PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: A61F 2/44, 2/46 $\mathbf{A1}$

(11) International Publication Number:

WO 99/47083

(43) International Publication Date: 23 September 1999 (23.09.99)

(21) International Application Number:

PCT/US99/05895

(22) International Filing Date:

18 March 1999 (18.03.99)

(30) Priority Data:

09/045,213

20 March 1998 (20.03.98) US

(71) Applicant: SULZER SPINE-TECH INC. [US/US]; 7375 Bush Lake Road, Minneapolis, MN 55439-2029 (US).

(72) Inventor: KOHRS, Douglas, W.; 7432 Hyde Park Drive, Edina, MN 55439 (US).

(74) Agent: BRUESS, Steven, C.; Merchant, Gould, Smith, Edell, Welter & Schmidt, P.A., 3100 Norwest Center, 90 South Seventh Street, Minneapolis, MN 55402-4131 (US).

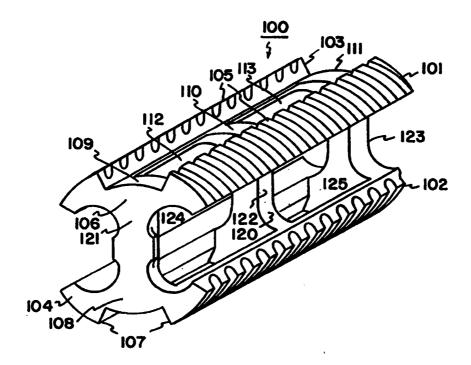
(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG. ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: INTERVERTEBRAL IMPLANT WITH REDUCED CONTACT AREA AND METHOD



(57) Abstract

The disclosure provides fusion implants, instruments and methods for insertion of the implants between opposing vertebral bodies to facilitate stabilization or arthrodesis of an intervertebral joint. A cross section through the longitudinal dimension of the implant is substantially configured in an "I" shape. In addition to other features, the implants of the invention provide a reduced contact surface area with the interior surface of a bore formed for receiving the implant.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovema
AT	Austria	FR	France	LU	Luxembourg	SN	
ΑU	Australia	GA	Gabon	LV	Latvia	SZ	Senegal Senegal
\mathbf{AZ}	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Swaziland Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Togo
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Tajikistan Turkmenistan
BF	Burkina Faso	GR	Greece	.,,,,,,	Republic of Macedonia	TR	
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Turkey
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Trinidad and Tobago Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	
BY	Belarus	IS	Iceland	MW	Malawi	US	Uganda
CA	Canada	IT	Italy	MX	Mexico	UZ	United States of America
CF	Central African Republic	JP	Japan	NE	Niger	VN	Uzbekistan
CG	Congo	KE	Kenya	NL	Netherlands	YU	Viet Nam
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Yugoslavia
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand	ZW	Zimbabwe
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG			
_			2100114	30	Singapore		

10

15

20

25

30

35

INTERVERTEBRAL IMPLANT WITH REDUCED CONTACT AREA AND METHOD

Field of the Invention

This invention pertains to procedures for intervertebral stabilization. Specifically, the disclosure provides implants, instrumentation and methods to facilitate stabilization or fusion between two vertebrae.

Background of the Invention

Chronic back problems cause pain and disability for a large segment of the population. Frequently, the cause of back pain is traceable to diseased disk material between opposing vertebrae. When the disk material is diseased, the opposing vertebrae may be inadequately supported, resulting in persistent pain.

Surgical techniques have been developed to remove the diseased disk material and fuse the joint between opposing vertebral bodies. Stabilization and/or arthrodesis of the intervertebral joint can reduce the pain associated with movement of an intervertebral joint having diseased disk material. Generally, fusion techniques involve removal of the diseased disk and packing the void area with a suitable matrix for facilitating a bony union between the opposing vertebral bodies.

Surgical devices for facilitating interbody fusion have also been developed. These devices typically provide for maintaining appropriate intervertebral spacing and stabilization of the vertebrae during the fusion process. Generally, these devices are referred to as cages. Examples of such devices are disclosed in, for example, U.S. Patent Nos. 5,458,638, 5,489,307, 5,055,104, 5,026,373, 5,015,247, 4,961,740, 4,743,256 and 4,501,269, the entire disclosures of which are incorporated herein by reference.

Generally, the fusion device is implanted within a site prepared between opposing vertebrae. Typically, the site is a bore formed in the disk material and extends through the cortical end plates and into the cancellous bone of the opposing vertebrae. Many of the present fusion devices have a chamber enclosed by a cylindrical or rectangular wall that substantially contacts the entire interior surface of the bore. After placement of the device into the bore, the enclosed chamber (interior of the cage) can be filled with bone chips or other suitable material for facilitating bony union between the vertebrae.

Most of the present fusion devices provide vertebral stabilization during the fusion process by contact of the entire outer wall of the fusion device with substantially the entire interior surface of the wall of the insertion bore. While support provided by contact of the device with the entire wall of the bore provides

10

15

25

30

35

adequate vertebral stabilization during the fusion process, it also has many disadvantages. For example, the greater the overall contact area of the device with the surface of the bore, the slower the rate at which new bone can grow into the bore to stabilize the joint. In addition, the greater the surface area of the device that contacts the surface area of the bore, the less continuity that can occur between the bone that is external to the device and the bone that is internal to the device. This lack of continuity of bone can translate into reduced structural integrity of the bony union. Furthermore, reducing the amount and continuity of the bone growth into the fusion site can cause the patient's body to rely on the device for long term stabilization rather than relying on the structural integrity of the new bony union. The potential orthopedic problems resulting from the body's reliance on orthopedic implants for structural support are well known.

Moreover, because most fusion devices are manufactured with materials that are radiopaque to typical diagnostic imaging modalities, assessment of the status of new bone growth during the fusion process can be limited.

Accordingly, there is a continuing need for improved intervertebral stabilizing devices and methods. The present invention is directed to addressing these needs.

20 <u>Summary of the Invention</u>

The invention is directed to procedures for intervertebral stabilization of opposing vertebrae. The disclosure provides implants, instruments and methods for stabilization or fusion of opposing vertebrae.

At various locations throughout the specification, lists of examples are provided. It should be noted that the examples are provided for illustrative purposes and are not intended to limit the scope of the invention.

An implant according to the invention includes an implant body having a first and second end spaced apart by a longitudinal axis of the implant. The implant body includes a first transverse member and a second transverse member maintained in spaced apart relationship by a central support member. The transverse members each include a bearing surface oriented to contact opposing vertebral surfaces.

The bearing surfaces of the implant can be linear, curved or other suitable configuration. In addition, the bearing surfaces can include a pattern for anchoring the implant and/or resisting displacement once the implant is inserted between opposing vertebrae.

An implant of the invention provides a reduced displacement volume relative to the insertion bore necessary to accommodate the implant. The central

25

30

support member or transverse members can also include openings which further reduce the displacement volume of the implants. In addition to enhancing the continuity of the new bone growth between the stabilized vertebral bodies, the reduced displacement volume of the implant facilitates assessment of the fusion process using known imaging modalities.

Brief Description of the Drawings

- FIG. 1 is a perspective view of an implant embodiment of the invention having a first and second curved bearing surfaces;
- FIG. 2 is a side elevation view of the implant of FIG. 1 (the opposite side being identical in appearance);
 - FIG. 3 is a top plan view of a first transverse member of the implant of FIG. 1 (the top view of the second transverse member view being identical in appearance);
- FIG. 4 is an elevation view of a trailing end of the implant of FIG 1;
 - FIG. 5 is an elevation view of a leading end of the implant of FIG. 1;
 - FIG. 6 is a perspective view of a second embodiment of an implant according to the invention;
- FIG. 7 is a top plan view of a first transverse member of the implant of FIG. 6 (the top view of the second transverse member being identical in appearance);
 - FIG. 8 is a perspective view of a third embodiment of an implant according to the invention;
 - FIG. 9 is a side elevation view of an embodiment of a tapered implant according to the invention (the opposite side being identical in appearance);
 - FIG. 10 is a top plan view of the implant of FIG. 9 taken 90° from the view of FIG. 9 (the opposite side being identical in appearance);
 - FIG. 11 is a side elevation view of an implant according to the invention illustrating a first and second taper (the opposite side being identical in appearance);
 - FIG. 12 is a top plan view of the implant of FIG. 11 taken 90° from the view of FIG. 11 (the opposite side being identical in appearance);
 - FIG. 13 is a side elevation view of another embodiment of an implant according to the invention having a first and second taper;
- FIG. 14 is an end view of two opposing vertebrae stretched apart and including two implants of FIGs. 1-5 disposed therebetween;
 - FIG. 15 is a side elevation view of an insertion tool for use with an implant of invention;

FIG. 16 is a side view of a distal end of the insertion tool of FIG. 15; FIG. 17 is perspective view of an implant of FIGs. 1-5 and the distal end of the insertion tool of FIG. 15;

FIG. 18 is an end on view of the distal end of the insertion tool of FIG. 15 with an implant of FIGs. 1-5;.

FIG. 19 is a side elevation view of an alternative embodiment of an insertion tool according to the invention; and

FIG. 20 is an end on view of an implant of FIGs. 1-5 loaded onto the distal end of the insertion tool of FIG. 19.

10

15

5

Detailed Description of the Invention

The present invention is directed to intervertebral stabilization and arthrodesis procedures that can provide for greater structural integrity of the bony union between fused vertebral bodies of the vertebral column. In addition, the devices and methods disclosed herein facilitate greater continuity between the bone formed at the fusion site and the remainder of the vertebral body. In some embodiments, the invention provides enhanced ability to assess new bone growth during the fusion process using typical diagnostic imaging modalities such as x-rays.

An implant of the invention can be prepared from known implant materials including, for example, titanium, stainless steel, porous titanium, bone or other suitable material used to manufacture orthopedic implants. Unlike prior implants, the present implants have no surrounding sidewalls and no chamber. The disclosed implants support the axial load of the vertebral column by a "central support member" that separates opposing bearing surfaces of the implant.

25

20

The "central support member" provides for stabilization of the vertebral bodies with a reduced area of contact between the exterior surface of the implant and the inside surface of a bore formed to accommodate the implant. In addition to promoting greater structural integrity and continuity of the bony union, the reduced contact area also reduces obstruction of assessment of the fusion process. Further reduction in obstruction of assessment of the fusion process can be provided by forming openings in the bearing surfaces and/or providing the central support member in the form of one or more columns having openings in between.

30

35

In some embodiments, in comparison to prior implants, the present implants have a reduced displacement volume relative to the cylindrical bore size necessary for insertion of the implant. For example, in some embodiments, the displacement volume of the implant takes up about 10% to 40% of the bore volume necessary to accommodate the implant between opposing vertebrae. In one preferred embodiment, the implant takes up about 24% or less of the bore volume

10

15

20

25

30

35

necessary to accommodate the implant. Thus, in this embodiment, the remaining 76% of the bore volume can be filled with bone or other suitable bone support matrix. In contrast, the BAK implant (U.S. Patent No. 5,489,308), commercially available from Sulzer Spine-Tech, Inc., takes up about 41% of the bore volume on a relative basis and the Proximity implant (U.S. Patent No. 5,609,636), also available from Sulzer Spine-Tech, Inc., takes up about 30% of the bore volume on a relative basis.

According to the invention, the central support member is located between the bearing surfaces of the implant and typically does not extend to the lateral edges of the bearing surfaces. The term "central" includes an implant having a support member located away from the exact center of the bearing surfaces but providing the same function of a herein described centrally located support member. The "bearing surfaces" are the surfaces of the implant that directly contact the opposing vertebral bodies. The "lateral edges" of the bearing surfaces are the lateral most aspects of the bearing surfaces.

The implants also have a leading end and trailing end that are spaced apart along the longitudinal axis of the implant. In general, a transverse cross section taken through the longitudinal axis of the present implants has a substantially "I" shaped configuration. The "central support member" forms the vertical arm of the "I" and the "transverse members" form the horizontal arms of the "I". In use, the central support member is typically oriented parallel to the longitudinal axis of the vertebral column and the transverse members are oriented perpendicular.

Each transverse member has a peripheral surface that is in direct contact with one of the opposing vertebral bodies. The transverse members also have an inner surface that is continuous with the lateral aspect of the central support member. A "channel" is present on either side of the central support member within the inner surface of the transverse member. As will be appreciated from the illustrated embodiment, the channel extends through the leading and trailing ends of the implant and opens laterally between opposing transverse members. As discussed below, after insertion of the implant between opposing vertebrae, the channel can be filled with a bone support matrix to facilitate new bone growth.

In some embodiments, the bearing surfaces are curved to provide an external surface configured for insertion of the implant into a circular bore formed between opposing vertebrae. In such embodiments, the opposing bearing surfaces can be parallel to one another along the longitudinal dimension of the implant from the trailing end to leading end. Alternatively, the implant can include a single or double taper including at least a first taper diverging from the longitudinal axis of the implant from the leading end to the trailing end. Implant embodiments having

curved bearing surfaces can include a pattern for anchoring the implant between opposing vertebrae. The pattern can be, for example, knurls or other intermittently raised surface. Alternatively, the pattern can be a portion of a helical thread pattern which resists displacement of the implant from an insertion bore and also provides for threaded insertion of the implant into the bore.

In other embodiments, the bearing surfaces can be substantially linear. According to this embodiment, preferably, at least one of the bearing surfaces includes a pattern for anchoring the implant and reducing the chance of displacement of the implant from of the insertion bore.

10

5

The invention also provides a kit comprising a plurality of incrementally sized implants which can be selected by the clinician based on the size needed for a particular patient. In other embodiments kits are provided which include instrumentation for performing an implant procedure with or without a plurality of incrementally sized implants.

15

Instruments and methods suitable for insertion of an implant of the invention are disclosed in, for example, U.S. Patent Nos. 5,489,308 and 5,458,638, and co-pending application U.S. Ser. Nos. 08/902,083 and 08/921,001, the entire disclosures of which are incorporated herein by reference. Additional instruments particularly advantageous for the implants disclosed herein are described in detail below.

20

25

After the implant is inserted into the bore, the volume of the bore not occupied by the implant, for example in the region of the channels, can be filled with a bone support matrix. As used herein, a "bone support matrix" is a material that facilitates new bone growth between the opposing vertebral bodies. Suitable bone support matrices can be resorbable or nonresorbable and osteoconductive or osteoinductive. Examples of suitable matrices according to the invention include synthetic materials, such as HealousTM, available from Orquest, Mountain View, California; NeOsteoTM, available from Sulzer Orthopedic Biologics, Denver, Colorado; or any of a variety of bone morphogenic proteins (BMPs). Suitable bone support matrices also include heterologous, homologous, or autologous bone and derivatives thereof. Preferably, the bone support matrix is radiolucent on x-rays.

30

35

The bone support matrix can be packed into the bore after insertion of the implant between the vertebral bodies. Alternatively, a bone support matrix can be configured to fit into the longitudinal channels on either side of the central support member before or after installation of the implant into the site of implantation. In one embodiment, the external surface of the bone support matrix can include a portion of a helical thread. According to this embodiment, when used with an implant having a portion of helical threads on a bearing surface, the helical

threads of the bone matrix can be complimentary to the helical threads on the implant such that when placed into the channel a complete helical thread pattern is formed for threadedly inserting the implant into the prepared site.

Detailed Description of the Illustrated Embodiments

The implants and instruments of the invention will now be described by reference to the several drawing figures. The illustrated embodiments are provided only for descriptive purposes and are not intended to limit the implants which are within the scope of the invention. It will be appreciated, however, that while the illustrated embodiments share the general configuration of an "I" in transverse cross section, each embodiment has additional unique and advantageous features.

A. Implants

15

20

25

30

10

5

FIGs. 1-5 illustrate a first embodiment of an implant of the invention having a first transverse member 1 and a second transverse member 2 spaced apart by a central support member 3. When inserted between opposing vertebrae, each transverse member is oriented transverse to the longitudinal axis of the vertebral column and the central support member is oriented parallel to the longitudinal axis of the vertebral column. Thus, the transverse members can also be referred to as a "cranial transverse member" and a "caudal transverse member" to indicate that when inserted between opposing vertebrae, one transverse member is oriented cranially and the other transverse member is oriented caudally.

The first transverse member 1 has a first bearing surface 4 and the second transverse member 2 has a second bearing surface 5. The first bearing surface 4 and the second bearing surface 5 include a pattern 7 for anchoring the implant within an insertion bore. The illustrated pattern 7 is a portion of a helical thread 7a which provides for threadedly inserting implant 10 into a bore prepared between opposing vertebrae. The helical thread 7a is generally rectangular in profile. However, a thread pattern having sharp surfaces or a combination of rectangular and sharp threads can be used. In addition, other surface patterns, such as knurls, could be provided on the bearing surface and the device implanted by impact into a bore.

The illustrated central support member 3 comprises a plurality of columns 8a-8d with openings 9a-9c therebetween. Columns 8a-8d of central support member 3 maintain transverse members 1 and 2 in a fixed spatial relationship and provide rigid support and stabilization of opposing vertebral bodies which contact bearing surfaces 4 and 5. Openings 9a-9c between columns 8a-8d promote greater

10

15

20

25

30

35

continuity of new bone growth through the central support member as well as reduce the presence of radiopaque material which can obstruct assessment of the fusion process using typical diagnostic imaging modalities.

FIG. 3 illustrates a top view of the bearing surface 4 of the first transverse member 1. Rotation of the implant 180° would show the bearing surface 5 of the second transverse member 2 which is substantially identical in appearance. The bearing surface 4 (and 5) includes rigid transverse supports, or trusses,13a-13d having openings 12a-12c therebetween. As illustrated, the portion of helical thread 7a can be continuous in the region of the transverse supports 13a-13d. In addition to facilitating greater structural integrity of the bony union, the openings 12a-12d also enhance the ability to assess new bone formation during the fusion process.

FIG. 4 is an elevation view of the trailing end 20 of implant 10. The inner surfaces 21a, 21b of transverse member 1 oppose the inner surfaces 22a, 22b of transverse member 2. The inner surfaces of the transverse members are continuous with the lateral surfaces 23a, 23b of the central support member 3. On either side of the central support member 3, there are two longitudinal channels 24a and 24b. Channel 24a is defined by surfaces 21a, 22a and 23a and channel 24b is defined by surfaces 21b, 22b and 23b. Channels 24a and 24b not only provide a large area for uninterrupted new bone growth around the implant, but they also provide an arrangement for attachment of an insertion tool described below.

Between each inner surface 21a, 22a, 21b and 22b and its respective lateral edge 25a, 26a, 25b and 26b of transverse members 1 and 2, there are undercut segments 27a, 28a, 27b and 28b. The angle A between undercut segments 27a and 28a and the angle B between undercut segments 27b and 28b can be different. As will be discussed below, asymmetry of angles A and B can provide for proper orientation of the helical threads 7a of implant 10 with complimentary threads of a below described insertion tool.

FIG. 5 is an elevation view of the leading end 30 of the implant 10. In the illustrated embodiment, trailing column 8d of central support member 3 includes lateral tabs 31a and 31b. Lateral tabs 31a and 31b render the leading end distinguishable from the trailing end such that implant 10 can only be loaded onto a below described implant insertion tool in a certain orientation.

Referring to FIG. 2, the leading end 30 and trailing end 20 are spaced apart along the longitudinal axis X-X of implant 10 to provide a length L. The implant 10 can be provided with different lengths L between leading end 30 and trailing end 20 as well as different heights H between the bearing surfaces 4 and 5 of transverse members 1 and 2, respectively. Incrementally sized length and height

10

15

20

25

30

35

implants 10 can be provided in a kit for selected use by the surgeon based on the particular patient's needs.

Once inserted into a prepared bore site, the channels 24a, 24b and any other area of the bore not occupied by the implant can be filled with a bone support matrix. Referring again to FIG. 1, one embodiment of a bone support matrix 40 is illustrated. According to this embodiment, the bone support matrix 40 can be a resorbable matrix 41 configured to fit within channels 24a or 24b. The inner surface 42 of bone support matrix 40 can be shaped to follow the contours of channels 24a or 24b. The outer surface 43 of bone support matrix 40 can include a portion of helical threads 43 which are complimentary to portions of helical threads 7a of implant 10. According to this embodiment, the implant 10 can be threaded into a tapped insertion bore with bone support matrix 40 in place. In alternative embodiments, after placement of the implant 10 into a bore, a bone support matrix configured to follow the contours of channels 24a and 24b but without a threaded outer surface can be inserted into the channels 24a and 24b of the implant.

Referring now to FIGs. 6-7, a second embodiment of an implant 100 is illustrated. The implant 100 includes four generally linear thread segments 101, 102, 103 and 104. Linear thread segments 101 and 103 provide a bearing surface 105 of a first transverse member 106 and linear thread segments 102 and 104 provide a bearing surface 107 of a second transverse member 108. As illustrated best in the top view of FIG. 7, thread segments 101 and 103 (and 102 and 104) are maintained in spaced apart alignment by transverse supports 109, 110 and 111. In the illustrated embodiment there are two openings 112 and 113 between transverse supports 109, 110 and 111. (The relative arrangement of the second transverse member 108 having thread segments 102 and 104 is identical to that just described for the first transverse segment 106). Transverse members 106 and 108 are maintained in spaced apart alignment by central support member 120. In the illustrated embodiment, central support member 120 comprises columns 121, 122 and 123 and has openings 124 and 125 therebetween.

It will be appreciated that the transverse members and central support member of an implant need not include any openings as described thus far. In addition, rather than comprising support columns, and openings as illustrated, the central support member can include several fine thickness support columns with several fine openings interspersed therebetween giving a profile appearance similar to the tines of a comb. A similar arrangement can be provided for the transverse members rather than having the trusses and openings illustrated.

Referring now to FIG. 8, another implant 200 is illustrated. Implant 200 has a more classic "I-beam" appearance in cross section. Similar to the

10

15

20

25

previously discussed embodiments, first transverse member 201 and second transverse member 202 are maintained in spaced apart alignment by central support member 203. Transverse member 201 also includes transverse supports 204, 205 and 206 having openings 207-210 therebetween. Transverse member 202 has an identical arrangement of transverse supports and openings. In the illustration, central support member 203 comprises columns 211, 212, and 213 has openings 214-216 therebetween. Bearing surfaces 220 and 221 include a pattern 223 of intermittent raised edges 224 which reduce the chance of displacement of the implant 200 once inserted into a bore.

It should be noted that as an alternative to the helical threads present on the bearing surface of other implants described herein, a pattern such as intermittent raised surface 224 or other non-helical thread pattern can be present on the bearing surface. Thus, rather than threadedly inserting such an implant into an insertion bore, the implant can simply be impacted by driving it into the bore along the X-X axis of the implant.

FIGs. 9 and 10 illustrate an implant 300 having a first taper diverging from longitudinal axis X-X from leading end 301 to trailing end 302. In the side view of FIG. 9, implant 300 has a substantially frusto-conical shape with a conical angle α equal to a desired lordosis between the vertebrae into which the implant 300 is to be placed as fully described in co-pending application U.S. Serial No. 08/902,083, the entire disclosure of which is incorporated herein by reference. In the illustrated embodiment, angle α is 8°. However, it will be appreciated that as with other implants, implant 300 will be available in a wide variety of sizes. For example, such implants may be provided having angles α ranging from 1° to 20° in 1° increments to permit a physician to select a desired implant to attain a desired lordosis. Further, such implants can be provided in varying heights (i.e., the diameter of the implants) to accommodate desired distraction and lordosis between opposing vertebrae.

The first transverse member 304 and second transverse member 305 include a surface pattern 306 comprising a portion of helical threads 306a along first bearing surface 308 and second bearing surface 309. The threads 306a are generally square in cross-section with their flat outer peripheral surfaces 310 set at an angle of one-half α with respect to the longitudinal axis X-X and defined valleys 311 between the threads 306a. At the leading end 301, the implant has a major diameter D_m measured between diametrically opposite outer radial surfaces 310 of the threads 306a at the leading end 301. At the leading end 301, the implant 300 has a minor diameter D_m measured as the distance across the implant 300 between the valleys 311 of the thread pattern 306a.

10

15

20

25

30

35

At the trailing end 302, the implant 300 has a major diameter D'_{M} measured between diametrically opposite outer radial surfaces 310 of threads 306a at the trailing end 302. Finally, at the trailing end 302, the implant 300 has a minor diameter D'_{m} measured between diametrically opposite valleys 311 at the trailing end 302.

The central support member 320 of implant 300 comprises vertical columns 321, 322 and 323 including openings 324 and 325 therebetween. Referring to the top view of FIG. 10, it can be seen that the first transverse member 304 (and also second transverse member 305) include transverse supports 330, 331 and 332 and include openings 333 and 334 therebetween. As with all implants disclosed herein, the number of columns and transverse supports can vary. The objective being to provide rigid support with the greatest amount of free space.

Referring to FIGs. 11-13, another embodiment of an implant 400 is shown. According to this embodiment, the first transverse member 401 and second transverse member 402 are maintained in spaced apart relationship by central support member 403. Central support member 403 includes columns 420, 421 and 422 with openings 423 and 424 therebetween. First transverse member 401 includes transverse supports 425, 426 and 427 with openings 428 and 429 therebetween. The second transverse member 402 has an identical arrangement.

Implant 400 has a first and second taper and a longitudinal axis X-X extending from a leading end 404 to a trailing end 405. The trailing end 405 of the present embodiment comprises a "trailing end rise" (TER) 406 and a terminal end 407. The first taper of implant 400 diverges from the axis from the leading end 404 to the trailing end rise 406 of the trailing end 405. The second taper diverges from the axis from the terminal end 407 to the TER 406. The trailing end rise 406 is the region of greatest diameter of the implant 400.

The first taper provides the bi-tapered implant 400 with a substantially frusto-conical shape with a conical angle α equal to a desired lordosis between selected vertebrae. The angle α of the illustrated embodiment, measured from the leading end 404 to the TER 406 is 8°, however, as previously stated, the herein disclosed implants will be available with a variety of angles and sizes. Referring to FIG. 11, the leading end 404 has a major diameter D_M measured between diametrically opposite outer radial surfaces 410 of the threads 411 at the leading end 404. The leading end 404 also has a minor diameter D_m measured between diametrically opposite inner radial surfaces 412 of the valleys 413 of the thread pattern 411 of implant 400.

At the trailing end 405, the implant 400 has a major diameter $\mathrm{D'}_{\mathrm{M}}$ measured between diametrically opposite outer radial surfaces 414 of the threads

10

15

20

35

411 at the trailing end rise 406. The trailing end 405 also has a minor diameter D'_{m} measured across terminal end 407.

The second taper of the implant 400 has a second angle, δ , extending from the terminal end 407 to the TER 406. The angle δ will vary with the diameter D'_{M} of the TER 406, the diameter D'_{m} of the terminal end 407, and the longitudinal distance L_{E} therebetween. In the illustrated embodiment, the diameter D'_{m} of the terminal end 407 is equal to the major diameter D_{M} of the leading end 404.

The longitudinal distance $L_{\rm E}$ can be about 5% to 25% of the overall length L of the implant. Generally, $L_{\rm E}$ is less than 15% of the overall length L, typically about 8-10%.

It will be appreciated that the slope "m" of the second taper, relative to the longitudinal axis X-X, can be calculated by the equation:

$$D'_{M} - D'_{m} / L_{E}$$

In the illustrated embodiment, m is about 1 (45°). However, the actual slope dimensions m can vary, typically, between .58 (30°) and 1.73 (60°).

The helical threads 411 can extend along the second taper as illustrated at 415 of FIGs. 11-12. Alternatively, as illustrated in FIG. 13, the threads 411 can stop at the terminal end rise 406 and the second taper comprise a flat 416, undulating or other non-threaded surface, from trailing end rise 406 to terminal end 407.

Implant 400 can also include other features as previously described for an implant.

B. Instrumentation and Insertion

Instrumentation and methods for preparing an insertion bore for

placement of an implant between opposing vertebrae are known. U.S. Patent Nos.

5,458,638 and 5,489,308 and co-pending applications U.S. Serial Nos. 08/921,001

and 09/036,165 (M&G Docket No. 6683.22USI1 filed March 6, 1998) describe

preferred instrumentation and methods for preparing an implant bore and inserting

an implant therein. The methods include the use of a distraction spacer, boring tools

and tapping tools. In addition, copending U.S. Serial Nos. 08/902,083, 08/902,407

and 08/902,431 disclose distraction spacers, boring tools and tapping tools for

preparing a tapered insertion bore suitable for insertion of single tapered implant 300

or double tapered implant 400. The disclosure of each of these patents and patent

applications are incorporated herein by reference.

FIG. 14 diagrammatically illustrates two implants 10 inserted into a threaded bore between opposing vertebral bodies 450, 451. It should be noted that in a preferred method, the openings 12a-12c of implants 10 are beyond the cortical

10

15

20

25

30

end plates 452, 453 and provide exposure to cancellous bone 454, 455. A bone support matrix can be packed around the implants 10.

FIGs. 15-18 illustrate one preferred insertion tool 500. Insertion tool 500 includes a tool body 502 extending from a proximal end 504 to a distal end 506. In the illustrated embodiment, an internal bore 508 extends completely through the tool from the proximal end 504 to the distal end 506. At the proximal end 504, the bore can be provided with internal threads 510. A handle 508 is provided at the proximal end 504 to permit a surgeon to manipulate the tool 500.

At the distal end 506, a plurality of grips are provided as best illustrated in FIGs. 16 and 17. The grips include threaded grips 522, 523. The threaded grips 522, 523 have opposing interior surfaces 524, 525 configured to slide into channels 24a and 24b of implant 10. The exterior surfaces of the grips 522, 523 are provided with threads 526 and valleys 527 which are complimentary to helical thread portions 7a of the implant 10.

FIG. 17 illustrates a perspective view of implant 10 and the distal end 506 of insertion tool 500. The thread pattern 526 of the threaded grips 522, 523 matches the helical thread pattern of the threaded portions 7a of the implant 10 to define a generally continuous thread pattern through the combination of the implant 10 and the tool 500.

Referring now to the distal end view of tool 500 in FIG. 18 a preferred feature for assuring thread alignment between an implant 10 and insertion tool 500 is described. As illustrated, the lateral aspects 550 and 551 of each gripper 522 and 523, respectively, each include a pair of tapered ridges 560 and 561. The angle A formed between tapered ridges 561 is different than the angle B formed between tapered ridges 560. However, angle A between tapered ridges 561 is identical to angle A of implant 10 and angle B of tapered ridges 560 is identical to angle B of implant 10 (see FIG. 5). Thus, by providing different angles A and B on the distal end 506 of tool 500 which match with angles A and B of implant 10 only in a particular orientation, proper alignment of thread portions 7a of implant 10 and threads 526 of tool 500 is assured for proper insertion of the implant into a tapped insertion bore. In the illustrated embodiment, the opposing interior surfaces 524, 525 of the distal end 506 of grips 522 and 523 also include notches 570a and 570b which receive tabs 31a and 31b of implant 10, respectively.

Referring now to FIGs. 19 and 20, an alternative embodiment of the distal end 506 of a tool 500 is illustrated. According to this embodiment, unthreaded grips 570 and 571 have opposing interior surfaces 572, 573 that provide for sliding grips 570 and 571 into channels 24a and 24b of implant 10. However, as visualized best in FIG. 20, the lateral aspects 574, 575 of grips 570, 571, respectively, do not

15

include threads and do not extend to the lateral edges 25a, 25b, 26a and 26b of implant 10.

The insertion tool 500, with threaded or unthreaded grips as just described, can also to include two additional grips that slide into the regions between thread segments 101 and 103 and 102 and 104 of implant embodiment 100. Such additional grips are illustrated, for example, in FIGs. 24, 27, 28 and 31 of coassigned U.S. Patent No. 5,609,636, the entire disclosure of which is incorporated herein by reference.

Finally, an insertion tool as described above can also be prepared for tapered implants 300 and 400. The difference being that grips 522 and 523 or 570 and 571 are tapered from the proximal end to the distal end as disclosed in copending application U.S. Ser. No. 08/902,083.

Having now described the present invention, it will be apparent to one of ordinary skill in the art that many changes and modifications can be made in the invention without departing from the spirit or scope of the appended claims.

15

30

35

WE CLAIM:

- 1. An implant for intervertebral fusion between opposing vertebrae, said implant comprising:
 - an implant body having a first end and a second end spaced apart by a longitudinal axis of the implant;
 - said implant body comprising a first transverse member having a first bearing surface and a second transverse member having a second bearing surface; and
- said first and second transverse members are spaced apart by a central support member.
 - 2. An implant according to claim 1 wherein said first and second bearing surfaces are curved.
 - 3. An implant according to claim 2 wherein said first and second bearing surfaces include portions of a helical thread pattern.
- 4. An implant according to claim 1 wherein said central support member extends from said first end to said second end of said implant.
 - 5. An implant according to claim 4 wherein said central support member includes at least one opening therethrough.
- An implant according to claim 1 wherein said first and second transverse members each include an opening.
 - 7. An implant according to claim 1 wherein said first and second bearing surfaces each include a pattern for anchoring to a vertebral body.
 - 8. An implant according to claim 1 wherein said center support member comprises a plurality of columns.
 - 9. An implant according to claim 1 further comprising a bone support matrix.
 - 10. An implant according to claim 9 wherein said bone support matrix is resorbable.

25

30

- 11. An implant according to claim 9 wherein said bone support matrix has a surface including a portion of a helical thread pattern.
- 12. An implant according to claim 1 wherein said first and second transverse

 members each have a first and second lateral edge, each lateral edge
 continuous with an undercut region, wherein said first lateral edges are
 opposing one another and said second lateral edges are opposing one another
 and an angle between said undercuts of said opposing first lateral edges is
 different than an angle between said undercuts of said opposing second
 lateral edges.
 - 13. An implant according to claim 12 wherein said central member includes at least one lateral tab at said first end of said implant body.
- 15 14. An implant for fusion of opposing vertebrae, said implant comprising:
 - an implant body having a first end and a second end in a spaced apart relationship, said implant body comprising:
 - a first bearing surface having a first and second thread segment with a first rigid support maintaining said first and second thread segments in spaced apart relationship;
 - a second bearing surface having a first and second thread segment with a second rigid support maintaining said first and second thread segments in space apart relationship; and
 - a central support member for maintaining said first and second threaded surfaces in spaced apart alignment.
 - 15. An implant according to claim 14 wherein said central support member is in a plane perpendicular to a plane defined by a selected one of said first and second bearing surfaces.
 - 16. An implant according to claim 14 wherein said first and second bearing surfaces converge towards one another from said first end to said second end of said implant body.
- 35 17. An implant according to claim 14 wherein said first and second thread segments are substantially parallel from said first end to said second end of said implant body.

25

30

- 18. An implant according to claim 14 wherein said first and second thread segments define a helical thread pattern.
- 19. An implant according to claim 14 wherein said central support member comprises a plurality of columns.
 - 20. An implant according to claim 14 wherein said first and second rigid supports each comprise a plurality of trusses.
- 10 21. An implant according to claim 14 wherein said implant is manufactured from titanium.
 - 22. An implant according to claim 14 wherein said implant is manufactured from porous titanium.
 - 23. An implant according to claim 14 wherein said implant is manufactured from bone.
- An implant for intervertebral fusion between opposing first and second vertebrae, said implant comprising:
 - an implant body having a leading end and a trailing end spaced apart by a longitudinal dimension of the implant; and
 - a cross section through said longitudinal dimension of said implant body having a substantially "I" shaped configuration.
 - 25. An implant according to claim 24 wherein said "I" shaped configuration comprises a first bearing surface diametrically opposite to a second bearing surface, said first and second bearing surfaces maintained in spaced apart alignment by a central support beam.
 - 26. An implant according to claim 25 wherein said first and second bearing surfaces are each arched.
- An implant according to claim 26 wherein said first and second bearing surfaces each include a portion of a helical thread pattern extending from said first end to said second end of said implant body.
 - 28. An implant according to claim 27 further comprising a bone support matrix.

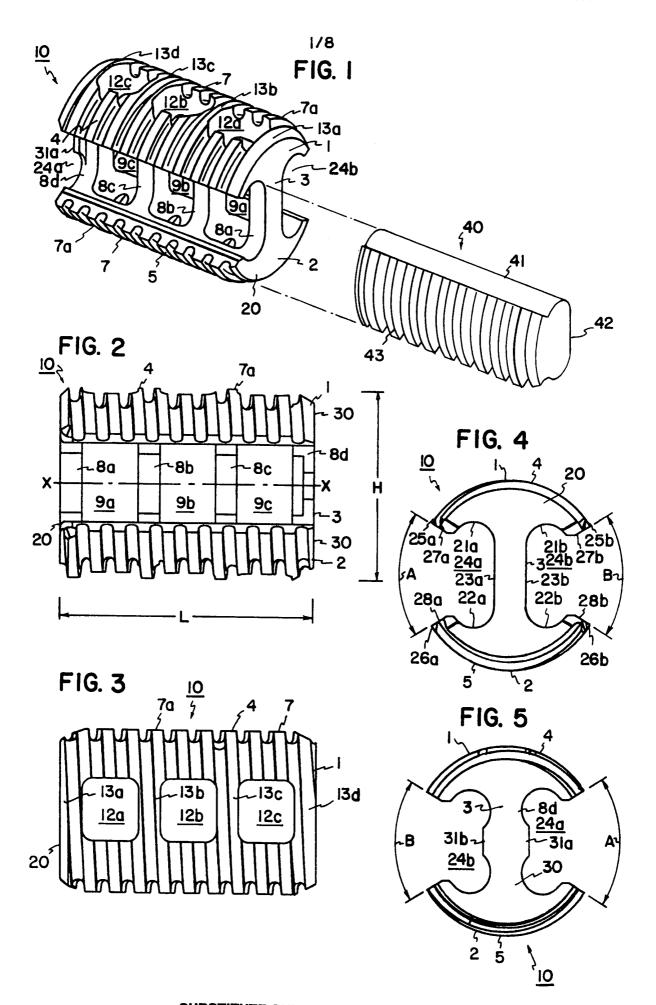
20

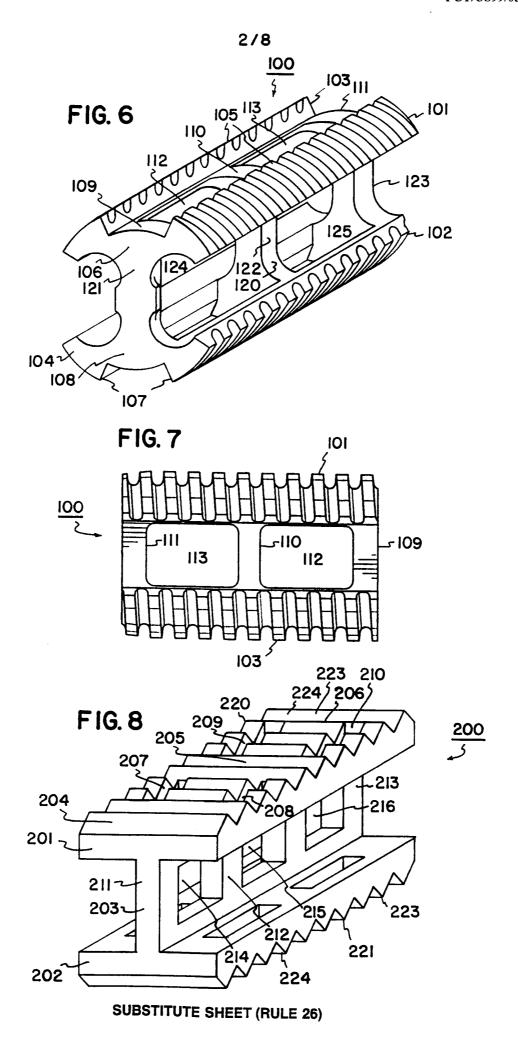
25

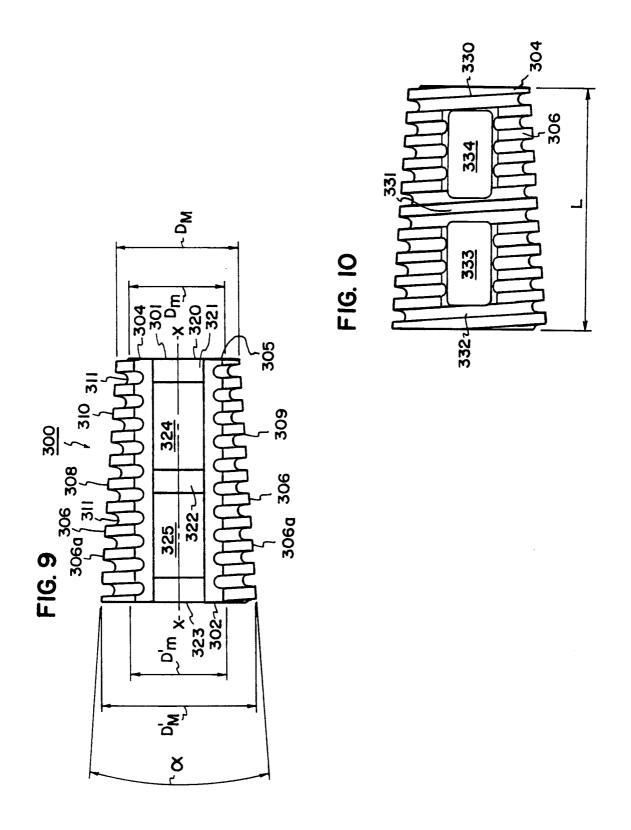
30

35

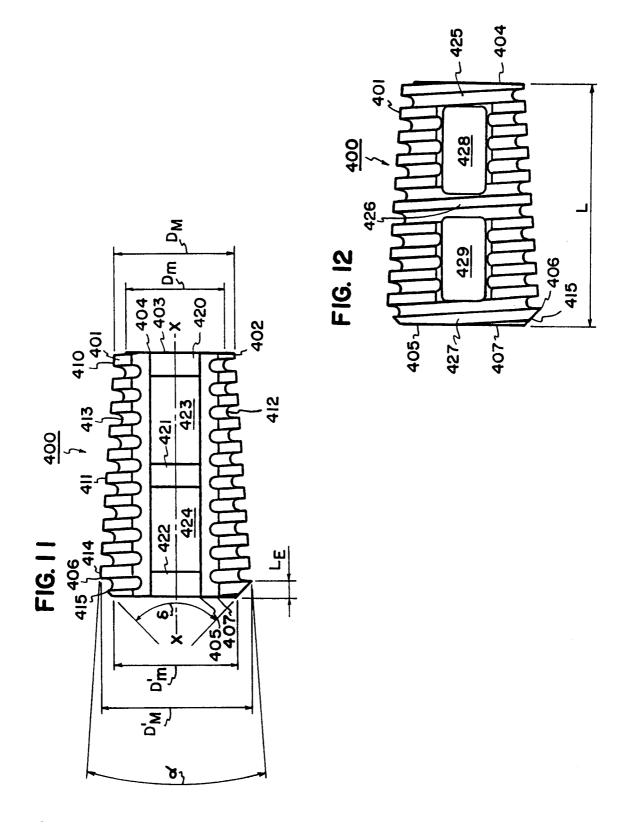
- 29. An implant according to claim 28 wherein said bone support matrix comprises a portion of a helical thread pattern that is complimentary to said portion of said helical thread pattern of said first and second bearing surfaces.
- 5 30. An implant according to claim 28 wherein said bone support matrix is resorbable.
- 31. An implant according to claim 25 wherein said first and second bearing surfaces each include a pattern for anchoring to opposing first and second vertebrae.
 - 32. A kit for fusing opposing a first and second vertebral bodies of a human spine, said kit comprising an implant, said implant comprising:
 - an implant body having:
 - a leading end and a trailing end spaced apart by a longitudinal axis of the implant;
 - a first bearing surface for contact with said first vertebral body;
 - a second bearing surface for contact with said second vertebral body;
 - a central support member for maintaining said first and second bearing surfaces in spaced apart relationship and said centrally support member being oriented substantially perpendicular to said first and second bearing surfaces; and an implant insertion tool.
 - 33. A kit according to claim 32 further comprising a bone support matrix.
 - 34. A kit according to claim 32 further comprising a distraction spacer.
 - 35. A method for stabilizing opposing vertebral bodies, the method comprising:
 - inserting an implant into a bore formed between said opposing vertebral bodies wherein said implant comprises an implant body having a cross section substantially configured in the shape of an "I."

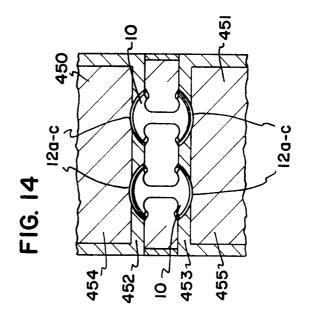


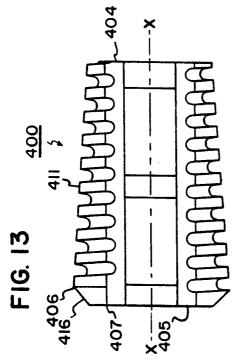


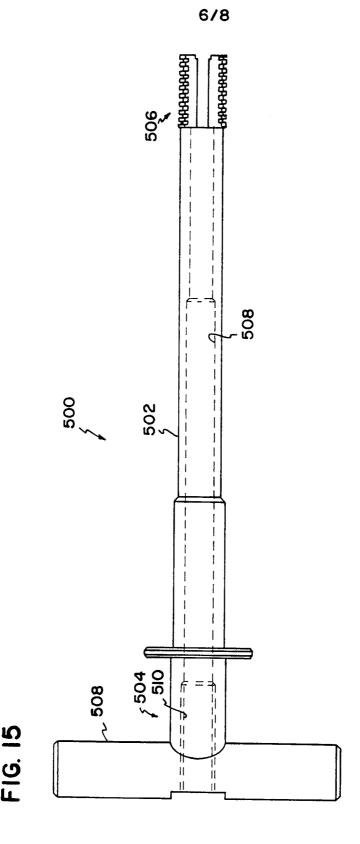


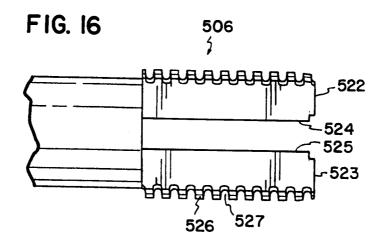
SUBSTITUTE SHEET (RULE 26)

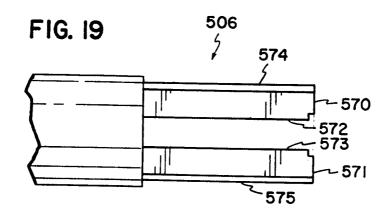


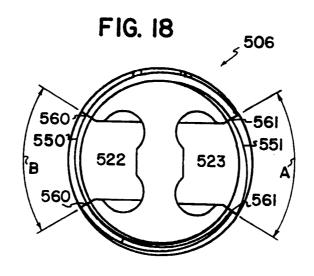


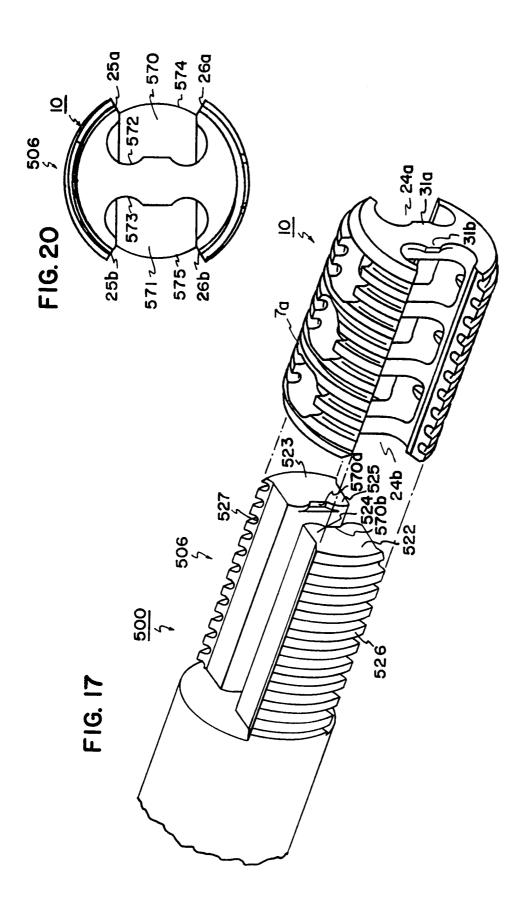












Inter anal Application No PCT/US 99/05895

a. classification of subject matter IPC 6 A61F2/44 A61F A61F2/46 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) IPC 6 A61F Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Category 5 Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. χ FR 2 703 580 A (ROBERT GILLES) 1,4,6,7, 14 October 1994 9-11. 14-18. 32,33 Α see page 1, line 21 - page 2, line 15 24 see page 2, line 21 - page 3, line 3 see figures 1,2 WO 97 15247 A (BENOIT ALFRED ; KNOTHE INGA χ 1-4,6,7(CH); SYNTHES AG (CH); SYNTHES USA (US)) 1 May 1997 Α see figures 1.2 5,12,14, 24,32 see page 5, line 1 - page 6, line 9 -/--X Further documents are listed in the continuation of box C. Χ Patent family members are listed in annex. Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but "A" document defining the general state of the art which is not considered to be of particular relevance cited to understand the principle or theory underlying the invention "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention filing date cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "O" document referring to an oral disclosure, use, exhibition or other means document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 2 July 1999 13/07/1999 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Mary, C Fax: (+31-70) 340-3016

Inte onal Application No
PCT/US 99/05895

0.40		PCT/US 99/05895
	Action) DOCUMENTS CONSIDERED TO BE RELEVANT	
ategory °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
(,P	WO 98 48738 A (CROZET YVES ;DIMSO DISTRIBUTION MEDICALE DU (FR)) 5 November 1998	1,4,6,7, 9,11, 14-18,
Y	see figures 28-30	21,22
4	see page 17, line 21 - page 18, line 2 see page 27, line 5 - page 28, line 20	32-34 10,12,
	see page 32, line 16 - line 19	23-29,31
Y -	US 5 609 636 A (YUAN HANSEN A ET AL) 11 March 1997	2,3
4	see figures 17-19 see figures 24,25 see column 8, line 45 - column 10, line 16	14,24
Y	WO 96 22747 A (ANTONIETTI PIERRE ;GAGNA GILLES (FR); STRYKER CORP (US)) 1 August 1996	32-34
4	see page 7, line 7 - line 13 see page 8, line 32 - page 9, line 21 see claim 14	1,14,24
(DE 196 30 256 A (ULRICH HEINRICH) 29 January 1998	1,2,4
١	see figures 1,2 see column 3, line 52 - column 5, line 6 	14,24

I. Inational application No.

PCT/US 99/05895

Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This Inte	ernational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X	Claims Nos.: 35 because they relate to subject matter not required to be searched by this Authority, namely: Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2.	Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This Inte	rnational Searching Authority found multiple inventions in this international application, as follows:
see	e additional sheet
1.	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. X	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4.	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark o	The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-23

An implant for intervertebral fusion between opposing vertebrae, said implant comprising:

- an implant body having a first end and a second end spaced apart by a longitudinal axis of the implant;
- said implant body comprising a first transverse member having a first bearing surface and a second transverse member having a second bearing surface; and
- said first member and second transverse members are spaced apart by a central support member.

2. Claims: 24-31

An implant for intervertebral fusion between opposing vertebrae, said implant comprising:

- an implant body having a leading end and a trailing end spaced apart by a longitudinal dimension of the implant:
- a cross section through said longitudinal dimension of said implant body having a substancially "I" shaped configuration.

3. Claims: 32-34

A kit for fusing a first and second vertebral bodies of a human spine, said kit comprising an implant, said implant comprising:

- an implant body having:
- a leading end and a trailing end spaced apart by a longitudinal dimension of the implant;
- a first bearing surface for contact with said first vertebral body
- a second bearing surface for contact with said second vertebral body
- a central support member for maintaining said first and second bearing surfaces in spaced apart relationship and said centrally support member being oriented substancially perpendicular to said first and second bearing surfaces, and
- an implant insertion tool

.iormation on patent family members

Interr nal Application No PCT/US 99/05895

Patent document cited in search report		Publication date	Patent family member(s)		Publication date	
FR 2703580	Α	14-10-1994	NONE			
WO 9715247	A	01-05-1997	CA EP	2229714 A 0857042 A	01-05-1997 12-08-1998	
WO 9848738	Α	05-11-1998	AU	7535198 A	24-11-1998	
US 5609636	Α	11-03-1997	AU CA EP NZ WO US	695466 B 1841095 A 2191089 A 0760639 A 281462 A 9531947 A 5658337 A	13-08-1998 18-12-1995 30-11-1995 12-03-1997 25-02-1999 30-11-1995 19-08-1997	
WO 9622747	A	01-08-1996	FR AU	2729557 A 4625696 A	26-07-1996 14-08-1996	
DE 19630256	Α	29-01-1998	WO EP	9804217 A 0923355 A	05-02-1998 23-06-1999	