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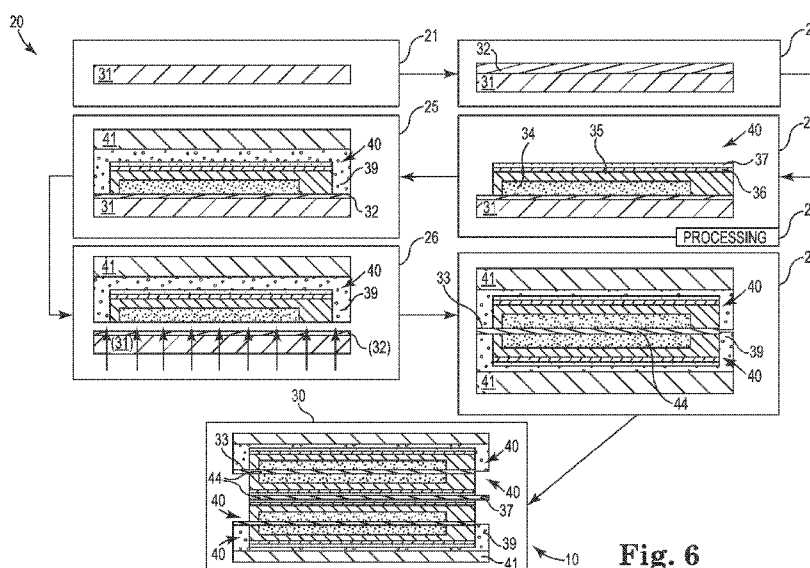


Fig. 6

(57) Abstract: A battery assembly can be formed on a base layer provided on a substrate, with a thin film battery stack including an anode layer, a cathode layer, and an electrolyte layer between the anode and cathode layers. The thin film battery stack can be encapsulated, and assembled into a battery system with electrical power connections for the anode and cathode layers.

THIN FILM ENCAPSULATION BATTERY SYSTEMS

Cross-Reference to Related Applications

[0001] This Patent Cooperation Treaty patent application claims priority to U.S. Non-Provisional Application No. 14/040,581, filed September 27, 2013, and titled “Thin Film
5 Encapsulation Battery Systems”, which claims the benefit under 35 U.S.C. § 119(e) of U.S. Provisional Patent Application No. 61/801,217, filed March 15, 2013, entitled “Thin Film Encapsulation Battery Systems,” the contents of each of which are incorporated by reference as if fully recited herein.

Technical Field

10 **[0002]** The subject matter of this disclosure relates generally to battery systems, and in particular to battery systems suitable for a range of different electronics applications including, but not limited to, computer systems, portable electronics and mobile devices. More specifically, the disclosure relates to battery systems and related methods of manufacture, utilizing encapsulation techniques to provide
15 improved energy storage and performance

Background

[0003] Battery systems are utilized in a wide range of electronics applications, including computers, mobile devices, media players, personal digital assistants, power tools, navigational and communications equipment, and power storage and
20 management systems for automotive, rail, shipping and industry use. Depending on application, these systems are traditionally configured around a cellular anode and cathode battery structure, for example in a cylindrical rod-and-tube type dry cell or flat plate flooded cell design. More advanced battery systems may utilize a “Jelly roll” or “Swiss roll” configuration, in which the anode and cathode layers are provided on
25 opposite sides of a flat sheet or flexible substrate, which can be rolled up folded inside a battery pouch or enclosure.

[0004] Battery system design is driven by a number of competing factors, including size, weight, energy capacity, storage density, cost, safety, reliability, durability, and ease of manufacture. In rechargeable battery systems, thermal loading, recharge
30 rate and other cycling considerations may also be important concerns, particularly as they relate to service life and suitability for particular electronics applications. These

design and engineering factors may also be weighted differently based on intended usage, for example as directed to larger scale battery systems for transportation and industrial power systems, as compared to smaller scale batteries for computers and consumer electronic devices.

- 5 **[0005]** As a result, there is a continual need for improved battery system designs, with increased service life and performance over a wide range of different operational configurations and demands. In particular, there is a need for improved thin film, laminar, and encapsulated battery technologies, with increased energy capacity and storage density suited to the ever-increasing service requirements of
10 modern electronics and power system environments.

Summary

- [0006]** Exemplary embodiments of the disclosure include battery systems, methods of making battery systems, and electronic devices utilizing battery systems. The battery systems include anode and cathode layers having one or more encapsulated
15 structures.

- [0007]** Representative processing methods may include forming a base layer on a substrate, and forming a thin film battery stack on the base. The battery stack may include an anode layer, a cathode layer, and an electrolyte layer between the anode and cathode, and can be encapsulated. The battery system typically includes a
20 connector or electrodes for making power connections to the battery stack, for example anode and cathode electrodes coupled to the anode and cathode layers, respectively.

- [0008]** Depending on application, the battery stack may be thermally processed to generate a phase transition in the cathode layer. For example, a crystal phase
25 transition may be thermally generated.

- [0009]** In stacked battery applications, a number of thin film battery cells are stacked for assembly into the battery system. For example, each stack may include a cathode collector layer adjacent the cathode layer, and adjacent cells can be inverted so that the cathode collector layers are electrically coupled within the battery
30 assembly. Alternatively, bare cathode or anode layers can be stacked adjacent to one another, and a conducting adhesive can be used to form the collector layers.

[0010] The battery cells can be encapsulated with a polymer or organic film. Alternatively, a multilayer encapsulation system can be used, with an inner ceramic layer positioned toward the cell stack, an outer polymer layer arranged about the inner ceramic layer, and a metallic layer between the inner ceramic layer and the outer polymer layer.

[0011] In battery system applications, a number of thin film battery cells may be arranged in a stacked configuration, where each of the cells includes an anode layer, a cathode layer, and an electrolyte layer between the anode and cathode layers. The encapsulant surrounds the stacked configuration, providing a substantially continuous chemical and mechanical barrier about the thin film battery cells.

[0012] Suitable encapsulants can be formed of organic liquid and polymer materials. A three-layer encapsulation system can also be used, with an inner ceramic layer, an outer polymer layer, and a metallic layer in between. In some designs, a space or gap is left between the encapsulant and the stacked thin film cell configuration, in order to accommodate thermal expansion and contraction, or the stack of battery cells can be formed with slanted or nonparallel opposing sides, to allow for relative movement.

[0013] These battery systems can be utilized in electronic devices, for example a smartphone, tablet computer, or other mobile device. In these applications, the battery assembly is typically coupled to a controller, and configured to power a display. The assembly itself includes a number of thin film battery cells arranged in a stacked configuration, where each of the thin film battery cells has an anode, cathode, and electrolyte layer. The encapsulant can be disposed about the stacked configuration of cells, to provide a chemical and mechanical barrier for the battery cells.

Brief Description of the Drawings

[0014] FIG. 1A is a perspective view of a battery system with improved thin film, laminar and/or encapsulation structures for increased energy density, as described herein.

[0015] FIG. 1B is a perspective view of the battery system, with an alternate form factor.

[0016] FIG. 2 is a process flow diagram for making a battery system or battery assembly, utilizing a process substrate layer.

[0017] FIG. 3 is a process flow diagram for a battery system or assembly, utilizing an etched substrate and permanent base layer.

5 [0018] FIG. 4 is a process flow diagram for a battery system or assembly, in a stacked cellular design.

[0019] FIG. 5 is a process flow diagram for a battery system or assembly, with a conducting adhesive collector layer.

10 [0020] FIG. 6 is a process flow diagram for a battery system or assembly, with multiple cell encapsulation.

[0021] FIG. 7 is a process flow diagram for a battery system or assembly, with conducting adhesive anode and cathode collector layers.

[0022] FIG. 8A is a cross-sectional illustration of a battery system, in an encapsulated stacked cell configuration.

15 [0023] FIG. 8B is an alternate cross-sectional illustration of the battery system, in an encapsulated configuration with internal spacing.

[0024] FIG. 8C is a cross-sectional illustration of a battery system with a sloped sidewall configuration.

20 [0025] FIG. 9 is a block diagram of a method for forming a battery system or assembly.

[0026] FIG. 10 is a schematic illustration of a representative electronic device incorporating a battery system or assembly

Detailed Description

25 [0027] This disclosure is directed to battery systems and assemblies for electronic devices and power management systems. The batteries can be formed in a thin film process, for example by forming a base layer on a substrate, and forming a battery stack on the base layer. Suitable thin film processing techniques include, but are not

limited to, those described in METHOD FOR TRANSFERRING THIN FILM TO SUBSTRATE, U.S. Publication No. 2010/0066683, published March 18, 2010, the entirety of which is incorporated by reference herein.

5 **[0028]** The battery stacks can also be assembled into a multi-cell battery system, with or without permanent substrate layers. Depending on stacking configuration, the anode and cathode collectors layers may be eliminated, or replaced with a conducting adhesive, decreasing the inactive mass and increasing power and performance, within a given form factor or size and weight envelope.

10 **[0029]** FIG. 1A is a perspective view of a battery (or battery system) 10 with improved thin film, laminar and/or encapsulation structures. These structures provide battery system 10 with increased energy density for improved service life and performance, suitable for a range of different electronic and power system applications, as described herein.

15 **[0030]** As shown in FIG. 1A, battery system 10 is provided as a laminated anode/cathode structure within enclosure 11, for example a battery pouch, casing or encapsulation layer. Protective film wrap 12 may be provided over battery enclosure 11 to protect battery 10 during shipping and storage. Film wrap 12 can also include a label, barcode, or other informational indicia for inventory control, which is typically removed before insertion of battery system 10 into an electronic device.

20 **[0031]** One or more connectors 13 provide power, sensor and control connections to battery system 10, for example in a “pigtail” configuration with a connector board or manifold 14 coupled to battery system 10 via flex circuit 15. The flex circuit connection can be made through a side 16 or end 17 of battery enclosure 11, as shown in FIG. 1A, or a major surface 18 (e.g., top or bottom surface) of enclosure
25 11. Flex circuit 15 also allows the connector board or manifold 14 to be relocated away from battery enclosure 11 during installation and removal, and for wrapping and unwrapping of protective film 12.

30 **[0032]** Length L, width W and thickness T of enclosure 11 define the shape or form factor of battery 10, typically excluding protective wrapper 12 and any other components that are removed before installation. In the particular configuration of FIG. 1A, for example, battery system 10 has a substantially rectangular or oblong

form factor, with width W defined between opposite sides 16 of enclosure 11, and length L defined between opposite ends 17. Thickness T is typically defined between the major surfaces of battery 10, for example between the bottom of battery enclosure 11 and top surface 18.

- 5 **[0033]** FIG. 1B is alternate perspective view of battery system 10, with a different form factor. In this configuration, battery thickness T is relatively greater, as compared to the thin-profile embodiment of FIG. 1A, and as a fraction of battery length L and width W. This may provide battery system 10 with greater storage capacity, within a given area $L \times W$, in exchange for more weight and a larger
- 10 volume envelope. Battery system 10 may also utilize a three-connector configuration, as shown in FIG. 1B, with control or sensor connector 13 and separate (e.g., positive and negative) electrodes 19 for charging and power delivery.

- [0034]** Enclosure 11 is sometimes formed of a laminated pouch structure, for example using a relatively thin or flexible metal such as aluminum or steel,
- 15 sandwiched between polymer protective layers or other coatings. Alternatively, enclosure 11 may be formed as a rigid case, using a relatively thicker metal or plastic material, or as an encapsulation layer or encapsulated enclosure system, as described below. In advanced battery systems, the internal structure (or battery core) of battery system 10 may be formed as a thin film or laminated anode/cathode
- 20 structure. In particular, a thin film lithium ion battery structure may be utilized, in order to provide increased potential energy density and a higher power/weight ratio for battery system 10.

- [0035]** To achieve these advantages, the proportion of active battery materials should be increased or maximized, including lithium ion utilization in the cathode
- 25 layers, as compared to inactive materials and “overhead,” which should be decreased or minimized. To achieve high lithium ion utilization, particular crystalline structures or orientations may also be selected. For example, a substantially uniform or single-crystal structure may provide greater energy density in the cathode layers, as compared to a more amorphous structure. In addition, particular crystal plane
- 30 orientations may be preferred, for example a (104), (101) or (012) crystal plane orientation, as compare to other possible orientations, such as (003).

[0036] In order to produce the desired crystal structures, the thin film battery layers are typically subjected to heating and other physical and chemical processes after deposition, in order to achieve the desired phase transitions. For example, both the film battery layers and the substrate may be heated to relatively high temperatures of up to 700 C or more, for extended periods of time, in order to achieve thermal crystallization. Thus, the process substrate must be thermally, chemically and mechanically stable, even at high temperatures. This requirement tends to limit the selection of suitable substrate materials, raising costs and reducing design options.

[0037] To address this problem, battery system 10 may utilize a thin film transfer process, in which the battery layers are deposited and processed on a substrate material, then transferred to a new (permanent) substrate, or into a battery stack, after the desired phase transformations have been achieved. This approach also reduces or minimizes overhead and inactive materials. Flexible substrates, for example, are typically are fabricated in roll-to-roll form, where each roll may be 1–2 meters wide, and up to thousands of meters long. In order to withstand typical jelly roll (and other standard battery) processing techniques, however, a minimum substrate thickness must be maintained. For example, up to 25 μm thick substrates may be utilized in display panel and touch screen applications, while the typical active layer thicknesses may be as low as 3–5 μm . Actual production parameters vary, but representative numbers suggest a potential material overhead of 500% or more, based on the substrate thickness, as compared to the active (e.g., cathode) layers. Thin film transfer techniques can be used to substantially reduce this overhead, increasing the active material fraction and corresponding potential energy density, as described below.

[0038] FIG. 2 is a flow diagram illustrating a representative method or process for producing a battery stack 40, for example utilizing a thin film technique. Method 20 may utilize one or more steps including, but not limited to, providing a substrate (step 21), providing a base layer on the substrate (step 22), forming battery layers in a stack on the base layer (step 23), processing the battery stack (step 24). The stack may be formed on a permanent or target substrate, or attached to a transfer plate (step 25), which is released (step 26) for transferring the battery stack to a permanent substrate (step 27), and removing the transfer plate (step 28). Process

method 20 may thus be utilized to form one or more thin film battery stacks or cells 40 for use a battery assembly such as battery system 10, above.

5 [0039] In step 21, process substrate or carrier layer 31 is provided in the form of a glass wafer or other suitable process substrate material 31. Generally, the composition and thickness of process substrate 31 are selected for thermal, chemical and mechanical stability.

10 [0040] In step 22, release or base layer 32 is provided on process substrate 31. Base layer 32 may be formed, for example, of a silicon base or etch stopping material on which the battery layers are formed, and which is retained when process substrate 31 is removed. A seed layer or seed material may also be provided, in order to encourage a particular crystal plane orientation in an adjacent anode, cathode or collector layer, for example a lithium cobalt (LiCo) seed layer for forming a lithium cobalt cathode or cathode collector with a selected crystal plane structure. Base layer 32 can also be provided as a sacrificial layer, which is removed from the final battery assembly to release process substrate 31, or as a base layer, which is retained in the final battery assembly.

20 [0041] In step 23, laminar battery stack 40 is formed on base layer 32 and process substrate 31, for example using thin film deposition and masking techniques. In particular, battery stack 40 is formed of one or more battery layers selected from the following: cathode current collector layers 33, cathode layers 34, electrolyte layers 35, anode layers 36, and anode collector layers 37.

25 [0042] Suitable anode and cathode layer materials include, but are not limited to, lithium, lithium cobalt oxide, lithium iron phosphate and other lithium metal phosphates, lithium manganese oxide, carbon, and graphite, or graphite infused with lithium ions. In one particular configuration, for example, anode layer 36 may be formed of lithium, and cathode layer 34 may be formed of lithium cobalt oxide. Alternatively, anode layer 36 may be formed of lithium cobalt oxide, or another lithium phosphate or metal oxide material, and cathode layer 34 may be formed of graphite, or lithium ion infused graphite, or a lithium-based material.

30 [0043] Suitable materials for electrolyte layer 35 include, but are not limited to, ethylene carbonate and diethyl carbonate containing lithium ion complexes, and

other (e.g., acid or alkali) electrolytes having suitable ion transport properties. In lithium ion applications of battery 10, the electrolyte is typically non-aqueous, in order to avoid reacting with lithium metal components in the anode and cathode layers. A separator material may also be provided in electrolyte layer 35, for example a porous or microporous ion transport material, a glassy or thin film solid electrolyte separator, or a paper, polymer, or fibrous composite membrane material with selected ion transport properties. Suitable electrolyte and separator materials also include, but are not limited to, polyethylene lithium ion transport materials, lithium phosphate, lithium phosphorous, and lithium phosphorous oxynitride (LiPON or LiPOxNy) materials, polymer, carbon (e.g., carbon nanotube) and composite membrane materials, and lithium-salt type electrolytes in a substantially solid polymer composite, for example in a lithium polymer battery configuration.

[0044] The particular configuration of battery stack 40 is merely representative, and the number and order of the individual anode, electrolyte, cathode and collector layers 33–37 may vary. For example, the layers may be reversed or inverted with respect to base layer 32 and process substrate 31, and collector layers 33 and 37 may be provided either as separate metal or conduction layers, or combined with the anode and cathode layers. Depending on the charging or discharging state, moreover, the charge flow in anode and cathode layers 36 and 34 may be reversed, and these designations may be modified accordingly, without loss of generality.

[0045] In step 24, battery stack 40 is processed to obtain selected material properties. For example, battery stack 40 may be heat treated to obtain crystallization or another phase change in cathode layer 34, or in other layers of battery stack 40. Generally, the crystallization temperature may be relatively high, for example up to 700 C or more. In applications where a seed layer or seed material is provided, the crystallization temperature may be somewhat lower, for example about 400 C or above. In additional examples, the relevant phase change temperature varies, depending upon the desired properties of battery stack 40, and the corresponding material composition and configuration of battery layers 31–37.

[0046] Processing step 24 may also include encapsulation of battery stack 40 within encapsulation layer 38. Encapsulation layer 38 provides a relatively thin, electrically insulating, chemical and mechanical barrier for battery stack 40, protecting from

environmental effects including electrical contact, mechanical stress, and moisture intrusion.

[0047] Encapsulation layer 38 may be provided before or after heating and other processing steps on battery stack 40. For example, suitable materials for encapsulation layer 38 include ceramics and other high temperature or refractory materials, which may be applied before or after thermal crystallization of cathode layers 34 (or anode layers 36). Additional suitable materials include polymer coatings and epoxy resins, for which the application sequence may depend upon the processing temperature and heating time, as compared to the thermal stability of the encapsulating material.

[0048] In transfer step 25, battery stack 40 is bonded to a transfer plate or transfer layer 41, for example using an easy release adhesive or other releasable bonding material 39. Alternatively, battery stack 40 is formed directly on the target or final substrate.

[0049] Suitable materials for transfer layer 41 include, but are not limited to, flexible polymer materials such as polyethylene terephthalate (PET) and other flexible polymers, and rigid materials such as glass, and silicon wafer materials. Suitable materials for adhesive or bonding material 39 include soluble glues and adhesive, which can be selected to temporarily adhere battery stack 40 to transfer layer 41, and which can later be dissolved or otherwise removed.

[0050] In release step 26, process substrate 31 is removed or released and battery stack 40 is transferred to transfer layer 41. Alternatively, battery stack 40 is formed on the target or permanent substrate, and the release step is not required.

[0051] Depending upon application, base layer 32 may also be removed, for example when using a sacrificial release material. Alternatively, base layer 32 can also be retained in the final battery assembly. For example, a chemical etching process may be utilized, which is stopped by base layer 32 as formed with an etch-resistant material, such as silicon. Alternatively, a sacrificial base or release layer 32 may be used, which undergoes a phase change when subject to an additional processing step, for example using an XeCl excimer laser or other radiation source to crystallize an amorphous silicon base layer 32. Base layer 32 can also be ablated, or vaporized

in an annealing process or other heat treatment. Depending on removal technique, moreover, process substrate layer 31 may either be destroyed, or preserved for reuse in a subsequent processing method 20.

5 **[0052]** In step 27, battery stack 40 is attached to the target or permanent (intended) substrate 42, for example using an adhesive layer 43 laminated between the bottom of battery stack 40 (e.g., cathode collector layer 33, and/or other battery layers), and target substrate 42. Alternatively, battery stack 40 is formed on the target or permanent substrate.

10 **[0053]** Suitable materials for adhesive layer 43 include, but are not limited to, permanent adhesives such as thermosetting adhesives, epoxy adhesives, and radiation-cured adhesive materials, and other bonding agents. In additional applications, target substrate 42 may be provided in the form of a patterned film, which can be laminated onto battery stack 40, or onto a thin film stackup including multiple battery cells 40.

15 **[0054]** In step 28, any transfer plate (or layer) 41 may be removed, for example by dissolving or otherwise removing temporary adhesive layer 39. After completion of processing method 20, battery stack 40 is released from process substrate 31 (and transfer layer or plate 41), and battery stack 40 can be bonded to permanent or target substrate 42. One or more individual battery stacks 40 may then be utilized in
20 a battery system 10, for example in a stacked arrangement inside a battery enclosure 11, as shown in FIGS. 1A and 1B. Alternatively, the battery enclosure may incorporate an encapsulation layer 38 and the target substrate 42.

25 **[0055]** This stacked configuration results in a battery system 10 with substantially more active material, as a fraction of total battery height, and provides a corresponding increase in potential energy density and storage capacity, as compared to other battery systems with similar overall dimensions, but larger substrate thicknesses. In very thin substrate applications, moreover, the thickness of target substrate 42 may be even less, for example about 5 μm or less, or about 2–3 μm , providing a further increase in battery capacity and performance.

30 **[0056]** FIG. 3 is a process flow diagram illustrating method 20 for producing battery stack 40, in an alternate technique with a permanent base layer 32. In this example,

processing steps 21, 22, 23, 24, and 25 may be similar to those of FIG. 2, above, or other thin film techniques may be used, as known in the art. In step 26, base layer 32 is retained as a permanent base layer for battery stack 40.

5 [0057] In this example, base layer 32 may be provided in the form of a silicon etch block or base layer, as described above, with or without a seed layer for generating a particular crystal plane orientation in one or more thin film battery layers 33–37. After processing battery stack 40 to provide the desired crystalline structure and other material properties, process substrate layer 31 may be etched away, leaving thin film battery stack attached to base layer 32. Alternatively, a mechanical process such as
10 grinding can be used, avoiding the harsh chemical environment typically associated with etching.

[0058] In step 27, battery stack 40 is attached to target substrate 42, for example using a layer of permanent adhesive 43 to bond base layer 32 to target substrate 42. In step 28, temporary adhesive 39 and transfer layer 41 are removed, preparing
15 battery stack 40 for use in a battery system 10, as described above. Alternatively, the process substrate can also be used as the permanent substrate.

[0059] FIG. 4 is a process flow diagram illustrating method 20 for processing a plurality of battery stacks 40, in a multiple stacked cell design. In this example, processing steps 21–25 may also be similar to those described above, with base
20 layer 32 provided in the form of a sacrificial release layer, which is removed along with process substrate layer 31 in release step 26. Instead of bonding battery stack 40 directly to a target substrate, however, a number of battery stacks 40 are assemble into a multi-cell stack configuration, as shown in step 29.

[0060] Adjacent battery stacks 40 may also be inverted with respect to one another, with a layer of conducting adhesive 44 provided between adjacent cathode layers 34
25 or cathode collectors 33 (or both), forming a single cathode connector 33 for two adjacent battery stacks 40. Alternatively, a non-conducting adhesive may be used, with separate (e.g. parallel) electrical connections between individual cathode collector layers 33.

30 [0061] The order of individual thin film battery layers 33–37 can also be reversed or otherwise modified in individual battery stacks 40, so that conducting adhesive 44 (or

an insulating bonding agent) is provided between adjacent anode layers 36 and/or anode collectors 37. A variety of different electrode couplings are also contemplated, for example with anode collectors 37 and cathode collectors 33 exiting from opposites sides of the stacked battery cell assembly, with additional conductive adhesive 44 between adjacent portions of the respective collector layers.

[0062] In step 30, temporary adhesive 39 and transfer layers 41 are removed (see step 28, above), and stacked battery cells 40 are provided for use in a battery system 10. Additional battery stacks or cells 40 may also be added, to provide a multi-cell stacked battery design with an arbitrary number of battery stacks 40. One or more substrate layers 42 and encapsulation layers 38 may also be included at the top or bottom of the individual battery cell stacks 40, or eliminated altogether, depending upon the desired chemical and mechanical properties of battery system 10.

[0063] FIG. 5 is a process flow diagram illustrating method 20 for processing multiple layered battery stacks or cells 40, with a conducting adhesive cathode collector layer 33. In this example, no separate cathode collector layer is formed in battery stack 40 (step 23). Both process substrate 31 and base (or release) layer 32 may be removed (step 26) before bonding adjacent (inverted) battery stacks 40 (step 29).

[0064] Thus, conductive adhesive 44 is provided directly between adjacent cathode layers 34, and serves as both a bonding layer and a cathode current collector, as shown in step 28. This design eliminates the need for conventional separate or distinct cathode collector layers, reducing battery height (or z-height) without removing active materials, and further increasing the potential energy density, with respect to other designs.

[0065] FIG. 6 is a process flow diagram illustrating method 20 for processing battery stacks or cells 40, with multiple cell encapsulation. In this example, a sacrificial base or release layer 32 is utilized (step 22), and there is no encapsulation layer in either thin-film deposition (step 23) or subsequent processing of battery stack 40 (step 24). Depending on application, a separate cathode collector layer 33 may also be avoided, as described above with respect to FIG. 5. Using a suitable conducting adhesive layer 44 to form cathode collector 33 when adjacent battery stacks 40 are bonded together (step 29).

[0066] In stacking step 30, any top or bottom transfer layers 41 that are used can be removed and pairs of adjacent battery stacks or cells 40 are bonded along the cathode collector interfaces, for example with additional conducting adhesive 44 between adjacent cathode collectors 37. Alternatively, the individual cell stacking
5 order can be reversed, as described above, and additional temporary adhesive 39 and transfer layers 41 may also be removed, in order to stack an arbitrary number of battery cells 40. The multiply stacked cells 40 can then either be enclosed in a traditional battery casing, or encapsulated as a unit, as described below.

[0067] In some designs, all the cathode collector layers 33 in a particular battery
10 system or assembly 10 are connected in parallel, as are the anode collector layers 37. Alternatively, individual battery stacks 40 (or pairs or stacks of cells 40) may have a reversed orientation, in order to provide one or more series couplings for higher output voltage. Thus, a wide range of different stacking configurations are encompassed, including different vertical layer ordering, and different horizontal (left
15 and right) anode and cathode collector orientations, as long as shorts and other direct couplings across the anode and cathode layers are avoided in individual battery cells or stacks 40.

[0068] FIG. 7 is a process flow diagram illustrating method 20 for processing battery
20 stacks 40, with conducting adhesive anode and cathode collector layers. In this example, no separate cathode collector or anode collector layers 33 and 37 are formed in deposition step 23, and both process substrate 31 and sacrificial base layer 32 are removed in release step 25, before bonding adjacent battery stacks 40 (step 29).

[0069] In stacking step 30, pairs of adjacent battery stacks or cells 40 may be
25 bonded together, as described above with respect to the example of FIG. 6. As shown in FIG. 7, however, there are no separate anode collector structures, and conducting adhesive 44 serves as both the cathode collector 33 and the anode collector 37. This provides a further decrease in the stacking height of the battery assembly, with an additional increase in power capacity and potential energy
30 density, as compared to a more traditional design with the same form factor, or with the same mass and size envelope.

[0070] As shown in FIGS. 2–7, film transfer processing methods provide substantial advantages in the manufacture and structure of individual battery stacks 40, and for assembled multi-cell or multi-stack battery systems 10. The use of a thin film encapsulated structure substantially reduces the inactive material in battery system 10, and increases the potential energy density. Alternatively, the z-height of the battery system can be reduced, within a given area, while maintaining or even increase total power output and storage capacity.

[0071] FIG. 8A is a cross-sectional illustration of battery system 10, in an encapsulated, stacked cell configuration. As shown in FIG. 8A, any number of individual battery stacks or cells 40 can be stacked in a parallel plane orientation within battery system 10. Different stacking orders and orientations are possible for the individual battery layers 33–37 in each stack 40, and the anode and cathode collectors layers 37 and 33 can either be provided as independent structures, or combined the corresponding anode and cathode layers 36 and 34, for example utilizing a conducting adhesive 44.

[0072] In the particular configuration of FIG. 8A, a substantially continuous encapsulation system 50 is provided over the outside surfaces of stacked cell battery assembly 10. Depending on design goals, encapsulation system 50 may also function as the battery casing, in lieu of a separate enclosure, with connections to anode and cathode collectors 37 and 33 provided via electrodes 19.

[0073] Generally, encapsulation system 50 is formed of a chemically inert and mechanically durable material, which is resistant to environmental effects. Suitable encapsulation materials include polymers and organic insulating materials, for example a plastic or laminated film, as described above for encapsulation layer 38. Alternatively, one or both of encapsulation layer 38 and encapsulation system 50 may be formed of a thin liquid coating barrier layer, for example siloxane. Encapsulation system 50 may also be provided as a patterned layer, or a layer that is patterned before curing, in order to provide access for electrodes 19, collector layers 33 and 37, or both.

[0074] Encapsulation of battery system 10 reduces the non-active material content, and minimizes material overhead. This contrasts with other thin film and jelly roll battery designs, where a protective pouch or metal casing is utilized, and the

nonactive mass and volume are greater. Alternatively, both an encapsulant (layer 38 or system 50) and an enclosure 11 may be provided, for example by inserting an encapsulated battery assembly 10 into an enclosure 11, as shown in FIG. 1A or 1B, in order to provide additional protection for mission-critical systems applications, or
5 for use in harsh operating environments.

[0075] Suitable techniques for applying encapsulation system 50 include spray application, dip coating, and roll-to-roll or sheet-to-sheet film coating and application methods. Encapsulation system 50 may also be UV or thermally cured, for example at a temperature of about 180 C or lower. In particular applications, the curing
10 temperature of encapsulation system 50 (or encapsulation layer 28) may be selected to be substantially below the melting point of lithium, and substantially below the crystallization temperature of the cathode layer, in order to avoid potential phase transitions in the materials of battery layers 33–37, target substrate materials 42, adhesives 43 and 44, and the other components of processed battery stacks 40.

15 [0076] In some designs, a multi-layer encapsulation system 50 is provided, for example with an inner layer 51 adjacent the stack of battery cells 40, a middle layer 52 disposed about inner layer 51, and an outer layer 53 disposed about middle layer 52, with middle layer 52 located between inner layer 51 and outer layer 53. Together, inner layer 51, middle layer 52 and outer layer 53 provide a flexible covering and
20 chemical/mechanical barrier, which expands and contracts during operation of battery system 10, for example due to thermal effects during charging and discharging.

[0077] In one particular configuration, inner layer 51 is formed of a ceramic such as silicon nitride (SiN), or other ceramic material selected for bonding properties and
25 density and to provide a chemical barrier. Middle layer 52 may be formed of a meteor metallic material selected for tensile strength and structural support, and outer layer 53 may be formed of a polymer such as parylene, or another polymer selected for density and ability to act as a moisture and oxygen barrier.

[0078] FIG. 8B is an alternate cross-sectional illustration of battery system 10, in an
30 encapsulated configuration with internal spacing. In this configuration, a gap, internal diaphragm, or compressive spacer material 54 is provided between inner layer 51 of encapsulation system 50 and battery stacks 40. An internal encapsulation layer 38

may also be provided, with spacer or gap 54 disposed between internal encapsulation layer 38 and layer 51 of outer encapsulation system 50.

[0079] FIG. 8C is a cross-sectional illustration of battery system 10 in a sloped sidewall configuration. In this configuration, sidewalls 55 of one or more individual battery stacks 40 are sloped at an angle with respect to the vertical; thus, sidewalls 55 are slanted with respect to the vertical or stacking direction, and not necessarily parallel to one another.

[0080] For example, sidewalls 55 may define a triangular or trapezoidal shape for the multi-cell stack, in which the lengths of the anode and cathode layers may be different. Encapsulation system 50 may also be provided in the form of a relatively thick encapsulation layer 38, for example a liquid coating material, which can travel along the sloped outer surfaces or sidewalls 55 of the multilayer cell assembly, and may be more resistant to cracking when cured.

[0081] FIG. 9 is a block diagram of method 70 for forming a battery assembly, for example battery system 10 with one or more individual battery stacks or cells 40, as described above. Method 70 may include one or more steps including, but not limited to, forming a base layer (step 71), forming a thin film battery stack on the base layer (step 72), processing the battery stack to achieve desired physical properties, and assembling the thin film battery stack into a battery system (step 80). Method 70 may also include one or more intermediate processing steps 74–79 and additional assembly steps 81–83, as described below.

[0082] Forming a base layer (step 71) and forming a thin film battery stack on the base layer (step 72), and other steps of method 70, may be performed according to any of the different examples of processing method 20, as described above with respect to FIGS. 2–7, or using other thin film processing steps known in the art. For example, the battery stack may comprise anode, cathode, and electrolyte layers with or without distinct collector layers, and the base layer may be formed on a temporary process substrate or a permanent substrate material, such as a pattern film substrate.

[0083] After the battery layers are deposited, the battery stack (or stacks) can be processed (step 73) to generate a crystalline structure in the anode or cathode

layers, or other desired property, for example by thermal treatment or annealing. Additional chemical, thin film, and mechanical processes may also be applied, including encapsulation of the individual battery stacks or cells.

5 **[0084]** Depending on embodiment, the thin film battery stack may be bonded to a transfer layer (step 74), for transfer from the process substrate (step 75). The process substrate can be removed by etching, or by generating a phase transition in the base layer, in order to release the process substrate from the battery stack. The base layer can either be removed along with the process substrate, or kept together with the battery stack, for assembly into the completed battery system.

10 **[0085]** The battery stack is typically transferred to the transfer layer for bonding to a target or permanent substrate (step 76), or for assembly into a multi-cell stack (step 77). Generally, the permanent target substrate materials are retained with the battery stack, in the final assembly, but a permanent substrate is not required. Any remaining transfer layers can also be removed (step 78), either during the stacking
15 process (step 77), or during final assembly of the battery system (step 80). A pattern film lamination can also be applied (step 79), as described above.

20 **[0086]** In some examples, the thin film battery cells are stacked bottom-to-bottom, with a pair of cathode collectors placed in an adjacent and electrically coupled relationship. Alternatively, one or more collector layers may be omitted, and the bare cathode layers themselves may be adjacent. In this technique, a bond can be formed between adjacent pair of cathode layers, for example using a conducting adhesive, which forms a (single) cathode collector for the two adjacent cathode layers.

25 **[0087]** Similarly, battery cells can also be stacked top-to-top, or with a reversed layer structure, so that the anode collector (or bare anode) layers are adjacent. A conducting bond can be formed between the adjacent collector layers, or between adjacent bare anode layers. Thus, the conducting bonds can be formed with a direct electrical connection between adjacent anode or cathode layers, with no other intervening collector layers.

30 **[0088]** Assembling the battery system (step 80) may include encapsulating the battery stack (step 81), inserting the battery stack into a pouch or casing (step 82), or both. Typically, the battery assemblies also provide additional features, for example

electrodes or other connectors, which are configured for connecting the battery stack (or multilayer stack of cells) to an electronic device (step 83), as described below.

5 [0089] The battery stacks can either be individually encapsulated (step 81), or encapsulated as a multilayer stack. For example, a multilayer encapsulation system may be provided to form a substantially continuous barrier, surrounding the stack of individual thin film battery cells. Typical multilayer systems include a ceramic inner layer oriented toward or proximate the multilayer stack of cells, and an outer polymer layer disposed about the inner ceramic layer, and oriented away from the stack. A metallic layer can also be included, for example between the inner ceramic layer and
10 the outer polymer layer.

[0090] The battery stack (or stacks) can also be laminated together with a patterned film (step 79). The patterned film may include precut or patterned openings for accessing the connector, for example as described above with respect to FIG. 2, in order to make power connections to the electronic device.

15 [0091] FIG. 10 is a block diagram illustrating representative electronic device 100, for example with housing 102 and controller 104 coupled to battery system 10, in order to provide power management and operational control of device 100. In some applications, electronic device 100 is configured for use as a smartphone, tablet computer, or other mobile device. Alternatively, device 100 may be configured as a
20 media player, digital assistant, game player, personal computer or computer display; a laptop, desktop, notebook, or handheld computer; a navigational or communications system; a power tool or other power equipment; or a power management component for use in a commercial, industrial, or transportation power system.

25 [0092] Housing 102 is provided to protect the internal components of device 100, and may be formed of a durable material such as aluminum and steel, or from metal, plastic, glass, ceramic, and composite materials, and combinations thereof. Cover glass 106 is typically formed of a glass or transparent ceramic material, for example silica glass or sapphire, or a clear plastic polymer such as acrylic or polycarbonate.
30 In mobile device applications, cover glass 106 may include a display window for a touch screen, graphical interface or other display component 108.

[0093] Device 100 may also include a number of additional components powered by battery system 10, including, but not limited to, a motion sensor and other internal accessories 110, audiovisual and sensor features 112 including speakers, microphones, cameras, and lighting/indicator features (e.g., a light emitting diode or flash device), and various control devices 114, such as home, menu and hold buttons, volume controls, and other control elements, arranged variously with respect to housing 102 and cover glass 106.

[0094] As shown in FIG. 10, controller 104 is coupled in signal and power communication with battery system 10, and one or more of display 108, internal accessories 110, audiovisual features 112, and control devices 114. Controller 104 includes microprocessor and memory components configured to execute a combination of operating system and application firmware and software, in order to provide power management and device functionality including, but not limited to, data display, voice communications, voice control, media playback and development, internet access, email, messaging, gaming, security, navigation, transactions, and personal assistant functions. Controller 104 may also include additional input/output (I/O) components configured to support hard-wired, wireless, audio, visual, infrared (IR), and radio frequency (RF) connections 116, for one or more external accessories 118, host devices 120 and networks 122.

[0095] While this invention has been described with reference to exemplary embodiments, it will be understood by those skilled in the art that various changes can be made and equivalents may be substituted for elements thereof, without departing from the spirit and scope of the invention. In addition, modifications may be made to adapt the teachings of the invention to particular situations and materials, without departing from the essential scope thereof. Thus, the invention is not limited to the particular examples that are disclosed herein, but encompasses all embodiments falling within the scope of the appended claims.

CLAIMS

We claim:

1. A method of manufacture for a battery assembly, the method comprising:
 - forming a base layer on a substrate;
 - forming a thin film battery stack on the base layer, the thin film battery stack comprising at least an anode layer, a cathode layer, and an electrolyte layer between the anode layer and the cathode layer;
 - encapsulating the thin film battery stack; and
 - assembling the thin film battery stack into a battery system.
2. The method of claim 1, further comprising thermally processing the battery stack to generate a phase transition in the cathode layer.
3. The method of claim 1, further comprising stacking a plurality of thin film battery cells for assembly into the battery system, each of the thin film battery cells comprising an instance of the thin film battery stack.
4. The method of claim 3, wherein each instance of the thin film battery stack comprises a cathode collector layer adjacent the cathode layer, and wherein stacking the plurality of thin film battery cells comprises stacking at least a pair of the cathode collector layers in an adjacent and electrically coupled relationship within the battery assembly.
5. The method of claim 3, wherein stacking the plurality of thin film battery cells comprises stacking at least a pair of the cathode layers in an adjacent and electrically coupled relationship within the battery assembly.
6. The method of claim 5, further comprising forming a cathode collector layer as an electrically conducting bond between the adjacent pair of cathode layers.
7. The method of claim 6, wherein stacking the plurality of thin film battery cells comprises stacking at least a pair of the anode layers in an adjacent and electrically coupled relationship within the battery assembly, and further forming an anode collector layer as an electrically conductive bond between the adjacent pair of anode layers.

8. The method of claim 1, wherein encapsulating the thin film battery stack comprises encapsulating the plurality of thin film battery cells as a unit within the battery system.

9. The method of claim 8, wherein encapsulating the plurality of thin film battery cells comprises providing a multilayer encapsulation system with an inner ceramic layer disposed proximate the plurality of thin film battery cells, an outer polymer layer disposed about the inner ceramic layer, and a metallic layer disposed
5 between the inner ceramic layer and the outer polymer layer.

10. A battery system comprising:
a plurality of thin film battery cells arranged in a stacked configuration, each of the thin film battery cells comprising an anode layer, a cathode layer, and an electrolyte layer between the anode layer and the cathode layer;
5 an encapsulant surrounding the stacked configuration, wherein the encapsulant provides a substantially continuous chemical and mechanical barrier about the plurality of thin film battery cells.

11. The battery system of claim 10, wherein at least a pair of the cathode layers are positioned in an adjacent and electrically coupled relationship within the stacked configuration.

12. The battery system of claim 10, wherein at least a pair of the anode layers are positioned in an adjacent and electrically coupled relationship within the stacked configuration.

13. The battery system of claim 10, wherein the encapsulant comprises a ceramic layer disposed about the plurality of thin film battery cells.

14. The battery system of claim 10, wherein the encapsulant comprises a metallic layer disposed about the plurality of thin film battery cells.

15. The battery system of claim 10, wherein the encapsulant comprises a polymer layer disposed about the plurality of thin film battery cells.

16. The battery system of claim 10, wherein the encapsulant comprises siloxane.

17. The battery system of claim 10, wherein the encapsulant comprises parylene.

18. The battery system of claim 10, further comprising a spacer or gap between the encapsulant and the stacked configuration of thin film battery cells.

19. The battery system of claim 10, wherein the stacked configuration of thin film battery cells has nonparallel opposing sides.

20. An electronic device comprising:

a display;

a controller coupled to the display; and

5 a battery assembly coupled to the controller and configured for powering the display, the battery assembly comprising:

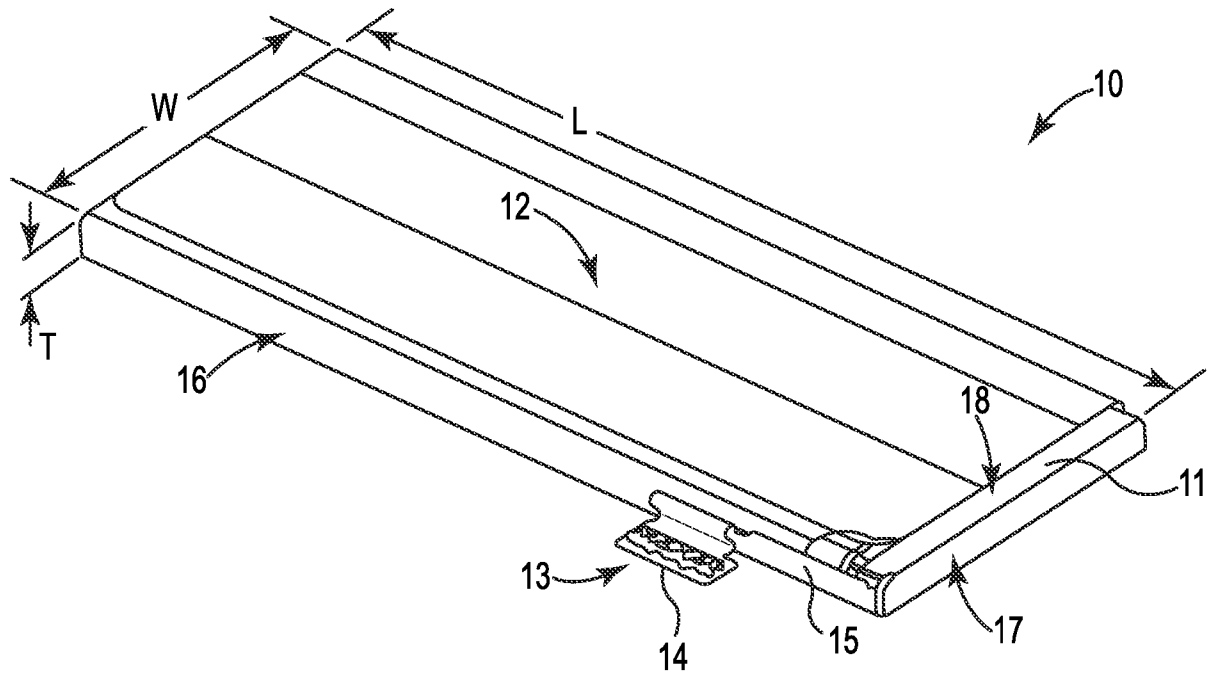
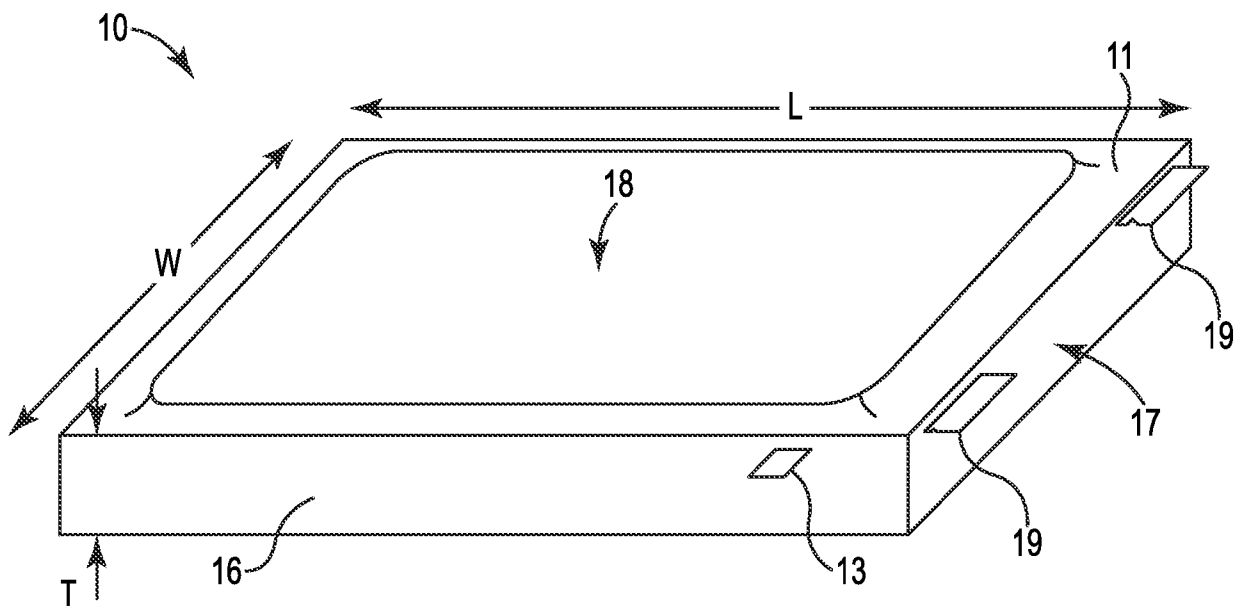
a plurality of thin film battery cells arranged in a stacked configuration, each of the thin film battery cells comprising an anode layer, a cathode layer, and an electrolyte layer between the anode layer and the cathode layer;

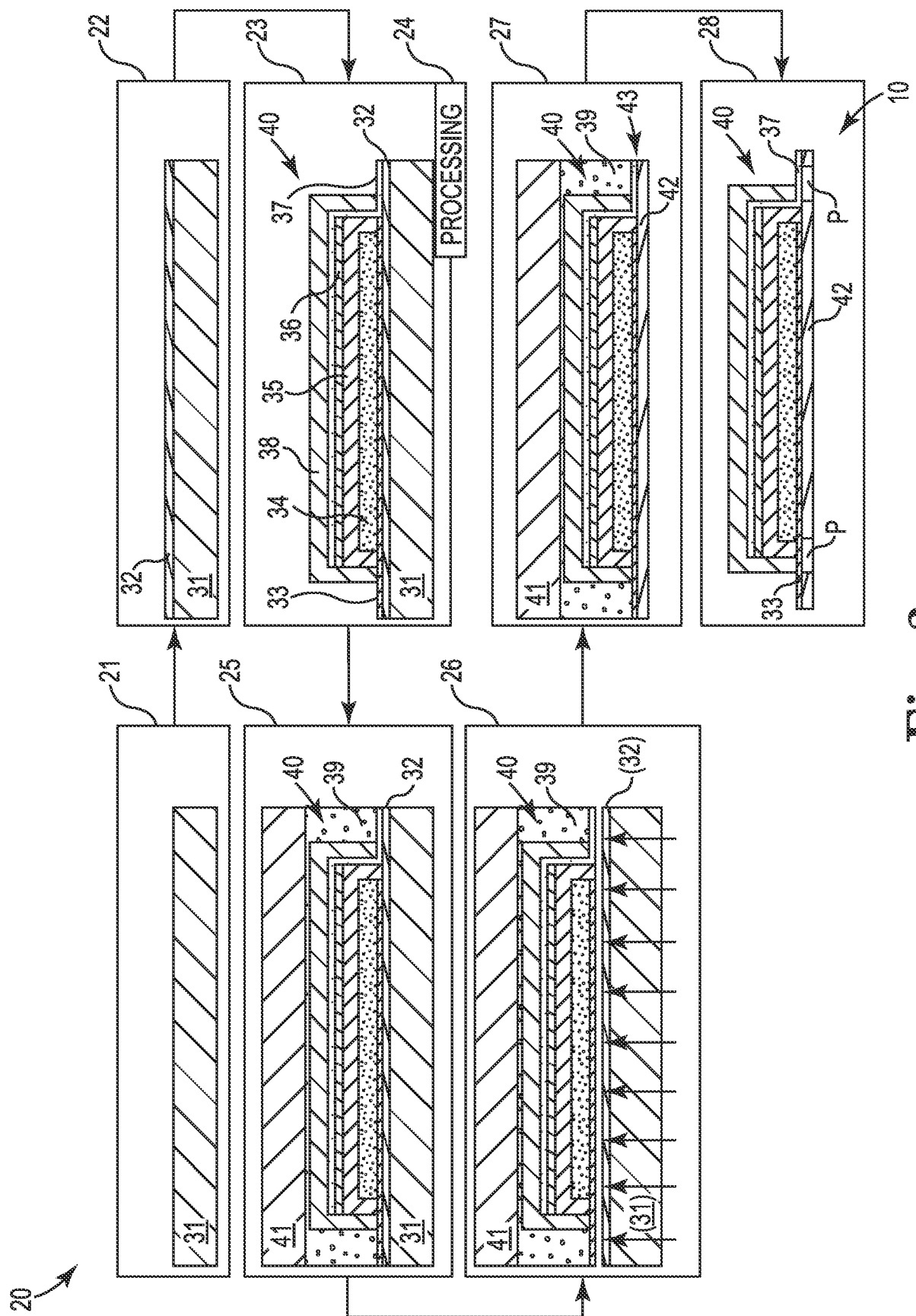
10 an encapsulation surrounding the stacked configuration, wherein the encapsulation provides a substantially continuous chemical and mechanical barrier about the plurality of thin film battery cells.

21. The electronic device of claim 20, wherein the encapsulation comprises a first layer comprising a ceramic material.

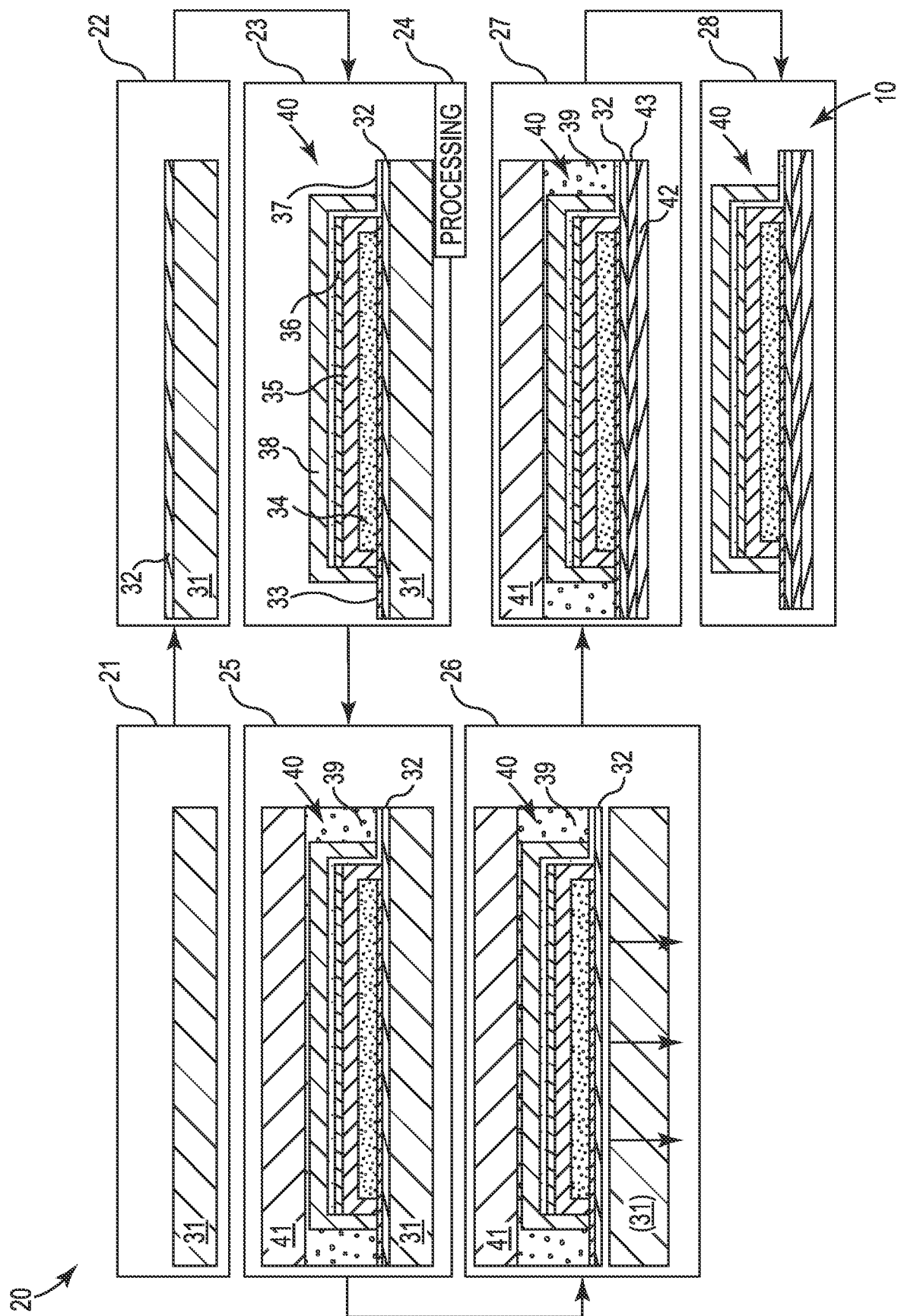
22. The electronic device of claim 21, wherein the encapsulation comprises a second layer disposed about the ceramic material.

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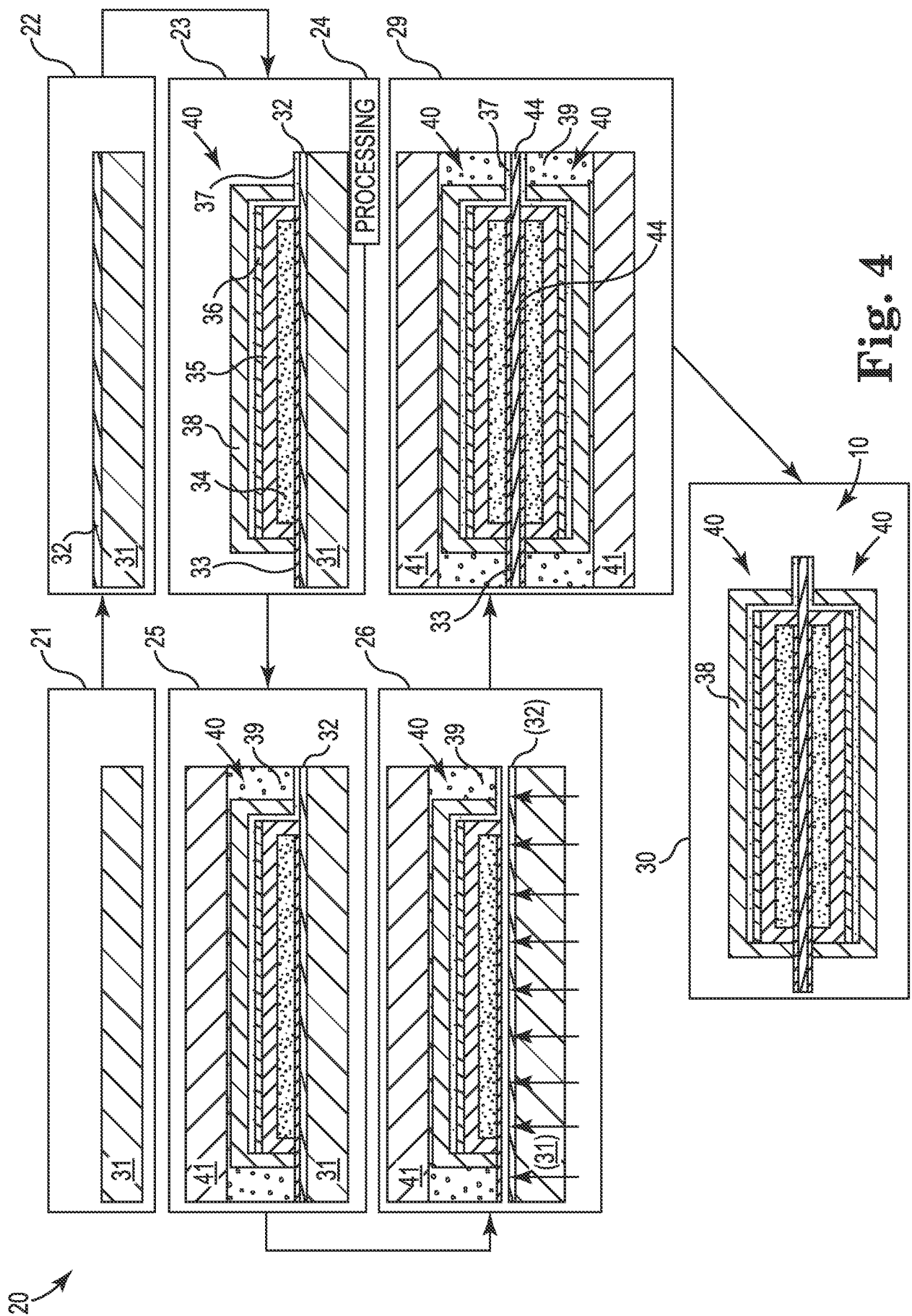
**Fig. 1A****Fig. 1B**

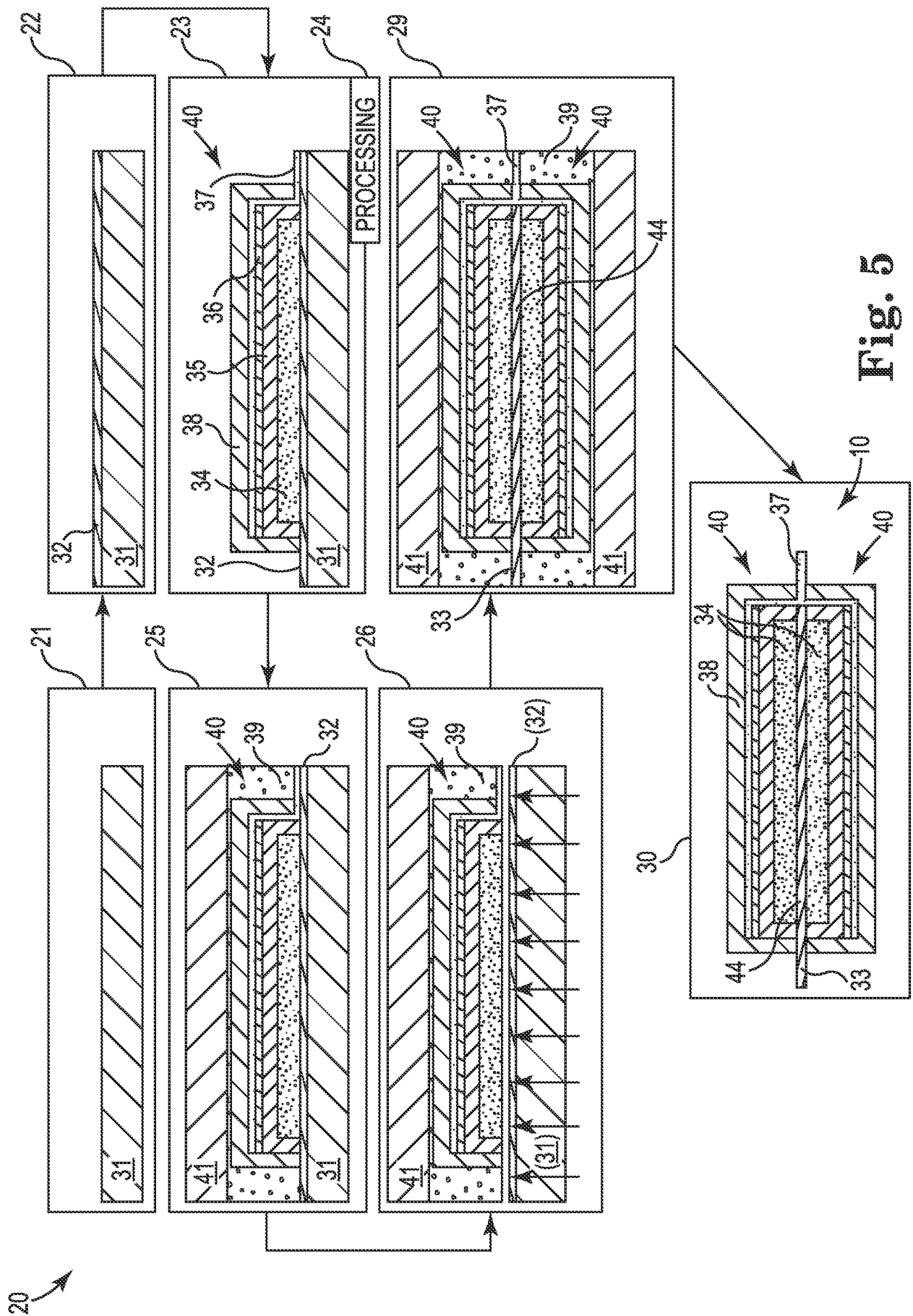


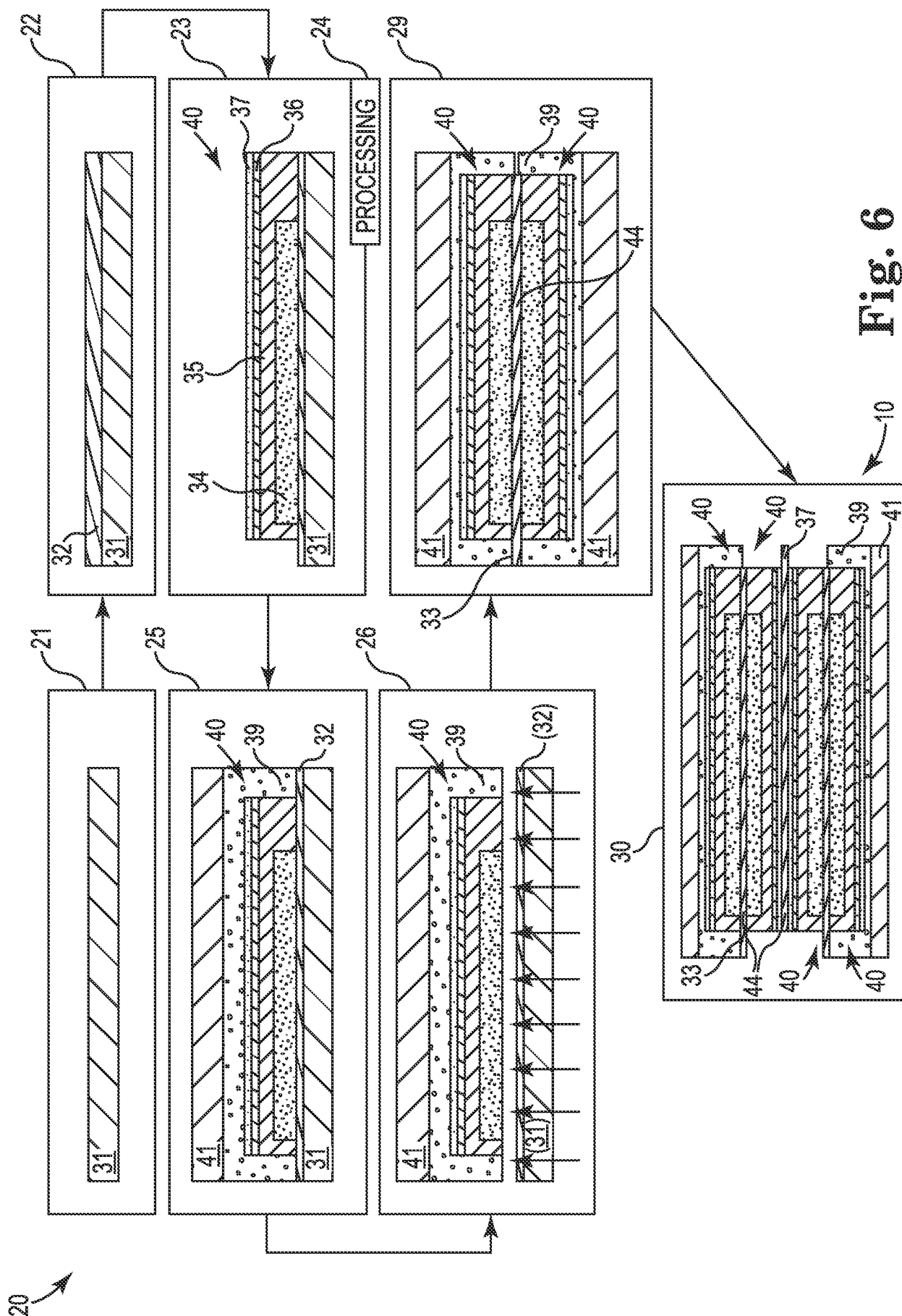
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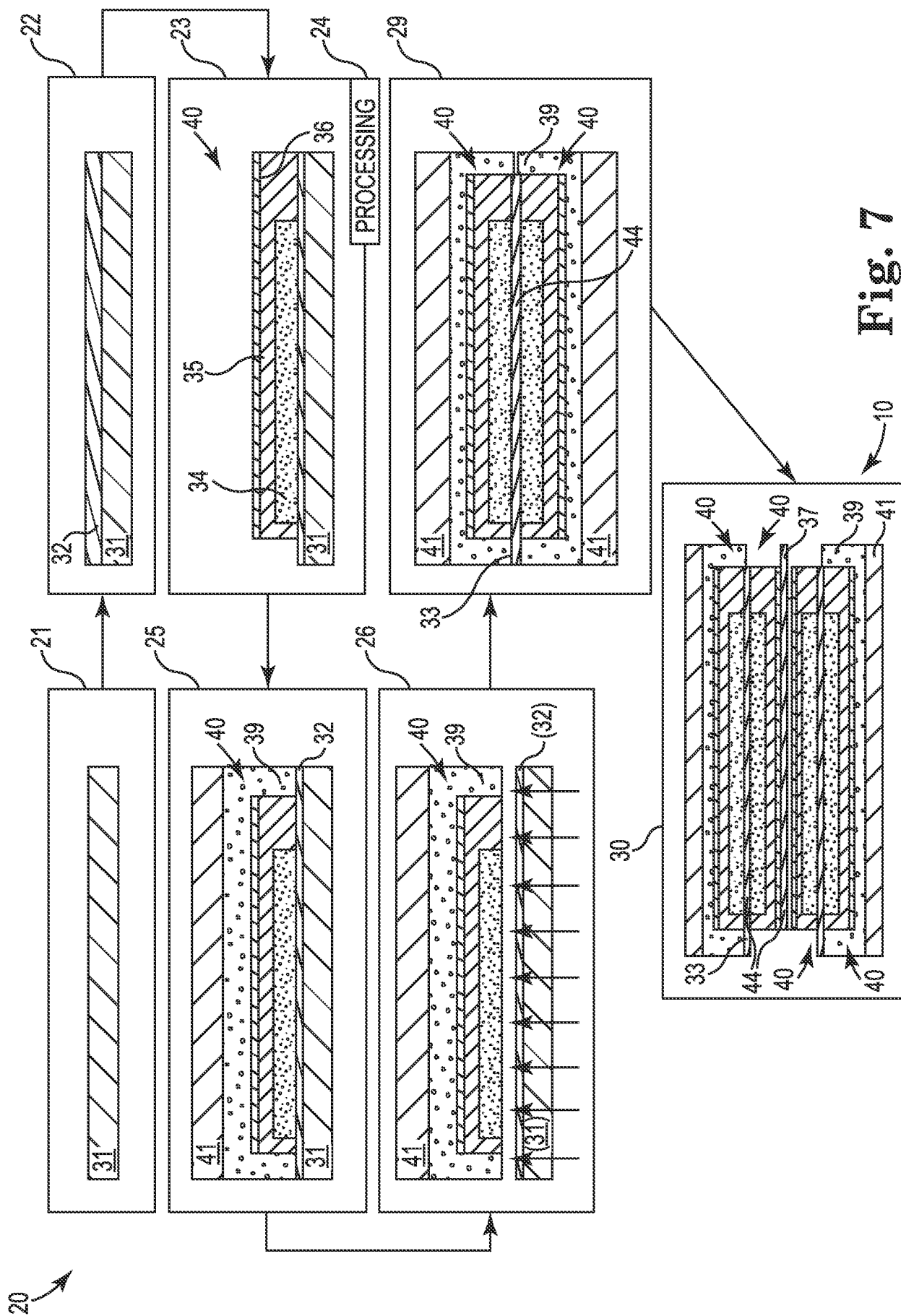
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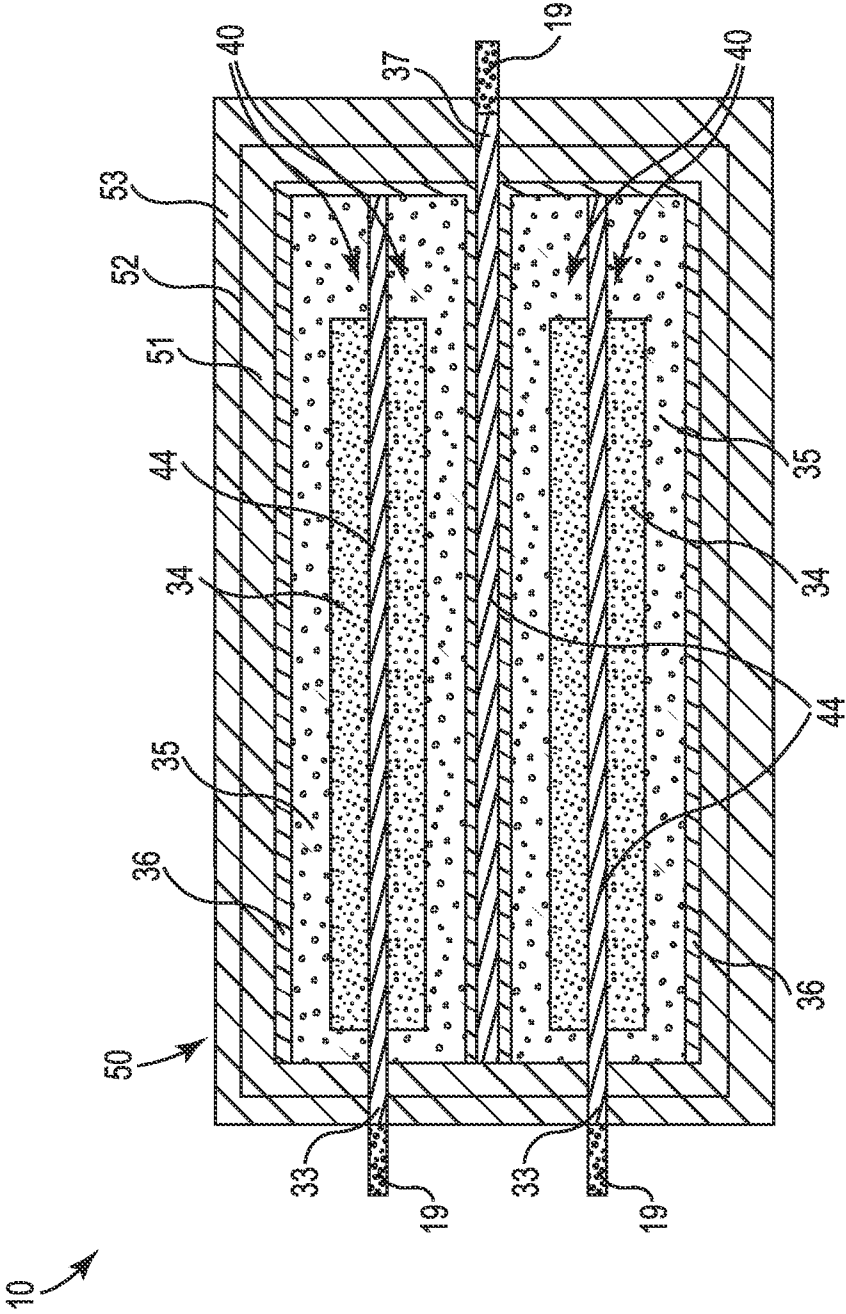


Fig. 8A

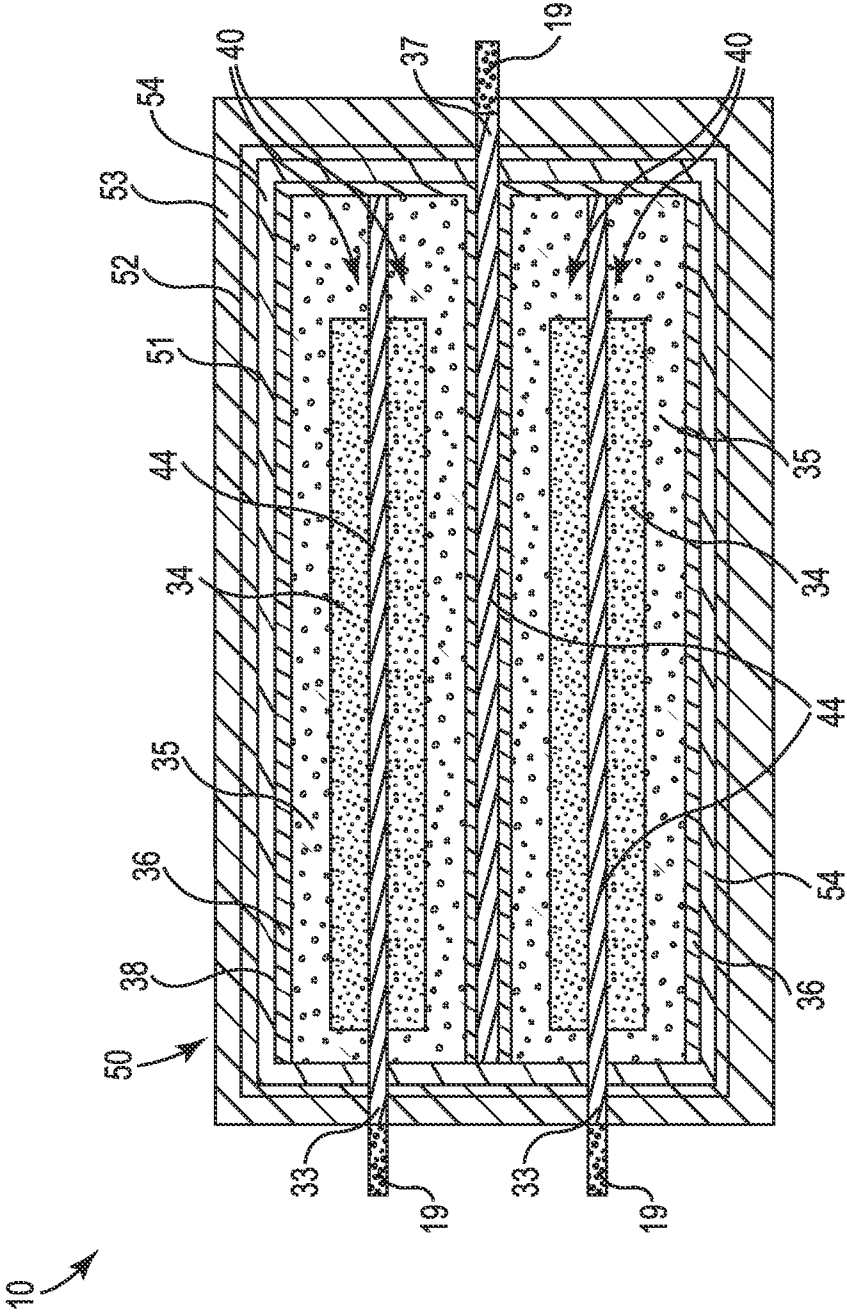


Fig. 8B

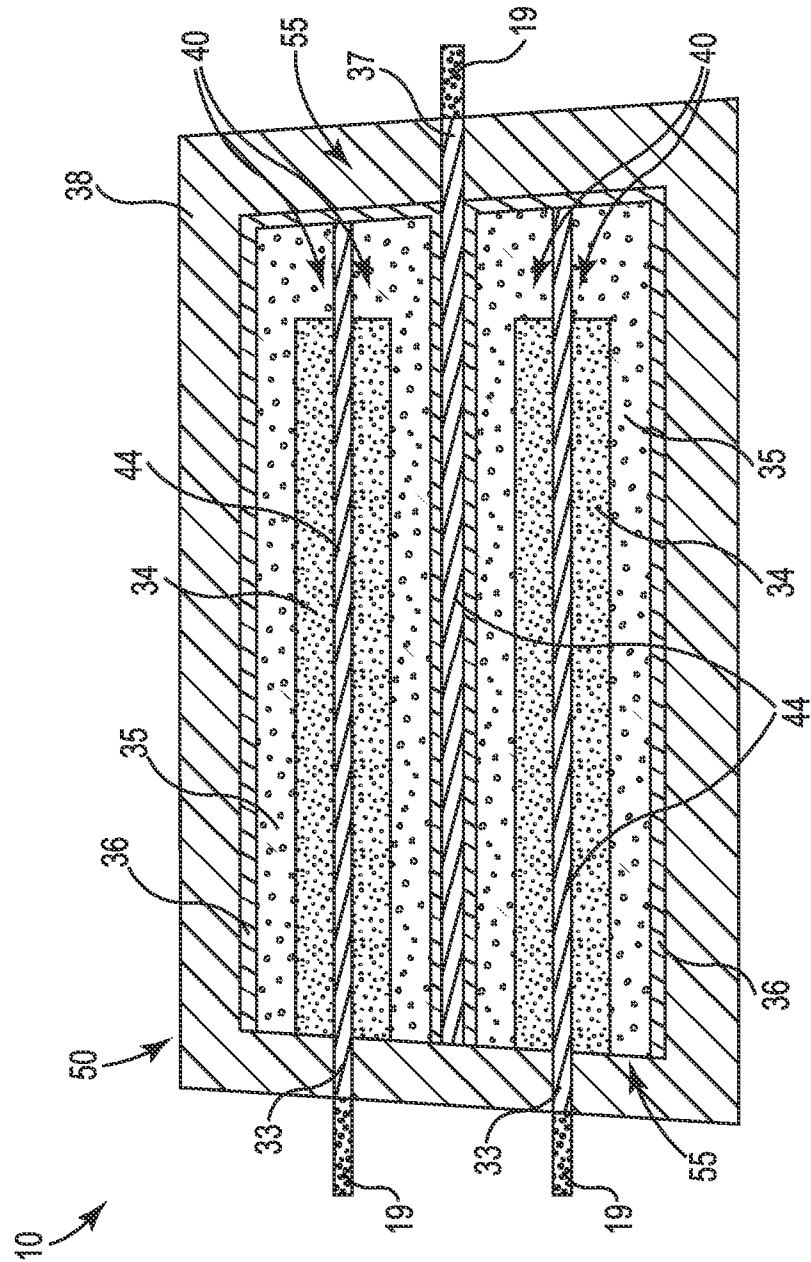
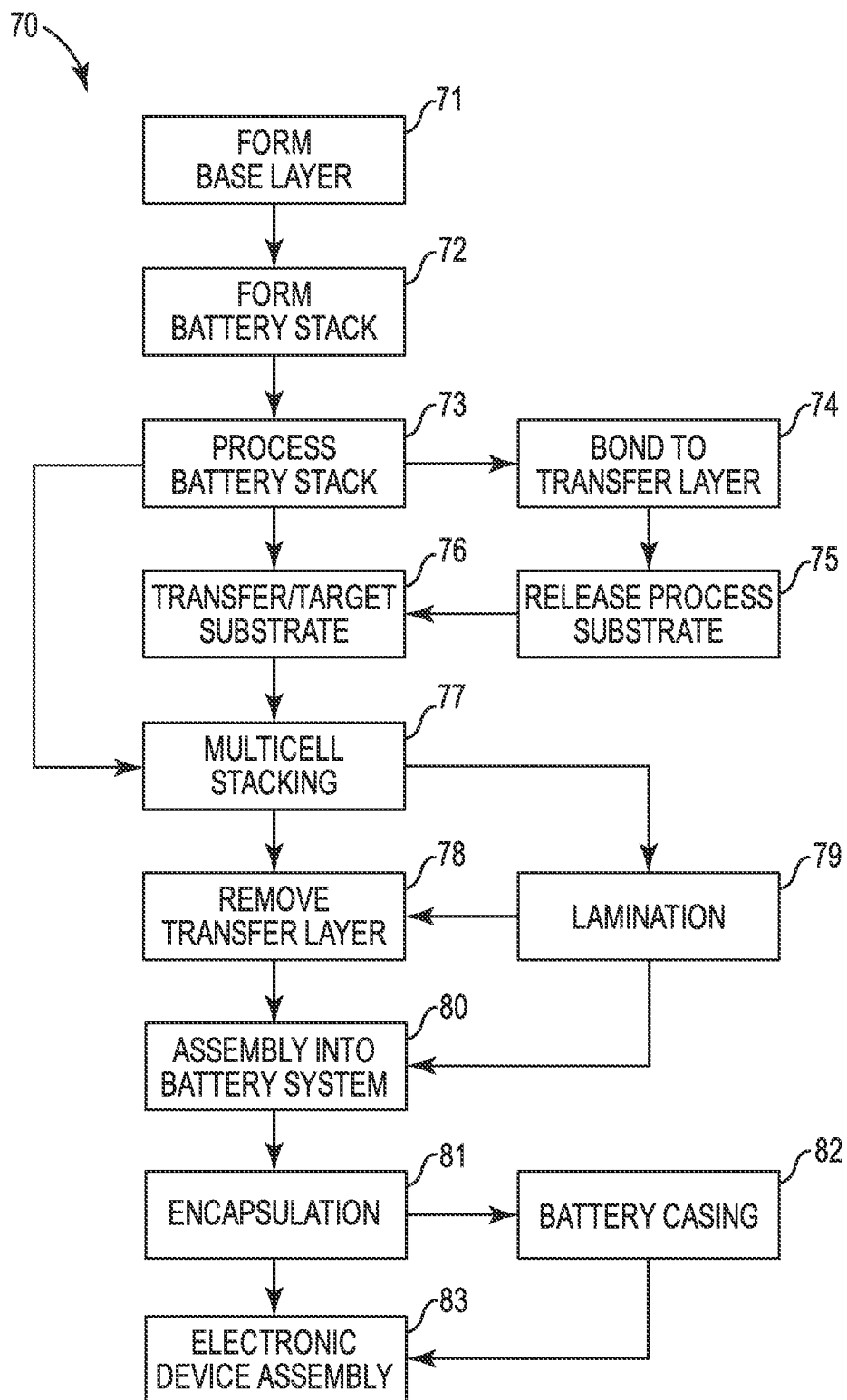
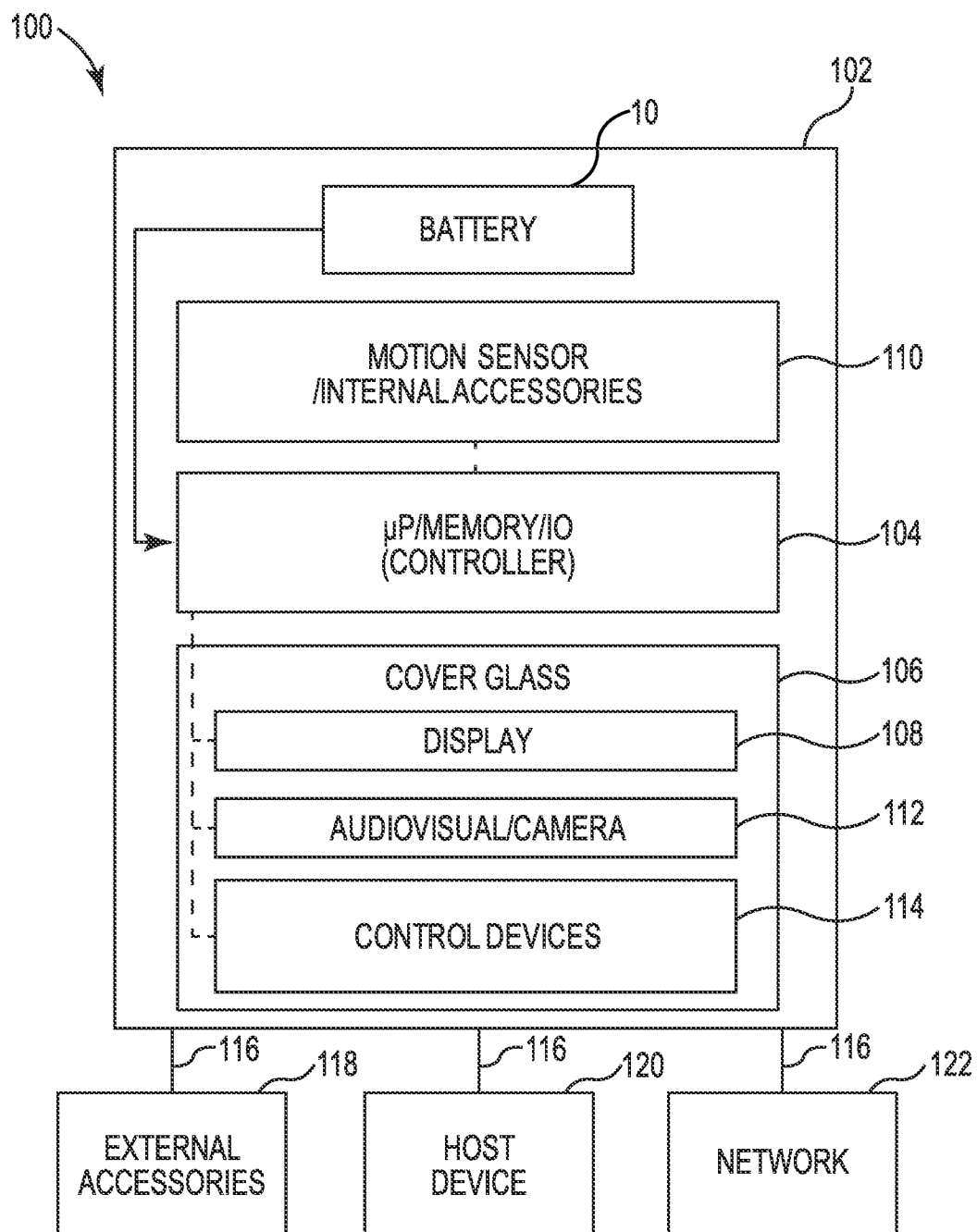


Fig. 8C

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**Fig. 9**

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**Fig. 10**

INTERNATIONAL SEARCH REPORT

International application No
PCT/US2014/017403

A. CLASSIFICATION OF SUBJECT MATTER INV. H01M4/58 H01M4/90 H01M2/02 H01M2/08 ADD.		
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols) H01M		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) EPO-Internal		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 561 004 A (BATES JOHN B [US] ET AL) 1 October 1996 (1996-10-01)	1-3, 8-10, 13-22
Y	see in particular claims 16, 17 and 24; see figure 1 and col. 1, line 55 to col. 2, line 58; see col. 4, line 56 to col. 5, line 16 -----	1-22
X	US 7 960 054 B2 (ZHANG JI-GUANG [US] ET AL) 14 June 2011 (2011-06-14)	1-3, 8-10, 13-15, 18-22
Y	see, in particular figure 1 and claims 5 and 7 ----- -/-	1-22
☒ Further documents are listed in the continuation of Box C. ☒ See patent family annex.		
* Special categories of cited documents : "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family		
Date of the actual completion of the international search 19 May 2014		Date of mailing of the international search report 26/05/2014
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016		Authorized officer Rumbo, Angel

INTERNATIONAL SEARCH REPORT

International application No
PCT/US2014/017403

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2012/251867 A1 (KRASNOV VICTOR [US] ET AL) 4 October 2012 (2012-10-04)	1-3, 7-10,12, 15,17-22
Y	see in particular anodes 60a and 60 d at figure 2; see in particular anodes 60c and 60e at figure 8; see paragraph 0040 see figures 1-10; see claims 1-20; -----	1-22
Y	US 2009/208754 A1 (CHU XI [US] ET AL) 20 August 2009 (2009-08-20) see in particular paragraphs 0019 and 0035 -----	1-22
Y	US 2009/181303 A1 (NEUDECKER BERND J [US] ET AL) 16 July 2009 (2009-07-16) see claims 1-39 and examples 1-5 as well as figure items 120 and 120; the whole document -----	1-22
Y	US 2009/214899 A1 (SHAKESPEARE STUART KEVIN [US] ET AL) 27 August 2009 (2009-08-27) see in particular paragraph 0035; figure 1 and claims 1-11 -----	1-22

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

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