



INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2014/030491

A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - A61K 38/13 (2014.01)

USPC - 514/20.5

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC(8) - A61K 38/00, 38/12, 38/13; C07K 7/64 (2014.01)

USPC - 514/20.5; 530/317, 321

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
CPC - A61K 38/00, 38/13; C07K 7/52, 7/645 (2014.09)

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

PatBase, STN, Google Scholar

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 2012/075494 A1 (SU et al) 07 June 2012 (07.06.2012) entire document	1, 2, 4, 5
A	US 2006/0160727 A1 (FLIRI et al) 20 July 2006 (20.07.2006) entire document	1, 2, 4, 5
A	WO 2012/021796 A2 (SU et al) 16 February 2012 (16.02.2012) entire document	1, 2, 4, 5
A	WO 2012/009715 A2 (SU et al) 19 January 2012 (19.01.2012) entire document	1, 2, 4, 5

☐ Further documents are listed in the continuation of Box C.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

02 October 2014

Date of mailing of the international search report

05 NOV 2014

Name and mailing address of the ISA/US

Mail Stop PCT, Attn: ISA/US, Commissioner for Patents

P.O. Box 1450, Alexandria, Virginia 22313-1450

Facsimile No. 571-273-3201

Authorized officer:

Blaine R. Copenheaver

PCT Helpdesk: 571-272-4300

PCT OSP: 571-272-7774

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Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☒ Claims Nos.: 6-18, 28-34, 37-47
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Claims 1, 2, 4, 5 have been analyzed subject to the restriction that the claims read on the formula (I) as described in the Lack of Unity of Invention (See Extra Sheet). The claims are restricted to a compound of Formula (I): or pharmaceutically acceptable salt thereof, wherein: R8 is n-butyl; R2 is ethyl; W is O; R3 is unsubstituted (C7-C12)alkyl; and R7 is the first shown i-butyl group.

<See Extra Sheet>

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
1, 2, 4, 5

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.

<Continued from Box III: Observations where unity of invention is lacking>

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees need to be paid.

Group I+: claims 1-5, 19-27, 35, and 36 are drawn to compounds of Formula (I) or pharmaceutically acceptable salts thereof.

The first invention of Group I+ is restricted to a compound of Formula (I): or pharmaceutically acceptable salt thereof, wherein: R8 is n-butyl; R2 is ethyl; W is O; R3 is unsubstituted (C7-C12)alkyl; and R7 is the first shown i-butyl group. It is believed that claims 1, 2, 4, and 5 read on this first named invention and thus these claims will be searched without fee to the extent that they read on the above embodiment.

Applicant is invited to elect additional formula(e) for each additional compound to be searched in a specific combination by paying an additional fee for each set of election. An exemplary election would be a compound of Formula (I): or pharmaceutically acceptable salt thereof, wherein: R8 is (E)-but-2-enyl; R2 is ethyl; W is O; R3 is unsubstituted (C7-C12)alkyl; and R7 is the first shown i-butyl group. Additional formula(e) will be searched upon the payment of additional fees. Applicants must specify the claims that read on any additional elected inventions. Applicants must further indicate, if applicable, the claims which read on the first named invention if different than what was indicated above for this group. Failure to clearly identify how any paid additional invention fees are to be applied to the "+" group(s) will result in only the first claimed invention to be searched/examined.

The inventions listed in Groups I+ do not relate to a single general inventive concept under PCT Rule 13.1, because under PCT Rule 13.2 they lack the same or corresponding special technical features for the following reasons:

The Groups I+ formulae do not share a significant structural element, requiring the selection of alternatives for the compound variables R8, R2, W, R3, and R7.

The Groups I+ share the technical features of a compound having the core structure of Formula (I) or pharmaceutically acceptable salt thereof. However, these shared technical features do not represent a contribution over the prior art.

Specifically, WO 2012/075494 to Su et al. teach a compound having the core structure of Formula (I): or pharmaceutically acceptable salt thereof, wherein: R8 is (E)-but-2-enyl; R2 is ethyl; W is NR1; R1 and R3 together with the nitrogen atom to which they are attached, form a saturated heterocyclic ring containing five ring atoms (see Para. [0089], the following derivatives can be prepared according to procedures described herein...Scheme 3...; Pg. 62, see first shown structure on the left...; which comprises the pyrrole ring formed from NR1-R3).

The inventions listed in Groups I+ therefore lack unity under Rule 13 because they do not share a same or corresponding special technical feature.

<End Box III: Observations where unity of invention is lacking>