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<b>(21) International Application Number:</b> PCT/US99/18236 <b>(22) International Filing Date:</b> 12 August 1999 (12.08.99) <b>(30) Priority Data:</b> 09/134,474 14 August 1998 (14.08.98) US <b>(71) Applicant:</b> GUILFORD PHARMACEUTICALS INC. [US/US]; 6611 Tributary Street, Baltimore, MD 21224 (US). <b>(72) Inventors:</b> ROSS, Douglas, T.; 316 South Main Street, North Wales, PA 19454 (US). SAUER, Hansjorg; 10617 Lorrain Avenue, Silver Spring, MD 20901 (US). HAMILTON, Gregory, S.; 6501 Frederick Road, Catonsville, MD 21228 (US). STEINER, Joseph, P.; 4150 Louisville Road, Finksburg, MD 21048 (US). <b>(74) Agent:</b> NATH, Gary, M.; Nath & Associates, 6th floor, 1030 15th Street, N.W., Washington, DC 20005-1503 (US).		<b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> N-OXIDES OF HETEROCYCLIC ESTER, AMIDE, THIOESTER, OR KETONE FOR VISION AND MEMORY DISORDERS  <b>(57) Abstract</b>  This invention relates to pharmaceutical compositions and methods for treating a vision disorder, improving vision, treating memory impairment, or enhancing memory performance using N-Oxides of heterocyclic esters, amides, thioesters, or ketones.		

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N-OXIDES OF HETEROCYCLIC ESTER, AMIDE, THIOESTER, OR KETONE  
FOR VISION AND MEMORY DISORDERS

BACKGROUND OF THE INVENTION

5 1. Field of Invention

This invention relates to pharmaceutical compositions and methods for treating vision loss, preventing vision degeneration, and promoting vision regeneration ("neopsis") using low molecular weight, small molecule N-oxides of  
10 heterocyclic esters, amides, thioesters, or ketones.

2. Description of Related Art

The visual system is composed of the eyes, ocular adnexa and the visual pathways. Dysfunction of the visual system may lead to permanent or temporary visual impairment, i.e. a  
15 deviation from normal in one or more functions of the eye. Visual impairment manifests itself in various ways and includes a broad range of visual dysfunctions and disturbances. Without limitation, these dysfunctions and disturbances include partial or total loss of vision, the  
20 need for correction of visual acuity for objects near and far, loss of visual field, impaired ocular motility without diplopia (double vision), impaired or skewed color perception, limited adaptation to light and dark, diminished accommodation, metamorphopsic distortion, impaired binocular  
25 vision, paresis of accommodation, iridoplegia, entropion, ectropion, epiphora, lagophthalmos, and scarring. See *Physicians' Desk Reference (PDR) for Ophthalmology*, 16th Edition, 6:47 (1988). The visual system may be adversely affected by various ophthalmologic disorders, diseases,  
30 injuries, and complications, including, without limitation, genetic disorders; [non-genetic disorders;] disorders associated with aging or degenerative diseases; disorders correlating to physical injury to the eye, head, or other parts of the body resulting from external forces; disorders  
35 resulting from environmental factors; disorders resulting

from a broad range of diseases; and combinations of any of the above.

The visual system is a complex system composed of numerous components. Visual impairment can involve the entire visual system, any one component, or any combination of components, depending upon the precise nature of the circumstances. The eye is composed of a lens, which is suspended in the zonules of Zinn and is focused by the ciliary body. The ciliary body also secretes aqueous humor, which fills the posterior chamber, passes through the pupil into the anterior chamber, then drains primarily via the canal of Schlemm. The iris regulates the quantity of light entering the eye by adjusting the size of its central opening, the pupil. A visual image is focused onto the retina, the fovea centralis being the retinal area of sharpest visual acuity. The conjunctiva is the mucus membrane which lines the eyelids and the eyeball, and ends abruptly at the limbus conjunctivae, the edge of the conjunctiva overlapping the cornea. The cornea is the clear, transparent anterior portion of the fibrous coat of the eye; it is important in light refraction and is covered with an epithelium that differs in many respects from the conjunctival epithelium.

The retina is the innermost, light sensitive portion of the eye, containing two types of photoreceptors, cones, which are responsible for color vision in brighter light, and rods, which are essential for vision in dim light but do not perceive colors. After light passes through the cornea, lens system, and the vitreous humor, it enters the retina from the inside; that is, it passes through the ganglion cells and nerve fibers, the inner and outer plexiform layers, the inner and outer nuclear layers, and the internal and external limiting membranes before it finally reaches the layer of photoreceptors located near the outside of the retina, just inside the outermost pigment epithelium layer. The cells of the pigment epithelium layer act as an anatomical barrier to

liquids and substances located outside of the eye, forming the "blood-retina" barrier, and provide nourishment, oxygen, a source of functionally useful substances like vitamin A, and phagocytosis of decomposition products to photoreceptor  
5 cells. There is no anatomical connection between the pigment epithelium and the photoreceptor layer, permitting separation of the layers in some pathological situations.

When rods or cones are excited by light, signals are transmitted through successive neurons in the retina itself,  
10 into the optic nerve fibers, and ultimately to the cerebral cortex. Both rods and cones contain molecules that decompose on exposure to light and, in the process, excite the nerve fibers leading from the eye. The molecule in rods is rhodopsin. The three light-sensitive molecules in cones,  
15 collectively called iodopsin, have compositions only slightly different from that of rhodopsin and are maximally excited by red, blue, or green light, respectively.

Neither rods nor cones generate action potentials. Rather, the light-induced membrane hyperpolarization  
20 generated in the outer, photosensitive segment of a rod or cone cell is transmitted from the outer segment through the inner segment to the synaptic body by direct conduction of the electrical voltage itself, a process called electrotonic conduction. At the synaptic body, the membrane potential  
25 controls the release of an unknown transmitter molecule. In low light, rod and cone cell membranes are depolarized and the rate of transmitter release is greatest. Light-induced hyperpolarization causes a marked decrease in the release of transmitter molecules.

30 The transmitters released by rod and cone cells induce signals in the bipolar neurons and horizontal cells. The signals in both these cells are also transmitted by electrotonic conduction and not by action potential.

The rod bipolar neurons connect with as many as 50 rod  
35 cells, while the dwarf and diffuse bipolar cells connect with one or several cone cells. A depolarizing bipolar cell is

stimulated when its connecting rods or cones are exposed to light. The release of transmitter molecules inhibits the depolarizing bipolar cell. Therefore, in the dark, when the rods and cones are secreting large quantities of transmitter  
5 molecules, the depolarizing bipolar cells are inhibited. In the light, the decrease in release of transmitter molecules from the rods and cones reduces the inhibition of the bipolar cell, allowing it to become excited. In this manner, both positive and negative signals can be transmitted through  
10 different bipolar cells from the rods and cones to the amacrine and ganglion cells.

As their name suggests, horizontal cells project horizontally in the retina, where they may synapse with rods, cones, other horizontal cells, or a combination of cells  
15 types. The function of horizontal cells is unclear, although some mechanism in the convergence of photoreceptor signaling has been postulated.

All types of bipolar cells connect with ganglion cells, which are of two primary types. A-type ganglion cells  
20 predominately connect with rod bipolar cells, while B-type ganglion cells predominately connect with dwarf and diffuse bipolar cells. It appears that A-type ganglion cells are sensitive to contrast, light intensity, and perception of movement, while B-type ganglion cells appear more concerned  
25 with color vision and visual acuity.

Like horizontal cells, the Amacrine cells horizontally synapse with several to many other cells, in this case bipolar cells, ganglion cells, and other Amacrine cells. The function of Amacrine cells is also unclear.

30 The axons of ganglion cells carry signals into the nerve fiber layer of the eye, where the axons converge into fibers which further converge at the optic disc, where they exit the eye as the optic nerve. The ganglion cells transmit their signals through the optic nerve fibers to the brain in the  
35 form of action potentials. These cells, even when unstimulated, transmit continuous nerve impulses at an

average, baseline rate of about 5 per second. The visual signal is superimposed onto this baseline level of ganglion cell stimulation. It can be either an excitatory signal, with the number of impulses increasing above the baseline rate, or an inhibitory signal, with the number of nerve impulses decreasing below the baseline rate.

As part of the central nervous system, the eye is in some ways an extension of the brain; as such, it has a limited capacity for regeneration. This limited regeneration capacity further complicates the challenging task of improving vision, resolving dysfunction of the visual system, and/or treating or preventing ophthalmologic disorders. Many disorders of the eye, such as retinal photic injury, retinal ischemia-induced eye injury, age-related macular degeneration, free radical-induced eye diseases, as well as numerous other disorders, are considered to be entirely untreatable. Other ophthalmologic disorders, e.g., disorders causing permanent visual impairment, are corrected only by the use of ophthalmic devices and/or surgery, with varying degrees of success.

The immunosuppressant drugs FK506, rapamycin, and cyclosporin are well known as potent T-cell specific immunosuppressants, and are effective against autoimmunity, transplant or graft rejection, inflammation, allergic responses, other autoimmune or immune-mediated diseases, and infectious diseases. It has been disclosed that application of Cyclosporin, FK-506, Rapamycin, Buspirone, Spiperone, and/or their derivatives are effective in treating some ophthalmologic disorders of these types. Several ophthalmologic disorders or vision problems are known to be associated with autoimmune and immunologically-mediated activities; hence, immunomodulatory compounds are expected to demonstrate efficacy for treating those types of ophthalmologic disorders or vision problems.

The effects of FK506, Rapamycin, and related agents in the treatment of ophthalmologic diseases are disclosed in

several U.S. patents (Goulet et al., U.S. Patent No. 5,532,248; Mochizuki et al., U.S. Patent No. 5,514,686; Luly et al., U.S. Patent No. 5,457,111; Russo et al., U.S. Patent No. 5,441,937; Kulkarni, U.S. Patent No. 5,387,589; Asakura  
5 et al., U.S. Patent No. 5,368,865; Goulet et al., U.S. Patent No. 5,258,389; Armistead et al., U.S. Patent No. 5,192,773; Goulet et al., U.S. Patent No. 5,189,042; and Fehr, U.S. Patent No. 5,011,844). These patents claim FK506 or Rapamycin related compounds and disclose the known use of  
10 FK506 or Rapamycin related compounds in the treatment of ophthalmologic disorders in association with the known immunosuppressive effects of FK506 and Rapamycin. The compounds disclosed in these patents are relatively large. Further, the cited patents relate to immunomodulatory  
15 compounds limited to treating autoimmunity or related diseases, or immunologically-mediated diseases, for which the efficacy of FK506 and Rapamycin is well known.

Other U.S. patents disclose the use of cyclosporin, Spiperone, Buspirone, their derivatives, and other  
20 immunosuppressive compounds for use in the treatment of ophthalmologic diseases (Sharpe et al., U.S. Patent No. 5,703,088; Sharpe et al., U.S. Patent No. 5,693,645; Sullivan, U.S. Patent No. 5,688,765; Sullivan, U.S. Patent No. 5,620,921; Sharpe et al., U.S. Patent No. 5,574,041;  
25 Eberle, U.S. Patent No. 5,284,826; Sharpe et al., U.S. Patent No. 5,244,902; Chiou et al., U.S. Patent Nos. 5,198,454 and 5,194,434; and Kaswan, U.S. Patent No. 4,839,342). These patents also relate to compounds useful for treating autoimmune diseases and cite the known use of cyclosporin,  
30 Spiperone, Buspirone, their derivatives, and other immunosuppressive compounds in treating ocular inflammation and other immunologically-mediated ophthalmologic diseases.

The immunosuppressive compounds disclosed in the prior art suppress the immune system, by definition, and also  
35 exhibit other toxic side effects. Accordingly, there is a need for non-immunosuppressant, small molecule compounds, and



compositions and methods for use of such compounds, that are useful in improving vision; preventing, treating, and/or repairing visual impairment or dysfunction of the visual system; and preventing, treating, and/or resolving  
5 ophthalmologic disorders.

There are also a number of patents on non-immunosuppressive compounds disclosing methods of use for permitting or promoting wound healing (whether from injury or surgery); controlling intraocular pressure (often resulting  
10 from glaucoma); controlling neurodegenerative eye disorders, including damage or injury to retinal neurons, damage or injury to retinal ganglion cells, and macular degeneration; stimulating neurite outgrowth; preventing or reducing oxidative damage caused by free radicals; and treating  
15 impaired oxygen and nutrient supply, as well as impaired waste product removal, resulting from low blood flow. These non-immunosuppressive substances fall into one of two general categories: naturally occurring molecules, such as proteins, glycoproteins, peptides, hormones, and growth factors; and  
20 synthetic molecules.

Within the group of naturally occurring non-immunosuppressive molecules, several hormones, growth factors, and signaling molecules have been patented for use as supplements to naturally occurring quantities of such  
25 molecules, as well as for targeting of specific cells where the particular molecule does not naturally occur in a mature individual. These patents generally claim methods of use for reducing or preventing the symptoms of ocular disease, or arresting or reversing vision loss.

30 Specifically, Louis et al., U.S. Patent Nos. 5,736,516 and 5,641,749, disclose the use of a glial cell line derived neurotrophic factor (GDNF) to stop or reverse the degeneration of retinal neurons (i.e. photoreceptors) and retinal ganglion cells caused by glaucoma, or other  
35 degenerative or traumatic retinal diseases or injuries. O'Brien, et al., U.S. Patent Nos. 5,714,459 and 5,700,909,

disclose the use of a glycoprotein, Saposin, and its derivatives for stimulating neurite outgrowth and increasing myelination. To stop or reverse degeneration of retinal neurons, LaVail et al., U.S. Patent No. 5,667,968, discloses  
5 the use of a variety of neurotrophic proteins, including brain-derived neurotrophic factor, ciliary neurotrophic factor, neurotrophin-3 or neurotrophin-4, acidic or basic fibroblast growth factors, interleukin, tumor necrosis factor- $\alpha$ , insulin-like growth factor-2 and other growth  
10 factors. Wong et al., U.S. Patent No. 5,632,984, discloses the use of interferons, especially interferon  $\alpha$ -2a, for treating the symptoms of macular degeneration by reducing hemorrhage and limiting neovascularization. Finally, Wallace et al., U.S. Patent No. 5,441,937, discloses the use of a  
15 lung-derived neurotrophic factor (NTF) to maintain the functionality of ciliary ganglion and parasympathetic neuron cells.

A key characteristic of factors derived from specific cell lines is their localization to specific cell lines or  
20 tissues; systemic treatment with these molecules would run a substantial risk of unintended, and potentially dangerous, effects in cell lines where the genes encoding these molecules are inactive. Similarly, hormones and growth factors often activate a large number of genes in many cell  
25 lines; again, non-localized application of these molecules would run a substantial risk of provoking an inappropriate, and potentially dangerous, response.

Within the category of synthetic molecules, most of the patented compounds are immunosuppressive and disclose uses in  
30 treating inflammatory, autoimmune, and allergic responses, as discussed above. A few others are non-immunosuppressive and claim the ability to treat cellular degeneration, and in some cases promote cellular regeneration, most often in the context of their antioxidant properties.

35 Specifically, Tso et al., U.S. Patent No. 5,527,533, discloses the use of astaxanthin, a carotenoid antioxidant,

for preventing or reducing photoreceptor damage resulting from the presence of free radicals. Similarly, Babcock et al., U.S. Patent No. 5,252,319, discloses the use of antioxidant aminosteroids for treating eye disease and injury, by increasing resistance to oxidative damage. Freeman, U.S. Patent No. 5,468,752, discloses the use of the antiviral phosphonylmethoxyalkylcytosines to reduce abnormally increased intraocular pressure.

Hamilton and Steiner disclose in U.S. Patent No. 5,614,547 novel pyrrolidine carboxylate compounds which bind to the immunophilin FKBP12 and stimulate nerve growth, but which lack immunosuppressive effects. Unexpectedly, it has been discovered that these non-immunosuppressant compounds promote improvements in vision and resolve ophthalmologic disorders. Yet their novel small molecule structure and non-immunosuppressive properties differentiate them from FK506 and related immunosuppressive compounds found in the prior art.

Further, these compounds may be differentiated from the non-immunosuppressive compounds used to treat vision disorders by their novel small molecule structure and their lack of general, systemic effects. Naturally occurring hormones, growth factors, cytokines, and signaling molecules are generally multifunctional and activate many genes in diverse cell lines. The present compounds do not, thus avoiding the unexpected, and potentially dangerous, side effects of systemic use. Similarly, the present compounds also avoid the potential unexpected side effects of introducing cell line-specific molecules into other cell lines where they do not naturally occur.

#### SUMMARY OF THE INVENTION

The present invention relates to a method for treating a vision disorder, improving vision, treating memory impairment or enhancing memory performance in an animal, which comprises administering to said animal an effective

amount of an N-oxide of a heterocyclic ester, amide, thioester, or ketone compound.

The present invention further relates to a pharmaceutical composition for treating a vision disorder, improving vision, treating memory impairment, or enhancing memory performance in an animal which comprises:

- (i) a pharmaceutically effective amount of an N-oxide of a heterocyclic ester, amide, thioester, or ketone compound for treating a vision disorder, improving vision, treating memory impairment, or enhancing memory performance in an animal; and
- (ii) a pharmaceutically acceptable carrier.

#### Brief Description of the Drawings

Figure 1 A, B and C show that GPI 1046 protects retinal ganglion cells against degeneration following retinal ischemia.

Figure 2 shows that GPI 1046 prevents degeneration of optic nerve axons and myelin following retinal ischemia.

Figure 3 shows that GPI 1046 provides moderate protection against retinal ganglion cell death after optic nerve transection.

Figure 4 shows that GPI 1046 treatment duration significantly affects the process of optic nerve axonal degeneration after transection.

Figure 5 shows that GPI 1046 treatment produces a greater effect on optic nerve axons than ganglion cell bodies.

Figure 6 shows that GPI 1046 treatment for 28 days after optic nerve transection prevents myelin degeneration in the proximal stump.

Figure 7 shows that FKBP-12 immunohistochemistry labels oligodendroglia (large dark cells with fibrous processes), the cells which produce myelin, located between the fascicles of optic nerve fibers, and also some optic nerve axons.

5

Figure 8 shows GPI 1046 treatment for 28 days after optic nerve transection prevents myelin degeneration in the distal stump.

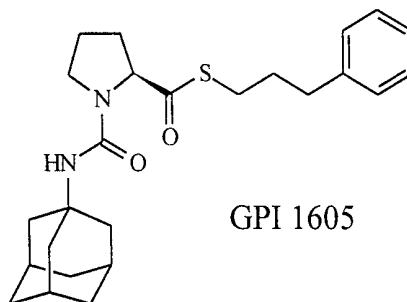
10 Figure 9 shows that 28 day treatment with GPI 1046 treatment beginning 8 weeks after onset of streptozotocin induced diabetes decreases the extent of neovascularization in the inner and outer retina and protects neurons in the inner nuclear layer (INL) and ganglion cell layer (GCL) from  
15 degeneration.

### DETAILED DESCRIPTION OF THE INVENTION

#### Definitions

"Eye" refers to the anatomical structure responsible for  
20 vision in humans and other animals, and encompasses the following anatomical structures, without limitation: lens, vitreous body, ciliary body, posterior chamber, anterior chamber, pupil, cornea, iris, canal of Schlemm, zonules of Zinn, limbus, conjunctiva, choroid, retina, central vessels  
25 of the retina, optic nerve, fovea centralis, macula lutea, and sclera.

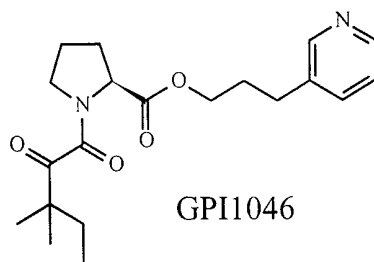
"GPI 1605" refers a compound of formula



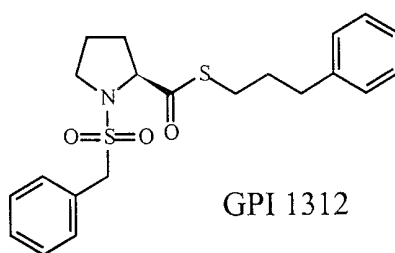
GPI 1605

30 "GPI 1046" refers to 3-(3-pyridyl)-1-propyl (2s)-1-(3,3-

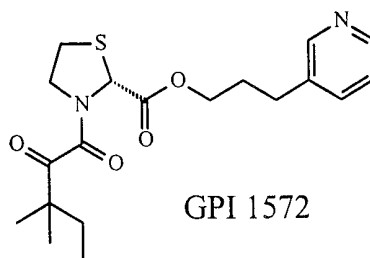
dimethyl-1,2-dioxopentyl)-2-pyrrolidinecarboxylate, a compound of formula



5 "GPI 1312" refers to a compound of formula

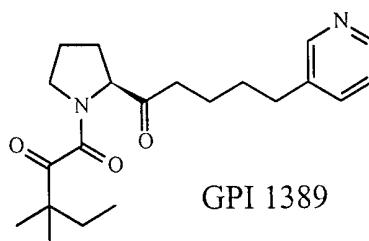


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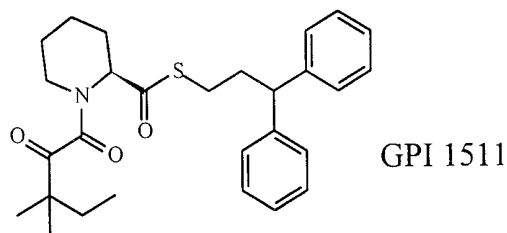


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"GPI 1389" refers to a compound of formula

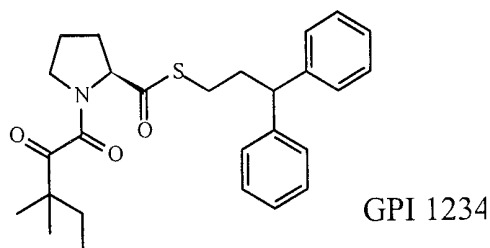


"GPI 1511" refers to a compound of formula



"GPI 1234" refers to a compound of formula

5



"Isomers" refer to different compounds that have the same molecular formula. "Stereoisomers" are isomers that differ only in the way the atoms are arranged in space. "Enantiomers" are a pair of stereoisomers that are non-superimposable mirror images of each other. "Diastereoisomers" are stereoisomers which are not mirror images of each other. "Racemic mixture" means a mixture containing equal parts of individual enantiomers. "Non-racemic mixture" is a mixture containing unequal parts of individual enantiomers or stereoisomers.

"Enhancing memory performance" refers to improving or increasing the mental faculty by which to register, retain or recall past experiences, knowledge, ideas, sensations, thoughts or impressions.

"Memory impairment" refers to a diminished mental registration, retention or recall of past experiences, knowledge, ideas, sensations, thoughts or impressions. Memory impairment may affect short and long-term information retention, facility with spatial relationships, memory (rehearsal) strategies, and verbal retrieval and production. Common causes of memory impairment are age, severe head trauma, brain anoxia or ischemia, alcoholic-nutritional

diseases, and drug intoxications. Examples of memory impairment include, without limitation, benign forgetfulness, amnesia and any disorder in which memory deficiency is present, such as Korsakoff's amnesic psychosis, dementia and  
5 learning disorders.

"Neopsic factors" or "neopsics" refers to compounds useful in treating vision loss, preventing vision degeneration, or promoting vision regeneration.

"Neopsis" refers to the process of treating vision loss,  
10 preventing vision degeneration, or promoting vision regeneration.

"Ophthalmological" refers to anything about or concerning the eye, without limitation, and is used interchangeably with "ocular," "ophthalmic,"  
15 "ophthalmologic," and other such terms, without limitation.

"Pharmaceutically acceptable salt, ester, or solvate" refers to a salt, ester, or solvate of a subject compound which possesses the desired pharmacological activity and which is neither biologically nor otherwise undesirable. A  
20 salt, ester, or solvate can be formed with inorganic acids such as acetate, adipate, alginate, aspartate, benzoate, benzenesulfonate, bisulfate, butyrate, citrate, camphorate, camphorsulfonate, cyclopentanepropionate, digluconate, dodecylsulfate, ethanesulfonate, fumarate, glucoheptanoate, gluconate, glycerophosphate, hemisulfate, heptanoate,  
25 hexanoate, hydrochloride, hydrobromide, hydroiodide, 2-hydroxyethanesulfonate, lactate, maleate, methanesulfonate, naphthylate, 2-naphthalenesulfonate, nicotinate, oxalate, sulfate, thiocyanate, tosylate and undecanoate. Examples of  
30 base salts, esters, or solvates include ammonium salts; alkali metal salts, such as sodium and potassium salts; alkaline earth metal salts, such as calcium and magnesium salts; salts with organic bases, such as dicyclohexylamine salts; N-methyl-D-glucamine; and salts with amino acids, such  
35 as arginine, lysine, and so forth. Also, the basic nitrogen-containing groups can be quarternized with such agents as



lower alkyl halides, such as methyl, ethyl, propyl, and butyl chlorides, bromides, and iodides; dialkyl sulfates, such as dimethyl, diethyl, dibutyl, and diamyl sulfates; long chain halides, such as decyl, lauryl, myristyl, and stearyl  
5 chlorides, bromides, and iodides; aralkyl halides, such as benzyl and phenethyl bromides; and others. Water or oil-soluble or dispersible products are thereby obtained.

"Preventing vision degeneration" refers to the ability to prevent degeneration of vision in patients newly diagnosed  
10 as having a degenerative disease affecting vision, or at risk of developing a new degenerative disease affecting vision, and for preventing further degeneration of vision in patients who are already suffering from or have symptoms of a degenerative disease affecting vision.

15 "Promoting vision regeneration" refers to maintaining, improving, stimulating or accelerating recovery of, or revitalizing one or more components of the visual system in a manner which improves or enhances vision, either in the presence or absence of any ophthalmologic disorder, disease,  
20 or injury.

"Treating" refers to:

(i) preventing a disease and/or condition from occurring in a subject which may be predisposed to the disease and/or condition but has not yet been diagnosed as  
25 having it;

(ii) inhibiting the disease and/or condition, i.e., arresting its development; or

(iii) relieving the disease and/or condition, i.e., causing regression of the disease and/or condition.

30 "Vision" refers to the ability of humans and other animals to process images, and is used interchangeably with "sight", "seeing", and other such terms, without limitation.

"Vision disorder" refers to any disorder that affects or involves vision, including without limitation visual  
35 impairment, orbital disorders, disorders of the lacrimal apparatus, disorders of the eyelids, disorders of the

conjunctiva, disorders of the cornea, cataracts, disorders of the uveal tract, disorders of the retina, disorders of the optic nerve or visual pathways, free radical induced eye disorders and diseases, immunologically-mediated eye disorders and diseases, eye injuries, and symptoms and complications of eye disease, eye disorder, or eye injury.

"Visual impairment" refers to any dysfunction in vision including without limitation disturbances or diminution in vision (e.g., binocular, central, peripheral, scotopic), visual acuity for objects near and far, visual field, ocular motility, color perception, adaptation to light and dark, accommodation, refraction, and lacrimation. See Physician's Desk Reference (PDR) for Ophthalmology, 16<sup>th</sup> Edition, 6:47 (1988).

15

#### Methods of the Present Invention

The present invention relates to a method of treating a vision disorder, improving vision, treating memory impairment, or enhancing memory performance in an animal, which comprises administering to said animal an effective amount of a derivative.

The inventive methods are particularly useful for treating various eye disorders including, but not limited to visual disorders, diseases, injuries, and complications, genetic disorders; disorders associated with aging or degenerative vision diseases; vision disorders correlating to physical injury to the eye, head, or other parts of the body resulting from external forces; vision disorders resulting from environmental factors; vision disorders resulting from a broad range of diseases; and combinations of any of the above.

In particular, the compositions and methods of the present invention are useful for improving vision, or correcting, treating, or preventing visual (ocular) impairment or dysfunction of the visual system, including permanent and temporary visual impairment, without

limitation. The present invention is also useful in preventing and treating ophthalmologic diseases and disorders, treating damaged and injured eyes, and preventing and treating diseases, disorders, and injuries which result in vision deficiency, vision loss, or reduced capacity to see or process images, and the symptoms and complications resulting from same. The eye diseases and disorders which may be treated or prevented by the compositions and methods of the present invention are not limited with regard to the cause of said diseases or disorders. Accordingly, said compositions and methods are applicable whether the disease or disorder is caused by genetic or environmental factors, as well as any other influences. The compositions and methods of the present invention are particularly useful for eye problems or vision loss or deficiency associated with all of the following, without limitation: aging, cellular or physiological degeneration, central nervous system or neurological disorder, vascular defects, muscular defects, and exposure to adverse environmental conditions or substances.

The compositions and methods of the present invention are particularly useful in correcting, treating, or improving visual impairment, without limitation. Visual impairment in varying degrees occurs in the presence of a deviation from normal in one or more functions of the eye, including (1) visual acuity for objects at distance and near; (2) visual fields; and (3) ocular motility without diplopia. See *Physicians' Desk Reference (PDR) for Ophthalmology, 16th Edition, 6:47 (1988)*. Vision is imperfect without the coordinated function of all three. *Id.*

Said compositions and methods of use are also useful in correcting, treating, or improving other ocular functions including, without limitation, color perception, adaptation to light and dark, accommodation, metamorphopsia, and binocular vision. The compositions and methods of use are particularly useful in treating, correcting, or preventing

ocular disturbances including, without limitation, paresis of accommodation, iridoplegia, entropion, ectropion, epiphora, lagophthalmos, scarring, vitreous opacities, non-reactive pupil, light scattering disturbances of the cornea or other  
5 media, and permanent deformities of the orbit.

The compositions and methods of use of the present invention are also highly useful in improving vision and treating vision loss. Vision loss ranging from slight loss to absolute loss may be treated or prevented using said  
10 compositions and methods of use. Vision may be improved by the treatment of eye disorders, diseases, and injuries using the compositions and methods of the invention. However, improvements in vision using the compositions and methods of use are not so limited, and may occur in the absence of any  
15 such disorder, disease, or injury.

The compositions and methods of the present invention are also useful in the treatment or prevention of the following non-limiting exemplary diseases and disorders, and symptoms and complications resulting therefrom.

20 Vision disorders include but are not limited to the following:

visual impairment, such as diminished visual acuity for objects near and far, visual fields, and ocular motility;

orbital disorders, such as orbital cellulitis, periorbital cellulitis, cavernous sinus thrombosis, and  
25 exophthalmos (proptosis);

disorders of the lacrimal apparatus, such as dacryostenosis, congenital dacryostenosis, and dacryocystitis (acute or chronic);

30 disorders of the eyelids, such as lid edema, blepharitis, ptosis, Bell's palsy, blepharospasm, hordeolum (stye), external hordeolum, internal hordeolum (meibomian stye), chalazion, entropion (inversion of the eyelid), ectropion (eversion of the eyelid), tumors (benign and  
35 malignant), xanthelasma, basal cell carcinoma, squamous cell carcinoma, meibomian gland carcinoma, and melanoma;

disorders of the conjunctiva, such as pinguecula, pterygium, and other neoplasms, acute conjunctivitis, chronic conjunctivitis, adult gonococcal conjunctivitis, neonatal conjunctivitis, trachoma (granular conjunctivitis or Egyptian ophthalmia), inclusion conjunctivitis (inclusion blenorrhea or swimming pool conjunctivitis), neonatal inclusion conjunctivitis, adult inclusion conjunctivitis, vernal keratoconjunctivitis, keratoconjunctivitis sicca (keratitis sicca or dry eye syndrome), episcleritis, scleritis, cicatricial pemphigoid (ocular cicatricial pemphigoid or benign mucous membrane pemphigoid), and subconjunctival hemorrhage;

disorders of the cornea, such as superficial punctate keratitis, corneal ulcer, indolent ulcer, recurrent corneal erosion, corneal epithelial basement membrane dystrophy, corneal endothelial cell dystrophy, herpes simplex keratitis (herpes simplex keratoconjunctivitis), dendritic keratitis, disciform keratitis, ophthalmic herpes zoster, phlyctenular keratoconjunctivitis (phlyctenular or eczematous conjunctivitis), interstitial keratitis (parenchymatous keratitis), peripheral ulcerative keratitis (marginal keratolysis or peripheral rheumatoid ulceration), keratomalacia (xerotic keratitis), xerophthalmia, keratoconus, bullous keratopathy;

cataracts, including developmental or congenital cataracts, juvenile or adult cataracts, nuclear cataract, posterior subcapsular cataracts;

disorders of the uveal tract, such as uveitis (inflammation of the uveal tract or retina), anterior uveitis, intermediate uveitis, posterior uveitis, iritis, cyclitis, choroiditis, ankylosing spondylitis, Reiter's syndrome, pars planitis, toxoplasmosis, cytomegalovirus (CMV), acute retinal necrosis, toxocariasis, birdshot choroidopathy, histoplasmosis (presumed ocular histoplasmosis syndrome), Behcet's syndrome, sympathetic ophthalmia, Vogt-Koyanagi-Harada syndrome, sarcoidosis, reticulum cell

sarcoma, large cell lymphoma, syphilis, tuberculosis, juvenile rheumatoid arthritis, endophthalmitis, and malignant melanoma of the choroid;

disorders of the retina, such as vascular retinopathies  
5 (e.g., arteriosclerotic retinopathy and hypertensive retinopathy), central and branch retinal artery occlusion, central and branch retinal vein occlusion, diabetic retinopathy (e.g., proliferative retinopathy and non-proliferative retinopathy), macular degeneration of the aged  
10 (age-related macular degeneration or senile macular degeneration), neovascular macular degeneration, retinal detachment, retinitis pigmentosa, retinal photic injury, retinal ischemia-induced eye injury, and glaucoma (e.g., primary glaucoma, chronic open-angle glaucoma, acute or  
15 chronic angle-closure, congenital (infantile) glaucoma, secondary glaucoma, and absolute glaucoma);

disorders of the optic nerve or visual pathways, such as papilledema (choked disk), papillitis (optic neuritis), retrobulbar neuritis, ischemic optic neuropathy, toxic  
20 amblyopia, optic atrophy, higher visual pathway lesions, disorders of ocular motility (e.g., third cranial nerve palsies, fourth cranial nerve palsies, sixth cranial nerve palsies, internuclear ophthalmoplegia, and gaze palsies);

free radical induced eye disorders and diseases; and  
25 immunologically-mediated eye disorders and diseases, such as Graves' ophthalmopathy, conical cornea, dystrophia epithelialis corneae, corneal leukoma, ocular pemphigus, Mooren's ulcer, scleritis, and sarcoidosis (*See The Merck Manual*, Sixteenth Edition, 217:2365-2397 (1992) and *The Eye*  
30 *Book*, Cassel, Billig, and Randall, The Johns Hopkins University Press (1998)).

The compositions and methods of the present invention are also useful in the treatment of the following non-limiting eye injuries, and symptoms and complications  
35 resulting therefrom: conjunctival and corneal foreign body injuries, corneal abrasion, intraocular foreign body

injuries, lacerations, lid lacerations, contusions, lid contusions (black eye), trauma to the globe, laceration of the iris, cataract, dislocated lens, glaucoma, vitreous hemorrhage, orbital-floor fractures, retinal hemorrhage or  
5 detachment, and rupture of the eyeball, anterior chamber hemorrhage (traumatic hyphema), burns, eyelid burns, chemical burns, chemical burns of the cornea and conjunctiva, and ultraviolet light burns (sunburn). See *The Merck Manual, Sixteenth Edition*, 217:2364-2365 (1992).

10 The compositions and methods of the present invention are also useful in treating and/or preventing the following non-limiting exemplary symptoms and complications of eye disease, eye disorder or eye injury: subconjunctival hemorrhages, vitreous hemorrhages, retinal hemorrhages,  
15 floaters, retinal detachments, photophobia, ocular pain, scotomas (negative and positive), errors of refraction, emmetropia, ametropia, hyperopia (farsightedness), myopia (nearsightedness), astigmatism, anisometropia, aniseikonia, presbyopia, bleeding, recurrent bleeding, sympathetic  
20 ophthalmia, inflammation, swelling, redness of the eye, irritation of the eye, corneal ulceration and scarring, iridocyclitis, perforation of the globe, lid deformities, exophthalmos, impaired mobility of the eye, lid swelling, chemosis, loss of vision, including partial or total  
25 blindness, optic neuritis, fever, malaise, thrombophlebitis, cavernous sinus thrombosis, panophthalmitis, infection of the meninges and brain, papilledema, severe cerebral symptoms (headache, decreased level of consciousness, and convulsions), cranial nerve palsies, epiphora (chronic or  
30 persistent tearing), copious reflux of mucus or pus, follicular subconjunctival hyperplasia, corneal vascularization, cicatrization of the conjunctiva, cornea, and lids, pannus, hypopyon, lagophthalmos, phlyctenules, rubeosis iridis, bitemporal hemianopia, and homonymous  
35 hemianopia. See *The Merck Manual, Sixteenth Edition*, 217:2362-2363 (1992).

The derivative may be administered in combination with an effective amount of one or more factor(s) useful in treating vision disorder, improving vision, treating memory impairment, or enhancing memory performance.

5 In a preferred embodiment, the factor(s) to be combined with the derivative is/are selected from the group consisting of immunosuppressants for treating autoimmune, inflammatory, and immunologically-mediated disorders; wound healing agents for treating wounds resulting from injury or surgery;  
10 antiglaucomatous medications for treating abnormally elevated intraocular pressure; neurotrophic factors and growth factors for treating neurodegenerative disorders or stimulating neurite outgrowth; compounds effective in limiting or preventing hemorrhage or neovascularization for treating  
15 macular degeneration; and antioxidants for treating oxidative damage to eye tissues.

#### Pharmaceutical Compositions of the Present Invention

The present invention also relates to a pharmaceutical  
20 composition comprising:

- (i) an effective amount of an N-oxide of a heterocyclic ester, amide, thioester, or ketone and
- (ii) a pharmaceutically acceptable carrier.

25

#### N-OXIDES OF HETEROCYCLIC ESTERS, AMIDES, THIOESTERS, AND KETONES

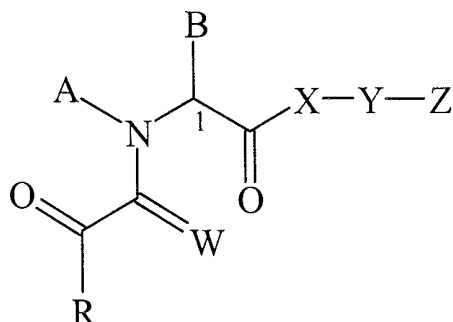
The N-oxides of heterocyclic esters, amides, thioesters, and ketones used in the methods and pharmaceutical  
30 compositions of the present invention are low molecular weight, small molecule compounds having an affinity for FKBP-type immunophilins, such as FKBP12. When an N-oxide of a heterocyclic ester, amide, thioester, or ketone binds to an FKBP-type immunophilin, it has been found to inhibit the  
35 prolyl-peptidyl *cis-trans* isomerase, or rotamase, activity of the binding protein. The compounds are devoid of any



significant immunosuppressive activity.

# **FORMULA I**

The N-oxide of a heterocyclic ester, amide, thioester,  
5 or ketone may be a compound of formula I



I

or a pharmaceutically acceptable salt, ester, or solvate thereof, wherein:

10 A and B are taken together, with the nitrogen and carbon atoms to which they are respectively attached, to form a 5-7 membered saturated or unsaturated heterocyclic ring containing any combination of CH, CH<sub>2</sub>, O, S, SO, SO<sub>2</sub>, N, NH and NR<sub>1</sub>;

15 W is O, S, CH<sub>2</sub>, or H<sub>2</sub>;

R is C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, or Ar<sub>1</sub>, which is optionally substituted with one or more substituent(s) independently selected from the  
20 group consisting of C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, and Ar<sub>2</sub>;

Ar<sub>1</sub> and Ar<sub>2</sub> are independently selected from the group consisting of 1-naphthyl, 2-naphthyl, 1-indolyl, 2-indolyl, 2-furyl, 3-furyl, 2-thienyl, 3-thienyl, 2-pyridyl, 3-pyridyl,  
25 4-pyridyl and phenyl, having one or more substituent(s) independently selected from the group consisting of hydrogen, halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, and amino;

30 X is O, NH, NR<sub>1</sub>, S, CH, CR<sub>1</sub>, or CR<sub>1</sub>R<sub>3</sub>;

Y is a direct bond, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, or C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl; wherein said alkyl or alkenyl is optionally substituted with one or more substituent(s) independently selected from the group  
5 consisting of C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, hydroxy, carbonyl oxygen, and Ar; wherein said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or  
10 carbonyl oxygen; wherein any carbon atom of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally replaced with O, NH, NR<sub>2</sub>, S, SO, or SO<sub>2</sub>;

R<sub>2</sub> is selected from the group consisting of hydrogen, C<sub>1</sub>-C<sub>4</sub> straight or branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or  
15 branched chain alkenyl or alkynyl, and C<sub>1</sub>-C<sub>4</sub> bridging alkyl wherein a bridge is formed between the nitrogen and a carbon atom of said alkyl or alkenyl chain containing said heteroatom to form a ring, wherein said ring is optionally fused to an Ar group;

20 Z is an aromatic amine or a tertiary amine oxidized to a corresponding N-oxide;

said aromatic amine is selected from the group consisting of pyridyl, pyrimidyl, quinolinyl, or isoquinolinyl, which is either unsubstituted or substituted  
25 with one or more substituent(s) independently selected from the group consisting of halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>1</sub>-C<sub>4</sub> alkoxy, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, and amino;

30 said tertiary amine is NR<sub>4</sub>R<sub>5</sub>R<sub>6</sub>, wherein R<sub>4</sub>, R<sub>5</sub>, and R<sub>6</sub> are independently selected from the group consisting of C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl optionally substituted with one or more substituent(s) independently selected from the group  
35 consisting of C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub>

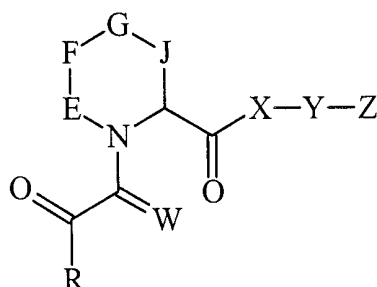
cycloalkenyl, hydroxy, carbonyl oxygen, and Ar; wherein said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or carbonyl oxygen; wherein any carbon atom of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally replaced with O, NH, NR<sub>1</sub>, S, SO, or SO<sub>2</sub>;

Ar is selected from the group consisting of pyrrolidinyl, pyridyl, pyrimidyl, pyrazyl, pyridazyl, quinolinyl, and isoquinolinyl; and

R<sub>1</sub> and R<sub>3</sub> are independently hydrogen, C<sub>1</sub>-C<sub>4</sub> straight or branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or branched chain alkenyl or alkynyl, or Y-Z.

#### FORMULA II

Additionally, the N-oxide of a heterocyclic ester, amide, thioester, or ketone may be a compound of formula II



II

or a pharmaceutically acceptable salt, ester, or solvate thereof, wherein:

E, F, G and J are independently CH<sub>2</sub>, O, S, SO, SO<sub>2</sub>, NH or NR<sub>1</sub>;

W is O, S, CH<sub>2</sub>, or H<sub>2</sub>;

R is C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, or Ar<sub>1</sub>, which is optionally substituted with one or more substituent(s) independently selected from the group consisting of C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, and Ar<sub>1</sub>;

Ar<sub>1</sub> is selected from the group consisting of 1-naphthyl, 2-naphthyl, 1-indolyl, 2-indolyl, 2-furyl, 3-furyl, 2-thienyl,

3-thienyl, 2-pyridyl, 3-pyridyl, 4-pyridyl, and phenyl, having one or more substituent(s) independently selected from the group consisting of hydrogen, halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, and amino;

X is O, NH, NR<sub>1</sub>, S, CH, CR<sub>1</sub>, or CR<sub>1</sub>R<sub>3</sub>;

Y is a direct bond, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, or C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl; wherein said alkyl or alkenyl is optionally substituted with one or more substituent(s) independently selected from the group consisting of C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, hydroxy, carbonyl oxygen, and Ar; wherein said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or carbonyl oxygen; wherein any carbon atom of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally replaced with O, NH, NR<sub>2</sub>, S, SO, or SO<sub>2</sub>;

R<sub>2</sub> is selected from the group consisting of hydrogen, C<sub>1</sub>-C<sub>4</sub> straight or branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or branched chain alkenyl or alkynyl, and C<sub>1</sub>-C<sub>4</sub> bridging alkyl wherein a bridge is formed between the nitrogen and a carbon atom of said alkyl or alkenyl chain containing said heteroatom to form a ring, wherein said ring is optionally fused to an Ar group;

Z is an aromatic amine or a tertiary amine oxidized to a corresponding N-oxide;

said aromatic amine is pyridyl, pyrimidyl, quinolinyl, and isoquinolinyl, which is either unsubstituted or substituted with one or more substituent(s) independently selected from the group consisting of halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>1</sub>-C<sub>4</sub> alkoxy, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, and amino;

said tertiary amine is NR<sub>4</sub>R<sub>5</sub>R<sub>6</sub>, wherein R<sub>4</sub>, R<sub>5</sub>, and R<sub>6</sub> are

independently selected from the group consisting of C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl and C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl; wherein said alkyl or alkenyl is optionally substituted with one or more substituent(s)  
5 independently selected from the group consisting of C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, hydroxy, carbonyl oxygen, and Ar; wherein said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally substituted  
10 with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or carbonyl oxygen; wherein any carbon atom of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally replaced with O, NH, NR<sub>1</sub>, S, SO, or SO<sub>2</sub>;

Ar is selected from the group consisting of  
15 pyrrolidinyl, pyridyl, pyrimidyl, pyrazyl, pyridazyl, quinolinyl, and isoquinolinyl; and

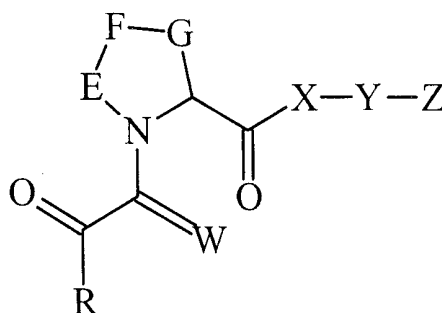
R<sub>1</sub> and R<sub>3</sub> are independently hydrogen, C<sub>1</sub>-C<sub>4</sub> straight or branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or branched chain alkenyl or alkynyl, or Y-Z.

20

### FORMULA III

The N-oxide of a heterocyclic ester, amide, thioester, or ketone may further be a compound of formula III

25



III

or a pharmaceutically acceptable salt, ester, or solvate thereof, wherein:

E, F, and G are independently CH<sub>2</sub>, O, S, SO, SO<sub>2</sub>, NH or  
30 NR<sub>1</sub>;

W is O, S, CH<sub>2</sub>, or H<sub>2</sub>;

R is C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, or Ar<sub>1</sub>, which is optionally substituted with  
5 one or more substituent(s) independently selected from the group consisting of C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, and Ar<sub>1</sub>;

Ar<sub>1</sub> is selected from the group consisting of 1-naphthyl, 2-naphthyl, 1-indolyl, 2-indolyl, 2-furyl, 3-furyl, 2-thienyl,  
10 3-thienyl, 2-pyridyl, 3-pyridyl, 4-pyridyl and phenyl, having one or more substituent(s) independently selected from the group consisting of hydrogen, halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy,  
15 benzyloxy, and amino;

X is O, NH, NR<sub>1</sub>, S, CH, CR<sub>1</sub>, or CR<sub>1</sub>R<sub>3</sub>;

Y is a direct bond, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, or C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl; wherein said alkyl or alkenyl is optionally substituted with one or  
20 more substituent(s) independently selected from the group consisting of C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, hydroxy, carbonyl oxygen, and Ar; wherein said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally  
25 substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or carbonyl oxygen; wherein any carbon atom of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally replaced with O, NH, NR<sub>2</sub>, S, SO, or SO<sub>2</sub>;

R<sub>2</sub> is selected from the group consisting of hydrogen, C<sub>1</sub>-  
30 C<sub>4</sub> straight or branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or branched chain alkenyl or alkynyl, and C<sub>1</sub>-C<sub>4</sub> bridging alkyl wherein a bridge is formed between the nitrogen and a carbon atom of said alkyl or alkenyl chain containing said heteroatom to form a ring, wherein said ring is optionally  
35 fused to an Ar group;

Z is an aromatic amine or a tertiary amine oxidized to

a corresponding N-oxide;

said aromatic amine is pyridyl, pyrimidyl, quinolinyl, or isoquinolinyl, which is either unsubstituted or substituted with one or more substituent(s) independently  
5 selected from the group consisting of halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>1</sub>-C<sub>4</sub> alkoxy, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, and amino;

said tertiary amine is NR<sub>4</sub>R<sub>5</sub>R<sub>6</sub>, wherein R<sub>4</sub>, R<sub>5</sub>, and R<sub>6</sub> are  
10 independently selected from the group consisting of C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl and C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl; wherein said alkyl or alkenyl is optionally substituted with one or more substituent(s) independently selected from the group consisting of C<sub>1</sub>-C<sub>6</sub>  
15 straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, hydroxy, carbonyl oxygen, and Ar; wherein said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or carbonyl oxygen;  
20 wherein any carbon atom of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally replaced with O, NH, NR<sub>1</sub>, S, SO, or SO<sub>2</sub>;

Ar is selected from the group consisting of pyrrolidinyl, pyridyl, pyrimidyl, pyrazyl, pyridazyl,  
25 quinolinyl, and isoquinolinyl; and

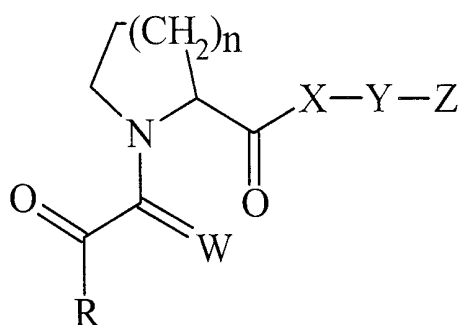
R<sub>1</sub> and R<sub>3</sub> are independently hydrogen, C<sub>1</sub>-C<sub>4</sub> straight or branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or branched chain alkenyl or alkynyl, or Y-Z.

30

#### FORMULA IV

Moreover, the N-oxide of a heterocyclic ester, amide, thioester, or ketone may be a compound of formula IV

## IV



or a pharmaceutically acceptable salt, ester, or solvate thereof, wherein:

- 5         $n$  is 1, 2, or 3, forming a 5-7 member heterocyclic ring;  
        $\text{W}$  is O, S,  $\text{CH}_2$ , or  $\text{H}_2$ ;

$\text{R}$  is  $\text{C}_1$ - $\text{C}_6$  straight or branched chain alkyl,  $\text{C}_2$ - $\text{C}_6$  straight or branched chain alkenyl,  $\text{C}_3$ - $\text{C}_8$  cycloalkyl,  $\text{C}_5$ - $\text{C}_7$  cycloalkenyl, or  $\text{Ar}_1$ , which is optionally substituted with  
 10 one or more substituent(s) independently selected from the group consisting of  $\text{C}_1$ - $\text{C}_4$  alkyl,  $\text{C}_2$ - $\text{C}_4$  alkenyl, hydroxy,  $\text{C}_3$ - $\text{C}_8$  cycloalkyl,  $\text{C}_5$ - $\text{C}_7$  cycloalkenyl, and  $\text{Ar}_1$ ;

$\text{Ar}_1$  is selected from the group consisting of 1-naphthyl, 2-naphthyl, 1-indolyl, 2-indolyl, 2-furyl, 3-furyl, 2-thienyl,  
 15 3-thienyl, 2-pyridyl, 3-pyridyl, 4-pyridyl and phenyl, having one or more substituent(s) independently selected from the group consisting of hydrogen, halo, hydroxy, nitro, trifluoromethyl,  $\text{C}_1$ - $\text{C}_6$  straight or branched chain alkyl,  $\text{C}_2$ - $\text{C}_6$  straight or branched chain alkenyl,  $\text{C}_2$ - $\text{C}_4$  alkenyloxy, phenoxy,  
 20 benzyloxy, and amino;

$\text{X}$  is O, NH,  $\text{NR}_1$ , S, CH,  $\text{CR}_1$ , or  $\text{CR}_1\text{R}_3$ ;

$\text{Y}$  is a direct bond,  $\text{C}_1$ - $\text{C}_6$  straight or branched chain alkyl, or  $\text{C}_2$ - $\text{C}_6$  straight or branched chain alkenyl; wherein said alkyl or alkenyl is optionally substituted with one or  
 25 more substituent(s) independently selected from the group consisting of  $\text{C}_1$ - $\text{C}_6$  straight or branched chain alkyl,  $\text{C}_2$ - $\text{C}_6$  straight or branched chain alkenyl,  $\text{C}_3$ - $\text{C}_8$  cycloalkyl,  $\text{C}_5$ - $\text{C}_7$  cycloalkenyl, hydroxy, carbonyl oxygen, and Ar; wherein said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally  
 30 substituted with  $\text{C}_1$ - $\text{C}_4$  alkyl,  $\text{C}_2$ - $\text{C}_4$  alkenyl, hydroxy, or



carbonyl oxygen; wherein any carbon atom of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally replaced with O, NH, NR<sub>2</sub>, S, SO, or SO<sub>2</sub>;

R<sub>2</sub> is selected from the group consisting of hydrogen, C<sub>1</sub>-C<sub>4</sub> straight or branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or branched chain alkenyl or alkynyl, and C<sub>1</sub>-C<sub>4</sub> bridging alkyl wherein a bridge is formed between the nitrogen and a carbon atom of said alkyl or alkenyl chain containing said heteroatom to form a ring, wherein said ring is optionally fused to an Ar group;

Z is an aromatic amine or a tertiary amine oxidized to a corresponding N-oxide;

said aromatic amine is pyridyl, pyrimidyl, quinolinyl, or isoquinolinyl, which is either unsubstituted or substituted with one or more substituent(s) independently selected from the group consisting of halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>1</sub>-C<sub>4</sub> alkoxy, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, and amino;

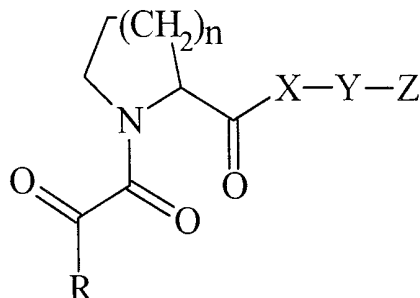
said tertiary amine is NR<sub>4</sub>R<sub>5</sub>R<sub>6</sub>, wherein R<sub>4</sub>, R<sub>5</sub>, and R<sub>6</sub> are independently selected from the group consisting of C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl and C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl; wherein said alkyl or alkenyl is optionally substituted with one or more substituent(s) independently selected from the group consisting of C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, hydroxy, carbonyl oxygen, and Ar; wherein said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or carbonyl oxygen; wherein any carbon atom of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally replaced with O, NH, NR<sub>1</sub>, S, SO, or SO<sub>2</sub>;

Ar is selected from the group consisting of pyrrolidinyl, pyridyl, pyrimidyl, pyrazyl, pyridazyl, quinolinyl, and isoquinolinyl; and

$R_1$  and  $R_3$  hydrogen,  $C_1$ - $C_4$  straight or branched chain alkyl,  $C_3$ - $C_4$  straight or branched chain alkenyl or alkynyl, or Y-Z.

Examples of the compounds of formula IV when W is O are presented in TABLE I.

TABLE A



No.	n	X	Y	Z	R
1	1	O	(CH <sub>2</sub> ) <sub>3</sub>	3-Pyridyl N-oxide	1,1-dimethylpropyl
2	1	O	(CH <sub>2</sub> ) <sub>3</sub>	2-Pyridyl N-oxide	1,1-dimethylpropyl
3	1	O	(CH <sub>2</sub> ) <sub>3</sub>	4-Pyridyl N-oxide	1,1-dimethylpropyl
4	1	O	(CH <sub>2</sub> ) <sub>3</sub>	2-Quinolyl N-oxide	1,1-dimethylpropyl
5	1	O	(CH <sub>2</sub> ) <sub>3</sub>	3-Quinolyl N-oxide	1,1-dimethylpropyl
6	1	O	(CH <sub>2</sub> ) <sub>3</sub>	4-Quinolyl N-oxide	1,1-dimethylpropyl

Preferred compounds of formula IV may be selected from the group consisting of:

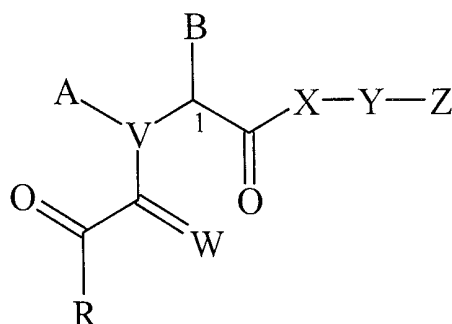
- 3 - (2-Pyridyl) -1-propyl (2S) -1- (1,1-Dimethyl-1,2-dioxopentyl) -2-pyrrolidinecarboxylate, N-oxide;
- 3 - (3-Pyridyl) -1-propyl (2S) -1- (1,1-Dimethyl-1,2-dioxopentyl) -2-pyrrolidinecarboxylate, N-oxide;
- 3 - (4-Pyridyl) -1-propyl (2S) -1- (1,1-Dimethyl-1,2-dioxopentyl) -2-pyrrolidinecarboxylate, N-oxide;
- 3 - (2-Quinolyl) -1-propyl (2S) -1- (1,1-Dimethyl-1,2-dioxopentyl) -2-pyrrolidinecarboxylate, N-oxide;
- 3 - (3-Quinolyl) -1-propyl (2S) -1- (1,1-Dimethyl-1,2-dioxopentyl) -2-pyrrolidinecarboxylate, N-oxide;

3-(4-Quinolyl)-1-propyl(2*S*)-1-(1,1-Dimethyl-1,2-dioxopentyl)-2-pyrrolidinecarboxylate, N-oxide; and pharmaceutically acceptable salts, esters, and solvates thereof.

5

**FORMULA V**

The N-oxide of a heterocyclic ester, amide, thioester, or ketone may further be a compound of formula V



10

or a pharmaceutically acceptable salt, ester, or solvate thereof, wherein:

V is C, N, or S;

A and B, taken together with V and the carbon atom to which they are respectively attached, form a 5-7 membered saturated or unsaturated heterocyclic ring containing, in addition to V, one or more heteroatom(s) independently selected from the group consisting of O, S, SO, SO<sub>2</sub>, N, NH, and NR<sub>7</sub>;

R<sub>7</sub> is either C<sub>1</sub>-C<sub>9</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>9</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>9</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, or Ar<sub>3</sub>, wherein R<sub>7</sub> is either unsubstituted or substituted with one or more substituent(s) independently selected from the group consisting of halo, haloalkyl, carbonyl, carboxy, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>1</sub>-C<sub>4</sub> alkoxy, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, thioalkyl, alkylthio, sulfhydryl, amino, alkylamino, aminoalkyl, aminocarboxyl, and Ar<sub>4</sub>;

Ar<sub>3</sub> and Ar<sub>4</sub> are independently an alicyclic or aromatic,

mono-, bi- or tricyclic, carbo- or heterocyclic ring; wherein the individual ring size is 5-8 members; wherein said heterocyclic ring contains 1-6 heteroatom(s) independently selected from the group consisting of O, N, and S; and

5 R, W, X, Y, and Z are as defined in Formula I above.

All the compounds of Formulas I-V possess asymmetric centers and thus can be produced as mixtures of stereoisomers or as individual R- and S- stereoisomers. The individual stereoisomers may be obtained by using an optically active  
10 starting material, by resolving a racemic or non-racemic mixture of an intermediate at some appropriate stage of the synthesis, or by resolving the compounds of Formulas I-V. It is understood that the compounds of Formulas I-V encompass individual stereoisomers as well as mixtures (racemic and  
15 non-racemic) of stereoisomers. Preferably, S-stereoisomers are used in the pharmaceutical compositions and methods of the present invention.

#### Affinity for FKBP12

20 The compounds used in the inventive methods and pharmaceutical compositions have an affinity for the FK506 binding protein, particularly FKBP12. The inhibition of the prolyl peptidyl *cis-trans* isomerase activity of FKBP may be measured as an indicator of this affinity.

25

#### K<sub>i</sub> Test Procedure

Inhibition of the peptidyl-prolyl isomerase (rotamase) activity of the compounds used in the inventive methods and pharmaceutical compositions can be evaluated by known methods  
30 described in the literature (Harding et al., *Nature*, 1989, 341:758-760; Holt et al. *J. Am. Chem. Soc.*, 115:9923-9938). These values are obtained as apparent K<sub>i</sub>'s.

The *cis-trans* isomerization of an alanine-proline bond in a model substrate, N-succinyl-Ala-Ala-Pro-Phe-p-  
35 nitroanilide, is monitored spectrophotometrically in a chymotrypsin-coupled assay, which releases para-nitroanilide

from the *trans* form of the substrate. The inhibition of this reaction caused by the addition of different concentrations of inhibitor is determined, and the data is analyzed as a change in first-order rate constant as a function of  
5 inhibitor concentration to yield the apparent  $K_i$  values.

In a plastic cuvette are added 950  $\mu$ L of ice cold assay buffer (25 mM HEPES, pH 7.8, 100 mM NaCl), 10  $\mu$ L of FKBP (2.5 mM in 10 mM Tris-Cl pH 7.5, 100 mM NaCl, 1 mM dithiothreitol), 25  $\mu$ L of chymotrypsin (50 mg/mL in 1 mM HCl)  
10 and 10  $\mu$ L of test compound at various concentrations in dimethyl sulfoxide. The reaction is initiated by the addition of 5  $\mu$ L of substrate (succinyl-Ala-Phe-Pro-Phe-*para*-nitroanilide, 5 mg/mL in 2.35 mM LiCl in trifluoroethanol).

The absorbance at 390 nm versus time is monitored for 90  
15 seconds using a spectrophotometer and the rate constants are determined from the absorbance versus time data files.

Data is presented in **Table B** for 3-(3-Pyridyl)-1-propyl(2*S*)-1-(1,1-Dimethyl-1,2-dioxopentyl)-2-pyrrolidine-carboxylate, N-oxide (compound 1) and its parent (unoxidized)  
20 compound.

**Table B**

In Vitro Test Results - Formulas I to V

	<u>Compound</u>	<u><math>K_i</math> (nM)</u>
25	Parent	7.5
	1	225

Route of Administration

To effectively treat vision loss or promote vision  
30 regeneration, the compounds used in the inventive methods and pharmaceutical compositions must readily affect the targeted areas.

Other routes of administration known in the pharmaceutical art are also contemplated by this invention.

35

### Dosage

Dosage levels on the order of about 0.1 mg to about 10,000 mg of the active ingredient compound are useful in the treatment of the above conditions, with preferred levels of about 0.1 mg to about 1,000 mg. The specific dose level for any particular patient will vary depending upon a variety of factors, including the activity of the specific compound employed; the age, body weight, general health, sex and diet of the patient; the time of administration; the rate of excretion; drug combination; the severity of the particular disease being treated; and the form of administration. Typically, *in vitro* dosage-effect results provide useful guidance on the proper doses for patient administration. Studies in animal models are also helpful. The considerations for determining the proper dose levels are well known in the art.

The compounds can be administered with other agents for treating vision loss, preventing vision degeneration, or promoting vision regeneration. Specific dose levels for such other agents will depend upon the factors previously stated and the effectiveness of the drug combination.

### EXAMPLES

The following examples are illustrative of the present invention and are not intended to be limitations thereon. Unless otherwise indicated, all percentages are based upon 100% by weight of the final composition.

#### EXAMPLE 1

Synthesis of 3-phenyl-1-propyl (2S)-1-(3,3-dimethyl-1,2-dioxopentyl)-2-pyrrolidinecarboxylate (1)  
Methyl (2S)-1-(1,2-dioxo-2-methoxyethyl)-2-pyrrolidinecarboxylate

A solution of L-proline methyl ester hydrochloride (3.08 g; 18.60 mmol) in dry methylene chloride was cooled to 0°C and treated with triethylamine (3.92 g; 38.74 mmol; 2.1 eq).

After stirring the formed slurry under a nitrogen atmosphere for 15 min, a solution of methyl oxalyl chloride (3.20 g; 26.12 mmol) in methylene chloride (45 ml) was added dropwise. The resulting mixture was stirred at 0°C for 1.5 hour. After  
5 filtering to remove solids, the organic phase was washed with water, dried over MgSO<sub>4</sub> and concentrated. The crude residue was purified on a silica gel column, eluting with 50% ethyl acetate in hexane, to obtain 3.52 g (88%) of the product as a reddish oil. Mixture of cis-trans amide rotamers; data for  
10 trans rotamer given. <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 1.93 (dm, 2H); 2.17 (m, 2H); 3.62 (m, 2H); 3.71 (s, 3H); 3.79, 3.84 (s, 3H total); 4.86 (dd, 1H, J = 8.4, 3.3).

Methyl (2S)-1-(1,2-dioxo-3,3-dimethylpentyl)-2-pyrrolidinecarboxylate

15 A solution of methyl (2S)-1-(1,2-dioxo-2-methoxyethyl)-2-pyrrolidinecarboxylate (2.35 g; 10.90 mmol) in 30 ml of tetrahydrofuran (THF) was cooled to -78°C and treated with 14.2 ml of a 1.0 M solution of 1,1-dimethylpropylmagnesium chloride in THF. After stirring the resulting homogeneous  
20 mixture at -78°C for three hours, the mixture was poured into saturated ammonium chloride (100 ml) and extracted into ethyl acetate. The organic phase was washed with water, dried, and concentrated, and the crude material obtained upon removal of the solvent was purified on a silica gel column, eluting with  
25 25% ethyl acetate in hexane, to obtain 2.10 g (75%) of the oxamate as a colorless oil. <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 0.88 (t, 3H); 1.22, 1.26 (s, 3H each); 1.75 (dm, 2H); 1.87-2.10 (m, 3H); 2.23 (m, 1H); 3.54 (m, 2H); 3.76 (s, 3H); 4.52 (dm, 1H, J = 8.4, 3.4).

30 Synthesis of (2S)-1-(1,2-dioxo-3,3-dimethylpentyl)-2-pyrrolidinecarboxylic acid

A mixture of methyl (2S)-1-(1,2-dioxo-3,3-dimethylpentyl)-2-pyrrolidinecarboxylate (2.10 g; 8.23 mmol), 1 N LiOH (15 ml), and methanol (50 ml) was stirred at 0°C for  
35 30 minutes and at room temperature overnight. The mixture was acidified to pH 1 with 1 N HCl, diluted with water, and

extracted into 100 ml of methylene chloride. The organic extract was washed with brine and concentrated to deliver 1.73 g (87%) of snow-white solid which did not require further purification. <sup>1</sup>H NMR (CDCl<sub>3</sub>): d 0.87 (t, 3H); 1.22, 5 1.25 (s, 3H each); 1.77 (dm, 2H); 2.02 (m, 2H); 2.17 (m, 1H); 2.25 (m, 1H); 3.53 (dd, 2H, J = 10.4, 7.3); 4.55 (dd, 1H, J = 8.6, 4.1).

3-Phenyl-1-propyl (2S)-1-(3,3-dimethyl-1,2-dioxopentyl)-2-pyrrolidinecarboxylate (1)

10 A mixture of (2S)-1-(1,2-dioxo-3,3-dimethylpentyl)-2-pyrrolidine-carboxylic acid (600 mg; 2.49 mmol), 3-phenyl-1-propanol (508 mg; 3.73 mmol), dicyclohexylcarbodiimide (822 mg; 3.98 mmol), camphorsulfonic acid (190 mg; 0.8 mmol) and 4-dimethylaminopyridine (100 mg; 0.8 mmol) in methylene 15 chloride (20 ml) was stirred overnight under a nitrogen atmosphere. The reaction mixture was filtered through Celite to remove solids and concentrated in vacuo, and the crude material was purified on a flash column (25% ethyl acetate in hexane) to obtain 720 mg (80%) of Example 1 as a colorless 20 oil. <sup>1</sup>H NMR (CDCl<sub>3</sub>): d 0.84 (t, 3H); 1.19 (s, 3H); 1.23 (s, 3H); 1.70 (dm, 2H); 1.98 (m, 5H); 2.22 (m, 1H); 2.64 (m, 2H); 3.47 (m, 2H); 4.14 (m, 2H); 4.51 (d, 1H); 7.16 (m, 3H); 7.26 (m, 2H).

25 **Figure 1. GPI 1046 protects retinal ganglion cells against degeneration following retinal ischemia.**

Retinal ganglion cells were retrogradely labeled in adult rats by bilateral injection of fluorogold in their lateral geniculate nuclei. Labeled ganglion cells in the normal rat 30 retina appear as white profiles against the dark background (Figure 1A). Complete retinal ischemia was produced by infusing normal saline solution into the retinal vitreous cavity of each eye until the intraocular pressure exceeded arterial blood pressure. 28 days after the ischemic episode 35 extensive degeneration of retinal ganglion cell was evidenced by massive reduction in the density of fluorogold labeled



cells (Figure 1B). Administration of GPI 1046 (10mg/kg, s.c.) 1 hour prior to the ischemic episode and at 10mg/kg/day for the next four days produced noticeable protection of a large proportion of the vulnerable ganglion cell population (Figure 1C).

**Figure 2. GPI 1046 prevents degeneration of optic nerve axons and myelin following retinal ischemia**

Examination of the optic nerves from the same retinal ischemia cases reveals that GPI 1046 produces dramatic protection of optic nerve element from ischemic degeneration. Toluidine blue staining of epon embedded optic nerve cross sections revealed the detail of myelin sheaths (white circles) and optic nerve axons (black centers) in the normal rat optic nerve. Optic nerves from vehicle treated cases examined 28 days after a 1 hour retinal ischemic episode are characterized by a decreased density of optic nerve axons and the appearance of numerous degenerating myelin figures (bright white filled circles). Treatment with GPI 1046 protected the majority of optic nerve axons from degeneration and also dramatically decreased the density of degenerating myelin figures.

**Figure 3. GPI 1046 provides moderate protection against retinal ganglion cell death after optic nerve transection**

Complete transection of the optic nerve 5 mm from the eyeball produces massive degeneration of retinal ganglion cells, representing loss of >87% of the normal ganglion cell population 90 days after the injury (Table 1). Few spared fluorogold pre labeled ganglion cells are present in vehicle treated cases (large white figures) among a population of small microglia that digest the debris of the degenerating cells and take up the fluorogold label (Figure 3A). Treatment with GPI 1046 for 14 days resulted in a small but not significant increase in the density of retinal ganglion cells that survived 90 days after transection (Table 1) but

treatment with GPI 1046 for the first 28 days after transection produced moderate but significant protection of 12.6% of the vulnerable ganglion cell population (Table 1, Figure 3B).

5

**Figure 4. GPI 1046 treatment duration significantly affects the process of optic nerve axonal degeneration after transection.**

Examination of optic nerve axon density in the proximal stump  
10 of the optic nerve from the same cases revealed a more dramatic protection afforded by GPI 1046 treatment. 90 days after transection few ganglion cell axons remain within the optic nerve (Figure 4B), representing only 5.6% of the normal population. The loss of axons reflects both the death of  
15 retinal ganglion cells and the regression or "dying back" of the axons of ~ 70% of the small surviving ganglion cell population into the retina itself (Table 1). Treatment with GPI 1046 for the first 14 days after optic nerve transection produced a small but significant 5.3% protection of optic  
20 nerve axons (Figure 4D, Table 1), but treatment with the same dose of GPI 1046 for 28 days resulted in the protection of optic nerve axons for the vast majority (81.4%) of spared retinal ganglion cells (Figure 4C, Table 1).

25 **Figure 5. GPI 1046 treatment produces a greater effect on optic nerve axons than ganglion cell bodies**

This summary figure shows data from Figure 3 ganglion cell protection and higher power photomicrographs of optic nerve axon protection (Figure 5A&B, upper panels). 28 day  
30 treatment with GPI 1046 produced a significant increase in the density of large, and particularly medium and small caliber optic nerve axons (Figure 5C&D, lower panels).

**Figure 6. GPI 1046 treatment for 28 days after optic nerve**  
35 **transection prevents myelin degeneration in the proximal**

**stump**

Myelin basic protein immunohistochemistry labels fascicles (darker labeled 'islands') of myelinated axons in the normal optic nerve (Figure 6A, upper left). 90 days after  
5 transection extensive degeneration of myelin is evident in vehicle treated cases, characterized by the loss of fascicular organization and the appearance of numerous large dense degenerating myelin figures (Figure 6B, upper right). Treatment with GPI 1046 for the first 14 days after optic  
10 nerve transection did not alter the pattern of myelin degeneration (Figure 6C, lower left panel), and yielded an insignificant 1.6% quantitative recovery in myelin density (Table 1). Extending the GPI 1046 treatment course through the first 28 days after optic nerve transection produced a  
15 dramatic preservation of the fascicular staining pattern for myelin basic protein in the proximal stump of the optic nerve and decreased the density of degenerating myelin figures (Figure 6D, lower right panel), representing a '70% recovery of myelin density (Table 1).

20

**Figure 7. FKBP-12 immunohistochemistry labels oligodendroglia (large dark cells with fibrous processes), the cells which produce myelin, located between the fascicles of optic nerve fibers, and also some optic nerve axons.**

25

**Figure 8. GPI 1046 treatment for 28 days after optic nerve transection prevents myelin degeneration in the distal stump.** Complete transection of the optic nerve leads to degeneration of the distal segments (axon fragments disconnected from the  
30 ganglion cell bodies), and the degeneration of their myelin sheaths. 90 days after transection (Figure 8B) myelin basic protein immunohistochemistry reveals the near total loss of fascicular organization (present in the normal optic nerve, Figure 8A) and the presence of numerous dense degenerating  
35 myelin figures. Quantitation reveals that the cross

sectional area of the transected distal stump shrinks by 31% and loses approximately 1/2 of its myelin (Table 1). Treatment with GPI 1046 for the first 14 days after transection did not protect against shrinkage of the distal  
5 stump but did slightly increase the density of myelin, though the density of degenerating myelin figures remained high (Figure 8C, Table 1). GPI 1046 treatment through the first 28 days produced dramatic protection of the fascicular pattern of myelin labeling, decreased the density of  
10 degenerating myelin figures, prevented cross sectional shrinkage of the distal stump of the transected nerve and maintained the myelin levels at ~99% of normal levels (Figure 8D, Table 1).

15 **Figure 9. 28 day treatment with GPI 1046 treatment beginning 8 weeks after onset of streptozotocin induced diabetes decreases the extent of neovascularization in the inner and outer retina and protects neurons in the inner nuclear layer (INL) and ganglion cell layer (GCL) from degeneration.**

20 Negative images of cresyl violet stained tangential retinal sections reveals perikarya in the three cellular layers (Figure 9A). The retinae of streptozotocin treated animals administered only vehicle (Figure 9B) exhibited loss of cells from the ONL and INL, decreased thickness of the Outer  
25 plexiform layer (the dark area between ONL and INL) and a dramatic increase in the size and density of retinal blood vessels (large black circular outlines) in the INL, OPL, ONL and the photoreceptor layer (PR, the gray fuzzy area above the ONL). GPI 1046 treatment reduced neovascularization  
30 (i.e. prevented the proliferation of blood vessels) in the PR, ONL, OPL and INL. Although GPI 1046 did not appear to protect against neuronal loss in the ONL, it appeared to decrease the loss of neurons in both the INL and GCL compared to streptozotocin/vehicle treated controls.

35

Example 2In Vivo Retinal Ganglion Celland Optic Nerve Axon Tests

The extent of degeneration reduction or prevention in  
5 retinal ganglion cells and optic nerve axons was determined  
in a vision loss model utilizing surgical optic nerve  
transection to simulate mechanical damage to the optic nerve.  
The effects of several neuroimmunophilin FKBP ligands on  
retinal ganglion cells neuroprotection and optic nerve axon  
10 density was determined experimentally, comparing 14 day and  
28 day neuroimmunophilin FKBP ligand treatments. The effects  
of treatment with neuroimmunophilin FKBP ligands on retinal  
ganglion cells and optic nerve axons was correlated.

Surgical Procedures

15 Adult male Sprague Dawley rats (3 months old, 225-250  
grams) were anesthetized with a ketamine (87mg/kg) and  
xylazine (13mg/kg) mixture. Retinal ganglion cells were pre-  
labeled by bilateral stereotaxic injection of the fluorescent  
retrogradely transported marker fluoro-gold (FG, 0.5  
20 microliters of 2.5% solution in saline) at the coordinates of  
the LGNd (4.5 millimeters post  $\beta$ , 3.5 millimeters lateral,  
4.6 millimeters below dura). Four days later, FG labeled  
rats underwent a second surgery for microsurgical bilateral  
intraorbital optic nerve transection 4-5 millimeters behind  
25 the orbit.

Experimental animals were divided into six experimental  
groups of six rats (12 eyes) per group. One group received  
a neuroimmunophilin FKBP ligand (10 milligrams per kg per day  
sc in PEG vehicle (20 percent propylene glycol, 20 percent  
30 ethanol, and 60 percent saline)) for 14 days. A second group  
received the same neuroimmunophilin FKBP ligand dose for 28  
days. Each treated group had a corresponding sham/surgery  
and transection control group which received corresponding 14  
or 28 day dosing with the vehicle only.

35 All animals were sacrificed 90 days after optic nerve  
transection and perfused pericardially with formalin. All

eyes and optic nerves stumps were removed. Cases were excluded from the study if the optic nerve vasculature was damaged or if FG labeling was absent in the retina.

#### Retinal Ganglion Cell Counts

5        Retinas were removed from eyes and prepared for wholemount analysis. For each group, five eyes with dense and intense FG labeling were selected for quantitative analysis using a 20 power objective. Digital images were obtained from five fields in the central retina (3-4  
10 millimeters radial to optic nerve head). FG labeled Large ( $>18\text{ }\mu\text{m}$ ), medium ( $12\text{-}16\text{ }\mu\text{m}$ ), and small ( $<10\text{ }\mu\text{m}$ ) ganglion cells and microglia were counted in five  $400\text{ }\mu\text{m}$  by  $400\text{ }\mu\text{m}$  fields per case, 5 cases per group.

#### Examination of Optic Nerves

15        Proximal and distal optic nerve stumps were identified, measured, and transferred to 30% sucrose saline. The proximal stumps of five nerves were blocked and affixed to a chuck, and 10 micron cross sections were cut on a cryostat; one in ten sections were saved per set. Sections including  
20 the region 1-2 mm behind the orbit were reacted for RT97 neurofilament immunohistochemistry. Analysis of optic nerve axon density was performed using a 63 power oil immersion lens, a Dage 81 camera, and the Simple Image Analysis program. RT97 positive optic nerve axons were counted in  
25 three  $200\text{ }\mu\text{m}$  by  $200\text{ }\mu\text{m}$  fields per nerve. The area of the nerve was also determined for each case at 10 power.

As depicted graphically in Table I&II, the 14 day course of treatment with a neuroimmunophilin FKBP ligand provided moderate neuroprotection of retinal ganglion cells observed  
30 28 days after optic nerve transection. However, by 90 days after transection, only 5% of the ganglion cell population remained viable.

90 days after optic nerve transection the number of axons persisting in the proximal stump of the optic nerve  
35 represented approximately one half of the number of surviving ganglion cells in groups of animals that received vehicle

alone or the 14 day course of treatment with a neuroimmunophilin FKBP ligand. These results indicate that over half of the transected ganglion cell axons retract beyond the optic nerve head, and that treatment with a  
5 neuroimmunophilin FKBP ligand during the first 14 days after optic nerve transection is not sufficient to arrest this retraction.

As depicted graphically in Table I&II, more prolonged treatment with a neuroimmunophilin FKBP ligand during the 28  
10 day course of treatment produced a moderate increase in retinal ganglion cell neuroprotection. Approximately 12% of the vulnerable retinal ganglion cell population was protected. A similar proportion (~50%) of optic nerve axon density sparing was also observed. These results demonstrate  
15 the startling result that extending the duration of treatment with a neuroimmunophilin FKBP ligands to 28 days after transection completely arrests the regression of damaged axons for essentially the entire surviving population of retinal ganglion cells.

20 Additional results are set forth in Tables III in IV.

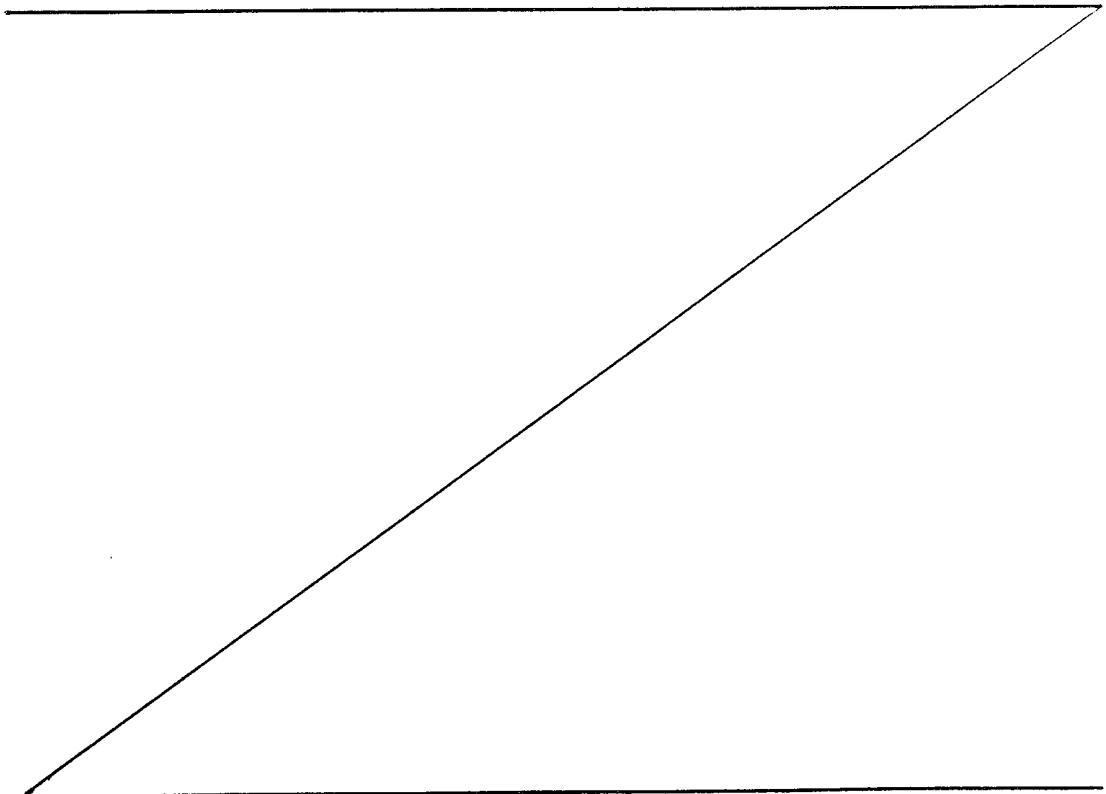


Table 1

Effect of prolonged GPI 1046 treatment on retinal ganglion cell survival,  
optic nerve axon preservation, and myelination 90 days after optic nerve transection

GROUP	RGC Counts <sup>1</sup>	ON Axon density <sup>2</sup>	ON head area (%sham)	% RGCs Rescued	increased ON axon density <sup>3</sup>	Spared RGC population	ON axon Count <sup>4</sup>	% surviving RGCs with ON axons	Proximal optic nerve myelin basic protein Density <sup>5</sup>
Sham	290 ± 14.8	7600*	100%	-		120,000*	120,000	100%	normal
ONT/Vehicle	35.9 ± 2.8	428 ± 34	68%	(87% loss)		14,855	4593	30.9%	52 ± 5.2 SEM % loss
ONT/ 14 days GPI 1046	49 ± 5.3	569 ± 23	76%	5.3%	1.5X	20,275	6820	33.6%	1.6 ± 3.0 SEM % recovery
ONT/ 28 days GPI 1046	67.9 ± 5.8*	1526 ± 120*	95%*	12.6%*	5.0X	28,096*	22,861*	81.4%	70 ± 6.3 SEM % recovery*

\*significance p<.001

<sup>1</sup> Mean density + SEM of Fluoro-gold labeled retinal ganglion cells (RGC) in 400 µm x 400 µm sample gridfields.

<sup>2</sup> mean density + SEM of RT97 neurofilament antibody labeled optic nerve (ON) axons in 200 µm x 200µm region of interest

\*estimate for 200 µm x 200µm region in normal optic nerve assuming 120,000 RGC axons in normal rat optic nerve, measured to be 0.630 mm<sup>2</sup> mean cross sectional area

<sup>3</sup>adjusted for optic nerve diameter

<sup>4</sup> calculated by multiplying axonal density by ON area

<sup>5</sup> determined from 20X analysis of % areal coverage of optic nerve cross section



Table II

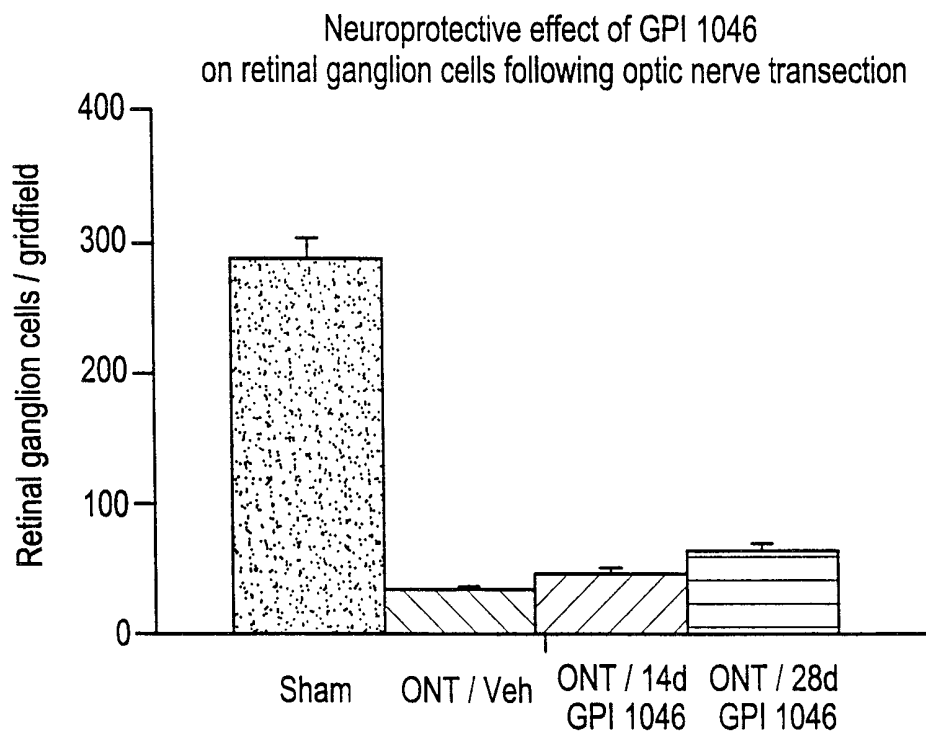


Table III  
Correlation between Retinal Ganglion Cell and Optic Nerve Axon Sparing at 90 days following optic nerve transection and 14 or 28 day GPI 1046 treatment

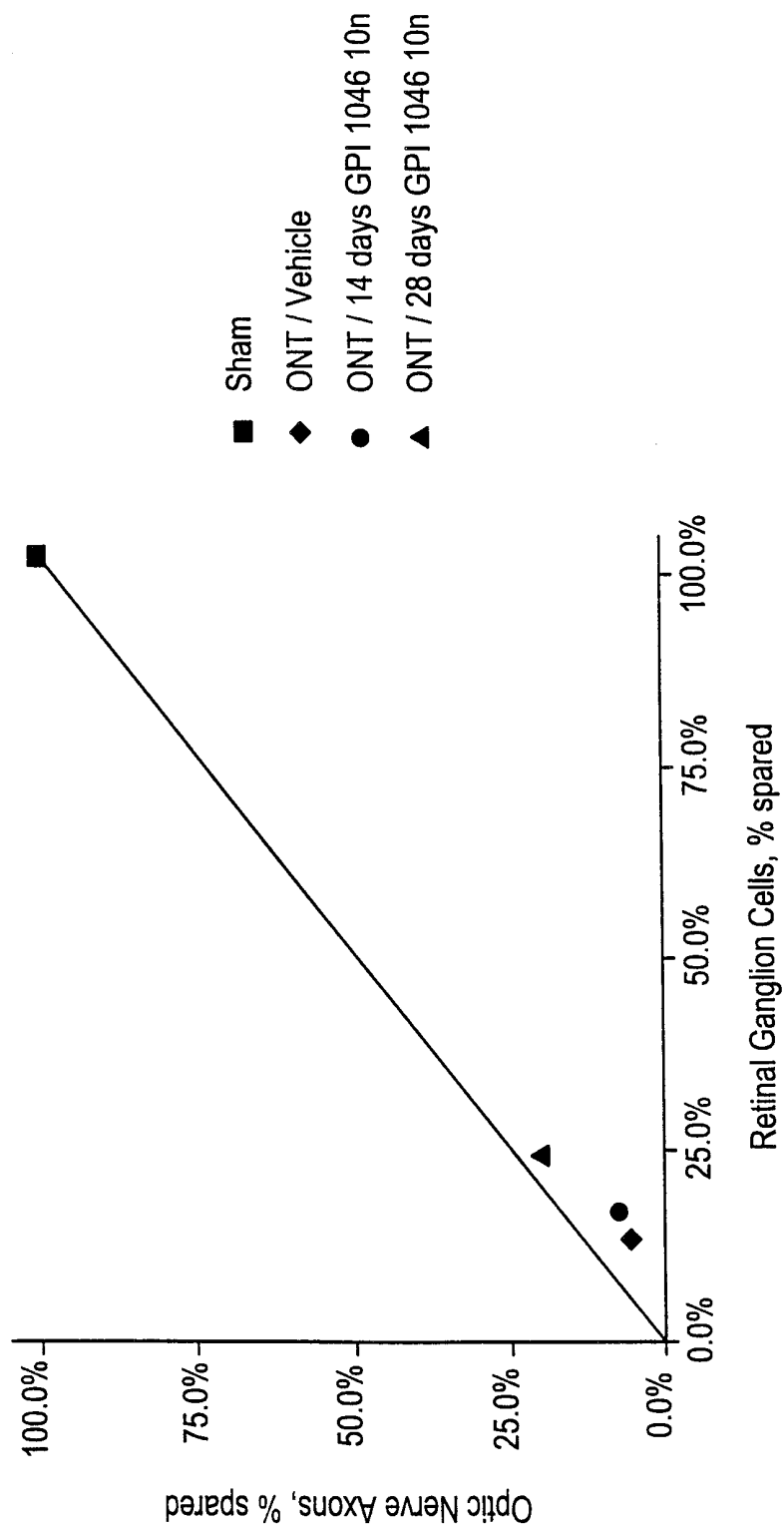
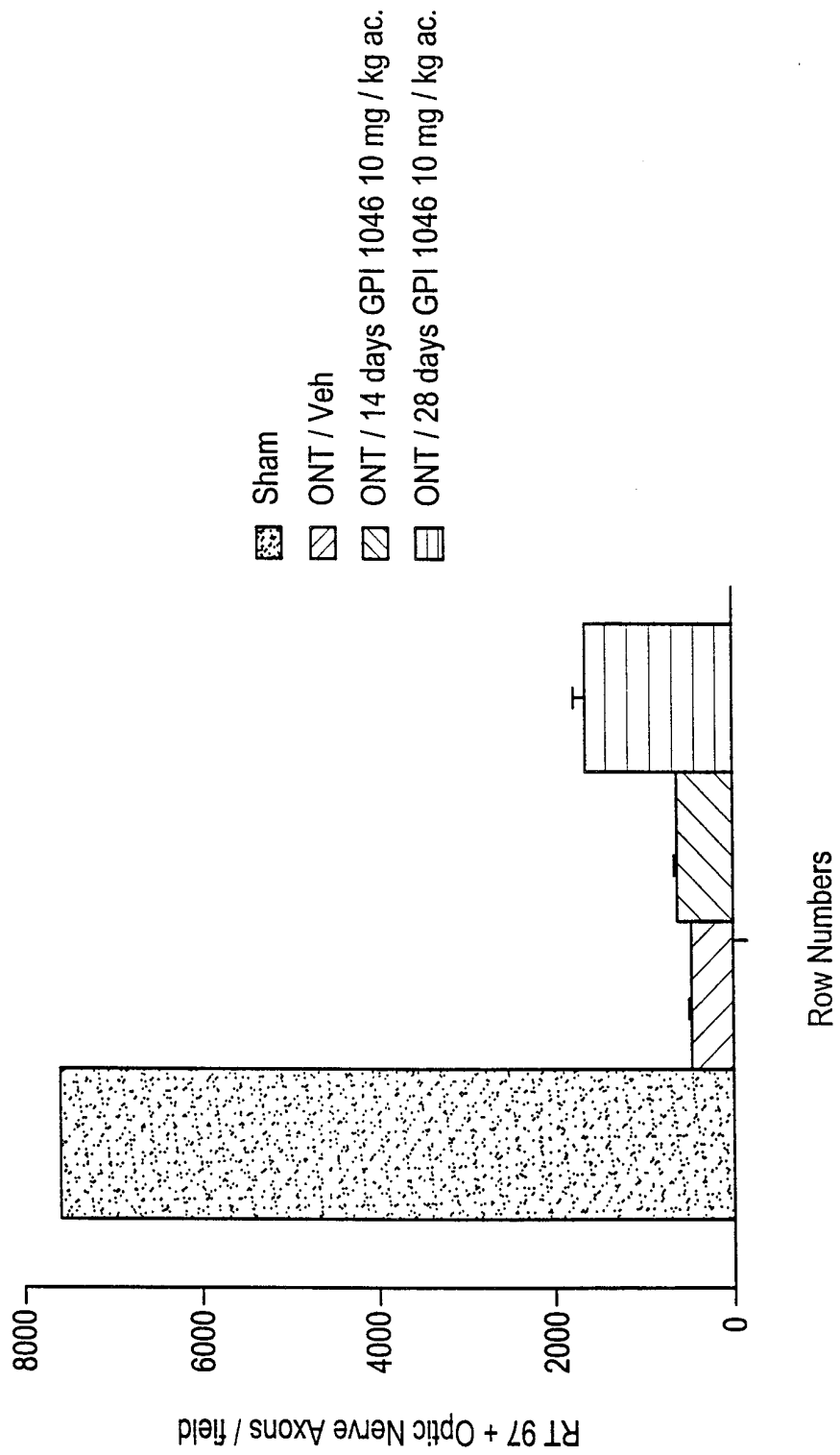


Table IV

GPI 1046 preserves optic nerve axons  
in the proximal stump following transection



Example 3

A patient is suffering from macular degeneration. A derivative as identified above, alone or in combination with one or more other neoplastic factors, or a pharmaceutical composition comprising the same, may be administered to the patient. A reduction in vision loss, prevention of vision degeneration, and/or promotion of vision regeneration are/is expected to occur following treatment.

10

Example 4

A patient is suffering from glaucoma, resulting in cupping of the optic nerve disc and damage to nerve fibers. A derivative as identified above, alone or in combination with one or more other neoplastic factors, or a pharmaceutical composition comprising the same, may be administered to the patient. A reduction in vision loss, prevention of vision degeneration, and/or promotion of vision regeneration are/is expected to occur following treatment.

20

Example 5

A patient is suffering from cataracts requiring surgery. Following surgery, a derivative as identified above, alone or in combination with one or more other neoplastic factors, or a pharmaceutical composition comprising the same, may be administered to the patient. A reduction in vision loss, prevention of vision degeneration, and/or promotion of vision regeneration are/is expected to occur following treatment.

Example 6

A patient is suffering from an impairment or blockage of retinal blood supply relating to diabetic retinopathy, ischemic optic neuropathy, or retinal artery or vein blockage. A derivative as identified above, alone or in combination with one or more other neoplastic factors, or a pharmaceutical composition comprising the same, may be administered to the patient. A reduction in vision loss,

prevention of vision degeneration, and/or promotion of vision regeneration are/is expected to occur following treatment.

Example 7

5 A patient is suffering from a detached retina. A derivative as identified above, alone or in combination with one or more other neoplastic factors, or a pharmaceutical composition comprising the same, may be administered to the patient. A reduction in vision loss, prevention of vision  
10 degeneration, and/or promotion of vision regeneration are/is expected to occur following treatment.

Example 8

A patient is suffering from tissue damage caused by  
15 inflammation associated with uveitis or conjunctivitis. A derivative as identified above, alone or in combination with one or more other neoplastic factors, or a pharmaceutical composition comprising the same, may be administered to the patient. A reduction in vision loss, prevention of vision  
20 degeneration, and/or promotion of vision regeneration are/is expected to occur following treatment.

Example 9

A patient is suffering from photoreceptor damage caused  
25 by chronic or acute exposure to ultraviolet light. A derivative as identified above, alone or in combination with one or more other neoplastic factors, or a pharmaceutical composition comprising the same, may be administered to the patient. A reduction in vision loss, prevention of vision  
30 degeneration, and/or promotion of vision regeneration are/is expected to occur following treatment.

Example 10

A patient is suffering from optic neuritis. A  
35 derivative as identified above, alone or in combination with one or more other neoplastic factors, or a pharmaceutical

composition comprising the same, may be administered to the patient. A reduction in vision loss, prevention of vision degeneration, and/or promotion of vision regeneration are/is expected to occur following treatment.

5

#### Example 11

A patient is suffering from tissue damage associated with a "dry eye" disorder. A derivative as identified above, alone or in combination with one or more other neoplastic factors, or a pharmaceutical composition comprising the same, may be administered to the patient. A reduction in vision loss, prevention of vision degeneration, and/or promotion of vision regeneration are/is expected to occur following treatment.

15

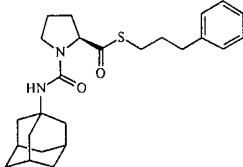
#### Example 12

Efficacy of representative compounds from different immunophilin ligand series in protecting retinal ganglion cell axons from degeneration following optic nerve transection is set forth in Table V.

Table V

Efficacy of representative compounds from different immunophilin ligand series in protecting retinal ganglion cell axons from degeneration following optic nerve transection

25

Compound	Structure	Comments	RT97+RGC axon density 14 days after ON transection (% ON axons rescued)
B		Adamantyl Thioester of urea K <sub>i</sub> rotamase=149 nM Clearance=? µl/min.	100.0% ±5.2% SEM

30

35

Table V continued

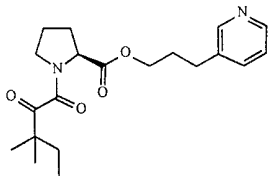
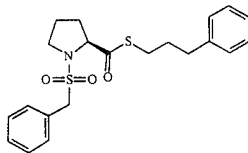
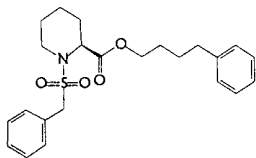
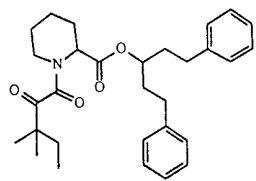
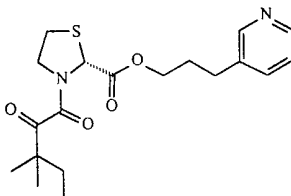
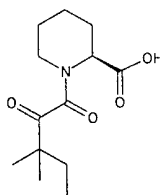
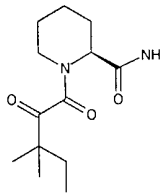
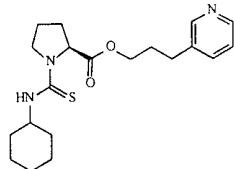
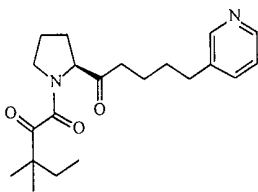
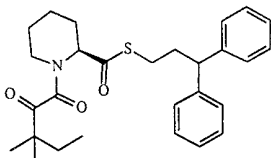
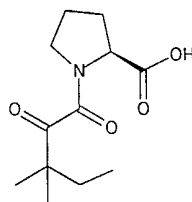
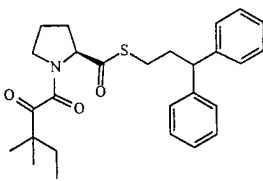
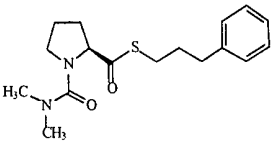
5	Compound	Structure	Comments	RT97+RGC axon density 14 days after ON transection (% ON axons rescued)
	A GPI 1046		Ester Ki rotamase=7.5 nM Clearance=63.8 $\mu$ l/min.	60.5% $\pm$ 3.9 SEM
10	C		Sulfonamide Ki rotamase=107 nM Clearance=31.1 $\mu$ l/min.	60.4% $\pm$ 3.1% SEM
15	D		Pipecolic sulfonamide Ki rotamase= nM Clearance= $\mu$ l/min.	58.4% $\pm$ 6.4% SEM
	E		Ester of pipecolic acid Ki rotamase=20 nM Clearance=41.8 $\mu$ l/min.	56.6% $\pm$ 9.4% SEM
20	F		Proline heterocycle Analog of GPI 1046 Ki rotamase=272 nM Clearance=? $\mu$ l/min.	55.1% $\pm$ 5.9% SEM
	G		Pipecolic acid dimethyl ketone Ki rotamase>10,000 nM Clearance=? $\mu$ l/min.	34.0% $\pm$ 4.8% SEM
25	H		Ki rotamase= nM Clearance= $\mu$ l/min.	30.3% $\pm$ 8.0% SEM

Table V continued

Compound	Structure	Comments	RT97+RGC axon density 14 days after ON transection (% ON axons rescued)
I		Ester of Thiourea Ki rotamase=131 nM Clearance=8.0 µl/min.	23.8% ±5.3 SEM
J		Ketone analog of GPI 1046 Ki rotamase=210 nM Clearance=1.5 µl/min.	15.8% ±4.8% SEM
K		Pipecolic acid Thioester Ki rotamase=86 nM Clearance=4.5 µl/min.	13.0% ±4.2% SEM
L		Prolyl acid Ki rotamase=>7743 nM Clearance=5.2 µl/min.	7.8% ±3.0% SEM
M		Thioester Ki rotamase=7 nM Clearance=12.5 µl/min.	-6.3% +3.9% SEM
N		Ki rotamase=722 nM Clearance=21.9 µl/min.	



Example 13

THE FKBP NEUROIMMUNOPHILIN LIGAND GPI-1046  
ENHANCES RETINAL GANGLION CELL SURVIVAL  
AND ARRESTS AXONAL DYING BACK  
FOLLOWING OPTIC NERVE TRANSECTION

Transection of the mammalian optic nerve results in a brief period of abortive regeneration, but the majority of axotomized neurons die and the axons from many persisting ganglion cells die back beyond the optic nerve head. The present Example was designed to examine the neuroprotective effects of GPI-1046 following optic nerve transection.

Retinal ganglion cells in adult male Sprague Dawley rats were retrogradely labeled by fluorogold injection in the LGNd and four days later the optic nerves were transected 5 mm behind the globe. Groups of animals received either GPI-1046 10mg/kg/day s.c. or vehicle for 28 days. All experimental animals and controls were sacrificed 90 days after transection.

By 90 days only - 10% of the FG labeled ganglion cell population survived but less than half of these neurons maintained axons that extended past the optic nerve head, as detected with RT97 neurofilament immunohistochemistry. GPI-1046 treatment produced a moderate degree of perikaryal neuroprotection, sparing 25% of the ganglion cell population, and preserved the axons of virtually all protected neurons in the proximal stump of the transected nerve. These results indicate that treatment with the FKBP neuroimmunophilin ligand GPI-1046 produces a fundamental alteration in the pathological process following injury to CNS tracts.

These results also demonstrate that the small molecule FKBP neuroimmunophilin ligand GPI 1046 enhances neurite outgrowth in culture, enhance peripheral nerve regeneration, and stimulate sprouting within the CNS following partial deafferentation.

Example 14

**NEUROIMMUNOPHILIN LIGANDS PROMOTE RECOVERY  
FROM THE PERIPHERAL SENSORY NEUROPATHY ASSOCIATED  
WITH STREPTOZOTOCIN-INDUCED DIABETES**

5           Peripheral neuropathy is a common debilitating complication of Type 2 diabetes in some 30-40% of diabetic patients. Neurotrophic factors such as nerve growth factor (NGF) are known to promote survival of developing and adult  
10   neurons of the peripheral nervous system (PNS), and have also been evaluated as treatments for diabetic peripheral neuropathy. Some of the selective ligands of the neuroimmunophilin FKBP-12 such as the small molecule GPI-1046, have also been shown to promote repair and regeneration  
15   in the central and peripheral nervous systems (Proc. Nat'l. Acad. Sci. USA 94, 2019-2024, 1997).

          In this Example the potential therapeutic effects of GPI-1046 were evaluated for its ability to improve sensory function in the streptozotocin-induced diabetic rat. The  
20   procedure involved using Male Wistar rats which were given a single injection of streptozotocin (65 mg/kg i.v.). Blood glucose levels were determined weekly for the first three weeks and on the last week of the experiment. Animals were evaluated weekly for signs of sensory neuropathy using the  
25   conventional hot plate and tail flick apparatus test procedures. After six weeks, treatment either with GPI-1046 or vehicle was initiated.

          The results demonstrated that behavioral testing using the hot plate and the tail flick apparatus indicated  
30   improvement in latency in lesioned animals treated for 6 weeks with GPI-1046 at 10 mg/kg s.c. The results also showed that GPI-1046 ameliorates the behavioral sequelae of diabetic sensory neuropathy and may offer some relief for patients suffering from diabetic peripheral neuropathy.

35

**Morris Watermaze/Aging and Memory Test Procedure**

Aged rodents exhibit marked individual differences in

performance on a variety of behavioral tasks, including two-choice spatial discrimination in a modified T-maze, spatial discrimination in a circular platform task, passive avoidance, radial maze tasks, and spatial navigation in a  
5 water pool.

In all of these tasks, a proportion of aged rats or mice perform as well as the vast majority of young control animals, while other animals display severe impairments in memory function compared to young animals. For example,  
10 Fischer and colleagues showed that the proportion of rats displaying significant impairments in spatial navigation increases with age, (Fischer et al. 1991b) with 8% of all 12 month old, 45% of 18 month old, 53% of 24 month old, and 90% of all 30 month old rats displaying impairments in spatial  
15 acquisition of the Morris watermaze task relative to young controls.

Specifically, rodent spatial learning and memory decline during aging has been accepted by many investigators as an intriguing correlative animal model of human senile dementia.  
20 Cholinergic function in the hippocampus has been extensively studied as a component of spatial learning in rodents, and declining hippocampal cholinergic function has been noted in parallel with the development of learning and memory impairments. In addition, other neurotransmitter systems  
25 have been shown to contribute to spatial learning, and to decline with age, such as the dopaminergic and noradrenergic, serotonergic, and glutamatergic systems.

Also, reports on age-related deficits of hippocampal long-term potentiation (LTP)-induction, a reduction in theta  
30 rhythm frequency, a loss of experience-dependent plasticity of hippocampal place-units, and reductions in hippocampal protein kinase C are in keeping with the concept that no single underlying pathology can be identified as the cause of age-related behavioral impairment in rodents. However, the  
35 various experimental therapeutic approaches that have been undertaken to improve memory function in aged rodents have

been somewhat slanted towards the cholinergic hypothesis.

The Morris watermaze is widely used for assessing spatial memory formation and retention in experimental animals. The test depends on the animal's ability to utilize  
5 spatial visual information in order to locate a submerged escape platform in a water tank. It is important that the tank itself be as devoid of specific visual features as possible - thus, it is always circular in shape, the sides are kept smooth and in uniform dull colors, and the water is  
10 rendered opaque with nontoxic watercolour pigment or powdered milk. This is to ensure that the animal navigates only by the use of more distant visual cues, or by the use of intra-maze cues specifically provided by the experimenter.

The tank is filled to a level which forces the animal to  
15 swim actively. Normal mice and rats react aversively to the swimming part of the test and will climb onto, and remain on, an escape platform from which they are removed to a heated resting cage.

If the platform is visible (i.e. above the surface),  
20 animals placed in the tank will quickly learn to home in on the platform and climb out onto it. Testing with a visible platform will also ensure that the experimental animals are not blind and show sufficient motivation and stamina to perform the task, which can be important in experiments  
25 involving aged rodents. If the platform is invisible (i.e. submerged just below the surface), normal animals learn to use distant visual cues in the test room for orientation in the test tank, and, when placed in the tank, will quickly home in on the approximate location of the platform and  
30 circle in that area until the platform is found. The animals' path, speed, and swim time are tracked with a ceiling camera for later computerized analysis. Over the course of several successive trials, spatial learning can therefore be defined as a drop of distance swum, or time  
35 elapsed, from placement in the tank until escape onto the invisible platform.

The test can be adapted to assess several aspects of spatial memory: a) acquisition of a cued task, where the animal's ability to link one visual cue directly with the escape platform depends on cortical function (i.e. a ball is suspended over the escape platform and the animal learns to follow this cue to find the platform); b) acquisition of a spatial task, where the animal's ability to learn the location of a submerged escape platform based on a combination of distant visual cues is dependent upon hippocampal function (i.e. the animal learns to triangulate its position in the tank by visually aligning the paper-tower dispenser with the door and ceiling lamp); c) retention of a successfully acquired spatial task, which is predominantly dependant on cortical function (i.e. the animal must remember the spatial location of the platform over several weeks); d) a hippocampus-dependant reversal task where the animals must reacquire a new spatial platform location (i.e. the platform is moved to a new location between swim trials and the animal must abandon its previous search strategy and acquire a new one).

These different modifications of the Morris watermaze procedure can be applied in sequence to the same set of experimental animals and allow for a thorough characterization of their spatial memory performance and its decline with normal ageing. Moreover, such a series of sequential memory tests sheds some light on the functional integrity of the specific brain systems involved in the acquisition and retention of spatial memory (e.g. rats with cholinergic lesions of the hippocampus may remember a platform location acquired weeks before, but persevere over the old platform location after the platform is moved).

Example 15**EFFECTS OF CHRONIC GPI-1046 ADMINISTRATION  
ON SPATIAL LEARNING AND MEMORY IN AGED RODENTS**

5        This Example shows the effects of chronic treatment with the systemically available FKBP-ligand GPI-1046 on spatial learning and memory in aged rodents.

      The procedure involved using three-month old (young) and 18-19 month old male C57BL/6N-Nia (aged) mice which  
10        habituated to the well known and conventional Morris watermaze during a 4 trials/day, 3-4 day visible platform training phase. Subsequent spatial acquisition testing was conducting as follows: All mice were given 4 trials/day (block), for 5 days. Maximum swim time was 90 seconds. Aged  
15        mice were allocated to an "aged impaired" group if their performance during blocks 4 or 5 of the acquisition phase was >1 S.D. above the mean of "young" mice, and to an "aged non-impaired" group if their performance was < 0.5 S.D. above the mean of "young" mice. Aged groups were then split into  
20        statistically similar "GPI-1046" and "vehicle" groups.

      Daily treatment with 10mg/kg GPI-1046 was initiated 3 days after the end of acquisition training, and continued through retention testing. Retention testing began after 3 weeks of dosing using the same methods as the acquisition  
25        phase. Swim Distances (cm) were analyzed in a 7 X 5 ANOVA including Groups and Blocks (1-5) as factors in the analysis, treating Blocks as a repeated measure.

      The results showed that planned contrasts revealed that there were significant differences between the "young", and  
30        "aged impaired-vehicle and GPI-1046" treated groups at the end of the acquisition phase,  $F_{1,58} = 26.75$ ,  $P=0.0001$ , and  $F_{1,58} = 17.70$ ,  $P=0.0001$  respectively. While there were no significant differences between the two "aged impaired" groups,  $F_{1,58} = 0.67$ ,  $P = 0.42$ . During retention testing,  
35        however, "aged impaired-vehicle" treated animals performed significantly poorer than "aged impaired - GPI-1046", and

"young" animals,  $F_{1.69} = 8.11$ ,  $P = 0.006$ , and  $F_{1.69} = 25.45$ ,  
 $P = 0.0001$  respectively. There was no longer any  
statistically significant difference between the "young" and  
"aged impaired" - GPI-1046" treated groups during the  
5 retention phase,  $F_{1.69} = 3.09$ ,  $P = 0.08$ . In summary, systemic  
treatment with GPI-1046 significantly enhanced spatial memory  
performance of mice with age-related spatial memory  
impairments.

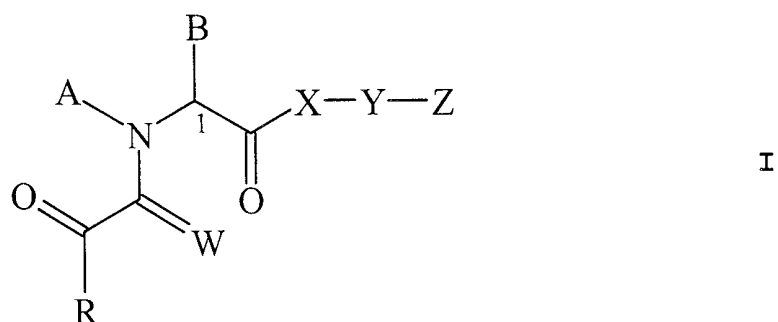
The invention being thus described, it will be obvious  
10 that the same may be varied in many ways. Such variations  
are not to be regarded as a departure from the spirit and  
scope of the invention and all such modifications are  
intended to be included within the scope of the following  
claims.

**WE CLAIM:**

1. A method for treating a vision disorder, improving  
5 vision, treating memory impairment, or enhancing memory  
performance in an animal, which comprises administering to  
said animal an effective amount of an N-oxide of a  
heterocyclic ester, amide, thioester, or ketone compound.
- 10 2. The method of claim 1, wherein the N-oxide of a  
heterocyclic ester, amide, thioester, or ketone compound is  
immunosuppressive or non-immunosuppressive.
3. The method of claim 1, wherein the N-oxide of a  
15 heterocyclic ester, amide, thioester, or ketone compound has  
an affinity for an FKBP-type immunophilin.
4. The method of claim 3, wherein the FKBP-type  
immunophilin is FKBP-12.
- 20 5. The method of claim 1, wherein the vision disorder  
is selected from the group consisting of: visual impairments;  
orbital disorders; disorders of the lacrimal apparatus;  
disorders of the eyelids; disorders of the conjunctiva;  
25 disorders of the cornea; cataract; disorders of the uveal  
tract; disorders of the retina; disorders of the optic nerve  
or visual pathways; free radical induced eye disorders and  
diseases; immunologically-mediated eye disorders and  
disorders; eye injuries; and symptoms and complications of  
30 eye disease, eye disorder, or eye injury.
6. The method of claim 1, which is for improving  
naturally-occurring vision in an animal, in the absence of  
any ophthalmologic disorder, disease, or injury.
- 35 7. The method of claim 1, wherein the N-oxide of a



heterocyclic ester, amide, thioester, or ketone compound is a compound having the formula (I):



5 or a pharmaceutically acceptable salt, ester, or solvate thereof, wherein:

A and B are taken together, with the nitrogen and carbon atoms to which they are respectively attached, to form a 5-7 membered saturated or unsaturated heterocyclic ring  
10 containing any combination of CH, CH<sub>2</sub>, O, S, SO, SO<sub>2</sub>, N, NH and NR<sub>1</sub>;

W is O, S, CH<sub>2</sub>, or H<sub>2</sub>;

R is C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or a C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl optionally substituted with  
15 C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, or Ar<sub>1</sub>, where said alkyl, alkenyl, cycloalkyl, or cycloalkenyl groups may be optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, or Ar<sub>2</sub>;

Ar<sub>1</sub> and Ar<sub>2</sub> are independently selected from the group  
20 consisting of 1-naphthyl, 2-naphthyl, 1-indolyl, 2-indolyl, 2-furyl, 3-furyl, 2-thienyl, 3-thienyl, 2-pyridyl, 3-pyridyl, 4-pyridyl and phenyl, having one or more substituent(s) independently selected from the group consisting of hydrogen, halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or  
25 branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, and amino;

X is O, NH, NR<sub>1</sub>, S, CH, CR<sub>1</sub>, or CR<sub>1</sub>R<sub>3</sub>;

Y is a direct bond, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, or C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl; wherein  
30 said alkyl or alkenyl is optionally substituted with one or

more substituent(s) independently selected from the group consisting of C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, hydroxy, carbonyl oxygen, and Ar; wherein said  
5 alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or carbonyl oxygen; wherein any carbon atom of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally replaced with O, NH, NR<sub>2</sub>, S, SO, or SO<sub>2</sub>;

10 R<sub>2</sub> is selected from the group consisting of hydrogen, C<sub>1</sub>-C<sub>4</sub> straight or branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or branched chain alkenyl or alkynyl, and C<sub>1</sub>-C<sub>4</sub> bridging alkyl wherein a bridge is formed between the nitrogen and a carbon atom of said alkyl or alkenyl chain containing said  
15 heteroatom to form a ring, wherein said ring is optionally fused to an Ar group;

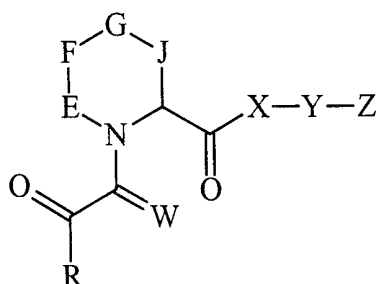
Z is an aromatic or tertiary alkyl amine oxidized to a corresponding N-oxide, wherein the aromatic amine is Ar oxidized to a corresponding N-oxide where Ar is a mono-, bi-,  
20 or tricyclic, carbo- or heterocyclic ring, wherein the ring is either unsubstituted or substituted in one to three position(s) with halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>1</sub>-C<sub>4</sub> alkoxy, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy,  
25 benzyloxy, amino, or a combination thereof; wherein the individual ring sizes are 5-6 members; wherein the heterocyclic ring contains 1-6 heteroatom(s) selected from the group consisting of O, N, S, and a combination thereof wherein at least one of the heteroatoms is N, and  
30 where the alkyl amine is oxidized to a corresponding N-oxide where alkyl is a C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl which is optionally substituted in one or more positions with C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or C<sub>2</sub>-C<sub>6</sub> straight or branched chain  
35 alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, hydroxyl, carbonyl oxygen, or Ar wherein said alkyl, alkenyl,

cycloalkyl, cycloalkenyl, or Ar group is optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or carbonyl oxygen; or wherein any of the carbon atoms of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar group is  
5 optionally replaced with O, NH, NR<sub>2</sub>, S, SO, or SO<sub>2</sub>;

Ar is selected from the group consisting of pyrrolidinyl, pyridyl, pyrimidyl, pyrazyl, pyridazyl, quinolinyl, and isoquinolinyl; and

R<sub>1</sub> and R<sub>3</sub> are independently hydrogen, C<sub>1</sub>-C<sub>4</sub> straight or  
10 branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or branched chain alkenyl  
or alkynyl, or Y-Z.

8. The method of claim 1, wherein the N-oxide of a heterocyclic ester, amide, thioester, or ketone compound is a compound having the formula (II):



II

or a pharmaceutically acceptable salt, ester, or solvate thereof, wherein:

20 E, F, G and J are independently CH<sub>2</sub>, O, S, SO, SO<sub>2</sub>, NH  
or NR<sub>1</sub>;

W is O, S, CH<sub>2</sub>, or H<sub>2</sub>;

R is C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or a C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl optionally substituted with C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, or Ar<sub>1</sub>, where said alkyl, alkenyl, cycloalkyl, or cycloalkenyl groups may be optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, or Ar<sub>2</sub>;

Ar<sub>1</sub> and Ar<sub>2</sub> are independently selected from the group  
30 consisting of 1-naphthyl, 2-naphthyl, 1-indolyl, 2-indolyl,  
2-furyl, 3-furyl, 2-thienyl, 3-thienyl, 2-pyridyl, 3-pyridyl,

4-pyridyl and phenyl, having one or more substituent(s) independently selected from the group consisting of hydrogen, halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain  
5 alkenyl, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, and amino;

X is O, NH, NR<sub>1</sub>, S, CH, CR<sub>1</sub>, or CR<sub>1</sub>R<sub>3</sub>;

Y is a direct bond, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, or C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl; wherein said alkyl or alkenyl is optionally substituted with one or  
10 more substituent(s) independently selected from the group consisting of C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, hydroxy, carbonyl oxygen, and Ar; wherein said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally  
15 substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or carbonyl oxygen; wherein any carbon atom of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally replaced with O, NH, NR<sub>2</sub>, S, SO, or SO<sub>2</sub>;

R<sub>2</sub> is selected from the group consisting of hydrogen, C<sub>1</sub>-  
20 C<sub>4</sub> straight or branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or branched chain alkenyl or alkynyl, and C<sub>1</sub>-C<sub>4</sub> bridging alkyl wherein a bridge is formed between the nitrogen and a carbon atom of said alkyl or alkenyl chain containing said heteroatom to form a ring, wherein said ring is optionally  
25 fused to an Ar group;

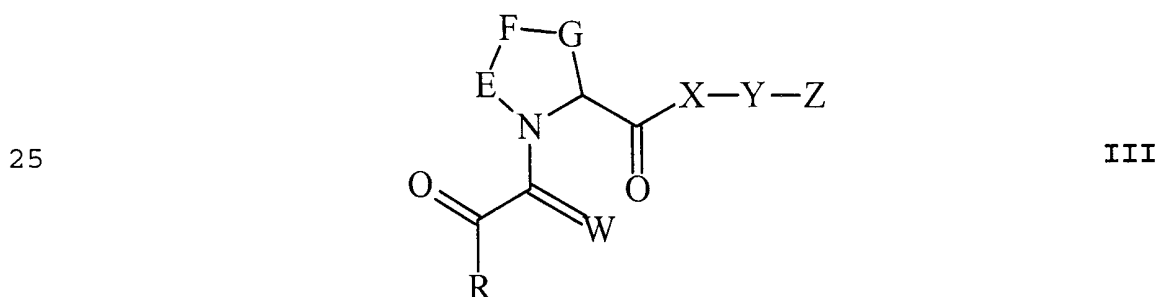
Z is an aromatic or tertiary alkyl amine oxidized to a corresponding N-oxide, wherein the aromatic amine is Ar oxidized to a corresponding N-oxide where Ar is a mono-, bi-, or tricyclic, carbo- or heterocyclic ring, wherein the ring  
30 is either unsubstituted or substituted in one to three position(s) with halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>1</sub>-C<sub>4</sub> alkoxy, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, amino, or a combination thereof; wherein the  
35 individual ring sizes are 5-6 members; wherein the heterocyclic ring contains 1-6 heteroatom(s) selected from

the group consisting of O, N, S, and a combination thereof wherein at least one of the heteroatoms is N, and where the alkyl amine is oxidized to a corresponding N-oxide where alkyl is a C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or C<sub>2</sub>-C<sub>6</sub> staright or branched chain alkenyl which is optionally substituted in one or more positions with C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or C<sub>2</sub>-C<sub>6</sub> staright or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, hydroxyl, carbonyl oxygen, or Ar wherein said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar group is optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or carbonyl oxygen; or wherein any of the carbon atoms of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar group is optionally replaced with O, NH, NR<sub>2</sub>, S, SO, or SO<sub>2</sub>;

Ar is selected from the group consisting of pyrrolidinyl, pyridyl, pyrimidyl, pyrazyl, pyridazyl, quinolinyl, and isoquinolinyl; and

R<sub>1</sub> and R<sub>3</sub> are independently hydrogen, C<sub>1</sub>-C<sub>4</sub> straight or branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or branched chain alkenyl or alkynyl, or Y-Z.

9. The method of claim 1, wherein the N-oxide of a heterocyclic ester, amide, thioester, or ketone compound is a compound having the formula (III):



or a pharmaceutically acceptable salt, ester, or solvate thereof, wherein:

E, F, and G are independently CH<sub>2</sub>, O, S, SO, SO<sub>2</sub>, NH or NR<sub>1</sub>;

30

W is O, S, CH<sub>2</sub>, or H<sub>2</sub>;

R is C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or a C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl optionally substituted with C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, or Ar<sub>1</sub>, where said alkyl, 5 alkenyl, cycloalkyl, or cycloalkenyl groups may be optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, or Ar<sub>2</sub>;

Ar<sub>1</sub> and Ar<sub>2</sub> are independently selected from the group consisting of 1-naphthyl, 2-naphthyl, 1-indolyl, 2-indolyl, 10 2-furyl, 3-furyl, 2-thienyl, 3-thienyl, 2-pyridyl, 3-pyridyl, 4-pyridyl and phenyl, having one or more substituent(s) independently selected from the group consisting of hydrogen, halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain 15 alkenyl, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, and amino;

X is O, NH, NR<sub>1</sub>, S, CH, CR<sub>1</sub>, or CR<sub>1</sub>R<sub>3</sub>;

Y is a direct bond, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, or C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl; wherein said alkyl or alkenyl is optionally substituted with one or 20 more substituent(s) independently selected from the group consisting of C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, hydroxy, carbonyl oxygen, and Ar; wherein said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally 25 substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or carbonyl oxygen; wherein any carbon atom of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally replaced with O, NH, NR<sub>2</sub>, S, SO, or SO<sub>2</sub>;

R<sub>2</sub> is selected from the group consisting of hydrogen, C<sub>1</sub>- 30 C<sub>4</sub> straight or branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or branched chain alkenyl or alkynyl, and C<sub>1</sub>-C<sub>4</sub> bridging alkyl wherein a bridge is formed between the nitrogen and a carbon atom of said alkyl or alkenyl chain containing said heteroatom to form a ring, wherein said ring is optionally 35 fused to an Ar group;

Z is an aromatic or tertiary alkyl amine oxidized to a

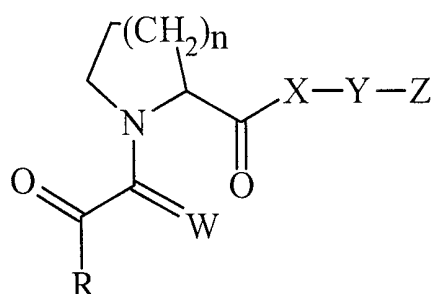
corresponding N-oxide, wherein the aromatic amine is Ar oxidized to a corresponding N-oxide where Ar is a mono-, bi-, or tricyclic, carbo- or heterocyclic ring, wherein the ring is either unsubstituted or substituted in one to three  
5 position(s) with halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>1</sub>-C<sub>4</sub> alkoxy, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, amino, or a combination thereof; wherein the individual ring sizes are 5-6 members; wherein the  
10 heterocyclic ring contains 1-6 heteroatom(s) selected from the group consisting of O, N, S, and a combination thereof wherein at least one of the heteroatoms is N, and where the alkyl amine is oxidized to a corresponding N-oxide where alkyl is a C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or C<sub>2</sub>-C<sub>6</sub>  
15 staright or branched chain alkenyl which is optionally substituted in one or more positions with C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or C<sub>2</sub>-C<sub>6</sub> staright or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, hydroxyl, carbonyl oxygen, or Ar wherein said alkyl, alkenyl,  
20 cycloalkyl, cycloalkenyl, or Ar group is optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or carbonyl oxygen; or wherein any of the carbon atoms of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar group is optionally replaced with O, NH, NR<sub>2</sub>, S, SO, or SO<sub>2</sub>;

25 Ar is selected from the group consisting of pyrrolidinyl, pyridyl, pyrimidyl, pyrazyl, pyridazyl, quinolinyl, and isoquinolinyl; and

R<sub>1</sub> and R<sub>3</sub> are independently hydrogen, C<sub>1</sub>-C<sub>4</sub> straight or branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or branched chain alkenyl  
30 or alkynyl, or Y-Z.

10. The method of claim 1, wherein the N-oxide of a heterocyclic ester, amide, thioester, or ketone compound is a compound having the formula (IV):

---



IV

or a pharmaceutically acceptable salt, ester, or solvate thereof, wherein:

- 5        n is 1, 2 or 3 forming a 5-7 member heterocyclic ring;  
          W is O, S, CH<sub>2</sub>, or H<sub>2</sub>;

         R is C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or a C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl optionally substituted with C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, or Ar<sub>1</sub>, where said alkyl,  
 10        alkenyl, cycloalkyl, or cycloalkenyl groups may be optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, or Ar<sub>2</sub>;

         Ar<sub>1</sub> and Ar<sub>2</sub> are independently selected from the group consisting of 1-naphthyl, 2-naphthyl, 1-indolyl, 2-indolyl,  
 15        2-furyl, 3-furyl, 2-thienyl, 3-thienyl, 2-pyridyl, 3-pyridyl, 4-pyridyl and phenyl, having one or more substituent(s) independently selected from the group consisting of hydrogen, halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain  
 20        alkenyl, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, and amino;

         X is O, NH, NR<sub>1</sub>, S, CH, CR<sub>1</sub>, or CR<sub>1</sub>R<sub>3</sub>;

         Y is a direct bond, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, or C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl; wherein said alkyl or alkenyl is optionally substituted with one or  
 25        more substituent(s) independently selected from the group consisting of C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, hydroxy, carbonyl oxygen, and Ar; wherein said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally  
 30        substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or



carbonyl oxygen; wherein any carbon atom of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally replaced with O, NH, NR<sub>2</sub>, S, SO, or SO<sub>2</sub>;

R<sub>2</sub> is selected from the group consisting of hydrogen, C<sub>1</sub>-C<sub>4</sub> straight or branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or branched chain alkenyl or alkynyl, and C<sub>1</sub>-C<sub>4</sub> bridging alkyl wherein a bridge is formed between the nitrogen and a carbon atom of said alkyl or alkenyl chain containing said heteroatom to form a ring, wherein said ring is optionally fused to an Ar group;

Z is an aromatic or tertiary alkyl amine oxidized to a corresponding N-oxide, wherein the aromatic amine is Ar oxidized to a corresponding N-oxide where Ar is a mono-, bi-, or tricyclic, carbo- or heterocyclic ring, wherein the ring is either unsubstituted or substituted in one to three position(s) with halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>1</sub>-C<sub>4</sub> alkoxy, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, amino, or a combination thereof; wherein the individual ring sizes are 5-6 members; wherein the heterocyclic ring contains 1-6 heteroatom(s) selected from the group consisting of O, N, S, and a combination thereof wherein at least one of the heteroatoms is N, and where the alkyl amine is oxidized to a corresponding N-oxide where alkyl is a C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl which is optionally substituted in one or more positions with C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, hydroxyl, carbonyl oxygen, or Ar wherein said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar group is optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or carbonyl oxygen; or wherein any of the carbon atoms of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar group is optionally replaced with O, NH, NR<sub>2</sub>, S, SO, or SO<sub>2</sub>;

Ar is selected from the group consisting of

pyrrolidinyl, pyridyl, pyrimidyl, pyrazyl, pyridazyl, quinolinyl, and isoquinolinyl; and

$R_1$  and  $R_3$  are independently hydrogen,  $C_1$ - $C_4$  straight or branched chain alkyl,  $C_3$ - $C_4$  straight or branched chain alkenyl  
5 or alkynyl, or Y-Z.

11. The method of claim 10, wherein the N-oxide of a heterocyclic ester, amide, thioester, or ketone compound is selected from the group consisting of:

10 3-(2-Pyridyl)-1-propyl (2*S*)-1-(1,1-Dimethyl-1,2-dioxo-pentyl)-2-pyrrolidinecarboxylate, N-oxide;

3-(3-Pyridyl)-1-propyl (2*S*)-1-(1,1-Dimethyl-1,2-dioxo-pentyl)-2-pyrrolidinecarboxylate, N-oxide;

15 3-(4-Pyridyl)-1-propyl (2*S*)-1-(1,1-Dimethyl-1,2-dioxo-pentyl)-2-pyrrolidinecarboxylate, N-oxide;

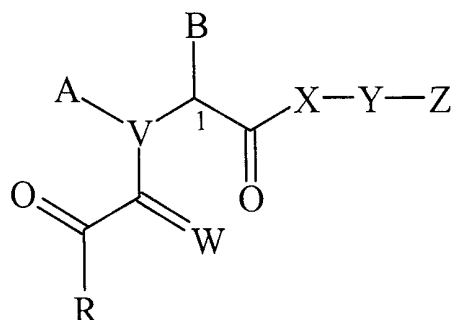
3-(2-Quinolyl)-1-propyl (2*S*)-1-(1,1-Dimethyl-1,2-dioxo-pentyl)-2-pyrrolidinecarboxylate, N-oxide;

3-(3-Quinolyl)-1-propyl (2*S*)-1-(1,1-Dimethyl-1,2-dioxo-pentyl)-2-pyrrolidinecarboxylate, N-oxide;

20 3-(4-Quinolyl)-1-propyl (2*S*)-1-(1,1-Dimethyl-1,2-dioxo-pentyl)-2-pyrrolidinecarboxylate, N-oxide; and

pharmaceutically acceptable salts, esters, and solvates thereof.

25 12. The method of claim 1, wherein the N-oxide of a heterocyclic ester, amide, thioester, or ketone compound is a compound having the formula (V):



30 or a pharmaceutically acceptable salt, ester, or solvate

thereof, wherein:

V is C, N, or S;

A and B, taken together with V and the carbon atom to which they are respectively attached, form a 5-7 membered saturated or unsaturated heterocyclic ring containing, in addition to V, one or more heteroatom(s) selected from the group consisting of O, S, SO, SO<sub>2</sub>, N, NH, and NR<sub>7</sub>;

R<sub>7</sub> is either C<sub>1</sub>-C<sub>9</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>9</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>9</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, or Ar<sub>3</sub>, wherein R<sub>7</sub> is either unsubstituted or substituted with one or more substituent(s) independently selected from the group consisting of halo, haloalkyl, carbonyl, carboxy, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>1</sub>-C<sub>4</sub> alkoxy, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, thioalkyl, alkylthio, sulfhydryl, amino, alkylamino, aminoalkyl, aminocarboxyl, and Ar<sub>4</sub>;

Ar<sub>3</sub> and Ar<sub>4</sub> are independently an alicyclic or aromatic, mono-, bi- or tricyclic, carbo- or heterocyclic ring; wherein the individual ring size is 5-8 members; wherein said heterocyclic ring contains 1-6 heteroatom(s) independently selected from the group consisting of O, N, and S; and

R, W, X, Y, and Z are as defined in claim 7 above.

13. The method of claim 1, wherein the N-oxide of a heterocyclic ester, amide, thioester, or ketone compound is administered to said animal in combination with an effective amount of one or more factor(s) useful in treating vision disorders, improving vision, treating memory impairment, or enhancing memory performance in an animal.

14. The method of claim 13, wherein the one or more factor(s) is/are selected from the group consisting of immunosuppressants for treating autoimmune, inflammatory, and immunologically-mediated disorders; wound healing agents for treating wounds resulting from injury or surgery;

antiglaucomatous medications for treating abnormally elevated intraocular pressure; neurotrophic factors and growth factors for treating neurodegenerative disorders or stimulating neurite outgrowth; compounds effective in limiting or preventing hemorrhage or neovascularization for treating macular degeneration; and antioxidants for treating oxidative damage to eye tissues.

15. A pharmaceutical composition for treating a vision disorder or improving vision or treating memory impairment or enhancing memory performance in an animal, wherein the composition comprises

- (i) an effective amount of an N-oxide of a heterocyclic ester, amide, thioester, or ketone compound for treating a vision disorder, improving vision, treating memory impairment, or enhancing memory performance in an animal; and
- (ii) a pharmaceutically acceptable carrier.

16. The pharmaceutical composition of claim 15, wherein the N-oxide of a heterocyclic ester, amide, thioester, or ketone compound is immunosuppressive or non-immunosuppressive.

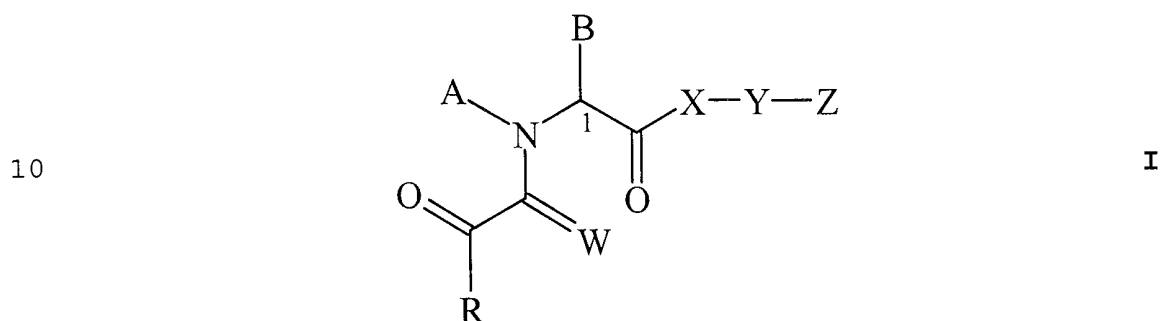
17. The pharmaceutical composition of claim 15, wherein the N-oxide of a heterocyclic ester, amide, thioester, or ketone compound has an affinity for an FKBP-type immunophilin.

18. The pharmaceutical composition of claim 17, wherein the FKBP-type immunophilin is FKBP-12.

19. The pharmaceutical composition of claim 15, wherein the vision disorder is selected from the group consisting of: visual impairment; orbital disorders; disorders of the lacrimal apparatus; disorders of the eyelids; disorders of the conjunctiva; disorders of the cornea; cataract; disorders of

the uveal tract; disorders of the retina; disorders of the optic nerve or visual pathways; free radical induced eye disorders and diseases; immunologically-mediated eye disorders and disorders; eye injuries; and symptoms and complications of eye disease, eye disorder, or eye injury.

20. The pharmaceutical composition of claim 15, wherein the N-oxide of a heterocyclic ester, amide, thioester, or ketone compound is a compound having the formula (I):



or a pharmaceutically acceptable salt, ester, or solvate thereof, wherein:

A and B are taken together, with the nitrogen and carbon atoms to which they are respectively attached, to form a 5-7 membered saturated or unsaturated heterocyclic ring containing any combination of CH, CH<sub>2</sub>, O, S, SO, SO<sub>2</sub>, N, NH and NR<sub>1</sub>;

W is O, S, CH<sub>2</sub>, or H<sub>2</sub>;

20 R is C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or a C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl optionally substituted with C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, or Ar<sub>1</sub>, where said alkyl, alkenyl, cycloalkyl, or cycloalkenyl groups may be optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, or Ar<sub>2</sub>;

25 Ar<sub>1</sub> and Ar<sub>2</sub> are independently selected from the group consisting of 1-naphthyl, 2-naphthyl, 1-indolyl, 2-indolyl, 2-furyl, 3-furyl, 2-thienyl, 3-thienyl, 2-pyridyl, 3-pyridyl, 4-pyridyl and phenyl, having one or more substituent(s) independently selected from the group consisting of hydrogen,

halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, and amino;

X is O, NH, NR<sub>1</sub>, S, CH, CR<sub>1</sub>, or CR<sub>1</sub>R<sub>3</sub>;

5 Y is a direct bond, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, or C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl; wherein said alkyl or alkenyl is optionally substituted with one or more substituent(s) independently selected from the group consisting of C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub>  
10 straight or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, hydroxy, carbonyl oxygen, and Ar; wherein said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or carbonyl oxygen; wherein any carbon atom of said alkyl,  
15 alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally replaced with O, NH, NR<sub>2</sub>, S, SO, or SO<sub>2</sub>;

R<sub>2</sub> is selected from the group consisting of hydrogen, C<sub>1</sub>-C<sub>4</sub> straight or branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or branched chain alkenyl or alkynyl, and C<sub>1</sub>-C<sub>4</sub> bridging alkyl  
20 wherein a bridge is formed between the nitrogen and a carbon atom of said alkyl or alkenyl chain containing said heteroatom to form a ring, wherein said ring is optionally fused to an Ar group;

Z is an aromatic or tertiary alkyl amine oxidized to a  
25 corresponding N-oxide, wherein the aromatic amine is Ar oxidized to a corresponding N-oxide where Ar is a mono-, bi-, or tricyclic, carbo- or heterocyclic ring, wherein the ring is either unsubstituted or substituted in one to three position(s) with halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub>  
30 straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>1</sub>-C<sub>4</sub> alkoxy, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, amino, or a combination thereof; wherein the individual ring sizes are 5-6 members; wherein the heterocyclic ring contains 1-6 heteroatom(s) selected from  
35 the group consisting of O, N, S, and a combination thereof wherein at least one of the heteroatoms is N, and where the

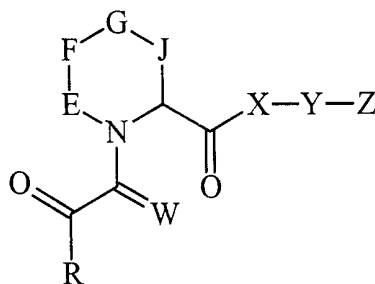
alkyl amine is oxidized to a corresponding N-oxide where alkyl is a C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or C<sub>2</sub>-C<sub>6</sub> staright or branched chain alkenyl which is optionally substituted in one or more positions with C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or C<sub>2</sub>-C<sub>6</sub> staright or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, hydroxyl, carbonyl oxygen, or Ar wherein said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar group is optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or carbonyl oxygen; or wherein any of the carbon atoms of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar group is optionally replaced with O, NH, NR<sub>2</sub>, S, SO, or SO<sub>2</sub>;

Ar is selected from the group consisting of pyrrolidinyl, pyridyl, pyrimidyl, pyrazyl, pyridazyl, quinolinyl, and isoquinolinyl; and

R<sub>1</sub> and R<sub>3</sub> are independently hydrogen, C<sub>1</sub>-C<sub>4</sub> straight or branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or branched chain alkenyl or alkynyl, or Y-Z.

21. The method of claim 15, wherein the N-oxide of a heterocyclic ester, amide, thioester, or ketone compound is a compound having the formula (II):

II



or a pharmaceutically acceptable salt, ester, or solvate thereof, wherein:

E, F, G and J are independently CH<sub>2</sub>, O, S, SO, SO<sub>2</sub>, NH or NR<sub>1</sub>;

W is O, S, CH<sub>2</sub>, or H<sub>2</sub>;

R is C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or a C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl optionally substituted with

C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, or Ar<sub>1</sub>, where said alkyl, alkenyl, cycloalkyl, or cycloalkenyl groups may be optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, or Ar<sub>2</sub>;

5 Ar<sub>1</sub> and Ar<sub>2</sub> are independently selected from the group consisting of 1-naphthyl, 2-naphthyl, 1-indolyl, 2-indolyl, 2-furyl, 3-furyl, 2-thienyl, 3-thienyl, 2-pyridyl, 3-pyridyl, 4-pyridyl and phenyl, having one or more substituent(s) independently selected from the group consisting of hydrogen,  
10 halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, and amino;

X is O, NH, NR<sub>1</sub>, S, CH, CR<sub>1</sub>, or CR<sub>1</sub>R<sub>3</sub>;

Y is a direct bond, C<sub>1</sub>-C<sub>6</sub> straight or branched chain  
15 alkyl, or C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl; wherein said alkyl or alkenyl is optionally substituted with one or more substituent(s) independently selected from the group consisting of C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub>  
20 cycloalkenyl, hydroxy, carbonyl oxygen, and Ar; wherein said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or carbonyl oxygen; wherein any carbon atom of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally  
25 replaced with O, NH, NR<sub>2</sub>, S, SO, or SO<sub>2</sub>;

R<sub>2</sub> is selected from the group consisting of hydrogen, C<sub>1</sub>-C<sub>4</sub> straight or branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or branched chain alkenyl or alkynyl, and C<sub>1</sub>-C<sub>4</sub> bridging alkyl wherein a bridge is formed between the nitrogen and a carbon  
30 atom of said alkyl or alkenyl chain containing said heteroatom to form a ring, wherein said ring is optionally fused to an Ar group;

Z is an aromatic or tertiary alkyl amine oxidized to a corresponding N-oxide, wherein the aromatic amine is Ar  
35 oxidized to a corresponding N-oxide where Ar is a mono-, bi-, or tricyclic, carbo- or heterocyclic ring, wherein the ring

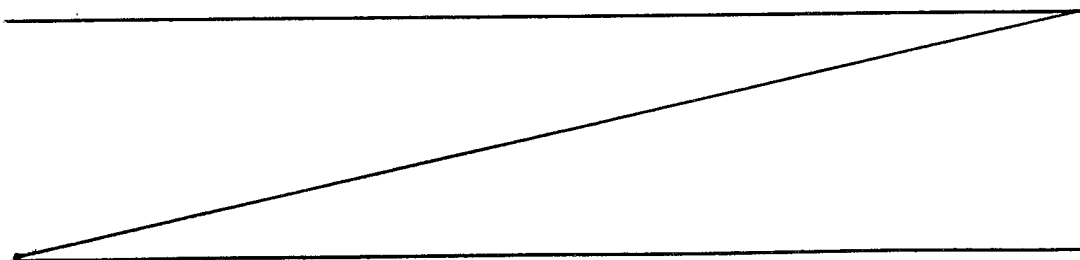


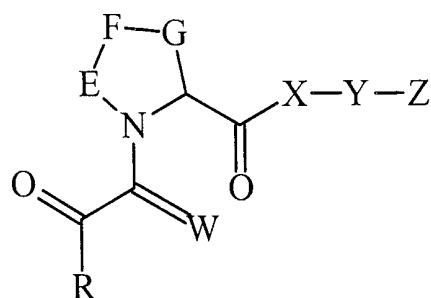
is either unsubstituted or substituted in one to three position(s) with halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>1</sub>-C<sub>4</sub> alkoxy, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, amino, or a combination thereof; wherein the individual ring sizes are 5-6 members; wherein the heterocyclic ring contains 1-6 heteroatom(s) selected from the group consisting of O, N, S, and a combination thereof wherein at least one of the heteroatoms is N, and where the alkyl amine is oxidized to a corresponding N-oxide where alkyl is a C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl which is optionally substituted in one or more positions with C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, hydroxyl, carbonyl oxygen, or Ar wherein said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar group is optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or carbonyl oxygen; or wherein any of the carbon atoms of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar group is optionally replaced with O, NH, NR<sub>2</sub>, S, SO, or SO<sub>2</sub>;

Ar is selected from the group consisting of pyrrolidinyl, pyridyl, pyrimidyl, pyrazyl, pyridazyl, quinolinyl, and isoquinolinyl; and

R<sub>1</sub> and R<sub>3</sub> are independently hydrogen, C<sub>1</sub>-C<sub>4</sub> straight or branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or branched chain alkenyl or alkynyl, or Y-Z.

22. The method of claim 15, wherein the N-oxide of a heterocyclic ester, amide, thioester, or ketone compound is a compound having the formula (III):





III

or a pharmaceutically acceptable salt, ester, or solvate thereof, wherein:

5 E, F, and G are independently CH<sub>2</sub>, O, S, SO, SO<sub>2</sub>, NH or NR<sub>1</sub>;

W is O, S, CH<sub>2</sub>, or H<sub>2</sub>;

R is C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or a C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl optionally substituted with  
 10 C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, or Ar<sub>1</sub>, where said alkyl, alkenyl, cycloalkyl, or cycloalkenyl groups may be optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, or Ar<sub>2</sub>;

Ar<sub>1</sub> and Ar<sub>2</sub> are independently selected from the group  
 15 consisting of 1-naphthyl, 2-naphthyl, 1-indolyl, 2-indolyl, 2-furyl, 3-furyl, 2-thienyl, 3-thienyl, 2-pyridyl, 3-pyridyl, 4-pyridyl and phenyl, having one or more substituent(s) independently selected from the group consisting of hydrogen, halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or  
 20 branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, and amino;

X is O, NH, NR<sub>1</sub>, S, CH, CR<sub>1</sub>, or CR<sub>1</sub>R<sub>3</sub>;

Y is a direct bond, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, or C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl; wherein  
 25 said alkyl or alkenyl is optionally substituted with one or more substituent(s) independently selected from the group consisting of C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, hydroxy, carbonyl oxygen, and Ar; wherein said  
 30 alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally

substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or carbonyl oxygen; wherein any carbon atom of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally replaced with O, NH, NR<sub>2</sub>, S, SO, or SO<sub>2</sub>;

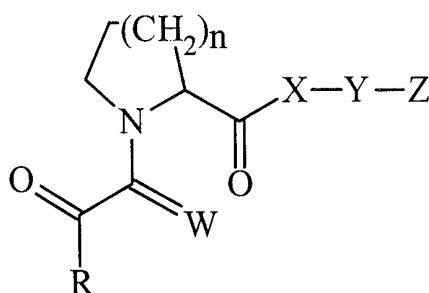
5 R<sub>2</sub> is selected from the group consisting of hydrogen, C<sub>1</sub>-C<sub>4</sub> straight or branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or branched chain alkenyl or alkynyl, and C<sub>1</sub>-C<sub>4</sub> bridging alkyl wherein a bridge is formed between the nitrogen and a carbon atom of said alkyl or alkenyl chain containing said  
10 heteroatom to form a ring, wherein said ring is optionally fused to an Ar group;

Z is an aromatic or tertiary alkyl amine oxidized to a corresponding N-oxide, wherein the aromatic amine is Ar oxidized to a corresponding N-oxide where Ar is a mono-, bi-,  
15 or tricyclic, carbo- or heterocyclic ring, wherein the ring is either unsubstituted or substituted in one to three position(s) with halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>1</sub>-C<sub>4</sub> alkoxy, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy,  
20 benzyloxy, amino, or a combination thereof; wherein the individual ring sizes are 5-6 members; wherein the heterocyclic ring contains 1-6 heteroatom(s) selected from the group consisting of O, N, S, and a combination thereof wherein at least one of the heteroatoms is N, and where the  
25 alkyl amine is oxidized to a corresponding N-oxide where alkyl is a C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl which is optionally substituted in one or more positions with C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or C<sub>2</sub>-C<sub>6</sub> straight or branched chain  
30 alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, hydroxyl, carbonyl oxygen, or Ar wherein said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar group is optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or carbonyl oxygen; or wherein any of the carbon atoms of said  
35 alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar group is optionally replaced with O, NH, NR<sub>2</sub>, S, SO, or SO<sub>2</sub>;

Ar is selected from the group consisting of pyrrolidinyl, pyridyl, pyrimidyl, pyrazyl, pyridazyl, quinolinyl, and isoquinolinyl; and

R<sub>1</sub> and R<sub>3</sub> are independently hydrogen, C<sub>1</sub>-C<sub>4</sub> straight or  
5 branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or branched chain alkenyl or alkynyl, or Y-Z.

23. The method of claim 15, wherein the N-oxide of a  
heterocyclic ester, amide, thioester, or ketone compound is  
10 a compound having the formula (IV):



IV

or a pharmaceutically acceptable salt, ester, or solvate thereof, wherein:

15 n is 1, 2 or 3 forming a 5-7 member heterocyclic ring;  
W is O, S, CH<sub>2</sub>, or H<sub>2</sub>;

R is C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or a C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl optionally substituted with C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, or Ar<sub>1</sub>, where said alkyl,  
20 alkenyl, cycloalkyl, or cycloalkenyl groups may be optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, or Ar<sub>2</sub>;

Ar<sub>1</sub> and Ar<sub>2</sub> are independently selected from the group consisting of 1-naphthyl, 2-naphthyl, 1-indolyl, 2-indolyl,  
25 2-furyl, 3-furyl, 2-thienyl, 3-thienyl, 2-pyridyl, 3-pyridyl, 4-pyridyl and phenyl, having one or more substituent(s) independently selected from the group consisting of hydrogen, halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain

alkenyl, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, and amino;

X is O, NH, NR<sub>1</sub>, S, CH, CR<sub>1</sub>, or CR<sub>1</sub>R<sub>3</sub>;

Y is a direct bond, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, or C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl; wherein  
5 said alkyl or alkenyl is optionally substituted with one or more substituent(s) independently selected from the group consisting of C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, hydroxy, carbonyl oxygen, and Ar; wherein said  
10 alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or carbonyl oxygen; wherein any carbon atom of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar is optionally replaced with O, NH, NR<sub>2</sub>, S, SO, or SO<sub>2</sub>;

15 R<sub>2</sub> is selected from the group consisting of hydrogen, C<sub>1</sub>-C<sub>4</sub> straight or branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or branched chain alkenyl or alkynyl, and C<sub>1</sub>-C<sub>4</sub> bridging alkyl wherein a bridge is formed between the nitrogen and a carbon atom of said alkyl or alkenyl chain containing said  
20 heteroatom to form a ring, wherein said ring is optionally fused to an Ar group;

Z is an aromatic or tertiary alkyl amine oxidized to a corresponding N-oxide, wherein the aromatic amine is Ar oxidized to a corresponding N-oxide where Ar is a mono-, bi-,  
25 or tricyclic, carbo- or heterocyclic ring, wherein the ring is either unsubstituted or substituted in one to three position(s) with halo, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched chain alkenyl, C<sub>1</sub>-C<sub>4</sub> alkoxy, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy,  
30 benzyloxy, amino, or a combination thereof; wherein the individual ring sizes are 5-6 members; wherein the heterocyclic ring contains 1-6 heteroatom(s) selected from the group consisting of O, N, S, and a combination thereof wherein at least one of the heteroatoms is N, and where the  
35 alkyl amine is oxidized to a corresponding N-oxide where alkyl is a C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or C<sub>2</sub>-C<sub>6</sub>

staright or branched chain alkenyl which is optionally substituted in one or more positions with C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl or C<sub>2</sub>-C<sub>6</sub> staright or branched chain alkenyl, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub> cycloalkenyl, hydroxyl, carbonyl oxygen, or Ar wherein said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar group is optionally substituted with C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, hydroxy, or carbonyl oxygen; or wherein any of the carbon atoms of said alkyl, alkenyl, cycloalkyl, cycloalkenyl, or Ar group is optionally replaced with O, NH, NR<sub>2</sub>, S, SO, or SO<sub>2</sub>;

Ar is selected from the group consisting of pyrrolidinyl, pyridyl, pyrimidyl, pyrazyl, pyridazyl, quinolinyl, and isoquinolinyl; and

R<sub>1</sub> and R<sub>3</sub> are independently hydrogen, C<sub>1</sub>-C<sub>4</sub> straight or branched chain alkyl, C<sub>3</sub>-C<sub>4</sub> straight or branched chain alkenyl or alkynyl, or Y-Z.

24. The method of claim 23, wherein the N-oxide of a heterocyclic ester, amide, thioester, or ketone compound is selected from the group consisting of:

3-(2-Pyridyl)-1-propyl (2S)-1-(1,1-Dimethyl-1,2-dioxopentyl)-2-pyrrolidinecarboxylate, N-oxide;

3-(3-Pyridyl)-1-propyl (2S)-1-(1,1-Dimethyl-1,2-dioxopentyl)-2-pyrrolidinecarboxylate, N-oxide;

3-(4-Pyridyl)-1-propyl (2S)-1-(1,1-Dimethyl-1,2-dioxopentyl)-2-pyrrolidinecarboxylate, N-oxide;

3-(2-Quinolyl)-1-propyl (2S)-1-(1,1-Dimethyl-1,2-dioxopentyl)-2-pyrrolidinecarboxylate, N-oxide;

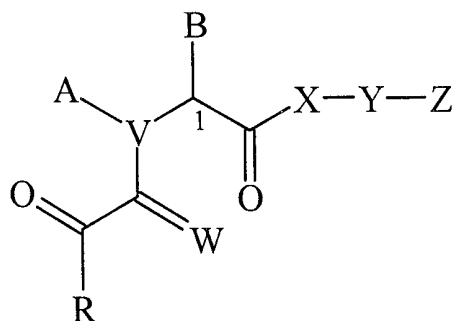
3-(3-Quinolyl)-1-propyl (2S)-1-(1,1-Dimethyl-1,2-dioxopentyl)-2-pyrrolidinecarboxylate, N-oxide;

3-(4-Quinolyl)-1-propyl (2S)-1-(1,1-Dimethyl-1,2-dioxopentyl)-2-pyrrolidinecarboxylate, N-oxide; and

pharmaceutically acceptable salts, esters, and solvates thereof.

25. The method of claim 15, wherein the N-oxide of a

heterocyclic ester, amide, thioester, or ketone compound is a compound having the formula (V):



5 or a pharmaceutically acceptable salt, ester, or solvate thereof, wherein:

V is C, N, or S;

A and B, taken together with V and the carbon atom to which they are respectively attached, form a 5-7 membered  
10 saturated or unsaturated heterocyclic ring containing, in addition to V, one or more heteroatom(s) selected from the group consisting of O, S, SO, SO<sub>2</sub>, N, NH, and NR<sub>7</sub>;

R<sub>7</sub> is either C<sub>1</sub>-C<sub>9</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>9</sub> straight or branched chain alkenyl, C<sub>3</sub>-C<sub>9</sub> cycloalkyl, C<sub>5</sub>-C<sub>7</sub>  
15 cycloalkenyl, or Ar<sub>3</sub>, wherein R<sub>7</sub> is either unsubstituted or substituted with one or more substituent(s) independently selected from the group consisting of halo, haloalkyl, carbonyl, carboxy, hydroxy, nitro, trifluoromethyl, C<sub>1</sub>-C<sub>6</sub> straight or branched chain alkyl, C<sub>2</sub>-C<sub>6</sub> straight or branched  
20 chain alkenyl, C<sub>1</sub>-C<sub>4</sub> alkoxy, C<sub>2</sub>-C<sub>4</sub> alkenyloxy, phenoxy, benzyloxy, thioalkyl, alkylthio, sulfhydryl, amino, alkylamino, aminoalkyl, aminocarboxyl, and Ar<sub>4</sub>;

Ar<sub>3</sub> and Ar<sub>4</sub> are independently an alicyclic or aromatic, mono-, bi- or tricyclic, carbo- or heterocyclic ring; wherein  
25 the individual ring size is 5-8 members; wherein said heterocyclic ring contains 1-6 heteroatom(s) independently selected from the group consisting of O, N, and S; and

R, W, X, Y, and Z are as defined in claim 20 above.

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FIG. 1A

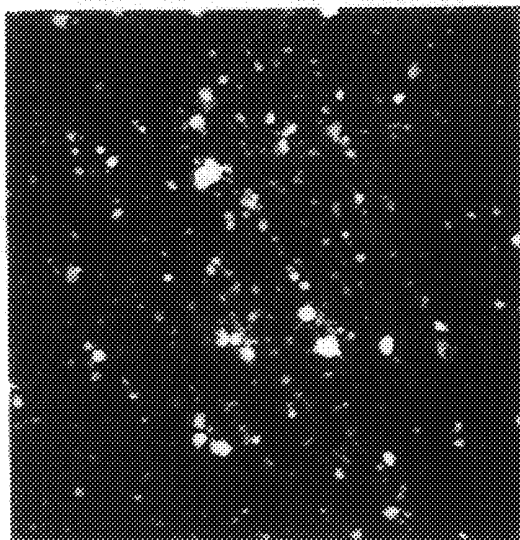


FIG. 1B

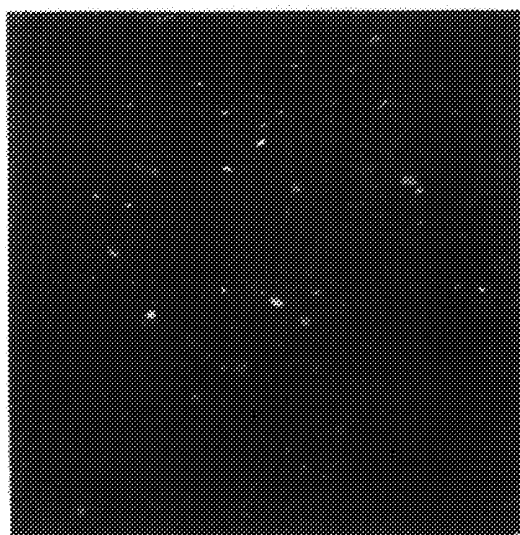


FIG. 1C

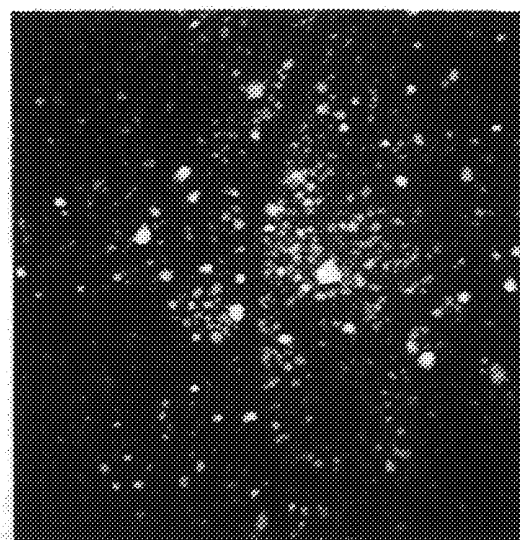




FIG. 2A

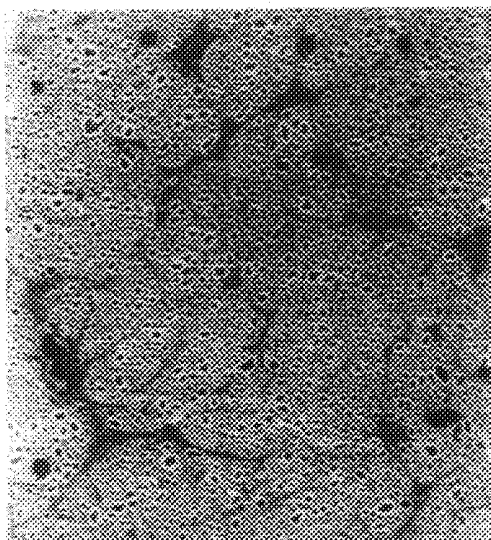


FIG. 2B

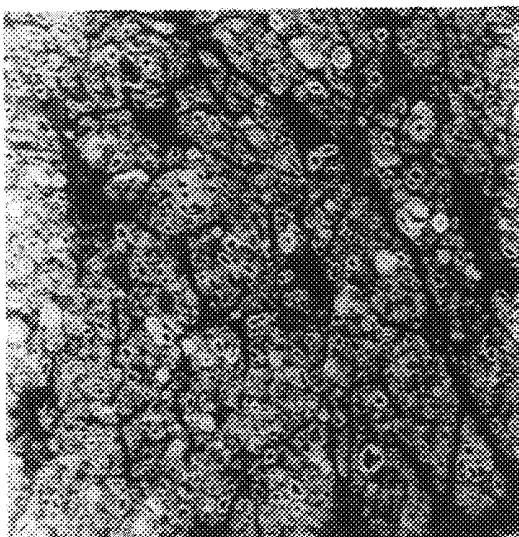


FIG. 2C



FIG. 3A

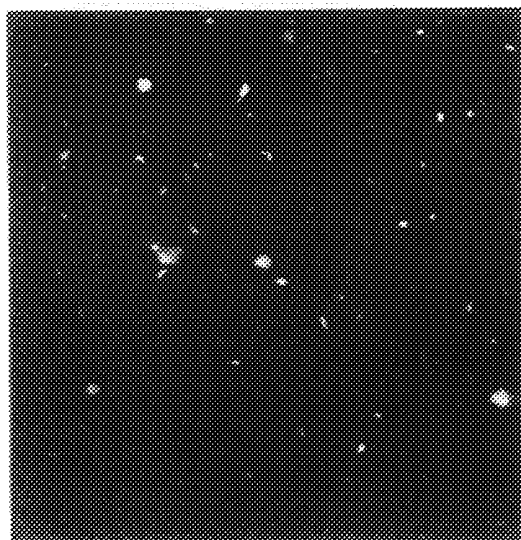


FIG. 3B

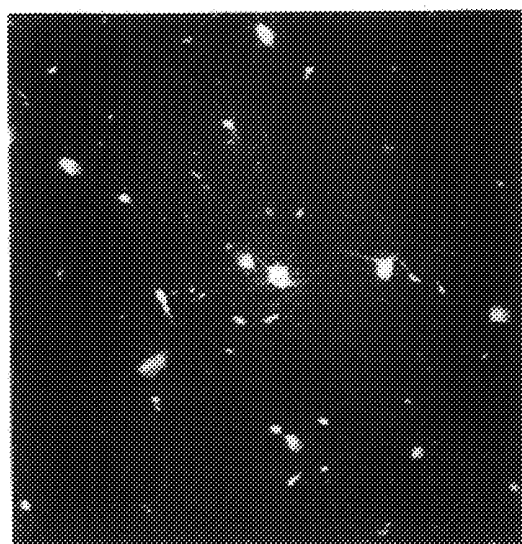


FIG. 4A

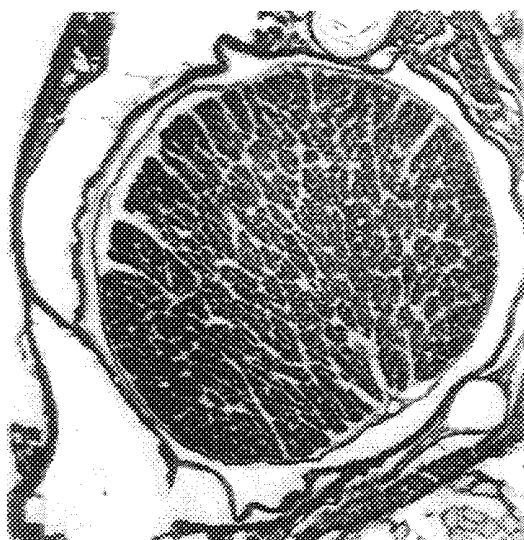


FIG. 4B



FIG. 4C

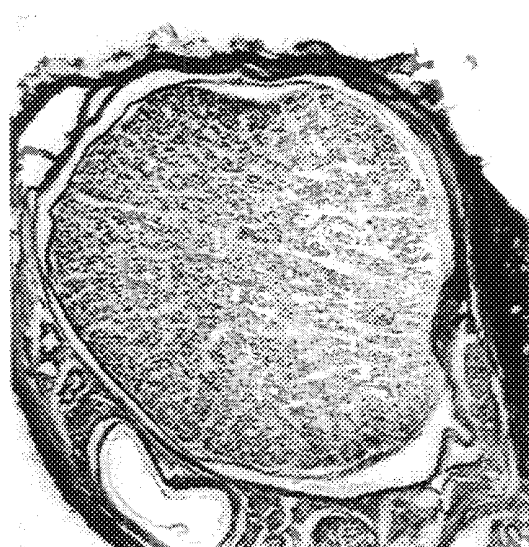


FIG. 4D

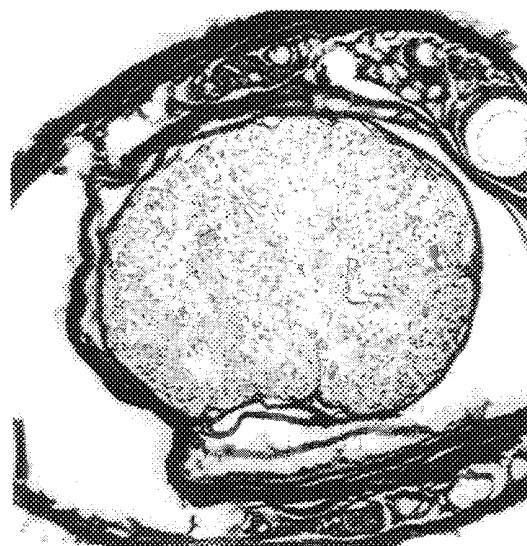


FIG. 5A

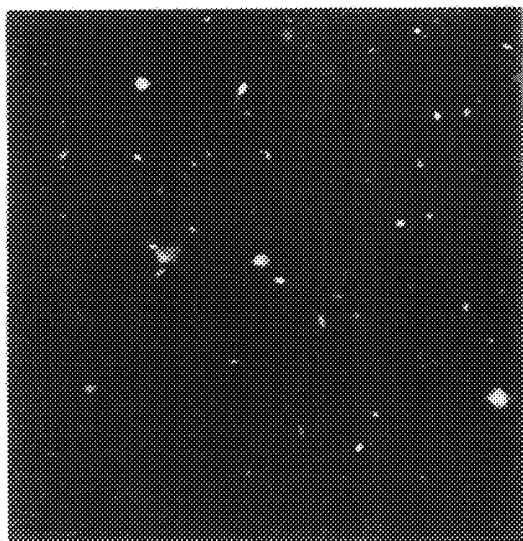


FIG. 5B

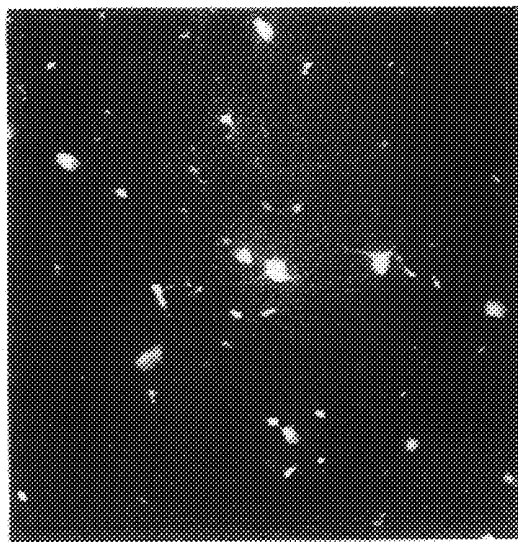


FIG. 5C

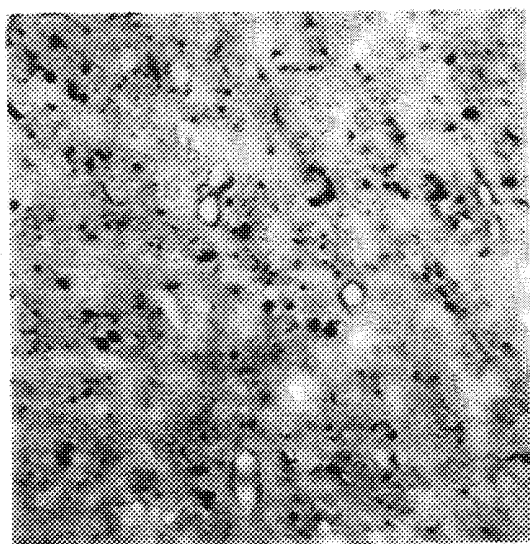


FIG. 5D

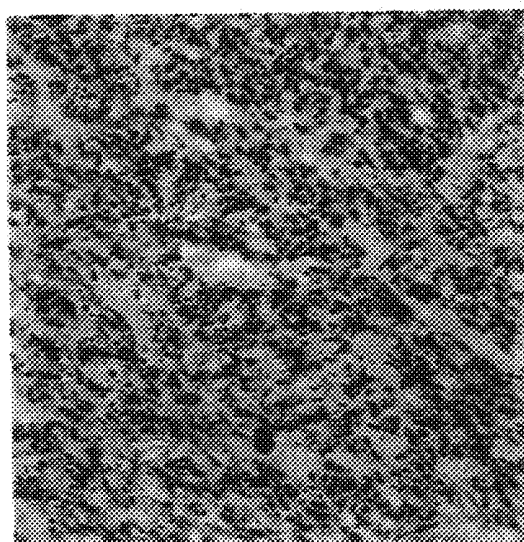


FIG. 6A

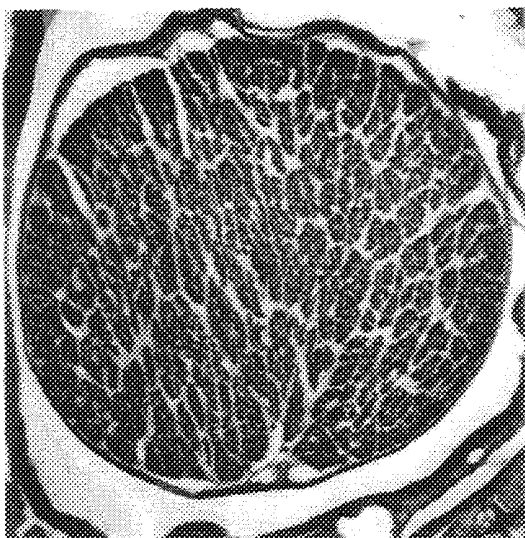


FIG. 6B

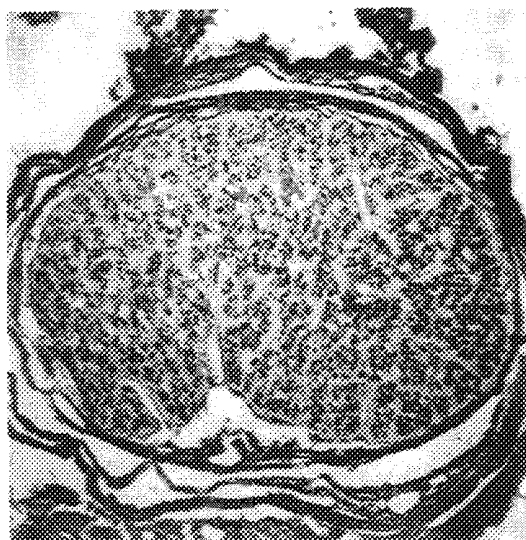


FIG. 6C

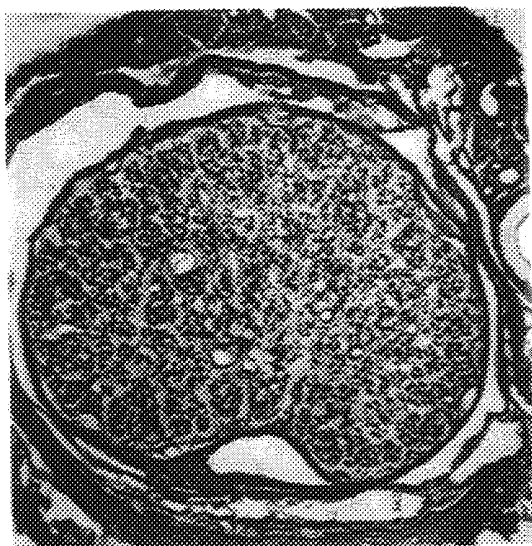


FIG. 6D

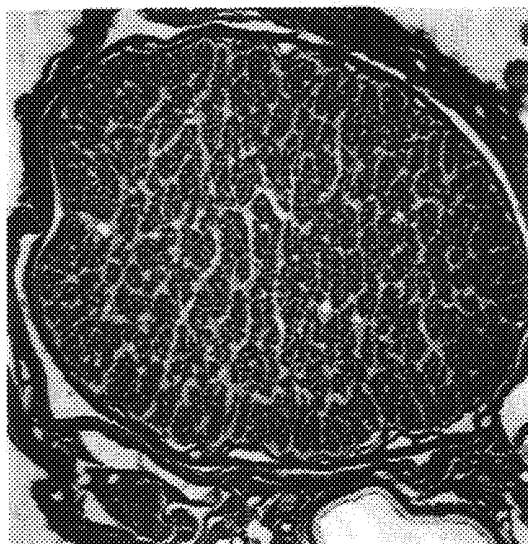


FIG. 7

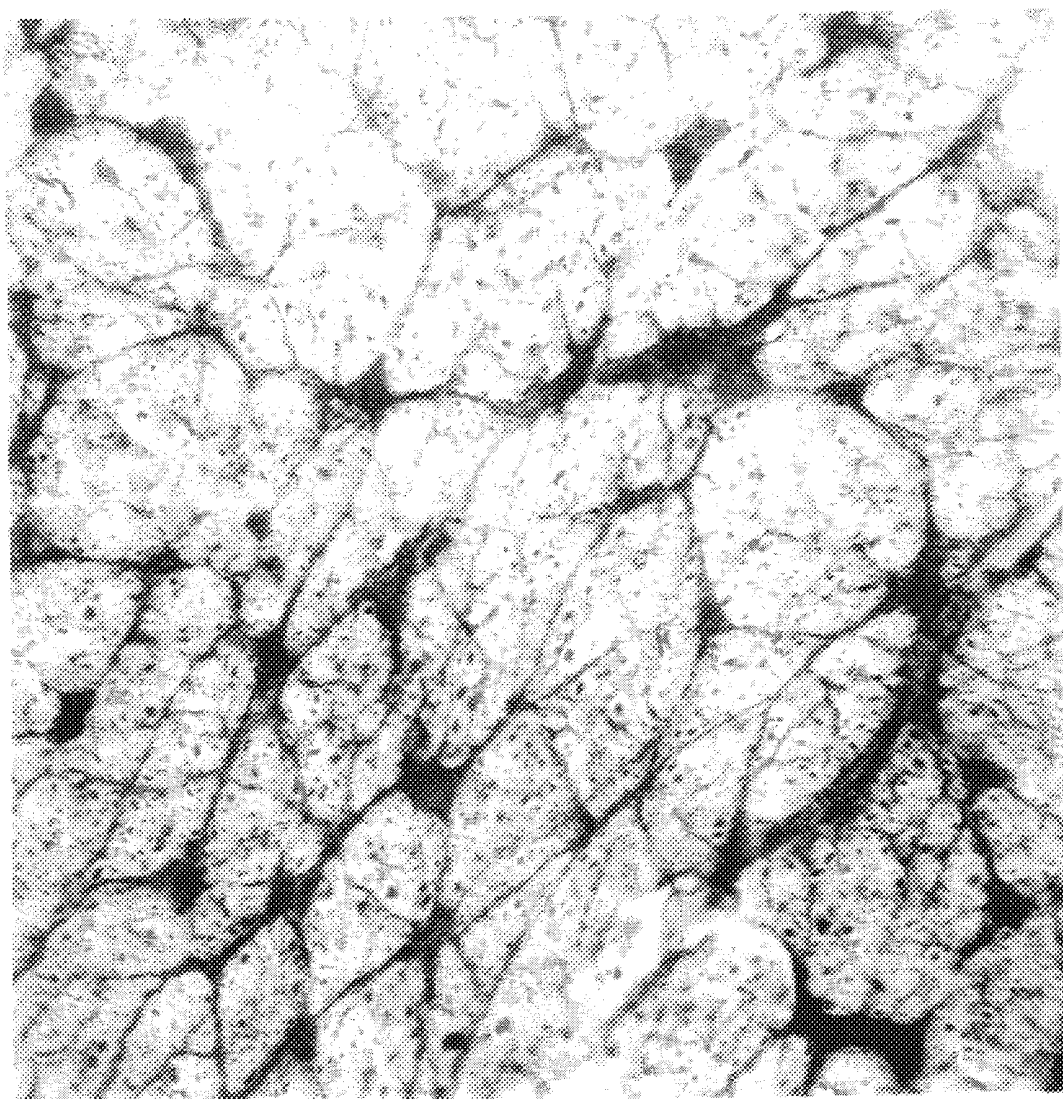




FIG. 8A

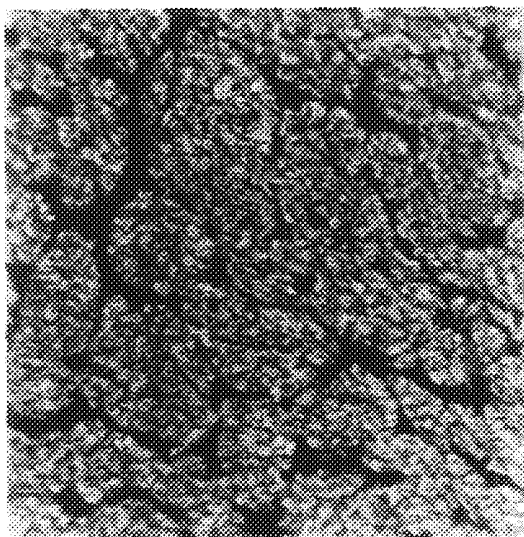


FIG. 8B

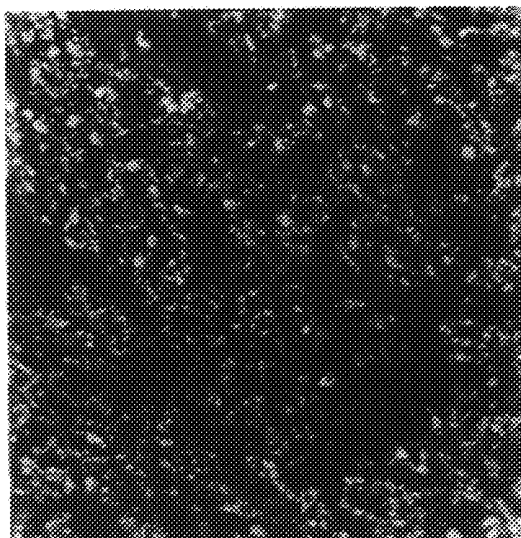


FIG. 8C

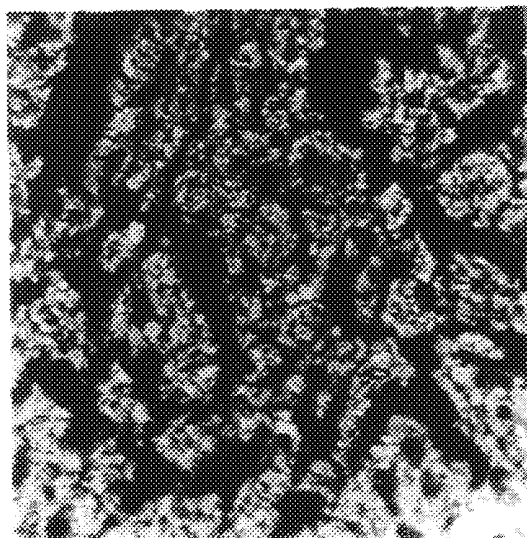


FIG. 8D

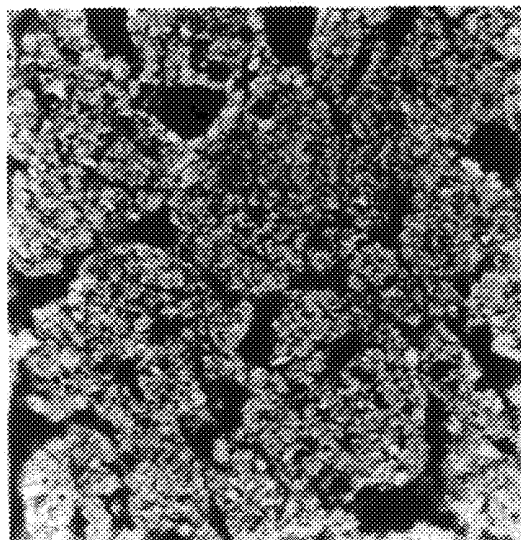


FIG. 9A

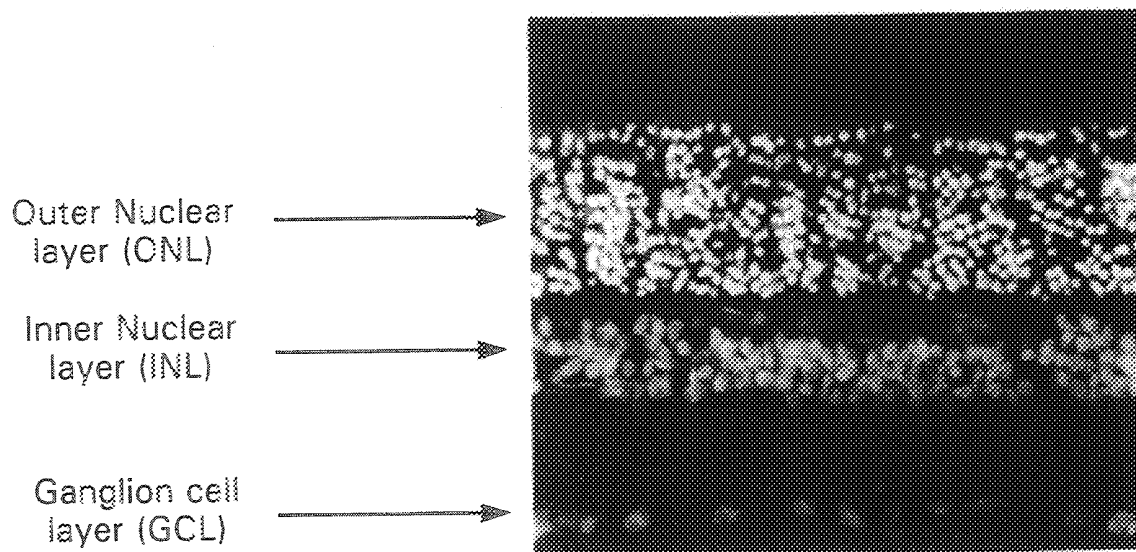


FIG. 9B

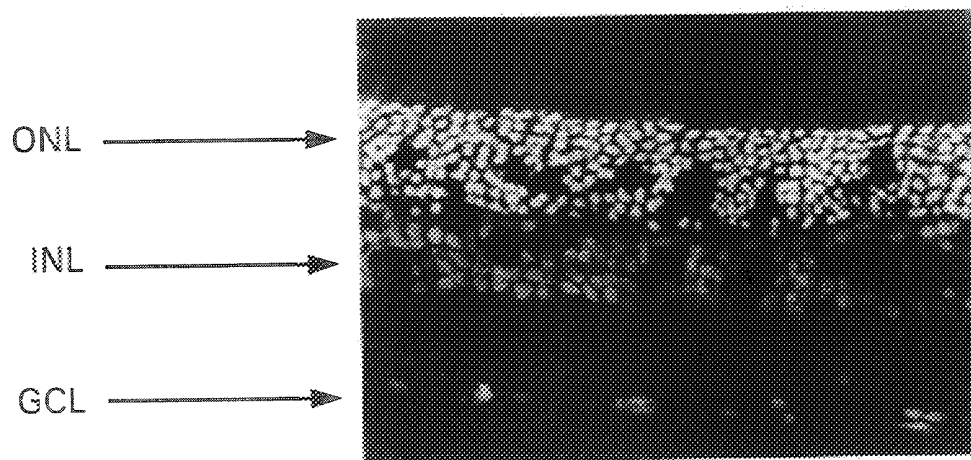
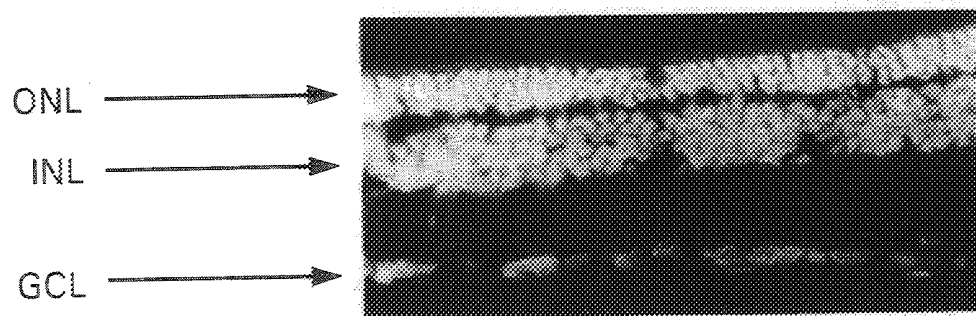


FIG. 9C





# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 99/18236

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61K31/401 A61K31/4025 A61K31/435 A61K31/4427 A61P27/02  
A61P25/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61K A61P

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 98 13355 A (GUILFORD PHARM INC) 2 April 1998 (1998-04-02) claims page 22, line 17 -page 23, line 17 page 25, line 26 -page 26, line 11 ---	1-25
P, X	WO 99 15525 A (BOIGEGRAIN ROBERT ;MOLIMARD JEAN CHARLES (FR); OLLIERO DOMINIQUE ( ) 1 April 1999 (1999-04-01) the whole document ---	1-25
X	WO 98 29117 A (GUILFORD PHARM INC) 9 July 1998 (1998-07-09) claims page 33, line 7-14 page 50, line 11-19 --- -/--	1-25

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

1 December 1999

Date of mailing of the international search report

28.12.99

Name and mailing address of the ISA

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Authorized officer

Herrera, S

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 99/18236

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 98 20892 A (VERTEX PHARMA) 22 May 1998 (1998-05-22) claims page 14, line 24-31 page 16, line 30 -page 17, line 7 ----	1-25
X	SCHMIDT, J. ET AL: "Peptidyl pyridinium methyl ketone derivatives as potent inhibitors of prolyl endopeptidase" PEPT. 1996, PROC. EUR. PEPT. SYMP., 24TH (1998), MEETING DATE 1996, 787-788. EDITOR(S): RAMAGE, ROBERT;EPTON, ROGER. PUBLISHER: MAYFLOWER SCIENTIFIC, KINGSWINFORD, UK. , XP002124419 See Introduction -----	1-25

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 99/18236

### Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☒ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
  
see FURTHER INFORMATION sheet PCT/ISA/210
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

#### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Present claims 1-25 relate to an extremely large number of possible methods and compositions. Support within the meaning of Article 6 PCT and/or disclosure within the meaning of Article 5 PCT is to be found, however, for only a very small proportion of the methods and compositions claimed. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible. Consequently, the search has been carried out for those parts of the claims which appear to be supported and disclosed, namely those parts relating to the methods and compositions defined in claims 7 and 20 respectively as well as the claims dependent thereof.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

# INTERNATIONAL SEARCH REPORT

information on patent family members

International Application No

PCT/US 99/18236

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W0 9820892 A	22-05-1998	US 5811434 A	22-09-1998
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