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(54) Title: OBJECT DETECTION AND RECOGNITION UNDER OUT OF FOCUS CONDITIONS

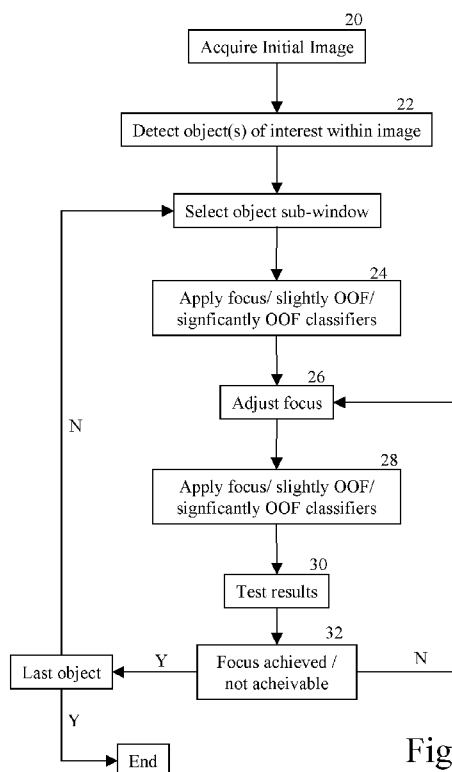


Figure 2

(57) Abstract: A smart-focusing technique includes identifying an object of interest, such as a face, in a digital image. A focus-generic classifier chain is applied that is trained to match both focused and unfocused faces and/or data from a face tracking module is accepted. Multiple focus-specific classifier chains are applied, including a first chain trained to match substantially out of focus faces, and a second chain trained to match slightly out of focus faces. Focus position is rapidly adjusted using a MEMS component.

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Object detection and recognition under out of focus conditions

FIELD OF THE INVENTION

The invention relates to object, for example, face, detection and recognition under out of focus conditions.

5 DESCRIPTION OF THE RELATED ART

Face detection in digital cameras involves identifying sub-windows or regions or groups of pixels within an image which correspond to faces. US 2002/0102024, Viola-Jones proposes one of the first feasible techniques for implementing face detection within a digital image acquisition device such as a digital stills camera, camera phone or video recorder. Viola-
10 Jones is based on a classifier chain consisting of a series of sequential feature detectors. The classifier chain rejects image patterns that do not represent faces and accepts image patterns that do represent faces.

Face recognition on the other hand involves identifying an individual in a candidate sub-window within an image. A problem in face recognition processes arises in that faces with
15 similar focus conditions tend to be clustered together in "face space". For example, the attributes for out of focus faces of even different individuals tend to have more similar values/characteristics within the face space than for well focused faces. As such, correct clustering of images of the same person is difficult.

It is desired to be able to detect and recognize faces and indeed other objects that are out of
20 focus within images. It is also desired to have a method to normalize focus on faces or other objects, for example, for use in face/object recognition and/or other applications.

Having objects at different distances to a digital camera, or a camera-phone, video camera, or other camera-enabled device or image acquisition device, in focus is a well known problem in the digital photography industry. Solutions such as extended depth of field do tackle this
25 problem, but only partially, ensuring that the close objects are still sharp when the camera focuses to infinity (deep focus). It is desired to have an efficient technique to handle digital images initially having out of focus objects.

SUMMARY

Methods and apparatus according to the present invention are defined in the accompanying claims.

Embodiments of the invention provide rapid auto-focus using classifier chains and MEMS for
5 multiple object focusing.

BRIEF DESCRIPTION OF THE DRAWINGS

Embodiments of the invention will now be described, by way of example, with reference to the accompanying drawings, in which:

Figures 1A-1B illustrate a method in accordance with an embodiment of the present
10 invention.

Figures 2 illustrates a method in accordance with an alternative embodiment of the present invention.

Figures 3A-3E illustrate a further technique in accordance with certain embodiments.

DETAILED DESCRIPTIONS OF THE EMBODIMENTS

15 Embodiments of the present invention are implemented in digital image acquisition devices including MEMS (or similar) technology which allows changing the point of focus rapidly. The MEMS technology may be as set forth at any of US patents 7,769,281, 7,747,155, 7,729,603, 7,729,601, 7,702,226, 7,697,834, 7,697,831, 7,697,829, 7,693,408, 7,663,817, 7,663,289, 7,660,056, 7,646,969, 7,640,803, 7,583,006, 7,565,070, 7,560,679, 7,555,210,
20 7,545,591, 7,515,362, 7,495,852, 7,477,842, 7,477,400, 7,403,344, 7,359,131, 7,359,130, 7,345,827, 7,266,272, 7,113,688 and/or 6,934,087.

Such systems have the capability to capture and/or store a limited sequence of images captured in a relatively short amount of time.

Particular embodiments of the invention are implemented as embedded components in digital
25 image acquisition devices where face (or other object of interest) detection (and/or tracking)

information is provided. As mentioned in the introduction, face detection particularly by training face classifiers have been widely researched and developed by the assignee of the present application and others and is therefore not discussed further here.

In certain embodiments, the system captures a sequence of images, starting with an initial one, and following with a number of images equal with the number of objects (faces) of interest detected in the field of view. Initial reference, preview or postview image capturing may include an initial image being captured with default settings of the image acquisition device, for example, focusing on a main element (e.g. background, a certain object, a certain face).

An object-of-interest image sequence capturing may be performed. For the following images the focus point is determined from the characteristics of the set of faces (or objects) detected and this will be described in more detail below. Multiple images captured at different focus positions may be saved altogether, providing the user or programmed device the possibility to choose or merge between them at a later stage, or further processed in the device, to provide a single, multi-focused image.

WO 2008107112 discloses a face illumination normalization method including acquiring a digital image including a face that appears to be illuminated unevenly. One or more uneven illumination classifier programs are applied to the face data to determine the presence of the face within the digital image and/or the uneven illumination condition of the face. The uneven illumination condition may be corrected to thereby generate a corrected face image appearing to have more uniform illumination, for example, to enhance face recognition.

The approach of WO 2008107112 may be applied for focus condition. In the focus condition case, one may use for example classifiers for (i) sharply focused objects (faces), (ii) slightly out of focus objects (faces), and (iii) significantly out of focus objects (faces).

Figures 1A-1B illustrate an exemplary detailed workflow. Having acquired a given image of a scene and identified one or more sub-windows including objections of interest within the scene, the workflow proceeds from step 802. The sub-windows can either be determined *ab initio* within the image, for example, by running a first component which serves as a generic object detector, using higher-order, simpler or relaxed classifiers. These classifiers cannot

match to finer features within an object of interest and as such they provide a good match to both focused and unfocused objects. In one preferred embodiment these classifiers are Haar classifiers. Alternatively, the sub-windows can have been tracked from previously acquired images.

- 5 At 802, a given sub-window containing an object of interest such as a face, is tested with an in-focus classifier set (e.g., using 3-5 classifiers). If a cumulative probability is determined at 804 to be above a first threshold, then an object such as a face is determined to be in focus at 806, and the process is continued with this full classifier chain. If the cumulative probability is determined to be below a second threshold (which is even lower than the first threshold),
10 then at 812 the sub-window is determined to not contain a face, and the process is returned via 864 to 802 where the next object (face) of interest is tested. If the cumulative probability is determined at 808 to be above a second threshold, yet below the first threshold of 804, then the sub-window is deemed to still likely be a face at 810, but not an in focus one. Thus, a next out of focus specific partial classifier set is applied at 814.
- 15 The classifier can be applied in any order, although at step 814, the sub-window is tested with a slightly out of focus classifier set (e.g., using 3-5 classifiers). If the cumulative probability is determined to be above a first threshold at 816, then face is determined to be slightly out of focus at 818, and the process is continued with this full classifier chain. If the cumulative probability is deemed to be between the first threshold and a lower second threshold at 820,
20 then at 822 the sub-window is determined to still likely contain a face, but not a slightly out of focus one, and so the process moves to 826 for applying a next out of focus specific partial classifier set. If the cumulative probability is deemed to be less than the second threshold, then at 824 the sub-window is determined to not contain a face, and the process moves back through 864 to the next sub-window and 802.
- 25 At 826, a test of the sub-window is performed with a significantly out of focus partial classifier set (e.g., using 3-5 classifiers). If the cumulative probability is determined at 828 to be above a first threshold, then the face is determined to be significantly out of focus and at 830 the process is continued with this full classifier chain. If cumulative probability is below the first threshold, but above a lower second threshold at 832, then the sub-window is
30 determined to still likely contain a face at 834, although not a significantly out of focus one,

and so the process moves to 838 and Figure 1B to apply a next out of focus specific partial classifier set, if any. If at 832, the cumulative probability is deemed above a second threshold lower than the first indicated at 828, then the sub-window is still deemed to be likely to contain a face at 858, although neither a sharply focused one, nor a slightly out of focus one, nor a significantly out of focus one, and so now pairs of specific partial classifier sets are applied at 862. This is because at this point, the window has not passed any of the focus condition specific classifiers at their first threshold but neither has it been rejected as a face. Thus, a likely scenario is that the sub-window contains a face that is represented by a combination of focus condition types. So, the two highest probability thresholds may be first applied to determine whether it is in between sharply focused and slightly out of focus, or between slightly and significantly out of focus or perhaps more greatly focused than significantly out of focus or perhaps better focused than sharply focused, then multiple full classifier sets are applied to determine if it survives as a face region. If at 832 the cumulative probability was deemed to be below the second threshold, then at 860, the sub-window is deemed not to contain a face and the process moves through 864 to 802 to the next image sub-window.

Where the classifier arrives at step 806 and subsequently confirms a face is in focus, the image or image sub-window can be stored. Where the classifier arrives at step 818 or step 830 and so determines that a face is slightly or significantly out of focus, then the focusing system can be adjusted to acquire a subsequent image including a more focused version of the object of interest. Any number of schemes can be employed to determine in which direction and to what extent focus adjustment can be made.

For example, system classifiers may be trained to detect depths of various other objects in the scene, and so may also be able to detect whether the out of focus condition is due to a focus plane being in front of or in back of the face or other object of interest. For example, if a background object is in focus, then the face or other object of interest is in front of the focal plane, whereas if an object known to be in front of the face or other object of interest is in focus, then the focal plane is in front of the face or other object of interest. In implementations such as this, the workflow of Figures 1A and 1B can be adjusted and extended to include front and back slightly out of focus classifiers and/or front and back

significantly out of focus classifiers. In any case, this enables the system to determine the focus adjustment.

The corresponding sub-window from the subsequent image can either be stored on the assumption that that focus adjustment was satisfactory, or the sub-window can be re-tested
5 using the workflow of Figures 1A and 1B to determine if further adjustment might be necessary.

Referring now to Figure 2, in an alternative embodiment, split classifier chains can be used to perform rapid focusing onto individual face regions within an image acquired at step 20.

In such an embodiment, object classification is split into a first phase which serves to detect
10 generic faces, step 22. This can involve applying a detector, using higher-order, relaxed or simpler classifiers. Again, such classifiers cannot match to finer features within the face region and as such they provide a good match to both focused and unfocused faces. In one preferred embodiment these classifiers are Haar classifiers. Alternatively, data from a face tracking module can be used; or indeed information from both the first phase detector and
15 face tracker can be combined.

A set of additional classifier components are then selectively applied to each sub-window containing an object of interest, as explained below.

In a preferred embodiment at least three distinct additional classifier components are provided. All of these additional classifier components comprise of a chain of more complex
20 classifiers.

In one preferred embodiment these classifiers are census classifiers. In alternative embodiments these may be combined with other complex classifiers.

The first additional classifier chain is selectively trained to match with sharply focused face regions to be neutral to face regions which are slightly out of focus and to actively reject
25 faces which are significantly out of focus.

A second additional classifier chain is selectively trained to optimally match with face regions which are slightly out of focus, being neutral to faces which are sharply in focus and to reject face regions which are significantly out of focus.

5 A third additional classifier chain is selectively trained to optimally match to faces which are significantly out of focus and to actively reject sharply focused faces, being neutral to slightly unfocused faces.

In a preferred embodiment each of these components provides a score between 1 and 0 for the confirmed face region, said score being normalized against a large dataset to provide a substantially accurate indication of how well the confirmed face region matches to each of
10 the criteria.

The operation of the embodiment is then as follows: (i) a sub-window has each of the applied additional components outlined above applied in turn to determine the degree of focus of the face; (ii) the MEMS lens is quickly adjusted, step 26 and a second application of the three components is made, step 28. The scores from the test of step 28 are then compared with the
15 scores of the previous application of the components, step 30. If the face was substantially unfocused and remains substantially unfocused then the movement of the lens was in the wrong direction and the lens is moved again in the opposite direction, step 26, or the increment of the movement may be reduced; (iii) once a better match is achieved by the slightly unfocused component the increment of lens movement is further reduced and
20 adjustments made until the score of the sharply focused component is greater than that of the slightly unfocused component then the face region is determined to be in-focus, step 32.

As outlined in Figures 3A-3E, because the MEMS lens assembly can rapidly change its focus, it is possible to repeat this sequence on multiple faces within a single scene and to determine the optimal focus for each face region. In certain embodiments more than one
25 image of the same scene may be captured and merged/blended into a single composite image to provide multiple, optimally focused faces. In alternative embodiments a global focus may be determined from the focus settings of all face regions within the image.

In other embodiments specific classifier chains may be employed for facial features. For example one set of classifiers may be trained to enable optimal focus on a person's eyes; a

second set may be trained to enable optimal focus on their chin or mouth region; a third set may be trained for their hairline/forehead region; a fourth set may be trained for their ears and sides of the face. In combination such classifiers enable more accurate focus on a single face for portrait photography, thus enabling individual regions of the face to be kept in sharp focus, or slightly or substantially out of focus depending on the requirements of the portrait photographer.

The outline focus process described above may employ more complex and sophisticated algorithms. In addition more than the three level of focus described above may be employed although the three levels outlined above should be sufficient for most embodiments of this invention.

In certain embodiments it would also be possible to eliminate the sharply focused component and by rapidly moving the focal point of the lens between slightly unfocused positions in front of and behind the face to determine the optimal focal distance.

Embodiments of the invention employ mature solutions, such as face detection and tracking, and MEMS technologies, as described for example in the references cited above and as may otherwise be understood by those skilled in the art. Image registration and enhancement may be provided in various embodiments to order to obtain a well focused system. The method may be used for face and non-face objects, such as pets, cars, houses, personal property, natural scenery, avatars and other gaming objects, and many other identifiable objects, as long as automatic object detection and/or tracking is present in the system. A system in accordance with certain embodiments is capable of providing photos and digital images taken with any f-number where, together with a user-selected or automatically selected objects of interest such as faces, regardless of their distance to the camera, are kept in focus.

Embodiments involve MEMS capability to move the focus point quickly, rapidly, immediately or almost instantaneously, after an image has been captured. Object detection and tracking capability determines the position and the size of the objects in real time, while preview information is created. Image registration capability is provided in order to align the sequence of images to enable combining them on the spot, or later on after the images have been captured. Image blending and/or morphing capability may also be included, e.g., such

that sharp faces from one image can be transposed in another image with a different point of focus.

The solution solves the problem not only for deep focus but also for lenses with shallow focus for photos with groups of people.

- 5 With regard to selective focus, the viewer may be allowed to manually toggle between different focal points. A digital image may be acquired as usual, while a focal point is selected on an embedded device or computer afterward. With regard to multi-face focus, a single image may be created such that two or more or all of the faces (or other objects of interest) are sharply focused. The multi-face focus image may have a higher resolution than
10 each of the individual images from the sequence, thereby providing best possible input data for security applications such as face recognition. These multi-face embodiments provide advanced, qualitative solutions which are suitable to real-time embedded implementation.

- In an advanced use cases, image alignment may involve optical, digital and/or mechanical stabilization to enable combining them together. Features described at any of US Patents
15 7,660,478, 7,639,889, 7,636,486, 7,639,888, 7,697,778, and/or USP 7,773,118, and 7,676,108 and/or United States patent applications serial nos. 12/330,719, 11/856,721, 12/485,316, 12/901,577, 12/820,002, 12/820,034, 12/820,086, 12/336,416, USSN 11/753,098, and/or USSN 12/137,113, may be used in alternative embodiments.

- Image blending and morphing is also possible such as illustrated at Figure 3A-3E for multi-
20 focus capability. A number of images are blended together in such a way that areas of interest in focus take priority over the areas out of focus. In cases where object/faces of interest have moved from one image to another, morphing may be used in order to make the combination realistic and artifact free.

- In addition, in methods that may be performed according to preferred embodiments herein
25 and that may have been described above, the operations have been described in selected typographical sequences. However, the sequences have been selected and so ordered for typographical convenience and are not intended to imply any particular order for performing the operations, except for those where a particular order may be expressly set forth or where those of ordinary skill in the art may deem a particular order to be necessary.

Claims:

1. A method of digital image processing, comprising:

acquiring a scene with a digital image acquisition device including multiple features;

identifying a first group of pixels that correspond to a first feature within the scene;

5 adjusting an optic in real time using a MEMS component to a first focus position to focus the device on the first feature;

capturing a first digital image at the first focus position;

identifying a second group of pixels that correspond to a second feature within approximately the same scene;

10 adjusting the optic in real time using the MEMS component to a second focus position to focus the device on the second feature;

capturing a second digital image at the second focus position;

registering the first and second digital images including the first and second features; and

storing, transmitting, combining, capturing or displaying the first and second digital images

15 together, or combinations thereof.

2. The method of claim 1, further comprising determining that the first feature appears blurry in the second digital image or that the second feature appears blurry in the first image, or both, and wherein the storing, transmitting or displaying of the first and second digital images together, or combinations thereof, comprises generating a composite image including the first
20 feature from the first digital image and the second feature from the second digital image, such that both of the first and second features appear to be sharp in the composite image.

3. The method of claim 2, wherein the generating a composite image comprises blending the first and second images.

4. The method of claim 2, wherein the generating a composite image comprises morphing the first and second images.

5. The method of claim 1, further comprising wherein the storing, transmitting or displaying of the first and second digital images together, or combinations thereof, comprises providing a toggle feature to call the first and second digital images together and toggle between them.

6. The method of claim 5, further comprising receiving a selection of one of the first and second digital images for further storing, transmitting, displaying or further processing, or combinations thereof.

7. The method of claim 5, wherein the toggle feature permits a display to appear, to demonstrate toggling between the first and second focus positions in a same image.

8. The method of claim 1, wherein the registering comprises aligning the first and second digital images by applying digital or mechanical image stabilization, or both.

9. The method of claim 1, wherein the identifying of the first or second groups of pixels respectively comprises applying face detection to the acquired scene and identifying the first or second features as corresponding to first or second faces.

10. The method of claim 9, further comprising applying face tracking to the first or second group of pixels, or both, for respectively continuing to identify in subsequent images the first or second groups of pixels as corresponding to the first or second face.

11. A method of smart-focusing on a detected face region in a scene, comprising:

a) acquiring a digital image of said scene using a camera-enabled device including a lens, an image sensor, a memory and a processor;

b) identifying within said digital image one or more groups of pixels that contain a face;

c) applying multiple focus-specific classifier chains to an identified group of pixels, said classifier chains including: a first trained to match substantially out of focus faces, and a second trained to match slightly out of focus faces; and

d) responsive to said group of pixels significantly matching one or both of the first or second focus-specific classifier chains, determining said face not to be sharply focused; and

e) responsive to said face not being sharply focused, adjusting focus position using a MEMS component one or more times based on a degree of focus determined at one or more
5 preceding focus positions to focus on the face.

12. The method of claim 11 wherein said step b) of identifying includes either: applying a focus-generic classifier chain trained to match both focused and unfocused faces; accepting data from a face tracking module, or both.

13. The method of claim 11, wherein said step e) of adjusting comprises: responsive to said
10 face best matching the first focus-specific classifier chain, coarsely adjusting to a second focus position and repeating the applying of the multiple focus-specific classifier chains.

14. The method of claim 13, wherein said step e) of adjusting further comprises: responsive to determining said face to be substantially unfocused at the second focus position, coarsely adjusting focus to a third focus position: oppositely to the adjusting to the second focus
15 position and/or in a reduced or increased amount to the adjusting to the second focus position.

15. The method of claim 13, wherein the multiple focus-specific classifier chains further comprise a third focus-specific classifier chain trained to match sharply focused faces, and wherein said step e) of adjusting comprises: responsive to said face best matching the second focus-specific classifier chain, finely adjusting to a second focus position and repeating the
20 applying of the at least three focus-specific classifier chains one or more times until a best match is achieved with the third focus-specific classifier chain.

16. The method of claim 13, wherein said step e) of adjusting comprises: responsive to said face best matching the second focus-specific classifier chain, finely adjusting to a second focus position and repeating the applying of the second focus-specific classifier chain until a
25 focus position is achieved between two slightly unfocused positions in front of and behind the face.

17. The method of claim 11, wherein the multiple focus-specific classifier chains further comprise a third focus-specific classifier chain trained to match sharply focused faces, and

wherein said step e) of adjusting comprises: responsive to said face best matching the second focus-specific classifier chain, finely adjusting to a second focus position and repeating the applying of the multiple focus-specific classifier chains one or more times until a best match is achieved with the third focus-specific classifier chain.

- 5 18. The method of claim 11, wherein said step e) of adjusting comprises: responsive to said face best matching the second focus-specific classifier chain, finely adjusting to a second focus position and repeating the applying of the second focus-specific classifier chain until a focus position is achieved between two slightly unfocused positions in front of and behind the face.
- 10 19. The method of claim 11, further comprising applying one or more specific facial feature classifier chains each trained to enable optimal focus on a feature of the detected face including one or both eyes, mouth, chin, nose, one or both ears, hairline, forehead, profile or other partial face region, or combinations thereof.
20. The method of claim 19, further comprising adjusting focus position to obtain optimal
15 focus on a selected specific facial feature of the detected face.
21. The method of claim 11, further comprising repeating the applying of at least one of the multiple focus-specific classifier chains at each group of pixels.
22. An object-based auto-focus method, comprising:
- (a) acquiring a digital image including object data corresponding to one or more
20 objects of interest that appears to be out of focus;
- (b) applying one or more focus condition classifier programs to the object data;
- (c) identifying the object data as corresponding to said object within the digital image;
- (d) determining an out of focus condition for the object also as a result of the applying
of the one or more focus condition classifier programs;
- 25 (e) correcting the out of focus condition of the object based on the determining, to thereby generate a corrected object image appearing to be sharply focused; and

(f) electronically capturing, combining with another image, storing, transmitting, applying an object recognition program to, editing, or displaying the corrected object image, or combinations thereof.

23. The method of claim 22, further comprising applying an object recognition program to the corrected object image.

24. The method of claim 22, wherein the detecting of the object and the determining of the out of focus condition of the object are performed simultaneously.

25. The method of claim 22, further comprising applying a set of feature detector programs to reject non-object data from being identified as object data.

26. The method of claim 22, further comprising applying a sharply focused classifier program to the object data.

27. The method of claim 26, further comprising determining a focus condition based on acceptance of the object data by one of the classifier programs.

28. The method of claim 27, wherein the digital image is one of multiple images in a series that include said object, and wherein said correcting is applied to a different image in the series than said digital image within which the focus condition is determined.

29. The method of claim 22, wherein said out of focus classifier programs comprise one or more slightly out of focus classifiers and one or more significantly out of focus classifiers.

30. The method of claim 29, further comprising applying a sharply focused classifier program to the object data.

31. The method of claim 22, wherein the applying comprises applying at least two full classifier sets after determining that no single focus condition applies and that the object data is not rejected as being a specific object.

32. An object detection method, comprising:

(a) acquiring a digital image;

(b) extracting a sub-window from said image;

(c) applying to said sub-window, two or more shortened object detection classifier cascades, trained to be selectively sensitive to a focus condition of one or more objects of interest,

(d) based on the applying, determining a probability that an object with a certain focus condition is present within the sub-window;

(e) based on the determining, applying an extended object detection classifier cascade trained for sensitivity to said certain focus condition;

5 (f) providing a final determination that the specific object exists within the image sub-window; and

(g) repeating steps (b)-(e) one or more times for one or more further sub-windows from the image or one or more further focus conditions, or both.

10 33. The method of claim 32, further comprising determining a focus condition by applying one or more out of focus classifier cascades.

34. The method of claim 33, further comprising applying a sharply focused classifier cascade.

35. The method of claim 34, further comprising determining a focus condition of the specific object within a sub-window based on acceptance by one of the classifier cascades.

15 36. The method of claim 35, wherein the digital image is one of multiple images in a series that include the specific object, and the method further comprises correcting an out of focus condition of the specific object within a different image in the series than said digital image within which the focus condition is determined.

20 37. The method of claim 33, wherein said out of focus classifier cascades comprise one or more slightly out of focus classifiers and one or more significantly out of focus classifiers.

38. The method of claim 31 or 32, wherein the object comprises a face.

39. One or more non-transitory processor-readable media having code embedded therein for programming a processor to perform a method of digital image processing according to any one of claims 1 to 38.

25 40. A digital image acquisition device, comprising:

a lens and image sensor for acquiring digital images;

a processor; and

one or more non-transitory processor-readable media having code embedded therein for programming a processor to perform a method of digital image processing comprising the steps of any one of claims 1 to 38.

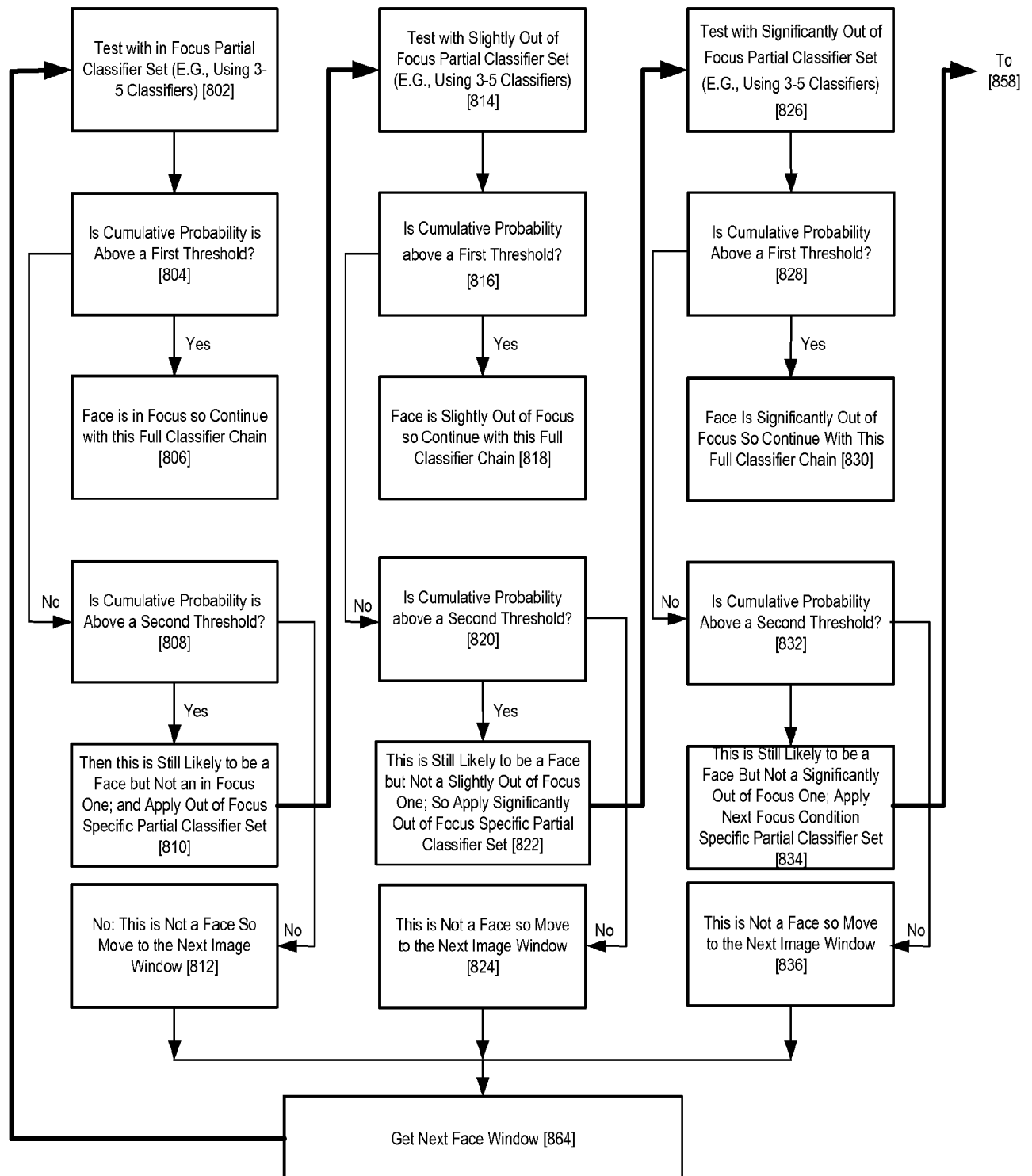


Figure 1A

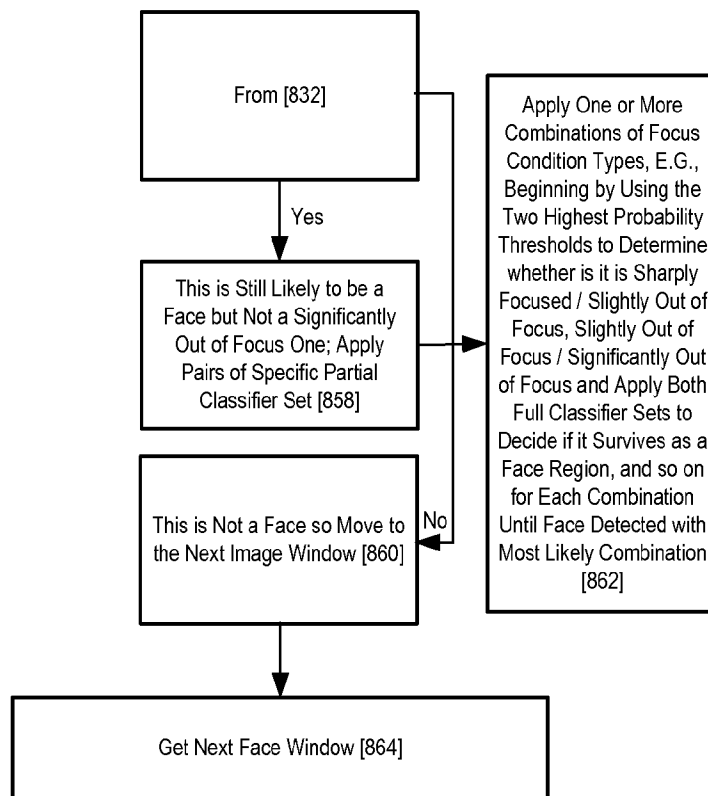


Figure 1B

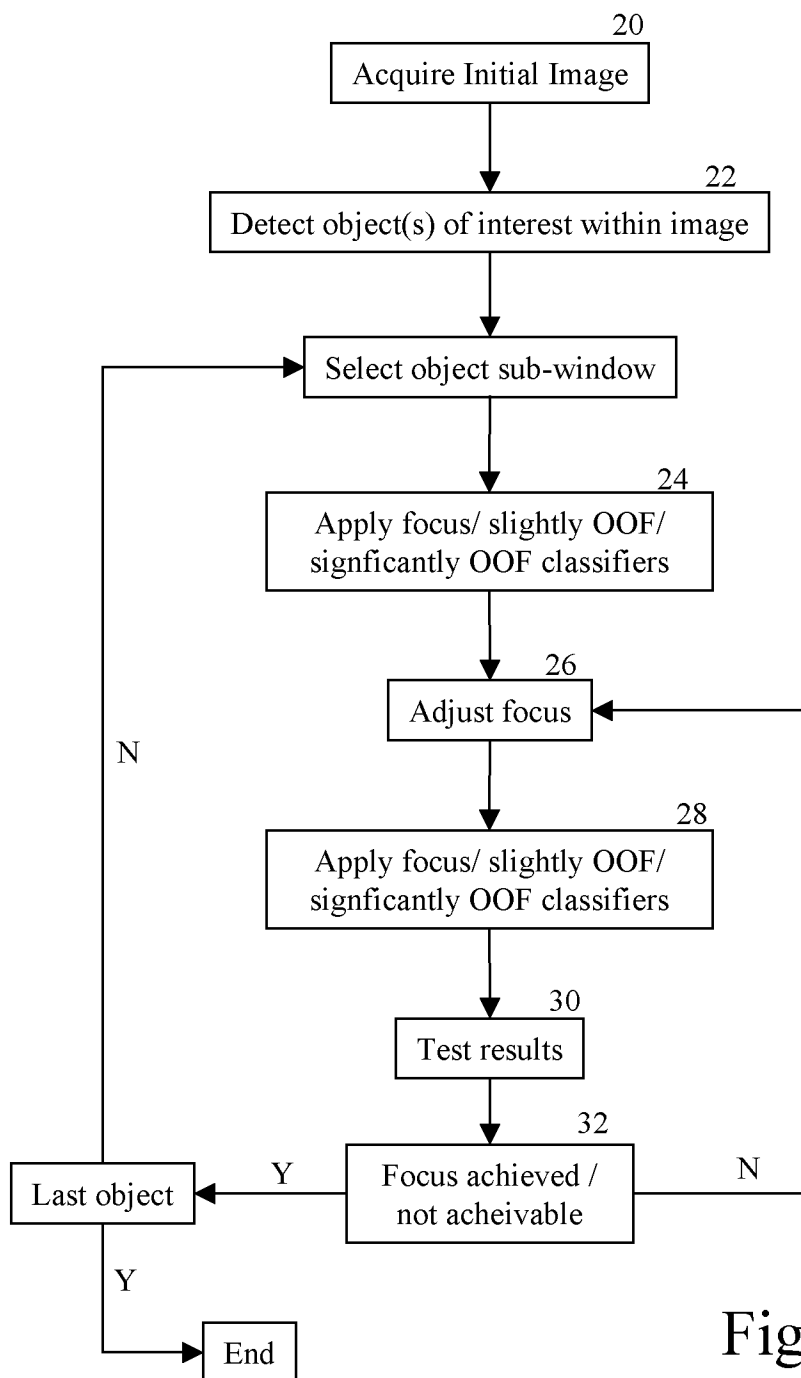


Figure 2

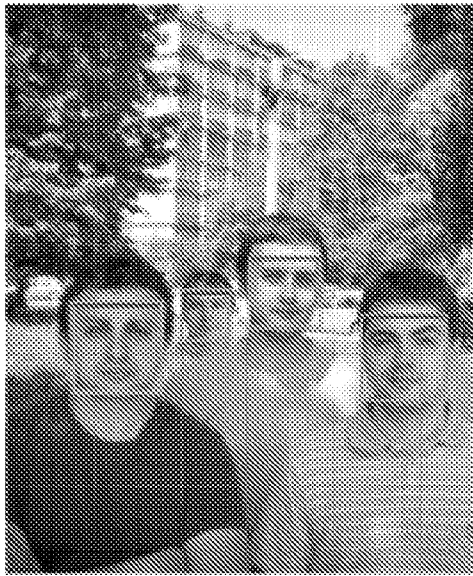


Figure 3A

EMBEDDED:
1. face detection
2. focus on each face and
save each image
3. save all images in the same
JPG file, as different thumbs

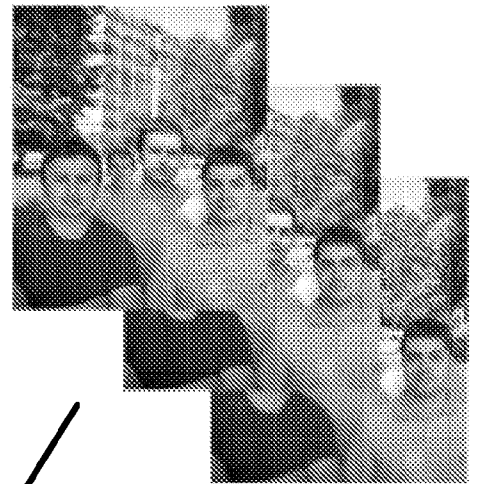


Figure 3B

PC:
- Choose the focus on different persons



Figure 3C



Figure 3D

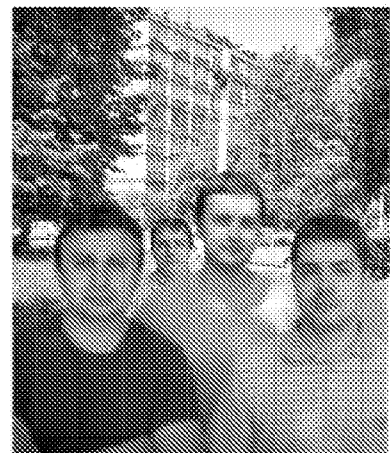


Figure 3E