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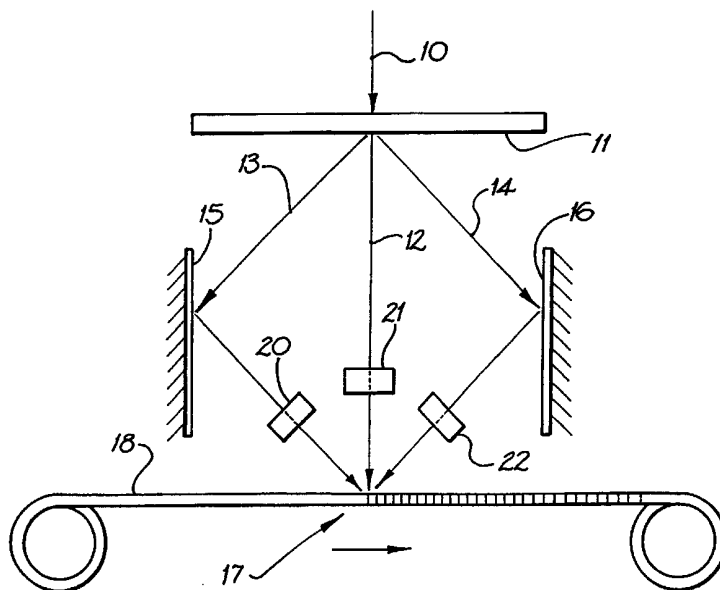
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(54) Title: METHOD OF WRITING GRATING STRUCTURES



(57) Abstract: A grating structure is written in a photosensitive waveguide (17) by dividing a coherent beam (10) into at least three beams (12, 13, 14), and interfering them at the waveguide (17). The beams may comprise a zero order beam (12) and two first order beams (13, 14) diffracted by a phase mask (11), and their relative phases and amplitudes may be modulated to control and/or tune the grating period and shape. The method allows grating structures to be written in which a first order grating and a second order grating are superimposed.



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**METHOD OF WRITING GRATING STRUCTURES**Field of the Invention

The present invention relates broadly to a method of writing a grating structure in a photosensitive optical waveguide. The invention further relates to grating structures when written in accordance with that method and to optical devices incorporating such grating structures.

Background of the Invention

Optical devices have become increasingly important in the telecommunications field in general. In particular the transmission of data by optical fibres is an attractive alternative to conventional data transmission systems.

Accordingly, there is a great interest in development of optical devices which facilitate e.g. data transfer by optical fibres. Many optical device designs incorporate grating structures for various optical processing functions, including for example for filtering or sensing.

To facilitate the design of new optical devices, it is therefore desirable to provide new methods for writing grating structures in photosensitive waveguides.

Summary of Invention

In accordance with a first aspect of the present invention, there is provided a method of forming a grating structure in a photosensitive waveguide, the method comprising the steps of: dividing an input coherent beam into at least three coherent beams; transmitting the three coherent beams through respective optical pathes in a manner such that they interfere at a first predetermined position; and placing a photosensitive waveguide at the first predetermined position so as to form the grating structure at the redetermined position through refractive index changes induced in the photosensitive waveguide.

In at least preferred embodiments of the present invention, the interference of the three coherent beams can facilitate the writing of grating structures which have a

non-sinusoidal profile, i.e. a non-sinusoidal refractive index profile can be induced in the photosensitive waveguide.

In alternative embodiments, the present invention can provide a method that facilitates the writing of superimposed different order gratings in one writing process. In other words, the interference of the three coherent beams in itself can effect the "simultaneous" writing of different order gratings.

10 In one embodiment, the step of dividing the input beam comprises diffraction of the input beam. The dividing of the input coherent beam into three coherent beams may utilise a phasemask. The three coherent beams may be made up from two first order diffracted beams and one zero order  
15 diffracted beam.

In another embodiment, the dividing of the input coherent beam utilises at least two mirrors of less than 100% reflection. The transmission along the optical pathes utilises at least two refractive elements for reflecting  
20 two of the beams so as to direct them to the predetermined position.

The method preferably can comprise the step of tuning a period of the resulting grating structure. The tuning of the period can be effected through tilting of the or  
25 reflective elements utilised in the transmitting of the three coherent beams.

Advantageously, the method can comprise the step of tuning a shape of the resultant refractive index profile in the photosensitive waveguide. The tuning of the shape can  
30 be effected by adjusting the relative intensities of the interfering three coherent beams.

In a preferred embodiment, the method comprises the step of switching between the writing of different order gratings. The switching can be effected by selectively  
35 blocking out at least one of the three coherent beams. Accordingly, grating structures in which regions of e.g.

first order gratings and regions of e.g. second order gratings alternate periodically can be written. In the region of the e.g. second order grating, the second order grating may be superimposed on the e.g. first order  
5 grating.

The transmitting step can further comprise the step of: modulating the intensity of at least one of the three beams relative to the other two beams.

The transmitting step can comprise modulating the  
10 phase and/or an intensity of two of the beams interfering at the first predetermined position.

In accordance with another aspect of the present invention there is provided a method of writing a grating structure in a photosensitive waveguide, the method  
15 comprising the steps of: dividing an input coherent beam into two  $n$ th order beams and a zero order beam utilizing a phase mask, wherein  $n$ th is equal to or greater than first; placing a photosensitive waveguide substantially adjacent a surface of the phase mask where the  $n$ th order beams and the  
20 zero order beam overlap; modulating the amount of zero order beam transmitted by the phase mask during creation of the grating in the waveguide through refractive index changes induced in the photosensitive waveguide.

The formed grating structure may comprise a grating  
25 having a non-sinusoidal profile along a light propagation direction of the wave guide.

The grating structure may comprise a first order grating.

The grating structure may comprises a higher order  
30 grating.

Preferably, the grating structure comprises different order gratings superimposed. The grating structure may comprise a first and second order gratings superimposed.

In accordance with another aspect of the present  
35 invention, there is provided an apparatus for writing a grating structure in a photosensitive waveguide, the

apparatus comprising: means for dividing an input coherent light beam into at least three coherent beams; an optical circuit for transmitting each of the three coherent beams to a predetermined position so as to form an interference pattern at the first predetermined position for, in use, writing the grating structure through refractive index changes induced in the photosensitive waveguide.

Preferably, the apparatus comprises at least one modulation means for modulating the phase and/or intensity of one of the beams relative to the others.

The means for dividing the input beam may comprise diffraction means.

The three beams may comprise the zero order diffraction and two first order diffraction beams.

In accordance with another aspect of the present invention, there is provided an optical filter comprising a grating structure written in accordance with the methods of the present invention.

Preferably, second order modulation in the grating structure results, in use, in the emission of filtered light energy substantially perpendicular to a core axis of the waveguide.

The filter may comprise a chirped second order grating which transmits predetermined wavelengths of light energy substantially perpendicular to a core axis of the waveguide and at predetermined positions along the waveguide.

The grating structure may comprise a grating comprising a first order grating and the second order grating superimposed.

In one application, the filter can be utilized in a spectrographic device. In another application, the waveguide can comprise a distributed feed back laser or distributed Bragg reflectance laser and the filtered light energy forms the emission from the laser. In a further application, the second order grating structure can comprise a series of separate spaced apart second order

gratings. In a further application, the grating structure can be formed with a spatially varying amount of zero order modulation along its length.

In accordance with another aspect of the present invention, there is provided an optical free space coupler comprising a first grating structure written in accordance with the methods of the present invention.

Preferably, the first grating structure is formed within a first optical waveguide and is arranged to provide the emission of filtered light energy substantially perpendicular to a core axis of the first waveguide; and a second grating structure formed within a second optical waveguide placed in the path of emission of the filtered light energy can couple a portion of the filtered light energy along the second optical waveguide, and wherein at least one of the first or second grating structures comprises a second order grating.

At least one of the first or second grating structures may comprise a first order grating and a second order grating superimposed.

The coupler can be used as a sensor when a sample volume is used through which the filtered light energy passes before coupling with the second second order grating. Portions of the first waveguide or the second waveguide are preferably coated with a reflective material which concentrates the filtered light energy along a predetermined path of transmission from the first second order grating to the second second order grating.

In accordance with another aspect of the present invention there is provided an optical sensor comprising a grating structure written in accordance with the methods of the present invention.

The grating structure preferably comprises a second order grating formed within an optical waveguide, the grating structure having a predetermined second order modulation so as to provide for the reciprocal emission of

optical energy substantially perpendicular to the optical waveguide; the sensor further comprising an optically sensitive material spaced adjacent to the optical waveguide, the material having optical reflective  
5 properties variable in accordance with an external physical parameter, the material reflecting the emitted optical energy from the grating structure back to the grating structure.

The grating structure may comprise a first order  
10 grating and the second order grating superimposed.

In accordance with another aspect of the present invention there is provided a grating structure written in accordance with the methods of the present invention into an optical fibre.

15 In accordance with another aspect of the present invention there is provided a device for suppressing ripples in a dispersion compensator in an optical fibre, the device comprising a grating structure written in accordance with a methods of the present invention for  
20 providing an optical loss mechanism to effect the suppressing of the ripples.

Preferably, the grating structure comprises a second order grating.

The grating structure may comprise a first order  
25 grating and a second order grating superimposed.

In accordance with another aspect of the present invention there is provided a dispersion compensator for compensating dispersion in an optical fibre, the compensator comprising a grating structure written in  
30 accordance with a methods of the present invention for providing an optical loss mechanism for suppressing ripples.

Preferably, the grating structure comprises a higher order grating.

35 The grating structure may comprise a second order grating.

The grating structure may comprise a first order grating and a second order grating superimposed.

In the aforementioned arrangements, the grating structure can be formed offset from a central axis of the optical waveguide so as to provide directional perpendicular emission. Furthermore, it will be appreciated by a person skilled in the art that other higher order grating structures (i.e. higher than second order) may be utilised. In the description of preferred embodiments given below, calculations are presented for second order gratings (and gratings formed from first order and second order gratings superimposed). It will be appreciated that similar calculations can be performed for higher order gratings, however, it is noted that the loss characteristics will vary between different higher order gratings. E.g. the angular directionality of the loss will differ.

Where the three coherent beams comprise a zero order and two first or higher order diffraction beams, where the grating structure can be formed with a spatially varying amount of zero order modulation along its length.

#### Brief Description of the Drawings

Notwithstanding any other forms which may fall within the scope of the present invention, preferred forms of the invention will now be described, by way of example only, with reference to the accompanying drawings in which:

Fig. 1 is a schematic illustration of the operation of a second order grating;

Fig. 2 illustrates a first grating writing system for writing second order gratings;

Fig. 3 illustrates a second possible grating writing system for writing second order gratings;

Fig. 4 is an intensity graph having different zero orders;



Fig. 5 illustrates the perpendicular radiation modes for a second order grating;

Fig. 6 illustrates a series of graphs showing amplifier gain manipulation through filtering;

5 Fig. 7 is a schematic illustration of an example application of a second order grating;

Fig. 8 is another example illustration of an application of a second order grating;

10 Fig. 9 illustrates a further application of a chirped second order grating;

Figs 10 and 11 illustrate a laser application of a second order grating;

Fig. 12 illustrates a further application of a second order grating;

15 Fig. 13 illustrates the utilisation of second order gratings for waveguide coupling.

Fig. 14 illustrates a second order grating application to distribute emissions;

20 Fig. 15 illustrates the process of writing a second order grating on one side of a waveguide;

Figs 16 and 17 illustrate a sensing application of second order gratings;

Figs 18 and 19 illustrate an alternative sensor application;

25 Fig. 20 illustrates a further application of second order gratings;

Fig. 21 illustrates the alteration of zero order component in a grating structure.

30 Description of the preferred and other embodiments

In the preferred embodiment, a grating structure is created which allows for the emission of optical energy substantially perpendicular to the grating structure. This is provided through the utilisation of high order coupling  
35 to radiation modes out of the gratings.

Turning initially to Fig. 1, there is illustrated an optical fibre 1 having a grating structure 2 with light being transmitted along the core 3 of the fibre and the grating 2 reflecting a portion of the light in addition to transmitting a portion 5 in a perpendicular direction.

A guided light wave travelling along the fibre 3 with a propagation constant  $\beta_g$  will interact with the Fourier components of the grating 2 to excite radiation modes with propagation constant  $\beta_r \approx \text{Re}(\beta_g) - 2\pi p/\Lambda$  ( $p = \pm 1, \pm 2, \dots$ ) where  $\Lambda$  is the grating period. Radiation modes with propagation constant  $\beta_r$  only exist if  $|\beta_r| < 2\pi n_2/\lambda$ , where  $\lambda$  is the free space wavelength and  $n_2$  is the cladding index of the fibre 1. Therefore, in a first-order grating with  $\text{Re}(\beta_g) \approx \pi/\Lambda$  and  $2\pi n_2/\lambda < \text{Re}(\beta_g) < 2\pi n_1/\lambda$ , no radiation modes can be excited. However, for blazed gratings and higher order gratings this is no longer the case. Blaze is readily removed with accurate alignment in most writing setups and is therefore not a major consideration. On the other hand, for a second order grating,  $\text{Re}(\beta_g) \approx 2\pi/\Lambda$ . This means that for  $p = \pm 1$  the guided mode propagating both backwards and forwards in the grating will couple with radiation modes for which  $\beta_r \approx 0$ . For a radiation mode with propagation constant  $\beta_r$ , the radiation angle in the cladding layer is given by:

$$\theta = \arccos \left[ \frac{\beta_r}{(2\pi n_2 / \lambda)} \right] \quad (\text{Eq. 1})$$

The radiation angle in the second order grating is therefore  $90^\circ$  and first-order radiation loss will occur. Strong directionality is expected. The amount of loss will be dependent on a number of factors including index modulation, index modulation profile and penetration of UV-induced index change across the waveguide core which determines the intensity profile of radiation loss around the waveguide - analogous to the behaviour predicted with

different tooth-shaped index profiles in semiconductor radiation-coupled gratings and also previously experimentally observed in fibre gratings. The presence of a 2nd order grating therefore can lead to significant light coupled out of the side of a Bragg grating.

In one embodiment of the invention, second order gratings can be constructed through the utilisation of the zero order diffraction mode when writing the grating.

A number of different techniques for utilising the zero order are possible. In one embodiment, a three or more beam interference arrangement as schematically illustrated in Fig. 2 can be used. An initial coherent beam 10 is being projected through a phase mask 11 so that three beams including a zero order beam 12 and two first order beams 13, 14 are output. The two beams 13, 14 are reflected by a mirror 15, 16 so as to interfere at point 17 so as to produce an interference pattern. A photosensitive fibre 18 is placed at this point such that the interference pattern is imprinted in the fibre, normally by way of reflective index variation in accordance with the interference pattern. In the preferred embodiment, the zero order beam 12 is also projected onto the fibre at the same point 17 so as to provide for a second order grating to form a hybrid grating comprising first and second order gratings. Preferably, an attenuator 21 and phase modulation or attenuation elements 20, 22 are provided so as to control the amount of the zero order relative to the first order in addition to controlling the phase of the pattern formed on the optical fibre 18. In this manner, chirping and other effects can be produced in addition to a controlled mixing of the amount of the zero order beam.

Other arrangements are possible which control the amount of zero order beam. For example, in Fig. 3, there is illustrated schematically a "direct writing" system wherein a phase mask 30 is provided and a fibre 31 is placed behind the phase mask. A coherent UV beam 32 is

swept along the phase mask which produces a first order interfering beam 34, 35 in addition to a zero order beam 36. In the arrangement of Fig. 3 varying the depth of the phase mask 30 can be used to alter the amount of zero order beam.

Hence, in the preferred embodiments, a super structure grating of both first and second order periodicities is formed utilising the zero order and first order beams.

The basic premise in the mechanism arises from significant zero order interaction with the +1 and -1 diffraction orders of a phase mask. The angle of each diffracted beam,  $\theta_m$ , can be calculated from the expression for monochromatic light incident on a diffraction grating:

$$\sin \theta_n = \sin \theta_i + m \frac{\lambda}{\Lambda} \quad (\text{Eq. 2})$$

where  $\theta_i$  is the angle of the incident beam ( $0^\circ$  when normal to the diffraction grating),  $m$  is the diffraction order,  $\lambda$  is the writing wavelength, and  $\Lambda$  is the phase mask period. Using the appropriate angles, the period is  $\Lambda_{m,n} = \lambda / \sin(\theta_{m,n})$ , where  $\theta_{m,n}$  is the angle between the two orders. This expression is similarly derived to that in equation (1). To determine this amplitude the intensity can be calculated by squaring the sum of the real and complex components of the individual amplitudes,  $a_N$ , where  $N$  is the diffraction order of the phase mask:

$$I = |a_0 \exp(jk x \sin \theta_0) + a_1 \exp(jk x \sin \theta_1) + a_{-1} \exp(jk x \sin \theta_{-1})|^{-2} \quad (\text{Eq. 3})$$

The angular quantity,  $\theta_n$ , which determines the phase of each wave, is obtained from

$$\theta_n = \sin^{-1} \left( \frac{N\lambda}{nd} \right) \quad (\text{Eq. 4})$$

where  $d$  is the phase mask period (specified in experiments as  $1.064 \mu\text{m}$ ). The above formulation calculates the electric field distribution immediately after the phase mask. This is suitable for direct contact printing of gratings on rib

waveguides but in most instances an additional term in the amplitude of each wave is required for buried waveguides and optical fibres where the core is at a distance from the phase mask determined by a cladding. Talbot planes away  
5 from the phase mask surface, which can have a period with dimensions less than the waveguide, are neglected.

Fig. 4 shows the calculated intensity distribution arising from the interactions between the 0, +1, and -1 orders for varying amounts of zero order. Assuming most of  
10 the incoming light is in these orders the intensity at the peaks of the interference between these orders will always be substantially larger than the intensity of the incoming light. Notably, even when the zero order is only 1% of the input light a substantial peak intensity maximum occurs  
15 every  $1\mu\text{m}$ . Since the phenomenological growth of index with UV is often not linear, this disparity can be much larger when examining the generated index profile. Fig. 5 is a schematic of such a small complex grating with a small amount diffracted light coupling to radiation modes with  $\beta_r$   
20 = 0.

As a consequence of this superposition of the interference between the zero order and the +1 and -1 orders, there exists a component of a 2nd order grating. Diffraction at the Bragg wavelength of the first order  
25 grating occurs at ninety degrees at those wavelengths satisfying the criterion of twice the pitch of the Bragg grating; i.e.

$\Lambda_{2\text{nd}} = \Lambda_B / N - 2\Lambda_B$ . The relative intensity depends on the ratio of the peak index amplitude of the 2nd order grating  
30 with that of the Bragg grating:  $\Delta n_{2\text{nd}} / \Delta n_B$ . To quantify the expected losses systematic and careful measurements of a number of parameters, including grating growth curves and the intensities of diffracted phase mask orders, is required. However, the losses in these complex combination  
35 gratings superstructures will always be less than that of a

strong pure second order grating that can couple up to 3dB of its light to radiation modes orthogonal to the grating axis.

The second ordered grating structure can therefore be  
5 utilised in a number of ways in different photonics devices through appropriate control of the zero order component of any beam. Various devices will be discussed herein after under separate headings.

## 10 Filters

Often, it is necessary to provide for filtering of optical signals. In one particularly common case is the gain flattening of, for example, Erbium doped fibres. The gain of an Erbium doped fibre tends to vary with wavelength  
15 and is shown schematically 40 in Fig. 6. It is obviously desirable to provide for the same level of gain across a wide bandwidth. It is also desirable to provide a variable filter such that the gain is substantially constant 41 across a wavelength. Such a filter can be constructed as  
20 illustrated in Fig. 7 wherein a second order grating 45 is formed in a fibre 46. The grating 45 can be a chirped grating having predetermined reflectance criteria at different frequencies. The grating is also modulated by a zeroth order beam so as to radiate e.g. 47 variable mounts  
25 of light with the degree of radiation of the beam being higher when high levels of gain are present at the particular wavelength. In this manner, a superstructure of first and second order gratings can be written so as to radiate energy and therefore provide for gain flattening.  
30 The resulting output 48 is a gain flattened narrow band response. The arrangement of Fig. 7 can also be configured to operate in a transmission mode. Advantageously, it has low sensitivity to cladding mode variations.

## 35 Spectrometers

The principle of Fig. 7 can be extended to the construction of a spectrometer type device. Such an arrangement is illustrated in Fig. 8 wherein a chirped grating 50 is provided having both first and second order grating structures. Hence, different wavelengths e.g. 51, 52, 53 will be emitted in a perpendicular direction depending on the periodicity of the chirp. The arrangement of Fig. 8 can hence be utilised in spectrometric analysis or in wavelength division multiplexing filters. The arrangement of Fig. 8 can be extended to a planar waveguide form as illustrated in Fig. 9 wherein a wave guide 60 contains a chirped grating structure 61 which emits wavelengths  $\lambda_1, \lambda_2, \lambda_3, \dots$ . Three collectors 63 - 65 are provided for collecting the emitted light which can be forwarded for analysis. Hence, the input light 66 will be divided into its wavelength channels.

#### Surface emitting gratings

The construction of superimposed grating structures can be extended to the formation of surface emitting grating structure for use in lasers etc. An initial example of an arrangement is as illustrated in Fig. 10 wherein a laser structure 70 is provided with distributed Bragg reflectors 71, 72. A pump input causes the intermediate portion 74 to lase and a second order grating 75 of the superimposed grating structure 77 is provided for the emission 76 of the laser light, whilst the first order grating 72 of the superimposed grating structure 77 reflects.

Fig. 11 illustrates an alternative arrangement wherein a separate superimposed grating structure 80 is provided for laser emission. The arrangement of Figs 10 and 11 can be extended to a distributed feedback (DFB) laser with the second order grating providing an interruption of the degeneracy of side mode. This allows for a large area pump

lasers for integrated optics with easy coupling and high powers.

The superimposed grating structure can be utilised as illustrated in Fig. 12, as enlarged area "semi-coherent" emitter for utilisation as a sensor source etc.

#### Free Space Couplers

The utilisation of second order gratings can be extended to providing for free space coupling. A suitable arrangement as illustrated in Fig. 13 where it is desired to couple input light 81 transmitted along fibre 82 to a planar waveguide structure 84 having internal waveguide 83. A second order grating 86 is constructed in one end of the fibre 82 which also contains a reflective coating 87. The reflective coating reflects the light outputted perpendicular to the fibre 82 down to the waveguide 83 wherein a further second order grating 88 is formed. The grating 88 couples with the emitted light via the principle of reciprocity into the waveguide where it is output 83.

20

#### Control of Beam Divergence for Filters, Lasers, etc.

The principle of superimposed grating structures can be extended as illustrated in Fig. 14 to provide for a larger effective aperture through the utilisation of multiple second order gratings e.g. 90 - 94. A larger aperture or extended grating structure means less divergence and a quasi coherent output is possible from incoherent sources.

Ideally, to provide enhanced directionality, the side of transmission perpendicular to the waveguide is controlled. This can be achieved by writing gratings on one side of the waveguide. Such an arrangement is illustrated in Fig. 15 where a waveguide structure is shown 100 where light is transmitted along the waveguide 101 and a series of second order gratings e.g. 102 are provided on a first side of the waveguide 101. This results in a



transmission perpendicular to the waveguide structure.  
This allows for complex integrated optic structures to be produced on a planar waveguide.

## 5 Large Areas Sensor Heads

The superimposed higher order grating principle can be extended to sensor heads with an example illustrated in Fig. 16 and 17. A waveguide 110 is provided having a second order grating 111. Preferably, a reflective coating is formed around predetermined portions of the fibre 110 so as to reflect light downwards through a volume 113 which is to be sampled. A second fibre 115 is provided which couples the light travelling through the volume 113 to output 116. Again, a reflective coating 117 is also provided for enhanced coupled. Fig. 17 illustrates a sectional view through the line A - A' of Fig. 16 and more clearly illustrates the mirror portions 112, 117 which add to enhance the degree of coupling. The wavelength absorption in volume 113 will affect the spectra of output 116 which can be separately analysed to determine sensor operation.

The arrangement of Fig. 16 and Fig. 17 can be extended as shown in Fig. 18 and Fig. 19 to the provision of wavelength specific sensors. Fig. 18 illustrates a side-view of a sensor arrangement with Fig. 19 illustrated in the corresponding sectional view taken through the line B - B' at Fig. 18. The arrangement 120 includes a second order grating 121 which transmits input light 122 in a perpendicular manner. A porous coating 123 is provided and is of a reflective type. Hence, the light reflected from the coating 123 is reflected back and coupled back by second order grating 122 where it is subsequently output 125. The reflective material 123 can be modulated to change the integrated reflection and the corresponding modulated output signal 125 returned. Alternatively, the reflective material may change properties with absorption

of a species to be identified which allows for spectral analysis of the absorbed gas via variations in the output 125. A second gratings 126 is also provided for reflecting back light via the waveguide.

5

#### Narrow Band Attenuators

The superimposed higher order grating principle can be extended to provide a novel form of attenuator. Such an arrangement is illustrated in Fig. 20, wherein a chirped 10 grating 130 is provided which includes a second order grating having controlled degrees of radiation loss to bounce an input signal 131 so as to provide bandwidth attenuation of output signal 132.

#### 15 Tunable Narrow Bend Attenuator

The arrangement in Fig. 20 can be extended by providing a chirped grating with a chirped index modulation provided by "chirping" the degree of zero order irradiation. The amount of zero order can be varied as 20 illustrated in Fig. 21 with grating position. Such a chirped grating structure can then be subjected to stretching, pressure or heating. As the structure is stretched the narrow band position will move across a series of desired wavelengths. Further, stretching or 25 compressing the grating structure will alter the amount of perpendicular radiation.

#### Dispersion Compensator

The principle of Fig. 20 can be extended to providing 30 dispersion compensation wherein the grating structure 130 is written in an Erbium doped amplifying fibre or similar amplifier. The degree of radiation also can be controlled so as to provide for simultaneous dispersion compensation and radiation loss. By utilising a combination of first 35 and second order grating structures, optimisation of the

amount of loss can be achieved. The arrangement also allows for the suppression of cavity based ripples.

#### Photonic Band Gap And Generation

5       The arrangement of Fig. 2 also allows for the formation of complex Photonic band gap structures in the interference vicinity 17. The area of interference 17 will contain a complex interference pattern which can be imprinted on a photosensitive material. Such complex  
10 arrangements can be utilised to store information for later playback. By controlling the attenuators/phase elements 20 - 22 and/or the angles of the mirrors 15, 16 arbitrary complex structures can be formed. This interference regime is analogous to the complex interference formed where  
15 Talbot & Lohmann planes are generated within the Fresnel zone just after a phase mask grating.

It would be appreciated by a person skilled in the art that numerous variations and/or modifications may be made to the present invention as shown in the specific  
20 embodiments and devices without departing from the spirit or scope of the invention as broadly described. The present embodiments are, therefore, to be considered in all respects to be illustrative and not restrictive.

We Claim:

1. A method of forming a grating structure in a photosensitive waveguide, the method comprising the steps of:

5 - dividing an input coherent beam into at least three coherent beams;

- transmitting the three coherent beams through respective optical pathes in a manner such that they interfere at a first predetermined position; and

10 - placing a photosensitive waveguide at the first predetermined position so as to form the grating structure at the redetermined position through refractive index changes induced in the photosensitive waveguide.

2. A method as claimed in claim 1, wherein the step  
15 of dividing the input beam comprises diffraction of the input beam.

3. A method as claimed in claim 2, wherein the dividing of the input coherent beam into three coherent beams utilises a phasemask.

20 4. A method as claimed in claims 2 or 3, wherein the three coherent beams are made up from two first order diffracted beams and one zero order diffracted beam.

5. A method as claimed in claim 1, wherein the dividing of the input coherent beam utilises at least two  
25 mirrors of less than 100% reflection.

6. A method as claimed in claims 1 or 5, wherein the transmission along the optical pathes utilises at least two refractive elements for reflecting two of the beams so as to direct them to the predetermined position.

30 7. A method as claimed in any one of the preceding claims, wherein the method further comprises the step of tuning a period of the resulting grating structure.

8. A method as claimed in claim 7, wherein the tuning of the period is effected through tilting of the or  
35 reflective elements utilised in the transmitting of the three coherent beams.

9. A method as claimed in any one of the preceding claims, wherein the method comprises the step of tuning a shape of the resultant refractive index profile in the photosensitive waveguide.

5 10. A method as claimed in claim 9, wherein the tuning of the shape is effected by adjusting the relative intensities of the interfering three coherent beams.

11. A method as claimed in any one of the preceding claims, wherein the method comprises the step of switching  
10 between the writing of different order gratings.

12. A method as claimed in claim 11, wherein the switching is effected by selectively blocking out at least one of the three coherent beams.

13. A method as claimed in any one of the preceding  
15 claims, wherein the transmission step further comprises the step of: modulating the intensity of at least one of the three beams relative to the other two beams.

14. A method as claimed in any one of the preceding claims, wherein the transmitting step further comprises  
20 modulating the phase and/or an intensity of two of the beams interfering at the first predetermined position.

15. A method of writing a grating structure in a photosensitive waveguide, the method comprising the steps of:

25 - dividing an input coherent beam into two  $n$ th order beams and a zero order beam utilizing a phase mask, wherein  $n$ th is equal to or greater than first;

- placing a photosensitive waveguide substantially adjacent a surface of the phase mask where the  $n$ th order  
30 beams and the zero order beam overlap;

- modulating the amount of zero order beam transmitted by the phase mask during creation of the grating in the waveguide through refractive index changes induced in the photosensitive waveguide.

35 16. A method as claimed in claim 15, wherein the formed grating structure comprises a grating having a non-

sinusoidal profile along a light propagation direction of the wave guide.

17. A method as claimed in claim 15 or 16, wherein the grating comprises a first order grating.

5 18. A method as claimed in any one of claims 15 to 17, wherein the grating structure comprises a higher order grating.

10 19. A method as claimed in any one of claims 15 to 18, wherein the grating structure comprises different order gratings superimposed.

20. A method as claimed in claim 19, wherein the grating structure comprises a first and second order gratings superimposed.

15 21. An apparatus for writing a grating structure in a photosensitive waveguide, the apparatus comprising:

- means for dividing an input coherent light beam into at least three coherent beams;

- an optical circuit for transmitting each of the three coherent beams to a predetermined position so as to form an interference pattern at the first predetermined position for, in use, writing the grating structure through refractive index changes induced in the photosensitive waveguide.

25 22. An apparatus as claimed in claim 21, wherein the apparatus comprises at least one modulation means for modulating the phase and/or intensity of one of the beams relative to the others.

30 23. An apparatus as claimed in claims 21 to 22, wherein the means for dividing the input beam comprises diffraction means.

24. An apparatus as claimed in any one of claims 21 to 23, wherein the three beams comprise the zero order diffraction and two first order diffraction beams.

35 25. An optical filter comprising a grating structure written in accordance with any one of claims 1 to 20.

26. A filter as claimed in claim 25, wherein second order modulation in the grating structure results, in use, in the emission of filtered light energy substantially perpendicular to a core axis of the waveguide.

5        27. A filter as claimed in claims 25 or 26, wherein the filter comprises a chirped second order grating which transmits predetermined wavelengths of light energy substantially perpendicular to a core axis of the waveguide and at predetermined positions along the waveguide.

10       28. A filter as claimed in any one of claims 25 to 27, wherein the grating structure comprises a grating comprising a first order grating and the second order grating superimposed.

15       29. An optical free space coupler comprising a first grating structure written in accordance with the method as claimed in any one of claims 1 to 20.

20       30. A coupler as claimed in claim 29, wherein the first grating structure is formed within a first optical waveguide and is arranged to provide the emission of filtered light energy substantially perpendicular to a core axis of the first waveguide; and a second grating structure formed within a second optical waveguide placed in the path of emission of the filtered light energy can couple a portion of the filtered light energy along the second  
25       optical waveguide, and wherein at least one of the first or second grating structures comprises a second order grating.

30       31. A coupler as claimed in claim 30, wherein at least one of the first or second grating structures comprises a first order grating and a second order grating superimposed.

32. An optical sensor comprising a grating structure written in accordance with the method as claimed in any one of claims 1 to 20.

35       33. A sensor as claimed in claim 32, wherein the grating structure comprises a second order grating formed within an optical waveguide, the grating structure having a

predetermined second order modulation so as to provide for the reciprocal emission of optical energy substantially perpendicular to the optical waveguide; the sensor further comprising an optically sensitive material spaced adjacent  
5 to the optical waveguide, the material having optical reflective properties variable in accordance with an external physical parameter, the material reflecting the emitted optical energy from the grating structure back to the grating structure.

10 34. A sensor as claimed in claims 32 or 33, wherein the grating structure comprises a first order grating and the second order grating.

35. A grating structure written in accordance with the method as claimed in any one of claims 1 to 20 into an  
15 optical fibre.

36. A device for suppressing ripples in a dispersion compensator in an optical fibre, the device comprising a grating structure written in accordance with a method as claimed in any one of claims 1 to 19 for providing an  
20 optical loss mechanism to effect the suppressing of the ripples.

37. A device as claimed in claim 36, wherein the grating structure comprises a second order grating.

25 38. A device as claimed in claims 35 or 36, wherein the grating structure comprises a first order grating and a second order grating superimposed.

39. A dispersion compensator for compensating dispersion in an optical fibre, the compensator comprising a grating structure written in accordance with a method as  
30 claimed in any one of claims 1 to 20 for providing an optical loss mechanism for suppressing ripples.

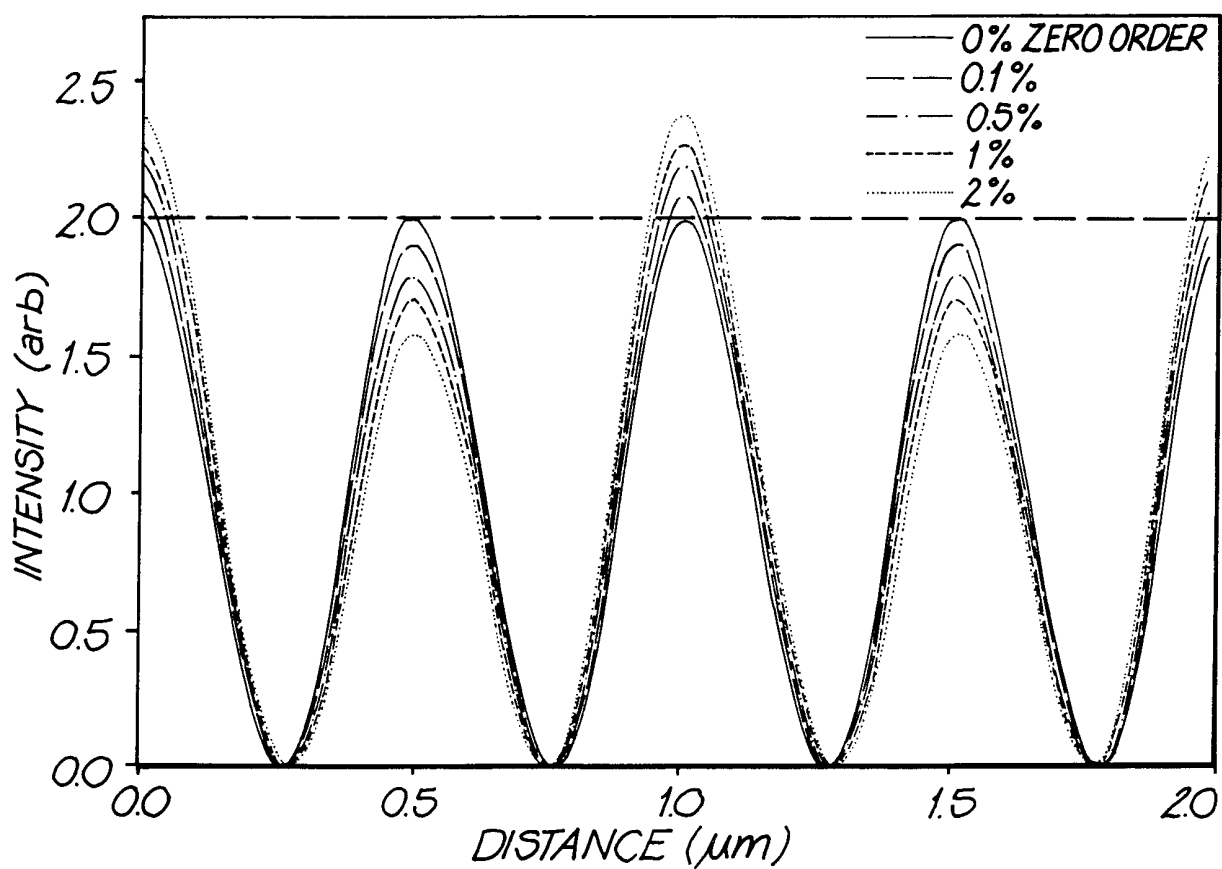
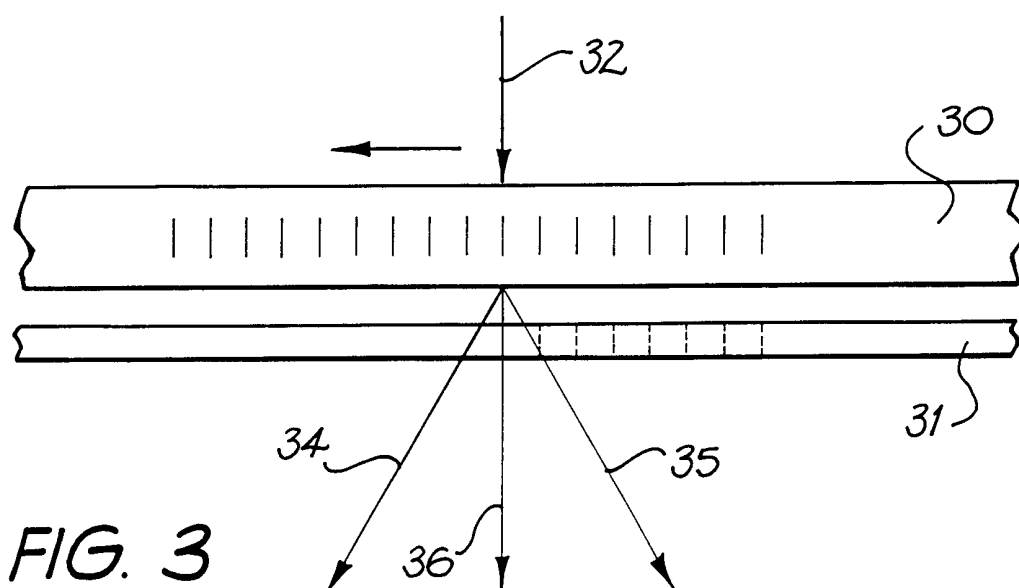
40. A compensator as claimed in claim 39, wherein the grating structure comprises a higher order grating.

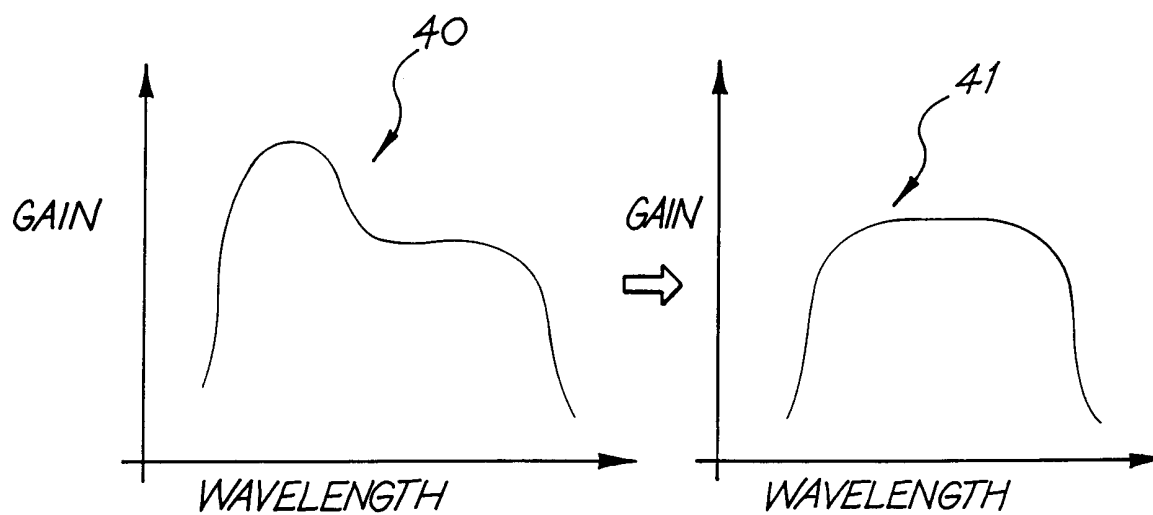
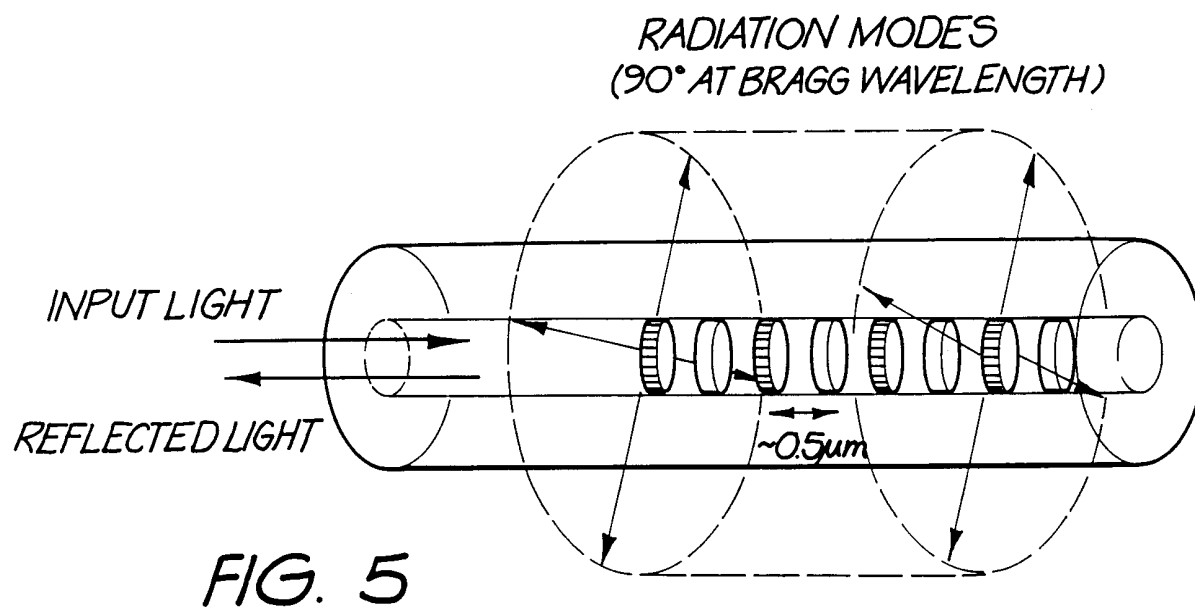
35 41. A compensator as claimed in claims 39 or 40, wherein the grating structure comprises a second order grating.



42. A compensator as claimed in any one of claims 39 to 41, wherein the grating structure comprises a first order grating and a second order grating superimposed.



**FIG. 4**

**FIG. 6**

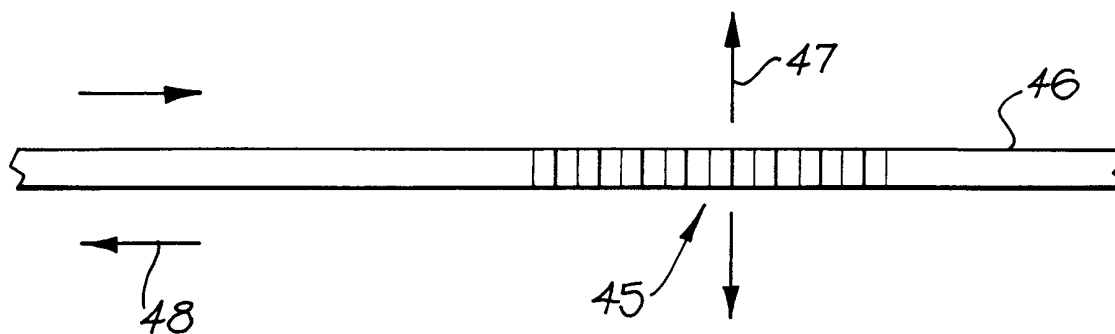


FIG. 7

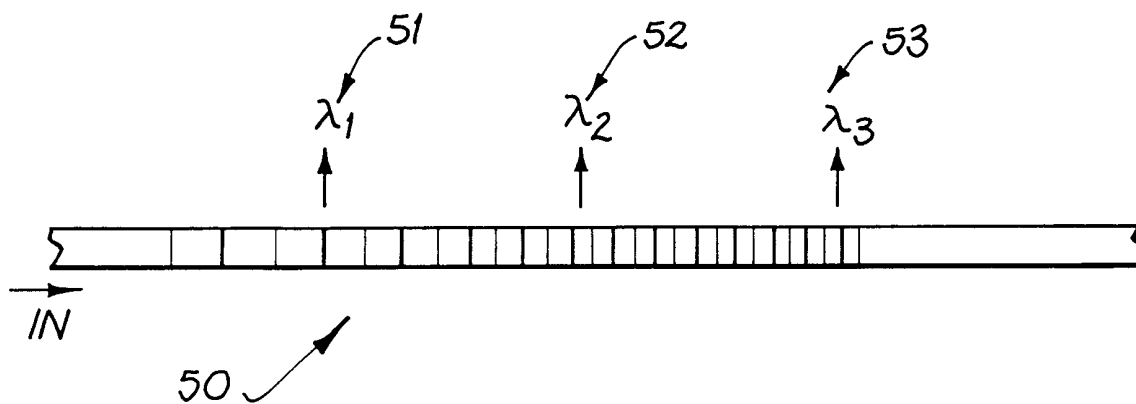


FIG. 8

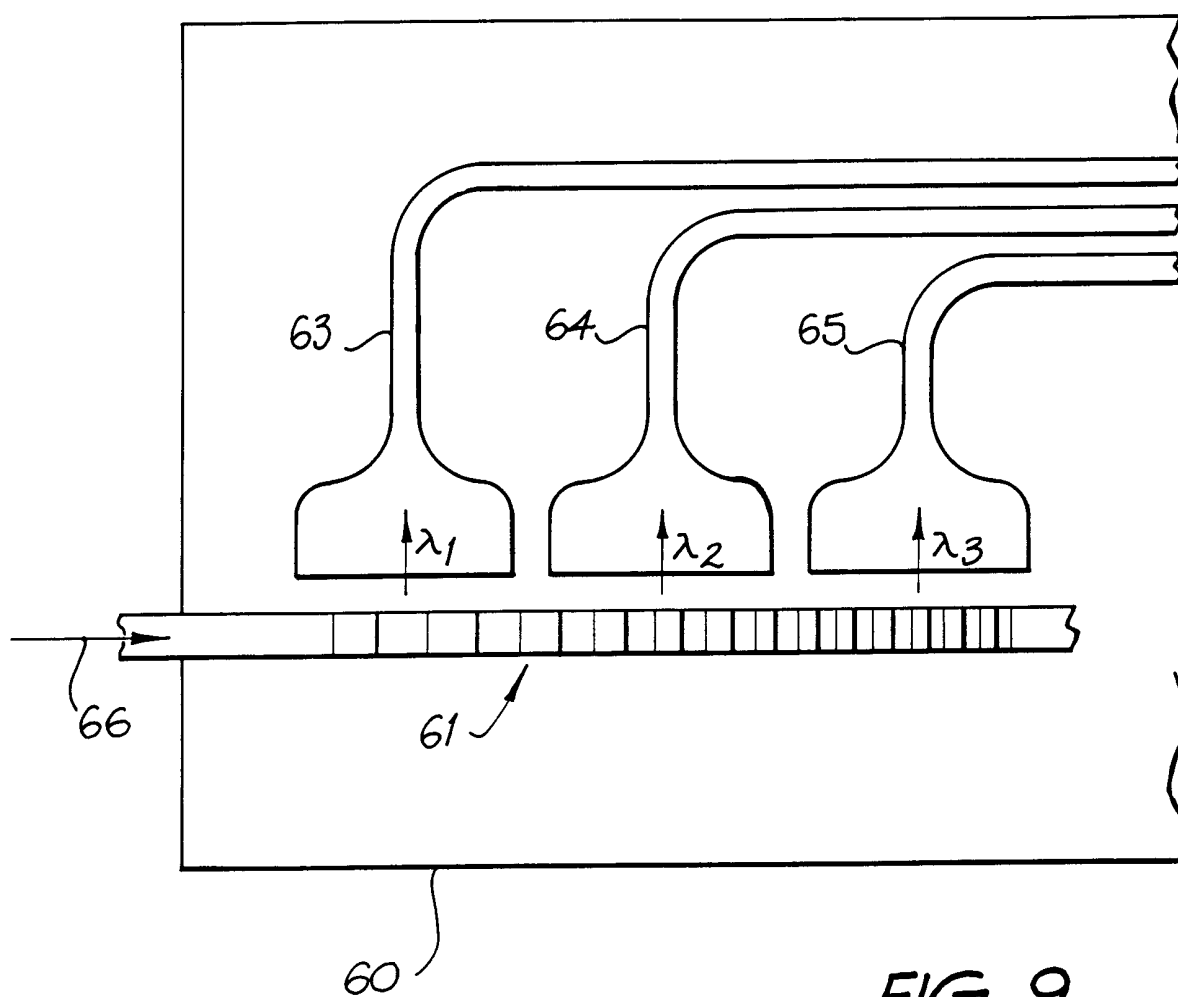


FIG. 9

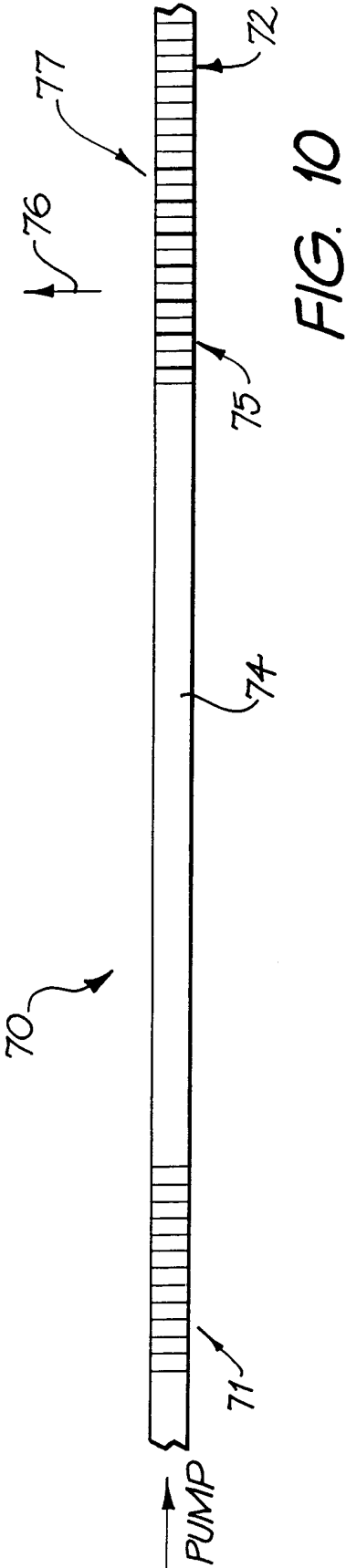


FIG. 10

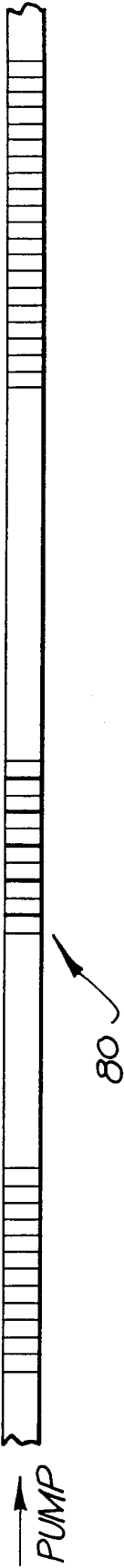


FIG. 11

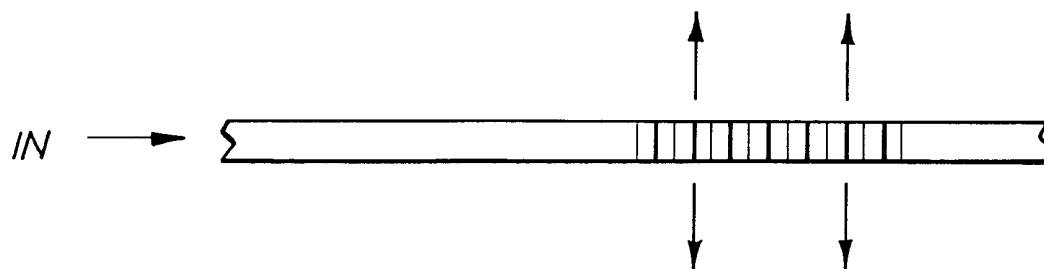


FIG. 12

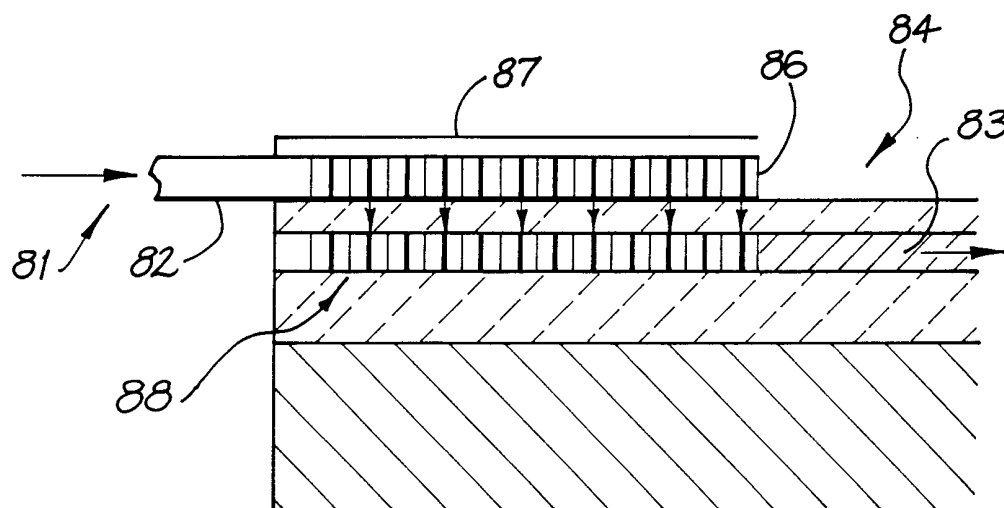


FIG. 13



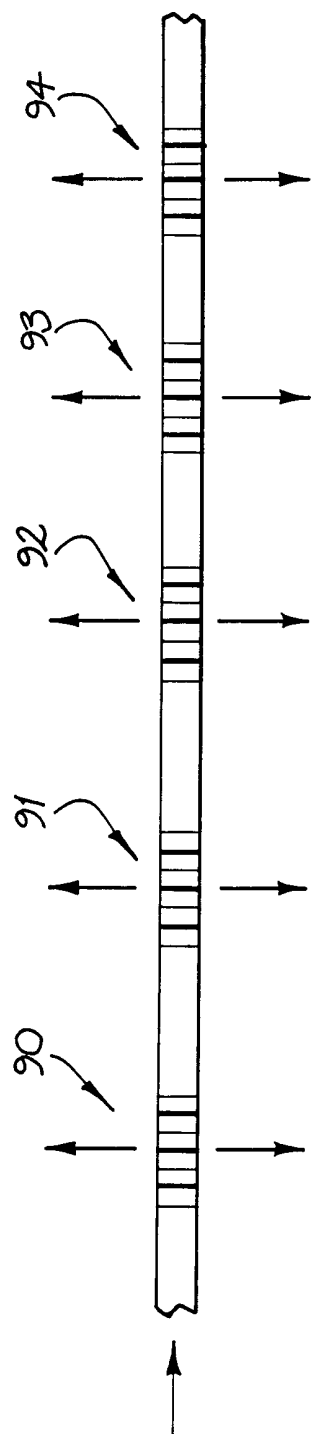


FIG. 14

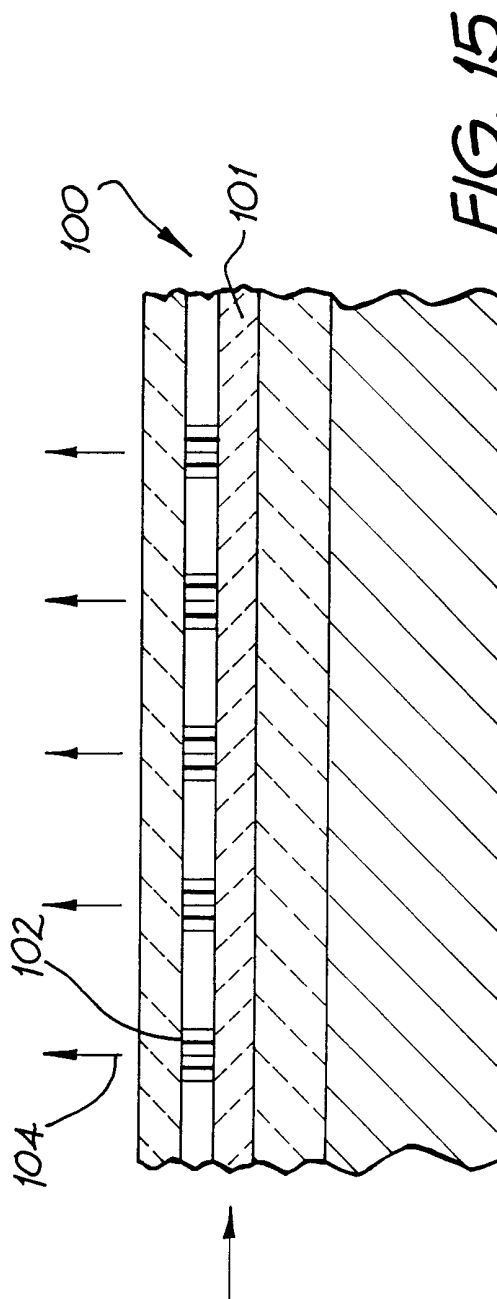


FIG. 15

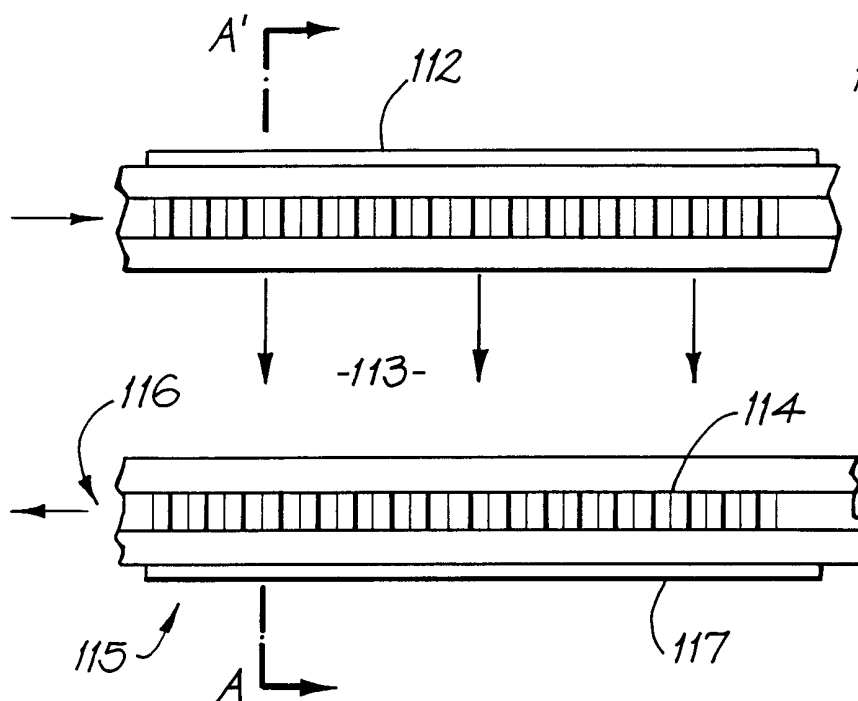


FIG. 16

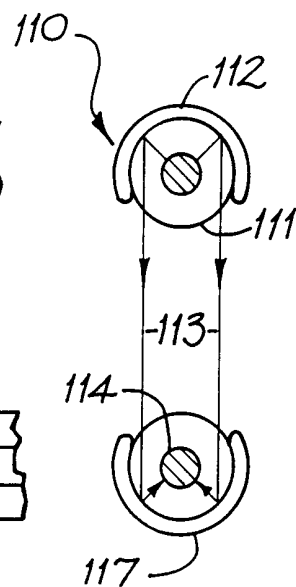


FIG. 17

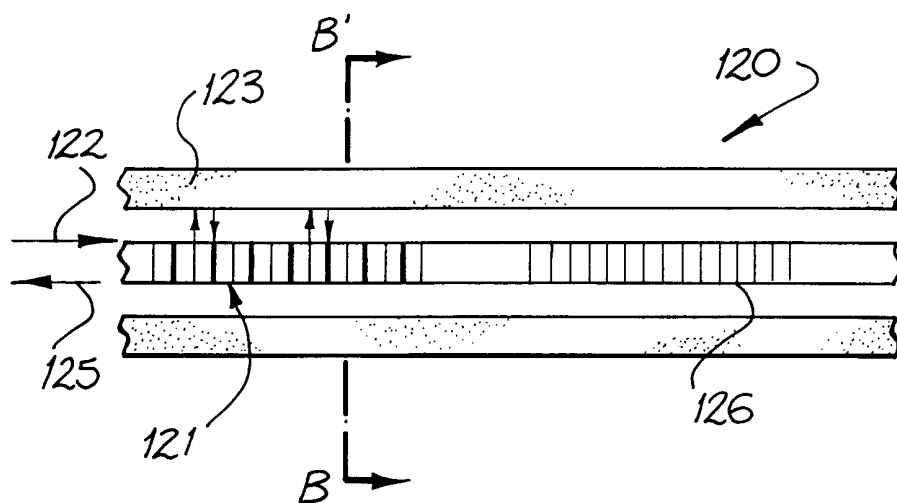


FIG. 18

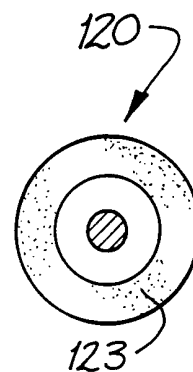
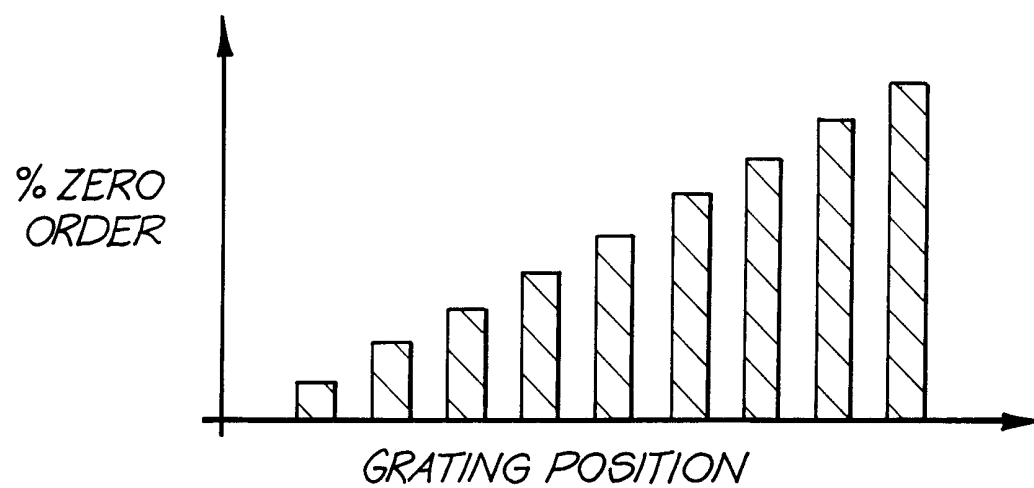
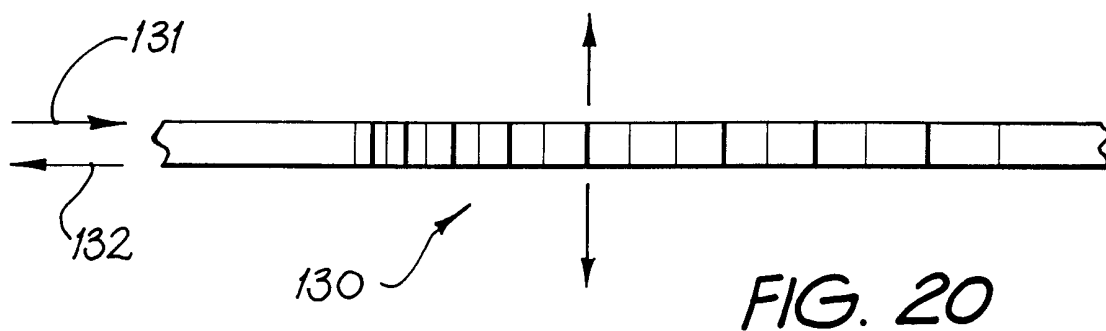


FIG. 19

*FIG. 21*

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/AU00/00839

**A. CLASSIFICATION OF SUBJECT MATTER**

Int. Cl. <sup>7</sup>: G02B 5/28, 6/13, 6/34

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC: G02B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
DWPI, JAPIO

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5768454 A (CHESNOY ET AL.) 16 June 1998 Column 2 line 35 to column 4 line 67, Figures 1, 3	1-4, 15-25, 28, 32, 34, 35
X	WO 97/30365 A (CORNING INCORPORATED) 21 August 1997 Page 3 line 8 to page 5 line 29, page 7 line 29 to page 8 line 9, Figures 1, 6	1-2, 7-10, 21-23, 25, 32, 35
X	US 5367588 A (HILL ET AL.) 22 November 1994 Column 3 line 36 to column 6 line 30, Figures 1-4	1-4, 21, 23-25, 32, 35

☒ Further documents are listed in the continuation of Box C ☒ See patent family annex

* Special categories of cited documents:	
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"E" earlier application or patent but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search  
24 August 2000

Date of mailing of the international search report  
1 SEP 2000

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## INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU00/00839

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	Optics Communications, Vol. 171, December 1999 (Elsevier), J. Canning, M G Sceats, and S Fleming, "Grating structures with phase mask period in silica-on-silicon planar waveguides", pp. 213-217 Whole document	1-4, 9-10, 21, 23-25, 28, 32, 34-35
A	US 5335548 A (KALIBJIAN) 9 August 1994 Whole document	1-42

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

International application No.  
**PCT/AU00/00839**

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report				Patent Family Member			
US	5768454	AU	75486/96	CA	2194005	EP	782018
		FR	2742881	JP	9189819		
WO	9730365	AU	21181/97	CA	2255061	CN	1216116
		EP	883827	US	5668901		
US	5367588	CA	2087511	DE	4337103	FR	2697642
		GB	2272075	JP	7140311		
US	5335548	NONE					
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