



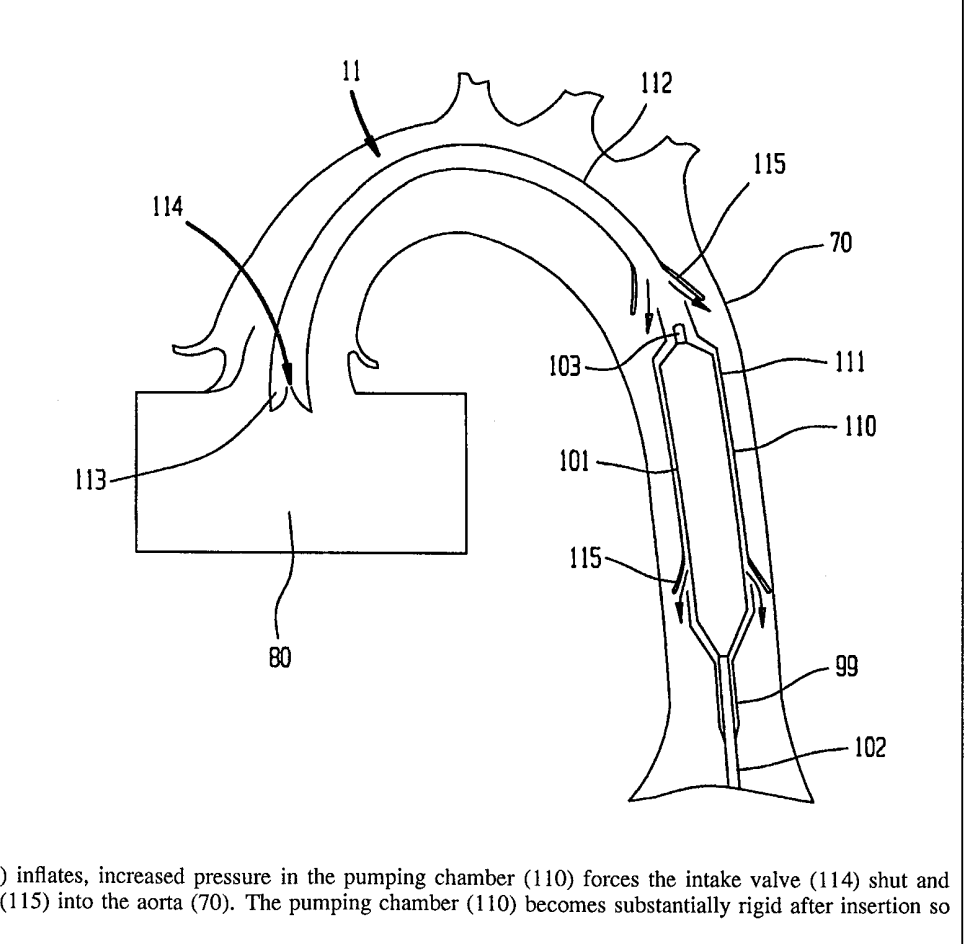
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification ⁶ : A61M 1/10, 25/00</p>	<p>A1</p>	<p>(11) International Publication Number: WO 99/49911 (43) International Publication Date: 7 October 1999 (07.10.99)</p>
<p>(21) International Application Number: PCT/US99/05172 (22) International Filing Date: 10 March 1999 (10.03.99) (30) Priority Data: 09/052,491 31 March 1998 (31.03.98) US (71) Applicant (for all designated States except US): DATASCOPE INVESTMENT CORP. [US/US]; 14 Philips Parkway, Montvale, NJ 07645 (US). (72) Inventor; and (75) Inventor/Applicant (for US only): LESCHINSKY, Boris [-/US]; 30 West Saddle River Road, Waldwick, NJ 07643 (US). (74) Agent: RONAI, Abraham; Datascope Corp., 14 Philips Parkway, Montvale, NJ 07645 (US).</p>	<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p>Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>	

(54) Title: CLOSED CHEST INTRA-AORTIC BALLOON BASED VENTRICULAR ASSIST DEVICE

(57) Abstract

A closed chest intra-aortic balloon catheter based ventricular assist device (11) comprising an intra-aortic balloon (IAB) catheter (100) and a pumping chamber (110) having a smaller diameter portion (112) and a more proximal larger diameter portion (111). The IAB catheter balloon membrane (101) is disposed within the larger diameter portion (111). The entire device (11) is inserted percutaneously into the aorta (70) of a patient such that the tip (103) of the IAB catheter (100) is just distal to the left subclavian artery (72) and the distal end of the smaller diameter portion (112) is in the left ventricle (80). The smaller diameter portion (112) has an intake valve (114) at its distal end which acts as a one-way valve allowing blood to flow into the lumen of the pumping chamber (110) but not out of it. The pumping chamber (110) has one or more outlet valves (115). As the balloon membrane (101) deflates, pressure in the pumping chamber (110) drops below that in the left ventricle (80), and as a result, blood flows into the pumping chamber (110). As the balloon membrane (101) inflates, increased pressure in the pumping chamber (110) forces the intake valve (114) shut and forces blood through the outlet valves (115) into the aorta (70). The pumping chamber (110) becomes substantially rigid after insertion so as to withstand arterial blood pressure.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakistan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

5 **TITLE:** **CLOSED CHEST INTRA-AORTIC BALLOON BASED VENTRICULAR
ASSIST DEVICE**

BACKGROUND OF THE INVENTION

10 1. Field of the Invention

The present invention relates generally to devices and systems for augmenting cardiac output, and specifically to intra-aortic cardiac assist pumps.

15 2. Description of the Prior Art

Intra-aortic and intra-ventricular cardiac assist devices are well known in the art. These devices are generally used to reduce the heart's work load after insult or surgery. They may also be used to increase blood flow from the left
20 ventricle of the heart into the aorta in cases of insufficient cardiac output due, for example, to acute or chronic heart ailments or to interference with normal cardiac function during surgery.

Cardiac assist devices fall into two basic categories, those comprising an external pumping chamber which remains
25 outside the body during the entire course of the therapy (extracorporeal) and those comprising an internal pumping chamber which remains inside of the body (intracorporeal). One major drawback to an internal pumping chamber is that it
30 requires extensive surgery for implantation and removal of the device.

Devices with external pumping chambers also have a number of drawbacks. U.S. Patent 4,014,317, which is incorporated herein by reference, describes a cardiocirculatory assist
35 cannula with a an external balloon pump and cardiac pacing

5 electrode. The cannula is inserted percutaneously through the
aorta so that its distal end is inside the left ventricle of
the heart. During systole, inlet valves on the cannula inside
the left ventricle remain open, and the contraction of the
ventricle forces blood to flow into the cannula. Then, during
10 diastole, the blood flows out, into the aorta, through one or
more outlet valves along the cannula downstream from the inlet
valve. A gas-filled chamber, similar in function to an Intra-
Aortic Balloon Pump (IABP), is connected to the cannula
external to the patient and downstream of the outlet valves.
15 The balloon is typically inflated during diastole and deflated
during systole, to assist in perfusion of the coronary
arteries. The cannula has a long and narrow shape which
presents a significant blood flow restriction, and thus,
limits the effective stroke volume of the device.
20 Accordingly, the device is of limited usefulness in augmenting
the blood output of a weakened or failing heart.

U.S. Patent 4,906,229, which is also incorporated herein
by reference, describes a high-frequency transvalvular
axisymmetric external blood pump. The pump includes a small
25 internal volume in a stiff barrel, which may be alternately
expanded and reduced by pneumatic or hydraulic pressure which
is exerted via a flexible membrane radially surrounding the
volume. The volume has intake and outlet ends, with one-way
axial valves at both of the ends, so that blood can flow only
30 from the heart into the aorta. The pump is connected via the
one-way intake valve to a cannula, which is inserted into the
left ventricle of the heart through the aortic valve. When
the internal volume is expanded, blood flows into the pump
from the ventricle. The volume is then reduced, and the blood
35 is ejected into the aorta through the outlet end. This pump

5 is designed to operate at a frequency of 600 to 1,000 cycles per minute. Since the stroke volume of the pump is typically only about 3-5 cc, these high cycle rates are needed in order to provide adequate perfusion.

10 A major drawback of the prior art extracorporeal intra-aortic cardiac assist devices involves an inherent design limitation of said devices. The prior art extra-corporeal intra-aortic cardiac assist devices pump blood out of the left ventricle, through a cannula, and into a downstream portion of the artery. There is a desire to make the inner diameter of
15 the cannula as large as possible so as to allow for the greatest possible blood flow rate through said cannula. There is also a desire, however, to make the outer diameter of the cannula as small as possible to ease its insertion into the artery and so as not to substantially reduce the blood flow in
20 the artery around the cannula. As a result of these competing design goals cannulae are generally designed large enough to accommodate only 20-40 cc of blood per heart cycle. The average patient, however, requires approximately 80-100 cc of blood per heart cycle for full blood flow support.

25 Aside from the internal / external pumping chamber distinction, cardiac assist devices are also categorized according to their pump drives, which are either continuous or pulsatile flow. In the Hemopump Cardiac Assist System, distributed by Johnson & Johnson Interventional Systems, a
30 cannula containing a special, miniature rotor pump mechanism is inserted into the aorta. The pump is driven by a drive unit outside the body, to pump blood continuously from the aorta into the rest of the arterial system, thereby supplementing to some degree the heart's natural output rate.
35 A system of this type is similarly described in U.S. Patent

5 5,092,844, which is incorporated herein by reference. A
drawback of this system is that the outer diameter of the
pump, and accordingly the pump's output, is limited due to the
need for insertion through the femoral artery. A further
drawback of this system, and of continuous-flow devices in
10 general, concerns the belief that pulsatile pumps provide more
effective long-term support than continuous-flow devices since
they approximate more closely the natural pump action of the
heart.

One of the best-known and most widely-used intra-aortic
15 pump systems is the Intra-Aortic Balloon Pump (IABP),
comprising a catheter, having an inflatable balloon at its
distal end, which is inserted through an artery into the
aorta. The balloon is alternately inflated and deflated by an
external pump drive, so as to alternately increase and
20 decrease blood pressure in the aorta, in counter phase with
the beating of the heart, in order to assist the left
ventricle in propelling blood into the arterial system. The
Intra-Aortic Balloon (IAB) catheter is a popular cardiac
assist device because it can be inserted percutaneously, and
25 therefore, avoids the major surgery associated with
implantation and removal of an internal ventricular assist
device. The IABP, however, provides only limited augmentation
of the heart's natural, unassisted output, and is not adequate
for overcoming a major heart failure.

30 While these devices may be suitable for the particular
purpose employed, or for general use, they would not be as
suitable for the purposes of the present invention as
disclosed hereafter.

5

SUMMARY OF THE INVENTION

Accordingly, it is an object of the invention to produce a cardiac assist device which is capable of overcoming heart failure by providing full blood flow support.

10

It is another object of the invention to produce a cardiac assist device which can be inserted percutaneously or through a limited cut-down procedure, and therefore, does not require extensive thoracic surgery for implantation and removal.

15

It is a further object of the invention to produce a cardiac assist device which produces a pulsatile blood flow which more closely approximates the natural pump action of the heart.

20

It is a still further object of the invention to produce a cardiac assist device which does not substantially reduce blood flow in the occupied artery.

25

The invention is a closed chest intra-aortic balloon catheter based ventricular assist device comprising an intra-aortic balloon (IAB) catheter and a pumping chamber having a smaller diameter portion and a more proximal larger diameter portion. The IAB catheter balloon membrane is disposed within the larger diameter portion. The entire device is inserted percutaneously into the aorta of a patient such that the tip of the IAB catheter is just distal to the left subclavian artery and the distal end of the smaller diameter portion is in the left ventricle. The smaller diameter portion has an intake valve at its distal end which acts as a one-way valve allowing blood to flow into the lumen of the pumping chamber but not out of it. The pumping chamber has one or more outlet valves. As the balloon membrane deflates, pressure in the

30

35

5 pumping chamber drops below that in the left ventricle, and as
a result, blood flows into the pumping chamber. As the
balloon membrane inflates, increased pressure in the pumping
chamber forces the intake valve shut and forces blood through
the outlet valves into the aorta. The pumping chamber becomes
10 substantially rigid after insertion so as to withstand
arterial blood pressure.

To the accomplishment of the above and related objects
the invention may be embodied in the form illustrated in the
accompanying drawings. Attention is called to the fact,
15 however, that the drawings are illustrative only. Variations
are contemplated as being part of the invention, limited only
by the scope of the claims.

BRIEF DESCRIPTION OF THE DRAWINGS

20

In the drawings, like elements are depicted by like
reference numerals. The drawings are briefly described as
follows.

FIG 1 is a longitudinal cross section of a prior art
25 cardiac assist pump system inserted into the aorta of a
patient.

FIG 2 is longitudinal cross section of the present
invention, a closed chest IAB-based ventricular assist device,
inserted into the aorta and left ventricle of a patient.

30 FIG 3 is a longitudinal cross section of a distal portion
of the inserted closed chest IAB-based ventricular assist
device, illustrated in FIG 2, with the balloon membrane in a
deflated state.

FIG 4 is a longitudinal cross section of a distal portion
35 of the inserted closed chest IAB-based ventricular assist

5 device, illustrated in FIG 2, with the balloon membrane in an inflated state.

FIG 5 is a longitudinal cross section of a distal end of a closed chest IAB-based ventricular assist device with an alternate embodiment of the intake valve in a transverse closed state.

FIG 6 is a longitudinal cross section of a distal end of a closed chest IAB-based ventricular assist device with an alternate embodiment of the intake valve in a longitudinal open state.

15 FIG 7 is a longitudinal cross section of a fluid-filled pumping chamber disposed about an IAB catheter balloon membrane and inserted into the aorta and left ventricle of a patient.

FIG 8 illustrates a perspective view of the fluid-filled pumping chamber illustrated in FIG 7.

FIG 9 is a plain view of a third embodiment of the pumping chamber in its unstretched larger diameter state.

FIG 10 is a plain view of the third embodiment of the pumping chamber in its stretched smaller diameter state.

25 FIG 11 is longitudinal cross sectional view of an alternate embodiment of the closed chest IAB-based ventricular assist device having a diaphragm.

FIG 12 is a longitudinal cross sectional view of a distal portion of the aorta and the closed chest IAB-based ventricular assist device with a diaphragm in a blood intake position.

FIG 13 is a longitudinal cross sectional view of a distal portion of the aorta and the closed chest IAB-based ventricular assist device with a diaphragm in a blood pump position.

5

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

FIG 1 illustrates a longitudinal cross section of an inserted prior art cardiac assist pump, generally designated 10, comprising an external pump 20 and a cannula 30 having a proximal end 31, a distal end 32, an intake valve 40, outlet valves 50, and a lumen 51. Preferably the cannula 30 is inserted percutaneously, through an incision 61 in the peripheral artery 60, or another suitable artery such as the femoral artery, and passed upstream through aorta 70 and aortic valve 140 into the left ventricle 80 of heart 90. The method of insertion is substantially similar to methods for insertion of other types of cardiac cannulae known in the art. The length of the cannula 30 is approximately 60 cm, which is generally sufficient to ensure that when the distal end of the cannula 30 is positioned in the left ventricle 80 the proximal end of the cannula 30 remains outside the body of the patient, adjacent to the incision 61. Alternatively, the cannula 30 may be inserted surgically through a suitable incision elsewhere in the arterial system.

Once the cannula 30 is in place the pump 20 creates a pressure differential in the cannula 30, and as a result, the intake valve 40, located at the distal end 32 of the cannula 30, is opened, and blood flows from the left ventricle 80 into lumen 51. Outlet valves 50 are kept closed while the blood fills the lumen 51. After an outside chamber (not shown) fills up with blood, the pump 20 creates an opposite pressure differential in the chamber, and as a result, the intake valve 40 is closed and the outlet valves 50 are opened and the blood is forced out of the lumen 51 and into the aorta 70.

FIG 2 illustrates a longitudinal cross section of the

5 present invention, generally designated 11, inserted into the
aorta 70 of a patient. The present invention 11 comprises an
Intra-Aortic Balloon (IAB) catheter 100 and a pumping chamber
110 having outlet valves 115. The pumping chamber 110
10 comprises a larger tube chamber portion 111, having a proximal
end 99, and a smaller tube cannula portion 112, having a
distal end 113. Note that the directional term "distal" refers
to a location closer to the heart. A leaflet intake valve
114, or other suitable intake valve, is attached to the distal
15 end 113 of the smaller tube cannula portion 112 of the pumping
chamber 110. The IAB catheter 100 comprises generally a
balloon membrane 101, an outer tube 102, a tip 103, and an
external pump 20. The IAB catheter 100 is generally inserted
percutaneously into the aorta 70 through an optional insertion
20 sheath 71, and the tip 103 is placed just distal to the left
subclavian artery 72. Identical to prior art IABs the
external pump 20 shuttles a non-blood material, such as gas,
back and forth in the outer tube 102 causing the balloon
membrane 101 to inflate and deflate rapidly. The balloon
membrane 101 is slidably disposed within the larger tube
25 chamber portion 111 of the pumping chamber 110. The proximal
end 99 of the larger tube chamber portion 111 is slidably
attached to the outer tube 102 of the IAB catheter 100 such
that blood cannot escape through said proximal end 99.

FIGS 3 and 4 illustrate a longitudinal cross section of
30 the pumping chamber 110 and a distal portion of the IAB
catheter 100 inserted into the aorta 70 of a patient. FIG 3
illustrates the means by which blood is forced into the
smaller tube cannula portion 112. The balloon membrane 101 is
in a deflated state, and as a result, the pressure in the
35 pumping chamber 110 is lower than the pressure in the aorta

5 70, and preferably, is close to that in the left ventricle 80. The pressure differential causes the leaflet intake valve 114 to open and allow blood to flow into the distal end 113 of the smaller tube cannula portion 112. Lower pressure in the pumping chamber 110 also causes the outlet valves 115 to
10 close, thereby, preventing any blood from escaping through said outlet valves 115 into the aorta 70. Note that as illustrated in FIG 3 the aortic valve 140 opens in concert with the outlet valves 115. This representation assumes that the heart 90 is pumping synchronously with the closed chest
15 IAB-based ventricular assist device 11. However, use of the present invention 11 is anticipated with a totally failed left heart. In such a case, the diameter of the smaller tube cannula portion 112 may be made larger so as to accommodate the entire blood flow. Note further that the smaller tube
20 cannula portion 112 and the annular area between the balloon membrane 101 and the larger tube chamber portion 111 are the only blood containing portions of the present invention 11. The IAB catheter 100 may be considered the non-blood containing portion of the present invention 11. FIG 4
25 illustrates the means by which the blood is forced out of the pumping chamber 110. The balloon membrane 101 is inflated causing the pressure in the pumping chamber 110 to increase. As a result, the intake valve 114 is forced shut by blood attempting to reenter the left ventricle 80, and the outlet
30 valves 115 are forced open allowing blood in both the smaller tube cannula portion 112 and the larger tube chamber portion 111 to flow into the aorta 70. Note that outlet valves 115 may be located throughout the pumping chamber 110. Note that the present invention 11, with minor variations, is
35 anticipated for use as a right ventricular assist device as

5 well.

FIG 5 illustrates an alternate embodiment of the intake valve 114. The intake valve 114 comprises a disk 116 that is rotatably attached to the smaller tube cannula portion 112 by means of a pin 117. As blood attempts to flow into the left
10 ventricle 80 the disk 116 rotates to its transverse closed position and stays there as a result of stoppers 118, preventing blood from reentering the left ventricle 80. As blood attempts to flow out from the left ventricle 80, as illustrated by FIG 6, the disk 116 spins back to its
15 longitudinal open position and allows the blood to flow into the pumping chamber 110. Note that different forms of intake and outlet valves are anticipated.

Preferably the IAB catheter 100 and the pumping chamber 110 are inserted percutaneously in series or as one unit. The
20 method for inserting an IAB catheter is well known in the art. The preferred embodiment of the pumping chamber 110, capable of being inserted percutaneously, is illustrated in FIGS 7-8. The pumping chamber 110 must be able to withstand the high pressures generated in the aorta 70, as a result of the
25 deflation of the balloon membrane 101, during actual blood pumping, and yet it must also be flexible enough during insertion to be capable of being advanced into the aorta 70. FIG 7 illustrates a longitudinal cross section of the fluid-filled pumping chamber 110, disposed about the balloon
30 membrane 101 of the IAB catheter 100, which demonstrates these properties. The IAB catheter 100 is percutaneously inserted such that the tip 103 is just proximal the left subclavian artery 72. The pumping chamber 110 has a pump tube 118, having a distal end 113 and a proximal end 99, and a fluid
35 delivery tube 119 attached to the pump tube 118. The pumping

5 chamber 110 is disposed about a proximal end 126 of the IAB
catheter 100 and advanced into the aorta 70 such that distal
end 113 of the pump tube 118 enters the left ventricle 80. The
proximal end 99 of the pump tube 118 is slidably attached to
the outer tube 102 of the IAB catheter 100 such that blood
10 cannot escape through said proximal end 99. A lumen 120
extends the entire length of the fluid delivery tube 119 and
runs in a helical or other similar manner around the pump tube
118. Once positioned in the aorta 70 the lumen 120 is filled
with fluid. The fluid-filled lumen 120 increases the rigidity
15 of the pumping chamber 110 such that it is capable of
withstanding pressures generated in the aorta 70 during
deflation of the balloon membrane 101. Upon completion of
therapy, the fluid is pumped out of the lumen 120 and the
pumping chamber 110 is once again supple and small enough to
20 be removed from the aorta 70 percutaneously. FIG 8
illustrates a perspective view of the fluid-filled pumping
chamber 110 illustrated in FIG 7. As an alternative to the
fluid-filled helical lumen 120, fluid-filled pockets or fluid-
filled surface sectors, of various geometries, may be used.

25 FIG 9 illustrates an alternate pumping chamber 110 made
from intercoiled wires covered with an elastic leak proof or
other suitable material, similar to expandable stents on the
market, and has the property that when pulled or stretched its
cross sectional diameter decreases. Insertion of the closed
30 chest IAB-based ventricular assist device 11 incorporating the
pumping chamber 110, as illustrated in FIG 9, comprises the
following steps. The IAB catheter 100 is first inserted into
the aorta 70. Next, the pumping chamber 110, in a stretched
state, is advanced over the IAB catheter 100 into the aorta
35 70. Upon positioning of the pumping chamber 110, such that

5 the balloon membrane 101 of the IAB catheter 100 is disposed
within the pumping chamber 100, the pumping chamber 110 is
released and allowed to expand from its smaller diameter
stretched state to its larger diameter unstretched state. FIG
9 illustrates a plain view of the pumping chamber 110 in its
10 unstretched state. FIG 10 illustrates a plain view of the
pumping chamber 110 in its stretched state. The pumping
chamber 110 is attached to the IAB catheter 100 in the same
manner as the pumping chamber 110 illustrated in FIG 2. Upon
completion of therapy, the pumping chamber 110 is stretched to
15 its smaller diameter stretched state and is then removed
percutaneously. After removal of the pumping chamber 110 the
IAB catheter 100 is removed percutaneously. The pumping
chamber 110 is inserted into and removed from the patient in a
manner similar to other expandable stents on the market, such
20 as the Corvita stent (produced by Corvita Corp, Miami, FL).
As an alternative method of insertion, the IAB catheter 100
may be inserted after insertion of the pumping chamber 110.

FIG 11 illustrates a longitudinal cross section of an
alternate embodiment of the present invention 11 inserted into
25 the aorta 70 comprising a cannula 160 having a gas tube
portion 128, a proximal end 126, and a pumping chamber 110
having a distal end 113 and a diaphragm 127. The proximal end
126 of the gas tube portion 128 is connected to an external
non-blood pump 20. The distal end of the pumping chamber 110
30 is inserted into the left ventricle 80 of the heart 90.
Similar to the first embodiment of the present invention 11,
blood flow is limited only to the pumping chamber 110. The
pumping chamber 110 is the only blood containing portion of
the present invention 11. The gas tube portion 128 is filled
35 with a non-blood material, such as helium. The gas tube

5 portion 128 basically replaces the IAB catheter 100, as
illustrated in FIG 2, as the non-blood containing portion of
the present invention 11. The diameter of the gas tube
portion 128 may be made smaller than the diameter of the
pumping chamber 110. Reduction of the diameter of the gas
10 tube portion 128 allows for more blood flow around the gas
tube portion 128 and thus improves circulation in the patient
during the procedure.

As illustrated in FIG 12, as soon as the pump 20 (FIG 11)
decreases the pressure in the gas tube portion 128 the
15 diaphragm 127 moves to a blood intake position, and as a
result, the outlet valves 115 close, the intake valve 114
opens, and blood rushes into the pumping chamber 110. FIG 12
illustrates a longitudinal cross section of a distal portion
of the alternate embodiment illustrated in FIG 11 with the
20 diaphragm 127 in a blood intake state. As illustrated in FIG
13, as soon as the pump 20 increases the pressure in the gas
tube portion 128 the diaphragm moves to a blood pump position,
and as a result, the intake valve 114 closes, the outlet
valves 115 open, and blood rushes out of the pumping chamber
25 110 into the aorta 70.

5

CLAIMS

What is claimed is:

1. A device for pumping blood comprising:

10 (a) a blood containing portion comprising a pumping chamber having an outer diameter which defines and encloses a lumen therein, said pumping chamber having a distal end, a proximal end, at least one one-way outlet valve, and at least one one-way intake valve, the pumping chamber is for insertion through a blood vessel of a subject such that the distal end
15 is placed inside a ventricle of the heart of said subject, blood enters the lumen from the ventricle through the intake valve which is adjacent to the distal end of the pumping chamber, blood exits the lumen through the outlet valve which is proximal the intake valve, the blood containing portion is
20 contained entirely within the body of the subject; and

(b) a non-blood containing portion having an outer diameter and a proximal end connected to a means for changing the volume of blood in the blood containing portion by changing the volume of the non-blood containing portion, when
25 the volume of the non-blood containing portion is decreased the volume of the blood-containing portion correspondingly increases by blood flow from the ventricle through the intake valve and into the lumen, and when the volume of the non-blood containing portion is increased the volume of the blood-
30 containing portion correspondingly decreases by blood flow out of the outlet valve and into the blood vessel of the subject.

2. The device for pumping blood as claimed in claim 1 wherein the outer diameter of the non-blood containing portion is
35 smaller than the outer diameter of the pumping chamber.

5

3. The device for pumping blood as claimed in claim 1 wherein the means for changing the volume of blood in the blood containing portion comprises a non-blood pump attached to the proximal end of the non-blood containing portion and a flexible diaphragm which separates the blood containing portion and the non-blood containing portion and which moves between a blood intake position and a blood pump position, the non-blood pump increases the volume of the non-blood containing portion by increasing the pressure in the non-blood containing portion and decreases the volume of the non-blood containing portion by decreasing the pressure in the non-blood containing portion, when pressure in the non-blood containing portion is made higher than pressure in the blood containing portion the diaphragm moves to the blood pump position causing blood to flow out of the blood containing portion into the blood vessel via the outlet valves, when pressure in the non-blood containing portion is made lower than pressure in the blood containing portion the diaphragm moves to the blood intake position causing blood to enter the blood containing portion via the intake valve.

4. The device for pumping blood as claimed in claim 1 wherein the means for changing the volume of blood in the blood containing portion comprises a non-blood pump and a balloon membrane which separates the blood containing portion and the non-blood containing portion and which is enveloped within the blood containing portion, the non-blood containing portion contains a non-blood material, the non-blood pump inflates the balloon membrane with the non-blood material and also deflates the balloon membrane, as the balloon membrane is inflated some

5 blood contained within the blood-containing portion is forced out of the blood containing portion via the outlet valve, as the balloon membrane is deflated blood enters the blood containing portion via the intake valve.

10 5.A device for pumping blood comprising a cannula having a gas tube portion, a pumping chamber, and a diaphragm disposed within said cannula separating the gas tube portion and the pumping chamber which moves between a blood pump position and a blood intake position, the pumping chamber has at least one
15 intake valve adjacent to its distal end and at least one outlet valve further away from the heart of a patient than the intake valve, when the diaphragm is moved to the blood intake position blood enters the pumping chamber via the intake valve and the outlet valves are in a closed state, when the
20 diaphragm moves to a blood pump position the intake valve closes and blood inside the pumping chamber is forced out through the outlet valves, the pumping chamber is contained within the patient, the gas tube portion contains a non-blood material, a change in the volume of the non-blood material in
25 the gas tube portion shifts the diaphragm between its blood pump position and its blood intake position.

6.The device for pumping blood as claimed in claim 5 further comprising a non-blood pump attached to a proximal end of the
30 gas tube portion for changing the volume of the non-blood material in the gas tube portion.

7. A device for pumping blood comprising an intra-aortic balloon catheter having a balloon membrane and a pumping
35 chamber having a distal end, a larger tube chamber portion,

5 and a communicating smaller tube cannula portion, the larger
tube chamber portion has a proximal end, an opening on its
proximal end, and a larger outer diameter than the smaller
tube cannula portion, the balloon membrane in a deflated state
fits within and is passable through the opening on the
10 proximal end of the larger tube chamber portion, the balloon
membrane is slidably disposed within the larger tube chamber
portion, the pumping chamber has at least one intake valve
adjacent to its distal end and at least one outlet valve
closer to the balloon membrane than the intake valve, when the
15 balloon membrane is deflated blood enters the pumping chamber
via the intake valve and the outlet valves are in a closed
state, when the balloon membrane is inflated the intake valve
closes and blood inside the pumping chamber is forced out
through the outlet valves, the pumping chamber is for
20 insertion within the patient.

8. The device for pumping blood as claimed in claim 7 wherein
the pumping chamber is collapsible.

25 9. The device for pumping blood as claimed in claim 7 wherein
the pumping chamber has the property that when stretched its
cross sectional diameter decreases and that when relieved from
stretch returns to its previous larger cross sectional
diameter.

30 10. The device for pumping blood as claimed in claim 9 wherein
the pumping chamber is made from intercoiled wires.

11. The device for pumping blood as claimed in claim 7 wherein
35 the pumping chamber comprises a stiffening means which holds a

5 stiffening material.

12.The device for pumping blood as claimed in claim 11 wherein the stiffening material is a fluid.

10 13.The device for pumping blood as claimed in claim 11 wherein the stiffening means comprises a lumen capable of receiving the stiffening material and a supply tube for supplying the stiffening material.

15 14.The device for pumping blood as claimed in claim 13 wherein the lumen runs in a helical manner around the pumping chamber.

15.A method for inserting a device for pumping blood comprising a pumping chamber having a larger tube chamber
20 portion and a communicating smaller tube cannula portion and an intra-aortic balloon catheter having a balloon membrane and a tip, the smaller tube cannula portion has a distal end, the larger tube chamber portion has a proximal end and an opening on its proximal end, the balloon membrane in a deflated state
25 fits within and is passable through the opening on the proximal end of the larger tube chamber portion, said method comprising the steps of:

a)percutaneously inserting the pumping chamber into a blood vessel of a patient such that the distal end of the pumping
30 chamber is located within a ventricle of the patient; and
b)percutaneously inserting the intra-aortic balloon catheter into the blood vessel of the patient such that the tip and balloon membrane pass through the opening in the proximal end of the larger tube chamber portion.

35

5 16.A method for inserting a device for pumping blood
comprising a collapsible pumping chamber having a larger tube
chamber portion and a communicating smaller tube cannula
portion and an intra-aortic balloon catheter having a balloon
membrane and a tip, and a stiffening means for stiffening the
10 collapsible pumping chamber, the smaller tube cannula portion
has a distal end, the larger tube chamber portion has a
proximal end and an opening on its proximal end, the balloon
membrane in a deflated state fits within and is passable
through the opening on the proximal end of the larger tube
15 chamber portion, said method comprising the steps of:

a)percutaneously inserting the pumping chamber in a collapsed
state into a blood vessel of a patient such that the distal
end of the pumping chamber is located within a ventricle of a
patient;

20 b)stiffening the collapsed pumping chamber; and

c)percutaneously inserting the intra-aortic balloon catheter
into the blood vessel of the patient such that the tip and
balloon membrane pass through the opening in the proximal end
of the larger tube chamber portion.

25

17.A method for inserting a device for pumping blood
comprising an intra-aortic balloon catheter having a balloon
membrane and a tip, a collapsible pumping chamber having a
larger tube chamber portion, a communicating smaller tube
30 cannula portion, a stiffening lumen, and a supply tube, the
smaller tube cannula portion has a distal end, the larger tube
chamber portion has a proximal end and an opening on its
proximal end, the balloon membrane in a deflated state fits
within and is passable through the opening on the proximal end
35 of the larger tube chamber portion, comprising the steps of:

- 5 a)percutaneously inserting the collapsed pumping chamber into
a blood vessel of a patient such that the distal end of the
pumping chamber is located within a ventricle of a patient;
b)pumping a stiffening material through the supply tube into
the stiffening lumen so as to stiffen the pumping chamber; and
10 c)percutaneously inserting the intra-aortic balloon catheter
into the blood vessel of the patient such that the tip and
balloon membrane pass through the opening in the proximal end
of the larger tube chamber portion.

FIG. 1
(PRIOR ART)

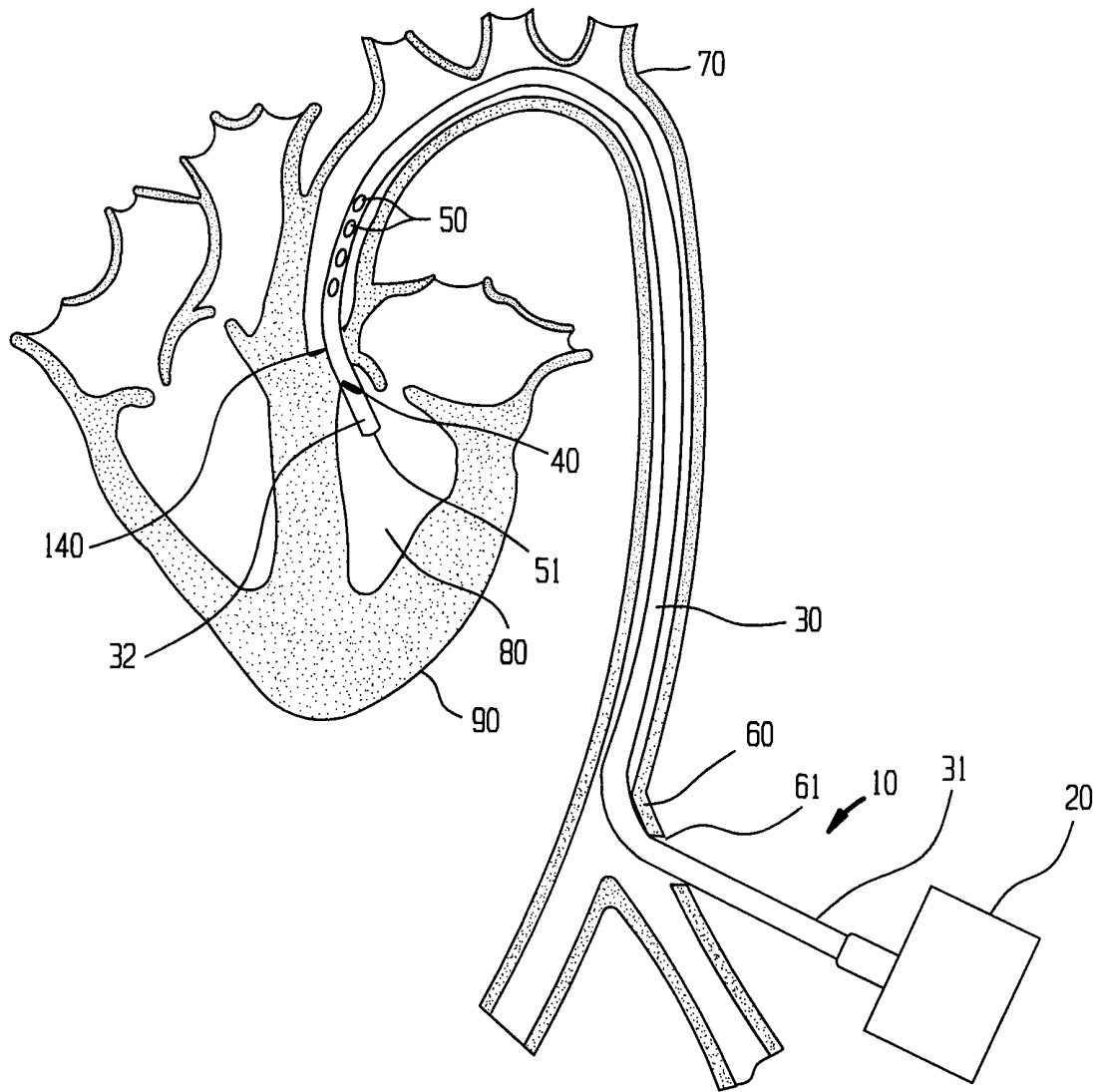


FIG. 2

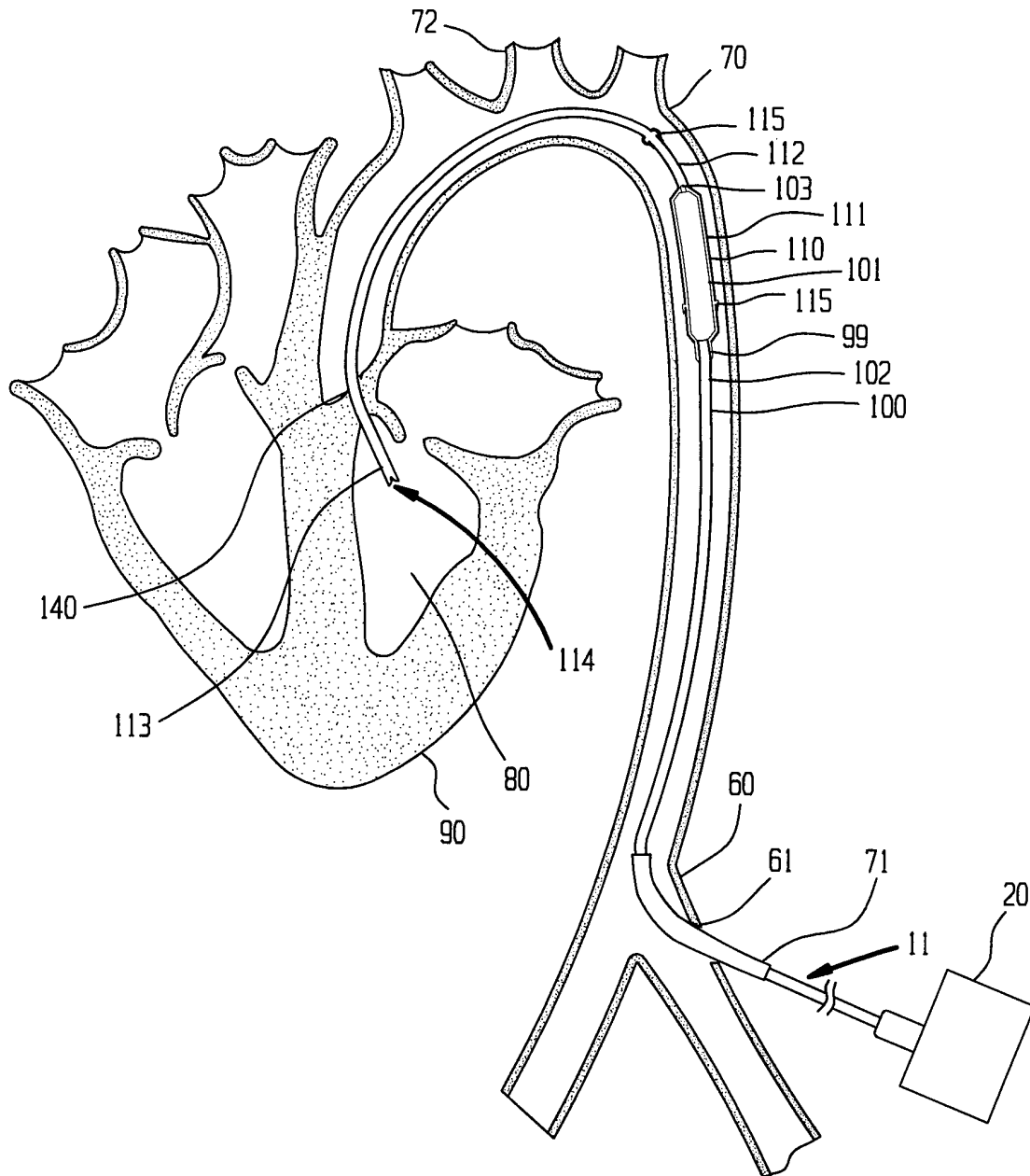


FIG. 3

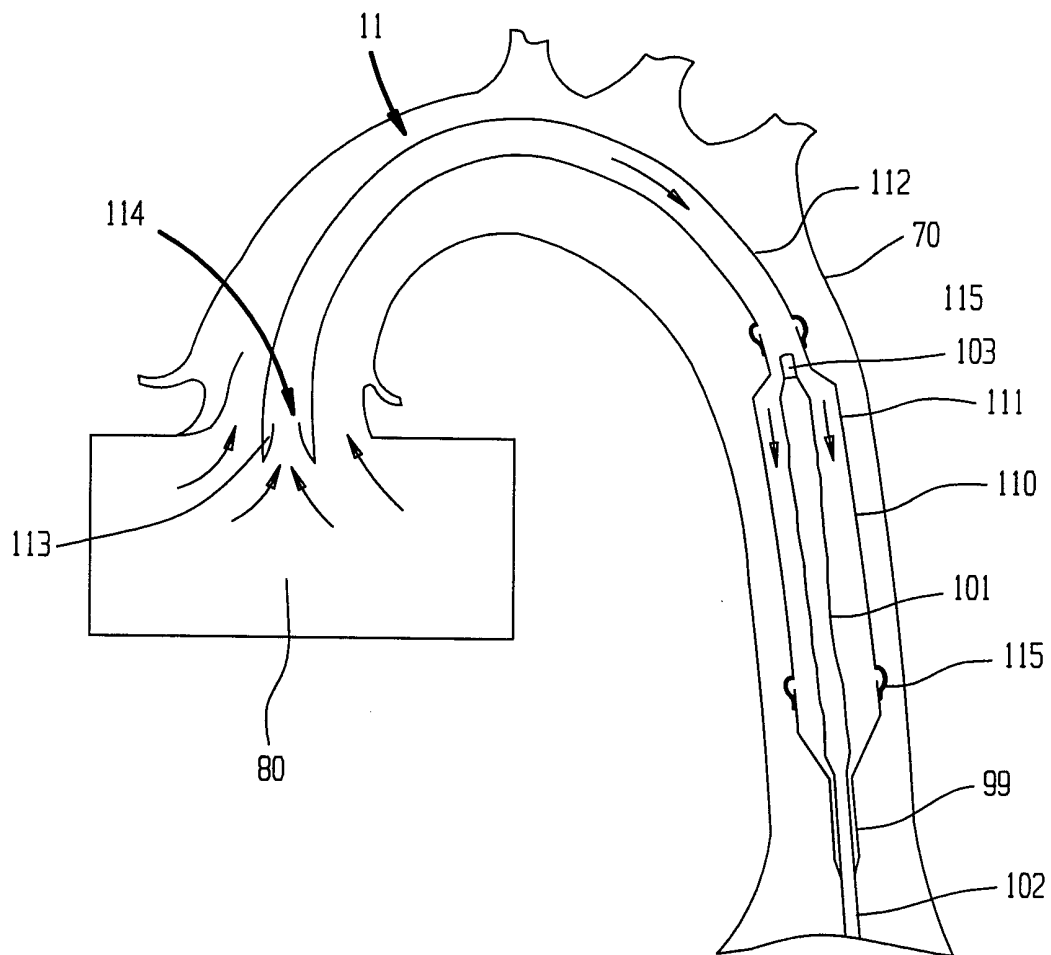
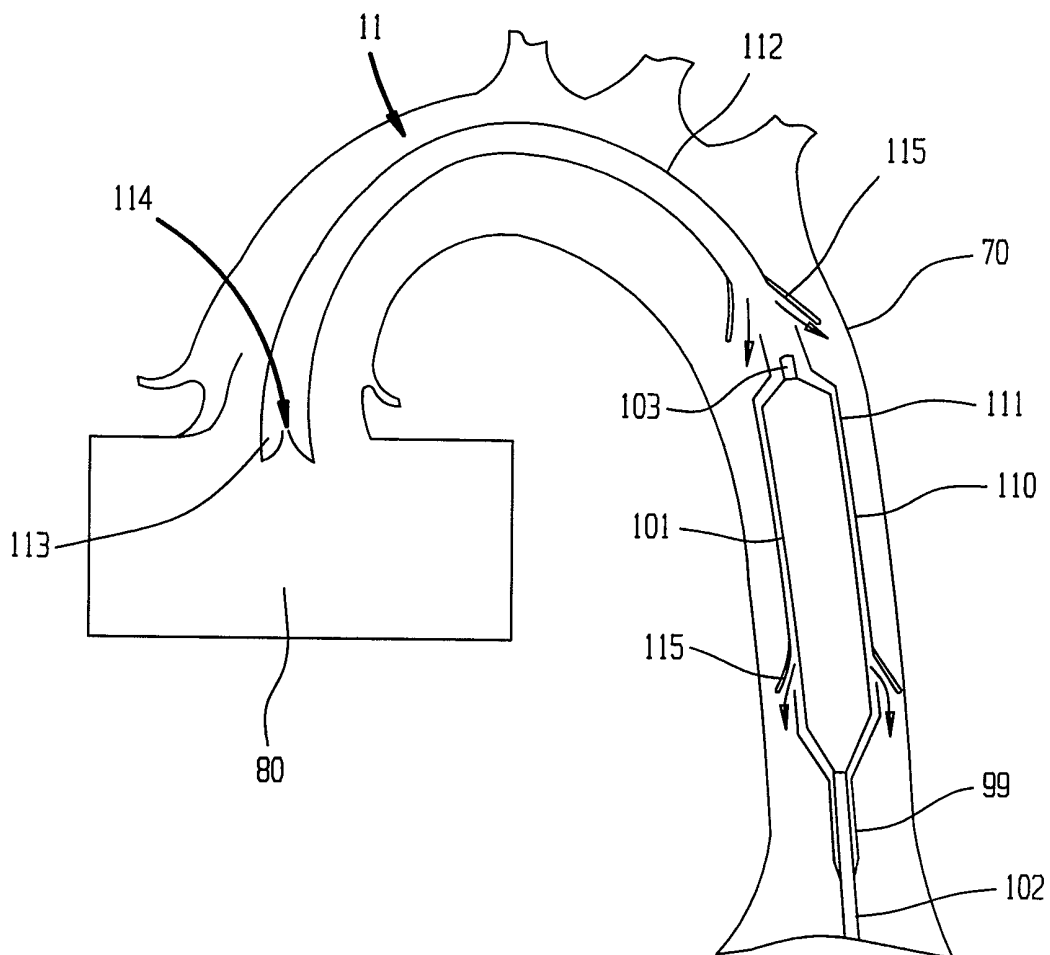


FIG. 4



5/11

FIG. 5

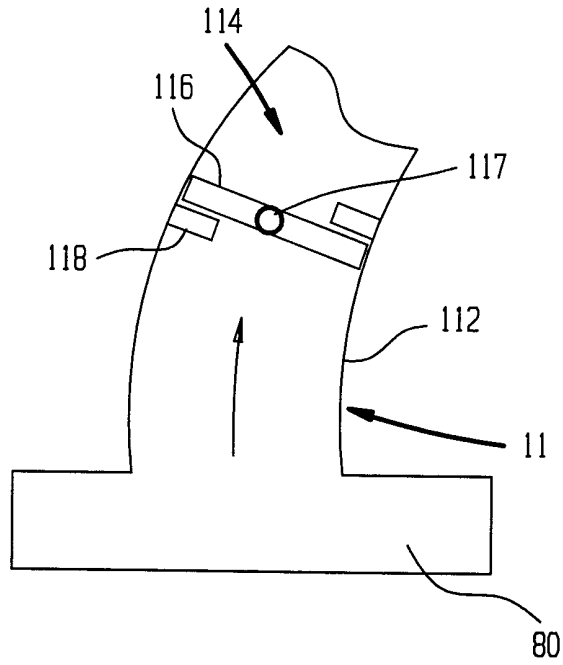


FIG. 6

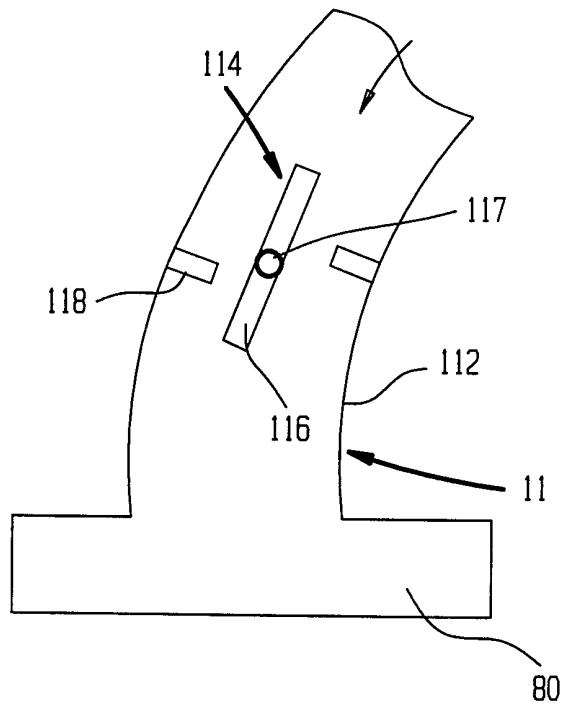


FIG. 7

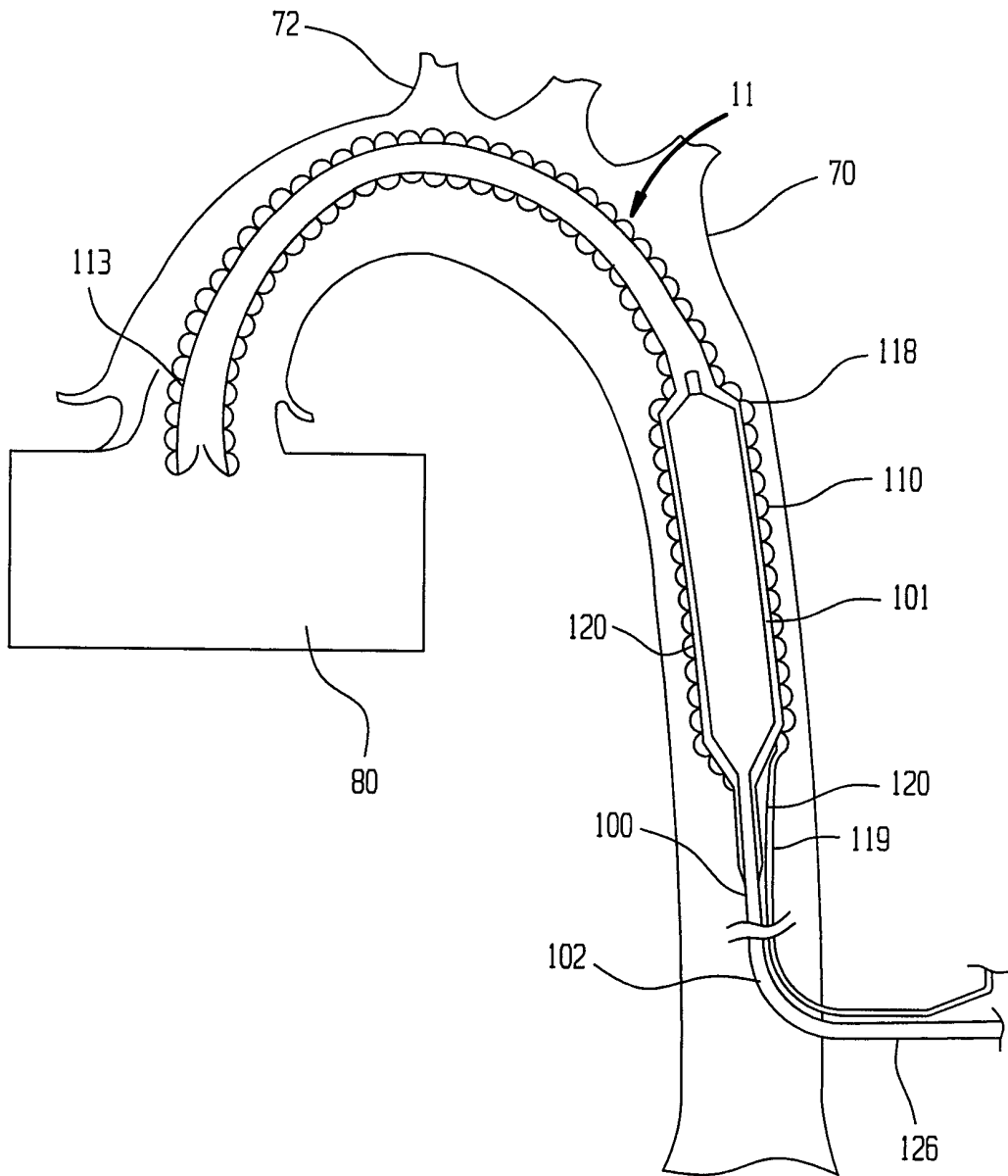


FIG. 8

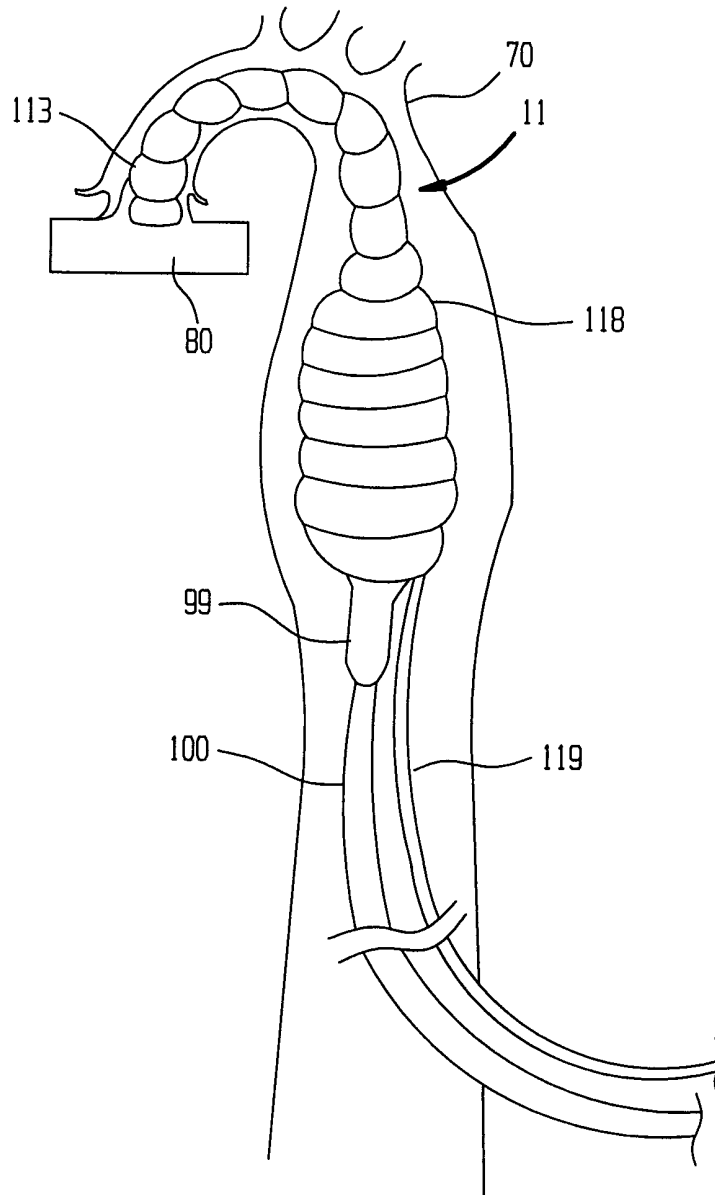


FIG. 9

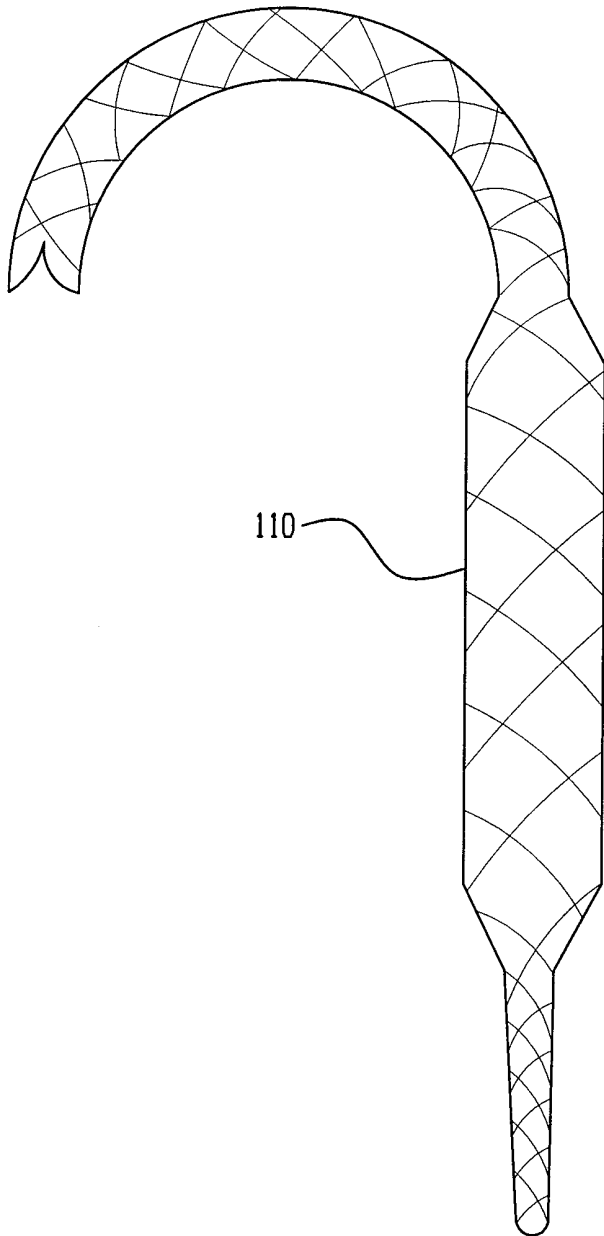
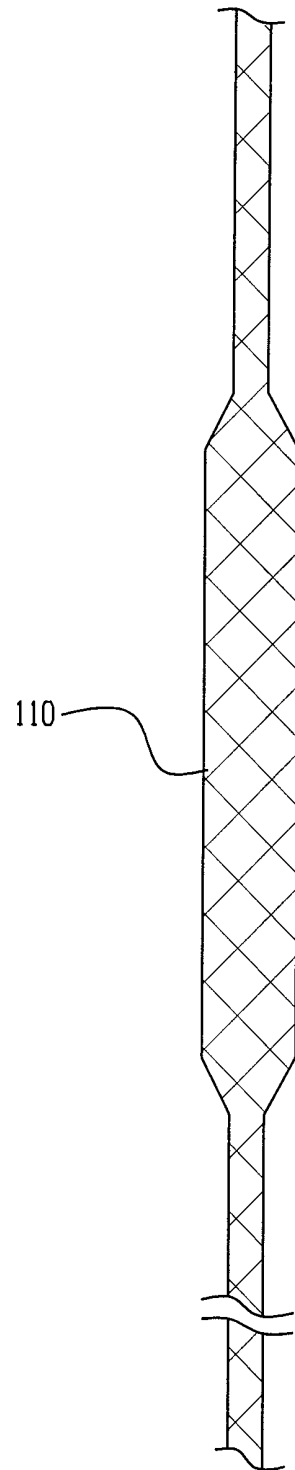


FIG. 10



9/11

FIG. 11

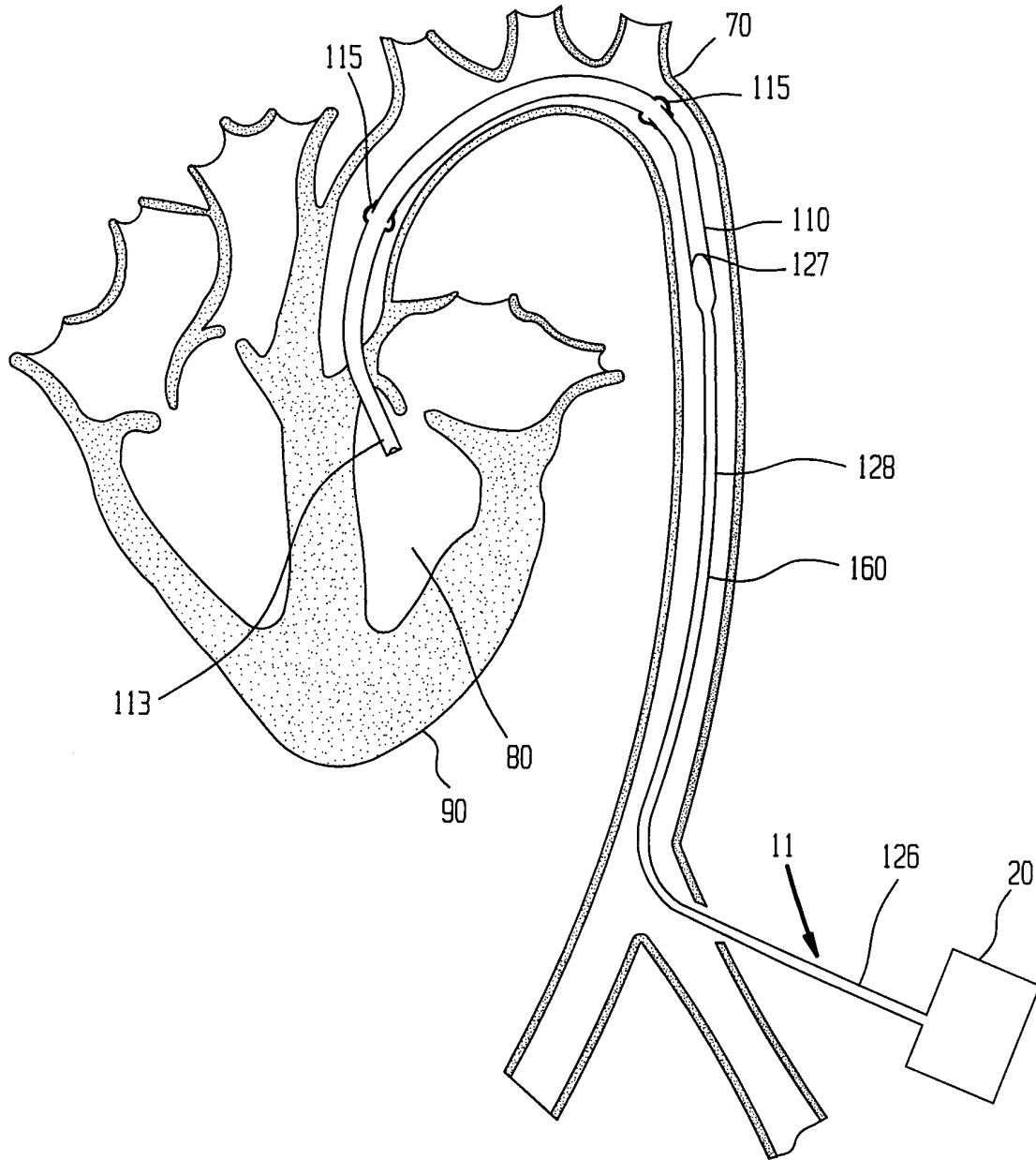


FIG. 12

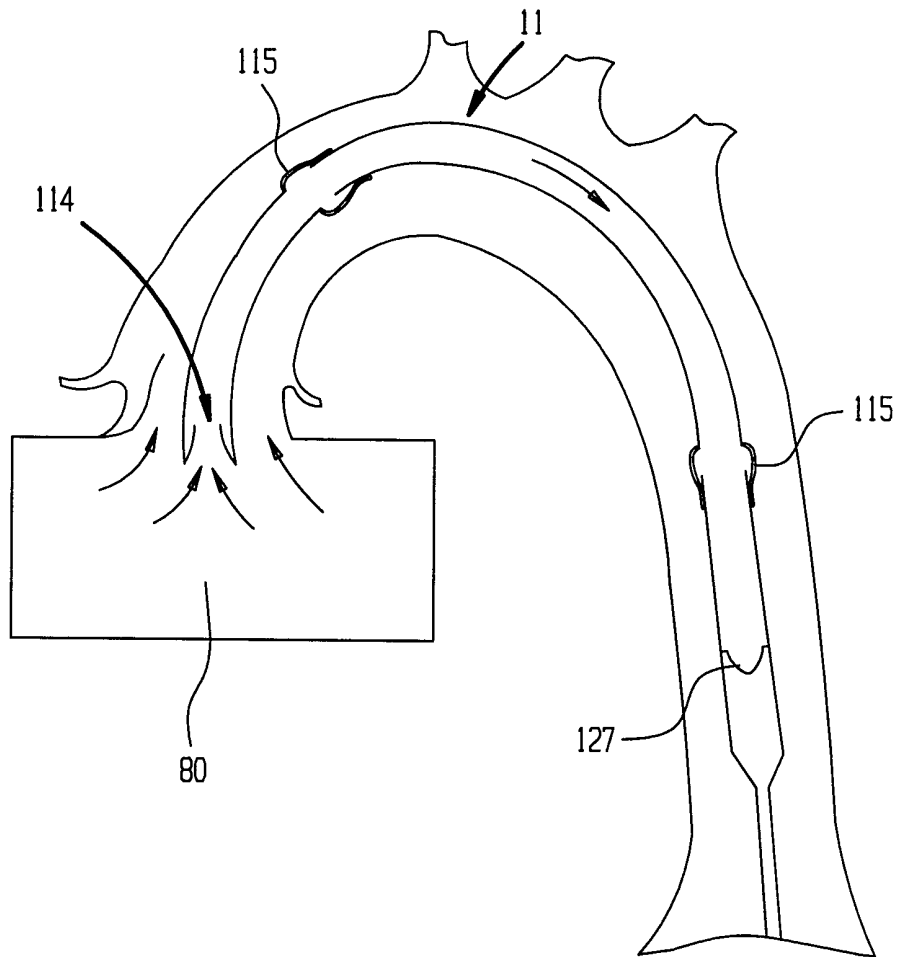
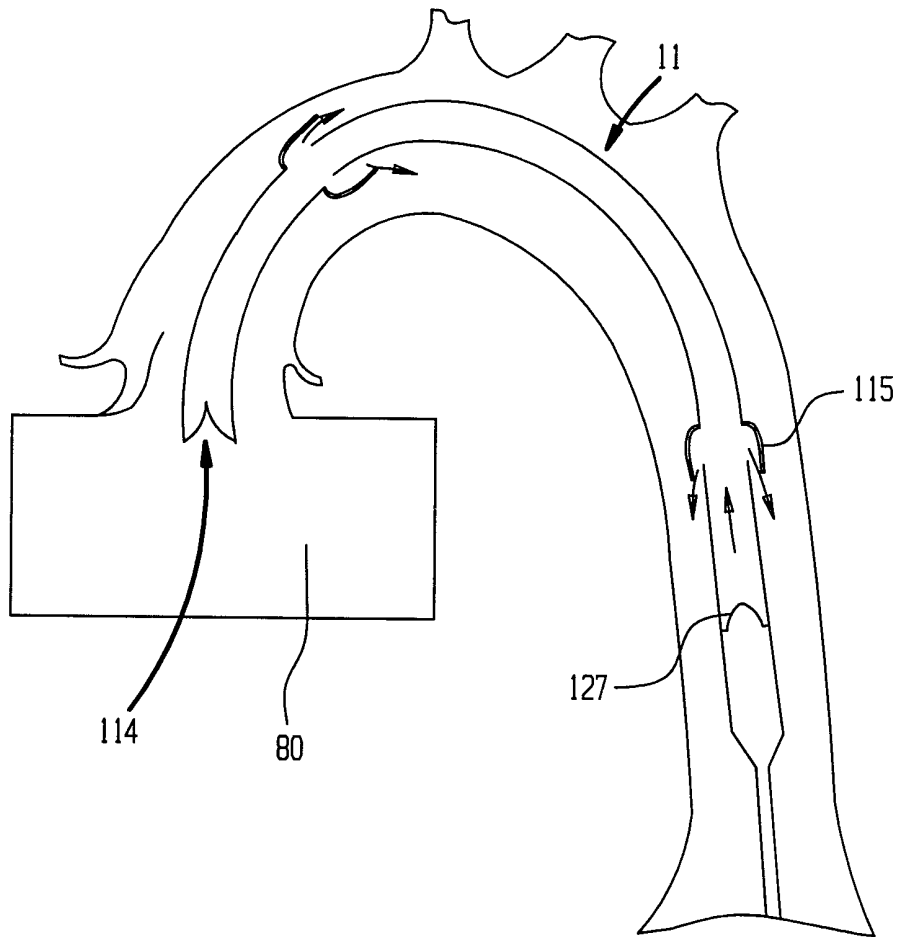


FIG. 13



INTERNATIONAL SEARCH REPORT

Intern. Application No

PCT/US 99/05172

A. CLASSIFICATION OF SUBJECT MATTER
 IPC 6 A61M1/10 A61M25/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
 IPC 6 A61M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4 906 229 A (WAMPLER) 6 March 1990 (1990-03-06) cited in the application column 2, line 61 - column 3, line 56 figures 1-4	1,3
X	GB 1 526 099 A (ROSS ET AL.) 27 September 1978 (1978-09-27) page 2, line 43 - line 117 figure 1	5,6
A	---	4,7,8
A	WO 97 02850 A (RDC RAFAEL DEVELOPMENT CORPORATION LIMITED) 30 January 1997 (1997-01-30) page 11, line 2 - page 12, line 16 figure 1	1,5

	-/--	

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

° Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

29 July 1999

Date of mailing of the international search report

05/08/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
 NL - 2280 HV Rijswijk
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
 Fax: (+31-70) 340-3016

Authorized officer

Schönleben, J

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 99/05172

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 015 590 A (NORMANN) 5 April 1977 (1977-04-05) column 2, line 44 - column 4, line 60 figure 1 -----	7,8

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 99/05172

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. Claims Nos.: 15-17
because they relate to subject matter not required to be searched by this Authority, namely:
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2. Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

Intern. Patent Application No

PCT/US 99/05172

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 4906229 A	06-03-1990	AT 119400 T	15-03-1995
		AU 625556 B	16-07-1992
		AU 3430289 A	29-11-1989
		CA 1328790 A	26-04-1994
		DE 68921627 D	13-04-1995
		DE 68921627 T	06-07-1995
		EP 0415949 A	13-03-1991
		JP 4500318 T	23-01-1992
		WO 8910763 A	16-11-1989

GB 1526099 A	27-09-1978	NONE	

WO 9702850 A	30-01-1997	AU 6317596 A	10-02-1997
		CA 2226491 A	30-01-1997
		EP 0837704 A	29-04-1998

US 4015590 A	05-04-1977	NONE	
