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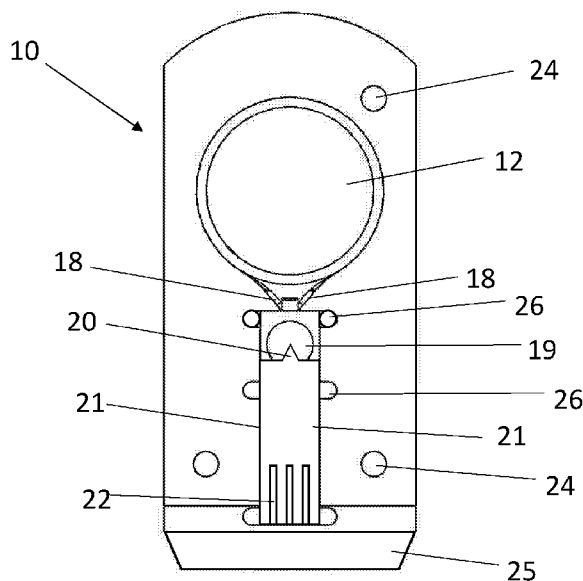


Figure 1a

(57) **Abstract:** A cartridge device (10) for collecting and analysing a breath condensate is disclosed. The device (10) comprises a condensation zone (12) to condense exhaled breath from a subject and cooling means operably connected to the condensation zone (12). The device includes further discrete regions (13) for detection of analyte and measurement of analyte. The condensation zone (12) has a fluid exit connecting the condensation zone (12) to the discrete regions (13). [Figure 12b]



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## **A Breath-Condensate Device**

### Field of the Invention

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The present invention relates to a device for use in analysing exhaled mammalian breath, especially that of a human, but also of animals such as horses, dogs, etc. The device is particularly for use in analysing alveolar air, and to be retained, in use, within a housing to aid collection of breath-condensate.

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### Background of the Invention

In a previous application, EP2173250, assigned to the Applicant, a device is described which allows efficient collection of exhaled breath, and in particular collection with a minimal loss of volatile components, which would introduce error into subsequent analyses. Once the breath sample is condensed, it is then made available for analysis. However, analysis needs to be done separately from the device, which means the sample constituents can change in the meantime, affecting the results obtained.

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Prior art document DE199 51 204 describes a method of condensing exhaled breath until a predetermined volume of sample is obtained. The sample thus obtained is moved from the storage zone to a detection zone. However, the methodology includes a delay between the collection and detection so that any inherent instabilities in the sample will affect the final

concentrations determined. For example, the sample has sufficient time to dry out, short-lived species may decompose and there is a risk of contamination from outside sources.

5 DE 101 375 65 addresses the problems partially through the provision of a closed cassette for measurement of breath condensate. Within the device, buffer solutions and/or sensor calibration solutions are included. However, some of the liquid reagents used have a limited shelf life. Moreover, the operator is required to perform several manual steps, often using said liquid reagents, which can lead to delay and potential errors.

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### Summary of the Invention

15 In a first, broad, independent aspect, there is provided a cartridge device for collecting and analysing a breath condensate, the device comprising a condensation zone, to condense exhaled breath from a subject, the condensation zone being operably connectable to a cooling means, the device including one or more further discrete regions for detection of analyte and measurement of analyte, the cartridge device further comprising a fluid path connecting the condensation zone to the or each discrete region.

20 This configuration is particularly advantageous because the integrated nature of the device allows for minimal interventions from an operator and therefore increases the accuracy and the reliability of the analysis produced and condensate from only a single exhaled breath or a short breath cycle, taken for example over 60 seconds, is required. This configuration also minimises the risk of any cross-contamination or loss of sample occurring. The spatial  
25 separation of a region from another region allows for regions to be held at different temperatures and conditions, and as the condensate is provided with a natural flow path into channels and sensing zones of the discrete regions there is no need for interference from an operator, thereby removing one of the biggest sources of inaccuracy: user error when performing manual tasks.

30

The integrated nature of the device also allows for the device to be provided as a self-contained and removably insertable cartridge part which can work in conjunction with a housing to provide a more complex analysing device. Thus, such a device as described may be provided as a replaceable cartridge for an analysing device.

Preferably, the condensation zone has a lid which at least partially covers said condensation zone. This configuration is particularly advantageous because a partial lid aids the retention of the condensate within the device and channels the breath condensate towards the  
5 channels and the sensing zones.

Preferably, the device includes analysis initiation means to detect the presence of a condensate.

10 This configuration is particularly advantageous because it enables the functions for analysis of a breath condensate to be carried out in a single integrated device which decreases any delay and likelihood of error associated with movement of condensate samples. It provides a further level of control of the system to produce analysis without need for an operator involvement.

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Preferably, the or each discrete region has a specified volume, which allows the measurements to be calculated based upon the volumes.

The specified volume may be up to approximately 4  $\mu\text{l}$ .

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Preferably, one or more discrete regions has a specified volume such that there is an analyte detection zone whose volume is less than the volume of condensate from one exhaled breath. This allows for the measurement of a determined volume of the breath condensate.

25 Preferably, a surface of a discrete region includes a surface coating, said coating including reagents to engage the condensate and determine composition.

This configuration is particularly advantageous because it means there is no necessity for a liquid reagent to be added to the sample thus minimising dilution errors and providing a  
30 device which has both an extended shelf life and is easier to manufacture.

Preferably, the surface coating has a thickness in a range of from 1 $\mu\text{m}$  to 15 $\mu\text{m}$ .

Preferably, a discrete region includes 2 or more electrodes in operable connection with a condensation zone, the electrodes being maintained at different potentials. Further preferably, the potential between the pair of electrodes is variable. The use of electrodes allows accurate determination of the analyte and moreover provides a long-lasting means of analysis, allowing a device to be stored for extended periods without degradation of accuracy.

Optionally, a reagent is added to said condensate in a further discrete preparation region.

10 This allows the use of chemicals and reagents that would be intrinsically incompatible if formulated together, or stored in intimate contact to be prepared within the device, except with adequate physical separation to prevent interaction, reaction and or degradation during manufacturing and storage.

15 Preferably, one or more regions are temperature controlled.

This configuration is particularly advantageous because the different functions of the device require different temperatures at which to work best, therefore zones can be held at the same temperature or different temperatures and the temperatures can be changed during the operation of the device and the temperature within a zone can be controlled relative to ambient temperature.

Preferably, the reagents for the analysis of the condensate are loaded into the condensate sample during passage of the condensate sample from the condensation zone to a detection zone. This assists in the detection and analysis of analytes within the condensate.

Preferably, a discrete region has a perimeter ranging from 2-10mm, and especially a perimeter of 5mm.

30 Preferably a discrete region has a height of approximately from 75-750 $\mu$ m, and especially a height of 100 $\mu$ m.

A discrete region may comprise a chamber, the chamber being enclosed on five sides with a sixth side open for fluid to enter the said chamber and for displaced air to escape therefrom.

It is preferred that the condensate forms a film rather than droplets. The described features of the region aid the formation of a film. Films have a controlled flow and eliminate the occurrence of air trapped within the chamber. Optionally, this is achieved through the selection of a surface material for the condensation zone having an appropriate contact angle with the breath condensate. A contact angle of around 20°, is preferred.

Preferably, multiple breath condensates may be collected and analysed simultaneously, to provide a more efficient device.

10

Optionally, the device includes transmission means such as a cable, Bluetooth (RTM), Wi-Fi connection to enable information on the analysis to be transmitted to processing and display, allowing a user to review the results. Interventions from the operator are further minimised therefore removing one of the biggest sources of inaccuracy due to user error when performing manual tasks.

15

Preferably, any interference to the determined values are measured and accounted for in the final signal.

This configuration is particularly advantageous because it allows the signal to be calibrated to produce an accurate result and with a reduced number of errors.

20

Conveniently, the power to the condensation zone is determined enabling calculation of the flow rate of exhaled breath, or rate of exhaled breath condensation and the total volume of exhaled breath collected. This has a number of advantages as the efficiency of the device can be determined to ensure it remains within acceptable parameters. Also, the volume can be used to determine the breathing efficiency of the user.

25

Preferably, the device further comprises a hole or channel through which air can escape from the device, said hole or channel connecting a discrete region with atmosphere, so allowing air to leave the device and preventing air from getting trapped within the device as the breath condensate flows in.

30

When the device is part of a more complex device, certain features, as set out elsewhere herein, may be provided as part of a housing of that complex device. For example, means for guiding breath to the condensation zone may be external to the device in cartridge form, i.e. within the housing, the condensation zone being part of the said cartridge. The housing  
5 may comprise a port for insertion and removal of a cartridge.

The cartridge device may preferably comprise a cartridge air-shield to shield the cartridge device from direct contact with ambient air, to avoid so far as possible, the co-condensation of ambient humidity that would otherwise confound the analysis through dilution of the  
10 sample.

The cartridge device may preferably comprise a cartridge light-shield to shield the cartridge device from ambient light, to avoid so far as possible breakdown of any photoactive species which may be present in a breath sample.  
15

The cartridge device may further comprise a temperature sensor for measuring breath temperature.

Thus, the cartridge device optionally measures physical and chemical parameters on the patient's breath including: rate of breath exhalation, the water content of the breath  
20 exhalation, the temperature of the exhaled breath, carbon dioxide levels on the exhaled breath, breath pressure etc.

The device is able to monitor these various sensors and use them about to give feedback to  
25 the user in real-time, so that the user can modulate their breathing profile. This feedback can be given in several forms including visual or audio.

The cartridge device may be adapted so that when the cartridge device has determined that sufficient condensate has been collected it is able to electrically activate the cartridge and  
30 make measurements upon the exhaled breath condensate, thus determining the concentration of analytes within the exhaled breath condensate.

Optionally, the assay is automatically started when a cartridge-filled condition is met, such as the electrical shorting of two electrodes by the liquid sample.

The analytes are optionally converted by an enzymatic reaction into an electrochemically active molecule, which is detected by electrochemical analysis. The enzyme formulation is either a soluble or an insoluble formulation. The soluble formulation enables the enzyme or enzymes to dissolve into the condensate sample and the reaction proceeds in the homogenous phase. As part of the insoluble formulation, the enzyme or enzymes are further optionally bound within a polymer matrix. An electrochemical reaction starts on the application of a voltage, the subsequent current is proportional to the analyte of interest.

Electrical connection of a cartridge device to a housing is made through a plurality of contacts assigned either to the assay detection, temperature monitoring, temperature control, automatic assay starts or electrochemical detection.

The device has a unique identifier on it which holds information regarding details of calibration and when the device was made. This data can be read by the reader device and used to improve the accuracy of the overall device.

Near the completion of filling a chamber the breath film condensate dissolves patches of salt, the salt is necessary for both fixing the potential at a silver/silver chloride reference electrode and for providing a relatively low impedance sample.

This design means there is no manual handling of the sample, the sample is protected from accidental contamination there are moving parts are required to move the sample, and the sample can be guided into a chamber without entrapping air. Once condensed the sample is in contact with the cartridge through the transportation and analysis.

Optionally, addition of reagents to a condensate is achieved through a penultimate dissolution of chemicals into the breath condensate during the passage of the sample over a surface, or the absorption of the sample into functionalized films.

Exhaled breath is condensed on a functionalised surface, whose functionalisation is optimised to maximize the efficiency of condensation of a breath film, the surface has been systematically optimised and characterised to minimise droplet formation and instead form a film across the surface. Unlike previous devices in which a microfluidic chamber is



incorporated the final analysis chamber preferably has no air vent for the expulsion of trapped air, instead in the present concept air leaves by the same route as the liquid enters. The liquid is initially guided to the bottom of the chamber, so the chamber is bottom up filled thus air bubbles are not trapped.

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The device is laid out so that multiple chemical and biochemical steps can be carried out on the condensate either in parallel or sequentially.

10

Distinct patches of reagents are laid out within the device, including: buffers salts and enzymes.

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The breath film condensate is guided into the fixed volume sensing chambers. As the solution enters the chamber it sequentially reaches enzymes and the salts. The dissolution of both patches can be distinct or overlapping with respect to time.

20

The specific analytes of interest for measurement can be detected in the final sensing chamber by the use of molecules, macromolecules, ions etc. including but not exclusively: antigens, antibodies, RNA, DNA, proteins, enzymes, ionophores etc. These biochemical reactions are designed to give a signal that is proportional to the analyte of interest.

25

The inventive device for collecting exhaled breath condensate and for determining substances within the condensate includes at least one condensing zone and at least one sensing zone. The zones are joined in such a way as to expedite the transfer of condensate to the sensing zone whilst undergoing any necessary purification or sample enhancement.

There is a tapering fluidic lay-out so the film is collected in a large area which narrows down to a smaller and smaller area hence concentrating the film onto a final sensing zone.

30

After a short period of time, and upon adequate sample reaching the sensing zone an assay or measurement can take place upon the sample. The initiation of the assay can be automated by a start condition which can be an electrical signal produced by applying a voltage between two electrodes, by reading a voltage generated between two dissimilar electrodes.

In a further embodiment the temperature of the condensing zone can be set relative to the ambient temperature and the power necessary to maintain the temperature is both indicative of the rate of exhaled breath and the power necessary in the change of phase from the gas, vapor and aerosol phase to the liquid condensate phase. Many biochemical, molecular biology and chemical reactions are temperature sensitive and so the reaction zone has an integrated heater preferably on the back side for elevating the temperature above the ambient temperature and above the condensing zone temperature.

Active heating of the temperature zone allows the assays to be run in cold environments such as horse stables where the temperatures can be below 10 to 15 Celsius.

The device has been carefully engineered to deliver a device whose operation requires minimum interventions from the operator, therefore removing one of the biggest sources of inaccuracy due to user error when performing manual tasks. In addition, the device has been designed with no moving parts instead a combination of good design and materials science is used to cool, guide and prepare the sample, with no complex pumping strategies. The breath condensate film is guided by a fluidic layout which tapers into a final chamber. The driving force for flow of the breath condensate film is provided by a combination of gravity, capillary and tapering channels. In addition, the device can introduce multiple reagents into the sample, all of which are deposited and or packed and stored upon the device in a dry manner thus optimizing shelf life stability. The entire device is integrated so the sample never leaves the device from condensation to final detection, therefore eliminating the risk of sample contamination or sample loss. Similarly, concerns regarding cross-contamination between samples are eliminated as all the wetted parts can be disposed of after each assay. In operation the device is shielded from the ambient humidity behind walls and valves, this reduces the co-condensation of ambient humidity which would otherwise dilute and contaminate the breath condensate film.

The signal gathered directly from the analyte or measurement of interest can be calibrated relative to a number of other signals, including: sensing zone temperature, sample conductivity, ambient temperature, breath flow profile, breath condensation profile, breath carbon dioxide profile.

With many sensing based systems the magnitude of the final signal and the sensitivity of the signal to the analyte or measurement of interest can be a variable between sensors from the same manufactured batch and for sensors from different manufactured batches. In the current system the errors caused by sensor variability within batches and between batches are removed both through device characterization at the point of use and also by calibration factors determined during the device's manufacturing. Lastly any changes in the sensitivity of the devices due to aging can be calibrated for by a calibration factors whose input is the age of the device.

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#### Brief Description of the Drawings

The invention is now described with respect to the accompanying drawings which show, by way of example only, embodiments of a breath-condensate collector and analysing device. In the drawings:

15

Figure 1 illustrates the layout of a device;

Figure 1a further illustrates layout of a device;

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Figure 1b is a further illustrative layout of a device;

Figure 2 illustrates a second embodiment of the device, including lids to retain sample and guide flow within the device;

25

Figure 3 illustrates further features of a device;

Figure 4 illustrates the layout of an embodiment of the device;

Figure 5 illustrates a close-up view of the layout of the sensing zone of the device;

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Figure 6 illustrates an example of chemical reactions involved within the sensing chamber

Figure 7 illustrates an example of an embodiment of a film coating;

Figure 8 illustrates an alternative layout of the device;

Figure 9 illustrates an alternative layout of the device;

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Figure 10 is a chart showing raw noise data;

Figure 11 is a chart showing electrical noise spikes; and

10 Figures 12a – 12f illustrate a valve system to prevent entry of the ambient air into a condensed sample.

#### Detailed Description of the Invention

15 The analysis of exhaled breath to determine physiological dysfunction in a person or animal has been known for many years. The presence or otherwise of components of the breath can show deficiencies in the body, such as lung function or cell function. To this end, devices have been developed, which aim to collect the exhaled breath, including the more volatile components, which are otherwise not captured and so escape analysis. In many devices, the  
20 breath is first condensed to liquid or solid form, which is then analysed.

There are however problems which need to be overcome in obtaining an analytical result. Many devices leave the user with the problem of carrying out the analysis. Often the condensed sample needs to be transported to a location remote from that where the analysis  
25 was carried out. However, some of the breath components which need to be characterised, such as hydrogen peroxide, are inherently unstable and so will have decomposed to an extent before any analysis is carried out. Although steps can be taken to alleviate this problem, such as cooling the sample in transit and also extrapolating back, based on the time since the sample was taken, to an estimated value, these steps can be difficult to carry out  
30 and increase the error limits for any particular result.

Carrying out an analysis in situ, directly the sample is taken, overcomes the above to a large extent, but brings with it the problems of analysis as, especially where an animal is

concerned, this may be at a distance from any building. Also there will be a need for the analyst to have calibrated reagents to hand.

5 The present invention seeks to alleviate the above disadvantages by providing a hand-held device, which both collects and analyses exhaled breath.

To achieve this, in a broad aspect, the device firstly collects the condensate in a collector, then transports a sample of the condensate to an analyser, fluidly connected to the collector where analysis is carried out using a solid-state analytical element. The use of a solid-state  
10 element removes the need for calibration of liquid reagents and removes the risk of dilution errors. Such a device also provides a longer shelf life than conventional devices and is more easily manufactured. To reduce the number of moving parts within the device and so increase reliability, the condensate preferably moves through the device by capillary action, and also optionally using functionalised surfaces to increase flow between regions. Ideally,  
15 between condensation of the sample and analysis should be no more than 30 seconds.

In more detail, the exhaled breath is condensed on a surface, optionally functionalised such that any functionalisation is optimised to maximise the efficiency of condensation and to maximise the flow under gravity or otherwise of condensate phase from the condensing  
20 zone to integrated fluidic channel provided. The device is laid out such that multiple chemical and biochemical steps can be carried out on the condensate either in parallel or sequentially. The channel layout provided, means that where chemicals and reagents are utilised during the analysis, these can be sequentially added to a sample as the sample flows over the series of chemical and reagent zones provided therefor. This arrangement allows  
25 unstable reagents, including those which are unstable in the presence of other reagents, to be prepared or stored in close proximity to one another, yet spatially separated to prevent interaction. Reagents and sample conditioning additives are able to be added at several different points within the device.

30 Finally, the condensate enters one or more sensing chambers, each having a fixed volume. Any remaining reagents, which can include proteins, enzymes, macromolecules, surfactants, ions etc. necessary for the analysis can be present here as dry mobile or immobilised formulations in close or intermediate proximity to the final point of analysis. The specific analytes of interest can be detected in the final sensing chamber by the use of such reagents,

which can further include antigens, antibodies, RNA, DNA, proteins, enzymes, etc. Analytes to be detected include, but are not limited to: glucose, lactate, ketones, hydrogen peroxide and nitric oxide and may be detected either directly or indirectly.

5 Detection is preferably carried out electrochemically to increase the accuracy and reproducibility of results. In one embodiment, two parallel electrodes are provided, which when not in use are electrically isolated from each other. In the presence of a liquid between the electrodes, a soft short is caused which produces a measurable electric signal, which can be used to determine the level of analyte. Such a signal can also be used to determine the  
10 arrival of condensate into the cartridge and so initiate further analytical steps. In a further embodiment, not illustrated, 2 or more electrodes are provided.

The reagents used are designed to give a signal that has a known relationship, such as being proportional, to the concentration of the analyte of interest. The reagents may be present  
15 in a form such as dried down in place, a lyophilized bead or a film or any other suitable form. The advantage of using a dried reagent is that such reagents tend to be more storage stable and their concentration is likely to be more accurately known. The reagent may be a film such as a polymer blend containing a biologically compatible polymer, a macro-biological molecule or a mediator. In addition, other reagents such as a biologically-compatible  
20 polymer, for example polyurethane, horse-radish peroxidase or a surfactant such as sodium dodecylsulphate can also be present. As examples of mediators, then for hydrogen peroxide analysis in particular, potassium ferrocyanide and / or ferricyanide can be used.

As an example, illustrated in Figure 6, hydrogen peroxide can be detected indirectly with the  
25 use of horse-radish peroxidase (HRP). The reduced form of HRP initially reacts with the hydrogen peroxide to produce an oxidised form. The oxidised form subsequently reacts with potassium hexacyanoferrate (II) (potassium ferrocyanide) to produce potassium hexacyanoferrate (III) (potassium ferricyanide) . Potassium ferricyanide is then detected by use of an electrochemical method such as amperometry where the current flows from an  
30 electrode. The current flowing is proportional to the concentration of the produced potassium ferricyanide and the final current is therefore indirectly linked to the initial hydrogen peroxide concentration in the sample. In detecting the ferricyanide species, this is reduced back to the ferrocyanide.

The temperature of the condensing zone can be set relative to ambient temperature. The power necessary to maintain the temperature difference can be utilised to determine the rate of generation of exhaled breath. To achieve this, the power necessary to maintain the temperature is monitored. As this is a function of the thermal energy generated by the change of phase of the exhaled breath to the liquid condensate phase, measurement of the energy can be converted into a volume of condensate produced.

In a preferred embodiment, a Peltier device may be used to cool the condensing zone. The temperature on the face of the cooling zone itself may be static or dynamic. In a preferred embodiment the temperature would be around 10°C although it should be appreciated that the temperature may change depending on the various parameters including ambient conditions. Should atmospheric air be excluded as in certain optional embodiments of the device then a lower temperature of around 5°C can be used.

The integrated nature of the device produced allows for the provision of a device whose operations require minimum interventions from an operator which removes a source of inaccuracy from the results. In addition, the lack of moving parts in preparation and analysis of the sample again improves the results obtained and also imparts a longer lifetime to the device. Further, the device can introduce multiple reagents into a sample, all of which reagents are stored within the device in a dry manner, which improves the shelf life of the reagents. Finally, the sample under analysis does not leave the device between the time of condensation and final detection, which minimises the risk of contamination or loss of sample. Furthermore, as elements used as part of the analysis can be disposed of following use, which again reduces the risk of cross-contamination. Yet further the analytical elements of the device can be incorporated into a removable section, such as a cartridge, which allows, once the collection elements of the device have been cleaned or otherwise readied for use, a new cartridge to be inserted ready for further use. Separate measurements on different subjects can thereby be rapidly made, and analysis on a subject be made, whilst the results are being obtained from a previous subject. Alternatively, measurements of different exhalates made for the same subject relatively close to each other in time.

It is anticipated that the usable liquid volume within a cartridge is from 5 - 40 µl and preferably 10 - 30 µl.

On analysis, the signal generated from the analyte or measurement of interest can be calibrated relative to a number of other signals, including the sensing zone temperature, sample conductivity, ambient temperature, etc. Again, therefore the errors caused by sensor variability within batches and between batches is removed both through the device characterisation at the point of use and also by factors determined during the device's manufacturing.

In order to remove variations in analytical results carried out using electrodes, due to different concentrations of chloride ion in a sample, then a standard electrode concentration of chloride, typically a saturated solution, can be formed of the sample. This can be achieved through the condensate passing over a surface onto or within which a chloride, such as sodium chloride, has been added. This can be for example within a gel layer, from which chloride ions can readily diffuse out. Signals obtained from an electrode can therefore be attributed to an analyte of interest as the electrode response due to the salt can be filtered out.

Signals from the analysis and also from the power usage of the cooling applied to the condensing zone can be fed to a processor, either attached to the device or externally, which then generates the data required by the user. Additionally, by performance of a mass-balance calculation on the condensate collected and the condensate entering the sensing chamber, the device can calculate the distribution of sample throughout the device and determine whether a cartridge has leaked or blocked, which allows quality checks to be built into the device.

Referring now to Figure 1, this illustrates a first embodiment of an integrated collection and analysis device. The device, generally referenced 10, is operatively linked to a mouthpiece (not shown here) through which exhaled breath is directed onto a breath collection portion 11 having a condensing zone 12. The condensing zone 12 is in fluid connection with the sensing zone 13 in which analysis of the collected condensed breath fluid can take place. It will be appreciated that when the device 10 is in fact held such that the condensing zone 12 is uppermost, then flow of fluid into the sensing zone 13 is facilitated by gravity. Although not illustrated in figure 1, the condensing zone 12 and sensing zone 13 are fluidly connected by one or more channels, to provide a controlled flow of fluid. The dimensions of a channel are of an order of magnitude less than those of the overall device 10 although the



dimensions of a channel may vary depending on the function of the channel. Moreover, the dimensions of a channel can vary along the length of the channel. In a further non-illustrated embodiment, flow of the fluid from the condensing zone to the sensing zone is controlled by means of firstly collecting and holding the condensed fluid in one region and subsequently causing a portion of the collected fluid to flow into the sensing zone in defined aliquots.

In a preferred embodiment the overall dimensions of the device 10 are 66mm x 30mm x 5mm, as illustrated in Figures 4 and 5. The condensing zone 12 and the sensing zone 13 are each approximately circular in the preferred embodiment, although they can have a polygonal shape. The size can be varied to suit the use. The condensing zone 12 preferably is of larger dimension of the order of that of the entire device 10. The sensing zone 13 can have a perimeter of approximately between 2-10mm and of approximately 5mm. The height can be approximately from 75-750µm and especially of 100µm.

Figure 1a illustrates features of the device 10 in more detail. Breath is condensed on the condensing zone 12 and forms a film on the surface thereof. The condensed fluid exits the condensing zone 12 by capillary action, via the channels 18, which lead the fluid to the sensor element 19. The sensor element 19 as shown is a combined counter and reference electrode, although in a separate embodiment, these can be located separately. The working electrode 20 is housed as part of a ceramic sensor 21. Electrical contact pads 22 at the distal end of the ceramic sensor 21 enable electrical connection with corresponding elements on the apparatus housing into which the device 10 fits when in use. A cover 23 is provided (Figure 1b) which then defines a microfluidic chamber beneath the cover 23.

In order to aid correct alignment of the device 10 within a housing, key holes 24 are provided engaging corresponding projections in the housing. Additionally, to aid insertion of the device 10 into the housing the distal end 25 of the device 10 has a wedge shape. The sensor element 19, ceramic sensor 21 and cover 23 are held in position relative to the device body 10a by an epoxy resin fixing 26, although other fixing means, including mechanical, can also be utilised.

In a further preferred embodiment, the or each channel (not illustrated) has a means of allowing air to leave the device 10, for example when the sample flows into a channel. An example of the means may be a further channel or an aperture through which the air can

escape. This prevents air from getting trapped within the device 10 as the fluid flows in as the air has a route by which it may leave. An example of this embodiment is shown in Figures 4 and in Figure 5.

- 5 In an alternative embodiment, the device may include an air escape channel 60 as illustrated in Figure 4.

In order to condense the exhaled breath, which comprises a mixture of gases and vapours, into one volume the condensing zone 12 is provided with cooling means. The constituent  
10 elements of the sensing zone 13 can also be provided with cooling or heating means, where required, to assist in the analysis of the breath condensate. For example, where an assay incorporates an enzymatically catalysed reaction, it is usually advantageous to carry out the reaction at around normal body temperature. An example of a heater which can be used to  
15 elevate the temperature of a reaction is a conductive strip, which can be screen-printed and secured to the back of a sensor adjacent a sensing zone. On passing a current through the strip, using for example Ohmic heating, the temperature can be controlled using a pulsed voltage across the heater.

20 Additionally, or alternatively, a thermocouple sensor can also be included, preferably printed onto the sensor to achieve intimate contact with the sensor and give an accurate value for the sensor temperature. An external temperature sensor can however also be used.

To facilitate collection of condensate in one region of the condensing zone 12, the condensing zone 12 can have a coated surface to direct condensed breath optionally towards  
25 a particular region of the condensing zone 12 which particular region can be maintained at a lower temperature than other regions of the condensing zone 12. The surface coating is preferably of a hydrophobic nature, but can also be or hydrophilic where suitable. Additionally, a coating can be provided which is both hydrophobic and lipophobic so that both oils and water run readily off the surface. Such coatings can be those known in the art  
30 such as perfluorinated polymers, for example that marketed under the trade name Teflon (RTM). When dried, the thickness of the coating can be in the range from 1  $\mu\text{m}$  to 15 $\mu\text{m}$ . The coating may swell to a greater thickness when it comes into contact with the sample. Figure 7 shows an example of a coating by a film of a circular area. In this embodiment, the circular area covered by the film coating has a height of 5  $\mu\text{m}$ .

One or both of the condensing zone 12 or sensing zone 13 (see Figure 2) can be at least partially covered by a lid 14 or 15 respectively. In Figure 2, a lid 14 is shown, located around the perimeter of the condensing zone 12, which lid 14 acts to retain condensate within the condensing zone 12. The lid 14, which partially covers the condensing zone 12, also acts to  
5 minimise outflow of breath from the collection portion 11, and restricts loss of breath which does not immediately condense on contact with the condensing zone 12. The open area between the perimeter regions allows the exhaled breath to reach the surface of the condensing zone 12.

10

The lid 15, located over the sensing chambers of the sensing zone 13 and the channels, allows the volume to be controlled, and the sample to be retained, whilst also promoting wicking of the sample into and along a channel or channels. The volume of the sample is kept small through use of the lid to aid analysis, the lid also eliminating turbulent flow and  
15 mixing.

Figure 3 illustrates a device 30, having a further sample preparation zone 31, in which initial reagents or other modifiers can be added to the sample to facilitate the analysis in the sensing zone 32. The purity of the sample can also be determined prior to the sample passing  
20 through the sensing zone 32 and into the analysis region 33.

The sensing chambers optionally are operatively connected to a sample sensor which determines whether a sample is present. Additionally, the level of sample within a sensing chamber can also be determined. Once a pre-set level is reached, the level sensor transmits  
25 a signal so that assay commences automatically without input from the operator. This reduces the time at which analysis begins.

Figures 8 and 9 show alternative embodiments whereby the sensing zones 42, 52 may be laid out within the device 40, 50 either parallel to one another below the condensing zone  
30 41, 51 (Figure 8) or sequentially (Figure 9).

Figures 10 and 11 shows how interference from various sources both known and unknown, can distort the signal produced. In the preferred embodiment, the spikes within the raw

signal will first be identified by the reader and contribution of the spikes will be removed from the raw signal before the analyte concentration is calculated.

In an alternative embodiment of a device, not illustrated, the device includes control  
5 means to govern the passage of condensed fluid from the condensing zone to the sensing zone. This allows the condensed breath to be moved for analysis in a known, controlled manner.

As an example, the breath can be collected with the device so oriented that the  
10 condensation zone and particularly the fluid connection between the condensation zone and the sensing zone is a non-vertical, perhaps horizontal orientation, so that fluid flows relatively slowly or perhaps unevenly therefrom. The cartridge can then be rotated either by hand, but optionally mechanically to provide a vertical orientation. This process can be made automatic in that a sensor, determining the presence of a sample, causes a signal to  
15 be sent to the cartridge, activating the means of rotation to the required orientation. The sensor can be linked via processor to a spirit level or the like so that current orientation of the cartridge and fluid connection is known.

Additionally or alternatively, vibration means can be included to cause movement of fluid  
20 in the condensing zone by vibration of the condensing zone.

In a further alternative embodiment, means are included to prevent saliva from a subject from reaching the condensing zone and so contaminating the breath sample. Saliva is known to have 10-100 times the hydrogen peroxide content than is present in the air from  
25 the lungs. Such a prevention means must be such as to not interfere with the normal breathing of the subject, often referred to as a Tidal Breathing technique. One option of the prevention means comprises a convoluted path, and optionally one or more valves. The prevention means can be brought together on a common housing with the device 10, such that breath exiting the prevention means is directed onto the condensing zone 12.  
30 The prevention means, usually formed into a mouthpiece into which a patient breathes, is preferably replaceable once used, to improve the hygiene and accuracy of the apparatus.

In a yet further embodiment means can also be included to prevent humidity from the ambient air from condensing in the condensing zone and contaminating the sample,

primarily by dilution, also possibly by introducing air-borne contaminants. This is illustrated in Figures 12.

One of the potential sources of contamination of the exhaled breath condensate is the co-  
5 incidental condensing of humidity from the ambient air, which will be an uncontrolled process causing uncontrolled hydrogen peroxide concentrations. The valve system illustrated in Figures 12a – 12f prevents the ambient air from readily making its way to the Peltier cooler and being condensed within the cartridge. Additionally, the mouth piece 120 allows the effective use of accessories to be used if required, such as: saliva traps, filters, flow  
10 restrictors and nose clips. Therefore, the device can be used in several modes of operation depending on whether or which accessories are used.

The use of valves and baffles ensures that the majority of the exhaled breath is now forced to pass within the vicinity of the cooled condensing zone of the cartridge, ensuring a good  
15 efficiency in condensing the exhaled breath vapour. The valved mouth piece can have one or more chambers within it, with chambers directly connected or connected via a valve. In the disclosed embodiment there are three chambers, with a valve between Chamber One 121 and Chamber Two 122, whilst Chamber Two 122 and Chamber Three 123 are in direct contact. In the illustrated embodiment, the mouth-piece is securable to a housing by means  
20 of external lugs 128.

The logic of the valves is that all the valves are normally closed when the device is not in operation. Upon inhalation Valve Three 126 opens, whilst Valve One 124 and Valve Two 125 remain closed. Upon exhalation Valve Three 126 closes and Valves Two 125 and One  
25 124 open. The device provides for the immediate analysis of exhaled breath condensate analytes, where the ambient air is precluded from the cartridge behind one or more normally closed valves. The device directing air into the lungs and from the lungs to the cartridge can have one or more chambers laid out either in series or parallel.

30 As an example of valves suitable for the present invention, diaphragm valves can be cited. Diaphragm valves are used such that when a user is inhaling one valve opens to allow air in, whilst the other is closed. Upon exhalation, the valve state is reversed.

The device is designed to ensure the efficient condensation of vapour from the breath by directing the exhaled breath across the surface of the cartridge’s condensing zone. Typically, a condensate sample will be formed over a number of breath cycles taken over, for example, 60 seconds to collect sufficient breath condensate. Chambers can be connected to one another directly or connected via valves. During the breath cycle the flow of air is controlled to allow air into the lungs, whilst not exposing the cartridge to the ambient air; subsequently upon exhalation the exhaled breath is led along a path where the breath is passed over the cold zone before venting to the ambient. The judicious use of valves means ambient air is precluded from directly reaching the cartridge when the device is either operational or non-operational, with the logic of the valves as shown in Table 1. Additionally, the device has one or more ports which allow for air/gas exchange between the user, the ambient air and the air within the device. These ports can be used in conjunction with accessories including saliva traps, flow constrictors and filters etc., allowing several modes of action. Lastly the device can be used in conjunction with a device to prevent the flow of air through the user’s nasal passages so as to force a mode of breathing where air passes only through the mouth.

<u>Operation</u>	<u>Valve Logic</u>	<u>Comments</u>
Inhalation	Valve Three: open Valve Two: closed Valve One: closed	This is to allow the user to inhale through the device whilst preventing the air that is being inhaled flowing over the condensing zone.
Exhalation	Valve Three: closed Valve Two: open Valve One: open	The valve logic means the exhaled breath has to follow a path where it flows within the vicinity of the cooled condensation zone on the cartridge leading to a more efficient condensation of the vapour within the exhaled breath.
Not in Use	Valve Three: closed Valve Two: closed Valve One: closed	When not in use, all the valves are closed and therefore reduces the amount of ambient vapour that can be accidentally condensed within the device.

Table 1

In a still yet further embodiment of the device, the flow rate of the exhaled breath can be monitored, allowing a user or a supervising individual to allow the control of the flow rate or issue guidance. The sensor means for the flow rate may therefore be included within  
5 the device. The sensor thereby transmits real time data, which can provide visual or audio feedback, so that the breathing rate can be adjusted to stay within acceptable boundaries. Additionally, the breathing rate can be utilised as part of the diagnostic determination.

10 An exemplary device may have the following three modes of operation:

Mode One - Analyse a subject's status from one or more real-time signals including: breath exhalate carbon dioxide levels, breath flow rate, breath water content, breath pressure; one or more of these signals are used to determine the status of the user, and/or their lung  
15 functionality.

Mode Two -Analyse a subject's status from a collected exhaled breath condensate, this measurement can be corrected for parameters such as breath exhalation profile, breath water content, breath carbon dioxide levels etc. For example, the carbon dioxide signal can  
20 be used to calculate the fractionated analyte concentration from the measured analyte concentration.

Mode Three - Analyse a subject's status by combining the two modes described above, so that a breath condensate can be reported within the context of the overall exhaled breath  
25 profile and breath gas analysis.

In a further exemplary embodiment, a mouthpiece employs an arrangement of baffles to minimise the chance of aerosol from the mouth reaching the condensation zone. In one arrangement air entering the mouthpiece encounters a first baffle which charges the air  
30 velocity by around 90°. A second baffle then causes an approximately 180° change of direction. In this manner large droplets from the mouth are caused to drop out of the airflow, allowing vapour from the lungs through.

The cartridge device is typically held, replaceably, within a housing to form an analysis apparatus, which housing includes features such as cooling, heating, processing means which can be used in co-operation with the cartridge device. The housing may comprise a cooling means, such as a Peltier plate, for cooling the cartridge to a suitable temperature for condensation. The cooling means may alternatively be part of the cartridge.

The housing may comprise a heating means to heat a reaction zone which itself forms part of the cartridge. The heating means may be arranged in the housing or as part of the cartridge. There may be an electrical connection between the housing and the cartridge. The heating means may be an Ohmic heater.

Heating and cooling means enable both condensation to a breath condensate film and subsequently performance of enzymatic assays upon the film. Furthermore, the sensor may be heated. Active heating of the sensor allows for operation of the cartridge in environments cooler than 10 to 15 Celsius.

The housing may comprise a series of baffles to remove saliva aerosol from a vapour sample, so that substantially only vapour reaches the cartridge. Alternatively or additionally, a series of baffles may be provided in the cartridge. Yet alternatively, a single baffle may be provided in each of the housing and the cartridge.

The housing may comprise a valve system to provide at least two flow paths through the complex device. Thus, an exhalation breath may be directed through a first flow path and an inhalation breath may be directed through a second flow path.

The housing may further comprise a flow rate sensor for measuring breath flow rate.

The housing may further comprise a carbon dioxide sensor for measuring a carbon dioxide concentration in breath.

The housing may further comprise a humidity sensor. There may be more than one humidity sensor, for sensing the humidity of breath or ambient air, for example.



The housing and/or the cartridge, preferably the cartridge may further comprise a temperature sensor for measuring breath temperature.

5 The housing may further comprise a pressure sensor for measuring breath pressure during exhalation or inhalation.

10 The housing may further comprise an electronic interface for providing information from one or more sensors to an external device and/or for receiving electrical energy from an external source. The electronic interface may provide information in an analogue or digital form.

15 The housing may further comprise a data processing unit. The data processing unit may comprise an analogue to digital converter. The housing may further comprise a transmittal means to transmit information or data to an external device. Additionally, a data storage means can be included. The housing may comprise an electronic interface for a removable data storage means.

20 The housing may further comprise an audio output to provide a user with feedback and/or instructions to assist the user with keeping breath parameters (such as pressure or flow rate or the like) within a desired range.

25 The housing may further comprise a display. The display may provide a user with information about a breathing cycle in real time or in near real time. The display may provide a user with feedback and/or instructions to assist the user with keeping breath parameters (such as pressure or flow rate or the like) within a desired range.

30 The apparatus can combine any number of signals to determine a patient's status or to calibrate a signal. Additionally, the device can open and close valves in response to defined conditions being met, for example the collection of fractionated breath by triggering valve when carbon dioxide level criteria are met.

The apparatus is light and portable so can be picked up and placed in front of the mouth, and can be operated without being physically tethered to a power supply or third-party device.

The apparatus is designed to be used with tidal breathing for greater patient acceptance, relative to previous devices which would require forced air manoeuvres.

5 The apparatus aims to perform all the necessary functions involved within the workflow of collecting and analysing the breath condensate without manual interference or intervention by a user or clinician. The device may have both real-time sensing and analysis of the breath and physical parameter associated with breathing.

10 In one preferred embodiment the breath condensate film is directed immediately from the subject's mouth through a tortuous flow path to the fully integrated apparatus (i.e. housing plus cartridge), where the breath is condensed into a breath film condensate upon a cooled zone. The resulting condensate film is immediately guided by a combination of capillary forces and gravity across a functionalised surface to a chamber. The film enters the chamber  
15 by following down the chamber's sides and filling the chamber from the bottom up. Finally, the condensate dissolves several salt patches; the dissolution of salt into the breath film condensate is electrically/electrochemically monitored and checked for the correct dissolution profile as part of onboard assay quality control. An incorrect profile is used to reject the cartridge.

20

One inventive concept relates to a single integrated device for condensing breath as a film and analysing analytes within the exhaled breath condensate film. The device performs all the necessary functions involved within the workflow of collecting and analysing the breath condensate without manual interference or intervention by a user such as a clinician. The  
25 device includes a least one temperature zone for breath condensation that is integrated with at least one sensing zone for measurement upon the condensate.

In the preferred embodiment of the apparatus the condensation zone is connected to the patient's mouth by a short tortuous flow path, designed to allow the passage of vapour from  
30 the lungs, and in particular, the alveolar part of the lung, whilst excluding aerosol from the mouth etc. Following condensation of exhaled breath, the film flows under the influence of gravity and capillary forces into a chamber, which is closed on five sides; the film flows down the sides of the chamber effectively filling the chamber from the bottom up.

Near the completion of filling the chamber the breath film condensate dissolves patches of salt, the salt is necessary for both fixing the potential at a silver/silver chloride reference electrode and for providing a relatively low impedance sample.

## Claims

- 5 1. A cartridge device for collecting and analysing a breath condensate, the device comprising a condensation zone to condense exhaled breath from a subject, the condensation zone, being operably connectable to a cooling means, the device including one or more further discrete regions for detection of analyte and measurement of analyte, the cartridge device further comprising a fluid path connecting the condensation zone to the or each discrete region.
- 10 2. A cartridge device according to claim 1, wherein the condensation zone has a lid which at least partially covers said condensation zone.
3. A cartridge device according to any preceding claim, wherein the device includes analysis initiation means to detect the presence of a condensate.
- 15 4. A cartridge device according to any preceding claim, wherein the or each discrete region has a specified volume, which allows the measurements to be calculated based upon the volumes.
5. A cartridge device according to claim 4, wherein the specified volume is up to 4  $\mu\text{l}$ .
6. A cartridge device according to any preceding claim, wherein one or more discrete regions has a specified volume such that there is an analyte detection zone whose  
20 volume is less than the volume of condensate from one exhaled breath.
7. A cartridge device according to any preceding claim, wherein a surface of a discrete region includes a surface coating, said coating including reagents to engage the condensate and determine composition.
8. A cartridge device according to claim 7, wherein the surface coating has a thickness  
25 in a range of from 1  $\mu\text{m}$  to 15  $\mu\text{m}$ .
9. A cartridge device according to any preceding claim, wherein a discrete region includes 2 or more electrodes in operable connection with a condensation zone, the electrodes being maintained at different potentials.
10. A cartridge device according to claim 9, wherein the potential between the pair of  
30 electrodes is variable.
11. A cartridge device according to any preceding claim, wherein a reagent is added to said condensate in a further discrete preparation region.
12. A cartridge device according to any preceding claim, wherein one or more regions are temperature controlled.

13. A cartridge device according to any preceding claim, wherein the reagents for the analysis of the condensate are loaded into the condensate sample during passage of the condensate sample from the condensation zone to a detection zone.
14. A cartridge device according to any preceding claim, wherein a discrete region has a perimeter ranging from 2-10mm.
- 5 15. A cartridge device according to claim 14, wherein a discrete region has a perimeter of 5mm.
16. A cartridge device according to any preceding claim, wherein a discrete region has a height of from 75-750 $\mu$ m.
- 10 17. A cartridge device according to claim 16, wherein a discrete region has a height of 100  $\mu$ m.
18. A cartridge device according to any preceding claim, wherein a discrete region comprises a chamber, the chamber being enclosed on five sides with a sixth side open for fluid to enter the said chamber and for displaced air to escape therefrom.
- 15 19. A cartridge device according to any preceding claim, wherein the condensate forms a film.
20. A cartridge device according to any preceding claim, wherein the device includes transmission means such as a cable, Wi-Fi connection.
21. A cartridge device according to any preceding claim, wherein any interference to the determined values is measured and accounted for in the final signal.
- 20 22. A cartridge device according to any preceding claim, wherein the power to the condensation zone is determined enabling calculation of the flow rate of exhaled breath, or rate of exhaled breath condensation and the total volume of exhaled breath collected.
- 25 23. A cartridge device according to any preceding claim, wherein the device further comprises a hole or channel through which air can escape from the device, said hole or channel connecting a discrete region with atmosphere.

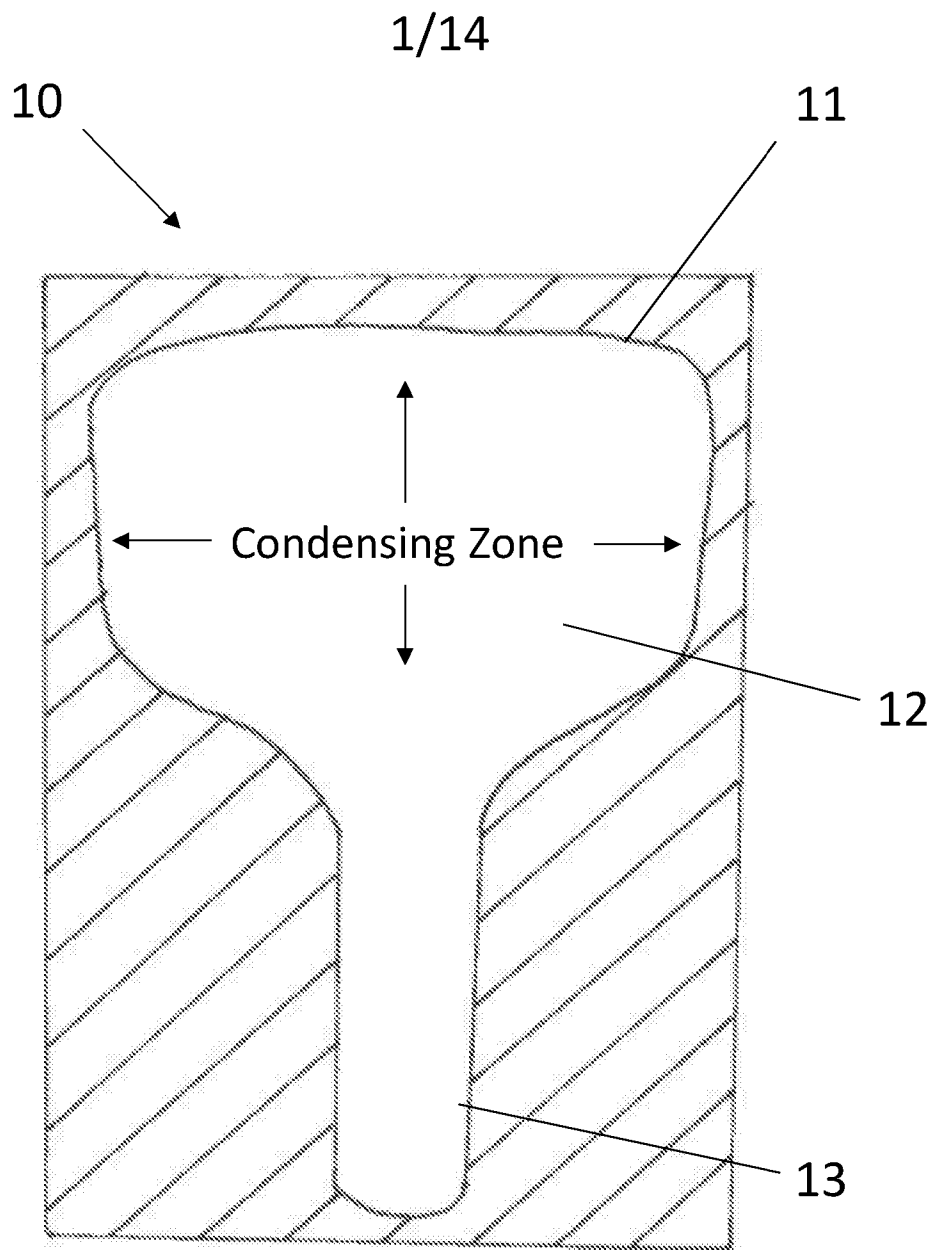


Figure 1

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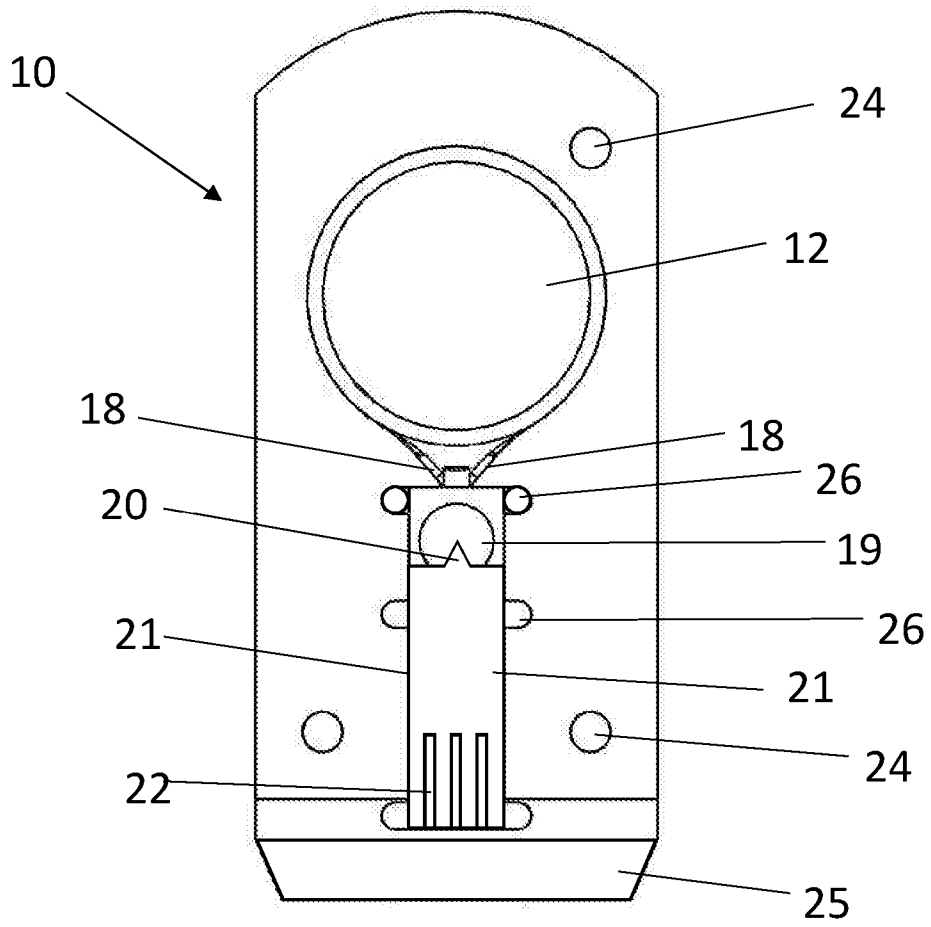


Figure 1a

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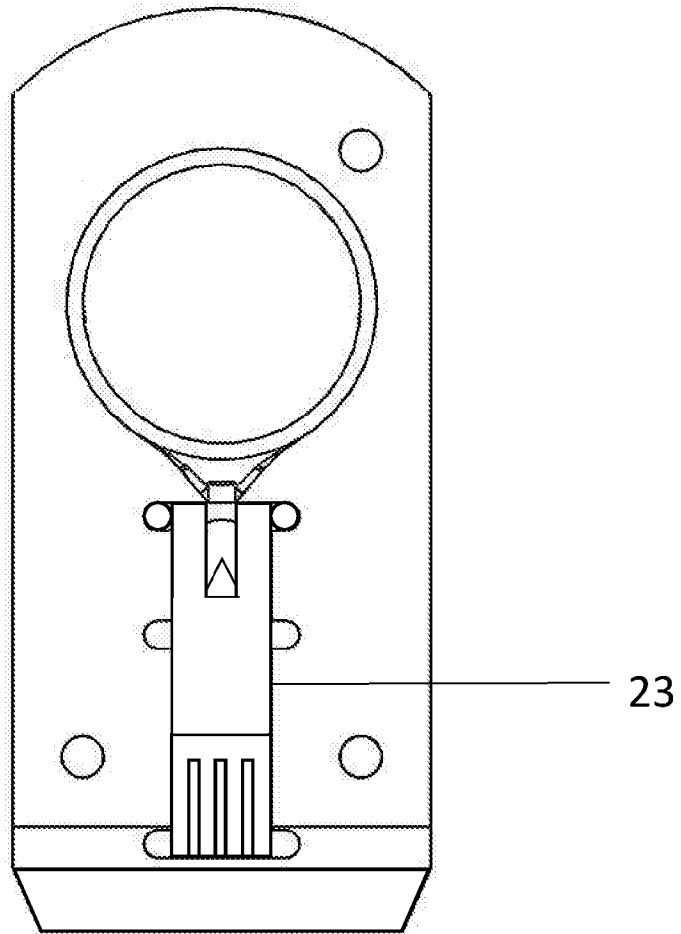


Figure 1b



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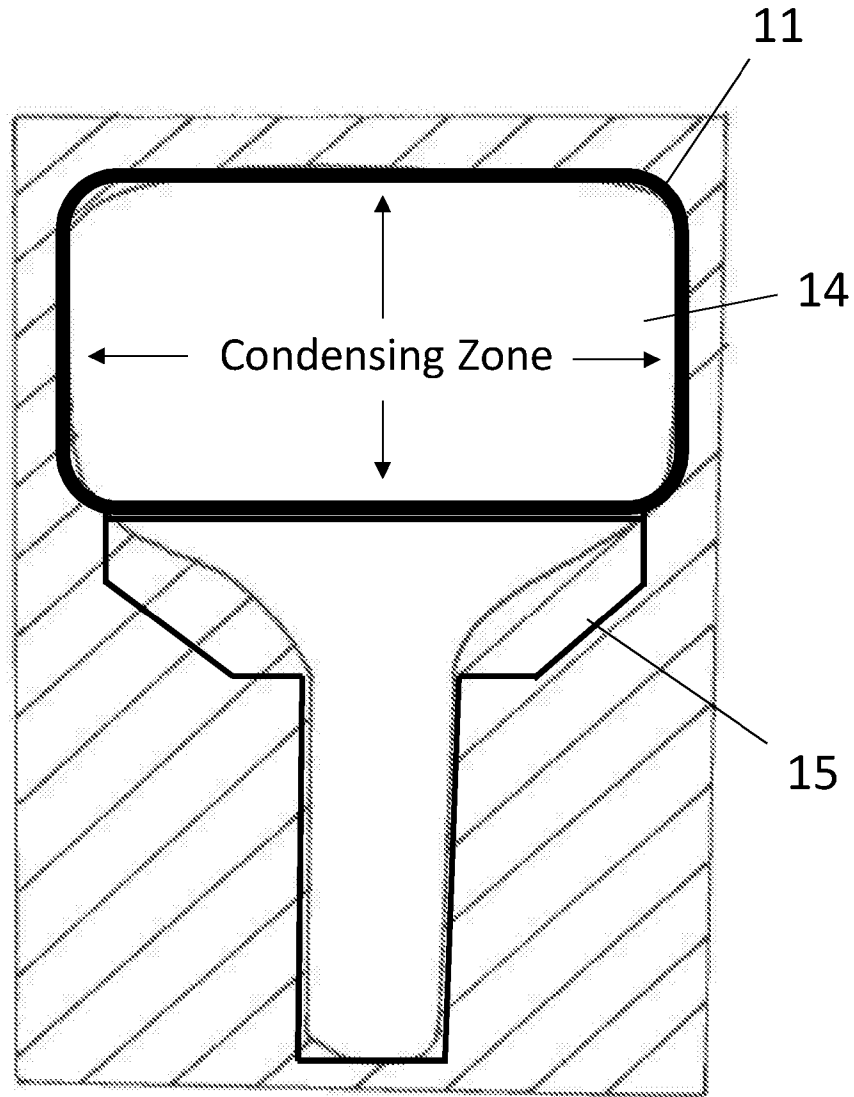


Figure 2

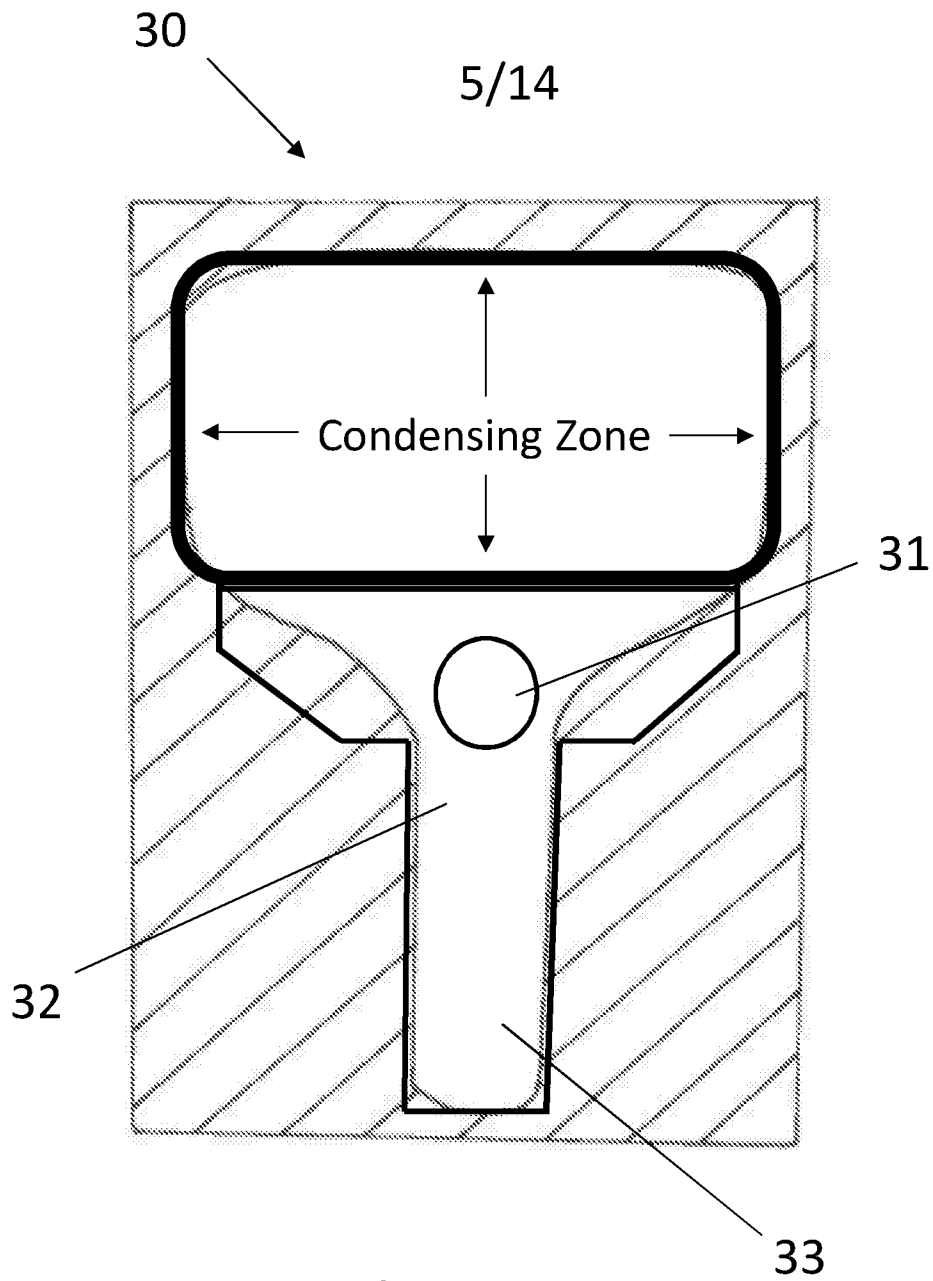


Figure 3

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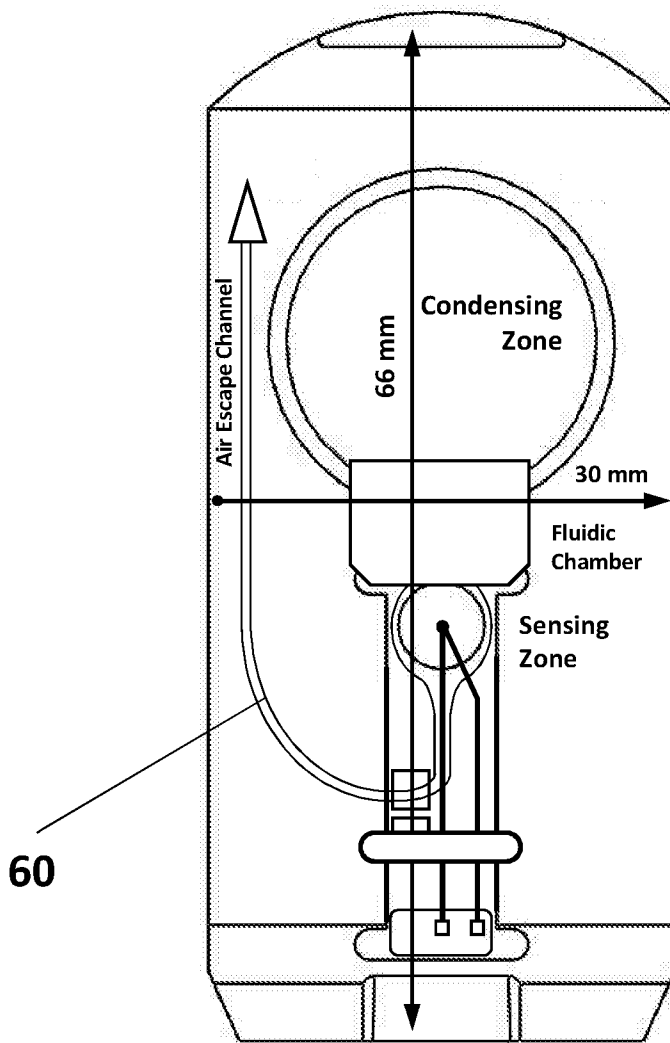


Figure 4

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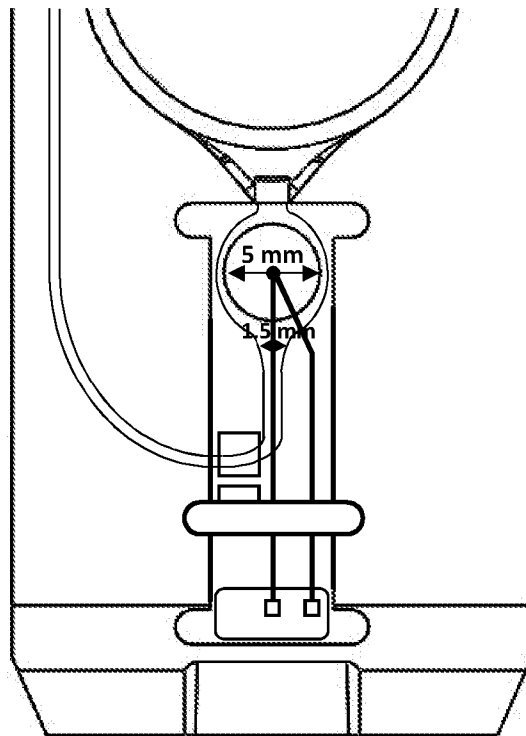


Figure 5

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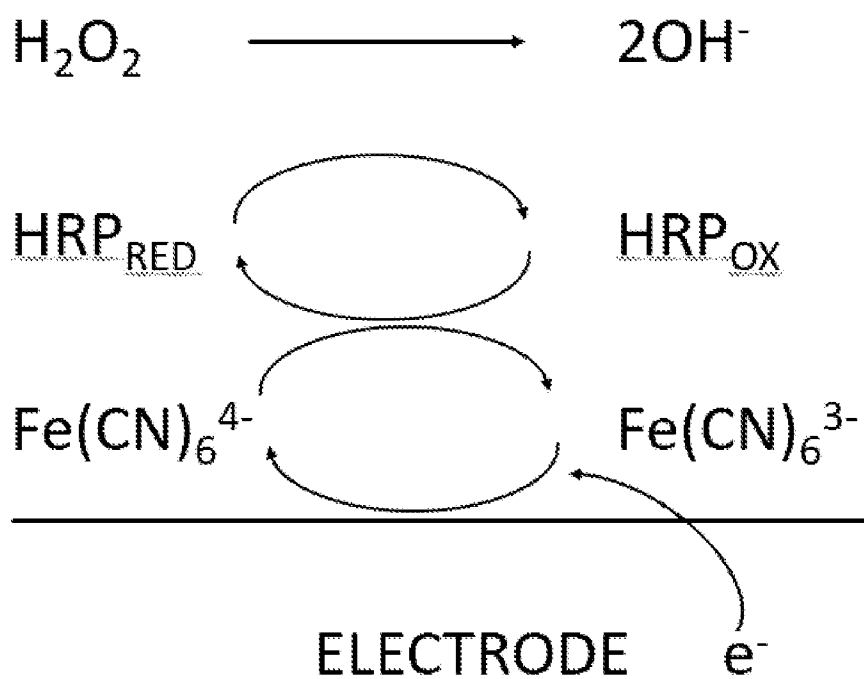


Figure 6

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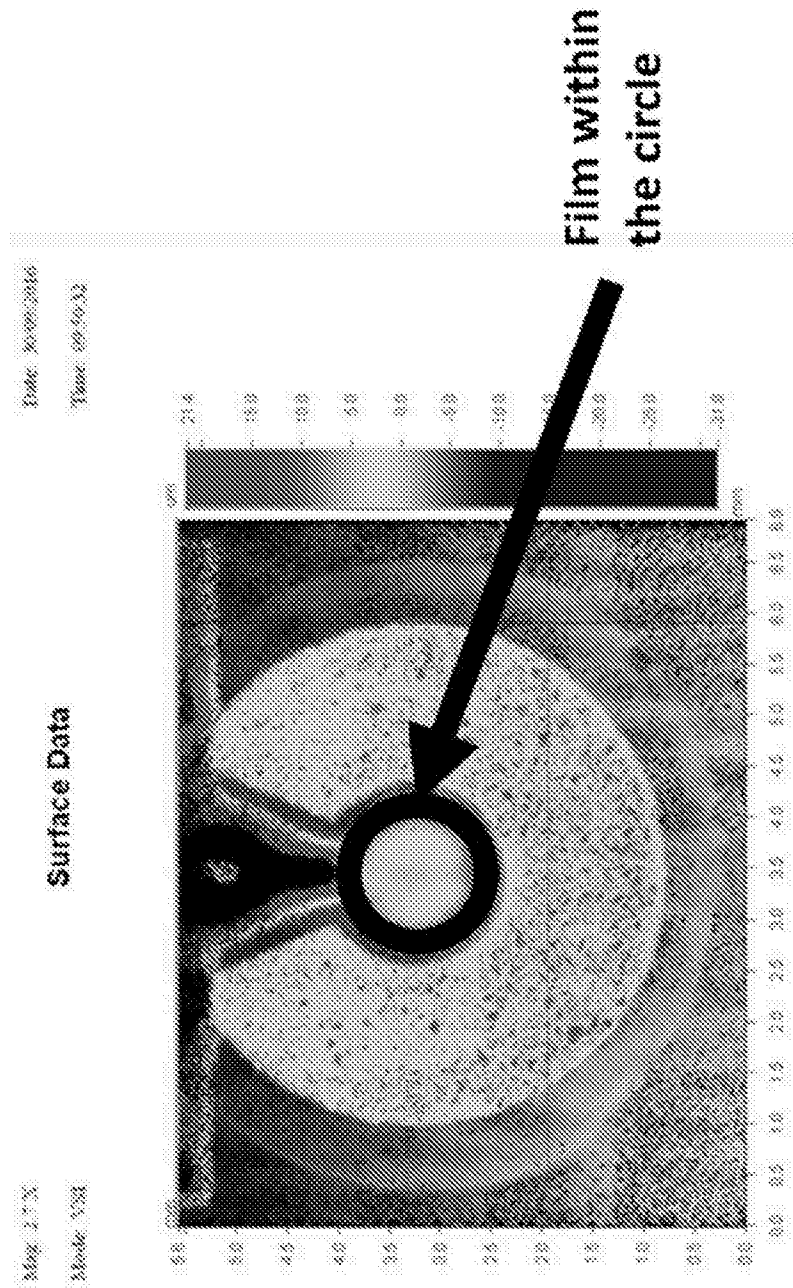


Figure 7

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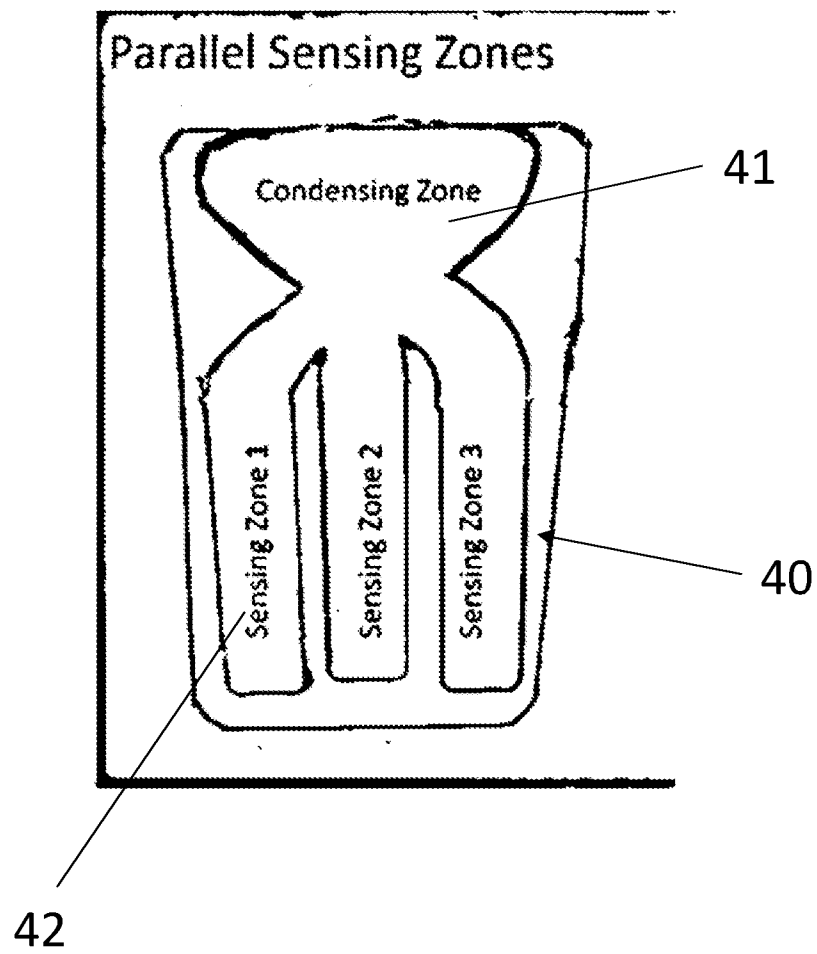


Figure 8

11/14

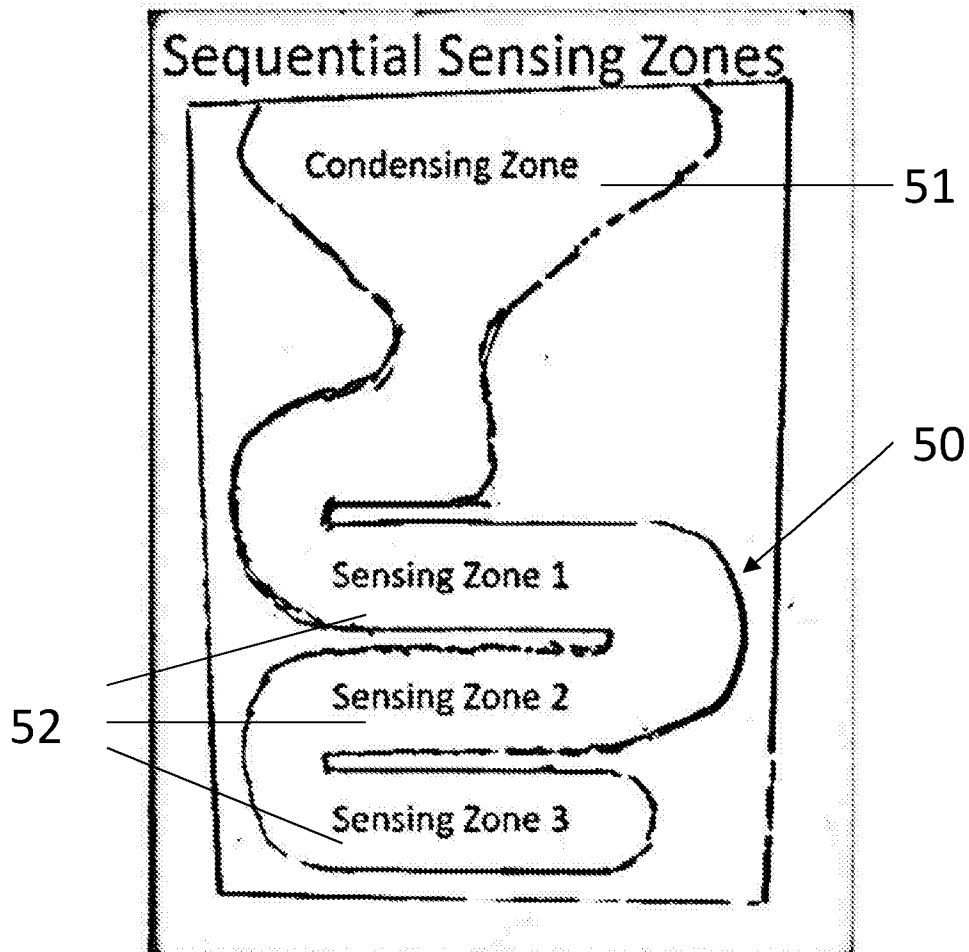
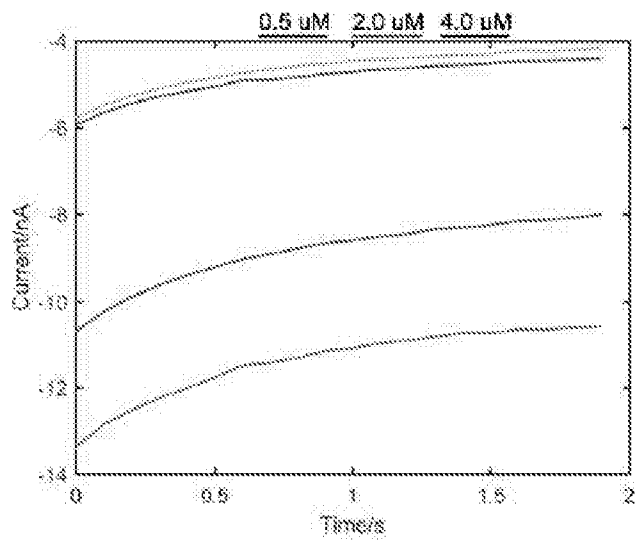


Figure 9



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Zoomed in data avoiding a region containing electrical noise

Figure 10

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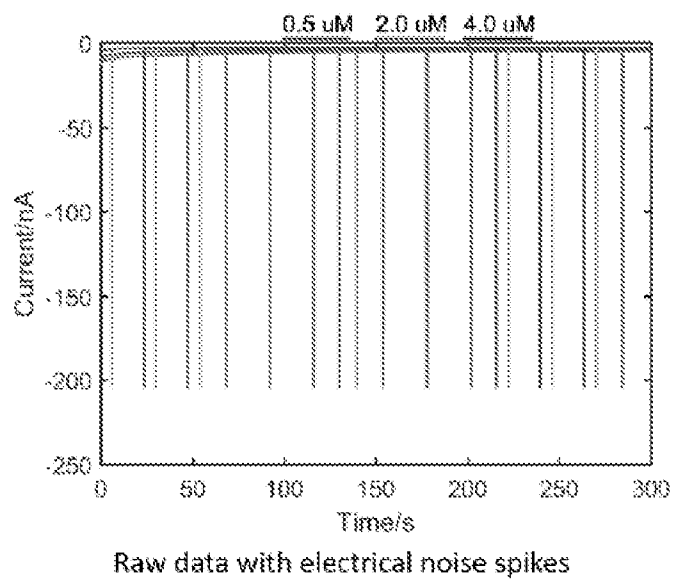


Figure 11

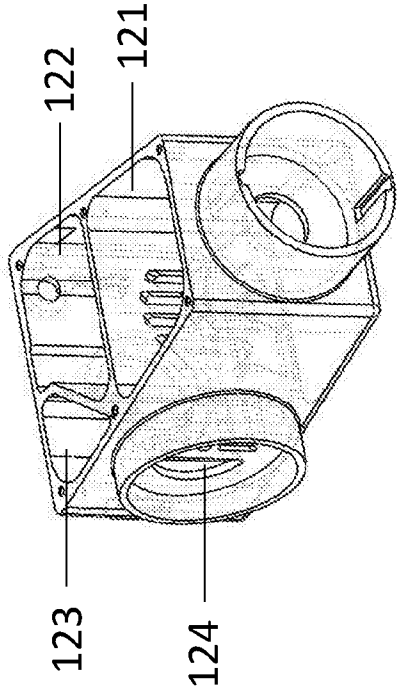


Figure 12b

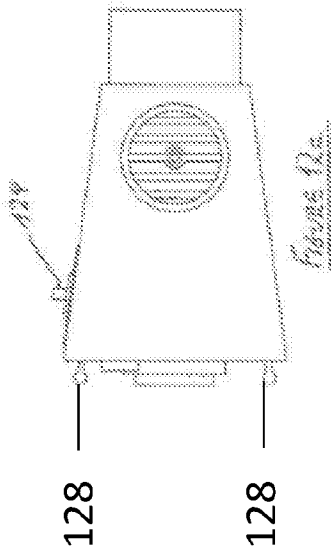
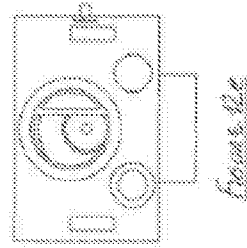


Figure 12a

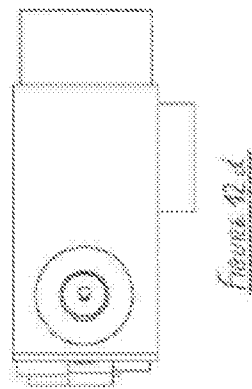


Figure 12c

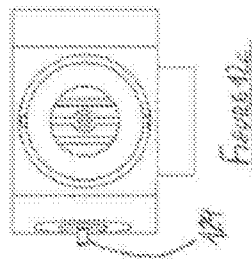


Figure 12d

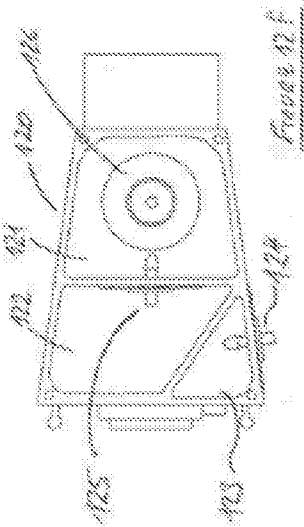


Figure 12e

INTERNATIONAL SEARCH REPORT

International application No  
PCT/GB2018/050721

A. CLASSIFICATION OF SUBJECT MATTER  
INV. G01N33/497  
ADD.  
According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED  
Minimum documentation searched (classification system followed by classification symbols)  
G01N A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
EPO-Internal, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2010/268106 A1 (JOHNSON BRADLEY N [US] ET AL) 21 October 2010 (2010-10-21)	1-17, 19-22
Y	paragraphs [0002], [0022] - [0025], [0028] - [0049], [0059], [0060], [0065] - [0073] figures 3,5,6,9,10	18,23
X	US 2007/173731 A1 (MEKA VIKAS V [US] ET AL) 26 July 2007 (2007-07-26)	1,2,9,10
Y	paragraphs [0003], [0043] - [0048], [0070] - [0084], [0104] - [0107] figures 1,13,19-22	
Y	US 2005/130226 A1 (AHN CHONG H [US] ET AL) 16 June 2005 (2005-06-16)	18,23
	paragraphs [0003], [0037], [0054] - [0057], [0072] figures 1,4	

Further documents are listed in the continuation of Box C.

See patent family annex.

\* Special categories of cited documents :

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- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search  
28 June 2018

Date of mailing of the international search report  
10/07/2018

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Fax: (+31-70) 340-3016

Authorized officer  
Couteau, Olivier

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/GB2018/050721

Patent document cited in search report	Publication date	Patent family member(s)	Publication date	
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