### (19) World Intellectual Property Organization

International Bureau



### 

### (43) International Publication Date 8 January 2009 (08.01.2009)

PCT

### (10) International Publication Number WO 2009/005596 A1

(51) International Patent Classification: *A61F 2/00* (2006.01)

(21) International Application Number:

PCT/US2008/007610

(22) International Filing Date: 18 June 2008 (18.06.2008)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:

60/958,401 3 July 2007 (03.07.2007) US 11/894,124 20 August 2007 (20.08.2007) US

(71) Applicant (for all designated States except US): HISTO-GENIC CORP. [US/US]; 830 Winter Street, 3rd Floor, Waltham, MA 02451 (US).

(71) Applicant and

(72) Inventor (for all designated States except US): CLAES-SON, Hans, Peter [US/US]; 15 Morse Road, Wayland, MA 01778 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): TARRANT, Laurence, J. B. [US/US]; 64 Greenleaf Drive, Northampton, MA 01062-9768 (US). SMITH, Robert, Lane [US/US];

947 Llima Way, Palo Alto, CA 94306 (US). **SHORT-KROFF, Sonya** [US/US]; 11 Elmlawn Road, Braintree, MA 02184 (US).

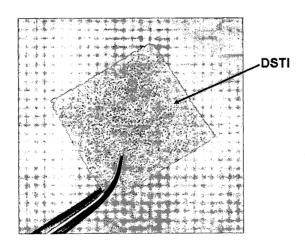
- (74) Agents: ASTON, David, J. et al.; Peters Verny, LLP, 425 Sherman Avenue, Suite 230, Palo Alto, CA 94306 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### Published:

with international search report

(54) Title: DOUBLE-STRUCTURED TISSUE IMPLANT AND A METHOD FOR PREPARATION AND USE THEREOF

### Fig.1A



(57) Abstract: A double-structured tissue implant and a method for preparation and use thereof for implantation into tissue defects. The double-structured tissue implant comprising a primary scaffold and a secondary scaffold consisting of a soluble collagen solution in combination with a non-ionic surfactant generated and positioned within the primary scaffold. A stand alone secondary scaffold implant or unit. A process for preparation of the double-structured implant or the stand alone secondary scaffold comprising lyophilization and dehydrothermal treatment.





1

# DOUBLE-STRUCTURED TISSUE IMPLANT AND A METHOD FOR PREPARATION AND USE THEREOF BACKGROUND OF THE INVENTION

### Field of Invention

[0001] The current invention concerns a double-structured tissue implant and a method for preparation and use thereof for implantation into tissue defects. In particular, the invention concerns a double-structured tissue implant comprising a primary scaffold and a secondary scaffold generated and positioned within the primary scaffold. The primary scaffold is a porous collagen-comprising material having randomly or non-randomly oriented pores of substantially homogeneous defined diameter. Under the most favorable conditions, the pores are vertically oriented and represent a high percentage of the porosity of the scaffold. The secondary scaffold is generated within the primary scaffold by introducing a composition comprising a soluble collagen solution in combination with a non-ionic surfactant into the pores of the primary scaffold and solidifying said composition within said pores using a novel process of the invention.

[0002] The process for preparation of the double-structured tissue implant comprises introducing a solution of components used for generation of the secondary scaffold into the primary scaffold and processing using at least precipitation, lyophilization and dehydrothermal treatment steps.

[0003] Each the primary and secondary scaffold independently, or together, may provide a structural support for cells and/or each separately may contain cells, drugs or growth modulators.

[0004] When formed, the double-structured tissue implant has improved properties, such as stability, resistance to shrinkage, swelling or dissolution, improved wetting, storageability and longer shelf-life as compared to the properties of each individual scaffold or the composite.

[0005] Furthermore, the double-structured tissue implant provides an increased surface area for cell adhesion, growth and differentiation without compromising the porosity of the implant.

### BACKGROUND AND RELATED DISCLOSURES

[0006] Collagen matrices for use as an implant for repair of cartilage defects and injuries are known in the art. Of a particular interest is a honeycomb structure

WO 2009/005596

PCT/US2008/007610

developed by Koken Company, Ltd., Tokyo, Japan, under the trade name Honeycomb Sponge, described in the Japanese patent JP3170693. Other patents related to the current subject disclose collagen-based substrates for tissue engineering (US patent 6,790,454) collagen/polysaccharide bi-layer matrix (US patent 6,773,723), collagen/polysaccharide bi-layer matrix (US patent 6,896,904), matrix for tissue engineering formed of hyaluronic acid and hydrolyzed collagen (US patent 6,737,072), method for making a porous matrix particle (US patent 5,629,191) method for making porous biodegradable polymers (US patent 6,673,286), process for growing tissue in a macroporous polymer scaffold (US patent 6,875,442), method for preserving porosity in porous materials (US patent 4,522,753), method for preparation of collagen-glycosaminoglycan composite materials (US patent 4,448,718), procedures for preparing composite materials from collagen and glycosaminoglycan (US patent 4,350,629) and a crosslinked collagen-mucopolysaccharide composite materials (US patent 4,280,954).

[0007] However, many of the above disclosed structures have uncontrolled parameters such as uneven and uncontrolled porosity, uneven density of pores, uneven sizes of the pores and random distribution of pores within the support matrix. Such uncontrolled parameters lead to usable pore structures that represent only a small percentage of the total implant. Additionally, when introduced into tissue defects or cartilage lesions during the surgery, these structures are difficult to handle as they are unstable and do not have appropriate wetting properties in that they can shrink or swell and are not easily manipulated by the surgeon.

[0008] For a tissue implant to be suitable for implantation, particularly for implantation into the cartilage lesion, the implant needs to be stable, easily manipulated, easily stored in sterile form and have a long shelf-life.

[0009] In order to provide a more uniform and sterically stable support structure for implantation into a tissue defect or cartilage lesion, inventors previously developed a collagen matrix having narrowly defined size and density of pores wherein the pores are uniformly distributed, substantially vertically oriented and non-randomly organized. This matrix is disclosed in the U.S. Patent application, Ser. No.: 11/523,833, filed on September 19, 2006. Additionally, the acellular matrix suitable to be used as the primary scaffold is described in U.S. Patent 7,217,294, issued on May 15, 2007.

[0010] However, even with the above-described improvements, a solution to problems faced by the surgeon during surgery is still lacking. A practicality needed for routine use of the tissue implants, such as, for example, the articular cartilage implants by the orthopedic surgeons, where the implant needs to be readily available, manipulatable, wettable, stable, sterile and able to be rapidly prepared and used for implantation, is still not achieved. All the previously described and prepared implants require multiple steps before they are fully implantable.

[0011] Thus, it would be advantageous to have available an implant that would be easily manufactured and packaged, would have stable extended shelf-life, would be easily manipulatable and rapidly wettable upon introduction into the lesion, would provide a support for cell migration or seeding and could have, additionally, pre-incorporated drug or modulator in at least one portion of the implant. The implant should also allow the surgeon to introduce a drug or modulator during the surgical procedure.

[0012] It would also be an advantage to provide a secondary scaffold with an increased area of internal membranes which while not interfering with cell migration and nutrient exchange, nevertheless, would provide a substrate favorable to cell adhesion, growth and migration.

[0013] It is, therefore, a primary object of this invention to provide a double-structured tissue implant comprising of a primary scaffold and a secondary scaffold where each scaffold of the implant can assume different function, be incorporated with cells, drugs or modulators and/or be selectively chosen for performing different function following the implantation.

[0014] The current invention provides such double-structured scaffold and/or a method for use and fabrication thereof by providing a first scaffold comprising a sterically stable and biocompatible support structure, preferably made of Type I collagen, having defined pore sizes and density with said pores organized substantially vertically and a second scaffold wherein said second scaffold is formed within said pores of said first scaffold. The double-structured scaffold of the invention is stable, resistant to shrinkage, swelling and dissolution, rapidly wettable, prepared in the sterile storageable form having a long-shelf life and can be easily surgically delivered and easily manipulated.

### **SUMMARY**

[0015] One aspect of the current invention is a double-structured tissue implant, process for preparation thereof and a method for use thereof.

[0016] Another aspect of the current invention is a collagen-based double-structured tissue implant comprising a primary scaffold and a secondary scaffold wherein said secondary scaffold is a qualitatively different structure formed within a confines of the primary scaffold.

[0017] Another aspect of the current invention is a collagen-based primary porous scaffold having preferably vertically oriented open pores of substantially homogeneous pore size, said primary scaffold suitable for incorporation of a secondary scaffold wherein said secondary scaffold is incorporated into said primary scaffold by introducing a basic solution comprising collagen and a non-ionic surfactant into said primary scaffold and subjecting said primary scaffold incorporated with said basic solution for the secondary scaffold to a process comprising at least precipitation, lyophilization and dehydrothermal treatment.

[0018] Still yet another aspect of the current invention is a double-structured tissue implant having two distinct qualitatively different structures wherein each of the structures may be independently seeded with cells or wherein one or both structures of the implant may comprise a pharmaceutical agent or growth modulator.

[0019] Still another aspect of the current invention is a secondary scaffold as a stand alone implant or unit, said secondary scaffold prepared from the basic solution comprising collagen and a surfactant subjected to lyophilization and dehydrothermal treatment.

[0020] Yet another aspect of the current invention is a method for use of a double-structured tissue implant or a stand alone secondary scaffold implant for implantation into a tissue defect or cartilage lesion wherein said implant, in dry or wet form, is implanted into said defect or lesion during surgery.

[0021] Another aspect of the current invention is a process for preparation of a double-structured implant by providing a primary porous scaffold prepared from a biocompatible collagenous material wherein said scaffold has a substantially homogenous defined porosity and uniformly distributed randomly and non-randomly organized pores of substantially the same size of defined diameter of about 300±100

mm, wherein said primary scaffold is brought in contact with a soluble collagen solution comprising at least one non-ionic surfactant (basic solution), wherein such solution is introduced into said pores of said primary scaffold, stabilized therein by precipitation or gelling, dehydrated, lyophilized and dehydrothermally processed to form a distinctly structurally and functionally different second scaffold within said pores of said primary scaffold.

[0022] Still yet another aspect of the current invention is a method for use of the double-structured tissue implant (DSTI) for implantation for treatment of tissue defects and injuries, said method comprising steps:

- a. obtaining or preparing the double-structured tissue implant;
- b. preparing a tissue defect for implantation of said DSTI;
- c. precutting the DSTI into a size of the defect;
- d. implanting the DSTI into said defect;
- e. rehydrating the DSTI with a physiologically acceptable solution or buffer; and
- f. covering said implant with a tissue adhesive, wherein said method may optionally include a step of coating said defect with a tissue adhesive before implantation of said DSTI.

### **BRIEF DESCRIPTION OF FIGURES**

- [0023] Figure 1A is a photograph of the lyophilized double-structured tissue implant (DSTI) that shows the porous nature and the sturdiness of the implant prior to rehydration. Figure 1B is a photograph of the double-structured tissue implant (DSTI) packaged in a sterile form ready for delivery.
- [0024] Figure 2 is a photomicrograph of a primary scaffold showing pores having substantially the same size (4X magnification), said scaffold used as the foundation and structural support for preparation of the double-structured tissue implant. As shown, the primary scaffold has a honeycomb structure of relative uniform pore size and equal distribution.
- [0025] Figure 3 is a photomicrograph of a primary scaffold loaded with a soluble collagen/PLURONIC® surfactant composition (basic solution) before precipitation and processing with dehydrothermal treatment (4X magnification) wherein the basic solution is prepared and applied as a solution which evenly fills the pores.

[0026] Figure 4A is a photomicrograph of a rehydrated double-structured tissue implant (DSTI) showing a primary and secondary scaffold (4X magnification). Figure 4A demonstrates the formation of the double-structured implant, where the secondary scaffold is observed from the fibrous-like diffraction pattern present within the pores of the primary scaffold. The diffraction pattern is created from the polymerization of the collagen within the pores. The collagen fibers interdigitate within the pores and among the pores.

[0027] Figure 4B is a photomicrograph of the dehydrated double-structured tissue implant prior to implantation showing a primary and secondary scaffolds (4X magnification). Similarly to Figure 4A, Figure 4B shows the double-structured implant wherein the secondary scaffold is seen as the fibrous-like diffraction pattern present within the pores of the primary scaffold.

[0028] Figure 5 is a photomicrograph of a double-structured tissue implant seeded with chondrocytes after 14 days in culture showing a primary scaffold, a secondary scaffold and chondrocytes attached to or embedded within the secondary scaffold localized in the pores of the primary scaffold (10X magnification). The DSTI shown in Figure 5 was dehydrothermally treated and subsequently has undergone rehydration with a phosphate buffered saline and seeding with chondrocytes that were maintained in culture over a period of 14 days. The cultured chondrocytes are shown to adhere to the fibrous secondary scaffold, as well as aggregate within the pores.

[0029] Figure 6 is a graph illustrating collagen retention in phosphate buffered saline, and thus resistance to dissolution from three separate double-structured tissue implants (DSTIs) compared to a composite consisting of a primary scaffold loaded with a composition for a secondary scaffold but not lyophilized or dehydrothermally processed (Composite). Figure 6 demonstrates the structural stability of the collagen network present in the double-structured implant (DSTI) as a function of time in an aqueous buffered saline solution. The data demonstrate that during the first day following the rehydration, there is very little dissolution of collagen from the DSTI and that the retention of collagen is almost 100% during the initial first critical hour. On the other hand, the dissolution from the Composite in the initial hour is much higher and retention drops immediately to approximately 96 % during that same critical first hour. Figure 6 thus clearly demonstrates a stability of the DSTI.

[0030] Figure 7 is a graph illustrating percent of surface area change of double-structured tissue implant (DSTI) and a composite (Composite) of a primary scaffold loaded with a composition for a secondary scaffold before lyophilization and dehydrothermal processing, from 1 to 24 hours. The implants were rehydrated with an aqueous phosphate buffered saline and maintained in culture for eight days. Results show that there is an insignificantly small change in the surface area during the first hour following the rehydration in both DSTI and Composite. This figure confirms that in the double-structured tissue implants (DSTI) subjected to the dehydrothermal treatment there is a very small change in the surface area and therefore there is no shrinkage or swelling following the rehydration.

[0031] Figure 8 is a graph showing production of S-GAG/DNA by chondrocytes seeded in double-structured tissue implant (DSTI) and in a composite (Composite) comprising a primary scaffold loaded with a composition for a secondary scaffold before lyophilization and dehydrothermal processing, after 14 days in culture. Figure 8 demonstrates that secondary scaffold supports the growth of cells and deposition of extracellular matrix measured here as sulfated glycosaminoglycan. A comparison between the double-structured tissue implant and the Composite showed comparable results with little evidence of significant stearic hindrance due to the added structural components.

[0032] Figure 9 is a schematic illustration of two treatment protocols for implantation of the DSTI into cartilage lesions using double-structured tissue implants. Figure 9A shows the treatment with DSTI without microfracture. Figure 9B shows treatment with microfracture pretreatment. Figures 9A and 9B demonstrate how the double secondary scaffold will be applied in a surgical operating room setting. The initially oversized double-structured tissue implant (DSTI) is first cut and trimmed to match the defect. Optionally, at this stage, a physiologically acceptable tissue adhesive may be applied to the defect to coat the defect site (not shown) in both instances. In the treatment where the subchondral plate of the defect is penetrated by microfracture, such adhesive may be optionally applied between microfracture penetrations, shown in Figure 9B and the precut sized DSTI placed into the defect. The placement of the adhesive to the defect site is an optional step. The DSTI is then rehydrated with a physiologically acceptable solution optionally containing agents that stimulate healing. Finally, the DSTI placed into the defect is

sealed within the defect using a layer of the tissue adhesive to form a final bonding and covering the implant within the defect.

### **DEFINITIONS**

[0033] As used herein:

[0034] "Primary scaffold" means a porous honeycomb, sponge, lattice or another structure made of collagen or collagen based material having randomly or non-randomly oriented pores of substantially homogenous defined diameter. Under the most favorable conditions, the pores are substantially vertically oriented and represent a high percentage of the porosity of the scaffold.

[0035] "Secondary scaffold" means a collagen based structure prepared from a collagen or collagen based compound in the presence of a non-ionic surfactant. The secondary scaffold is generated within the primary scaffold by introducing a composition comprising a soluble collagen solution in combination with a non-ionic surfactant (basic solution) into the pores of the primary scaffold and solidifying said composition within said pores using a novel process of the invention.

[0036] "Basic solution" means a solution comprising a collagen in admixture with a surfactant, preferably PLURONIC®-type surfactant, neutralized to the pH of about 7.4. Basic solution is used for preparation of the secondary scaffold.

[0037] "Composite" means a primary scaffold loaded with a composition comprising a precipitated or gelled soluble collagen in combination with a non-ionic surfactant (basic solution). The composite is in a hydrated form because the basic solution is added in a fluid form as a gel, suspension or solution.

[0038] "Lyophilized composite" means the hydrated "composite", as defined above, that is subsequently subjected to a dehydration and lyophilization step.

[0039] "Double-structured tissue implant" or "DSTI" means a tissue implant prepared according to a process of the invention wherein the primary scaffold is loaded with a basic solution thereby forming a composite that is subsequently subjected to precipitation, dehydration and lyophilization to obtain lyophilized composite that is subsequently treated with dehydrothermal (DHT) treatment to result in a stable double-structured tissue implant.

[0040] "Surfactant" means a non-ionic or ionic surfactant polymer. Suitable surfactants, such as PLURONIC®-type polymers or TRITON®-type polymers, are non-ionic co-polymer surfactants consisting of polyethylene and polypropylene oxide

blocks. TRITON®-type surfactants are commercially available derivatized polyethylene oxides, such as for example, polyethylene oxide p-(1,1,3,3-tetramethylbutyl)-phenyl ether, known under its trade name as TRITON®-X100. Other TRITON®-type surfactants that may be suitable for use in the instant invention are TRITON® X-15, TRITON® X-35, TRITON® X-45, TRITON® X-114 and TRITON® X-102. TRITON® surfactant are commercially available from, for example, Union Carbide, Inc. PLURONIC®-type surfactants are commercially available block co-polymers of polyoxyethylene (PEO) and polyoxypropylene (PPO) having the following generic organization of polymeric blocks: PEO-PPO-PEO (Pluronic) or PPO-PEO-PPO (Pluronic R). Exemplary PLURONIC®-type surfactants are PLURONIC® F68, PLURONIC® F127, PLURONIC® F108, PLURONIC® F98, PLURONIC® F88, PLURONIC® F87, PLURONIC® F77, PLURONIC® F68, PLURONIC® T7R8 and PLURONIC® 10R8.

- [0041] "The porosity" means a pore size defined by the diameter of holes within the primary scaffold as well as density of the pore distribution as a function of cross-sectional area in millimeters. Porosity is defined as a total volume of pores relative to the implant.
- [0042] "Substantially homogeneous" means at least 85-99% homogeneity. Preferable homogeneity is between 95% and 99%.
- [0043] "Substantially homogeneous porosity" means that a pore size and diameter is within pore size range of about 300±100 mm, preferably 300±50 mm, in diameter.
- [0044] "Wettability" means an ability to quickly absorb a fluid into the DSTI without changes in the size and shape of the implant.
- [0045] "Shrinkage" means a volumetric reduction in surface area in all dimensions of a double-structured tissue implant.
- [0046] "Swelling" means a volumetric increase of a surface area in all dimensions of a double-structured tissue implant.
- [0047] "Dissolution" means the act of a solid matter being solubilized by a solvent.
- [0048] "Rehydration" means the act of hydrating, wetting or rewetting a dehydrated composite, lyophilized composite, stand alone secondary scaffold or

double-structured tissue implant.

[0049] "Dehydrothermal treatment" means removing water at low pressure and at high temperature for cross-linking of polymers.

[0050] "Top surface" means an apical or synovial side of the matrix turned toward the joint.

[0051] "Bottom surface" means basal, closest to bone surface of the matrix.

[0052] "Chondrocytes" means the cells naturally residing in articular cartilage.

[0053] "S-GAG" means sulfated glycosaminoglycan.

### **DETAILED DESCRIPTION OF THE INVENTION**

[0054] The current invention is directed to a double-structured tissue implant suitable for implantation into a tissue lesion, to a process for preparation of said implant and to the method for use of said implant in a clinical setting for repair of tissue lesions. The double-structured implant has improved properties compared to a single structured implant and provides for variability in use.

[0055] The double-structured tissue implant (DSTI) comprises a primary collagen-based structure, hereinafter called a primary scaffold, and a secondary collagen-based structure, hereinafter called a secondary scaffold. The two scaffolds are structurally and functionally different. Each scaffold is prepared from a different collagen-based composition with the primary scaffold prepared first and the secondary scaffold prepared by introducing a different collagen-based composition within the primary scaffold thereby forming a composite structure comprising a primary scaffold incorporated with a composition (basic solution) for preparation of said secondary scaffold. The composite structure is then subjected to a lyophilization and dehydrothermal treatment process according to the invention.

[0056] The resulting double-structured implant thus contains a structurally different secondary scaffold incorporated into and localized within pores of the primary scaffold.

[0057] The actual photograph of a double-structured tissue implant is seen in Figure 1 in two manifestations.

[0058] Figure 1A shows a close-up view of a DSTI, as delivered to a surgeon in ready form for implantation. Before implanting, the surgeon cuts the piece of the implant to size and shape corresponding to the size and shape of the defect, places

the precut section into the defect and rehydrates the implant with sterile phosphate buffered saline or another physiologically acceptable solution. The precut implant is suitable for placement within a full thickness defect of a tissue, and particularly articular cartilage. Once in place, the double-structured scaffold can be held in place by suture or biologically acceptable adhesive.

[0059] Figure 1B shows the double-structured tissue implant as a dry sterile product enclosed in a shipping container within sterile packaging. The packaged product is available as an off-the-shelf DSTI for implantation in clinical settings.

### **Double-Structured Tissue Implant**

[0060] A double-structured tissue implant (DSTI) comprises two separately prepared components, namely the primary scaffold that provides a structural support for the secondary scaffold incorporated within the primary scaffold.

### The Primary Scaffold

[0061] The primary scaffold is a collagen-based matrix prepared as a honeycomb, lattice, sponge or any other similar structure made of a biocompatible and/or biodegradable collagen containing material of defined density and porosity that is pliable, storageable and, most importantly, highly porous.

[0062] Typically, the primary scaffold is prepared from collagen, collagen-containing composition or collagen containing a polymer. Representative compounds suitable for preparation of the primary scaffold are a Type I, Type II, Type III, Type IV, Type V, Type VII and Type XI collagen, collagen containing agarose, collagen containing hyaluronan, collagen containing proteoglycan, collagen containing glycosaminoglycan, collagen containing glycoprotein, collagen containing glucosamine, collagen containing galactosamine, collagen containing fibronectin, collagen containing laminin, collagen containing a bioactive peptide growth factor, collagen containing cytokine, collagen containing elastin, collagen containing fibrin, collagen containing polylactic, collagen containing polyglycolic, collagen containing polyamino acid, collagen containing polycaprolactone, collagen containing polypeptide, gelatin, each alone or in combination.

[0063] Additionally, the primary scaffold may be prepared from the collagen precursors, such as, for example, peptide monomers, such as alpha 1(type I), and alpha 2 (type I) collagen peptide or alpha 1 (type I) alpha 2 (type I) peptides, alone

or in combination, or from a combination of precursors, such as 2 (alpha 1, type I) peptide and 1 (alpha 2, type I) peptide.

[0064] The collagen containing material used for preparation of the primary scaffold may further be supplemented with other compounds, such as pharmaceutically acceptable excipients, surfactants, buffers, additives and other biocompatible components.

[0065] Preferably, the primary scaffold of the invention is prepared from collagen and most preferably from Type I collagen or from a composition containing Type I collagen.

[0066] In one embodiment, the primary scaffold is a structure containing a plurality of narrowly defined randomly or non-randomly organized pores having a substantially homogeneous narrowly defined size and diameter that are uniformly distributed through the scaffold, dividing the scaffold space into columns or pore network.

[0067] In another embodiment, the primary scaffold may be the Type I collagen-based support matrix that is a collagen-based porous honeycomb, sponge, lattice, sponge-like structure or honeycomb-like lattice of defined porosity having randomly or non-randomly organized pores of variable pore diameters such as described in U.S. patent 7,217,294 issued on May 15, 2007.

[0068] In yet another embodiment the primary scaffold is a honeycomb collagen matrix developed by Koken Company, Ltd., Tokyo, Japan, under the trade name Honeycomb Sponge, described in the Japanese patent JP3170693.

[0069] The primary scaffold according to the invention has, preferably, a substantially defined pore size in diameter and pore density in randomly or non-randomly organized manner that creates an apical (top) or basal (bottom) surface to the implant where the sizes and diameters of the pores on both the apical or basal surface are substantially the same. When used as a primary scaffold only, the scaffold provides conditions for a sterically-enhanced enablement of cells. Chondrocytes, for example, produce an extracellular matrix comprising glycosaminoglycan and Type II collagen within said implant in ratios characteristic for a normal healthy articular cartilage.

[0070] A microphotograph of the primary scaffold is shown in Figure 2. Figure 2 is a representation of one embodiment of the primary scaffold that is obtained and used as the structural foundation for preparation of the double-structured tissue implant. As seen in Figure 2, the primary scaffold has a porous honeycomb structure of relatively uniform pore size and equal distribution.

[0071] A secondary scaffold structure is generated within the pores of the primary scaffold. To that end, the primary scaffold is loaded with a composition suitable for preparation of the secondary scaffold (basic solution). Such composition comprises a soluble collagen, collagen-containing or collagen-like mixture, typically of Type I collagen, in combination with a non-ionic surfactant. The primary scaffold loaded with the composition for formation of the secondary scaffold is shown in Figure 3.

[0072] Figure 3 is a microphotographic representation of the primary scaffold that has been loaded with the solubilized collagen/surfactant composition that forms a basis for formation of the secondary scaffold. Such composition is prepared as a solution, suspension or as an aqueous gel at a dilute acidic pH and is further neutralized to pH 7.4 (basic solution). The basic solution is then applied to or is loaded into the primary scaffold such that it evenly fills the porous structure of the primary scaffold.

### B. The Secondary Scaffold

[0073] The secondary scaffold is created or generated within the pores of the primary scaffold. The secondary scaffold is a qualitatively different structure formed within the confines of the first scaffold.

[0074] The secondary scaffold is generated by a process comprising preparing a soluble collagen-based composition as described below, further comprising a suitable non-ionic or ionic surfactant (basic solution).

[0075] The secondary scaffold comprises a collagen, methylated collagen, gelatin or methylated gelatin, collagen-containing and collagen-like mixtures, said collagen being typically of Type I or Type II, each alone, in admixture, or in combination and further in combination with a surfactant, preferably a non-ionic surfactant. The suitable surfactant is preferably a polymeric compound such as a PLURONIC®-type polymer.

[0076] Additionally, the secondary scaffold may be used independently of the primary scaffold as said secondary scaffold stand alone implant or unit where the basic solution can be introduced into a mold or container and subjected to precipitation, lyophilization and dehydrothermal treatment.

[0077] In preparation of the DSTI, said composition suitable for generation of the secondary scaffold within the primary scaffold is then brought into contact with a primary scaffold structure by absorbing, wicking, soaking or by using a pressure, vacuum, pumping or electrophoresis, or any other process or method suitable for such purpose, to introduce said composition for the secondary scaffold into the pores of the primary scaffold. In alternative, the primary scaffold may be immersed into the composition for the secondary scaffold.

### C. <u>Double-Structured Tissue Implant</u>

[0078] The double structured tissue implant (DSTI) is prepared by treating the primary scaffold loaded with a combination of the soluble collagen and non-ionic surfactant and processed according to the process for preparation of the DSTI described below in Scheme 1.

[0079] Briefly, the primary scaffold is loaded with the collagen/surfactant combination, precipitated or gelled, washed, dried, lyophilized and dehydrothermally treated to solidify and stabilize the secondary scaffold within the pores of the primary scaffold.

[0080] A rehydrated double-structured tissue implant is seen in Figure 4, where the primary scaffold pores are seen as delineating black lines. The pores are filled with the secondary scaffold.

[0081] Figure 4A and Figure 4B show the rehydrated and dry forms of the double-structured tissue implant, where the secondary scaffold is observed from the fibrous-like diffraction pattern present within the pores of the primary scaffold. The diffraction pattern occurs due to the polymerization of the collagen within the pores. The collagen fibers interdigitate within the pores and among the pores.

[0082] The double-structured tissue implant can be seeded with cells, loaded with pharmaceutical agents, drugs or growth modulators. Additionally and preferably, the two of its distinct components, namely the primary scaffold and the secondary scaffold, can each be independently loaded with living cells, cell suspensions, with pharmaceutically effective agents or with growth modulators. These may be loaded

into the implant individually or in any possible combination, such as, for example, where the cells may be introduced into one component and the drug into the second component, or the drug into one component and the modulator into the second component and/or any variation thereof.

15

[0083] The DSTI loaded with chondrocytes is shown in Figure 5 wherein the cells are attached to the secondary scaffold.

[0084] Figure 5 is a microphotograph of the dehydrothermally treated double-structured tissue implant that has undergone rehydration and has been treated with chondrocyte deposition and maintained in culture over a period of several days. Figure 5 shows cells adherent to the fibrous secondary scaffold, as well as being present as aggregates within the pores.

### D. The Drug Containing Double-Structured Tissue Implant

[0085] The double-structured implant of the invention provides for a variability of uses. One embodiment of the use is the double-structured tissue implant containing the pharmaceutical agent, drug, growth modulator, growth hormone, mediator, enzyme promoting cell incorporation, cell proliferation or cell division, pharmaceutically acceptable excipient, additive, buffer, etc.

[0086] The drug may be introduced separately into the primary scaffold, into the secondary scaffold or be added at a time of rehydration to a composition for the secondary scaffold before its processing or it may be introduced during surgery.

[0087] The pharmaceutical agents, drugs or modulators are selected from the group consisting of:

[0088] growth and morphogenic factors, such as, for example, transforming growth factor, insulin-like growth factor 1, platelet-derived growth factor and bone morphogenetic proteins (bmps);

[0089] cytokines, such as, for example, interleukins, chemokines, macrophage chemoattractant factors, cytokine-induced neutrophil chemoattractants (gro-1), integral membrane proteins such as integrins and growth factor receptors;

[0090] membrane associated factors that promote growth and morphogenesis, such as, for example, repulsive guidance molecules (rgm);

[0091] cell attachment or adhesion proteins, such as, for example, fibronectin and chondronectin;

[0092] hormones, such as, for example, growth hormone, insulin and thyroxine;

[0093] pericellular matrix molecules, such as perlecan, syndecan, small leucinerich proteoglycans and fibromodulin;

[0094] nutrients, such as, for example, glucose and glucosamine;

[0095] nucleic acids, such as, for example, RNA and DNA;

[0096] anti-neoplastic agents, such as, for example, methotrexate and aminopterin;

[0097] vitamins, such as, for example, ascorbate and retinoic acid;

[0098] anti-inflammatory agents, such as, for example, naproxen sodium, salicylic acid, diclofenac and ibuprofen;

[0099] enzymes, such as, for example, phosphorylase, sulfatase and kinase; and

[00100] metabolic inhibitors, such as, for example, RNAi, cycloheximide and steroids.

[00101] These, and other similar compounds and/or compounds belonging to the above-identified groups may be added individually or in combination to a primary scaffold, to a secondary scaffold, to a composition (basic solution) for formation of the secondary scaffold or to the lyophilized composite or DSTI before, during or after implantation.

[00102] Addition of agents such as growth factors, cytokines and chemokines will increase cell migration, cell growth, will maintain or promote appropriate cell phenotype and will stimulate extracellular matrix synthesis. Loading the scaffold with anti-inflammatory agents or other drugs can provide a local site-specific delivery system.

[00103] The range of concentration of the added drug or compound depends on the drug or compound and its function and it extends from picograms to milligrams.

### E. Secondary Scaffold as a Stand Alone Implant

[00104] In one embodiment, the secondary scaffold may be generated as a stand alone structure. In this regard, a composition comprising a soluble collagen, methylated collagen, gelatin or methylated gelatin in an acidic solution further comprising a non-ionic surfactant is subjected to neutralization, precipitation, dehydration, lyophilization and dehydrothermal treatment under conditions as described in the Scheme 1.

[00105] As described for the double structured tissue implant, the secondary

WO 2009/005596

scaffold as a stand alone implant may be similarly seeded with cells and may optionally contain a pharmaceutical agent, growth modulator or another compound, as described above.

[00106] The process for preparation of the stand alone secondary scaffold is modified to the extent that the composition for preparation of the secondary scaffold (basic solution) is placed into a container or a form suitable to permit gelling, precipitation, dehydration, lyophilization and dehydrothermal treatment.

[00107] The stand alone secondary scaffold is used for implantation in the same manner as described for double-structured tissue implant. The stand alone secondary scaffold is useful in the healing of tears in cartilage or skeletal tissues, such as, for example, the meniscus where it can be charged and/or supplemented with any of the tissue factors and cells, such as, for example, meniscal fibroblasts.

[00108] The stand alone secondary scaffold can be used in a similar fashion for bone, tendon and ligament repair.

[00109] Components and conditions suitable for preparation of the secondary scaffold stand alone structure and evaluation of its performance using cell viability are seen in Table 1.

Table 1

Collagen conc. (mg/ml)	Pluronic F127 conc. (mg/ml)	DHT, 6h at 140°C	Rehydration time (s)	Dissolution stability in PBS at 37°C	Cell viability (%)				
Precipitated or gelled using ammonia vapor									
3	0.25	Y	12	Stable	-				
3	1	Y	13	Stable	-				
3	1	. Y	<10	Stable	97				
3	1	N	<10	Dissolved	-				
3	3	Y	<10	Stable	98				
3	3	N	<10	Dissolved	-				
2.9	0.29	Y	6	Stable	-				
2.9	0.29	N	3	Dissolved	-				
2	0.165	Y	7	Stable	-				
2	0.33	Y	3	Stable	-				
2	0.67	Y	2	Stable	-				
2	0.25	Y	15	Stable	-				
2	0.5	Y	7	Stable	-				
2	0.1	Y	4	Stable	-				
2	2	Y	<10	Stable	99				
2	2	N	<10	Dissolved	-				
1.5	0.15	Y	<10	Dissolved	-				
1.5	0.15	N	16	Dissolved	-				

WO 2009/005596

Precipitated or gelled using NaOH								
2.3	0.05	Y	23	Stable	-			
2.3	0.1	Y	19	Stable	-			
2.3	0.23	Y	15	Stable	-			
2.4	0.24	Y	5	Stable	-			

[00110] Table 1 summarizes experimental conditions used for determination of optimization of conditions for preparation of a secondary scaffold. The conditions tested and evaluated were a collagen concentration, surfactant concentration, temperature and time for dehydrothermal treatment (DHT), rehydration time, stability of the DSTI determined by dissolution of the secondary scaffold in phosphate buffer saline at 37°C, and cell viability.

[00111] The secondary scaffold was precipitated in the presence of ammonia vapor or ammonia aqueous solution, or in the presence of 0.1 M NaOH.

[00112] Results seen in Table 1 show the effectiveness of dehydrothermal treatment for preparation of a secondary scaffold, in terms of achieving the stability of the secondary scaffold, its fast rehydration and assuring cell viability within the secondary scaffold.

[00113] As seen from the results summarized in Table 1, following ammonia precipitation of the collagen, dissolution stability was not observed in the absence of dehydrothermal treatment in spite of varying collagen and surfactant concentrations. However, in instances where stability was achieved, there was excellent cell loading and viability at collagen concentrations greater than 1-5 mg/ml and at surfactant concentrations of 1, 2 and 3 mg/ml.

[00114] In an alternate approach, the precipitation of collagen by neutralization with NaOH, in the presence of PLURONIC® and subject to DHT, detected a rapidly rehydrating and stable secondary scaffold.

[00115] These results clearly show that the properties of the secondary scaffold alone or the secondary scaffold incorporated into the DSTI may be conveniently optimized to achieve fast rehydration time, dissolution stability and excellent cell loading and cell viability up to 99% within the secondary scaffold.

### F. Surfactants

[00116] Improved properties of the DSTI, such as its rapid wettability and

resistance to shrinkage, swelling and dissolution, are due to a presence of a secondary scaffold as a distinct functional entity.

[00117] The secondary scaffold prepared according to the process of the invention requires, as an essential part, a presence of a surfactant, preferably a non-ionic or, in some instances, even an ionic surfactant. The surfactant, preferably the non-ionic surfactant of type such as TRITON® or PLURONIC®, preferably PLURONIC® F127, is an essential component of a composition used for preparation of the secondary scaffold, or micellar substrate bound to the implant. The presence of the surfactant improves stability and particularly wettability and rehydration properties of the implant without causing its shrinkage or swelling.

[00118] Suitable surfactants, such as PLURONIC®-type polymers or TRITON®-type polymers, are non-ionic co-polymer surfactants consisting of polyethylene and polypropylene oxide blocks.

[00119] TRITON®-type surfactants are commercially available derivatized polyethylene oxides, such as for example, polyethylene oxide p-(1,1,3,3-tetramethylbutyl)-phenyl ether, known under its trade name as TRITON®-X100. Other TRITON®-type surfactants that may be suitable for use in the instant invention are TRITON® X-15, TRITON® X-35, TRITON® X-45, TRITON® X-114 and TRITON® X-102. TRITON® surfactants are commercially available from, for example, Union Carbide, Inc.

[00120] PLURONIC®-type surfactants are commercially available block copolymers of polyoxyethylene (PEO) and polyoxypropylene (PPO) having the following generic organization of polymeric blocks: PEO-PPO-PEO (PLURONIC) or PPO-PEO-PPO (PLURONIC R). Exemplary PLURONIC®-type surfactants are PLURONIC® F68, PLURONIC® F127, PLURONIC® F108, PLURONIC® F98, PLURONIC® F88, PLURONIC® F87, PLURONIC® F77, PLURONIC® F68, PLURONIC® 17R8 and PLURONIC® 10R8.

[00121] The most preferred non-ionic surfactant of PLURONIC®-type suitable for use in the invention is a block co-polymer of polyoxyethylene (PEO) and polyoxypropylene (PPO) with two 96-unit hydrophilic PEO blocks surrounding one 69-unit hydrophobic PPO block, known under its trade name as PLURONIC® F127. PLURONIC® surfactants are commercially available from BASF Corp.

### E. Properties of the Double-Structured Tissue Implant

[00122] The DSTI of the invention has distinctly improved properties when compared to the primary scaffold alone, to the secondary scaffold alone or to a composite loaded with a composition for preparation of the secondary scaffold (Composite), unprocessed, or to the composite that has been dehydrated and lyophilized (Lyophilized Composite).

[00123] Typically, a tissue implant is implanted into a tissue defect during a surgery. Also typically, such surgery has a time-limit on implantation that has preferably about one hour window when the implant is placed into the defect. For these reasons, it is important that a specification for an implantable double-structured tissue implant provides stability, resistance to change in shape, size and shrinkage or swelling, resistance to dissolution, consistency with respect to pore size permitting an ingrowth of cells into the implant and conditions for formation of extracellular matrix within the implant. The DSTI appears to have all the above properties.

[00124] Furthermore, a presence of the secondary scaffold improves the function of the DSTI by providing a multitude of small membranous substrates which can provide cell anchorage and phenotype stability while preserving the through porosity of the overall implant, thereby allowing nutrients and growth factors and migratory cells to permeate the implant.

### A. Stability of the Double-Structured Tissue Implant

[00125] From the point of view of the implantability, stability of the implant is one of the major requirements. The implant stability depends on several factors. There must be minimal initial dissolution of collagen from the implant into the physiological fluids and there must be minimal or preferably no change in size and shape of the implant following rehydration or wetting before, during or after surgery prior to biodegradation *in situ*.

### 1. Collagen Retention and Resistance to Dissolution

[00126] One of the most important requirements for the implant is its resistance to dissolution of its components upon wetting and rehydration of said implant during implantation, during preparation of the implant for implantation, and subsequently also after implantation, at least for initial critical period of time, typically the first hour after implantation. A minimal dissolution and release of the collagen

component from the implant before placement of the implant into the tissue defect, during the surgery and immediately after surgery ensures continued functionality of the implant following its implantation into the tissue defect. Minimal dissolution and release of collagen from the implant means the high retention of the collagen within the implant.

[00127] Figure 6 demonstrates the structural stability of the collagen network present in the double-structured tissue implant as a function of time following rehydration of the implant with an aqueous phosphate buffered saline solution by comparing collagen retention, in percent, within DSTI, to collagen retention within an unprocessed composite (Composite) comprising a primary scaffold loaded with a composition for a secondary scaffold (basic solution) but not yet lyophilized or dehydrothermally processed.

[00128] Results seen in Figure 6 demonstrate the structural stability of the collagen network present in the double-structured tissue implant as a function of time in an aqueous buffered saline solution. The data demonstrate that during the first day following the rehydration, there is very little dissolution of collagen from the DSTIs (D#1-3) and that the retention of collagen is almost 100% during the same initial first critical hour. On the other hand, the dissolution from the Composite (-x-) in the initial hour is much higher and the collagen retention drops immediately to approximately 96 % during that same critical first hour although it stabilizes later on. Figure 6 thus clearly demonstrates stability of the DSTI.

[00129] In order to determine the stability of the implant subjected to transport and handling, another study was performed with and without agitation and the dissolution of collagen from of DSTI under these conditions was compared to the dissolution of collagen from the non-lyophilized composite (Composite). Results are not shown.

[00130] These studies confirmed that even with agitation, there is a relatively small change in the accumulated release of protein into the solution over a period of eight days but particularly during the first hour following the rehydration.

### 2. Resistance to Change in Size and Shape

[00131] Another important feature of the DSTI is its resistance to change in size and shape. This feature is very important for implant efficacy as any change in the size and shape by shrinking or swelling can negatively effect the outcome of the implantation surgery. An implant that would get smaller by shrinking will not fill the

defect, will not provide a structural support for migration of cells from the surrounding tissue or cell integration into the surrounding tissue and may also be dislodged from the defect. Swelling of the implant could, on the other hand, cause the implant to swell within the defect, decrease the structural support for cells and be rejected or ejected from the defect because of its larger size.

[00132] The resistance to change in shape and size means that for implantation into a defect of discernable size, the functional construct must not swell or shrink extensively upon rehydration during time of preparation before surgery or after placement of the implant into the defect and also that the construct must retain its shape during and following surgical handling.

[00133] Figure 7 presents the percent change in surface area of DSTI, rehydrated with and maintained in culture for more than 24 hours in an aqueous phosphate buffered saline. The results seen in Figure 7 show that there is very little change in size and shape of the DSTI during the critical first hour. In three lots of double-structured tissue implants subjected to the dehydrothermal treatment there was approximately a 2-5% change in surface area within the first hour and such change was maintained within these parameters for more than 24 hours following the rehydration.

### 3. Cell Viability

[00134] Another important feature of the tissue implant is to provide support and conditions for cell migration from surrounding tissue or for the cell integration into surrounding tissue in the case when the cells are seeded into the DSTI before implanting. This feature is determined by cell viability within the DSTI and provides another criteria for determining functionality and usefulness of the DSTI.

[00135] In order for an implant to be functionally viable, the implant must provide a structural support for cells as well as provide or permit conditions to be provided for cell seeding into the implant, cell growth within the implant and/or cell migration into or from the surrounding tissue.

[00136] Conditions for cell seeding, their growth within the implant, their nutritional and metabolic needs are designed based on the type of cells that the implant is supposed to deliver and support. For example, if the implant is designed for repair of a skin defect, the cells and their requirement will be different than if the implant is designed for repair of a chondral or bone lesion. Conditions for structural

support and conditions for promotion of cell growth, their migration and/or integration into the surrounding tissue will be adjusted based on the tissue where the DSTI will be implanted and the function the implant will assume in repair of the tissue defect.

[00137] While the DSTI of the invention is preferably suitable for use in treatment and repair of chondral, subchondral or bone lesions, the DSTI, as such, is suitable to be used for repair of any other tissue or tissue defect.

[00138] To determine the cell survival within the DSTI, studies were performed to determine the cell viability by determining their survival and growth within the DSTI. Cell viability was determined for three lots of DSTI that had been seeded with chondrocytes after 1 day and 21 days in culture. Results are seen in Figure 8.

[00139] Figure 8 shows production of sulfated glycosaminoglycan and DNA (S-GAG/DNA) by chondrocytes seeded in double-structured tissue implant (DSTI) and in a composite (Composite) comprising a primary scaffold loaded with a composition for a secondary scaffold before lyophilization and dehydrothermal processing, after 14 days in culture.

[00140] Results seen in Figure 8 demonstrate that inclusion of the secondary scaffold within DSTI supports the growth of cells and deposition of extracellular matrix measured here as production of sulfated glycosaminoglycan. A comparison between the double-structured tissue implant and the Composite showed comparable results with little evidence of significant stearic hindrance due to the added structural components. All samples had 96-100% viability at both time points indicating no cell toxicity. Furthermore, as with the DNA measurements, the total cell number increased over time which shows that the cells were retained in the DSTI, and proliferated to fill the pores.

[00141] The successful implant, such as, for example, DSTI implanted into the cartilage lesion, must provide conditions allowing cells to form and generate the new extracellular matrix. In this regard, the implant porous structure must allow cells to migrate, be attached or aggregate into and within the pores and function similarly to their normal function in the healthy tissue.

[00142] Consequently, the pore size of the implant and the consistency with respect to pore size for the ingrowth of cells is important both for cell adhesion, extracellular matrix production and cell to cell contact and communication.

Depending on the tissue to be repaired, the pore size of the primary and/or secondary scaffold will vary. For example, cartilage scaffolds would have an optimal pore size of approximately 200 mm and bone scaffold would have a pore size in the range of 300 to 350 mm.

[00143] A significant advantage of having a double-structured tissue scaffold arises from the increase in mechanical integrity relative to a primary porous collagenous material because the polymerization and lyophilization creates fiber-like structure between the primary and secondary scaffold that serves as a reinforcing network for cells.

[00144] In addition, due to inclusion of the secondary scaffold there is an increase in overall surface area within the DSTI that permits cells spreading and migration throughout the interstices of the DSTI. At the same time, the secondary scaffold must be designed such that it is not of such high density that it becomes a blocking agent that acts as a stearic hindrance for cell ingrowth and tissue repair.

[00145] As shown in Figures 4A, 4B and 5, double-structured tissue implant of the invention provides optimal conditions for viability and growth of cells.

# E. <u>Process for Preparation and Use of the Double- Structured</u> Tissue Implant

[00146] The secondary scaffold is generated within confines of the primary scaffold by a process comprising several stages and steps as set forth in Scheme 1. The process stages comprise pre-loading, loading, polymerization, treatment of composite double-structured scaffold, dehydrothermal treatment, packaging and, ultimately, its surgical delivery.

### SCHEME 1

### Process for Production and Use of a Double-Structured Implant

### Stage 1 - Pre-Loading

[00147] The pre-loading stage is a preparatory stage where the primary scaffold is either obtained from commercial sources or is prepared according to the procedure described in Example 1.

#### Step 1

[00148] Step 1 comprises obtaining or preparing a primary scaffold, typically a collagen containing honeycomb, sponge or lattice providing a structural support for

incorporation of the secondary scaffold.

[00149] In one embodiment, a bovine Type I collagen matrix with honeycomb (HC) like structure is obtained, for example, from Koken, Inc. (Japan) or from other commercial sources and used as primary scaffold. However, such commercially available honeycomb matrices have typically randomly distributed pores of irregular shape and size. The pores of these structures are not always vertically positioned.

[00150] In another embodiment, and preferably, a primary honeycomb scaffold is produced according to a process described in Example 1, wherein said primary scaffold has randomly or non-randomly substantially vertically oriented pores of substantially the same size and shape.

[00151] The shape and size of the primary scaffold determines a size of the double-structured tissue implant (DSTI) ultimately delivered to the surgeon for implantation into the tissue defect.

[00152] Typically, the DSTI has a rectangular, circular or oval shape with dimensions of about 50 mm and a vertical thickness of about 1 to 5 mm, preferably between 1 and 2 mm. Preferred dimensions of the DSTI and, therefore, the dimensions of the primary scaffold are 50x50 mm x 1.5 mm, with pores oriented substantially vertically, said pores having a pore size of from about 100 to about 400 mm, preferably about 200± 100 mm and pore length of 1.5 mm. However, dimensions of the primary scaffold may be any that are required by the tissue defect to be repaired and that can be prepared by the process of the invention.

### Step 2

[00153] Step 2 comprises preparing a composition for preparation of a secondary scaffold (basic solution) and comprises neutralization of a soluble collagen solution having an initial acidic pH of about pH 1.5-4, preferably between about pH 1.9-2.2, a collagen concentration from about 0.5 to about 10 mg/ml of collagen, preferably about 2.9 to about 3.2 mg/ml, a surfactant concentration from about 0.05 to about 10 mg/ml, preferably about 0.29 to about 0.32 mg/ml and osmolality from about 20 to about 400 mOsm/kg, preferably about 28 to about 32 mOsm/kg. The soluble collagen solution is then neutralized with any suitable base and/or buffer to pH in a range from about pH 7.3 to about pH 7.7 to derive the basic solution. Preferably, the solution is neutralized by adjusting pH to neutrality 7.4 using a collagen/surfactant,

10 Dulbecco phosphate buffered saline (DPBS) and 0.1 M NaOH in 8:1:1 ratio or using an aqueous solution or ammonia vapor in concentration sufficient to neutralize acid within the collagen solution. The final osmolality and pH of the basic solution is about 290 mOsm/kg and pH 7.4, respectively.

26

[00154] The suitable buffers for solubilization of the Type I collagen are, for example, a formic acid containing buffer, acetic acid containing buffer, or a diluted hydrochloric acid containing buffer.

[00155] Neutralization is typically carried out using ammonia aqueous solution or a vapor ammonia, or in concentration sufficient to neutralize the acidic pH over about 30 minutes to about 24 hour period. This factor has also been found to affect the collagen polymerization and formation of pores having homogeneous pore size.

### Stage 2 - Loading and Precipitation

[00156] The primary scaffold is loaded with a basic solution for the secondary scaffold comprising soluble collagen solution containing a surfactant. This basic solution is subsequently precipitated within pores of the primary scaffold.

[00157] Loading the primary scaffold with the basic solution for the secondary scaffold is performed using any suitable method. Soaking, wicking, submerging the primary scaffold in the solution, pressure, pumping, electrophoresis and any other suitable means. Once the basic solution for the secondary scaffold is introduced into the primary scaffold, a composite of both is subjected to a process or treatment that results in formation of the secondary scaffold inside pores of the primary scaffold.

### Step 3

[00158] The neutralized basic solution of step 2 is loaded into the primary scaffold by placing from about 3.75 to about 7.5 ml (approximately 1 to 2 x volume), preferably a volume about 4.9 ml (approx. 1.3 x volume of the primary scaffold) of the secondary scaffold basic solution on the bottom of a dish and then placing the primary scaffold in this solution and allowing such solution to be soaked up.

## Stage 3 - Polymerization of the Soluble Collagen within a Primary Scaffold into a Secondary Scaffold

[00159] The primary scaffold loaded with the neutralized basic solution comprising the soluble collagen and the surfactant is then subjected to conditions resulting in precipitation of the neutralized basic solution within the pores of the primary scaffold thereby generating a structurally distinct secondary scaffold.

[00160] Typically, and allowing for variability of the basic solution or composition used for generating of the secondary scaffold, the composition introduced into the pores of the primary scaffold is gelled or precipitated within said primary scaffold and may also be cross-linked using chemicals such as glutaraldehyde or another multifunctional aldehyde, where the aldehyde reacts with amino groups of the collagen yielding a Schiff base, which can be stabilized by a reduction reaction; carbodiimide reagent, such as carbodiimide 1-ethyl-3-(3-dimethyl aminopropyl)-carbodiimide (EDC) with or without N-hydroxy-succinimide (NHS) where the NHS is used to suppress side reactions. Additionally, EDC and NHS can be used in combination with diamine or diacid compounds to introduce extended cross-links; acyl azide where the acid are activated and subsequently reacted with an adjacent amine group; epoxy compounds such as 1.4-butanediol diglycidyl ether, or cyanamide.

[00161] In addition, irradiation such as short wave length UV irradiation (254 nm) can introduce cross-links in the collagen.

### Step 4

[00162] The primary scaffold loaded with the neutralized collagen/surfactant solution in a range from about 1 to about 2 volumes of the primary scaffold is then placed in an incubator at a temperature from about 25°C to about 38°C, preferably to about 37°C temperature, typically for from about 10 minutes to about 18 hours, more typically for about 20 to about 100 minutes, preferably for about 40 to 60 minutes, and most preferably for a time when the precipitation of the neutralized collagen solution into a solid secondary scaffold occurs.

#### Step 5

[00163] In order to assure that the vast majority of the salt of the precipitated collagen solution within the pores of the primary scaffold is removed, a composite consisting of the primary scaffold having the secondary scaffold precipitated within is subjected to a washing step whereby the majority of the salts are removed.

[00164] The composite (Composite) comprising the primary scaffold and the secondary scaffold precipitated within, is washed by placing said composite in a volume of from about 20 ml to about 10 liters, preferably about 500 ml, of de-ionized water further containing a non-ionic surfactant. The surfactant is typically present

in concentration from about 0.05 to about 1.0 mg/ml, preferably about 0.23 mg/ml. Most preferred surfactant is PLURONIC® F127.

[00165] Typically, the washing step takes approximately 30 minutes. There may be one or several washing step repetitions. All excess non-precipitated collagen is removed during the extraction from the composite into the washing solution.

[00166] Polymerizing of the collagen present in the secondary scaffold solution loaded within the primary scaffold pores results in formation of a solid double-structured composite, as defined above, comprising the primary scaffold and the secondary scaffold precipitated therewithin.

[00167] Following the precipitation or gelling and washing, the composite is subjected to lyophilization and dehydrothermal treatment.

### Stage 4 - Dehydration of Composite Double-Structured Scaffold

[00168] The solid double-structured composite is then dehydrated using any method suitable for such dehydration. Typically, such dehydration will be freezedrying or lyophilization. Freezing is typically carried out at temperature from about -10°C to about -210°C, preferably from about -80°C, over a period of about 2 to about 60 minutes. Water removal (drying) is achieved by exposure to an anhydrous atmosphere from -80°C to about 0°C preferably at -40°C for about 24 to about 48 hours. The freeze-dried composite is then lyophilized forming the Lyophilized Composite.

[00169] The gradual nature of the polymerization and slow process of water removal typically maintains the architectural elements of the secondary scaffold and achieves the proper orientation and diameter of the pores.

#### Step 6

[00170] Dehydration is achieved with freezing the solid double-structured composite by placing it on the metal shelf of a freezer and adjusting the temperature to from about -10°C to about -210°C, preferably to about -60°C to about -90°C, and most preferably for about -80°C, for about 2 to about 60 minutes, preferably for about 20-45 minutes and most preferably for about 30 minutes.

WO 2009/005596

PCT/US2008/007610

### Step 7

[00171] The frozen and dehydrated solid double-structured composite is then subjected to lyophilization. The frozen dehydrated composite is removed from the freezer and placed into a pre-cooled lyophilization chamber. Lyophilization typically occurs in about 15-21 hours, depending on the size and shape of the composite but is typically and preferably completed in about 18 hours.

### Stage 5 - Dehydrothermal Treatment

[00172] To further stabilize the composite and to achieve necessary stability, resistance to dissolution and sterility of the final product, the solid double-structured composite is subjected to dehydrothermal (DHT) treatment. DHT treatment achieves cross-linking of the collagen with the surfactant and at higher temperatures also sterilizes the DSTI.

[00173] Cross-linking step prevents dissolution of the secondary scaffold upon rehydration before or after implantation.

### Step 8

[00174] This step is performed to sterilize and cross-link the double structured tissue implant.

[00175] The lyophilized double-structured composite is placed into a dry glass chamber or container and covered with the glass, aluminum foil or another suitable material resistant to higher temperatures. The container with the lyophilized double-structured composite is placed into the pre-heated dehydrothermal oven and subjected to a temperature in a range from about 70°C to about 200°C, preferably from about 130°C to about 150°C, and most preferably about 135°C, under vacuum, for about 30 minutes to about 7 days, preferably for about 5-7 hours and most preferably for about 6 hours.

[00176] Such treatment stabilizes the composite, makes it resistant to collagen dissolution upon wetting, provides for rapid wetting and assures none or minimal shrinkage or swelling upon wetting with a physiological solution or buffer, and sterilizes the double-structured tissue implant.

### Stage 6 - Packaging and Storage

[00177] The double-structured tissue implant fabricated by the process described above is then ready for a sterile packaging and storage. In this form, the DSTI has

a long shelf-life up to two years.

### Step 9

[00178] The double structured tissue implant is removed from the dehydrothermal oven and transferred aseptically into sterile environment, such as a Bio Safety Cabinet (BSC), where it is packaged under conditions assuring sterility. The double-structured tissue implant is then ready to be stored at room temperature until its use.

### Stage 7 - Delivery by Implantation

[00179] Packaged double-structured tissue implant is delivered or made available to a surgeon for implantation into a tissue defect.

### Step 10

[00180] During surgery, surgeon determines an extent of the defect or lesion to be repaired, opens the packaged product, cuts the DSTI to size of the defects and places the cut-to-size piece into said defect. Typically, the implant is placed into the defect in a dry form and a suitable physiologically acceptable solution is then added to wet the implant *in situ*. In alternative, the implant may be wetted before the implantation and then placed into the defect.

[00181] Since the implant is very stable, and does not change its size or shape significantly by shrinking or swelling, the implant fits tightly into the defect or lesion. To assure that the implant stays within the defect or lesion, such defect or lesion may optionally be coated with a suitable tissue adhesive, sealant or glue that keeps the implant in place. However, such step is not mandatory. In alternative, the defect or lesion may be pre-treated with microfracture where the tissue underlying the lesion or defect is microfractured with microchannels to permit the blood and nutrient supply into the lesion or defect. In such instance, again, the defect or lesion but not the microfracture, may optionally be coated with the adhesive, glue or sealant before the implant placement. In both instances, the implant placed into the lesion or defect may be covered with another layer of the adhesive, sealant or glue.

[00182] Implantation of the DSTI into the tissue defect or lesion may thus include placement of DSTI into the defect or lesion alone without any glue, adhesive or sealant, it may include depositing such glue into the lesion before placement of the DSTI or, preferably, it includes placement of the DSTI into the defect or lesion and depositing a layer of the glue, adhesive or sealant over the DSTI. In some instances

it may include depositing the layer of glue, adhesive or sealant before DSTI implantation and also a layer of glue, adhesive or sealant over the deposited DSTI. [00183] In some instances, cells, drugs or modulators may be loaded into the DSTI or attached to the second scaffold before implantation and wetting, during wetting following the implantation, or independently provided after the implantation.

[00184] Results obtained for three separate lots containing three rehydrated DSTIs per each lot, are seen in Table 2. The DSTI is rehydrated by placing a droplet of phosphate buffer saline (1.5 x volume of PBS), on top of the DSTI and the rehydration time is measured as the time it takes for the DSTI to be completely hydrated.

Table 2

Attribute	Number of Sample (n/lot)	Results			
		Lot #1	Lot #2	Lot #3	
Rehydration Time (seconds)	3	<2	<2	<2	
Rehydrated pH	3	$7.7 \pm 0.1$	$7.8 \pm 0$	$7.7 \pm 0.1$	
Rehydrated osmolality (mOsm/kg)	3	317 ± 6	356 ± 4	319 ± 1	
Size variation at hydration (%)	3	99.8% ± 5.2%	100.6% ± 10.0%	99.7% ± 2.3%	
Collagen Retention in PBS (%)	3	99.4% ± 0.2%	99.1% ± 0.1%	99.2% ± 0.2%	

[00185] As seen in Table 2, results obtained in three different lots in three different studies are closely similar confirming the reproducibility of the process as well as consistency of the parameters observed after rehydration.

[00186] The rehydration time for each lot is less then 2 second evidencing a very fast wettability of the DSTI products.

[00187] The pH of the rehydrated DSTI products is between 7.7 and 7.8 in all lots.

[00188] Osmolality of the rehydrated DSTI products is between 317 and 356 mOsm/kg in all lots.

[00189] Variation in size of rehydrated DSTI products is negligible evidencing

that there is no shrinkage or swelling upon hydration of DSTI.

[00190] Collagen retention within the rehydrated DSTI is above 99%, evidencing a great stability of the DSTI products.

### III. Method of Use of Double-Structured Tissue Implant

[00191] Double-structured tissue implant of the invention is useful for treatment and repair of tissue defects of various tissues. Such treatment is achieved by implanting the DSTI into the defect in surgical setting.

[00192] In this regard, the use of DSTI, as described herein in Figures 9A and 9B illustrates its implantation into the articular cartilage lesion. However, the same or similar process would be used for implantation of the DSTI into defect of any other tissue.

[00193] Figure 9 is a schematic illustration of two treatment protocols for implantation of the DSTI into a cartilage lesion injuries using double-structured tissue implant. Both Figures 9A and 9B demonstrate how the double secondary scaffold is to be applied in a surgical operating room setting. Figure 9A shows a surgical implantation of DSTI into a lesion or defect without using microfracture technique. Figure 9B shows implantation of DSTI into the lesion or defect preceded with microfracture pretreatment. The whole procedure for implantation of the DSTI implant comprises several steps. In the first step, the initially oversized doublestructured tissue implant (DSTI) is removed from packaging and cut and trimmed to match the size and shape of the defect. The precut DSTI is then rehydrated with a physiological solution or any other suitable physiologically acceptable aqueous solvent, placed into the defect or lesion and covered with a layer of the adhesive thereby sealing the defect, as seen in Figure 9A. In the alternative, rehydration may occur after placement of DSTI into the defect site but before sealing the defect with adhesive. An optional step, that may be added preceding the placement of the implant into the defect, is applying a physiologically acceptable tissue adhesive to the defect or lesion to coat the defect or lesion with said adhesive before placement of DSTI. In an alternate approach, shown in Figure 9B, the subchondral plate of the defect is first penetrated by microfracture, the DSTI is placed into the defect and rehydrated, if necessary, and the defect is sealed with a layer of the adhesive. As in the Figure 9A, a layer of the adhesive may be applied to the defect between microfracture penetrations (Figure 9B) and before the precut sized DSTI is placed

into the defect. The DSTI is then rehydrated with a physiologically acceptable solution optionally containing agents that stimulate healing. The DSTI sealed within the defect with the layer of the tissue adhesive results in a final bonding between the DSTI and tissue. In the Figure 9B approach, the marrow components are able to flow into DSTI through microfracture.

### A. Adhesives and Tissue Sealants

[00194] The double-structured tissue implant is implanted into a tissue defect or cartilage lesion optionally covered with a biocompatible adhesive, tissue sealant or glue. Typically, the sealant may be deposited at and covers the bottom of the defect or lesion. The same or different sealant is used to cover the implant after implantation.

[00195] Generally, the tissue sealant or adhesive useful for the purposes of this application has adhesive, or peel strengths at least 10 N/m and preferably 100 N/cm; has tensile strength in the range of 0.2 MPa to 3 MPa, but preferably 0.8 to 1.0 MPa. In so-called "lap shear" bonding tests, values of 0.5 up to 4-6 N/cm<sup>2</sup> are characteristic of strong biological adhesives.

[00196] Such properties can be achieved by a variety of materials, both natural and synthetic. Examples of suitable sealant include gelatin and di-aldehyde starch described in PCT WO 97/29715, 4-armed pentaerythritol tetra-thiol and polyethylene glycol diacrylate described in PCT WO 00/44808, photo-polymerizable polyethylene glycol-co-poly(a-hydroxy acid) diacrylate macromers described in US Patent No. 5,410,016, periodate-oxidized gelatin described in US Patent No. 5,618,551, serum albumin and di-functional polyethylene glycol derivatized with maleimidyl, succinimidyl, phthalimidyl and related active groups described in PCT WO 96/03159.

[00197] Sealants and adhesives suitable for purposes of this invention include sealants prepared from gelatin and dialdehyde starch triggered by mixing aqueous solutions of gelatin and dialdehyde starch which spontaneously react and/or those made from a copolymer of polyethylene glycol and polylactide, polyglycolide, polyhydroxybutyrates or polymers of aromatic organic amino acids and sometimes further containing acrylate side chains, gelled by light, in the presence of some activating molecules.

[00198] Another type of the suitable sealant is 4-armed polyethylene glycol

derivatized with succinimidyl ester and thiol plus methylated collagen in two-part polymer compositions that rapidly form a matrix where at least one of the compounds is polymeric, such as polyamino acid, polysaccharide, polyalkylene oxide or polyethylene glycol and these two parts are linked through a covalent bond, such as a cross-linked polyethylene glycol hydrogel with methylated collagen.

[00199] Preferable sealants are 4-armed tetra-succinimidyl ester PEG, tetra-thiol derivatized PEG and PEG derivatized with methylated collagen described in US patents 6,312,725B1 and 6,624,245B2 and in <u>J. Biomed. Mater. Res.</u>, 58:545-555 (2001), <u>J. Biomed. Mater. Res.</u>, 58:308-312 (2001) and <u>The American Surgeon</u>, 68:553-562 (2002).

### B. Use of DSTI for Treatment of Chondral Defects

[00200] One example of utility of the DSTI is its use for treatment of chondral defects.

[00201] To be successful for treatment of articular cartilage defects or lesions, the DSTI must provide conditions allowing the chondrocytes or mesenchymal stem cells migrating from surrounding tissue or seeded therein to be able to form and generate the new extracellular matrix. In this regard, the DSTI pore structure allows cells to migrate into the pores and function similarly to their normal function in the healthy tissue. The extracellular matrix formed by the cells migrating into the implant or seeded within the DSTI then provides means for growing a new hyaline or hyaline-like cartilage for treatment, replacement or regeneration of the damaged or injured articular cartilage. Such treatment is currently difficult to achieve because of the unique properties of the articular cartilage that is not the same as and does not behave as other soft tissues. However, using a method of this invention and the new DSTI, it has been shown that cells do migrate into the new DSTI, grow within the DSTI and produce an extracellular matrix, as shown in Figure 8.

### C. Use of DSTI for Treatment of Other Conditions

[00202] In addition to cartilage repair, a number of other chronic conditions represent instances where the implantation of the double structured scaffold can provide a clinically important bridge for tissue repair.

[00203] For example, genitourinary tissues have been fabricated from a variety of materials. The DSTI once placed at the site of tissue damage will provide a support for development of new tissues occurs in accordance with predefined configuration.

In these applications, similar to cartilage, the DSTI must resist the dynamic forces generated by the surrounding muscle and connective tissues and maintaining its structure during a necessary period of cellular infiltration and tissue formation.

[00204] The rapidity by which tissue differentiation and structural integrity are established is subject to modulation through the use specific signaling factors localized within the primary and secondary collagenous composite. Although the limits by which, for example, new muscle formation can be derived from progenitor cells, suggests that localization of the mesenchymal cells to the site of damage in response to homing molecules, such as chemokines and cell receptor ligands, may be used to accelerate repair of muscle, either cardiac or skeletal. DSTI may be used to deliver these cells or modulators to the site of damage.

[00205] Finally, wound healing applications have remained a primary goal in the use of tissue implants for cell-based tissue repair. Treatment of acute and chronic wounds is dependent on a multi-faceted transition by which progenitor cells encounter soluble mediators, formed blood elements, extracellular matrix macromolecules and parenchymal cells that then serve to reestablish a body surface barrier through epithelization. In this instance either the double-structured scaffold or the stand alone secondary scaffold implant may provide a new stromal layer into which blood vessels and progenitor cells can migrate. From this migration, the progenitor cells may undergo differentiation into the fibroblast stromal cells and generate or recruit epithelial cells to support reestablishment of dermal and epidermal layers at the time of wound closure.

### D. Basic Requirements for DSTI

[00206] The collagen-based primary and secondary scaffolds of the DSTI are essential components of the DSTI and are responsible for capability of DSTI to initiate the repair and induction of repair of tissue defects.

[00207] The first requirement is that the scaffolds are prepared from the biocompatible and preferably biodegradable materials that are the same or similar to those observed in the tissues to be repaired, hence the instant DSTI are prepared from collagen or collagen-like materials.

[00208] The second requirement is that the scaffolds have a spatial organization and orientation similar to that of the tissue to be repaired. The porous structure of both primary and secondary scaffold provides such organization.

36

WO 2009/005596 PCT/US2008/007610

[00209] The third requirement is that the scaffold have a pore density permitting the migration or seeding of the cells into said scaffolds in numbers needed for initiation of a tissue recovery or formation of new tissue *in vivo*. The substantially homogenous pore size and distribution within the DSTIs allows the cells to enter the implant and their seeding and assures cell viability.

[00210] The fourth requirement is that the scaffolds have sufficient number of pores for the number of cells needed for initiation of the tissue recovery and repair. The spatial organization of both scaffolds have optimized size and number of pores.

[00211] The fifth requirement is that the pores have substantially the same size and that such size is substantially the same from the top apical to the bottom basal surface of the pores, said pores being organized substantially vertically from the top to the bottom. The primary scaffold have such organization.

[00212] The sixth requirement is stability of the DSTI. The double-structured organization of the DSTI provides such stability during wetting, reconstitution, resistance to dissolution and to shrinkage or swelling.

[00213] The seventh requirement is that DSTI provides support and conditions for cell migration from the surrounding tissue, for integration of seeded cells into the surrounding tissue and generally that assures the cell viability. The DSTI provides such conditions and the cells seeded within DSTI have almost 100% viability.

## **EXAMPLE 1**

#### Preparation of the Primary Scaffold

[00214] This example describes one exemplary method for preparation of the collagen-based primary scaffold suitable as a structural support for preparation of the DSTI.

[00215] Type I collagen is dissolved in a weak hydrochloric acid solution at pH 3.0 with the collagen concentration and osmolality of the solution adjusted to about 3.5 mg/ml and 20 mOsm/kg, respectively. The solution (70 ml) is poured into a 100 ml Petri dish and the Petri dish containing the collagen solution is centrifuged at 400xg for 30 minutes to remove air bubbles. Neutralization and subsequent precipitation or gelling is carried out in a 7 liter chamber containing 10 ml of 15% ammonia solution over a 45 minutes period. The precipitated collagen is then washed in a large excess of de-ionized water. The water is changed as many times as needed over next 3 days to remove formed salts and excess ammonia.

[00216] The solution is then subjected to unidirectional freezing over a period of about 4 hours. The Petri dish is placed on a stainless steel disc which is partially submerged in a cooling bath. The temperature of the cooling bath is increased from 0°C to -18°C at a rate of 0.1°C/minute. The frozen water is removed by lyophilization over a period of about 3 days. The lyophilized primary scaffold is then dehydrothermally (DHT) treated at 135°C for about 18 hours before being precut into slices of an appropriate thickness.

[00217] The organization of the newly synthesized cartilage specific matrix within the porous type I collagen is visualized and quantified using histological and image analysis methods.

#### **EXAMPLE 2**

#### Preparation of a Basic Solution for a Secondary Scaffold

[00218] This example describes preparation of the basic solution used for formation of the secondary scaffold. The basic solution comprises a soluble collagen in admixture with a PLURONIC® surfactant. The basic solution is incorporated into the primary scaffold and processes into the double scaffold tissue implant or processed as a stand alone implant.

[00219] Solution for the secondary scaffold is prepared by mixing PLURONIC® F127 (2.32 mg, 0.29 mg/ml), obtained commercially from BASF, Germany, with 8 ml of a solution containing 2.9 mg/ml bovine type I collagen dissolved in hydrochloric acid (pH 2.0) at room temperature. The resulting solution is neutralized with 1 ml of 10X Dulbecco's phosphate buffered saline (DPBS) and 1 ml of 0.1M NaOH to the final pH of 7.4.

[00220] In the alternative, the neutralization is achieved by ammonia aqueous solution or ammonia vapor in concentration sufficient to neutralize acid within the collagen solution.

#### EXAMPLE 3

#### Preparation of the Secondary Scaffold as Stand Alone Unit

[00221] This example illustrates preparation of the secondary scaffold as a stand alone implant or stand alone unit. For preparation of the stand alone secondary scaffold, the basic solution prepared in Example 2 is subjected to precipitation or gelling followed by dehydrothermal treatment.

[00222] The basic solution (2ml) comprising collagen and PLURONIC® surfactant is placed in a small glass beaker and the beaker is placed into a chamber (approximately 9 liters) charged with 1% ammonia solution. The basic solution is allowed to precipitate in the chamber over a period of 15 minutes. The gelled or precipitated collagen is then washed in 500 ml of de-ionized water over a period of 30 minutes. The washing step is repeated three times. The washed gel or precipitate is placed on metal shelf of a freezer at -80°C over a period of 30 minutes. The frozen gel or precipitate is removed from the freezer and lyophilized. Lyophilization is performed over a period of 10 hours. The lyophilized construct is then dehydrothermally treated at 135°C under vacuum for a period of 6 hours to form the secondary scaffold alone.

#### **EXAMPLE 4**

#### Preparation of the Double-Structured Tissue Implant

[00223] This example describes preparation of the double-structured tissue implant (DSTI). The preparation of DSTI includes incorporation of a basic solution for formation of a secondary scaffold within the primary scaffold and its further processing into DSTI.

[00224] 4.9 ml (1.3 x volume of the primary scaffold) of the basic collagen/PLURONIC® solution prepared in Example 2, is placed in a dish and a primary scaffold, prepared in Example 1, precut into a square having 50x50x1.5 mm dimensions is then placed into the neutralized basic solution for the secondary scaffold. The basic neutralized solution is absorbed into the primary scaffold by wicking and soaking.

[00225] The primary scaffold containing the neutralized solution is then placed into an incubator at 37°C over a period of 50 minutes to precipitate or gel the basic collagen solution. The composite consisting of the primary scaffold with the gelled or precipitated neutralized solution within is then washed in 500 ml of de—ionized water over a period of 30 minutes. The washed composite is placed on metal shelf of a freezer at a temperature -80°C over a period of 30 minutes. The frozen composite is removed from the freezer and lyophilized.

[00226] Lyophilization is performed over a period of 18 hours. The lyophilized

composite is then dehydrothermally treated at 135°C under vacuum for a period of 6 hours to form the double-structured tissue implant (DSTI).

39

[00227] The DSTI is removed from the dehydrothermal oven and transferred aseptically into a Bio Safety Cabinet (BSC) where it is packaged.

#### EXAMPLE 5

#### Determination of Retention of Collagen within DSTI

[00228] This example describes a procedure used for determination of the stability of the double-structured tissue implant *in vitro*.

[00229] Three lots of DSTIs are prepared as described in Example 4 and cut to a size of 1.5x1.5x0.15 cm. Cut DSTIs are placed in 35 mm Petri dishes, rehydrated with 450 ml of phosphate buffered saline and additional 2 ml of phosphate buffered saline are added to each Petri dish containing the DSTI. The analysis for each lot consist of three replicates for a total of 9 samples for the three lots.

[00230] Dishes containing individual DSTIs are placed in the incubator for the duration of testing. In the predetermined intervals of zero hour, 1 hour, 3 days, 7 days and 14 days, 1 ml aliquot of the phosphate buffered saline is removed from each plate. Each removed 1 ml is replaced with 1 ml of a fresh phosphate buffer saline. The removed aliquots are subjected to a colorimetric protein assay for quantification of total collagen released into the saline.

[00231] Cumulative collagen retention curves are generated by subtraction of the amount of collagen released into the solution from the theoretical collagen load estimated at 0.777mg of collagen/DSTI sample. Results are seen in Figure 6.

#### **EXAMPLE 6**

## Determination of Change of Size and Shape of DSTI

#### Following Rehydration

[00232] This example describes the retention of size and shape of double-structured tissue implant in a phosphate buffered saline solution over time.

[00233] The DSTI samples obtained in Example 5 are photographed at the designated intervals and the images generated are measured by ImageJ, publicly available Java-based image processing program developed at the NIH. The photograph of each sample at each time point is imported into ImageJ and the region

of interest (DSTI area) is manually defined. The area is measured and the percent change is determined by dividing the areas at each time point by the rehydrated DSTI at time 0 and multiplying by 100. Results are seen in Figure 7.

40

#### EXAMPLE 7

#### Studies of Biocompatibility

[00234] This example describes procedures used to determine cell biocompatibility with the primary scaffold and with the DSTI.

[00235] The primary scaffold and DSTIs are prepared in three lots as described in Examples 1 and 4. The chondrocytes are loaded into the three samples of primary scaffolds and into the three lots of three samples each of DSTIs. Time intervals for biochemical, image and cell viability determination is set to Day O (24 hours) and Day 21 (21 days) of incubation in the culture medium.

[00236] The time and group protocol is seen in Table 3.

Table 3

Chondrocyte Compatibility Experimental Groups

Groun	Dagamintian	Time	Sample Numbers		
Group	Description	point	Biochemistry	Images	Viability
Α	DSTI #1	Day 0	3	2	3
В	DSTI #1	Day 21	3	2	3
С	DSTI #2	Day 0	3	2	3
D	DSTI #2	Day 21	3	2	3
Е	DSTI #3	Day 0	3	2	3
F	DSTI #3	Day 21	3	2	3
G	Primary Scaffold	Day 0	3	2	3
Н	Primary Scaffold	Day 21	3	2	3

[00237] DSTI – 5 mm disks are cut from each lot of sheets. Primary scaffold 5 mm disks are cut from primary scaffold.

[00238] The disks of the primary scaffold are loaded with the chondrocytes dissolved in a collagen solution at a cell concentration of  $5x10^6$  cells/ml. The primary scaffold does not contain the surfactant and is not subjected to lyophilization or to a dehydrothermal treatment.

[00239] Both the primary scaffold and DSTI disks (total 24) are placed in 24 well plates. Chondrocytes are seeded into the disks by the addition of 20 ml of 3D cell culture medium (DMEM/F-12 + 10% FBS + 1% ITS) at a cell concentration of  $5 \times 10^6$ 

cells/ml. The disks loaded with cells are placed in the incubator for 1 hour at 37°C and in 5%CO<sub>2</sub>. Then 400ml of medium is added and the plates are placed in the low oxygen incubator overnight. At 24 hours (Day 0), one set of samples from each lot is removed from culture. The remaining disks loaded with chondrocytes are transferred to 12 well plates with 2ml of 3D culture medium and are maintained in culture at 37°C, 5%CO<sub>2</sub>, 2% O<sub>2</sub> for three weeks with medium changes once a week. [00240] The primary scaffold disks are processed in the same way as DSTI disks. [00241] Evaluations include assessment of chondrocyte growth, viability and phenotypic S-GAG expression in both the primary scaffold and DSTIs. Results are seen in Figure 4B, and Figure 5 for DSTI images, Figure 8 for S-GAG and DNA production and in Table 1 for cell viability.

#### **EXAMPLE 8**

#### Production of S-GAG/DNA

[00242] This example describes conditions used for evaluation of production of S-GAG and DNA by seeded chondrocytes.

[00243] DSTI and primary scaffold disks are prepared as described in Examples 1 and 4 and seeded with 200,000 chondrocytes in 20ml of 3D culture medium by absorption at 37°C for 1 hour. 400ml of medium is added and incubated overnight. Disks are removed for analysis at predetermined intervals.

[00244] At termination, the disks are placed in papain digest solution and incubated at 60°C overnight. An aliquot of the digest from each disk is taken to measure S-GAG by the dimethylmethylene blue assay. An aliquot from each disk is taken for measurement of DNA by the Hoechst dye method. Results are shown in Figure 8.

## **EXAMPLE 9**

## Determination of Viability of Chondrocytes

[00245] This example describes procedure used for determination of viability of chondrocytes seeded in the DSTIs or in the primary or secondary scaffolds.

[00246] DSTI, primary scaffold or secondary scaffold disks are seeded with approximately 200,000 chondrocytes dissolved in the 20ml of 3D culture medium by absorption and incubated at 37°C for 31 hours. 400ml of medium is added and

42

incubation is continued overnight.

[00247] Cell loaded disks are removed for analysis and 2 ml of medium is added to remaining disks for continued incubation for 21 days.

[00248] At day 21, the chondrocyte-loaded DSTIs and the primary and secondary scaffolds disks are placed in 1.5 ml microcentrifuge tubes and further incubated overnight in 0.15% collagenase. The digest is centrifuged at 2000 rpm for 5 minutes and the supernatant aspirated. An aliquot of culture medium (0.1 ml) is added to the cell pellets and an aliquot taken for counting. Cell viability and total cell count is determined using trypan blue. Results are shown in Table 1.

#### WHAT IS CLAIMED:

1. A double-structured tissue implant (DSTI) comprising a primary scaffold and a secondary scaffold integrated into said first scaffold wherein said primary scaffold is a porous structure prepared from a biocompatible material, said porous structure comprising randomly and non-randomly organized pores and providing a structural support for the secondary scaffold incorporated therein.

- 2. The DSTI of claim 1 wherein said secondary scaffold is integrated into said primary scaffold by introducing a composition comprising a soluble collagen, collagen-containing or collagen-like compound in combination with a non-ionic surfactant, into said pores of said primary scaffold, stabilizing said composition within pores of said primary scaffold by precipitation or gelling and subjecting a resulting composite to lyophilization and dehydrothermal treatment.
- 3. The DSTI of claim 2 wherein said biocompatible material suitable for preparation of the primary scaffold is selected from the group consisting of collagen Type I, Type II, Type III, Type IV, Type V, Type VII and Type XI, gelatin, collagen containing agarose, collagen containing hyaluronan, collagen containing proteoglycan, collagen containing glycosaminoglycan, collagen containing glucosamine, collagen containing galactosamines, collagen containing glycoprotein, collagen containing fibronectin, collagen containing laminin, collagen containing a bioactive peptide growth factor, collagen containing cytokine, collagen containing elastin, collagen containing fibrin, collagen containing polylactic acid, collagen containing polyglycolic acid, collagen containing polyamino acid, collagen containing polycaprolactone, a copolymer thereof, a precursor thereof, and combination thereof, wherein said precursor is selected from the group consisting of alpha 1 (Type I) peptide, alpha 2 (Type I) peptide, 2 (alpha 1, Type I) peptide, 1 (alpha 2, Type I) peptide, 3(alpha 1, Type II) and a combination thereof.
- 4. The DSTI of claim 3 wherein said soluble collagen, collagen-containing or collagen-like compound used for preparation of the composition for the secondary scaffold is Type I collagen, Type II collagen, methylated collagen, gelatin or methylated gelatin.

- 5. The DSTI of claim 4 wherein said non-ionic surfactant used for preparation of the composition for the second scaffold is a PLURONIC®-type or a TRITON®-type surfactant comprising polyethylene oxide with terminal oxide groups.
- 6. The DSTI of claim 5 wherein said surfactant is a derivatized polyethylene glycol or a block co-polymer of polyoxyethylene (PEO) and polyoxypropylene (PPO) having the generic organization of polymeric blocks PEG-PPO-PEG or PPO-PEG-PPO.
- 7. The DSTI of claim 6 wherein said surfactant is TRITON® X100, namely polyethylene glycol p-(1,1,3,3-tetramethylbutyl)-phenyl ether, or PLURONIC® F127, namely a polymer of polyoxyethylene (PEO) and polyoxypropylene (PPO) with two 96-unit hydrophilic PEO chains surrounding one 69-unit hydrophobic PPO chain.
- 8. The DSTI of claim 2 wherein said integration of the secondary scaffold into the primary scaffold results in the double-structured tissue implant comprising two structurally and functionally distinct sections, wherein one or both sections may independently be seeded with cells or incorporated with a pharmaceutical agent or modulator.
- 9. The DSTI of claim 8 suitable for implantation as an acellular implant or an implant comprising chondrocytes for treatment and repair of articular cartilage injuries or damage wherein said implant, following reconstitution and rehydration with a physiologically acceptable solution or buffer during surgery, is resistant to dissolution, to change in size and shape, maintains its collagen retention, pH and osmolality and has a rehydration and wettability time within several seconds.
- 10. A method for use of the double-structured tissue implant (DSTI) for implantation for treatment of a tissue defect and injury, said method comprising steps:
  - a. obtaining or preparing the double-structured tissue implant;
  - b. preparing a tissue defect or injury site for implantation of said DSTI;
  - c. precutting the DSTI into a size of the defect;
  - d. implanting the DSTI into said defect;

- e. rehydrating the DSTI with a physiologically acceptable solution or buffer; and
  - f. covering said implant with a tissue adhesive.
- 11. The method of claim 10 wherein said double-structured tissue implant (DSTI) comprises a primary scaffold and a secondary scaffold integrated into said first scaffold wherein said primary scaffold is a porous structure prepared from a biocompatible material, said porous structure comprising vertically and non-randomly organized pores and providing a structural support for the secondary scaffold incorporated therein.
- 12. The method of claim 11 wherein in said DSTI, said secondary scaffold is integrated into said primary scaffold by introducing a composition comprising a soluble collagen, collagen-containing or collagen-like compound in combination with a non-ionic surfactant, into said pores of said primary scaffold, stabilizing said composition within pores of said primary scaffold by precipitation or gelling and subjecting a resulting composite to lyophilization and dehydrothermal treatment.
- 13. The method of claim 12 further comprising a step of introducing into said DSTI chondrocytes, fibroblasts or mesenchymal stem cells.
- 14. The method of claim 13 used for treatment of an articular cartilage, meniscus, tendon or ligament defect or lesion.
- 15. The method of claim 14 wherein said defect is the cartilage defect further pre-treated with microfracture.
- 16. The method of claim 10 additionally comprising a step of coating the defect with a tissue adhesive before placement of DSTI into the tissue defect or injury site or coating spaces between microfracture penetrations with said adhesive, wherein said adhesive is a polyethylene glycol derivatized with methylated collagen.
- 17. A process for preparation of the double-structured tissue implant, said process comprising steps:
- a. preparing a primary scaffold from a biocompatible material selected from the group consisting of collagen Type I, Type II, Type III, Type IV, Type V, Type VIII and Type XI, gelatin, agarose, collagen containing hyaluronan, collagen containing proteoglycan, collagen containing glycosaminoglycan, collagen

containing glucosamine, collagen containing galactosamine, collagen containing glycoprotein, collagen containing fibronectin, collagen containing laminin, collagen containing a bioactive peptide growth factor, collagen containing cytokine, collagen containing elastin, collagen containing fibrin, collagen containing polylactic acid, collagen containing polyglycolic, collagen containing polyamino acid, a copolymer thereof, a precursor thereof, and combination thereof, wherein said precursor is selected from the group consisting of alpha 1 (Type I) peptide, alpha 2 (Type I) peptide, 2 (alpha 1, Type I) peptide, 1 (alpha 2, Type I) peptide, 3(alpha 1, Type II) and a combination thereof, as a porous structure;

- b. preparing a composition for secondary scaffold wherein said composition comprises an acidic solution comprising a soluble collagen, collagen-containing or collagen-like compound in combination with a non-ionic surfactant;
  - c. introducing said composition into pores of said primary scaffold;
- d. stabilizing said composition within said pores of said primary scaffold by neutralization, precipitation or gelling thereby producing a composite of the primary and the secondary scaffolds;
- e. removing non-precipitated collagen and dehydrating said composite by freeze-drying;
  - f. lyophilizing said composite into a lyophilized composite; and
  - g. subjecting said lyophilized composite to a dehydrothermal treatment.
- 18. The process of claim 17 wherein said dehydrothermal treatment comprises subjecting said lyophilized composite to temperatures in a range from about 70°C to about 200°C under vacuum, for about 30 minutes to about 7 days.
- 19. The process of claim 18 wherein said dehydrothermal treatment comprises subjecting said lyophilized composite to temperatures from about 130°C to about 150°C for about 5-7 hours.
  - 20. The process of claim 19 wherein

said neutralization step comprises neutralization of the composition for production of the secondary scaffold to pH in a range from about pH 7.3 to about pH 7.7;

said precipitation step of the neutralized composition within the pores of the

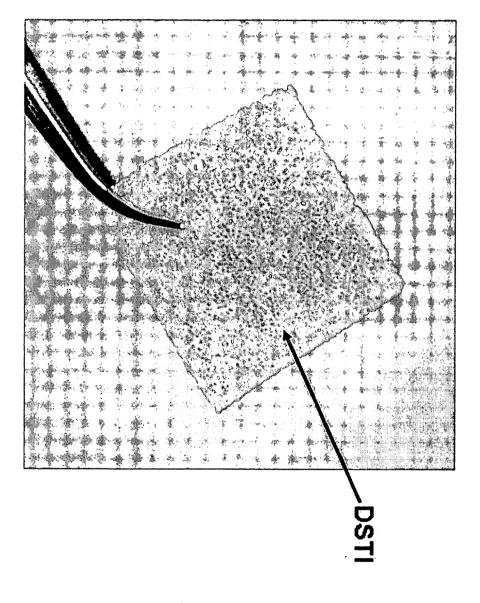
47

primary scaffold is by incubating said composite at a temperature from about 4°C to about 38°C, for a time needed for precipitation of the neutralized composition into a solid secondary scaffold within said composite;

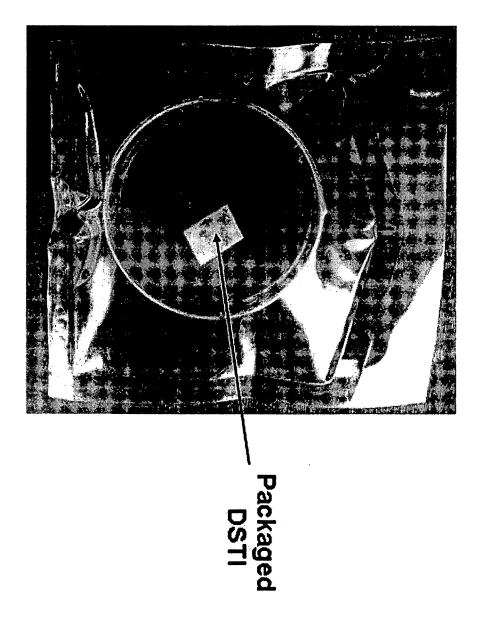
said removal of non-precipitated collagen is by washing said composite; said dehydration step is by freeze-drying carried out at temperature from about -40°C to about -90°C over a period of about 2 to about 12 hours; and said lyophilization step is achieved by introducing said composite into a precooled lyophilization chamber for about 15-21 hours.

21. A stand alone secondary scaffold tissue implant comprising a basic solution comprising a soluble collagen, collagen-containing or collagen-like compound in combination with a non-ionic surfactant, wherein said basic solution is neutralized to pH above 7.4%, precipitated, lyophilized and dehydrothermally treated.









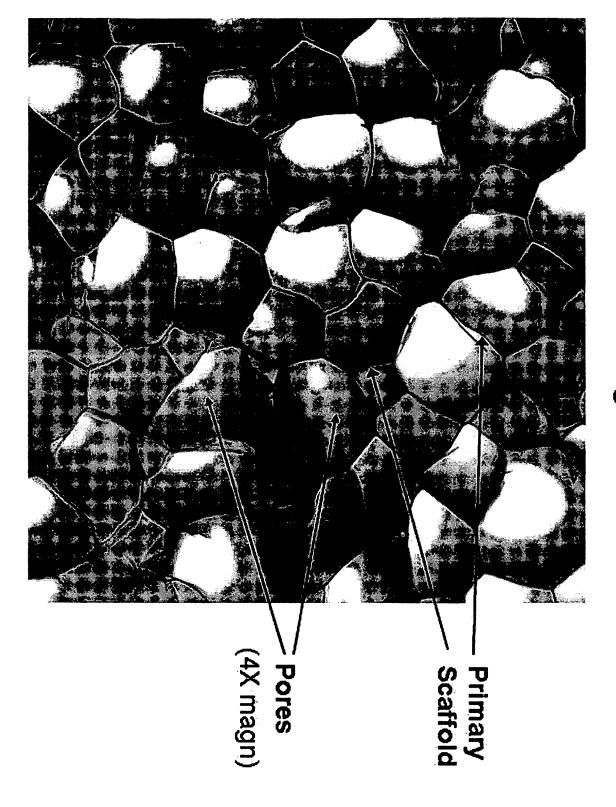


Fig.2

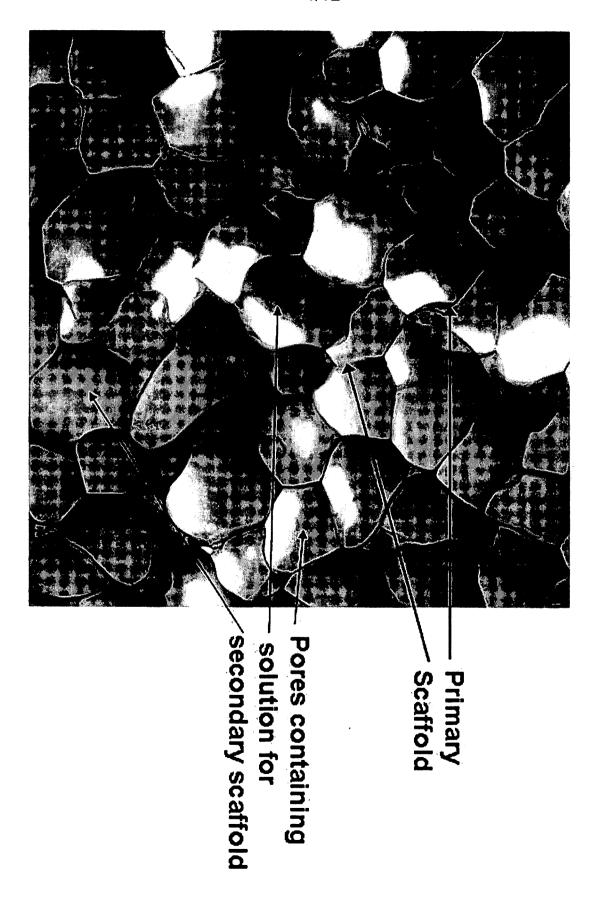
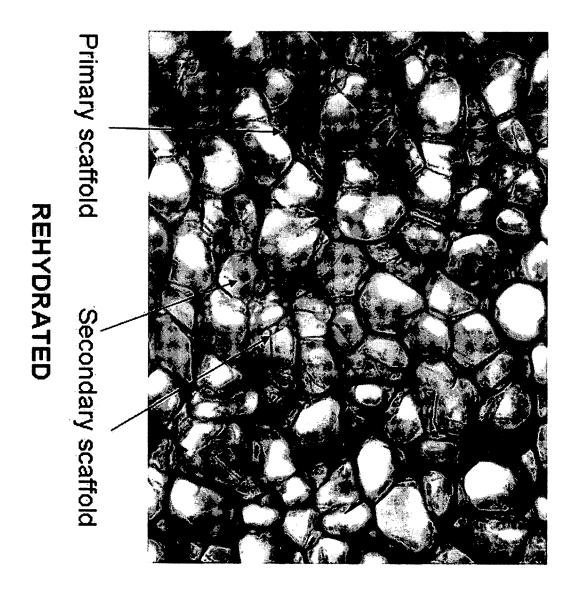


Fig.3



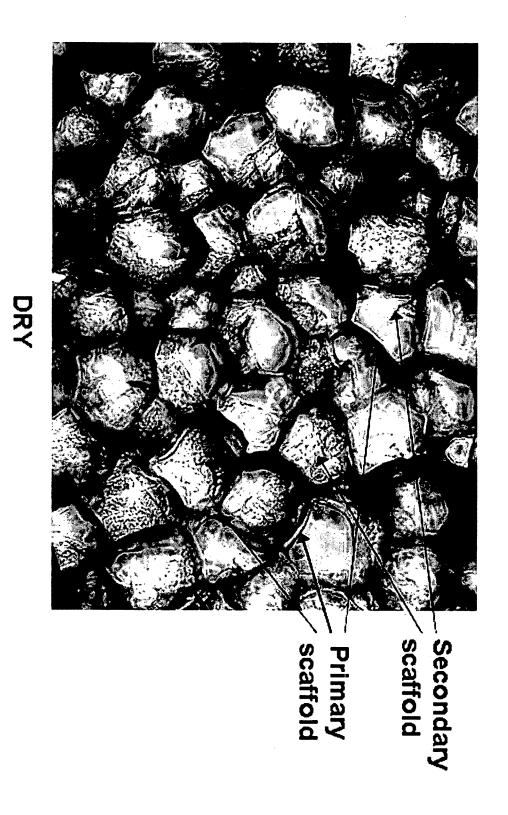


Fig. 5

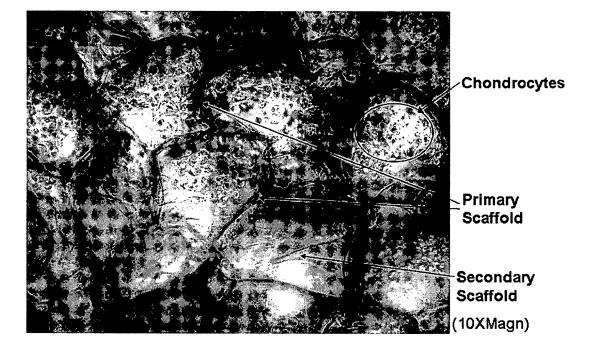


Fig.6

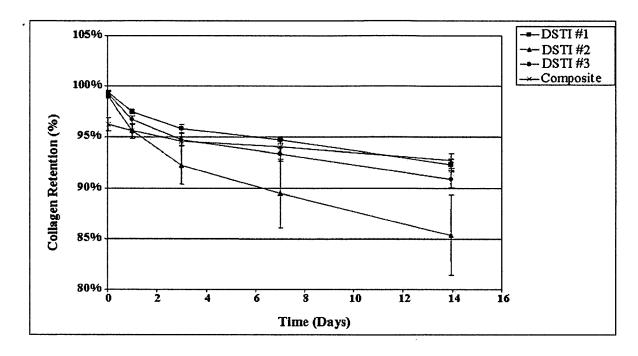


Fig. 7

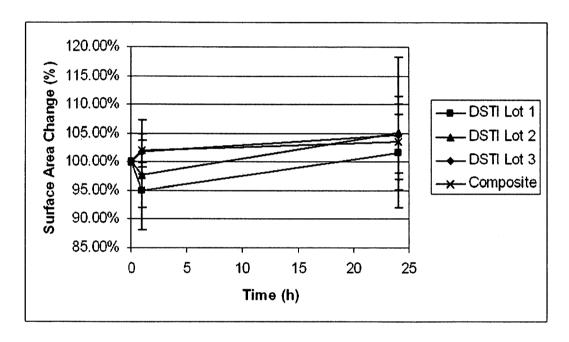


Fig. 8

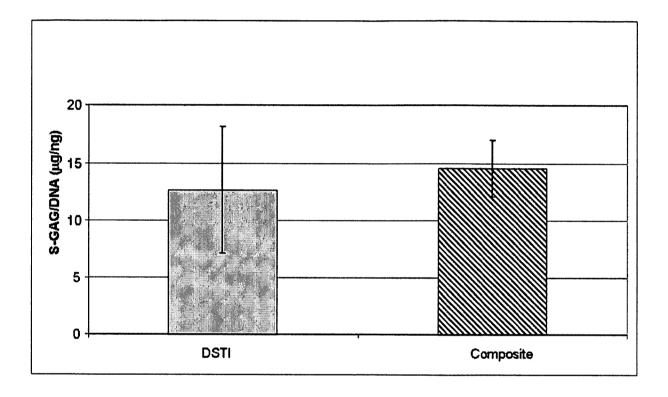


Fig. 9A

# **DSTI** Implantation





Prepare Defect Site
Trim DSTI to Fill Defect Site



Rehydrate DSTI



Place DSTI in Defect Site



Seal the Defect with Adhesive

12/12

Fig. 9B

## **DSTI** Implantation With Microfracture





Prepare Defect Site
Trim DSTI to Fill Defect Site



Subchondral Plate is Penetrated



Rehydrate DSTI, if necessary



Place DSTI in Defect Site



Seal the Defect with Adhesive



Allow Marrow Components to Flow into DSTI

### INTERNATIONAL SEARCH REPORT

International application No. PCT/US2008/007610

A. CLASSIFICATION OF SUBJECT MATTER  IPC(8) - A61F 2/00 (2008.04)  USPC - 424/423  According to International Patent Classification (IPC) or to both national classification and IPC							
B. FIELDS SEARCHED							
Minimum documentation searched (classification system followed by classification symbols) IPC(8) - A61F 2/00, 2/08 (2008.04) USPC - 424/423; 623/11.11, 13.11, 13.17, 13.18							
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched							
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) MicroPatent							
C. DOCUMENTS CONSIDERED TO BE RELEVANT							
Category* Citation of document, with indication, where	Citation of document, with indication, where appropriate, of the relevant passages						
X US 6,949,252 B2 (MIZUNO et al) 27 September 200	US 6,949,252 B2 (MIZUNO et al) 27 September 2005 (27.09.2005) entire document						
Y		5-7, 9, 18-20					
Y US 6,042,610 A (LI et al) 28 March 2000 (28.03.200	US 6,042,610 A (LI et al) 28 March 2000 (28.03.2000) entire document						
Y WO 2005/023321 A2 (HILES) 17 March 2005 (17.0	WO 2005/023321 A2 (HILES) 17 March 2005 (17.03.2005) entire document						
Further documents are listed in the continuation of Box C.							
* Special categories of cited documents:  "A" document defining the general state of the art which is not considered to be of particular relevance  "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention							
"E" earlier application or patent but published on or after the international "X" document of particular relevance; the claimed invention cannot le considered novel or cannot be considered to involve an inventive							
"L" document which may throw doubts on priority claim(s) or which cited to establish the publication date of another citation or otl special reason (as specified)	her "Y" document of particular relevance; the	claimed invention cannot be					
onsidered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art							
"P" document published prior to the international filing date but later than "&" document member of the same patent family the priority date claimed							
Date of the actual completion of the international search	Date of mailing of the international search report						
02 October 2008	0 8 OCT 2008						
Name and mailing address of the ISA/US  Mail Stop PCT, Attn: ISA/US, Commissioner for Patents	Authorized officer: Blaine R. Copenheaver						
P.O. Box 1450, Alexandria, Virginia 22313-1450	PCT Helpdesk: 571-272-4300						