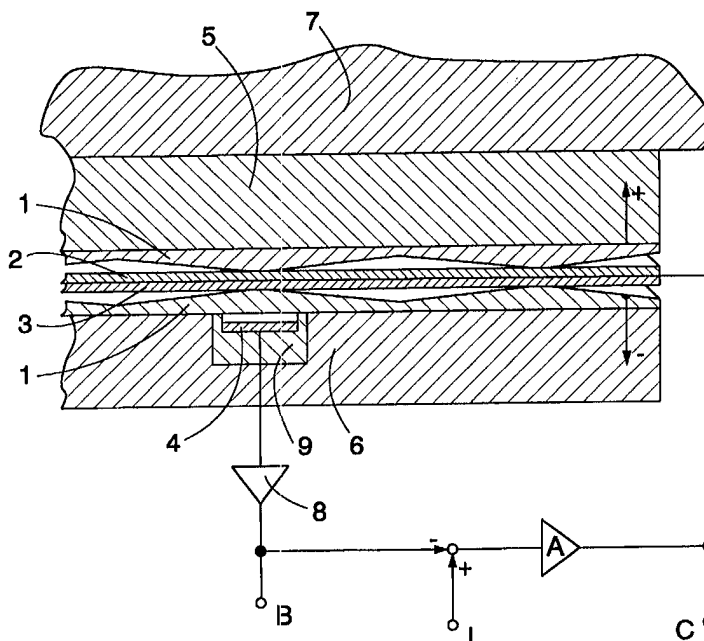


## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>(51) International Patent Classification <sup>6</sup> :</b><br><br><b>G10K 11/178</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | <b>A1</b> | <b>(11) International Publication Number:</b> <b>WO 98/24088</b><br><br><b>(43) International Publication Date:</b> 4 June 1998 (04.06.98)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>(21) International Application Number:</b> PCT/FI97/00734<br><b>(22) International Filing Date:</b> 27 November 1997 (27.11.97)<br><br><b>(30) Priority Data:</b><br>964717                      27 November 1996 (27.11.96)      FI<br>970475                      4 February 1997 (04.02.97)                      FI<br><br><b>(71) Applicant (for all designated States except US):</b> PANPHONICS [FI/FI]; c/o YTN-Laskenta Oy, Pyhäjärvenkatu 5 B, 4. krs, FIN-33200 Tampere (FI).<br><br><b>(71)(72) Applicant and Inventor:</b> KIRJAVAINEN, Kari [FI/FI]; Kivenlahdenkatu 11 A 4, FIN-02320 Espoo (FI).<br><br><b>(74) Agent:</b> KOLSTER OY AB; Iso Roobertinkatu 23, P.O. Box 148, FIN-00121 Helsinki (FI). |           | <b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).<br><br><b>Published</b><br><i>With international search report.</i><br><i>In English translation (filed in Finnish).</i> |

**(54) Title:** METHOD AND APPARATUS FOR PROCESSING SOUND**(57) Abstract**

The invention relates to a method and an apparatus for sound processing, where sound is attenuated by measuring it and by producing with an actuator a sound field of opposite sign in view of the original sound field. A desired value signal (I) is supplied to the actuator which reproduces the signal. To the actuator is attached a sensor, measuring sound pressure, and the difference of the sensor signal (B) and the desired value signal (I) is applied as high gain feedback to the actuator. As a consequence, sound not pertaining to the desired value signal (I) can be attenuated in a simple manner, and sound in accordance with the desired value signal (I) can be produced simultaneously with the same apparatus.

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**METHOD AND APPARATUS FOR PROCESSING SOUND**

The invention relates to a method for processing sound, in which method a sound field of an ambient sound is measured; a sound field of opposite sign in relation to the sound field of the ambient sound is produced by an actuator; a desired value signal is further applied to an actuator and a sound pressure of the ambient sound is measured with a sensor attached to the actuator, and the difference of the sensor signal and the desired value signal is applied to the actuator, and sound is produced in accordance with the desired value signal, and ambient sound not pertaining to the desired value signal is simultaneously attenuated.

The invention further relates to an apparatus for sound processing, the apparatus comprising an acoustic actuator, a sensor for measuring sound pressure attached thereto, means for applying a desired value signal to the actuator, and means for applying to the actuator the difference of the sound pressure, measured with a sensor, and the desired value signal.

It is known to attenuate sound with such a method that the sound pressure of a sound field and the particle velocity are measured, and on the basis of the measuring results actuators, in other words, means for producing attenuation sound are controlled in order to produce a sound field which is otherwise exactly the same as the original sound field, but of the opposite sign. As a consequence the sound field can be completely suppressed by means of the actuators. The method is applicable to sound attenuation, but production of a desired sound requires separate, complicated solutions.

German Patent Publication 3 025 391 discloses a method and an apparatus, in which sound is attenuated by measuring the sound field and by producing a sound field of opposite sign in relation to the original sound field. The supply of a desired value signal through a sound attenuating actuator is also disclosed. The applications of the solution are somewhat limited, since the measured sound field also comprises sound produced by an actuator.

U.S. Patent No. 5 018 203 and U.K. Patent Publication Nos. 1 530 814 and 2 160 070 disclose solutions for hearing protectors, in which a sound field is measured and a sound field of opposite sign is produced by a transformer in the hearing protector for attenuating the sound. The supply of an useful signal through a transformer in the hearing protector is also disclosed. By means of hearing protectors, sound entering the ear is attenuated by preventing the penetration of the ambient sound. The applications of the solutions

are somewhat limited, and for instance, with these solutions sound cannot be absorbed.

The object of this invention is to provide a method and an apparatus by which it is possible to absorb ambient sound, to produce desired sound,  
5 and to produce simultaneously a microphone signal comprising substantially ambient sound only, even though a signal is applied to an actuator for producing sound simultaneously.

The method according to the invention is characterized in that sound is attenuated by absorbing it with an actuator, the effect of a desired  
10 value signal on the sound pressure, measured with a sensor, is eliminated, and a microphone signal proportional only to the ambient sound is produced.

The apparatus according to the invention is further characterized in that the actuator is arranged to absorb sound, and the apparatus is arranged to eliminate the effect of the desired value signal on the sound pressure,  
15 measured with a sensor, and to produce the microphone signal proportional to the ambient sound.

The basic idea of the invention is that a desired value signal is applied to the actuator, which signal the actuator reproduces, and that a sensor measuring sound pressure is attached to the actuator, and that the difference  
20 of the sensor signal and the desired value signal is applied as a high gain feedback to the actuator. Then sound not pertaining to the desired value signal is simultaneously absorbed. Further, another basic idea is that the effect of the desired value signal is eliminated from the measured signal, and a microphone signal proportional to a sound not pertaining to the desired value signal  
25 is thus produced.

An advantage of the invention is that therewith sound, not pertaining to the desired value signal, can be absorbed in a simple way, and sound in accordance with the desired value sound can be produced simultaneously with the same apparatus, as well as a microphone signal proportional to sound not  
30 pertaining to the desired value signal, and all with the same apparatus.

The invention will be described in greater detail in the accompanying details, in which

Figure 1 illustrates schematically a principle of a sound attenuation apparatus,

35 Figure 2 is a schematic view of a second sound attenuation solution,

Figure 3a is a schematic view of a third sound attenuation solution,  
Figure 3b illustrates frequency responses of the solution according  
to Figure 3a,

Figure 4 shows a top view of a moving diaphragm of the actuator  
5 according to the invention,

Figure 5a is a schematic view of a fourth sound attenuation solution,

Figure 5b illustrates frequency responses of the solution shown in  
Figure 5a,

10 Figure 6 is a schematic view of a fifth sound attenuation solution,

Figure 7 is a schematic side view and cross-section of an actuator,

Figure 8 is a schematic side view and cross-section of another actuator solution,

Figures 9 to 11 are schematic views of some actuator solutions,

15 Figure 12 is a schematic view of a solution according to the invention,

Figure 13 is a schematic side view and cross-section of an actuator solution, and

Figure 14 is a schematic side view and cross-section of yet another  
20 actuator solution.

Figure 1 shows a schematic view of the method. The basic unit of the system comprises a planar actuator and a diaphragmatic pressure sensor fastened thereto. The acoustic system of the present invention may simultaneously serve as a noise absorbing and sound reproducing system. The actuator comprises porous stator plates 1, a moving diaphragm 2, 3, a sensor diaphragm 4 that is elastic in thickness direction, a sensor amplifier 8, and a variable gain amplifier A. According to the principle of a feedback system, the sensor signal B equals to the desired value signal I, with the gain of the variable gain amplifier approaching infinite. In practice, with the gain of 20 dB, the  
25 difference of the desired value signal and the sensor signal is 1 per cent. If the desired value signal is set zero, the sensor signal is also close to zero, which means that the system keeps the sound pressure zero behind an absorbing layer 6, the particle velocity having the maximum value in the absorbing layer, and as a consequence the system also absorbs low frequencies efficiently. In  
30 this case the system serves as a sound attenuator known per se. However, the desired value signal I, can be applied to the system simultaneously, which

signal the actuator reproduces. Thus the system can absorb all sound or noise not pertaining to the desired value signal, and can simultaneously reproduce sound of the desired value signal accurately. Figure 1 also illustrates the securing of an element to the wall 7 by means of an absorbing material 5.

5                Since the absorbing layer also attenuates the produced sound, it is advantageous to perform correction of the frequency response on the side of the desired value signal, in a unit F(l) shown in Figure 2. In order that the sensor signal can be differentiated from the desired value signal, the desired value signal is amplified with gain  $A'$  equal to gain  $A$ , which signal is subtracted from the output signal of the amplifier  $A$ . In this way is produced a microphone signal  $B' = -BA$ , which is proportional to the sensor signal, the microphone signal consisting only of sound or vibration signal not pertaining to the desired value signal, and applicable to the control of great acoustic systems or to signal traffic between a plurality of elements, for instance, in such a  
10                way that elements placed in different rooms constitute an intercom system.  
15

Figure 3a illustrates a control system, where feedback functions most efficiently on low and medium frequencies, which becomes clear from the frequency responses shown in Figure 3b. In order that the acoustic power of the elements can be distributed evenly, it is advantageous to adjust the active surface of the moving diaphragm 2 by removing metal-coating from points 10, as shown in Figure 4, the points forming a raster pattern of a desired shape and providing a desired sound field. In order that high feedback gain can be achieved, it is advantageous to place the pressure sensor as close to the moving diaphragm as possible, and in a point where high frequencies have the  
20                least amplitude, such as the point where the diaphragm is secured to the porous stator plates.  
25

Figure 5a illustrates an embodiment in which feedback gain can be further increased. An electrode 11, producing a signal corresponding to the diaphragm movement, is placed in the moving diaphragm. This signal constitutes an inner quick feedback loop. The gain of the pressure feedback loop is constant between time constants  $T1$  and  $T2$ , as shown in Figure 5b. At the time constant  $T2$ , the integration of the pressure loop is started, and correspondingly is started the derivation of the movement feedback, which is finished at the time constant  $T3$ , where the gain is as high as in the pressure  
30                loop.  
35

A very advantageous embodiment, where a pressure sensor 4 is attached to a moving electret diaphragm 2, is shown in Figure 6. In this case both the amplitude and the frequency responses of the actuator can be linearized.

5        Figure 7 illustrates the shape of a porous stator plate with protrusions 11 and an air gap 14 narrowing towards the edges. The stator plates may be produced of plastic powder or plastic fibers by sintering, and they are metallized at least on inner surfaces by vacuum evaporation.

10        Figure 8 illustrates an actuator element whose frame has gaps to provide resonators on low frequencies. A back plate 7 and the actuator element 1, 2, 3 may also produce resonances on different frequencies, when pressure feedback automatically adjusts their amplitudes to the level of the desired value. Thus the efficiency of the element can be significantly increased on low frequencies.

15        Figure 9 illustrates an embodiment of the invention, in which pressure sensors  $P_1$  and  $P_2$  are placed on both sides of the actuator and all the control electronics are connected with the sensors in the same micro circuit in the middle of the actuator.

20        Figure 10 illustrates an element consisting of modules, in which the micro circuits 15 are shown.

25        Figure 11 illustrates a module, on one side of which are shown connecting conductors 16 between modules for transferring supply voltages and signals between modules. The modules may also be cylindrical, in which case they are well suited for the sound attenuation of air conditioners. Conic modules are suited for nozzles of air conditioners. The modules may also be freely shapeable for a purpose.

30        Figure 12 illustrates a control system where the signal sum of pressure sensors, placed on both sides of the dipole actuator, controls the particle velocity provided by the actuator. The system may be tuned in by applying a signal to the actuator on the frequency band whose absorption is desired or whose sound propagation needs to be prevented. The terminal C is detached and a transfer function  $G_1$  is adjusted with a difference  $e$  being zero. Since the pressure sensors  $P_1$  and  $P_2$  on different sides (of the actuator), in practice, are in acoustically different spaces, a transfer function  $G_1$  is required for equalizing  
35        the signals, and by summing up the signals of the pressure sensors  $P_1$  and  $P_2$  a microphone signal  $B'$ , proportional to the ambient sound, can be produced.

Thereafter the terminal C is coupled to the actuator and a transfer function  $G_2$  is tuned in, so that the desired absorption or attenuation is achieved. An audio signal may be applied to the terminal I. This application has the notable advantage that the actuator control does not appear at all in the difference e, and thus the system is not liable to instability. Further, in the difference e appears the effect of the ambient sound, in which case a microphone signal B', proportional to the ambient sound, can be produced at the point in question. Only the sum of the pressures  $P_1$  and  $P_2$ , i.e. the ambient sound controls the particle velocity provided by the actuator in the desired manner, since the sound pressure produced by the moving diaphragm of the dipole actuator has an equal effect, but of opposite sign, on the pressure sensors, and as a consequence the sum of the sound pressure signals, produced by the actuator, is zero, if the equalization is performed with the transfer function  $G_1$ .

The control system illustrated in Figure 12 can be applied to the actuator element shown in Figure 13, close to which element there is a wall or a wall-like structure, or whose one surface is impermeable to air 7'. The tuning of this system is in principle similar to the above in such a way that, in this case, the transfer function  $G_1$  is at least derivative. By designing optimally elasticity, air capacity, mass and porosity of the actuator stator plate or the absorption layer behind it, an element can be provided, which has no particle velocity or sound pressure on the surface 7 or 7', when controlling the actuator.

In the arrangement of Figure 14 the second pressure sensor  $P_2$  is placed in an air space, that is at least partially closed, on one side of the dipole actuator. The actuator may also have apertures 14 at the securing points of the moving diaphragm 3. The apertures 14 may be rather close to one another, for instance at a five-centimetre distance from one another. The apertures 14 together with the air space form resonators. In such a case, that at least one pressure sensor  $P_1$  or  $P_2$  is placed in a space that is not closed, the ambient sound can be attenuated by absorption, or the actuator may be placed on the side of an air-conditioning duct and the apparatus absorbs, for instance, interfering noise caused by the air flowing in the duct.

The drawings and the description related thereto are only intended to illustrate the idea of the invention. The invention may vary in details within the scope of the claims.

## CLAIMS

1. A method for processing sound, in which method a sound field of an ambient sound is measured; a sound field of opposite sign in relation to the sound field of the ambient sound is produced by an actuator; a desired value  
5 signal (I) is further supplied to the actuator, and a sound pressure of the ambient sound is measured with a sensor attached to the actuator, and the difference of the sensor signal (B) and the desired value signal (I) is supplied to the actuator, and sound is produced in accordance with the desired value signal (I) and ambient sound not pertaining to the desired value signal is simultaneously  
10 attenuated, **characterized** in that sound is attenuated by absorbing it with an actuator, the effect of the desired value signal (I) on the sound pressure (B), measured with a sensor, is eliminated, and a microphone signal (B') proportional only to the ambient sound is produced.

2. A method as claimed in claim 1, **characterized** in that a  
15 dipole actuator is used as an actuator, and sound pressure is measured with a pressure sensor ( $P_1$ ,  $P_2$ ) on both sides of the dipole actuator and the signal of at least one sensor ( $P_1$ ,  $P_2$ ) is adjusted with a transfer function ( $G_1$ ) to the effect that when summing the signals of the pressure sensors ( $P_1$ ,  $P_2$ ), the sound pressure caused by the actuator is cancelled out, and a microphone  
20 signal proportional the ambient sound is produced by summing the signals of the pressure sensors ( $P_1$ ,  $P_2$ ) adjusted with a transfer function ( $G_1$ ).

3. A method as claimed in claim 2, **characterized** in that on one side of the dipole actuator there is at least partly closed air space where the second pressure sensor ( $P_2$ ) is placed.

25 4. A method as claimed in claim 3, **characterized** in that the signal of the sensor ( $P_2$ ), placed in the closed air space, is derivated.

5. A method as claimed in claim 1, **characterized** in that from an output signal of a variable gain amplifier (A) is subtracted the desired value signal (I) amplified by gain ( $A'$ ) that equals to the gain of the variable  
30 gain amplifier (A), when the obtained signal ( $-BA$ ) corresponds to the microphone signal (B') proportional to the ambient sound.

6. A method as claimed in any one of preceding claims, **characterized** in that the microphone signal (B'), proportional to the ambient sound, is applied as a desired value signal to the other element.

35 7. A method as claimed in any one of preceding claims, **characterized** in that the actuator has at least one moving diaphragm (2, 3)

whose kinetic velocity is measured, and an inner quick feedback loop is formed of this kinetic velocity.

8. An apparatus for sound processing, the apparatus comprising an acoustic actuator, a sensor for measuring sound pressure attached thereto, 5 means for applying a desired value signal (I) to the actuator, and means for applying to the actuator the difference of the sound pressure (B), measured with the sensor and the desired value signal (I), **characterized** in that the actuator is arranged to absorb sound, and that the apparatus is arranged to eliminate the effect of the desired value signal (I) on the sound pressure (B) 10 measured with the sensor, and to produce a microphone signal (B') proportional to the ambient sound.

9. An apparatus as claimed in claim 8, **characterized** in that the actuator is a dipole actuator, and the apparatus comprises at least two pressure sensors ( $P_1$ ,  $P_2$ ) which are placed on different sides of the actuator, 15 and the apparatus further comprises means for adjusting the signal of at least one sensor ( $P_1$ ,  $P_2$ ) with a transfer function ( $G_1$ ) to the effect that the sound pressure caused by the actuator is cancelled out, when the signals of the pressure sensors ( $P_1$ ,  $P_2$ ) are summed.

10. An apparatus as claimed in claim 9, **characterized** in 20 that on one side of the dipole actuator there is at least partly closed air space where the second pressure sensor ( $P_2$ ) is.

11. An apparatus as claimed in claim 8, **characterized** in that the apparatus comprises means for subtracting the desired value signal (I) from the sound pressure (P) measured with the sensor, in order to produce a 25 microphone signal (B') proportional to the ambient sound.

12. An apparatus as claimed in any one of claims 8 to 11, **characterized** in that at least on one surface of the actuator element there is additional sound absorbing material, thicker than the porous stator plate (1).

13. An apparatus as claimed in any one of claims 8 to 12, **characterized** in that at least two actuator elements are positioned facing 30 each other as a mirror image.

14. An apparatus as claimed in any one of claims 8 to 13, **characterized** in that an electronic unit controlling the elements is placed at the edge of each element.

15. An apparatus as claimed in any one of claims 8 to 14, **characterized** in that an electrode of the moving actuator diaphragm (2, 3) is raster patterned in order for distributing the power optimally.

16. An apparatus as claimed in any one of claims 8 to 15, **characterized** in that a pressure sensor (4) is attached to the moving diaphragm (2, 3) of the actuator.

17. An apparatus as claimed in any one of claims 8 to 16, **characterized** in that the actuator comprises a porous stator plate (1) that is metallized at least on the inner surface, an electret diaphragm (2) and a pressure sensor (4).

18. An apparatus as claimed in claims 8 to 17, **characterized** in that the actuator comprises bordering frames (12) with apertures (13) and a back plate (7), and that the apertures (13), the back plate (7) of the actuator and/or the actuator constitute resonators.

19. An apparatus as claimed in claim 10, **characterized** in that on one side of the actuator there is elastic, air containing, porous material, on the reverse side of which there is a layer impermeable to air or little permeable to air.

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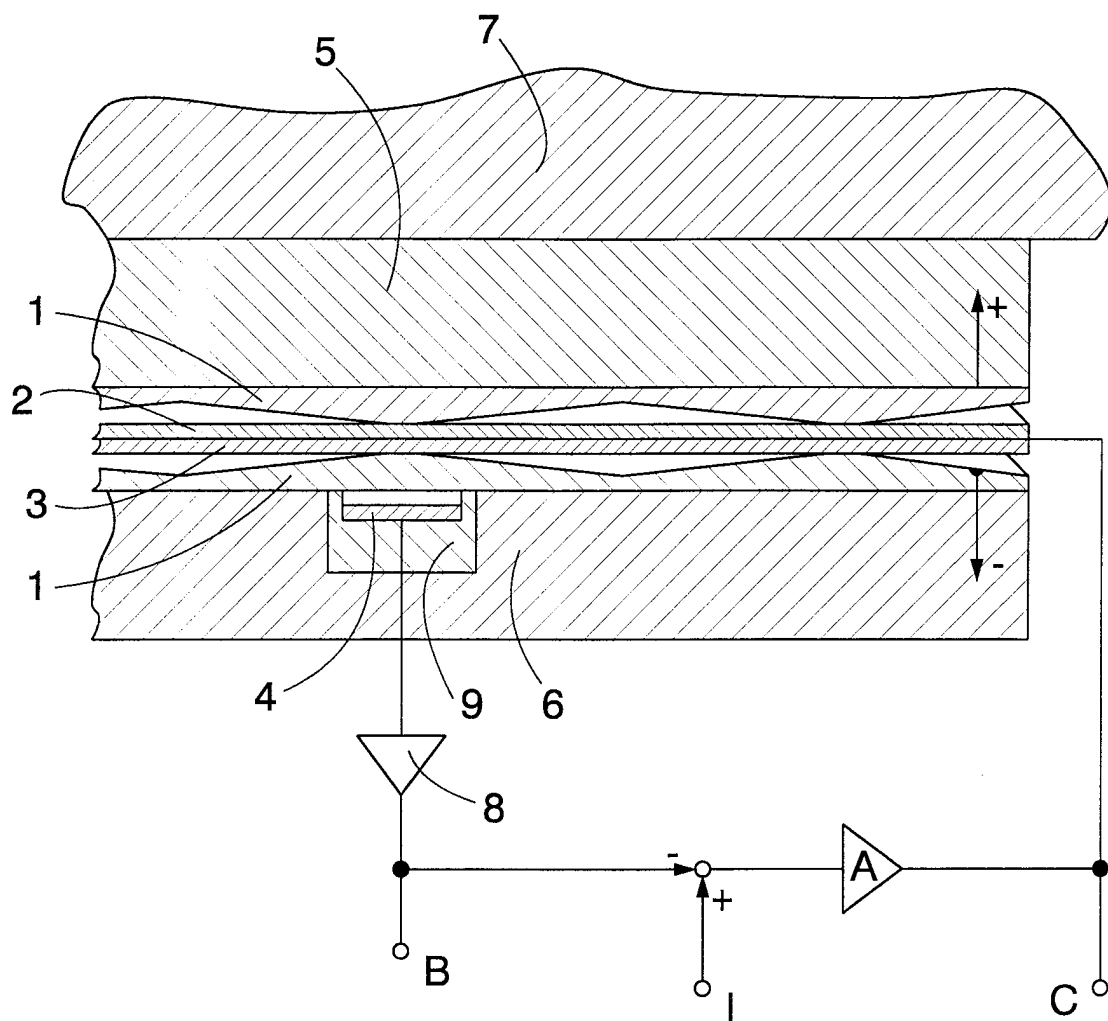


FIG. 1

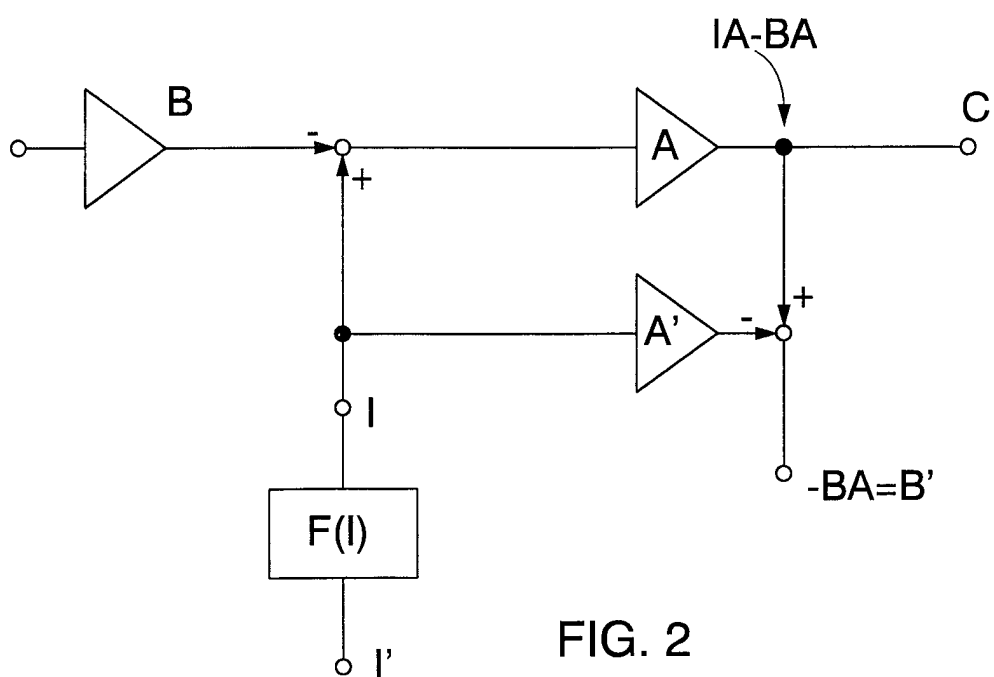


FIG. 2

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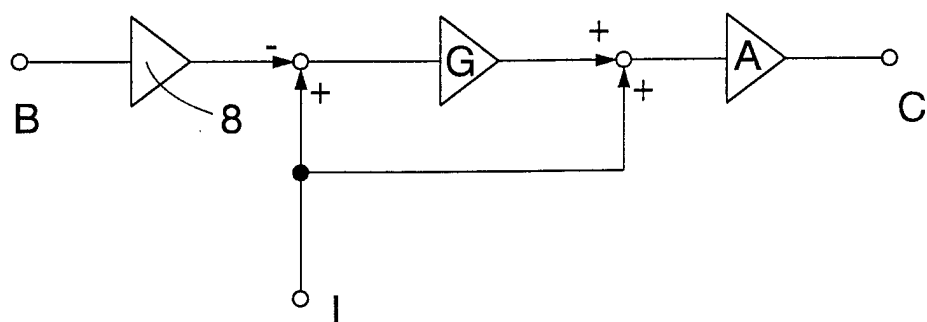


FIG. 3a

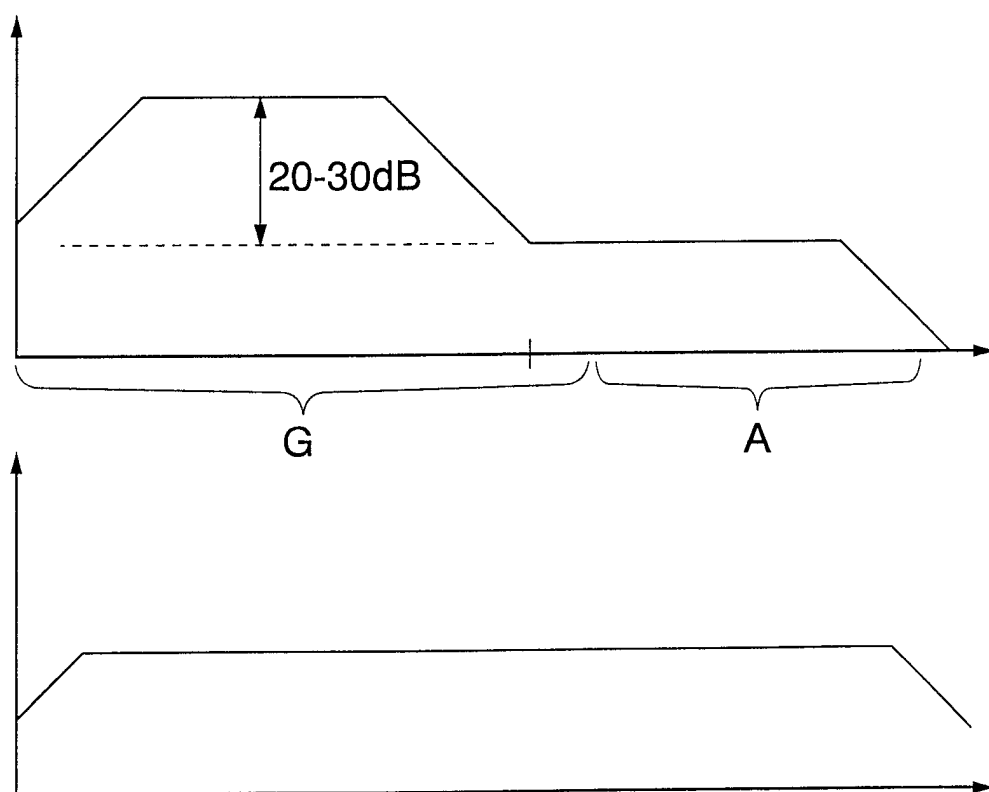


FIG. 3b

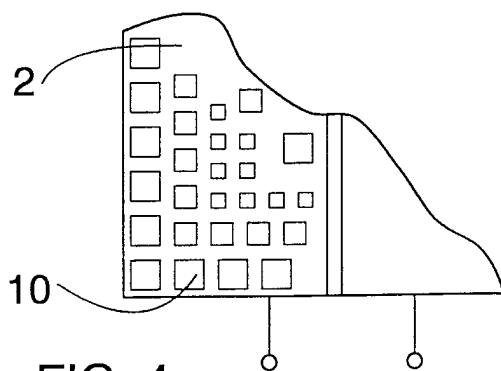


FIG. 4

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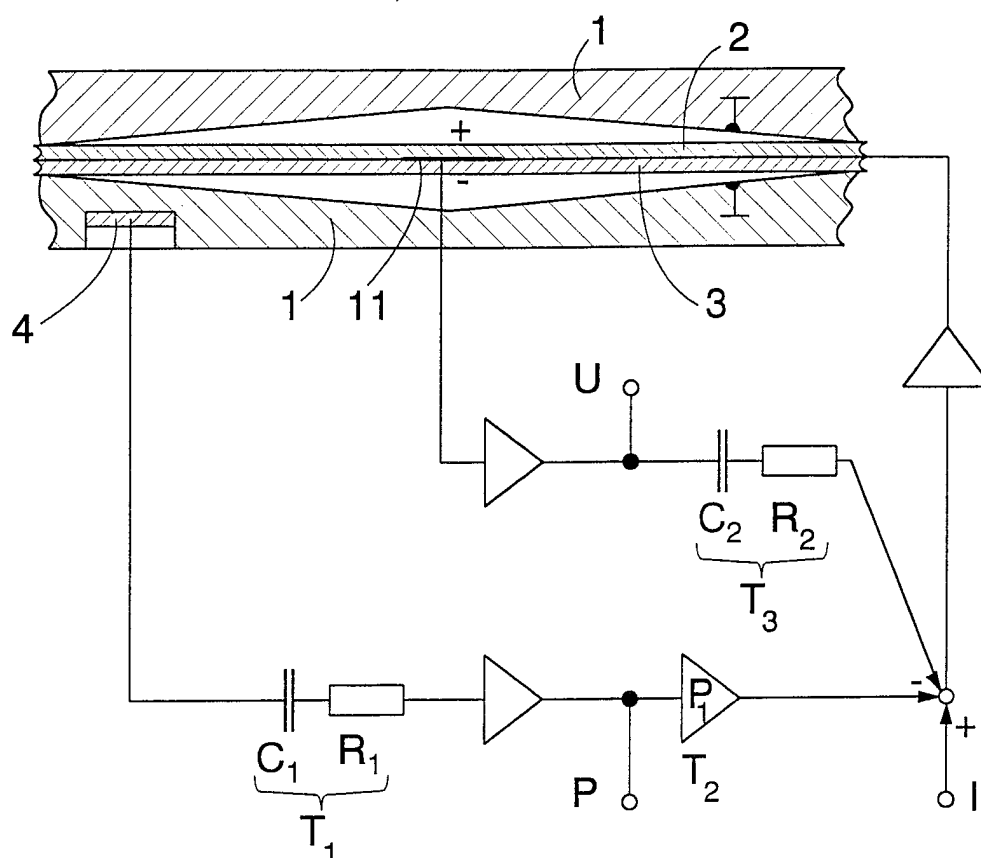


FIG. 5a

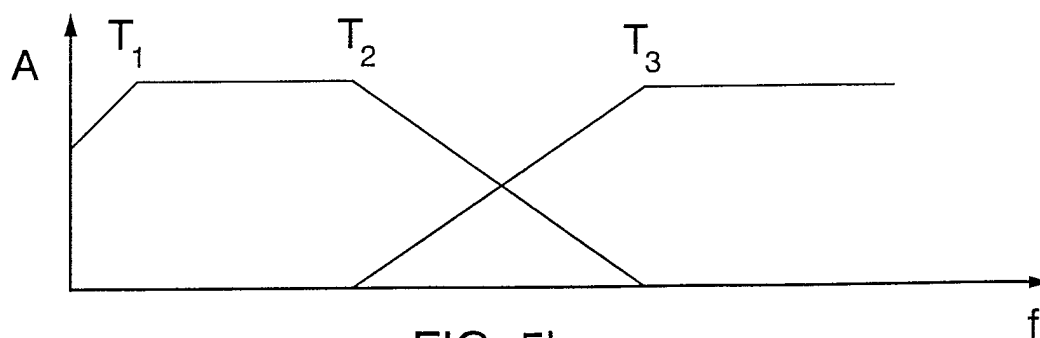


FIG. 5b

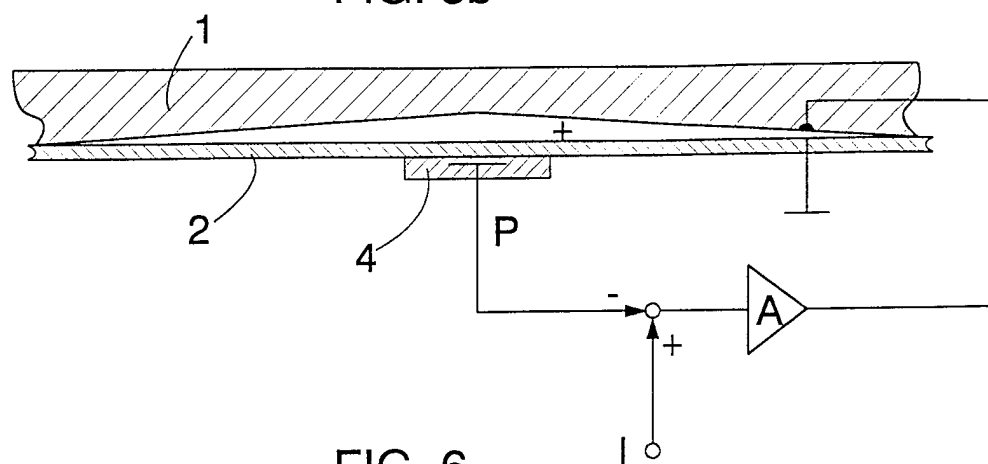


FIG. 6

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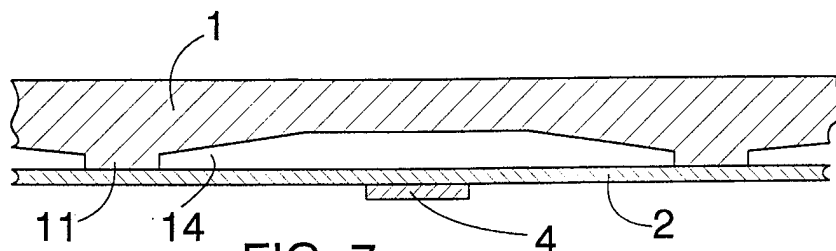


FIG. 7

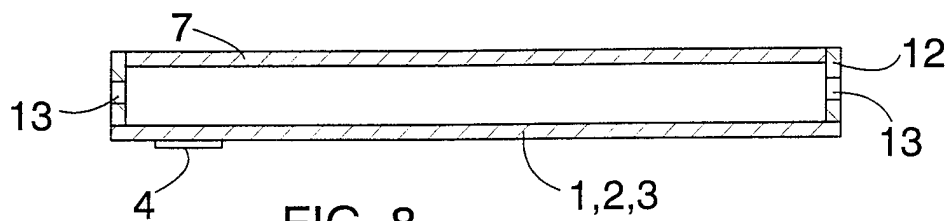


FIG. 8

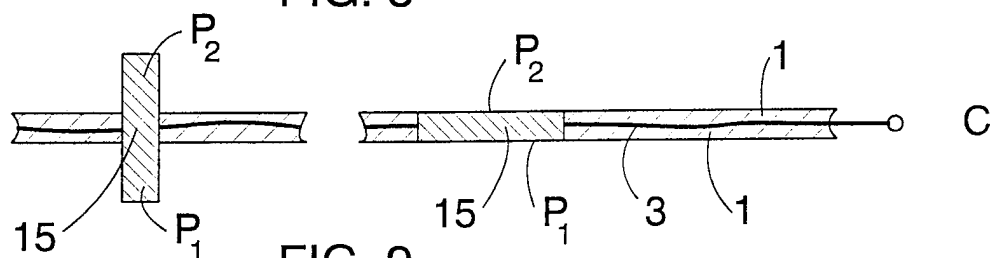


FIG. 9

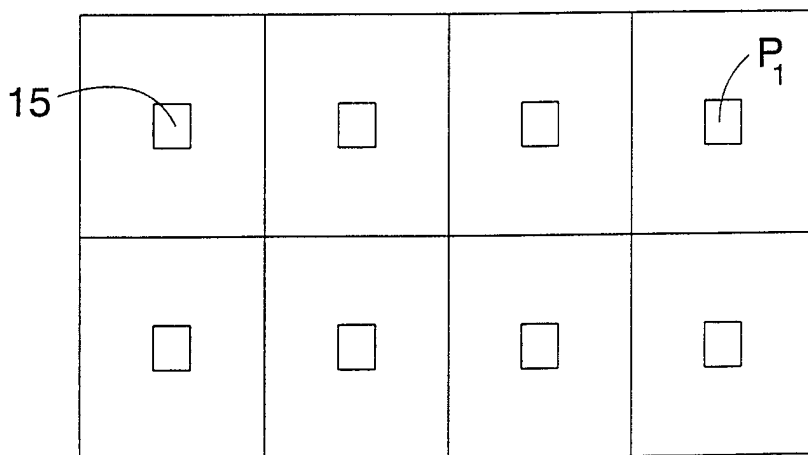


FIG. 10

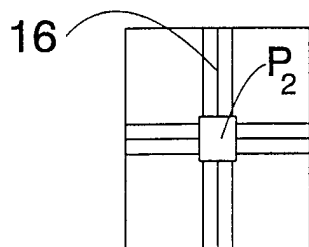


FIG. 11

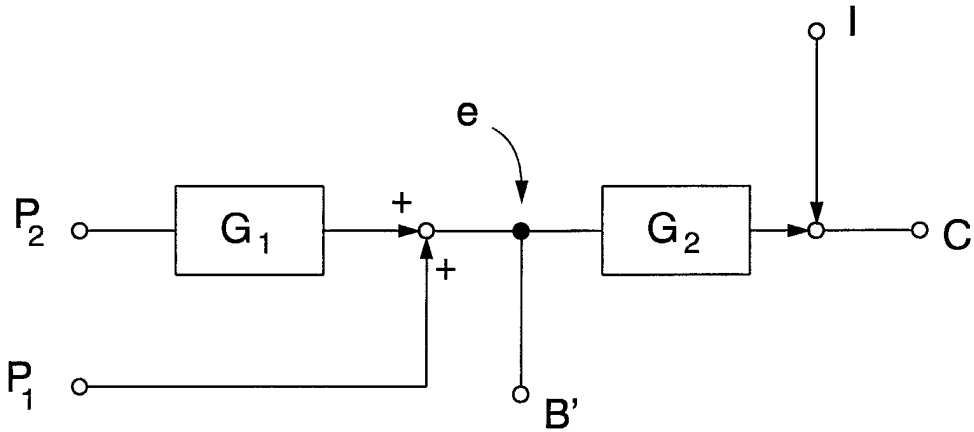


FIG. 12

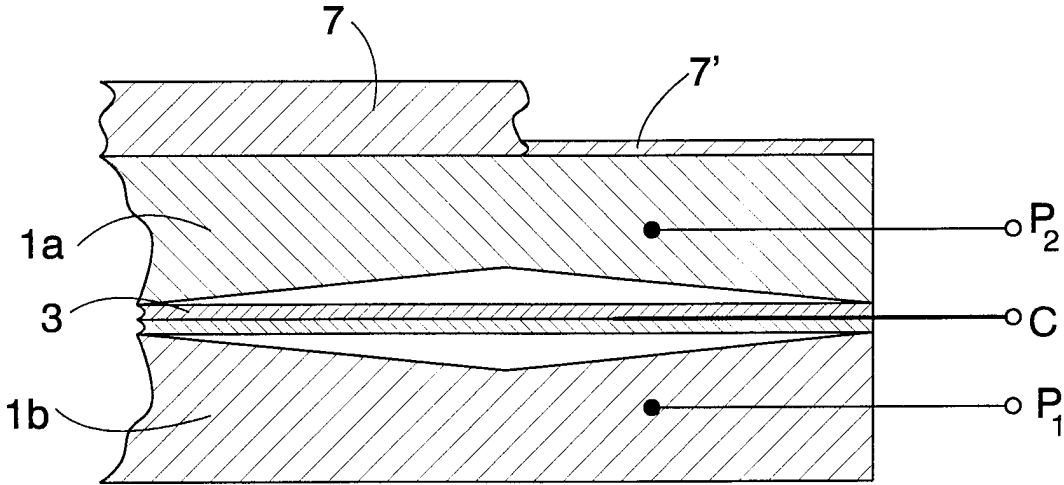


FIG. 13

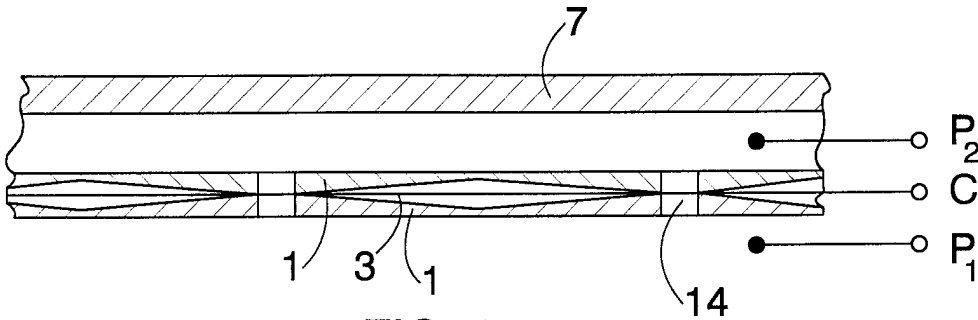


FIG. 14

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 97/00734

## A. CLASSIFICATION OF SUBJECT MATTER

IPC6: G10K 11/178

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: G10K, H04R

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPODOC, WPI, PAJ, EPOQUE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages         | Relevant to claim No. |
|-----------|--------------------------------------------------------------------------------------------|-----------------------|
| X         | GB 2160070 A (THE PLESSEY COMPANY PLC (UNITED KINGDOM)), 11 December 1985 (11.12.85)<br>-- | 1,5,8,11              |
| X         | US 5402496 A (SOLI ET AL), 28 March 1995 (28.03.95)<br>-----                               | 1,5,8,11              |



Further documents are listed in the continuation of Box C.



See patent family annex.

\* Special categories of cited documents:

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"&amp;" document member of the same patent family

Date of the actual completion of the international search

13 March 1998

Date of mailing of the international search report

16.03.1998

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# INTERNATIONAL SEARCH REPORT

Information on patent family members

03/02/98

International application No.

PCT/FI 97/00734

| Patent document<br>cited in search report |         |   | Publication<br>date | Patent family<br>member(s) | Publication<br>date |
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| GB                                        | 2160070 | A | 11/12/85            | NONE                       |                     |
| <hr/>                                     |         |   |                     |                            |                     |
| US                                        | 5402496 | A | 28/03/95            | AU 661158 B                | 13/07/95            |
|                                           |         |   |                     | AU 4142493 A               | 20/01/94            |
|                                           |         |   |                     | CA 2098679 A               | 14/01/94            |
|                                           |         |   |                     | EP 0579152 A               | 19/01/94            |
|                                           |         |   |                     | JP 6189395 A               | 08/07/94            |
| <hr/>                                     |         |   |                     |                            |                     |