Title: SHUTTLE SHIFTING FOR A CONTINUOUSLY VARIABLE TRANSMISSION

Abstract: A method for performing a shuttle shift with a continuously variable transmission of a work machine is disclosed. The method may generally include adjusting a swash plate angle of a hydrostatic power unit of the transmission in a first direction to reduce a travel speed of the work machine in an off-going direction, initiating a directional swap between an off-going directional clutch of the continuously variable transmission and an on-coming directional clutch of the continuously variable transmission while the work machine is traveling in the off-going direction and adjusting the swash plate angle of the hydrostatic unit in a second direction after the initiation of the directional swap to reduce slippage across the on-coming directional clutch, wherein the second direction is opposite the first direction.

FIG. -11-
SHUTTLE SHIFTING FOR A CONTINUOUSLY VARIABLE TRANSMISSION

CROSS-REFERENCE TO RELATED APPLICATIONS
[0001] This application is based upon and claims priority to U.S. Provisional Patent Application No. 61/527,455, filed on August 25, 2011 and entitled "Shuttle Shifting for a Continuously Variable Transmission, the disclosure of which is hereby incorporated by reference herein in its entirety for all purposes.

FIELD OF THE INVENTION
[0002] The present subject matter relates generally to continuously variable transmissions and, more particularly, to a system and methods for controlling a continuously variable transmission in order to provide for improved shuttle shifting.

BACKGROUND OF THE INVENTION
[0003] Continuously variable transmissions utilizing a hydrostatic power unit, hereinafter sometimes referred to as hydro-mechanical continuously variable transmissions, are well known. A variety of work machines utilize this type of transmission for industries such as agriculture, earth moving, construction, forestry, and mining. In operation, the fluid displacement of the hydrostatic power unit is varied to change the output to input ratio of the transmission, that is, the ratio between the rotating output of the transmission, and the input. This is accomplished by varying the angle in a swash plate of a variable displacement fluid pump or motor of the hydrostatic unit. In a common mode of operation referred to as a shuttle shift, the direction of movement of the machine is changed, often under load, a common example of which being when a tractor loader moves in one direction to pick or scoop up a load, then lifts the load and reverses direction, often involving a turning movement, and unloads the load. This sequence is then reversed, and is often repeated many times. Sometimes, such shuttle shifting operations are performed on slopes or inclines. Such movements tend to subject elements of the transmission to wear and tear, and can raise the temperature of various elements, particularly clutches, and thus raise performance, longevity and reliability concerns. It is also typically
desired for shuttle shifts to be completed relatively quickly and seamlessly, with little or no jerking or lurching of the machine.

[0004] In one category of the transmissions, the hydrostatic power unit is configured such that to effect movement of the vehicle in one direction, a swash plate of the unit will be tilted in one direction. To effect vehicle movement in the opposite direction, the swash plate is tilted in the opposite direction. When no vehicle movement is sought, e.g., no forward or rearward motion, the swash plate of the unit is moved to a zero tilt angle or near zero angle. Then, to effect movement of the vehicle in one direction or the other, the swash plate is appropriately tilted in the requisite direction to the requisite angle. In this category of transmission, if multiple speed ranges are provided, zero speed for each range will be the zero or near zero position, which presents no problem or limitation for shuttle shifting to move the vehicle in opposite directions.

[0005] However, another category of continuously variable transmissions, commonly used in a variety of heavy vehicles such as work machines, including for construction, earth moving, forestry, and agriculture, wherein shuttle shifting is commonly used, employs a hydrostatic power unit configured such that at zero vehicle or machine speed, the swash plate of the hydrostatic power unit is at full displacement or near full displacement, in one direction or the other, depending on the range selected, direction of travel and possibly other factors. Reference as an example in this regard, Weeramantry, U.S. Patent No. 7,063,638 B2, issued June 20, 2006. When shuttle shifting this type of transmission, the common practice is to reduce the gear ratio to achieve zero vehicle speed, and then shift the transmission to move the machine in the opposite direction. When zero vehicle speed is reached, some time will be required to move the swash plate to its new position, and during this time the operator can apply a brake or engage a combination of opposing clutches to hold the wheels or tracks. However, a shortcoming of this manner of shifting is that a delay can result as the swash plate is moved. As another possible shortcoming, repeatedly performing shuttle shifts in the same manner can raise temperature related performance and reliability issues, particularly if the brake is repeatedly used to decelerate the vehicle or the same clutch is repeatedly used to decelerate and/or accelerate the vehicle during the shifts. Additionally, not all shuttle shifts are
performed under the same conditions, and it can be desirable to have alternative manners of performing a shuttle shift for the different conditions.

[0006] Thus, what is sought is a manner of overcoming one or more of the disadvantages or shortcomings, and achieving one or more of the desired characteristics, set forth above.

BRIEF DESCRIPTION OF THE INVENTION

[0007] Aspects and advantages of the invention will be set forth in part in the following description, or may be obvious from the description, or may be learned through practice of the invention.

[0008] In one aspect, the present subject matter is directed to a method for performing a shuttle shift with a continuously variable transmission of a work machine, wherein the continuously variable transmission includes a hydrostatic power unit. The method may generally include adjusting a swash plate angle of the hydrostatic power unit in a first direction to reduce a travel speed of the work machine in an off-going direction, initiating a directional swap between an off-going directional clutch of the continuously variable transmission and an on-coming directional clutch of the continuously variable transmission while the work machine is traveling in the off-going direction and adjusting the swash plate angle of the hydrostatic unit in a second direction after the initiation of the directional swap to reduce slippage across the on-coming directional clutch, wherein the second direction is opposite the first direction.

[0009] In another aspect, the present subject matter is directed to a method for performing a shuttle shift with a continuously variable transmission of a work machine, wherein the continuously variable transmission includes a hydrostatic power unit. The method may generally include adjusting a swash plate angle of the hydrostatic power unit to reduce a travel speed of the work machine in an off-going direction, initiating a directional swap between an off-going directional clutch of the continuously variable transmission and an on-coming directional clutch of the continuously variable transmission while the work machine is traveling in the off-going direction and maintaining the swash plate angle constant after the initiation of the directional swap.
In a further aspect, the present subject matter is directed to a system for shifting a travel direction of a work machine from an off-going direction to an on-coming direction. The system may generally include a continuously variable transmission having an off-going directional clutch for engaging the continuously variable transmission in the off-going direction and an on-coming directional clutch for engaging the continuously variable transmission in the on-coming direction. The continuously variable transmission may further include a hydrostatic power unit having swash plate angle that is adjustable in a first direction and a second direction, wherein the first direction is opposite from the second direction. In addition, the system may include a controller communicatively coupled to the continuously variable transmission. The controller may be configured to adjust the swash plate angle in the first direction to reduce a travel speed of the working machine in the off-going direction. The controller may also be configured to initiate a directional swap between the off-going directional clutch and the on-coming directional clutch while the work machine is traveling in the off-going direction. Further, the controller may be configured to adjust the swash plate angle in the second direction after the initiation of the directional swap to reduce slippage across the on-coming directional clutch.

These and other features, aspects and advantages of the present invention will become better understood with reference to the following description and appended claims. The accompanying drawings, which are incorporated in and constitute a part of this specification, illustrate embodiments of the invention and, together with the description, serve to explain the principles of the invention.

**BRIEF DESCRIPTION OF THE DRAWINGS**

A full and enabling disclosure of the present invention, including the best mode thereof, directed to one of ordinary skill in the art, is set forth in the specification, which makes reference to the appended figures, in which:

FIG. 1 is a side view of a representative work machine including a continuously variable hydro-mechanical transmission automatically controllable according to the invention for selecting from alternative manners of shuttle shifting and executing the selected shift;
[0014] FIG. 2 is a simplified schematic representation of the hydro-mechanical transmission of the work machine of FIG. 1;
[0015] FIG. 3 is a simplified diagrammatic representation of transmission ratio verses hydrostatic power unit ratio for the transmission of FIG. 2;
[0016] FIG. 4 is a simplified diagrammatic representation of the transmission ratio verses hydrostatic power unit swash plate angle for the transmission of FIG. 2, for one of the selectable manners of shifting the transmission;
[0017] FIG. 5 is a simplified diagrammatic representation of the transmission ratio verses swash plate angle for the transmission of FIG. 2, for another selectable manner of shifting the transmission;
[0018] FIG. 6 is a simplified diagrammatic representation of the transmission ratio verses swash plate angle for the transmission of FIG. 2, for another selectable manner of shifting the transmission;
[0019] FIG. 7 is a simplified diagrammatic representation of the transmission ratio verses swash plate angle for the transmission of FIG. 2, for still another selectable manner of shifting the transmission;
[0020] FIG. 8 is a simplified diagrammatic representation of the transmission ratio verses swash plate angle for the transmission of FIG. 2, for still another selectable manner of shifting the transmission;
[0021] FIG. 9 is a simplified diagrammatic representation of the transmission ratio verses swash plate angle for the transmission of FIG. 2, for still another selectable manner of shifting the transmission;
[0022] FIG. 10 is a high level flow diagram showing steps of a method of the invention for automatically selecting a manner of shuttle shifting according to the invention;
[0023] FIG. 11 is a simplified diagrammatic representation of the transmission ratio verses swash plate angle for the transmission of FIG. 2, for yet another selectable manner of shifting the transmission; and
[0024] FIG. 12 is a flow diagram showing steps of one embodiment of a method for performing a shuttle shift in accordance with the manner of shifting shown in FIG. 11.
DETAILED DESCRIPTION OF THE INVENTION

[0025] Reference now will be made in detail to embodiments of the invention, one or more examples of which are illustrated in the drawings. Each example is provided by way of explanation of the invention, not limitation of the invention. In fact, it will be apparent to those skilled in the art that various modifications and variations can be made in the present invention without departing from the scope or spirit of the invention. For instance, features illustrated or described as part of one embodiment can be used with another embodiment to yield a still further embodiment. Thus, it is intended that the present invention covers such modifications and variations as come within the scope of the appended claims and their equivalents.

[0026] In general, the present subject matter is directed to a system and methods for controlling a continuously variable transmission in order to provide fast shuttle shifts with no stopping at zero speed and with reduced amounts of energy dissipated in the directional clutches. In several embodiments, such shuttle shifts may be achieved by simultaneously moving the swash plate of a hydrostatic power unit of the transmission while slipping the on-coming directional clutch of the transmission (i.e., gradually engaging the on-coming directional clutch as the direction of travel of the work machine is reduced/reversed). Such movement of the swash plate may generally allow for the speed differential across the on-coming directional clutch to be reduced, thereby reducing the amount of energy dissipated in such clutch. This may lead to energy savings by reducing the amount of energy required to pump cooling oil to the on-coming directional clutch and may also prevent damage to the clutch due to overheating.

[0027] Referring now to the drawings, in FIG. 1, a representative vehicle in the form of a work machine 1 is shown, which is a tractor representative of those that can be used for a variety of uses, including, but not limited to, agriculture, construction, earth moving and forestry. Work machine 1 includes a power source 4 which will be, for instance, an internal combustion engine, and is mechanically coupled to a continuously variable hydro-mechanical transmission, a representative embodiment 10 of which is shown schematically in FIG. 2. Transmission 10 is automatically operable for selecting from several alternative manners of performing shuttle shifts as
a function of one or more monitored conditions, and executing the selected shuttle shift according to the invention, as will be explained.

[0028] Referring also to FIG. 2, transmission 10 is contained in a transmission housing 11 and includes a hydrostatic power unit 12 and a planetary power unit 30 which are coupled to a driveline including a range gear set 58 mounted within transmission housing 11 and coupled to a load L which here is the drive wheels of machine 1 as shown in FIG. 1. It should be understood that machine 1 can alternatively include a load L that comprises a track drive, or an operating system of the machine such as but not limited to, a power take off (PTO).

[0029] Hydrostatic power unit 12 of transmission 10 includes a fluid pump 16 coupled by fluid conduits 17 in a closed loop to a fluid motor 18. Motor 18 is coupled to power source 4 via an input gear N6 and having an output gear N10. The power to the hydrostatic power unit 12 is provided by a driven gear N4 mounted on the forward shaft and engaged with gear N6. Output gear N10 is connected to ring gear NR of planetary power unit 30 via gears N11 and N12.

[0030] Machine 1 includes a processor based controller 100 in connection with an input device 102 located preferably in operator cab 104 of machine 1, via a suitable communications path 108, to adjust the angle of a swash plate of pump 16 (swash plate denoted by a diagonal arrow through pump 16), through a range of positions. As an exemplary embodiment, pump 16 can be an electronically controlled variable displacement hydraulic pump of well known construction.

[0031] Planetary power unit 30 includes a primary sun gear NS1 on a planetary input shaft 32 connectable with power source 4 via a forward directional clutch 54 or a reverse directional clutch 52. Power unit 30 is selectively coupled to the load L, coupled to the hydrostatic power unit 12 and selectively coupled to the power source 4, under automatic control of controller 100. For connection to the load L, the hydro-mechanical transmission 10 includes an output shaft 60 coupled to the load L which carries an input gear N18 engaged with an output gear N17 on a range 1/2 shaft of range gear set 58, and a gear N22 engaged with a gear N19 on a range 3/4 shaft. The range 1/2 shaft can be coupled to planetary power unit 30 via automatic operation of range selectors or clutches R1 and R2 for power flow through gears N13 and N14, or N15 and N16, respectively. The range 3/4 shaft can be coupled to unit 30 via range
selectors or clutches R3 and R4 for power flow via gears N13 and N20, or N15 and N21. Range 1/2 shaft and range 3/4 shaft can also be simultaneously coupled to power unit 30, to provide dual power flow.

[0032] The control of the various clutches will be automatically controlled by controller 100, using actuators 106 connected to controller 100 via suitable conductive paths 108. Transmission 10 also includes appropriate sensors, including pressure sensors 110 for sensing pressure conditions in conduits 17 connecting pump 16 and motor 18, and speed sensors 112 for sensing speeds of load shaft 60, all connected to controller 100 via conductive paths 108. Controller 100 is also connected to engine 4 for receiving speed and other information therefrom.

[0033] In operation, the continuously variable hydro-mechanical transmission 10 can be operated to have a combined hydrostatic and mechanical power flow by engaging the reverse clutch 52 to power planetary power unit 30 via gears N1, N3, N5 and N7, or engaging forward clutch 54 to power it via gears N1, N8, and N2. It is also possible to operate transmission 10 for a pure hydrostatic power flow by disengaging both clutches 52 and 54.

[0034] As a result, with transmission 10, there is no selection for a work range or road range per se. However, the transmission provides a seamless transition between ranges to provide work/road configurations as desired. Speed change from zero to maximum speed is achieved in a smooth and continuous manner by changing the swash plate angle of the pump 16 under control of controller 100. For each speed range, substantially the full range of travel of the swash plate is used. That is, the swash plate will be at one end of the range of its travel for zero speed within the range, it will be at the other end for maximum speed in that range, and the zero tilt or neutral position of the swash plate will be an intermediate position for the speed range, not the zero speed position as it is for some other transmissions. This presents a challenge for execution of some transmission commands that require a change of state wherein the swash plate will have to be tilted to a position significantly different from the present position, e.g., some shuttle shifts, as some time for the transition or movement to the new position will be required. For other commands, e.g., shuttle shifts at higher speeds, the speed range will need to be changed, but it can be observed that the required ending swash plate position is the same or similar to the beginning
position, which presents an opportunity for shifting in a different manner than that for lower speed shifts.

[0035] Transmission 10 includes a parking brake 114 in connection with load shaft 60, which is utilized according to the invention for enabling at least one selectable manner of shuttle shifts. Parking brake 114 is connected to controller 100 via a suitable conductive path 108 for automatic operative control thereby, including to proportionally or gradually engage, and release or disengage, under certain conditions. To achieve this latter capability, as a non-limiting example, parking brake 114 can be controlled using a proportional pressure reducing valve operated by an electrical signal from controller 100. For operation when machine 1 is not operating, parking brake 114 can be engaged by a spring or other biasing element or elements, or by mechanical means.

[0036] Other conditions wherein parking brake 114 will be automatically controlled by controller 100 to engage, or remain engaged if already engaged, can include, but are not limited to, when power source 4 of machine 1 is turned off, the transmission is disengaged, the operator leaves the operator seat, and if the FNR lever is left in F for a certain period of time without movement. Controller 100 will also control the parking brake to remain engaged when a command is received to disengage the parking brake, until certain conditions are met, as will be explained. Other conditions include when a command is received via an input device 102, e.g., FNR lever or the like, to change the operating state of the transmission. Such commands can include a change to, or in close proximity to, a neutral or zero movement state, or a clutch command.

[0037] It should be appreciated that the work machine 1 shown in FIG. 1 simply illustrates one example of a suitable work machine 1 with which the disclosed system and method may be utilized. Similarly, the configuration of the transmission 10 shown in FIG. 2 simply illustrates one example of a suitable transmission with which the disclosed system and method may be utilized. Thus, one of ordinary skill in the art should appreciate that application of the present subject matter need not be limited to the particular work machine 1 and transmission 10 shown in FIGS. 1 and 2, but, rather, the present subject matter may be advantageously used with various types/configurations of works machines and transmissions.
Referring also to FIG. 3, a graphical representation of the relationship of transmission ratio, denoted TRR, to hydrostatic power unit ratio (motor speed/pump speed) denoted HRR, is shown, for the four selectable forward ranges, and four selectable reverse ranges of operation of transmission 10: namely, forward range 1 or low (denoted FR1); forward range 2 (denoted FR2); forward range (FR3); forward range (FR4); reverse range 1 (RR1); reverse range 2 (RR2); reverse range 3 (RR3); and reverse range 4 (RR4). HRR directly relates to swash plate angle, which is the parameter controlled by controller 100. In FIG. 3, it should be noted that for each of the ranges, the zero tilt position of the swash plate lies between the maximum degrees of tilt in the opposite directions of movement of the swash plate. Thus, at the lowest hydrostatic power unit ratio for forward range RR1, the swash plate will be at or near maximum tilt in the left hand direction as depicted, which is also the zero speed ratio for the transmission for that direction, while at the highest ratio for that range the swash plate will be at or near its maximum tilt in the opposite direction, which is the right hand direction as depicted. It can be noted that for the reverse direction, the opposite is true. Thus, it can also be observed that to go from zero speed in the lowest range in the forward direction to zero speed in the lowest range in the reverse direction, the swash plate must travel substantially its entire range of movement, as depicted by distance ROM. It should also be noted that to engage reverse, not only must the forward and reverse directional clutches 54 and 52 be swapped, but the swash plate must be moved the distance ROM. Here, it should be noted that when referring to the term "maximum" tilt, some marginal amount of swash plate movement should still remain such that zero vehicle speed can still be achieved under conditions such as, but not limited to, leakage in the hydrostatic power unit, that may cause the motor to rotate more slowly for a given swash plate angle.

Additionally, while the swash plate is being moved from one side to the other, generally the driveline cannot be engaged, since this could result in higher speeds if the clutch is not slipped. There are perhaps two main options to deal with this, one is to four square the transmission (lock the output shaft) by applying both the R1 and R3 clutches, and the second is to use the parking brake. If four squaring is used, it is difficult to control, since the swash plate movement is not completely decoupled, and moving the swash plate tends to move the vehicle in the opposite
direction, and this must be compensated for by controlling the pressure in either the R3 or R1 clutches.

[0040] As an advantage of the present invention, shuttle shifting shall be allowed from any forward speed to any reverse speed. According to the invention, shuttle shifts will have three phases. During the first, machine 1 is decelerated using the swash plate, with the deceleration limited to a target value. Next, the forward and reverse clutches 54, 52 are swapped. Directional swapping is defined as the part of the shuttle shift from when the off-going directional clutch starts to dump to when the on-going clutch is finished ramping up and is fully engaged. The last phase of a shuttle is when the machine may be accelerated using the swash plate to the final speed in the opposite direction. This is done with the swash plate, range shifting as needed, and limited to the desired transmission acceleration value. It should also be noted that deceleration is controlled in all phases of all types of shuttles, during the ratio changing, deceleration with the parking brake, and deceleration then reacceleration using clutch slipping.

[0041] As a consideration, it is advisable to minimize energy dissipated by clutches to prevent damage. It has been found that one of the best ways to do this is to reduce the speed of the vehicle prior to the shift. Directional swapping is always done in the first range. If the speed is higher when the shuttle shift is commanded, the vehicle will be slowed by normal swash plate movement and range shifting. As a result, in the invention, both the speed when the shuttle is commanded (or the current speed) and the final opposite speed will be needed to determine when and how to swap the clutches and move the swash plate.

[0042] As another consideration, as evidenced by the distance ROM, shuttle shifting for transmissions, such as transmission 10, is challenging because the swash plate may need to move a considerable distance before the on-coming clutch can be engaged, or the vehicle may go too fast before the swash plate reaches its final position. In this case, it has been found that it is best to apply parking brake 114, to keep the vehicle from rolling while in neutral when the swash plate is being moved. As another consideration, since the time to move the swash plate may vary considerably, and engaging the on-coming clutch while the swash plate is not in position can cause overspeed conditions, controller 100 should fill the on-coming
clutch, and then wait until the motor speed (swash plate error) has reached its proper value before engaging the on-coming clutch, to achieve consistent shifts. During shuttle shifts, the desired transmission output acceleration (DTOA) is desirably achieved through all phases, and especially needs to be matched during transitions between phases. The pressure in the on-coming clutch should be carefully controlled to achieve the correct DTOA, both through initialization to the proper pressure and closed loop control. If the parking brake is used for decelerations, it is also controlled in a closed loop fashion to achieve DTOA.

[0043] Referring also to FIGS. 4 through 9, several manners of shuttle shifting, for different respective conditions, will be explained. In these FIGURES, the vertical axis represents the ratio of the transmission output speed to the engine speed, denoted TRR, and is also representative of the vehicle speed of movement in opposite directions (forward above horizontal axis; reverse below). The horizontal axis represents the swash plate angle of the hydrostatic power unit. In the graphs a forward-to-reverse shuttle shift is depicted, but the description will also apply to a reverse-to-forward shuttle shift for the applicable conditions. In this regard, FIG. 4 depicts a manner of shuttle shifting for a low forward beginning speed, and a low reverse ending speed. This utilizes automatic operation of the parking brake just as the vehicle is brought down to zero speed and the range clutch is dumped.

[0044] As a first step, the speed is reduced by moving the swash plate, as denoted by distance DI. In FIG. 4, range shifts are not shown, but if the shuttle shift is commanded from a higher speed range, then the swash plate will be moved and the range shifts will occur just as they do in normal speed changes. Just like normal speed changes, the rate of change of the desired transmission ratio may be limited and adjusted by control software of controller 100.

[0045] As the vehicle reaches zero speed, the range clutch is dumped, and parking brake 114 is automatically applied to reduce required operator action, e.g., clutching and application of the service brake, to prevent unwanted movements of the vehicle. The applied pressure of the parking brake should be high enough to keep the vehicle from moving in the wrong direction, even on a steep hill. The swash plate will then be moved over distance ROM to reverse tilt. During movement of the swash plate over distance ROM, the on-coming directional clutch is filled. Then, after the swash
plate is moved to the correct position and the on-coming directional clutch is filled, the parking brake will be released or disengaged and the vehicle will begin to move. At a selected time, e.g., at the end of the ROM, the directional swap will occur (on-coming directional clutch is engaged and the off-going directional clutch is dumped), and the swash plate is moved in a manner to achieve the selected reverse speed.

[0046] Another manner of shuttle shifting according to the invention is illustrated in FIG. 5 is a constant SPA shuttle shift. This manner is applicable for high speed to high speed shifts, and also high to low and low to high shifts (FIG. 6). Note that this manner of shuttle shift can be utilized at almost any swash plate angle, depending on the final reverse speeded needed. The energy will depend on the squared difference in the speed across the on-coming clutch as it engages. Changing the swash plate angle to slow the vehicle before performing the clutch swap will reduce the energy and probably result in better performance. The energy dissipated will be similar to the case of high speed to high speed shift. In FIG. 5, range shifts are not shown, but if the shuttle shift is commanded from a higher speed range, the swash plate will be moved and the range shifts will occur just as they do in normal speed changes.

[0047] Next, when the transmission ratio is at a given point, the directional clutches are swapped and the swash plate is moved to a value for a particular transmission ratio in the opposite direction. The on-coming directional clutch is filled in anticipation of this point. This swap may be initiated such that the swash plate angle either continues change in the same direction slightly, is held constant during the swap, or actually reverses direction during the swap, depending on the relative values of the various parameters. Reversing the direction of the swash plate angle during the swap can result in less energy being dissipated in the clutch, which is desirable, but if the swash plate control is sluggish compared with the time needed for the swap, it may be better to have some movement of the swash plate in the same direction during the swap. Perhaps more importantly, moving the swash plate during the swap creates a reaction torque that affects the deceleration, so consistent decelerations are easier to achieve if the swash plate movement is minimized.

However, as will be described below with reference to FIGS. 11 and 12, it may be desirable in many instances to move the swash plate during the swap (e.g., by reversing the direction of the swash plate during the directional swap).
instances, steps may be taken to control or minimize the reaction torque created as a result of any swash plate angle adjustments occurring during the directional swap.

FIG. 6 illustrates a high to low speed shuttle shift in the just described manner. This illustrates that shuttles that don't require the swash plate to move back in the opposite directional don't necessarily need to be high speed to high speed ones. The shift occurs at speeds higher than for the high to high speed shift, since the reverse speed is slower. Note that these types of shifts can occur at most any swash plate angle, depending on the final reverse speeded needed. The energy will depend on the squared difference in the speed across the on-coming clutch as it engages.

Medium speed shuttle shifts are ones where generally there is enough time to move the swash plate into position before the vehicle comes to a stop, although this may not always be the case. The proper time to switch between the shuttle shift strategy using the parking brake to decelerate described here and the shuttle shift using ratio control strategy described above can be determined by which feels better in testing.

As illustrated in FIG. 7, when the shuttle shift using the parking brake to decelerate is initiated, there will be a slight delay as the parking brake is prepared to be applied (this cannot be done in advance, since there is no ratio changing before the swap). The off-going clutch is dumped, since the range swap must be performed, but the range clutch also must be dumped to decouple the planetary from the wheels and avoid any torque from moving the swash plate affecting the deceleration. The parking brake is then used to decelerate the vehicle while the swash plate is moved into position and the on-coming clutch is engaged. Engaging the on-coming clutch does not affect the output torque, since the range clutch remains disengaged. Generally, the swash plate is in position before zero speed is reached (since lower speed shuttles don't use this method), and the vehicle will not stop at zero, but this may not be the case if the swash plate movement is slower than normal. As soon as the swash plate is in position, the on-coming clutch is used to continue the deceleration to zero and reaccelerate in the opposite direction.

Shuttle shifts may also comprise combinations of the types described above, as illustrated in FIGS. 8 and 9. Shifts may use the parking brake to decelerate to zero, then use the ratio changing to reaccelerate, if the final speed needed is low.
Similarly, if the initial speed is low, a shuttle shift may use ratio changing to slow the vehicle to zero, then engage the range clutch to take off to a higher speed. The exact speed at which the controller change approaches from the shuttle shift method using the parking brake to decelerate and the method using ratio control is determined by tuning or experimentation, and as the shuttle shifts using the parking brake to decelerate are improved (perhaps through faster swash plate movement), the speed may be lowered. At some point, the ratio changing is smoother than deceleration with the parking brake. Generally it is not as smooth to let the vehicle actually come to a stop with the shuttle shift method using the parking brake to decelerate.

If the directional clutches, range clutches or parking brake are too hot, e.g., according to a sensed temperature value or values, or an estimate of the temperature based on the history of clutch pressures and worst case assumptions on the clutches, then controller 100 can inhibit the shuttle logic directional swap, and use ratio changing to bring the vehicle to a stop. If the ratio changing is not effective, there will not be a timeout, the system will continue to wait for the vehicle to slow down, then complete the shuttle.

If the directional swap is in progress and the clutches become too hot (perhaps more typical than starting hot), then a "reverting" logic is used. This includes setting the desired transmission ratio to the current transmission ratio, so the swash plate will be moved to what is needed for re-engaging. Note that the clutches are simply re-engaged and the direction swap is over, regardless of the transmission ratio, or hydrostatic power system ratio. The swash plate will then be moved to reduce the transmission ratio to zero, and then the angle reversed, and then positioned for the target speed in the new direction.

It should be noted that if an operator commands a shuttle shift, and the vehicle does not slow down fast enough, or does not slow at all, such as when pulling a trailer down a hill, it is advisable and normal for the operator to use the service brakes (typically brake pedals on the floor of the operator cab). The service brakes can always be used during shuttle shifts to increase deceleration.

Referring also to FIG. 10, a high level flow diagram of steps of a method of the invention for controlling shuttle shifts is shown. In the diagram, once the commands for a shuttle shift are received, as denoted in block 150, it is determined
whether a high temperature condition exists in the parking brake or clutches, as denoted at block 152. If yes, a ratio controlled shift is selected, as denoted at block 154, and the shift is executed, as denoted at block 156. If at block 152 no high temperature condition is present, it will be determined if at least one of the start and end speeds are greater than thresholds, a high to high, high to low, or low to high speed shift, as denoted at block 158. If yes, a constant SPA shuttle shift is utilized, as illustrated in FIGS. 5 and 6, and denoted at block 160, and the shift executed. If at block 158 at least one of the speeds is not above the threshold values, a shuttle shift using the parking brake to decelerate will be utilized, as denoted at block 162 and illustrated in FIG. 4 and 7, and the shift executed as denoted at block 156. This can be a shuttle shift using ratio control or a shuttle shift using the parking brake to decelerate. If, during execution of the shift, a high temperature condition is detected, as denoted at decision block 164, the shift in process will be converted to a ratio control shift (if not already that type), as denoted at block 154, execution will proceed in that manner. When the shift is complete, the logic will return to block 150, as denoted by decision block 166.

[0056] Referring now to FIG. 11, another manner of shuttle shifting that may be applicable for high speed to high speed shifts (FIG. 5) and also high to low and low to high shifts (FIG. 6) is illustrated in accordance with aspects of the present subject matter. However, unlike the manner of operation shown in FIGS. 5 and 6 in which the swash plate angle is held constant, the illustrated shuttle shift requires that the angle of the swash plate be adjusted during the directional swap. Specifically, as shown in FIG. 11, the direction of movement of the swash plate may be reversed after the initiation of the directional swap in order to reduce the speed differential across the on-coming directional clutch, thereby allowing for the amount of energy dissipated in the on-coming directional clutch to be reduced.

[0057] Initially, the shuttle shift may be performed similarly to the shuttle shift shown above in FIGS. 5 and 6. Specifically, after receipt of a shuttle shift command (indicated by point 202 in FIG. 11), e.g., by receiving an operator input from input device 102 (FIG. 2), the TRR or vehicle speed of the work machine 1 may be reduced by moving the swash plate and making any required range/ratio shifts. For example, as shown in FIG. 11, the swash plate angle may be adjusted in a first direction.
(indicated by arrow 204 in FIG. 11) to reduce the vehicle speed of the work machine 1. Such deceleration of the work machine 1 may generally allow for a reduction in the energy dissipated in the directional clutches during the directional swap.

[0058] In addition, while the swash plate angle is being adjusted, the on-coming directional clutch may be filled in anticipation of the directional swap. For instance, in the illustrated embodiment, a forward-to-reverse shuttle shift is being performed and, thus, the reverse directional clutch 52 (FIG. 2) may be pre-filled with hydraulic fluid while the swash plate angle is being adjusted. As such, the reverse directional clutch 52 may begin to be gradually engaged when the TRR reaches the point at which the directional swap is initiated (indicated by point 206 in FIG. 11).

[0059] It should be appreciated that, since FIG. 11 illustrates a forward-to-reverse shuttle shift, the first direction 204 corresponds to a right-to-left (or positive-to-negative) adjustment of the swash plate angle, which, as shown in FIG. 3, provides for a reduction of the TRR or vehicle speed in forward range 1 (denoted FR1). However, in a reverse-to-forward shuttle shift, the first direction 204 may correspond to a left-to-right (or negative-to-positive) adjustment of the swash plate angle, which, as shown in FIG. 3, provides for a reduction of the TRR or vehicle speed in reverse range 1 (denoted RR1).

[0060] It should also be appreciated that a variety of different factors may be used to determine the point 206 at which the directional swap may be initiated. For example, when performing the shuttle shift shown in FIG. 11, the TRR must be within a predetermined range (e.g., from about 0.15 to about 0.1) before the directional swap may be initiated. However, when performing the shuttle shift shown in FIG. 4, the TRR may be within a different range (e.g., less than about 0.02) before the directional swap may be initiated. In addition, the pressure within the on-coming directional clutch must be increased a sufficient amount so that the clutch can respond quickly and accurately to the control signals initiating the swap. Moreover, various other operating conditions of the work machine 1 may also be checked to ensure that the directional swap may be initiated, such as that the off-going directional clutch is actually engaged prior to the swap and that there are no faults within the control logic.

[0061] Once the TRR reaches point 206, the directional swap is initiated. Specifically, at point 206, the off-going directional clutch (e.g., forward directional
clutch 54) may be immediately dumped or disengaged. In addition, the on-coming directional clutch (e.g., reverse directional clutch 52) may be gradually engaged. For instance, the pressure of the hydraulic fluid supplied to the on-coming directional clutch may be gradually increased such that the on-coming directional clutch is partially engaged (i.e., slipping) as the off-going directional clutch is disengaged. As will be described below, the hydraulic pressure within the on-coming directional clutch may continue to be gradually increased as the swash plate angle is adjusted until the on-coming directional clutch is fully engaged (i.e., such that no slippage occurs across the on-coming directional clutch).

[0062] It should be appreciated that, as shown in FIG. 11, the directional swap may be initiated while the work machine 1 is still traveling in the off-going direction (e.g., the forward direction). Thus, the off-going directional clutch may be disengaged and the on-coming directional clutch may begin to be engaged prior to the work machine 1 stopping or otherwise reversing its travel direction. By initiating the directional swap while the work machine is still traveling in the off-going direction, the shuttle shift may be performed without stopping or temporarily pausing the work machine 1 at zero speed.

[0063] Additionally, after the directional swap is initiated, the direction in which the swash plate angle is being adjusted may be reversed. Specifically, as shown in FIG. 11, the swash plate angle may be adjusted in a second, opposite direction (indicated by arrow 208) as the travel direction of the work machine 1 shifts from the off-going direction (e.g., the forward direction) to the on-coming direction (e.g., the reverse direction). Such reversing of the swash plate may generally allow for the speed differential across the on-coming directional clutch to be reduced, thereby reducing the amount of energy dissipated in the on-coming clutch during the shuttle shift.

[0064] As the slippage across the on-coming directional clutch gets low, the movement of the swash plate may be slowed and subsequently reversed. Specifically, as shown in FIG. 11, the swash plate angle may be adjusted in the second direction 208 until the amount of slippage across the on-coming directional clutch falls below a predetermined slip threshold (indicated by point 210). At this point 210, the rate of change of the swash plate angle may be slowed and eventually stopped to allow the
direction of movement of the swash plate to be reversed from the second direction 208 back to the first direction 204. As such, when the slippage across the on-coming directional clutch goes to zero (i.e., when the on-coming directional clutch is fully engaged), the swash plate may be moving in the appropriate direction and at the appropriate rate to allow for a seamless transition. The swash plate may then be moved in the first direction and any necessary range/ratio changes may be made to accelerate the work machine to the desired final speed (indicated by point 212).

[0065] It should be appreciated that the predetermined slip threshold may generally be determined based on the actual or expected rate at which the slippage across the on-coming directional clutch may be reduced and/or the actual or expected rate at which the swash plate angle may be adjusted. Specifically, as indicated above, it may be desirable for the movement of the swash plate to be completely reversed by the time the on-coming directional clutch is fully engaged. Thus, the predetermined slip threshold may be selected such that sufficient time is provided for reversing the direction of movement of the swash plate prior to the slippage across the on-coming directional clutch being reduced to zero.

[0066] Additionally, as indicated above, although reversing the direction of the swash plate provides for a reduction in the energy dissipated in the on-coming directional clutch, such movement of the swash plate also results in a reaction torque. In particular, moving the swash plate while both the driveline and the on-coming directional clutch are engaged generates a reaction torque that adds to the torque transmitted through the on-coming clutch, which can cause a reduction in the deceleration of the work machine 1. However, in several embodiments, the effect of the reaction torque may be mitigated by carefully regulating the hydraulic pressure within the on-coming directional clutch as the movement of the swash plate reverses direction (e.g., from point 206 to a point at which the swash plate is moving in the second direction 208 at a steady speed). In one embodiment, the pressure within the on-coming directional clutch may be controlled as a function of a rate of change of the transmission ratio (denoted TRR) of the transmission 10. For instance, the rate of change of TRR may be continuously monitored and compared to a target deceleration for the transmission 10. The target deceleration may generally correspond to a control setting for limiting the deceleration rate of the transmission 10 during shuttle shifting.
and may be controlled by a number of factors including, but not limited to, a user setting for "aggressiveness" (low, medium and high). If the rate of change of TRR varies from the target deceleration, the pressure within the on-coming directional clutch may be adjusted until the target deceleration is achieved.

[0067] In addition, the reaction torque may also be counteracted by delaying the movement of the swash plate in the second direction 208 until the pressure in the on-coming directional clutch is increased (e.g., by continuing to adjust to the swash plate angle in the first direction 204 for a period of time after the initiation of the directional swap). Specifically, as shown in FIG. 11, at the point at which the off-going directional clutch is disengaged and the on-coming directional clutch begins to be engaged, the rate of change of the swash plate angle in the first direction 204 may be slowly reduced until the motion of the swash plate is momentarily stopped (indicated by point 214 in FIG. 11). This controlled reduction in the rate of change of the swash plate angle in the first direction 204 may generally allow for the hydraulic pressure within the on-coming directional clutch to be ramped up a significant amount prior to reversing the direction of the swash plate, thereby counteracting the reaction torque generated during the shuttle shift. Thereafter, the reaction torque may be controlled by controlling the rate of change of the swash plate angle in the second direction 208 (e.g., as a function of a rate of change of the TRR).

[0068] Referring now to FIG. 12, a simplified flow diagram of one embodiment of a method 300 for performing the shuttle shift described above with reference to FIG. 11 is illustrated in accordance with aspects of the present subject matter. As shown, in 302, the swash plate angle may be adjusted in a first direction. For example, as indicated above, the swash plate angle may be adjusted in the first direction 204 in order to reduce the speed of the work machine 1 in the off-going direction. Additionally, in 304, a directional swap may be initiated between the off-going and on-coming directional clutches. Specifically, the off-going directional clutch may be disengaged while the on-coming directional clutch may be gradually engaged. Moreover, in 306, the swash plate angle may continue to be adjusted in the first direction 204 immediately after the initiation of the directional swap. For instance, as indicated above, the swash plate angle may be temporarily moved in the first direction 204 after the initiation of the directional swap to control the reaction
torque generated during the shuttle shift. Further, in 308, the direction of movement of the swash plate may be reversed from the first direction 204 to the second direction 208. In doing so, the speed differential across the on-coming directional clutch may be reduced, thereby reducing the amount of energy dissipated in the on-coming directional clutch during the shuttle shift. In addition, in 310, the direction of movement of the swash plate may be reversed back to the first direction 204. Specifically, as indicated above, the movement of the swash plate may be reversed after the amount of slippage across the on-coming directional clutch falls below a predetermined slip threshold, thereby allowing the swash plate to be moving in the appropriate direction and at the appropriate rate when the on-coming directional clutch is fully engaged.

[0069] It should be appreciated that the various method elements or steps of the disclosed method 300 may generally be implemented by the controller 100 of the work machine 1. As indicated above, the controller 100 may generally comprise a processor-based device. Thus, in several embodiments, the controller 100 may include one or more processor(s) and associated memory device(s) configured to perform a variety of computer-implemented functions. As used herein, the term "processor" refers not only to integrated circuits referred to in the art as being included in a computer, but also refers to a controller, a microcontroller, a microcomputer, a programmable logic controller (PLC), an application specific integrated circuit, and other programmable circuits. Additionally, the memory device(s) of the controller 100 may generally comprise memory element(s) including, but are not limited to, computer readable medium (e.g., random access memory (RAM)), computer readable non-volatile medium (e.g., a flash memory), a floppy disk, a compact disc-read only memory (CD-ROM), a magneto-optical disk (MOD), a digital versatile disc (DVD) and/or other suitable memory elements. Such memory device(s) may generally be configured to store suitable computer-readable instructions that, when implemented by the processor(s), configure the controller 100 to perform various computer-implemented functions.

[0070] This written description uses examples to disclose the invention, including the best mode, and also to enable any person skilled in the art to practice the invention, including making and using any devices or systems and performing any
incorporated methods. The patentable scope of the invention is defined by the claims, and may include other examples that occur to those skilled in the art. Such other examples are intended to be within the scope of the claims if they include structural elements that do not differ from the literal language of the claims, or if they include equivalent structural elements with insubstantial differences from the literal languages of the claims.
WHAT IS CLAIMED IS:

1. A method for performing a shuttle shift with a continuously variable transmission of a work machine, the continuously variable transmission including a hydrostatic power unit, the method comprising:
   adjusting a swash plate angle of the hydrostatic power unit in a first direction to reduce a travel speed of the work machine in an off-going direction;
   initiating a directional swap between an off-going directional clutch of the continuously variable transmission and an on-coming directional clutch of the continuously variable transmission while the work machine is traveling in the off-going direction; and
   adjusting the swash plate angle of the hydrostatic unit in a second direction after the initiation of the directional swap to reduce slippage across the on-coming directional clutch, wherein the second direction is opposite the first direction.

2. The method of claim 1, wherein the off-going direction comprises a forward travel direction or a reverse travel direction of the work machine.

3. The method of claim 1, wherein initiating a directional swap between an off-going directional clutch of the continuously variable transmission and an on-coming directional clutch of the continuously variable transmission comprises:
   disengaging the off-going directional clutch while the work machine is traveling in the off-going direction; and
   gradually engaging the on-coming directional clutch.

4. The method of claim 3, further comprising temporarily continuing to adjust the swash plate angle in the first direction after the initiation of the directional swap.

5. The method of claim 3, wherein gradually engaging the on-coming directional clutch comprises controlling a hydraulic pressure within the on-coming directional clutch as a function of a rate of change of a transmission ratio of continuously variable transmission.

6. The method of claim 3, wherein gradually engaging the on-coming directional clutch comprises reducing an amount of slippage occurring across the on-coming directional clutch as a travel direction of the work machine shifts between the off-going direction and an on-coming direction.
7. The method of claim 6, wherein adjusting the swash plate angle of the hydrostatic unit in a second direction after the initiation of the directional swap to reduce slippage across the on-coming directional clutch comprises adjusting the swash plate angle in the second direction until the slippage across the on-coming directional clutch falls below a predetermined slip threshold.

8. The method of claim 7, further comprising temporarily continuing to adjust the swash plate angle in the second direction after the slippage across the on-coming directional clutch falls below the predetermined slip threshold.

9. The method of claim 7, further comprising adjusting the swash plate angle in the first direction after the slippage across the on-coming directional clutch falls below the predetermined slip threshold.

10. The method of claim 1, wherein adjusting the swash plate angle of the hydrostatic unit in a second direction after the initiation of the directional swap to reduce slippage across the on-coming directional clutch comprises adjusting the swash plate angle in the second direction to reduce a speed differential across the on-coming directional clutch.

11. The method of claim 1, wherein adjusting the swash plate angle of the hydrostatic unit in a second direction after the initiation of the directional swap to reduce slippage across the on-coming directional clutch comprises adjusting the swash plate angle in the second direction as a function of a transmission ratio of the continuously variable transmission.

12. A method for performing a shuttle shift with a continuously variable transmission of a work machine, the continuously variable transmission including a hydrostatic power unit, the method comprising:

   adjusting a swash plate angle of the hydrostatic power unit to reduce a travel speed of the work machine in an off-going direction;

   initiating a directional swap between an off-going directional clutch of the continuously variable transmission and an on-coming directional clutch of the continuously variable transmission while the work machine is traveling in the off-going direction; and

   maintaining the swash plate angle constant after the initiation of the directional swap.
13. A system for shifting a travel direction of a work machine from an off-going direction to an on-coming direction, the system comprising:

- a continuously variable transmission including an off-going directional clutch for engaging the continuously variable transmission in the off-going direction and an on-coming directional clutch for engaging the continuously variable transmission in the on-coming direction, the continuously variable transmission further including a hydrostatic power unit having a swash plate angle that is adjustable in a first direction and a second direction, the first direction being opposite the second direction; and
- a controller communicatively coupled to the continuously variable transmission, the controller being configured to adjust the swash plate angle in the first direction to reduce a travel speed of the working machine in the off-going direction, the controller being further configured to initiate a directional swap between the off-going directional clutch and the on-coming directional clutch while the work machine is traveling in the off-going direction,

wherein the controller is configured to adjust the swash plate angle in the second direction after the initiation of the directional swap to reduce slippage across the on-coming directional clutch.

14. The system of claim 13, wherein the controller is configured to initiate the directional swap by disengaging the off-going directional clutch and gradually engaging the on-coming directional clutch.

15. The system of claim 14, wherein the controller is configured to gradually engage the on-coming directional clutch by controlling a hydraulic pressure within the on-coming directional clutch as a function of a rate of change of a transmission ratio of continuously variable transmission.

16. The system of claim 13, wherein the controller is configured to temporarily adjust the swash plate angle in the first direction immediately after the directional swap.

17. The system of claim 13, wherein the controller is configured to adjust the swash plate angle in the second direction until slippage across the on-coming directional clutch falls below a predetermined slip threshold.

18. The system of claim 17, wherein the controller is configured to temporarily adjust the swash plate angle in the second direction immediately after the
slippage across the on-coming directional clutch falls below a predetermined slip threshold.

19. The system of claim 17, wherein the controller is configured to adjust the swash plate angle in the first direction after the slippage across the on-coming directional clutch falls below the predetermined slip threshold.

20. The system of claim 13, wherein the hydrostatic power unit includes a motor and a pump, the swash plate angle being adjustable within the pump.
Fig. 3
Fig. 4

PARKING BRAKE APPLIED AND RANGE CLUTCH DUMPED

FORWARD SPEED

D1

ROM

ON-COMING CLUTCH FILLED

PARKING BRAKE RELEASED & RANGE CLUTCH ENGAGED

SWASH PLATE ANGLE

REVERSE SPEED

TRR
Fig. 5

Fig. 6
SWASH PLATE MOVED AND ON-COMING CLUTCH FILLED

FORWARD SPEED

TRR

REVERSE SPEED

WHEN SWASH PLATE POSITIONED PARKING BRAKE IS DISENGAGED AND RANGE CLUTCH ENGAGED TO CONTROL ACCL.

OFF-GOING CLUTCH AND RANGE CLUTCH DUMPED AND PARKING BRAKE USED TO CONTROL DECEL

Fig. 7
START

SHUTTLE SHIFT ?

HIGH TEMP ?

START & END SPD > THRESHOLD ?

RATIO CONTROL SHIFT

CONSTANT SWASH PLATE ANGLE SHIFT

EXECUTE SHIFT

HIGH TEMP ?

SHIFT COMPLETE ?

Fig. 10
FIG. 11
ADJUST SWASH PLATE ANGLE IN THE FIRST DIRECTION

INITIATE DIRECTIONAL SWAP BETWEEN OFF-GOING AND ON-COMING DIRECTIONAL CLUTCHES

CONTINUE TO ADJUST SWASH PLATE ANGLE IN THE FIRST DIRECTION IMMEDIATELY AFTER THE DIRECTIONAL SWAP

REVERSE DIRECTION OF MOVEMENT OF THE SWASH PLATE FROM THE FIRST DIRECTION TO THE SECOND DIRECTION

REVERSE DIRECTION OF MOVEMENT OF THE SWASH PLATE BACK TO THE FIRST DIRECTION

FIG. -12-
**INTERNATIONAL SEARCH REPORT**

**International application No**
PCT/US2012/046413

**A. CLASSIFICATION OF SUBJECT MATTER**

**INV.** F16H47/04 F16H61/66 B6OW30/186

**ADD.** B6OW10/103

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

F16H B6OW

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, WPI Data

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

<table>
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<th>Citation of document, with indication, where appropriate, of the relevant passages</th>
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<td>1-6, 10-16,20</td>
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Further documents are listed in the continuation of Box C. See patent family annex.

**Date of the actual completion of the international search**
24 October 2012

**Date of mailing of the international search report**
31/10/2012

Name and mailing address of the ISA/
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**Authorized officer**
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