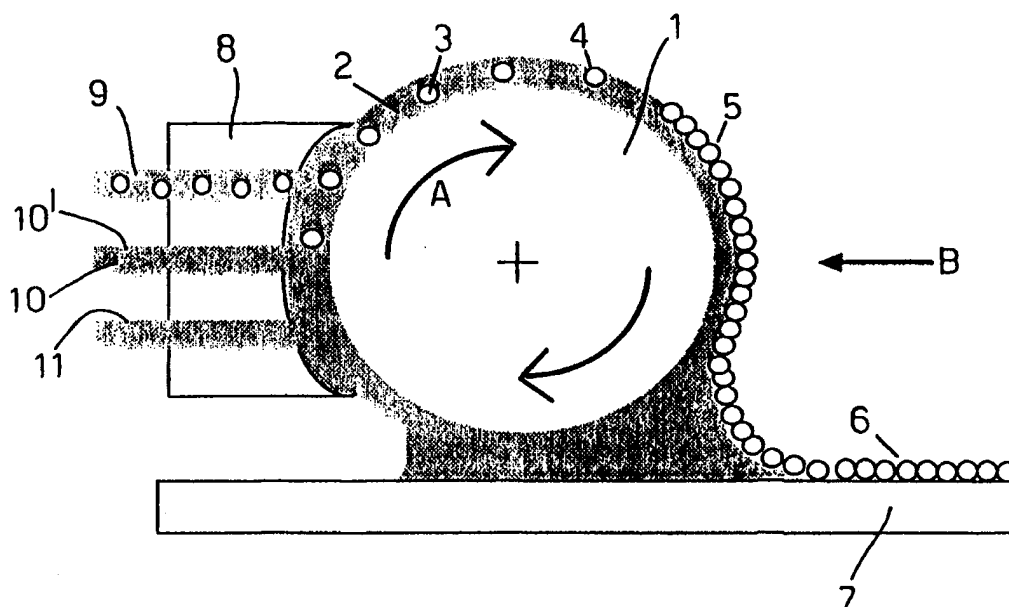




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : B05D 1/00, 1/20, 5/02	A1	(11) International Publication Number: WO 98/53920 (43) International Publication Date: 3 December 1998 (03.12.98)
(21) International Application Number: PCT/IT98/00131 (22) International Filing Date: 25 May 1998 (25.05.98) (30) Priority Data: TO97A000466 30 May 1997 (30.05.97) IT (71)(72) Applicant and Inventor: PICARD, Gilles [CA/IT]; Corso Tassoni, 56, I-10144 Torino (IT). (74) Agent: GARAVELLI, Paolo; A.Bre.Mar. S.r.l., Corso Massimo d'Azeglio, 74, I-10126 Torino (IT).		(81) Designated States: AL, AM, AU, AZ, BA, BB, BG, BR, BY, CA, CN, CU, CZ, EE, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, RO, RU, SD, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: METHOD AND APPARATUS FOR THE PREPARATION OF MONOLAYERS OF PARTICLES OR MOLECULES



(57) Abstract

A method and an apparatus are described to prepare monolayers of particles (or molecules) (3), realizing the steps of: injecting a liquid film (2) with particles (3) dispersed on the external surface of a rotary member (1); adjusting the surface charge density of the particles (3) by injecting adsorption reagents (10), carrying particles (3) placed on film (2) surface so that they form a substantially uniform monolayer (5); putting the monolayer (5) on the liquid film surface (2) in contact with a substrate (7); and advancing the rotary member (1) longitudinally with respect to the substrate (7), in both directions, so that the monolayer (5) is detached from the liquid film (2) and attached to the substrate (7).

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

METHOD AND APPARATUS FOR THE PREPARATION OF
MONOLAYERS OF PARTICLES OR MOLECULES

The present invention refers to a method and a apparatus for the preparation of monolayers of particles or molecules.

The fabrication of monolayers of insoluble particles to the gas-liquid interface was realized through uses of troughs usually full of aqueous solutions. To the gas-water interface, solutions containing amphiphilic molecules are usually spreaded, these being molecules made of a polar head and a chain of fatty acids. After the volatile solvent has evaporated, it leaves at the gas-liquid interface the amphiphilic molecules. Finally, a mobile barrier compresses the molecules in a monolayer. Therefore, essentially there occurs an immobile trough containing an unmoving subphase on which molecules are laterally transported through it by exploiting the surface tension difference between the subphase and the deposited solution, and a mobile barrier.

The transfer of the monolayer onto a solid substrate is realized through several methods. One is the so-called Langmuir-Blodgett method, and essentially comprises a vertical immersion of a solid plate in the subphase through the monolayer; by pulling up such plate, the layer is transferred onto the plate by lateral compression. That can be repeated many times. Another method, called the Langmuir-Schaeffer method, comprises the descent of an horizontal plate onto the monolayer. After a contact is made, the plate is again extracted with the monolayer on it.

In order to improve the fabrication of insoluble particles, several attempts have been carried out. One has been to make a cylinder rotate under the water surface. One expected that such movement drove the insoluble particles ahead in a forming monolayer. However, in the majority of cases, this technique requires a precompression of an already prepared monolayer. The cylinder that compresses the layer is made of hydrophobic material. Moreover, only insoluble molecules are usable. Another device has been recently disclosed by G. Fuller, C. Franck and C. Robertson (Langmuir, 10, 1251 (1994)). It comprises the compression of

insoluble particles with a flowing subphase between a fixed surface and the monolayer surfaces. Again, only insoluble molecules are used.

There are several limits in these previous methods: the essential one is that these methods are provided for insoluble particles. The attempts to extend the above methods to soluble particles have supplied marginal results. Slowness, loss of particles, low reproducibility and denaturation of proteins are a general characteristic of these methods.

The Applicant of the present invention and others disclosed a new method in the course of 1997 (Picard G., Nevernov I., Alliata D. and Pazdernick L., *Langmuir*, 13, 264 (1997)). The method has been marked with the acronym DTLF (Dynamic Thin Laminar Flow), and comprises a rotary cylinder that compresses a monolayer of soluble proteins. It was specifically planned in order to manufacture monolayers of soluble proteins, even if monolayers of soluble particles can also be easily realized. The features of this DTLF method are high-speed production, low amounts of materials being used, continuous production and preparation of bidimensional crystals. The newly prepared

monolayer can also be deposited for further analysis on a solid, unmoving substrate. In other words, the device can be moved on a fixed substrate in order to deposit monolayers.

Even if in this study a machine has been disclosed that proved to be functional with proteins, the basic principles governing the DTLF method were not explained. This means that the use of such apparatus can be even useless if the basic forces are not controlled.

Object of the present invention is solving the above prior art problems, providing the basic principles for the DTLF method in such a way as to extend the application to the preparation of amorphous or crystalline monolayers of all kind of particles and their following transfer on any type of liquid or solid substrate.

A further object of the present invention is providing an apparatus for the simple, efficient and inexpensive realization of the above mentioned inventive method.

The basic principles of the DTLF method are based on the combination of three different processes. The first one is that it is necessary to use a thin liquid film: its thinness must be in the

micrometer range. The second is the control of electrical charges of the particles in the thin liquid film in order to provoke the adsorption of particles to the gas-liquid interface without provoking the adsorption between them to the gas-liquid interface or in the thin liquid film itself. The third part is that, in order to create a force to drive particles against an edge for compression, the surface, on which there is the thin liquid film, is moved. This movement pushes the thin liquid film ahead and creates, through the liquid viscosity, a surface force that finally pushes particles ahead.

The above and other objects and advantages of the invention, which will appear from the following description, will be obtained with a method as claimed in Claim 1 and with an apparatus as claimed in Claim 11. Preferred embodiments and non-trivial modifications of the present invention are claimed in Claim 2 to 10 and 12 to 21.

The present invention will be better described by some preferred embodiments thereof, provided as a non-limiting example, with reference to the enclosed drawings, in which:

- Figure 1 is a side schematic view of a first embodiment of the apparatus according to the present invention;
- Figure 2 is a side schematic view of a second embodiment of the apparatus according to the present invention;
- Figures 3 and 4 are optical microscopic images of particles on the upper part of the rotary element of Figs. 1 and 2;
- Figures 5 and 6 are optical microscopic images of small particles realized with the DTLF method of the present invention; and
- Figure 7 is an image from an atomic force microscope of a monolayer of ultrasmall particles realized with the DTLF method of the present invention.

In the course of the present description, the term "particle" means every type of molecules, polymers or aggregates whose mean diameter size is less than 100 microns.

Basic DTLF method principles

The DTLF method requires the simultaneous existence of two characteristics: a liquid subphase around 1 to 10 micron thick and one mobile surface. This thinness is important for the DTLF process

because the particles in the thin liquid film will meet several times the gas-liquid interface during their transport due to the mobile solid surface. Another important aspect of the DTLF process is that the thinness of the film means having to deal with very small liquid volumes, in the microliter range. That means moreover that whichever modification of the physico-chemical features of the liquid film requires injecting or pumping outside small amounts of buffers or solutions. Moreover, the qualitative answer to any subphase modification is fast.

The second important feature is that the surface, on which the thin liquid film rests, is moving. This movement drives the solid-liquid interface and, because of the viscosity of the liquid, this movement is transmitted layer by layer up to the gas-liquid interface. These movements provoke the convection in the thin liquid film that transports particles towards the gas-liquid interface in an efficient way. Moreover, this transport is eased by the Brownian motions when the particles are at molecular level. Production efficiency can easily reach 100%.

These two features have been described in the above-said prior publication (Picard G., Nevernov I., Alliata D. and Pazdernick L., Langmuir, 13, 264 (1997)). However, these features would be useless without other considerations. The apparatus described in such publication, for example, would make particles endlessly rotate around the cylinder, if no further manipulations of the thin liquid film were carried out. These manipulations essentially comprise the adjustment of surface charge densities for the particles, that is an important factor in the determination of the adsorption of particles at the gas-liquid interface.

Particle stability in the mass occurs because repulsion forces between particles are greater than attraction forces. For example, a high surface charge density means that the particles will remain in the mass, in solution or suspension. In this condition, no formation of monolayers is possible. The weakening of the surface charge density for particles will also weaken the repulsion force. It has been determined that the first phenomenon that takes place is the adsorption of particles at the gas-liquid interface. The final result, which is

assembling the particles in a monolayer, is the same. Essentially, with the DTLF method the only two parameters to be controlled are the ionic forces in the subphase, for the particle A/W adsorption, and the surface forces that are pressing the particles onto the monolayer, the surface force depending only on the cylinder rotation speed and the thin liquid film thickness. The further reduction of the repulsion forces provokes at the same time a second phenomenon that is the particle-particle adsorption at the gas-liquid interface. Therefore, aggregates are observed on the liquid surface, while in the mass particles remain balanced. Going on reducing the repulsion force between particles, particle to particle adsorption in the mass will be created. That will generate the precipitation of particles in the mass.

Clearly, the DTLF method works as soon as the particles in suspension or in solution are under unbalance conditions. In the majority of cases, this condition is present near the iso-electric point. A range of subphase conditions for every type of particles exist for an optimum adsorption at the gas-liquid interface. This optimum

conditions can be found by injecting and pumping out liquids during the monolayer treatment, and following the monolayer production in real time.

The fact that the surface, on which the thin liquid film rests, is moving implies that as soon as the particles are absorbed, they are compressed against the edge of the growing monolayer. Particles arrive one after the other. This sequence of arrival is very favorable for the formation of large bidimensional crystals with particles. Such crystals have been observed for protein and polystyrene particles. In principle, there are no limits for the size of particles and the nature of their material can be gold, silver, glass, etc.

The inventive apparatus

With reference to Figs. 1 and 2, two preferred embodiments are shown of an apparatus to carry out the DTLF method according to the present invention. The inventive apparatus shown in Fig. 1 comprises a rotary member 1, in this case a clockwise-rotating cylinder, to which an injection module 8 is connected, this module 8 being equipped with three openings with respective inlet and outlet channels for the fluid: a channel 9 through which a thin liquid film 2 is injected, through adequate means

(not shown), this film 2 containing a suspension of particles or proteins 3; a channel 10' through which, through adequate means (not shown), adsorption reagents 10 are injected to be put in contact with particles 3 in suspension in the thin liquid film 2; and a channel 11 connected to a suction pump (not shown) to suck the thin liquid film 2 after the deposition of the monolayer 5.

The apparatus in Fig. 1 comprises moreover a substrate 7 on which the monolayer 5 is deposited. According to what is shown in Fig. 1, particles 3, after their surface charge density is modified by means of contact with reagents 10, are carried to the surface, that is at the gas-liquid interface, and are therefore adsorbed, as clearly appears from the particles designated by reference number 4. The rotation of the rotating member (arrow A) pushes particles 4 one against the other to form a continuous and uniform monolayer 5. By going on rotating member 1 and parallelly by moving it in the lengthwise direction to a substrate 7 (in Fig. 1 in the direction of arrow B that is the opposite regarding the rotation sense for member 1), the monolayer 5 is deposited on the substrate 7 together with the thin liquid film 2, that is then

sucked away by means of suction means 11. A monolayer of particles 6 will be obtained and therefore transferred onto the solid substrate 7, than in case of Fig. 1 is a hydrophobic substrate made with a slide of glass or metal.

The embodiment in Fig. 2 is the same as the one in Fig. 1 (and therefore the same parts are designated by the same reference numbers), apart from for the fact that the substrate 7 is made of hydrophylic material, that is composed of a clean glass plate or a mica sheet. Therefore, in this case, the deposition of monolayer 6 on it is carried out making the rotary member 1 advance in the direction of arrow C, that is the same as the rotation sense of the member.

The apparatus and method of the present invention operate in a similarly effective way if the substrate 7 is composed of any type of liquid, on which it will therefore be possible to deposit one or more monolayers (through one or more successive application passes) of particles or molecules.

Some practical applications of the method and the apparatus according to the present invention provide that such adsorption reagents 10 are made

of an acidic solution to a pH equal to approximately 4.0 for particles 2 of polystyrene or protein molecules. According to another example, the adsorption reagents 10 are composed of a solution made of 70% of acetonitrile for particles 2 of carbon 60 in a toluene film. According to a further example, the adsorption reagents 10 can be a salts solution, in particular a cadmium sulfate solution for molecules 2 of proteins of the holoferitin type.

The invention can be practiced by realizing filters for ultrafiltration, whose pore diameter ranges from 1,000 to 1 nanometer.

Experimental examples

The DTLF method will be better described hereinbelow with reference to a set of experimental tests, whose results are shown in Figures 3 to 7. All experiments were performed in a clean room. The dust level was measured by a commercial dust detector for white room quality control.

The prototype testing this new method is shown in Figure 1, in side and top views. The glass cylinder was 6 mm in diameter and 50 mm long. The glass cylinder surface was polished with fine abrasives for commercial lenses until no scratch

could be seen at 1000X magnification with an optical microscope. A hemi-cylindrical trough was obtained by cutting out and drilling a 10 x 3.5 x 0.5 cm PTFE plate. A DC electric motor with a speed control up to 3 Hz was used to drive the glass cylinder. DC electric motor and glass cylinder were mechanically connected by means of a thick rubber tube, in order to transmit torque while damping vibrations. The cylinder was held horizontally by two PTFE circular plates drilled at 2 mm from the center. The gap between the cylinder and the hemi-cylindrical trough could be adjusted to about 300 μm by simply rotating the circular plates. After a vertical position was found, the circular plates were clamped firmly on a rigid Plexiglass structure.

The polystyrene particles for the tests were from Interfacial Dynamics Corporation (IDC), Portland, OR, U.S.A. The particle concentration was always 4% w/w. Only the fluorescent 0.22- μm particles were from Polysciences, Warrington, PA. The buffers and NaCl (99.99%) were from Merck. Water was distilled (Aquatron BS I) and demineralized (Elgastat UHQ II) before use. Its

surface tension was higher than 72 mN.m^{-1} and its conductivity equal to $18 \text{ M}\Omega.\text{cm}^{-1}$.

Six channels controlling subphase volume inlet, pH and thin liquid film thickness above the cylinder were drilled in the lateral portions of the PTFE hemi-cylindrical trough. At the bottom of the trough and parallel to the cylinder axis a groove was drilled to hydraulically connect all channels for a better mixing of injected fluids. When salt was used for the formation of the latex particle monolayer, the subphase was thoroughly rinsed by simultaneously injecting pure water and pumping the subphase away. The prototype was mounted on an optical microscope bench for *in situ* observation of the particle monolayers and the rinsing procedures.

The subphase pH or salt concentration were gradually modified and adjusted with the syringe graduations. The thickness of the thin liquid film around the cylinder was finely controlled by the use of an optical microscope focal depth. The subphase conditions are shown in Table 1 with the corresponding observations.

The preparation of 6- to 0.6- μm particle monolayers was quite easy, because the monolayers could be seen as a white coating material over the cylinder. Thus the procedure just consisted in injecting the particles into the thin liquid film with a pipette and in looking at the growing white film. The injection of particles was gradual, keeping the monolayer speed of preparation constant. Once the hemi-cylindrical surface was totally covered, the injection was stopped. The film could be observed during the growing process, and transferred later onto a solid substrate for further observations. The reduction of the particle size made the direct visual observation of the monolayer increasingly difficult. The white film became whitish with the 1- μm spheres and translucent with the 250 nm ones. At this point the observation procedure was made by means of an optical microscope.

For particles smaller than 250 nm an optical microscope with its objective over the top of the cylinder, in incident light and darkfield mode illumination, was used. The *in situ* observation of the particle monolayers required an interval of time between the particle injection and the setting

up of the microscope, because of the short objective-water distance of about 1 mm. Photographs were taken after the transfer on a solid substrate with a 100 ASA commercial film.

When salt was used, a rinsing subphase was used. A microscope at 200X magnification vertically positioned above the cylinder was used for the observation of polystyrene monolayer preparations. With the optical microscope focused on the film, the cleaning sequence started. This allowed the liquid to axially flow axially from one extremity of the cylinder base to the other. 4- to 16-ml of pure water was usually flown through.

The method of transferring the particle monolayer was the horizontal deposition. It consisted in bringing in contact a water film on a wetted hydrophilic surface with the water film around the rotating cylinder. The surface concerned was a hydrophilic microscope cover slip with its dry surface on the PTFE surface and its upper surface wetted. The contact was made at the base of the cylinder by sliding horizontally the microscope cover slip until the two water films fused by capillary forces. As soon as the contact was made the particle monolayer over the cylindrical thin

film surface, blocked until then by the hydrophobic PTFE corner, was driven forward by the cylinder over the wetted glass surface.

After this horizontal movement, the excess liquid that connected the cylinder and the microscope cover slip was pumped away by using the 2-way pump, and the particle monolayer was finally disconnected from the cylinder. The particle monolayer was then left free floating over a thin liquid film, which was coating the glass surface. The thin liquid film evaporated through the particle monolayer, thus the particle monolayer made a slow descent until the touch down onto the microscope cover slip, that is a particle monolayer was transferred by horizontal deposition over the glass slide.

Dynamics of thin liquid films

A glass cylinder revolving under a particle monolayer with a thin film of water in between can be represented by two concentric cylinders, the central one rotating and the outer one fixed, with fluid in between. This is the well-known Couette viscometer. The mathematics connecting this viscometer with the DTLF method has already been developed for the experiments with proteins.

Although the experimental conditions were with polystyrene spheres, the Taylor number remains $Ta = 1.48 \times 10^{-5}$. Since instability appears at the critical Taylor number $Ta_c = 1.712$, this certifies that the flow between the particle monolayer and the glass cylinder surface will be laminar. The thinness of the liquid film is largely responsible for this high stability.

The particle monolayer dimensions being in a 10,000:1 ratio with the film thickness, the end effects can be neglected and the cylinder can be considered as infinite. Again, using the same argument, the cylinder radius being in a 1,000:1 ratio with the film thickness, the cylinder-in-cylinder model can be mathematically reduced to a plate parallelly moving above a fixed one, with a fluid in between. In our system the moving plate was the cylinder surface and the fixed plate, the particle monolayer. The force created by the rotating cylinder on the particle monolayer was put in equation:

$$\Pi = \frac{2\pi\eta R_c f x}{z} \quad 0 \leq x \leq L_h$$

where η is the liquid viscosity (for water, 10^{-3} kg/m s), R_c the cylinder radius, f the cylinder

rotation frequency, x the length of the monolayer and z the thickness of the thin liquid film. L_h is the hemi-cylindrical circumference. It was demonstrated that you can reach surface pressures up to collapse point.

Optical microscopy at the A/W interface.

The injection of 6- μm polystyrene spheres in the thin liquid film of water produced a growing white solid film of polystyrene particles. At neutral pH, the electric charges around the particles created repulsive forces that efficiently provided any kind of particle adsorption. As a consequence, the particles turned with the cylinder without making monolayers. Lowering the pH to 4.0 reduced the surface charges of the particles. Then, a progressive adsorption of particles at the A/W interface occurred. The regular rotation of the cylinder compressed the just-emerged particles, leading to a uniform monolayer preparation. At pH 4.0, the ionic repulsive forces are still enough to keep the particles apart at the A/W interface. This repulsion is well known to be important for the formation of 2D crystals. As a matter of fact 2D crystals were observed, in particular when the injection was smoother (see Figure 3). Further

reducing the electric charges of the particles by lowering the pH to 3.5 led to surface aggregation. In fact 2D fractals were observed (see Figure 4). At pH 3.0, 3D aggregates were clearly visible. This indicates that particle-particle adsorption in the bulk occurred rapidly.

This dependence of monolayer preparations on the subphase ionic conditions proved to be true for all sulfate- or carboxyl-coated latexes; however, the values varied somewhat from size to size (see Table 1). This observation will be further analyzed in the Discussion section. Another relevant observation is that reducing the pH to 0.0, did not provoke the aggregation of the 0.250 μm CML particles, while an amount of 1 M of salt in pure water provoked their adsorption at the A/W interface. Increasing the salt concentration, which is screening furthermore the surface charges of the particles, led to surface and bulk aggregations, as well.

Optical microscopy at the air-solid interface.

The monolayers at the A/W interface could be dried down onto the cylinder glass surface, and examined with the optical microscope. Otherwise the film could be transferred onto a glass slide or a

mica sheet. In any cases the observation at the air-solid interface allowed a better evaluation of the particle packing.

Figure 5 shows the 1.17- μm particles that were assembled by DTLF method. It is quite clear that the good packing of particles occurred because of the introduction of the particles in the monolayer one after the other. Figure 6 shows smaller particles, i.e. 0.614 μm , which were also well packed. It must be noted that the linear speed of preparation of 0.614- μm particle monolayer could reach 1 mm/s.

AFM microscopy at the air-solid interface.

The nanospheres were seen with the AFM microscope. In Figure 7, an AFM image of a 127-nm particle monolayer is shown. In this image, the particle monolayer is quite uniform. It is also possible to see small nanosphere 2D crystals. Also in this case, the linear preparation speed of the monolayer was ≈ 1 mm/s.

Table 1 summarizes the results. The data are shown in decreasing order of particle diameter. Hydro-affinity was the only parameter that prevailed for the choice of the ionic conditions. One of the main features is that the subphase conditions for the preparation of particle

monolayers were not much different between the biggest and the smallest spheres.

Table 1: particle properties, subphase initial conditions and observation of the monolayer preparations.

Diameter (μm) (1)	Time (s) (2)	Particle Type (3)	Surface charge ($\mu\text{C}/\text{cm}^2$) (4)	[NaCl] M	pH	Visual aspect on water (5)	Visual aspect on solid (5)	Microscopic observation
6.240	-	Sulfate	4	Nil	7.0	-	-	Nothing
"	30	"	"	"	4.0	Mat white	Mat white	2D crystals
"	-	"	"	"	3.5	Frosty	Frosty	2D aggregates
"	-	"	"	"	3.0	Granular	Granular	3D aggregates
1.170	30	Carboxyl	19	"	4.0	Whitish	Colorful (6)	2D crystals
1.010	-	CML	923	"	4.0	-	-	Nothing
0.833	"	CML	1135	1.0	7.0	"	"	"

0.614	"	Carboxy 1	16	Nil	4.0	"	"	"
0.250	- -	CML	226	"	4.0 - 0.0	- - - -	- - - -	Nothing
"	- -	"	"	2.5 - 1.5	7.0	Grainy white	Grainy white	3D aggregat es
"	<	"	"	1.0	"	Transpar ent	Bluish	2D crystals
0.220 *	"	Carboxy YG	Unknown	1.5	"	"	"	"
0.127	"	Carboxy 1	4	1.0	7.0	"	Blue	"
"	"	"	"	Nil	9.0 - 8.0	"	"	"
"	- -	"	"	"	7.0 - 4.0	Barely visible	"	2D aggregat es
"	- -	"	"	"	3.5 - 3.0	Whitish	"	3D aggregat es
0.053	- -	"	0.2	"	5.0	- - - -	- - - -	Nothing

" 4.0 Transpar ent Blue 2D crystals
"
"
< 30
"

Notes

1 Estimated by the IDC company using a TEM. An average was made with 500 particles chosen at random. However, our estimation based on the microscopic observation of particle 2D arrays and using a standard grid for calibration indicated that the particles were slightly bigger.

2 These times are the shortest measured with the minimum particle amount to make a monolayer. For 2D array preparations the linear speed of the monolayer preparation was slowed down in order to increase the 2D array proportions. With nanoparticles an optical microscope was used. However, in spite of this approximation, the values are clearly giving an idea concerning the mechanisms acting in the DTLF method.

3 The polystyrene particle properties depend on the surface functional groups that were created. A relevant parameter is the CCC, for hydrophobic latexes it is either at 0.25 M univalent ion concentration at pH 7.0, or at pH lower than 4.0; for the CML it is a univalent ion concentration higher than 1.0 M at pH 7.0.

⁴ The surface charge concentration, provided by the producer, is for polystyrene spheres in pure water.

⁵ The visual aspect as seen directly from 6.24 μm down to 0.250 μm . At smaller sizes, the procedure followed has already been described.

⁶ The colors appeared by light interference. In the sunlight, bright rainbow colors could be seen by tilting the particle monolayer. Each color covered alternatively and uniformly the whole surface of the monolayer according of the angle of tilt. This simple observation indicates the homogeneity of the film itself.

* This is the only particle coming from a different producer. Its concentration was 2.5 % w/w. Its surface was not characterized, however the production of a particle monolayer could be easily realized.

Discussion

The particle monolayer growth was visible to the naked eye down to 250 nm. It was regulated by the particle injection and could be adjusted so as to be a constant: the response to the injection was an immediate monolayer growth, upon stopping the injection the monolayer speed of preparation

gradually stopped within a minute. The growing monolayer reduced the available free A/W interface for particle adsorption, however this reduction seemed not to have affected the speed of preparation, which remained steady. These two observations by themselves already indicate that convection in the thin liquid film under the free A/W interface was the leading process for micro- to nanoparticles down to 250 nm. For monolayer preparations with smaller particles the speed of preparation could not be adjusted, instead an injection was made and a particle monolayer was observed *in situ* with the optical microscope like in the case of proteins. However, the time of preparation was for them also (see Table 1) very short (≈ 30 s). This suggests that for particles down to 55 nm the Brownian motion was still dominated by convection.

The physico-chemistry of the DTLF method is based on the surface electric charges and their control by pH or salt concentration. It is important to know that the surface charges provided in Table 1 are the result of a computation based on the stiochiometric charges contents. For carboxil- and sulphate-coated particles, the computation

wholly corresponds to reality. However, for CML particles, the majority of charges are buried, leaving a surface charge density comparable with the other types. This volume distribution makes CML particles behave interestingly. Taking the 0.833- μm CML spheres as an example, the surface electric charge was 1135 $\mu\text{C}/\text{cm}^2$. The increasing of the subphase acidity up to pH 0.0 could not create the particle adsorption at the A/W interface. For the 1.01- μm CML spheres with a surface charge of 923 $\mu\text{C}/\text{cm}^2$, the preparation of the particles monolayer occurred at a subphase pH of 2.0. For the 0.250- μm CML spheres with a surface charge of 226 $\mu\text{C}/\text{cm}^2$, the same conditions as the highly charged 0.833- μm spheres prevailed. For the carboxyl particles with surface charges less than 20 $\mu\text{C}/\text{cm}^2$, simply increasing the subphase acidity to pH 4.0 was enough to provoke the surface adsorption without creating the formation of 2D aggregates. In the case of the 0.127- μm particles, the subphase pH could be basic at pH 9.0. In two cases, monolayers of highly charged particles could not be prepared by only increasing the subphase acidity, however, screening the surface charges with salt addition

proved to be very efficient. On the contrary for all the particles with the weakest surface charges subphase mild acidic conditions at pH 4.0 were sufficient.

It may be surprising that for the 0.127- μm particles in the subphase at pH 9.0, a particle monolayer was prepared. This result escapes the theoretical frame, as the adsorption between the particle and the A/W interfaces should not have occurred. It seems that the 0.127- μm particle behavior changed from the macroscopic to the nanoscopic scale. As a matter of fact, their observation to the naked eye on the water surface became impossible. However using the optical microscope, like in the case of proteins, the monolayer could be observed. The injection of particles yielded a particle monolayer quite rapidly, in a few words their behavior was nearly the one of a surfactant, as there was no formation of aggregate in the bulk. Moreover at subphase pH below 4.0 the particles adsorbed at the A/W interface and made 2D aggregates. Lowering more the pH provoked the aggregation in the bulk. These two behaviors were observed in the case of the other carboxyl particles.

The experimental data for the time of preparation were compared with the two mathematical models based on Brownian and mechanical convection models (see Table 2).

Table 2: Experimental and simulated times for A/W interface full coverage with microspheres.

Particle Diameter (μm)	Time for A/W interface full coverage (s)		
	Experimental (1) DTLF	Numerical simulation	
		Brownian (2)	Convect (2)
6.240	≈ 30	1646	34
1.170	"	554	27
1.010	"	512	27
0.833	"	458	26
0.614	"	400	27
0.250	< 30	230	24

¹ The experimental time is the one of the best performances, i.e. the fastest preparation for the lowest amount of particles injected, usually just enough for preparing a single monolayer.

² The simulations are for an injected amount of particles just enough to prepare a single monolayer.

The concentration used in the models is given by the producer, i.e. 4 % w/w, injected in the 25- μL meniscus. It must be noted that the time of experimentation is quite approximate. The particle injection was relatively slow, in order to smooth the particle monolayer preparation. For the 6.24- μm particles down to the 250-nm size, the convection in the thin liquid film was clearly the mechanism

by which the particles reached the A/W interface. The Brownian motion alone would have been by far too slow.

However, to better evaluate the potential of the DTLF method, that is the preparation of crystalline monolayers of particles or monolayers in continuous mode, a realistic situation could be that the triple line air/water/monolayer is somewhere between both menisci. Practically, it would be better that this triple line were at the top half circumference prior the transfer, for example, on a solid substrate. Here a rotation frequency of 1 Hz and a 5 μm thin liquid film can be assumed. Given these parameters, for particles or other ultra-fine particles smaller than 3 nm, the Brownian motion becomes more efficient than the mechanical convective motions to bring particles to the A/W interface. In other words the DTLF method moves big particles mechanically to the A/W interface, while the ultra-small particles will self-diffuse to the water surface. In both cases the final result, which is assembling the particles in a monolayer, is the same. Essentially with the DTLF method the two only parameters to be controlled are the ionic force in the subphase, for the particle A/W adsorption, and the surface force that is pressing the particles onto the monolayer,

the surface force being only dependent of the cylinder rotation speed and the thin liquid film thickness.

The particle monolayer preparations produced colorful effects. For one monolayer thick, these effects were most spectacular with particles having about 0.8- μm diameter. According to a recent publication, interferences due to an optical light paths difference made, by the polystyrene refractive index, could explain the observations. A simple mathematical model based on the reflection of the light on the top of the monolayer and on the air-glass interface below the monolayer, combined with the difference of optical path, fitted very well the experimental data. However in our work a simple experiment was performed to further test this mathematical model. The monolayer was heated mildly at 90 °C for a series of short times, and observed with the optical microscope. The colors disappeared at the very same moment where the spherules started to soften and fuse, giving a smooth-bumped monolayer surface. It must be noted that this mild heating did not change the optical path. Thus the mathematical model reported has to be refined, as the film thickness parameter cannot explain the results, this problem will be analyzed in the future.

Another interesting observation came from the above publication by Picard et al. disclosing the DTLF method with proteins. A monolayer was efficiently prepared, in spite of the fact that the protein used was highly hydrophilic. It was dissolved in water with some glycerol to permit its storage at low temperature. Glycerol kept this protein from crystallization and its removal triggered crystallization as the addition of salt does in the subphase for particles. Combined with the control of subphase pH, 2D crystallites with DTLF method were produced. The preparation of protein monolayers is more difficult than with particles, due to self-crystallization, however, the experiments with particles indicated that the basic principles of the DTLF method were quite well understood.

CLAIMS

1. Method for the preparation of monolayers of particles or molecules (3), said method including the following steps:

- injecting a thin liquid film (2) containing said particles or molecules (3) dispersed therein on an external surface of a rotary member (1);
- adjusting a surface charges density of said particles or molecules (3) through the injection of adsorption reagents (10), said step of adjusting the charge density carrying said particles or molecules (3) at a surface of said thin liquid film (2);
- carrying said particles or molecules (3) adsorbed at a gas-liquid interface of the thin liquid film (2) into a uniform monolayer (5);
- transferring said monolayer (5) from the surface of said thin liquid film (2) to the solid substrate (7); and
- making said rotating element (1) move in a longitudinal direction relatively to said substrate (7), said monolayer (5) separating from said thin liquid film (2) and adsorbing to said substrate (7).

2. Method according to Claim 1, characterized in that said substrate (7) is hydrophilic, said liquid film (2) being also attached to said substrate (7), said longitudinal movement step of said rotary member (1) being carried out in the same direction as the rotation direction of said rotary member (1), said method further comprising the step of sucking said liquid film (2) attached to said substrate (7) away from said substrate (7).
3. Method according to Claim 2, characterized in that said substrate (7) is composed of a clean glass plate or a mica sheet.
4. Method according to Claim 1, characterized in that said substrate (7) is hydrophobic, said liquid film (2) not being attached to said substrate (7) but remaining on the external surface of said rotary member (1), said longitudinal movement step of said rotary member (1) being carried out in the direction opposite to the rotation direction of said rotary member (1), said method further comprising the step of sucking said liquid film (2) away from said substrate(7).
5. Method according to Claim 4, characterized in that said substrate (7) is composed of a glass or metal plate.

6. Method according to any one of the previous Claims, characterized in that said adsorption reagents (10) are composed of an acid solution at a pH equal to 4.0 for particles (2) of polystyrene or protein molecules.
7. Method according to any one of the previous Claims, characterized in that said adsorption reagents (10) are composed of a 70% acetonitrile solution for particles (2) of carbon 60 in a toluene film.
8. Method according to any one of Claims 1 to 5, characterized in that said adsorption reagents (10) are a salts solution.
9. Method according to Claim 8, characterized in that said salts solution is a cadmium sulfate solution for molecules (2) of proteins of the holoferritin type.
10. Method according to any one of the previous Claims, characterized in that said thin liquid film (2) has a thickness of the order of microns.
11. Apparatus for the preparation of monolayers of particles or molecules (3), said apparatus substantially comprising:
 - means for injecting a thin liquid film (2) containing said particles or molecules (3) dispersed therein on an external surface of a rotary member (1);

- means for adjusting the surface charge density of said particles or molecules (3) through the injection of adsorption reagents (10), said means for adjusting the charge density carrying said particles or molecules (3) on said surface of said film of liquid (2);
 - means to keep said rotary member (1) rotating in order to drag said particles or molecules (3) placed on said surface of said liquid film (2) so that they form a substantially uniform monolayer (5), said rotary member (1) being actuated so as to put said monolayer (5) on said surface of said liquid film (2) in contact with a substrate (7); and
 - means for advancing said rotary member (1) in a longitudinal direction with respect to said substrate (7), said monolayer (5) being detached from said liquid film (2) and being attached to said substrate (7).
12. Apparatus according to Claim 11, characterized in that said substrate (7) is hydrophylic, said liquid film (2) being also attached to said substrate (7), said means for longitudinally advancing said rotary member (1) carrying out said advancement in the same direction as the rotation direction of said rotary member (1), said apparatus further comprising means (11) for

sucking said liquid film (2) attached to said substrate (7) away from said substrate (7).

13. Apparatus according to Claim 12, characterized in that said substrate (7) is composed of a clean glass plate or a mica sheet.
14. Apparatus according to Claim 11, characterized in that said substrate (7) is hydrophobic, said liquid film (2) not being attached to said substrate (7) but remaining on the external surface of said rotary member (1), said means for longitudinally advancing said rotary member (1) carrying out said advancement in an opposite direction to the direction rotation of said rotary member (1), said apparatus further comprising means (11) for sucking said liquid film (2) away from said substrate (7).
15. Apparatus according to Claim 14, characterized in that said substrate (7) is made of a glass or metal plate.
16. Apparatus according to Claim 11, characterized in that said substrate (7) is composed of a liquid.
17. Apparatus according to any one of Claims 11 to 16, characterized in that said adsorption reagents (10) are an acid solution at a pH equal to 4.0 for particles (2) of polystyrene or protein molecules.

18. Apparatus according to any one of Claims 11 to 17, characterized in that said adsorption reagents (10) are composed of a 70% acetonitrile solution for particles (2) of carbon 60 in a toluene film.
19. Apparatus according to any one of Claims 11 to 15, characterized in that said adsorption reagents (10) are a salts solution.
20. Apparatus according to Claim 19, characterized in that said salts solution is a cadmium sulfate solution for molecules (2) of proteins of the holoferritin type.
21. Apparatus according to any one of Claims 11 to 16, characterized in that said thin liquid film (2) has a thickness of the order of microns.

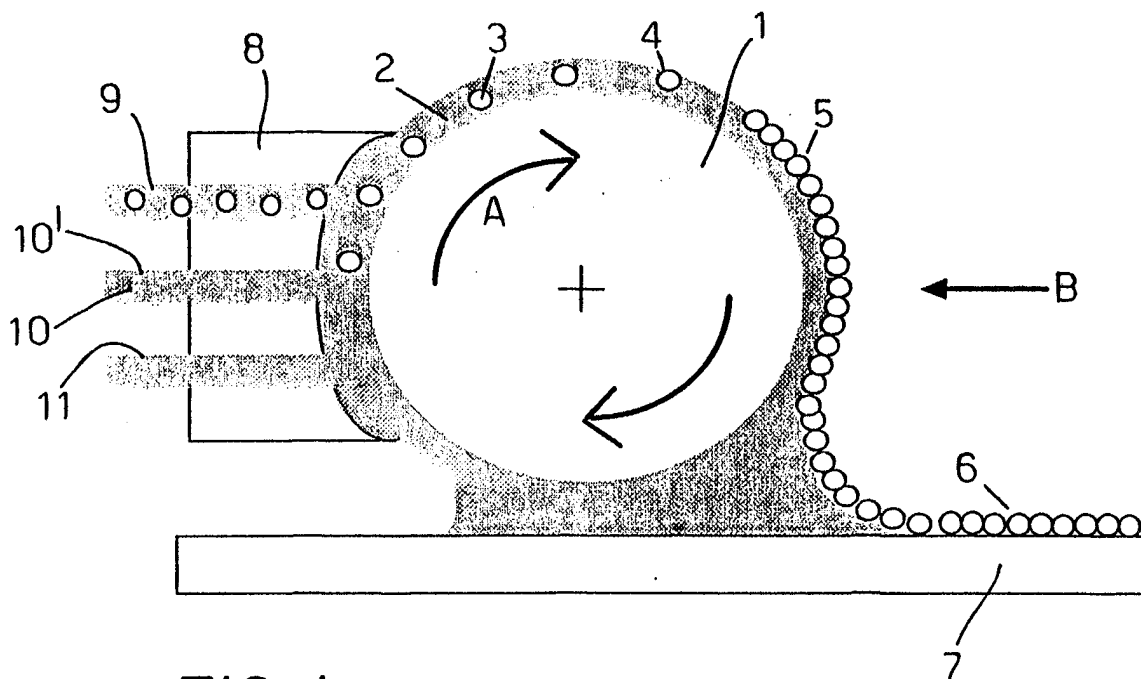


FIG. 1

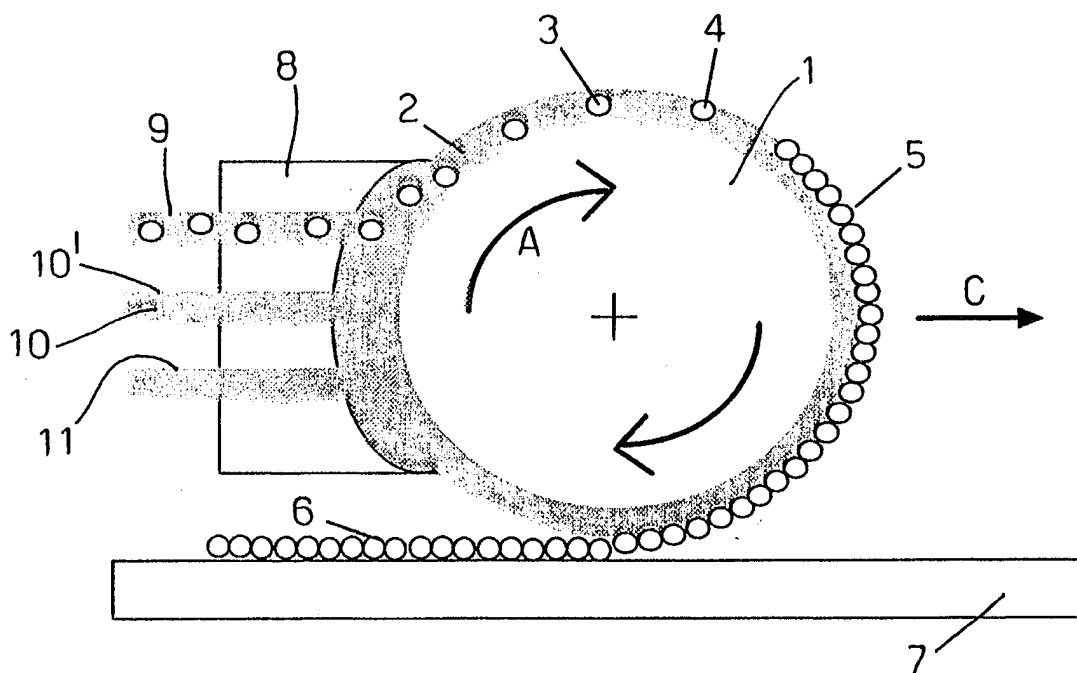


FIG. 2

FIG. 6

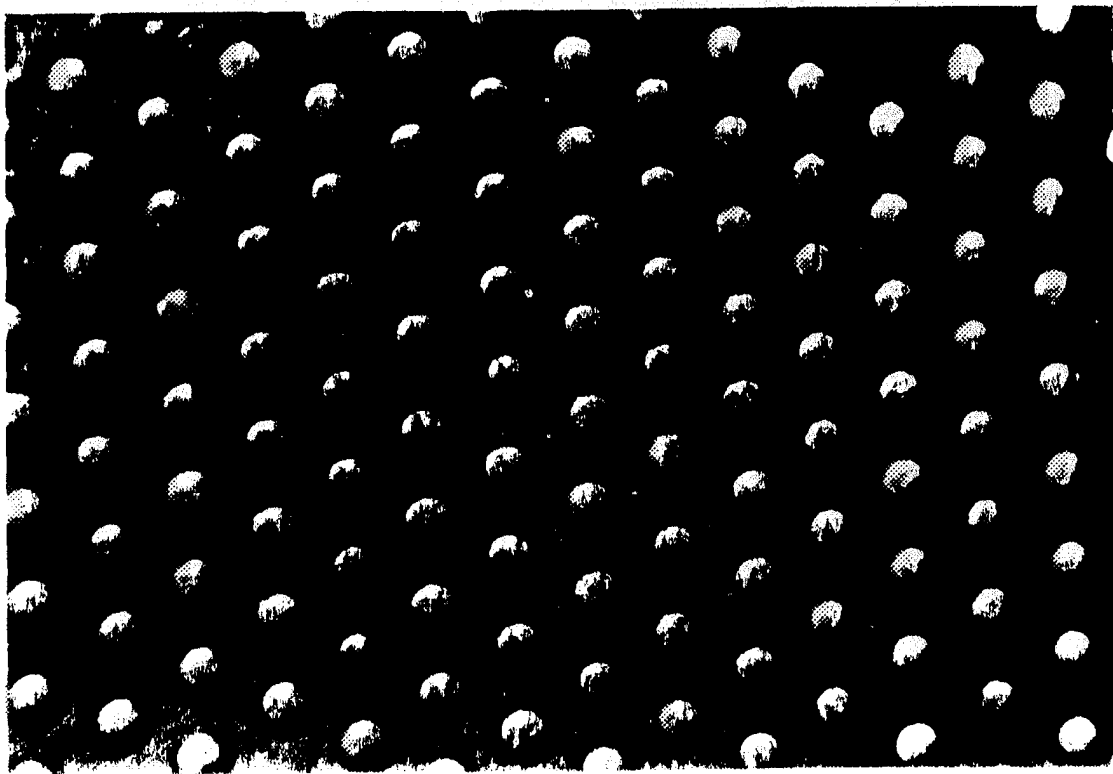
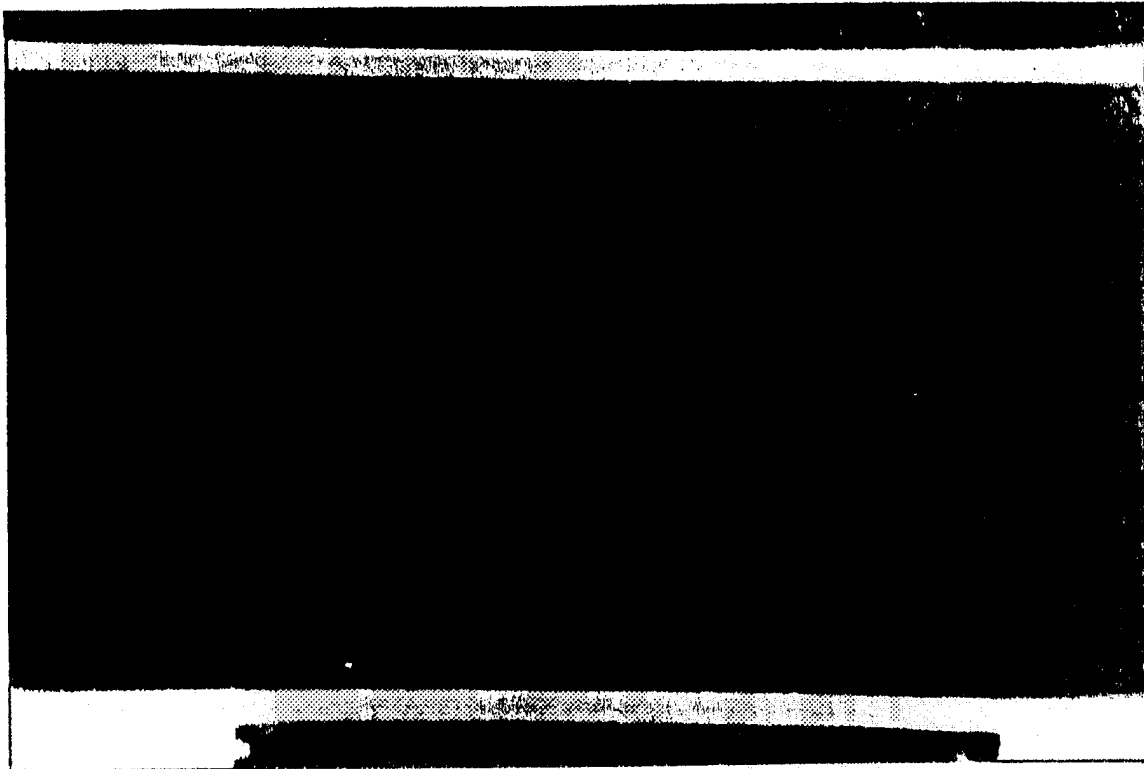


FIG. 3

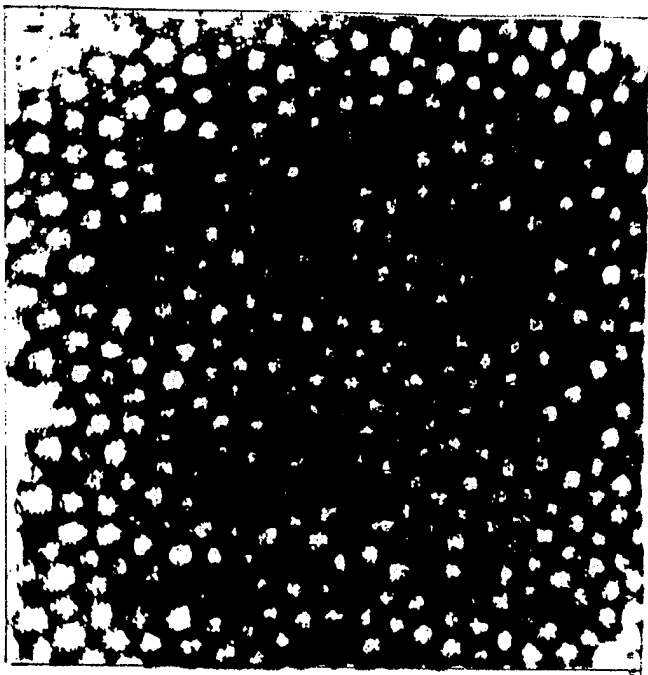


FIG. 7

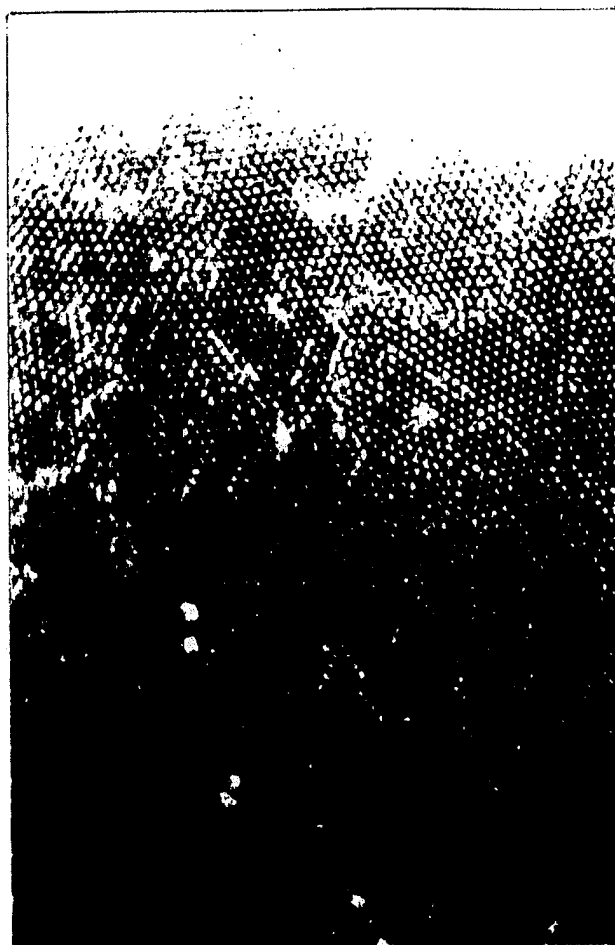


FIG. 5

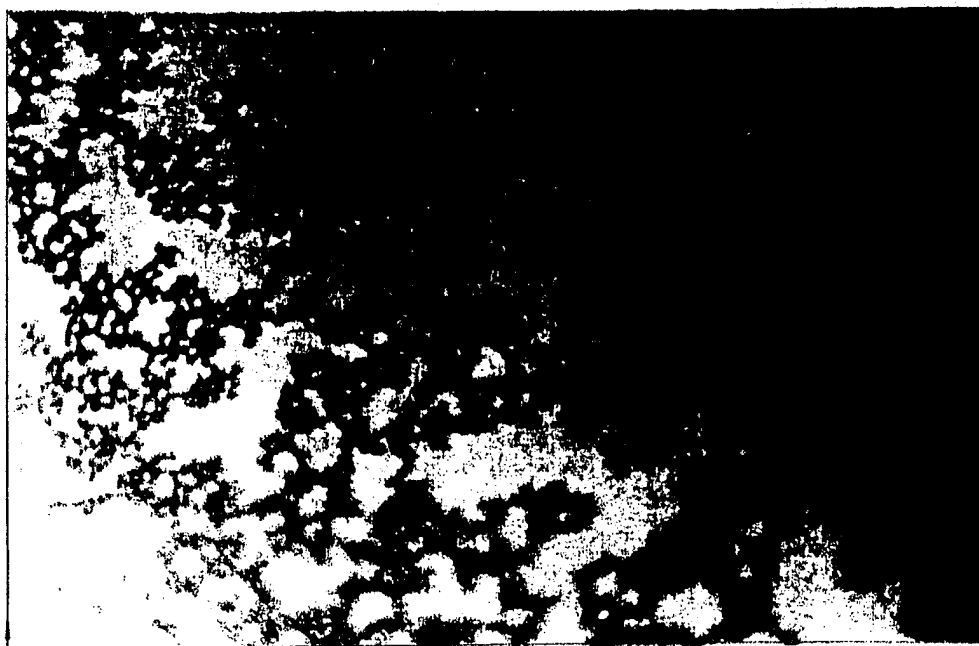


FIG. 4

INTERNATIONAL SEARCH REPORT

International Application No
PCT/IT 98/00131

A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 B05D1/00 B05D1/20 B05D5/02

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 B05D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	FR 2 341 199 A (COMMISSARIAT ENERGIE ATOMIQUE) 9 September 1977 see page 7, line 9 - page 10, column 4; figure 1 ---	1,11
A	EP 0 586 215 A (JAPAN RES DEV CORP) 9 March 1994 see the whole document ---	1,11
P,A	EP 0 841 099 A (MATSUSHITA ELECTRIC IND CO LTD) 13 May 1998 see the whole document ---	1,11
A	EP 0 541 401 A (JAPAN RES DEV CORP ;NAGAYAMA KUNIAKI (JP)) 12 May 1993 see the whole document ---	1,11
	-/--	



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

° Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

15 September 1998

Date of mailing of the international search report

22/09/1998

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Brothier, J-A

INTERNATIONAL SEARCH REPORT

Int. .ational Application No

PCT/IT 98/00131

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 640 406 A (JAPAN RES DEV CORP) 1 March 1995 see the whole document ---	1,11
A	PATENT ABSTRACTS OF JAPAN vol. 017, no. 367 (C-1082), 12 July 1993 & JP 05 057227 A (TOYO INK MFG CO LTD), 9 March 1993 see abstract ---	1,11
A	PATENT ABSTRACTS OF JAPAN vol. 011, no. 176 (C-426), 5 June 1987 & JP 62 004468 A (FUJI PHOTO FILM CO LTD), 10 January 1987 see abstract -----	1,11

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/IT 98/00131

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
FR 2341199	A	09-09-1977	BE 851279 A	31-05-1977
			CH 613386 A	28-09-1979
			DE 2705193 A	18-08-1977
			GB 1558586 A	03-01-1980
			JP 1378636 C	08-05-1987
			JP 52098038 A	17-08-1977
			JP 61047582 B	20-10-1986
			NL 7701376 A,C	15-08-1977
			US 4093757 A	06-06-1978
			US 4224896 A	30-09-1980
EP 0586215	A	09-03-1994	JP 2783487 B	06-08-1998
			JP 6279199 A	04-10-1994
			JP 6210158 A	02-08-1994
			DE 69310057 D	28-05-1997
			DE 69310057 T	31-07-1997
			US 5437892 A	01-08-1995
EP 0841099	A	13-05-1998	JP 10180179 A	07-07-1998
EP 0541401	A	12-05-1993	DE 69217535 D	27-03-1997
			DE 69217535 T	25-09-1997
			JP 6277501 A	04-10-1994
			US 5540951 A	30-07-1996
EP 0640406	A	01-03-1995	JP 7116502 A	09-05-1995