



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : A61N 1/05	A1	(11) International Publication Number: WO 99/42171 (43) International Publication Date: 26 August 1999 (26.08.99)
(21) International Application Number: PCT/US99/02978 (22) International Filing Date: 11 February 1999 (11.02.99) (30) Priority Data: 09/027,288 20 February 1998 (20.02.98) US (71) Applicant: CARDIAC PACEMAKERS, INC. [US/US]; 4100 N. Hamline Avenue, St. Paul, MN 55112-5798 (US). (72) Inventors: CHASTAIN, Stuart, E.; 3430 Chatsworth Street, Shoreview, MN 55126 (US). TOCKMAN, Bruce, A.; 21788 Pomroy Avenue North, Scandia, MN 55073 (US). WESTLUND, Randy, W.; 2618 - 16th Avenue South, Minneapolis, MN 55407 (US). LIU, Lili; 2799 Rustic Place, Little Canada, MN 55117 (US). (74) Agent: NIKOLAI, Thomas, J.; Nikolai, Mersereau & Dietz, P.A., Suite 820, 900 Second Avenue South, Minneapolis, MN 55402-3813 (US).		(81) Designated States: CA, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i> <i>With amended claims and statement.</i>
(54) Title: CORONARY VENOUS LEAD HAVING FIXATION MECHANISM <div style="text-align: center;"> </div>		
(57) Abstract <p>A body implanted lead (10) for placement in a selected coronary vein includes a resilient retention structure (26) for inhibiting displacement of the lead because of heart beat action, breathing or other body movement. The retention structure includes a plurality of resilient projections (30) that are attached to the lead body, and which are adapted to project at a predetermined acute angle to the axis of the lead body when unconstrained. Prior to being routed through the vascular system, the projections can be bonded (36) to the lead body to provide a low profile with a biodegradable adhesive. Following exposure to body fluids, the adhesive dissolves, releasing the projections so that they can engage the walls of the vein in which the lead is disposed.</p>		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

-1-

CORONARY VENOUS LEAD HAVING FIXATION MECHANISM**BACKGROUND OF THE INVENTION**

I. Field of the Invention: This invention relates generally to a cardiac pacing lead designed for placement
5 in a left coronary vein, and more particularly to such a lead employing tines for holding the distal end portion of the pacing lead in place.

II. Discussion of the Prior Art: Cardiac pacemakers for treating bradycardia commonly employ pacing leads for
10 connecting an electrical pulse generator to excitable cardiac tissue, usually within the heart's right ventricle. Such leads have one or more electrodes proximate the distal end thereof and also commonly employ tines located just distal of the tip electrode for holding that electrode in
15 contact with endocardial tissue in the right ventricle. The tines engage the trabeculae, resisting movement of the lead tip due to body movement and/or contractions of the heart muscle itself.

More recently, researchers have found that cardiac
20 stimulation can have a beneficial effect in treating patients suffering from congestive heart failure (CHF). By properly controlling the AV interval of the pacemaker, a sick heart may be made to pump more efficiently. Pacing therapy for the treatment of CHF, however, often requires
25 the ability to stimulate the left ventricle, either alone or in conjunction with right ventricular stimulation. Current methods for achieving left ventricular pacing require placement of an epicardial lead, via thoracotomy or a thoracoscopic approach. Because of the usual poor
30 condition of CHF patients, both of these procedures are "high risk" due to the trauma of the surgery itself and the need for general anesthesia. To obviate the need for a thoracotomy, left ventricular access (LVA) leads have been developed that may be introduced through the coronary sinus
35 and then advanced through the coronary veins so that the lead's stimulating tip electrode can be positioned on the surface of the left ventricle near the apex of the heart.

-2-

Those skilled in the art knowing the anatomical configuration and dimensions of the coronary veins on the left side of the heart can appreciate that a lead to be routed therethrough must be of a relatively small diameter as compared to a conventional pacing lead adapted for placement in the right ventricle. As such, a means must be provided for at least temporarily anchoring the electrode at a desired selected location until fibrotic attachment and resulting lead stabilization occurs. Heart motion and respiratory motion as well as blood flow or other body movement are typical mechanisms for lead dislodgement. The problem is also deemed to be more acute in CHF patients due to the dilated condition of CHF hearts.

It can be seen, then, that a need exists for a pacing lead that can readily be advanced through the coronary sinus and thence through a coronary vein on the left side of the heart and having an anchoring structure for maintaining the electrode at a desired site notwithstanding heart motion, respiratory motion blood flow and other body movement.

SUMMARY OF THE INVENTION

The present invention comprises an implantable lead for placement in a selected coronary vein. It includes a lead body with at least one electrode carried thereon at a distal portion thereof and an elongated conductor contained within the lead body electrically joining a terminal pin at a proximal end of the lead body to the electrode at its distal end. To temporarily anchor the distal end portion of the lead body within the selected coronary vein until such time that fibrosis can be relied upon for retention, the lead includes a plurality of resilient passive retention structures attached at one end to the lead body and adapted to project at a predetermined acute angle to an axis of the lead body when the resilient retention structures are unconstrained. The retention structures are designed to conform to the anatomy and provide retention by producing a slight amount of friction against the vessel

-3-

wall. the retention structures can be constructed of a resorbable material that can be either molded as part of the lead or attached to the lead body by a collar or similar technique. The structure can be temporarily
5 adhered to the lead body in part or in total. Partial adhesion allows parts of the retention structure to be fixed to lead body for a short period of time to, for example, provide a low profile during lead insertion. The biodegradable adhesive is used to temporarily constrain the
10 retention structure to lie against the lead body until released by the action of body fluids on the biodegradable adhesive following placement of the electrode at the desired site. Total adhesion with a resorbable adhesive allows the lead body to be separated from the retention
15 structure if an attempt is made at a latter date to extract the lead. Alternatively, the retention structure itself can be designed to break away during an extraction procedure.

The resorbable material can be a material such as
20 polydioxanone, polyglactin or poliglecaprone.

DESCRIPTION OF THE DRAWINGS

The foregoing features, objects and advantages of the invention will become apparent to those skilled in the art from the following detailed description of a preferred
25 embodiment, especially when considered in conjunction with the accompanying drawings in which:

Figure 1 is a partial perspective view of a pacing lead designed for placement in a coronary vein;

Figure 2 is a cross-sectional view taken along the
30 line 2-2 in Figure 1;

Figure 3 is a greatly enlarged view of the distal end portion of the lead of Figure 1 showing the retention structures prior to lead placement;

Figure 4 is a view like that of Figure 3 following
35 placement and release of the retention structures;

Figure 5 is a greatly enlarged partial end view of a lead having an alternative anchoring arrangement prior to

-4-

its implantation; and

Figure 6 is a view of the device of Figure 5 at a time following implantation of the lead into the body.

DESCRIPTION OF THE PREFERRED EMBODIMENT

5 Referring to Figure 1, there is indicated generally by numeral 10 a pacing lead specifically designed for placement within a selected coronary vein branch on the epicardium on the left side of the heart. It comprises a lead body 12 having a proximal end 14 and a distal end 16.
10 Affixed to the proximal end of the lead is a terminal 18 adapted to mate with a connector port on a cardiac pacemaker with which the lead is used.

Affixed near to the distal end 16 of the lead is a stimulating electrode 20. While the lead 10 is shown as
15 being a monopolar lead, it is also contemplated that one or more additional electrodes may be provided on the lead body to allow for bipolar pacing and sensing, all as is well known in the art.

As shown in the cross-sectional view of Figure 2, the
20 lead body 12 has an outer coating or jacket 22 of an electrically insulating material covering an electrical conductor 24 that extends the length of the lead body to connect the terminal pin 18 at the proximal end thereof to the electrode 20 at its distal end. Without limitation,
25 the insulating sheath 22 may comprise silicone rubber or other biocompatible polymer. The inner conductor 24 may be a multi-filer helically wound structure or a cable conductor either of which can be fabricated from tantalum, titanium, titanium alloy, stainless steel alloy, cobalt
30 nickel alloy or a combination of these materials. The wire can optionally be clad with a noble metal such as platinum or platinum/iridium alloy.

In accordance with the present invention, there is provided an anchoring means disposed on the distal end
35 portion of the lead and which is identified generally by numeral 26 in Figure 1. As can best be seen in the enlarged view of Figures 3 and 4, the anchoring means 26

-5-

may comprise an annular collar 28 dimensioned to closely surround the O.D. of the lead body and may be attached by means of a permanent or biodegradable adhesive. Alternatively, the anchoring means may be integral to lead.

5 The free ends of the retention structure 30 may be adhesively bonded to the lead body 12, using a biodegradable adhesive 32, so that the retention structures are constrained to lie generally parallel to the longitudinal axis of the lead. The adhesive is such that
10 when exposed to body fluids, it will release within a matter of minutes, allowing the resilient retention structures to deploy to the position shown in Figure 4 so that the anchoring device exerts forces against the vein walls to adequately secure the lead in the desired implant
15 site.

The retention structures are designed such that their natural state is in the expanded condition shown in Figure 4 and yet to have the appropriate geometric configuration and material properties to easily collapse along the lead
20 body as shown in Figure 3. This facilitates advancement of the lead through the vasculature or through any catheters which may be employed during lead deployment.

The retention structures may be comprised of a soft, biocompatible polymer, such as silicone rubber, of
25 approximately 50 shore A durometer. Other materials which we have found suitable as retention structure material include filaments made from poliglecaprone, polyglactin, polydioxanone or other bioresorbable polymer. The number of surface projections or filaments comprising the
30 retention structure can range from one to six but are not limited to this number. They extend from the surface of the lead 28 at an angle less than 90°, but generally greater than 20°, depending on the anticipated size of the venous vessel in which it is to be implanted. The length of the
35 projections may vary as well, ranging from 0.025 in. to about 0.200 in., again depending on the size of the vessel in which the lead is to be implanted, the thickness and

-6-

durometer of the material used to fabricate the projections. The projections may also be attached as a loop or loops rather than as single or multiple strands. Alternatively, the projections or filaments may be
5 helically wound around the lead body.

Often when cardiac pacing leads require extraction, it occurs within weeks of original implantation. In the embodiment where the retention structure is not integral to the lead body, but constitutes an attachment, such as a
10 collar, the biodegradable adhesive used to affix the collar 28 to the lead body 12 may be of a slower release time than the adhesive adhering the tips of the retention structures 30 to the lead body. For example, while the adhesive joining the free ends of the retention projections to the
15 lead body may release within a matter of minutes, the adhesive used to join the collar 28 to the lead body may remain active for a period of several weeks. As such, a controlled timely detachment of the anchoring structure from the lead can be achieved. The adhesive, over time, is
20 resorbed by the body, releasing the lead from the anchoring mechanism. This allows the lead to be more readily removed from a vein should that become necessary. By fabricating the collar 28 and the retention structures 30 from a resorbable polymer, the anchoring structure may reabsorb or
25 may be left behind following lead removal and would ultimately be absorbed or degraded by the body but at a substantially slower rate than the resorbable adhesive that is used to attached the fixation feature to the lead.

Figures 5 and 6 illustrate a further embodiment of the
30 invention in which the retention structures comprise resilient arches or bows 34 affixed to the polymer jacket 22 comprising the lead body 12. In Figure 5, the arch is shown as being collapsed against the lead body 12 and held in place by a resorbable polymer adhesive as at 36. The
35 polymer adhesive is designed to release following exposure to body fluids within a relatively short predetermined time interval, such as five minutes. This permits the lead to

-7-

be routed through the vasculature with the retention projections in a collapsed form and the electrode 20 placed at a desired site within a vein branch on the left side of the heart. When the adhesive bond 36 releases, the
5 resilient property of the polymer allows the retention structures to expand against the wall of the vein branch with a desired predetermined force.

It is also contemplated that these retention structures 34 be resorbable over time. As a further
10 feature, a steroid additive may be added to the polymer comprising the retention structures and which is released during degradation to provide therapeutic activity. The steroid may also reduce encapsulation of the electrode so that less energy need be delivered by the pulse generator
15 in order to ensure capture of the myocardial tissue.

This invention has been described herein in considerable detail in order to comply with the patent statutes and to provide those skilled in the art with the information needed to apply the novel principles and to
20 construct and use such specialized components as are required. However, it is to be understood that the invention can be carried out by specifically different equipment and devices, and that various modifications, both as to the equipment and operating procedures, can be
25 accomplished without departing from the scope of the invention itself.

What is claimed is:

-8-

CLAIMS

1. In a body implantable lead for placement in a selected coronary vein, the lead being of a type having a lead body with at least one electrode carried by the lead
5 body on a distal end portion thereof and an elongated conductor contained within the lead body and electrically connected to the one electrode, the improvement comprising:

means for temporarily anchoring the distal end portion of the lead body within the selected coronary vein,
10 said anchoring means including resilient retention structures attached at one end to the lead body and adapted to project at a predetermined acute angle to an axis of the lead body when unconstrained.

2. The implantable lead of Claim 1 wherein the
15 retention structure are of a sufficient length and resiliency to engage a wall of the selected coronary vein with a force sufficient to resist movement of the electrode carried by the lead but insufficient to distort the wall of the selected coronary vein.

20 3. The implantable lead of Claim 2 wherein the retention structures are made of a resorbable material.

4. The implantable lead as in Claim 1 wherein the retention structures comprise a filament.

25 5. The implantable lead as in Claim 4 wherein the filament is a resorbable material.

6. The implantable lead as in Claim 1 wherein the retention structures are integral to lead body.

7. The implantable lead as in Claim 1 wherein the retention structures comprise a plurality of resilient
30 filamentary projections affixed to an annular collar disposed about the lead body, the collar being secured to the lead body by an adhesive material.

8. The implantable lead as in Claim 7 wherein the adhesive material is biodegradable.

35 9. The implantable lead as in Claim 7 wherein a biodegradable adhesive is used for temporarily constraining the plurality of resilient filamentary projections to lie

-9-

closely against the lead body.

10. The implantable lead of Claim 3 wherein the resorbable material is selected from a group consisting of polydioxanone, polyglactin and poliglecaprone.

5 11. The implantable lead of Claim 7 wherein the plurality of filamentary projections are affixed at opposed ends thereof to the lead body and form an arch when unconstrained.

10 12. The implantable lead of Claim 11 wherein the arch structure is resorbable.

13. The implantable lead of Claim 11 wherein the arch structure is adhered to the lead body by a bioresorbable adhesive to allow it to lie flat during implantation.

15 14. The implantable lead of Claim 1 wherein the retention structure is affixed in spiral configuration around the lead body.

15. The implantable lead of Claim 14 wherein the retention structure is resorbable.

20 16. The implantable lead of Claim 14 wherein the retention structure is adhered to the lead boy by a bioresorbable adhesive.

AMENDED CLAIMS

[received by the International Bureau on 02 June 1999 (02.06.99);
original claims 1-16 replaced by amended claims 1-11 (2 pages)]

CLAIMS

1. In a body implantable lead for placement in a selected coronary vein, the lead being of a type having a lead body with at least one electrode carried by the lead
5 body on a distal end portion thereof and an elongated conductor contained within the lead body and electrically connected to the one electrode, the improvement comprising:
means for temporarily anchoring the distal end portion of the lead body within the selected coronary vein,
10 said anchoring means including a plurality of resilient filamentary projections affixed to an annular collar disposed about the lead body, the collar being secured to the lead body by a biodegradable adhesive material at one end to the lead body and said filamentary projections
15 adapted to project at a predetermined acute angle to an axis of the lead body when unconstrained.
2. The implantable lead of Claim 1 wherein the filamentary projections are of a sufficient length and resiliency to engage a wall of the selected coronary vein
20 with a force sufficient to resist movement of the electrode carried by the lead but insufficient to distort the wall of the selected coronary vein.
3. The implantable lead of Claim 2 wherein the filamentary projections are made of a resorbable material.
- 25 4. The implantable lead as in Claim 1 wherein a biodegradable adhesive is used for temporarily constraining the plurality of resilient filamentary projections to lie closely against the lead body.
5. The implantable lead of Claim 3 wherein the
30 resorbable material is selected from a group consisting of polydioxanone, polyglactin and poliglecaprone.
6. The implantable lead of Claim 1 wherein the plurality of filamentary projections are affixed at opposed ends thereof to the lead body and form an arch when
35 unconstrained.
7. The implantable lead of Claim 6 wherein the arch structure is resorbable.

8. The implantable lead of Claim 6 wherein the arch structure is adhered to the lead body by a bioresorbable adhesive to allow it to lie flat during implantation.

5 9. The implantable lead of Claim 1 wherein the filamentary projections are affixed in spiral configuration around the lead body.

10. The implantable lead of Claim 9 wherein the filamentary projections are resorbable.

10 11. The implantable lead of Claim 9 wherein the filamentary projection are adhered to the lead body by a bioresorbable adhesive.

STATEMENT UNDER ARTICLE 19(1)

The replacement pages 8 and 9 containing claims 1-11 are intended to replace the originally submitted pages 8 and 9 containing claims 1-16. The claims contain modifications intended to clarify and more concisely describe the invention, including differences from references cited. These claims are consistent with the claims of the application to which this application claims priority.

The newly presented amended claims are believed to be within the scope of the invention described in the specification and, in addition to capturing the inventive concept more concisely, the amended claims enhance the definition over the reference cited in category "X" in the International Search Report.

The International Search Authority found dependent Claim 8 to be novel and possessing an inventive step and, that by the present amendment the limitations of Claim 8, including intermediate Claim 7, have been incorporated into Claim 1 making Claim 1 patentable. Claims 4-8 have been canceled and Claims 9 and 11 have been amended to claim their dependency to Claim 1 and that Claims 14-16 have been amended to provide an antecedent basis back to Claim 1.

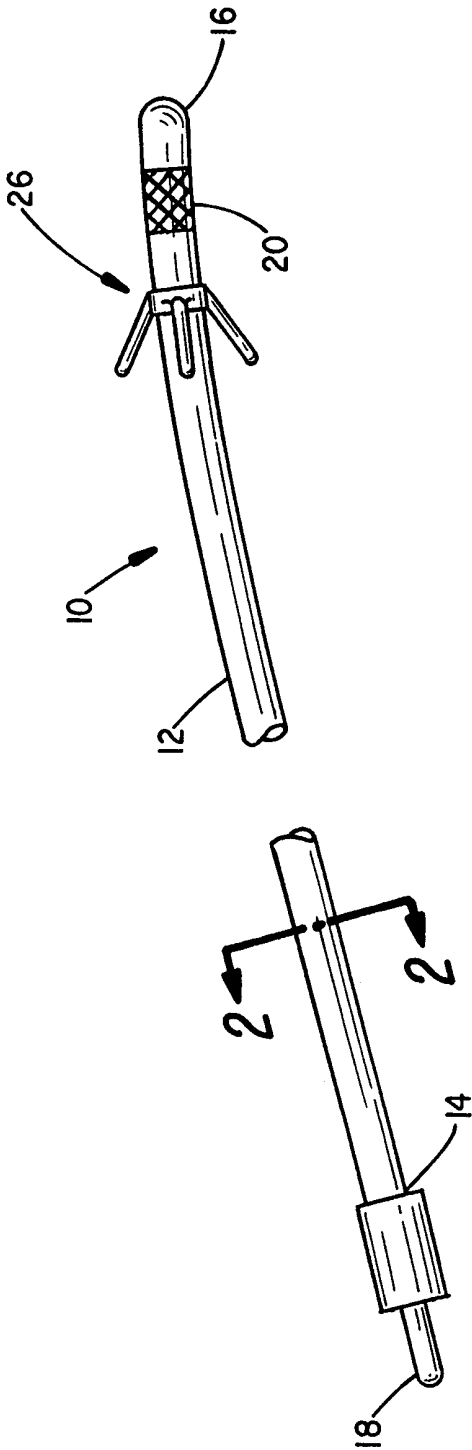


FIG. 1

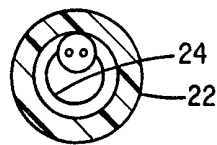


FIG. 2

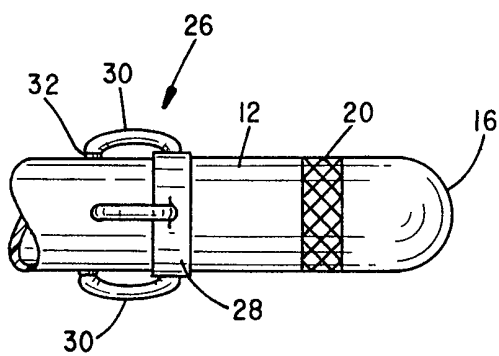


FIG. 3

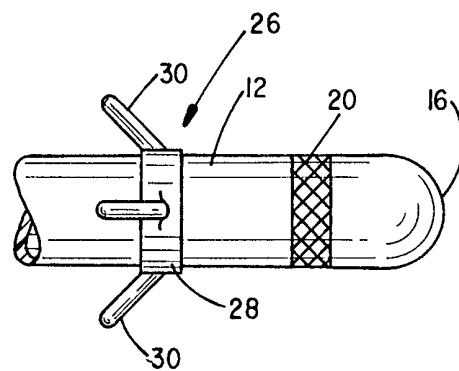


FIG. 4

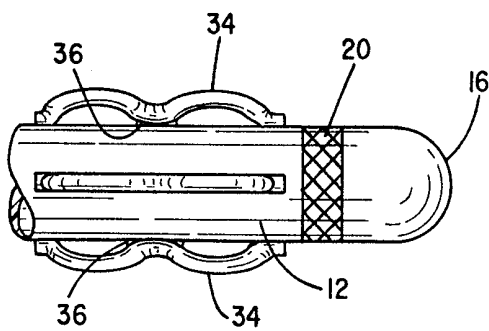


FIG. 5

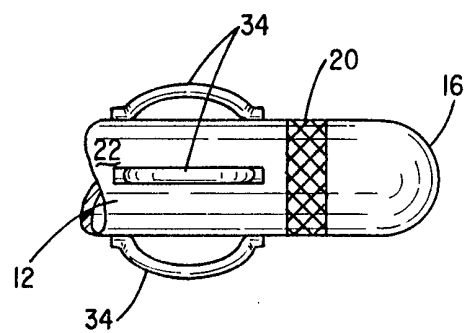


FIG. 6

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US99/02978

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :A61N 1/05

US CL :607/128

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 607/122, 126-131

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	US 3,902,501 A (CITRON et al) 02 September 1975, entire document.	1, 2, 4, 6, 7, --- ----- 3, 5, 9, 10
X --- Y	US 4,407,303 A (AKERSTROM) 04 October 1983, entire document.	1-6, 14, 15 ----- 7, 9, 10
X --- Y	US 4628944 A (MACGREGOR et al) 16 December 1986, entire document.	1-6 ----- 10

☐ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
E earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*G* document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means	
P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

18 MARCH 1999

Date of mailing of the international search report

08 APR 1999

 Name and mailing address of the ISA/US
 Commissioner of Patents and Trademarks
 Box PCT
 Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

GEORGE EVANISKO

Telephone No. (703) 308-2612