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<b>(54) Title:</b> AUTOMATIC VISUALIZATION OF MANAGED OBJECTS OVER THE WORLD-WIDE-WEB		
<b>(57) Abstract</b>  <p>A method and apparatus provide for the automatic visualization of network management information over the world-wide-web is presented. Network management information is aggregated and stored as Aggregation Managed Objects (AMO) in a Management Aggregation and Visualization Server (MAVS). The AMO's contain a list of attributes from which an HTML page is created. Some of the attributes are pointers which point to Java applets stored in an applet database. The HTML page and appropriate Java applets are retrieved to a web browser through the MAVS. The use of AMO's thus provides a user-friendly interface to view the aggregation of management information.</p>		

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**AUTOMATIC VISUALIZATION OF MANAGED OBJECTS  
OVER THE WORLD-WIDE-WEB**

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**PRIOR PROVISIONAL PATENT APPLICATION**

The present application claims the benefit of U.S. Provisional Application No. 60/069,024 filed December 10, 1997.

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**CROSS-REFERENCE TO RELATED APPLICATION**

The present application is related to U.S. Patent Application No. \_\_/\_\_, filed December \_\_, 1998, entitled "AUTOMATIC AGGREGATION OF NETWORK MANAGEMENT INFORMATION IN SPATIAL, TEMPORAL AND FUNCTIONAL FORMS", and based on U.S. Provisional Application No. 60/069,007 filed December 10, 1997.

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**BACKGROUND OF THE INVENTION**

**FIELD OF THE INVENTION**

The present invention relates to the automatic visualization of managed objects over the world-wide-web, and more particularly, to the use of an Aggregation Managed Object (AMO) to provide a user-friendly interface to view an aggregation of management information.

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**DESCRIPTION OF THE ART**

The main challenges in providing powerful and comprehensive network management services for today's integrated networks lie in three main areas: how to provide support for heterogeneity (components of different types from different manufacturers),

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scalability (large numbers of network elements), and easy access to network management services (aggregation and visualization of management information).

5           The first challenge, heterogeneity, is being addressed through standardization efforts within organizations such as the IETF and the Network Management Forum. Today, a number of specifications are available for  
10 managing TCP/IP stacks (MIB-2 and RMON), ATM switches, etc.

          There are, however, no widely established methods for dealing with the second challenge, that is with the large  
15 numbers of network elements (scalability). Managing large networks requires powerful abstractions that capture the essentials of the state of the network rather than the details. Most approaches for reducing state  
20 and event information in commercially available network management (NM) platforms are ad-hoc and usually customized for a particular management problem or network. As networks grow larger and integrate an ever  
25 increasing number of services, the existence of a scalable network management architecture becomes critical.

          The first generation of network management tools to face the challenge of the  
30 large numbers of network elements (scalability), such as HP Openview, Sun Net Manager, IBM Netview, etc., follow closely the *point-to-point* management model. According to this model, a network management application  
35 (NM client) connects to a management agent (NM server) using one of the standard protocols

for management such as SNMP or CMIP. The agent contains information about a network element or a group of elements. A network manager retrieves or controls this information by issuing "get" and "set" operations. Especially in SNMP systems that do not support rich data types, this exchange of management information is at a very low level. As a result, all the intelligence for providing more complex NM services resides within the client (manager). First generation tools are therefore characterized by complex and expensive clients. Although these clients have the capability to maintain a hierarchical topology map and thereby provide easier navigation through a possibly large network, the manager still has to employ a low level management protocol to interact with every network element. First generation systems offer few capabilities to customize the available management services beyond the functionality offered by the underlying NM protocol such as SNMP or CMIP, or the interface provided by a vendor-supplied element management system.

Second generation NM systems have tried to address some limitations of the first generation by providing better customization options and automation of routine management applications. Tools such as Tivoli's TME 10, CA's Unicenter TNG and NetExpert are targeted at the corporate IT infrastructure and offer a richer set of management services including end-to-end application management, network services management, software distribution etc.

Although the above tools simplify, to a large extent, the effort required to manage large numbers of network elements and applications, they are customized to work with  
5 specific products and network protocols. Fundamentally, the network manager has little control over what management services are presented to him/her and how information is aggregated, stored and visualized.

10 The third challenge then, a related area that has received much attention recently, is the one of access to network management services by the manager (aggregation and visualization of management  
15 information). Large network management systems collect a tremendous amount of information from network elements and make it available to network operators in a myriad of formats. In order for this information to  
20 convey the essence rather than the details of network state, it must be organized, summarized and simplified as much as possible. Similarly, the network manager needs mechanisms that aggregate the control of a large  
25 number of network elements into simpler interfaces.

Traditionally, network management systems have employed proprietary user interfaces to monitor and control a network state.  
30 Such systems are often customized for the specific management problem at hand and then used by a small group of appropriately trained people. This has been an acceptable solution while the only users of network management  
35 services were a small number of network operators. This situation, however, is

changing rapidly: the Internet is reaching an increasing number of people and businesses every day, and broadband access is coming soon to every home. A large number of networked  
5 services are available today for businesses and consumers, ranging from simple dial-up network access to virtual networking, financial services such as online trading and banking, one step shopping, etc. The  
10 increasing complexity of online services of every form has introduced significant management requirements on both service providers and subscribers. Service providers have realized that the bundling of customer  
15 management services can be an important differentiator for their products. More customers today require the ability to observe the operational state of their service in real-time, collect statistics on service  
20 usage, customize parameters of the service, order additional service or perform proactive management tasks in anticipation of efforts. By delegating some aspects of managing a service to customers, operators can cut down  
25 on their customer care costs while providing competitive and cost effective services.

The increasing availability of management services has motivated many researchers to rethink the way these services  
30 are provided to consumers. The continuation of the existing status quo which calls for customized and complex user interfaces to service management functions receives increasing resistance from businesses and  
35 consumers that favor portable, lightweight, standards-based solutions that need the

minimum amount of configuration and are simple to use. Many researchers have proposed to use the World-Wide Web (WWW) to provide access to management services. The Web offers the  
5 widest possible installed base of compatible clients (every networked computer is now equipped with a Web browser) and a portable execution environment based on Java that allows Web clients to access arbitrarily  
10 complex information services by downloading the appropriate Java applets.

Access to the management services has thus been provided using the World-Wide Web and Java, the most widely available tools  
15 today for remote information access. A resulting software platform for such access was named *Marvel* (for Management Aggregation and Visualization Environment) which is detailed in the reference "MARVEL: A Toolkit  
20 for building Scalable Web-based Management Services", and which is hereby incorporated by reference. *Marvel* is a software environment that allows the network manager to define how management information collected from network  
25 elements is aggregated into more useful abstractions and finally presented to the manager. *Marvel* thus provides scalable solutions for systems management for small businesses and large enterprises, network  
30 management services for network operators, and customer network management services for businesses and consumers.

The MARVEL architecture consists of lightweight clients and a hierarchy of  
35 Management Aggregation and Visualization Servers (MAVS). The minimum requirement for a



MARVEL client is to have a Java Runtime Environment (JRE). All the necessary code to access management services provided by AMOs can be downloaded in real-time from the MAVS.

5 In addition, if the client has the capability to display the Hypertext Markup Language (HTML) it can use the visualization features provided by the MAVS that aggregate attribute specific user interfaces (applets) on HTML

10 pages. This is why Web browsers are the ideal MARVEL clients. In addition, the MARVEL architecture benefits from the fact that Web browsers are very widespread. By making the minimum number of assumptions for the client,

15 MARVEL provides network management services of arbitrary complexity to practically every user on the Internet.

**SUMMARY OF THE INVENTION**

Accordingly, the present invention, which can use the Marvel platform (which in one embodiment uses a Java-enable Web Browser), provides a management information model that allows the aggregation of management information to reduce complexity, and further provides a distributed object services model (based on the MAVS described below) that allows the definition of rich data types and management services and the storage of management information in a distributed database of aggregated information.

Through the management information model, the network manager can define how management information, collected from network elements, is aggregated into more useful abstractions and finally presented. Aggregation of the information can be accomplished in spatial, temporal and functional forms. To allow for aggregations, network elements are grouped according to a specified criteria, and an aggregation rule specifying what information is sought is applied to the group. On the basis of the aggregation rule, attribute values of the network elements are retrieved and a filter function is applied. The filter function determines a current value of the attribute across all of the network elements to which the aggregation rule is applied. The current value of the attribute is then stored in an Aggregation Managed Object (AMO).

Through the distributed object services model the AMO can be stored, retrieved and automatically visualized over a

distributed computing environment such as the world-wide-web. Each AMO contains a list of attributes which corresponds to network management information aggregated according to the aggregation rule. To visualize the information contained within the AMO, a web browser contacts the distributed object services model (having an HTTP server) which in turn creates an HTML page on the basis of the attributes contained within the AMO. Some of the attributes contained within the AMO are pointers which point to Java applets stored in an applet database. The Java applets are retrieved and inserted into the HTML page for viewing.

The present invention, including its features and advantages, will become more apparent from the following detailed description with reference to the accompanying drawings.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 illustrates an example of a group hierarchy in a network with 8 managed elements A to H, according to an embodiment of the present invention.

Figure 2 illustrates an example where network elements are organized into different groups according to various levels of aggregation, according to an embodiment of the present invention.

Figure 3 illustrates a 3-level architecture for generating computed views of

management information, according to an embodiment of the present invention.

Figure 4 illustrates a flow chart  
5 for a method of computing an aggregated attribute value, according to an embodiment of the present invention.

Figure 5 illustrates another example of the attribute value computation procedure along with a partial apparatus implementation of the Marvel software environment, according to an embodiment of the present invention.

Figure 6 illustrates an example of  
15 the apparatus structure of the Management Aggregation and Visualization Server (MAVS), according to an embodiment of the present invention.

Figure 7 illustrates an example of  
20 an indirect object visualization procedure through an HTTP server, according to an embodiment of the present invention.

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#### **DETAILED DESCRIPTION**

Figures 1 through 7 illustrate a method and apparatus for providing a management information model and a distributed  
30 object services model. The models allow for the automatic aggregation of network management information in spatial, temporal and functional forms, and the automatic visualization of managed objects over the  
35 world-wide-web. Network management information is aggregated in the form of at

least one attribute name-value pair and stored  
in an Aggregation Managed Object (AMO). The  
AMO is likewise stored in the database of a  
special management agent, the Management  
5 Aggregation and Visualization Server (MAVS)  
which allows a network manager to access  
network information stored in the AMOs.

For the purposes of the present  
invention, the following methods of  
10 aggregation are distinguished:

*Spatial* aggregation, where information is  
collected from a number of components and a  
summarization function (filter) is applied.  
For example, the ingress traffic to a network  
15 region can be computed by summing traffic  
information collected from switches at the  
border of the region.

*Temporal* aggregation, where information  
is collected periodically to form a time  
20 series of various granularities (minutes,  
hours, etc.) or, for example, provide an  
autocorrelation measurement.

*Functional* aggregation, where information  
from different functional areas of a  
25 management system is combined to construct a  
functional view of a network element or  
service. A subscriber's profile that contains  
the subscriber billing information, CPE  
hardware configuration, performance  
30 measurements, etc., is an example of  
functionally aggregated information.

### **The Network Element Grouping Model**

35 The main difficulty behind creating  
aggregations is the need to specify and

maintain a (possibly) long list of components over which the aggregation is computed. Sometimes different aggregations are computed over the same group of components (which share  
5 a set of commonalities such as location, functionality, etc.) and for this reason a network element grouping model can be beneficial to reducing the overall amount of information required to specify aggregations.

10           According to the network element grouping model, and referring to Figures 1 and 2, the network consists of a number of interconnected *network elements (NEs)*. Network elements are physical devices such as  
15 routers, printers, workstations, etc. Usually, the manufacturer of each of these elements provides an *element management agent (EMA)* - a rudimentary facility to manage (monitor and control) one or more instances of  
20 their equipment in a network.

Collections of the network elements are defined as *groups*. Users can dynamically define groups based on any factor that makes sense. For example, groups can be formed  
25 according to geographical or location criteria (e.g., a group of all NEs in a building, campus, state, etc.), or functionality (e.g., a group of all ATM switches), or some combination such as all ATM switches in the  
30 New York City area.

It is also possible to define a group with other groups as members. Thus, every network grouping hierarchy forms a tree with leaves being network elements. Groups  
35 are not necessarily disjoint. Every group is characterized by a level indicator that

corresponds to the depth of the tree where the particular group belongs. Level 0 is reserved for the leaves of a hierarchy which (from a network management standpoint) represent the element management agents. A group at Level 1 corresponds to a set of element management agents. A group at Level 2 may contain groups of Level 1 and perhaps one or more EMAs (contained in Level 0). In general, a group at level  $n$  is allowed to contain groups of any level lower than  $n$ , i.e., level  $n-1$ ,  $n-2$ , ..., level 0 inclusive. Some times it is convenient to refer to a group of Level 0 which, however, really implies an element management agent (or at least one network element).

For example, Figure 1 demonstrates an example of a network with 8 managed elements A through H. Each one of these elements A through H contains an EMA. Two groups of four elements each, G1.1 and G1.2 have been created. Information for these groups is stored in objects J and K, respectively, which are in turn stored in a special management agent (i.e., the MAVS, explained in further detail below). Furthermore, a second level group G2.1 can be created which consists of the management agent(s) that contain objects J and K. Information about this group is stored in object L. L' s attributes are computed from the attributes of objects J and K, which in turn, are computed respectively from attributes in network elements A-D and E-H.

A group can be a point of aggregation of information about its

subordinates. For example, Figure 2 demonstrates an example where network elements M through Z and AA (of a Level 0 origin) are first organized into groups G1, G2 and G3 that  
5 compose the first level of aggregation. Group G4 is defined as the union of G1 and G2, and similarly G5 as the union of G2 and G3. Once the group hierarchy has been defined, the manager can define higher-level management  
10 views and services by referring to groups rather than individual network elements. As the simplest example, assume that an attribute ❖ ErrorCount❖ is defined on some EMAs, representing the number of unrecognized  
15 packets arriving at the corresponding network element. A new attribute "ErrorCount❖ can be defined in a managed object representing G1 to represent the total number of errored packets received within the group. The latter can be  
20 computed by summing the ❖ ErrorCount❖ attributes retrieved from every member of G1.

In general, attributes defined using groups of level  $n$  are computed by expanding these groups recursively to a list of  
25 management agents. The appropriate information is collected from each one of these agents to compute the attribute's value. Similarly, control operations on an attribute are performed by expanding the group  
30 definition into a set of management agents and performing a control operation on each one of these agents.

### The Information Model

35 Management information in a large network today is usually distributed between



the management information databases of network elements and, as a consequence, represents small aspects of the configuration or operation of those elements rather than of the network as a whole. Network managers, and the management applications they use today, require access to a much higher level of management information and services. The present invention thus uses an object-oriented information model where the value of an object's attribute can be defined as an arbitrary computation over other attribute values of other objects. Attribute values can be information residing inside element management agents or other computed attributes. The emphasis of the model is in providing a technology-independent specification framework in which these computations can be described. Using this model, the network manager can define new managed objects that represent *computed views* (i.e., aggregations) of network management information. Computed views can represent a summary of lower level configuration and performance information, or a more detailed view of a particular management parameter.

Referring to Figure 3, objects (such as objects J, K and L of Levels 1 and 2 shown in Figure 1) representing computed views of network management information can be regarded as implementing a "middleware management services" layer 10. This layer 10 extracts information from managed elements 20 (such as elements A through Z and AA of Level 0 as shown in Figures 1 and 2) using a standards-based management protocol 30 (e.g., SNMP, CMIP

or DMI), processes this information according to the computed view specification and makes it available to management applications 40 using a distributed computing environment 50.

5 Objects within the management middleware layer 10 can follow the SNMP or OSI structure for management information (in which case they are accessed using the corresponding management protocol), or a proprietary format that 10 exports management services to a legacy distributed computing environment such as CORBA or Java. The model of the present invention only specifies the way that an attribute value is computed from a set of com- 15 ponents and for this reason it can be used as an extension to standards-based models for structuring management information such as SNMP, SMI and GDMO. However, the model also fits well with a distributed computing 20 environment such as CORBA, since the notion of computed views for network management is closely related to the notion of higher level management services that can be more ef-

25 The object-oriented information model is followed so as to allow storage of aggregated management information, as this information model captures in a natural way the types of management aggregations that need 30 to be created and the complex relationships with the information components from which these aggregations are generated.

#### **The Aggregation Managed Object**

35 Aggregations (i.e., computed views) are constructed through an information

aggregation process applied to management information collected from the network elements at Level 0. Every computed view in the information model framework is stored in  
5 an *Aggregation Managed Object* (AMO) and has one or more of the following components:

1. A monitoring view which contains information that has been collected from the network and pro-  
10 cessed to represent a higher-level view of the network state;
2. A control view which represents a control interface to higher-level network management services; and
- 15 3. An event view, which represents notifications that are generated by the object following the occurrence of a series of other (elementary) events.

20 In order to define a view, the network manager specifies an aggregation rule with which an attribute value of the view is computed. The aggregation rule can be specified declaratively, in which case a  
25 description of the aggregation is provided in a structured language, or explicitly, in which case the manager provides a piece of code that will be executed to compute the attribute's value. The computed attributes are stored  
30 within the *Aggregation Managed Object* and thus represent the network state corresponding to the monitoring and control views.

The *Aggregated Managed Objects* are stored in the database of a special management  
35 agent (the MAVS - Management Aggregation and Visualization Server) which is described in

further detail below. AMOs however do not abide to a specific network management standard either in terms of structure or protocol for accessing them. AMOs are  
5 distributed between MAVS for scalability, based on a variety of criteria (usually to reduce communication overhead between the AMOs that are heavily interdependent). The distribution of AMOs to MAVS can be  
10 independent of the grouping hierarchy shown in the network element grouping model.

Aggregated network management information is contained within every AMO in a list of attributes. Every attribute is  
15 associated with a list of groups to determine the information components over which the attribute value is computed. In order to compute the value of the attribute, the list of groups is further expanded into a list of  
20 AMOs or pointers to information within element management agents. When the appropriate attribute value from each one of these objects is retrieved, a *filter function* is applied to calculate the final value. The filter  
25 function operates on the collected attribute values and stores the result as the current value of the attribute. For example, the operation SUM sums all the retrieved values and stores the result as the new attribute  
30 value. The operation NULL stores all the retrieved values in an array indexed by each retrieved attribute. More complex filter functions may, for example, compute the mean and standard deviation of a distributed data  
35 set, extract topological information to create a topology map, etc.

More formally, the attribute value can be expressed using the following formula:

$$V = f\{(G_1, o_1, a_1), (G_2, o_2, a_2), \dots, (G_n, o_n, a_n)\}$$

5 (EQ 1)

where  $f$  is the filter function,  $G_i$  is a group,  $a_i$  is the component attribute's name and  $o_i$  is an object selection predicate (i.e.,  
10 aggregation rule). The latter is used to select the AMOs or MOs within the group from which the attribute value will be collected. Note that an attribute need not be computed exclusively from components of the same type.

15 Referring to Figures 4 and 5, an example of the attribute value computation procedure is illustrated. In this example, the attribute value  $V$  is computed from information components in groups  $G_1$  and  $G_2$ .  
20 The procedure works as follows: First,  $G_1$  and  $G_2$  are resolved into a list of element management agents. For each agent in group  $G_1$ , the object selection predicate  $o_1$  identifies the managed objects that contain the required  
25 information. From each such object, we obtain only the values of attributes that correspond to the attribute selection predicate  $a_1$ . The group  $G_2$  is processed similarly. The result of the collection process from all the agents of  
30  $G_1$  and  $G_2$  is stored in a temporary table structure (i.e., Intermediate Attribute List) that identifies the origin of the attribute, its type and its value. The table is then used as input to the filter function which  
35 calculates the new value of  $V$ .

Filter functions can be specified by *reference*, in which case the required code segment is loaded dynamically from an external function library. The benefit of this  
5 approach is that library functions need not be integrated with the MAVS, which allows the definition of new functions or the improvement of existing ones without disrupting the operation of the management system.

10 Thus summarizing, the information model achieves aggregations in a variety of ways. Spatial aggregations of an attribute can be accomplished through grouping and filtering. Temporal aggregations of an  
15 attribute, on the other hand, can be accomplished by using special filter functions. For example, a sliding window filter can store a collected attribute value as a time-series. It is also possible to  
20 define new attributes using filter functions that operate on the stored time-series such as delta functions, cross-correlation functions, etc. And lastly, Functional aggregations of an attribute can be achieved by combining into  
25 a single AMO attributes whose value is computed from a variety of information sources.

For a settable attribute, there also exists a *mapping function* that describes how  
30 the value set by the manager is to be propagated to the underlying components. The simplest mapping function is the one that distributes the same value to all of its component attributes. It can be used for  
35 simple on-off operations or control operations

that require setting the same value to a group of devices.

A *Refresh Policy* specifies how the attribute value is computed. Computations may  
5 be made either on a synchronous or asynchronous basis. In the synchronous basis the value is computed dynamically upon an operational command or query, such as in a *get* operation of the attribute's value. In the  
10 asynchronous basis, the value is computed and stored according to an update condition. The latter can be a time interval, in which case the value is computed by periodically "pulling" information from the component  
15 objects. It is also possible to link the computation of an attribute's value with the occurrence of an event. For example, an event could be an indication that one of the component attributes has changed its value.  
20 In an eager policy, the attribute's value is recomputed each time any of its components change. The choice of the update condition must be made with great care: Infrequent updates introduce the danger that the computed  
25 information is out of date. On the other hand, an eager policy may trigger very frequent computations of an attribute's value, some of which may not even be necessary (if the value is accessed at slower time scales).  
30 The manager sets the update condition taking into consideration the sensitivity of management applications that use this information with regard to its accuracy and the complexity involved in computing its value.

35 Thus, as can be seen, the amount of management information kept in an AMO is

reduced for the following reasons: Firstly,  
AMO attributes refer to groups of network  
elements rather than the NEs themselves;  
Secondly, values are computed by applying a  
5 filter function to the collected information;  
and, Thirdly, AMOs have the capability of  
evaluating their attribute values  
synchronously upon a query (i.e., operational  
command), thereby eliminating the need for  
10 storage.



## Aggregation Managed Object Services

A distributed object services model (i.e., the MAVS described herein below) is used to provide access to the AMOs. Software platforms based on industry standards such as CORBA and Java-RMI are gaining momentum within the network management services industry and can be used to support the present invention's framework. AMOs provide network management services through a set of advertised interfaces. Clients obtain access to these services by contacting a service broker. The broker returns a reference to the AMO that offers the requested service. The client then invokes operations on the AMO directly and receives the results.

AMOs provide two tiers of services: Basic access services, which are mandatory for all AMOs, and Extended Services that are implemented optionally. The latter can be used to provide a richer customized interface to the object for performing more complex operations related to its intended management function. There are three types of basic services:

1. **Attribute access** services are used to set and retrieve attribute values and control several aspects of every attribute's operation. These functions include *get* (retrieves an attribute value as an opaque object), *set*, *action* (dynamically downloads control logic that operates on one or more attributes or other objects), etc.

2. **Visualization** services are used to provide clients with the necessary information to setup graphical user interfaces (GUIs) to access the object's basic and extended services. The benefit of this approach is that clients do not need to be aware of an object's internal structure to provide a user-friendly interface. In essence, the GUI is "programmed" as part of the object and is transferred to the client when it first accesses the object. The object may provide more than one visualization services depending on the type of clients that are supported by the Marvel system.

3. **Event** services are used to subscribe internal and external consumers to receive event notifications generated by the object, and control the event flow. Events in Marvel are usually aggregations of lower-level events corresponding to the management view portrayed by the object.

The AMO designer is responsible for providing an implementation for all basic and extended services. Access to the latter can sometimes be provided indirectly through the basic services. For example, the visualization functions can be overridden to set up a user interface that accesses some of the object's extended services.

In addition to the common services provided by every object, every Marvel server provides a set of high level services that can

be used by client applications to navigate and examine the database:

1. **Navigation** services are used to navigate through the server database and examine its contents. Current Marvel implementations store objects in a tree, and include functions like *getRoot* (retrieves the root object), *getParent* (retrieves the parent of an object), *getSubordinates* (retrieves the object's children), *getPath* (retrieves the path from the root), etc.
2. **Registration** services are used to examine the structure and capabilities of every object in the database. In this way, clients can dynamically browse through the services provided by the object and invoke a service with the appropriate parameters. This introspection capability does not require clients to be previously aware of the services provided by every object. Rather, services are "discovered" in real-time and invoked after loading the appropriate stub code at the client. Objects must register themselves when they are created and provide information on the attributes they contain, the extended services they support and the stubs that must be loaded to invoke these services.
3. **Object management** services are used to instantiate, upgrade or delete objects while the server is running. The manager

provides the name of the object to be instantiated, its location in the database and a pointer to the code that can be used to instantiate the object.

5 The server then dynamically loads the code and generates a new object instance. Objects can be upgraded, in which case, the state of the object is frozen, the old code is purged from the agent, the

10 new code is loaded and the captured state is passed to the new object.

The above services can be implemented using industry-standard platforms such as CORBA and Java which are currently

15 being used in many network management applications. Java's remote method invocation (RMI) is a package that provides distributed computing primitives tightly integrated with the language, and is extremely easy to use and

20 integrate into Java applications. CORBA is a more widely accepted standard but requires more heavyweight implementations. The present invention provides the same object services under both frameworks: Java RMI is more

25 suitable for web (and other lightweight) clients, while CORBA for more demanding applications that require the widest possible inter-operability.

30

**The Management  
Aggregation and Visualization  
Server (MAVS)**

Referring to Figure 6, the MAVS 1 is a management agent designed to handle

35 aggregations of network management

information. Every AMO must be instantiated within a MAVS. For this reason a MAVS has a number of subsystems designed exclusively to support AMO features. Referring again to

5 Figure 6, its main components are:

1. The aggregation processing engine 2. This engine is responsible for implementing an attribute's update policy by computing  
10 its value from a set of components; It initially resolves group references into target objects, invokes the appropriate protocols to collect the necessary information,  
15 and finally applies the filter function to compute the final value(s). For control operations the last two tasks are reversed.
2. The persistent storage engine
- 20 3. By default, the state of every AMO is made persistent to survive failures of the MAVS. Persistence is necessary when the stored aggregated information cannot be  
25 reconstructed from the current contents of element management agents (a time series attribute is a good example of an object that must be persistent).
- 30 3. The AMO service registry 4. The registry logs every AMO on the MAVS together with its exported service interfaces (basic and extended). Clients first contact  
35 the registry to obtain a handle to their AMO of interest.

4. An HTTP server 5, that provides access to HTML documents generated by some AMO's visualization functions. It also serves to  
5 download Java class byte code to clients and provides an initial navigation page to the contents of the MAVS object database.
5. The event processing subsystem  
10 6, that registers event subscriptions and performs event collections and correlations.
6. The query processing engine 7, that supports operations on AMOs  
15 with user-supplied predicates and filters (as described above).
7. The protocol translation module  
20 8, which allows AMOs to access management services using a different protocol, such as SNMP, CMIP or CORBA.

A management system based on MARVEL may contain many MAVS. Although it is not  
25 required to follow a particular structure, it is usually convenient to structure all MAVS similar to the grouping hierarchy for easier administration, and to minimize communication overheads. So, in a MARVEL system one would  
30 expect to have separate MAVS containing the aggregation objects for a particular sub-network, a parent MAVS containing aggregations about a network region (consisting of several sub-networks), and finally a top level MAVS  
35 containing the aggregations for the entire network. In reality however, the management

system designer can expand or collapse these levels as is necessary. A single MAVS may in fact support any number of levels of aggregation.

5                    AMOs within a MAVS represent aggregations of Levels I and above. The MAVS itself acts in a dual role: it acts as a server (agent) for its clients and as a client (manager) when accessing the services of other  
10 MAVS or EMAs.

                  In the current implementation every MAVS contains a number of AMOs in a persistent storage database, very much like the OSI management model. AMOs are placed in a  
15 containment tree structure in any fashion that the manager wishes (the tree structure was selected purely for implementation convenience). However, It is often appropriate to follow a natural containment  
20 relationship. For example, an AMO representing a summarization of performance parameters from a set of users would be placed as the parent of the AMOs that contain performance parameters of individual users.  
25 Note that the structure of the containment tree expresses an arbitrary containment relationship between the AMOs and is not necessarily related to the grouping hierarchy.

                  Since group definitions and filter  
30 functions can be shared between many MAVS, they can be stored in an external directory server. In a way, this directory acts as a central network configuration database. By separating the fairly static configuration  
35 information from the MAVS, we avoid synchronization issues when group definitions

change. The penalty however is that a directory access is necessary every time a group is resolved into its components.

AMOs are programmed directly in  
5 Java. A core class provides the basic management services described above. Every AMO is then subclassed from the parent class and inherits automatically the basic access interface. In addition, the designer can  
10 implement the optional extended services by adding new service interfaces. Writing AMOs directly in a programming language has the following advantages:

15 The development environment is much simpler since no AMO schema compilers are required (in contrast with the OSI model that uses a GDMO compiler for generating managed objects).

20 Some of the default object's functions can be overridden by the programmer to implement, for example, specific data collection and aggregation policies.

25 Objects can be extended to provide customized high level services in addition to the fundamental -get/set operations on their attributes.

30 The Java runtime system supports dynamic class loading, which allows the integration of new AMO classes and the execution of manager-defined tasks, a capability also known as *active management* or  
35 *management-by-delegation*.



### **Managed Objects Visualization Model**

The MAVS, and the Marvel system in  
5 which it resides, was designed under the  
assumption that the majority of user clients  
have no prior knowledge of the information  
stored in Marvel servers and the methods used  
to access it. This allows clients to rely on  
10 the standard features provided by their  
distributed computing platform to download the  
necessary code to navigate through the  
database and to generate a graphical user  
interface to interact with the Aggregated  
15 Managed Objects.

To accomplish this, the Marvel  
framework requires that every object be able  
to "visualize" itself by generating a user  
interface. There may, however, be several  
20 ways of visualizing an object depending on the  
capabilities of the client. For this reason,  
Marvel supports a small number of *visual  
domains*. For every supported visual domain,  
an Aggregated Managed Object must implement a  
25 visualization function capable of displaying  
the attributes of the object in that domain.  
For example, a Gopher system would require  
that the object be converted into a textual  
representation before it can be displayed. A  
30 web-based system would require that every  
object be converted into an HTML page, and any  
control actions for the object be implemented  
through HTML post operations and a CGI  
interface. Finally, a Java enriched web  
35 browser can download Java applets to provide a  
more interactive interface and use directly

distributed computing facilities such as CORBA and Java RMI to access the object's services.

The latter visualization technique is of particular interest due to the wide  
5 acceptance of the world wide web and Java. As a result, object conversion to Java-enriched HTML is a mandatory service that must be provided by every Marvel object. Referring to  
10 Figures 6 and 7, the technique works as follows: First, the client invokes the object's toJeHTML() method in one of the following ways:

- by directly invoking the object's method through the  
15 distributed computing environment 50, or,
- by indirectly making an HTTP get request supplying the object's name and address.  
20 The get request is then translated by the HTTP server 53 to a call to the object's toJeHTML() method, and the results are returned through  
25 the HTTP reply.

Second, once the toJeHTML( ) method has been called, the object generates an HTML page 51 that can be viewed by the web browser 52. It does so by generating a default layout for the  
30 page, on which the values of the attributes will be displayed. Then, each attribute is instructed to convert itself into a Java-enriched HTML form. Simple data types such as strings and integers need only convert  
35 themselves into simple text. More complex data types (especially the ones representing

computed views of management information such as tables and time-series graphs) may choose to invoke a Java applet (by inserting the <applet> primitive). The same holds for  
5 attributes that represent the object's control capabilities. When the applet is used purely for monitoring purposes, it is possible to supply all the necessary information inside the applet specification block through the  
10 <param> primitive. It is also possible to pass to the applet the name and address of the object, in which case the applet can interact with the object directly. This is required for applets that need to perform control  
15 operations on the object, or to refresh the displayed information after the page has been loaded.

When the web browser 52 encounters the <applet> block 60 within the html page 51,  
20 it attempts to load the applet's code and any other Java classes needed for the applet's operation. Java classes are always loaded from an HTTP server.

As can be seen from above, a network  
25 manager can define how management information, collected from network elements, is aggregated into more useful abstractions and finally presented. Thus, as described above, the present invention allows for the automatic  
30 aggregation of network management information in spatial, temporal and functional forms, as well as the automatic visualization of the managed objects over the world-wide-web.

In the foregoing description, the  
35 method and apparatus of the present invention have been described with reference to a

specific example. It is to be understood and expected that variations in the principles of the method and apparatus herein disclosed may be made by one skilled in the art and it is  
5 intended that such modifications, changes, and substitutions are to be included within the scope of the present invention as set forth in the appended claims. The specification and the drawings are accordingly to be regarded in  
10 an illustrative rather than in a restrictive sense.

**What Is Claimed Is:**

1. A method for the automatic visualization of managed objects over the world-wide-web,  
5 the method comprising the steps of:  
    receiving a request for visualization of network management information pertaining to one of at least one network element and at least one element management agent;  
10 identifying at least one attribute pertaining to the request for visualization of network management information; and  
    generating an HTML page on the basis of the at least one attribute.  
15
2. The method according to claim 1, wherein the at least one attribute is stored within an Aggregation Managed Object (AMO).
- 20 3. The method according to claim 2, wherein the Aggregation Managed Object is stored within a Management Aggregation and Visualization Server (MAVS).
- 25 4. The method according to claim 1, wherein the at least one attribute identifies at least one program applet.
5. The method according to claim 1, further  
30 comprising the step of:  
    retrieving at least one program applet identified by the at least one attribute for insertion into the generated HTML page.
6. The method according to claim 5, wherein  
35 the at least one program applet is stored within an applet database.

7. The method according to claim 6, wherein  
the applet database is contained within a  
Management Aggregation and Visualization  
5 Server (MAVS).

8. The method according to claim 5, wherein  
the at least one program applet is written in  
a JAVA computer programming language.  
10

9. The method according to claim 1, further  
comprising the step of:

    sending the HTML page to a Web Browser  
from which the visualization request was  
15 recieved.

10. The method according to claim 9, wherein  
the HTML page is sent to the Web Browser by an  
HTTP server.  
20

11. The method according to claim 10, wherein  
the HTTP server is a Management Aggregation  
and Visualization Server (MAVS).

25 12. The method according to claim 1, further  
comprising the step of:

    displaying the HTML page through a Web  
Browser.

30 13. The method according to claim 1, wherein  
the request for visualization is in the form  
of a Uniform Resource Locator (URL) address.

14. A method for the automatic visualization  
35 of managed objects over the world-wide-web,  
the method comprising the steps of:

receiving a request for visualization of  
an aggregated network management information  
object;

identifying at least one attribute of the  
5 aggregated network management information  
object;

applying a visualize function to the at  
least one attribute to create an HTML page.

10 15. The method according to claim 14, further  
comprising the step of:

retrieving at least one program applet  
pertaining to the at least one attribute.

15 16. The method according to claim 15, further  
comprising the step of:

inserting the at least one program applet  
into the HTML page.

20 17. The method according to claim 14, wherein  
the at least one program applet is written in  
a JAVA computer programming language.

18. The method according to claim 14, further  
25 comprising the step of:

sending the HTML page to at least one web  
browser.

19. The method according to claim 18, wherein  
30 the HTML page is sent to the at least one web  
browser by an HTTP server.

20. The method according to claim 19, wherein  
the HTTP server is a part of the Management  
Aggregation and Visualization Server (MAVS).

35

21. An apparatus for the automatic visualization of managed objects over the world-wide-web, the apparatus comprising:  
an access interface for receiving a  
5 request for visualization of network management information;  
a persistent storage database for storage of at least one attribute pertaining to the network management information; and  
10 an HTTP server for generating an HTML page on the basis of the at least one attribute.
22. The apparatus according to claim 21,  
15 further comprising:  
an applet database for storing at least one computer program applet relating to the at least one attribute.
- 20 23. The apparatus according to claim 22, wherein the at least one computer program applet is written in a JAVA computer programming language.
- 25 24. The apparatus according to claim 21, further comprising:  
a web browser for viewing the HTML page.
25. The apparatus according to claim 21,  
30 wherein the at least one attribute is stored within an Aggregation Managed Object (AMO).
26. The apparatus according to claim 21, wherein the HTTP server is a Management  
35 Aggregation and Visualization Server (MAVS).



FIG. 1

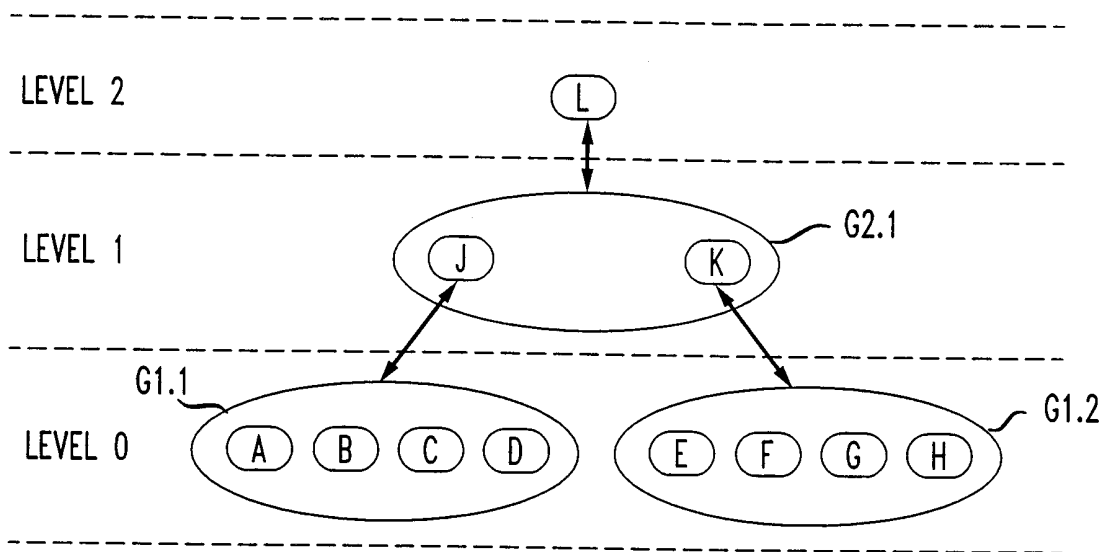


FIG. 2

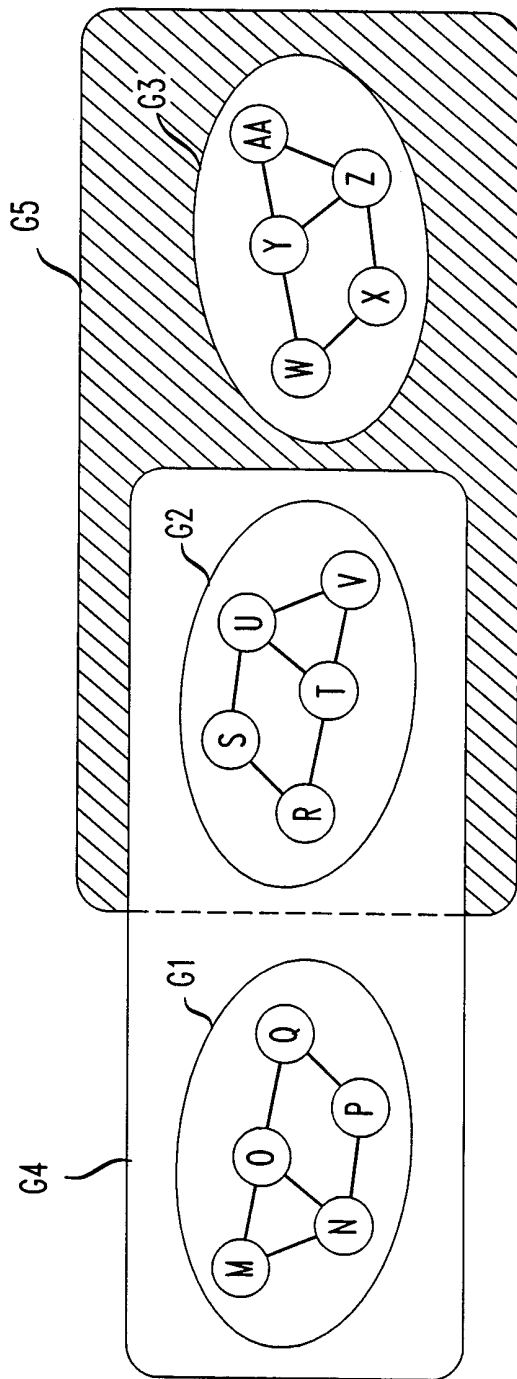


FIG. 3

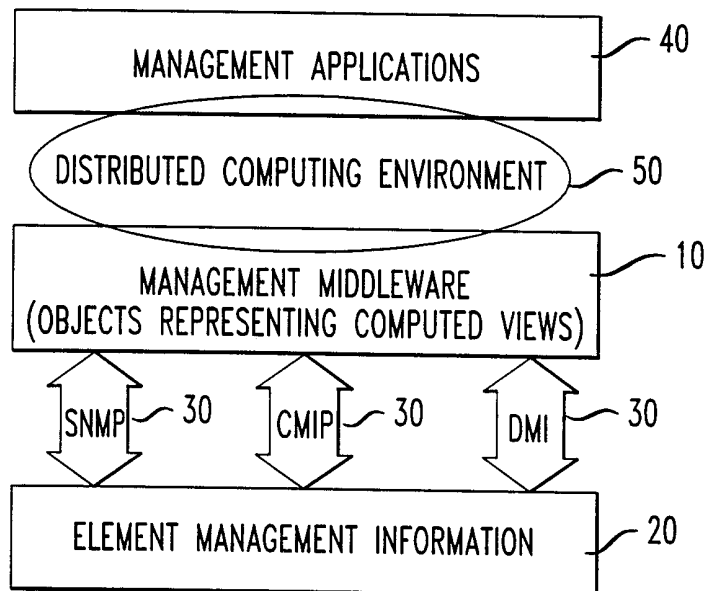
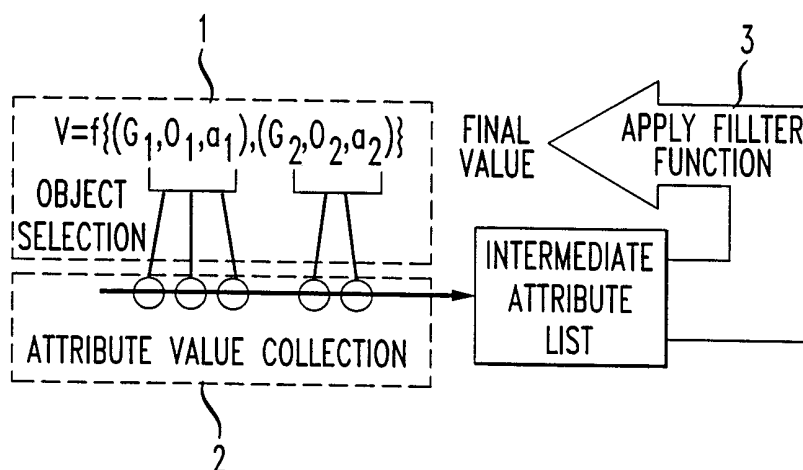


FIG. 4



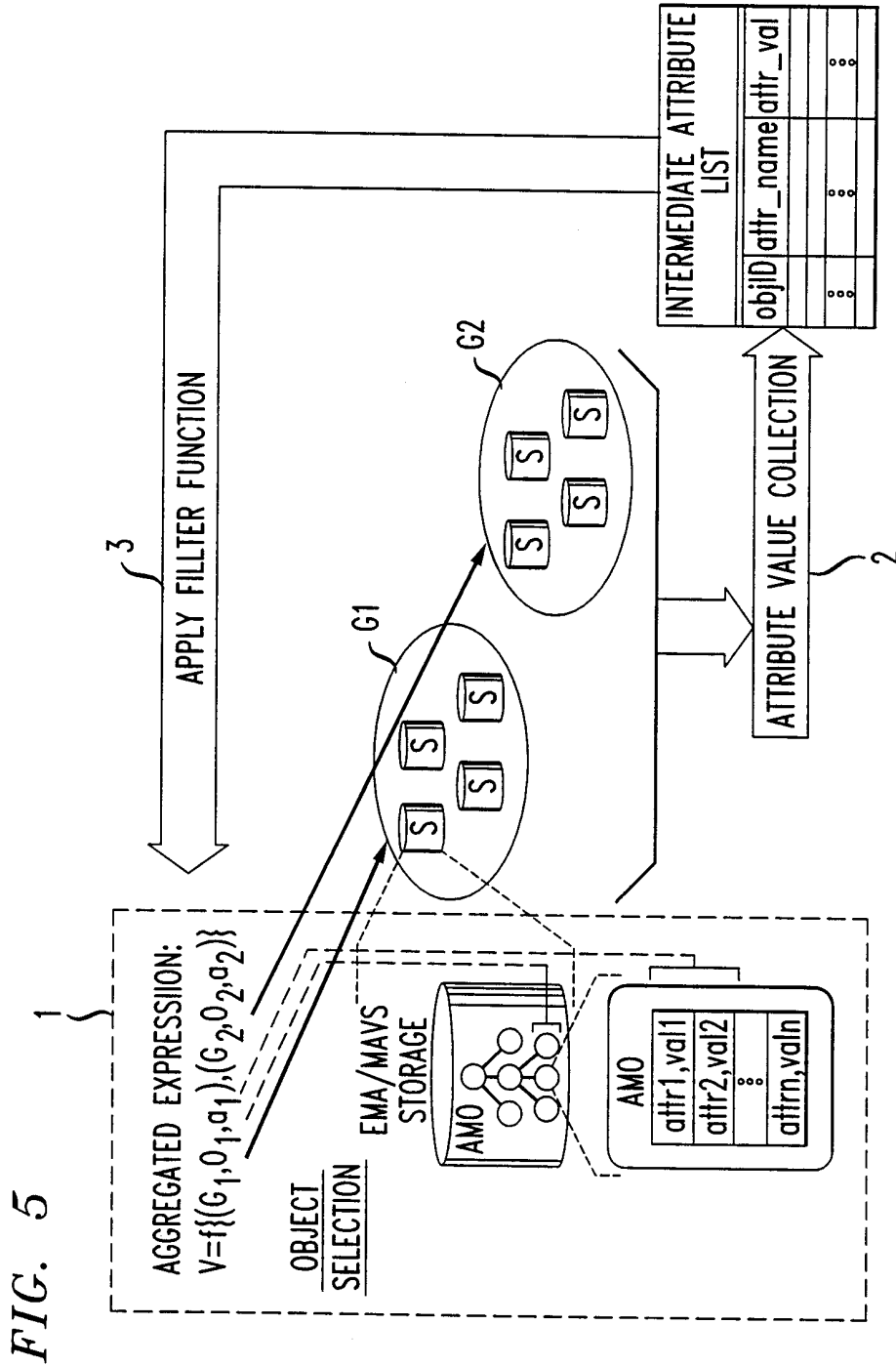


FIG. 6

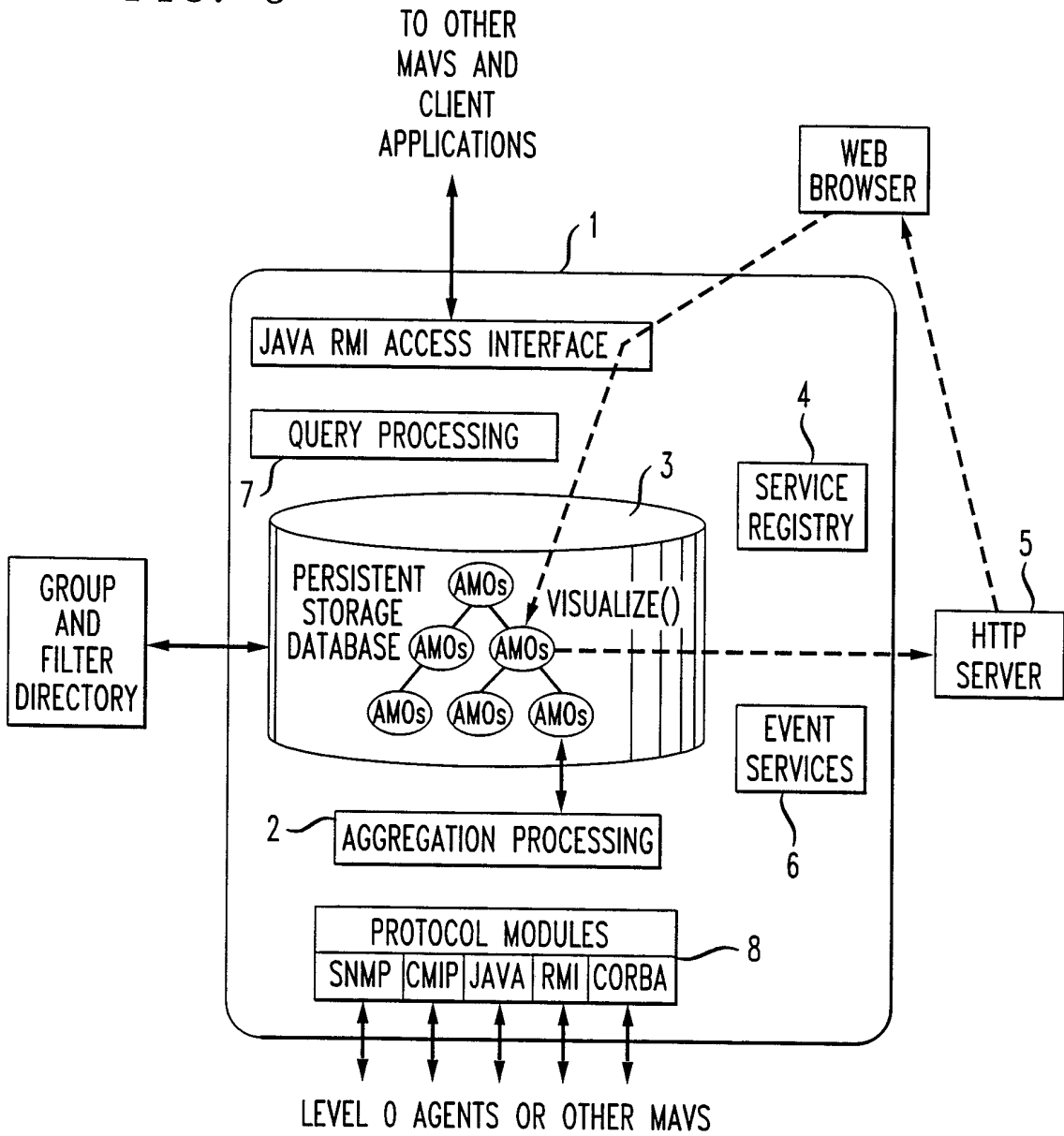


FIG. 7

