



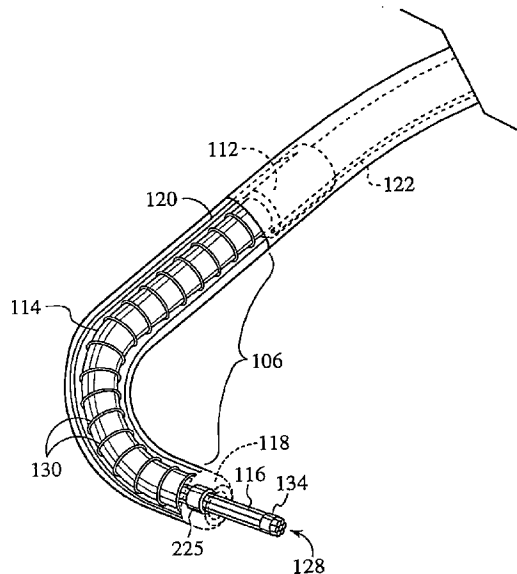
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(54) **CATHETER ORIENTABLE A EXTREMITES ALIGNABLES
DOTE D'UN DETECTEUR DE SURFACE**

(54) **STEERABLE CATHETER WITH TIP ALIGNMENT AND
SURFACE CONTACT DETECTOR**



(57) Cathéter percutané orientable permettant de guider au moins un dispositif fonctionnel vers des surfaces sélectionnées d'un corps pour les traiter. Le présent cathéter est particulièrement adapté à la revascularisation percutanée transluminale par laser (PTMR). Il comprend une poignée sur son extrémité proximale, et une section à orientation commandée sur son extrémité distale. Les extrémités distales du cathéter et du dispositif fonctionnel conservent automatiquement leur alignement lorsqu'elles sont orientées. La présente invention fait également état d'un système de détection de surface de contact, qui permet de savoir lorsque le cathéter entre en contact avec une surface située à l'intérieur du corps, comme la paroi du cœur.

(57) A steerable percutaneous catheter for guiding at least one functional device to selected surfaces of a body for treatment thereon, particularly adapted for laser-assisted percutaneous transluminal revascularization (PTMR). The steerable catheter has a handle portion at its proximal end and a controllably deflectable end portion at its distal end. The distal end of the catheter and the distal end of the functional device maintain alignment automatically during deflection. The invention also includes a surface contact detection system to detect contact with an interior body surface, such as the heart wall.

ABSTRACT

A steerable percutaneous catheter for guiding at least one functional device to selected surfaces of a body for treatment thereon, particularly adapted for laser-assisted percutaneous transluminal revascularization (PTMR). The steerable catheter has a handle portion at its proximal end and a controllably deflectable end portion at its distal end. The distal end of the catheter and the distal end of the functional device maintain alignment automatically during deflection. The invention also includes a surface contact detection system to detect contact with an interior body surface, such as the heart wall.

FIELD OF INVENTION

The present invention relates generally to steerable catheters and catheter procedures involving functional devices, such as laser delivery devices and drug delivery devices. More particularly, the invention relates to a steerable catheter and method of use, particularly adapted for laser-assisted percutaneous transluminal revascularization (PTMR). The distal tip of the catheter for guiding a laser delivery device, drug delivery device or other functional device extendable there through, is deflectable

in at least one given plane. The invention includes an automatic catheter tip alignment system for maintaining constant relative positioning between the distal tip of the functional device and the distal tip of the steerable catheter. The invention also includes a surface contact detection system to detect contact with an interior body surface, such as the heart wall.

BACKGROUND OF INVENTION

In the treatment of heart disease, one method of improving myocardial blood supply is called *transmyocardial revascularization* (TMR), the creation of channels in the myocardium of the heart. The procedure using needles in a form of surgical "myocardial acupuncture" has been used clinically since the 1960s. Deckelbaum, L.I., Cardiovascular Applications of Laser Technology, *Lasers in Surgery and Medicine* 15:315-341 (1994). The technique relieves ischemia by allowing blood to pass from the ventricle through the channels either directly into other vessels communicating with the channels or into myocardial sinusoids which connect to the myocardial microcirculation.

In the reptilian heart, perfusion occurs via communicating channels between the left ventricle and the coronary arteries. Frazier, O.H., Myocardial Revascularization with Laser - Preliminary Findings, *Circulation*, 1995; 92 [suppl II]:II-58-II-65. There is evidence of these communicating channels in the developing human embryo. In the human heart, myocardial microanatomy involves the presence of myocardial sinusoids. These sinusoidal communications vary in size and structure, but represent a network of direct arterial-luminal, arterial-arterial, arterial-venous, and venous-luminal connections. This vascular mesh forms an important source of myocardial blood supply in reptiles but its role in humans is poorly understood.

Numerous surgical TMR studies have been performed, including early studies using needles to perform myocardial acupuncture, or boring, to mechanically displace and/or remove tissue. Such studies have involved surgically exposing the heart and sequentially inserting needles to form a number of channels through the epicardium, myocardium, and endocardium to allow blood from the ventricle to

perfuse the channels. The early studies using needles showed that the newly created channels were subject to acute thrombosis followed by organization and fibrosis of clots resulting in channel closure. Interest in TMR using needles waned with the knowledge that such channels did not remain open. However, interest in TMR procedures has recurred with the advent of medical lasers used to create TMR channels. Histological evidence of patent, endothelium-lined tracts within laser-created channels shows that the lumen of laser channels can become hemocompatible and resists occlusion. A thin zone of charring occurs on the periphery of the laser-created channels through the well-known thermal effects of optical radiation on cardiovascular tissue. Additionally, recent histological evidence shows probable new vessel formation adjacent collagen occluded transmyocardial channels, thereby suggesting benefits from TMR with or without the formation of channels which remain patent.

Surgical TMR procedures using laser energy have been described. U.S. Patent No. 4,658,817 issued Apr. 21, 1987 to Hardy teaches a method and apparatus for surgical TMR using a CO₂ laser connected to an articulated arm having a handpiece attached thereto. The handpiece emits laser energy from a single aperture and is moved around the surface of the heart to create the desired number of channels. U.S. Patent No. 5,380,316 issued Jan. 10, 1995 to Aita et al. purports to teach the use of a flexible lasing apparatus which is inserted into the open chest cavity in a surgical procedure. A lens at the distal end of the flexible apparatus is used to focus laser energy, and the apparatus is moved about the surface of the heart to create the desired number of channels.

The foregoing discussion relates to surgical procedures, i.e. procedures which access the heart surgically, either via open heart surgery, or perhaps by minimally invasive surgical (MIS) methods if the design and size of the distal ends of the hand pieces are suitable for use in an MIS site. However, since TMR most often involves creating channels through the epicardium into the lower left chamber of the heart, it is desirable to create TMR channels in a percutaneous procedure, i.e. by extending a catheter apparatus through the vasculature into the ventricle and creating the channels through endocardial surfaces and into myocardium. Performing percutaneous TMR (PTMR) is desirable for a number of

reasons. Percutaneous catheter procedures are typically less traumatic to the patient compared to surgical procedures. Adhesions between the pericardial sac and epicardium are eliminated. Percutaneous TMR with a catheter apparatus also offers an alternative solution to persons who are not candidates for surgical procedures.

Because TMR procedures generally involve creating a plurality of channels within the myocardium, performing the procedure percutaneously requires the ability to steer a catheter apparatus through the vasculature and maneuver the apparatus within the ventricle of the beating heart as rapidly as possible to create the channels without subjecting the heart to the undue stress of a lengthy procedure. Additionally, the ability to control and stabilize the catheter apparatus against the beating heart wall while creating channels with a laser is desirable for percutaneous procedures to ensure creation of channels as desired and to ensure that the laser is fired only within the myocardial tissue. TMR channels should be spaced and grouped appropriately to achieve the desired result without weakening or rupturing the heart muscle.

The early myocardial acupuncture procedures were not performed percutaneously. The Hardy CO₂ laser delivery system described above is rigid, relatively large, and not adaptable for percutaneous use. The Aita '316 patent does not suggest a method for percutaneous use of the laser delivery device described therein for surgical use.

U.S. Patent No. 5, 389,096 issued Feb. 14, 1995 to Aita et al. purports to teach one method of percutaneous TMR using an elongated flexible lasing apparatus with control lines and a focusing lens structure at the distal tip. The method uses pressure applied manually to attempt to stabilize the apparatus against the wall of the heart.

Several patents describe the use of catheters within the ventricle for percutaneous treatment of ventricular tachycardia. Such devices have a means to locate an arrhythmia site and ablate the site, at or just below the ventricle surface, using an electrode device or laser energy. U.S. Patent No. 5,104,393 issued Apr. 14, 1992 to Isner teaches a catheter apparatus having a guiding Y-shaped sheath and guide

catheter assembly for introducing an optical fiber into the ventricle. Positioning is described to enable a single burst of laser energy from a single aperture to ablate the site.

U.S. Patent Nos. 5,255,679 issued Oct. 26, 1993 and 5,465,717 issued Nov. 14, 1995 respectively to Imran and Imran et al., disclose non-laser, basket-shaped catheter apparatus for mapping and/or ablation of arrhythmia sites within the ventricle. A pull cable is used to expand the basket portion within the ventricle, and a plurality of electrodes on the arms of the basket are used for ablation. The basket device is designed to place the electrodes on the ventricle wall.

U.S. Patent No. 5,190,050 issued Mar. 2, 1993 to Nitzsche teaches a steerable catheter with a handle and a tube, the distal tip of which may be selectively curved by controllably moving one of three flat, sandwiched shims relative to the others by manipulation of a handle portion.

U.S. Patent No. 5,358,479 issued Oct. 25, 1994 to Wilson, incorporated herein in its entirety by reference, teaches another steerable catheter with a handle and an inner tube, the apparatus having a single elongated, substantially flat shim spring mounted within the tip of the catheter tube, the shim having at least one transverse or lateral twist which causes the tip of the catheter tube to assume a desired curvature.

Drug therapies with angiogenic growth factors may expedite and/or augment collateral artery development. U.S. Patent No. 5,498,238 issued Mar. 12, 1996 to Shapland et al., discloses a method of simultaneous angioplasty and drug delivery to localized portions of arteries. The patent teaches the use of an expandable balloon end type catheter which can be filled with a drug-containing fluid and which is allowed to permeate through a semi-permeable membrane of the balloon-tip end and thereby be delivered directly to the surface of arteriosclerotic lesions on stenosed arteries.

A great deal of published scientific information concerning therapeutic agents is currently available on the internet. One company, Annual Reviews is located at <http://www.annurev.org>. A list of genetically engineered and/or naturally occurring drugs or other agents having pharmacological , therapeutic, diagnostic or other utility is located at <http://www.annurev.org/sup/im/im15/im15b.htm>.

Additional scientific information is available at <http://darwin.bio.uci.edu/~cchughes/index.html>.

Devices for effectuating drug injection have included non-articulating, viewing devices. U.S. Patent No. 5,685,853 issued Nov. 11, 1997 to Bonnet teaches of a partially rigid endoscope to which is attached an injection or aspiration cannula that is axially adjustable along the shaft of the endoscope.

U.S. Patent No. 5,261,889 issued Nov. 16, 1993 to Laine et al. teaches of an injection therapy catheter that is insertable through a working channel in an endoscope for delivering fluid agents through a hollow needle at the distal end of the catheter.

U.S. Patent No. 5,685,853 issued Nov. 11, 1997 to Bonnet teaches an injection device by means of an injection cannula axially adjustable along an endoscope shaft. The injection cannula and guide tube are axially adjustable relative to the endoscope shaft by means of a handle which can be operated with one hand.

U.S. Patent No. 4,350,148 issued Sep. 21, 1982 to Sivak, Jr. et al. also teaches of a drug injector device, in this case for treating esophageal varices. A flexible shafted endoscope has a conduit with distal ended needle is inserted in the endoscope's biopsy channel for effectuating the treatment.

Prior devices also include viewing devices for cardiac interventional procedures. U.S. Patent Nos. 4,784,133 issued Nov. 15, 1988 and 4,976,710 issued Dec. 11, 1990, both to Mackin, both teach of a flexible angioscope/bronchoscope device with an inflatable balloon structure for viewing intravasculture structures. These flexible catheter devices include a ported working channel for introduction of a working device and positioning of the working device at the viewing/treatment distal end.

U.S. Patent No. 5,554,114 issued Sep. 10, 1996 to Wallace et al. teaches an infusion device with preformed shape. An infusion guidewire or catheter is used for introduction of the device through a selected path in a patient's vascular system. An elongated tubular diffusion body lies at the distal end of an elongated tube, the diffusion portion having a plurality of infusion ports through which blood, drug, diagnostic agent or other material can be delivered to the particular site in the vascular system.

U.S. Patent No. 5,464,394 issued Nov. 7, 1995 to Miller et al. teaches a multilumen percutaneous angioscopy catheter which allows simultaneous irrigation and passage of an angioscope there through.

U.S. Patent No. 4,702,260 issued Oct. 27, 1987 and U.S. Patents No. 4,766,906 issued Aug. 30, 1988, both to Wang, teach bronchoscopic needle assemblies. The needle assemblies are especially adapted for safe and efficacious collection of biopsy samples.

U.S. Patent No. 5,409,453 issued Apr. 25, 1995 to Lundquist et al. teaches a steerable medical probe with stylets. The device is designed for reducing the mass of a body part, such as for biopsy sampling or for removing prostatic tissue in the case of BPH. The torquable catheter has a control end and a probe end, the probe end having a stylet guide means with a flexible tip and a tip directing means extending from the control end to the flexible tip for changing the orientation of the central axis of the stylet guide means for directing a flexible stylet outward through the stylet port and through intervening tissue to targeted tissues.

U.S. Patent No. 5,571,151 issued Nov. 5, 1996 to Gregory teaches a method for contemporaneous application of laser energy and localized pharmacologic therapy. The method comprises preparing a solution of a pharmacologic agent, inserting the catheter into the lumen, directing the catheter to the site, transmitting visible light to the site, flowing the light transmissive liquid through the catheter, viewing the site, transmitting laser energy through the liquid filled catheter to treat the site, and introducing a flow of the pharmacologic agent in solution into the catheter for contemporaneous discharge at the distal end into the lumen adjacent the site.

International Publication No. WO 92/10142 published June 25, 1992 by Pfizer Hospital Products Group and Makower teaches a device and method for interstitial laser energy delivery. A catheter with moveable needle system places one or more fiber optic elements and thermo-measuring devices through a body passageway wall and into the bulk of an adjacent organ. The catheter is positioned adjacent to the organ and the needles are extended to mechanically puncture the wall and move into the organ with the fiber optic elements. The needle may be withdrawn into the catheter before delivery of laser energy or

remain in the organ to serve as an aspiration-irrigation vehicle. Lumens provided within the catheter for carrying the hollow needles may likewise be used for aspiration or irrigation of the passageway. The devices may also be used with a dilatation balloon, etc.

U.S. Patent 5,386,837 to Sterzer discloses an "electrochemotherapeutic" technique for treating tumors in which high intensity electromagnetic force fields (including a laser) are applied to the body after chemotherapy has been applied. This is intended to create large, transient pores in individual cells of a superficially-seated tumor lesion located between individually mounted ceramic horn antennae by non-invasively applying a highly directional beam of force-field shock of HF pulsed wave energy into the cells, thus inducing the drug to enter the cells.

The use of superelastic and/or shape memory materials is widely known. Structure and Properties of Ti-Ni Alloys: Nitinol Devices & Components, Duerig et al., In Press, Titanium Handbook, ASM (1994) In general, binary compositions of Nickel (Ni) and Titanium (Ti), yield alloys with shape memory and superelastic properties. These alloys are commonly referred to as Ni-Ti, nitinol, and other industry names. Their precise physical and other properties of interest are extremely sensitive to the precise Ni/Ti ratio used. Generally, alloys with 49.0 to 50.7 atomic % of Ti are commercially available, with superelastic alloys in the range of 49.0 to 49.4%, and shape memory alloys in the range of 49.7 to 50.7%. Due to a rapid decrease in the ductility of the material, binary alloys with less than 49.4 at.% Ti are generally unstable. In general, these types of materials exhibit *hysteresis*, defined as a phenomenon exhibited by a system whose state depends on its previous history, and illustrated diagrammatically by the familiar upper and lower curves which meet at the ends and define an area under the curves. In the case of solid materials undergoing elastic hysteresis (as opposed to magnetic or electrical hysteresis), the curves are related to stress necessary to cause deformation or otherwise overcome existing stress in pre-stressed materials.

For the purposes of this disclosure, a distinction between *superelastic* materials and *shape memory* materials is made. *Superelasticity* refers to the highly exaggerated elasticity, or springback,

observed in many Ni-Ti alloys deformed at a specific temperature. The function of the material in many of such cases is to store mechanical energy. Though limited to a rather small temperature range, these alloys can deliver over 15 times the elastic motion of a spring steel, i.e., withstand a force up to 15 times greater without permanent deformation. *Shape memory* materials will refer to those materials which can be deformed, but which will freely recover their original shapes during heating, often utilizing electrical resistivity, or which will develop a large recovery stress when recovery is prevented. With regard to the present invention, it will be understood that the transition temperature of materials must, in general, be somewhat above body temperature.

U.S. Patent No. 3,890,977 issued June 24, 1975 to Wilson teaches kinetic memory electrodes, catheters and cannulae. These devices incorporate a material, such as a Ni-Ti alloy, having heat-activated mechanical memory properties. The device is formed into an operative shape at a high temperature. Then, at a low temperature below its transitional temperature, it is reformed into a shape for ease of insertion into a guide catheter or the like or otherwise through a portion of a patient's vasculature or other body lumen. When located in the organ or other desired region, those portions of the device constructed using such shape memory materials are heated to above their transitional temperatures, using electrically resistive elements, thereby returning the catheter to its original annealed anchoring or proper locating shape. An important drawback of the Wilson apparatus is that heat must be applied to the catheter tip. Complicated construction and electrical power distribution must be considered.

U.S. Patent No. 5,114,402 issued May 19, 1992 to McCoy teaches a maneuverable distal apparatus with a temperature activated material of construction which, upon heating to a predetermined position, will assume a predetermined, memorized shape, and which upon cooling, will assume a different shape by action of a spring element urging the apparatus into the different shape.

U.S. Patent 4,846,171 issued July 11, 1989 to Kauphusman et al. teaches a transluminal angioplasty catheter with a fiber advancing housing with a Hall effect sensor for control of a laser irradiation source that cooperates with the fiber advance mechanism.

There is a need for steerable percutaneous catheters, especially PTMR steerable catheters which automatically maintain alignment of the distal end of the catheter with the distal end of a functional device therein during catheter deflection movement relative to an interior body surface, particularly a ventricular wall. Moreover, there is a need for a mechanism to detect interior body surface contact with the distal tip of the catheter.

ADVANTAGES AND SUMMARY OF INVENTION

Thus, it is an advantage of the present invention to provide a steerable catheter and method of use for percutaneous and other intra-vascular procedures, including but not limited to PTMR, or any stimulation procedure.

The steerable percutaneous catheter of the present invention comprises a catheter jacket having proximal and distal ends, and at least a first lumen, at least a first one functional device within the lumen of the catheter jacket, the functional device having proximal and distal ends, a deflection mechanism at the proximal end of the catheter, the deflection mechanism operatively attached to a deflector device at the distal end of the catheter jacket, activation of the deflector device by movement of the deflection mechanism deflects the distal end of the catheter jacket and the functional device therein; and a relative movement compensation mechanism for maintaining alignment between the catheter jacket and the functional device whereby movement of the deflecting mechanism causes simultaneous movement of the relative compensation movement mechanism.

It is a further advantage of the present invention to provide a steerable catheter capable of being guided into a heart chamber and used therein for creating a plurality of TMR channels controllably and efficiently that can maintain tip alignment during motions of the distal section of the catheter. It is a further advantage of the present invention to provide an elongated steerable catheter for placement within a heart chamber, organ aperture or other body opening, the steerable catheter having an inner tube extending there through, the inner tube for guiding a laser delivery device or other functional device to

selected surfaces of the heart chamber, organ aperture or other body opening or body surface for laser or other treatment thereon, particularly adapted for laser-assisted transluminal revascularization (TMR) and further including a mechanism for maintaining a constant positional relationship between the translatable laser delivery device or other functional device and the distal tip of the catheter during catheter tip deflection.

It is yet a further advantage of the present invention to provide a percutaneous steerable catheter which can be positioned securely into a selected position within the left ventricle, or other body opening or cavity.

A further advantage of the present invention is to provide a steerable catheter with a tip alignment system which maintains precise alignment between the distal tip of the catheter and a laser delivery device such as fiber, bundle, other functional devices being inserted there through, etc., as the distal tip of the steerable catheter is deflected.

A further advantage of the present invention is to provide a steerable catheter which can be used for detection of contact and maintenance of contact between the distal tip of the catheter and the heart wall or other interior body structure or surface, such as during PTMR or stimulation.

A further advantage is to provide a steerable catheter with a handle portion at its proximal end and a controllably deflectable portion at its distal end.

The elongated center or inner tube has a distal end, in the region where a curvature is to be formed, and an anchor sleeve is slidably disposed over the center or inner tube. The anchor sleeve is attached to the inside wall of the outer jacket and coupled to the distal end of the center or inner tube with a bendable member which extends between the distal tip of the steerable catheter and the anchor sleeve over the center or inner tube in a first embodiment. In a second embodiment of the center or inner tube, a shim is attached to the sleeve and a coil on the proximal end and is positioned in a key hole configuration. The distal section of the shim is attached to the distal tip of the catheter.

On the opposite the translation sleeve is a guide for a pull cable, the pull cable attached to the

distal end of the steerable catheter and extending through the guide to the handle. Thus, the translation sleeve is maintained radially opposite the pull cable with the center or inner tube in between. An alternate design includes a spring in which a pull wire is attached to the distal end of the catheter without the shim.

An outer jacket has, in a preferred embodiment, distinct sections of different stiffness or durometer. One or more distinct sections of material of differing stiffness or durometer can be used. Junctions between the sections of different stiffness or durometer can be discrete and clearly defined, or they can blend smoothly or gradually. A distal, more flexible portion is coupled to a proximal, stiffer portion. The anchor sleeve is coupled to the outer jacket at or near the junction of two portions of the outer jacket in a first embodiment of the catheter body. A second embodiment has the shim coupled to the sleeve. Thus, the center tube moves freely through the anchor sleeve.

Adjacent the handle, the proximal outer jacket portion terminates at the catheter base. The pull cable extends through the catheter base, through a deflection housing tube, and terminates in a cable stop. Rotation of a deflection knob threadably mounted onto the deflection housing tube will cause the pull cable to be pulled backward, or the outer jacket to be pushed forward, relative to each other, thereby inducing deflection of the distal end of the steerable catheter. Additionally, another degree of deflection can be implemented by use of an additional deflection wire at the distal catheter tip that is controlled by a knob or slide member on the catheter handle to achieve at least two degrees of deflection freedom at the catheter tip.

The elongated steerable catheter is designed to be placed into the vasculature of the patient and steered there-through until the distal tip is adjacent a selected portion of tissue, such as on an endocardial surface within the left ventricle. Thus, the distal tip of a laser delivery device, such as an optical fiber or fiber bundle or other functional energy delivery device such as radio frequency electrodes, microwave cutters, ultrasound transmitters, mechanical coring devices, fluids jets and the like, can be extended through the inner tube of the steerable catheter such that its distal tip comes into contact with the selected

surface structure for treatment thereon. With regard to PTMR therefore, the energy delivery device can be controllably advanced through the steerable catheter for creating one or more PTMR channels. Furthermore, with regard to non-laser PTMR, a cannula or trocar assembly may be extended through the steerable catheter into the tissue of the ventricle, with or without use of a mechanical piercing tool.

In alternate embodiments, the steerable catheter of the present invention includes other functional devices including but not limited to, fiber advance depth control mechanism, visualization device, drug delivery apparatus, etc.

Numerous other advantages and features of the present invention will become readily apparent from the following detailed description of the invention and the embodiments thereof, from the claims and from the accompanying drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a representative isometric view of a preferred embodiment of the steerable catheter of the present invention showing a handle having an actuator and deflective end portion.

FIG. 2A is a representative partial cutaway view of the deflectable end portion and anchor sleeve of a preferred embodiment of the steerable catheter system of the present invention.

FIG. 2B is a representative isometric view of the distal end of a preferred embodiment of a laser delivery device of the present invention.

FIG. 3 is a representative partial cutaway view of the deflectable end portion and anchor sleeve of another preferred embodiment of the steerable catheter of the present invention.

FIG. 4 is a representative exploded view of the internal assembly of a preferred embodiment of the handle of the steerable catheter of the present invention using a rotatable differential screw mechanism with an integrated functional device advance mechanism for achieving auto-alignment of the distal tip of the catheter and functional device.

FIG. 5A is a representative sectional view of a preferred embodiment of the handle of the steerable catheter of the present invention using a rotatable differential screw mechanism with an integrated functional device advance mechanism for achieving auto-alignment of the distal tip of the catheter and functional device in an un-deflected position.

FIG. 5AA is an enlarged view of detail 5A.

FIG. 5B is a representative section view of a preferred embodiment of the handle of the steerable catheter of the present invention using a rotatable differential screw mechanism with an integrated functional device advance mechanism for achieving auto-alignment of the distal tip of the catheter and functional device in a deflected position.

FIG. 5BB is an enlarged view of detail 5B.

FIGS. 6A-6C are representative isometric cutaway views of the steerable catheter of the present invention illustrating a preferred embodiment of the method of the present invention.

FIG. 6D is a partial cut-away view of a second embodiment of the handle using a rotatable differential screw mechanism showing fiber advance and deflection components for achieving auto-alignment of the fiber optical tip.

FIG. 6E is a cross-sectional view of a variation of the embodiment shown in FIGS. 5C-E and 6A of the handle device using an integrated rotatable differential screw with an integrated fiber advance mechanism for achieving auto-alignment of the optical fiber tip.

FIG. 6F is a cross-sectional view of a variation of an O-ring sealing member for the optical fiber for the design shown in FIGS. 5C-E and 6A.

FIG. 7A is a representative isometric view of a drug delivery apparatus coupled to the proximal end of the handle of the steerable catheter of the present invention.

FIG. 7B is a representative side view of an alternate embodiment of a laser delivery device adapted for drug delivery of the steerable catheter of the present invention.

FIG. 8A is a representative schematic view of an alternate embodiment of the steerable catheter of the present invention with a pressurized saline bag.

FIG. 8B is a representative schematic view of a preferred embodiment of the steerable catheter with surface contact detection system of the present invention.

FIG. 9 is a representative perspective view of the steerable catheter of the present invention within the left ventricle.

DETAILED DESCRIPTION

FIG. 1 is a representative isometric view of a preferred embodiment of the steerable catheter **100** of the present invention showing a handle having an actuator **156** and deflective end portion **106** with the distal tip of a functional device **102**. A preferred embodiment of the catheter **100** has a handle **170** at its proximal end **104** and a controllably deflectable end portion **106** at its distal end **108**. The deflectable end portion **106** is more flexible than the elongated catheter jacket **110**, allowing the deflectable end portion **106** to develop a controlled bend with a small radius of curvature.

Components for effectuating multiple degrees of freedom of the distal tip of the catheter as well as other features for steerable catheter systems are disclosed in U.S. Patent Application S.N. 08/833,352 entitled STEERABLE CATHETER by Giba et al. filed April 4, 1997, which is hereby incorporated by reference in its entirety.

FIG. 2A is a representative partial cutaway view of the deflectable end portion **106** and anchor sleeve **112** of a preferred embodiment of the steerable catheter **100** of the present invention. As will be understood by the drawings and description herein, the curvature in the deflectable end portion **106** of the inner tube **114** can be deflected as desired. The helical coil spring **130** can be constructed with varying degrees of flexibility, and with any number of coils, such that the curvature can be moved closer to the catheter tip **118** of the inner tube **114** or closer to the anchor sleeve **112**. As will be understood, increasing the tension in pull cable **122**, attached at a location near the catheter tip **118**, by retraction

thereof will cause deflection of the catheter tip **118** and the deflectable end portion **106** in a direction essentially out of, and into and toward a position perpendicular to the plane of the shim **120**. Continued retraction of the pull cable **122** will cause continued deflection of the catheter tip **118** and deflection of end portion **106** of the steerable catheter, with useful ranges of deflection between about 0 and about 180 degrees (U shape) to about 270 degrees (pig-tail shape), or more or less depending upon construction.

FIG. 2B is a representative isometric view of the distal end of a preferred embodiment of a laser delivery device **116** of the present invention. Adjacent the distal end **128** of the optical fiber, fiber bundle or other laser delivery device **116**, a ring member **225** which has a greater diameter than fiber **116** is attached so as to engage the catheter tip **118** and perform as an optional fiber displacement stop. Placement of the ring **225** along optical fiber or fiber bundle **116** is selected to control depth, as shown by length **A**, of created pathways into the myocardium. Radiopaque marker **134** is shown as well.

FIG. 3 is a representative partial cutaway view of the deflectable end portion **106** and anchor sleeve **112** of another preferred embodiment of the steerable catheter **100** of the present invention. Pull cable **122** is attached at a location near the tip **118** and extends through pull cable guide **103**. The deflectable end portion **106** is made out of a softer material than the proximal shaft catheter jacket **110**. As the pull cable **122** is pulled, a force is applied to the catheter tip **118** resulting in tip deflection as shown in the phantom views. This design relies upon the flexibility of the spring **130** to provide the necessary return force instead of a shim as in the design shown in FIG. 2A. The spring **130** in any of these figures may be made of various materials known to those of skill in the art including, but not limited to, stainless steel, tungsten, or even partially or completely constructed of one or more superelastic and/or shape memory materials. Cross section of wire of the spring may be for example, oval, round, rectangular or flat ribbon.

FIG. 4 is a representative exploded view of the internal assembly of a preferred embodiment of the handle of the steerable catheter of the present invention using a rotatable differential screw

mechanism with an integrated functional device advance mechanism for achieving auto-alignment of the distal tip of the catheter and functional device.

FIG. 5A is a representative sectional view of a preferred embodiment of the handle of the steerable catheter of the present invention using a rotatable differential screw mechanism with an integrated functional device advance mechanism for achieving auto-alignment of the distal tip of the catheter and functional device in an un-deflected position. FIG. 5AA is an enlarged view of detail 5A.

FIG. 5B is a representative section view of a preferred embodiment of the handle of the steerable catheter of the present invention using a rotatable differential screw mechanism with an integrated functional device advance mechanism for achieving auto-alignment of the distal tip of the catheter and functional device in a deflected position. FIG. 5BB is an enlarged view of detail 5B.

As seen in FIGS. 4 and 5, outer catheter jacket **110** terminates at its proximal end **126** and is coupled to catheter base **162**. Proximal hub **166** is contained within the catheter boot **164** and threads over inside stepped and threaded portion **165** of catheter base **162**. The proximal hub **166** is coupled to a distal flange portion **153** of inner deflection knob **154**. Deflection actuator **150** slides over catheter base **162** and has an external helical grooved portion **152** located distally on the deflection actuator **150**. Two pins **140** attached to inner deflection knob **154** engage helical groove **152**, thus rotation of inner deflection knob **154** about deflection actuator **150** translates into linear motion between inner deflection knob **154** and deflection actuator **150**. An actuator **156** (shown in two sections in FIG. 5) couples radially around the inner deflection knob **154** and translates linearly with respect to inner deflection knob **154**. The actuator **156** engages inner deflection knob **154** at flange **158**.

The inner tube **114** is attached to handle **170** at coupling point **172**. A distal external, helical rib or thread **174** on the handle **170** fits into and acts in cooperation with an operatively pitched and contoured internal helical slot or groove **176** located proximally on the inner deflection knob **154**. The inner tube **114** continues proximally, sliding through a front tube **180** and terminates within a back tube **182**. A bushing **184** is mounted on bushing pins **186** which extend through longitudinal slots **188** located

distally within handle **170** and extend into pin seats **190** located distally on front tube **180**. Thus, as the front tube **180** is moved linearly with respect to the handle **170**, the bushing pins **186** move linearly within slots **188**.

Relative motion between the front tube **180** and the handle **170** is limited to linear motion; there is no rotational motion between the front tube **180** and the handle **170** as such is prevented by the bushing pins **186** which only slide linearly in slots **188**. Similarly, axially and longitudinally extending ribs or keys **192** located proximally and externally on catheter base **162** slide linearly within correspondingly shaped linear grooves **194** located internally and distally on deflection actuator **150** opposite the external helical groove **152**, thus preventing rotational motion as between the catheter base **162** and the deflection actuator **150**. Finally, axially and longitudinally extending ribs or keys **196** located proximally and externally on deflection actuator **150** slide linearly within correspondingly shaped linear grooves **198** located internally and distally on handle **170** at a point distal to slots **188**, thus preventing rotational motion as between the deflection actuator **150** and the handle **170**.

Pull cable **122**, such as shown in FIGS. 2A and 3, extends proximally from the catheter tip **118** through the catheter base **162** and through the deflection actuator **150**, and terminates at pull cable stop **168**. Pull cable **122** biases pull cable stop **168** against the proximal end **169** of deflection actuator **150**.

The actuator **156** rotates around the bushing **184** and the entire assembly including the actuator **156**, the bushing **184**, bushing pins **186** seated in the front tube **180** along with the front tube **180**, back tube **182** and proximal assembly all translate linearly. Additionally, as the actuator **156** is rotated about a central axis, the inner deflection knob **154** is co-operatively and simultaneously similarly rotated thus effectuating linear translation of deflection actuator **150** and thereby increasing tension in pull cable **122**. To prevent the contractive forces on the pull cable **122** which deflect the catheter **100** and translate into counter-rotational forces on the actuator **156** from actually causing the assembly to essentially "unwind", therefore, bushing **184** is constructed with several detents **185** which compress between actuator **156** and handle **170** distally. In a preferred embodiment of the bushing **184**, therefore, the resilient detents **185** are

distributed around the bushing **184** so as to engage one or more correspondingly shaped grooves, indentations within the proximal flange **157** on actuator **156**.

Therefore, as the actuator **156** is rotated in a first direction so as to cause deflection of the deflectable portion **106** of the catheter **100**, engagement of the detents **185** of the bushing **184** within the proximal flange **157** of the actuator **156** provides an indexed mechanism, which allows a tactile response by the physician so as to control or at least be aware of the degree of deflection caused by said rotation of the actuator **156**. Furthermore, engagement of the detents **185** of the bushing **184** within the proximal flange **157** of the actuator **156** prevents uncontrolled counter-rotation caused by the above described contractive forces developed in the pull cable **122** of the deflected catheter **100**. Upon intentional counter-rotation by the physician, resilient detents **185** deform and allow rotation of the actuator **156** as desired. Thus, bushing **184** is designed with resilient detents **185** which provide directionality, i.e., they provide a certain degree of resistant to rotational forces on the actuator **156** intended to deflect the catheter **100** but provide an increased resistance to counter-rotational forces, thereby providing an indexed mechanism with tactile response upon rotation in either direction.

The handle **170** retains a portion of the back tube **182**, the back tube **182** slidable through the handle **170** and biased proximally by spring member **200**; the spring member **200** is retained between standing rib member **202** extending internally from handle **170** and distal flange **204** on back tube **182**. A sealing member **206** is placed between a proximal flange **208** on the front tube **180** and the distal flange **204** on the back tube **182**. A depth stop **210** is threaded onto external helical threads **212** of back tube **182** extending proximally from handle **170**. A Luer fitting **214** or other suitable coupling and sealing device is useful for coupling a Touhy-Borst type fitting **216** to the back tube **182**. An optical fiber, fiber bundle, or other laser energy delivery device or other functional device may be coupled securely to the Touhy-Borst type fitting **216** and be advanced through the back tube **182** and into the inner tube **114**. A saline flush, drug solution, visualization or other therapeutic agent containing fluid can be provided to the steerable catheter via one branched arm **218** of fitting **216**. In a preferred embodiment, it will be understood that

any back-flow preventer, check valve, blood seal, etc. with the necessary operative function and suitability can be employed elsewhere on the steerable catheter **100** and will be included within the scope of the present invention.

During a PTMR procedure using a steerable catheter as shown in FIG. 1, maintaining alignment between the fiber tip **128** and catheter tip **118** is preferred for controlling channel depth in a heart wall. The distal tip **128** and catheter tip **118** must manually be realigned during deflection in the steerable catheter **100** as taught in U.S. Patent Application S.N. 08/833,352.

FIGS. 6A, 6B and 6C show an automatic tip alignment mechanism for a steerable catheter system using a differential screw mechanism **020** within deflection knob **0156**. The differential screw member within the knob **0156** has two differing thread pitches where threads **0152** effectuate tip deflection and threads **052** effectuate tip alignment compensation. When the deflection knob **0156** is turned, a corresponding advancement or retraction of the catheter's outer jacket occurs causing handle section **0172** to move in relation to the proximal region of center or inner tube **0114** and the optical fiber thereby maintaining optical fiber alignment. FIGS. 6A and 6B show the sequential deflection of the distal tip section as the deflection knob **0156** is turned. FIG. 6A shows the catheter distal section **0106** without fiber advance, FIG. 6B shows the distal section **0106** deflected and FIG. 6C shows the distal section **0106** deflected with advancement of optical fiber tip **0128**. The diaphragm valve **0188** acts as a seal component to prevent saline solution, if used, from being emitted from the handle while still allowing translation of the optical fiber. An optical fiber is inserted into the inner tube **0114** and the fiber distal tip **0128** and catheter tip **0118** (as shown in FIG. 1) are adjusted and aligned manually prior to use. As the deflectable tip section **0106** is deflected as shown in FIG. 6B, the differential screw in deflection knob **0156** causes relative motion of the catheter jacket **0110** and handle **0172** that is attached to the optical fiber advance mechanism thereby maintaining the alignment between the fiber distal tip **0128** and catheter tip **0118** with the improved auto-alignment knob incorporated in the handle **0172**. FIG. 6C shows distal section deflected with the fiber advanced using a ring-type knob **0175** which surrounds the

handle section **0172** and facilitates fiber advancement to a preset depth according to a setting made with depth control knob **0180**.

FIG. 6D shows a cross-sectional view of the deflection knob **0156** with the catheter base **0162**. The threads **0152** for effectuating deflection of the catheter's distal end **0118** are engaged by a pin **025** attached to the deflection knob **0156**. The tip alignment compensation threads **052** inside proximal section of the deflection knob **0156** are engaged by another pin **027** attached to the deflection housing tube **0150**. The pull wire **0122** is attached at a stop connected to the deflection housing tube **0150**. When the deflection knob **0156** is turned, the deflection housing tube **0150** translates over the catheter base **0162**. The threads create linear translation compensation of the optical fiber distal tip **0128** as the catheter tip **0118** is deflected.

FIG. 6E is a cross-sectional view of a variation of the embodiment shown in FIGs 6A-6D using an integrated rotatable differential screw mechanism in deflection knob **0156** that further includes an integrated fiber advance component thereby allowing a physician to maintain hand placement while adjusting the amount of deflection by knob **0156** or while advancing an optical fiber. The design shown in FIG.6E in cross-section further includes a fiber advance annular knob **0256** that slides over and rotates with the deflection knob **0156**. This sliding aspect is achieved by longitudinal slots **0252** in the outer surface of the deflection knob **0156** and corresponding longitudinal slots in the annular knob **0256**. The fiber advance annular knob **0256** replaces the fiber advance knob **0175** shown in FIGs 6A-6C above. Rotation of the optical fiber advance knob **0256** rotates the deflection knob **0156**. Linear advancement of the fiber advance knob **0256** alone without rotation of the deflection knob **0156** advances the optical fiber without tip deflection due to the longitudinal slots **0252** in the deflection knob **0156** guiding longitudinal slots in the fiber advance knob **0256**. The advancement of the fiber is achieved through a fiber advance collar **0275** that is attached to the advance slider. The fiber advance knob **0256** has a return spring **0276**.

FIG. 6F is a cross-sectional view of an alternate design for the functional requirements of the diaphragm valve **0188** sealing device for use with the catheter handle concepts shown in FIGs 6A-6E.

The sealing device is an O-ring **0250** that is disposed about a central member **0300** where the optical fiber translates within the central member **0300**. This central member **0300** is attached to the deflection housing tube **0150**. An annular extension member **0284** is attached to the advance slider **0184** shown in FIG. 6B and slides along on the external side of O-ring **0250** to maintain the fluid seal. An equivalent sealing member of O-ring **0250** is a quad seal. The O-ring seal operates comparable to a "syringe" type device.

The following description of the mechanical operation of the steerable catheter **100** of the present invention is intended for illustrative purposes only, and is not to be construed in any way as limiting the scope of subject matter claimed herein. Reference is made to all of the figures.

As described above, the steerable catheter of the present invention has a tip deflection mechanism as well as a functional device tip alignment mechanism. With regard to FIGS. 4 and 6A-6C, rotation of the actuator **156** in a clockwise direction, i.e., as viewed from a proximal end, will effect corresponding rotation of inner deflection knob **154**. Since the actuator **156** and inner deflection knob **154** are rotated relative to the handle **170**, and the catheter base **162** is keyed to the deflection actuator **150** by ribs **192** engaging grooves **194** along with the deflection actuator **150** being keyed to the handle **170** by ribs **196** sliding into grooves **198** thereby preventing rotational motion as between the handle **170**, the deflection actuator **150** and the catheter base **162**, said clockwise rotation will cause proximal translation of deflection actuator **150** by pins **140** riding in helical groove **152**, as deflection actuator **150** is moved linearly in a proximal direction, tension in the pull cable **122** acts on the distal tip **118** of the steerable catheter **100** and causes deflection thereof.

Operation of the automatic functional device tip alignment mechanism is based on a screw thread pitch differential. Without the tip alignment feature of the present invention as deflection of the deflectable portion **106** of the steerable catheter **100** occurs the orientation of the distal tip **118** of the catheter **100** and the distal tip **102** of the functional device would be modified such that any pre-existing alignment would be lost. The cause of this loss of alignment between the distal tip **118** of the catheter

100 and the distal tip **102** of the functional device upon deflection of the deflectable portion **106** is caused by retraction of the pull cable **122**, causing an apparent change in the length of the elongated catheter jacket **110** and a displacement of any pre-existing alignment between the distal tip **118** of the catheter **100** and the distal tip **102** of the functional device.

Therefore, to compensate for these alignment disrupting forces, screw threads having a differential in pitch size are used. With reference to the drawings, as mentioned above, deflection of the deflectable portion **106** of the catheter **100** is caused by clockwise rotation of the actuator **156** and inner deflection knob **154**. Said clockwise rotational motion of actuator **156** and inner deflection knob **154** causes distal linear translation of inner deflection knob **154**, proximal hub **166** and catheter base **164** thereby causing compression of the outer catheter jacket **110** and proximal linear translation of deflection actuator **150** and pull cable stop **168** thereby increasing tension in pull cable **122** and causing deflection of the deflecting portion **106**. Simultaneously, as will be apparent by an inspection of the drawings, as inner deflection knob **154** is rotated clockwise by actuator **156**, external helical thread **174** on the handle **170** engaged by internal helical groove **176** within inner deflection knob **154** causes simultaneous translation of the handle **170**, thus slightly moving the fiber **116** and thereby compensating for the effective change in length of the outer catheter jacket **110** by maintaining alignment between the distal tip **118** of the catheter **100** and the distal tip **128** of the laser energy delivery device **116**.

In the case of laser assisted PTMR or other procedures, intervention occurs when an optical fiber, fiber bundle or other laser energy delivery device **116** or other functional device is advanced through the inner tube **114** of the steerable catheter and into the patient. Fiber advance is effected in one of two ways - by manually urging in a distal direction either back flange **220** of depth stop **210** or actuator **156**. In either case, the fiber, fiber bundle or other laser energy delivery device **116** or other functional device being held firmly in place at the proximal end by Touhy-Borst type fitting **216** advances distally along with the back tube **182** and the front tube **180**, both sliding over the inner tube **114**, the bushing pins **186** extending from the pin seats **190** in the front tube **180** contained by and riding

within the slots **188** located distally on the handle **170**, thus placing the spring **200** into increased compression. Retraction of the fiber **116** decreases the compressive forces on the spring **200**.

In a preferred embodiment of the steerable catheter of the present invention, access port cover plate **222**, as shown in FIGS. 1 and 4 can be removed and any operative device, electrical contacts such as thin coaxial or other electrical traces, leads, conductors, etc. can lead through at least the outer catheter sheath and be utilized at any of various positions on the handle **170**, elongated portion **110** or distal tip **118** of the steerable catheter **100** of the present invention. In particular, the distal tip **118** can be provided with a positioning sensor or visualization device, for providing any of various signals from any of various types of sensor or analyzer equipment, such as the ultrasound ranging methods and devices shown and described in U.S. Patent Application Serial No. 08/852,977 filed 05/07/97 entitled ULTRASOUND DEVICE FOR AXIAL RANGING which is hereby incorporated herein by reference in its entirety. In a preferred embodiment, an annular ultrasound transducer is positioned distally on the distal tip **118** to transmit ultrasound signals substantially perpendicular to tissue, the transducer further receiving returning signals from the tissue to be treated.

U.S. application serial no. 08/773,872 entitled LASER MEANS ADAPTED FOR DRUG DELIVERY filed December 27, 1996, and hereby incorporated by reference in its entirety, discloses a drug delivery apparatus for dispensing a predetermined amount of one or more drugs in, near or around the creation of one or more laser-created openings or channels, particularly PTMR channels and/or stimulation pockets within myocardium or other stimulation zones to stimulate angiogenesis on or in selected target surfaces in the body. The apparatus includes a laser delivery device such as an optical fiber or fiber bundle having one or more conduits for transmitting drugs included as a part of the delivery device. The conduit may comprise a space along a fiber optic cable between an outer jacket of the cable and the fiber optic, or fiber optic bundle, and an aperture or array of apertures in the end of the cable through which the drug escapes. The aperture or apertures can be replaced with a semi-permeable or permeable membrane, strainer, set of leach holes, etc. Or the conduit may be one or more drug tubes

contained in the fiber bundle and the drug exits out of the target end surface of the cable. Or the conduit may be one or more tubes between the fiber optic delivery means and the outer jacket. A piercing device may be mounted on the target end of the laser delivery means, or an optical fiber with a pointed tip which pierces the target area prior to applying the laser beam may be used. After or simultaneously with the creation of a laser TMR channel or other opening the drug or drugs are transmitted through the conduit directly into the TMR channel or other opening. The target surface may be mechanically pierced to provide initial access to the target region of tissue, such as myocardium. The drug is dispensed by manually or automatically activating an electric motor which actuates a piston element.

FIG. 7A is a representative isometric view of an alternate embodiment of the present invention with a drug delivery apparatus **296** coupled to the proximal end **104** and a drug delivery needle **101** extending beyond the distal end of the steerable catheter **100** of the present invention. As shown, other tools or functional devices may be attached to the handle **170** of the steerable catheter **100** of the present invention for operation through the inner tube **114** in addition to the drug delivery or dispensing apparatus **296**. It will be understood, therefore, that such drug delivery or dispensing apparatus **296** can be manually or automatically activated, can be adjustable or programmable to dispense individual aliquots of a predetermined volume, at a predetermined or specified rate, as desired.

FIG. 7B is a representative side view of an alternate embodiment of a laser delivery device adapted for drug delivery of the steerable catheter of the present invention. The laser delivery device **116** will be disposed within a drug conduit **105**. The distal end **107** of the drug conduit **105** has a plurality of (i.e. one or more) perforations **127** formed through the wall of drug conduit **105** allow drugs in space **121** to flow or otherwise be transmitted through drug conduit **105**.

The distal end **128** of the laser delivery device **116** preferably extends past the distal end **107** of the drug conduit **105**. The laser delivery device **116** may consist of a single or bundle of individual optical fibers.

Outer jacket **117**, such as a thin plastic tubing material, surrounds the bundle of individual fibers

(as shown in FIG.7B), and thus, the combination of outer jacket **117** and drug conduit **105** defines an interstitial drug channel **121** through which drugs can be conveyed through conduit **105** and out the plurality of perforations **127**. It will be understood that the outer jacket **117** is optional and may be omitted. In such case, utilizing a single fiber mounted within conduit **105** will result in drug delivery from the plurality of perforations **127** in essentially the same manner as described above. However, in the case of a bundle of fibers without an outer jacket **117**, drug solution or other substances will flow around each of the individual fibers of the bundle, thus resulting in percolation of drug out of the drug conduit **105** at either or both the plurality of perforations **127** and the distal end of the fiber bundle.

In the embodiments shown, individual perforations **127** are spaced about outer jacket **117**. It will be understood that more or fewer perforations may be used, and perforations located at various axial positions located adjacent the distal end **107** of the drug conduit **105**.

In co-pending application serial no. _____ entitled DRUG DELIVERY MODULE , filed May 16, 1998, and hereby incorporated by reference in its entirety, teaches a drug delivery device with a drug delivery needle for percutaneous catheter based procedures. The elongated portion of the device comprises a single or multi-lumen flexible shaft for containing at least one drug delivery channel in a drug delivery tube. A connector tube extends through a catheter mount and is sealed to a drug conduit. The drug conduit extends through an elongated tubular portion of the catheter to the distal tip of the elongated portion where the drug conduit connects to a piercing needle. The piercing needle end portion has a bevel cut end tip or other operable tip for piercing tissue and delivering drug or other compound there through. The drug delivery conduit with piercing needle is inserted through the working channel of the device to treat the desired number of drug delivery tissue sites. The drug flow is communicated from a reservoir through drug conduit and is dispensed through piercing needle subsequent to advance of piercing needle through the distal tip of device. Drugs can be delivered to tissue via advanceable drug conduits with piercing needle tips which pass through a working channel of the instrument.

FIG. 8A is a representative schematic view of an alternate embodiment of the present invention with the steerable catheter and a pressurized saline bag. Reference is made to all the figures. During percutaneous procedures, including PTMR, a saline drip or irrigation system **250** is employed. In the practice of this invention the saline bag can be replaced with any fluid, in any type of reservoir or source. Providing a slightly elevated pressure within the catheter keeps the inner tube **114** of the catheter **100**, within which the fiber, bundle or other laser energy delivery device **116** or other functional device **102** advances and retracts, lubricated. Additionally, blood from the ventricle or other portion of the vasculature will not be able to advance past the tip **118** of the catheter **100** and foul or plug the inner tube **114**.

During PTMR, saline or other solution flows from a pressurized saline bag **250** through an arm **218** of a Touhy-Borst fitting **216**, through the inner tube **114** to the distal tip **118** of the catheter **100** operatively positioned or emplaced within the heart **252**. Maintaining a small amount of saline flow through the unrestricted system can readily be achieved. Additionally, a pressurized source may be achieved by elevating the saline bag above operational height, utilizing a small peristaltic or other type of pump to pump the fluid from the saline bag into the vasculature and ventricle, against ventricular pressure, or other type of pump, etc., as may be necessary.

Thus, when the distal tip **118** of the catheter **100** is not in direct contact with an interior body surface, such as the heart wall, a pressure drop would be present:

$$P_1 - P_2 \quad (1)$$

If the distal tip of the catheter is pressed against the heart wall with sufficient pressure to stop the saline or other fluid flow, the pressure throughout the flow path will be at essentially P_1 , the pressure differential $P_1 - P_2$ will decrease to zero.

FIG. 8B is a representative schematic view of a preferred embodiment of a steerable catheter with heart wall or surface contact detection system of the present invention. By utilizing the system of the present invention to detect saline or other fluid flow through the system, contact with an interior body

surface, such as the heart wall, can be determined accurately and dependably. Between the source of pressurized saline solution **250** and the catheter handle **170**, a flow restrictor **254** is emplaced. Such flow restrictor **254** may, in preferred embodiments, consist of a restriction valve, a predetermined length of narrow diameter tubing, or a Touchy Borst etc. Additionally, a pressure sensor **256** is utilized. If the pressure drop across the flow resistor **254** is selected such that it is approximately equivalent to or greater than the pressure drop across the catheter **100**, then the pressure P_3 at the sensor **256** can be expressed by the following expression:

$$P_3 \approx \frac{(P_1 - P_2)(R_C)}{R_C + R_R} + P_2 \quad (2)$$

where: P_3 = pressure at the sensor **256**;

P_2 = pressure in the heart;

P_1 = pressure in the saline bag;

R_C = resistance to flow through the catheter; and

R_R = resistance to flow through the flow restrictor.

When saline solution is flowing in the system, P_1 is greater than P_3 . If the saline flow is decreased due to application of pressure between the distal tip **118** of the catheter **100** and the heart wall, P_3 will increase. As the flow stops completely, P_2 equals P_1 and P_3 also equals P_1 . This system provides the physician with a great deal of additional information. Contact between the distal tip **118** of the catheter **100** and the heart wall can be determined by an increase in P_3 , as well as the amount of pressure used between the distal tip **118** of the catheter **100** and the heart wall. As more pressure is applied to the heart wall by the distal tip **118** of the catheter **100**, P_3 will increase until flow of saline through the system stops and P_3 becomes equal to P_1 .

FIG. 9 is a representative perspective view of the steerable catheter **100** of the present invention within the left ventricle **230**. As indicated above and with regard to the figures, the present invention is directed to catheter systems which are steered into and through parts of the body, such as into the left ventricle, with and without the use of a guide catheter or other guide system. Guide catheter and guidance

systems are well known and may be used with the present invention, and therefore are included within the scope of this invention. Typically, entry into the vasculature is made through the femoral artery. A guide wire (not shown) is positioned within the left ventricle **230**. The steerable catheter **100** is advanced over the guide wire and into the left ventricle **230**. The guide wire is retracted out of the steerable catheter and the functional device, such as an optical fiber, is advanced into position with the steerable catheter.

However, a guide wire or guide catheter need not be used. Alternatively, the distal tip **118** and deflectable end portion **106** of the steerable catheter **100** is inserted into the patient, extended over the aortic arch **234** and prolapsed through the aortic valve **236** into the left ventricle **230**. The steerable catheter **100** can be guided into a selected position adjacent a selected surface **238**, in this case a portion of endocardium. As the actuator **156** is rotated, deflection of the deflectable portion **106** results in slight modification of the dimension of the elongated portion **110** of the catheter **100**, the modification compensated for by the differential screw mechanism of the present invention. Furthermore, a wall contact detection system provides wall contact and contact pressure information to the physician.

Thus, by sequential deflection the deflectable end portion **106** of the steerable catheter **100** and/or by rotation of the steerable catheter **100**, extending the distal end **128** of a laser delivery device **116** or other functional device therethrough, delivering laser energy or performing other therapy, visualization or diagnostic, and retracting the distal end **128** of the laser delivery device **116** or other functional device back into the deflectable end portion **106**, the steerable catheter **100** can treat a series of individual, selected treatment points **240** of tissue such as endocardium. Such treatment points **240** would typically be TMR channels or stimulation sites.

Alternatively, retro-lasing can be performed. This novel method includes the steps of advancing the distal tip **128** of laser delivery device **116** a selected distance into the myocardium and then delivering laser energy to create a TMR channel or other treatment site while simultaneously retracting the fiber, laser delivery device **116** or other functional device. With this procedure, with regard to TMR especially,

inasmuch as laser energy is only delivered during retraction of the fiber, the possibility of advancing the fiber too far and lasing through an epicardial surface is decreased, and the risks of complications arising from such epicardial perforations, including but not limited to cardiac tamponade (a buildup of pressure in the pericardial sac caused by the presence of an excess of fluid such as blood), proliferation of adhesions between the epicardium and the pericardial sac (thereby preventing normal, frictionless enclosure of the heart muscle within the pericardial sac), etc. are minimized.

The functional device or devices of the present invention includes those devices for treatment and diagnosis of affected organs, tissues or interiors or interior surfaces of the body, including devices configurable and extendable through one or more lumens within a steerable catheter, for example, energy delivery devices, such as laser optical fiber elements, with or without a piercing needle, laser wave guides, radio frequency tissue ablation devices, microwave cutters, ultrasound transmitters, mechanical coring devices, fluid jets, or drug delivery devices, with or without a piercing needle assembly.

Furthermore, adjunct use of ancillary drug delivery apparatus, blood seal device, depth stop apparatus such as clamps, bushings, etc., visualization device, marker device as well as other hardware and methodology will be considered within the scope of the present invention.

The alignment mechanism or tip alignment mechanism or automatic tip alignment mechanism of the steerable catheter can be any relative movement compensation mechanism, including, but not limited to, a screw mechanism, for example, a rotatable differential screw mechanism, gear, camming or threaded mechanism.

The present invention is intended for use with any medical laser. In particular, the Holmium or excimer laser is particularly suited to the present invention. However, any suitable laser source, pulsed or otherwise, could provide laser energy to the laser delivery device of the present invention for performing the method of the present invention. Likewise, the steerable catheter and equipment, including laser delivery device, referred to in the present document as well as that known and used in medicine and other disciplines today and in the future, will be included in the scope of this disclosure. Such laser delivery

devices include, but are not limited to, individual optical fibers as well as bundles of fibers with and without piercing tips and with or without firing tips or fiber ends having shaped or contoured end faces for selectively diverging the laser beam or other laser energy diverging device, rods, mirrors configurations and other laser delivery device with and without focusing lens and the like. It will also be understood that the steerable catheter and method of the present invention as described herein including the novel combination or use with of any conventional mechanism or method which are known to those skilled in the art, are included within the scope of this invention.

Furthermore, with regard to non-laser TMR, a cannula or trocar assembly may be extended into the tissue of the left ventricle, with or without use of a mechanical piercing tool.

For the purposes of the present invention and disclosure herein, the term "drug" or "drugs" includes any and all drugs and therapeutic agents for use or useable within or on the body, including, but not limited to the following, gene therapies, angiogenic agents, antibiotics, vaccines, function regulators, anti-arrhythmic drugs, growth factors, anticoagulant antagonists, anticoagulants, anti-fibrinolytics, platelet inhibitors, thrombolytics, antihistamines, anti-inflammatory agents, immuno-suppressives, receptor antagonists, adrenergic blockers, adrenergic stimulants, alpha/beta adrenergic blockers, angiotensin converting enzyme inhibitors, angiotensin II receptor antagonists, anti-arrhythmics Group I, Group II, Group III, Group IV, beta blockers, calcium channel blockers, diuretics, hypertensive emergency agents, angiogenic agents, FGF-1, FGF-2, EGF, Vascular Endothelial Growth Factor (VEGF) (preclinical), inotropic agents, patent ductus arteriosus therapy, Rauwolfia derivatives and combinations, vasodilators, vasopressors, adjuncts, androgen inhibitors, antibiotic derivatives, anti-estrogens, anti-metabolites, cytotoxic agents, enzyme inhibitors, hormones, immuno-modulators, nitrogen mustard derivatives, agents used in photodynamic therapy, such as photo-active or photo-labile compounds, and/or other materials for performing functions including flushing and cooling, stimulating other responses, detection, analysis, monitoring, visualization or control, etc., said solutions comprising waters, saline and the like, solid and semi-solid materials, and in any forms including capsules and granules,

implants, etc.

The present invention includes the delivery of liquid, solid or semi-solid, time release formulations, etc. It will be understood that there are additional drugs or therapeutic agents which may become useful, such as agents directed at bone or implanted in semi-permeable sacs, radioisotopes, and future gene therapies which are also included in the scope of this invention.

Active compounds which are given systemically have a normal therapeutic window which can be expressed as mg of drug per kg of body weight. The amount of agent which is therapeutically acceptable when administering a drug locally can be approximated as mg of drug per kg of target treatment area (e.g. organ weight), optimized accordingly with consideration of toxicity and mechanism of drug action. Agents delivered to a specific site can achieve high local concentrations at the delivery point. Optimal drug dose may scale differently when the drug is administered locally rather than systemically. Thus, the amount of a given agent that should be delivered in order to achieve a therapeutic effect must be optimized accordingly with consideration of toxicity levels (both locally and systemically), mechanism of drug action, drug clearance mechanisms, and drug diffusion levels.

It will further be understood that while the present invention has been described for performing TMR on endocardial surfaces in the left ventricle, the apparatus and methods described herein are equally intended for use in any suitable procedure, including but not limited to procedures, where any device need be extended through a guide catheter or the vasculature to an opening or other point within the body for other medical procedures including one or more of the following, laser treatment, drug delivery, visualization, biopsy, etc. "Stimulation", for example, is performed by using laser energy to create zones or pockets, optionally interconnected at least initially by small channels ablated through the tissue, for the introduction of blood born growth and healing factors and stimulated capillary growth surrounding the lased zones or pockets to create an increased supply of oxygen to the tissue and thus a revitalization of the heart muscle. Methods and apparatus for causing stimulation are more fully

described in co-pending U.S. Patent Application Serial No. 08/664,956 filed June 13, 1996, herein incorporated by reference.

While the principles of the invention have been made clear in illustrative embodiments, there will be immediately obvious to those skilled in the art many modifications of structure, arrangement, proportions, the elements, materials, and components used in the practice of the invention, and otherwise, which are particularly adapted to specific environments and operative requirements without departing from those principles. The appended claims are intended to cover and embrace any and all such modifications, with the limits only of the true spirit and scope of the invention.

We claim:

1. A steerable percutaneous catheter comprising:
 - a catheter jacket, having proximal and distal ends, and at least a first lumen;
 - at least a first functional device within the first lumen of the catheter jacket, the first functional device having proximal and distal ends;
 - a deflection mechanism at the proximal end of the catheter, the deflection mechanism operatively attached to a deflector device at the distal end of the catheter jacket, activation of the deflector device by movement of the deflection mechanism deflects the distal end of the catheter jacket and the functional device therein; and
 - a relative movement compensation mechanism for maintaining alignment between the catheter jacket and the functional device whereby movement of the deflection mechanism causes simultaneous movement of the relative movement compensation mechanism.
2. The catheter of claim 1 wherein the relative movement compensation mechanism comprises a groove portion on a deflection actuator which cooperates with a set of thread pins attached to a rotatable inner deflection knob, said inner deflection knob operatively coupled to the outer catheter jacket.
3. The catheter of claim 2 wherein the deflection mechanism comprises a thread portion on a handle which cooperates with a groove portion on the inner deflection knob.
4. The catheter of claim 3 further comprises a mechanism for limiting the rotational movement within the catheter including one or more detent members extending outward from a bushing member and engaging an actuator, radially coupled to the inner deflection knob.
5. The catheter of claim 4 wherein the clockwise rotation of the actuator effects corresponding rotation of the inner deflection knob and relative rotation of the handle and with the counter clockwise-rotation of the actuator the detent members deform and allow rotation of the actuator.
6. The catheter of claim 5 wherein the first functional device is attached to a coupling device, branched with at least a first and second arm, the first functional device is coupled through the first arm and advanceable in an inner tube, said inner tube attached to the handle.
7. The catheter of claim 6 further comprises a second functional device coupled through the second arm of the coupling device.
8. The catheter of claim 1 wherein the first functional device comprises a laser delivery device.
9. The catheter of claim 8 wherein the laser delivery device is an optical fiber.
10. The catheter of claim 8 wherein the laser delivery device is an optical fiber bundle.
11. The catheter of claim 7 wherein the second functional device comprises a fluid delivery apparatus.

12. The catheter of claim 11 wherein the second functional device comprises a drug delivery apparatus, having proximal and distal ends.
13. The catheter of claim 11 wherein the distal end of the drug delivery apparatus comprises a drug delivery needle.
14. The catheter of claim 9 further comprises the laser delivery device disposed within a drug conduit, having proximal and distal ends, the distal end of the drug conduit having a plurality of perforations.
15. The catheter of claim 11 wherein the fluid delivery device is attached to a fluid reservoir.
16. The catheter of claim 15 wherein the fluid reservoir contains at least one therapeutic agent.
17. The catheter of claim 16 wherein the therapeutic agent is an angiogenesis agent.
18. The catheter of claim 15 wherein the fluid reservoir contains saline.
19. The catheter of claim 15 further comprises a surface contact detection mechanism wherein the fluid reservoir is maintained at a height above an operational height and further comprises a pump member, for pumping the fluid from the fluid reservoir through the catheter and out the distal end of the catheter jacket.
20. The catheter of claim 19 wherein the surface contact detection mechanism further comprises a flow restrictor, attached between the branched arm of the fitting device and the fluid reservoir, and a pressure sensor, attached between the flow restrictor and the branched arm of the fitting device.
21. The catheter of claim 1 further comprises an operative device.
22. The catheter of claim 21 wherein the operative device is an ultrasound ranging device.
23. The catheter of claim 4 further comprises a depth stop threaded to external helical threads of a back tube, slidably disposed in the handle.
24. The catheter of claim 4 further comprises a sealing member between the back tube and a front tube.
25. The catheter of claim 4 wherein the deflection device comprises a pull cable, having proximal and distal ends, the distal end of the pull cable attached to the distal end of the catheter jacket and extended through a pull cable guide within an anchor sleeve, the anchor sleeve coupled to the distal end of the inner tube and attached to the catheter jacket, the pull cable further extended through the catheter base and the deflection actuator and attached proximally to a pull cable stop, at the proximal end of the deflection actuator.
26. The catheter of claim 25 wherein the deflection device further comprises a shim, having proximal and distal ends, the proximal end attached to the anchor sleeve and a spring and the distal end of the shim attached to the distal end of the catheter jacket.
27. A method of treatment within a body using a steerable percutaneous catheter, the steps of the method comprising:

- a) providing a steerable percutaneous catheter that includes, a catheter jacket having proximal and distal ends, at least a first functional device disposed within the catheter jacket, the functional device having proximal and distal ends, a deflection mechanism at the proximal end of the catheter jacket causing deflection of the distal end of the catheter jacket and a relative movement compensation mechanism for maintaining alignment between the catheter jacket and the functional device attached to the relative compensation mechanism;
 - b) positioning the catheter for performance of at least a first procedure in the body;
 - c) deflecting the distal end of the catheter jacket with movement of the deflection mechanism causing simultaneous compensating movement of the relative movement compensation mechanism; and
 - d) effectuating treatment.
28. The method of claim 27 wherein the first procedure is PTMR, the first functional device is a laser delivery device, the catheter is positioned within the left ventricle and the treatment is the creation of channels in the myocardium.
29. The method of claim 28 further including a second procedure, a drug delivery procedure, a second functional device, a drug delivery device, and the treatment further includes delivery of one or more therapeutic agents to the treatment site in the myocardium.
30. The method of claim 28 further including a second procedure, a stimulation procedure, and the treatment further includes creation of stimulation zones within the myocardium.
31. The method of claim 29 further including a third procedure, a stimulation procedure, with treatment further including creation of stimulation zones within the myocardium.
32. The method of claim 27 wherein the procedure is stimulation, the first functional device is a laser delivery device, the catheter is positioned within the left ventricle and the treatment is the creation of stimulation zones in the myocardium.
33. The method of claim 32 further including a second procedure, a drug delivery procedure, and a second functional device, a drug delivery device, and the treatment further includes delivery of one or more therapeutic agents to the treatment site in the myocardium.
34. The method of claim 27 further including in step a) a fluid reservoir attached to the catheter and said fluid reservoir maintained at a height above an operational height, and a pump member, for pumping the fluid from the fluid reservoir through the catheter and out the distal end of the catheter jacket, and prior to step d) the steps of setting a flow rate for pumping fluid, and monitoring the flow rate to determine contact with a body surface, when the distal end of the catheter is in direct contact with a body surface the flow rate decreased.

35. The method of claim 34 further comprising in step a) a flow restrictor, attached between the catheter and the fluid reservoir, and a pressure sensor, attached between the flow restrictor and the catheter, wherein when fluid is flowing the pressure in the fluid reservoir is greater than pressure at the pressure sensor, and when the distal end of the catheter is in contact with a body surface the pressure will increase.
36. The method of claim 27 step b) further including a guide wire for advancing the device through the vasculature and into position within the body.

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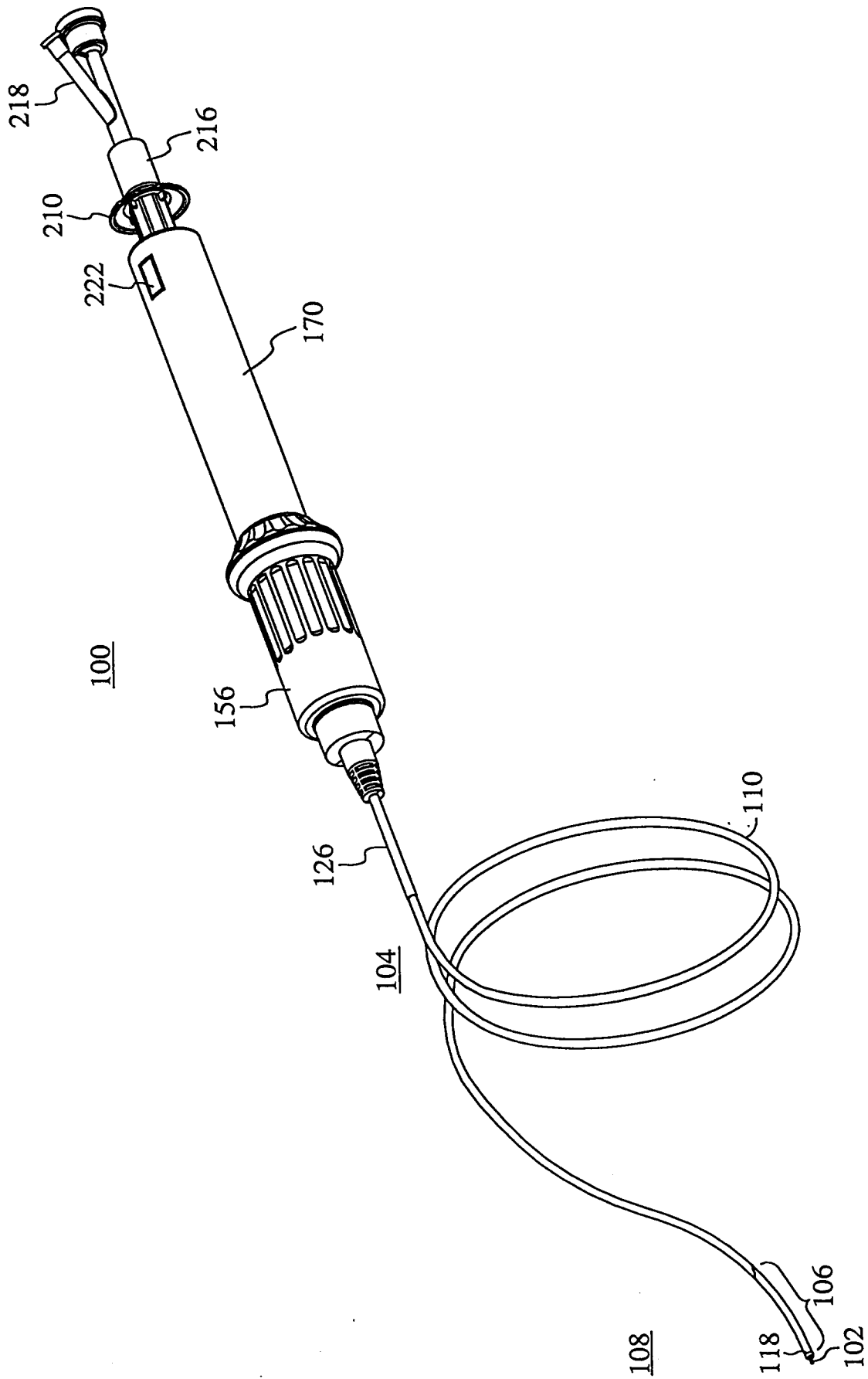


FIG. 1

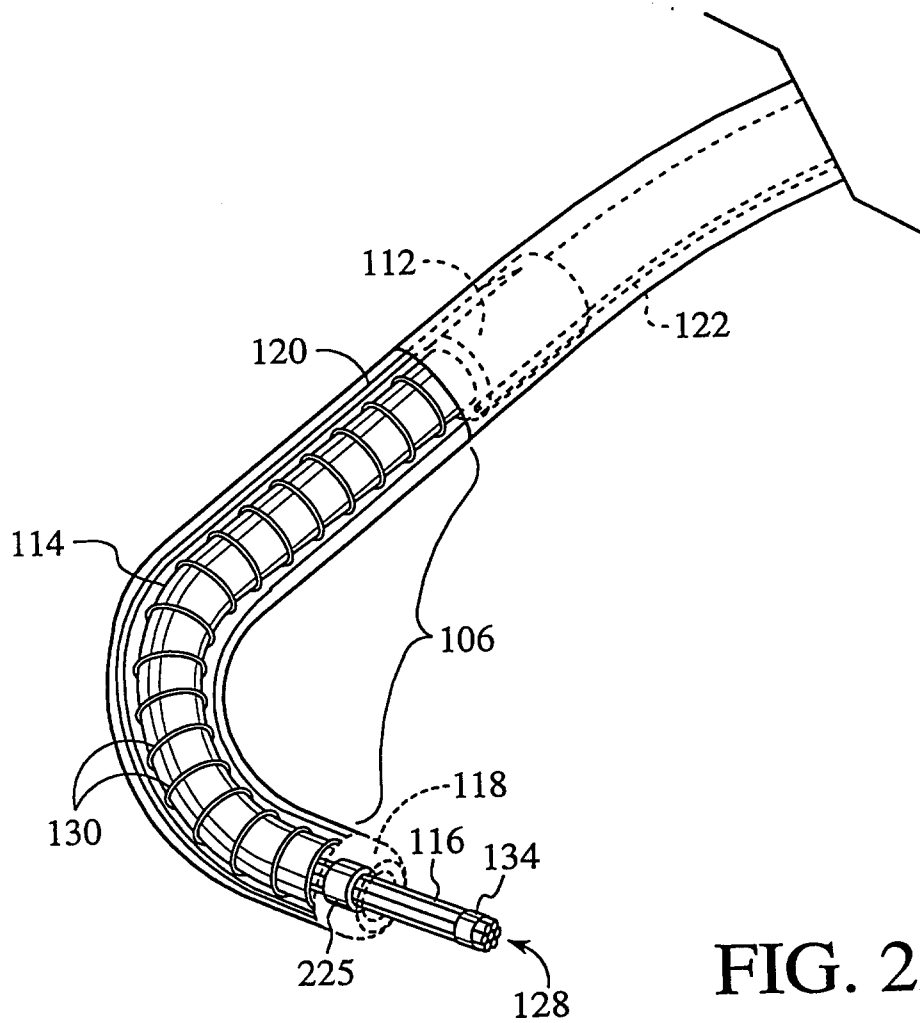


FIG. 2A

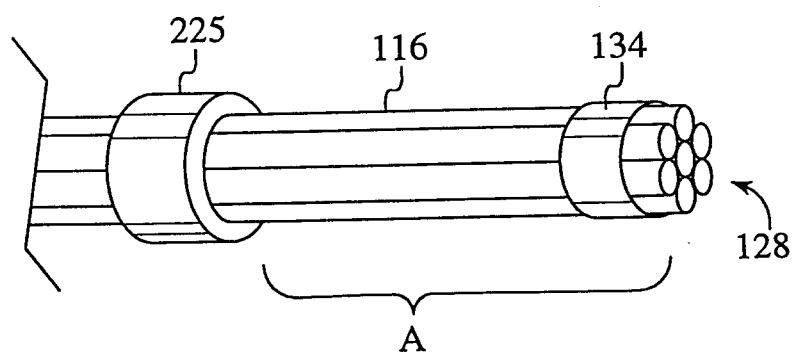


FIG. 2B

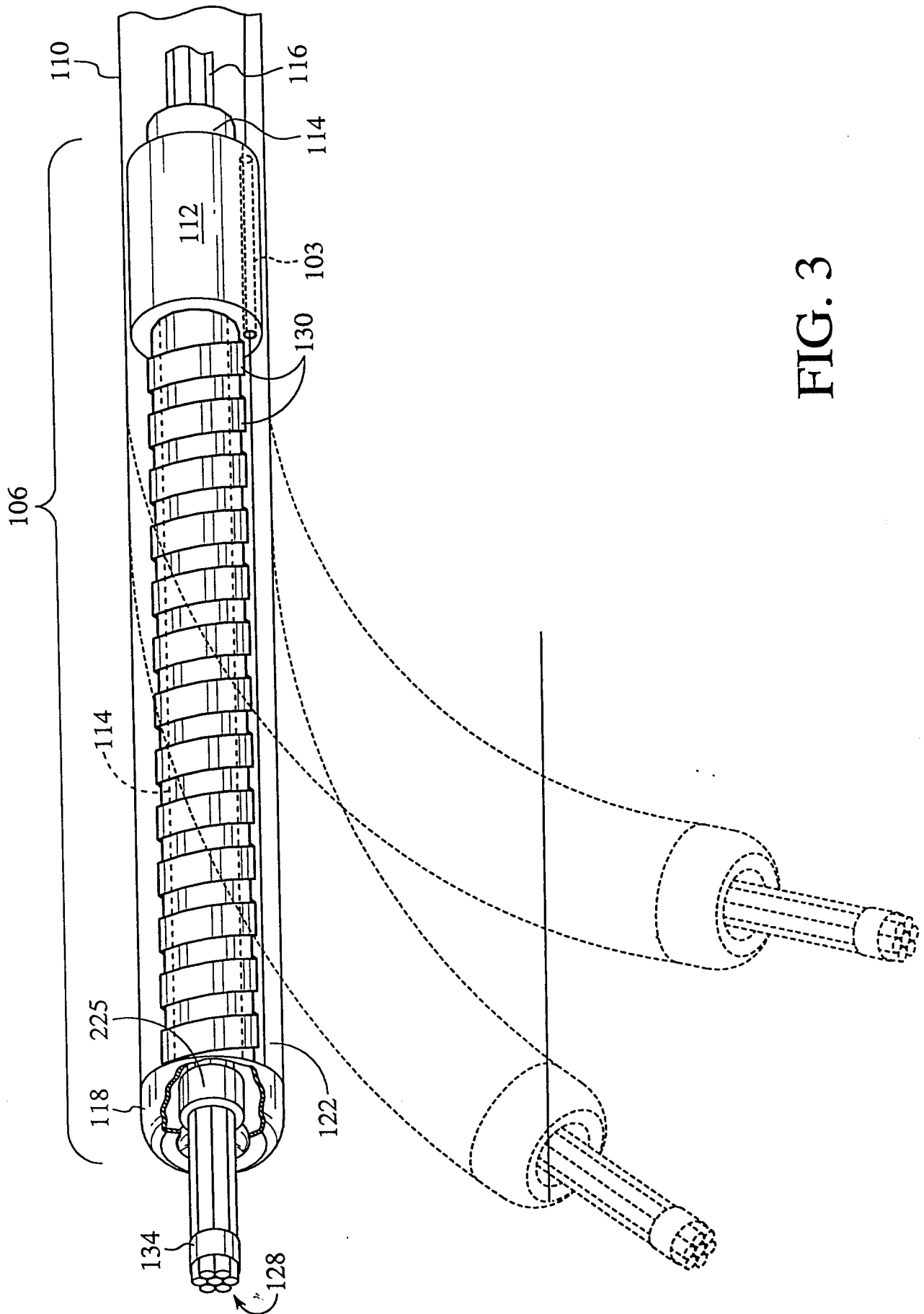


FIG. 3

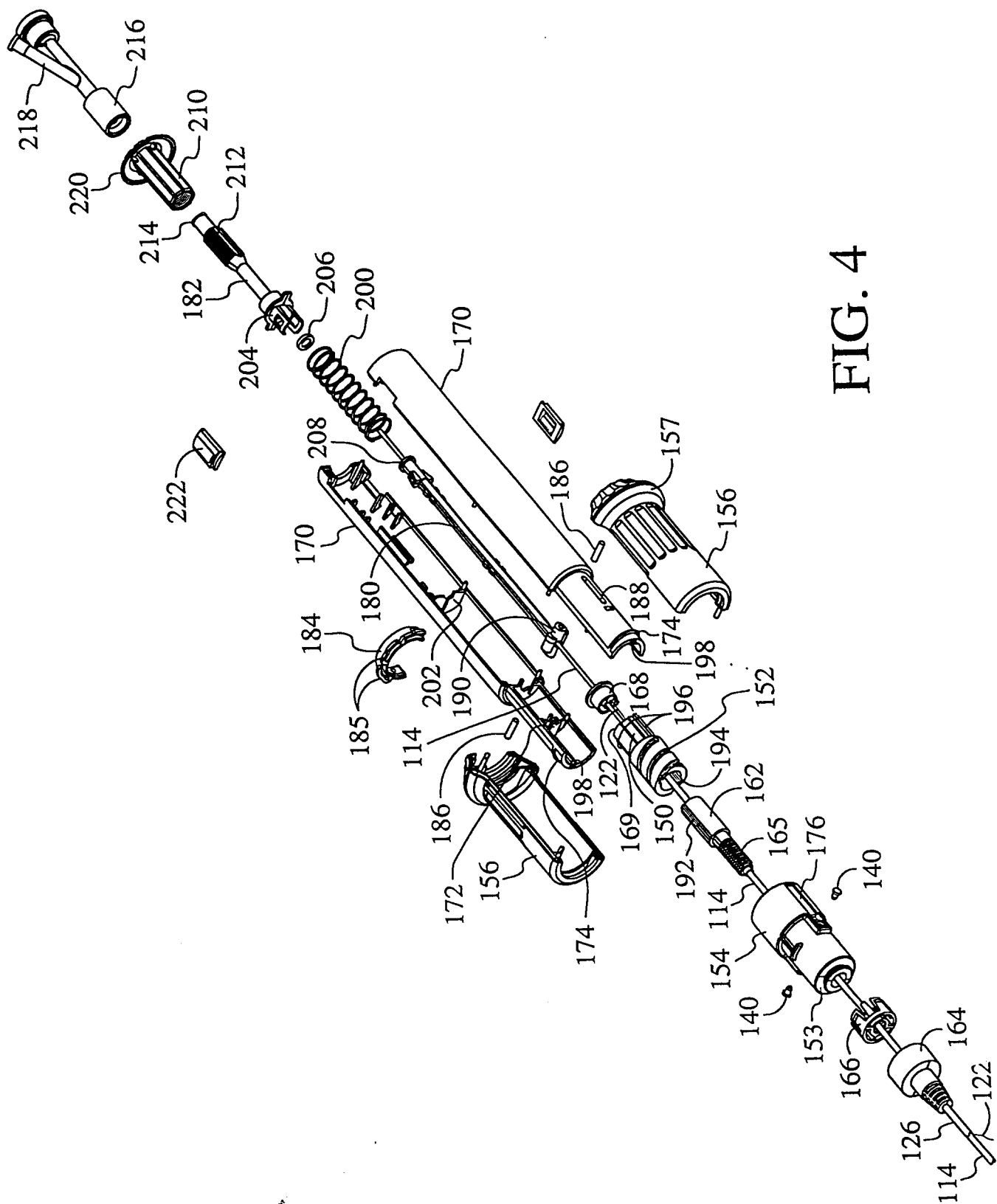


FIG. 4

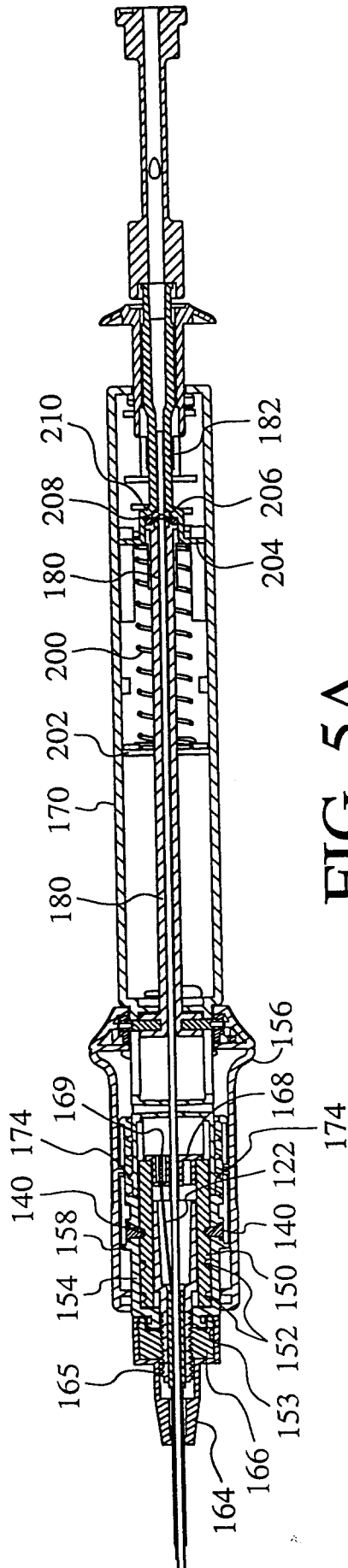


FIG. 5A

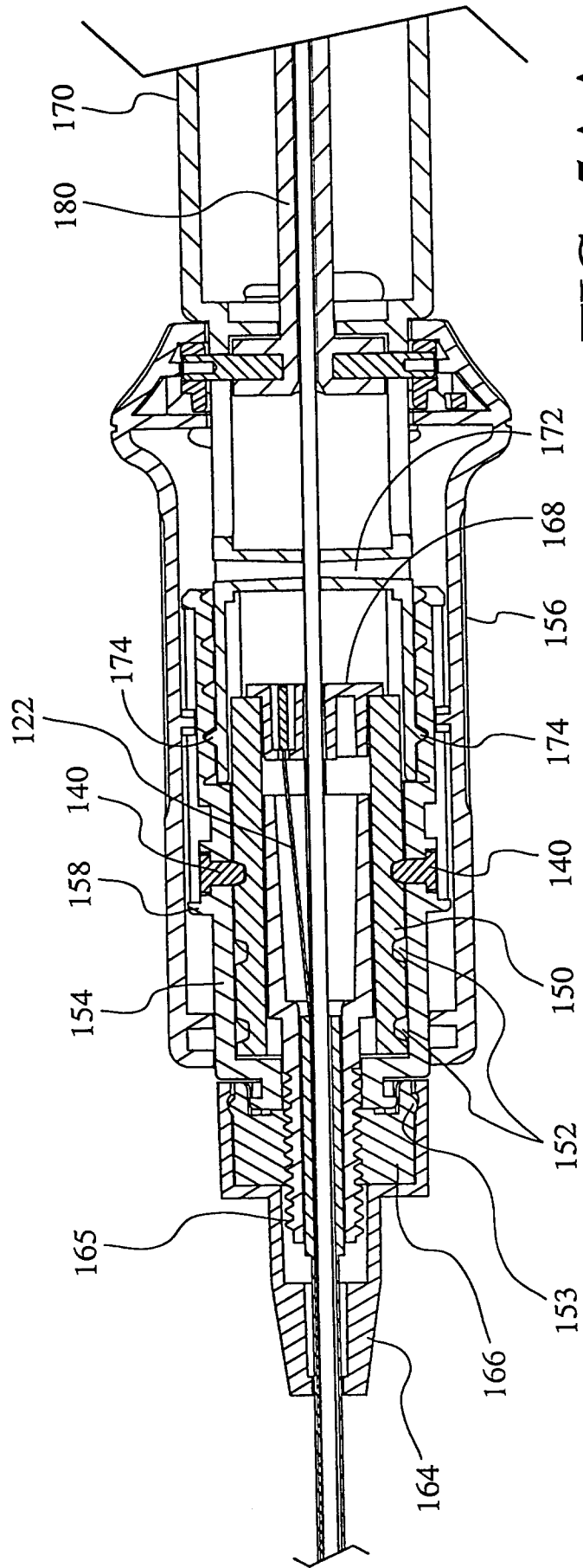


FIG. 5AA

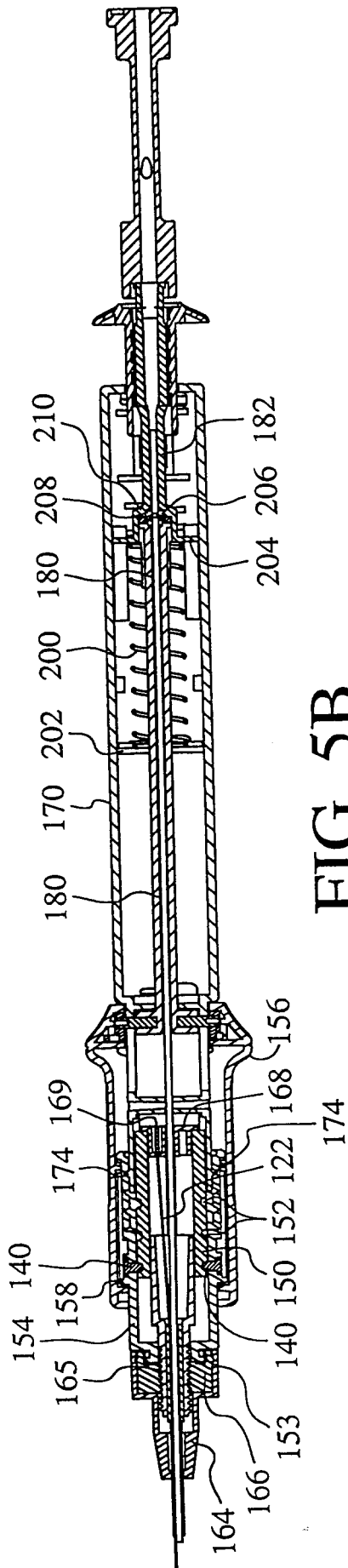


FIG. 5B

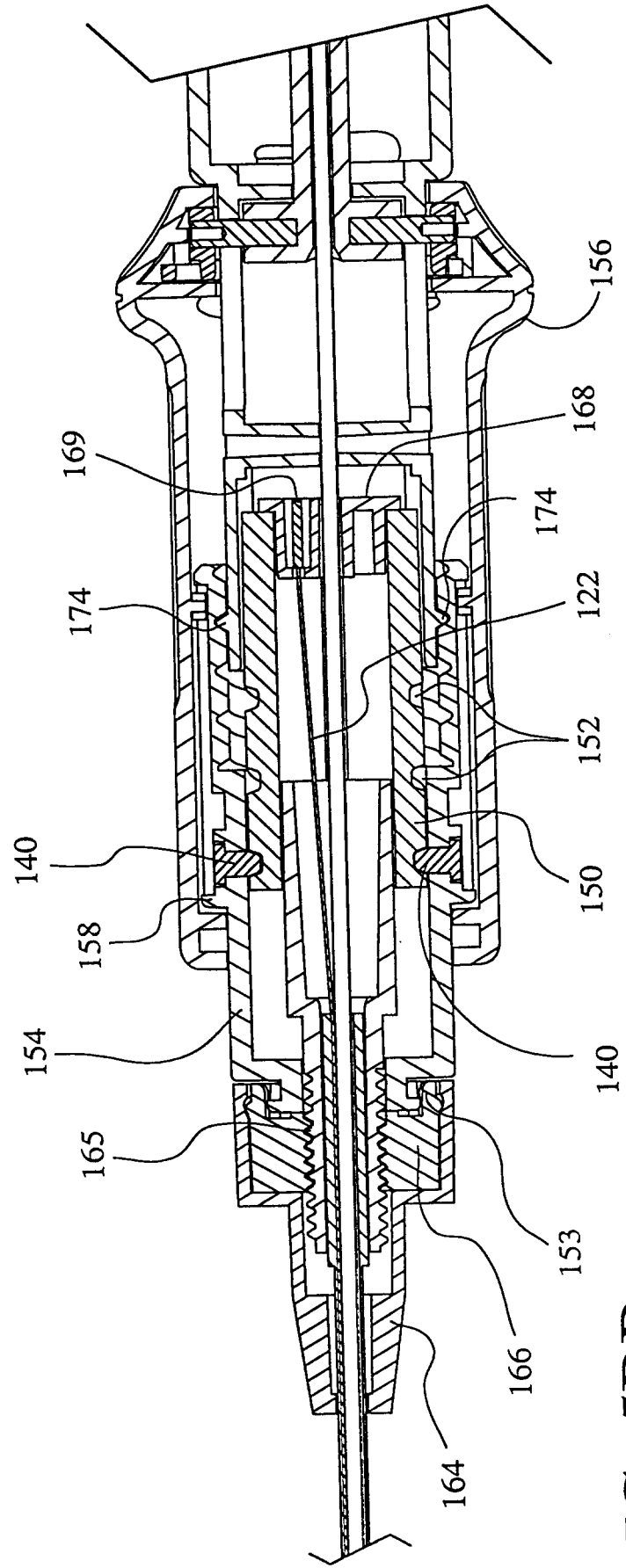
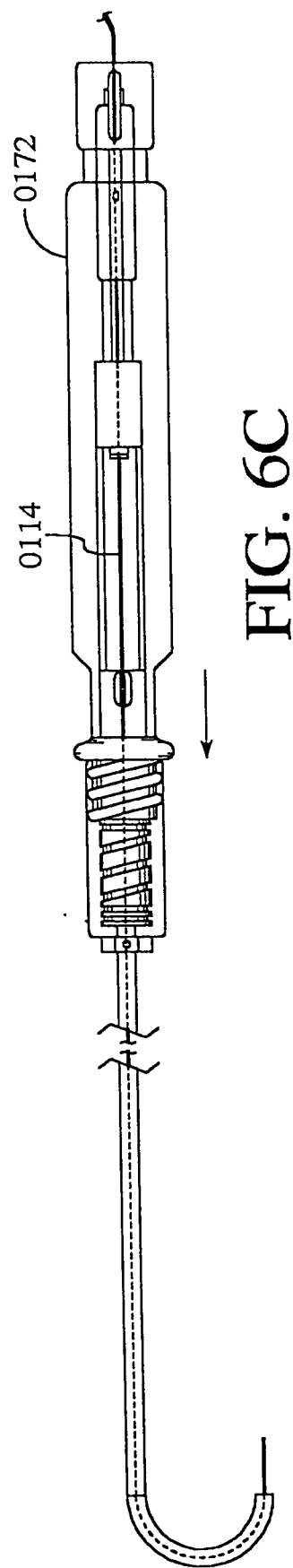
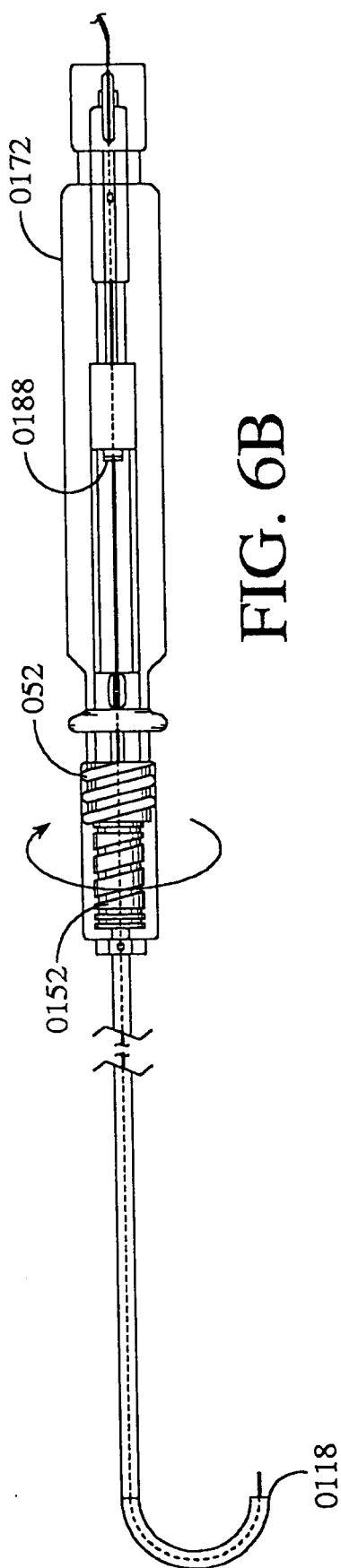
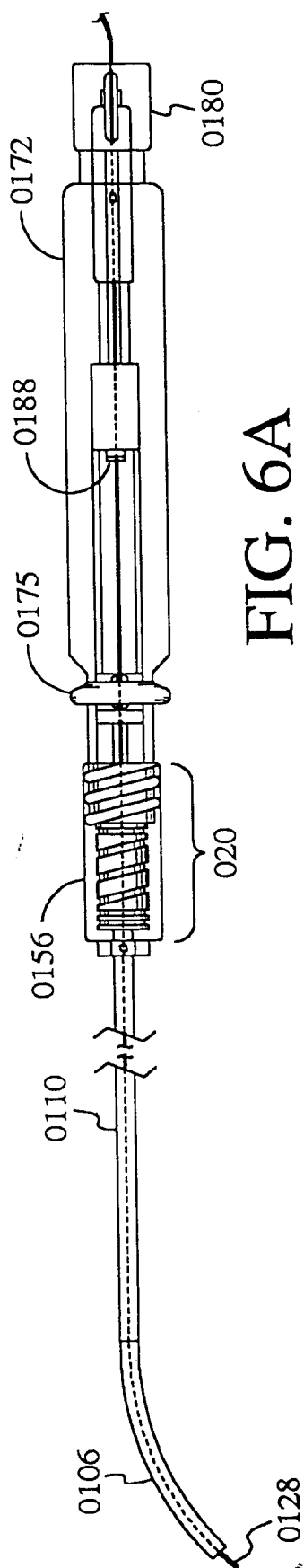
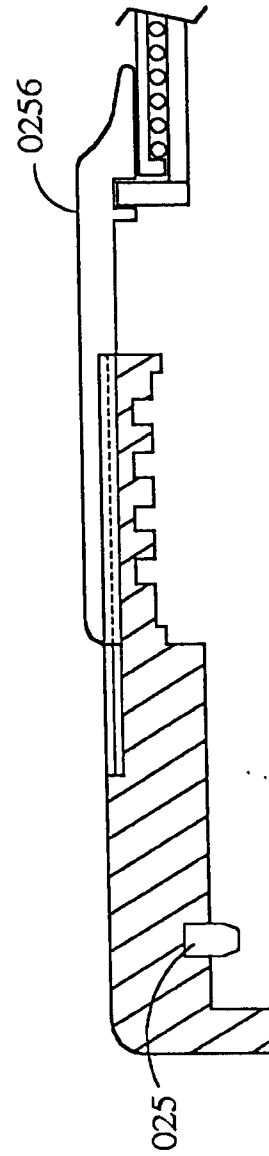
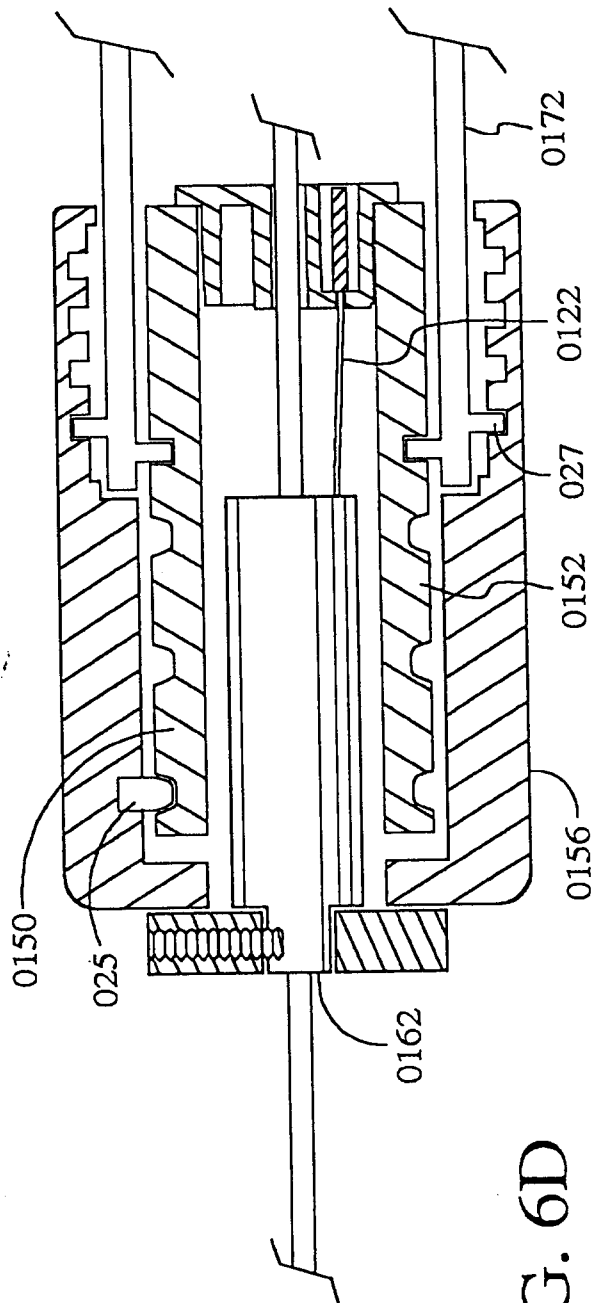
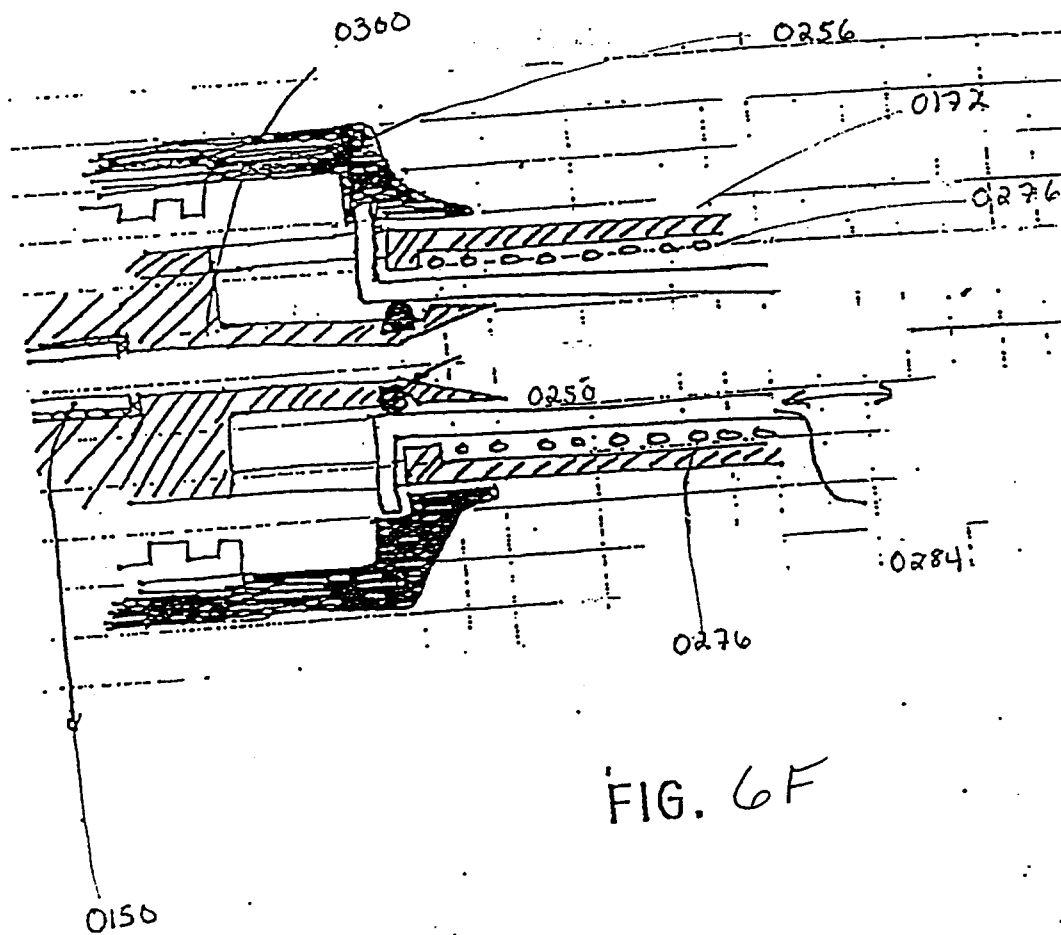


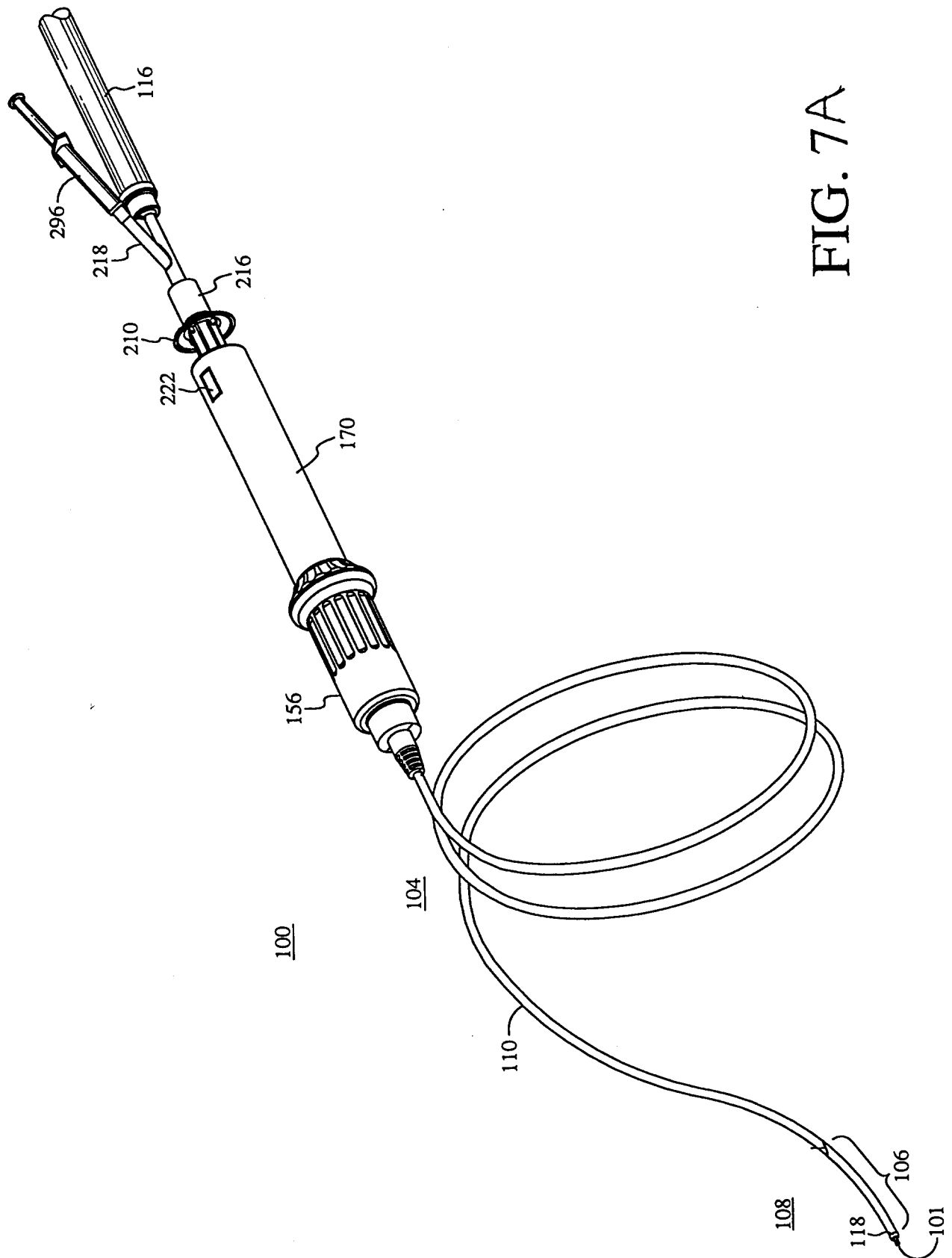
FIG. 5BB

7/12









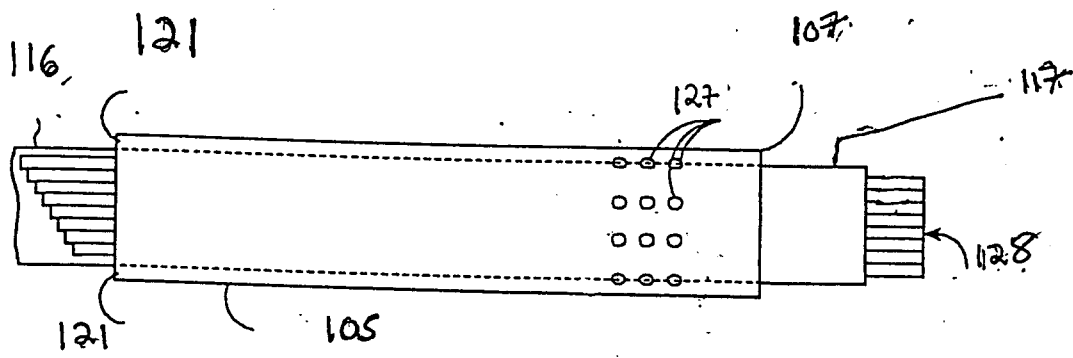


FIG. 7B

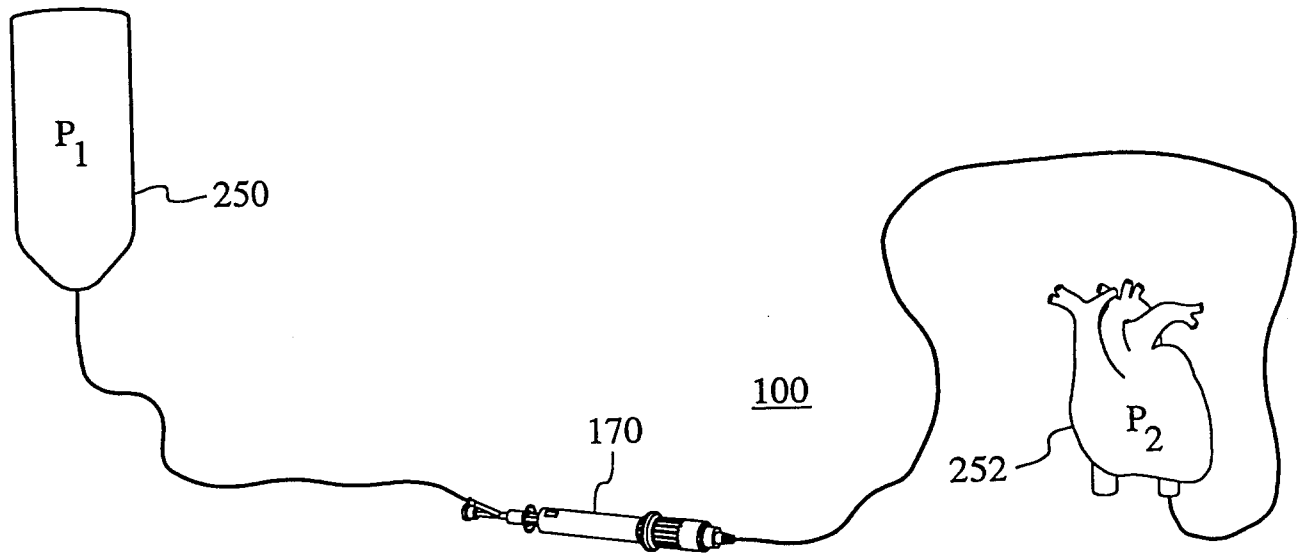


FIG. 8A

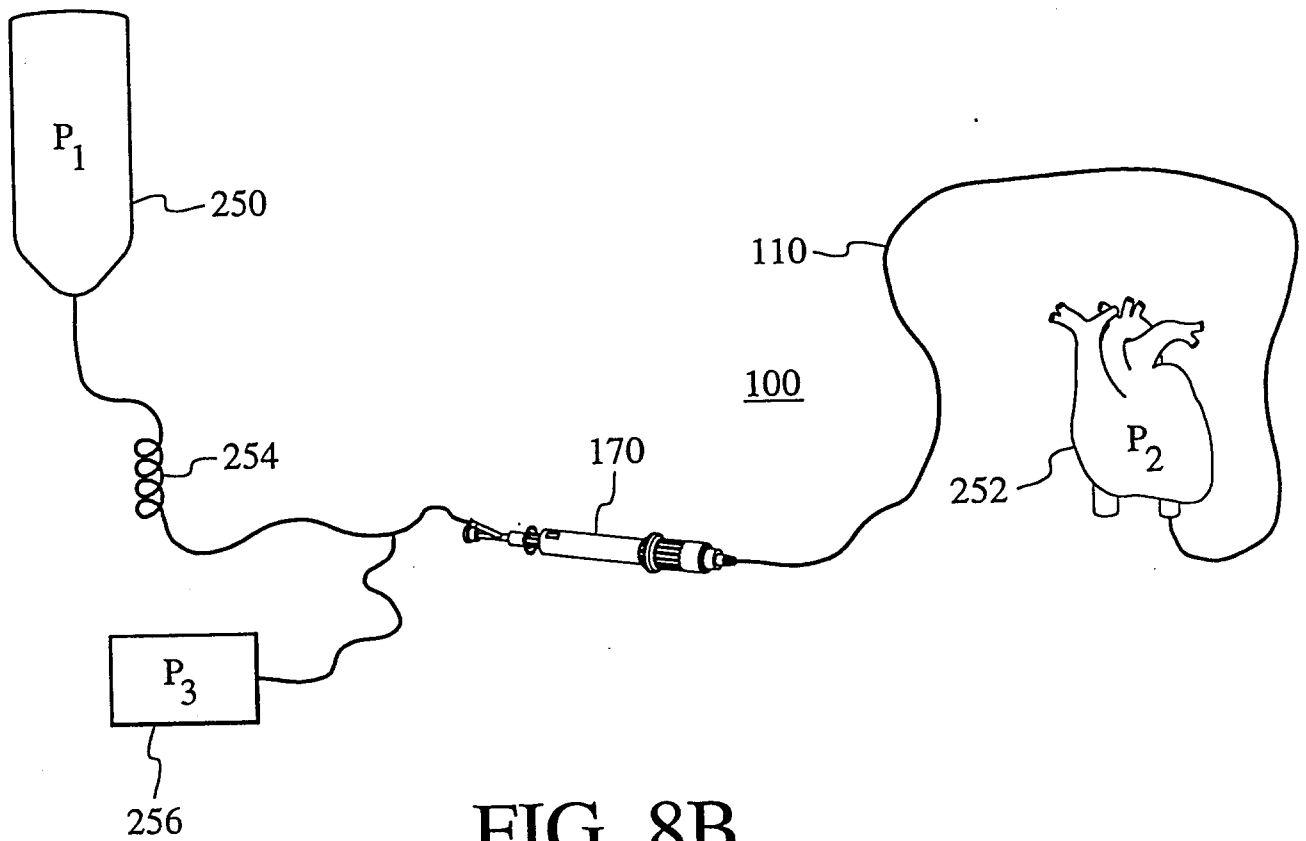


FIG. 8B

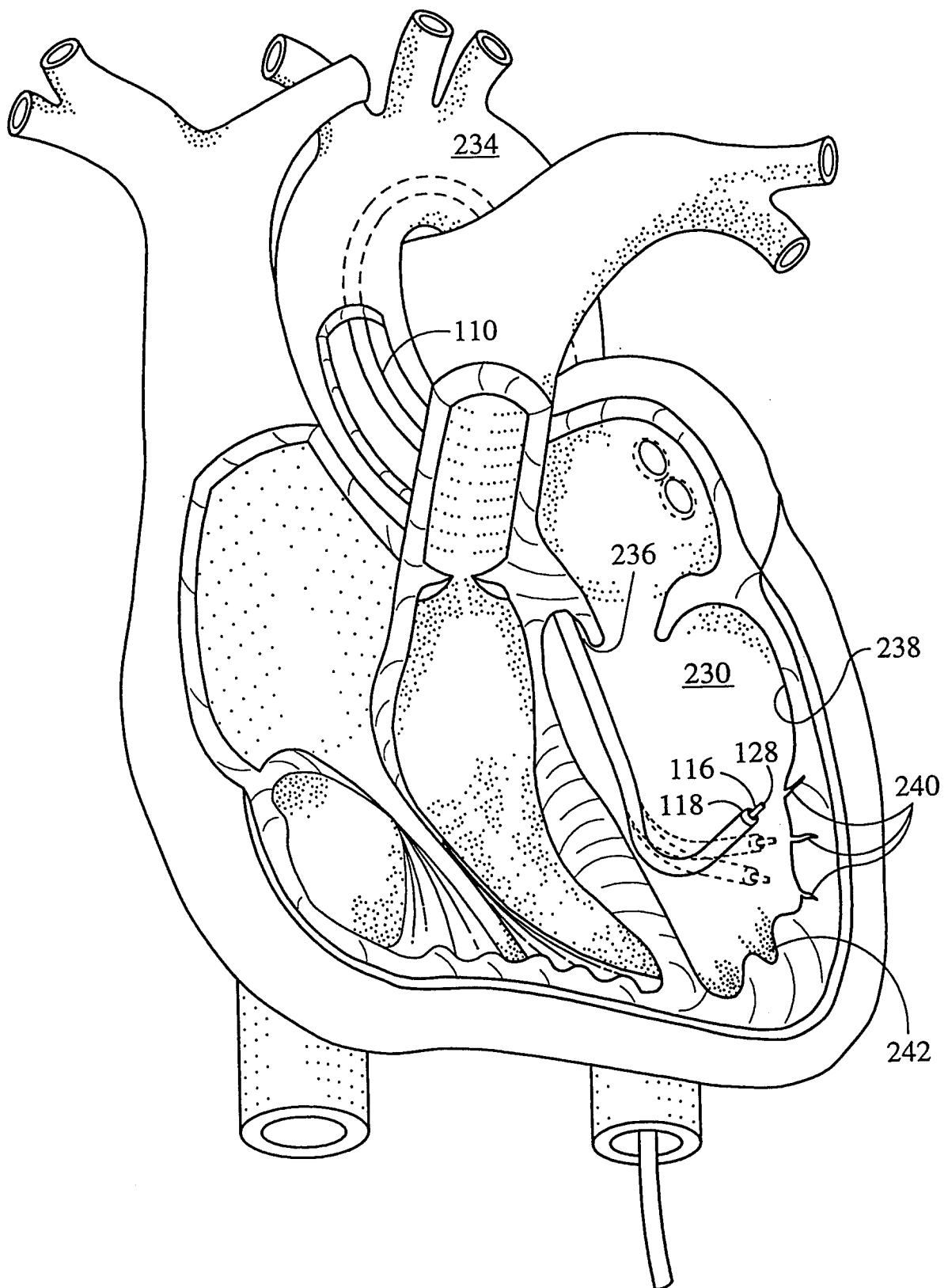


FIG. 9

