used to connect to a plurality of real-time data sources by downloading a control applet from a first server and a communications applet from each of the data sources. Each communications applet handles the data communications between the browser and the source from which it was downloaded, while the control applet communicates with each communications applet via a JavaScript™ Mayer, using the JSObject wrapper class to work around the res trictions imposed by the Java™ applet security model. The res ulting configuration provides a considerable saving in system resources over the conventional model of a single Java™ applet handling all of the control and communication tasks.

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Client-Server Communication System

Field of the Invention

This invention relates to a method of connecting a browser to a plurality of information servers, particularly but not exclusively to using a JavaScript™ layer as an interface between control and communications applets running within the browser.

Background

In the general model of a client-server system operating over a private or public network, such as the Internet, a client computer uses a web client, usually referred to as a browser, to connect to one of many available servers. In the case of, for example, real-time financial information, a plurality of service providers provide information over the Internet. For example, referring to Figure 1, the Real Time Text Protocol™ (RTTP) developed by Caplin Systems Limited is widely used within the financial services community for streaming real-time data from a remote RTTP enabled server 1 to a client's browser 2, such as Microsoft Internet Explorer™ or Netscape Navigator™, via the Internet 3. The browser 2 downloads a web page which comprises dynamic HTML (DHTML) source code 4 from a web server 5. This enables the browser 2 to connect to the RTTP server 1 which provides an applet 6, written in the Java™ programming language, to enable communication between the server 1 and the browser 2 using the RTTP protocol. The server 1 receives feeds from multiple data sources, such as servers 7 - 9 providing real time data, e.g. news, share prices or other data, conforms them to the RTTP protocol and opens a single RTTP session to the applet 6 running in the browser 2. The applet 6 permits the browser 2 to receive and display real-time streaming data from the server 1.

One of the main tenets of the Java™ language is to enable browsers to run applets safely, that is, without damaging the client system on which they run. In general terms, since they originate from an external source, all downloaded applets are considered to be untrusted. To prevent an applet from performing operations

which could damage the client system on which it runs, an untrusted applet is prevented by the Java Applet Security Manager™, which is part of the Java Run-time Environment™ running on the client's system, from performing certain tasks on that system. This is known as the "sandbox" model. The functionality of the applet is restricted, for example, the applet cannot write to the client's local file system.

Similarly, an untrusted applet is only permitted to communicate with the server from which it originated. Applets downloaded from different servers are considered to be within separate sandboxes and are therefore not permitted to communicate with one another.

The security restrictions imposed by the sandbox model can be overcome by converting the applet to a trusted applet, where the applet is digitally signed by a source trusted by the user and must be explicitly accepted by the user. However, if signed applets were to be used in the context of a user using a browser to access information from multiple information servers, the user would need to download and accept a considerable number of applets to maintain system security. The resulting system would be both inconvenient and impractical as well as having the potential to compromise the security of the user's system.

Summary of the Invention

According to the invention, there is provided a method of implementing a connection between a browser and one or more remote data servers comprising providing a control module for processing data retrieved from the remote servers, providing one or more communications modules each configured to communicate with one of the remote servers and prevented from direct communication with the control module and providing an interface module operative to permit communication between the control module and the communications modules.

The control module and communications modules can be Java™ applets while the interface module is a JavaScript™ layer.

The prohibition on applets loaded from different servers communicating with one another is overcome by permitting the applets to communicate via the JavaScript™ layer. Since each of the communications applets handles communications with its respective server, the control applet need not be concerned with that task, so that the overall system is more resource efficient than the conventional model, in which a single control/communications applet needs to handle control and communications with multiple servers by opening multiple sessions.

According to the invention there is further provided a browser configured to retrieve information from a plurality of remote servers including a control module originating from a first server and one or more communications modules originating from one or more of the remote servers, wherein each of the communications modules is configured to communicate with the remote server from which it was downloaded and is prevented from direct communication with the control module; and an interface module for facilitating communication between the control and communications modules.

According to the invention there is still further provided a method of communicating information between a browser and one or more servers, wherein communications between the browser and each of the one or more servers occur via respective communications applets running in the browser, the method comprising communicating the information between a control applet running in the browser and each of the communications applets, the control applet and the communications applets being configured to communicate via an interface layer.

Brief Description of the Drawings

Embodiments of the present invention will now be described by way of example, with reference to the accompanying drawings, as follows:

Figure 1 shows a conventional communication system for providing real-time information to a browser from a plurality of information sources via an RTTP server;

Figure 2 shows a system according to the present invention in which a browser can connect to multiple data servers;

Figure 3 is a flowchart of the procedure for configuring the browser for communication with the data servers and handling incoming data; and

Figure 4 is a schematic example of the display presented to the user.

Detailed Description

Referring to Figure 2, a communication system according to the present invention comprises a browser 2 communicating with multiple data servers 10, 11, 12, each of which operates in accordance with the Real Time Text Protocol (RTTP)™, or any protocol that permits streaming of real-time data to a browser over a communications network.

The configuration of the browser will now be described, with reference to Figure 3 and beginning at step s0. The browser 2 downloads a DHTML page 13, which includes a program layer 14 written in JavaScript™, defined for example by a <SCRIPT> tag in the DHTML page 13, together with a control applet 15, from a web server 16 running on a remote computer 17 (step s1). The control applet 15 provides functionality for processing incoming data. The incoming data may be manipulated, e.g., combining data from one or more sources, performing calculations and statistical analyses. The data may be then stored and/ or displayed on the user's screen, an example of which is shown in Figure 4, with data from different sources being displayed in different frames. The DHTML layer 13 permits the browser 2 to connect to a plurality of RTTP servers 10 – 12 in accordance with the user's requirements. For example, a list of available information services, provided by the data servers 10 - 12, is displayed in the browser 2, (step s2), so that the user can select one or more information services for display (step s3). Each RTTP server 10 – 12 provides a Java™ applet 18 – 20, also referred to as a proxy or communications applet, which is capable of handling data communications between the browser 2 and the respective RTTP server 10 – 12. The communications applets 18 - 20 do not need to contain further functionality, as this can be provided in the control applet 15. Therefore, each communications applet 18 – 20 requires considerably less system resources, including memory, than the applet 6 of the

conventional system, as it contains only the functionality necessary to communicate with its respective server 10 - 12. The selected communications applets 18 - 20 are downloaded into the browser (step s4) and communication sessions are established between each of the communications applets 18 - 20 and their respective data servers 10-12 (step s5), completing the configuration of the browser (step s6).

To enable data requests to be communicated between the control applet 15 and an RTTP server 10 and incoming data to be passed to the control applet 15 for processing, a connection must be established between the control applet 15 and a respective one of the communications applets 18. The Java™ security model provides a barrier to this connection, since the control and communications applets 15, 18 originated from different servers 16, 10.

To overcome this barrier, a connection between the applets is established via the JavaScript™ layer 14. The layer 14 acts as an interface between the control applet 15 and the communications applets 18 – 20. The browser permits communication between Java™ and JavaScript™ using the Java™ wrapper class netscape.javascript.JSObject.

For example, where data is to be passed from the control applet 15 to a communications applet 18, the control applet 15 invokes the 'getWindow( )' method of JSObject, which returns a JavaScript™ object representing the window that contains the target applet 18. The control applet 15 then calls the JSObject 'eval' method on this window object, which permits evaluation of an arbitrary JavaScript™ expression. In this case, the JavaScript™ expression in the JavaScript™ layer 14 is a function which includes as parameters the name of the target applet 18 and the data to be communicated to the target applet 18.

It is well-known that conventional browsers hold HTML document definitions in the form of a standardised interface known as the Document Object Model, so that all the elements on the page can be accessed via that interface. The JavaScript™ function uses the applet name to look up the target applet 18 within the Document

Object Model maintained by the browser 2 and then calls a predetermined method within the applet 18, passing to it the data to be communicated. This procedure is not necessary where the target applet was originally created by the JavaScript™ layer 14, as the JavaScript™ layer 14 can simply maintain a reference to it, instead of using the Document Object Model to look it up.

Effectively, therefore, the JavaScript™ layer 14 permits the control applet 15 to invoke a method within each of the communications applets 18 – 20. The reverse process similarly permits the communications applets 18 – 20 to communicate data to the control applet 15 via the JavaScript™ layer 14. As each communications applet 18 - 20 contains only the code necessary to communicate with its respective RTTP server 10 - 12, it is possible to load further communications applets for communicating with further RTTP servers 21 as required, without requiring any reconfiguration of the existing communications applets 18 - 20.

Although the described embodiment comprises data servers configured for RTTP communications, it will be understood that the invention is not limited to systems using this particular protocol, but can be used with any protocol which provides for client-server data communications. While the information servers have been shown as separate computers, it should be understood that the respective servers may be provided in the form of separate processes running on the same physical machine. Furthermore, while the described embodiment uses Java™ applets, other types of software module which have similar limitations, such as ActiveX™ or VBA™ objects, may be used without departing from the scope of the invention as defined by the claims.

Claims

1. A method of implementing a connection between a browser and one or more remote data servers comprising:

providing a control module for processing data retrieved from the remote servers;

providing one or more communications modules each configured to communicate with one of the remote servers and prevented from direct communication with the control module; and

providing an interface module operative to permit communication between the control module and the communications modules.

2. A method according to claim 1, wherein the control module is downloaded from a first server and the one or more communications modules are downloaded from a different one or more servers.

3. A method according to claim 1 or 2, wherein the control module and communications modules are Java™ applets and the interface module is a JavaScript™ layer.

4. A method according any one of the preceding claims, further comprising displaying the data in the browser.

5. A method according to any one of the preceding claims, wherein the data comprises real-time data streamed from the one or more servers to the browser.

6. A browser configured to retrieve information from a plurality of remote servers including:

a control module originating from a first server;

one or more communications modules originating from one or more of the remote servers, wherein each of the communications modules is configured to communicate with the remote server from which it was downloaded and is prevented from direct communication with the control module; and

an interface module for facilitating communication between the control and communications modules.

7. A browser according to claim 6, wherein the control and communications modules are Java™ applets and the interface module is a layer of JavaScript™ code.

8. A method of communicating information between a browser and one or more servers, wherein communications between the browser and each of the one or more servers occur via respective communications applets running in the browser, the method comprising:

communicating the information between a control applet running in the browser and each of the communications applets, the control applet and the communications applets being configured to communicate via an interface layer.

9. A method according to claim 8 wherein the interface layer comprises a JavaScript™ layer.

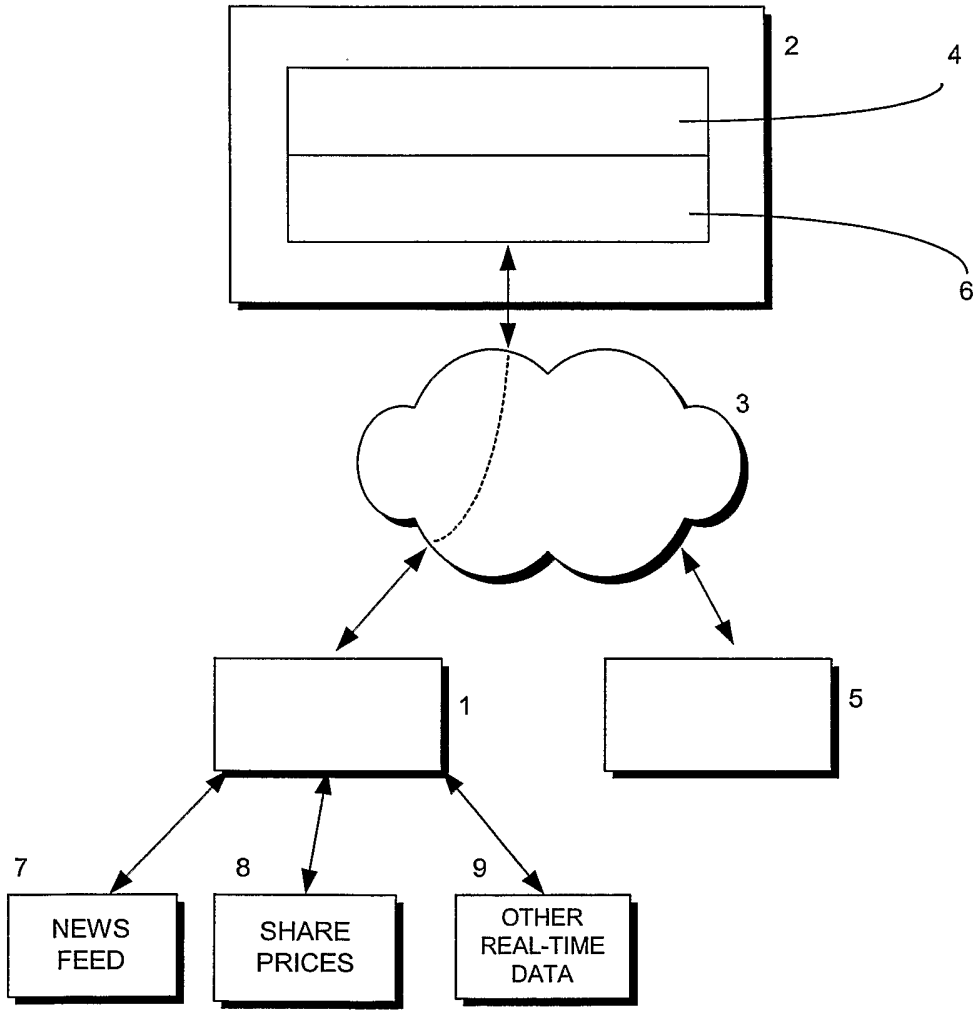


Figure 1

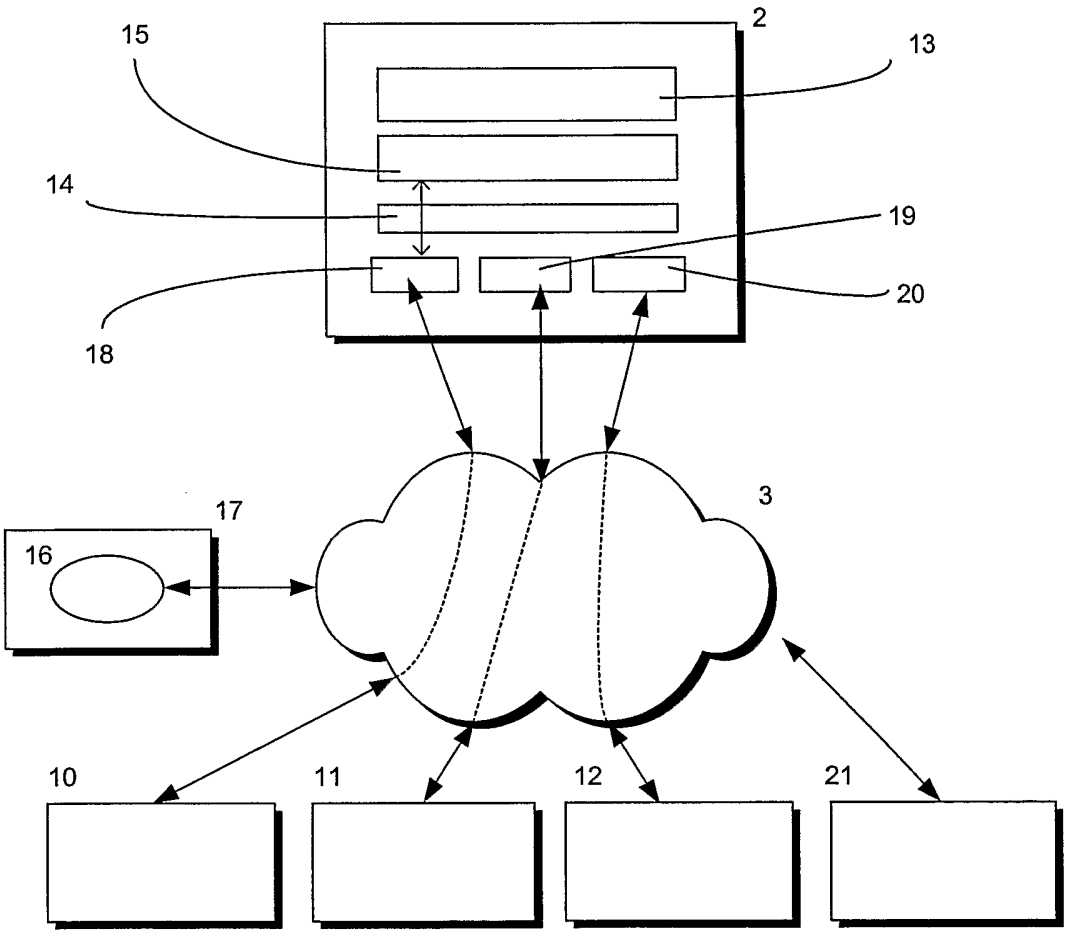
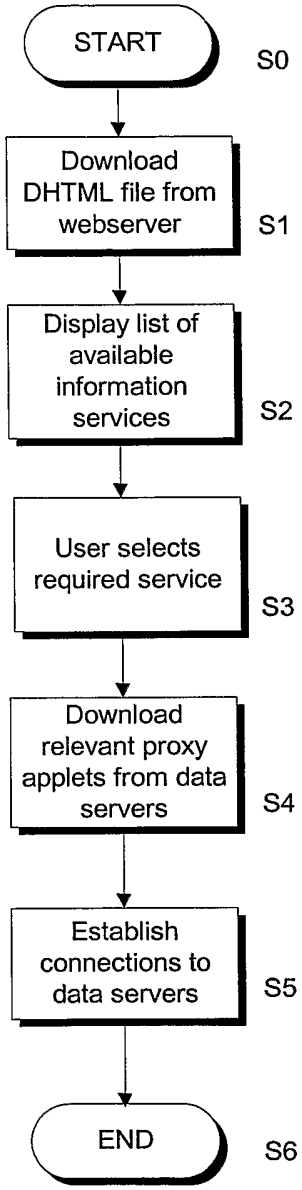


Figure 2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S0
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S1
Display list of available information services
S2
User selects required service
S3
Download relevant proxy applets from data servers
S4
Establish connections to data servers
S5
END
S6

Figure 3

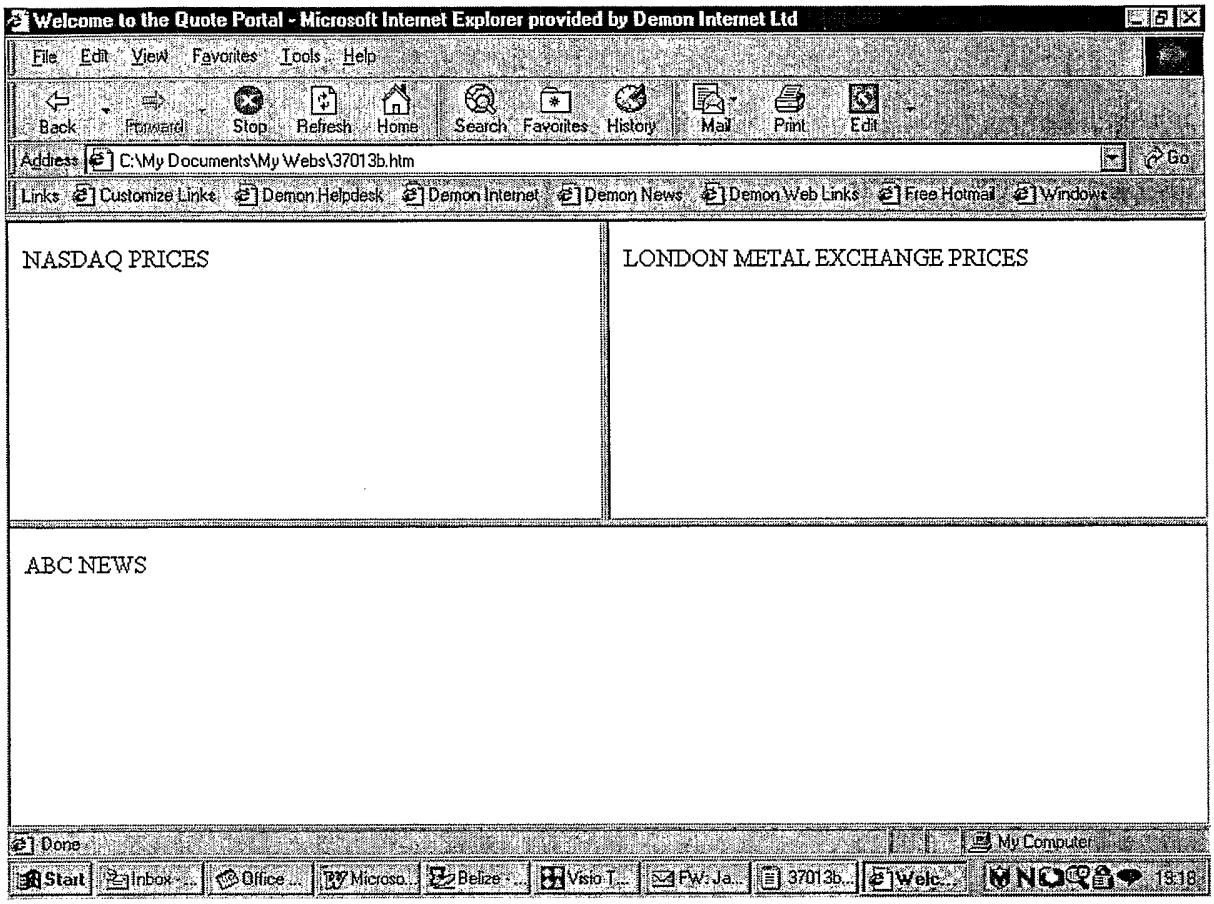
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Figure 4

INTERNATIONAL SEARCH REPORT

Internati—Application No
PCT/GB 02/02715

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 H04L12/18 H04L29/06 G06F17/60

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 H04L G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)
EPO-Internal, PAJ, WPI Data, INSPEC, IBM-TDB

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	QUESTIONEXCHANGE (USENET@QUESTIONEXCHANGE.COM): "Re: Sharing data between applets " INTERNET NEWSGROUP, 'Online! 13 April 2000 (2000-04-13), XP002210280 comp.lang.java.programmer Retrieved from the Internet: <URL:http://groups.google.com/> 'retrieved on 2002-08-19! page 2 --- -/--	1-9

[X] Further documents are listed in the continuation of box C.

[X] Patent family members are listed in annex.

° Special categories of cited documents

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

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"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
13 January 2003	23/01/2003

Name and mailing address of the ISA	Authorized officer
European Patent Office, P B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31–70) 340-2040. Tx. 31 651 epo nl, Fax: (+31–70) 340-3016	Bertolissi, E

Form PCT/ISA/210 (second sheet) (July 1992)

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication,where appropriate, of the relevant passages	Relevant to claim No.
A	NETSCAPE COMMUNICATIONS CORPORATION : "JavaScript Guide - Chapeter 5 : LiveConnect" NETSCAPE DEVELOPER WEB SITE, 'Online! 26 November 1997 (1997-11-26), XP002210281 Retrieved from the Internet: <URL:http://developer.netscape.com/docs/manuals/communicator/jsguide4/livecon.htm> 'retrieved on 2002-08-19! the whole document ---	1-9
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INTERNATIONAL SEARCH REPORT

Information on patent family members

Internat[illegible] Application No
PCT/GB 02/02715

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