



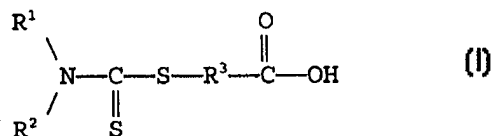
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : C10M 135/18, C07C 333/14 // C10N 30:06	A1	(11) International Publication Number: WO 98/30660 (43) International Publication Date: 16 July 1998 (16.07.98)
(21) International Application Number: PCT/US97/24233 (22) International Filing Date: 18 December 1997 (18.12.97) (30) Priority Data: 08/782,203 10 January 1997 (10.01.97) US (71) Applicant: UNIROYAL CHEMICAL COMPANY, INC. [US/US]; World Headquarters, Middlebury, CT 06749 (US). (72) Inventors: BARANSKI, John, R.; 42 Lowery Drive, Southington, CT 06489 (US). MIGDAL, Cyril, A.; 74 Carter Road, Pleasant Valley, New York, NY 12569 (US). ROWLAND, Robert, G.; 177 Newtown Road, Woodbridge, CT 06525 (US). (74) Agent: THOMPSON, Raymond, D.; Uniroyal Chemical Company, Inc., World Headquarters, Middlebury, CT 06749 (US).		(81) Designated States: CA, JP, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i>

(54) Title: DITHIOCARBAMYL CARBOXYLIC ACIDS AND THEIR USE AS MULTIFUNCTIONAL ADDITIVES FOR LUBRICATING OILS

(57) Abstract

Dithiocarbamyl carboxylic acids, useful as multifunctional additives for lubricating oils, possess general formula (I), wherein R¹ and R² each independently is a hydrocarbyl group of from 1 to about 60 carbon atoms and R³ is a divalent alkylene group of from 1 to about 20 carbon atoms.



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5 DITHIOCARBAMYL CARBOXYLIC ACIDS AND THEIR USE
 AS MULTIFUNCTIONAL ADDITIVES FOR LUBRICATING OILS

BACKGROUND OF THE INVENTION

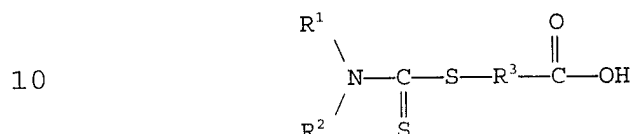
 This invention relates to dithiocarbamyl
carboxylic acids and their use as multifunctional
10 additives for lubricating oils.

 Zinc dialkyldithiophosphates (ZDDPs) have been
used as anti-fatigue, anti-wear, extreme pressure and
friction modifying additives for lubricating oils for
many years. However, they are subject to several
15 drawbacks owing to their zinc and phosphorus contents.
During operation of an internal combustion engine,
lubricating oil enters the combustion chambers by means
such as clinging to cylinder walls as the piston makes
its down stroke. When phosphorus-containing lubricating
20 oil compositions enter the combustion reaction,
phosphorus enters the exhaust stream where it acts as a
catalyst poison thus shortening the useful life of the
catalytic converter. In addition, the presence of zinc
contributes to the emission of particulates in the
25 exhaust.

 In view of the aforementioned shortcomings with
the known zinc and phosphorus-containing additives,
efforts have been made to provide lubricating oil
additives which contain neither zinc nor phosphorus.
30 Illustrative of non-zinc (i.e., ashless), non-phosphorus-
containing lubricating oil additives are the reaction
products of 2,5-dimercapto-1,3,4-thiadiazole and
unsaturated mono-, di- and tri-glycerides of U.S. Patent
No. 5,512,190 and the dialkyl dithiocarbamate-derived
35 organic ethers of U.S. Patent No. 5,514,189.

SUMMARY OF THE INVENTION

In accordance with the present invention,
5 dithiocarbamyl carboxylic acids for lubricant additives
are provided having the general formula

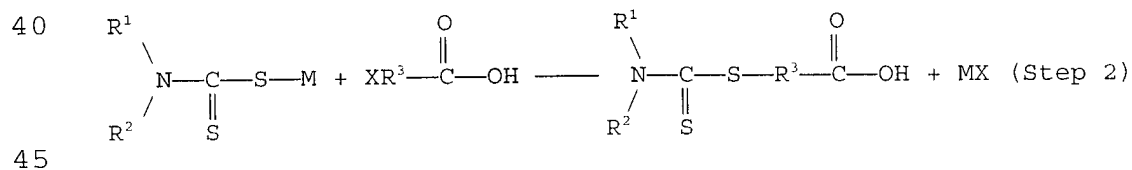
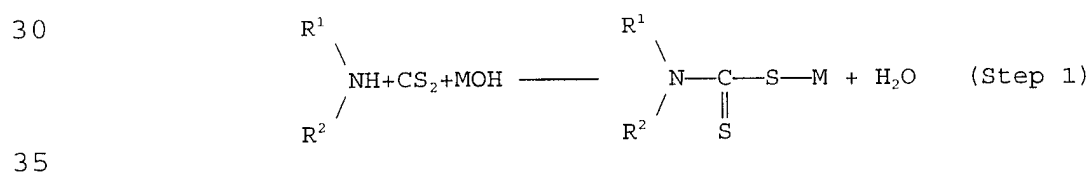


15 wherein R¹ and R² each independently is a hydrocarbyl group of from 1 to about 60 carbon atoms and R³ is a divalent alkylene group of from 1 to about 20 carbon atoms.

The foregoing dithiocarbamyl carboxylic acids
20 are useful as ashless anti-fatigue, anti-wear and extreme
pressure additives for lubricating oils where they can be
employed in total or partial replacement of the ZDDPs
currently in use.

25 DESCRIPTION OF THE PREFERRED EMBODIMENTS

The dithiocarbamyl carboxylic acids of this invention can be prepared in accordance with the following sequence of reaction steps:



In the foregoing sequence of reactions, R^1 , R^2 and R^3 are each as defined above, M is alkali metal and X is halogen.

In step 1, dihydrocarbylamine R^1R^2NH is reacted
5 with an equimolar amount of alkali metal hydroxide MOH and carbon disulfide, the latter preferably in slight molar excess, to provide an alkali metal di(hydrocarbyl)thiocarbamate intermediate R^1R^2NCSSM . Useful dihydrocarbylamines are those in which hydrocarbyl
10 groups R^1 and R^2 are selected from among alkyl, cycloalkyl, alkaryl and aralkyl groups of up to about 60 carbon atoms. Preferred dihydrocarbylamine reactants are dialkylamines in which each alkyl group contains from about 2 to about 30, and more preferably from about 4 to
15 about 24, carbon atoms. The alkali metal hydroxide is conveniently aqueous sodium hydroxide and the reaction is advantageously conducted in a suitable solvent with water and/or a lower alkanol such as methanol, ethanol, propanol, 2-propanol, isopropanol, n-butanol, sec-butanol
20 or t-butanol. Isopropanol being preferred for this purpose.

In Step 2, an equimolar amount of haloalkanoic acid, e.g., 3-chloropropionic acid, is added to the reaction medium of Step 1 where it reacts with alkali
25 metal di(hydrocarbyl)thiocarbamate intermediate to provide product dithiocarbamyl carboxylic acid.

The dithiocarbamyl carboxylic acids of this invention can be utilized in lubricating oil compositions in amounts which impart significant anti-wear
30 characteristics to the oils as well as reducing the friction of engines operating with the oils. Concentrations of from about 0.001 to about 10 weight percent based on the total weight of the lubricating oil composition can be used. Preferably, the concentration
35 is from about 0.1 to about 3 weight percent.

In general, mineral oils, both paraffinic, naphthenic and mixtures thereof, including those oils

defined as American Petroleum Institute Groups I, II, and III, can be employed as the lubricant vehicle, and can be of any suitable lubricating viscosity range, as for example, from about 2 cSt at 100°C to about 1,000 cSt at 100°C and preferably from about 2 to about 100 cSt at 100°C. These oils can have viscosity indexes preferably ranging to about 180. The average molecular weights of these oils can range from about 250 to about 800. Where synthetic oils are employed, they can include, but are not limited to, polyisobutylene, polybutenes, hydrogenated polydecenes, polypropylene glycol, polyethylene glycol, trimethylpropane esters, neopentyl and pentaerythritol esters, di(2-ethylhexyl) sebacate, di(2-ethylhexyl) adipate, dibutyl phthalate, fluorocarbons, silicate esters, silanes, esters of phosphorus-containing acids, liquid ureas, ferrocene derivatives, hydrogenated synthetic oils, chain-type polyphenyls, siloxanes and silicones (polysiloxanes), alkyl-substituted diphenyl ethers typified by a butyl-substituted bis(p-phenoxy phenyl) ether, and phenoxy phenylethers.

It is to be understood, however, that the lubricating oil compositions herein can also contain other materials. For example, corrosion inhibitors, extreme pressure agents, detergents, dispersants, antiwear agents, antioxidants, antifoamants, friction modifiers, low temperature properties modifiers and the like can be used. Examples of these materials include metallic phenates or sulfonates, alkylated diphenylamines, polymeric succinimides, non-metallic or metallic phosphorodithioates and the like. These materials do not detract from the value of the compositions of this invention, rather the materials serve to impart their customary properties to the particular compositions in which they are incorporated.

The following examples are illustrative of the preparation of the dithiocarbamyl carboxylic acids of

this invention and their use as anti-fatigue, anti-wear and extreme pressure additives for lubricating oils.

EXAMPLE 1

5 This example illustrates the preparation of 3-(*N,N*-diamyldithiocarbamyl)-propionic acid.

Step 1: Preparation of sodium diamyldithiocarbamate intermediate

10 To a 250 mL 3-neck round bottom reaction flask equipped with an overhead stirrer, a thermocouple probe, a reflux condenser, a Claisen adapter, and a 25 mL addition funnel, 30.0 g (0.19 mol) of diamyl amine, 15.3 g of a 50 weight percent NaOH solution (0.19 mol NaOH)
15 and 100 mL reagent 2-propanol was added. 12.5 mL (0.21 mol) carbon disulfide was charged to the addition funnel. Carbon disulfide was added over a half-hour period. The reaction temperature was maintained at 25-30°C. The product was post-reacted at 25°C for 1 hour.

20

Step 2: Preparation of product 3-(*N,N*-diamyldithiocarbamyl)-propionic acid

20.6 g (0.19 mol) of 3-chloropropionic acid was added to the reactor containing the Step 1 product. The
25 reactor was heated to reflux with the pot temperature maintained at 70°C for 3 hours. The reaction temperature was then reduced to 30°C. The product was transferred to a 500 mL separatory funnel combined with 100 mL reagent hexanes and washed four times with 500 mL portions of
30 60°C water. The volatiles were removed using a rotary evaporator. 33.1 g of a light yellow low viscosity clear liquid product was obtained.

EXAMPLE 2

35 This example illustrates the preparation of 3-(*N,N*-ditetradecyldithiocarbamyl)-propionic acid.

Step 1: Preparation of sodium
di(tetradecyl)dithiocarbamate intermediate

To a 500 mL 3-neck round bottom reaction flask equipped with an overhead stirrer, a thermocouple probe, a reflux condenser, a Claisen adapter, and a 25 mL addition funnel, 82.0 g (0.20 mol) of dicoco amine (Armeen 2C, AKZO), 16.2 g of a 50 weight percent NaOH solution (0.20 mol NaOH) and 100 mL reagent 2-propanol was added. 13.0 mL (0.22 mol) carbon disulfide was charged to the addition funnel. The reactor was heated to 50°C. Once the amine was dissolved, the reaction temperature was reduced to 40°C. Carbon disulfide was added over a half-hour period. The reaction temperature was lowered over the course of the carbon disulfide addition from 40°C to 30°C. The product was post-reacted at 30°C for 1 hour.

Step 2: Preparation of product 3-(N,N-ditetradecyldithiocarbamyl)-propionic acid

21.7 g (0.20 mol) of 3-chloropropionic acid was added to the reactor containing the Step 1 product. The reactor was heated to reflux with the pot temperature maintained at 74°C for 3 hours. The reaction temperature was then reduced to 30°C. The product was transferred to a 1000 mL separatory funnel, combined with 100 mL reagent hexanes, and washed four times with 300 mL portions of 60°C water. The volatiles were removed using a rotary evaporator. 98.8 g of a light yellow product was obtained having a consistency of petroleum jelly at room temperature.

EXAMPLE 3

The anti-wear properties of the dithiocarbamyl carboxylic acids of Example 1, Example 2 and those of a conventional zinc dialkyldithiophosphate in two fully formulated lubricating oils were determined employing the Four-Ball Wear Test of ASTM D 4172. The two lubricating

oils, Formulations A and B of Table 2 below, also contained 1 wt.% cumene hydroperoxide. Table 1 below sets forth the numerical value of the test results (Average Wear Scar Diameter, mm). This value decreases with an increase in anti-wear effectiveness.

Table 1: Four-Ball Wear Results

	Anti-wear Additive	Motor Oil Formulation	Average Wear Scar Diameter, mm
10	3-(<i>N,N</i> -diamyldithiocarbamyl)-propionic acid	A	0.54
15	3-(<i>N,N</i> -ditetradecyldithiocarbamyl)-propionic acid 0.54		A
	No anti-wear additive	A	0.93
20	Zinc dialkyldithiophosphate	A	0.46
	3-(<i>N,N</i> -diamyldithiocarbamyl)-propionic acid	B	0.64
	3-(<i>N,N</i> -ditetradecyldithiocarbamyl)-propionic acid 0.64		B
25	No anti-wear additive	B	0.98
30	Zinc dialkyldithiophosphate	B	0.53

Table 2: SAE 10W-30 Motor Oil Formulations

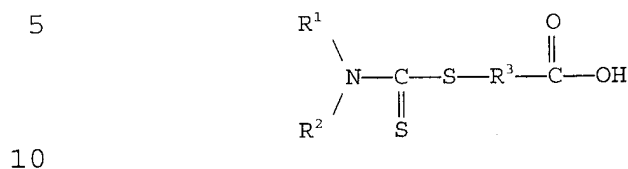
	<u>Formulation A</u>	<u>wt. %</u>	<u>Formulation B</u>	<u>wt. %</u>
35	Solvent Neutral 100	22.8	Solvent Neutral 100	22.8
	Solvent Neutral 150	60	Solvent Neutral 150	60
40	Succinimide Dispersant	7.5	Succinimide Dispersant	7.5
	Overbased Calcium		Overbased Calcium	
	Phenate Detergent	2.0	Sulfonate Detergent	2.0
	Neutral Calcium		Neutral Calcium	
	Sulfonate Detergent	0.5	Sulfonate Detergent	0.5
45	Antioxidant	0.5	Antioxidant	0.5
	Rust Inhibitor	0.1	Rust Inhibitor	0.1
	Pour Point Depressant	0.1	Pour Point Depressant	0.1
	OCP VI Improver	5.5	OCP VI Improver	5.5
50	Anti-wear Additive ¹	1.0	Anti-wear Additive	1.0

¹In the case where no anti-wear additive was employed, solvent neutral 150 was used in place of the additive at 1.0 weight percent.

As the data in Table 1 show, the dithiocarbamyl carboxylic acids of this invention performed nearly as well as the known zinc dialkyldithiophosphate additive in both motor oil formulations.

WHAT IS CLAIMED IS:

1. A dithiocarbamyl carboxylic acid lubricant additive composition of the general formula



wherein R¹ and R² each independently is a hydrocarbyl group of from 1 to about 60 carbon atoms and R³ is a divalent alkylene group of from 1 to about 20 carbon atoms.

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2. The dithiocarbamyl carboxylic acid of Claim 1 wherein R¹ and R² each is an alkyl group of from about 2 to about 30 carbon atoms.

3. The dithiocarbamyl carboxylic acid of Claim 1 wherein R¹ and R² each is an alkyl group of from about 4 to about 24 carbon atoms.

20

4. The dithiocarbamyl carboxylic acid of Claim 1 wherein R³ contains from 2 to about 12 carbon atoms.

5. The dithiocarbamyl carboxylic acid of Claim 1 wherein R¹ and R² each is an alkyl group of from about 4 to about 24 carbon atoms and R³ contains from 2 to about 12 carbon atoms.

25

6. The dithiocarbamyl carboxylic acid of Claim 1 which is 3-(N,N-diamyldithiocarbamyl)-propionic acid.

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7. The dithiocarbamyl carboxylic acid of Claim 1 which is 3-(N,N-ditetradecyldithiocarbamyl)-propionic acid.

8. A lubricating oil composition comprising a lubricating oil and a functional property-improving amount of at least one dithiocarbamyl carboxylic acid of Claim 1.

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9. A lubricating oil composition comprising a lubricating oil and a functional property-improving

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amount of at least one dithiocarbamyl carboxylic acid of Claim 2.

10. A lubricating oil composition comprising a lubricating oil and a functional property-improving
5 amount of at least one dithiocarbamyl carboxylic acid of Claim 3.

11. A lubricating oil composition comprising a lubricating oil and a functional property-improving
amount of at least one dithiocarbamyl carboxylic acid of
10 Claim 4.

12. A lubricating oil composition comprising a lubricating oil and a functional property-improving
amount of at least one dithiocarbamyl carboxylic acid of Claim 5.

13. A lubricating oil composition comprising a lubricating oil and a functional property-improving
amount of at least one dithiocarbamyl carboxylic acid of Claim 6.

14. A lubricating oil composition comprising a
20 lubricating oil and a functional property-improving amount of at least one dithiocarbamyl carboxylic acid of Claim 7.

15. A method for improving at least one functional property of a lubricating oil which comprises
25 adding to the lubricating oil a functional property-improving amount of at least one dithiocarbamyl carboxylic acid of Claim 1.

16. A method for improving at least one functional property of a lubricating oil which comprises
30 adding to the lubricating oil a functional property-improving amount of at least one dithiocarbamyl carboxylic acid of Claim 2.

17. A method for improving at least one functional property of a lubricating oil which comprises
35 adding to the lubricating oil a functional property-improving amount of at least one dithiocarbamyl carboxylic acid of Claim 3.

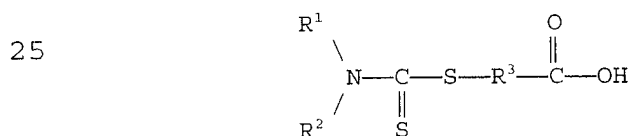
18. A method for improving at least one functional property of a lubricating oil which comprises adding to the lubricating oil a functional property-improving amount of at least one dithiocarbamyl
5 carboxylic acid of Claim 4.

19. A method for improving at least one functional property of a lubricating oil which comprises adding to the lubricating oil a functional property-improving amount of at least one dithiocarbamyl
10 carboxylic acid of Claim 5.

20. A method for improving at least one functional property of a lubricating oil which comprises adding to the lubricating oil a functional property-improving amount of at least one dithiocarbamyl
15 carboxylic acid of Claim 6.

21. A method for improving at least one functional property of a lubricating oil which comprises adding to the lubricating oil a functional property-improving amount of at least one dithiocarbamyl
20 carboxylic acid of Claim 7.

22. A dithiocarbamyl carboxylic acid of the general formula



30 wherein R¹ and R² each independently is a hydrocarbyl group of from 1 to about 60 carbon atoms and R³ is a divalent alkylene group of from 3 to about 20 carbon atoms.

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INTERNATIONAL SEARCH REPORT

Intern al Application No
PCT/US 97/24233

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 C10M135/18 C07C333/14 //C10N30:06

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 6 C10M C07C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2 786 866 A (HOOK E ET AL) 26 March 1957 see column 2, line 3 - column 3, line 12; example 11 ---	1-21
X	US 4 758 362 A (BUTKE BETSY J) 19 July 1988 see column 2, line 35 - column 3, line 14 ---	1-6, 8-13, 15-20
X	US 3 833 496 A (MALEC R) 3 September 1974 see column 1, line 28-62 ---	1-5, 8-12, 15-19
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☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

31 March 1998

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INTERNATIONAL SEARCH REPORT

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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DE 10 17 405 B (SCHERING AG) 10 October 1957 see column 3, line 1-26; examples 21,40,44 ---	1-6
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INTERNATIONAL SEARCH REPORT

information on patent family members

Intern. Application No

PCT/US 97/24233

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