

**(12) STANDARD PATENT  
(19) AUSTRALIAN PATENT OFFICE**

(11) Application No. AU 2017206102 C1

(54) Title  
**Compositions and methods for generating an immune response to a tumor associated antigen**

(51) International Patent Classification(s)  
**C07H 21/04** (2006.01)      **A61K 39/285** (2006.01)  
**A61K 39/00** (2006.01)

(21) Application No: 2017206102 (22) Date of Filing: 2017.01.09

(87) WIPO No: WO17/120577

(30) Priority Data

(31)	Number	(32)	Date	(33)	Country
	<b>62/276,479</b>		<b>2016.01.08</b>		<b>US</b>
	<b>62/301,885</b>		<b>2016.03.01</b>		<b>US</b>

(43) Publication Date: 2017.07.13

(44) Accepted Journal Date: 2021.08.26

(44) Amended Journal Date: 2022.02.10

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(56) Related Art  
WO 2015/066715 A1  
WO 2015175340 A1  
US 2007/0160627 A1  
ADU-GYAMFI et al., "The Ebola virus matrix protein penetrates into the plasma membrane: a key step in viral protein 40 (VP40) oligomerization and viral egress", J. Infect. Dis., (2014-01-07), vol.288,no.8, doi:10.1074/jbc.M112.443960, p 5779-5789

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(10) International Publication Number

WO 2017/120577 A1

(43) International Publication Date  
13 July 2017 (13.07.2017)

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(51) International Patent Classification:  
*C07H 21/04* (2006.01) *A61K 39/285* (2006.01)  
*A61K 39/00* (2006.01)

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(21) International Application Number: PCT/US2017/012704

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DJ, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KH, KN, KP, KR, KW, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(22) International Filing Date: 9 January 2017 (09.01.2017)

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU,

(25) Filing Language: English

(26) Publication Language: English

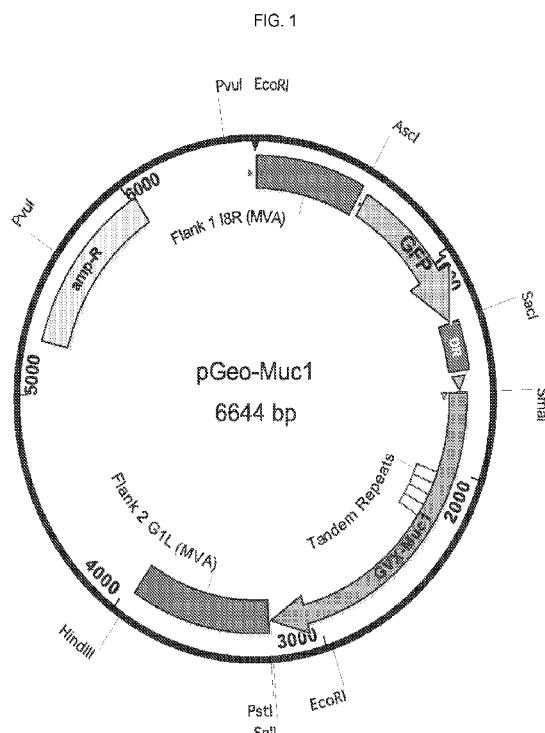
(30) Priority Data:  
62/276,479 8 January 2016 (08.01.2016) US  
62/301,885 1 March 2016 (01.03.2016) US

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(54) Title: COMPOSITIONS AND METHODS FOR GENERATING AN IMMUNE RESPONSE TO A TUMOR ASSOCIATED ANTIGEN



(57) Abstract: The compositions and methods are described for generating an immune response to a tumor associated antigen such as MUC1. The compositions and methods described herein relate to a modified vaccinia Ankara (MVA) vector encoding one or more viral antigens for generating a protective immune response to a neoplasm expressing the tumor associated antigen in the subject to which the vector is administered. The compositions and methods of the present invention are useful both prophylactically and therapeutically and may be used to prevent and/or treat neoplasms and associated diseases.



LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK,  
SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ,  
GW, KM, ML, MR, NE, SN, TD, TG).

**Published:**

- *with international search report (Art. 21(3))*
- *with sequence listing part of description (Rule 5.2(a))*

## COMPOSITIONS AND METHODS FOR GENERATING AN IMMUNE RESPONSE TO A TUMOR ASSOCIATED ANTIGEN

### CROSS REFERENCE TO RELATED APPLICATIONS

5 This application claims the benefit of U.S. provisional patent application US 62/276,479 filed January 8, 2016, and U.S. provisional patent application US 62/301,885 filed March 1, 2016, the disclosures of which are hereby incorporated by reference in their entirety.

### FIELD OF THE INVENTION

10 The compositions and methods described herein relate to compositions, including vaccine compositions, for generating an immune response to a tumor associated antigen (TAA); methods of manufacture; and methods of use thereof. The compositions and methods of the present invention are useful both prophylactically and therapeutically.

### 15 BACKGROUND OF THE INVENTION

In 2016, there will be an estimated 1,685,210 new cancer cases diagnosed and 595,690 cancer deaths in the US (Cancer Facts & Figures 2016, American Cancer Society 2016). Cancer vaccines based on human tumor-associated antigens (TAA) have been tested in patients with advanced or recurrent cancer, in combination with or 20 following standard therapy. The immunogenicity and therapeutic efficacy of cancer vaccines has been difficult to properly evaluate due to the multiple highly suppressive effects of the tumor microenvironment and the actions of standard therapy on the patient's immune system. In animal models of human cancer, vaccines administered in the prophylactic setting are most immunogenic and effectively prevent cancer 25 development and progression.

Vaccines based on human TAAs are immunogenic and safe and capable of eliciting long-term memory that is important for cancer prevention.

One particular TAA is MUC-1 which is a member of the mucin family and encodes a membrane bound, glycosylated phosphoprotein. MUC1 has a core protein 30 mass of 120-225 kDa which increases to 250-500 kDa with glycosylation. It extends 200-500 nm beyond the surface of the cell (Brayman M, Thathiah A, Carson DD, 2004,

Reprod Biol Endocrinol. 2: 4). The protein is anchored to the apical surface of many epithelia by a transmembrane domain. These repeats are rich in serine, threonine and proline residues which permits heavy o-glycosylation (Brayman M, Thatthiah A, Carson DD, 2004, Reprod Biol Endocrinol. 2: 4). Multiple alternatively spliced transcript variants that encode different isoforms of this gene have been reported.

5 The cytoplasmic tail of MUC-1 is 72 amino acids long and contains several phosphorylation sites (Singh PK, Hollingsworth MA (August 2006), Trends Cell Biol. 16 (9): 467–476). The protein serves a protective function by binding to pathogens and also functions in a cell signaling capacity (Lindén SK et al. 2009, PLoS Pathog. 5 (10): 10 e1000617)

Overexpression, aberrant intracellular localization, and changes in glycosylation of this protein have been associated with carcinomas. Specifically, MUC-1 overexpression is often associated with colon, breast, ovarian, lung and pancreatic cancers (Gendler SJ (July 2001), J. Mammary Gland Biol Neoplasia. 6 (3): 339–353).

15 Currently there is no US approved vaccine for humans against cancer. What is needed are immunogenic vaccine compositions and methods of use to prevent and treat cancer caused by neoplasms.

## SUMMARY OF THE INVENTION

20 The compositions and methods of the invention described herein are useful for generating an immune response to a tumor associated antigen (TAA) in a subject in need thereof. Advantageously, the compositions and methods may be used prophylactically to immunize a subject against cancer-associated antigens, or used therapeutically to treat or ameliorate the onset and severity of disease in a subject in need thereof.

25 In a first aspect, the present invention is a recombinant modified vaccinia Ankara (MVA) vector comprising a Tumor associated antigen (TAA) –encoding sequence (TAA sequence) and a matrix protein-encoding sequence (matrix protein sequence), wherein both the TAA sequence and matrix protein sequence are under the control of promoters 30 compatible with poxvirus expression systems.

In one embodiment, the TAA sequence and the matrix protein sequence are inserted into one or more deletion sites of the MVA vector.

In one embodiment, the TAA is selected from the group consisting of Oncofetal Antigen/immature Laminin Receptor Protein (OFA/iLRP), Alphafetoprotein (AFP),  
5 Carcinoembryonic antigen (CEA), CA-125, MUC-1, Epithelial tumor antigen (ETA), Tyrosinase, Melanoma-associated antigen (MAGE), abnormal products of ras, abnormal products of p53, or immunogenic fragments thereof.

In one embodiment, the TAA is MUC-1.

In one embodiment, the TAA is OFA/iLRP.

10 In one embodiment, the TAA is CEA.

In one embodiment, the matrix protein is a Marburgvirus matrix protein.

In one embodiment, the matrix protein is a Marburg virus VP40 matrix protein.

In one embodiment, the matrix protein is an Ebola virus matrix protein.

In one embodiment, the matrix protein is a Ebola virus VP40 matrix protein.

15 In one embodiment, the matrix protein is a Sudan virus matrix protein.

In one embodiment, the matrix protein is a Sudan virus VP40 matrix protein.

In one embodiment, the matrix protein is a human immunodeficiency virus type 1 (HIV-1) matrix protein.

20 In one embodiment, the matrix protein is a human immunodeficiency virus type 1 (HIV-1) matrix protein encoded by the gag gene.

In one embodiment, the matrix protein is a Lassa virus matrix protein.

In one embodiment, the matrix protein is a Lassa virus Z protein.

In one embodiment, the matrix protein is a fragment of a Lassa virus Z protein.

25 In one embodiment, the matrix protein is a matrix protein of a virus in the *Filoviridae* virus family.

In one embodiment, the matrix protein is a matrix protein of a virus in the *Retroviridae* virus family.

In one embodiment, the matrix protein is a matrix protein of a virus in the *Arenaviridae* virus family.

30 In one embodiment, the matrix protein is a matrix protein of a virus in the *Flaviviridae* virus family.

In one embodiment, the TAA sequence and the matrix protein sequence are inserted into the MVA vector in a natural deletion site, a modified natural deletion site, or between essential or non-essential MVA genes.

5 In another embodiment, the TAA sequence and the matrix protein sequence are inserted into the same natural deletion site, a modified natural deletion site, or between the same essential or non-essential MVA genes

In another embodiment, the TAA sequence is inserted into a deletion site selected from I, II, III, IV, V or VI and the matrix protein sequence is inserted into a deletion site selected from I, II, III, IV, V or VI.

10 In another embodiment, the TAA sequence and the matrix protein sequence are inserted into different natural deletion sites, different modified deletion sites, or between different essential or non-essential MVA genes.

In another embodiment, the TAA sequence is inserted in a first deletion site and matrix protein sequence is inserted into a second deletion site.

15 In a particular embodiment, the TAA sequence is inserted between two essential and highly conserved MVA genes; and the matrix protein sequence is inserted into a restructured and modified deletion III.

In one embodiment, the deletion III is modified to remove non-essential sequences and insert the matrix protein sequence between essential genes.

20 In a particular embodiment, the matrix protein sequence is inserted between MVA genes, I8R and G1L.

In a particular embodiment, the TAA sequence is inserted between two essential and highly conserved MVA genes to limit the formation of viable deletion mutants.

25 In a particular embodiment, the TAA protein sequence is inserted between MVA genes, I8R and G1L.

In one embodiment, the promoter is selected from the group consisting of Pm2H5, Psyn II, and mH5 promoters or combinations thereof.

30 In one embodiment, the TAA sequence is optimized. In a particular embodiment, the TAA sequence is optimized by changing selected codons to other synonymous codons that are optimal for protein expression by MVA, interrupting homopolymer stretches using silent mutations, interrupting transcription terminator motifs using silent

mutations, or leading to expression of the transmembrane (rather than secreted) form of TAA, and combinations thereof.

In one embodiment, the recombinant MVA viral vector expresses TAA and matrix proteins that assemble into VLPs.

5 In a second aspect, the present invention provides a pharmaceutical composition comprising the recombinant MVA vector of the present invention and a pharmaceutically acceptable carrier.

In one embodiment, the recombinant MVA vector is formulated for intraperitoneal, intramuscular, intradermal, epidermal, mucosal or intravenous 10 administration.

In a third aspect, the present invention provides a pharmaceutical composition comprising two recombinant MVA vectors, wherein each recombination MVA vector comprises a TAA sequence, wherein (i) the TAA sequence of the first recombinant MVA vector is different than the TAA sequence of the second recombinant MVA vector.

15 In one embodiment, the TAA sequence and the matrix protein sequence are inserted into one or more deletion sites of the MVA vector.

In one embodiment, the TAA is selected from the group consisting of Oncofetal Antigen/immature Laminin Receptor Protein (OFA/iLRP), Alphafetoprotein (AFP), Carcinoembryonic antigen (CEA), CA-125, MUC-1, Epithelial tumor antigen (ETA), 20 Tyrosinase, Melanoma-associated antigen (MAGE), and abnormal products of ras, and p53 or immunogenic fragments thereof.

In one embodiment, the TAA is MUC-1.

In one embodiment, the TAA is Oncofetal Antigen/immature Laminin Receptor Protein (OFA/iLRP).

25 In one embodiment, the TAA is Carcinoembryonic antigen (CEA).

In one embodiment, the TAA of the first recombinant MVA vector is MUC-1 and the TAA of the second recombinant MVA vector is Oncofetal Antigen/immature Laminin Receptor Protein (OFA/iLRP).

30 In one embodiment, the TAA of the first recombinant MVA vector is MUC-1 and the TAA of the second recombinant MVA vector is Carcinoembryonic antigen (CEA).

In one embodiment, the TAA of the first recombinant MVA vector is Oncofetal Antigen/immature Laminin Receptor Protein (OFA/iLRP) and the TAA of the second recombinant MVA vector is Carcinoembryonic antigen (CEA).

5 In one embodiment, the TAA sequence and the matrix protein sequence are inserted into the MVA vector in a natural deletion site, a modified natural deletion site, or between essential or non-essential MVA genes.

In another embodiment, the TAA sequence and the matrix protein sequence are inserted into the same natural deletion site, the same modified natural deletion site, or between the same essential or non-essential MVA genes

10 In another embodiment, the TAA sequence is inserted into a deletion site selected from I, II, III, IV, V or VI and the matrix protein sequence is inserted into a deletion site selected from I, II, III, IV, V or VI.

15 In another embodiment, the TAA sequence and the matrix protein sequence are inserted into different natural deletion sites, different modified deletion sites, or between different essential or non-essential MVA genes.

In another embodiment, the TAA sequence is inserted in a first deletion site and matrix protein sequence is inserted into a second deletion site.

20 In a particular embodiment, the TAA sequence is inserted between two essential and highly conserved MVA genes; and the matrix protein sequence is inserted into a restructured and modified deletion III.

In one embodiment, the deletion III is modified to remove non-essential sequences and insert the matrix protein sequence between essential genes.

In a particular embodiment, the matrix protein sequence is inserted between MVA genes, I8R and G1L.

25 In a particular embodiment, the TAA sequence is inserted between two essential and highly conserved MVA genes to limit the formation of viable deletion mutants.

In a particular embodiment, the TAA protein sequence is inserted between MVA genes, I8R and G1L.

30 In one embodiment, the promoter is selected from the group consisting of Pm2H5, Psyn II, and mH5 promoters or combinations thereof.

In one embodiment, the TAA sequence is optimized. In a particular embodiment, the TAA sequence is optimized by changing selected codons to other synonymous codons that are optimal for protein expression by MVA, interrupting homopolymer stretches using silent mutations, interrupting transcription terminator motifs using silent mutations, or leading to expression of the transmembrane (rather than secreted) form of TAA, and combinations thereof.

In one embodiment, the recombinant MVA viral vector expresses TAA and matrix proteins that assemble into VLPs. In a second aspect, the present invention provides a pharmaceutical composition comprising the recombinant MVA vector of the present invention and a pharmaceutically acceptable carrier.

In one embodiment, the recombinant MVA vector is formulated for intraperitoneal, intramuscular, intradermal, epidermal, mucosal or intravenous administration.

In a particular embodiment, the TAA sequence of the first recombinant MVA vector is from a different species than the TAA sequence of the second recombinant MVA vector.

In another aspect, the present invention provides a recombinant modified vaccinia ankara (MVA) viral vector comprising:

(i) a first nucleic acid sequence encoding a chimeric amino acid sequence comprising (a) a tumor associated antigen (TAA) or an immunogenic fragment thereof, and (b) a transmembrane domain of a glycoprotein (GP) of Marburg virus; and

(ii) a second nucleic acid sequence encoding a Marburg virus VP40 matrix protein; wherein both the first nucleic acid sequence and the second nucleic acid sequence are under the control of promoters compatible with poxvirus expression systems; and wherein upon expression, the chimeric amino acid sequence and VP40 matrix protein are capable of assembling together to form virus like particles (VLPs).

In another aspect, the present invention provides a recombinant MVA viral vector comprising:

(i) a first nucleic acid sequence encoding a chimeric amino acid sequence comprising SEQ ID NO: 15; and

(ii) a second nucleic acid sequence encoding a Marburg virus VP40 matrix protein; sequence, wherein both the first nucleic acid sequence and the second nucleic acid sequence are under the control of promoters compatible with poxvirus expression systems; and wherein upon expression, the chimeric amino acid sequence and VP40 matrix protein are capable of assembling together to form VLPs

In another aspect, the present invention provides a pharmaceutical composition comprising three or more recombinant MVA vectors, wherein each recombinant MVA vector comprises a TAA sequence, wherein (i) the three or more recombinant MVA vectors contain different TAA sequences.

In one embodiment, the TAA sequences are MUC-1, Oncofetal Antigen/immature Laminin Receptor Protein (OFA/iLRP), and Carcinoembryonic antigen (CEA).

In a particular embodiment, the TAA sequences are from the same species.

In a particular embodiment, the TAA sequences are from different species.

In another aspect, the present invention provides a method of inducing an immune response to a neoplasm in a subject in need thereof, said method comprising administering a composition comprising the immunogenic vectors described herein to the subject in an amount sufficient to induce an immune response.

In one embodiment, the immune response is a humoral immune response, a cellular immune response or a combination thereof.

In a particular embodiment, the immune response comprises production of binding antibodies against the TAA.

In a particular embodiment, the immune response comprises production of neutralizing antibodies against the TAA.

In a particular embodiment, the immune response comprises production of non-neutralizing antibodies against the TAA.

In a particular embodiment, the immune response comprises production of a cell-mediated immune response against the TAA.

In a particular embodiment, the immune response comprises production of neutralizing and non-neutralizing antibodies against the TAA.

In a particular embodiment, the immune response comprises production of neutralizing antibodies and cell-mediated immunity against the TAA.

In a particular embodiment, the immune response comprises production of non-neutralizing antibodies and cell-mediated immunity against the TAA.

In a particular embodiment, the immune response comprises production of neutralizing antibodies, non-neutralizing antibodies, and cell-mediated immunity against the TAA.

In one embodiment, the neoplasm is selected from leukemia (e.g. myeloblastic, promyelocytic, myelomonocytic, monocytic, erythroleukemia, chronic myelocytic (granulocytic) leukemia, and chronic lymphocytic leukemia), lymphoma (e.g. Hodgkin's disease and non-Hodgkin's disease), fibrosarcoma, myxosarcoma, liposarcoma, chondrosarcoma, osteogenic sarcoma, angiosarcoma, endotheliosarcoma, Ewing's tumor, colon carcinoma, pancreatic cancer, breast cancer, ovarian cancer, prostate cancer, squamous cell carcinoma, basal cell carcinoma, adenocarcinoma, renal cell carcinoma, hepatoma, Wilms' tumor, cervical cancer, uterine cancer, testicular tumor, lung carcinoma, small cell lung carcinoma, bladder carcinoma, epithelial carcinoma, glioma, astrocytoma, oligodendrogloma, melanoma, neuroblastoma, retinoblastoma, dysplasia and hyperplasia.

In another embodiment, the TAA is MUC-1 and the neoplasm is selected from Adenocarcinomas (breast, colorectal, pancreatic, other), Carcinoid tumor, Chordoma, Choriocarcinoma, Desmoplastic small round cell tumor (DSRCT), Epithelioid sarcoma, Follicular dendritic cell sarcoma, interdigitating dendritic cell / reticulum cell sarcoma, Lung: type II pneumocyte lesions (type II cell hyperplasia, dysplastic type II cells, apical alveolar hyperplasia), Anaplastic large-cell lymphoma, diffuse large B cell lymphoma (variable), plasmablastic lymphoma, primary effusion lymphoma, Epithelioid mesotheliomas, Myeloma, Plasmacytomas, Perineurioma, Renal cell carcinoma, Synovial sarcoma (epithelial areas), Thymic carcinoma (often), Meningioma or Paget's disease.

In another aspect, the present invention provides a method of treating cancer comprising administering the recombinant MVA vector of the present invention to a subject in need thereof in an effective amount to treat cancer.

In another aspect, the present invention provides a method of reducing growth of a neoplasm in a subject, said method comprising administering the recombinant MVA

vector of the present invention to the subject in an effective amount to reduce growth of a neoplasm.

In another aspect, the present invention provides a method of preventing growth of a neoplasm in a subject, said method comprising administering the recombinant MVA vector of the present invention to the subject in a prophylactically effective amount.

5 In one embodiment, the subject expresses tumor cell markers, but not yet symptomatic. In a particular embodiment, treatment results in prevention of a symptomatic disease.

.0 In another embodiment, the subject expresses tumor cell markers but exhibits minimal symptoms of cancer.

.5 In another embodiment, the method results in amelioration of at least one symptom of cancer.

In another aspect, the present invention provides a method manufacturing a recombinant modified vaccinia Ankara (MVA) viral vector comprising inserting at least .5 one TAA sequence into the MVA vector wherein the at least one TAA sequence is operably linked to a promoter compatible with poxvirus expression systems.

In one embodiment, the method comprises inserting at least one matrix protein sequence into the MVA vector wherein the at least one TAA sequence is operably linked to a promoter compatible with poxvirus expression systems.

.0 In another aspect, the present invention provides a method of treating a human with a cancer expressing a TAA comprising administering the recombinant MVA of the invention in an amount sufficient to treat the cancer.

.5 In another aspect, the present invention provides use of the recombinant MVA of the invention in the manufacture of a medicament for treating a human with a cancer expressing a TAA.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a schematic for the shuttle vector for MUC1 (pGeo-Muc1).

FIG. 2 is a simple line drawing illustrating the design of the MVA vectors.

FIG. 3 is a western blot demonstrating that cells infected with the MVA-Muc1VP40 vaccine (1) express Muc1 protein, and (2) express hypoglycosylated Muc1.

FIG. 4 is an image of cells that have been immunostained for the presence of (1) Muc1 protein, and (2) hypoglycosylated Muc1 protein. Cell samples that were so stained include negative control cells (MCF10A), positive control cells (MCF7), HEK-293T cells that have been infected with the MVA-Muc1VP40 vaccine, and HEK-293T cells that have not been infected with the MVA-Muc1VP40 vaccine.

FIG. 5 is an electron micrograph showing virus-like particle (VLP) production by cells infected with MVA-Muc1VP40, an MVA vaccine encoding Muc1 TAA protein.

FIG. 6 provides a schematic of an initial modification pathway for the targeted diminution of O-linked glycosylation of Muc1.

FIG. 7 provides a schematic of another initial modification pathway for the targeted diminution of O-linked glycosylation of Muc1.

## 5 DETAILED DESCRIPTION OF THE INVENTION

Compositions and methods are provided to produce an immune response to a tumor associated antigen (TAA), in a subject in need thereof. The compositions and methods of the present invention can be used to prevent or delay formation of neoplasm or to treat neoplasm or disease associated therewith (such as cancer) in a subject in need thereof. In one embodiment, treatment limits neoplasm development, growth and/or the severity of neoplasm-associated disease such as cancer.

Ideal immunogenic compositions or vaccines have the characteristics of safety, efficacy, scope of protection and longevity, however, compositions having fewer than all of these characteristics may still be useful in preventing neoplasm growth or limiting

symptoms or disease progression in an exposed subject treated prior to the development of symptoms. In one embodiment the present invention provides a vaccine that permits at least partial, if not complete, protection after a single immunization.

5 In one embodiment, the composition is a recombinant vaccine or immunogenic vector that comprises one or more nucleic acid sequences encoding Tumor associated antigens (TAA) or immunogenic fragments thereof.

In one embodiment, the composition is a recombinant vaccine or immunogenic vector that comprises an extracellular fragment of MUC-1.

10 In one embodiment, the composition is a recombinant vaccine or immunogenic vector that comprises an intracellular fragment of MUC-1.

In one embodiment, the composition is a recombinant vaccine or immunogenic vector that comprises an extracellular and an intracellular fragment of MUC-1.

15 In one embodiment, the composition is a recombinant vaccine or immunogenic vector that comprises an extracellular fragment of MUC-1, an intracellular fragment of MUC-1, and a transmembrane domain of a glycoprotein (GP) of Marburg virus.

In one embodiment, the composition is a recombinant vaccine or immunogenic vector that comprises an extracellular fragment of a TAA, an intracellular fragment of a TAA, and a transmembrane domain of a GP of a virus in the Filoviridae virus family.

20 In one embodiment, the vector expresses proteins that form VLPs and generate an immune response to a TAA or immunogenic fragment thereof.

In exemplary embodiments, the immune responses are long-lasting and durable so that repeated boosters are not required, but in one embodiment, one or more administrations of the compositions provided herein are provided to boost the initial 25 primed immune response.

### I. Definitions

Where a term is provided in the singular, the inventors also contemplate aspects of the invention described by the plural of that term. As used in this specification and in the appended claims, the singular forms "a", "an" and "the" include plural references 30 unless the context clearly dictates otherwise, e.g., "a peptide" includes a plurality of peptides. Thus, for example, a reference to "a method" includes one or more methods,

and/or steps of the type described herein and/or which will become apparent to those persons skilled in the art upon reading this disclosure.

The term "antigen" refers to a substance or molecule, such as a protein, or fragment thereof, that is capable of inducing an immune response.

5 The term "binding antibody" or "bAb" refers to an antibody which either is purified from, or is present in, a body fluid (e.g., serum or a mucosal secretion) and which recognizes a specific antigen. As used herein, the antibody can be a single antibody or a plurality of antibodies. Binding antibodies comprise neutralizing and non-neutralizing antibodies.

10 The term "cancer" refers to a malignant neoplasm that has undergone characteristic anaplasia with loss of differentiation, increase rate of growth, invasion of surrounding tissue, and is capable of metastasis.

15 The term "cell-mediated immune response" refers to the immunological defense provided by lymphocytes, such as the defense provided by sensitized T cell lymphocytes when they directly lyse cells expressing foreign antigens and secrete cytokines (e.g., IFN-gamma.), which can modulate macrophage and natural killer (NK) cell effector functions and augment T cell expansion and differentiation. The cellular immune response is the 2<sup>nd</sup> branch of the adaptive immune response.

20 The term "conservative amino acid substitution" refers to substitution of a native amino acid residue with a non-native residue such that there is little or no effect on the size, polarity, charge, hydrophobicity, or hydrophilicity of the amino acid residue at that position, and without resulting in substantially altered immunogenicity. For example, these may be substitutions within the following groups: valine, glycine; glycine, alanine; valine, isoleucine, leucine; aspartic acid, glutamic acid; asparagine, glutamine; serine, 25 threonine; lysine, arginine; and phenylalanine, tyrosine. Conservative amino acid modifications to the sequence of a polypeptide (and the corresponding modifications to the encoding nucleotides) may produce polypeptides having functional and chemical characteristics similar to those of a parental polypeptide.

30 The term "deletion" in the context of a polypeptide or protein refers to removal of codons for one or more amino acid residues from the polypeptide or protein sequence, wherein the regions on either side are joined together. The term deletion in the context

of a nucleic acid refers to removal of one or more bases from a nucleic acid sequence, wherein the regions on either side are joined together.

The term "Ebola virus" refers to a virus of species *Zaire ebolavirus* and has the meaning given to it by the International Committee on Taxonomy of Viruses as  
5 documented in (Kuhn, J.H. et al. 2010 Arch Virol 155:2083-2103).

The term "fragment" in the context of a proteinaceous agent refers to a peptide or polypeptide comprising an amino acid sequence of at least 2 contiguous amino acid residues, at least 5 contiguous amino acid residues, at least 10 contiguous amino acid residues, at least 15 contiguous amino acid residues, at least 20 contiguous amino acid residues, at least 25 contiguous amino acid residues, at least 40 contiguous amino acid residues, at least 50 contiguous amino acid residues, at least 60 contiguous amino residues, at least 70 contiguous amino acid residues, at least 80 contiguous amino acid residues, at least 90 contiguous amino acid residues, at least 100 contiguous amino acid residues, at least 125 contiguous amino acid residues, at least 150 contiguous  
10 amino acid residues, at least 175 contiguous amino acid residues, at least 200 contiguous amino acid residues, or at least 250 contiguous amino acid residues of the amino acid sequence of a peptide, polypeptide or protein. In one embodiment the fragment constitutes at least 10%, 20%, 30%, 40%, 50%, 60%, 70%, 80%, or 90% of the entire length of the reference polypeptide. In one embodiment, a fragment of a full-length protein retains activity of the full-length protein. In another embodiment, the fragment of the full-length protein does not retain the activity of the full-length protein.  
15

The term "fragment" in the context of a nucleic acid refers to a nucleic acid comprising an nucleic acid sequence of at least 2 contiguous nucleotides, at least 5 contiguous nucleotides, at least 10 contiguous nucleotides, at least 15 contiguous  
20 nucleotides, at least 20 contiguous nucleotides, at least 25 contiguous nucleotides, at least 30 contiguous nucleotides, at least 35 contiguous nucleotides, at least 40 contiguous nucleotides, at least 50 contiguous nucleotides, at least 60 contiguous nucleotides, at least 70 contiguous nucleotides, at least contiguous 80 nucleotides, at least 90 contiguous nucleotides, at least 100 contiguous nucleotides, at least 125 contiguous nucleotides, at least 150 contiguous nucleotides, at least 175 contiguous nucleotides, at least 200 contiguous nucleotides, at least 250 contiguous nucleotides, at  
25

least 300 contiguous nucleotides, at least 350 contiguous nucleotides, or at least 380 contiguous nucleotides of the nucleic acid sequence encoding a peptide, polypeptide or protein. In one embodiment the fragment constitutes at least 10%, 20%, 30%, 40%, 50%, 60%, 70%, 80%, or 90% of the entire length of the reference nucleic acid 5 sequence. In a preferred embodiment, a fragment of a nucleic acid encodes a peptide or polypeptide that retains activity of the full-length protein. In another embodiment, the fragment encodes a peptide or polypeptide that of the full-length protein does not retain the activity of the full-length protein.

As used herein, the term "growth inhibitory amount" refers to an amount which 10 inhibits growth or proliferation of a target cell, such as a tumor cell, either in vitro or in vivo, irrespective of the mechanism by which cell growth is inhibited (e.g., by cytostatic properties, cytotoxic properties, etc.). In a preferred embodiment, the growth inhibitory amount inhibits (i.e., slows to some extent and preferably stops) proliferation or growth of the target cell in vivo or in cell culture by greater than about 20%, preferably greater 15 than about 50%, most preferably greater than about 75% (e.g., from about 75% to about 100%).

As used herein, the phrase "heterologous sequence" refers to any nucleic acid, protein, polypeptide or peptide sequence which is not normally associated in nature with another nucleic acid or protein, polypeptide or peptide sequence of interest.

As used herein, the phrase "heterologous gene insert" refers to any nucleic acid 20 sequence that has been, or is to be inserted into the recombinant vectors described herein. The heterologous gene insert may refer to only the gene product encoding sequence or may refer to a sequence comprising a promoter, a gene product encoding sequence (such as GP, VP or Z), and any regulatory sequences associated or operably linked therewith.

The term "homopolymer stretch" refers to a sequence comprising at least four of the same nucleotides uninterrupted by any other nucleotide, e.g., GGGG or TTTTTT.

The term "humoral immune response" refers to the stimulation of Ab production. 25 Humoral immune response also refers to the accessory proteins and events that accompany antibody production, including T helper cell activation and cytokine

production, affinity maturation, and memory cell generation. The humoral immune response is one of two branches of the adaptive immune response.

The term "humoral immunity" refers to the immunological defense provided by antibody, such as neutralizing Ab that can directly bind a neoplasm; or, binding Ab that identifies a neoplastic cell for killing by such innate immune responses as complement (C')-mediated lysis, phagocytosis, and natural killer cells.

The term "immunogenic composition" is a composition that comprises an antigenic molecule where administration of the composition to a subject results in the development in the subject of a humoral and/or a cellular immune response to the antigenic molecule of interest.

The term "immune response" refers to any response to an antigen or antigenic determinant by the immune system of a subject (e.g., a human). Exemplary immune responses include humoral immune responses (e.g., production of antigen-specific antibodies) and cell-mediated immune responses (e.g., production of antigen-specific T cells). Assays for assessing an immune response are known in the art and may comprise in vivo assays, such as assays to measure antibody responses and delayed type hypersensitivity responses. In an embodiment, the assay to measure antibody responses primarily may measure B-cell function as well as B-cell/T-cell interactions. For the antibody response assay, antibody titers in the blood may be compared following an antigenic challenge. As used herein, "antibody titers" can be defined as the highest dilution in post-immune sera that resulted in a value greater than that of pre-immune samples for each subject. The in vitro assays may comprise determining the ability of cells to divide, or to provide help for other cells to divide, or to release lymphokines and other factors, express markers of activation, and lyse target cells. Lymphocytes in mice and man can be compared in in vitro assays. In an embodiment, the lymphocytes from similar sources such as peripheral blood cells, splenocytes, or lymph node cells, are compared. It is possible, however, to compare lymphocytes from different sources as in the non-limiting example of peripheral blood cells in humans and splenocytes in mice. For the in vitro assay, cells may be purified (e.g., B-cells, T-cells, and macrophages) or left in their natural state (e.g., splenocytes or lymph node cells). Purification may be by any method that gives the desired results. The cells can be

tested in vitro for their ability to proliferate using mitogens or specific antigens. The ability of cells to divide in the presence of specific antigens can be determined using a mixed lymphocyte reaction (MLR) assay. Supernatant from the cultured cells can be tested to quantitate the ability of the cells to secrete specific lymphokines. The cells can 5 be removed from culture and tested for their ability to express activation antigens. This can be done by any method that is suitable as in the non-limiting example of using antibodies or ligands which bind to the activation antigen as well as probes that bind the RNA coding for the activation antigen.

The term "improved therapeutic outcome" relative to a subject diagnosed as 10 having a neoplasm or cancer refers to a slowing or diminution in the growth of a tumor, or detectable symptoms associated with tumor growth.

The term "inducing an immune response" means eliciting a humoral response (e.g., the production of antibodies) or a cellular response (e.g., the activation of T cells) directed against a TAA in a subject to which the composition (e.g., a vaccine) has been 15 administered.

The term "insertion" in the context of a polypeptide or protein refers to the addition of one or more non-native amino acid residues in the polypeptide or protein sequence. Typically, no more than about from 1 to 6 residues (e.g. 1 to 4 residues) are inserted at any one site within the polypeptide or protein molecule.

20 The term "Marburg virus" refers to a virus of species *Marburg marburgvirus* and has the meaning given to it by the International Committee on Taxonomy of Viruses as documented in (Kuhn, J.H. et al. 2010 Arch Virol 155:2083-2103).

The term "marker" refers to is meant any protein or polynucleotide having an alteration in expression level or activity that is associated with a disease or disorder.

25 The term "modified vaccinia Ankara," "modified vaccinia ankara," "Modified Vaccinia Ankara," or "MVA" refers to a highly attenuated strain of vaccinia virus developed by Dr. Anton Mayr by serial passage on chick embryo fibroblast cells; or variants or derivatives thereof. MVA is reviewed in (Mayr, A. et al. 1975 Infection 3:6-14; Swiss Patent No. 568,392).

30 The term "neoplasm" as used herein means a new or abnormal growth of tissue in some part of the body especially as a characteristic of cancer.

The term "neutralizing antibody" or "NAb" refers to an antibody which either is purified from, or is present in, a body fluid (e.g., serum or a mucosal secretion) and which recognizes a specific antigen and inhibits the effect(s) of the antigen in the subject (e.g., a human). As used herein, the antibody can be a single antibody or a plurality of antibodies.

5 The term "non-neutralizing antibody" or "nnAb" refers to a binding antibody that is not a neutralizing antibody.

"Operably linked." A first nucleic acid sequence is operably linked with a second nucleic acid sequence when the first nucleic acid sequence is placed in a functional 10 relationship with the second nucleic acid sequence. For instance, a promoter is operably linked to a coding sequence if the promoter affects the transcription or expression of the coding sequence. Generally, operably linked DNA sequences are contiguous and, where necessary to join two protein coding regions, in the same reading frame.

15 The term "prevent", "preventing" and "prevention" refers to the inhibition of the development or onset of a condition (e.g., a tumor or a condition associated therewith), or the prevention of the recurrence, onset, or development of one or more symptoms of a condition in a subject resulting from the administration of a therapy or the administration of a combination of therapies.

20 The term "promoter" refers to a polynucleotide sufficient to direct transcription.

The term "prophylactically effective amount" refers to the amount of a composition (e.g., the recombinant MVA vector or pharmaceutical composition) which is sufficient to result in the prevention of the development, recurrence, or onset of a condition or a symptom thereof (e.g., a tumor or a condition or symptom associated therewith or to enhance or improve the prophylactic effect(s) of another therapy.

25 The term "recombinant" means a polynucleotide of semisynthetic, or synthetic origin that either does not occur in nature or is linked to another polynucleotide in an arrangement not found in nature.

The term "recombinant," with respect to a viral vector, means a vector (e.g., a 30 viral genome that has been manipulated in vitro, e.g., using recombinant nucleic acid techniques to express heterologous viral nucleic acid sequences.

The term "regulatory sequence" "regulatory sequences" refers collectively to promoter sequences, polyadenylation signals, transcription termination sequences, upstream regulatory domains, origins of replication, internal ribosome entry sites ("IRES"), enhancers, and the like, which collectively provide for the transcription and 5 translation of a coding sequence. Not all of these control sequences need always be present so long as the selected gene is capable of being transcribed and translated.

The term "shuttle vector" refers to a genetic vector (e.g., a DNA plasmid) that is useful for transferring genetic material from one host system into another. A shuttle vector can replicate alone (without the presence of any other vector) in at least one host 10 (e.g., *E. coli*). In the context of MVA vector construction, shuttle vectors are usually DNA plasmids that can be manipulated in *E. coli* and then introduced into cultured cells infected with MVA vectors, resulting in the generation of new recombinant MVA vectors.

The term "silent mutation" means a change in a nucleotide sequence that does not cause a change in the primary structure of the protein encoded by the nucleotide 15 sequence, e.g., a change from AAA (encoding lysine) to AAG (also encoding lysine).

The term "subject" is means any mammal, including but not limited to, humans, domestic and farm animals, and zoo, sports, or pet animals, such as dogs, horses, cats, cows, rats, mice, guinea pigs and the like. Determination of those subjects "at risk" can be made by any objective or subjective determination by a diagnostic test or opinion of 20 a subject or health care provider (e.g., genetic test, enzyme or protein marker, marker history, and the like).

The term "Sudan virus" refers to a virus of species *Sudan ebolavirus* and has the meaning given to it by the International Committee on Taxonomy of Viruses as documented in (Kuhn, J.H. et al. 2010 Arch Virol 155:2083-2103).

25 The term "surrogate endpoint" means a clinical measurement other than a measurement of clinical benefit that is used as a substitute for a measurement of clinical benefit.

The term "surrogate marker" means a laboratory measurement or physical sign that is used in a clinical or animal trial as a substitute for a clinically meaningful endpoint 30 that is a direct measure of how a subject feels, functions, or survives and is expected to predict the effect of the therapy (Katz, R., NeuroRx 1:189-195 (2004); New drug,

antibiotic, and biological drug product regulations; accelerated approval—FDA. Final rule. Fed Regist 57: 58942–58960, 1992.)

The term “surrogate marker for protection” means a surrogate marker that is used in a clinical or animal trial as a substitute for the clinically meaningful endpoint of reduction or prevention of neoplasm growth.

The term “synonymous codon” refers to the use of a codon with a different nucleic acid sequence to encode the same amino acid, e.g., AAA and AAG (both of which encode lysine). Codon optimization changes the codons for a protein to the synonymous codons that are most frequently used by a vector or a host cell.

The term “therapeutically effective amount” means the amount of the composition (e.g., the recombinant MVA vector or pharmaceutical composition) that, when administered to a mammal for treating a neoplasm, is sufficient to effect such treatment for the neoplasm.

The term "treating" or "treat" refer to the eradication or control of a neoplasm, the reduction or amelioration of the progression, severity, and/or duration of a condition or one or more symptoms caused by the neoplasm resulting from the administration of one or more therapies.

The term "vaccine" means material used to provoke an immune response and confer immunity after administration of the material to a subject. Such immunity may include a cellular or humoral immune response that occurs when the subject is exposed to the immunogen after vaccine administration.

The term “vaccine insert” refers to a nucleic acid sequence encoding a heterologous sequence that is operably linked to a promoter for expression when inserted into a recombinant vector. The heterologous sequence may encode a glycoprotein or matrix protein described here.

The term “virus-like particles” or “VLP” refers to a structure which resembles the native virus antigenically and morphologically.

## II. Tumor Associated Antigens

The compositions of the present invention are useful for inducing an immune response to a Tumor associated antigen.

In a particular embodiment, the vectors express MUC-1. In one embodiment, the

vectors express a hypoglycosylated form of MUC-1. MUC1 is found on nearly all epithelial cells, but it is over expressed in cancer cells, and its associated glycans are shorter than those of non-tumor-associated MUC1 (Gaidzik N et al. 2013, Chem Soc Rev. 42 (10): 4421–42).

5 The transmembrane glycoprotein Mucin 1 (MUC1) is aberrantly glycosylated and overexpressed in a variety of epithelial cancers, and plays a crucial role in progression of the disease. Tumor-associated MUC1 differs from the MUC1 expressed in normal cells with regard to its biochemical features, cellular distribution, and function. In cancer cells, MUC1 participates in intracellular signal transduction pathways and regulates the 10 expression of its target genes at both the transcriptional and post-transcriptional levels (Nath, S., Trends in Mol Med., Volume 20, Issue 6, p332–342, June 2014)

#### A. Immunogenic Fragments of TAA

15 In various embodiments, immunogenic fragments of TAAs may be expressed by the MVA vectors described herein.

In certain embodiments, immunogenic fragments such as those recited in Table 1 may be expressed by the MVA vectors described herein.

Table 1: Immunogenic fragments of tumor associated antigens

Antigen	Protein Accession #	Fragment	Position (amino acids)
MUC1	NP_001191214	Extracellular Domain	20-376
MUC1	NP_001191214	Intracellular Domain	407-475
OFA/iLRP	NP_001291217	Laminin-binding Domains	166-300
5T4	NP_001159864	Extracellular Domain	61-345
5T4	NP_001159864	Intracellular Domain	377-420
CEA	NP_001278413	Ig Domains	36-659

20 In one embodiment, the vectors express an immunogenic extracellular domain fragment of MUC1.

In one embodiment, the vectors express an extracellular domain fragment of MUC1 consisting of the sequence AHGVTSAAPDTRPAPGSTAPP (SEQ ID NO:1).

25 In one embodiment, the vectors express an extracellular domain fragment of MUC1 consisting of the sequence AHGVTSAAPDNRPALGSTAPP (SEQ ID NO:2).

In one embodiment, the vectors express an extracellular domain fragment of MUC1 consisting of the sequence

AHGVTSA<sup>PD</sup>TRPAPG<sup>ST</sup>APPAHGVTSA<sup>PD</sup>NR<sup>PA</sup>L<sup>G</sup>STAPP (SEQ ID NO:3).

In one embodiment, the vectors express an extracellular domain fragment of 5 MUC1 consisting of the sequence

AHGVTSA<sup>PD</sup>TRPAPG<sup>ST</sup>APPAHGVTSA<sup>PD</sup>TRPAPG<sup>ST</sup>APPAHGVTSA<sup>PD</sup>TRPAPG<sup>ST</sup> APPAHGVTSA<sup>PD</sup>TRPAPG<sup>ST</sup>APPAHGVTSA<sup>PD</sup>NR<sup>PA</sup>L<sup>G</sup>STAPP (SEQ ID NO:4).

In one embodiment, the vectors express an intracellular domain fragment of MUC1.

10 In one embodiment, vectors express an extracellular fragment of MUC-1, an intracellular fragment of MUC-1, and a transmembrane domain of a glycoprotein (GP) of Marburgvirus.

15 In one embodiment, vectors express an extracellular fragment of a TAA, an intracellular fragment of a TAA, and a transmembrane domain of a glycoprotein (GP) of Marburgvirus.

### III. Recombinant Viral Vectors

In one aspect, the present invention is a recombinant viral vector comprising one or more nucleic acid sequences encoding tumor associated antigens or immunogenic 20 fragments thereof. In certain embodiments, the recombinant viral vector is a vaccinia viral vector, and more particularly, an MVA vector, comprising one or more nucleic acid sequences encoding tumor associated antigens or immunogenic fragments thereof.

Vaccinia viruses have also been used to engineer viral vectors for recombinant gene expression and for the potential use as recombinant live vaccines (Mackett, M. et 25 al 1982 PNAS USA 79:7415-7419; Smith, G. L. et al. 1984 Biotech Genet Engin Rev 2:383-407). This entails DNA sequences (genes) which code for foreign antigens being introduced, with the aid of DNA recombination techniques, into the genome of the vaccinia viruses. If the gene is integrated at a site in the viral DNA which is non- 30 essential for the life cycle of the virus, it is possible for the newly produced recombinant vaccinia virus to be infectious, that is to say able to infect foreign cells and thus to express the integrated DNA sequence (EP Patent Applications No. 83,286 and No.

110,385). The recombinant vaccinia viruses prepared in this way can be used, on the one hand, as live vaccines for the prophylaxis of infectious diseases, on the other hand, for the preparation of heterologous proteins in eukaryotic cells.

Several such strains of vaccinia virus have been developed to avoid undesired side effects of smallpox vaccination. Thus, a modified vaccinia Ankara (MVA) has been generated by long-term serial passages of the Ankara strain of vaccinia virus (CVA) on chicken embryo fibroblasts (for review see Mayr, A. et al. 1975 Infection 3:6-14; Swiss Patent No. 568,392). The MVA virus is publicly available from American Type Culture Collection as ATCC No.: VR-1508. MVA is distinguished by its great attenuation, as demonstrated by diminished virulence and reduced ability to replicate in primate cells, while maintaining good immunogenicity. The MVA virus has been analyzed to determine alterations in the genome relative to the parental CVA strain. Six major deletions of genomic DNA (deletion I, II, III, IV, V, and VI) totaling 31,000 base pairs have been identified (Meyer, H. et al. 1991 J Gen Virol 72:1031-1038). The resulting MVA virus became severely host cell restricted to avian cells.

Furthermore, MVA is characterized by its extreme attenuation. When tested in a variety of animal models, MVA was proven to be avirulent even in immunosuppressed animals. More importantly, the excellent properties of the MVA strain have been demonstrated in extensive clinical trials (Mayr A. et al. 1978 Zentralbl Bakteriol [B] 167:375-390; Stickl et al. 1974 Dtsch Med Wschr 99:2386-2392). During these studies in over 120,000 humans, including high-risk patients, no side effects were associated with the use of MVA vaccine.

MVA replication in human cells was found to be blocked late in infection preventing the assembly to mature infectious virions. Nevertheless, MVA was able to express viral and recombinant genes at high levels even in non-permissive cells and was proposed to serve as an efficient and exceptionally safe gene expression vector (Sutter, G. and Moss, B. 1992 PNAS USA 89:10847-10851). Additionally, novel vaccinia vector vaccines were established on the basis of MVA having foreign DNA sequences inserted at the site of deletion III within the MVA genome (Sutter, G. et al. 1994 Vaccine 12:1032-1040).

Recombinant MVA vaccinia viruses can be prepared as set out hereinafter. A DNA-construct which contains a DNA-sequence which codes for a foreign polypeptide flanked by MVA DNA sequences adjacent to a predetermined insertion site (e.g. between two conserved essential MVA genes such as I8R/G1L; in restructured and 5 modified deletion III; or at other non-essential sites within the MVA genome) is introduced into cells infected with MVA, to allow homologous recombination. Once the DNA-construct has been introduced into the eukaryotic cell and the foreign DNA has recombined with the viral DNA, it is possible to isolate the desired recombinant vaccinia virus in a manner known per se, preferably with the aid of a marker. The DNA-construct 10 to be inserted can be linear or circular. A plasmid or polymerase chain reaction product is preferred. Such methods of making recombinant MVA vectors are described in PCT publication WO/2006/026667 incorporated by reference herein. The DNA-construct contains sequences flanking the left and the right side of a naturally occurring deletion. The foreign DNA sequence is inserted between the sequences flanking the naturally 15 occurring deletion. For the expression of a DNA sequence or gene, it is necessary for regulatory sequences, which are required for the transcription of the gene, to be present on the DNA. Such regulatory sequences (called promoters) are known to those skilled in the art, and include for example those of the vaccinia 11 kDa gene as are described in EP-A-198,328, and those of the 7.5 kDa gene (EP-A-110,385). The DNA-construct 20 can be introduced into the MVA infected cells by transfection, for example by means of calcium phosphate precipitation (Graham et al. 1973 Virol 52:456-467; Wigler et al. 1979 Cell 16:777-785), by means of electroporation (Neumann et al. 1982 EMBO J. 1:841-845), by microinjection (Graessmann et al. 1983 Meth Enzymol 101:482-492), by means of liposomes (Straubinger et al. 1983 Meth Enzymol 101:512-527), by means of 25 spheroplasts (Schaffher 1980 PNAS USA 77:2163-2167) or by other methods known to those skilled in the art.

The MVA vectors described and tested herein were unexpectedly found to be effective after a single prime or a homologous prime/boost regimen. Other MVA vector designs require a heterologous prime/boost regimen while still other published studies 30 have been unable to induce effective immune responses with MVA vectors. Conversely, the present MVA vector design and methods of manufacture are useful in

producing effective MVA vaccine vectors for eliciting effective T-cell and antibody immune responses. Furthermore, the utility of an MVA vaccine vector capable of eliciting effective immune responses and antibody production after a single homologous prime boost is significant for considerations such as use, commercialization and  
5 transport of materials especially to affected third world locations.

In one embodiment, the present invention is a recombinant viral vector (e.g., an MVA vector) comprising one or more nucleic acid sequences encoding tumor associated antigens or immunogenic fragments thereof. The viral vector (e.g., an MVA vector) may be constructed using conventional techniques known to one of skill in the  
10 art. The one or more heterologous gene inserts encode a polypeptide having desired immunogenicity, i.e., a polypeptide that can induce an immune reaction, cellular immunity and/or humoral immunity, *in vivo* by administration thereof. The gene region of the viral vector (e.g., an MVA vector) where the gene encoding a polypeptide having immunogenicity is introduced is flanked by regions that are indispensable. In the  
15 introduction of a gene encoding a polypeptide having immunogenicity, an appropriate promoter may be operatively linked upstream of the gene encoding a polypeptide having desired immunogenicity.

The one or more nucleic acid sequences encoding tumor associated antigens or immunogenic fragments thereof may be selected from any TAAs. In one embodiment,  
20 the one or more TAA or immunogenic fragments thereof are selected from the group consisting of MUC1, an extracellular fragment of MUC1, an intracellular fragment of MUC1, Oncofetal Antigen/immature Laminin Receptor Protein (OFA/iLRP), an extracellular fragment of OFA/iLRP, an intracellular fragment of OFA/iLRP, Carcinoembryonic antigen (CEA), an extracellular fragment of CEA, an intracellular  
25 fragment of CEA, or a combination thereof. In exemplary embodiments, the gene encodes a polypeptide or protein capable of inducing an immune response in the subject to which it is administered, and more particularly, an immune response capable of providing a protective and/or therapeutic benefit to the subject.

In one embodiment, the nucleic acid sequence encodes MUC1. The  
30 heterologous gene inserts are inserted into one or more deletion sites of the vector under the control of promoters compatible with poxvirus expression systems.

In another embodiment, the nucleic acid sequence encodes an immunogenic fragment of MUC1.

In one embodiment, the vectors express an immunogenic extracellular domain fragment of MUC1.

5 In one embodiment, the vectors express an extracellular domain fragment of MUC1 consisting of the sequence AHGVTSA<sup>D</sup>TRPAPG<sup>S</sup>TAPP (SEQ ID NO:1).

In one embodiment, the vectors express an extracellular domain fragment of MUC1 consisting of the sequence AHGVTSA<sup>D</sup>NRPALG<sup>S</sup>TAPP (SEQ ID NO:2).

10 In one embodiment, the vectors express an extracellular domain fragment of MUC1 consisting of the sequence

AHGVTSA<sup>D</sup>TRPAPG<sup>S</sup>TAPPAHGVTSA<sup>D</sup>NRPALG<sup>S</sup>TAPP (SEQ ID NO:3).

In one embodiment, the vectors express an extracellular domain fragment of MUC1 consisting of the sequence

15 AHGVTSA<sup>D</sup>TRPAPG<sup>S</sup>TAPPAHGVTSA<sup>D</sup>TRPAPG<sup>S</sup>TAPPAHGVTSA<sup>D</sup>TRPAPG<sup>S</sup> APPAHGVTSA<sup>D</sup>TRPAPG<sup>S</sup>TAPPAHGVTSA<sup>D</sup>NRPALG<sup>S</sup>TAPP (SEQ ID NO:4).

In one embodiment, the vectors express an intracellular domain fragment of MUC1.

In one embodiment, the nucleic acid sequence encodes an extracellular fragment of MUC1 and an intracellular fragment of MUC1.

20 In one embodiment, the nucleic acid sequence encodes a transmembrane domain of the glycoprotein (GP) of Marburgvirus.

In one embodiment, the nucleic acid sequence encodes an immunogenic extracellular domain sequence of MUC1 and a transmembrane domain of the glycoprotein (GP) of Marburgvirus.

25 In one embodiment, the nucleic acid sequence encodes an immunogenic extracellular domain sequence of MUC1 and a transmembrane domain of the glycoprotein (GP) of Marburgvirus and an intracellular domain sequence of MUC1.

In one embodiment, the nucleic acid sequence encodes an immunogenic extracellular domain sequence of MUC1 comprising a fragment of MUC1 having the 30 sequence

AHGVTSA<sup>D</sup>TRPAPG<sup>S</sup>TAPPAHGVTSA<sup>D</sup>TRPAPG<sup>S</sup>TAPPAHGVTSA<sup>D</sup>TRPAPG<sup>S</sup>

APPAHGVTSA  
PDTRPAPGSTAPP  
AHGVTSAPDN  
RPA  
LGSTAPP (SEQ ID NO:4) and a transmembrane domain of the glycoprotein (GP) of Marburgvirus and an intracellular domain sequence of MUC1.

In one embodiment, the deletion III site is restructured and modified to remove  
5 non-essential flanking sequences.

In exemplary embodiments, the vaccine is constructed to express a TAA for example MUC1, which is inserted between two conserved essential MVA genes (I8R and G1L) using shuttle vector pGeo-MUC1; and to express MUC1, which is inserted into deletion III using shuttle vector pGeo-MUC1. pGeo-MUC1 is constructed with an  
10 ampicillin resistance marker, allowing the vector to replicate in bacteria; with two flanking sequences, allowing the vector to recombine with a specific location in the MVA genome; with a green fluorescent protein (GFP) selection marker, allowing the selection of recombinant MVAs; with a sequence homologous to part of Flank 1 of the MVA sequence, enabling removal of the GFP sequence from the MVA vector after insertion  
15 of MUC1 into the MVA genome; with a modified H5 (mH5) promoter, which enables transcription of the inserted heterologous gene insert; and with a TAA sequence.

In certain embodiments, the polypeptide, or the nucleic acid sequence encoding the polypeptide, may have a mutation or deletion (e.g., an internal deletion, truncation of the amino- or carboxy-terminus, or a point mutation).

20 The one or more genes introduced into the recombinant viral vector are under the control of regulatory sequences that direct its expression in a cell.

The nucleic acid material of the viral vector may be encapsulated, e.g., in a lipid membrane or by structural proteins (e.g., capsid proteins), that may include one or more viral polypeptides.

25 In exemplary embodiments, the present invention is a recombinant viral vector (e.g., a recombinant MVA vector) comprising one or more genes, or one or more polypeptides encoded by the gene or genes, from a TAA.

The nucleic acid sequences of many TAAs are published and are available from a variety of sources, including, e.g., GenBank and PubMed. Exemplary GenBank  
30 references including MUC1 include those corresponding to accession numbers NM\_001204285,

In certain embodiments, the one or more genes encodes a polypeptide, or fragment thereof, that is substantially identical (e.g., at least 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95%, 98%, 99%, or even 100% identical) to the selected TAA over at least 20, 25, 30, 35, 40, 45, 50, 55, 60, 65, or 70 contiguous residues of the selected

5 TAA or immunogenic fragment thereof that retain immunogenic activity.

In one embodiment, the sequence encoding a TAA or immunogenic fragment thereof is inserted into deletion site I, II, III, IV, V or VI of the MVA vector.

In one embodiment, the sequence encoding a TAA or immunogenic fragment thereof is inserted between I8R and G1L of the MVA vector, or into restructured and

10 modified deletion III of the MVA vector; and a second sequence encoding a TAA or immunogenic fragment thereof is inserted between I8R and G1L of the MVA vector, or into restructured and modified deletion site III of the MVA vector.

In one embodiment, the recombinant vector comprises in a first deletion site, a nucleic acid sequence encoding a TAA or immunogenic fragment thereof operably

15 linked to a promoter compatible with poxvirus expression systems, and in a second deletion site, a nucleic acid sequence encoding a VLP-forming protein operably linked to a promoter compatible with poxvirus expression systems.

In exemplary embodiments, the present invention is a recombinant MVA vector comprising at least one heterologous nucleic acid sequence (e.g., one or more

20 sequences) encoding a TAA or immunogenic fragment thereof which is under the control of regulatory sequences that direct its expression in a cell. The sequence may be, for example, under the control of a promoter selected from the group consisting of Pm2H5, Psyn II, or mH5 promoters.

The recombinant viral vector of the present invention can be used to infect cells

25 of a subject, which, in turn, promotes the translation into a protein product of the one or more heterologous sequence of the viral vector (e.g., a TAA or immunogenic fragment thereof). As discussed further herein, the recombinant viral vector can be administered to a subject so that it infects one or more cells of the subject, which then promotes expression of the one or more viral genes of the viral vector and stimulates an immune

30 response that is therapeutic or protective against a neoplasm.

In one embodiment, the recombinant MVA vaccine expresses proteins that assemble into virus-like particles (VLPs) comprising the TAA or immunogenic fragment thereof. While not wanting to be bound by any particular theory, it is believed that the TAA is provided to elicit a protective immune response and the matrix protein is

5 provided to enable assembly of VLPs and as a target for T cell immune responses, thereby enhancing the protective immune response and providing cross-protection.

In one embodiment, the matrix protein is a Marburg virus matrix protein.

In one embodiment, the matrix protein is an Ebola virus matrix protein.

In one embodiment, the matrix protein is a Sudan virus matrix protein.

10 In one embodiment, the matrix protein is a human immunodeficiency virus type 1 (HIV-1) matrix protein.

In one embodiment, the matrix protein is a human immunodeficiency virus type 1 (HIV-1) matrix protein encoded by the *gag* gene.

In one embodiment, the matrix protein is a Lassa virus matrix protein.

15 In one embodiment, the matrix protein is a Lassa virus Z protein.

In one embodiment, the matrix protein is a fragment of a Lassa virus Z protein.

In one embodiment, the matrix protein is a matrix protein of a virus in the *Filoviridae* virus family.

20 In one embodiment, the matrix protein is a matrix protein of a virus in the *Retroviridae* virus family.

In one embodiment, the matrix protein is a matrix protein of a virus in the *Arenaviridae* virus family.

In one embodiment, the matrix protein is a matrix protein of a virus in the *Flaviviridae* virus family.

25 One or more nucleic acid sequences may be optimized for use in an MVA vector. Optimization includes codon optimization, which employs silent mutations to change selected codons from the native sequences into synonymous codons that are optimally expressed by the host-vector system. Other types of optimization include the use of silent mutations to interrupt homopolymer stretches or transcription terminator motifs.

30 Each of these optimization strategies can improve the stability of the gene, improve the stability of the transcript, or improve the level of protein expression from the sequence.

In exemplary embodiments, the number of homopolymer stretches in the TAA sequence will be reduced to stabilize the construct. A silent mutation may be provided for anything similar to a vaccinia termination signal. An extra nucleotide may be added in order to express the transmembrane, rather than the secreted, form of any TAA.

5 In exemplary embodiments, the sequences are codon optimized for expression in MVA; sequences with runs of  $\geq 5$  deoxyguanosines,  $\geq 5$  deoxycytidines,  $\geq 5$  deoxyadenosines, and  $\geq 5$  deoxythymidines are interrupted by silent mutation to minimize loss of expression due to frame shift mutations; and the GP sequence is modified through addition of an extra nucleotide to express the transmembrane, rather 10 than the secreted, form of the protein.

In one embodiment, the present invention provides a vaccine vector composition that is monovalent. As used herein the term monovalent refers to a vaccine vector composition that contains sequences from one TAA.

15 In another embodiment, the present invention provides a vaccine that is bivalent. As used herein the term bivalent refers to a vaccine vector composition that contains two vectors having sequences from different TAAs.

In another embodiment, the present invention provides a vaccine that is trivalent. As used herein the term trivalent refers to a vaccine vector composition that contains three vectors having sequences from different TAAs.

20 In another embodiment, the present invention provides a vaccine that is quadrivalent. As used herein the term quadrivalent refers to a vaccine vector composition that contains four vectors having sequences from different TAAs. As used herein, the terms tetravalent and quadrivalent are synonymous.

The present invention also extends to host cells comprising the recombinant viral 25 vector described above, as well as isolated virions prepared from host cells infected with the recombinant viral vector.

In one embodiment, the TAA is overexpressed with an siRNA directed to Core 1  $\beta$ 3galactosyltransferase (T Synthase) or COSMC. COSMC is a molecular chaperone thought to be required for expression of active T-synthase, the only enzyme that 30 galactosylates the Tn antigen (GalNAc $\alpha$ 1-Ser/Thr-R) to form core 1 Gal $\beta$ 1-3GalNAc $\alpha$ 1-

Ser/Thr (T antigen) during mucin type O-glycan biosynthesis (Wang et al. Proc Natl Acad Sci U S A. 2010 May 18; 107(20): 9228–9233).

In another embodiment, the TAA is overexpressed with sialyl transerase 1.

Sialyltransferases are key enzymes that regulate the cellular levels of sialic acid-

5 containing molecules.

In particular embodiment, the sialyltransferase is ST6GALNAC1.

In another embodiment, the TAA is overexpressed with sialyl transerase 1 and an siRNA directed to Core 1  $\beta$ 3galactosyltransferase (T Synthase) or COSMC (T synthase-specific chaperone; C1GALT1C1)

10

#### IV. Pharmaceutical Composition

The recombinant viral vectors of the present invention are readily formulated as pharmaceutical compositions for veterinary or human use, either alone or in combination. The pharmaceutical composition may comprise a pharmaceutically acceptable diluent, excipient, carrier, or adjuvant.

In one embodiment, the present invention is a vaccine effective to protect and/or treat a neoplasm comprising a recombinant MVA vector that expresses at least one TAA polypeptide (e.g., a TAA) or an immunogenic fragment thereof. The vaccine composition may comprise one or more additional therapeutic agents.

20 The pharmaceutical composition may comprise 1, 2, 3, 4 or more than 4 different recombinant MVA vectors.

In one embodiment, the present invention provides a vaccine vector composition that is monovalent. As used herein the term monovalent refers to a vaccine vector composition that contains one TAA sequence.

25 In another embodiment, the present invention provides a vaccine that is bivalent. As used herein the term bivalent refers to a vaccine vector composition that contains two vectors having sequences from different TAAs.

In another embodiment, the present invention provides a vaccine that is trivalent. As used herein the term trivalent refers to a vaccine vector composition that contains 30 three vectors having sequences from different TAAs.

In another embodiment, the present invention provides a vaccine that is quadrivalent. As used herein the term quadrivalent refers to a vaccine vector composition that contains four vectors having sequences from different TAAs. As used herein, the terms tetravalent and quadrivalent are synonymous.

5 As used herein, the phrase "pharmaceutically acceptable carrier" encompasses any of the standard pharmaceutical carriers, such as those suitable for parenteral administration, such as, for example, by intramuscular, intraarticular (in the joints), intravenous, intradermal, intraperitoneal, and subcutaneous routes. Examples of such formulations include aqueous and non-aqueous, isotonic sterile injection solutions, 10 which contain antioxidants, buffers, bacteriostats, and solutes that render the formulation isotonic with the blood of the intended recipient, and aqueous and non-aqueous sterile suspensions that can include suspending agents, solubilizers, thickening agents, stabilizers, and preservatives. One exemplary pharmaceutically acceptable carrier is physiological saline.

15 Other physiologically acceptable diluents, excipients, carriers, or adjuvants and their formulations are known to those skilled in the art.

In one embodiments, adjuvants are used as immune response enhancers. In various embodiments, the immune response enhancer is selected from the group consisting of alum-based adjuvants, oil based adjuvants, Specol, RIBI, TiterMax, 20 Montanide ISA50 or Montanide ISA 720, GM-CSF, nonionic block copolymer-based adjuvants, dimethyl dioctadecyl ammoniumbromide (DDA) based adjuvants AS-1, AS-2, Ribi Adjuvant system based adjuvants, QS21, Quil A, SAF (Syntex adjuvant in its microfluidized form (SAF-m), dimethyl-dioctadecyl ammonium bromide (DDA), human complement based adjuvants m. vaccae, ISCOMS, MF-59, SBAS-2, SBAS-4, 25 Enhazyn®, RC-529, AGPs, MPL-SE, QS7, Escin; Digitonin; and Gypsophila, Chenopodium quinoa saponins

The compositions utilized in the methods described herein can be administered by a route selected from, e.g., parenteral, intramuscular, intraarterial, intravascular, intravenous, intraperitoneal, subcutaneous, dermal, transdermal, ocular, inhalation, 30 buccal, sublingual, perilingual, nasal, topical administration, and oral administration. The preferred method of administration can vary depending on various factors (e.g., the

components of the composition being administered and the severity of the condition being treated). Formulations suitable for oral administration may consist of liquid solutions, such as an effective amount of the composition dissolved in a diluent (e.g., water, saline, or PEG-400), capsules, sachets or tablets, each containing a 5 predetermined amount of the vaccine. The pharmaceutical composition may also be an aerosol formulation for inhalation, e.g., to the bronchial passageways. Aerosol formulations may be mixed with pressurized, pharmaceutically acceptable propellants (e.g., dichlorodifluoromethane, propane, or nitrogen).

For the purposes of this invention, pharmaceutical compositions suitable for 10 delivering a therapeutic or biologically active agent can include, e.g., tablets, gelcaps, capsules, pills, powders, granulates, suspensions, emulsions, solutions, gels, hydrogels, oral gels, pastes, eye drops, ointments, creams, plasters, drenches, delivery devices, suppositories, enemas, injectables, implants, sprays, or aerosols. Any of these 15 formulations can be prepared by well-known and accepted methods of art. See, for example, Remington: The Science and Practice of Pharmacy (21<sup>st</sup> ed.), ed. A. R. Gennaro, Lippincott Williams & Wilkins, 2005, and Encyclopedia of Pharmaceutical Technology, ed. J. Swarbrick, Informa Healthcare, 2006, each of which is hereby incorporated by reference.

The immunogenicity of the composition (e.g., vaccine) may be significantly 20 improved if the composition of the present invention is co-administered with an immunostimulatory agent or adjuvant. Suitable adjuvants well-known to those skilled in the art include, e.g., aluminum phosphate, aluminum hydroxide, QS21, Quil A (and derivatives and components thereof), calcium phosphate, calcium hydroxide, zinc hydroxide, glycolipid analogs, octodecyl esters of an amino acid, muramyl dipeptides, 25 polyphosphazene, lipoproteins, ISCOM-Matrix, DC-Chol, DDA, cytokines, and other adjuvants and derivatives thereof.

Pharmaceutical compositions according to the invention described herein may be 30 formulated to release the composition immediately upon administration (e.g., targeted delivery) or at any predetermined time period after administration using controlled or extended release formulations. Administration of the pharmaceutical composition in controlled or extended release formulations is useful where the composition, either

alone or in combination, has (i) a narrow therapeutic index (e.g., the difference between the plasma concentration leading to harmful side effects or toxic reactions and the plasma concentration leading to a therapeutic effect is small; generally, the therapeutic index, TI, is defined as the ratio of median lethal dose ( $LD_{50}$ ) to median effective dose 5 ( $ED_{50}$ )); (ii) a narrow absorption window in the gastro-intestinal tract; or (iii) a short biological half-life, so that frequent dosing during a day is required in order to sustain a therapeutic level.

Many strategies can be pursued to obtain controlled or extended release in which the rate of release outweighs the rate of metabolism of the pharmaceutical composition. 10 For example, controlled release can be obtained by the appropriate selection of formulation parameters and ingredients, including, e.g., appropriate controlled release compositions and coatings. Suitable formulations are known to those of skill in the art. Examples include single or multiple unit tablet or capsule compositions, oil solutions, suspensions, emulsions, microcapsules, microspheres, nanoparticles, patches, and 15 liposomes.

Formulations suitable for oral administration can consist of (a) liquid solutions, such as an effective amount of the vaccine dissolved in diluents, such as water, saline or PEG 400; (b) capsules, sachets or tablets, each containing a predetermined amount of the vaccine, as liquids, solids, granules or gelatin; (c) suspensions in an appropriate 20 liquid; (d) suitable emulsions; and (e) polysaccharide polymers such as chitins. The vaccine, alone or in combination with other suitable components, may also be made into aerosol formulations to be administered via inhalation, e.g., to the bronchial passageways. Aerosol formulations can be placed into pressurized acceptable propellants, such as dichlorodifluoromethane, propane, nitrogen, and the like.

25 Suitable formulations for rectal administration include, for example, suppositories, which consist of the vaccine with a suppository base. Suitable suppository bases include natural or synthetic triglycerides or paraffin hydrocarbons. In addition, it is also possible to use gelatin rectal capsules which consist of a combination of the vaccine with a base, including, for example, liquid triglycerides, polyethylene glycols, and 30 paraffin hydrocarbons.

The vaccines of the present invention may also be co-administered with cytokines to further enhance immunogenicity. The cytokines may be administered by methods known to those skilled in the art, e.g., as a nucleic acid molecule in plasmid form or as a protein or fusion protein.

5 This invention also provides kits comprising the vaccines of the present invention. For example, kits comprising a vaccine and instructions for use are within the scope of this invention.

#### V. Combination with Checkpoint Inhibitors and Chemotherapy

10 In one embodiment, the above methods can further involve administering a standard of care therapy to the subject. In embodiments, the standard of care therapy is surgery, radiation, radio frequency, cryogenic, ultranoic ablation, systemic chemotherapy, or a combination thereof.

15 The vector compositions described herein may be provided as a pharmaceutical composition in combination with other active ingredients. The active agent may be, without limitation, including but not limited to radionuclides, immunomodulators, anti-angiogenic agents, cytokines, chemokines, growth factors, hormones, drugs, prodrugs, enzymes, oligonucleotides, siRNAs, pro-apoptotic agents, photoactive therapeutic agents, cytotoxic agents, chemotherapeutic agents, toxins, other antibodies or antigen 20 binding fragments thereof.

In another embodiment, the pharmaceutical composition includes a TAA-expressing vector described herein and a checkpoint inhibitor to activate CD4+, CD8+ effector T-cells to increase tumor clearance.

In various embodiments, the checkpoint inhibitor is an antibody.

25 Antibodies are a key component of the adaptive immune response, playing a central role in both recognizing foreign antigens and stimulating an immune response. Many immunotherapeutic regimens involve antibodies. There are a number of FDA-approved antibodies useful as combination therapies. These antibodies may be selected from Alemtuzumab, Atezolizumab, Ipilimumab, Nivolumab, Ofatumumab, 30 Pembrolizumab, or Rituximab.

Monoclonal antibodies that target either PD-1 or PD-L1 can boost the immune response against cancer cells and have shown a great deal of promise in treating certain cancers. Examples of antibodies that target PD-1 include Pembrolizumab and Nivolumab. An example of an antibody that targets PD-L1 is Atezolizumab.

5        CTLA-4 is another protein on some T cells that acts as a type of “off switch” to keep the immune system in check. Ipilimumab is a monoclonal antibody that attaches to CTLA-4 to block activity and boost an immune response against a neoplasm.

10      In another embodiment, the immunogenic vector compositions are administered with adjuvant chemotherapy to increase dendritic cell ability to induce T cell proliferation.

In various embodiments, the vector compositions are administered, before, after or at the same time as chemotherapy.

15      In certain embodiments, the composition of the present invention is able to reduce the need of a subject having a tumor or a cancer to receive chemotherapeutic or radiation treatment. In other embodiments, the composition is able to reduce the severity of side effects associated with radiation or chemotherapy in a subject having a tumor or cancer.

20      The pharmaceutical compositions of the present invention can be administered alone or in combination with other types of cancer treatment strategies (e.g., radiation therapy, chemotherapy, hormonal therapy, immunotherapy and anti-tumor agents as described herein.

25      Suitable chemotherapeutic agents useful with these methods include sorafenib, regorafenib, imatinib, eribulin, gemcitabine, capecitabine, pazopanib, lapatinib, dabrafenib, sunitinib malate, crizotinib, everolimus, torosirolimus, sirolimus, axitinib, gefitinib, anastrozole, bicalutamide, fulvestrant, ralitrexed, pemetrexed, goserelin acetate, erlotinib, vemurafenib, visiodigib, tamoxifen citrate, paclitaxel, docetaxel, cabazitaxel, oxaliplatin, ziv-aflibercept, bevacizumab, trastuzumab, pertuzumab, pantumumab, taxane, bleomycin, melphalen, plumbagin, camptosar, mitomycin-C, mitoxantrone, SMANCS, doxorubicin, pegylated doxorubicin, Folfox, 5-fluorouracil, temozolomide, 30 pasireotide, tegafur, gimeracil, oteraci, itraconazole, bortezomib, lenalidomide, irinotecan, epirubicin, and romidepsin. Preferred chemotherapeutic agents are

Carboplatin, Fluorouracil, Vinblastine, Gemcitabine, Cyclophosphamide, Doxorubicin, Methotrexate, Paclitaxel, Topotecan, Etoposide, Methotrexate, Sorafenib, Irinotecan, and Tarceva.

5 Generic names of cancer chemotherapeutic drugs that have been typically used in cancer patients include: doxorubicin, epirubicin; 5-fluorouracil, paclitaxel, docetaxel, cisplatin, bleomycin, melphalen, plumbagin, irinotecan, mitomycin-C, and mitoxantrone. By way of example, some other cancer chemotherapeutic drugs that may be used and may be in stages of clinical trials include: resminostat, tasquinimod, refametinib, lapatinib, Tyverb, Arenegeyr, pasireotide, Signifor, ticilimumab, tremelimumab, 10 lansoprazole, PrevOnco, ABT-869, linifanib, tivantinib, Tarceva, erlotinib, Stivarga, regorafenib, fluoro-sorafenib, brivanib, liposomal doxorubicin, lenvatinib, ramucirumab, peretinoin, Ruchiko, muparfostat, Teysuno, tegafur, gimeracil, oteracil, and orantinib.

15 Manufacturer brand names for some cancer drugs that may be used in the present invention include: NEXAVAR (sorafenib), STIVARGA (regorafenib), AFFINITOR (everolimus), GLEEVEC (imatinib), HALAVEN (eribulin), ALIMTA (pemetrexed), GEMZAR (gemcitabine), VOTRIENT (pazopanib), TYKERB (lapatinib), TAFINAR (dabrafenib), SUTENT (sutinib malate), XALKORI (crizotinib), TORISEL (torisirolimus), INLYTA (axitinib), IRESSA (gefitinib), ARIMEDEX (anastrozole), CASODEX (bicalutamide), FASLODEX (fulvestrant), TOMUDEX (ralitrexed), ZOLADEX (goserelin acetate), TARCEVA (erlotinib), XELODA (capecitabine), ZELBROF (vemurafenib), 20 ERIVEDGE (visiodesigib), PERJETA (pertuzumab), HERCEPTIN (trastuzumab), TAXOTERE (docetaxel), JEVITANA (cabazitaxel), ELOXATIN (oxaliplatin), ZALTRAP (ziv-afiblercept), AVASTIN (bevacizumab) Nolvadex, Istubal, and VALODEX (tamoxifen citrate), TEMODAR (temozolomide), SIGNIFOR (pasireotide), VECTIBIX (pantumumab), ADRIAMYCIN (doxorubicin), DOXIL (pegylated doxorubicin), 25 ABRAXANE (Paclitaxel), TEYSUNO (tegafur, gimeracil, oteracil), BORTEZOMIB (Velcade) and with lenalidomide, ISTODAX (romidepsin).

It is believed that one way that Doxorubicin (ADRIAMYCIN) and DOXIL (pegylated doxorubicin in liposomes) can act to kill cancer cells is by intercalating DNA. 30 It is also thought that doxorubicin can become a nitroxide free radical and/or thereby increase cellular levels of free radicals in cancer cells and thereby trigger cellular

damage and programmed death. There are potentially serious adverse systemic effects of doxorubicin such as heart damage which limit its use.

5-Fluorouracil (5-FU, Efudex) is a pyrimidine analog which is used in the treatment of cancer. It is a suicide inhibitor and works through irreversible inhibition of 5 thymidylate synthase. Like many anti-cancer drugs, 5-FU's effects are felt system wide but fall most heavily upon rapidly dividing cells that make more frequent use of their nucleotide synthesis machinery, such as cancer cells. 5-FU kills non-cancer cells in parts of the body that are rapidly dividing, for example, the cells lining the digestive tract. Folfiri is a treatment with 5-FU, Camptosar, and Irinotecan (leucovorin). The 5-FU incorporates into the DNA molecule and stops synthesis and Camptosar is a topoisomerase inhibitor, which prevents DNA from uncoiling and duplicating. Irinotecan (folinic acid, leucovorin) is a vitamin B derivative used as a "rescue" drug for high doses of the drug methotrexate and that modulates/potentiates/reduces the side effects of the 5-FU (fluorouracil). Mitomycin C is a potent DNA cross-linker. Prolonged use may result 10 in permanent bone-marrow damage. It may also cause lung fibrosis and renal damage. 15

Taxanes agents include paclitaxel (Taxol) and docetaxel (Taxotere). Taxanes disrupt cell microtubule function. Microtubules are essential to cell division. Taxanes stabilize GDP-bound tubulin in the microtubule, thereby inhibiting the process of cell division. Cancer cells can no longer divide. However, taxanes may inhibit cell division 20 of non-cancer cells as well.

Cisplatin(s) which includes carboplatin and oxaliplatin are organic platinum complexes which react in vivo, binding to and causing crosslinking of DNA. The cross-linked DNA triggers apoptosis (programmed cell death) of the cancer cells. However, cisplatin can also trigger apoptosis of non-cancer cells.

25 Bleomycin induces DNA strand breaks. Some studies suggest bleomycin also inhibits incorporation of thymidine into DNA strands. Bleomycin will also kill non-cancer cells. Melphalan (Alkeran) is a nitrogen mustard alkylating agents which adds an alkyl group to the guanine base of DNA. Major adverse effects of mephalaen include vomiting, oral ulceration, and bone marrow suppression.

30 Plumbagin has been shown to induce cell cycle arrest and apoptosis in numerous cancer cell lines. It triggers autophagy via inhibition of the Akt/mTOR

pathway. It induces G2/M cell cycle arrest and apoptosis through JNK-dependent p53 Ser15 phosphorylation. It promotes autophagic cell death. It inhibits Akt/mTOR signaling. It induces intracellular ROS generation in a PI 3-kinase-dependent manner. To non-cancer cells plumbagin is a toxin, a genotoxin, and a mutagen.

5 A chemotherapeutic agent may be selected based upon its specificity and potency of inhibition of a cellular pathway target to which cancer cells in the patient may be susceptible. In practicing the invention, the chemotherapeutic agent may be selected by its ability to inhibit a cellular pathway target selected from the group consisting of mTORC, RAF kinase, MEK kinase, Phosphoinositol kinase 3, Fibroblast 10 growth factor receptor, multiple tyrosine kinase, Human epidermal growth factor receptor, vascular endothelial growth factor, other angiogenesis, heat shock protein; Smo (smooth) receptor, FMS-like tyrosine kinase 3 receptor, Apoptosis protein inhibitor, cyclin dependent kinases, deacetylase, ALK tyrosine kinase receptor, serine/threonine-protein kinase Pim-1, Porcupine acyltransferase, hedgehog pathway, protein kinase C, 15 mDM2, Glycinein3, ChK1, Hepatocyte growth factor MET receptor, Epidermal growth factor domain-like 7, Notch pathway, Src-family kinase, DNA methyltransferase, DNA intercalators, Thymidine synthase, Microtubule function disruptor, DNA cross-linkers, DNA strand breakers, DNA alkylators, JNK-dependent p53 Ser15 phosphorylation inducer, DNA topoisomerase inhibitors, Bcl-2, and free radical generators.

20 In one embodiment, the vector compositions are administered, before, after or at the same time as epigenetic modulators.

25 In one embodiment, the vector compositions are administered, before, after or at the same time as an epigenetic modulator selected from the group consisting of inhibitors of DNA methyltransferases, inhibitors of histone methyltransferases, inhibitors of histone acetyltransferases, inhibitors of histone deacetylases, and inhibitors of lysine demethylases.

In one embodiment, the vector compositions are administered, before, after or at the same time as an inhibitor of DNA methyltransferases.

30 In one embodiment, the vector compositions are administered, before, after or at the same time as an inhibitor of histone deacetylases.

## VI. Method of Use

The compositions of the invention can be used as vaccines for inducing an immune response to a TAA.

In exemplary embodiments, the present invention provides a method of inducing an immune response to a TAA in a subject in need thereof, said method comprising administering a recombinant viral vector that encodes at least one TAA or immungenic fragment thereof, to the subject in an effective amount to generate an immune response to the TAA. The result of the method is that the subject is partially or completely immunized against the TAA.

In one embodiment, invention provides methods for activating an immune response in a subject using the compositions described herein. In some embodiments, the invention provides methods for promoting an immune response in a subject using a composition described herein. In some embodiments, the invention provides methods for increasing an immune response in a subject using a composition described herein.

In some embodiments, the invention provides methods for enhancing an immune response in a subject using a composition described herein.

In exemplary embodiments, the present invention provides a method of treating, reducing, preventing or delaying the growth of a neoplasm in a subject in need thereof, said method comprising administering the composition of the present invention to the subject in a therapeutically effective amount. The result of treatment is a subject that has an improved therapeutic profile for a disease associated with the neoplasm.

In exemplary embodiments, the present invention provides a method of treating, cancer in a subject in need thereof, said method comprising administering the composition of the present invention to the subject in a therapeutically effective amount. The result of treatment is a subject that has an improved therapeutic profile for a cancer.

In one embodiment the methods may reduce the growth of the one or more tumors, shrink the one or more tumors, or eradicate the one or more tumors. For example, the tumor mass does not increase. In certain embodiments, the tumor shrinks by 10%, 25%, 50%, 75%, 85%, 90%, 95%, or 99% or more (or any number therebetween) as compared to its original mass. In certain embodiments, the shrinkage

is such that an inoperable tumor is sufficient to permit resection if desired. The concept of substantial shrinkage may also be referred to as "regression," which refers to a diminution of a bodily growth, such as a tumor. Such a diminution may be determined by a reduction in measured parameters such as, but not limited to, diameter, mass (i.e., 5 weight), or volume. This diminution by no means indicates that the size is completely reduced, only that a measured parameter is quantitatively less than a previous determination.

In one embodiment, the methods may prevent tumor metastasis.

In exemplary embodiments, the present invention provides a method of treating a 10 proliferative disorder in a subject in need thereof, said method comprising administering the composition of the present invention to the subject in a therapeutically effective amount. As used herein, the term "proliferative disorder" refers to a disorder wherein the growth of a population of cells exceeds, and is uncoordinated with, that of the surrounding cells. In certain instances, a proliferative disorder leads to the formation of 15 a tumor. In some embodiments, the tumor is benign, pre-malignant, or malignant. In other embodiments, the proliferative disorder is an autoimmune diseases, vascular occlusion, restenosis, atherosclerosis, or inflammatory bowel disease. In one embodiment, the autoimmune diseases to be treated may be selected from the group consisting of type I autoimmune diseases or type II autoimmune diseases or type III 20 autoimmune diseases or type IV autoimmune diseases, such as, for example, multiple sclerosis (MS), rheumatoid arthritis, diabetes, type I diabetes (Diabetes mellitus), systemic lupus erythematosus (SLE), chronic polyarthritis, Basedow's disease, autoimmune forms of chronic hepatitis, colitis ulcerosa, allergy type I diseases, allergy type II diseases, allergy type III diseases, allergy type IV diseases, fibromyalgia, hair 25 loss, Bechterew's disease, Crohn's disease, Myasthenia gravis, neuroclermatitis, Polymyalgia rheumatica, progressive systemic sclerosis (PSS), psoriasis, Reiter's syndrome, rheumatic arthritis, psoriasis, vasculitis, etc, or type II diabetes.

In one embodiment, the immune response is a humoral immune response, a cellular immune response or a combination thereof.

30 In a particular embodiment, the immune response comprises production of binding antibodies against the TAA.

In a particular embodiment, the immune response comprises production of neutralizing antibodies against the TAA.

In a particular embodiment, the immune response comprises production of non-neutralizing antibodies against the TAA.

5 In a particular embodiment, the immune response comprises production of a cell-mediated immune response against the TAA.

In a particular embodiment, the immune response comprises production of neutralizing and non-neutralizing antibodies against the TAA.

10 In a particular embodiment, the immune response comprises production of neutralizing antibodies and cell-mediated immunity against the TAA.

In a particular embodiment, the immune response comprises production of non-neutralizing antibodies and cell-mediated immunity against the TAA.

15 In a particular embodiment, the immune response comprises production of neutralizing antibodies, non-neutralizing antibodies, and cell-mediated immunity against the TAA.

In certain embodiments, the compositions of the invention can be used as vaccines for treating a subject at risk of developing a neoplasm, or a subject already having a neoplasm. The recombinant viral vector comprises genes or sequences encoding TAAs, viral proteins to promote assembly of virus-like particles (VLPs) or 20 additional enzymes to facilitate expression and glycosylation of the TAA.

Typically the vaccines will be in an admixture and administered simultaneously, but may also be administered separately.

25 A subject to be treated according to the methods described herein may be one who has been diagnosed by a medical practitioner as having such a condition. (e.g. a subject having a neoplasm). Diagnosis may be performed by any suitable means. One skilled in the art will understand that a subject to be treated according to the present invention may have been identified using standard tests or may have been identified, without examination, as one at high risk due to the presence of one or more risk factors.

30 Prophylactic treatment may be administered, for example, to a subject not yet having a neoplasm but who is susceptible to, or otherwise at risk of developing a neoplasm.

Therapeutic treatment may be administered, for example, to a subject already a neoplasm in order to improve or stabilize the subject's condition. The result is an improved therapeutic profile. In some instances, as compared with an equivalent untreated control, treatment may ameliorate a disorder or a symptom thereof by, e.g., 5 5%, 10%, 20%, 30%, 40%, 50%, 60%, 70%, 80%, 90%, 95%, or 100% as measured by any standard technique.

For example, depending upon the type of cancer, an improved therapeutic profile may be selected from alleviation of one or more symptoms of the cancer, diminishment 10 of extent of disease, stabilized (i.e., not worsening) state of disease, delay or slowing of disease progression, amelioration or palliation of the disease state, remission (whether 15 partial or total), whether detectable or undetectable, tumor regression, inhibition of tumor growth, inhibition of tumor metastasis, reduction in cancer cell number, inhibition of cancer cell infiltration into peripheral organs, improved time to disease progression (TTP), improved response rate (RR), prolonged overall survival (OS), prolonged time-to- 20 next-treatment (TNTT), or prolonged time from first progression to next treatment, or a combination of two or more of the foregoing.

In other embodiments, treatment may result in amelioration of one or more symptoms of a disease associated with a neoplasm (e.g. cancer). According to this embodiment, confirmation of treatment can be assessed by detecting an improvement 25 in or the absence of symptoms.

In one embodiment, the present invention is a method of inducing an immune response in a subject (e.g., a human) by administering to the subject a recombinant viral vector that encodes at least one TAA or immungenic fragment thereof. The immune response may be a cellular immune response or a humoral immune response, 25 or a combination thereof.

The composition may be administered, e.g., by injection (e.g., intramuscular, intraarterial, intravascular, intravenous, intraperitoneal, or subcutaneous).

It will be appreciated that more than one route of administering the vaccines of the present invention may be employed either simultaneously or sequentially (e.g., 30 boosting). In addition, the vaccines of the present invention may be employed in combination with traditional immunization approaches such as employing protein

antigens, vaccinia virus and inactivated virus, as vaccines. Thus, in one embodiment, the vaccines of the present invention are administered to a subject (the subject is "primed" with a vaccine of the present invention) and then a traditional vaccine is administered (the subject is "boosted" with a traditional vaccine). In another 5 embodiment, a traditional vaccine is first administered to the subject followed by administration of a vaccine of the present invention. In yet another embodiment, a traditional vaccine and a vaccine of the present invention are co-administered.

While not to be bound by any specific mechanism, it is believed that upon inoculation with a pharmaceutical composition as described herein, the immune system 10 of the host responds to the vaccine by producing antibodies, both secretory and serum, specific for one or more TAA or immunogenic fragments thereof; and by producing a cell-mediated immune response specific for one or more TAA or immunogenic fragments thereof. As a result of the vaccination, the host becomes at least partially or 15 completely immune to one or more TAA or immunogenic fragments thereof, or resistant to developing moderate or severe diseases caused by neoplasm.

In one aspect, methods are provided to alleviate, reduce the severity of, or 20 reduce the occurrence of, one or more of the symptoms associated with a neoplasm comprising administering an effective amount of a pharmaceutical composition comprising a recombinant MVA viral vector that comprises TAA and matrix protein sequences optionally co-expressing sequences that facilitate expression of and desired glycosylation the TAA.

In another aspect, the invention provides methods of providing anti-TAA 25 immunity comprising administering an effective amount of a pharmaceutical composition comprising a recombinant MVA vaccine expressing TAA and a viral matrix protein to permit the formation of VLPs.

It will also be appreciated that single or multiple administrations of the vaccine compositions of the present invention may be carried out. For example, subjects who are at particularly high risk of developing a neoplasm may require multiple 30 immunizations to establish and/or maintain protective immune responses. Levels of induced immunity can be monitored by measuring amounts of binding and neutralizing

secretory and serum antibodies as well as levels of T cells, and dosages adjusted or vaccinations repeated as necessary to maintain desired levels of protection.

In one embodiment, administration is repeated at least twice, at least 3 times, at least 4 times, at least 5 times, at least 6 times, at least 7 times, at least 8 times, or more than 8 times.

In one embodiment, administration is repeated twice.

In one embodiment, about 2-8, about 4-8, or about 6-8 administrations are provided.

In one embodiment, about 1-4-week, 2-4 week, 3-4 week, 1 week, 2 week, 3 week, 4 week or more than 4 week intervals are provided between administrations.

In one specific embodiment, a 4-week interval is used between 2 administrations.

In one embodiment, the invention provides a method of monitoring treatment progress. In exemplary embodiments, the monitoring is focused on biological activity, immune response and/or clinical response.

In one embodiment, the biological activity is a T-cell immune response, regulatory T-cell activity, molecule response (MRD), cytogenic response or conventional tumor response for example, in boht the adjuvant or advanced disease setting.

In one embodiment, immune response is monitored for example, by an immunse assay such as a cytotoxicity assay, an intracellular cytokine assay, a tetramer assay or an ELISPOT assay.

In one embodiment, clinical response is monitored for example by outcome using established definitions such as response (tumor regression), progression-free, recurrencefree, or overall survival.

In one embodiment, the method includes the step of determining a level of diagnostic marker marker (e.g., any target delineated herein modulated by a compound herein, a protein or indicator thereof, etc.) or diagnostic measurement (e.g., screen, assay) in a subject having received a therapeutic amount of a compound herein sufficient to treat the disease or symptoms thereof. The level of marker determined in the method can be compared to known levels of marker in either healthy normal controls or in other afflicted patients to establish the subject's disease status. In preferred embodiments, a second level of marker in the subject is determined at a time

point later than the determination of the first level, and the two levels are compared to monitor the course of disease or the efficacy of the therapy. In certain preferred embodiments, a pre-treatment level of marker in the subject is determined prior to beginning treatment according to this invention; this pre-treatment level of marker can 5 then be compared to the level of marker in the subject after the treatment commences, to determine the efficacy of the treatment.

In one embodiment, upon improvement of a subject's condition (e.g., a change (e.g., decrease) in the level of disease in the subject), a maintenance dose of a compound, composition or combination of this invention may be administered, if 10 necessary. Subsequently, the dosage or frequency of administration, or both, may be reduced, as a function of the symptoms, to a level at which the improved condition is retained. Patients may, however, require intermittent treatment on a long-term basis upon any recurrence of disease symptoms.

#### A. Dosage

15 The vaccines are administered in a manner compatible with the dosage formulation, and in such amount as will be therapeutically effective, immunogenic and protective. The quantity to be administered depends on the subject to be treated, including, for example, the capacity of the immune system of the individual to synthesize antibodies, and, if needed, to produce a cell-mediated immune response. 20 Precise amounts of active ingredient required to be administered depend on the judgment of the practitioner and may be monitored on a patient-by-patient basis. However, suitable dosage ranges are readily determinable by one skilled in the art and generally range from about  $5.0 \times 10^6$  TCID<sub>50</sub> to about  $5.0 \times 10^9$  TCID<sub>50</sub>. The dosage may also depend, without limitation, on the route of administration, the patient's state of 25 health and weight, and the nature of the formulation.

The pharmaceutical compositions of the invention are administered in such an amount as will be therapeutically effective, immunogenic, and/or protective against a neoplasm that expresses a TAA. The dosage administered depends on the subject to be treated (e.g., the manner of administration and the age, body weight, capacity of the 30 immune system, and general health of the subject being treated). The composition is administered in an amount to provide a sufficient level of expression that elicits an

immune response without undue adverse physiological effects. Preferably, the composition of the invention is a heterologous viral vector that includes one or TAAs or immunogenic fragments thereof and large matrix protein; and is administered at a dosage of, e.g., between  $1.0 \times 10^4$  and  $9.9 \times 10^{12}$  TCID<sub>50</sub> of the viral vector, preferably 5 between  $1.0 \times 10^5$  TCID<sub>50</sub> and  $1.0 \times 10^{11}$  TCID<sub>50</sub> pfu, more preferably between  $1.0 \times 10^6$  and  $1.0 \times 10^{10}$  TCID<sub>50</sub> pfu, or most preferably between  $5.0 \times 10^6$  and  $5.0 \times 10^9$  TCID<sub>50</sub>. The composition may include, e.g., at least  $5.0 \times 10^6$  TCID<sub>50</sub> of the viral vector (e.g.,  $1.0 \times 10^8$  TCID<sub>50</sub> of the viral vector). A physician or researcher can decide the appropriate amount and dosage regimen.

10 The composition of the method may include, e.g., between  $1.0 \times 10^4$  and  $9.9 \times 10^{12}$  TCID<sub>50</sub> of the viral vector, preferably between  $1.0 \times 10^5$  TCID<sub>50</sub> and  $1.0 \times 10^{11}$  TCID<sub>50</sub> pfu, more preferably between  $1.0 \times 10^6$  and  $1.0 \times 10^{10}$  TCID<sub>50</sub> pfu, or most preferably between  $5.0 \times 10^6$  and  $5.0 \times 10^9$  TCID<sub>50</sub>. The composition may include, e.g., at least  $5.0 \times 10^6$  TCID<sub>50</sub> of the viral vector (e.g.,  $1.0 \times 10^8$  TCID<sub>50</sub> of the viral vector).

15 The method may include, e.g., administering the composition to the subject two or more times.

The term "effective amount" is meant the amount of a composition administered to improve, inhibit, or ameliorate a condition of a subject, or a symptom of a disorder, in a clinically relevant manner (e.g., improve, inhibit, or ameliorate disease associated with 20 a neoplasm (e.g. cancer) or provide an effective immune response to a neoplasm). Any improvement in the subject is considered sufficient to achieve treatment. Preferably, an amount sufficient to treat is an amount that prevents the occurrence or one or more symptoms of disease associated with a neoplasm or is an amount that reduces the severity of, or the length of time during which a subject suffers from, one or more 25 symptoms of disease associated with a neoplasm (e.g., by at least 10%, 20%, or 30%, more preferably by at least 50%, 60%, or 70%, and most preferably by at least 80%, 90%, 95%, 99%, or more, relative to a control subject that is not treated with a composition of the invention). A sufficient amount of the pharmaceutical composition used to practice the methods described herein (e.g., the treatment of disease 30 associated with a neoplasm) varies depending upon the manner of administration and the age, body weight, and general health of the subject being treated.

It is important to note that the value of the present invention may never be demonstrated in terms of actual clinical benefit. Instead, it is likely that the value of the invention will be demonstrated in terms of success against a surrogate marker for protection. For an indication such as disease associated with a neoplasm, in which it is 5 impractical or unethical to attempt to measure clinical benefit of an intervention, the FDA's Accelerated Approval process allows approval of a new vaccine based on efficacy against a surrogate endpoint. Therefore, the value of the invention may lie in its ability to induce an immune response that constitutes a surrogate marker for protection.

Similarly, FDA may allow approval of vaccines against TAAs based on its Animal 10 Rule. In this case, approval is achieved based on efficacy in animals.

The composition of the method may include, e.g., between  $1.0 \times 10^4$  and  $9.9 \times 10^{12}$  TCID<sub>50</sub> of the viral vector, preferably between  $1.0 \times 10^5$  TCID<sub>50</sub> and  $1.0 \times 10^{11}$  TCID<sub>50</sub> pfu, more preferably between  $1.0 \times 10^6$  and  $1.0 \times 10^{10}$  TCID<sub>50</sub> pfu, or most 15 preferably between  $5.0 \times 10^6$  and  $5.0 \times 10^9$  TCID<sub>50</sub>. The composition may include, e.g., at least  $5.0 \times 10^6$  TCID<sub>50</sub> of the viral vector (e.g.,  $1.0 \times 10^8$  TCID<sub>50</sub> of the viral vector). The method may include, e.g., administering the composition two or more times.

In some instances it may be desirable to combine the TAA vaccines of the present invention with vaccines which induce protective responses to other agents, particularly other TAAs. For example, the vaccine compositions of the present invention 20 can be administered simultaneously, separately or sequentially with other genetic immunization vaccines such as those for influenza (Ulmer, J. B. et al., Science 259:1745-1749 (1993); Raz, E. et al., PNAS (USA) 91:9519-9523 (1994)), malaria (Doolan, D. L. et al., J. Exp. Med. 183:1739-1746 (1996); Sedegah, M. et al., PNAS (USA) 91:9866-9870 (1994)), and tuberculosis (Tascon, R. C. et al., Nat. Med. 2:888-25 892 (1996)).

#### B. Indications

In specific embodiments, the immunogenic vectors useful in the present methods may be administered to a subject with a neoplasm or a subject diagnosed with prostate, breast, lung, liver, endometrial, bladder, colon or cervical carcinoma; adenocarcinoma; 30 melanoma; lymphoma; glioma; or sarcomas such as soft tissue and bone sarcomas

In a further embodiment the invention is directed to the vectors of the invention for the treatment or prevention of cancer, including, but not limited to, neoplasms, tumors, metastases, or any disease or disorder characterized by uncontrolled cell growth, and particularly multidrug resistant forms thereof. The cancer can be a

5 multifocal tumor. Examples of types of cancer and proliferative disorders to be treated with the therapeutics of the invention include, but are not limited to, leukemia (e.g. myeloblastic, promyelocytic, myelomonocytic, monocytic, erythroleukemia, chronic myelocytic (granulocytic) leukemia, and chronic lymphocytic leukemia), lymphoma (e.g. Hodgkin's disease and non-Hodgkin's disease), fibrosarcoma, myxosarcoma,

10 liposarcoma, chondrosarcoma, osteogenic sarcoma, angiosarcoma, endotheliosarcoma, Ewing's tumor, colon carcinoma, pancreatic cancer, breast cancer, ovarian cancer, prostate cancer, squamous cell carcinoma, basal cell carcinoma, adenocarcinoma, renal cell carcinoma, hepatoma, Wilms' tumor, cervical cancer, uterine cancer, testicular tumor, lung carcinoma, small cell lung carcinoma, bladder carcinoma,

15 epithelial carcinoma, glioma, astrocytoma, oligodendrogloma, melanoma, neuroblastoma, retinoblastoma, dysplasia and hyperplasia. In a particular embodiment, therapeutic compounds of the invention are administered to patients having prostate cancer (e.g., prostatitis, benign prostatic hypertrophy, benign prostatic hyperplasia (BPH), prostatic paraganglioma, prostate adenocarcinoma, prostatic intraepithelial

20 neoplasia, prosto-rectal fistulas, and atypical prostatic stromal lesions). In an especially preferred embodiment the medicaments of the present invention are used for the treatment of cancer, glioma, liver carcinoma and/or colon carcinoma. The treatment and/or prevention of cancer includes, but is not limited to, alleviating symptoms associated with cancer, the inhibition of the progression of cancer, the promotion of the

25 regression of cancer, and the promotion of the immune response.

As used herein, the term neoplasm refers to an abnormal growth of tissue. A neoplasm may be benign or malignant. Generally, a malignant neoplasm is referred to as a cancer. Cancers differ from benign neoplasms in the ability of malignant cells to invade other tissues, either by direct growth into adjacent tissue through invasion or by implantation into distant sites by metastasis (i.e., transport through the blood or

lymphatic system). The methods of the present invention are suitable for the treatment of benign and malignant neoplasms (cancer).

As defined herein a superficial neoplasm is one located on the outer surface of the body that has confined itself and not spread to surrounding tissues or other parts of the body. An internal neoplasms located on an internal organ or other internal part of the body. An invasive neoplasm is a neoplasm that has started to break through normal tissue barriers and invade surrounding areas, e.g., an invasive breast cancer that has spread beyond the ducts and lobules

A non-exclusive list of the types of neoplasms contemplated for treatment by the method disclosed herein includes the following categories: (a) abdominal neoplasms including peritoneal neoplasms and retroperitoneal neoplasms; (b) bone neoplasms including femoral neoplasms, skull neoplasms, jaw neoplasms, mandibular neoplasms, maxillary neoplasms, palatal neoplasms, nose neoplasms, orbital neoplasms, skull base neoplasms, and spinal neoplasms; (c) breast neoplasms including male breast neoplasms, breast ductal carcinoma, and phyllodes tumor; (d) digestive system neoplasms including biliary tract neoplasms, bile duct neoplasms, common bile duct neoplasms, gall bladder neoplasms, gastrointestinal neoplasms, esophageal neoplasms, intestinal neoplasms, cecal neoplasms, appendiceal neoplasms, colorectal neoplasms, colorectal adenomatous polyposis coli, colorectal Gardner Syndrome, colonic neoplasms, colonic adenomatous polyposis coli, colonic Gardner Syndrome, sigmoid neoplasms, hereditary nonpolyposis colorectal neoplasms, rectal neoplasms, anus neoplasms, duodenal neoplasms, ileal neoplasms, jejunal neoplasms, stomach neoplasms, liver neoplasms, liver cell adenoma, hepatocellular carcinoma, pancreatic neoplasms, islet cell adenoma, insulinoma, islet cell carcinoma, gastrinoma, 25 glucagonoma, somatostatinoma, vipoma, pancreatic ductal carcinoma, and peritoneal neoplasms; (e) endocrine gland neoplasms including adrenal gland neoplasms, adrenal cortex neoplasms, adrenocortical adenoma, adrenocortical carcinoma, multiple endocrine neoplasia, multiple endocrine neoplasia type 1, multiple endocrine neoplasia type 2a, multiple endocrine neoplasia type 2b, ovarian neoplasms, granulosa cell tumor, 30 luteoma, Meigs' Syndrome, ovarian Sertoli-Leydig cell tumor, thecoma, pancreatic neoplasms, paraneoplastic endocrine syndromes, parathyroid neoplasms, pituitary

neoplasms, Nelson Syndrome, testicular neoplasms, testicular Sertoli-Leydig cell tumor, and thyroid neoplasms (f) eye neoplasms including conjunctival neoplasms, orbital neoplasms, retinal neoplasms, retinoblastoma, uveal neoplasms, choroid neoplasms, and iris neoplasms; (g) brain, head and neck neoplasms including esophageal neoplasms, facial neoplasms, eyelid neoplasms, mouth neoplasms, gingival neoplasms, oral leukoplakia, hairy leukoplakia, lip neoplasms, palatal neoplasms, salivary gland neoplasms, parotid neoplasms, sublingual gland neoplasms, submandibular gland neoplasms, tongue neoplasms, otorhinolaryngologic neoplasms, ear neoplasms, laryngeal neoplasms, nose neoplasms, paranasal sinus neoplasms, maxillary sinus neoplasms, pharyngeal neoplasms, hypopharyngeal neoplasms, nasopharyngeal neoplasms, nasopharyngeal neoplasms, oropharyngeal neoplasms, tonsillar neoplasms, parathyroid neoplasms, thyroid neoplasms, and tracheal neoplasms; (h) hematologic neoplasms including bone marrow neoplasms; (i) nervous system neoplasms including central nervous system neoplasms, brain neoplasms, cerebral ventricle neoplasms, choroid plexus neoplasms, choroid plexus papilloma, infratentorial neoplasms, brain stem neoplasms, cerebellar neoplasms, neurocytoma, pinealoma, supratentorial neoplasms, hypothalamic neoplasms, pituitary neoplasms, Nelson Syndrome, cranial nerve neoplasms, optic nerve neoplasms, optic nerve glioma, acoustic neuroma, neurofibromatosis 2, nervous system paraneoplastic syndromes, 20 Lambert-Eaton myasthenic syndrome, limbic encaphalitis, transverse myelitis, paraneoplastic cerebellar degeneration, paraneoplastic polyneuropathy, peripheral nervous system neoplasms, cranial nerve neoplasms, acoustic neuroma, and optic nerve neoplasms; (j) pelvic neoplasms; (k) skin neoplasms including acanthoma, sebaceous gland neoplasms, sweat gland neoplasms and basal cell carcinoma; (l) soft tissue neoplasms including muscle neoplasms and vascular neoplasms; (m) splenic neoplasms; (n) thoracic neoplasms including heart neoplasms, mediastinal neoplasms, respiratory tract neoplasms, bronchial neoplasms, lung neoplasms, bronchogenic carcinoma, non-small-cell lung carcinoma, pulmonary coin lesion, Pancoasts's Syndrome, pulmonary blastoma, pulmonary sclerosing hemangioma, pleural neoplasms, malignant pleural effusion, tracheal neoplasms, thymus neoplasms, and thymoma; (o) urogenital neoplasms including female genital neoplasms, fallopian tube

neoplasms, uterine neoplasms, cervix neoplasms, endometrial neoplasms, endometrioid carcinoma, endometrial stromal tumors, endometrial stromal sarcoma, vaginal neoplasms, vulvar neoplasms, male genital neoplasms, penile neoplasms, prostatic neoplasms, testicular neoplasms, urologic neoplasms, bladder neoplasms, 5 kidney neoplasms, renal cell carcinoma, nephroblastoma, Denys-Drash Syndrome, WAGR Syndrome, mesoblastic nephroma, ureteral neoplasms and urethral neoplasms; (p) and additional cancers including renal carcinoma, lung cancer, melanoma, leukemia, Barrett's esophagus, metaplasia pre-cancer cells.

In one embodiment, the immune response stimulating vectors described herein 10 express MUC1 or an immunogenic fragment thereof and are particularly useful for treating Adenocarcinomas (breast, colorectal, pancreatic, other), Carcinoid tumor, Chordoma, Choriocarcinoma, Desmoplastic small round cell tumor (DSRCT), Epithelioid sarcoma, Follicular dendritic cell sarcoma, interdigitating dendritic cell / reticulum cell sarcoma, Lung: type II pneumocyte lesions (type II cell hyperplasia, dysplastic type II 15 cells, apical alveolar hyperplasia), Anaplastic large-cell lymphoma, diffuse large B cell lymphoma (variable), plasmablastic lymphoma, primary effusion lymphoma, Epithelioid mesotheliomas, Myeloma, Plasmacytomas, Perineurioma, Renal cell carcinoma, Synovial sarcoma (epithelial areas), Thymic carcinoma (often), Meningioma or Paget's disease.

20 C. Administration

As used herein, the term "administering" refers to a method of giving a dosage of 25 a pharmaceutical composition of the invention to a subject. The compositions utilized in the methods described herein can be administered by a route selected from, e.g., parenteral, dermal, transdermal, ocular, inhalation, buccal, sublingual, perilingual, nasal, rectal, topical administration, and oral administration. Parenteral administration includes intravenous, intraperitoneal, subcutaneous, intraarterial, intravascular, and intramuscular administration. The preferred method of administration can vary depending on various factors (e.g., the components of the composition being administered and the severity of the condition being treated).

30 Administration of the pharmaceutical compositions (e.g., vaccines) of the present invention can be by any of the routes known to one of skill in the art. Administration may

be by, e.g., intramuscular injection. The compositions utilized in the methods described herein can also be administered by a route selected from, e.g., parenteral, dermal, transdermal, ocular, inhalation, buccal, sublingual, perilingual, nasal, rectal, topical administration, and oral administration. Parenteral administration includes intravenous, 5 intraperitoneal, subcutaneous, and intramuscular administration. The preferred method of administration can vary depending on various factors, e.g., the components of the composition being administered and the severity of the condition being treated.

In addition, single or multiple administrations of the compositions of the present invention may be given to a subject. For example, subjects who are particularly 10 susceptible to developing a neoplasm may require multiple treatments to establish and/or maintain protection against the neoplasm. Levels of induced immunity provided by the pharmaceutical compositions described herein can be monitored by, e.g., measuring amounts of neutralizing secretory and serum antibodies. The dosages may then be adjusted or repeated as necessary to maintain desired levels of protection 15 against development of a neoplasm or to reduce growth of a neoplasm.

Increased vaccination efficacy can be obtained by timing the administration of the vector. Any of the priming and boosting compositions described above are suitable for use with the methods described here.

In one embodiment, MVA vectors are used for both priming and boosting 20 purposes. Such protocols include but are not limited to MM, MMM, and MMMM.

In some embodiments, one, two, three, four, five, six, seven, eight, nine, ten or more than ten MVA boosts are administered.

Vectors can be administered alone (i.e., a plasmid can be administered on one or 25 several occasions with or without an alternative type of vaccine formulation (e.g., with or without administration of protein or another type of vector, such as a viral vector)) and, optionally, with an adjuvant or in conjunction with (e.g., prior to) an alternative booster immunization (e.g., a live-vectored vaccine such as a recombinant modified vaccinia Ankara vector (MVA)) comprising an insert that may be distinct from that of the "prime" portion of the immunization or may be a related vaccine insert(s). For example, GM- 30 CSF or other adjuvants known to those of skill in the art. The adjuvant can be a "genetic adjuvant" (i.e., a protein delivered by way of a DNA sequence).

In exemplary embodiments, the present invention is an immunization method comprising (i) administering a priming composition comprising a DNA plasmid comprising one or more sequences encoding a TAA or immunogenic fragment thereof; (ii) administering a first dose of a boosting composition comprising a modified vaccinia 5 Ankara viral vector comprising one or more genes encoding a TAA or immunogenic fragment thereof; and (iii) administering a second dose of a boosting composition between about 12 and 20 weeks after the first dose, more particularly between about 14 and about 18 weeks after the first dose, even more particularly, about 16 weeks after the first dose.

10 In a particular embodiment, the TAA are the same in step (i)-(iii). Optionally, the method further comprises one or more additional steps, including, for example, the administration of one or more additional doses of the priming composition or a different priming composition (i.e., a second priming composition) and/or one or more additional doses of the boosting composition or a different boosting composition (i.e., a second 15 boosting composition).

The claimed invention is further described by way of the following non-limiting examples. Further aspects and embodiments of the present invention will be apparent to those of ordinary skill in the art, in view of the above disclosure and following experimental exemplification, included by way of illustration and not limitation, and with 20 reference to the attached figures.

## EXAMPLES

### EXAMPLE 1. MVA vaccine vectors

This Example provides information on exemplary MVA vaccine vectors. An MVA 25 vaccine is constructed using MVA strain 1974/NIH that has been genetically modified to express two other genes: the VP40 protein of Marburgvirus and a chimeric protein consisting of portions of the human MUC1 protein and of the Marburgvirus glycoprotein (GP). The chimeric MUC1/GP gene has a particular construction of encoding 30 transmembrane protein with an extracellular domain derived from the human MUC1 gene, a transmembrane domain derived from the glycoprotein of Marburgvirus, and the intracellular domain of human MUC1 gene. The methods for creating the MUC1/GP

chimeric protein are given in detail in EXAMPLE 2 below. The methods for generating an MVA vaccine genetically modified to express the MUC1/GP and VP40 proteins and the characterization of the hypoglycosylation status of the MUC1 thereby encoded are given in detail in EXAMPLE 3 below.

5

Table 2 lists the accession numbers for the GenBank sequences used for design of the MVA vaccine vectors of this invention

Table 2. MVA vaccine vectors of this invention, source of sequences	
Design element	GenBank accession numbers for source sequence
MUC1	NM_001204285
Marburgvirus GP	JX458834
Marburgvirus VP40	JX458834

#### EXAMPLE 2. Sequence Optimization

10 Example 2 illustrates the process for optimization of MUC1 sequences for use in an MVA vaccine vector. This Example shows the optimization of MUC1 sequence which is included in GEO-MUC1. The process followed for vaccines against other strains is highly similar, involving the same set of operations.

##### Muc1/4TR Gene Optimization

15

1. Start with the natural sequence
  - Homo sapiens mucin 1: NCBI Reference Sequence: NM\_001204285.1
  - Copy/paste the sequence from GenBank and Save as a SeqBuiler file: Muc1-1TR\_001204285

20

## Muc1 Sequence containing Only 1 Tandem Repeat (1428 nt) (SEQ ID NO:5)

5 ATGACACCGGGCACCCAGTCTCCTTCTTCCTGCTGCTGCTCCTCACAGTGCTTACAGTTGTTACGGGTTCTGGTCA  
 TGCAAGCTCTACCCAGGTGGAGAAAAGGAGACTTCGGTACCCAGAGAAGTCAGTGCCCAGCTCTACTGAGAAGA  
 ATGCTGTGAGTATGACCAGCAGCGTACTCTCAGCCACAGCCCCGTTAGGCTCCTCACCACCTAGGGACAGGAT  
 GTCACTCTGGCCCCGGCACAGGAACCAGCTTCAGGTTAGCTGCCACCTGGGGACAGGATGTCACCTCGGTCCAGT  
 CACCCAGGCCAGCCCTGGGCTCCACCAACCCGCCAGCCCACGATGTCACCTCAGCCGGACAACAAGCCAGCCCCGG  
 GCTCCACCGCCCCCAGGCCCCACGGTGTACCTCGGCCCCGGACACCAGGCCGGCCCCGGCTCCACCGCCCCCAGA  
 10 GCCCATGGTGTACCTCGGCCCCGGACAACAGGCCGCTTGGGCTCCACCGCCCCCTCAGTCACCAATGTCACCTC  
 GGCTCAGGCTCTGCATCAGGCTCAGCTACTCTGGTGCACAAACGGCACCTCTGCCAGGGCTACACAAACCCAG  
 CCAGCAAGAGACTCCATTCTCAATTCCAGCCACCACCTGATAACTCTACCACCCCTGCCAGGCATAGCACCAAG  
 ACTGATGCCAGTAGCAGTCACCATAGCACGGTACCTCTCACCTCCTCAATCACAGCACTTCTCCCCAGTTGTC  
 TACTGGGGCTCTTTCTTTCTGTCTTTCACATTCAAACCTCAGTTAATTCTCTCTGGAGATCCCAGCA  
 15 CCGACTACTACCAAGAGACTGCAGAGAGACATTCTGAATGTTTGAGATTATAAAACAAGGGGGTTCTGGC  
 CTCTCCAATATTAAGTTAGGCCAGGATCTGTTGGTACAATTGACTCTGGCCTTCCGAGAAGGTACCATCAATGT  
 CCACGACGTGGAGACACAGTTCAATCAGTATAAAACGGAAGCAGCCTCTCGATATAACCTGACGATCTCAGACGTCA  
 GCGTGAGTGTGTCATGCCATTCTCTGCCAGTCTGGGCTGGGTGCCCAGGCTGGGCATCGCCTGCTGGTG  
CTGGTCTGTGTTCTGGTGTGCGCTGCCATTGTCATTGCTTCATTGCCATTGGCTGTCTGTCAGTGC  
CGGCCAGCTGGACATCTTCCAGCCGGATACCTACCATCCTATGAGCAGTACCCACCTACCACACCCATGGC  
GCTATGTGCCCTAGCAGTACCGATCGTAGCCCTATGAGAAGGTTCTGCAGGTAATGGTGGCAGCAGCCTCT  
TACACAAACCCAGCAGTGGCAGCCACTCTGCCAACTTGTAG  
 20

## Muc1/1TR protein (475 aa) (SEQ ID NO:6)

25 MTPGTQSPFFLLLLTVLTVVTGSGHASSTPGGEKETSATQRSSVPSSTEKNAVSMTSSVLSHS PGGSSTTQGQD  
 VTLAPATEPASGSAAATWGQDVTSPVTRPALGSTTPPAHDVTSAPDNKPAPGSTAPPAHGVTSAPDTRPAPGSTAPP  
 AHGVTSAPDNRPALGSTAPPVHNVTASAGSASGSASTLVHNGTSARATTPASKSTPFSIPTSHSDPTTLASHSTK  
 TDASSTHSTVPPLTSSNHSTPQLSTGVSFFFLSFHISNLQFNSSLLEDSTDYYQELQRDISEMFLQIYKQGGFLG  
 LSNIKFRPGSVVQQLTLAFREGTINVHDVETQFNQYKTEAASRYNLTISDVSVDVPFFSAQSGAGVPGWGIALLV  
LVCVLVALAIVYLIALAVCQCRRKNYGOLDIFPARDTYHPMSEYPTYHTHGRYVPPSSTD  
YTNPAVAATSANL  
 30

## Sequence Key:

**BOX:** Signal Peptide

***ITALICS BOX:*** Tandem Repeats

**BOLD:** Transmembrane Domain

**UNDERLINE:** Cytoplasmic Tail

2. GeoVax decided to go with a Muc1 gene that contain 4 Tandem Repeats

- Add for extra Tandem Repeats on the Muc1-1TR\_001204285.
- Name the new sequence as: GVX-Muc1/4TR.01

## GeoVax Muc1/4TR sequence (1608 nt) (SEQ ID NO:7)

ATGACACCAGGCACCCAGTCTCCTTCTTCTGCTGCTGCTCCTCACAGTGCTTACAGTTACGGGTTCTGGTC  
 5 ATGCAAGCTCTACCCCAGGTGGAGAAAAGGAGACTCGGCTACCCAGAGAAGTTAGTGCAGGCCAGCTACTGAGAA  
 GAATGCTGTGAGTATGACCAGCAGCGTACTCTCCAGGCCACAGCCCCGGTTAGGCTCCTCCACCACCTAGGGACAG  
 GATGTCACTCTGGCCCCGGCCACCGAACAGCTCAGGTTAGCTGCCACCTGGGACAGGATGTCACCTCGGTCC  
 CAGTCACCAGGCCAGCCCTGGGCTCCACCACCCGCCAGCCCACGATGTCACCTCAGCCCCGGACAACAAGCCAGC  
 CCCGGCTCACCAGCCCCCAGCAGGGTGTACCTCGGCCCCGGACACCAGGGGGGGGGCTCCACCGCCCCCAGCAGG  
 10 CCCAGCCCACGGTGTACCTCGGCCCCGGACACCAGGCCGGGGCTCCACCGCCCCCAGCCCACGGTGTACCTCGGCCCCGG  
 TCACCTCGGCCCCGGACACCAGGCCGGGGCTCCACCGCCCCCAGCCCACGGTGTACCTCGGCCCCGG  
 CACCAGGCCGGCCCCGGGCTCCACCGCCCCCAGCAGGGTGTACCTCGGCCCCGGACACCAGGGCCGGGGCTCCACCG  
 GGCTCCACCGCCCCCTCAGTCCACAATGTCACCTCGGCCTCAGGCTCTGCACTCAGGCTCAGCTTACTCTGGTG  
 ACAACGGCACCTCTGCAGGGCTACCACAACCCAGCCAGCAAGAGCACTCCATTCTCAATTCCAGGCCACACTC  
 15 TGATACTCTTACCAACCCCTGCAAGCCATAGCACCAAGACTGATGCCAGTAGCACTCACCATAGCACGGTACCTCCT  
 CTCACCTCTCCAATCACAGCACTCTCCCCAGTTGTTACTGGGGTCTCTTCTTTCTGTCTTTCACATT  
 CAAACCTCCAGTTAATTCTCTGGAAAGATCCCAGCAGCAGACTACTACCAAGAGCTGCAGAGAGACATTCTGA  
 AATGTTTTGAGATTATAAACAAAGGGGGTTCTGGGCTCTCCAATATTAAGTTCAGGCCAGGATCTGGGTG  
 20 GTACAATTGACTCTGGCCTTCCAGAGGATCCATCAATGTCACAGCAGTGGAGACACAGTTCAATCAGTATAAAA  
 CGGAAGCAGCCTCTCGATATAACCTGACGATCTCAGACGTAGCTGAGTGTGCCATTCTCTGCCA  
 GTCTGGGGCTGGGTGCCAGGCTGGGCACTCGCAGCTGGTCTGTGTCTGGTGCCTGGCATTGTC  
 TATCTCATTGCCATTGGCTGTCTGTCAGTGCCGCCGAAAGAACTACGGCAGCTGGACATCTTCCAGGCCGGATA  
 CCTACCATCCTATGAGCGAGTACCCACCTACCACACCCATGGCGCTATGTGCCCTAGCAGTACCGATCGTAG  
 CCCCTATGAGAAGGTTCTGCAGGTAATGGTGGCAGCAGCCTCTTACACAAACCCAGCAGTGGCAGCCACTTCT  
 25 GCCAATTGAG

## Muc1/4TR protein (535 aa) (SEQ ID NO:8)

MTPGTQSPFFLLLLLTVLT VVTGSGHASSTPGGEKETSATQRSSVPSSTEKNAVSMTSSVLSHSPGSGSSTTQGQ  
 DVTLAPATEPASGSAAWTQDVTSPVTRPALGTTPPAHDVTSAPDNKPAPGSTAPP **AHGVTSA**PDTRPAPGSTA  
 PPA**AHGVTSA**PDTRPAPGSTAPP **AHGVTSA**PDTRPAPGSTAPP **AHGVTSA**PDTRPAPGSTAPP **AHGVTSA**PDTRPAPGSTAPP  
 30 GSTAPPVHNVTSAAGSASGSASTLVHNGTSARATTPASKSTPFSIPSHSDTPTTLASHSTKTDASSTHHSVPP  
 LTSSNHSTPQLSTGVSSFFLSFHSNLQFNSSLEDPSTDYYQELQRDISEMFLQIYKQGGFLGLSNIKFRRPGSVV  
 VQLTLAFREGTINVHDVETQFNQYKTEAASRYNLTISDVSVDVPFPFSAQSGAGV**PGWGI**ALLLVCVLVALAIV  
 YL**I**ALAVC**Q**C**RRK**NY**G**OLD**I**FPARDTYHPMSEYPTYHTHGRYVPPSSTD**R**SPYE**K**VSAGNGSSLSYTNPAVAATS  
 ANL

## Sequence Key:

35 **BOX**: Signal Peptide

**ITALICS BOX** **BOLD BOX**: Sequential Tandem Repeats

**BOLD**: Transmembrane Domain

**UNDERLINE**: Cytoplasmic Tail

40

- Align Muc1-1TR\_001204285 sequence with GVX-Muc1/4TR.01

CLUSTAL 2.1 Multiple Sequence Alignments  
 Sequence: Muc1/1TR 1428 aa (Muc1-1TR\_001204285) (SEQ ID NO:5)  
 Sequence: Muc1/4TR 1608 aa (GVX-Muc1/4TR.01) (SEQ ID NO:7)

5 Muc1/1TR ATGACACCGGGCACCCAGTCTCCTTCTTCTGCTGCTGCTCACAGTGCTTACAGTT  
 Muc1/4TR ATGACACCGGGCACCCAGTCTCCTTCTTCTGCTGCTGCTCACAGTGCTTACAGTT  
 \*\*\*\*\*  
 10 Muc1/1TR GTTACGGGTTCTGGTCATGCAAGCTCTACCCAGGTGGAGAAAAGGAGACTTCGGCTACC  
 Muc1/4TR GTTACGGGTTCTGGTCATGCAAGCTCTACCCAGGTGGAGAAAAGGAGACTTCGGCTACC  
 \*\*\*\*\*  
 15 Muc1/1TR CAGAGAAAGTTCACTGCCCCAGCTCTACTGAGAGAAATGCTGTGAGTATGACCAGCAGCGTA  
 Muc1/4TR CAGAGAAAGTTCACTGCCCCAGCTCTACTGAGAGAAATGCTGTGAGTATGACCAGCAGCGTA  
 \*\*\*\*\*  
 20 Muc1/1TR CTCTCAGCCACAGCCCCGGTTCAAGGCTCCCTCACCCTCAGGGACAGGATGTCACTCTG  
 Muc1/4TR CTCTCAGCCACAGCCCCGGTTCAAGGCTCCCTCACCCTCAGGGACAGGATGTCACTCTG  
 \*\*\*\*\*  
 25 Muc1/1TR GCCCCGGCACCGAACAGCTTCAGGTTCAAGGTTCACTGCCCCACCTGGGGACAGGATGTCACCTCG  
 Muc1/4TR GCCCCGGCACCGAACAGCTTCAGGTTCACTGCCCCACCTGGGGACAGGATGTCACCTCG  
 \*\*\*\*\*  
 30 Muc1/1TR GTCCCAGTCACCAGGCCAGCCCCGGCTCCACCCACCCCGCCAGCCCCACGATGTCACCTCA  
 Muc1/4TR GTCCCAGTCACCAGGCCAGCCCCGGCTCCACCCACCCCGCCAGCCCCACGATGTCACCTCA  
 \*\*\*\*\*  
 35 Muc1/1TR GCCCCGGACACCAGGCCAGGGGGCTCCACCCACCCCGCCAGCCCCACGGTGTACCTCG  
 Muc1/4TR GCCCCGGACACCAGGCCAGGGGGCTCCACCCACCCCGCCAGCCCCACGGTGTACCTCG  
 \*\*\*\*\*  
 40 Muc1/1TR -----  
 Muc1/4TR GCCCCGGACACCAGGCCAGGGGGCTCCACCCACCCCGCCAGCCCCACGGTGTACCTCG  
 -----  
 45 Muc1/1TR -----  
 Muc1/4TR GCTCCACCGCCCCCCCCAGCCCCATGGTGTACCTCG  
 GCCCCGGACACCAGGCCAGGGGGCTCCACCCACCCCGCCAGCCCCACGGTGTACCTCG  
 \*\*\*\*\*  
 50 Muc1/1TR GCCCCGGACAACAGGCCAGCCCCGGCTCCACCCACCCCGCCAGTCCACATGTCACCTCG  
 Muc1/4TR GCCCCGGACAACAGGCCAGCCCCGGCTCCACCCACCCCGCCAGTCCACATGTCACCTCG  
 \*\*\*\*\*  
 55 Muc1/1TR GCCTCAGGCTCTGCATCAGGCTCAGTTCTACTCTGGTGACAACGGCACCTCTGCCAGG  
 Muc1/4TR GCCTCAGGCTCTGCATCAGGCTCAGTTCTACTCTGGTGACAACGGCACCTCTGCCAGG  
 \*\*\*\*\*  
 60 Muc1/1TR ACTCCTACCACCTTGCCAGCCATAGCACAAGACTGATGCCAGTAGCAGTCACTCACCCTAGC  
 Muc1/4TR ACTCCTACCACCTTGCCAGCCATAGCACAAGACTGATGCCAGTAGCAGTCACTCACCCTAGC  
 \*\*\*\*\*  
 65 Muc1/1TR ACGGTACCTCCTCACCTCCAATCACAGCAGTCTCCCCAGTTGCTACTGGGTC  
 Muc1/4TR ACGGTACCTCCTCACCTCCAATCACAGCAGTCTCCCCAGTTGCTACTGGGTC  
 \*\*\*\*\*  
 70 Muc1/1TR TCTTTCTTTCTGTCTTCACATTTCAAACCTCCAGTTAACCTCTGGAAGAT  
 Muc1/4TR TCTTTCTTTCTGTCTTCACATTTCAAACCTCCAGTTAACCTCTGGAAGAT  
 \*\*\*\*\*

	Muc1/1TR	CCCAGCACCGACTACTACCAAGAGCTGCAGAGAGACATTCTGAAATGTTTGAGATT
	Muc1/4TR	CCCAGCACCGACTACTACCAAGAGCTGCAGAGAGACATTCTGAAATGTTTGAGATT *****
5	Muc1/1TR	TATAAACAAAGGGGGTTTCTGGGCCTCTCCAATTAAGTCAGGCCAGGATCTGTGGTG
	Muc1/4TR	TATAAACAAAGGGGGTTTCTGGGCCTCTCCAATTAAGTCAGGCCAGGATCTGTGGTG *****
10	Muc1/1TR	GTACAATTGACTCTGGCCTCCGAGAAGGTACCATCAATGTCCACGACGTGGAGACACAG
	Muc1/4TR	GTACAATTGACTCTGGCCTCCGAGAAGGTACCATCAATGTCCACGACGTGGAGACACAG *****
15	Muc1/1TR	TTCAATCAGTATAAAACGGAAGCAGCCTCTCGATATAACCTGACGATCTCAGACGTAGC
	Muc1/4TR	TTCAATCAGTATAAAACGGAAGCAGCCTCTCGATATAACCTGACGATCTCAGACGTAGC *****
20	Muc1/1TR	GTGAGTGATGTGCCATTCTCTCTGCCAGTCTGGGCTGGGTGCCAGGCTGGGC
	Muc1/4TR	GTGAGTGATGTGCCATTCTCTCTGCCAGTCTGGGCTGGGTGCCAGGCTGGGC *****
25	Muc1/1TR	ATCGCGCTGCTGGTGCCTGTTCTGGTGCCTGGGCTGGGCATTGTCTATCTCATTGCC
	Muc1/4TR	ATCGCGCTGCTGGTGCCTGTTCTGGTGCCTGGGCTGGGCATTGTCTATCTCATTGCC *****
30	Muc1/1TR	TTGGCTGTCTGTCAGTGCCCGAAAGAACTACGGGCAGCTGGACATCTTCCAGCCGG
	Muc1/4TR	TTGGCTGTCTGTCAGTGCCCGAAAGAACTACGGGCAGCTGGACATCTTCCAGCCGG *****
35	Muc1/1TR	CCTAGCAGTACCGATCGTAGCCCTATGAGCAGTACCCACCTACCACACCCATGGCGCTATGTGCC
	Muc1/4TR	CCTAGCAGTACCGATCGTAGCCCTATGAGCAGTACCCACCTACCACACCCATGGCGCTATGTGCC *****
40	Muc1/1TR	CTCTCTTACACAAACCCAGCAGTGGCAGCCACTTCTGCCAACTTGTAG
	Muc1/4TR	CTCTCTTACACAAACCCAGCAGTGGCAGCCACTTCTGCCAACTTGTAG *****

CLUSTAL 2.1 Multiple Sequence Alignments  
Sequence format is Pearson

Sequence: Muc1/1TR 475 aa (Muc1-1TR\_001204285) (SEQ ID NO:6)

5 Sequence: Muc1/4TR 535 aa (GVX-Muc1/4TR.01) (SEQ ID NO:8)

Alignment Score 2859

10

Muc1/1TR MTPGTQSPFFLLLLLTVLTVVTGSGHASSTPGGEKETSATQRSSVPSSTEKNAVSMTSSV  
Muc1/4TR MTPGTQSPFFLLLLLTVLTVVTGSGHASSTPGGEKETSATQRSSVPSSTEKNAVSMTSSV  
\*\*\*\*\*

15

Muc1/1TR LSSHSPGSGSSTTQGQDVTIAPATEPASGSAATWGQDVTSPVTRPALGSTTPAHDVTS  
Muc1/4TR LSSHSPGSGSSTTQGQDVTIAPATEPASGSAATWGQDVTSPVTRPALGSTTPAHDVTS  
\*\*\*\*\*

20

Muc1/1TR APDNKPAPGSTAPPAHGVTSAPDTRPAP-----  
Muc1/4TR APDNKPAPGSTAPPAHGVTSAPDTRPAPGSTAPPAHGVTSAPDTRPAPGSTAPPAHGVTS  
\*\*\*\*\*

25

Muc1/1TR -----GSTAPPAHGVTSAPDNRPALGSTAPPVHNVTS  
Muc1/4TR APDTRPAPGSTAPPAHGVTSAPDTRPAPGSTAPPAHGVTSAPDNRPALGSTAPPVHNVTS  
\*\*\*\*\*

30

Muc1/1TR ASGSASGSASTLVHNGTSARATTPASKSTPFSIPSHHSDTPTTLASHSTKTDASSTHHS  
Muc1/4TR ASGSASGSASTLVHNGTSARATTPASKSTPFSIPSHHSDTPTTLASHSTKTDASSTHHS  
\*\*\*\*\*

35

Muc1/1TR TVPPLTSSNHSTS PQLSTGVSSFFLSFHI SNLQFNSSLEDPSTDYYQELQRDI SEMFLQI  
Muc1/4TR TVPPLTSSNHSTS PQLSTGVSSFFLSFHI SNLQFNSSLEDPSTDYYQELQRDI SEMFLQI  
\*\*\*\*\*

40

Muc1/1TR YKQGGFLGLSNIKFRPGS VVVQLTLAFREGTINVHDVETQFNQYKTEAASRYNLTISDVS  
Muc1/4TR YKQGGFLGLSNIKFRPGS VVVQLTLAFREGTINVHDVETQFNQYKTEAASRYNLTISDVS  
\*\*\*\*\*

50

To increase the efficiency of the incorporation of Muc1 into Marburg VP40-based VLPs, the transmembrane domain of Muc1 was replaced with the transmembrane domain of the Marburg virus glycoprotein.

55

## Marburg Glycoprotein Sequence (TM sequence position 1930-2019 on Marburg GP)

(SEQ ID NO:9)

5 ATGTGGACTACATGCTTCTTATCAGTCTCATCTTGATCCAAGGGATAAAAACCTCTCCCTATTTGGAGATAGCCAG  
 TAACGATCAACCCCAAATGTGGATTGGTATGCTCCGGAACCTCTCCAGAAAACAGAAGACGTCCATCTGATGGGAT  
 TTACACTGAGGGGGCAGAAAGTTGCTGATTCCCTTGGAGGCATCCAAGCGATGGGCTTCAGGACAGGTGTACCT  
 CCTAAGAATGTTGAGTATACGGAAGGGGAGGAAGGCCAAACATGCTACAATATAAGTGTAAACGGATCCCTCTGGAAA  
 ATCCTTGCTGTTAGATCCTCCACCAACGTCGAGACTATCCTAAATGCAAACACTATCCATCACATTCAAGGTCAA  
 10 ACCCTCATGCGCAGGGATGCCCTCCATTGTGGGGAGCATTTCCTATATGATCGCATTGCTCCACAACAAATG  
 TACCGAGGCAAAGTCTTCACTGAAGGGAACATAGCAGCCATGATTGTCATAAAGACAGTGCACAAATGATTTCTC  
 GAGGCAAGGACAAGGGTACCGTCACATGAATCTGACTTCTACTAATAAAATATTGGACAAGTAGCAACGGAACGCAA  
 CAAATGACACTGGATGCTTGGTACTCTTCAAGAATACAATTCTACGAAGAACCAAACATGTGCTCCGTCTAAACACA  
 CCCCCACCACGCCACAGCCATCCGGAGATCAAACCCACAAGCACCCAAACCGATGCCACTAGACTCAACACCAC  
 15 AAACCCAAACAGTGATGATGAGGATCTCACACATCCGGCTCAGGGTCTGGGGAACAGGAACCCCTATACGACTCTG  
 ATGCGGTCACTAAGCAAGGGCTTCATCAACAATGCCACCCACTCTCACCGCAACCAGGCACGCCACAGCAAGGA  
 GGAAACAAACACAAACACTCCAAAGACGCTGCAACTGAACATTGACAACACCAAATACAACACTGCACAAACGCCATGCC  
 CTCCCACAAACACCACAAATCTCCACCAACAAACACCTCCAAACACACCTCAGCACCCCTCTCGAACCCACCAAA  
 20 ACACCACCAATCCAAACACAAAGCATGGCACTGAAAATGAGAAAACAGTGCACCCCGAAAACAACCCCTGCCT  
 CCAACAGAAAGTCCTACACAGAAAAGAGCACCAACAATACAAAAGCCCCACCAATGGAACCAAATACAACAAA  
 CGGACATTCACTAGTCCTCCTCCACCCCCAACCTGACTACTCAACATCTTATATATTTCAGGAGGAAACGAAGTA  
 TCCTCTGGAGGGAGGCACATGTTCCCTTCTAGATGGTTAATAATGCTCCAATTGATTTGATCCAGTTCCA  
 25 AATACAAAGACAATCTTGATGAATCTTCTAGTTCTGGTCTGGCGAGGAAGATCAACATGCATCCTCAAAT  
 CAGTTAACTTATCTTCTACACAAGTGAACACTGCCTACTCTGGAGAAAATGAAAATGATTGTGATG  
 CAGAGCTAAGAATTGGAGCGTTCAAGGAGCACGACCTGGCAGCAGGGCTCAGTGGATACCATTGTTGCCCTGGA  
 ATCGAAGGACTTATACCGCTGGTTAATTAAAAATCAAACAAATTGGTCTGCAGGTTGAGCGTCTAGCCAATCA  
 30 AACTGCAAATCTTGGAACTCTTACTAAGGGTCACAACCGAGGAAAGAACATTTCTTAAATCAATAGACATGCTA  
 TTGACTTTCTACTCACAAGGTGGGAGGAACATGCAAAGTGCTGGACCCGATTGTTGCATAGGAATAGAGGACTTG  
 TCCAGAAATATTCAGAACAGATTGACCAAATCAAGAAGGACGAACAAAAGAGGGACTGGTGGGTCTGGTGG  
 TAAATGGTGGACATCCGACTGGGTGTTCTTACTAATGGCATCTTACTACTATTGTCATAGCTGTCTGATTG  
 CTCATCCTGTATTTGCGTATCTTACTAAATATATTGGATAG

## Marburg Glycoprotein (TM sequence position 644-673 on Marburg GP)

(SEQ ID NO:10)

35 MWTTcffisliliQGIKTLPILEIASNDQPQNVDSVCSTLQKTEDVHIMGFTLSGQKVADSPEASKRWA  
 FRTGVP  
 PKNVEYTEGEAKTCYNSVTDPGKSLLLDPPTNVRDYPKCKTIHHIQGQNPHAGQIALHLWGAF  
 FLYDRIASTTM  
 YRGKVFTEGNIAAMIVNKTVKMIFSRQGQGYRHMNLTSTNKWTSNGQTNDTGCFTLQEYNSTKNQTCAPS  
 KTPPPPTAHPEIKPTSTPTDATRLNTTNPNSDDEDLTTSGSGSGEQEPYTTSDAVTKQGLSSTM  
 PPTLSPQPGTPQQG  
 GNNTNHSQDAATELDNTNTTAQPPMPSSHNTTISTNNTSKHNLSLSEPPQNTTN  
 PNTQSMATENEKTSAPP  
 KTTLP  
 40 PTESPTEKSTNNTKSPTTMEPNTNGHTSPSSTPNSTQHLYFRRKRSILWREGDMFPFLDGLIN  
 APIDFDPVP  
 NTKTIFDESSSGASAEEDQHASNISLTLSPYLHTSENTAYSGENEND  
 CDAELRIWSVQEDDLAAGL  
 SWIPFFGPG  
 IEGLYTAGLIKQNQNNLVCRRLRANQTAKSLELLRVT  
 TEERTFSLINRHAIDFLLTRWGGTCKV  
 LGPDC  
 CIGIEDL  
 SRNISEQIDQIKD  
 EKGTGWGLGGK  
 WWTSDWGVLTNLG  
 ILLLSIAV  
 LIALSC  
 CIRFTKYIG

## GeoVax Muc1/4TR sequence (Transmembrane domain sequence: position 1129-1218 on Muc1/1TR) (SEQ ID NO:11)

45 ATGACACCGGGCACCCAGTCTCCTTCTTCCTGCTGCTGCTCCTCACAGTGCTTACAGTTGTTACGGGTTCTGGTCA  
 TGCAAGCTCTACCCAGGTGGAGAAAAGGAGACTTCGGCTACCCAGAGAAGTCAGTGCCCAGCTCTACTGAGAAGA  
 ATGCTGTGAGTATGACCAGCAGCGTACTCTCAGGCCACAGCCCCGGTT  
 CAGGCTCCTCCACCACAGGGACAGGGAT  
 50 GTCACTCTGGCCCGGCCACGGAACCCAGCTTCA  
 GAGTTCA  
 GCTGCCACCTGGGGACAGGATGTCACCTCGGTCCAGT  
 CACCAGGCCAGCCCTGGCTCCACCA  
 CACCCGCCAGCCCACGATGT  
 CACCTCAGCCCCGGACAAGCCAGCCCCGG

5 GCTCCACCGCCCCCCCAGCCCACGGTGTACCTCGGCCCCGGACACCAGGCCGGCCCCGGGCTCCACCGCCCCCCCAG  
 GCCCACGGTGTACCTCGGCCCCGGACACCAGGCCGGCCCCGGGCTCCACCGCCCCCCCAGCCCACGGTGTACCTCGGCCCCGGACACCAGGC  
 GGCAGGGCTCCACCGCCCCCCCAGCCCACGGTGTACCTCGGCCCCGGACACCAGGC  
 CGGGCCCCGGGCTCCACCGCCCCCCCAGCCCACGGTGTACCTCGGCCCCGGACACCAGGC  
 GCCCATGGTGTACCTCGGCCCCGGACAAACAGGCCGCCTGGGCTCCACC  
 GCCCCTCCAGTCACAATGTCACCTCGGCCTCAGGCTCTGCATCAGGCTCAGCTCTACTCTGGTGCACAACAGGCAC  
 CTCTGCCAGGGCTACCCACAACCCCAGCCAGCAAGAGCACTCCATTCTCAATTCCAGCCACCCTCTGATACTCCTA  
 CCACCCCTGCCAGCCATAGCACCAAGACTGATGCCAGTAGCACTCACCATAGCACGGTACCTCCTCACCTCCTCC  
 AATCACAGCACTCTCCCCAGTTGCTACTGGGGTCTCTTCTTCTGTCTTTACATTTCAAACCTCCAGTT  
 TAATTCTCTGGAAAGATCCCAGCAGCACTACTACCAAGAGCTGCAGAGAGACATTCTGAAATGTTTGAGA  
 10 TTTATAAACAGGGGTTTCTGGCCTCTCAATATTAAGTCAGGCCAGGATCTGTGGTGGTACAATTGACTCTG  
 GCCTTCCGAGAAGGTACCATCAATGTCACGACGTGGAGACACAGTTCAATCAGTATAAAACGGAAGCAGCCTCTCG  
 ATATAACCTGACGATCTCAGACGTAGCGTGGAGTGTGCCATTCTCTGCCAGCTGGGGCTGGGGTGC  
 15 CAGGCTGGGCATCGCGCTGCTGGTCTGTGTTCTGGTGCCTGGCCATTGTCTATCTCATTGCCATTGGCT  
 GTCTGTCAGTGCAGCCGAAAGAACATACGGGAGCTGGACATCTTCCAGCCCCGGATACTACCATCCTATGAGCGA  
 GTACCCCACCTACCACACCCATGGGCGCTATGTGCCCTAGCAGTACCGATCGTAGCCCTATGAGAAGGTTCTG  
 CAGGTAATGGTGGCAGCAGCCTCTTACACAAACCCAGCAGTGGCAGCCACTCTGCCAACTTGTAG

(Transmembrane domain sequence: position 157-186 on Muc1/1TR) (SEQ ID NO:12)

20 MTPGTQSPFLLLLTVLT VVTGSGHASSTPGGEKETSATQRSSVPSSTEKNAVSMTSSVLSHSPGGSSTTQGQD  
 VTLAPATEPASGSAAATWQDVTSPVTRPALGSTTPAHDVTSAPDNKPAPGSTAPP AHGVTsapDTRPAPGSTAPP  
 AHGVTsapDTRPAPGSTAPP AHGVTsapDTRPAPGSTAPP AHGVTsapDTRPAPGSTAPP AHGVTsapDNPRA  
 APPVHNVTSAAGSASGSASTLVHNGTSARATTPASKSTPFSIPSHSDTPTLASHSTKTDASSTHSTVPP  
 25 NHSTSPQLSTGVSSFFLSFHISNLQFNSSLEDPSTDYYQELQRDISEMFLQIYKQGGFLGLSNIKFRPGSVV  
 AFREGTINVHDVETQFNQYKTEASRYNLTISDVSVDVPFPFSAQSGAGVPGWGIALLVLCVLVALAIVY  
 LIALA  
 VCQCRRKNYGQLDIFPARDTYHPMSEYPTYHTHGRYVPPSSTD  
 RSPYEKVSAGNGSSLSYTNPAVAATSANL

- Replace TM sequence on the GVX-Muc1/4TR.01 with the TM sequence of Marburg GP: WWTSDWGVLTNLGILLLSIAVLIALSCIC (SEQ ID NO:13)
- Name the new sequence as: GVX-Muc1\_4TRMTm.02 (SEQ ID NO:14)

30 ATGACACCGGGCACCCAGTCTCCTTCTTCCTGCTGCTGCTCCTCACAGTGCTTACAGTTGTTACGGGTTCTGGTCA  
 TGCAAGCTCTACCCAGGTGGAGAAAAGGAGACTTCGGCTACCCAGAGAAAGTCAGTGCCAGCTCTACTGAGAAGA  
 ATGCTGTGAGTATGACCAGCAGCGTACTCTCCAGGCCACAGCCCCGGTTCAGGCTCTCCACCCTCAGGGACAGGAT  
 GTCACCTGGCCCCGGCACGGAACCCAGCTTCAGGTTAGCTGCCACCTGGGGACAGGATGTACCTCGGTCCAGT  
 CACCCAGGCCAGCCCTGGGCTCCACCCACCCGCCAGCCCACGATGTCACCTCAGCCCCGGACAACAAGCCAGCCCCGG  
 GCTCCACGCCAGGGCTCCACCGCCCCGGACACCAGGCCGGGGGGCTCCACCGCCCCGGAGCCCACGGTGTACCTCGGCCCCGG  
 CGGGCCCCGGAGACCAGGCCGGCCCCGGGCTCCACCGCCCCGGAGCCCACGGTGTACCTCGGCCCCGGAGACCAGGC  
 CGGGCCCCGGGCTCCACCGCCCCGGAGCCCACGGTGTACCTCGGCCCCGGAGACCAGGC  
 GCCCATGGTGTACCTCGGCCCCGGACAAACAGGCCGCCTGGGCTCCACC  
 GCCCCTCCAGTCACAATGTCACCTCGGCCTCAGGCTCTGCATCAGGCTCAGCTCTACTCTGGTGCACAACAGGCAC  
 CTCTGCCAGGGCTACCCACAACCCCAGCCAGCAAGAGCACTCCATTCTCAATTCCAGCCACCCTCTGATACTCCTA  
 CCACCCCTGCCAGCCATAGCACCAAGACTGATGCCAGTAGCACTCACCATAGCACGGTACCTCCTCTCACCTCCTCC  
 AATCACAGCACTCTCCCCAGTTGCTACTGGGGTCTCTTCTTCTGTCTTTACATTTCAAACCTCCAGTT  
 40 TAATTCTCTGGAAAGATCCCAGCAGCACTACTACCAAGAGCTGCAGAGAGACATTCTGAAATGTTTGAGA  
 TTTATAAACAGGGGTTTCTGGCCTCTCAATATTAAGTCAGGCCAGGATCTGTGGTGGTACAATTGACTCTG  
 GCCTTCCGAGAAGGTACCATCAATGTCACGACGTGGAGACACAGTTCAATCAGTATAAAACGGAAGCAGCCTCTCG  
 ATATAACCTGACGATCTCAGACGTAGCGTGGAGTGTGCCATTCTCTGCCAGCTGGGGCTGGGGTGT  
 45 GGTGGACATCCGACTGGGTGTTCTTAACCTGGGACATTACTATTGTCCATAGCTGTCTGATTGCTCTA

**TCCTGTATTTGT**CGCCGAAAGAACTACGGGCAGCTGGACATCTTCCAGCCGGGATACCTACCACCTATGAGCGA  
GTACCCCACCTACCACACCCATGGCGCTATGTGCCCTAGCAGTACCGATCGTAGCCCTATGAGAAGGTTCTG  
CAGGTAATGGTGGCAGCAGCCTCTTACACAAACCCAGCAGTGGCAGCCACTCTGCCAACTTGTAG

5 Corresponding protein sequence (SEQ ID NO:15)

MTPGTQSPF~~LLL~~TVLT~~V~~TGSHASSTPGGEKETSATQRSSVPSSTEKN~~A~~V~~S~~M~~T~~S~~V~~L~~S~~SHSPGS~~S~~TTQGQD  
VTLAPATEPAS~~G~~SAATWGQDV~~T~~S~~V~~VTR~~P~~ALG~~S~~TPP~~A~~H~~D~~V~~T~~S~~A~~P~~D~~N~~K~~P~~A~~P~~G~~**STAPP**~~A~~H~~G~~V~~T~~S~~A~~P~~D~~TR~~P~~AP~~G~~**STAPP**  
10 **A**H~~G~~V~~T~~S~~A~~P~~D~~TR~~P~~AP~~G~~**STAPP**~~A~~H~~G~~V~~T~~S~~A~~P~~D~~TR~~P~~AP~~G~~**STAPP**~~A~~H~~G~~V~~T~~S~~A~~P~~D~~N~~R~~P~~A~~L~~G~~~~S~~  
APPVHN~~V~~T~~S~~AS~~G~~SAS~~G~~S~~A~~STLV~~H~~NG~~T~~S~~A~~R~~T~~TP~~A~~S~~K~~ST~~P~~FS~~I~~P~~S~~H~~S~~D~~T~~PT~~L~~ASH~~S~~T~~K~~T~~D~~AS~~S~~TH~~H~~ST~~V~~P~~L~~T~~S~~  
NH~~S~~T~~P~~Q~~L~~ST~~G~~V~~S~~FFF~~L~~SF~~H~~IS~~N~~LQ~~F~~N~~S~~LED~~P~~STDYYQ~~E~~LQ~~R~~D~~I~~SEM~~F~~LQ~~I~~YKQ~~G~~GF~~L~~GL~~S~~NI~~K~~FR~~P~~GS~~V~~V~~Q~~LT~~L~~  
AFRE~~G~~ET~~T~~IN~~V~~H~~V~~E~~T~~Q~~F~~N~~Q~~Y~~K~~TE~~A~~S~~R~~Y~~N~~L~~T~~IS~~D~~V~~S~~V~~D~~V~~F~~P~~F~~S~~A~~Q~~G~~AG~~V~~**WWTSDWGVLTNLGILLL~~S~~IAVL~~I~~L**  
15 **SCIC**~~R~~K~~N~~Y~~G~~Q~~L~~D~~I~~F~~P~~ARD~~T~~Y~~H~~P~~M~~SE~~Y~~PT~~Y~~H~~T~~GR~~Y~~V~~P~~P~~S~~STD~~R~~S~~P~~Y~~E~~K~~V~~S~~A~~NG~~G~~SS~~L~~S~~Y~~T~~N~~PA~~V~~A~~A~~T~~S~~AN~~L~~

15

Sequence Key:

**BOX**: Signal Peptide

20 **ITALICS BOX** **BOLD BOX**: Sequential Tandem Repeats

**BOLD**: Transmembrane Domain

**UNDERLINE**: Cytoplasmic Tail

25 • Align Muc1-1TR\_001204285 sequence with GVX-Muc1/4TR.01 and GVX-Muc1\_4TRMTm.02

CLUSTAL 2.1 Multiple Sequence Alignments

30 Sequence format is Pearson

Sequence: 1TR 475 aa (SEQ ID NO:10)

Sequence: 4TR 535 aa (SEQ ID NO:12)

Sequence: 4TRMTm 535 aa (SEQ ID NO:14)

35

1TR MTPGTQSPF~~LLL~~TVLT~~V~~TGSHASSTPGGEKETSATQRSSVPSSTEKN~~A~~V~~S~~M~~T~~S~~V~~L~~S~~SHSPGS~~S~~TTQGQD  
4TR MTPGTQSPF~~LLL~~TVLT~~V~~TGSHASSTPGGEKETSATQRSSVPSSTEKN~~A~~V~~S~~M~~T~~S~~V~~L~~S~~SHSPGS~~S~~TTQGQD  
4TRMTm MTPGTQSPF~~LLL~~TVLT~~V~~TGSHASSTPGGEKETSATQRSSVPSSTEKN~~A~~V~~S~~M~~T~~S~~V~~L~~S~~SHSPGS~~S~~TTQGQD

40

1TR LSSHSPGSGS~~TT~~Q~~Q~~GD~~V~~T~~L~~APATEPAS~~G~~SAATWGQDV~~T~~S~~V~~VTR~~P~~ALG~~S~~TP~~P~~PAHD~~V~~  
4TR LSSHSPGSGS~~TT~~Q~~Q~~GD~~V~~T~~L~~APATEPAS~~G~~SAATWGQDV~~T~~S~~V~~VTR~~P~~ALG~~S~~TP~~P~~PAHD~~V~~  
4TRMTm LSSHSPGSGS~~TT~~Q~~Q~~GD~~V~~T~~L~~APATEPAS~~G~~SAATWGQDV~~T~~S~~V~~VTR~~P~~ALG~~S~~TP~~P~~PAHD~~V~~

45

1TR APDNKP~~A~~P~~G~~**STAPP**PAHG~~V~~T~~S~~A~~P~~D~~T~~R~~P~~~~A~~-----  
4TR APDNKP~~A~~P~~G~~**STAPP**PAHG~~V~~T~~S~~A~~P~~D~~T~~R~~P~~AP~~G~~**STAPP**PAHG~~V~~T~~S~~A~~P~~D~~T~~R~~P~~AP~~G~~**STAPP**PAHG~~V~~T~~S~~  
4TRMTm APDNKP~~A~~P~~G~~**STAPP**PAHG~~V~~T~~S~~A~~P~~D~~T~~R~~P~~AP~~G~~**STAPP**PAHG~~V~~T~~S~~A~~P~~D~~T~~R~~P~~AP~~G~~**STAPP**PAHG~~V~~T~~S~~

50

1TR -----GSTAPP~~A~~H~~G~~V~~T~~S~~A~~P~~D~~N~~R~~P~~A~~L~~G~~~~S~~TP~~P~~V~~H~~N~~V~~  
4TR APD~~T~~R~~P~~AP~~G~~**STAPP**PAHG~~V~~T~~S~~A~~P~~D~~T~~R~~P~~AP~~G~~**STAPP**PAHG~~V~~T~~S~~A~~P~~D~~T~~R~~P~~ALG~~S~~TP~~P~~V~~H~~N~~V~~  
4TRMTm APD~~T~~R~~P~~AP~~G~~**STAPP**PAHG~~V~~T~~S~~A~~P~~D~~T~~R~~P~~AP~~G~~**STAPP**PAHG~~V~~T~~S~~A~~P~~D~~T~~R~~P~~ALG~~S~~TP~~P~~V~~H~~N~~V~~

55

1TR	ASGSASGSASTLVHNGTSARATTPASKSTPFSIPSHHSDTPTLASHSTKTDASSTHHS
4TR	ASGSASGSASTLVHNGTSARATTPASKSTPFSIPSHHSDTPTLASHSTKTDASSTHHS
4TRMtm	ASGSASGSASTLVHNGTSARATTPASKSTPFSIPSHHSDTPTLASHSTKTDASSTHHS
*****	
5	
1TR	TVPPLTSSNHSTS PQLSTGVSSFFLSFHSNLQFNSSLEDPSTDYYQELQRDISEMFLQI
4TR	TVPPLTSSNHSTS PQLSTGVSSFFLSFHSNLQFNSSLEDPSTDYYQELQRDISEMFLQI
4TRMtm	TVPPLTSSNHSTS PQLSTGVSSFFLSFHSNLQFNSSLEDPSTDYYQELQRDISEMFLQI
*****	
10	
1TR	YKQGGFLGLSNIKFRPGSVVQLTLAFREGTINVHDVETQFNQYKTEASRYNLTISDVS
4TR	YKQGGFLGLSNIKFRPGSVVQLTLAFREGTINVHDVETQFNQYKTEASRYNLTISDVS
4TRMtm	YKQGGFLGLSNIKFRPGSVVQLTLAFREGTINVHDVETQFNQYKTEASRYNLTISDVS
*****	
15	
1TR	VSDVPFPFSAQSGAGVPGWGIALLVLVCVLVALAIVYLIALAVCQCRRKNYGQLDIFPAR
4TR	VSDVPFPFSAQSGAGVPGWGIALLVLVCVLVALAIVYLIALAVCQCRRKNYGQLDIFPAR
4TRMtm	VSDVPFPFSAQSGAGVWTS DWGVLTNLGILLLSIAVLIALSCI CRRKNYGQLDIFPAR
***** . :: :: * : :: * *****	
20	
1TR	DTYHPMSEYPTYHTHGRYVPPSSTDRS PYEKVSAGNGGSSLSYTNPAVAATSANL
4TR	DTYHPMSEYPTYHTHGRYVPPSSTDRS PYEKVSAGNGGSSLSYTNPAVAATSANL
4TRMtm	DTYHPMSEYPTYHTHGRYVPPSSTDRS PYEKVSAGNGGSSLSYTNPAVAATSANL
*****	
25	.....

3. Codon optimize DNA sequence for vaccinia virus

- 2.1. Go to the GeneArt Gene Synthesis tool in LifeTechnology website,
- Enter GO sequence and follow the instructions.
- Optimize the sequence for vaccinia virus.
- Copy the optimized sequence and paste into a new SeqBuilder file.

2.2. Save the optimized sequence as well as the report.

- Name the optimized sequence as: GVX-Muc1\_4TRMTmVVop.03  
(SEQ ID NO:16)

ATGACACCTGGAACACAATCTCCATTTCTACTACTATTGACAGTACTAACAGTAGTAACAGGATCTGGACA  
TGGTCTAGTACACCAGGTGGAGAAAAAGAACATCTCGACTCAAAGATCTCTGTACCATCTTACAGAAAAAA  
ATGCGGTATCTATGACATCTAGTGTACTATCTTCTCATCTCCTGGATCTGGATCTTCTACTACACAAGGACAAGAT  
40 GTAACACTAGCGCCAGCTACAGAACCCAGCTTCTGGATCTGCTACTTGGGGTCAAGATGTTACTCTGTTCCAGT  
AACAAAGACCAGCGCTAGGATCTACAACACCACCGAGCGCATGATGTAACAAGTGCAGCCAGATAATAAACAGCGCCTG  
GTTCTACTGCTCCACCAAGCTCATGGTGTACTTCAGCGCCTGATACAAGACCTGCACCTGGATCTACAGCTCCCT  
GCACATGGTGTAAACATCTGCTCCAGATAACAAGACCAGCTCCAGGGTCAACAGCACCTCCAGCGCATGGTGTACTAG  
45 TGCTCCAGATAAACAGACTGCGCCTGGAAGTACTGCACCAAGCAGCATGGTGTAACTAGTGCAGCCCTGATACAAGAC  
CAGCGCCAGGATCAACTGCTCCTGCTCATGGTGTACAAGTGCACCTGATAATAGACCTGCCTGGGATCTACT  
GCGCCTCCAGTTCTACATGTAACATCAGCGCTGGAAGTGCAGTCTGGTCTGGCTACATTGGTCTACATGGTAC  
ATCTGCGAGAGCGACAACAACCTCCAGCGTCTAAATCTACACCATTCTATTCCATCTCATCATTGATACACCAA  
CAACATTGGCGAGTCATTCTACAAAAACAGATGCGAGTCTACACATCATTCTACTGTACCAACACTACATTCT  
50 AATCATAGTACATCTCCACAACTATCTACTGGTGTATCTTTCTATCTTTCATATTCTAACTACAGTT  
TAATTCTAGTTGGAAGATCCATCTACAGATTATTATCAAGAACTACAAAGAGATATTCTGAAATGTTCTACAAA  
TATATAAACAGGAGGATTCTAGGACTATCTAATATTAAGTTAGACCAGGATCTGTAGTAGTTCAACTAACTCTA  
GCGTTAGAGAAGGTACTATTAATGTACATGATGTTGAAACACAGTTAATCAATATAAACAGAAAGCGCGCTAG

5 ATATAATCTAACAAATTCTGATGTATCTGTATCTGATGTTCCATTCCATTCTGCGCAATCTGGTGTGGTGTAT  
 GGTGGACATCTGATTGGGAGTACTAACATACTAGGAATTCTACTATTGCTATCTATTGCGGTACTAATTGCGCTA  
 TCTGTATATGTAGAAGAAAAATTATGGACAACTAGATATTTCAGCGAGAGATACTTATCATCCAATGCTGA  
 ATATCCAACATATCATACACATGGAGATATGTACCACCTCTTCAACAGATAGATCTCCATATGAAAAGTATCTG  
 CGGAAATGGTGGTTCTCTATCTTATACAAATCCAGCGTAGCGGCACCTCTGCGAATCTATAA

### 2.3. Translate the optimized sequence

(SEQ ID NO:17)

10 MTPGTQSPFLLLLTVLTVVSGHASSTPGGEKETSATQRSSVPSSTEKNASMTSSVLSHSPGSSTTQGQD  
 VTLAPATEPASGSAAATWGQDVTSVPVTRPALGSTTPPAHDVTSAPDNKPAPGSTAPPAHGVTSAPDTRPAPGSTAPP  
 AHGVTSAPDTRPAPGSTAPPAHGVTSAPDTRPAPGSTAPPAHGVTSAPDTRPAPGSTAPPAHGVTSAPDNRPALGST  
 APPVHNVTSAAGSAGSASTLVHNGTSARATTPASKSTPFSIPSHSDTPTLASHSTKTDASSTHSTVPPLTSS  
 NHSTSPQLSTGVSFFFLSFHISNLQFNSSLEDPSTDYYQELQRDISEMFLQIYKQGGFLGLSNIKFRPGSVVQLTL  
 AFREGTINVHDVETQFNQYKTEAASRYNLTISDVSVDVPFPFSAQSGAGVWTSDWGVLTNLGILLLLSIAVLIAL  
 SCICRRKNYGQLDIFPARDTYHPMSEYPTYHTHGRYVPPSSTDRSPPYEKVSAGNGSSLSYTNPAVAATSANL

15 4. Interrupt homopolymer sequences (G/C or T/A rich areas) by silent mutations

- Search sequence for  $\geq 4$  G/C areas:
  - No multiple Gs or Cs found.
- Search sequence for  $\geq 5$  A/T areas:
  - Seven A/T rich areas have been found:
  - All have been interrupted by single silent mutation.
  - *Table 2* summarizes all the mutations made on Muc1.

Table 2: Muc1 Mutations

Sequence nucleotides	Silent mutation nucleotides	Mutation position in GP gene
TTT	TTC	27
TTT	TTC	30
GAA	GAG	102
AAA	AAG	105
GAA	GAG	150
AAA	AAG	153
AAA	AAG	375
AAA	AAG	804
TTT	TTC	816
AAA	AAG	873
TTT	TTC	966
TTT	TTC	969
TTT	TTC	972
TCT	TCC	978
TTT	TTC	1002
AAA	AAG	1215
TTT	TTC	1284
AAA	AAG	1407

TTT	TTC	1431
GAA	GAG	1530
AAA	AAG	1633

Save the sequence as GVX-Muc1\_4TRMTmVVop.04 (SeqBuilber file).  
(SEQ ID NO:18)

5 ATGACACCTGAAACACAATCTCATTcTTcCTACTACTACTATTGACAGTACTAACAGTAGTAACAGGATCTGGACATGCGCTAG  
TACACCAGGTGGAGAgAAgGAAACATCTGCGACTCAAAGATCTTCTGTACCACCTTCTACAGAgAAgAATGCGGTATCTATGACAT  
CTAGTGTACTATCTTCTCATTCTCCTGGATCTGGATCTTCTACTACACAAGGACAAGATGTAACACTAGCGCCAGCTACAGAACCA  
GCTTCTGGATCTGCTGCTACTTGGGGTCAAGATGTTACTCTGTTCCAGTAACAAGACCAGCGCTAGGATCTACAACACCACAGC  
GCATGATGTAACAAGTGCAGCCAGATAATAAgCCAGCGCCTGGTCTACTGCTCCACCAGCTCATGGTGTACTTCAGCGCCTGATA  
10 CAAGACCTGCACCTGGATCTACAGCTCCTCTGCACATGGTGTAAACATCTGCTCCAGATAACAAGACCAGCTCCAGGTTCAACAGCA  
CCTCCAGCGCATGGTGTACTAGTGCTCCAGATAACAAGACCTGCAGCTGGAAAGTACTGCACCACCAGCACATGGTGTAACTAGTG  
GCCTGATAACAAGACCAGCGCAGGATCAACTGCTCCTCTGCATGGTGTACAAGTGCACCTGATAATAGACCTGCGTGGGAT  
CTACTGCGCCTCCAGTTCTATAATGTAACATCAGCGTCTGAAGTCTACACCATTcTCTATTCCATCTCATCATTCTGATAACACCAACA  
15 GCGAGAGCGACAACAACACTCAGCGTCTAAgTCTACACCATTcTCTATTCCATCTCATCATTCTGATAACACCAACAATTGGCAG  
TCATTCTACAAAgACAGATGCGAGTTCTACACATCATTCTACTGTACCACCAACTAACATCTTCTAACTATAGTACATCTCCACAA  
TATCTACTGGTGTATCTTcTTcCTATCcTTTCAATTTCTAACTACAGTTcAATTCTAGTTGGAAGATCCATCTACAGAT  
TATTATCAAGAACTACAAAGAGATATTCTGAAATGTTCTACAAATATAAAACAAGGAGGATTCTAGGACTATCTAATATTAA  
20 GTTAGACCAAGGAGATCTGTAGTAGTTCAACTAACTCTAGCGTTAGAGAAGGTAATTAAATGTACATGATGTTGAAACACAGTTA  
ATCAATATAAAgACAGAAAGCGCGTCTAGATATAATCTAACAAATTCTGATGTATCTGATGTTCCATTCCATTCTGCG  
CAACTCTGGTGTGGTGTAGGTGGACATCTGATTGGGGACTAACTAACTATCTAGGAATTCTACTATTGCTATCTATTGCGGTACT  
AATTGCGCTATCTGTATATGTAGAAGAAAgAATTATGGACAATAGATATTTCCTCAGCGAGAGATACTTATCATCCAAATGTCTG  
AATATCCAACATATCATACACATGGAAGATATGTACCAACCTCTCAACAGATAGATCTCCATATGAgAAgGTATCTGGGAAAT  
GGTGGTTCTTCTATCTTACAAATCCAGCGGTAGCGGCAGCTCTGCGAATCTATAA

25 • Translate GVX-Muc1\_4TRMTmVVop.04:  
(SEQ ID NO:19)

MTPGTQSPFLLLLTVLTVVTGSGHASSTPGGEKETSATQRSSVPSSTEKNAVSMTSSVLSSHPGSGSSTTQGQDVTLAPATEP  
ASGSAAWTGQDVTSVPVTRPALGSTTPAHDVTSAPDNKPAPGSTAPPAHGVTSAPDTRPAPGSTAPPAHGVTSAPDTRPAPGSTA  
PPAHGVTSAPDTRPAPGSTAPPAHGVTSAPDTRPAPGSTAPPAHGVTSAPDNRPALGSTAPPVHNVTSAAGSASGSASTLVHNGTS  
30 ARATTPASKSTPFSIPSHSDTPTLASHSTKTDASSTHSTVPPLTSSNHSTS PQLSTGVFFFLSFHSNLQFNSSLEDPSTD  
YYQELQRDI SEMFLQIYKQGGFLGLSNIKFRPGSVVQLTLAFREGTINVHDVETQFNQYKTEAASRYNLTISDVSVSDVFPFSA  
QSGAGVWWTS DWGVLTNLGILLLSIAVLIALSICRRKNYQQLDIFPARDTYHPMSEPYHTHGRYVPPSSTDRLSPYEKVSAGN  
GGSSLSYTNPAVAATSANL

5. Search GP sequence for vaccinia virus transcription terminator  
35 • No T<sub>5</sub>NT motif found.

6. Add a second stop codon.  
• Name the optimized sequence as: GVX-Muc1\_4TRMTmVVop.05



- Sal I sequence: gtcgac
- Add 5 nucleotides upstream SmaI and 5 nucleotides downstream SalI to facilitate the digestion and cloning: ggcgt
- Save the sequence with the cloning sites as GVX-Muc1\_4TRMTmVVop.05 (SeqBuiler file).
- Final sequence (SeqBuiler file) for Genscript: GVX-Muc4TRMTM (SEQ ID NO:29)

5 ggcctccgggATGACACCTGGAACACAATCTCATTcTTcCTACTACTATTGACAGTACTAACAGTA  
 10 GTAACAGGATCTGGACATGCGTCTAGTACACCAGGTGGAGAgAAgGAAACATCTGCGACTCAAAGA  
 TCTTCTGTACCATCTTCTACAGAgAAgAATGCGGTATCTATGACATCTAGTGTACTATCTTCTCATTCT  
 15 CCTGGATCTGGATCTTCTACTACACAAGGACAAGATGTAACACTAGGCCAGCTACAGAACCGAGCT  
 TCTGGATCTGCTGCTACTTGGGGTCAAGATGTTACTTCTGTTCCAGTAACAAAGACCAAGCGCTAGGAT  
 CTACAACACCACCGCGCATGATGTAACAAGTGCAGCAGATAATAAgCCAGCGCTGGTTCTACTG  
 20 CTCCACCAGCTATGGTGTACTTCAGCGCTGATAACAAGACCTGCACCTGGATCTACAGCTCCTC  
 CTGCACATGGTGAACATCTGCTCCAGATAACAAGACCGAGCTCCAGGTTCAACAGCACCTCCAGCGC  
 ATGGTGTACTAGTGCCTCCAGATAACAAGACCTGCGCCTGGAAAGTACTGCACCACCAGCACATGGT  
 TAACTAGTGCCTGATAACAAGACCAGCGCCAGGATCAACTGCTCCTCTGCTATGGTGTACAA  
 25 GTGCACCTGATAATAGACCTGCGTGGATCTACTGCGCTCCAGTTCTAAATGTAACATCAGCGTC  
 TGGAAGTGCCTGGTTCTGCGTCTACATTGGTCATAATGGTACATCTGCGAGAGCGACAACAC  
 TCCAGCGTCTAAgTCTACACCATTcTCTATTCCATCTCATCATTCTGATAACACCAACAATTGGCGA  
 GTCATTCTACAAAgACAGATGCGAGTTCTACACATCATTCTACTGTACCAACACTAACATCTTCTAAT  
 30 CATAGTACATCTCCACAACATCTACTGGTGTATCTTcTTcCTATCcTTTCTATTTCTAATCTACA  
 GTTcAATTCTAGTTGGAAAGATCCATCTACAGATTATTATCAAGAACTACAAAGAGATATTCTGAAAT  
 GTTTCTACAAATATAAAACAAGGAGGATTCTAGGACTATCTAATTTAGTTAGACCAGGACTG  
 TAGTAGTTCAACTAACTCTAGCGTTAGAGAAGGTACTATTAATGTACATGATGTTGAAACACAGTT  
 AATCAATATAAgACAGAAGCGCGTCTAGATATAATCTAACAAATTCTGATGTATCTGATGT  
 35 TCCATTCCATTcTCTGCGCAATCTGGTGCTGGTGTATGGACATCTGATTGGGAGTACTAACT  
 AATCTAGGAATTCTACTATTGCTATCTATTGCGTACTAATTGCGCTATCTTGTATATGTAGAAGAAA  
 gAAATTATGGACAACTAGATATTTcCCAGCGAGAGATACTTATCATCCAATGTCGAATATCCAACATA  
 TCATACACATGGAAGATATGTACCAACCTTCTCAACAGATAGATCTCCATATGAgAAgGTATCTGCGG  
 GAAATGGGGTTCTCTATCTTATACAAATCCAGCGTAGCGGACTTCTGCGAATCTATAATA  
 Agtcgacgcgct

40 Corresponding protein sequence  
 (SEQ ID NO:30)

MTPGTQSPFFLLLLLTVLTVVTGSGHASSTPGGEKETSATQRSSVPSSTEKNAVSMTSSVLSSHSPGSGS  
 STTQGQDVTLAPATEPASGSAATWGQDVTSVPTRPALGSTTPPAHDVTSAPDNKPAPGSTAPPAHGV  
 45 TSAPDTRPAPGSTAPPAHGVTSAPDTRPAPGSTAPPAHGVTSAPDTRPAPGSTAPPAHGVTSAPDTRP  
APGSTAPPAHGVTSAPDNRPALGSTAPPVHNVTASGSASGSASTLVHNGTSARATTPASKSTPFSIP  
SHHSDTPTTFLASHSTKTDASSTHHSTVPPLTSSNHSTSPQLSTGVSFLLSFHISNLQFNSSLEDPSTDYY  
QELQRDISEMFLQIYKQGGFLGLSNIKFRPGSVVVQLTLAFREGTINVHDVETQFNQYKTEAASRYNLTIS  
DVSVDVPFPFSAQSGAGVWWTSWDGVLTNLGI~~LL~~LSIAVIALSCICRRKNYGQLDIFPARDTYHPMS  
EYPTYHTHGRYVPPSSTDRSPEKVSAGNGGSSLSYTNPAVAATSANL.

- Align final GVX-Muc4TRMTM sequence with Muc1-1TR\_001204285

CLUSTAL 2.1 Multiple Sequence Alignments

Sequence format is Pearson

5	Sequence: GVX-Muc4TRMTM	535 aa (SEQ ID NO:30)
	Sequence: Muc1-1TR_001204285	475 aa (SEQ ID NO:10)
10	GVX-Muc4TRMTM	MTPGTQSPFFLLLLLTVLTVVTGSGHASSTPGGEKETSATQRSSVPSSTEKNAVSMTSSV
	Muc1-1TR_001204285	MTPGTQSPFFLLLLLTVLTVVTGSGHASSTPGGEKETSATQRSSVPSSTEKNAVSMTSSV
15	GVX-Muc4TRMTM	LSSHPGSGSSTTQGQDVTLAPATEPASGAAATWGQDVTSVPVTRPALGSTTPPAHDVTS
	Muc1-1TR_001204285	LSSHPGSGSSTTQGQDVTLAPATEPASGAAATWGQDVTSVPVTRPALGSTTPPAHDVTS
20	GVX-Muc4TRMTM	APDNKPAPGSTAPP[AHGVTSAAPDTRPAPGSTAPP[AHGVTSAAPDTRPAPGSTAPP[AHGVTSA
	Muc1-1TR_001204285	APDNKPAPGSTAPP[AHGVTSAAPDTRPAP-----
25	GVX-Muc4TRMTM	-----[APDTRPAPGSTAPP[AHGVTSAAPDTRPAPGSTAPP[AHGVTSAAPDNRPALGSTAPPVHNVTS
	Muc1-1TR_001204285	-----GSTAPP[AHGVTSAAPDNRPALGSTAPPVHNVTS
30	GVX-Muc4TRMTM	ASGSASGSASTLVHNGTSARATTPASKSTPFSIPSHHSDTPTTLASHSTKTDASSTHHS
	Muc1-1TR_001204285	ASGSASGSASTLVHNGTSARATTPASKSTPFSIPSHHSDTPTTLASHSTKTDASSTHHS
35	GVX-Muc4TRMTM	TVPPLTSSNHSTSPQLSTGVSSFFLSFHISNLQFNSSLEDPSTDYYQELQRDISEMFLQI
	Muc1-1TR_001204285	TVPPLTSSNHSTSPQLSTGVSSFFLSFHISNLQFNSSLEDPSTDYYQELQRDISEMFLQI
40	GVX-Muc4TRMTM	YKQGGFLGLSNIKFRPGSVVVQLTLAFREGTINVHDVETQFNQYKTEAASRYNLTISDVS
	Muc1-1TR_001204285	YKQGGFLGLSNIKFRPGSVVVQLTLAFREGTINVHDVETQFNQYKTEAASRYNLTISDVS
45	GVX-Muc4TRMTM	VSDVPFPFSAQSGAGVWWTSDWGVLTNLGILLLSIAVLIALSCIRRKNYGQLDIFPAR
	Muc1-1TR_001204285	VSDVPFPFSAQSGAGVPGWGIALLVLVCVLVALAIVYLIALAVCQCRKNYGQLDIFPAR

- Align final GVX-Muc4TRMTM sequence with GVX-Muc1\_4TRMTm.01

CLUSTAL 2.1 Multiple Sequence Alignments  
 Sequence format is Pearson  
 Sequence: GVX-Muc4TRMTM 535 aa (SEQ ID NO:30)  
 Sequence: GVX-Muc1/4TR.01 535 aa (SEQ ID NO:12)

GVX-Muc4TRMTM MT PGTQSPFLLLLTVLTVTGS GHAS ST PGG EKETSAT QRS SVP SSTE KNAV SMT SSV  
 GVX-Muc1/4TR.01 MT PGTQSPFLLLLTVLTVTGS GHAS ST PGG EKETSAT QRS SVP SSTE KNAV SMT SSV  
 \*\*\*\*\*  
 GVX-Muc4TRMTM LSSHSPGS STT QGQ DVTL APATE PASG SAAT WQD VTS VPV TRP ALG ST PPA HDV TS  
 GVX-Muc1/4TR.01 LSSHSPGS STT QGQ DVTL APATE PASG SAAT WQD VTS VPV TRP ALG ST PPA HDV TS  
 \*\*\*\*\*  
 GVX-Muc4TRMTM AP DN K PAP G ST APP AH GVT S A P D T R P A P G S T APP AH GVT S  
 GVX-Muc1/4TR.01 AP DN K PAP G ST APP AH GVT S A P D T R P A P G S T APP AH GVT S  
 \*\*\*\*\*  
 GVX-Muc4TRMTM AP D T R P A P G S T APP AH GVT S A P D T R P A P G S T APP AH GVT S A P D N R P A L G S T A P P V H N V T S  
 GVX-Muc1/4TR.01 AP D T R P A P G S T APP AH GVT S A P D T R P A P G S T APP AH GVT S A P D N R P A L G S T A P P V H N V T S  
 \*\*\*\*\*  
 GVX-Muc4TRMTM A SG S A SG S A S T L V H N G T S A R A T T T P A S K S T P F S I P S H S D T P T L A S H S T K T D A S S T H S  
 GVX-Muc1/4TR.01 A SG S A SG S A S T L V H N G T S A R A T T T P A S K S T P F S I P S H S D T P T L A S H S T K T D A S S T H S  
 \*\*\*\*\*  
 GVX-Muc4TRMTM T V P P L T S S N H S T S P Q L S T G V S F F F L S F H I S N L Q F N S S L E D P S T D Y Y Q E L Q R D I S E M F L Q I  
 GVX-Muc1/4TR.01 T V P P L T S S N H S T S P Q L S T G V S F F F L S F H I S N L Q F N S S L E D P S T D Y Y Q E L Q R D I S E M F L Q I  
 \*\*\*\*\*  
 GVX-Muc4TRMTM Y K Q G G F L G L S N I K F R P G S V V V Q L T L A F R E G T I N V H D V E T Q F N Q O Y K T E A A S R Y N L T I S D V S  
 GVX-Muc1/4TR.01 Y K Q G G F L G L S N I K F R P G S V V V Q L T L A F R E G T I N V H D V E T Q F N Q O Y K T E A A S R Y N L T I S D V S  
 \*\*\*\*\*  
 GVX-Muc4TRMTM V S D V P F P F S A Q S G A G V W W T S D W G V L T N L G I L L L S I A V L I A L S C I C R R K N Y G Q L D I F P A R  
 GVX-Muc1/4TR.01 V S D V P F P F S A Q S G A G V P G W G I A L L V L C V L V A L A I V Y L I A L A V C Q C R R K N Y G Q L D I F P A R  
 \*\*\*\*\*  
 GVX-Muc4TRMTM D T Y H P M S E Y P T Y H T H G R Y V P P S S T D R S P Y E K V S A G N G G S S L S Y T N P A V A A T S A N L  
 GVX-Muc1/4TR.01 D T Y H P M S E Y P T Y H T H G R Y V P P S S T D R S P Y E K V S A G N G G S S L S Y T N P A V A A T S A N L  
 \*\*\*\*\*  
 45 .....

- Align final GVX-Muc4TRMTM sequence with GVX-Muc1\_4TRMTm.02

5 CLUSTAL 2.1 Multiple Sequence Alignments  
Sequence format is Pearson  
Sequence 1: GVX-Muc4TRMTM 535 aa (SEQ ID NO:30)  
Sequence 2: GVX-Muc1\_4TRMTm.02 535 aa (SEQ ID NO:15)

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10 GVX-Muc4TRMTM MTPGTQSPFFLLLLLTVLTVVTGSHASSTPGGEKETSATQRSSVPSSTEKNAVSMTSSV  
GVX-Muc1\_4TRMTm.02 MTPGTQSPFFLLLLLTVLTVVTGSHASSTPGGEKETSATQRSSVPSSTEKNAVSMTSSV  
\*\*\*\*\*

15 GVX-Muc4TRMTM LSSHSPGSGSSTTQGQDVT LAPATEPASGSAATWGQDVT SVPVTRPALGSTTPPAHDVTS  
GVX-Muc1\_4TRMTm.02 LSSHSPGSGSSTTQGQDVT LAPATEPASGSAATWGQDVT SVPVTRPALGSTTPPAHDVTS  
\*\*\*\*\*

20 GVX-Muc4TRMTM APDNKPAPGSTAPP **AHGVTSA**PDTRPAPGSTAPP **AHGVTSA**PDTRPAPGSTAPP **AHGVTSA**  
GVX-Muc1\_4TRMTm.02 APDNKPAPGSTAPP **AHGVTSA**PDTRPAPGSTAPP **AHGVTSA**PDTRPAPGSTAPP **AHGVTSA**  
\*\*\*\*\*

25 GVX-Muc4TRMTM **APDTRPAPGSTAPP** **AHGVTSA**PDTRPAPGSTAPP **APDTRPAPGSTAPP** **AHGVTSA**PDTRPAPGSTAPP **AHGVTSA**  
GVX-Muc1\_4TRMTm.02 **APDTRPAPGSTAPP** **AHGVTSA**PDTRPAPGSTAPP **APDTRPAPGSTAPP** **AHGVTSA**PDTRPAPGSTAPP **AHGVTSA**  
\*\*\*\*\*

30 GVX-Muc4TRMTM ASGSASGSASTLVHNGTSARATTPASKSTPFSIPSHHSDTPTTLASHSTKTDASSTHHS  
GVX-Muc1\_4TRMTm.02 ASGSASGSASTLVHNGTSARATTPASKSTPFSIPSHHSDTPTTLASHSTKTDASSTHHS  
\*\*\*\*\*

35 GVX-Muc4TRMTM TVPPLTSSNHSTSPQLSTGVSSFFLSFHISNLQFNSSLEDPTDYYQELQRDISEMFLQI  
GVX-Muc1\_4TRMTm.02 TVPPLTSSNHSTSPQLSTGVSSFFLSFHISNLQFNSSLEDPTDYYQELQRDISEMFLQI  
\*\*\*\*\*

40 GVX-Muc4TRMTM YKQGGFLGLSNIKFRPGSVVVQLTLAFREGTINVHDVETQFNQYKTEAASRYNLTISDVS  
GVX-Muc1\_4TRMTm.02 YKQGGFLGLSNIKFRPGSVVVQLTLAFREGTINVHDVETQFNQYKTEAASRYNLTISDVS  
\*\*\*\*\*

45 GVX-Muc4TRMTM VSDVPFPFSAQSGAGVWWTS DWGVLTNLGILLLSIAVLIALSCICRRKNYGOLDIFPAR  
GVX-Muc1\_4TRMTm.02 VSDVPFPFSAQSGAGVWWTS DWGVLTNLGILLLSIAVLIALSCICRRKNYGOLDIFPAR  
\*\*\*\*\*

GVX-Muc4TRMTM DTYHPMSEYPTYHTHGRYVPPSSTDRS PYEKVSAGNGGSSL SYTNPAVAATSANL  
GVX-Muc1\_4TRMTm.02 DTYHPMSEYPTYHTHGRYVPPSSTDRS PYEKVSAGNGGSSL SYTNPAVAATSANL  
\*\*\*\*\*

55 Simplify the name of GVX-Muc4TRMTM to "GVX-Muc1".

- Order synthesized gene with the GVX-Muc4TRMTM DNA sequence.
- Clone GVX-Muc4TRMTM DNA sequence into pLW-73 shuttle plasmid and rename new plasmid pGeo-Muc1 (see FIG. 1).

5 EXAMPLE 3: MVA Vaccine Construction and In Vitro Evaluation for Hypoglycosylated Forms of MUC1.

The recombinant MVA vaccine consists of an MVA vector with two antigen expression cassettes (MVA-Muc1VP40). One expression cassette encodes a chimeric 10 form of human Muc1, the construction of which is described in Example 2 above (hereafter this construction is called GVX-Muc1) and which for the purposes of MVA vaccine construction has had its DNA sequence cloned into a shuttle plasmid entitled pGeo-Muc1 (image of plasmid is seen above). One expression cassette encodes the VP40 protein of Marburgvirus. The expression of GVX-Muc1 and VP40 is sufficient to 15 generate secreted virus-like particles (VLPs). The GVX-Muc1 protein is expressed as a chimeric protein consisting of the extracellular domain of human Muc1, the transmembrane domain of Marburgvirus GP, and the intracellular domain of human Muc1. Marburg VP40 protein is expressed in the cytoplasm of the cells where it associates with the intracellular domain and transmembrane domain of the GVX-Muc1, 20 causing cell-surface budding of VLPs that have GVX-Muc1 on their surface and VP40 enclosed in their interior (luminal) space. This novel combination of vector platform and native antigen conformation yields a vaccine that is expected to elicit a strong, broad, and durable immune response. The MVA-Muc1VP40 vaccine candidate was constructed using shuttle vectors developed in the laboratory of Dr. Bernard Moss and 25 are being licensed by the NIAID to GeoVax for use in vaccine development. These shuttle vectors have proven to yield stable vaccine inserts with high, but non-toxic, levels of expression in our work with HIV and hemorrhagic fever virus vaccines. The Muc1 sequence was placed between two essential genes of MVA (I8R and G1L) and VP40 was inserted into a restructured and modified deletion III between the A50R and 30 B1R genes, illustrated in the following schematic (FIG. 2), wherein the numbers refer to coordinates in the MVA genome:

The GVX-Muc1 and VP40 genes were codon optimized for MVA. Silent mutations have been introduced to interrupt homo-polymer sequences (>4G/C and >4A/T) to reduce RNA polymerase errors that could lead to frameshifts. Inserted sequences have been edited for vaccinia-specific terminators to remove motifs that could lead to premature termination. All vaccine inserts are placed under the modified H5 early/late vaccinia promoter as described previously. Vectors were being prepared in a dedicated room under “GLP-like conditions” at GeoVax, with full traceability and complete documentation of all steps using Bovine Spongiform Encephalopathy/Transmissible Spongiform Encephalopathy (BSE/TSE)-free raw materials.

The expression of full length and native conformation of GVX-Muc1 protein expressed in cells were assessed by western blotting using Muc1-specific antibodies. The MVA-Muc1VP40 vaccine was used to infect DF1 cells at a multiplicity of infection of 1.0 for 1 hour at 37°C after which time the medium was exchanged for fresh pre-warmed medium. After 48 hours incubation at 37°C the supernatant of the cells was harvested and clarified by centrifuging at 500xg for 10 minutes. Once the supernatant was removed from the cells, the cells themselves were harvested from the plate, washed once with cold phosphate-buffered saline (PBS) and were then lysed on ice for 15 minutes in a solution of PBS + 1% Triton X-100 detergent. After this incubation, a post-nuclear supernatant was prepared by centrifuging the lysate at 1000xg for 10 minutes and harvesting the liquid layer on top, which is hereafter termed the “cell lysate”. The cell lysates were applied to 10% SDS-PAGE gels and were separated by electrophoresis, then transferred to nitrocellulose membranes, blocked with Odyssey blocking buffer, then incubated with a primary antibody that recognizes either (1) the total amount of Muc1 present in the sample, or (2) the total amount of hypoglycosylated Muc1 in the sample. As control, supernatant and cell lysate from DF1 cells infected with parental MVA (a vector control containing none of the antigen expression cassettes). The results of this analysis are seen in the following image of the western blot (FIG. 3):

This demonstrates that the MVA-Muc1VP40 vaccine infects DF1 cells and expresses Muc1 protein and furthermore demonstrates that some proportion of the Muc1 expressed is in hypoglycosylated form.

Evidence of the hypoglycosylated form of Muc1 encoded by the MVA-Muc1VP40

5 vaccine is seen by immunostaining cells infected with the vaccine or simultaneously staining control cells that are known to express either normally-glycosylated or hypoglycosylated Muc1, as described here:

- Control cell lines MCF7 and MCF10A both express Muc1. 293T cells do not.
- MCF7 cell express hypo-glycosylated Muc1, recognized by a hypoglycosylated Muc1-specific Ab (4H5).
- MCF10A expresses normal Muc1. A pan-Muc1 Ab (HMPV) is used to detect total Muc1.
- 293T cells were infected with MVA-Muc1VP40 or MVA control virus (parental MVA).
- All samples were stained with the indicated Abs.
- Note in the following image (FIG. 4) the negative signal (yellow background) in Staining Negative Control and the MVA control conditions; positive signal is reddish brown above the yellow background:

VLP formation was shown by immune-electron microscopy (EM) using of DF1 cells

20 infected with the MVA-Muc1VP40 vaccine and stained with a monoclonal antibody that recognizes Muc1 (HMPV). In the EM image below (FIG. 5) two thing are clearly illustrated: (1) that the VLPs are filamentous, a phenomenon derivative of the fact that the VP40 protein is used as the matrix protein that drive VLP budding from the surface of cells; and (2) that the VLPs stain positively with the antibody directed against Muc1, demonstrating that this protein is incorporated into the budding VLPs.

#### Example 4: Targeted diminution of O-linked glycosylation of Muc1

##### Introduction

Mucin-type O-glycosylation begins with modification of proteins by addition of the

30 core 1 O-glycan Gal $\beta$ 3-GalNAc $\alpha$ 1-Ser/Thr. This occurs in two steps: (1) a GalNAc is covalently attached to a Serine or Threonine of the amino acid backbone; (2) a Gal is

then added to the GalNAc. An enormous variety of other glycoforms are created from this core structure by the addition of other sugars to the core 1 structure. A schematic of this initial modification pathway is as shown in FIG. 6:

This results in the formation of the T antigen carbohydrate on Ser or Thr residues of the protein backbone. Importantly, functional T synthase (also known as core 1  $\beta$ 3-galactosyl transferase, gene symbol C1GALT1) is the only known enzyme responsible for formation of the T antigen from the Tn antigen. Subsequent further modifications of the core 1 carbohydrate structure are completely dependent upon the formation of T antigen. In the absence of T synthase, therefore, O-linked glycosylation that depends on the core 1 structure ceases with the formation of Tn antigen (see Aryal, R. P., Ju, T. & Cummings, R. D., *J Biol Chem* 289, 11630–11641 (2014)). As a caveat, an additional mechanism for hypoglycosylation involves the addition of a sialic acid onto Tn by  $\alpha$ -2,6-sialyl transferase I (gene symbol ST6GALNAC1), resulting in a terminal (non-extendable) sialyl-Tn structure (Sia $\alpha$ 2-6GalNAc $\alpha$ 1-Ser/Thr), illustrated as shown in FIG. 7.

The goal is to ensure endogenous *in vivo* production of hypoglycosylated Muc1. While overexpression of Muc1 in cells is likely to overwhelm the glycosylation machinery of many cells, it may be amenable to our purposes to additionally push the biosynthetic pathway toward hypoglycosylation of Muc1 by tampering with the glycosylation machinery. Muc1 structures that terminate O-linked glycosylation with the Tn antigen and sialyl-Tn are predominant species among the known hypoglycosylated forms that are associated with a number of carcinomas. Given what is known about the O-linked glycosylation synthesis pathway, two readily recognizable mechanisms can be envisioned for driving endogenous hypoglycosylation of Muc1:

(1) Prevent the formation of T antigen and promote the abundance of Tn antigen by knocking down the expression of functional T synthase. This can be done by either:

a. Directly targeting transcripts of the T synthase gene by siRNA methods; or

Targeting transcripts of COSMC (gene symbol C1GALT1C1) by siRNA methods, as COSMC is known to be essential and specific for the folding and function of T

synthase in the ER, and mutations in this X-linked gene have been associated in humans with Tn syndrome.

5 (2) Over-express  $\alpha$ -2,6-sialyl transferase I (gene symbol ST6GALNAC1, herein abbreviated as 'ST1') to terminate O-linked glycosylation with sialyl-Tn.

#### Methods

Summary data on the three genes of interest are provided as follows:

##### T synthase (C1GALT1)

The C1GALT1 gene is 66,104nt long, composed of 6 exons, and located at 10 human chromosome 7p21.3. This is transcribed and spliced to yield a 6244nt long mRNA (NM\_020156). A start codon is located at nt 224 of the mRNA and the CDS spans 1092nt from 224..1315, yielding a 363 amino acid protein (NP\_064541) with a calculated molecular weight of 42kD. Amino acid residues 7..29 are predicted to encode a transmembrane domain and the ectodomain of the protein is known to reside in the 15 lumen of the ER, indicating that T synthase is a single pass Type II membrane protein.

##### COSMC (T synthase-specific chaperone; C1GALT1C1)

The C1GALT1C1 gene is 4476nt long, composed of 3 exons, and located on the 20 human X chromosome at Xq24. This is transcribed and spliced to yield a 1915nt long mRNA (NM\_152692). A start codon is located at nt 412 of the mRNA and the CDS spans 957nt from 412..1368, yielding a 318 amino acid protein (NP\_689905) with a calculated molecular weight of 36kD. Amino acid residues 7..26 are predicted to encode a transmembrane domain and the ectodomain of the protein is known to reside in the lumen of the ER, indicating that COSMC is a single pass Type II membrane protein.

##### ST1 ( $\alpha$ -2,6-sialyl transferase I; ST6GALNAC1)

25 The ST6GALNAC1 gene is 26,064nt long, composed of 12 exons, and located at human chromosome 17q25.1. This is transcribed and spliced to yield a 2593nt long mRNA (NM\_018414). A start codon is located at nt 201 of the mRNA and the CDS spans 1803nt from 201..2003, yielding a 600 amino acid protein (NP\_060884) with a

calculated molecular weight of 68kD. Amino acid residues 15..35 are predicted to encode a transmembrane domain and the ectodomain of the protein is known to be exposed to the lumen of the ER upon translocation, indicating that ST1 is a single pass Type II membrane protein.

5         Established methods for siRNA design, to be applied to the human T synthase and COSMC genes, are as follows:

1. Find 21 nt sequences in the target mRNA that begin with an AA dinucleotide.

2. Select 2-4 target sequences, with the following parameters:

siRNAs with 30-50% GC content are more active than those with a higher G/C

10 content.

Since a 4-6 nucleotide poly(T) tract acts as a termination signal for RNA pol III, avoid stretches of > 4 T's or A's in the target sequence when designing sequences to be expressed from an RNA pol III promoter.

15         Since some regions of mRNA may be either highly structured or bound by regulatory proteins, select siRNA target sites at different positions along the length of the gene sequence. No correlation has been observed between the position of target sites on the mRNA and siRNA potency.

20         Compare the potential target sites to the appropriate genome database (human, mouse, rat, etc.) and eliminate from consideration any target sequences with more than 16-17 contiguous base pairs of homology to other coding sequences.

3. Design appropriate controls.

25         A negative control siRNA with the same nucleotide composition as the siRNA but which lacks significant sequence homology to the genome. Scramble the nucleotide sequence of the gene-specific siRNA and conduct a search to make sure it lacks homology to any other gene.

Additional siRNA sequences targeting the same mRNA. Perform experiments, using a single siRNA at a time, with two or more different siRNAs targeting the same gene.

MVA-driven expression of the ST1 should be straightforward, as the CDS for this gene product is only ~1800nt in length.

#### Cell lines

HEK-293T cells are known to be negative for expression of Muc1 (see Mehanta, 5 et al, PLoS ONE, 2008). T47D cells (derived from human mammary gland carcinoma) endogenously express Muc1, are grown in standard medium (RPMI), and are transfectable. These cells have been used for Muc1 knockdown (KD) studies in the abovementioned publication and may be amenable to our research/early characterization studies of Muc1 hypoglycosylation. T47D cells are available from 10 ATCC (Cat. No. HTB-133) for \$431. Olja Finn mentioned that there is a series of cell lines based on the MCF-7 line that are useful for carcinoma studies. In particular she recommended MCF-10A in this series, as it is known to express high levels of fully-glycosylated Muc1 (see also Olja's paper: Cascio, et al, J Biol Chem, 2011). MCF-10A is a transformed, non-tumorigenic adherent epithelial cell line derived from the 15 mammary gland of a 36-year old Caucasian female with fibrocystic disease. The cell line is suitable as a transfection host, according to ATCC, where the cell line is available for \$431 (Cat. No. CRL-10317). This cell line uses Mammalian Epithelial Cell Growth Medium (MEGM) from Lonza, supplemented with the Lonza MEGM Bullet Kit (without the gentamicin/amphotericin-B supplement) and 100 ng/ml cholera toxin (Sigma).

#### 20 Transient Expression Constructs

In looking at the products available for gene manipulation from Origene (www.origene.com), it was found that they provide both vetted RNAi reagents in ready-to-use formats, as well as vetted ORFs in ready-to-use plasmids. For RNAi methods, the two most appropriate reagents for our purposes would be either synthetic siRNA 25 duplexes, or shRNA constructs that can be expressed from a plasmid. Since, with any success, we would be aiming to express the RNAi products from the MVA vector itself, it would be most amenable to these ends to work with shRNA, which should be easily transferred over to an MVA expression system. Origene offers shRNA products in a number of different formats, but we would want a simple expression vector that is 30 selectable for prepping plasmids (Kan(r) and Cam(r) are offered) and reportable (GFP

and RFP are offered). Thus we will order shRNA constructs targeting C1GALT1 (in plasmid pGFP-V-RS, with GFP reporter: Cat. No. TG306064) and C1GALT1C1 (in plasmid pRFP-C-RS, with RFP reporter: Cat. No. TF317130). Both plasmids drive shRNA transcription with a U6 promoter. Maps for these plasmids are shown below.

5 The abovementioned shRNA products are provided with 4 shRNAs each. Each shRNA will be independently tested for KD. They have target sequences and mRNA coordinates as follows.

Origene shRNA products targeting C1GALT1 (T synthase), with mRNA coordinates (NM\_020156):

Product	SEQ ID NO:	Sequence	Coordinates (mRNA)
TG306064A	31	TATGAATGTAGAAGCAGGAGATTCCAGAG	970-998
TG306064B	32	TGGTACTGGAATTACAACATTATCCTCC	1076-1104
TG306064C	33	CCAAAGAAGGCAGAGATCAACTATACTGG	630-658
TG306064D	34	ACACATAGTTCCCTCCATTGAAGACTTAGC	920-948

10

Origene shRNA products targeting C1GALT1C1 (COSMC), with mRNA coordinates (NM\_152692):

Product	SEQ ID NO:	Sequence	Coordinates (mRNA)
TF317130A	35	TAGGATTGGTCATGGAAATAGAATGCACC	495-523
TF317130B	36	AAGGAGACTTGGACCAACACTGTGACAA	670-698
TF317130C	37	GCAGTTGCCTGAAATATGCTGGAGTATT	1081-1109
TF317130D	38	CTTACCTCCAAATGGTTCTGACAATGACT	1338-1366

KD of these gene products can be assessed by either RT-PCR or WB.

For driving expression of ST1, a construct is readily available from Origene. The product of interest (Cat. No. RC216697) contains the full-length ST6GALNAC1 gene, 5 driven by the CMV promoter, and tagged on the C-terminal with Myc and DDK (FLAG) tags, all in the Origene pCMV6-Entry vector (see RC216687 below).

### Experimental Plan

#### 1. Preliminary Experiments

Make whole cell lysates (WCL) of HEK293T or HeLa cells. Use WCL to optimize 10 WB methods for detection of T-synthase (with rb pAb) and COSMC (with ms mAb). Use anti-ms IR700 dye and anti-rb IR800 dye as secondaries, to allow subsequent simultaneous detection of the two antigens on the same WB and such simultaneous detection will be important when assaying the effects of shRNA KD of transcripts.

Set up and bank MCF10A cells.

15 Transfect MCF10A cells with innocuous GFP-encoding plasmid to determine basal level of transfection efficiency.

Make MCF10A WCL. Test for expression levels of T-synthase and COSMC by WB.

Test anti-Muc1 Abs for WB and flow cytometry using MCF10A cells.

#### 20 2. Pivotal Experiments

##### shRNA KD of T-synthase in MCF10A cells

Transfect MCF10A cells individually with each of the 4 shRNA plasmids targeting T-synthase

25 Make WCL of each transfected population at prescribed time points. Analyze WCL for expression of T-synthase, COSMC, Muc1, and hypoglycosylated Muc1 (hgMuc1).

Select shRNA that yields the best KD response.

Transfect MCF10A cells with optimal shRNA. Use flow cytometry to analyze cells for surface expression of Muc1 and hgMuc1.

shRNA KD of COSMC in MCF10A cells

Transfect MCF10A cells individually with each of the 4 shRNA plasmids targeting  
5 COSMC

Make WCL of each transfected population at prescribed time points. Analyze WCL for expression of T-synthase, COSMC, Muc1, and hypoglycosylated Muc1 (hgMuc1).

Select shRNA that yields the best KD response.

10 Transfect MCF10A cells with optimal shRNA. Use flow cytometry to analyze cells for surface expression of Muc1 and hgMuc1.

2. Pivotal Experiments (Continued)

Ectopic expression of ST1 (ST6GALNAC1 sialyl transferase) in MCF10A cells

Transfect MCF10A cells with RC216697 plasmid encoding ST1 behind a CMV  
15 promoter

Assess viability and make WCL of transfected cells at prescribed time points. Analyze WCL for expression of ST1 (detected by anti-DDK antibody), Muc1, and hypoglycosylated Muc1 (hgMuc1).

Transfect MCF10A cells with RC216697 plasmid. Use flow cytometry to analyze  
20 cells for surface expression of Muc1 and hgMuc1.

In light of results from the pivotal experiments above, determine whether to proceed with one of the single approaches investigated, or to attempt combining approaches. One could conceive, for instance of attempting a double-KD of both T-synthase and COSMC by shRNA if neither individually yielded sufficient results, or to  
25 co-transfect either shRNA along with the RC216697 plasmid driving expression of ST1.

If ST1 will be used, proceed with having this gene synthesized in vaccinia codon-optimized form.

The foregoing discussion discloses and describes merely exemplary embodiments of the present invention. One skilled in the art will readily recognize from such discussion, and from the accompanying drawings and claims, that various changes, modifications and variations can be made therein without departing from the spirit and scope of the invention as defined in the following claims.

5 All references cited herein are incorporated by reference in their entirety.

Throughout this specification and the claims that follow, unless the context requires otherwise, the word "comprise", and variations such as "comprises" and "comprising", will be understood to imply the inclusion of a stated integer or step or .0 group of integers or steps but not the exclusion of any other integer or step or group of integers or steps.

The reference in this specification to any prior publication (or information derived from it), or to any matter which is known, is not, and should not be taken as an acknowledgment or admission or any form of suggestion that that prior publication (or .5 information derived from it) or known matter forms part of the common general knowledge in the field of endeavour to which this specification relates.

The claims defining the invention are as follows:

1. A recombinant modified vaccinia ankara (MVA) viral vector comprising:
  - (i) a first nucleic acid sequence encoding a chimeric amino acid sequence comprising (a) a tumor associated antigen (TAA) or immunogenic fragment thereof, and (b) a transmembrane domain of a glycoprotein (GP) of Marburg virus; and
  - (ii) a second nucleic acid sequence encoding a Marburg virus VP40 matrix protein; wherein both the first nucleic acid sequence and the second nucleic acid sequence are under the control of promoters compatible with poxvirus expression systems; and wherein upon expression, the chimeric amino acid sequence and VP40 matrix protein are capable of assembling together to form virus like particles (VLPs).
2. The recombinant MVA viral vector of claim 1, wherein the first nucleic acid sequence and the second nucleic acid sequence are inserted into one or more deletion sites of the MVA selected from I, II, III, IV, V or VI.
3. The recombinant MVA viral vector of claim 1, wherein the first nucleic acid sequence and the second nucleic acid sequence are inserted into the MVA in a natural deletion site, a modified natural deletion site, or between essential or non-essential MVA genes.
4. The recombinant MVA viral vector of claim 1, wherein the first nucleic acid sequence and the second nucleic acid sequence are inserted into the same natural deletion site, a modified natural deletion site, or between the same essential or non-essential MVA genes.
5. The recombinant MVA viral vector of claim 1, wherein the first nucleic acid sequence and the second nucleic acid sequence are inserted into different natural deletion sites, different modified deletion sites, or between different essential or non-essential MVA genes.

6. The recombinant MVA viral vector of claim 1, wherein the first nucleic acid sequence is inserted between two essential and highly conserved MVA genes; and the second nucleic acid sequence is inserted into a restructured and modified deletion III; wherein the deletion III site is restructured and modified to remove non-essential flanking sequences.
7. The recombinant MVA viral vector of claim 1, wherein the first nucleic acid sequence is inserted between MVA genes I8R and G1L and the second nucleic acid sequence is inserted in deletion III region between A50R and B1R.
8. The recombinant MVA viral vector of claim 1, wherein the promoter is selected from the group consisting of a Pm2H5 promoter, a PsynII promoter, or a mH5 promoter, or combinations thereof.
9. The recombinant MVA viral vector of claim 1, wherein the first nucleic acid sequence is optimized by one or more method selected from: changing selected codons to other synonymous codons that are optimal for protein expression by MVA, interrupting homopolymer stretches using silent mutations, or interrupting transcription terminator motifs using silent mutations.
10. The recombinant vector of claim 1, wherein the recombinant MVA viral vector expresses a mucin-1 (MUC-1) TAA.
11. The recombinant MVA viral vector of claim 10, wherein the MUC1 TAA sequence comprises the amino acid of SEQ ID NO:4.
12. The recombinant MVA viral vector of claim 10, wherein the first nucleic acid sequence encodes the amino acid of SEQ ID NO:15.
13. The recombinant MVA viral vector of claim 10, wherein the TAA comprises an extracellular fragment of mucin-1 (MUC-1) and an intracellular fragment of MUC-1.

14. The recombinant MVA viral vector of claim 10, wherein the first nucleic acid sequence comprises a nucleic acid sequence selected from SEQ ID NO: 16, SEQ ID NO: 18, or SEQ ID NO: 29.
15. The recombinant MVA viral vector of claim 10, wherein the first nucleic acid sequence comprises the nucleic acid sequence of SEQ ID NO: 29.
16. The recombinant MVA viral vector of claim 10, wherein the transmembrane domain of a glycoprotein (GP) of Marburg virus comprises the amino acid sequence of SEQ ID NO: 13.
17. The recombinant vector of claim 1, wherein the recombinant MVA viral vector expresses a TAA selected from oncofetal antigen/immature laminin receptor protein (OFA/iLRP), alphafetoprotein (AFP), carcinoembryonic antigen (CEA), CA-125, epithelial tumor antigen (ETA), tyrosinase, melanoma-associated antigen (MAGE), abnormal products of ras, or abnormal products of p53.
18. A recombinant MVA viral vector comprising:
  - (i) a first nucleic acid sequence encoding a chimeric amino acid sequence comprising SEQ ID NO: 15; and
  - (ii) a second nucleic acid sequence encoding a Marburg virus VP40 matrix protein; sequence, wherein both the first nucleic acid sequence and the second nucleic acid sequence are under the control of promoters compatible with poxvirus expression systems; and wherein upon expression, the chimeric amino acid sequence and VP40 matrix protein are capable of assembling together to form VLPs.
19. The recombinant MVA viral vector of claim 18, wherein the first nucleic acid sequence is selected from SEQ ID NO: 16, SEQ ID NO: 18, or SEQ ID NO: 29.
20. The recombinant MVA viral vector of claim 18, wherein the first nucleic acid

sequence comprises SEQ ID NO: 29.

21. The recombinant MVA viral vector of claim 18, wherein the first nucleic acid sequence is inserted between MVA genes I8R and G1L and the second nucleic acid sequence is inserted in deletion III region between A50R and B1R.

22. The recombinant MVA viral vector of claim 18, wherein the promoter is selected from the group consisting of a Pm2H5 promoter, a PsynII promoter, or a mH5 promoter, or combinations thereof.

23. A method of treating a human with a cancer expressing a TAA comprising administering the recombinant MVA of any of claims 1-22 in an amount sufficient to treat the cancer.

24. Use of the recombinant MVA of any one of claims 1-22 in the manufacture of a medicament for treating a human with a cancer expressing a TAA.

FIG. 1

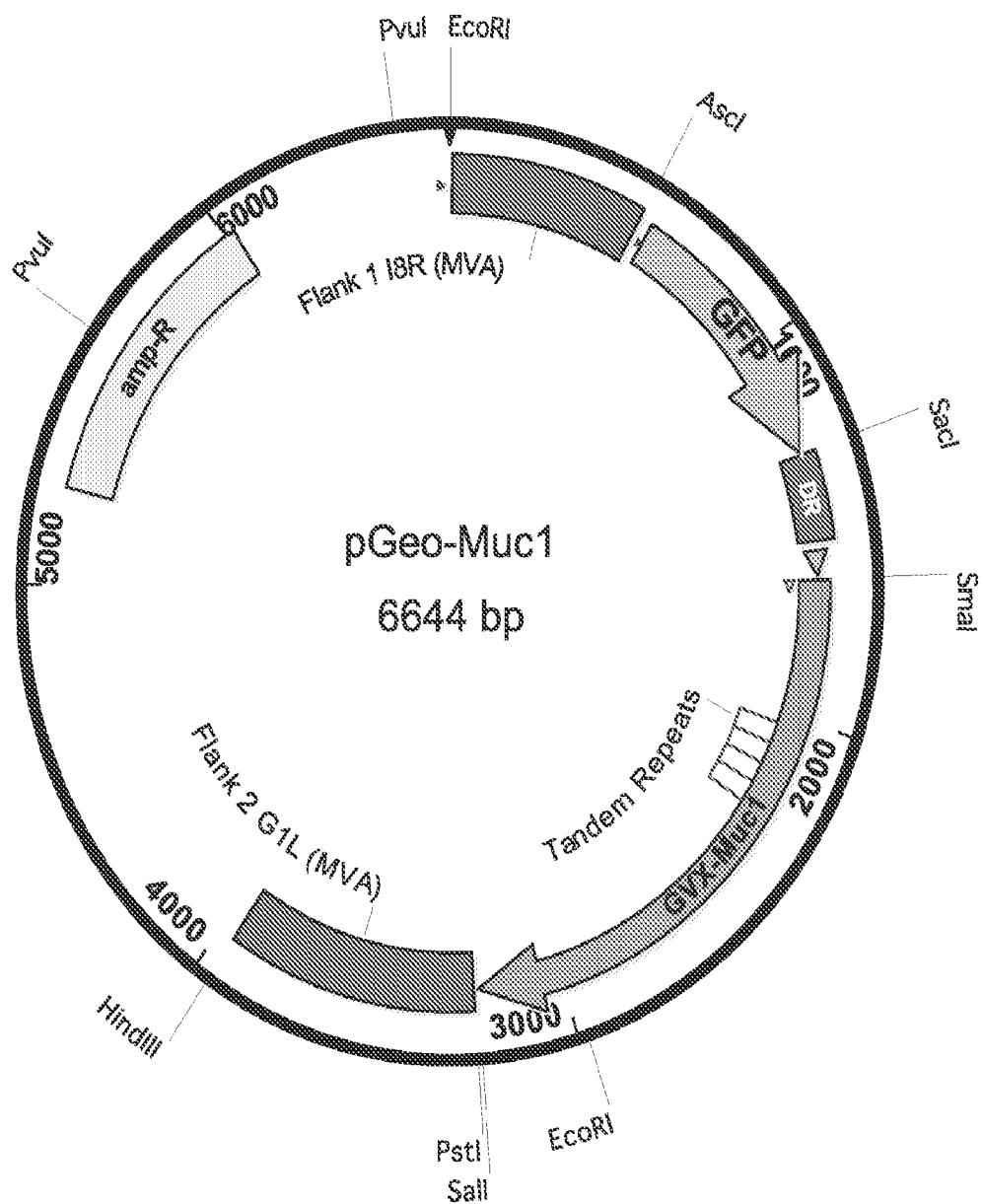


FIG. 2

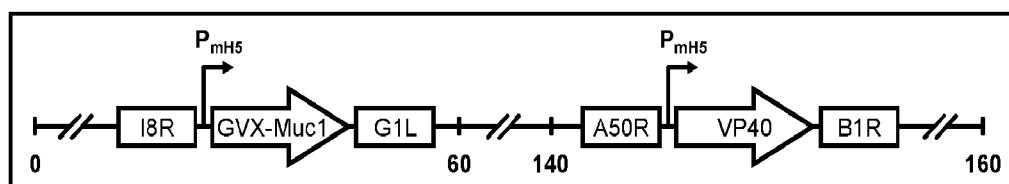


FIG. 3

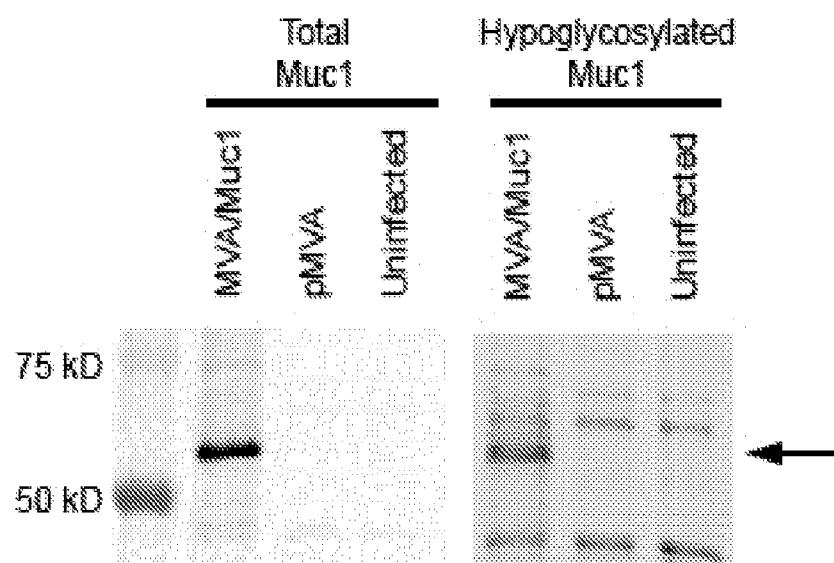


FIG. 4

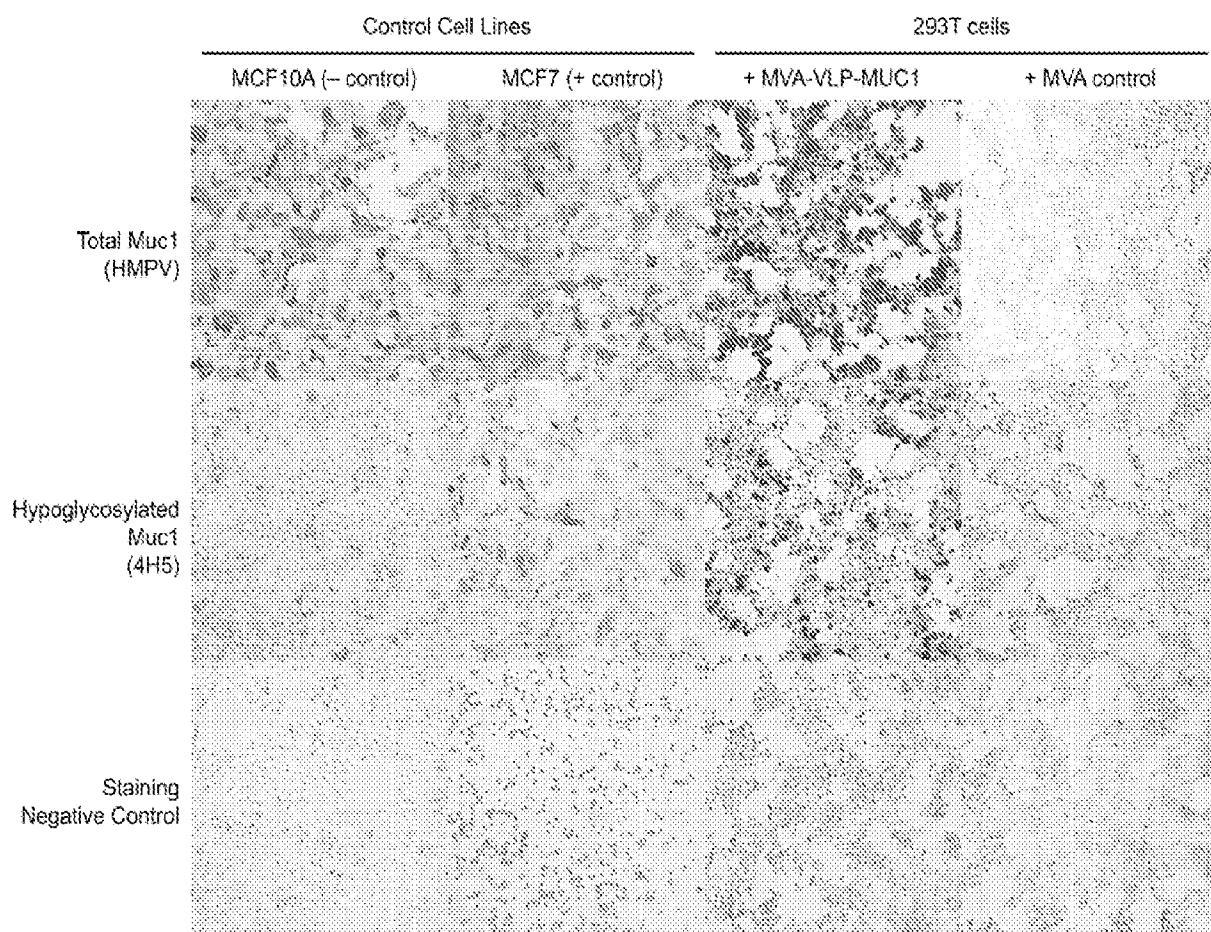


FIG. 5

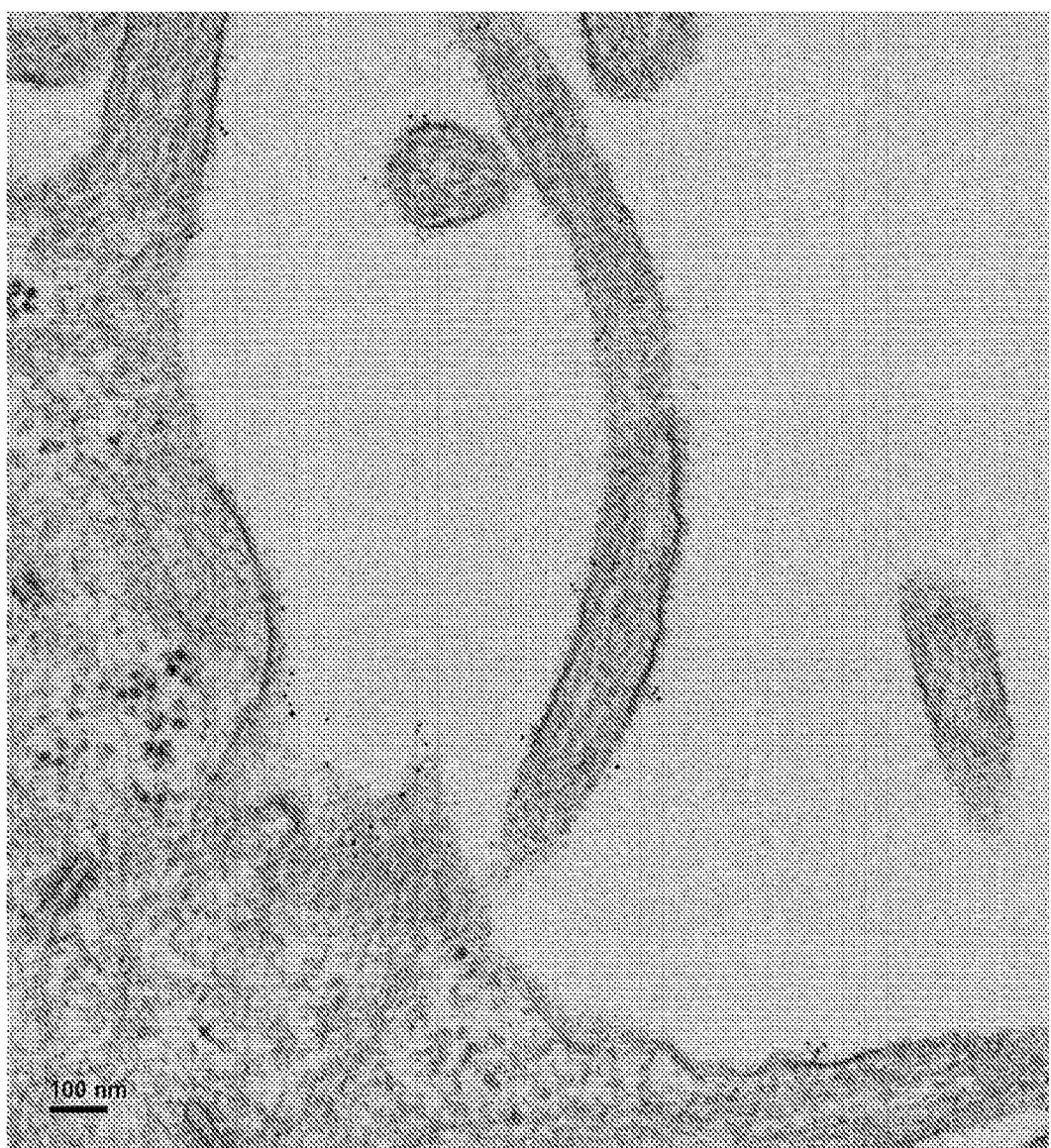


FIG. 6

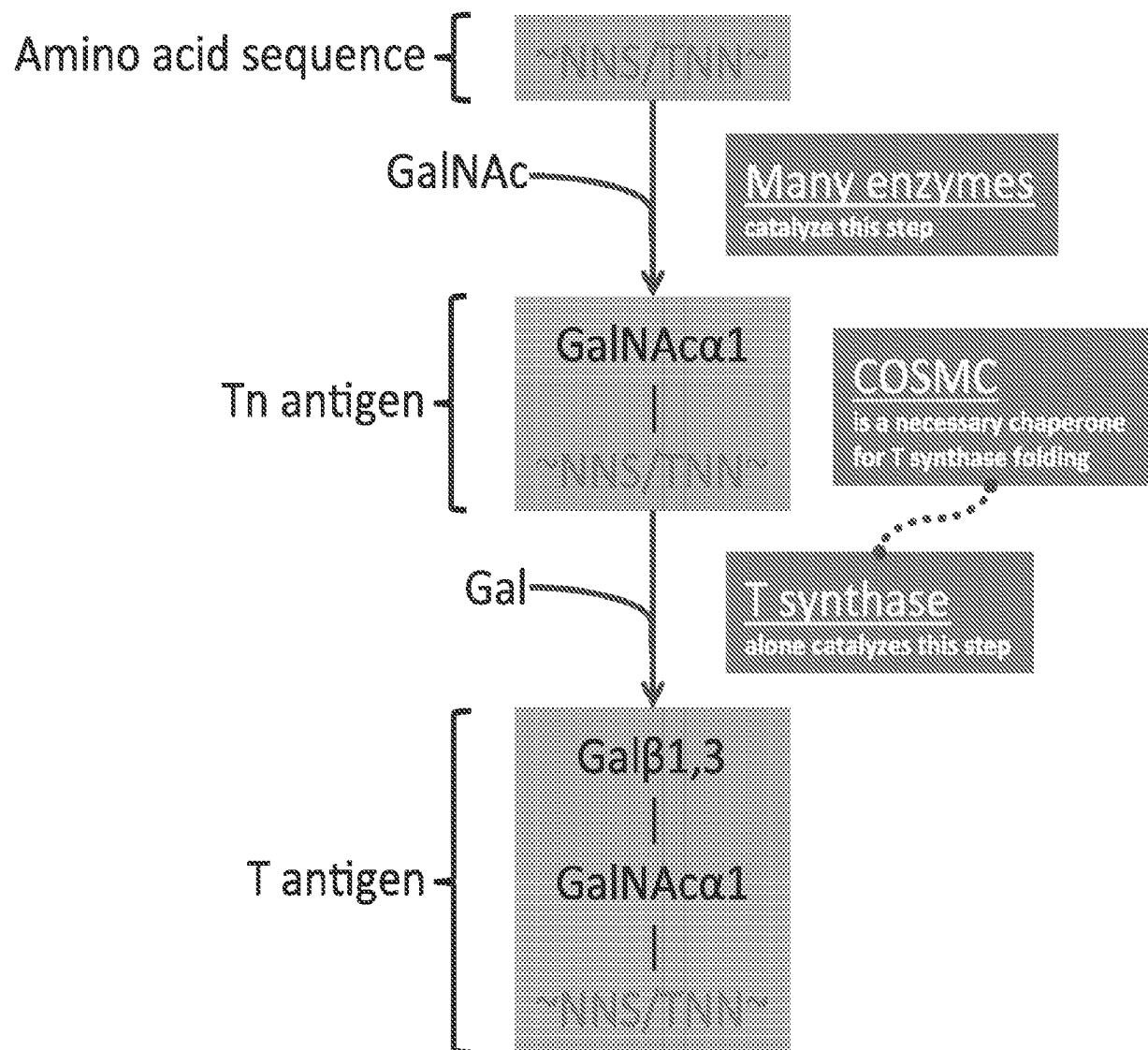


FIG. 7

