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(54) **METHODS FOR IMPROVING SEED PRODUCTION IN MAIZE**

VERFAHREN ZUR VERBESSERUNG EINER MAISSAATPRODUKTION

PROCÉDÉS D'AMÉLIORATION DE PRODUCTION DE GRAINES DANS DU MAÏS

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- **RAJNI ET AL: "Effect of chemical defoliation on  
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**EP 2 765 845 B1**

## Description

### FIELD

**[0001]** The discovery is in the field of plant breeding and improvement, related specifically to a production method which provides advantages, for example, by speeding harvest and increasing the number or proportion of saleable seeds produced.

### BACKGROUND

**[0002]** Commercial seed production is a process that involves many steps. In the case of corn (maize), for example, inbred plants must first be crossed in a field under conditions that allow the pollen from the male parent to fertilize the silks on the ear of the female parent in order to produce the hybrid seeds that will be sold to the farmer for further planting. The quality of this hybrid seed impacts its value in the marketplace.

**[0003]** Many factors can affect the quality of hybrid seed. For example, variations in the moisture content, size, shape, or integrity of the harvested crop at the upstream end of the process may influence the effectiveness of each further stage of the hybrid seed production process. Substantial variability in the end product may then result and is undesirable. Further, variations in harvested seed at any stage of production may require recalibration of equipment or other operational adjustments to accommodate the variations. Further still, adjustments to any operating parameter at one step may result in undesirable effects and/or further adjustments at another step. For example, gravity tables may require a relatively consistent flow rate in order to function properly, and hence use of surge bins may be needed in order to equalize flow rates.

**[0004]** Accordingly, there is a need in the art for improved systems and methods of seed production which result in increased quantity or proportion of saleable seed while retaining or improving their germination ability.

**[0005]** The present discoveries relate to these seed production techniques and in particular a technique wherein the plant is treated to induce defoliation at a particular time in development. In certain embodiments, the timing of treatment is based on the moisture content of the developing seed. When a plant is defoliated at the appropriate stage, production of saleable seed is maximized. Seed that is either too large or too small is rejected during post-harvest production operations. Therefore, producing more seed of the commercially desired size and/or shape increases productivity per ear and per field. Defoliating a maize plant at the optimal time based on moisture content of the seed provides improved control of seed size and/or shape, leading to increased productivity in numerous aspects.

**[0006]** Further, seed produced using the disclosed defoliation techniques exhibits improved performance in stressful growing conditions. Seeds from defoliated

plants display improved germination and emergence rates, particularly under stress and more uniform stand establishment and higher grain yield relative to seed produced on non-defoliated plants.

**[0007]** Improved plant productivity is advantageous due to the widespread use of maize as human food, livestock feed, and as raw material in industry. The food uses of maize, in addition to human consumption of maize kernels, include both dry- and wet-milling industries. The principal products of maize dry milling are grits, meal and flour. The maize wet-milling industry can provide maize starch, maize syrups, and dextrose for food use. Maize oil is recovered from maize germ, which is a by-product of both dry- and wet-milling industries. Maize, including both grain and non-grain portions of the plant, is also used extensively as livestock feed, primarily for beef cattle, dairy cattle, hogs, and poultry.

**[0008]** Industrial uses of maize include production of ethanol, maize starch in the wet-milling industry and maize flour in the dry-milling industry. The industrial applications of maize starch and flour are based on functional properties, such as viscosity, film formation, adhesive properties and ability to suspend particles. The maize starch and flour have application in the paper and textile industries. Other industrial uses include applications in adhesives, building materials, foundry binders, laundry starches, explosives, oil-well muds and other mining applications.

**[0009]** Plant parts other than the grain of maize are also used in industry: for example, stalks and husks are made into paper and wallboard and can be used to make cellulosic ethanol. Cobs are used for bedding, for fuel, and to make charcoal.

### SUMMARY

**[0010]** Certain embodiments provide a method of improving production in a maize seed field wherein at least one maize plant is defoliated when the moisture content of the maize plant's developing seed is at or about 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69 or 70% and the plant is harvested when the moisture content of its developing seed is at or about 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45%.

**[0011]** Certain embodiments provide a means for determining the optimum time for defoliation of an inbred. Factors in the determination include, but are not limited to, environment, genetics and product being utilized.

**[0012]** Use of properly-timed defoliation provides numerous advantages which are discussed throughout this document, including the Figures and the Examples. These advantages include, and are not limited to, greater number of seeds per pound; increased volume or proportion of saleable seed per field and per female acre; decreased discard of seed due to commercially undesirable size or shape; lower moisture content of seed at

harvest; earlier harvest date; less fuel and time expended in drying seed for storage; improved performance in laboratory tests for germination at cold temperatures; improved seed treatment efficacy; improved emergence under stress in field conditions; improved plantability in mechanical systems; more uniform stand and improved grain yield.

#### BRIEF DESCRIPTION OF THE DRAWINGS

##### [0013]

Figure 1 - The top bar chart shows that across 472 seed corn fields of various hybrids, the defoliation treatment ("DEFOL" or "DEFOL application" or "DEFOL treatment") resulted in an average of 1821 seeds per pound, which is an increase of 266 kernels, or 17%, over the untreated check ("DEFOL CHECK"), which yielded an average of 1555 seeds per pound. The difference in kernels per pound between DEFOL and untreated was significant ( $P < 0.001$ ).

The bottom bar chart shows that across 472 seed corn fields in the U.S. Midwest, the DEFOL treatment increased the proportion of saleable seed by approximately 3.4 percentage points. The graph shows the untreated samples had a saleable seed portion of 87.1 % whereas the seed harvested from the DEFOL treated area had 90.5% saleable seed. The difference in saleable seed was significant in that  $P < 0.0001$ .

Figure 2 - The upper left bar chart depicts the difference in percent large-seed discard (seed greater than 23/64" round hole screen) between two treatments from 472 seed fields across the U.S. Midwest. The percent large-seed discard decreased from 10.9% to 5.7% with the use of DEFOL, for an overall decrease of large seed discard of 5.2 percentage points. The difference in large seed discard between the two treatments was significant in that  $P < 0.0001$ . The upper right bar chart depicts the difference in percent small-seed discard (seed less than 16.5/64" round hole screen) between the two treatments of the seed collected from 472 seed fields across the U.S. Midwest. The percent small seed discard increased from 2.1% in the untreated check ("DEFOL CHECK") to 3.9% ("DEFOL"), for an overall increase of 1.8 percentage points. The difference in small-seed discard percent was significant in that  $P < 0.0001$ . The lower left bar chart depicts total size-based discard, i.e. seed too large to sell (large-seed discard) and seed too small to sell (small-seed discard), across the 472 seed fields in the U.S. Midwest. Total size-based discard decreased from 13% in the untreated check area to 9.5% in the DEFOL treated area, for an overall decrease in discard seed of 3.5 percentage points. The difference in total discard seed between the two treatments was significant in

that  $P < 0.0001$ .

Figure 3 - This graph depicts the difference in kernels per pound for seed from the DEFOL treated area and seed from the untreated area across 472 seed fields in the U.S. Midwest. In 95% of the 472 seed fields, the number of kernels per pound ("KERLB") was greater in the DEFOL treated area than in the untreated area.

Figure 4 - This graph represents the correlation, and provides a prediction, between the kernels per pound of seed in the untreated area and kernels per pound of seed in the treated area. The regression equation provides an estimate of the kernels per pound following a DEFOL treatment made at about 60% developing-seed moisture, based on kernels per pound of the untreated inbred. For example, if an inbred typically produces seed of 1500 kernels per pound, a DEFOL application at around 60% grain moisture would increase the number of kernels per pound to 1766  $((1.0382 \times 1500) + 208.26)$ .

Figure 5 - This graph depicts the difference in harvest grain moisture in the seed from the treated area ("DEFOL") and that of the untreated ("UNT") area from 313 seed fields in the U.S. Midwest. Bars below the 0 x-axis line indicate that the seed in the DEFOL treated area was of lower grain moisture than the seed in its corresponding untreated area.

Figure 6 - This chart depicts the % field emergence (crop establishment) for treated ("DEFOL") and untreated ("CHECK") seeds in stress and non-stress field locations. Each of the bars represents the average % emergence for 16 Pioneer hybrids. Treated and untreated seeds from each hybrid were produced in the same seed fields in 2010 (see, Example 1). Seeds were planted in the field in spring 2011. Locations were considered stressful ("Stress locs") if the average emergence of all hybrids was 85% or less or if the soil temperature remained at 10°C or below for two weeks after planting. Locations where average % emergence of all hybrids was greater than 85% were considered "Non-stress locs". The following locations were considered "Stress locs": Eau Claire, WI; Janesville, WI; Schuyler, NE; Minburn, IA; Flandreau, SD. "Non-stress" locs were Johnstown, ND and Coteau du Lac, Canada.

Figure 7 - This bar chart depicts the % field emergence (crop establishment) for treated ("DEFOL") and untreated ("CHECK") seeds from eight Pioneer hybrids. Treated and untreated seeds from each hybrid were produced in the same seed fields in 2010 (see, Example 1). Seeds were planted in spring 2011 at the following locations: Eau Claire, WI; Janesville, WI; Schuyler, NE; Minburn, IA; Flandreau, SD. The bars represent the average % emergence across all locations.

Figure 8 - This bar chart depicts the yield in bushels per acre for treated ("DEFOL") and untreated ("CHECK") seeds in two field locations. Each of the

bars represents the average yield for 15 Pioneer hybrids. Treated and untreated seeds from each hybrid were produced in the same seed fields in 2010 (see, Example 1). Seeds were planted in spring 2011.

#### DETAILED DESCRIPTION

**[0014]** The embodiments and aspects discussed herein are described in conjunction with systems, tools and methods which are meant to be exemplary and illustrative, and not limiting in scope. In various embodiments, one or more of the above-described problems have been reduced or eliminated, while other embodiments are directed to other improvements.

**[0015]** Field crops are bred through techniques that take advantage of the plant's method of pollination. In the practical application of a chosen breeding program, the breeder often initially selects and crosses two or more parental lines, followed by repeated selfing and selection, thereby producing many unique genetic combinations. The breeder can theoretically generate billions of different genetic combinations via crossing, selfing and mutagenesis. Therefore, it is highly unlikely that two breeders will independently develop the same variety having the same traits.

**[0016]** In each cycle of evaluation, the plant breeder selects the germplasm to advance to the next generation. This germplasm is grown under chosen geographical, climatic and soil conditions and optionally subjected to testing for stress tolerance, insect resistance and other traits. Further selections are then made during and after the growing season.

**[0017]** Production techniques are used to manage the propagation of the maize hybrid, seed for which will be sold into the marketplace. A male inbred and female inbred are generally planted in a field with one or more female rows per male row. Plants in the female row are detassled prior to their producing pollen, thus causing the pollen from the male row to fertilize the ears in the female row. Alternatively, the female may be male sterile and not produce pollen. In any case, the result is that pollen from the male plant fertilizes the ear on the female plant, thus producing hybrid seed. This seed is then harvested.

**[0018]** Harvest techniques can have a significant effect on the quality of the seed. For example, harvest must occur at the right time, when the seed is of the appropriate size and developmental stage. Some techniques, such as those disclosed herein, can be used to increase seed quality at or near the time of harvest and/or during post-harvest storage and handling. These quality attributes may include one or more of the following: greater number of seeds per pound; increased volume or proportion of saleable seed per field and per female acre; decreased discard of seed for commercially undesirable size or shape; lower moisture content of seed at harvest; earlier harvest date; less fuel and time expended in drying seed for storage, which also reduces investment in dryer ca-

capacity; improved seed integrity during post-harvest treatments due to reduced embryo exposure in flatter seeds; improved performance in laboratory tests for germination at cold temperatures or under other stressful conditions; more uniform coverage of seeds by chemical seed treatments, thereby improving efficacy of the treatment; reduced weight of the industry-standard 80,000-kernel bag of seed, providing ergonomic advantages for an aging farmer population; improved plantability in mechanical systems; improved emergence under stress in field conditions; more uniform stand establishment and improved grain yield.

**[0019]** In one embodiment, the instant disclosure provides optimized treatment of the female inbred carrying the ear comprising the hybrid seed, in order to produce a greater number or greater proportion of saleable seed. Saleable seed is that which, among other traits, is viable and is of commercially desirable size and shape.

**[0020]** The defoliation treatment comprises hastening the browning of leaf material and stopping or significantly reducing the manufacture of photosynthates and/or the movement of photosynthates from the leaf to the ear. This can be accomplished through, for example, spraying the production field with any of a number of salt or herbicide defoliant solutions, including but not limited to IG-NITE®, diquat, paraquat, glyphosate and sodium chlorate[LATA1]. Alternatively or additionally, defoliation may occur by mechanical means, by manual means, or by any combination of manual, mechanical and/or chemical means. In certain embodiments more than one defoliation treatment may occur. The claimed process is not dependent on the method in which the plant is defoliated. Methods are applicable to male-sterile as well as male-fertile plants. A control plant or control field or control treatment will correspond to the test plant or field except that it does not receive the defoliation treatment.

**[0021]** Previous defoliation methods were designed to improve seed vigor without reducing seed size. See, for example, US Patent Number 6,162,974, at Column 4: "The size of seeds in a maize seed harvest is an important commercial consideration because larger seed size is preferred in the marketplace." In contrast, current defoliation methods are designed to impact seed size and shape, deliberately producing smaller, flatter, more uniform seed.

**[0022]** Also, previous defoliation methods relied on Growing Degree Days (GDD) or Growing Degree Units (GDU) to determine an appropriate time for defoliation. Impact of GDD on plant maturation varies across inbreds (as shown in Table 1 of US Patent Number 6,162,974). In addition, GDD must be recalculated each day of each year and the interaction between GDD and plant maturation is complicated by such factors including but not limited to soil type and precipitation. Thus a defoliation method based on GDD is subject to environmental variability.

**[0023]** In contrast, seed moisture level is a stable characteristic, consistent for an inbred across locations and

across years even with varying weather conditions and therefore is more reliably predictive of the optimum time for defoliation. Determination of the optimum seed moisture level at which to cause defoliation is accomplished through extensive testing and calibration of the effects of defoliation, encompassing variables of genetics, treatment type, timing of treatment and environmental conditions.

**[0024]** Use of properly-timed defoliation based upon seed moisture content provides numerous advantages which include, and are not limited to, greater number of seeds per pound; increased volume or proportion of saleable seed per field and per female acre; decreased discard of seed due to commercially undesirable size or shape; lower moisture content of seed at harvest; earlier harvest date; less fuel and time expended in drying seed for storage; improved performance in laboratory tests for germination at cold temperatures; improved seed treatment efficacy; improved emergence under stress in field conditions; improved plantability in mechanical systems; more uniform stand; fewer runt plants and improved grain yield.

**[0025]** The key factors in that determination (inbred choice) are the starting seed size (kernels per pound) and the stress emergence ability of the female (germination). The seed size is a more critical component since defoliation can make seeds too small leading to unacceptable levels of discard.

**[0026]** The other component is choosing inbreds that are likely to respond to defoliation by producing hybrids with higher germination and crop establishment, especially under stressful conditions. Choosing these inbreds involves characterizing the stress emergence trait for hybrids with these inbreds as females. This is accomplished by evaluating the material in a combination of laboratory germination tests and early-planted field tests. The combined data is used to assign a stress emergence score which characterizes the ability of the material to emerge under stressful conditions. Inbreds that are predicted to produce hybrids with below average stress emergence scores are considered as defoliation candidates.

**[0027]** As used herein and in the appended claims, the singular forms "a", "an", and "the" include plural reference unless the context clearly dictates otherwise. Thus, for example, reference to "a plant" includes a plurality of such plants, reference to "a cell" includes one or more cells, reference to "a seed" includes plurality of such seeds and equivalents thereof known to those skilled in the art, and so forth.

**[0028]** "Determining" or "determination" refers to measuring, assessing, evaluating, estimating, monitoring and/or predicting.

**[0029]** "Defoliant" is any compound that will slow or stop the production of photosynthates, and/or movement of photosynthates from the leaf to the ear, and includes but is not limited to herbicides and salt compositions that may kill the plant. These compositions can also include surfactants.

**[0030]** "Defoliation" means removal, destruction, or desiccation of a substantial proportion of leaf tissue of a plant, generally at least 50%, up to and including 100%. Defoliation can occur by chemical or physical (mechanical and/or manual) means, or by any combination of such means. A combination of physical and chemical means of defoliation may be particularly advantageous in conditions such as drought which may otherwise result in poor pollination and development of a small number of large kernels.

**[0031]** The term "emerge" or "emergence" refers to the appearance of a seedling shoot above the germination medium, for example the soil surface in a field.

**[0032]** The term "emergence rate" refers to a percentage of planted seeds that emerge. For example, 80% emergence rate indicates 80 of 100 planted seeds emerge.

**[0033]** "Gene" refers to a nucleic acid fragment that expresses a specific protein, including regulatory sequences preceding (5' non-coding sequences) and following (3' non-coding sequences) the coding sequence. "Native gene" refers to a gene as found in nature with its own regulatory sequences. "Chimeric gene" refers to any gene that is not a native gene, comprising regulatory and coding sequences that are not found together in nature. Accordingly, a chimeric gene may comprise regulatory sequences and coding sequences that are derived from different sources, or regulatory sequences and coding sequences derived from the same source, but arranged in a manner different than that found in nature. A "foreign" gene refers to a gene not normally found in the host organism, but that is introduced into the host organism by gene transfer. Foreign genes can comprise native genes inserted into a non-native organism, or chimeric genes. A "transgene" is a gene that has been introduced into the genome by a transformation procedure.

**[0034]** The term "germination" refers to the initial stages in the growth of a seed to form a seedling. A seed is considered germinated if it shows signs of radicle (root) or shoot protrusion or if the emerging seedling structures meet specific criteria such as those of the International Rules for Seed Testing (International Seed Testing Association, ISTA) or the Association of Official Seed Analysts, Inc., AOSA).

**[0035]** The term "germinated" may refer to a seed that has produced a viable plant seedling with or without exposure to light in a germination chamber, growth cabinet, greenhouse or the field.

**[0036]** The term "germination rate" or "germination percentage" refers to a percentage of planted seeds that emerge. For example, 80% emergence rate indicates 80 of 100 planted seeds emerge.

**[0037]** A "hybrid plant" or "hybrid progeny" is an individual produced from genetically different parents (i.e., a genetically heterozygous or mostly heterozygous individual). Typically, the parents of a hybrid differ in several important respects. Hybrids are often more vigorous than either parent, but they cannot breed true.

**[0038]** The term hybrid variety refers to a substantially heterozygous hybrid line and minor genetic modifications thereof that retain the overall genetics of the hybrid line including but not limited to a locus conversion, a mutation or a somoclonal variant.

**[0039]** "Increased germination under stressed conditions" as used herein is a measure of the seed's ability to germinate under stressed conditions, including but not limited to conditions such as cold, saturated soils, drought, flooding and heat, as compared to a seed of matching genetics germinating under the same stressed conditions.

**[0040]** A "plant" can be a whole plant, any part thereof, or a cell or tissue culture derived from a plant. Thus, the term "plant" can refer to any of: whole plants, plant components or organs (e.g., leaves, stems, roots, etc.), plant tissues, seeds, plant cells and/or progeny of the same. A plant cell is a cell of a plant, taken from a plant or derived through culture from a cell taken from a plant. Plant cells include, without limitation, cells from seeds, suspension cultures, embryos, meristematic regions, callus tissue, leaves, roots, shoots, gametophytes, sporophytes, pollen and microspores.

**[0041]** The term "progeny" refers to the descendants of a particular plant (self cross) or pair of plants (cross-pollinated). The descendants can be, for example, of the  $F_1$ , the  $F_2$ , or any subsequent generation.

**[0042]** The term "runt(s)" refers to a plant or seedling that is visually smaller than the neighboring plants in the field. A plant is considered a runt if it is one or two leaf stages behind the average for the surrounding plants, or if its height is reduced by one third or more compared to surrounding plants. The terms "runt(s)" and "uniformity" are often used to describe similar phenotypes whereby fewer runts are associated with increased uniformity of seed emergence or stand establishment and vice versa.

**[0043]** "Seed" as used herein refers to a hybrid or inbred plant part comprising an embryo.

**[0044]** "Stand establishment" as used herein is the ability of a group of seeds to emerge and form normal seedlings, commonly under field conditions. Stand establishment may also be referred to as plant stand or crop establishment.

**[0045]** "Stress", "stressed conditions" and related terms refer to any factors that decrease plant growth and reproduction below the plant's genotypic potential including, but are not limited to, cold soil, flooding (submergence), cold rain, frost, snow, soil compaction, and excessive residue from previous crops. Stress tolerance refers to the ability of a plant or crop species to withstand stress.

**[0046]** "Seed Moisture" can be determined in a number of ways, but often an Ohaus® Moisture Balance is employed and used as per manufacturer instructions. Seed moisture is an important measure as it indicates maturation of the seed and affects susceptibility to some diseases. Other methods of determining seed moisture include, but are not limited to, but are not limited to, incu-

bator-drying, drying cabinets, forced air drying, oven drying, microwave drying, sun drying and drying over saturated salt solutions (see, Abendroth, et al., (2011) PMR 1009, Corn Growth and Development, Iowa State University and Winston and Bates, (1960) Ecology 41:232-237.) Various desiccants may be used in combination with seed drying methods. Seeds may be dried at a range of temperatures, including room temperature and ambient outdoor temperature, with or without air circulation.

**[0047]** The present disclosure further relates to transgenic plant cells and transgenic plants transformed to contain and express a polynucleotide. "Transformed", "transfected" or "transgenic" refers to a cell, tissue, organ, or organism into which has been introduced a foreign nucleic acid, such as a recombinant vector. Preferably, the introduced nucleic acid is integrated into the genomic DNA of the recipient cell, tissue, organ or organism such that the introduced nucleic acid is inherited by subsequent progeny. A "transgenic" or "transformed" cell or organism also includes progeny of the cell or organism and progeny produced from a breeding program employing such a "transgenic" plant as a parent in a cross and exhibiting an altered phenotype resulting from the presence of a recombinant construct or vector. Various methods of plant transformation are currently known and available. For example, the introduction of DNA sequences into plants and/or plant cells can be accomplished by Agrobacterium-mediated transformation, viral vector mediated transformation, electroporation and microprojectile bombardment mediated transformation (particle gun or biolistics methods). The DNA sequence may also be transformed directly into the plastid genome by plastid transformation. As used herein, the term "plastid" means the class of plant cell organelles that includes amyloplasts, chloroplasts, chromoplasts, elaioplasts, eoplasts, etioplasts, leucoplasts and proplastids. These organelles are self-replicating, and contain what is commonly referred to as the "chloroplast genome," a circular DNA molecule that ranges in size from about 120 to about 217 kb, depending upon the plant species, and which usually contains an inverted repeat region.

**[0048]** Transgenic events can include traits for herbicide resistance, drought, yield, oil content of the seed and starch content of the seed, carbon partitioning within the seed, insecticide resistance or any one or more of myriad other traits.

**[0049]** Seed treatments and coatings may be used on seeds produced using the disclosed methods. Use of seed coatings and treatments is known in the art; see, for example, US Patent Number 5,876,739. The combination of the defoliation treatment and the seed treatment/coating may provide desirable additive effects. Although it is believed that seed treatments can be applied to a seed in any physiological state, it is preferred that the seed be in a sufficiently durable state that no damage is incurred during the treatment process. Typically, the seed would be a seed that had been harvested from the

field, removed from the plant and separated from any other non-seed plant material. The seed would preferably also be biologically stable to the extent that the treatment would cause no biological damage to the seed. Better coverage with the seed treatment formulation occurs with the smaller, flatter seed produced by the methods disclosed herein; in turn, better coverage can provide improved efficacy. In one embodiment, for example, the treatment can be applied to seed corn that has been harvested, cleaned and dried to a moisture content below about 15% by weight. In an alternative embodiment, the seed can be one that has been dried and then primed with water and/or another material and then re-dried before or during the treatment. Within the limitations just described, it is believed that the treatment can be applied to the seed at any time between harvest of the seed and sowing of the seed.

**[0050]** As used herein, the term "unsown seed" is meant to include seed at any period between the harvest of the seed and the sowing of the seed in the ground for the purpose of germination and growth of a plant.

**[0051]** The term "yield" refers to the productivity per unit area of a particular plant product of commercial value. For example, yield of maize is commonly measured in bushels of seed per acre or metric tons of seed per hectare per season. Yield is affected by both genetic and environmental factors. "Agronomics", "agronomic traits", and "agronomic performance" refer to the traits (and underlying genetic elements) of a given plant variety that contribute to yield over the course of growing season. Individual agronomic traits include emergence vigor, vegetative vigor, stress tolerance, disease resistance or tolerance, herbicide resistance, branching, flowering, seed set, seed size, seed density, standability, threshability and the like. Yield is, therefore, the final culmination of all agronomic traits.

**[0052]** Agronomically and commercially important products and/or compositions of matter, including but not limited to animal feed, commodities and seed products and by-products that are intended for use as food for human consumption or for use in compositions that are intended for human consumption, including but not limited to flour, meal, syrup, oil, starch, foods containing seeds or seed parts and seed by-products, and the like are contemplated if these products and compositions of matter are derived from or obtained directly from a seed produced using methods of the present invention. Such products and/or compositions are also referred to herein as biological samples. The biological samples can be derived from the plant, the plant tissue, or the seed produced by the plant.

**[0053]** Although the foregoing invention has been described in some detail by way of illustration and example for purposes of clarity of understanding, it will be obvious that certain changes and modifications may be practiced within the scope of the appended claims.

## EXAMPLES

**[0054]** The examples described herein are meant to be representative and as examples of the discoveries, and are not limiting to the scope of the claims.

### Example 1: Implementation of defoliation treatment

**[0055]** In 2010, within 472 seed fields across the Midwest United States, entire fields were treated with Defol® 750 (sodium chlorate from Drexel Chemical Company) according to manufacturer's direction and an untreated check strip area was left in each field (i.e., untreated test strip was not sprayed with defoliating agent). The check strip area was the width of a spray boom and the length of the seed field within a representative area of the seed field. Defol® 750 was applied at 55-60% seed moisture which was determined using an Ohaus® MB35 moisture balance. After treatment, seed was allowed to dry naturally in the field until approximately at or near physiological maturity (~32% seed moisture). One to two days prior to machine harvest of the field, all harvestable ears from 12 consecutive plants in 3 different areas of each treatment (DEFOL, untreated check) were husked and placed in burlap bags. A tag indicating location, field number, and treatment was placed on the outside and inside of the bag. A harvestable ear was described as an ear equal to or greater than 4 inches in length with more than 30 kernels. Ears with more than 25% damage from disease or insects were not included. Burlap bags were transported to the local production facility and dried in the lower tunnels of the large production dryers for 3 to 4 days (or until approximately 12% seed moisture). Seed moisture at the time of harvest was determined from the samples collected from each treatment area using a DICKEY-john® GAC® moisture tester.

**[0056]** A small plot sheller (AEC small batch sheller) was used to shell seed from the cob. Once shelled, the seed was passed over a sample sizer equipped with screens of 23/64 inch round holes (RH) and 16.5/64 inch RH. Seed that remained on top of the 23/64" RH screen is considered too large to sell (large-seed discard). Seed that passes through the 16.5/64 RH screen is considered too small to sell and is discarded (small-seed discard). Seed that passes through the 23/64" RH screen but remains on top of the 16.5/64" RH screen is considered saleable seed in terms of size and shape. From the saleable seed, the number of kernels in 0.25 pounds of the saleable seed was counted using a bowl seed counter to determine kernels per pound. Statistical analysis was performed using T-test procedures of the Statistical Analysis System (SAS Inst., Cary NC). Uniform seed, and particularly flatter, smaller, uniform seed, are preferred for ease and efficiency of treating, packaging, shipping, handling and planting. In addition, seeds that are less round have reduced incidence of "raised-embryo" syndrome. Raised embryos increase susceptibility to physical damage during seed conditioning and



handling.

**[0057]** In addition, defoliation treatment hastens maturation and dry-down so that harvest can typically occur 4 to 5 days earlier than the untreated check under typical harvest conditions and up to 14 days earlier under cooler weather conditions. This is advantageous in preventing field losses due to inclement weather. It also reduces fuel and dryer capacity required for post-harvest drying.

Example 2: Defoliation treatment produces seed with improved stand establishment and final grain yield

**[0058]** Hybrid seeds produced from fields treated with Defol® 750 and from untreated check strips were planted in seven field locations in spring 2011. Seeds from defoliated and check hybrids were produced in the same seed fields in 2010. Seeds were planted between April 12 and May 18 in Eau Claire, WI, Janesville, WI, Schuyler, NE, Minburn, IA, Flandreau, SD, Johnstown, ND and Coteau du Lac, Canada. Crop emergence was evaluated at the V3 to V4 stage in all locations and emergence was recorded as the percent of planted seeds that emergence and produced normal seedlings. For example, if 30 seeds were planted and 21 seeds emerged, emergence is reported at 70%.

**[0059]** A location was considered stressful if the average emergence of all hybrids was 85% or less or if the soil temperature remained at 10°C or below for two weeks after planting. Locations where average % emergence of all hybrids was greater than 85% were considered non-stressful. The following locations were considered stressful: Eau Claire, WI; Janesville, WI; Schuyler, NE; Minburn, IA; Flandreau, SD. Collectively these locations had average emergence of 74%. Non-stressful locations were Johnstown, ND and Coteau du Lac, Canada, which together averaged 91% emergence. On average, seeds from defoliated hybrids had 10% higher emergence in stressful locations and 4% higher emergence in non-stressful locations, compared to non-defoliated checks (FIG 6). Figure 7 shows the difference in % emergence for eight individual defoliate and check hybrids in the test.

**[0060]** Yield data was collected at the Schuyler, NE and Minburn, IA. Fifteen hybrids produced from defoliation and check treatments were harvested by hand at approximately 18% moisture and the data was pooled across the two locations, on average, seeds from defoliated hybrids had approximately 14 additional bushels per acre at the Schuyler location and approximately 23 additional bushels per acre at the Minburn location.

## Claims

1. A method of increasing production of saleable maize seed from a hybrid seed production field, comprising:

(i) determining the moisture content of the seed; and

(ii) treating said field with a defoliation means, wherein timing of the treatment is based on the moisture content of the seed, wherein the % seed moisture is between 35% and 70% at the time of treating.

2. A method of developing maize seed from a hybrid seed production field wherein said maize seed has increased germination percent, emergence rate and/or increased uniformity of emergence under field conditions, wherein said method comprises:

(i) determining the moisture content of the seed; and

(ii) treating said field with a defoliation means, wherein timing of the treatment is based on the moisture content of the seed, wherein the % seed moisture is between 35% and 70% at the time of treating.

3. The method of Claim 2, wherein said seed is grown under stressed field conditions.

4. The method of any one of Claims 1 to 3, wherein defoliation is by chemical means.

5. The method of any one of Claims 1 to 3, wherein multiple defoliation treatments are made.

6. The method of any one of Claims 1 to 3, wherein the proportion of flat seed is increased.

7. The method of any one of Claims 1 to 3, wherein the number of kernels per pound is increased.

8. The method of any one of Claims 1 to 3, wherein the harvested seed exhibits, relative to a control, one or more of: earlier harvest; reduced moisture at harvest; reduced post-harvest dry-down requirement; greater size consistency; improved germination percent or emergence rate in laboratory stress tests; improved mechanical plantability; increased emergence percent or emergence rate in field conditions; more uniform emergence in field conditions; fewer runt or stunted seedlings; and increased grain yield.

9. The method of any one of Claims 1 to 3, wherein the seed is harvested at between 20% and 45% moisture.

10. The method of any one of Claims 1 to 3, wherein the % seed moisture is between 50% and 70% at the time of treating.

11. The method of any one of Claims 1 to 3, wherein the plant comprises a transgenic event conferring a trait selected from the group consisting of male sterility, site-specific recombination, abiotic stress tolerance,

altered phosphorus, altered antioxidants, altered fatty acids, altered essential amino acids, altered carbohydrates, herbicide resistance, insect resistance and disease resistance.

12. A method of reducing the amount of discarded seed from a maize seed production field, comprising:

- (i) determining the moisture content of the seed; and
- (ii) treating said field with a defoliation means, wherein timing of the treatment is based on the moisture content of the seed, wherein the % seed moisture is between 35% and 70% at the time of treating.

13. The method of Claim 12, wherein discard for large seed and/or small seed is decreased.

#### Patentansprüche

1. Verfahren zur Erhöhung der Produktion von verkäuflichem Maissaatgut aus einem Hybrid-Saatgutproduktionsfeld, wobei das Verfahren Folgendes umfasst:

- (i) Bestimmen des Feuchtigkeitsgehalts des Saatguts und
- (ii) Behandeln des Feldes mit einem Entblätterungsmittel, wobei der zeitliche Ablauf der Behandlung auf dem Feuchtigkeitsgehalt des Saatguts basiert, wobei die prozentuale Saatgutfeuchtigkeit zum Zeitpunkt der Behandlung zwischen 35 % und 70 % beträgt.

2. Verfahren zur Entwicklung von Maissaatgut aus einem Hybrid-Saatgutproduktionsfeld, wobei das Maissaatgut eine erhöhte Keimungsprozentrate, Wachstumsrate und/oder eine erhöhte Gleichförmigkeit des Wachstums unter Feldbedingungen aufweist, wobei das Verfahren Folgendes umfasst:

- (i) Bestimmen des Feuchtigkeitsgehalts des Saatguts und
- (ii) Behandeln des Feldes mit einem Entblätterungsmittel, wobei der zeitliche Ablauf der Behandlung auf dem Feuchtigkeitsgehalt des Saatguts basiert, wobei die prozentuale Saatgutfeuchtigkeit zum Zeitpunkt der Behandlung zwischen 35 % und 70 % beträgt.

3. Verfahren nach Anspruch 2, wobei das Saatgut unter belasteten Feldbedingungen angebaut wird.

4. Verfahren nach einem der Ansprüche 1 bis 3, wobei die Entblätterung durch chemische Mittel erfolgt.

5. Verfahren nach einem der Ansprüche 1 bis 3, wobei mehrere Entblätterungsbehandlungen durchgeführt werden.

6. Verfahren nach einem der Ansprüche 1 bis 3, wobei der Anteil an flachem Saatgut erhöht wird.

7. Verfahren nach einem der Ansprüche 1 bis 3, wobei die Anzahl der Kerne pro Pfund erhöht wird.

8. Verfahren nach einem der Ansprüche 1 bis 3, wobei das geerntete Saatgut in Bezug auf eine Kontrolle eines oder mehrere von Folgendem aufweist: eine frühere Ernte, reduzierte Feuchtigkeit bei der Ernte, reduzierte Nacherntetrocknungsanforderungen, größere Größenkonsistenz, verbesserte Keimungsprozentrate oder Wachstumsrate bei Laborbelastungstests, verbesserte mechanische Pflanzbarkeit, erhöhte Wachstumsprozentrate oder Wachstumsrate bei Feldbedingungen, einheitlicheres Wachstum bei Feldbedingungen, weniger Zwergenwuchs oder verkümmerte Sämlinge und erhöhte Kornausbeute.

9. Verfahren nach einem der Ansprüche 1 bis 3, wobei das Saatgut bei zwischen 20 % und 45 % Feuchtigkeit geerntet wird.

10. Verfahren nach einem der Ansprüche 1 bis 3, wobei die prozentuale Saatgutfeuchtigkeit zum Zeitpunkt der Behandlung zwischen 50 % und 70 % beträgt.

11. Verfahren nach einem der Ansprüche 1 bis 3, wobei die Pflanze ein transgenes Ereignis umfasst, das ein Merkmal verleiht, das aus der Gruppe ausgewählt wird, die aus Folgendem besteht: männliche Sterilität, ortsspezifische Rekombination, abiotische Stresstoleranz, veränderter Phosphor, veränderte Antioxidantien, veränderte Fettsäuren, veränderte essentielle Aminosäuren, veränderte Kohlenhydrate, Herbizidresistenz, Insektenresistenz und Krankheitsresistenz.

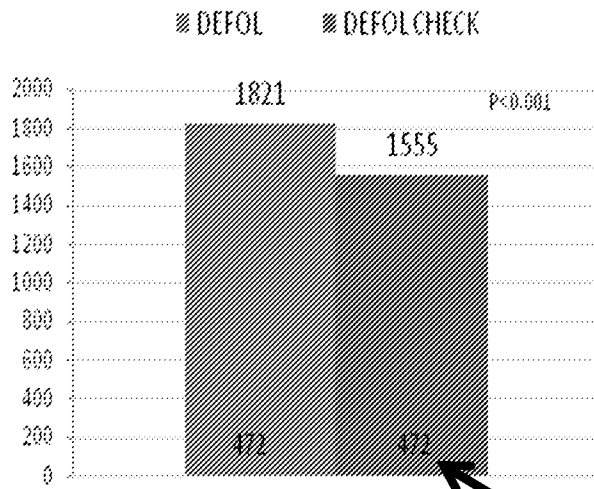
12. Verfahren zur Verringerung der Menge an verworfenem Saatgut aus einem Maissaatgutproduktionsfeld, wobei das Verfahren Folgendes umfasst:

- (i) Bestimmen des Feuchtigkeitsgehalts des Saatguts und
- (ii) Behandeln des Feldes mit einem Entblätterungsmittel, wobei der Zeitpunkt der Behandlung auf dem Feuchtigkeitsgehalt des Saatguts basiert, wobei die prozentuale Saatgutfeuchtigkeit zum Zeitpunkt der Behandlung zwischen 35 % und 70 % beträgt.

13. Verfahren nach Anspruch 12, wobei die Verwerfung für großes Saatgut und/oder kleines Saatgut verringert wird.

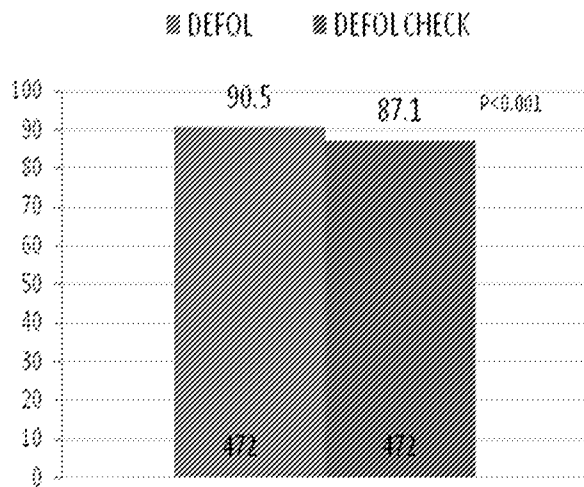
## Revendications

1. Procédé consistant à augmenter la production de semences de maïs commercialisables provenant d'un champ de production de semences hybrides, comprenant :
  - (i) la détermination de la teneur en humidité de la semence ; et
  - (ii) le traitement dudit champ par un moyen de défoliation, le calendrier de traitement reposant sur la teneur en humidité de la semence, le taux d'humidité de la semence en pourcentage étant compris entre 35 % et 70 % au moment du traitement.
2. Procédé de développement de semences de maïs provenant d'un champ de production de semences hybrides, dans lequel ladite semence de maïs présente un pourcentage accru de germination, du taux d'émergence et/ou un accroissement de l'uniformité d'émergence dans des conditions de plein champ, ledit procédé comprenant :
  - (i) la détermination de la teneur en humidité de la semence ; et
  - (ii) le traitement dudit champ par un moyen de défoliation, le calendrier de traitement reposant sur la teneur en humidité de la semence, le taux d'humidité de la semence en pourcentage étant compris entre 35 % et 70 % au moment du traitement.
3. Procédé selon la revendication 2, dans lequel les semences sont cultivées dans des conditions de stress des champs.
4. Procédé selon l'une quelconque des revendications 1 à 3, dans lequel la défoliation s'effectue par des moyens chimiques.
5. Procédé selon l'une quelconque des revendications 1 à 3, dans lequel de multiples traitements de défoliation sont réalisés.
6. Procédé selon l'une quelconque des revendications 1 à 3, dans lequel la proportion de grains plats est augmentée.
7. Procédé selon l'une quelconque des revendications 1 à 3, dans lequel le nombre de noyaux par livre (0,45 kg) est augmenté.
8. Procédé selon l'une quelconque des revendications 1 à 3, dans lequel la semence récoltée montre, par rapport à un témoin, un ou plusieurs des signes suivants : récolte précoce ; humidité réduite lors de la récolte ; besoin d'assèchement réduit après la
- récolte ; régularité de plus grande taille ; pourcentage de germination ou taux d'émergence accru dans des tests de résistance réalisés en laboratoire ; plan-tabilité mécanique améliorée ; pourcentage d'émer-gence ou taux d'émergence accru dans des condi-tions de plein champ ; émergence plus uniforme dans des conditions de plein champ ; moins de se-mis sous-développés ou malformés ; et un rende-ment en grains augmenté.
9. Procédé selon l'une quelconque des revendications 1 à 3, dans lequel la semence est récoltée à un pour-centage d'humidité compris entre 20 % et 45 %.
10. Procédé selon l'une quelconque des revendications 1 à 3, dans lequel le taux d'humidité des semences en pourcentage est compris entre 50 % et 70 % au moment du traitement.
11. Procédé selon l'une quelconque des revendications 1 à 3, dans lequel la plante comprend un événement transgénique conférant une caractéristique choisie dans le groupe constitué par : stérilité mâle, recom-binaison spécifique au site, tolérance au stress abio-tique, phosphore altéré, antioxydants altérés, acides gras altérés, acides aminés essentiels altérés, glu-cides altérés, résistance aux herbicides, résistance aux insectes et résistance aux maladies.
12. Procédé de réduction de la quantité de semences rejetées provenant d'un champ de production de se-mences de maïs, comprenant :
  - (i) la détermination de la teneur en humidité de la semence ; et
  - (ii) le traitement dudit champ par un moyen de défoliation, le calendrier de traitement reposant sur la teneur en humidité de la semence, le taux d'humidité des semences en pourcentage étant compris entre 35 % et 70 % au moment du trai-tement.
13. Procédé selon la revendication 12, dans lequel le rejet de gros grains et/ou de petits grains est diminué.



*KERLB*  
increased

Number of  
data points to  
generate



↑ *% Saleable  
Seed*

FIGURE 1

# Discard

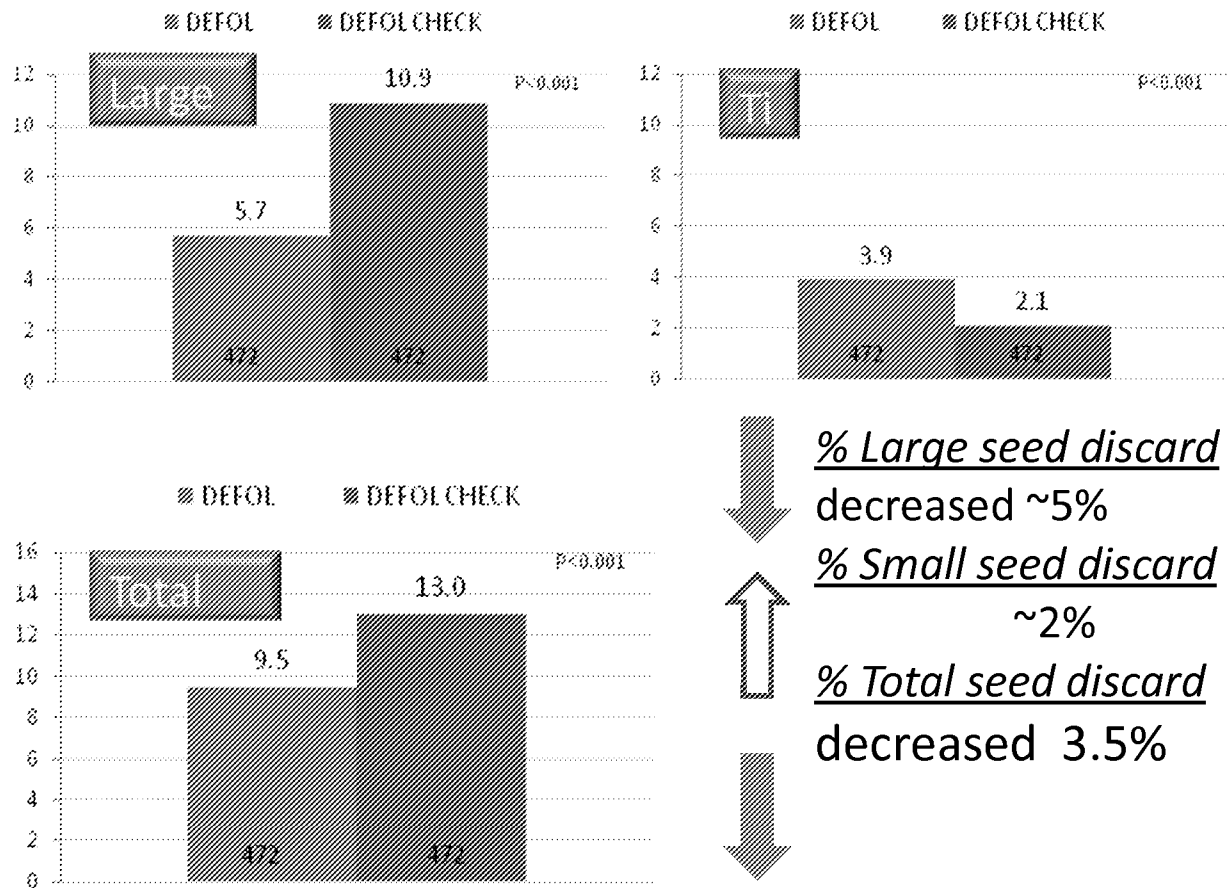


FIGURE 2

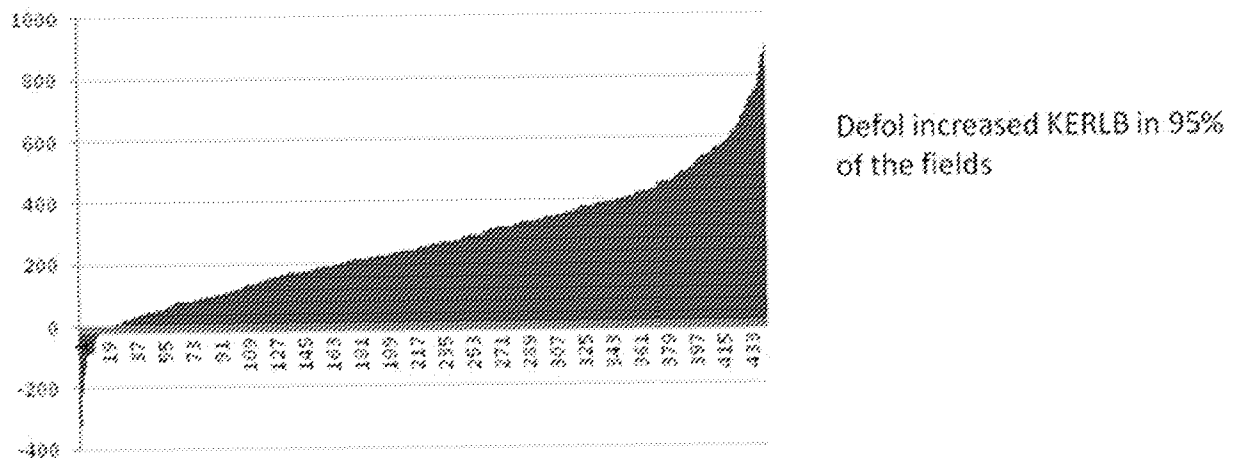


FIGURE 3

# Estimate KERLB

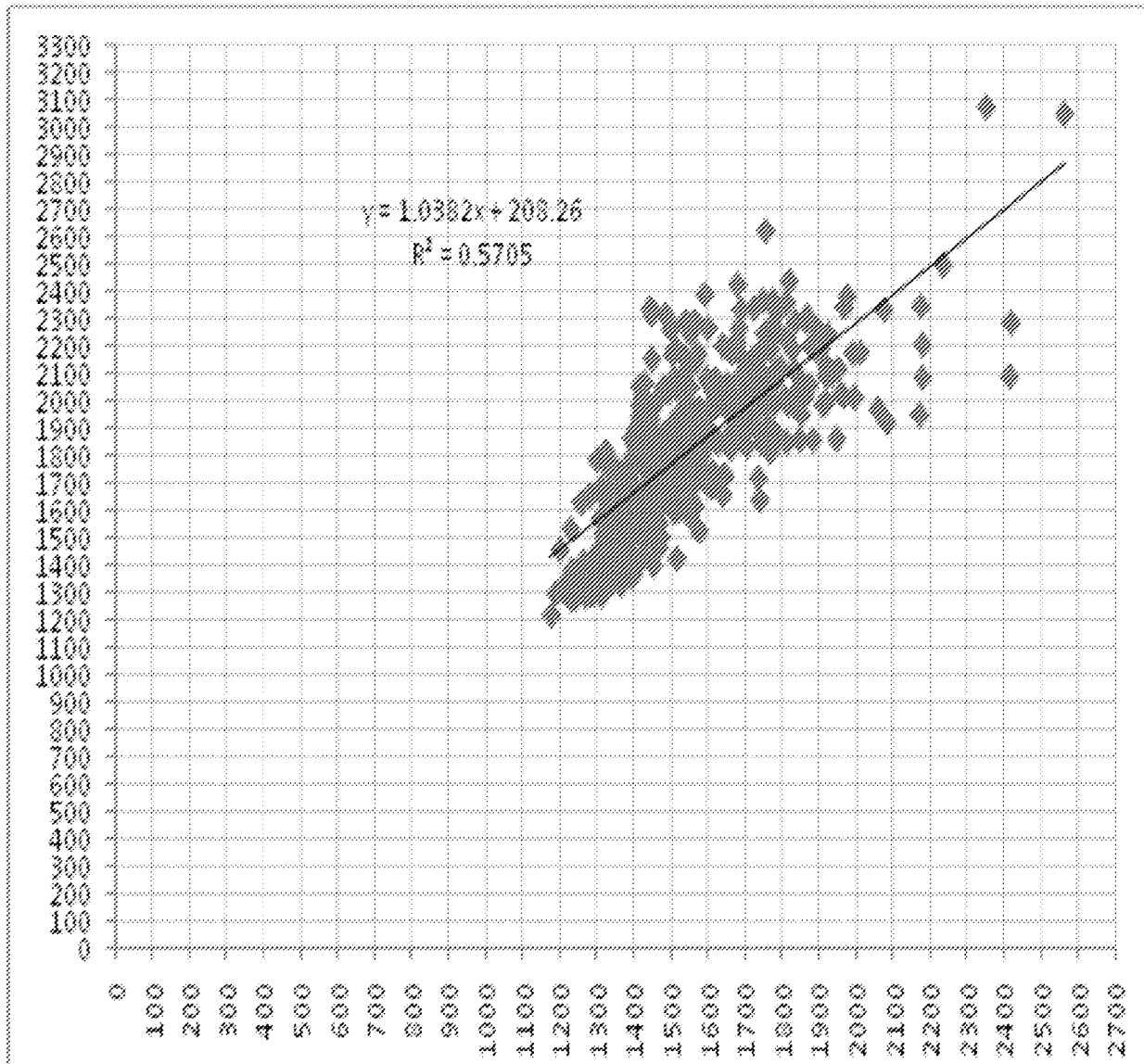


FIGURE 4

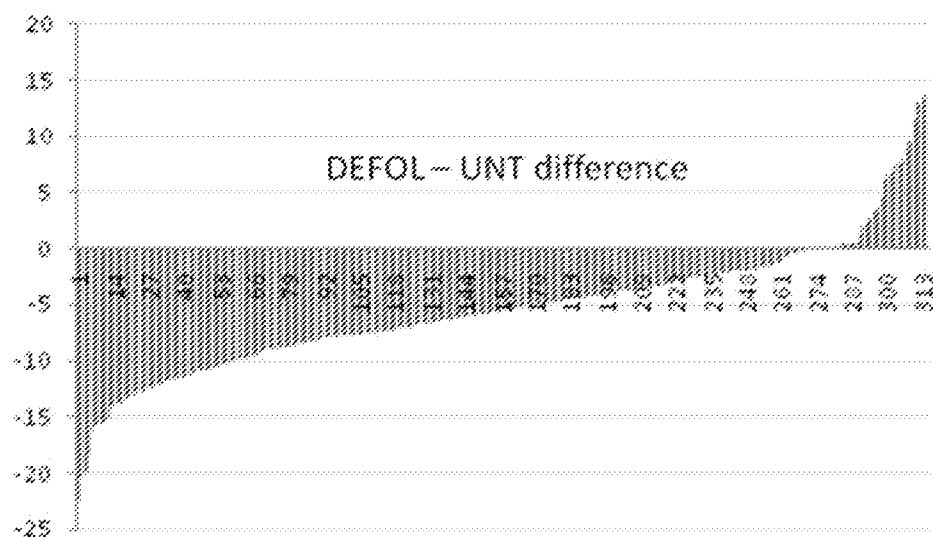


FIGURE 5



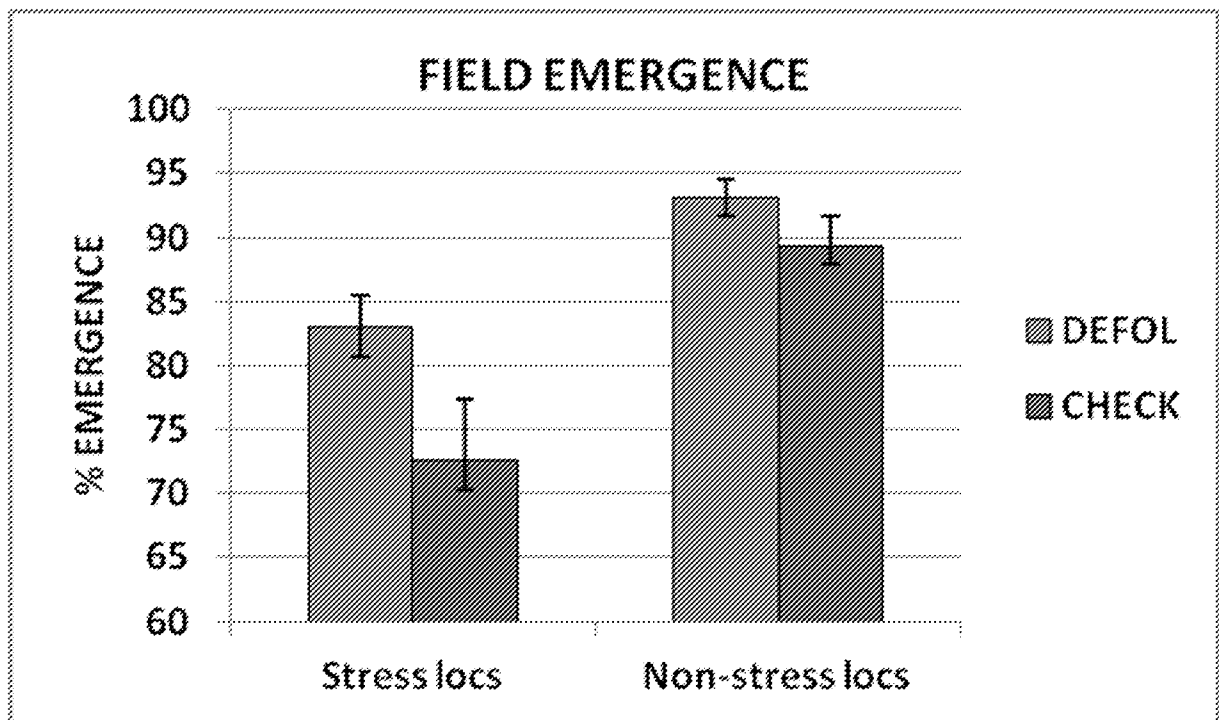
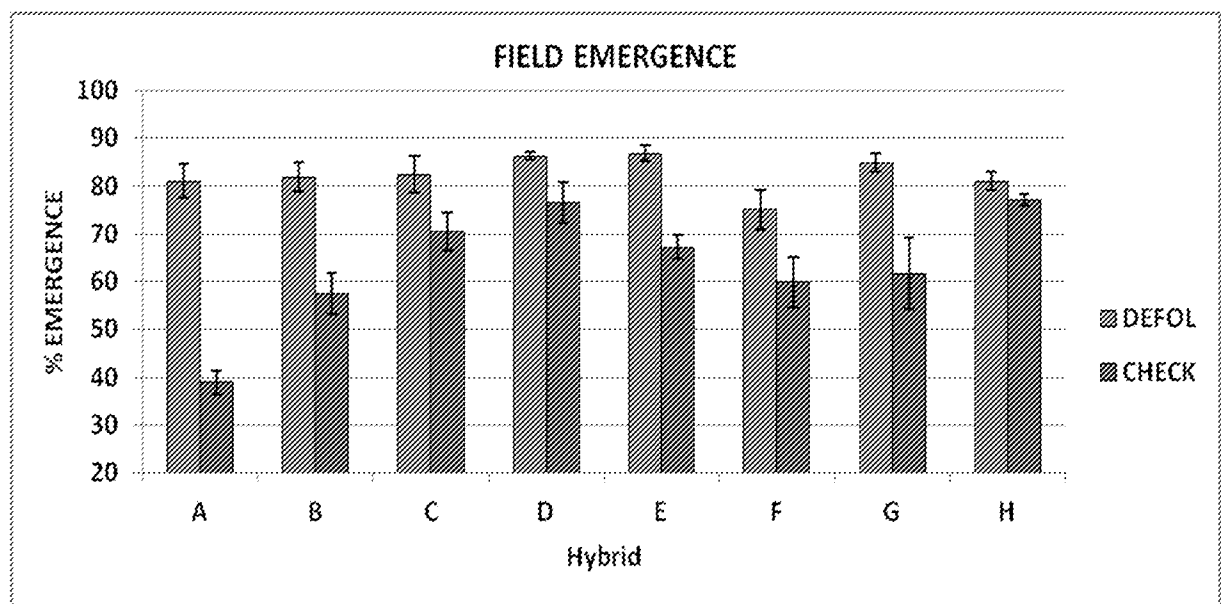


FIGURE 6



**FIGURE 7**

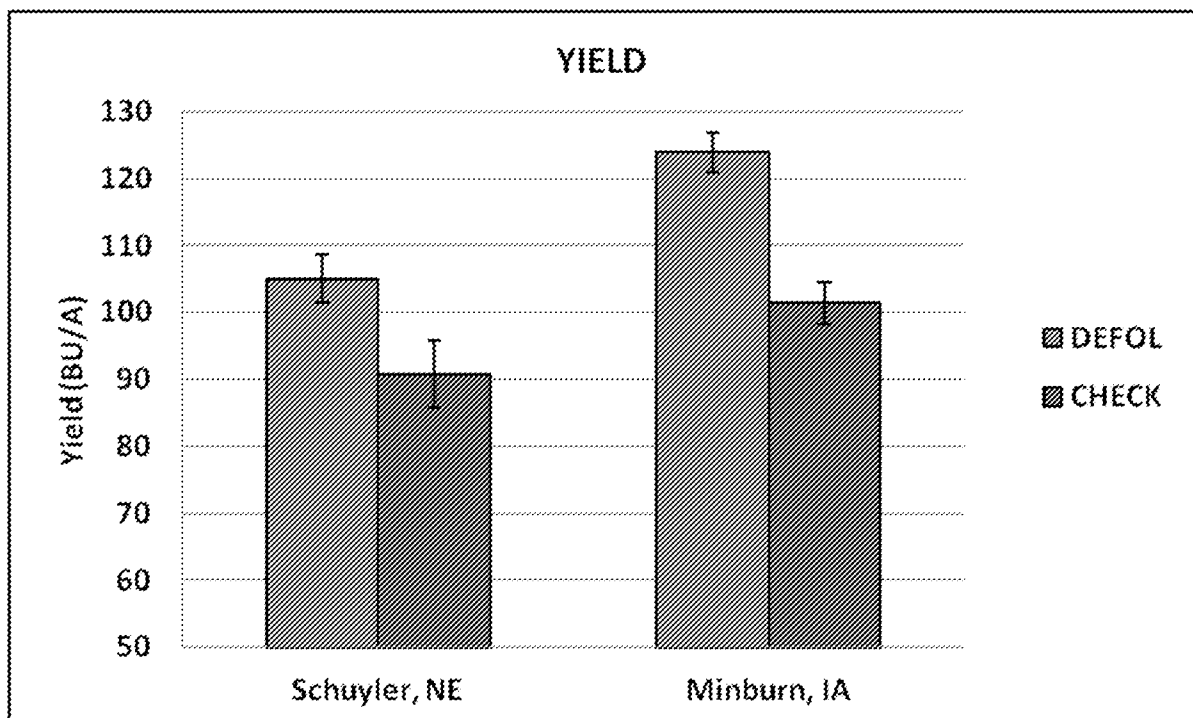


FIGURE 8

REFERENCES CITED IN THE DESCRIPTION

*This list of references cited by the applicant is for the reader's convenience only. It does not form part of the European patent document. Even though great care has been taken in compiling the references, errors or omissions cannot be excluded and the EPO disclaims all liability in this regard.*

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- US 6162974 A [0021] [0022]
- US 5876739 A [0049]

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- **ABENDROTH et al.** PMR 1009, Corn Growth and Development. Iowa State University, 2011 [0046]
- **WINSTON ; BATES.** *Ecology*, 1960, vol. 41, 232-237 [0046]

MÓDSZEREK VETŐMAGTERMELÉS JAVÍTÁSÁRA KUKORICÁBAN  
SZABADALMI IGÉNYPONTOK

1. Módszer értékesíthető kukorica vetőmag termelésére hibrid vetőmagtermelő területen, amely módszer magában foglalja:
  - (i) a vetőmag nedvességtartalmának meghatározását; és
  - (ii) az említett terület kezelését levélvesztés okozása végett, a kezelés időzítése a vetőmag nedvességtartalmán alapul úgy, hogy a mag nedvességtartalma 35% és 70% közötti a kezelés ideje alatt.
2. Módszer kukorica vetőmag javítására hibrid vetőmagtermelő területen, az említett kukorica vetőmag megnövekedett csírázóképesseggel, kikelési aránnyal és/vagy szántóföldi körülmények között megnövekedett kikelési egyenletességgel rendelkezik, valamint az említett módszer magában foglalja:
  - (i) a vetőmag nedvességtartalmának meghatározását; és
  - (ii) az említett terület kezelését levélvesztés okozása végett, a kezelés időzítése a vetőmag nedvességtartalmán alapul úgy, hogy a mag nedvességtartalma 35% és 70% közötti a kezelés ideje alatt.
3. A 2. igénypontban meghatározott módszer, amelyben az említett vetőmagot terhelő szántóföldi körülmények között növesztik.
4. Az 1–3. igénypontok bármelyike által meghatározott módszer, amelyben a levélvesztést kémiai úton okozzák.
5. Az 1–3. igénypontok bármelyike által meghatározott módszer, amelyben több levélvesztésre irányuló kezelést végeznek.
6. Az 1–3. igénypontok bármelyike által meghatározott módszer, amelyben megnövelt a lapos frakcióba tartozó szemek aránya.
7. Az 1–3. igénypontok bármelyike által meghatározott módszer, amelyben megnövelt a kilogrammonkénti csíraszám.
8. Az 1–3. igénypontok bármelyike által meghatározott módszer, amelyben kontrolhoz képest a betakarított vetőmagok a következők közül egy vagy több tulajdonsággal rendelkeznek: előrehozott betakarítás; csökkentett nedvességtartalom betakarításkor; csökkentett betakarítás utáni szárítási követelmények; nagyobb szemméret-azonosság; jobb csírázási százalék vagy kikelési arány laboratóriumi terheléses tesztek folyamán; jobb mechanikus ültethetőség; megnövelt kikelési százalék vagy kikelési arány szántóföldi körülmények között; egységesebb kikelés szántóföldi körülmények között; kevesebb csenevész vagy koros palánta; és megnövekedett szemtermés.
9. Az 1–3. igénypontok bármelyike által meghatározott módszer, amelyben a vetőmagokat 20% és 45% nedvességtartalom közötti értéknél takarítják be.
10. Az 1–3. igénypontok bármelyike által meghatározott módszer, amelyben kezeléskor a vetőmagok nedvességtartalma 50% és 70% közötti.
11. Az 1–3. igénypontok bármelyike által meghatározott módszer, amelyben a növény rendelkezik egy transzgénikus eseménnyel, amely himsterilitás, helyspecifikus rekombináció, abiotikus stressztűrő, módosított foszfortartalom, módosított antioxidáns-tartalom, módosított zsírsavtartalom, módosított alapvető



SZTNH-100077026

aminsavtartalom, módosított szénhidráttartalom, gyomirtószer-ellenállás, rovarellenállás és betegség-ellenállás csoportjából kiválasztott tulajdonsággal látja el a növényt.

12. Módszer a kukorica termőföldről nyert magok közül a kiselejtezetek számának csökkentésére, a módszer magában foglalja:

- (i) a vetőmag nedvességtartalmának meghatározását; és
- (ii) az említett terület kezelését levévesztés okozása végett, a kezelés időzítése a vetőmag nedvességtartalmán alapul úgy, hogy a mag nedvességtartalma 35% és 70% közötti a kezelés ideje alatt.

13. A 12. igénypont szerinti módszer, amelyben kiselejtezték nagy magok és/vagy kis magok aránya csökkentett.