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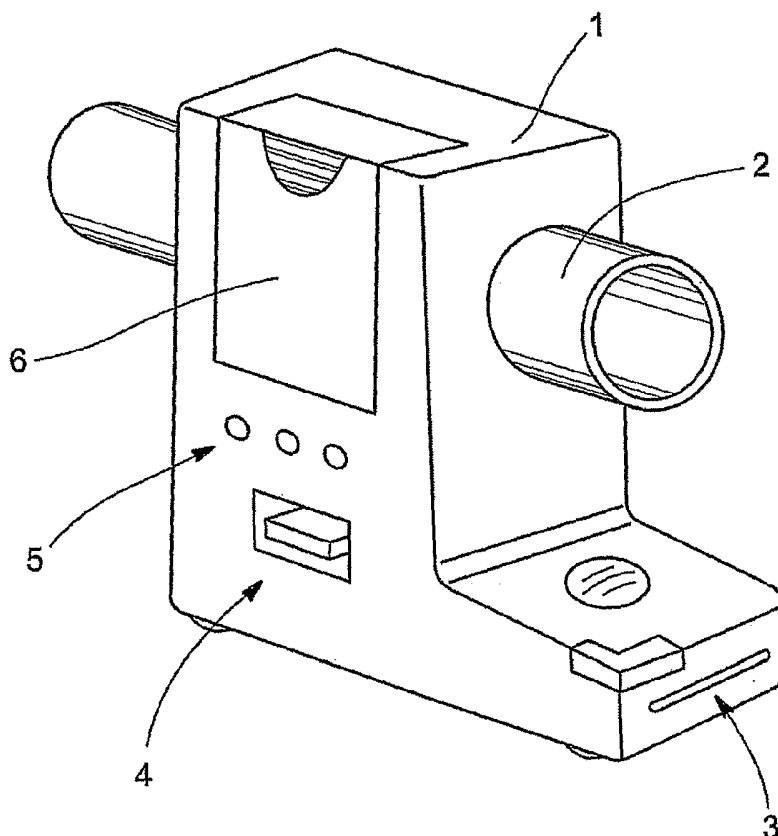
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(54) Title: MONITOR FOR CPAP/VENTILATOR APPARATUS



(57) Abstract: A monitor for surveying measurement values indicative of a person's breathing, to enable an improved assessment, in terms of conclusiveness and reliability, in the course of a treatment phase or a diagnosis. Monitor has a housing structure (1) defining a gas flow path, a measurement line segment (2) provided in the housing structure (1) and structured to communicate with a breathing gas line segment, a sensor in communication with the gas flow path and structured to generate a signal indicative of the breathing gas flow flowing along the gas flow path, and an electronic recording device to record one or more signals indicative of the breathing gas flow, and/or information derived from them. It thus becomes advantageously possible, in treating a patient by using a CPAP device, for instance, to determine the quality of treatment in an objective and standardized way.

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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

1 TITLE OF THE INVENTION

2 MONITOR FOR CPAP/VENTILATOR APPARATUS

3 CROSS-REFERENCE TO RELATED APPLICATION

4 [0001] This application claims the benefit of German Application No.
5 10 2004 056 748.4, filed November 24, 2004, incorporated herein by reference in its
6 entirety.

7 BACKGROUND OF THE INVENTION

8 [0002] The invention is directed to a monitor for surveying measured values that
9 are indicative of a person's breathing, e.g., for use with a CPAP/ventilator apparatus.

10 [0003] Based on Sullivan's discovery that sleep-related breathing problems are
11 often due to airway constrictions that occur during the sleeping phase and to obstructive
12 airway constrictions and can be treated by administering breathing gas, especially
13 ambient air, at an elevated pressure level, devices for administering this breathing gas
14 have accordingly been developed since the 1980s.

15 [0004] Pumping the breathing gas up to this elevated pressure level is
16 predominantly done, in the devices used in practice, by rpm-regulated blowers. These
17 blowers, unlike bulky pumping devices, have a pressure lock through which ambient air
18 can flow into a system segment that is at elevated pressure and can flow back through this
19 lock again during an expiration phase.

20 [0005] The delivery of the pumped breathing gas to a patient is typically done via
21 a flexible breathing gas line and a patient interface, such as a mask. The breathing gas
22 line and the patient interface form part of the system segment that is at elevated breathing
23 gas pressure. In this region, a derivation of CO₂ from the exhaled breathing gas can be
24 achieved by forming defined leakage openings, in the region of the overpressure system
25 segment near the patient, for scavenging this segment.

1 [0006] For adapting the pumping capacity of the blower or regulating the
2 breathing gas pressure, numerous pressure regulation concepts are known. For instance,
3 it is possible in particular to regulate the pumping capacity such that over the entire
4 breathing cycle, largely constant static pressures in the region of the mask are obtained.
5 It is also known to regulate the breathing gas pressure such that during an expiration
6 phase, for instance, the breathing gas pressure is lowered, to lessen the breathing work the
7 patient must do. Devices are also known by which an automatic analysis of the patient's
8 breathing is done continuously, based on software, and the breathing gas pressure is done
9 largely in real time on the basis of this automatic analysis of the instantaneous breathing.
10 [0007] In the diagnosis and/or treatment of sleep-related breathing problems, the
11 use of different devices and device components can cause difficulties in assessing the
12 need for treatment and the success of treatment, and in defining suitable device settings.

13 SUMMARY

14 [0008] One aspect of the invention is directed to an apparatus or method for
15 making an improved assessment, in terms of its conclusiveness, reliability and/or
16 applicability, of a patient's breathing in the course of a treatment phase or a diagnosis.

17 [0009] According to one embodiment of the invention, a monitor is provided for
18 surveying signals indicative of a patient's breathing. The monitor has a housing structure
19 (1) defining a gas flow path; a measurement line segment (2) provided to the housing
20 structure (1) and structured to be in communication with a breathing gas line segment; a
21 sensor provided along the gas flow path to generate a signal indicative of the breathing
22 gas flow; and an electronic recording device (3) to record one or more signals indicative
23 of the breathing gas flow, and/or information derived from the one or more signals.

24 [0010] It thus becomes advantageously possible, in treating a patient by using a
25 CPAP device or other ventilator device, for instance, to record and assess the quality of
26 treatment in a neutral and standardized way.

27 [0011] The monitor is preferably embodied as an autonomous recording module.
28 Thus, it can be incorporated into typical breathing gas tubing systems, and, in particular,

1 can be plugged into them. The monitor preferably has its own power supply, which is
2 preferably in the form of a battery device or a rechargeable battery device.

3 **[0012]** The monitor may include an electronic recording device, e.g., in the form
4 of a memory card or a flash stick. The monitor may be in the form of a module. Further,
5 the monitor can be used in conjunction with a feedback loop to assist with control of a
6 flow generator (e.g., CPAP) or other ventilator. It is possible to provide an interface
7 device on the module, for transmitting the detected signals to an evaluation or monitoring
8 computer system. The interface device may be embodied as a USB interface, a network
9 interface, or in particular as a wireless interface. The interface device may be embodied
10 such that the directly surveyed data and/or the data stored in memory can be read out.

11 **[0013]** It is possible to design an electronic data processor, provided in the region
12 of the monitor, such that the data processor can be configured in a program-based way
13 (e.g., using software) for a certain detection task or a certain detection concept. For
14 instance, the degree of compression or a certain intermediate evaluation procedure can be
15 defined, preferably in a software-based manner.

16 **[0014]** The monitor may include a measurement device to survey a signal that is
17 indicative of the breathing gas pressure prevailing at that time.

18 **[0015]** It is possible to make integral the tubular element, forming the
19 measurement channel, in such a way that this tubular element can advantageously be
20 cleaned and sterilized. The surveying of the flow signal can be done using structures of
21 the kind used as such in pneumotachography equipment.

22 **[0016]** According to another embodiment, there is provided a flow generator; a
23 patient interface; a breathing gas line segment to communicate the flow generator and the
24 patient interface; and a monitor as described above. The monitor is in communication
25 with the breathing line segment.

26 BRIEF DESCRIPTION OF THE DRAWINGS

27 **[0017]** Further details and characteristics are described in or apparent from the
28 ensuing description in conjunction with the drawings, in which:

1 [0018] Fig. 1 is a perspective view of a preferred embodiment of a monitor
2 according to one embodiment of the invention;

3 [0019] Fig. 2 is a schematic illustration explaining the disposition of the monitor
4 inside a breathing gas path; and

5 [0020] Fig. 3 is a schematic illustration explaining an example of internal
6 structure of the monitor.

7 DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

8 [0021] In Fig. 1, a monitor for surveying signals indicative of a patient's breathing
9 is shown. The monitor may have a modular form. The monitor includes a housing
10 structure 1 defining a gas flow path or a measurement section and a measurement line
11 segment 2 that can be coupled to or otherwise in communication with a breathing gas line
12 segment (also known as a gas delivery conduit) (see Fig. 2). The measurement line
13 segment 2 is in communication with a sensor, e.g., a flow measuring instrument (e.g., a
14 flow meter) to generate a signal indicative of the breathing gas flow. The sensor is in
15 communication with the gas flow path. The monitor further includes an electronic
16 recording device 3 for recording signals indicative of the breathing gas flow, or
17 optionally also information derived from them. The monitor preferably is in the form of
18 a module that is functionally, if not physically, positioned between the CPAP device (or
19 other flow generator) and the patient. Information obtained from and/or derived from the
20 monitor can be used as input to the flow generator or ventilator. Thus, the monitor may
21 generate information used in a feedback loop.

22 [0022] The monitor is provided with an interface device 4, which is embodied
23 here simply as a USB port, for example. Via this interface device 4, the measurement
24 signals surveyed in the region of the measurement line segment via the flow measuring
25 instrument can be picked up continuously. It is also possible via the interface device for a
26 data processor, e.g., provided in the monitor, to be configured with a view to a particular
27 kind of data survey that is wanted.

1 [0023] The monitor is also equipped with a display 5, e.g., one or more LEDs. It
2 is possible to activate the LEDs such that one color indicates whether breathing that is
3 obscured by artefacts has already been found in a previous measurement.

4 [0024] The monitor is furthermore powered with a power supply, e.g., in the form
5 of a battery device. The battery device can be changed, once a cover device 6 (positioned
6 over a battery chamber) has been removed. It is also possible for the power supply to be
7 in the form of a rechargeable battery device. The charging of the rechargeable battery
8 device can optionally be done directly via the power that can be tapped in the region of
9 the USB port.

10 [0025] The monitor could also include one or more inputs for oximetry reading.

11 [0026] The monitor is preferably designed such that the recording device,
12 provided for recording the data indicative of the breathing gas flow, is removable from
13 the feedback module for the sake of further signal evaluation. In this exemplary
14 embodiment, the recording device is embodied as a memory card. It is also possible to
15 embody the recording device as a USB flash stick, for example, and the USB flash stick
16 can optionally be connected directly via the USB port provided here.

17 [0027] The monitor preferably includes a pressure detector, for generating a
18 signal indicative of the breathing gas pressure prevailing at that time. Because of the
19 relatively low-frequency chronological fluctuations of the signal, the signal indicative of
20 the breathing gas pressure can be recorded at a lesser data density than the breathing gas
21 flow signal intended for recording the course of respiration. The breathing gas flow
22 signals may be subjected to data compression and stored, for instance in MP3 format or
23 in some other way, in approximated form by means of polynomial functions.

24 [0028] As seen in Fig. 2, the monitor is preferably coupled directly into a segment
25 of the breathing gas line segment that extends between a mask and a CPAP device. The
26 monitor could be classified as an "in-line" monitor, i.e., it is positioned along the gas
27 delivery conduit, between the flow generator (blower) and the mask. The monitor can
28 also be coupled directly to an evaluation circuit, in particular a PC, that is typically more
29 powerful than the electronic circuit device provided in the monitor. It is also possible to
30 design the monitor such that data is forwarded wirelessly, for instance using an IR

1 interface or a Bluetooth™ interface. However, signal conversion and characteristic curve
2 assessment are preferably still done in the region of the monitor, so that regardless of the
3 measured value pickup technology used in the measurement line segment, the flow signal
4 is readable in digital form, being linearized or defined in a standardized way.

5 **[0029]** Preferably the recording concept executed by the monitor during the
6 observation phase is configurable in a software-based manner.

7 **[0030]** In Fig. 3, the internal structure of a monitor of an embodiment of the
8 invention is shown schematically. Monitor includes measurement line segment 2,
9 described above in conjunction with Fig. 1, provided with a measurement array intended
10 for generating a signal indicative of the breathing gas flow.

11 **[0031]** The measurement array may be embodied as a ram pressure pickup
12 element, a diaphragm device, or an LFE (laminar flow element). The signals picked up
13 via these corresponding measurement devices can be filtered by a filter device and
14 forwarded to an electronic recording device (digital, programmable electronic memory)
15 provided in the monitor. The monitor shown schematically here also includes a pressure
16 sensor, and the signals surveyed by this pressure sensor are also forwarded to the
17 electronic circuit.

18 **[0032]** The data based on the measurement signals and generated by the
19 electronic circuit device are stored in a predetermined storage pattern on a preferably
20 replaceable storage medium (in this case a flash memory card). The programming of the
21 evaluation electronics in the equipment can be done via an interface device, in particular
22 a PC interface, such as a USB port. The monitor also includes display devices, such as
23 LEDs or display devices. The display devices may be embodied such that with them,
24 relatively high-quality reproduction of the results of evaluation, or also of raw data, is
25 made possible.

26 **[0033]** The coupling of the measurement line segment 2 into a suitable breathing
27 gas line system can be done by embodying the measurement line segment 2 such that it is
28 compatible with hose connection cuffs that are known per se.

29 **[0034]** Fig. 3 shows one example of the basic construction of the monitor. In that
30 portion of the gas path or airway segment defined by the measurement line segment 2, the

1 flow can be measured via a diaphragm or a laminar element. The flow can preferably be
2 measured in both directions with the same precision. The pressure of the breathing gas in
3 this portion of the gas path or airway segment is preferably also measured. This pressure
4 is typically in the range of 0 to 80 hectopascals. The thus surveyed signals can be
5 processed and stored in memory by the electronics. In the memory, both raw data and
6 (preferably) evaluated events are stored. This information can be transmitted and
7 displayed in real time via an interface, e.g., a PC interface. It is also possible, via a
8 display, to pick up or display information directly at the monitor. The evaluation of the
9 measurement signals surveyed with the monitor can be done in a manner known per se by
10 the "Mikro-Mesam" evaluation software developed by the present Applicant.

11 **[0035]** The monitor is suitable for use not only in the doctor's office but also as a
12 measurement system for performing standardized monitoring of therapy done at home.
13 The monitor makes it possible to analyze and compare most of the various kinds of
14 equipment on the market in terms of their performance, efficiency and/or effectiveness.
15 The monitor may also be used as part of a feedback loop, e.g., it becomes possible to
16 collect data indicative of breathing with high resolution and to use the data for subsequent
17 clinical studies and for developing algorithms for automatic detection of breathing
18 problems or for automatically adapting the breathing gas pressure.

19 **[0036]** While the invention has been described in connection with what are
20 presently considered to be the most practical and preferred embodiments, it is to be
21 understood that the invention is not to be limited to the disclosed embodiments, but on
22 the contrary, is intended to cover various modifications and equivalent arrangements
23 included within the spirit and scope of the invention. Also, the various embodiments
24 described above may be implemented in conjunction with other embodiments, e.g.,
25 aspects of one embodiment may be combined with aspects of another embodiment to
26 realize yet other embodiments. In addition, while the invention has particular application
27 to patients who suffer from OSA, it is to be appreciated that patients who suffer from
28 other illnesses (e.g., congestive heart failure, diabetes, morbid obesity, stroke, barriatric
29 surgery, etc.) can derive benefit from the above teachings. Moreover, the above

- 1 teachings have applicability with patients and non-patients alike in non-medical
- 2 applications.

CLAIMS

1. A monitor for surveying signals indicative of a patient's breathing, comprising:
 - a housing structure (1) defining a gas flow path;
 - a measurement line segment (2) provided to the housing structure and structured to be in communication with a breathing gas line segment;
 - a sensor provided along the path to generate a signal indicative of the breathing gas flow; and
 - an electronic recording device (3) to record one or more signals indicative of the breathing gas flow, and/or information derived from the one or more signals.
2. The monitor in accordance with claim 1, wherein the electronic recording device (3) is removable from the housing structure (1).
3. The monitor in accordance with claim 1 or 2, further comprising an interface device (4) to dock a further electronic programming or evaluation system.
4. The monitor in accordance with claim 3, wherein the further electronic programming or evaluation system includes a PC.
5. The monitor in accordance with at least one of claims 3-4, wherein the interface device (4) includes a USB port provided to the housing structure (1).
6. The monitor in accordance with at least one of claims 1-4, further comprising a pressure detector to generate one or more signals indicative of the breathing gas pressure.
7. The monitor in accordance with at least one of claims 1-6, wherein the electronic recording device comprises an evaluation module to effect evaluation in advance of the recorded measurement signals.

8. The monitor in accordance with claim 7, the evaluation module comprises a software-based evaluation system.

9. The monitor in accordance with at least one of claims 1-8, wherein the monitor is an on-line monitor having a modular structure.

10. The monitor in accordance with at least one of claims 1-9, further comprising a display (5) provided to the housing structure (1).

11. The monitor in accordance with at least one of claims 1-10, further comprising a power supply.

12. The monitor in accordance with claim 11, wherein the power supply is a battery or a re-chargeable battery.

13. The monitor in accordance with at least one of claims 11-12, further comprising a cover device (6) provided to the housing structure (1) to provide access to a battery chamber provided to the housing structure.

14. The monitor in accordance with at least one of claims 1-13, wherein the recording device comprises a USB flash stick or memory card.

15. The monitor in accordance with at least one of claims 1-14, wherein the measurement line segment (2) is in communication with or provided with a measurement array.

16. The monitor in accordance with claim 15, wherein the measurement array includes a pressure pick up element, a diaphragm device and/or a laminar flow element.

17. The monitor in accordance with at least one of claims 1-16, wherein the signals generated and/or derived from the monitor are used as part of a feedback loop.

18. A CPAP or ventilator system comprising:
- a flow generator;
 - a patient interface;
 - a breathing gas line segment to communicate the flow generator and the patient interface;
 - a monitor as claimed in at least one of claims 1-17, said monitor being in communication with the breathing line segment.

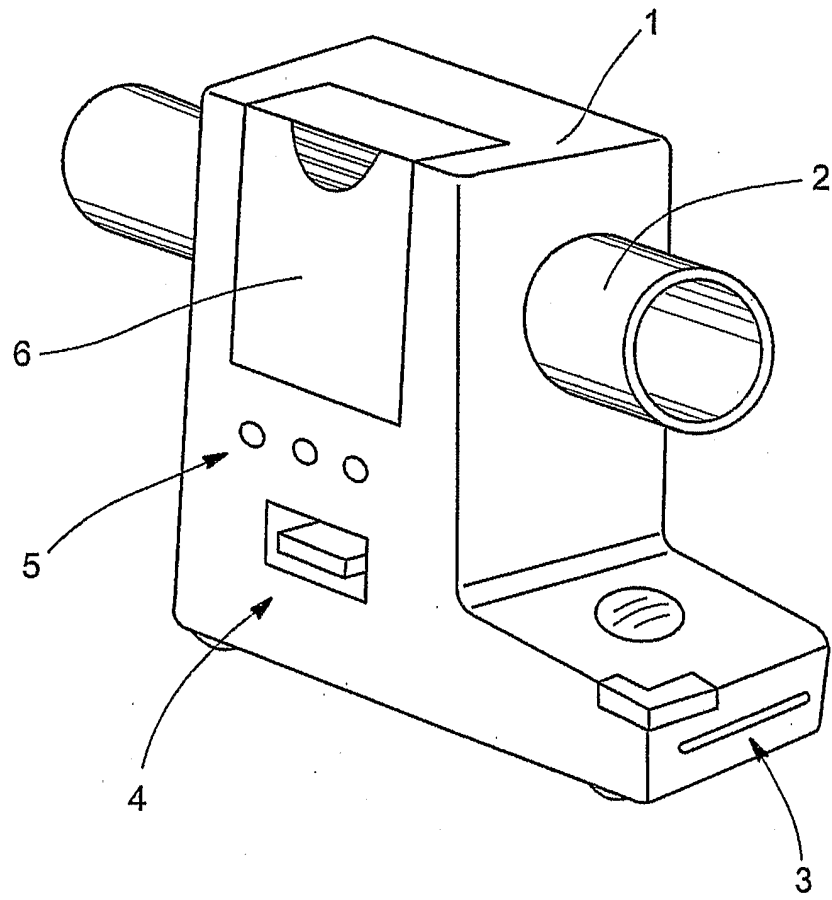


Fig. 1

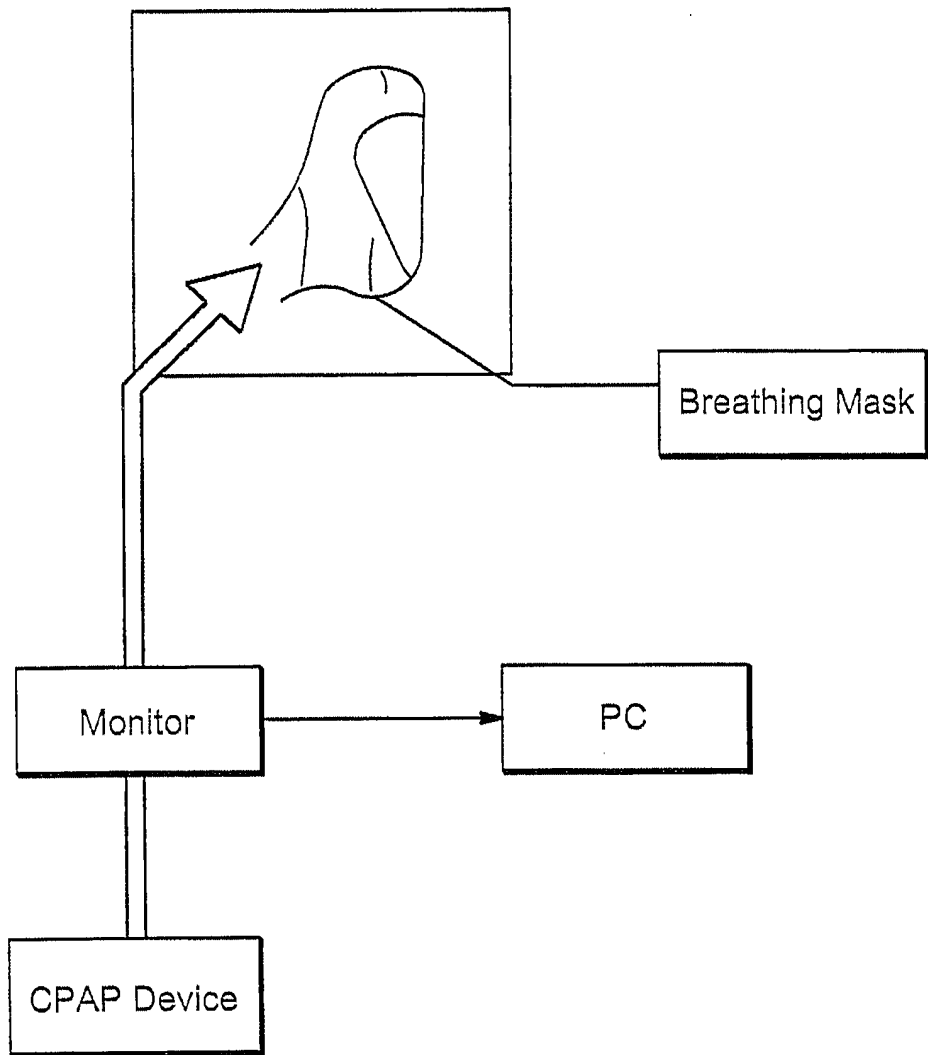


Fig. 2

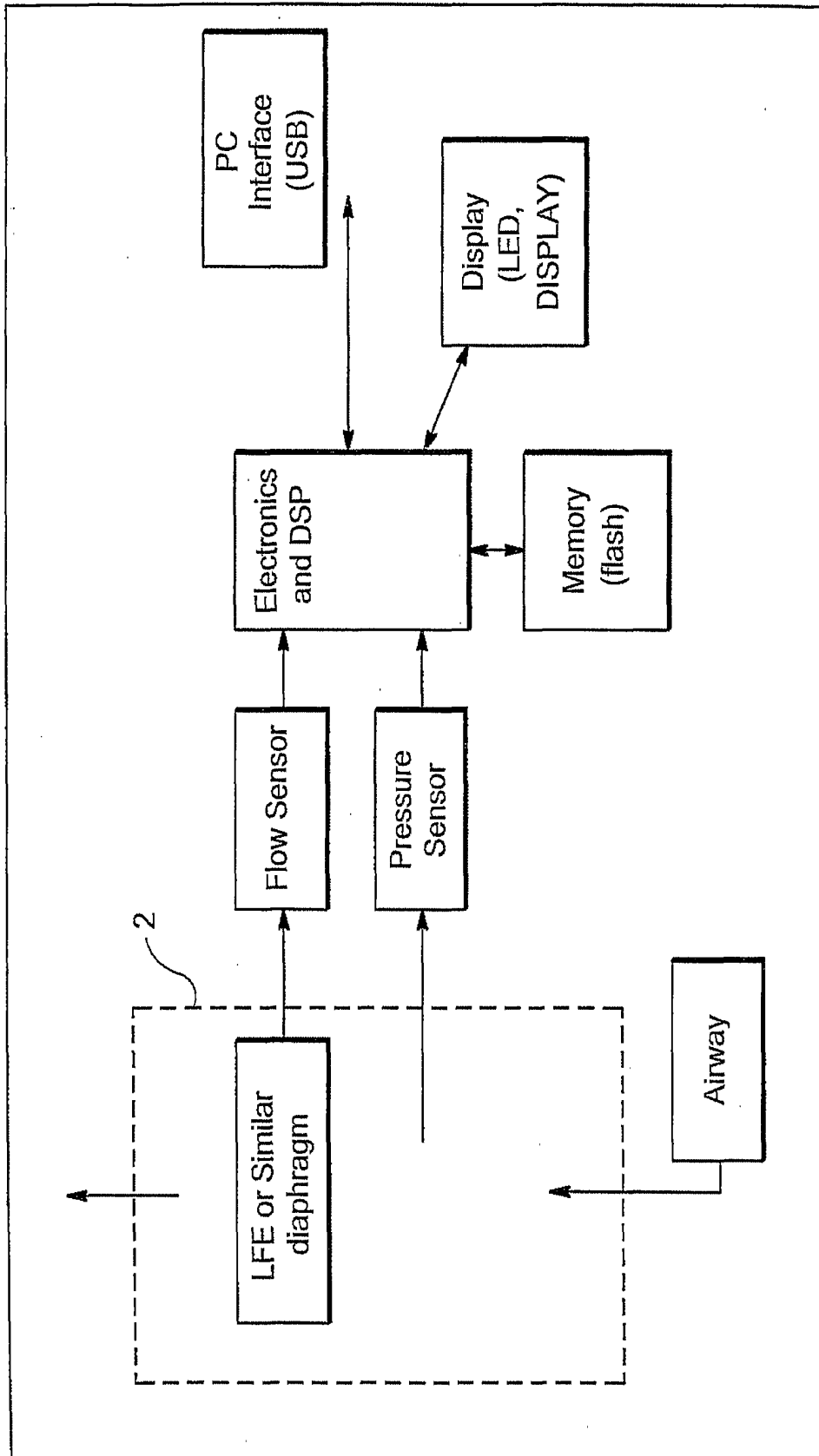


Fig. 3

INTERNATIONAL SEARCH REPORT

International application No
PCT/EP2005/012577

A. CLASSIFICATION OF SUBJECT MATTER A61B5/087 A61M16/00		
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) A61B A61M		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2004/118212 A1 (ORR, JOSEPH A ET AL) 24 June 2004 (2004-06-24) abstract paragraph '0032! - paragraph '0044! paragraph '0050! paragraph '0056!	1-4, 6-9, 11, 12, 15-18
Y	----- abstract paragraph '0032! - paragraph '0044! paragraph '0050! paragraph '0056!	5, 10, 13, 14
X	US 2004/173212 A1 (BERTHON-JONES MICHAEL) 9 September 2004 (2004-09-09) abstract paragraphs '0132!, '0170!, '0192! paragraphs '0199!, '0231! ----- -/--	1, 6-8, 17, 18
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C.		
<input checked="" type="checkbox"/> See patent family annex.		
* Special categories of cited documents :		
A document defining the general state of the art which is not considered to be of particular relevance *E* earlier document but published on or after the international filing date *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means *P* document published prior to the international filing date but later than the priority date claimed	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. *&* document member of the same patent family	
Date of the actual completion of the international search <p style="text-align: center;">17 February 2006</p>	Date of mailing of the international search report <p style="text-align: center;">27/02/2006</p>	
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer <p style="text-align: center;">Rivera Pons, C</p>	

INTERNATIONAL SEARCH REPORT

International application No
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C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

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