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(54) Title: AXIAL PISTON HIGH PRESSURE GAS COMPRESSOR

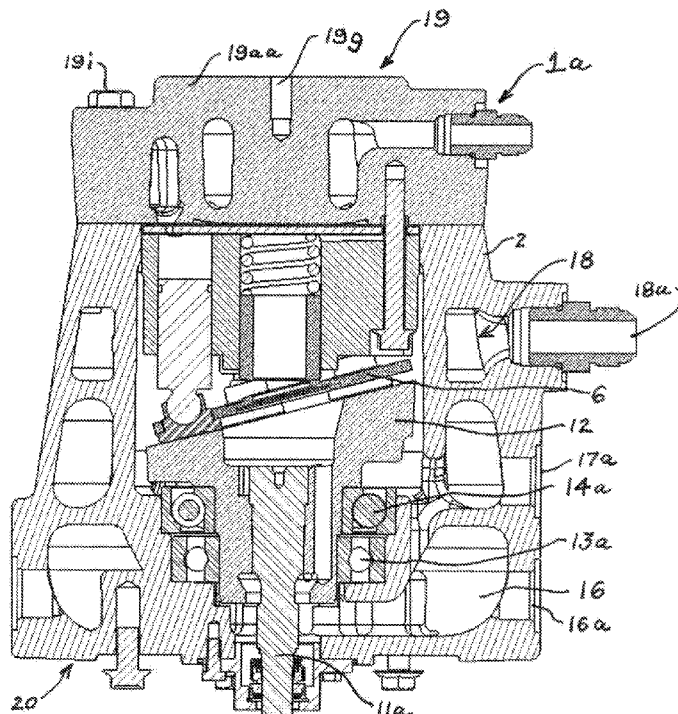


FIG. 1A

(57) Abstract: An axial machine having a wedge that drives a plurality of pistons and a retainer plate for maintaining the slipper shoes in contact with the wedge. A retainer sleeve engages the retainer plate in a continuous rolling engagement as the retainer plate changes its angular orientation with respect to the pistons during operation of the compressor. The retainer sleeve also keeps the retainer plate in position without the need for springs as used in past compressors. The rotating drive shaft has an offset passageway along its shaft to draw oil from the sump along the drive shaft to the wedge to disburse oil on the angular surface of the wedge. A circular valve plate controls the flow of gas into and out of the cylinders.

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**TITLE OF THE INVENTION : AXIAL PISTON HIGH PRESSURE GAS
COMPRESSOR
INVENTOR : CRAIG W. RIEDIGER**

1 **I. CROSS REFERENCE TO RELATED APPLICATION**

2 This application is based on and claims priority of United States provisional patent
3 application 62309531 filed March 17, 2016.

4 **II. FIELD OF THE INVENTION**

5 This invention relates to axial piston machines and more particularly to axial gas
6 compressors that can be operated in the vertical or horizontal position and furthermore have
7 selectable options of an open drive or sealed hermetic drive configurations, all embodied in one
8 oil lubricated axial machine. It is further directed to a gas/liquid separation system in the
9 compressor and axial piston retention ring or plate center positioning means not dependent on
10 a center post but rather centered following the dynamic geometric position of the piston shoes.
11 The invention also discloses an axial piston machine that provides a new oil lubrication system
12 that distributes oil to the machine through the wedge.

13 **III. BACKGROUND AND SUMMARY OF THE INVENTION**

14 Axial piston machines have performed various functions as compressors and pumps and
15 have been driven by electric motors, hydraulic motors, and other mechanical methods in various
16 environments and configurations. The mechanics of the geometry presented by Applicant may
17 be applied to advantage in both pumps and compressors; however preferred embodiments of
18 applicant's invention will hereinafter be primarily descriptive of advantages for compressing
19 working refrigerant fluids in a vapor compression cycle. More specifically the preferred
20 embodiment will be directed to a high-pressure gas compressor for using the natural refrigerant
21 CO₂ as the working gas. There are several primary technology and design hurdles for improving
22 CO₂ gas compressors; these are: (1) higher pressures required, (2) unique properties of CO₂, (3)
23 lubrication of working components due to the first two items, and (4) manufacturability and lack

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1 of economy of scale with resultant cost drivers. Importantly, a through shaft would be of great
2 advantage in the flexibility to use a single compressor in many configurations; a boon to achieve
3 economy of scale.

4 CO₂ is known to be a very effective solvent, and oil tends to dilute in the presence of this
5 gas. Oil loss and dilution results in reduced oil viscosity, and maintaining adequate lubrication
6 film under bearing loads is a crucial consideration for dynamic parts. Ramifications are
7 conditions that affect the durability and operational efficiency of such and similar compressors
8 in a vapor compression system. This is particularly important for thermodynamic cycles of high
9 pressure vapor compression of CO₂ gas refrigerant to a transcritical state to be used for heat pump
10 and/or refrigeration. Separation of the working refrigerant gas from the lubricant (oil) and
11 segregated exclusion from the external vapor compression system circuit and associated
12 components is highly advantageous. A primary reason is because oil (liquid) is known to coat the
13 walls of heat exchangers reducing the heat transfer efficiency of the thermodynamic cycle, and/or
14 oil pooling in undesirable points of a gas circuit which may reduce the oil in the compressor to
15 critically low levels. External means of oil separation and components for the management and
16 return of oil to the compressor do exist for the separation of oil from the working gas. This is
17 conventionally accomplished outside of the compressor in the system; however, it is
18 advantageous for many reasons to separate oil and gas inside the compressor in the process of
19 operation. This neither requires nor precludes the use of external oil management components
20 in a system. The benefits of a low oil output compressor result in downsized or eliminated
21 external oil management system components. It is always advantageous to provide as pure and
22 oil free gas as possible to the system, especially if accomplished economically.

23 Many conventional compressor designs include an oil sump reservoir and internal pump
24 to assure lubrication accounting for system oil losses while providing an ample oil quantity for
25 circulation to the frictional working components of the machine, as well as establish a return
26 repository for replenishment oil returned back from the system. Most conventional designs allow
27 undesirable and substantial gas mixing enhanced by large internal areas of contact with oil wetted

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1 dynamic parts and/or stirred oil foam and/or mist droplets, large surface exposures covered with
2 oil, and free flow into and throughout lubricant containing regions of the machine including the
3 oil reservoir sump area.

4 It is known in the art that many oil lubricated compressors utilize rolling pistons or
5 crankshaft reciprocating pistons with piston rings or other designs which utilize an internal oil
6 sump reservoir wherein gas/oil exposure and mixing is difficult if not impossible to avoid
7 entirely. This is true of most compressors for applications of the types of cited. For this reason,
8 certain machines (in particular some CO₂ axial, scroll, rolling piston, crankshaft and screw
9 compressors) ignore the issue altogether, allowing prolific oil transport through the entire system
10 counting on the oil entrained gas to lubricate the working parts, and/or require significant and
11 expensive additional oil separation methodology in the system. The downside of this approach
12 is that acceptance of high oil circulation ratio (OCR) dictates acceptance of system and
13 compressor inefficiencies and other undesirable consequences. This cost and design tradeoff may
14 easily lead to related costs of more expensive external oil separation and management
15 components while yielding dubious satisfactory results.

16 Whether intentional or unintentional, if valve designs are inadequate for liquid refrigerant
17 or oil conditions generated for any reason, liquid through-put manifestations are known to cause
18 detrimental effects to compressors. If excessive liquid transport through valves becomes
19 significant enough, intake valves might oil-can, deform, or fracture, and/or discharge valves
20 might likewise see deformation and/or potential valve backer failure depending on the strength
21 of the backer structure.

22 Direct piston blow-by gas into oil wetted case areas containing dynamic components
23 results in oil entrainment of the working gas by exposure to oil soaked elements and/or large
24 exposed oil sump region(s). In addition, the route of the intake gas from compressor inlet through
25 to the intake valve should be maintained as oil-free as possible and facilitate oil separation as
26 opposed to enhancing oil entrainment of the working gas. This route is largely overlooked in
27 regard to oil separation and temperature control of the working gas, reducing the ultimate

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1 efficiency of powering the working gas in and out of the compressor without compromising the
2 lubrication of working parts. An ideal configuration would segregate the intake gas from the
3 internal oil containing and wetted regions of the compressor prior to the intake/compression
4 cycle, and before passing through an intake valve, all the while facilitating the separation of
5 entrained oil in the process. An ideal compressor would accomplish these tasks in either a
6 horizontal or vertical orientation.

7 Therefore, piston blow-by gas into the compressor's oil bearing regions should be
8 minimized and quickly evacuated limiting undue exposure to the lubricant. In addition, return
9 intake gas should not necessarily be directed directly into or through oil rich internal areas of a
10 compressor as a main gas passage to the intake valve, as this significantly enhances oil
11 entrainment of the gas. Should oil separation methods employed in the external circuit fail, or
12 superheat of the return refrigerant be insufficient, liquid (oil and/or refrigerant) may result in high
13 OCR or liquid slugs to the compressor inlet port. Compressor failure or damage may result as
14 liquid oil and/or liquid refrigerant produces a hydraulic manifestation which effectively does not
15 allow normal gas compression because of the liquid medium state of the compound. The
16 resultant pumped liquid, which is considered incompressible, imparts slamming stress forces to
17 thin reed valve components which may fracture or otherwise deform. For these reasons, it is
18 vastly preferable for internal means of gas/oil segregation, and gas/oil separation, to occur within
19 a compressor machine between inlet gas port and prior to entry through an intake valve into a
20 compression chamber.

21 Oil lubricated compressors (excluding self lubricated or sealed lubricated components)
22 must rely on any combination of four means to provide liquid lubrication to working frictional
23 contacting parts, and assure that oil is supplied and replenished adequately when in operation.
24 These means are: (1) an oil pump, (2) splash lube, or (3) oil mist circulation designed to supply
25 lubrication to moving parts and (4) immersed running operation. Operational design of oil
26 lubricated compressors is specifically dictated by gravity as a first and primary consideration.

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1 For vertical oriented axially motors, pumps, compressors, and other shaft driven devices,
2 pumping lubricant to high areas from an oil reservoir/sump generally requires an oil pump as a
3 conventional option to oil immersed operation which can be inefficient. Active positive
4 displacement pumps add expense and weight and other design and maintenance logistics burdens.
5 However, it is known in the art that a centrifugal pump requiring no additional parts may be
6 designed starting in the center end of a shaft and line-boring a hole off axis toward the outer
7 diameter and exiting the shaft at some axial distant vertical point. Spinning such a shaft in a
8 vertical orientation is known to centrifugally lift oil from a sump area.

9 However, this centrifugal pumping concept has not been applied to improved effect in
10 the design of axial piston wedge driven compressors. An axial compressor with its pistons
11 pointed up in a vertical position inherently determines that the driest area of such a wobble plate
12 compressor is the center spacial area above the wedge. The oil sump is below the wedge which
13 in effect “hides” the pistons and slipper shoes and internal piston retention support mechanisms
14 from straightforward splash lube operation. Oil can be distributed around the wedge perimeter
15 but a solution has not been found for splash lubricating the inner surface of the wedge and
16 maintaining a continuous even hydrostatic film while the spinning wedge is flinging oil off of
17 those very surfaces in the opposite direction. Compounding the problem is the fact that the wedge
18 is sloped and therefore oil drains off the surface quickly when stopped.

19 Relying on splash lubrication routed around and over the top of the wedge cannot be
20 counted on to coat the wedge sufficiently and consistently for dry starting and long term
21 durability. The reasonable conventional alternative would be to lubricate from the shaft. It should
22 be pointed out that using a shaft for centrifugal lubrication requires holes of alignment exiting
23 the shaft with the number of holes and the location of holes and the size of the holes adequately
24 engineered to assure all working parts are lubricated sufficiently. This is a sizeable and
25 sometimes impossible challenge when multiple areas must receive the quantity of oil required
26 at the proper locations but physical limitations of shaft oil distribution prohibit it.

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1 Applicant's invention embodies an improved centrifugal wedge that acts as a pump. The
2 wedge structure allows even distribution of hydrodynamic oil over the wedge surface while
3 providing adequate splash lubrication necessary internally above the wedge lubricating the
4 innermost frictional mechanisms. These are the innermost bottom piston surfaces as well as the
5 combined retainer mechanisms. The wedge simultaneously lifts and splashes the oil on its outer
6 perimeter, splash lubricating the wedge and piston bottom outer surfaces.

7 Gravity induced bearing loads and oil pooling must be considered. The determinations
8 of the internal/external compressor structures and component orientations, horizontal or vertical
9 conventionally yields a final configuration to be used only in its singular design orientation. The
10 packaging of final equipment largely depends on the selected compressor and its physical
11 dimensions.

12 As an example, hermetic scroll compressors are known to have a relatively small
13 cylindrical footprint requiring a vertical orientation. This condensed footprint with a taller profile
14 defines a vertical minimum space limit for installed equipment which is determined largely by
15 the length of the motor and compressor vertically stacked and coupled within one hermetic
16 "shell". However, the scroll compressor will not function properly or fail if operated in a
17 horizontal position. The upshot is that taller compressors with vertical orientations may not
18 qualify for use in the design of limited headroom, low profile packaged equipment designed for
19 tight vertical spaces such as interstitial spaces such as above ceilings. Conversely, taller
20 compressors such as scroll compressors are conducive for application in equipment designed
21 where a small footprint is desired and floor space is premium and vertical space is adequate.

22 As a converse example, crankshaft reciprocating piston compressors conventionally
23 couple with an electric motor in a horizontal orientation and usually employ oil sumps often with
24 oil pumps or splash lube methods providing lubrication to frictional components. This orientation
25 is better suited for low profile, larger footprint equipment packages. Many examples of differing
26 compressors have been designed for use exclusively in either a horizontal, or conversely a
27 vertical operational orientation. In short, an oil lubricated gas compressor is needed that will

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1 operate in either a horizontal or vertical application without compromising lubrication of the
2 machine and improve the segregation and separation of lube oil and the working refrigerant.

3 As has been inferred above, the type of drive system with the consideration of refrigerant
4 containment is extremely important, and even critical for most applications, especially those
5 using CO₂. Driving a compressor with an open mechanical shaft such as provided by a
6 combustion engine is not easily accomplished as a totally sealed hermetic machine. This would
7 require the engine to be included in a single sealed case, or shell, along with the compressor.
8 Therefore, practicality requires a compressor open drive shaft with an adequate rotary shaft seal
9 for sealing the working gas within the compressor. For a horizontal orientation, generally this
10 would most easily be achieved with the compressor interior lubrication system arranged so as to
11 function properly in this exclusive arrangement.

12 In a vertical orientation, a motor or engine may be theoretically employed above or below
13 the compressor. However, many conventional piston compressors have a high-pressure head
14 and/or intake and exhaust manifolds adjacent to and blocking piston cylinders. In conventional
15 axial piston machines, this head/manifold region with precision internal valve components does
16 not allow a thru-shaft penetration. The conventional design of a vertically mounted axial
17 compressor which is to be used above the drive motor or engine is commonly dictated largely by
18 the compressor head and manifold in relation to the driving end of the compressor drive shaft.
19 The compressor being the highest uppermost component allows the pistons and valving to be
20 above any oil reservoir, and the compressor drive shaft usually points downward for connection
21 to a prime mover. This is quite acceptable for use with an open drive compressor requiring but
22 few compromises, and further allows an oil sump in the compressor exclusive of and outside of
23 the driving mechanics of the compressor. This of course assumes a compressor rotary shaft seal
24 of adequate design to hold the gas pressures and withstand the temperatures and mechanically
25 generated seal friction caused by shaft rotation and sealing elements. A hermetic or semi-
26 hermetic (fully sealed) application with the motor below the compressor would eliminate the
27 rotary shaft seal requirement because gas is sealed within the entire compressor/motor assembly

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1 case or shell. However, now the oil within the compressor seeks the lowest point which is the
2 interior motor shell housing the stator/rotor components. Dry motor operation would require an
3 oil seal (internal) between the compressor/motor which might be expected or designed to leak,
4 thus requiring an oil return motor/sump oil scavenge method up to a separate compressor sump.
5 A hermetic or semi-hermetic immersed oil motor configuration might be an alternate
6 consideration for a bottom mounted motor; however, several technological and cost hurdles exist
7 for efficient operation. To summarize the described vertical configuration: a bottom mounted
8 electric motor coupled with a top mounted compressor which are both contained within a single
9 hermetic or semi-hermetic shell is not a simplistic configuration from a design point of view. The
10 exception for this orientation is an open drive compressor above the motor wherein the gas and
11 oil is contained within the compressor mounted above, and the motor is free to operate normally
12 in ambient conditions below.

13 An alternative embodiment of vertical orientation of an axial compressor/motor
14 combination is the compressor on the bottom with the motor above the compressor. However,
15 and as previously referred, the head arrangement of most compressors does not allow thru
16 penetration of a drive shaft. Therefore, to consider this orientation, most axial compressors must
17 necessarily be inverted orienting the high-pressure head, manifold, and piston valving located at
18 the bottom of the stacked arrangement. The compressor drive shaft usually exits the opposing end
19 of the compressor case and would point up to couple with the motor. In this position, during
20 compressor idle/off conditions there is a risk that oil migration will leak past the pistons
21 collecting and pooling in valve areas and manifolds. After idle shut-down, compressor start up
22 risks damage to sensitive valving due to oil liquid slugging and hydraulic canning caused by oil
23 which has settled in undesirable areas. Even if this oil is expelled from these regions without
24 incident, the effect is likely to require collection and return of this oil to the compressor. To add
25 to this hurdle, there is no compact or simple provision for an oil sump located within the
26 compressor at the lowest point, inferring an oil flooded compressor arrangement; not an ideal
27 scenario.

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1 To summarize, there is a need for an improved oil lubricated compressor that allows thru-
2 shaft access at either or both the high-pressure head/manifold area and the lower (sump) area of
3 the compressor for use in a vertical orientation. In addition, the same compressor could be used
4 in a horizontal orientation. The same compressor would be capable of coupling with various open
5 drive and hermetic drive configurations including double-ended drive shaft for stacking and
6 plural arrangements of compressors, motors, gas expansion engines or other embodiments.
7 Combined elements of Applicant's invention provide improvements of compressor performance,
8 durability, and cost because of compressor design improvements, packaging flexibility,
9 manufacturability, and economy of scale.

10 Another problem addressed by Applicant's invention is related to the means for center
11 positioning the piston retainer plate in an axial machine. In the past, a fixed position spherical
12 ball nose segment, post, and spring assembly imparts both necessary force to counteract suction
13 piston forces as well as fixing a centering position of the wobbling piston retaining plate.
14 Centering a piston retainer plate in this way is intended to prevent radial misalignment assuring
15 that wobbling piston slipper skirts do not interfere with respective but oversize retainer bore
16 holes in the retainer plate. Applicant's invention provides a means of centering the retainer plate
17 using the dynamic geometric position of the piston slipper shoes and slipper skirts on the wedge
18 face plane as a centering mechanism.

19 Applicant's invention provides a means for allowing vertical or horizontal operation and
20 selectable options of preferred open drive or sealed hermetic drive configurations, all embodied
21 in a single oil lubricated axial machine. The preferred embodiment illustrates an axial wobble-
22 plate multi-cylinder compressor allowing either horizontal or vertical orientation incorporating
23 combined improvements including but not limited to, means of: superior lubrication oil/gas
24 segregation in vertical or horizontal orientation, oil/gas segregation/separation in either
25 orientation, oil distribution to frictional surfaces in either orientation, through shaft and load
26 bearing allowing vertical or horizontal stacking and plural arrangements of compressors/motors,

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- 1 flexible adaption accepting open drive or hermetic drive configurations, and a new means for
- 2 centering the piston retainer plate using the piston slipper shoes and slipper skirts.

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III. BRIEF DESCRIPTION OF THE DRAWINGS

1
2 Fig. 1 is a left side cross sectional view of the inventive compressor with the shaft
3 extending through the head of the compressor.

4 Fig. 1A is a left side cross sectional view of an alternate embodiment of the inventive
5 compressor in which the shaft does not extend through the head of the compressor.

6 Fig. 2A is a top plan view of the cylinder block

7 Fig. 2B is an enlarged view of the encircled area A of Fig. 2A

8 Fig. 3A is a top plan view of the retainer plate.

9 Fig. 3B is a cross sectional view taken along line 3B-3B of the retainer plate of Fig. 3A.

10 Fig. 3C is an end view of the retainer plate of Fig. 3A.

11 Fig. 3D is an enlarged sectional view of detail area B encircled in Fig. 3B

12 Fig. 4A is a top plan view of the retainer sleeve.

13 Fig. 4B is front elevation view of the retainer sleeve of Fig. 4A.

14 Fig. 4C is a cross sectional view taken along line A-A of Fig. 4A of the retainer sleeve.

15 Fig. 4D is an enlarged view of the detail area B encircled in Fig. 4C illustrating the
16 retainer sleeve nose.

17 Fig. 5A is a top plan view of the assembled retainer plate and retainer sleeve.

18 Fig. 5B is a front elevation view of the assembled retainer plate and retainer sleeve of Fig.
19 5A.

20 Fig. 5C is a cross sectional view taken along line 5C-5C of Fig. 5A.

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1 Fig. 6 A is an illustrative plan view taken perpendicular to the sloped plane of an axial
2 piston retainer plate illustrating conventional center positioning methods in which retainer plate
3 bore hole clearances allows function with orbiting piston slipper skirts.

4 Fig. 6 AA is an illustrative plan view taken perpendicular to the sloped plane of the axial
5 piston retainer plate showing a novel positioning method which uses contact of orbiting piston
6 slipper skirts within their respective retainer plate bore holes.

7 Fig. 6B is an illustrative plan view taken perpendicular to the sloped plane of the axial
8 piston retainer plate showing the engineered location and sizing of piston slipper skirts and
9 retainer plate bore holes which enable the novel retainer plate positioning method.

10 FIG. 6C is an illustrative plan view taken perpendicular to the sloped plane of the axial
11 piston retainer plate showing piston slipper skirt and retainer plate bore hole positioning in (3)
12 example wedge slope directions.

13 Fig. 7A is a front elevation view of the wedge.

14 Fig. 7B is side elevation view of the wedge.

15 Fig. 7C is a cross sectional view taken along line 7C-7C of Fig. 7B.

16 Fig. 7D is a cross section view taken along line 7D-7D of Fig. 7C.

17 Fig. 8A is a left side elevation view of the compressor housing.

18 Fig. 8B is a front elevation view of the compressor housing.

19 Fig. 8C is a top plan view of the compressor housing.

20 Fig. 9A is a bottom view of the head with front elevation to the right

21 Fig. 9B is an elevation cross sectional view taken along line 9B-9B of Fig. 9A.

22 Fig. 9C is an enlarged view of the encircled area C in Fig. 9B.

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- 1 Fig. 9D is an enlarged view of the encircled area D in Fig. 9C.
- 2 Fig. 9E is a front elevation view of the head.
- 3 Fig. 9F is a top view of the head in Fig 9E.
- 4 Fig. 9G is a plan cross sectional view taken across line 9G-9G of Fig. 9E.
- 5 Fig. 10A is a top plan view of the port plate.
- 6 Fig. 10B is an end view of the port plate in Fig. 10A
- 7 Fig. 11A is a top plan view of the suction reed valve plate and the suction valves.
- 8 Fig. 11B is an enlarged view of the encircled area A in Fig. 11A illustrating the suction
9 valve configuration.
- 10 Fig. 11C is an end view of suction valve plate in Fig.11A.
- 11 Fig. 12A a top plan view of the discharge reed valve plate configuration with discharge
12 valves.
- 13 Fig. 12B is an end view of discharge valve in Fig. 12A.
- 14 Fig. 12 C is a plan view of the valve assembly looking at the suction valve stacked upon
15 the port plate stacked upon the discharge valve
- 16 Fig. 13 is a perspective sectional view of the housing gas and oil separation configuration
17 with function notes.
- 18 Fig. 14A illustrates a vertical open drive with a dry (no oil) bottom motor operation.
- 19 Fig. 14B illustrates a vertical hermetic drive with bottom motor oil (wet) or dry operation.
- 20 Fig. 14C illustrates a vertical open drive with dry (no oil) top mount motor operation.
- 21 Fig. 14D illustrates a vertical hermetic center mount upsized single motor deployed with

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1 top and/or bottom mounted compressor and alternate machine.

2 Fig. 14E illustrates vertical hermetic center mounted dual compressors with top and/or
3 bottom mounted motors and/or alternate machine(s), which also allows convenient compressor
4 staging.

5 Fig. 14F illustrates horizontal open drive with dry (no oil) motor operation.

6 Fig 14G illustrates horizontal hermetic drive with center mounted dual compressors and
7 downsized end-mounted motors.

8 Fig. 14H illustrates horizontal hermetic drive with center mount upsized single motor
9 operation. for multiple compressor operation, which also allows convenient compressor staging.

10 Fig. 15 is an external isometric view of an alternate embodiment of a semi-hermetic
11 compressor.

12 Fig. 16 is a cross sectional view of the alternate embodiment of Fig. 15.

13 Fig. 17 is an enlarged cross section view of the retainer sleeve engaging the retainer plate
14 to push the piston-shoe assembly down during the suction stroke.

15 Fig. 18 is a further enlarged cross section view through valves during suction stroke.

16 Fig. 19 is a similar cross section view through valves during discharge stroke.

17 Fig. 20 is a horizontal cross section view through the suction port to illustrate liquid
18 separation from suction gas.

19 Fig. 21 is a perspective view of the ring-shaped discharge valve also called the omni-ring
20 discharge valve.

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IV. DETAILED DESCRIPTION OF THE FIRST EMBODIMENT

Turning first to Fig. 1, there is illustrated an inventive axial piston machine which can be a compressor, pump or engine but for simplicity will be referred to herein as a gas compressor

1. Fig. 1 shows interior structure and components of compressor 1 which has a case or housing 2, a head end 19, and a base mount end 20. Within the housing structure 2 is a cylinder block 3 which contains at least 3 pistons/ring assemblies (piston 4) in respective piston cylinder bores 3a. Cylinder block 3 is a sealed fit to, or may be integral with, housing 2 located in central housing cavity 2a. The preferred embodiment shows a sealed fit of cylinder block 3 to housing 2 and assembled as shown bolted securely with cylinder bolts 9 and cylinder holes 9a in a sealed arrangement of conventional means with head 19a. Each piston 4 incorporates piston ball 4a which is partially encompassed (ball swage fit) by a slipper skirt 5a of piston slipper shoe 5 which are illustrated as one-piece part. Shown as a conventional piston and shoe ball/socket swaged arrangement, it should be noted that in an alternate and reversed configuration the ball might be integral with the slipper shoe and the socket within the piston base. Rotating wedge 12 is affixed to, or alternatively integral as one piece with, shaft 11 which is driven by a rotational force supplied by an electric or hydraulic motor or other mechanical means such as illustrated in Figs. 14A-14H. Wobble plate or wedge 12 presents a smooth, flat low friction angled face surface which piston slipper shoe 5 must follow in a sliding function wobbling about piston ball 4a as wedge 12 rotates. Mechanical rotation upstrokes piston 4 from bottom dead center within its respective bore 3a performing a pumping or compression stroke of a fluid through 180° rotation to top dead center. At head end 19, discharge and suction valving is accomplished by valve assembly 10.

Shaft 11 extends into or through head 19a centered radially at head end 19 by bearing 22 while rotating wedge 12 is supported radially and axially by bearings 13 and 14 which may be combined as one bearing assembly. Shaft 11 may be driven or drive from either the base end 20 or the head end 19 as illustrated by using an open drive coupling method 38 and protective shroud 40, separate but attachable to shaft 11 and base end 20 respectively. Alternative integrated

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1 motor/compressor sealed hermetic embodiment does not require a shaft seal between the motor
2 and compressor. Double-ended shaft open drive method using seals 15 and 15a, illustrate seal
3 cartridges for sealing shaft 11 at housing base end 20 and head end 19.

4 Fig. 1A shows an alternate embodiment of a compressor 1, which shows the versatility
5 of the invention for economy of scale cost reduction. Rotating wedge 12 is supported radially and
6 axially exclusively by engineered bearings 13a and 14a which may be combined as one bearing
7 assembly allowing a shortened, single ended shaft 11a which does not extend into or through
8 head end 19. Engineered bearing support means does not require an opposing end of shaft 11a
9 to be incorporated or otherwise supported. Head 19aa (Fig 1A) illustrates a lifting ring pilot hole
10 19g in lieu of head 19 (Fig 1) bore hole machining for shaft 11 and seal 15a accommodations.
11 Head bolt holes 19h and head bolts 19i thread into housing 2 at 19k (Fig. 8C). Either machined
12 configuration of head 19a or 19aa may be used with compressor embodiment 1A without
13 compromising performance. This describes the primary difference in embodiments of compressor
14 1 and compressor 1a in Fig, 1 and Fig. 1A respectively; the following description will refer to
15 compressor operation as compressor 1/1a unless otherwise specified.

16 Retainer plate 6 of applicant's invention Fig. 1/1a counteracts piston 4 inertial and suction
17 downstroke forces by applying equal or higher force to piston slipper shoes 5. This force is
18 exerted by retainer sleeve 7 backed by retainer sleeve spring 8. Retainer plate 6 assures piston
19 slipper shoe 5 fully and evenly contacts wedge 12 completely through suction downstroke by
20 capturing wobbling piston slipper shoe 5 as slipper skirt 5a protrudes through bore hole 6c of
21 retainer plate 6, thus applying said pull force on piston 4 to slipper shoe 5 which slides on wedge
22 12 face angle when shaft 11/ 11a rotates. The retainer plate 6 is illustrated in Figs. 3A-3D.

23 In addition to prior art complex linkages which are wear prone and expensive to fabricate,
24 time proven axial piston pump downstroke retention methods are exemplified by Hugelman U.S.
25 7,794,212. Conventionally, a spherical ball nose segment, post, and spring assembly performs
26 the dual function of imparting the necessary force to counteract the piston suction forces
27 previously described, as well as perform fixed center positioning of an axial piston retainer plate.

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1 Such prior art methods of center positioning a piston retainer plate prevent its general
2 radial misalignment by fixing and holding its operational centered position from a measured
3 machined center point of the retainer plate itself. Final part fabrications all hold fixed and
4 established center point locations and must have engineered manufacturing tolerances allowing
5 deviation from theoretical true center, particularly when combined with fixed centerline
6 fabrications of mating assemblies. This fact is further exacerbated by non-mated and separately
7 fabricated axial centerlines of cylinder blocks and axial piston groupings. The upshot of these
8 fabrication and assembly inaccuracies requires establishment of acceptable manufacturing,
9 assembly, and operational dimensional tolerances and clearances. Consideration must also be
10 given to the fact that as pistons reciprocate in their cylinder bores piston slipper shoes/skirts
11 wobble about a fixed piston axis and potentially rotate while a wedge surface slides beneath.
12 Therefore, it is conventionally essential that retainer plate bore holes be made excessively large
13 allowing clearance with piston slipper shoe skirts to avoid binding and galling with respective
14 inside edges of retainer plate bore holes to operate without detrimental effects. This additionally
15 requires slipper shoe 5 base diameters to be made larger due to larger bore hole 6c diameters.

16 Applicant's invention provides a means of operationally center positioning the retainer
17 plate 6 which is not centrally fixed in or by the center of the machine or by the center of the
18 retainer plate itself. As seen in Fig. 3A-3D the retainer plate has a central opening 6b through
19 which the shaft 11 passes. In the past, a ball nose centers the retainer plate and is fixed in the
20 machine. Applied as a centering mechanism, the novel method of piston retention utilizes the
21 dynamic geometric position of piston slipper skirts 5a which remain perpendicular to the
22 wobbling face plane of wedge 12 in a predictable track. If desired, this configuration allows open
23 space in the center of the axial machine allowing drive shaft 11 extension through head 19 end
24 of compressor 1 such as illustrated in Fig. 1.

25 Figs. 6A, 6AA, 6B and 6C illustrate with exaggerated wedge slope the geometric tracking
26 of the arrangement whereby piston(s) slipper skirt 5a defines a centering position of retainer plate
27 6 and respective bore holes 6c so as to achieve dynamic center positioning of retention plate 6

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1 at any given position of wedge 12 rotation. These illustrations are viewed perpendicular to the
2 plane of retainer plate.

3 Fig 6A illustrates conventional slipper skirts (heavy black lines) which orbit in a circular
4 pattern inside retainer plate bore holes (heavy dashed lines). As shown in 6A and for reasons
5 previously described, prior art axial piston machines maintain clearance between slipper skirts
6 and retainer plate bore holes when centered by conventional means. Retainer plate bore holes are
7 necessarily made excessively large to maintain clearance and avoid interference with slipper
8 skirts. In applicant's invention in illustration 6AA, slipper skirt 5a clearance with retainer plate
9 bore holes 6c has been reduced to substantially zero (minimal clearance) at the outer orbit
10 perimeter of slipper skirts 5a to locate retainer plate 6 by rotational contact with retainer plate
11 bore holes 6c as slipper skirts 5a orbit therein. This allowance is effective because opposing
12 piston slipper skirts 5a inherently center retainer plate 6 both in the direction and perpendicular
13 to the direction of the wedge slope (arrow in center of each illustration).

14 Fig. 6 B illustrates slipper skirt 5a (heavy black lines) orbiting geometry which defines
15 the slipper skirt diameter and the retainer plate bore hole 6c (heavy dashed lines) pitch circle
16 dimension. By sizing these dimensions for near zero clearance, the outer perimeter of slipper skirt
17 5a orbit circles are coincident with retainer plate 6 bore holes and slipper skirts 5a are allowed
18 to freely wobble about piston ball 4a and potentially rotate while being in constant contact with
19 retainer plate bore holes 6c. This configuration results in extremely low friction and even wear
20 on components. In response to rotation of sloped wedge 12, slipper shoes 5 and retainer plate 6
21 wobble in relation to the center axis of compressor 1/1A. However, as viewed perpendicular to
22 the sloped plane of retainer plate 6, the axial centerline (points) of slipper skirts 5a orbit
23 circumscribing small circles (depicted) between larger circles (depicted) having diameters equal
24 to a major circle (not depicted for clarity) and minor pitch circle (slipper skirt 5a depicted)
25 dimensions of the ellipse created by projecting the piston slipper skirt 5a pitch circle onto the
26 plane of the sloped retainer plate.

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1 FIG. 6C shows representative slipper skirt 5a positions in (3) different retainer plate 6
2 slope directions. Thus, slipper skirt 5a axial piston groupings (5 illustrated) continuously
3 maintain points of contact with respective retainer plate bore holes 6c in opposing directions that
4 hold center position of the retainer plate 6 as it wobbles in response to wedge rotation.

5 Conventional axial hydraulic pump piston retention methods might be attempted in a
6 compressor for the consideration of allowing a shaft through a head porting area. However, such
7 configurations have major drawbacks if applied within a gas compressor. Traditional ball nose
8 spring force centering methods create a line contact sliding frictional interface while wobbling
9 around on a ball nose as a wedge is rotated. Although found acceptable for hydraulic pump
10 application where lubricating oil submerges the entire mechanism, this method is highly
11 susceptible to friction and wear in a gas or low lubricity liquid environment. Dry starting is a
12 serious problem and can be extremely detrimental in a gas compressor. It is questionable if
13 extraordinary conventional lubrication efforts could make such a configuration practically
14 acceptable using a ball nose/retainer compressor embodiment.

15 In applicant's invention, sleeve nose 7a (Fig. 4B and 4D) of retainer sleeve 7 contacts
16 retainer plate 6 at retainer plate rolling ring 6a, (Figs. 3A and 3D). This is important in that the
17 contacting interface of sleeve nose 7a and retainer plate 6 at retainer rolling ring 6a can be "tuned
18 to roll" by selecting the angles for the contacting surfaces of rolling ring 6a and sleeve nose 7a,
19 thereby virtually eliminating sliding friction. In this embodiment, retainer sleeve 7 is not
20 restrained as to rotation in cylinder central bore 3d (Fig.2A), but could be by conventional
21 securing means. In the example shown in Fig. 5, the contacting angles of sleeve nose 7a with
22 retainer rolling ring 6a are equally matched and there is no significant force to drive rotation of
23 retainer sleeve 7. This low friction rolling action of retainer plate 6 as it wobbles upon rotation
24 of wedge 12 is particularly important for dry start operational friction and wear reduction. Figs
25 5B and 5C show this assembled interface. An alternate embodiment applies the entire angle to
26 only one of these parts 7/7a or 6/6a, consequently there would be no side loading applied to the
27 flat part. In other words, side loading (radial force) vectors applied to retainer sleeve 7 to cylinder

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1 central bore 3d could be virtually eliminated; however, the sleeve would rotate in cylinder central
2 bore 3d due to the vector forces applied by retainer plate 6. If the overall contact angle is
3 unevenly split between parts 7/7a or 6/6a, combinations of retainer plate 6 side loading forces
4 weighed with rolling contact of retainer sleeve 7 and rotation in its cylindrical bore may be
5 optimized with material and lubrication selection to minimize detrimental wear due to sliding
6 and side-loading friction factors.

7 It is also notable that area in the center of the machine is the driest area within housing
8 core cavity 2a due to centrifugal force spinning oil from inner to outer radial locations. Therefore,
9 a low friction rolling action of these centralized components is a significant advantage especially
10 for dry starts. Retainer plate 6 must be strong enough to withstand deformation due to cantilever
11 reactive forces applied by motion of piston 4, as well as providing a novel method of retainer ring
12 6 center positioning. This benefit would apply to both axial piston compressors and pumps.

13 Lubrication of dynamic frictional components is particularly important when compressing
14 dry and/or solvent gases such as CO₂, and gravity is a primary consideration, therefore
15 orientation of compressor 1/1a must be considered. Initial description will describe a vertical
16 orientation of the preferred embodiment shown. Oil lubricated axial devices used as gas
17 compressors are prone to experience dry running in certain conditions, and consideration should
18 be given to where oil pools and drains. Radial centrifugal force of rotational components sling
19 oil from inner to outer circumferential elements, and oil viscosity dilution may occur under
20 certain circumstances. Dry starts exacerbate this manifestation as it takes time for parts to
21 become coated after extended shutdown. Applicant's invention utilizes a variety of these
22 characteristics to advantage in the method of oil distribution to working frictional components.
23 In addition, the separation of mixed entrained gas and oil is a major design consideration of the
24 invention. Oil sump 16 is a cavity located inside housing 2 at base end 20 which is substantially
25 concentric with axial shaft 11/11a. Oil drain ports 16a and 16b are integral with oil sump 16, and
26 are used for exit porting of oil to external cooling means if necessary (not shown), and/or oil
27 sampling, and/or draining compressor 1/1A of lubricant. Oil sump 16 is the source of lubrication

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1 oil for the machine, and every attempt has been made to limit working gas exposure to this oil
2 reservoir as well as central housing cavity 2a, the area of rotational and compressing components.

3 Although conventional centrifugal oil pump means are known to be employed within
4 spinning drive shafts in various vertically oriented machines, applicant's invention is an
5 improvement for upright vertical axial piston compressors. In a vertical position, adequate
6 lubrication of piston slipper shoe 5 at the frictional interface with wedge 12 sloped angle face is
7 a difficult challenge. Applicants invention embodies an improved centrifugal wedge 12 located
8 within the wedge structure that allows even distribution of hydrodynamic oil film over the wedge
9 12 surface while providing adequate internal splash lubrication necessary above the wedge 12
10 for lubricating the innermost frictional mechanisms. These are the innermost bottom piston 4
11 surfaces as well as the piston retainer plate 6 and retainer sleeve 7 interface. The wedge 12
12 further contains a dry start and slow start oil reservoir in the wedge which distributes stored oil
13 on startup. Additionally, the wedge 12 simultaneously spin lifts and splashes oil around its outer
14 and upper perimeter, splash lubricating piston 4 and slipper shoes 5 bottom outer surfaces.

15 The new method effectively introduces centrifugal pumping configuration achieved
16 through the spinning wedge 12, which now has the dual function of a traditional wedge and as
17 a pump. Wedge cavity 12c is oil filled in fluid communication with oil sump 16. In operation,
18 oil enters wedge cavity 12c near the axial center of the machine at a reduced diameter 11b of
19 shaft 11/11a, which may or may not exhibit an impeller surface configuration. Upon operational
20 rpm of shaft 11/11a, oil is centrifugally spun upward from wedge cavity 12c whose outer wall
21 is at a greater radial distance from spinning shaft 11/11a. Oil continues to rise up through at least
22 one wedge riser cavity 12a whose outer wall is at a greater radial distance and forced up into
23 wedge oil distributor cavity 12b. Wedge riser(s) hole(s) or cavity 12a may also be configured
24 as a combined monolithic structure functional with shaft 11/11a and wedge 12, or a rotational
25 locking interface exemplified as deepened spline or keyway channels or other conduits (not shown)
26 providing one or more oil paths upward inside the rotating wedge member. Oil is centrifugally
27 distributed from wedge oil distributor cavity 12b over wedge 12 sloped face supplying abundant

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1 oil to slippers and pistons, and providing a hydrodynamic lubrication film on the surface of
2 wedge 12 on which slipper shoe 5 lifts and rides. The walls of oil distributor cavity 12b may be
3 contoured to maximum effect for enhancing oil film continuity over the planar wedge 12 surface,
4 as well as determine the “throw” of excess oil that is spun off and up to the inner mechanisms.
5 Oil channel holes (not shown) in communication with oil distributor cavity 12b may be radially
6 drilled to points exiting the sloped face of wedge 12 in line with or inboard near the center of the
7 circumscribed path of slipper shoes 5 if required for additional slipper shoe 5 lubrication. The
8 bottom of wedge distributor cavity 12b may form one or more reservoir pockets 12d. Figs. 7d
9 and 7c illustrate one or more holes or cavities configured to collect and store oil upon shutdown
10 to achieve a lubricating dry start advantage by reducing time for oil to reach wedge 12 face when
11 rpm is initialized.

12 Gas/oil separation and gas return within central housing cavity 2a is additionally
13 addressed by applicants’ invention. Central housing cavity 2a and all adjacent housing cavities
14 must be maintained at operational suction pressure of the machine and not allowed to build
15 pressure within due to gas blow-by. These communicating cavities must be vented back into
16 suction regions in head 19/19a. It is advantageous to vent this gas immediately to reduce mixing
17 exposure to lubricating oil and particularly advantageous to separate this gas from the oil before
18 venting. Operated in a vertical position, gas blow-by past the piston 4 and or other high pressure
19 leakage into housing core cavity 2a is vented up thru retainer sleeve bore 7b clearance between
20 shaft 11 and retainer sleeve 7 interior bore wall. The space between shaft 11, oil slinger
21 protrusion 11c and retainer sleeve 7 at entrance to retainer sleeve bore 7b may be engineered to
22 optimize the gas/oil separation and venting function. Vented gas passes up through retainer
23 sleeve spring 8 in central cylinder bore 3d, and further passes within a radial cut cylinder vent
24 slot 3c (Fig. 2A) along the head end 19 face of cylinder block 3. Vented gas from vent slot 3c is
25 further routed around cylinder bolt 9, though valve assembly 10 bolt access holes 9b to thread
26 into head 19/19a in hole 9c. Counterbore 19e (Fig. 9c) registers with assembly 10 bolt access
27 holes 9b and continues housing 2 venting path to head suction vent slot 19f (Fig. 9A).

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1 To achieve oil separation prior to gas venting, shaft oil slinger 11b on shaft 11/11a is a
2 close engineered clearance at entrance to retainer sleeve bore 7b. Shaft rotation of shaft oil
3 slinger 11b acts as a centrifugal oil separator, slinging oil and/or oil foam radially outward to help
4 separate gas from oil and also lubricate the contact interface retainer sleeve nose 7a of retainer
5 sleeve 7 and retainer plate 6 at retainer rolling ring 6a. This is the driest area of the oil wetted
6 core area of the operational machine. Wear compatibility of retainer sleeve 7 and retainer plate
7 6 may be enhanced by using differing materials and surface finishes optimizing low wear at the
8 contact interface of retainer plate rolling ring 6a. Retainer plate rolling ring 6a may or may not
9 be a separate insert or application of selected material applied or affixed to retainer plate 6.

10 Suction refrigerant returned from a vapor compression system may benefit from
11 conditioning gas phase and/or oil separation. Refrigerant returning from such a system may be
12 in a cold liquid or quasi liquid state termed liquid slugs and by-passed oil from a compressor into
13 a system may return with the suction gas. As a practical matter, liquid is considered
14 incompressible and functions to hydraulically impact valve components. As such, liquid
15 returning to a compressor is undesirable and may cause detriment to a reed valve in a compressor,
16 but other valve embodiments may suffer as well. An intake valve might see "oil-canning"
17 deformation; a discharge valve might see valve or backer failure. A solution to this problem is
18 provided by Applicants' invention and is of particular benefit to a CO₂ system.

19 It is recognized that refrigeration or cold environment heat pump applications present the
20 real possibility of liquid slugging if the fluid is not effectively evaporated (heated). Conversely,
21 excessively warm suction gas may return which is less dense; therefore, mass flow entering
22 cylinder 3a is reduced and volumetric efficiency is reduced. Using a casting core processes or
23 alternate fabrication(s) one can incorporate into housing 2 interior cavities which substantially
24 encircle central housing cavity 2a. Return suction gas from a system enters compressor 1/1a at
25 housing intake port 18a into housing intake manifold 18 and routed generally circumferentially
26 around central housing cavity 2a, expelling through housing suction ports 2b (Fig. 8C) prior to
27 high pressure compression. This routing as illustrated in Fig.13 increases initial suction gas

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1 interior surface contact and dwell time having relatively low velocity as the housing intake
2 manifold 18 may be made large in comparison with available suction gas space volume in head
3 19/19a. This is useful in that by design, working fluid refrigerant may be conditioned before
4 passing through housing suction ports 2b.

5 To provide an engineered solution, wall 2c (Fig. 1) of housing central cavity 2a provides
6 an oil washed thermal bridge, or alternatively an insulating surface which may be utilized to
7 enhance or resist thermal transfer to the working fluid and/or lubricant cooling. Wall 2c is
8 adjacent to housing intake manifold 18, either of which may or may not be insulated by coating,
9 insert, or other application (not shown). In this way, more heat or less heat may conduct through
10 wall 2c to affect desired return refrigerant in housing intake manifold 18 and conditioning the
11 temperature phase state which is derived largely from the application of the compressor.

12 Housing intake manifold 18 further maintains substantial segregation of the incoming
13 return gas from oil mist and soaked internal working components within central housing cavity
14 2a providing low velocity and wall contact dwell time helping separate oil from gas. Housing
15 intake manifold 18 may further contain an oil separation media (not shown or specified).
16 Separated oil thus pools at the bottom of housing intake manifold 18 draining down into housing
17 return oil cavity 17 through weep hole(s) 44 in the structural web between intake manifold 18
18 into housing return oil cavity 17 as illustrated in Fig. 13. As seen in Fig. 13, the housing intake
19 manifold provides a circular path around the housing 2 to further allow the separation of oil from
20 gas. At least one oil port 17a is provided and used for oil filling and/or returned oil from external
21 cooling means if required, and/or oil level control means installed into port if required. Housing
22 return oil cavity 17 is in fluid communication or integral as a single cavity space with oil sump
23 16 forming a cavity to establish an oil level, and further provides a space for dissipation of gas
24 from entrained oil and oil foam.

25 Refrigeration suction gas expels from housing suction ports 2b (Fig. 8C) which are
26 registered by conventional means with head intake ports 19b (Fig. 9A) and access head suction
27 manifold 19c (Fig. 9C and 9G) further communicating with suction valve ports 19d (Fig. 9A).

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1 Suction and discharge valving is accomplished via valve assembly 10 (Fig 1/1A and Fig 12C).
2 Valve assembly 10 consists of a port plate 23 (Fig. 10A,), a suction reed valve plate 24 (Fig.
3 11A), and a discharge reed valve plate 25 (Fig 12A). Port plate 23 clamps circular suction reed
4 valve plate 24 sandwiched and sealed between port plate 23 and cylinder block 3. Suction valves
5 24a cover and seal port plate 23 and suction valve ports 23a. Suction valves 24a flex open to
6 cylinder 3a allowing refrigerant gas to fill cylinder 3a upon down stroke of pistons 4, but suction
7 valves 24a are limited in travel by suction valve side stops 24b (Fig. 11B) contacting cylinder
8 side stop recesses 3b (Fig. 2B) which are machined in head end 19 of cylinder block 3. Suction
9 reed valve 24a sidestops 24b position gas pressure loads so as to minimize bending at the valve
10 neck clampline. Suction valve sidestops 24b are positioned alongside the suction valve ports 23a
11 so gas loads are reacted with minimal affect on bending at the neck of suction valve 24a near the
12 clampline. Sidestops are in line across the centroid of the ports to best counteract forces and gas
13 loads around the ports. Reaction forces at the tip of a typical valve create undesirable valve back
14 bending which increases fatigue stresses at the clampline where valve neck bending originates.
15 Therefore, a conventional design utilizing a valve stop at the tip is inadequate for high pressure
16 operation as exemplified by CO₂ vapor compression. Center holes 23c in port plate 23, and 24d in
17 suction reed valve plate 24, and 25c in discharge reed valve plate 25 each allow optional shaft
18 11 thru protrusion.

19 Means for valving discharge of refrigerant gas from piston cylinder 3a clamps discharge
20 reed valve plate 25 (Fig. 12A) sandwiched and sealed between port plate 23 and head 19/19a.
21 Discharge valves 25a cover and seal port plate discharge valve ports 23b (Figs. 10A and 12C).
22 Discharge valves 25a are pressurized to open and discharge gas from cylinder bore 3a upon full
23 compression up-stroke of pistons 4, but are limited in travel by backer cut 19e (Fig. 9D) in head
24 19/19a. High pressure discharge gas exits discharge port 23b into discharge manifold 19m (Figs.
25 9C, 9G) from head discharge port holes 19n (Figs. 9A, 9G.)

26 It is of note that a conventional alternate (not shown) to housing intake port 18a might
27 locate intake return gas porting directly into head 19 at any convenient radial position. This head

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1 19/19a alternative to housing 2 intake port 18a provides a shunt option to using intake manifold
2 18 directly piping suction gas directly into head 19/19a. This alternative intake porting option
3 provides a combination of thermodynamic temperature, liquid separation, piping and operational
4 orientation options. This may be of advantage in horizontal operation.

5 Horizontal operation may be obtained by orienting ports 18a, 17a, 16a in a vertical top
6 position. The oil reservoir becomes the lower half section of compressor 1/1a. Oil lubrication
7 now occurs through splash oil distributed by rotating wedge 12 dipping thru the oil bath. Cylinder
8 vent slot 3c and housing suction port 2b remain above the oil level.

9 Applicant's invention enables prolific economy of scale manufacturing and a multitude
10 of application deployments. Vertical orientation yields an extremely small footprint and
11 horizontal operation reduces necessary headroom. While singular in axial design functionality
12 and fabrication layout, the combination of a double-ended shaft in a single machine operable in
13 either a vertical or horizontal orientation is unlike any known conventional compressor
14 embodiment. These combined orientation and drive benefits vertical or horizontal, hermetic,
15 semi-hermetic, and open drive options are unknown using a single compressor embodiment such
16 as illustrated in Figs. 14 A-H. A motor, shown generally as motor 46, drives the compressor 1,
17 which may be the compressor illustrated in Fig. 1 or Fig. 1A, as applicable for the various
18 arrangements. The various configurations that the invention allows are meant to illustrate the
19 numerous possibilities in which the invention may be utilized.

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IV. DETAILED DESCRIPTION OF THE SECOND EMBODIMENT

1
2 In the alternate embodiment, illustrated in Figs. 15-20, there is a semi-hermetic high
3 pressure axial piston compressor/pump which will be simply referred to as compressor 100. The
4 compressor has many similarities with the compressor 1 of the first embodiment. However, the
5 compressor 100 has added features for high speed capability and reduced manufacturing
6 complexity in a semi-hermetic configuration as will be described herein.

7 As shown in Figure 15, the compressor housing 108 of the compressor 100 is connected
8 by bolts 112 to the sump housing 101 and the head 110. Three external parts with two bolted
9 joints for this embodiment versus five external parts and four bolted joints in comparable
10 reciprocating compressors is a manufacturing advantage for this embodiment. There is an
11 electrical power connection 114 where power is brought into the compressor 100 in order to drive
12 it by means of the electric motor. There is also a suction connection 116 and discharge
13 connection 118. The sump housing 101 has an oil drain plug 104 and an oil sight glass 106.

14 Fig. 16 is a cross sectional view of the compressor 100. A wedge shaft 120 is vertically
15 mounted in the compressor. The bottom of the wedge shaft 120 is supported by a lower bearing
16 122 mounted in the sump housing 101. There is an upper bearing 124 mounted in compressor
17 housing 108 near the upper end of the wedge shaft 120 that centrally aligns the wedge shaft while
18 it is spinning. The lower bearing 122 may be a ball bearing, or alternatively, a combination of
19 journal and thrust washer bearings to run at high speed, greater than 3600 RPM.

20 The wedge shaft 120 drives a wedge 121 as previously described in the first embodiment.
21 The wedge shaft 120 is driven by the electric motor having motor stator end windings 128, motor
22 stator laminations 130 and a motor rotor 132 that is shrunk fit onto the wedge shaft 120.

23 As more clearly illustrated in Fig. 17, valve plate 135 and cylinder block 134 are fixed
24 below the head 110. There is a plurality of pistons 136 in cylinder bores 138. A freely
25 articulating shoe 142 is swaged onto the piston ball 140 of each piston. The shoes with attached
26 pistons are assembled in corresponding bores of retainer plate 146. The flange of each shoe is

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1 trapped between the retainer plate and the wedge 121. As the shaft rotates, a shoe is pushed
2 upward by the wedge and shoes on the opposite side of the retainer sleeve 148 are pushed
3 downward by the retainer plate which is a lever pivoting on a fulcrum at contact point 144 of the
4 retainer sleeve. As the wedge 121 rotates and pistons 136 reciprocate axially, the retainer
5 plate 146 wobbles, but does not rotate. The retainer sleeve 148 is centered in the cylinder block
6 134 and is positioned to hold the wobbling retainer plate 146 down so pistons, attached to shoes
7 142, go through the entire range of motion allowed by the rotating wedge face. The retainer
8 sleeve 148 reacts against significant piston inertia and suction forces on the retainer plate 146.
9 That net force is transmitted through a small area around the contact point 144. The contact point
10 144 between the retainer plate 146 and the retainer sleeve 148 is equally distant from the
11 centerlines of the retainer plate and the retainer sleeve, geometrically defined by a line bisecting
12 the wedge angle formed by the compressor 100 centerline and a plane through piston balls 140,
13 for rolling contact to avoid mechanical losses and failures from sliding at the contact interface
14 that is inherent in hydraulic pump retention mechanisms. This is illustrated and described in the
15 first embodiment.

16 In this alternate embodiment, the flat retainer plate 146 is less complicated to manufacture
17 than the conical profile in the first embodiment or the spherical interface in traditional axial
18 piston hydraulic pumps, but with the contact point 144 positioned for rolling contact in both
19 embodiments. The rolling action of the retainer plate 146 on the retainer sleeve 148 is explained
20 in detail in the previous embodiment. The retainer plate is radially restrained by the piston shoes
21 as explained in the first embodiment.

22 In this alternate embodiment, the controlled length retainer sleeve 148 rigidly maintains
23 a small axial clearance in the stack of parts between the wedge 121 and the valve plate 135
24 without a spring. Consequently, speed is not limited by the spring force. Elimination of the
25 spring with associated locating features reduces manufacturing complexity. Friction loss at the
26 shoe-to-wedge interface is less under normal operating conditions.

27 The alternate embodiment also has a modified oil distribution system. As seen in Fig.

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1 16, there is an offset passage 160 extending upward from the bottom of the wedge shaft 120.
2 As oil enters the end of the wedge shaft 120 under the oil level of the oil sump 102, the spinning
3 shaft forces the oil outward and upward along the outer wall of the offset passage 160. Above
4 the small diameter rotor 132 is an upper offset passage 165 in fluid communication with the
5 offset passage 160 and at a greater offset from the center of the shaft 120 to force the oil upward
6 into the centered large diameter receiving cylinder 166. Centrifugal force distributes oil evenly
7 around the inside diameter and up the walls of the receiving cylinder 166 for uniform distribution
8 over the top edge 162, over conical surface 168 and onto the wedge surface for lubricating shoes
9 as they slide over the wedge 121. The oil then flows back through an oil return passage 111 in
10 housing 108 to the oil sump 102 for recycling through the compressor. To provide oil to the
11 wedge 121 at start-up, there is a small start-up reservoir 170 that is located in the floor of the
12 receiving cylinder 166. Oil drains into the start-up reservoir 170 when the compressor is shut off
13 and is distributed to the wedge surface 121 on start-up.

14 In the first embodiment, as well as prior art reciprocating piston compressors, both reed
15 valves and traditional ring valves in the suction function flex to open under pressure created by
16 fluid flow through the valve and are closed by the flexing force in the valve as fluid flow
17 decreases. At higher speed, the forces and deflections are higher which increases the valve stress,
18 leading to failure, so speed has to be limited, typically 1800 rpm rated speed. Applicant has
19 developed a new suction valve illustrated in Figs. 18 and 19. Cylinder pressure normally holds
20 the suction plate valve 200 closed against the seat in the valve plate 135, Fig. 19. As the piston
21 136 moves downward, low pressure in the cylinder allows suction gas to push the suction plate
22 valve 200 downward which opens the valve for gas flow. The suction plate valve 200 moves
23 freely in the valve guide bore 202, as seen in Fig. 18, with no axial resistance until it is stopped
24 by the shelf 198 created by the top of the block 134 outside the cylinder bore 138. In this open
25 position, the valve 200 remains flat and is supported all around its outer edge to resist downward
26 gas forces with low valve stress, even at high speed. An additional benefit is that the suction
27 plate valve 200 stays wide open until the piston passes through bottom dead center (zero piston
28 velocity) so the cylinder pressure can nearly equalize with the pressure entering the valve unlike

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1 reed valves and traditional ring valves that maintain a pressure drop down to zero flow.

2 There is a common omni-ring discharge valve 206 illustrated in Figs. 18 and 19 (a single
3 valve part serves all cylinders). The omni-ring discharge valve 206 is radially positioned by a
4 large bore 208 in the valve plate 135. For each cylinder where cylinder pressure is less than the
5 discharge pressure, the discharge pressure holds the omni-ring discharge valve 206 closed flatly
6 against the port seat on the top side of the valve plate 135, Fig. 18. During the compression
7 stroke, the piston 136 moves upward and cylinder pressure overcomes discharge pressure locally
8 at that cylinder port to flex the omni-ring discharge valve 206 open against the bottom of the
9 head 110 above that particular port, Fig. 19. At the end of the compression stroke, the piston
10 stops at top dead center, flow stops, pressures equalize and flexing forces in the omni-ring
11 discharge valve 206 returns the valve to its normal flat shape against the port in the valve plate
12 135 and the closed omni-ring discharge valve 206 seals as shown in Fig. 18. The manufacturing
13 advantage is that the head 110 limits valve travel without independent backer parts and fasteners
14 used in other devices.

15 In this embodiment, liquid separation is modified to provide cooling for the semi-
16 hermetic motor. In Fig. 20, a high velocity mixture of liquid and gas enters the offset, horizontal
17 suction connection 116 and flows toward the opposite wall that is curved around the compressor
18 center. Dense liquid flung against the curved wall of the compressor housing 108 collects along
19 the wall, separates from the gas and drops downward due to gravity. Less dense gas may flow
20 all the way around inside the curved wall of the housing, and some may recycle past the suction
21 connection 116. Because the passage 212 gradually narrows along this flow path, most of the
22 gas flow is squeezed between and over the end winding wires, which cools the end windings 128,
23 as the gas is pushed upward to the suction gas passage 109 in compressor housing 108 as seen
24 in Fig. 16. Cast features in the housing provide this separation and cooling functionality without
25 added parts or incremental machining cost.

26 In this alternate embodiment, the compressor 100 is dynamically balanced. There is a
27 couple created by axial piston inertia forces offset from the compressor centerline. This couple

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1 is about a horizontal axis through the wedge. There is another couple about this same axis from
2 offset centrifugal forces on mass distributed non-symmetrically along the wedge shaft. By
3 design, these couples offset each other for dynamic balance. This enables low vibration, high
4 speed operation without vibration dampers or other added parts.

5 In this embodiment, the electrical box 105 in Figure 15 is integrated into the cast
6 compressor housing 108 to eliminate a joint between a loose electrical box and the housing
7 typical in traditional reciprocating compressors. Likewise, gas and oil passages 212 and 111 are
8 integrated into the compressor housing casting to reduce manufacturing complexity.

9 Features of rolling retainer interface, solid retainer sleeve, non-flexing plate valve for
10 suction and dynamic balance enable the technical breakthrough of high capacity from speed
11 above traditional 4-pole motor speed (1800 rpm at 60 hz.). Elements of this embodiment have
12 been tested at 2-pole motor speed (3600 rpm at 60 hz) and with all the elements of this
13 embodiment, higher speed is achievable.

14 The flat retainer plate, solid retainer sleeve, oil distribution system, non-flexing plate
15 valves for suction, omni-ring discharge valve and features integrated into castings reduce part
16 count and complexity relative to traditional concepts in piston compressors/pumps.

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V. CLAIMS

1 What is claimed is:

2 1. An axial compressor comprising:

3 a centrally mounted drive shaft;

4 a wedge mounted in the axial compressor, the wedge having a planar angular
5 surface;

6 a plurality of slipper shoes placed on the planar angular surface of the wedge;

7 a plurality of cylinders disposed in a cylinder barrel;

8 a piston disposed in each of the cylinders, each piston having one end operatively
9 connected to the slipper shoe and the other end slidably received in the cylinder;

10 drive means for causing the wedge to drivingly engage the pistons thereby causing
11 the pistons to reciprocate;

12 a retainer plate engaging the slipper shoes for maintaining the slipper shoes in
13 contact with the wedge;

14 a retainer sleeve mounted in axial parallel alignment with the drive shaft, the retainer
15 sleeve having a top and bottom, the bottom of the retainer sleeve engaging the retainer plate and
16 restraining the retainer plate from movement parallel to the axis of the drive shaft, the retainer
17 plate securing the slipper shoes beneath the retainer plate to maintain the slipper shoes in contact
18 with the wedge angular surface.

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1 2. The axial compressor of claim 1 and further comprising a contoured surface on the
2 bottom of the sleeve, and a complementary receiving surface on the retainer plate engaging the
3 contoured surface on the bottom of the sleeve whereby the two surfaces engage each other in
4 continuous rolling engagement as the retainer plate changes its angular orientation with respect
5 to the pistons during operation of the compressor.

6 3. The compressor of claim 1 wherein the top of the retainer sleeve engages a valve plate
7 for securing the retainer sleeve between the valve plate and the retainer plate to prevent axial
8 movement of the retainer sleeve without the use of a spring.

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- 1 4. An axial compressor comprising:
- 2 a centrally mounted drive shaft having a top and a bottom;
- 3 a wedge mounted in the axial compressor, the wedge having a planar angular surface;
- 4 a plurality of slipper shoes placed on the planar angular surface of the wedge;
- 5 a plurality of cylinders disposed in a cylinder barrel;
- 6 a piston disposed in each of the cylinders, each piston having one end operatively
- 7 connected to the slipper shoe and the other end slidably received in the cylinder;
- 8 drive means for causing the wedge to drivingly engage the pistons thereby causing the
- 9 pistons to reciprocate;
- 10 a retainer plate engaging the slipper shoes for maintaining the slipper shoes in contact
- 11 with the wedge;
- 12 a retainer sleeve mounted in axial parallel alignment with the drive shaft, the retainer
- 13 sleeve having a top and bottom, the bottom of the retainer sleeve engaging the retainer plate and
- 14 restraining the retainer plate from movement parallel to the axis of the drive shaft, the retainer
- 15 plate securing the slipper shoes beneath the retainer plate to maintain the slipper shoes in contact
- 16 with the wedge angular surface;
- 17 an oil sump at the bottom the compressor, the bottom of the drive shaft disposed in the
- 18 oil sump;
- 19 at least one offset oil passageway offset a first distance from the center line of the drive
- 20 shaft and extending upward from the bottom of the drive shaft, the rotation of the drive shaft
- 21 forcing the oil from the sump upward in the oil passageway for distribution onto the wedge
- 22 surface for lubricating the slipper shoes as they slide over the wedge.

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- 1 5. The axial compressor of claim 4 wherein the oil passageway comprises a lower
2 passageway and an upper passageway in fluid communication with each other, the lower
3 passageway at a first offset distance from the center line of the drive shaft and the upper
4 passageway at a second offset distance from the center line of the drive shaft that is greater than
5 the first offset distance of the lower passageway to force oil into a receiving compartment for
6 distribution onto the wedge surface.
- 7 6. An axial compressor comprising:
- 8 a centrally mounted drive shaft;
- 9 a wedge mounted in the axial compressor, the wedge having a planar angular surface;
- 10 a plurality of slipper shoes placed on the planar angular surface of the wedge;
- 11 a plurality of cylinders disposed in a cylinder barrel;
- 12 a piston disposed in each of the cylinders, each piston having one end operatively
13 connected to the slipper shoe and the other end slidably received in the cylinder;
- 14 drive means for causing the wedge to drivingly engage the pistons thereby causing the
15 pistons to reciprocate;
- 16 a retainer plate engaging the slipper shoes for maintaining the slipper shoes in contact
17 with the wedge;
- 18 a retainer sleeve mounted in axial parallel alignment with the drive shaft, the retainer
19 sleeve restraining the retainer plate from movement parallel to the axis of the drive shaft, the
20 retainer plate securing the slipper shoes beneath the retainer plate to maintain the slipper shoes
21 in contact with the wedge angular surface;
- 22 a valve plate having a top and bottom surface;

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1 a circular suction valve on the bottom surface and a ring-shaped discharge valve on the
2 top surface;

3 the suction plate valve pulled downward during a suction stroke to open the suction plate
4 valve thereby allowing gas to flow into the cylinder;

5 the suction plate valve pushed upward during a discharge stroke to close the suction plate
6 valve thereby restricting gas from flowing out through the suction plate valve;

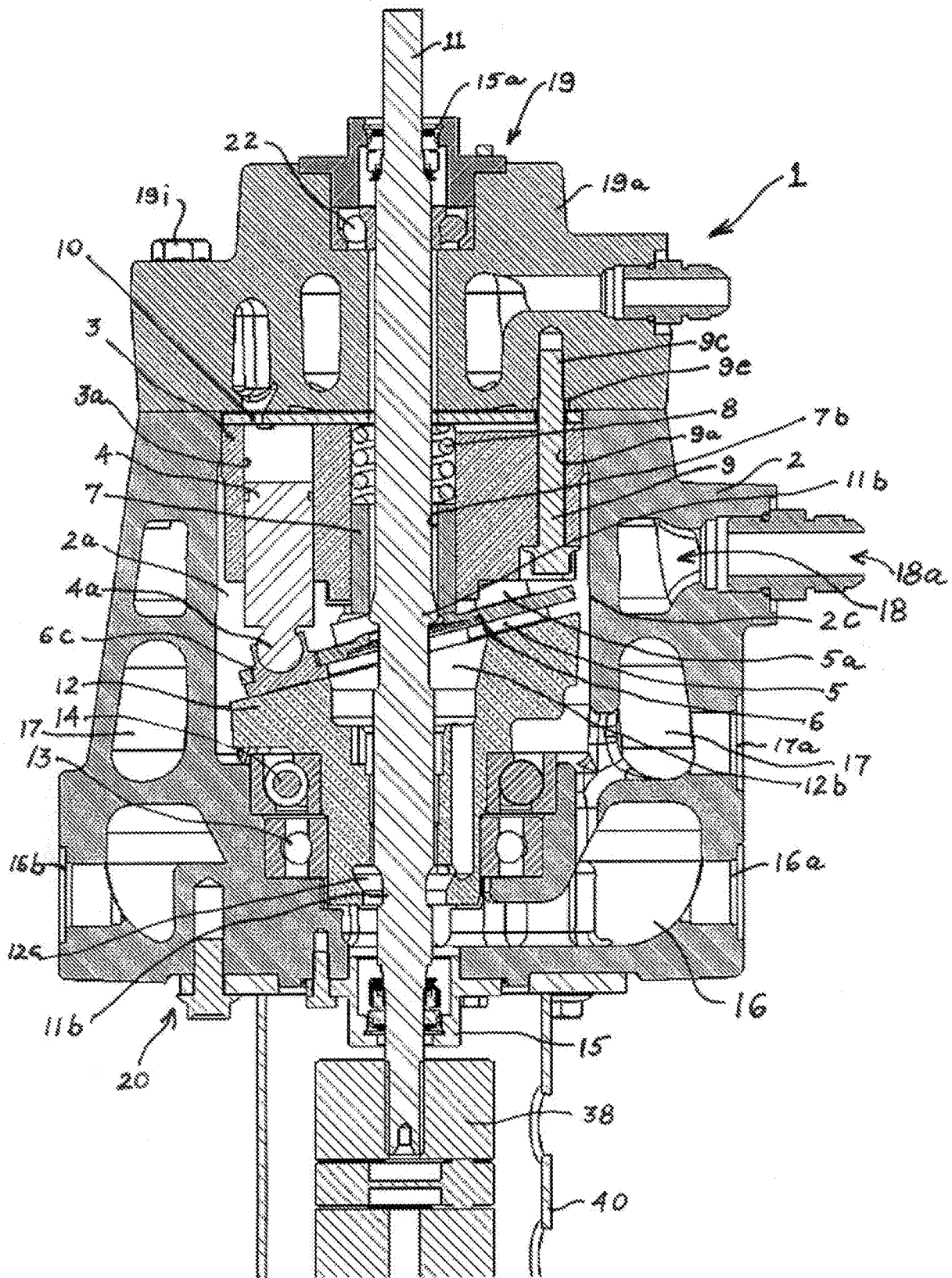
7 the ring-shaped discharge valve pulled downward during the suction stroke to close the
8 ring-shaped discharge valve thereby restricting gas from flowing into the cylinder through the
9 ring-shaped discharge valve;

10 the ring-shaped discharge valve flexing upward during the discharge stroke thereby
11 allowing gas to flow out of the cylinder through the ring-shaped discharge valve.

12 7. The axial compressor of claim 6 and further comprising a suction guide bore for
13 receiving the suction valve which is free to axially move in the suction guide bore, and

14 a discharge guide bore for receiving the ring-shaped discharge valve which is free to
15 axially move in the discharge guide bore;

16 8. The axial compressor of claim 6 and further comprising a supporting shelf on the
17 cylinder block surrounding a perimeter portion of the suction plate valve for supporting the outer
18 edge of the suction plate valve during the suction stroke.



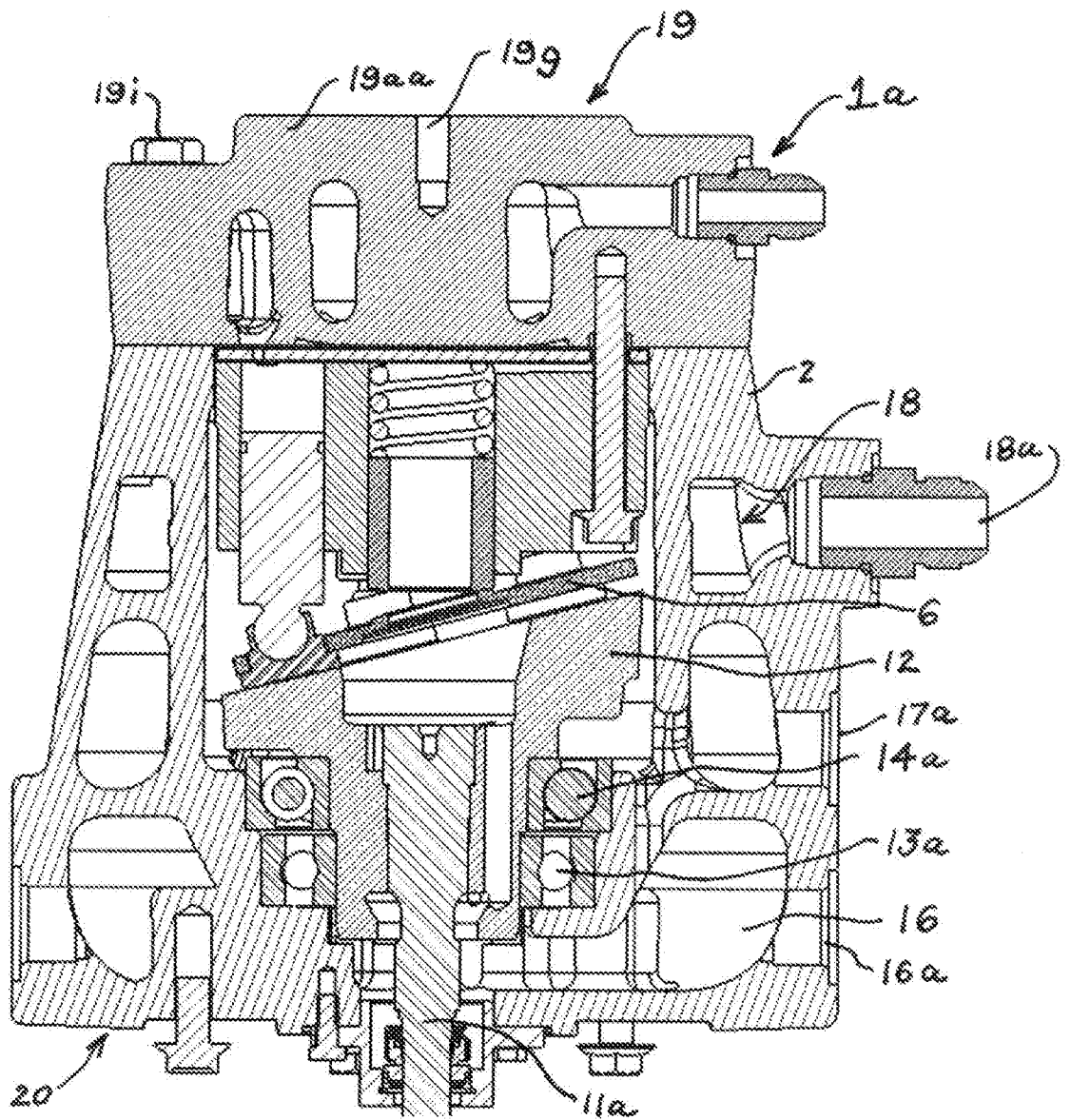


FIG. 1A

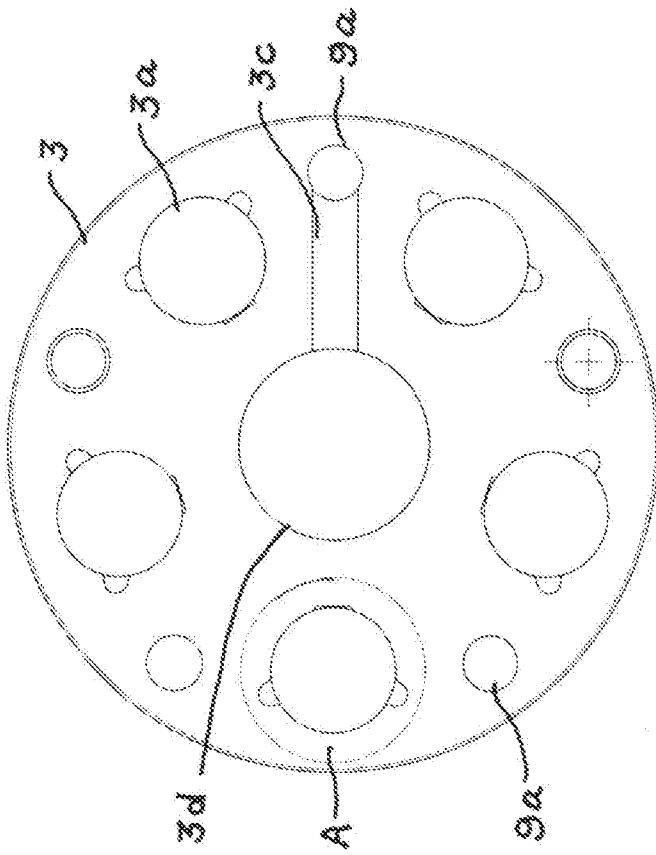


FIG. 2A

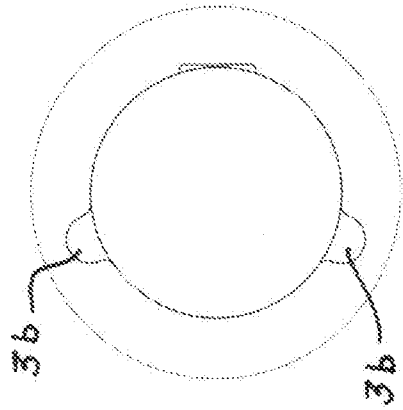


FIG. 2B

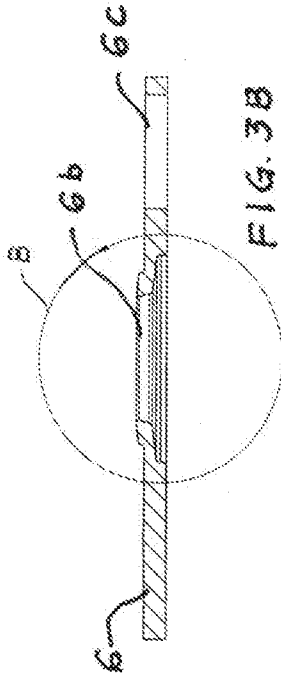


FIG. 3B

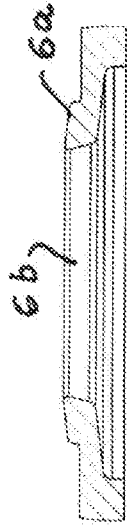


FIG. 3D

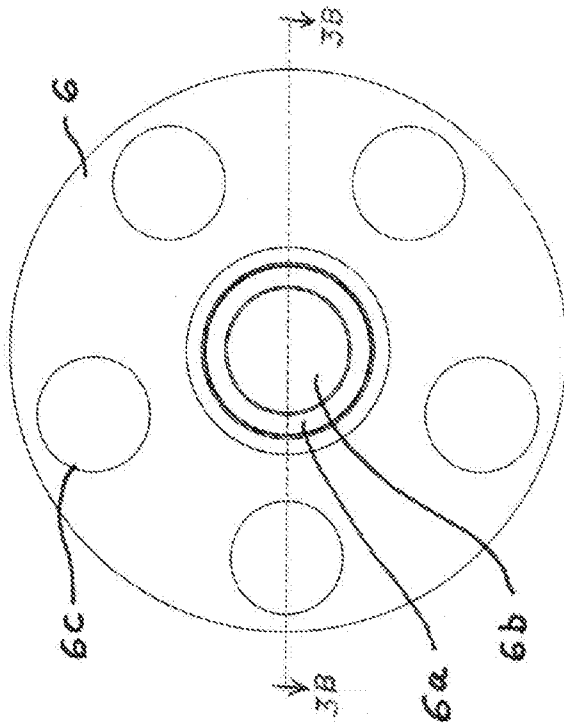


FIG. 3A

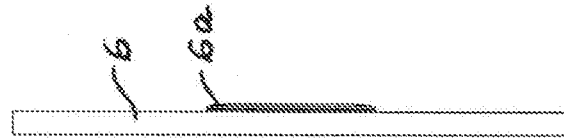


FIG. 3C

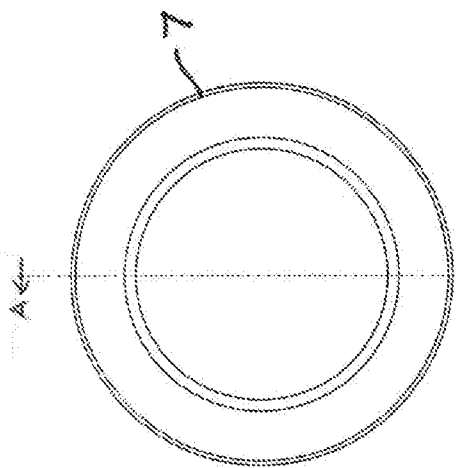


FIG. 4A

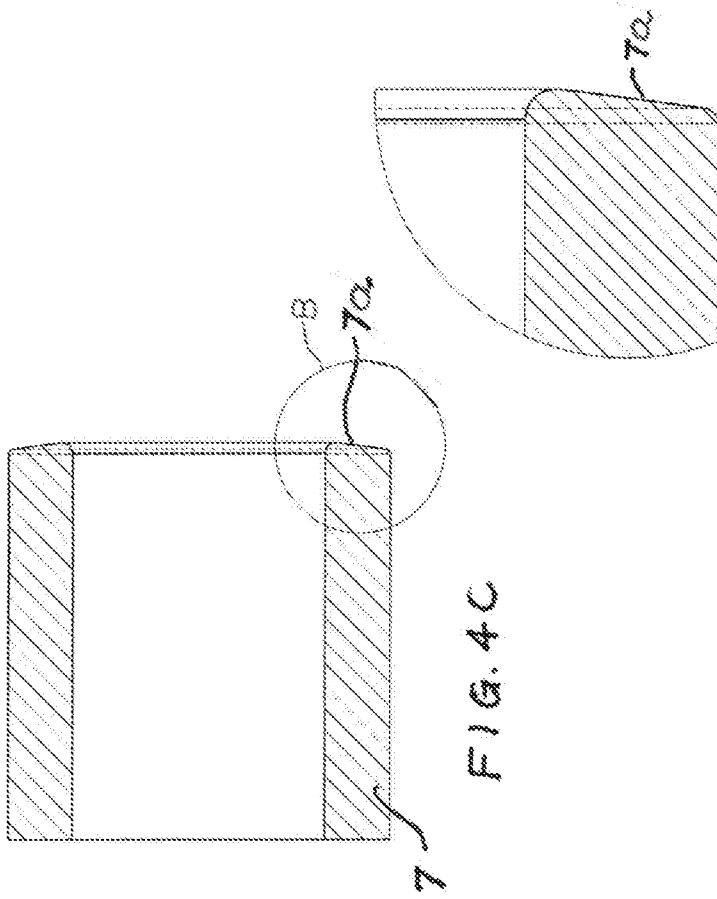


FIG. 4C

FIG. 4D

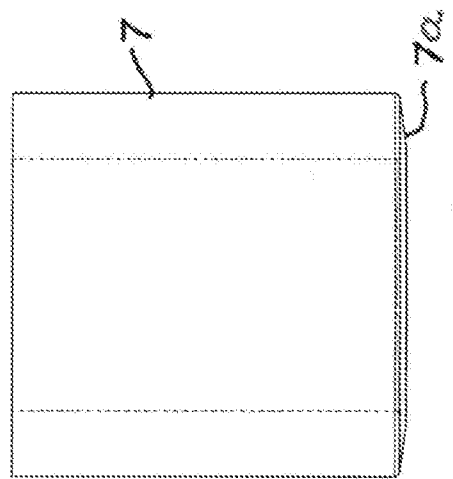


FIG. 4B

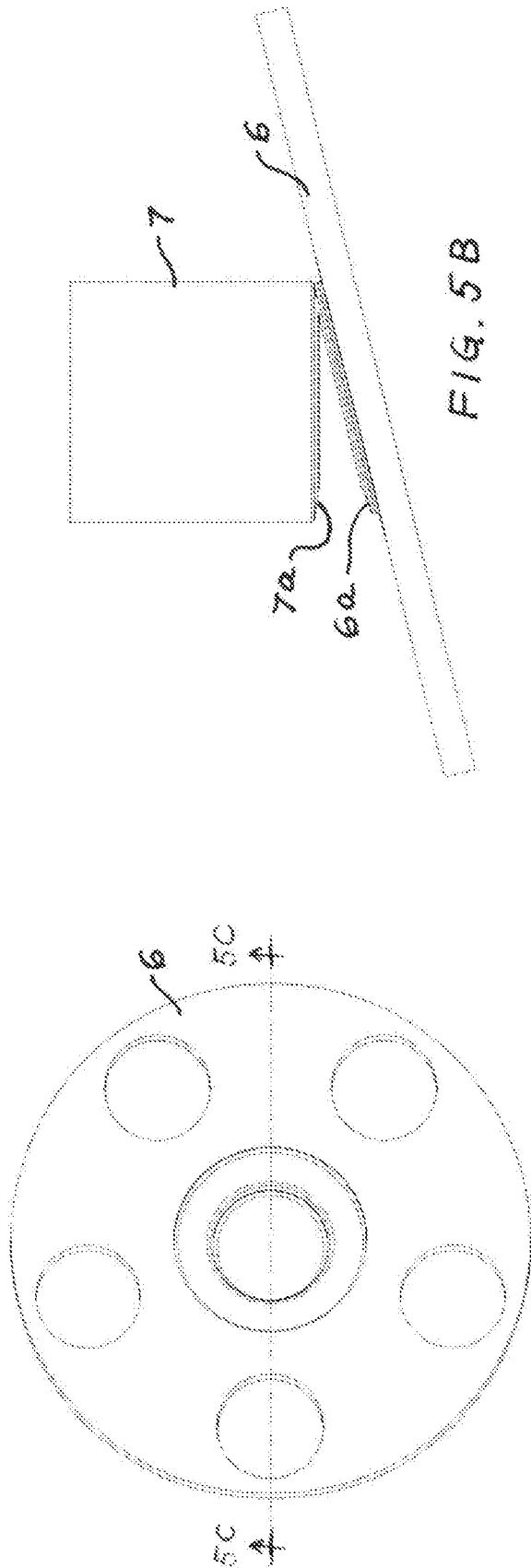


FIG. 5B

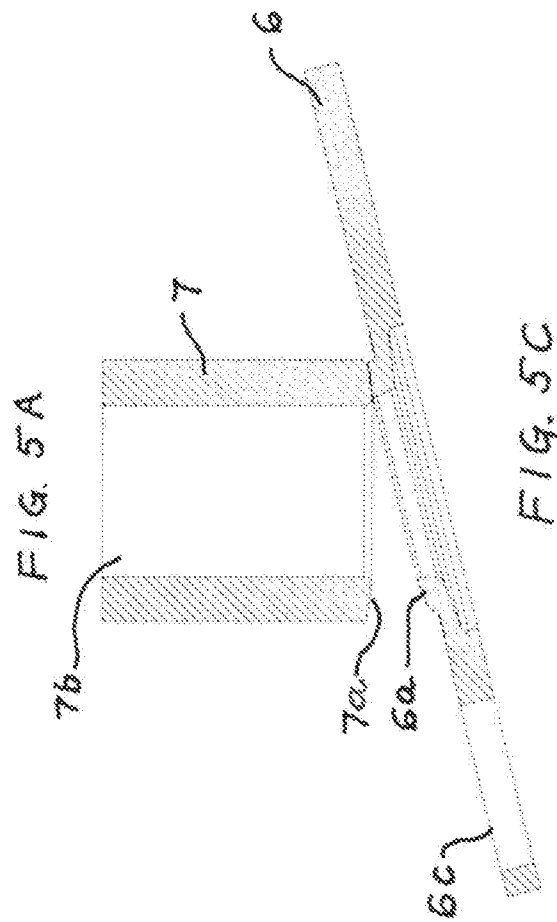
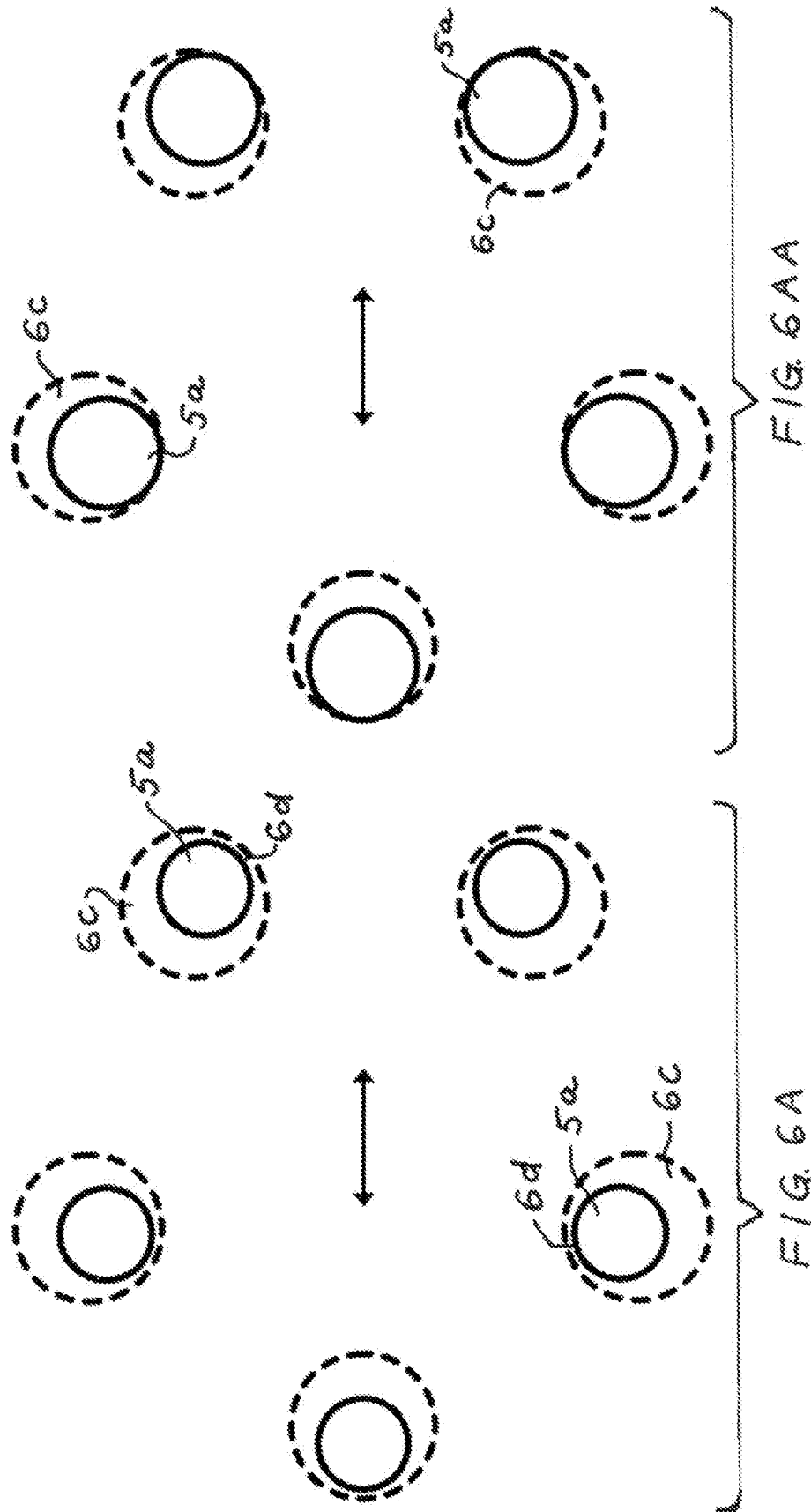


FIG. 5A

FIG. 5C



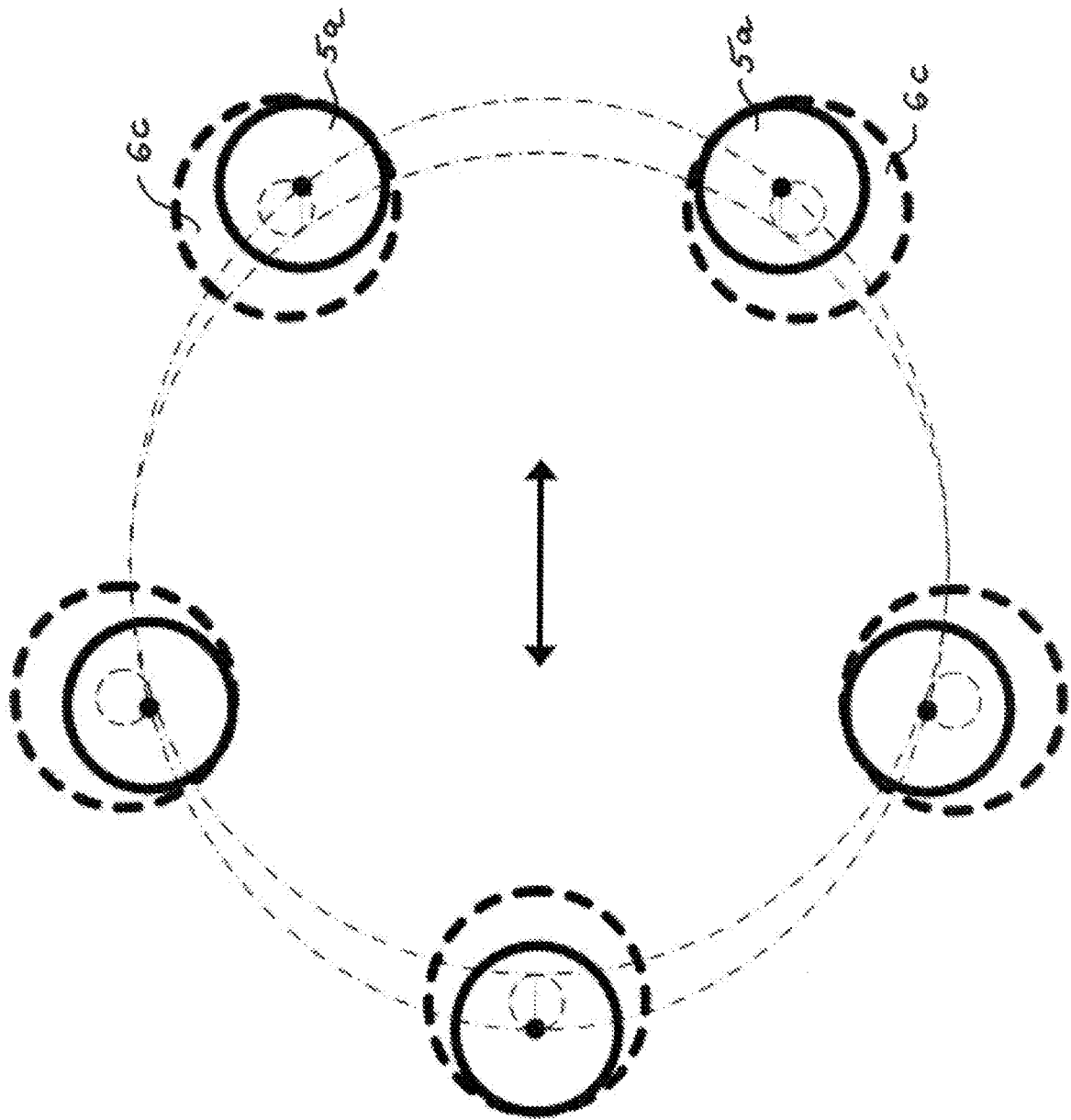


FIG. 6B

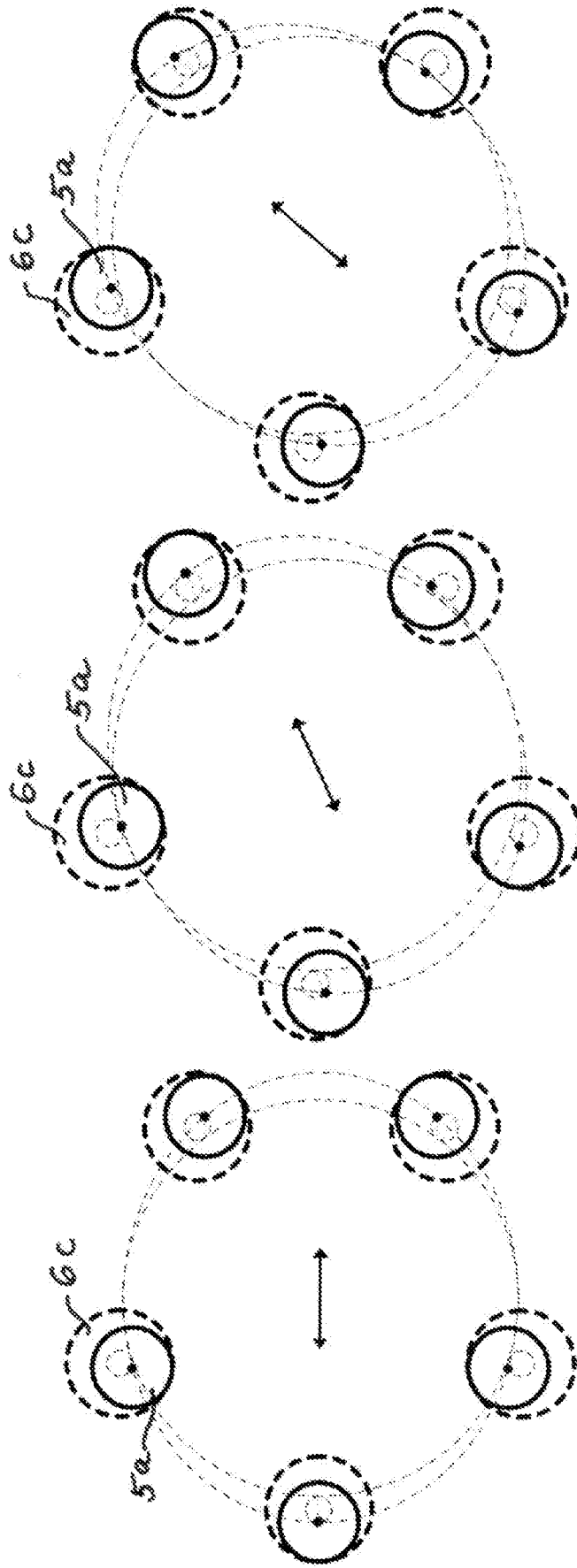


FIG. 6C

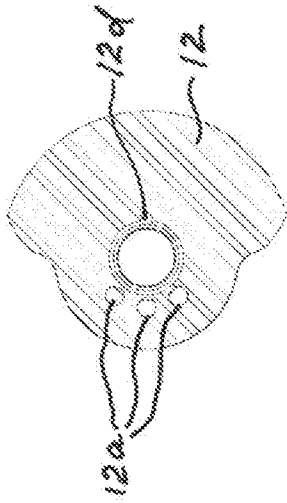


FIG. 7D

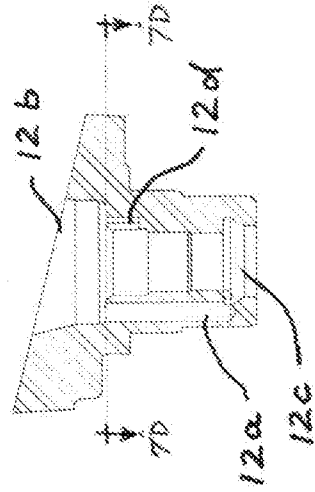


FIG. 7C

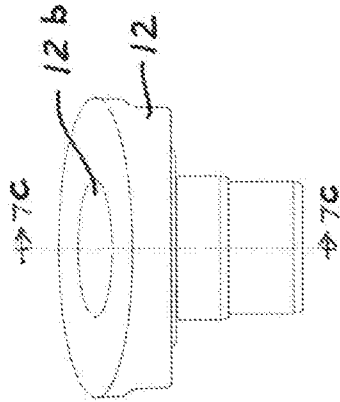


FIG. 7B

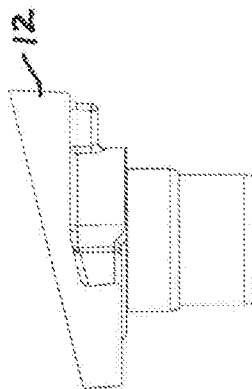


FIG. 7A

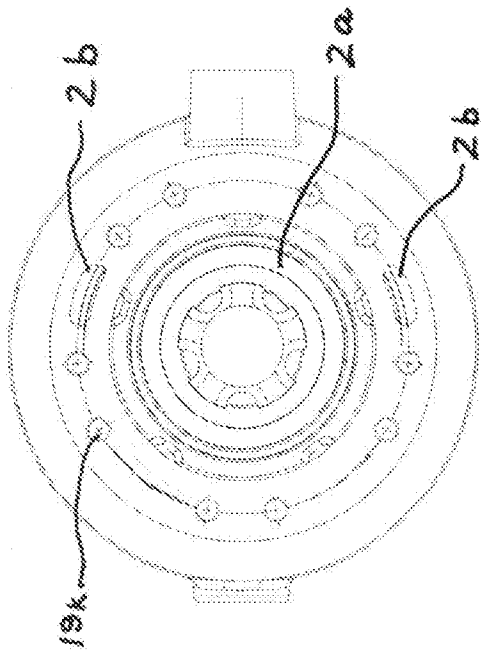


FIG. 8C

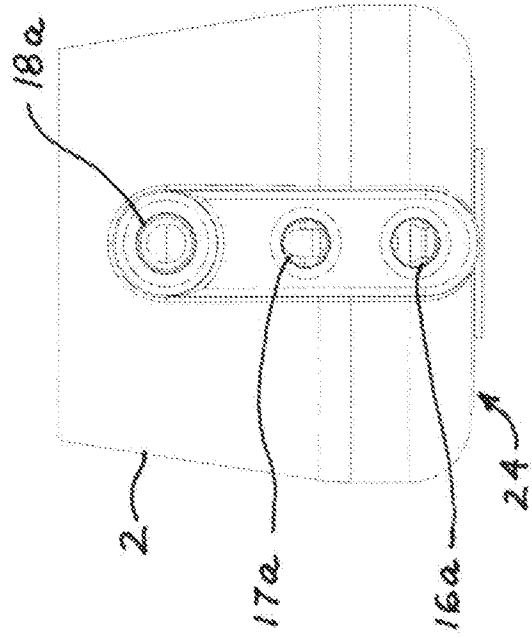


FIG. 8B

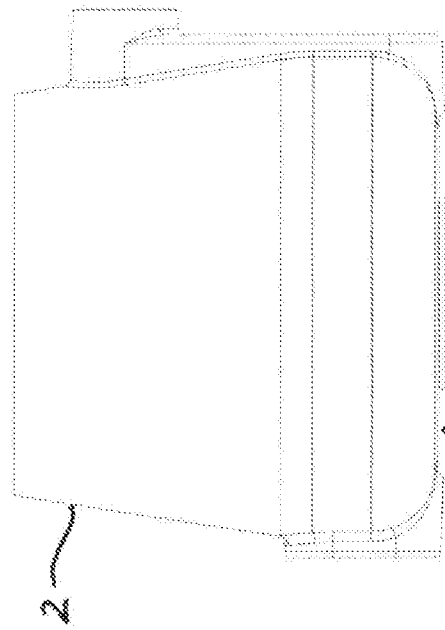


FIG. 8A

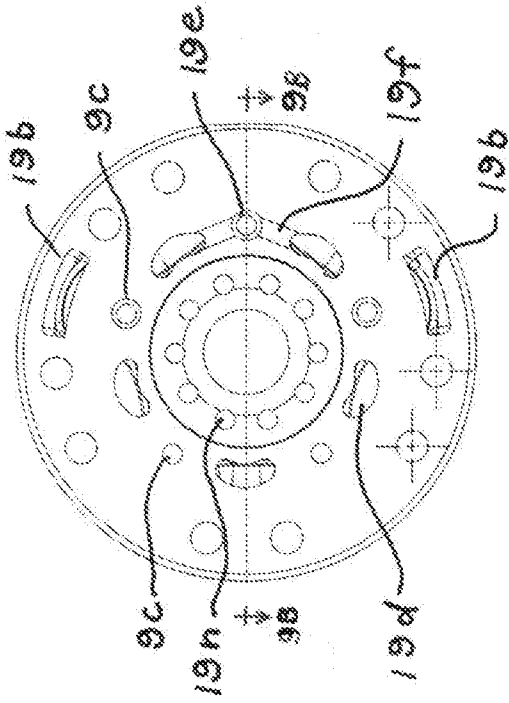


FIG. 9A

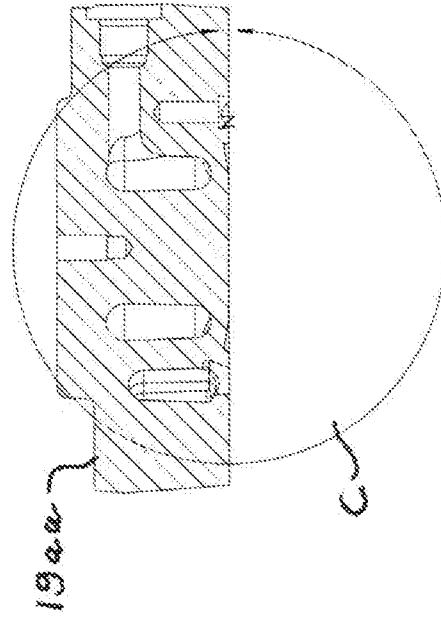


FIG. 9B

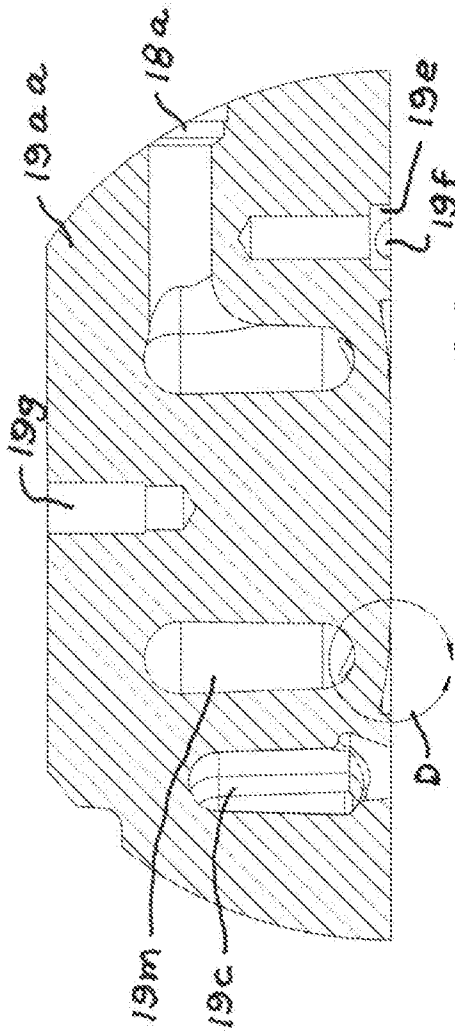


FIG. 9C

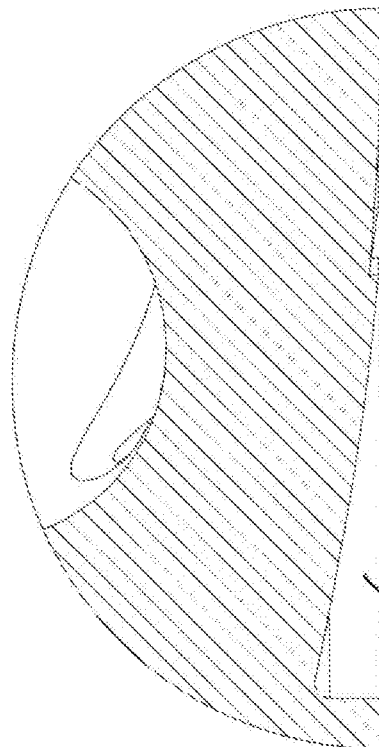


FIG. 9D

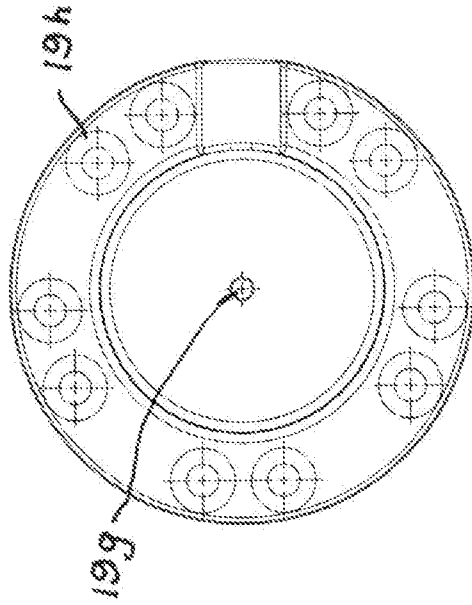


FIG. 9F

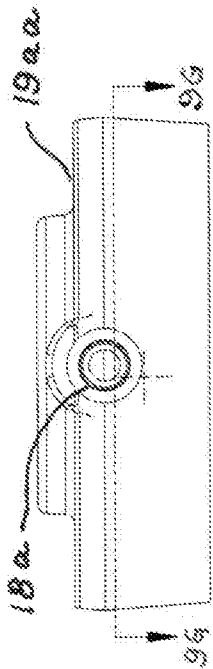
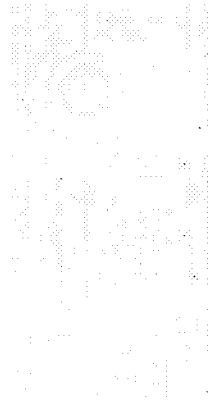


FIG. 9E

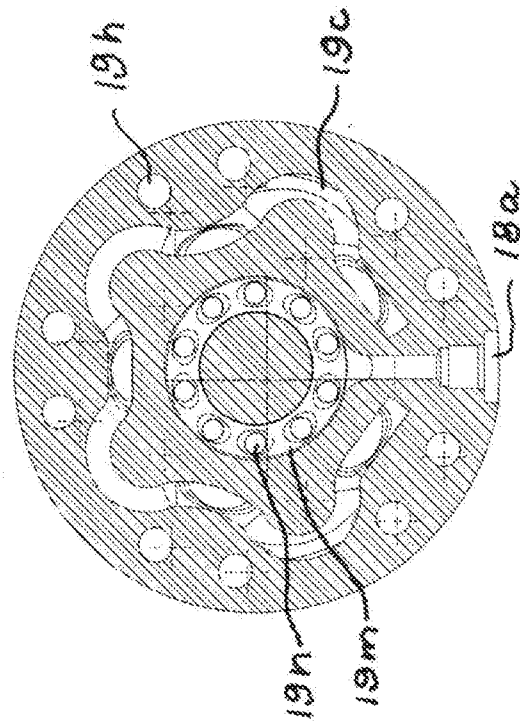


FIG. 9G

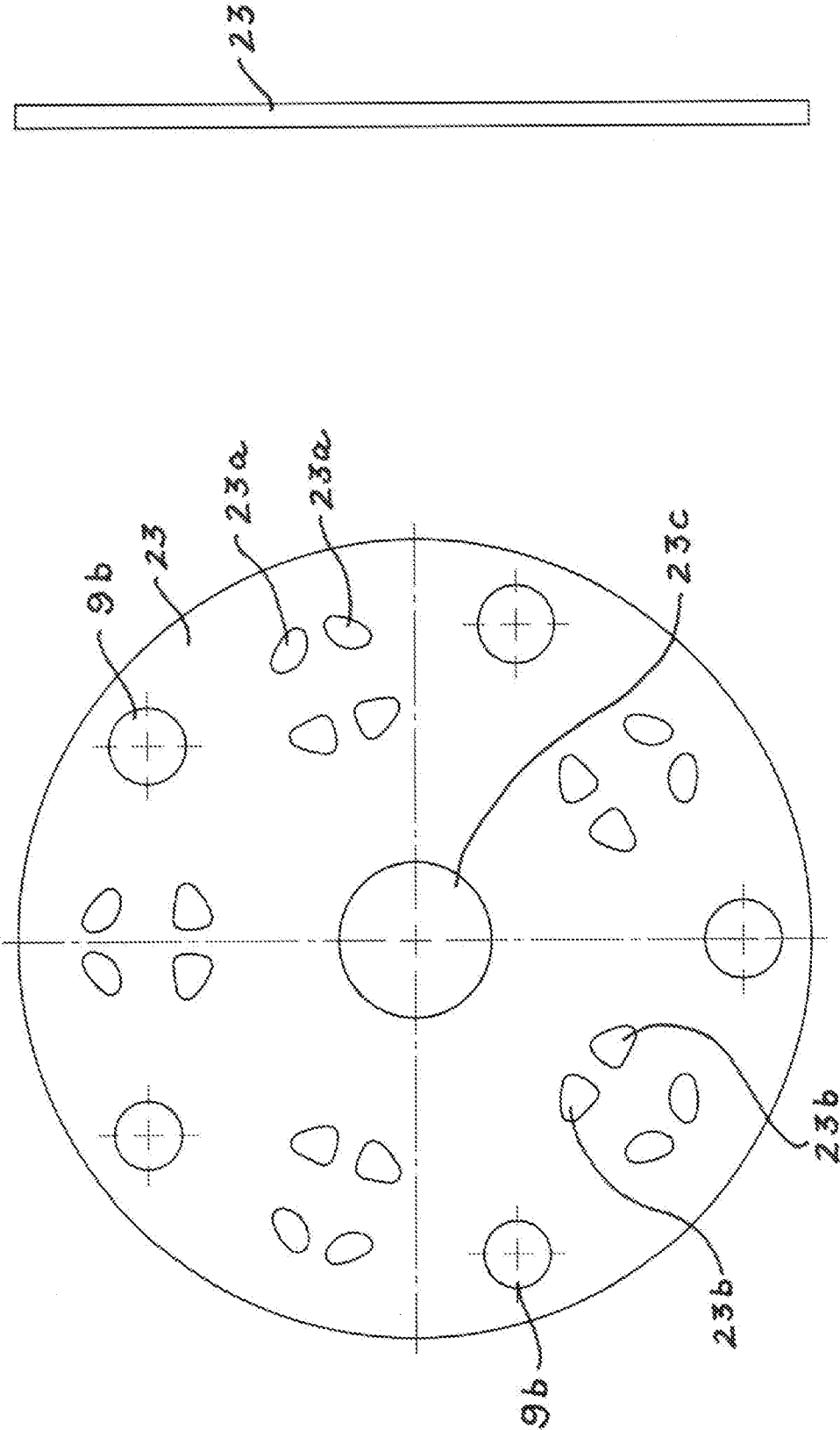


FIG. 10B

FIG. 10A

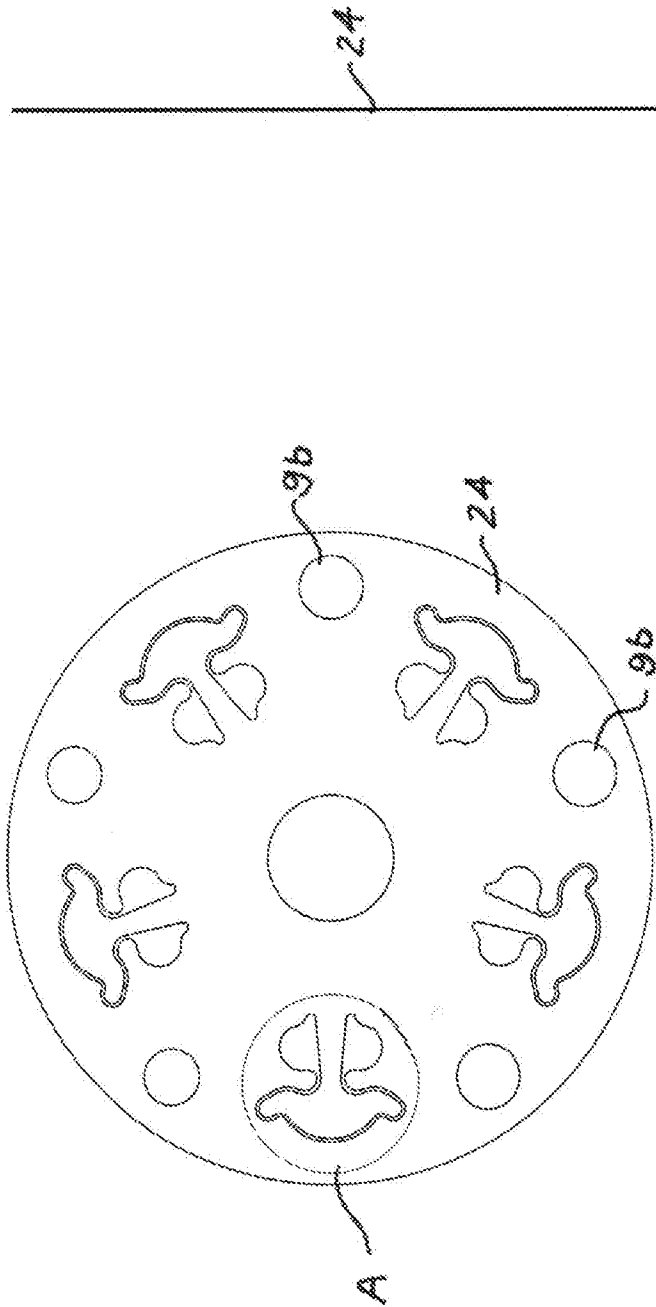


FIG. IIC

FIG. IIA

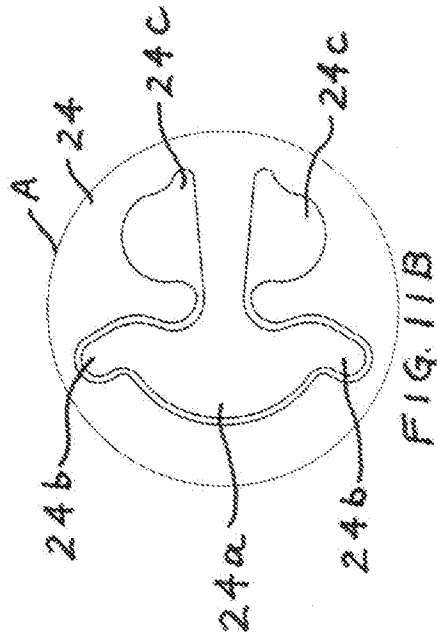


FIG. IIB

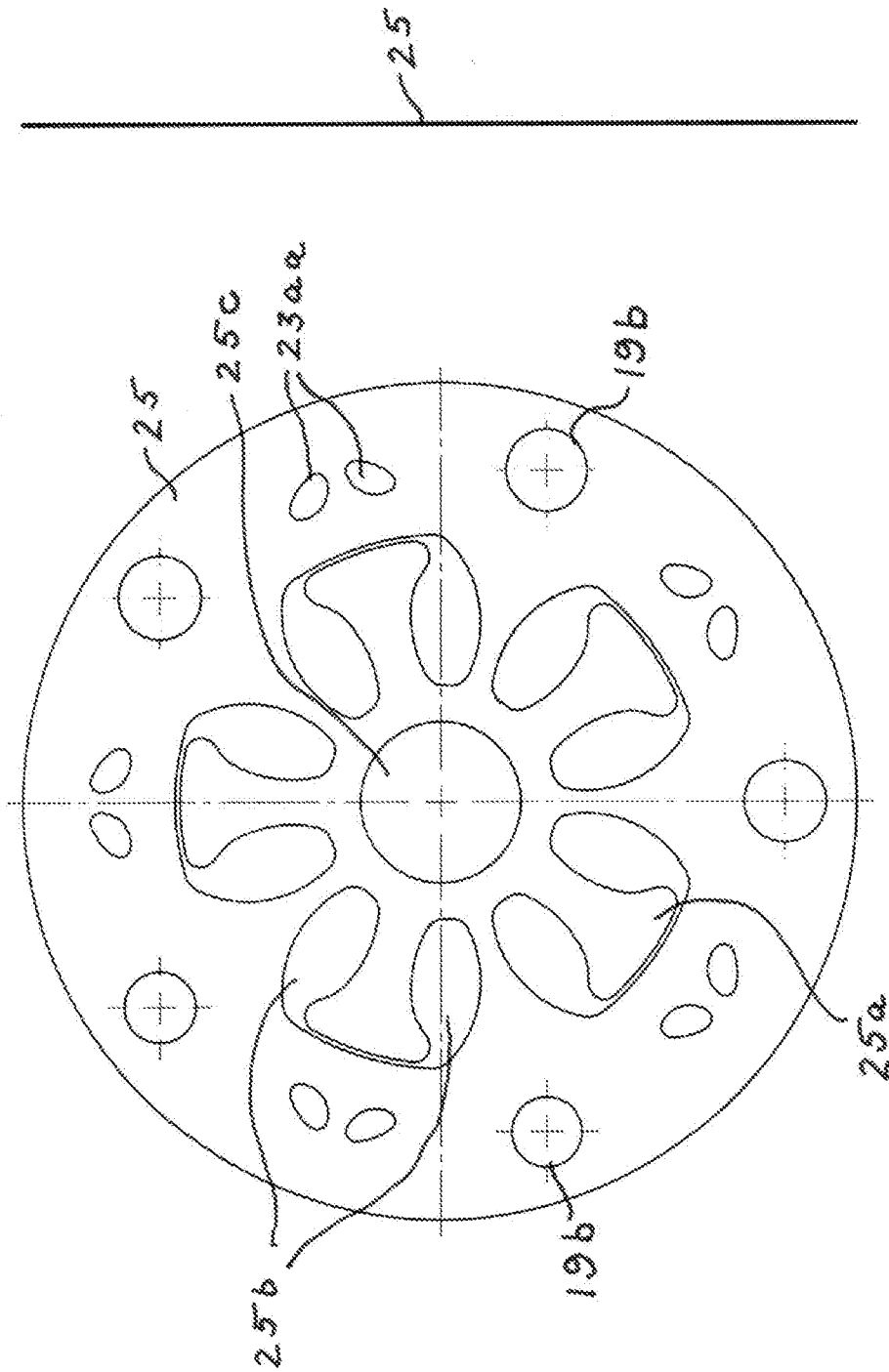


FIG. 12B

FIG. 12A

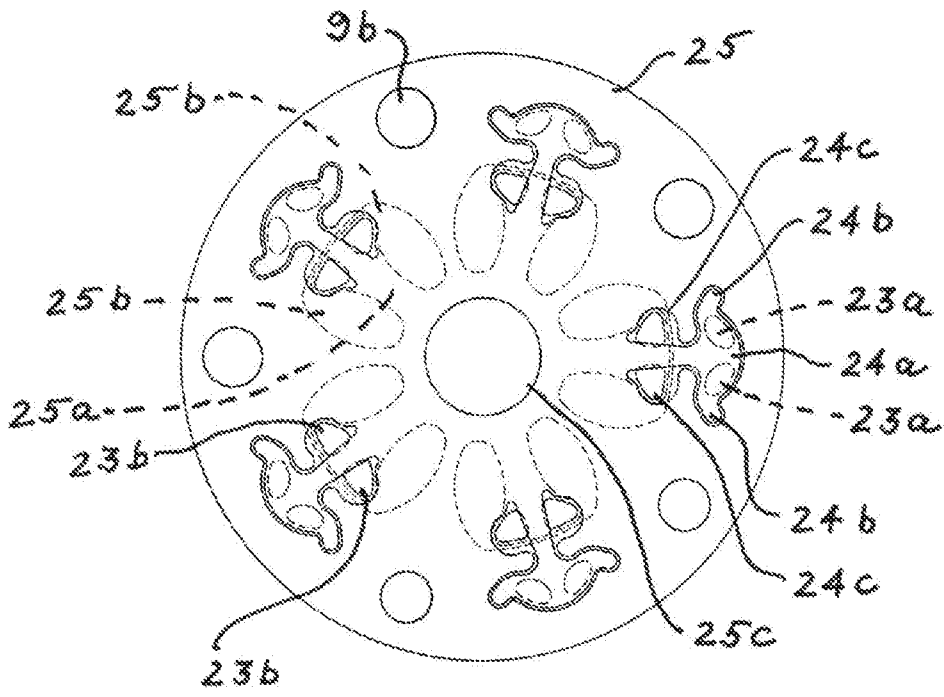


FIG. 12C

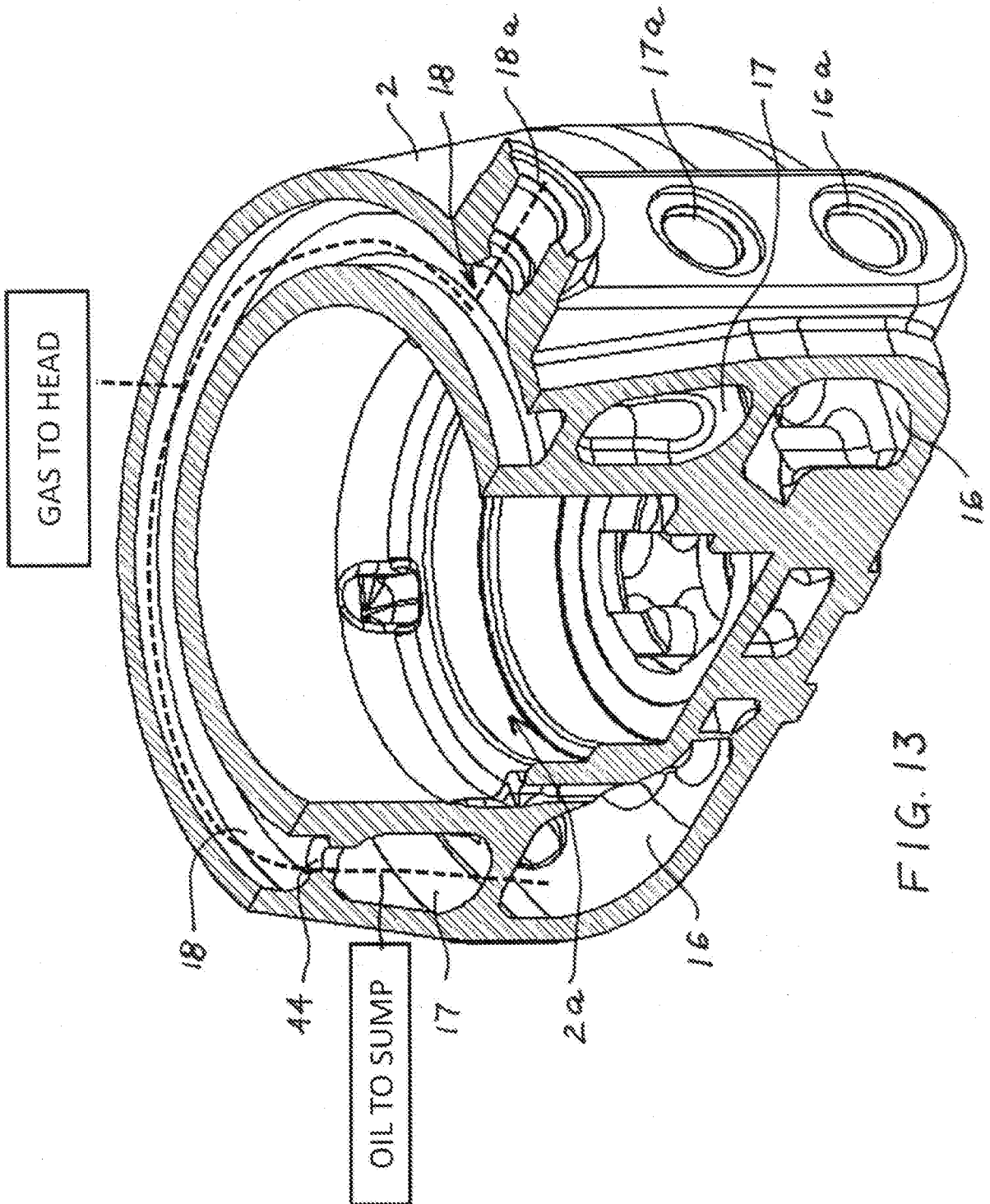
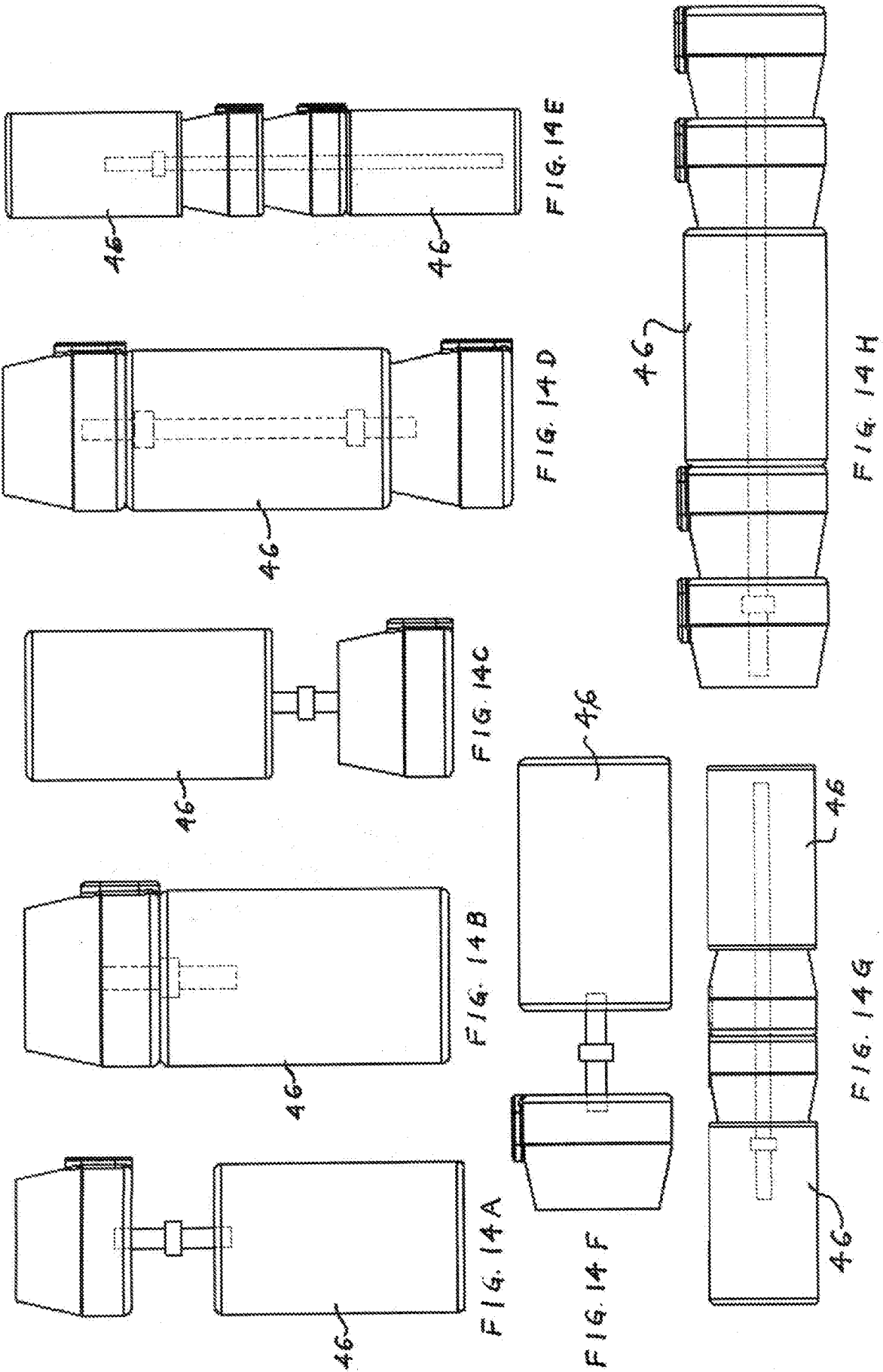


FIG. 13



Semi-hermetic compressor

external isometric view

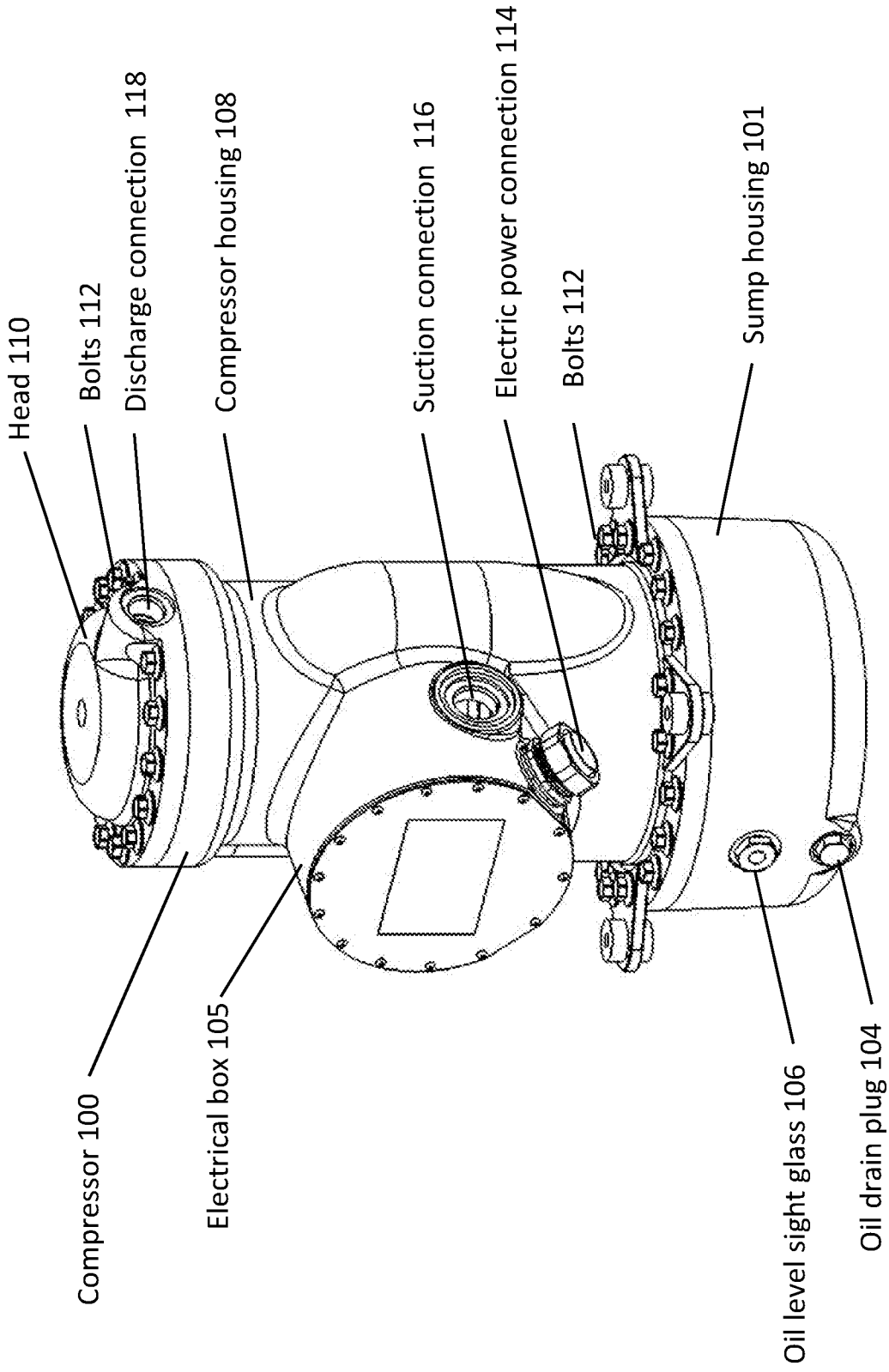


Figure 15

Semi-hermetic compressor

vertical cross section view

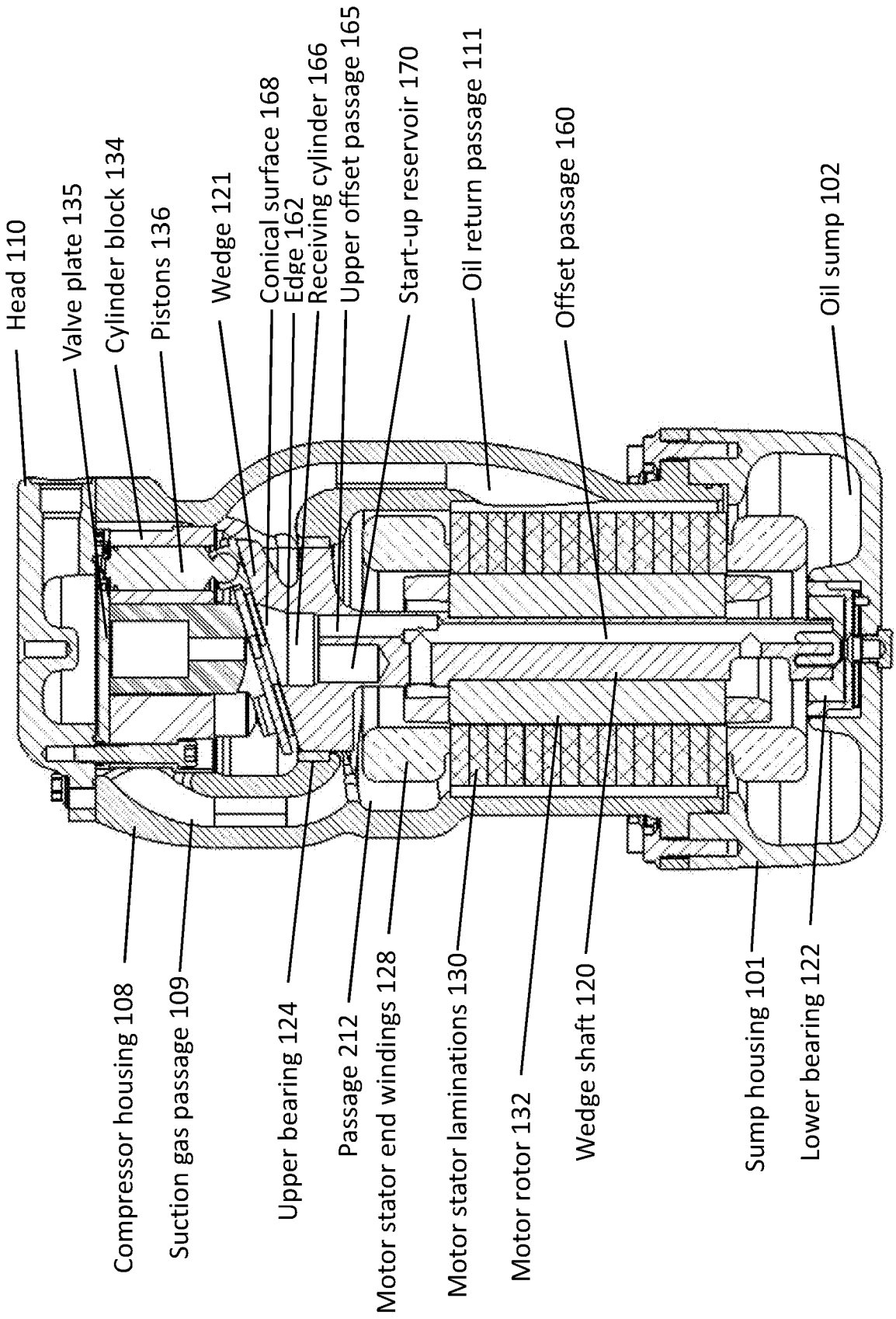


Figure 16

Piston retention
vertical cross section view

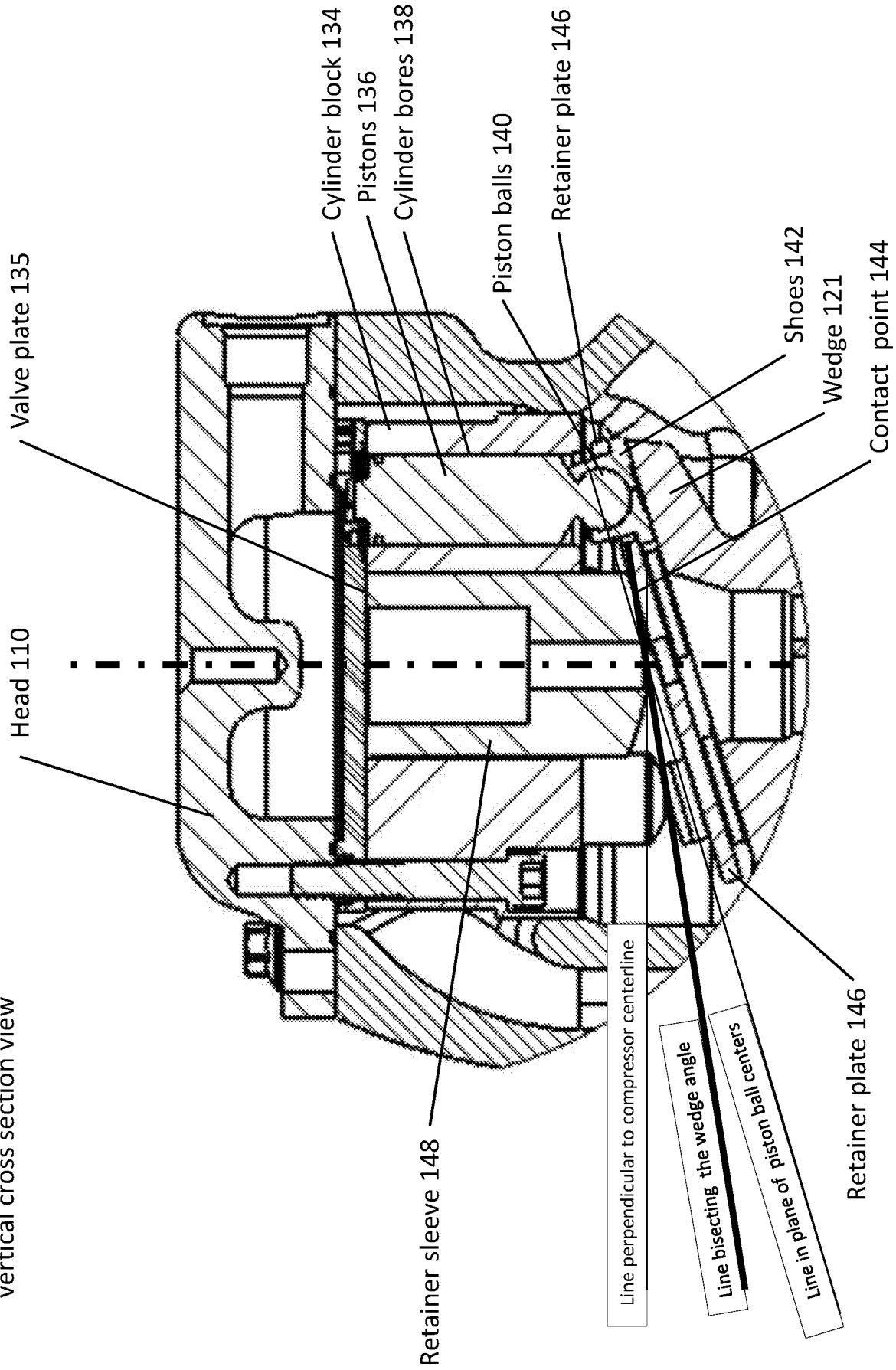


Figure 17

Valves during suction stroke
Vertical cross section through valves

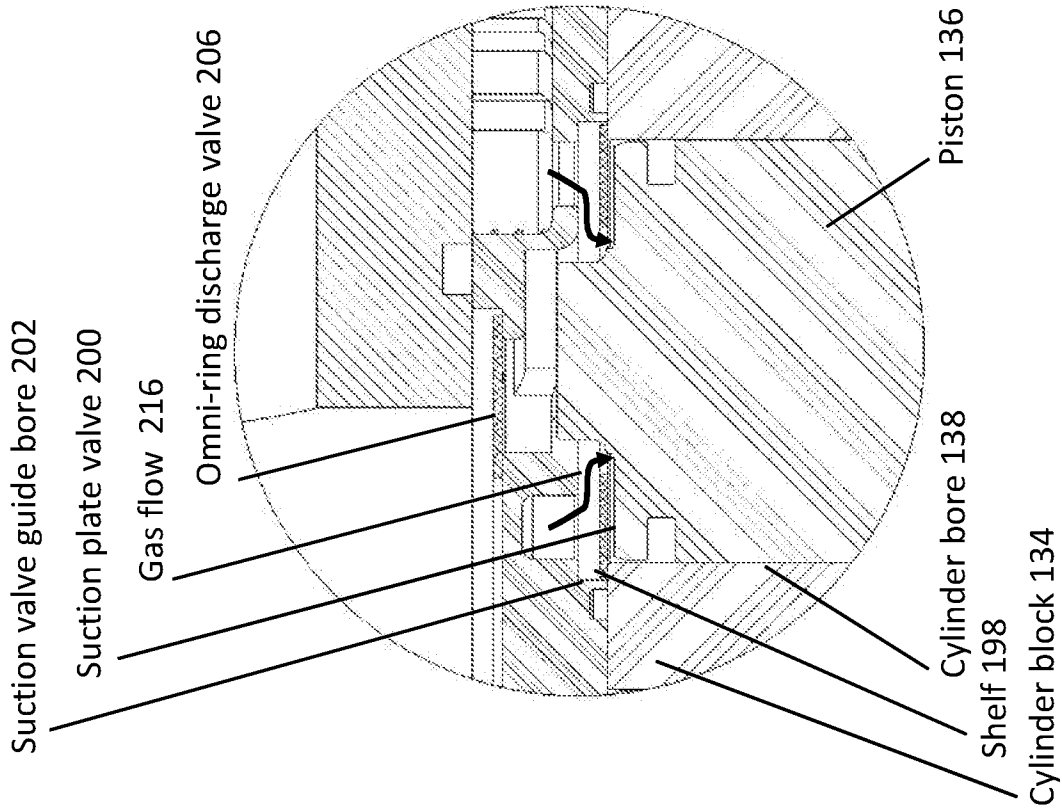


Figure 18

Valves during discharge stroke
Vertical cross section through valves

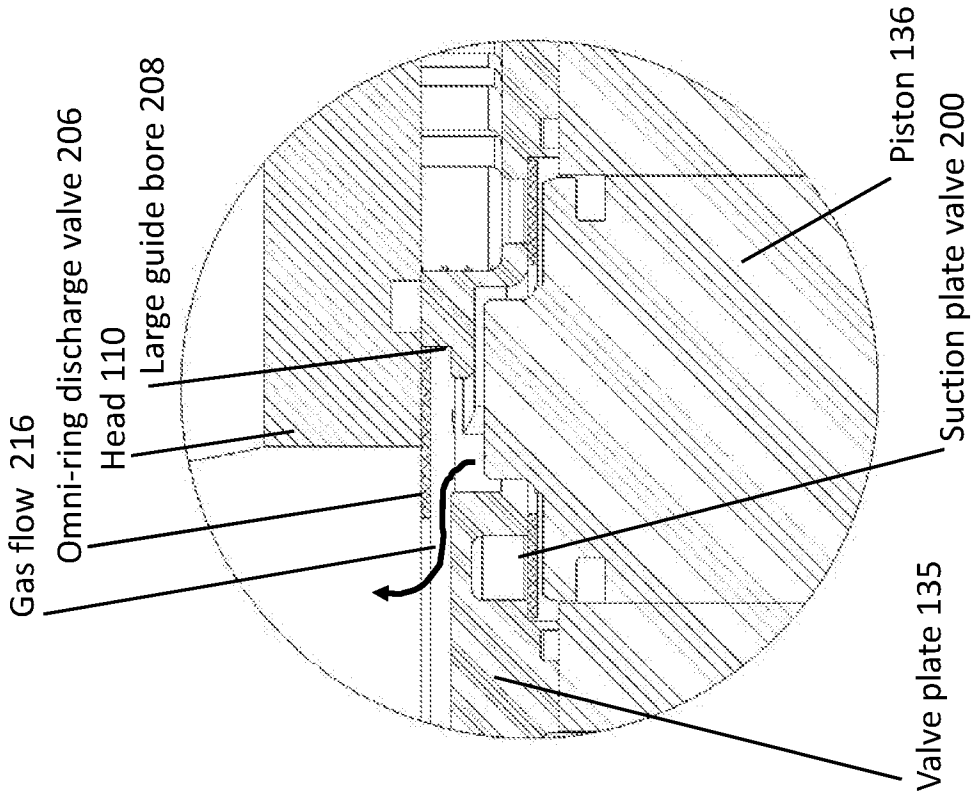


Figure 19

Liquid separation from suction gas flow
horizontal cross section through suction connection

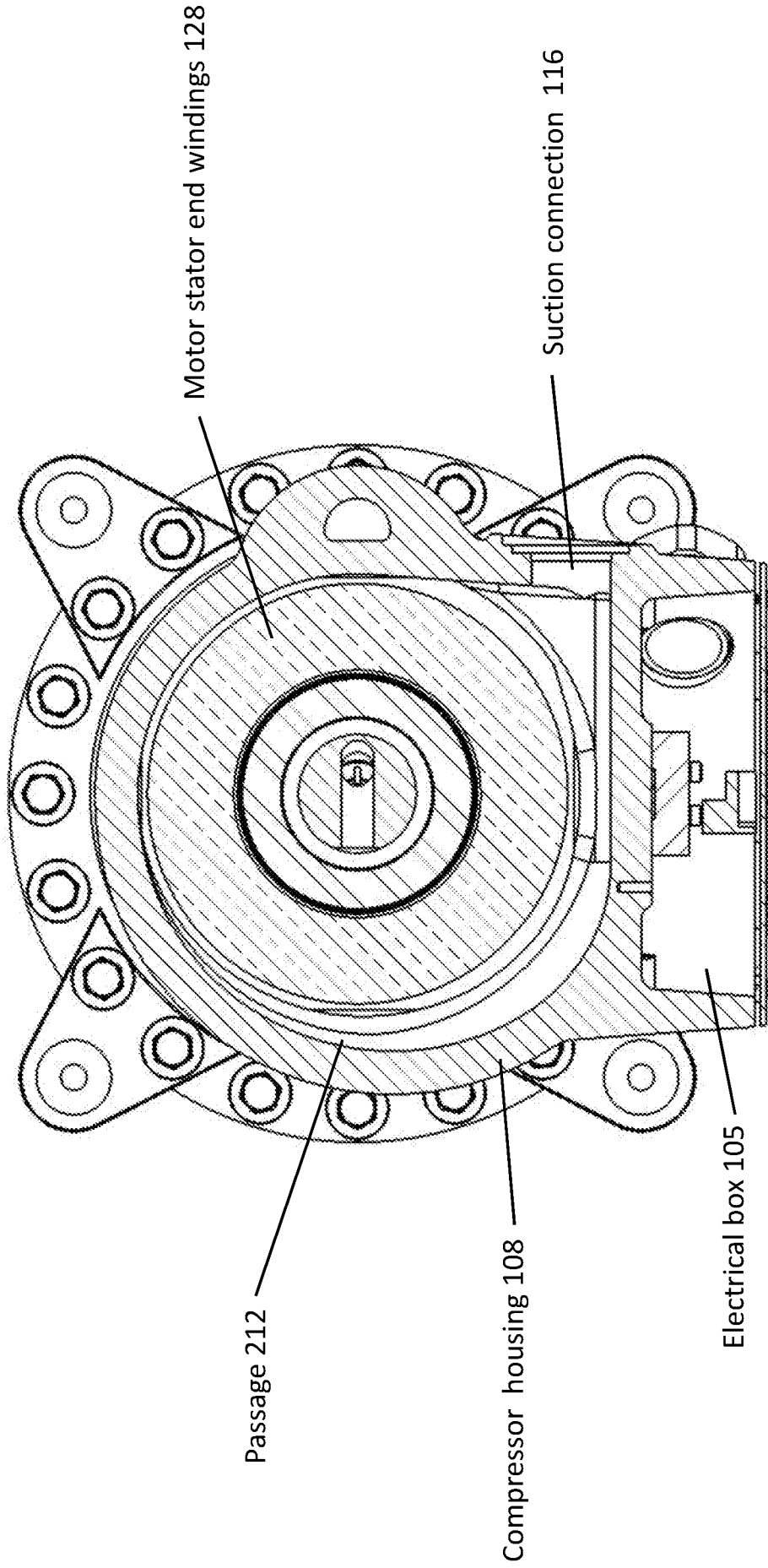


Figure 20

Omni-ring (common, continuous) discharge valve

Valve in closed position with port outlines projected onto top side of valve plate

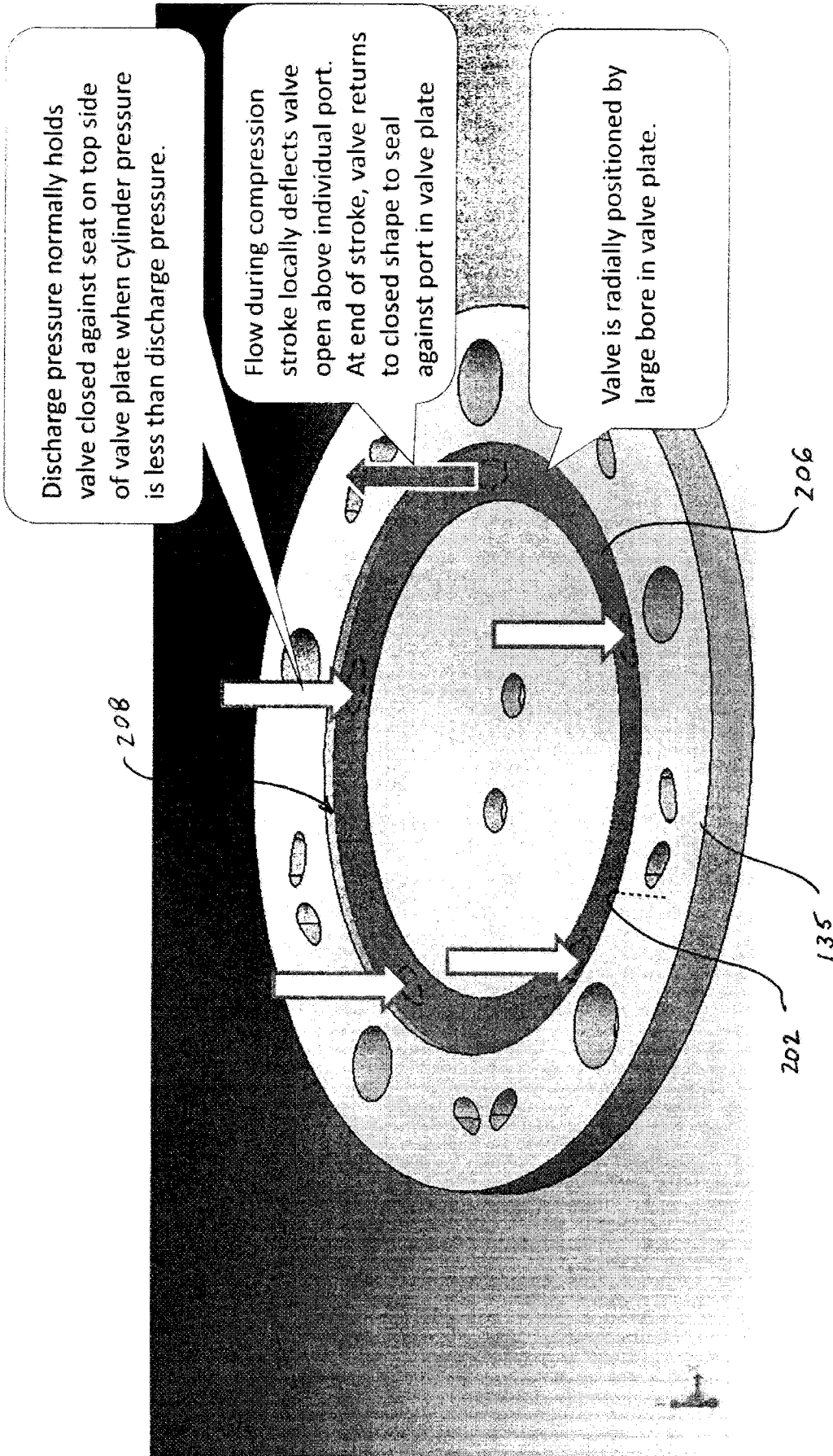


FIG. 21

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 17/22512

A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - F04B 39/02, F16N 7/36, F04B 39/08, F04B 27/08 (2017.01)

CPC - F04B 39/023, F04B 39/0253, F16N 7/366, F16N 7/363, F16N 7/36, F04B 39/08, F04B 27/0839

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

See Search History Document

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

See Search History Document

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

See Search History Document

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	US 2014/0328702 A1 (RIEDIGER et al.) 06 November 2014 (06.11.2014), entire document, especially Fig 1, 1A, 10A-10B; 11A-11B; 12A-12B; 14A-14H; para [0073], [0076], [0085], [0087], [0089], [0096]-[0097]; claim 7	1-2, 4-8 ----- 3
Y	US 2013/0259713 A1 (HAYASHI et al.) 03 October 2013 (03.10.2013), entire document, especially Fig 1; para [0014], [0016], [0019]-[0020], [0026]	3
A	US 6,368,073 B1 (KANAI et al.) 09 April 2002 (09.04.2002), entire document	1-8
A	US 5,380,168 A (KIMURA et al.) 10 January 1995 (10.01.1995), entire document	1-8
A	US 5,286,173 A (TAKENAKA et al.) 15 February 1994 (15.02.1994), entire document	1-8

 Further documents are listed in the continuation of Box C. See patent family annex.

* Special categories of cited documents:

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"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

09 May 2017

Date of mailing of the international search report

09 JUN 2017

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