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#### **Declarations under Rule 4.17:**

- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for all designations
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii)) for all designations

#### **Published:**

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- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments
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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: ANTIMICROBIAL COMPOSITIONS AND METHODS

(57) Abstract: Antimicrobial compositions, especially those useful when applied topically, particularly to mucosal tissues (i.e., mucous membranes), including, in particular, an antimicrobial lipid component, such as a fatty acid ester, fatty ether, or alkoxide derivative thereof The compositions can also include an enhancer component, a surfactant, a hydrophobic component, and/or a hydrophilic component. Such compositions provide effective topical antimicrobial activity and are accordingly useful in the treatment and/or prevention of conditions that are caused, or aggravated by, microorganisms (including viruses).



Inter an Application No PCT/US2004/029237

A. CLASSI IPC 7	FICATION OF SUBJECT MATTER A61K9/00 A61K31/231 A61K31/	'23 A61K31/25	
According to	o International Patent Classification (IPC) or to both national classific	cation and IPC	
	SEARCHED ocumentation searched (classification system followed by classification system followed by classifi	tion symbols)	
IPC 7	A61K		
Documenta	tion searched other than minimum documentation to the extent that	such documents are included in the fields sea	arched
Electronic d	ata base consulted during the international search (name of data ba	ase and, where practical, search terms used)	
EPO-In	ternal, WPI Data, PAJ		
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT		
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	claims 15,19 	-/	
X Furth	ner documents are listed in the continuation of box C.	Patent family members are listed in	annex.
	tegories of cited documents :	"T" later document published after the inter or priority date and not in conflict with t	he application but
consid	ent defining the general state of the art which is not lered to be of particular relevance document but published on or after the international	cited to understand the principle or the invention  "X" document of particular relevance; the cla	
filing d		cannot be considered novel or cannot linvolve an inventive step when the doc	be considered to
which	is cited to establish the publication date of another n or other special reason (as specified)	"Y" document of particular relevance; the cla	aimed invention entive step when the
	ent referring to an oral disclosure, use, exhibition or	document is combined with one or mor ments, such combination being obviou	e other such docu-
"P" docume	ent published prior to the international filing date but nan the priority date claimed	in the art. "&" document member of the same patent fa	amily
	actual completion of the international search	Date of mailing of the international search	ch report
7	October 2005		<b>2</b> 4. 10. 05
Name and n	nailing address of the ISA	Authorized officer	
	European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Boulois, D	

Inte Ind Application No
PCT/US2004/029237

0.00	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
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X	examples EP 0 530 861 A (KABARA, JON JOSEPH) 10 March 1993 (1993-03-10)	1,17-20, 23-28, 31-36, 39,64, 82,95, 104,105, 122-124, 129,131, 133,134, 136,137, 162,164, 166,169
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	examples	
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	210 (continuation of second sheet) (January 2004)	

Inte .... Application No
PCT/US2004/029237

0 (0 : "	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	C1/032004/02923/
C.(Continu	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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X	WO 95/31956 A (NOVAVAX, INC) 30 November 1995 (1995-11-30)  page 16 - page 23	23-28, 31-36, 64,82, 95, 122-124, 129,131, 133,134, 136,137, 162,164, 166,169
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	claims; examples page 1, line 5 - line 8	
X	WO 97/25032 A (APPLIED MICROBIOLOGY, INC) 17 July 1997 (1997-07-17)	1,17-20, 23-28, 31-36, 64,82, 95, 122-124, 129,131, 133,134, 136,137, 162,164, 166,169
	claims; examples	
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	page 3, line 36 - line 43 examples	100,103

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C.(Continua	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
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X	GB 2 193 892 A (* COLGATE-PALMOLIVE COMPANY) 24 February 1988 (1988-02-24)	23-28, 31-36, 64,82, 95, 122-124, 129,131, 133,134, 136,137, 162,164, 166,169
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	examples	166,169
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Inte .... Application No PCT/US2004/029237

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Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
FLOURNOY D J ET AL: "THE ROLE OF LAURICIDIN AS AN ANTIMICROBIAL AGENT" DRUGS OF TODAY / MEDICAMENTOS DE ACTUALIDAD, J.R. PROUS SS.A. INTERNATIONAL PUBLISHERS, ES, vol. 21, no. 8, 1 August 1985 (1985-08-01), pages 373-377, XP000560127 ISSN: 0025-7656	1,17-20, 23-28, 31-36, 64,82, 95, 122-124, 129,131, 133,134, 136,137, 162,164, 166,169
VEDEHRA D V ET AL: "COMPARISON OF ANTIBACTERIAL PROPERTIES OF LAURICIDIN AND BHA AGAINST ANTIBIOTIC RESISTANT AND SENSITIVE STRAINS OF STAPHYLOCOCCUS AUREUS AND PSEUDOMONAS AERUGINOSA" AOCS MONOGRAPH, vol. 13, no. 2, 1985, pages 77-78, XP000560207	1,17-20, 23-28, 31-36, 64,82, 95, 122-124, 129,131, 133,134, 136,137, 162,164, 166,169
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EP 0 156 563 A (MINNESOTA MINING AND MANUFACTURING COMPANY) 2 October 1985 (1985-10-02)	1,17-20, 23-28, 31-36, 64,82, 95, 122-124, 129,131, 133,134, 136,137, 162,164, 166,169
examples 	100,109
	Citation of document, with indication, where appropriate, of the relevant passages  FLOURNOY D J ET AL: "THE ROLE OF LAURICIDIN AS AN ANTIMICROBIAL AGENT" DRUGS OF TODAY / MEDICAMENTOS DE ACTUALIDAD, J.R. PROUS SS.A. INTERNATIONAL PUBLISHERS, ES, vol. 21, no. 8, 1 August 1985 (1985-08-01), pages 373-377, XP000560127 ISSN: 0025-7656  the whole document  VEDEHRA D V ET AL: "COMPARISON OF ANTIBACTERIAL PROPERTIES OF LAURICIDIN AND BHA AGAINST ANTIBIOTIC RESISTANT AND SENSITIVE STRAINS OF STAPHYLOCOCCUS AUREUS AND PSEUDOMONAS AERUGINOSA" AOCS MONOGRAPH, vol. 13, no. 2, 1985, pages 77-78, XP000560207  the whole document  US 6 057 274 A (BATOR ET AL) 2 May 2000 (2000-05-02)  claims  EP 0 156 563 A (MINNESOTA MINING AND MANUFACTURING COMPANY) 2 October 1985 (1985-10-02)

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C.(Continua Category °	tion) DOCUMENTS CONSIDERED TO BE RELEVANT  Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 244 144 A (KABARA, JON JOSEPH) 4 November 1987 (1987-11-04) examples	1,17-20, 23-28, 31-36, 64,82, 95, 122-124, 129,131, 133,134, 136,137, 162,164, 166,169
		1,17-20,
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	table VIII	
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	210 (continuation of second sheet) (January 2004)	

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	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	Relevant to claim No.
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	example 1	
		;
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ational application No. PCT/US2004/029237

Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:  Although claims 24-28, 31-36, 39,64-69, 82-87, 95-100, 103-105, 112-116, 122-124, 129-137, 166,169 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
see additional sheet
1. As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. X As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
1-8 ( partially ), 9 ( entirely ), 10-20, 23-36,39-100, 103-107, 112-116 122-125, 129-134 , 135-170 ( all partially ) as far as regarding subject 1 5 and 7 of the non unity
No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
The additional search fees were accompanied by the applicant's protest.  X  No protest accompanied the payment of additional search fees.

#### FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-8 ( partially ), 9 ( entirely ), 10-20, 23-28,31-36, 39-69, 82-92, 95-100, 103-107, 112-116, 122-125, 129-134, 135-137, 162-170 ( partially )

an antimicrobial composition comprising an effective amount of an antimicrobial lipid component comprising a (C7- C12) or an alkoxylated derivative thereof, and its use for decolonizing at least a portion of the nasal cavities, anterior nares, and/or nasopharinx of a subject of microorganisms, its use for treating a subject for a common cold and/or respiratory affliction caused by microbial infection, and its use for treating chronic sinusitis in a subject:

2. claims: 24-31, 40-95, 108-111, 122-125 , 129-134 ( all partially )

Use of an antimicrobial composition comprising an effective amount of an antimicrobial lipid component comprising a (C7-C12) or an alkoxylated derivative thereof for treating a middle ear infection in a subject

3. claims: 24-31, 40-95, 117-121, 122-125, 129-134 ( all partially

Use of an antimicrobial composition comprising an effective amount of an antimicrobial lipid component comprising a (C7-C12) or an alkoxylated derivative thereof for treating impetigo on the skin of a subject

4. claims: 24-31, 40-95, 122-125, 126-128, 129-134 (all partially

Use of an antimicrobial composition comprising an effective amount of an antimicrobial lipid component comprising a (C7-C12) or an alkoxylated derivative thereof for treating a burn

5. claims: 24-31, 40-95, 122-125, 129-134, 138-153 ( all partially

Use of an antimicrobial composition comprising an effective amount of an antimicrobial lipid component comprising a (C7-C12) or an alkoxylated derivative thereof for decolonizing at least a portion of the throat/oesophagus of a subject of microorganisms

#### FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

6. claims: 24-31, 40-95, 122-125, 129-134, 154-161 ( all partially )

Use of an antimicrobial composition comprising an effective amount of an antimicrobial lipid component comprising a (C7-C12) or an alkoxylated derivative thereof for decolonizing at least a portion of the oral cavity of a subject of microorganisms

7. claims: 1-8, 10-20, 23-28,31-36 39-69, 82-92, 95-100, 103-142, 145-150, 153-158, 161-170 ( all partially )

An antimicrobial composition comprising a (C8-C22) unsaturated fatty acid ester of a polyhydric alcohol, an alkoxylated derivative thereof wherein the alkoxylated derivative has less than 5 moles of alkoxide per mole of polyhydric alcohol, and its use: claims 1-8, 10-20, 23-28,31-36 39-69, 82-92, 95-100, 103-142, 145-150, 153-158, 161-170 ( all partially )

8. claims: 1-8, 10-170 (all partially)

An antimicrobial composition comprising: an effective amount of an antimicrobial lipid component comprising a (C7-C12) saturated fatty ether of a polyhydric alcohol, an alkoxylated derivative thereof, wherein the alkoxylated derivative has less than 5 moles of alkoxide per mole of polyhydric alcohol and its use

9. claims: 1-8, 10-170 ( al partially )

An antimicrobial composition comprising: an effective amount of an antimicrobial lipid component comprising a (C8-C22) unsaturated fatty ether of a polyhydric alcohol, an alkoxylated derivative thereof, wherein the alkoxylated derivative has less than 5 moles of alkoxide per mole of polyhydric alcohol and its use

Information on patent family members

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