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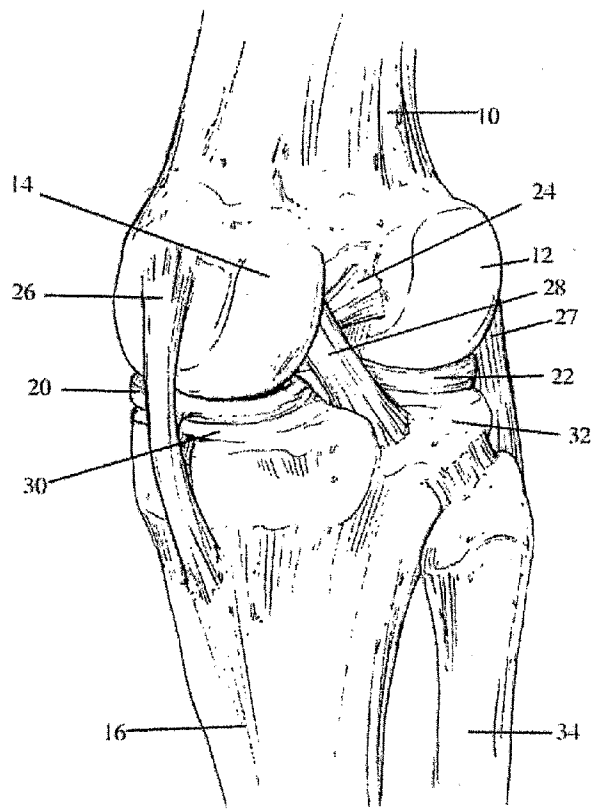
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(54) Title: APPARATUS AND METHOD FOR SCULPTING THE SURFACE OF A JOINT



(57) Abstract: The present invention provides a method and device for restoring individual patient joint kinematics using minimally invasive surgical procedures. The instrumentation of the invention sculpts the articular surface of a first bone that normally articulates in a predetermined manner with a second bone. The instrumentation includes a bone sculpting tool and a mount for attaching the tool to the second bone. The implant system is comprised of implants that provide intraoperative surgical options for articular constraint and facilitate proper alignment and orientation of the joint to restore kinematics as defined by the individual patient anatomy.

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5 surfaces of the bony structures work in concert with the soft tissue
structures to form a mechanism that defines the envelop of motion
between the structures. Within a typical envelop of motion, the bony
structures move in a predetermined pattern with respect to one another,
generally referred to as joint kinematics. When fully articulated, the motion
10 defines a total envelop of motion between the bony structures. In the
knee, the soft tissue structures spanning the joint in combination with
articular geometry tend to stabilize the knee from excessive translation in
the joint plane defined by the tibiofemoral joint. Such tibiofemoral stability
enables the femur and tibia to slide and rotate on one another in an orderly
15 or predetermined fashion. Similarly, the soft tissue structures of the joint
capsule, the patellar ligament and the quadriceps tendon in combination
with articular geometry tend to stabilize the patellofemoral joint from
excessive mediolateral translation.

Current methods of preparing the intra-articular rigid elements of a joint to
20 receive components as in joint replacement surgery involve an extensive
surgical exposure. The surgical exposure, ligament release and sacrifice
of the anterior cruciate ligament must be sufficient to permit the
introduction of guides that are placed on, in, or attach to the joint, along
with cutting blocks to guide the use of saws, burrs and other milling
25 devices, and other instruments for cutting or removing cartilage and bone
that subsequently is replaced with artificial surfaces. For knee joint
replacement, the distal end of the femur may be sculpted to have flat
anterior and posterior surfaces generally parallel to the length of the femur,
a flat end surface generally normal to the anterior and posterior surfaces,
30 and angled flat surfaces joining the above mentioned surfaces, all for the
purpose of receiving a prosthetic device. In general these are referred to
as the anterior, posterior, and distal and chamfer cuts, respectively.

In current total knee arthroplasty proper knee alignment is attained by
preoperative planning and x-ray templating. Anterior-posterior (A/P) and
35 lateral x-ray views are taken of the knee in full extension. The mechanical
axis of the tibia and of the femur is marked on the A/P x-ray. The angle

5 between these lines is the angle of varus/valgus deformity to be corrected. In the A/P view, the angle of the distal femoral resection is established relative to the femoral mechanical axis, hence the angle of the femoral implant is predetermined relative to the femur per the surgical technique for a given implant system. Similarly, the angle of the tibial resection is established relative to the tibial mechanical axis, hence the angle of the tibial implant is predetermined relative to the tibia per the surgical technique for a given implant system. The femoral resection guides are aligned on the femur to position the distal femoral resection relative to the femoral mechanical axis and the tibial resection guides are aligned on the tibia to position the proximal tibial resection relative to the tibial mechanical axis. If the cuts are made accurately, the femoral mechanical axis and the tibial mechanical axis will be properly aligned in the A/P view. For the patella, in general a planar resection is made at the articular margin; aligning the resection relative to the patella. This approach addresses knee alignment at full extension only. Knee alignment at 90° of flexion is generally left to surgeon judgment and knee alignment throughout the range of motion has not been addressed in the past. In aligning the knee at 90° the surgeon rotates the femoral component about the femoral mechanical axis to a position believed to provide proper tensioning of the ligaments spanning the knee.

Knee joint prosthesis of the type referred to above are well known, and are described, for example, in Caspari et. al., U.S. patents 5,171,244, 5,171,276 and 5,336,266, Brown, U.S. patent 4,892,547, Burstein et al., U.S. patent 4,298,992, and Insall et. al., U.S. patent 6,068,658.

30 Substantial effort has been made to provide appropriate degrees of curvature to the condyles in knee joint replacement. For example, the earlier mentioned U.S. patents 5,171,276, 4,298,992 and 6,068,658 show that the radius of curvature in the anterior-posterior direction of the condyle of a femoral prosthesis may be somewhat greater near the anterior portion of the condyle than near the posterior portion. Kester et al., U.S. Patent 5,824,100 teaches that a portion of this curvature of the condyle may be

5 formed about a constant radius having its origin along a line between the lateral and medial collateral ligament attachment points on the femur.

Historically, a variety of modular prosthetic joint implants have been developed. The following descriptions of modular implants relate specifically to the knee. Early designs for knee implants, called polycentric
10 knee implants, were developed with separate components for the femoral and tibial surfaces of the medial and lateral tibiofemoral compartments. In this implant the patellofemoral compartment was not resurfaced. Orientating the separate components one to another, for example aligning the medial and lateral femoral components to one another, or the medial
15 and lateral tibial components to one another, was not addressed in these designs and often left for the surgeon to make free hand resections resulting in a surgically challenging procedure. Designs emerged, such as the UCI and Gustilo knees in which the femoral condylar components were connected into an integral, unitary component as were the tibial
20 components. The next advancement in total knee implant design was to include the patellofemoral joint by making an integral, unitary femoral component to resurface the medial and lateral femoral condyles and the femoral trochlea, commonly called the patellar groove. Implants to resurface the patella were developed in conjunction with the tri-
25 compartmental femoral components. Additionally, modular fixed-bearing knee implants, generally referred to as semi-constrained, having a polyethylene insert that is held relatively rigidly in place have been developed. Translation and axial rotation between the tibia and femur that occurs naturally with knee motion is accommodated in these designs by
30 non-conforming tibiofemoral contact for the medial and lateral condyles. Such designs tend to have higher contact pressure which may accelerate wear and degradation of the polyethylene bearing surface. Alternately, there are mobile bearing knee implants wherein the polyethylene bearing is structured to slide or move with minimal or no constraint on a tibial
35 baseplate. These mobile bearing designs have high conformity between the polyethylene insert and femoral condyle and the polyethylene insert and tibial baseplate resulting in lower contact stresses and a more durable

5 design. Furthermore, both meniscal bearing and fixed bearing knee
implants have been developed including either separate polyethylene
bearings in each of the medial and lateral tibiofemoral compartments, or a
single polyethylene bearing spanning the medial and lateral tibiofemoral
10 systems have been developed with fixed bearing elements or mobile
bearing elements on the medial and lateral sides of the tibiofemoral joint,
systems have not been developed having a combination of a fixed bearing
on one side and a mobile bearing on the other side of the tibiofemoral
joint.

15 Two primary difficulties exist with current joint replacement surgeries.
These relate to the invasiveness of the procedure and achieving proper
alignment and kinematics of the bony structures and the prostheses
thereupon. Such difficulties are present in all total joint replacements,
including but not limited to ankle, knee, hip, shoulder, wrist and finger. As
20 well as in spinal disc replacement, nucleus replacement, facet joint
replacement, or combinations thereof.

Alignment. A difficulty with implanting both modular and non-modular
knee implants having either separate femoral and/or tibial components has
been achieving a correct relationship between the components. Surgical
25 instruments available to date have not provided trouble free use in
implanting multi-part implants wherein the distal femur, proximal tibia and
posterior patella are prepared for precise component-to-component
orientation. While alignment guides aid in accurate orientation of opposing
components relative to the axis of the long bones to achieve a restoration
30 of a correct tibiofemoral varus/valgus alignment (usually 4-7 degrees
valgus), they provide limited positioning or guidance relevant to correct
subcomponent-to-subcomponent alignment in placing a plurality of
components to form the articular surface of a femoral component or a tibial
component. Such instrumentation references the bone on which it is
35 placed and does not account for nor attempt to address ligament tension
to restore soft tissue balance in a properly aligned total knee. Rather,

5 such instrumentation relies on the surgeon to release ligaments and soft
tissue structures to balance the knee and to accommodate positioning of
the implants. For the patellofemoral joint, proper tibiofemoral alignment is
required to re-establish proper tracking of the patella as created by the
lateral pull of the quadriceps mechanism, the articular surface of the
10 femoral patellar groove and maintaining the tibiofemoral joint line.

While surgical instruments available to date aid in accurate varus/valgus
alignment, they provide limited positioning or guidance relevant to correct
flexion/extension orientation of the femoral, posterior slope of tibial
components, nor of external rotation of the femoral component. For
15 optimum knee kinematics, femoral component flexion/extension and
external rotation orientation, tibial component posterior slope and
ligaments spanning the joint work in concert maintaining soft tissue
balance throughout the knee's range of motion.

In a properly aligned knee, the mechanical axis of the leg (a straight line
20 drawn from the center of the hip joint to the center of the ankle) passes
slightly medial to the center of the knee. This alignment is generally called
the gross alignment of the leg. The alignment of the implants impacts the
gross alignment of the leg. If the implants are malaligned, the resulting
mechanical axis may be shifted medially or laterally, resulting in an
25 imbalance in the loads carried by the medial or lateral condyles. This
imbalance, if severe, may lead to early failure of the arthroplasty.

In the case of a plurality of sub-components resurfacing the distal femur or
proximal tibia the orientation of the sub-components to each other, for
example the orientation of the medial femoral condylar sub-component to
30 the femoral trochlear sub-component or to the lateral femoral condylar
sub-component has largely not been addressed. Similarly for the tibial
implant, orientation of the medial tibial sub-component to an independent
lateral tibial sub-component has largely not been addressed. Moreover,
orientation of the femoral component to the corresponding tibial
35 component, whether with free standing uni-compartmental, bi-

5 compartmental and/or tri-compartmental implants has largely not been
addressed. This may account for the high failure rates in the surgical
application of free standing compartmental replacements, used individually
or in combination, and as well as for the higher failure rate of uni-
10 compartmental implants relative to total knee implants as demonstrated in
some clinical studies. When considering uni-compartmental designs the
implant must be properly aligned and oriented with the ipsilateral condyle
to maintain soft tissue structures spanning the knee in proper kinematic
balance. Similarly, when considering bi-compartmental designs, alignment
and orientation of each femoral sub-component to the other, or of each
15 tibial sub-component to the other, is critical to maintain soft tissue
structures spanning the knee in proper kinematic balance. In both case,
as in the case of a tri-compartmental knee implant, proper sub-component
to sub-component alignment and orientation is critical to avoid accelerated
wear resulting from mal-articulation of the components.

20 Although various prosthetic devices have been successfully used with
patients, the configuration and position of the articulating surfaces of the
prosthesis, for example the condyles in a knee joint, are predetermined
based upon the prosthesis that is selected. With a given knee implant
system the implants are available in discrete sizes and the relationship, for
25 example the ratio between medial-lateral width and anterior-posterior
depth, vary between implant systems. While efforts are made to tailor the
prosthesis to the needs of each patient by suitable prosthesis choice and
size, this in fact is problematical inasmuch as the joint physiology of
patients can vary substantially from one patient to another.

30 **Invasiveness.** In order to appropriately sculpt the articulating surface of a
bone, it is often necessary to surgically expose the joint. In the case of the
femur in traditional knee joint replacement, the patellar tendon of the knee
joint is surgically exposed and is moved to one side of the joint and the
patella everted to enable a substantially full anterior access to the joint. In
35 general, the anterior cruciate ligament is excised to increase access to the
joint space. Surgical exposure is necessary to accommodate the bulk and

5 geometry of the components as well as the instruments for bone
preparation. Such surgical exposure and ligament release or excision
increases bleeding, pain, muscle inhibition and adverse kinematics; all of
which contribute to a longer hospitalization before the patient can be safely
10 discharged to home or an intermediate care facility. Altered kinematics
can reduce a patient's confidence in the knee's ability to perform
demanding tasks, and at times tasks of daily living, to the point of
significantly limiting lifestyle and activity level.

Desirably, in the case of knee replacement surgery, neither the collateral
ligaments nor the cruciate ligaments are disturbed, although it is often
15 necessary to remove or release cruciate ligaments in the event a
substantial joint replacement is to be performed. Collateral ligaments can
be partially taken down or released to provide appropriate tension
adjustment to the patient's knee in concert with joint replacement surgery.
In most instances, such releases can be accomplished through smaller
20 incisions than the standard midline or medial parapatellar incisions
historically used for knee arthroplasty.

For patients who require articular surface replacement, including patients
whose joints are not so damaged or diseased as to require whole joint
replacement, the implant systems available for the knee have unitary tri-
25 compartmental femoral components, unitary tibial components, unitary
patellar components and instrumentation that require extensive surgical
exposure to perform the procedure. It would be desirable to provide
surgical methods and apparatuses that may be employed to gain surgical
access to articulating joint surfaces, to appropriately prepare the bony
30 structures, to provide artificial, e.g., metal, plastic, ceramic, or other
suitable material for an implant or articular bearing surface, and to close
the surgical site, all without substantial damage or trauma to associated
muscles, ligaments or tendons, without extensive distraction of the joint,
and without disruption of the patient's normal kinematics. To attain this
35 goal, implants and instruments are required to provide a system and
method to enable articulating surfaces of the joints to be appropriately

- 5 sculpted using minimally invasive apparatuses and procedures and to replace the articular surfaces with implants suitable for insertion through small incisions, assembly within the confines of the joint cavity and conforming to prepared bone support surfaces.

SUMMARY OF THE INVENTION

- 10 The present invention provides a system and method for total joint replacement that is to resurface each bony surface of the joint or motion segment that involves minimally invasive surgical procedures including an implant system that restores individual patient's joint kinematics. A feature of the invention is engaging or joining the plurality of sub-components
- 15 comprised in an implant system, for example a knee implant system. Another feature of the invention is instrumentation to simplify accurate and repeatable placement of the plurality of sub-components comprised in an implant system. As used herein, the following terms have the following definitions.
- 20 Minimally invasive or less invasive – For the purposes of the current invention as applied to knee arthroplasty an incision for conventional total knee arthroplasty is defined as being generally greater than 6 inches in length. An incision for minimally and less invasive knee arthroplasty is defined as being generally less than 6 inches in length.
- 25 Engage – For the purposes of the current invention engage pertains to 1) engagement of sub-components of an implant to form the implant, and 2) engagement of implant components of a joint arthroplasty. In both cases engage means to cause mechanical parts (i.e. sub-components of a femoral component for example, or a set of components to include
- 30 femoral, tibial and patellar components for example) to come together, to mesh to one another, or to come into working contact with one another. Such contact between adjoining parts limiting at least one degree of freedom between the parts.

- 5 Joining – For the purposes of the current invention joining pertains to joining of sub-components of an implant to form the implant and means to cause mechanical parts (i.e. sub-components of a femoral component for example) to be interlocked together and constrained in one or more degrees of freedom so as to form a unit.
- 10 Orienting – For the purposes of the current invention orientating pertains to 1) orientating sub-components of an implant to one another, and 2) orientating implant components of a joint arthroplasty to one another. In both cases orientating means to bring the parts into working relationship to one another so that the assembly of parts functions as intended.
- 15 Aligning – For the purposes of the current invention aligning pertains to 1) alignment of sub-components of an implant to supporting bone, and 2) alignment of implant components of a joint arthroplasty to supporting bone. In both cases aligning means to bring the parts into correct relative position with respect to the supporting bone so that the arthroplasty
- 20 functions as intended.

Implant component and sub-component – For the purposes of the current invention an implant component refers to the parts that make up the arthroplasty, for example femoral, tibial and patellar components make up a total knee arthroplasty. Sub-component refers to the parts that make up

25 the implant component. Each component may be unitary in construction, or may include a plurality of sub-components.

For the purposes of describing the invention, arthroplasty includes total and partial joint replacement (i.e. hip, knee, shoulder, ankle, finger joints, etc.) and total and partial spinal disc and facet replacement. Such

30 arthroplasty systems include components such as femoral, tibial and bearing insert(s) components for a knee arthroplasty; stem, head, bearing insert and shell components for a hip arthroplasty; and vertebral endplates and bearing insert(s) and facet joint replacements for spinal arthroplasty.

5 Sequence of assembly of sub-components and placement onto supporting
bone – For the purposes of the current invention the sequence of
assembly of sub-components and placement onto supporting bone may be
varied. That is to say, the sub-components may be a) partially assembled
outside the joint cavity, passed into the joint cavity, assembled and placed
10 onto the supporting bone; b) individually passed into the joint cavity,
assembled and placed onto the supporting; c) individually passed into the
joint cavity, placed onto the supporting bone and assembled thereon; d)
individually passed into the joint cavity, one or more sub-components
attached to supporting bone, then one or more of the remaining sub-
15 components assembled to those previously attached to bone; or e) any
combination thereof.

The instruments and implants disclosed accomplish accurate bone and
soft tissue preparation, restoration of anatomical alignment, soft tissue
balance, kinematics, component to component orientation and alignment,
20 sub-component to sub-component orientation and alignment, and implant
fixation to supporting bone through limited surgical exposure. For knee
joint replacement, the implant system is comprised of implants that provide
intraoperative surgical options for articular constraint and facilitate proper
alignment and orientation of the knee to restore anatomical alignment, soft
25 tissue balance and kinematics as defined by individual patient anatomy.
To do so, the implants provide the surgeon intraoperative options to
reconstruct various degrees of joint stability via selection of fixed or mobile
bearing components for each compartment of the knee (medial
tibiofemoral, lateral tibiofemoral and patellofemoral compartments). The
30 range of implants may be applied to one, two or three of the knee joint
compartments in a given procedure and may include combinations of fixed
and mobile bearing configurations.

In conventional total knee replacements, the femoral component is
typically a unitary piece and the tibial baseplate component is a unitary
35 piece. A bearing is placed between the femoral and tibial baseplate
components which is generally a unitary piece that may be fastened to the

5 tibial component or sliding on the tibial baseplate component. In the present invention, the femoral side may be resurfaced by two, three or more individual sub-components and the tibial side may be resurfaced by two or more tibial baseplate sub-components or a unitary baseplate. Alternatively, the femoral side may be resurfaced with a component of
10 unitary structure and the tibial side may be resurfaced by two or more tibial baseplate sub-components. The modular femoral component comprised of two or more sub-components is sized to be placed through a minimally invasive incision into the joint space one piece at a time and assembled therein during the surgical procedure. Likewise, the modular tibial
15 component comprised of one or two polyethylene bearings and a baseplate component comprised of two or more individual sub-components each of which is sized to be placed through a minimally invasive incision into the joint space one piece at a time and assembled therein during the surgical procedure.

20 Alternatively, the multi-piece tibial component may have a stem that can be placed individually into the joint space and structured to pass down the tibial medullary canal and assemble to the baseplate or baseplate sub-components within the confines of the joint space. Likewise, the modular femoral component may have a stem that can be placed individually into
25 the joint space and structured to pass down the femoral medullary canal and assemble to the femoral sub-components.

The femoral sub-components are accurately aligned to supporting bone and orientated to one another with or without interconnecting the individual sub-components after placement in the joint cavity. Likewise, the tibial
30 sub-components are accurately aligned to supporting bone and orientated to one another with or without interconnecting the individual sub-components once placed in the same manner. In both cases, the size of each component or sub-component passed into the joint is significantly reduced compared to conventional components enabling completion of the
35 procedure through a smaller and less traumatic exposure.

5 In the case of interconnected sub-components, comprising the femoral component, the tibial component, or both, such interconnection may be structured as an engaging mechanism between adjacent sub-components, or as a joining mechanism between adjacent sub-components. In the case of three or more sub-components, a combination of engaging or joining
10 mechanisms may be used between various adjacent sub-components. Optionally, such engaging or joining between adjacent sub-components may be temporary during the surgical procedure to aid in orienting the sub-components while securing them to supporting bone. The patellar component is generally of a size that can be placed through minimally
15 invasive incisions as a unitary bearing, fixed bearing or mobile bearing component. In one aspect of the present invention, the articular surface of the patellar component may comprise independent, individual sub-components for the lateral facet and medial facets which are properly orientated, but not joined within the joint cavity. In yet another aspect of
20 the present invention, the independent patellar sub-components may be properly orientated and joined within the joint cavity. In still another aspect of the present invention, the femoral component may be flexible or include flexible sub-components.

The femoral, tibial and patellar components of the present invention as
25 used in partial or total knee arthroplasty are structured to have one surface for bony attachments. Such attachment provided by a porous or roughened surface into which, or onto which, the supporting bone can grow. Alternatively, such attachment is provided by a porous or roughened surface into which, or onto which, bone cement can attach. In
30 yet another embodiment the surface of the sub-component in contact with supporting bone is coated with a biological adhesive or bone growth factor to provide initial stability and to promote rapid bony integration.

Proper alignment and orientation of the implant components and sub-components may be enabled by instruments guided by the soft tissue
35 structures of the knee to guide bone resections for patient-specific anatomical knee alignment and component and sub-component

5 orientation. The medial and lateral tibial articular surfaces and the patellar
articular surface are generally prepared with planar resections. The
medial and lateral femoral condyles and trochlea may be kinematically
prepared. Such instrumentation is referred to as Tissue Guided Surgery
(TGS) and is described in U.S. Patent No. 6,723,102 and is incorporated
10 by reference in its entirety. Alternatively, the medial and lateral femoral
condyles and trochlea may be prepared with planar resections and
chamber resection as is typical in conventional total knee arthroplasty.
Such preparation is possible with conventional total knee instrumentation
as is commonly known by those skilled in the art. Alternatively, such
15 preparation is possible with Tissue Guided Surgery by positioning the tibia
with a bone sculpting tool at appropriate knee flexion angles to sculpt
planar resections for the posterior, posterior chamfer and distal femoral
resections, and by positioning the patella at appropriate knee flexion
angles to sculpt planar resections for the anterior chamfer and trochlear
20 resections. Hence, the present invention for joining or engaging the
plurality of sub-components comprised in a knee implant system and
instrumentation to simplify accurate and repeatable placement of the
plurality of sub-components comprised in a knee implant system is
applicable to conventional knee implants.

25 Femoral, tibial and patellar bone resections attained with TGS
instrumentation are properly positioned and orientated for anatomic knee
alignment, soft tissue balance and kinematic function throughout knee
range of motion. Using these bone support surfaces to position and
orientate the femoral, tibial and patellar components, respectively, will
30 maintain anatomic knee alignment, soft tissue balance and kinematic
function. In general, the tibial and patellar resections are planar making
placement of the corresponding implant components, which have planar
support surfaces, straight forward. The femoral resection may not be
planar if the supporting bone is prepared via TGS and the relative position
35 of the lateral condyle, the medial condyle and the trochlear resections to
one another is a function the kinematics of a given patient. Therefore, the
femoral implant must accommodate this variability, as described herein.

5 Given that the soft tissue structures spanning the knee are used to guide
the TGS instrumentation it is beneficial for such tissues to be minimally
disrupted by the surgical technique and to avoid dislocation or eversion of
the patella. The minimally invasive surgical incision or incisions used to
access the knee joint must be of a size and orientation relative to the soft
10 tissue structures that minimizes alteration of knee kinematics. The
femoral, tibial and patellar implants must be structured to pass through
such minimally invasive incisions. Conventional femoral and tibial
implants for total knee arthroplasty are sized so large that insertion
through a minimally invasive incision is not feasible. In addition, the shape
15 of conventional femoral components does not permit placement of the
component over the resected distal femur with the majority of soft tissues
intact or without dislocation or eversion of the patella. Further, the confines
of the joint cavity do not provide sufficient space to align conventional
femoral components distal to the anterior and posterior femoral resections
20 and then slide the component over those resections. Therefore, the
femoral, tibial and patellar components must be sized to be passed
through a small incision and to be placed onto or over the respective bone
support surfaces. For the femoral component, one embodiment is a
component made up of a plurality of sub-components to resurface the
25 medial condyle, the lateral condyle and the trochlea of distal femur. Such
sub-components are of a size that can be passed through a small incision
and be assembled, that is to be joined or engaged, within the confines of
the joint cavity. Optionally, such joining or engaging between adjacent
sub-components may be temporary during the surgical procedure to aid in
30 orienting the sub-components while securing them to supporting bone.

Femoral sub-components conform to the shape of the kinematically
prepare condyles and trochlea. The interfaces between femoral sub-
components are partially constrained. These interfaces are unconstrained
in angulation generally in a sagittal plane to allow the sub-components to
35 conform to the trochlear and condylar resections. These interfaces are
constrained in angulation generally in a transverse plane, in orthogonal
and axial translation and in axial rotation to provide a smooth transition

5 from one sub-component to an adjacent sub-component. A smooth transition provides uniform support for the mating tibial or patellar component. Alternatively, the interfaces between the femoral sub-components are unconstrained in angulation and constrained in other degrees of freedom to allow the femoral component to conform to the
10 resected femoral condyles and to vary the anteroposterior divergence of the condylar sub-components with a similar divergence in tibial sub-components. Alternatively, the interfaces between the femoral sub-components are fully constrained when fully assembled. Likewise, tibial sub-components are properly aligned one to the other to ensure proper
15 tracking of the femoral, tibial and patellar components. The tibial sub-components may be constrained or unconstrained one to the other in similar fashion as that described above for femoral sub-components.

In addition to preparing the bone for patient-specific alignment and orientation of the implant components, the present invention provides
20 further component orientation by joining or engaging the femoral sub-components together and joining or engaging the tibial sub-components together. The femoral sub-components may be temporarily or permanently joined after being placed into the joint space. Likewise, the tibial sub-components may be temporarily or permanently joined after
25 being placed into the joint space. When the sub-components are to be temporarily joined within the joint space one or more brackets are interposed between the sub-components and temporarily secured or assembled to each sub-component. The brackets hold the sub-components in proper alignment and orientation to each other while the
30 component is secured to bone by mechanical means such as bone screws, spikes, hooks, etc., or bone cement, or other bonding material or process. The bracket or brackets may be of rigid construction being made from metals, such as stainless steels, cobalt chromium alloys, titanium or titanium alloys, ceramics or other suitable materials; or rigid plastics such
35 as PEEK or other suitable plastics. Alternatively, the bracket or brackets are of flexible construction being made of metals such as Nitinol, NP35N, or other suitable materials; flexible plastics such as UHMW Polyethylene

5 or urethane; or woven materials such as Gore-Tex or other suitable materials. At each juncture between sub-components the bracket is structured to maintain a smooth transition of the articular surface between adjacent sub-components while enabling each sub-component to conform to the bony support surface. The bracket or brackets are removed after
10 the components are secured to the supporting bone. Removal of the temporary brackets may be at the time of surgery, or at some later date. Alternatively, the brackets may be structured as an implant sub-component to remain implanted.

In the case of knee replacement surgery, the implants include a second
15 bone baseplate, a bearing insert and a first bone implant. The second bone baseplate may be either one piece to generally cover the prepared surface of the second bone as relates to the joint, or separate baseplates as have been used with mobile or fixed bearing prosthetic components. Optionally, the one piece baseplate or the plurality of baseplate sub-
20 components may be structured for assembly to a stem sub-component within the confines of the joint cavity. For either the one piece baseplate or the plurality of baseplate sub-components the bearing insert may be of unitary structure. Alternately, the bearing insert may be separate inserts. In addition, the second bone baseplate component may accommodate
25 separate fixed and mobile bearing inserts used in medial and lateral combinations of fixed-fixed, mobile-fixed, fixed-mobile and mobile-mobile bearing inserts, respectively.

When assembling a plurality of sub-components, either to form a femoral component or a tibial component, within the confines of the joint cavity it is
30 beneficial to structure the engaging or joining mechanisms to allow angulation and translation between the sub-components during assembly, then when fully assembled structure the engaging or joining mechanisms according to the constraints required for the femoral or tibial component. Such angulation and translation between adjacent sub-components during
35 assembly being unconstrained or partially constrained as appropriate to make assembly of the sub-components as easy as possible for the

5 surgeon. Such constraints for the fully assembled component to include
unconstrained, partially constrained and fully constrained engaging or
joining mechanisms between two or more sub-components, and
combinations of unconstrained, partially constrained or fully constrained
engaging or joining mechanisms connecting the plurality of sub-
10 components that form an implant component.

The current invention is structured to allow variation in the procedure for
implanting a plurality of sub-components forming either a femoral or tibial
component. In general, the femoral component is implanted before the
tibial component because the space within the joint cavity is more limited
15 after placement of one of the components. The general shape of the
femoral sub-components is bulkier than that of the tibial baseplate sub-
components, hence the benefit of implanting them first. Alternatively, the
tibial sub-components may be implanted first. In an alternative
embodiment of the present invention that includes a tibial stem sub-
20 component or a femoral stem sub-component, or both, it may be beneficial
to place the stem sub-component(s) first, either into the femoral canal, into
the tibial canal or into both. Followed by placement of the femoral
condylar sub-components and trochlear sub-component, and then
placement of the tibial baseplate sub-components and bearing insert(s).
25 Alternatively, the femoral condylar sub-components and trochlear sub-
component may be implanted first, followed by placement of the tibial
baseplate sub-components, and then placement of a femoral stem sub-
component or a tibial stem sub-component or both followed by placement
of the bearing insert(s). In general, the patellar component is implanted
30 last. Alternatively, one or more of the femoral sub-components or the tibial
sub-components may be secured to supporting bone before assembly to
respective adjacent sub-components. It may also be advantageous to
partially assemble femoral sub-components or tibial sub-components
outside of the joint cavity, for example passing the femoral medial condylar
35 sub-component into the joint cavity then assembling the lateral condylar
sub-component to the trochlear sub-component and passing the assembly
into the joint cavity for assembly to the medial condylar sub-component.

5 In the case of tri-compartmental knee arthroplasty the articular surfaces of
the tibia and patella are generally removed with planar resections which in
general have minimal regional variations in the contour of the planar
resections; however in preserving the anterior cruciate ligament it may be
advantageous to resect the medial and lateral tibial articular surfaces
10 independently which may result in variations between the planar resection
of the medial tibial articular surface and that of the lateral tibial articular
surface. The articular surfaces of the distal femur, those being the medial
and lateral condyles and the trochlea, may be independently sculpted.
The regional contour of the supporting bone, that is the contour of the
15 resected bone within each compartment, that is the medial tibiofemoral
compartment, the lateral tibiofemoral compartment and the patellofemoral
compartment, closely matches that of the respective sub-component;
however due to the independent sculpting of the femur within each
compartment there may be variations between the prepared bone surfaces
20 in each compartment. Additionally, partial constraint of the assembled
interface between sub-components promotes load sharing across all
resected surfaces of the supporting bone.

Means for joining partially constrained interfaces between sub-
components includes, but is not limited to, spherical, meshed, cylindrical,
25 planar, linear and point contact interfaces; "T" slots; dovetail locks;
cylindrical interlocks; button interlocks; spherical interlocks; or a
combination of these, or other connecting means used to connect two or
more parts. Means for joining fully constrained interfaces between sub-
components includes, but is not limited to, threaded fasteners, cylindrical
30 pins, conical taper locks, square or rectangular taper locks, tether cable or
wire locks, or a combination of these, or other fastening means used to
connect two or more parts.

In the case of independent baseplate sub-components that are not joined
together, it is beneficial to have a bracket that attaches to individual sub-
35 components to hold them properly orientated one to another while they are
secured to the supporting bone. Means to attach the bracket to the

- 5 baseplate sub-components includes threaded fasteners, clamping devices, dovetails, trinkle locks, tether cable or wire attachments, or a combination of these, or other fastening means used to connect two or more parts. Optionally, a handle may be structured to attach to the bracket to simplify placement of the sub-components into the joint cavity.
- 10 The first bone implant is comprised of a plurality of sub-components to replace the bearing surface of the first bone. In the case where the sub-components the femoral component are properly orientated and joined within the joint, fastening means used to join the individual sub-components together include threaded fasteners, cylindrical pins, conical
- 15 taper locks, square or rectangular taper locks, tether cable or wire locks, a combination of the foregoing, or any such other fastening means that can be used to connect two or more parts. In the case where the sub-components are not joined together, it is beneficial to have a bracket that attaches to the sub-components to hold them properly orientated one to
- 20 another while they are secured to the supporting bone. Means to attach a bracket to the sub-components includes threaded fasteners, clamping devices, dovetails, trinkle locks, tether cable or wire attachments, or a combination of these, or other fastening means used to connect two or more parts.
- 25 Specifically, for example in knee joint replacement, the invention may be used for replacing the surfaces of a femur, a tibia, a patella, or a combination of these. Thus, a femoral implant having a plurality of sub-components, a tibial baseplate having a plurality of sub-components and a patellar component having a plurality of sub-components are provided.
- 30 The tibial baseplate components and the patellar components may have fixed bearing attachments as well as mobile bearing attachments. Optionally, each component of the tibial baseplate or patellar may have a fixed bearing attachment as well as a mobile bearing attachment. Alternatively, the tibial component and the bearing attachment may be of
- 35 unitary construction and the patellar component and bearing attachment may be of unitary construction. Optionally, the femoral and tibial

5 components of the invention may be used with modular femoral and tibial stems, respectively.

The present invention for joining or engaging a plurality of sub-components comprised in a knee implant system and the instrumentation to simplify accurate and repeatable placement of the plurality of sub-
10 components comprised in a knee implant system are applicable to the femoral, tibial, patellar and bearing insert components of a knee implant. In addition, this embodiment of the present invention is applicable to other joint implants, including but not limited to hip, shoulder, fingers and ankle; to spinal implants including but not limited to spinal disc replacement, facet
15 replacement and spinal fusion; and to orthopaedic trauma products to include but not limited to fracture fixation systems.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a plane view of a knee joint.

Figure 2 illustrates a traditional midline incision for accessing the knee joint
20 during total knee replacement surgery.

Figure 3 depicts an incision for accessing the knee joint during total knee replacement surgery that may be used with the method and apparatus of the present invention.

Figure 4 illustrates alternate incisions for accessing the knee joint during
25 total knee replacement surgery that may be used with the method and apparatus of the present invention.

Figure 5 is a plane view of femoral resections made in accordance with an embodiment of the present invention.

Figure 6 is a plane view of femoral resections made in accordance with an
30 alternate embodiment of the present invention containing femoral implants.

5 Figure 7 is a plane view of femoral resections made in accordance with a yet another embodiment of the present invention containing femoral implants.

Figure 8 are plane views of alternate embodiments of tibial baseplates in accordance with an embodiment of the present invention.

10 Figure 9 is a plane view of femoral implants for resurfacing the femoral resections of Figure 6 according to an embodiment of the present invention.

Figure 10 is a plane view of femoral implants for resurfacing the femoral resections of Figure 7 according to an embodiment of the present
15 invention.

Figure 11 is a plane view of a femoral implant in accordance with an embodiment of the present invention.

Figure 12 is an end view of femoral implants for the medial and lateral condylar sub-components and the trochlear sub-component according to
20 embodiment of the present invention.

Figure 13 is an end view of femoral implants for the medial condylar sub-component and the unitary lateral condylar and trochlear sub-component according to embodiment of the present invention.

Figure 14 is an end view of femoral implants for the medial and lateral condylar sub-components and the trochlear sub-component according to
25 embodiment of the present invention.

Figure 15 is an end view of femoral implants for the medial condylar sub-component and the unitary lateral condylar and trochlear sub-component according to embodiment of the present invention.

30 Figure 16A is an end view of femoral implants for the medial and lateral condylar sub-components and the trochlear sub-component according to embodiment of the present invention.

- 5 Figure 16B depicts a plurality of endplate sub-components for resurfacing the distal endplate of a vertebral body and the proximal endplate of vertebral body in accordance with an embodiment of the present invention.

Figure 17 illustrates femoral, tibial and patellar implants according to an embodiment of the present invention.

- 10 Figure 18 illustrates femoral, tibial and patellar implants according to another embodiment of the present invention.

Figures 19 A & B are orthogonal views, one exploded and one assembled respectively, of the tibial inserter instrument according to an embodiment of the present invention.

- 15 Figures 20A & B are orthogonal views, one exploded and one assembled respectively, of the femoral inserter instrument according to an embodiment of the present invention.

Figure 21 is a side view of a femoral component on a prepared femur according to an embodiment of the present invention.

- 20 Figure 22 is an orthogonal view of a femoral component with a condylar sub-component according to an embodiment of the present invention.

Figure 23 is a plane view of Figure 22 according to an embodiment of the present invention.

- 25 Figure 24 is an orthogonal view of a femoral component with two condylar sub-components according to an embodiment of the present invention.

Figure 25 is a plane view of Figure 24 according to an embodiment of the present invention.

- 30 Figure 26 is an orthogonal view of a femoral component with a condylar sub-component comprised of the medial and lateral femoral condyles according to an embodiment of the present invention.

5 Figure 27 is a plane view of Figure 26 according to an embodiment of the present invention.

Figure 28 is an orthogonal close-up view of an interface between femoral sub-components according to an embodiment of the present invention.

10 Figure 29 is a plane view of Figure 28 according to an embodiment of the present invention.

Figure 30 is an orthogonal close-up view of another interface between femoral sub-components according to an embodiment of the present invention.

15 Figure 31 is a plane view of Figure 30 according to an embodiment of the present invention.

Figures 32 A & B are orthogonal views of yet another interface between femoral sub-components according to an embodiment of the present invention.

20 Figure 33 is a cross sectional view of Figure 32 according to an embodiment of the present invention.

Figures 34 A & B are orthogonal views of an interface between femoral sub-components according to an embodiment of the present invention.

Figure 35 is a cross sectional view of Figure 34 according to an embodiment of the present invention.

25 Figures 36 A & B are orthogonal views of another interface between femoral sub-components according to an embodiment of the present invention.

Figure 37 is a cross sectional view of Figure 36 according to an embodiment of the present invention.

5 Figures 38 A & B are orthogonal views of yet another interface between femoral sub-components according to an embodiment of the present invention.

Figure 39 is a schematic of an interface bracket to hold implant sub-components together according to an embodiment of the present
10 invention.

Figure 40 is a cross sectional view of Figure 38 according to an embodiment of the present invention.

Figure 41 is a cross sectional view of a constrained interface between tibial sub-components according to an embodiment of the present
15 invention.

Figure 42 is a cross sectional view of another constrained interface between tibial sub-components according to an embodiment of the present invention.

Figure 43 is a plane view of a tibial implant with unitary baseplate
20 according to an embodiment of the present invention.

Figure 44 is an orthogonal view of a tibial implant with a two piece joined baseplate according to an embodiment of the present invention.

Figure 45 is an orthogonal view of a tibial implant with a unitary baseplate joined to a stem according to an embodiment of the present invention.

25 Figure 46 is an exploded view of Figure 45 according to an embodiment of the present invention.

Figure 47 is an orthogonal view of another tibial implant with a unitary baseplate joined to a stem according to an embodiment of the present invention.

5 Figure 48 is an orthogonal view of a femoral implant with trochlear, medial condylar and lateral condylar sub-components according to an embodiment of the present invention.

Figures 49 A and B are orthogonal views of a femoral component according to an embodiment of the present invention.

10 Figure 50 is an orthogonal view of a tibial implant with unitary stem and baseplate covering one compartment of the tibial plateau, and a baseplate sub-component to cover the ipsilateral compartment of the tibial plateau according to an embodiment of the present invention.

15 Figures 51 A & B are orthogonal views of a tibial implant according to an embodiment of the present invention.

Figure 52 is a side view of a femoral component on a prepared femur according to an embodiment of the present invention.

Figure 53 is a cross sectional view of Figures 49 A and B of a femoral component according to an embodiment of the present invention.

20 Figure 54 is a cross sectional view of a femoral component according to an embodiment of the present invention.

Figure 55 is an exploded view of the tibial inserter instrument with an alignment guide according to an embodiment of the present invention.

25 Figures 56 is an exploded view of the femoral inserter instrument with an alignment guide according to an embodiment of the present invention.

Figure 57 is an exploded view of the tibial inserter instrument with a surgical navigation tracker according to an embodiment of the present invention.

30 Figures 58 is an exploded view of the femoral inserter instrument with a surgical navigation tracker according to an embodiment of the present invention.

5 DETAILED DESCRIPTION OF THE INVENTION

Knee Joint Anatomy and Surgical Approaches. Figure 1 illustrates the general anatomy of the knee joint. The femur 10 has the lateral femoral condyle 12 and the medial femoral condyle 14 on its knee-joint articulating surface. The tibia 16 has the lateral meniscus 22 (generally opposite the lateral femoral condyle 12) and the medial meniscus 20 (generally opposite the medial femoral condyle 14) on its knee-joint articulating surface. The ligaments include the anterior cruciate ligament 24, the posterior cruciate ligament 28, the medial collateral ligament 26 and the lateral collateral ligament 27. The medial tibial condyle 30 and the lateral tibial condyle 32 support the menisci 20 and 22, which in turn support the femur 10. Additionally, the fibula 34 engages the tibia 16.

Typically, a total knee joint replacement involves replacing the articular surfaces of the lateral femoral condyle 12, the medial femoral condyle 14, the medial tibial condyle 30 and the lateral tibial condyle 32. The lateral meniscus 22 and the medial meniscus 20 are removed. Desirably, neither the collateral ligaments 26 and 27 nor the cruciate ligaments 24 and 28 are disturbed. However, the collateral ligaments 26 and 27 may be partially taken down to provide appropriate tension adjustments to the patient's knee after joint replacement has been completed. Such structures are contained within the intact knee joint cavity which is formed by the knee synovial bursa (not shown).

Referring to Figure 2, the conventional midline incision 40 for a total knee replacement surgery is shown. The incision 40 extends vertically substantially above and below the articulating surface between the femur and the tibia. Typically, the incision is roughly 8 to 15 centimeters in length. The incision 40 must be large enough to expose the entire knee joint articular surfaces with the patella subluxed or dislocated. Additionally, the incision must accommodate insertion of components that fully cover the end of the femur, the top of the tibia and the undersurface of the patella. The maximum number of components implanted would

5 include femoral and tibial components for the lateral tibiofemoral
compartment, femoral and tibial components for the medial tibiofemoral
compartment and femoral and patellar components for the patellofemoral
joint. Alternatively, the lateral femoral condyle and the patellar groove may
be covered by a common implant. The knee joint cavity is substantially
10 opened by the incision 40 and the exposed articular surfaces of the knee
protrude out of the joint cavity to accommodate current bone resection
instruments and insertion of components that fully cover the end of the
femur, the top of the tibia and the undersurface of the patella.

As best seen in Figure 3, a transverse incision 42 extending horizontally
15 along the knee joint is one option for the procedure of the present
invention. The incision 42 may be vertically opened to expose the joint
surfaces of the medial tibiofemoral compartment and the lateral
tibiofemoral compartment without dislocating the patella. This maintains
the patella in contact with the femur during the procedure. The
20 components of the instrumentation as well as the implant are sized for
minimal invasiveness and, therefore, may be accommodated by the small
incision. The reduced trauma resulting from a smaller incision generally
results in faster and better rehabilitation, which in turn generally increases
the efficacy of the knee implant.

25 Referring to Figure 4, an alternate incision format for use with the present
invention is shown. Two parallel vertically extending incisions 44 and 46
may be formed on either side of the patella. These incisions 44 and 46
are relatively short and the invasiveness is similar to that of the horizontal
incision in figure 3. Each incision 44 and 46 is separately extended
30 through the joint capsule to expose the medial and lateral tibiofemoral
compartments without dislocating the patella. In a one embodiment of
the present invention the procedure is carried out through one small
incision 46 medial to the patella.

The femoral condyles may be prepared independent of the femoral
35 trochlea as shown in Figure 5. The lateral condylar resection 130 and the

5 medial condylar resection 132 extend throughout the range of tibiofemoral
contact resulting from flexing and extending the knee with a sculpting tool
placed on the femur. Once prepared, the condylar resections receive a
lateral condylar sub-component 131 and a medial condylar sub-
component, respectively, and a femoral trochlear sub-component 134,
10 each of which is shown as unconstrained relative to the adjacent sub-
component, as shown in Figures 6. In an alternate embodiment of the
present invention the lateral condylar and the femoral trochlear resurfacing
implants are constructed in a unitary sub-component 136 that resurfaces
the lateral condyle and trochlea as shown in Figure 7. The medial
15 condylar sub-component 133 is independent and unconstrained relative to
the lateral condylar-trochlear sub-component. Optionally, the lateral
condylar-trochlear sub-component 136 may be implanted with an intact
medial condyle, forgoing preparation and resurfacing of the medial
condyle. Alternatively, the medial condylar and femoral trochlear
20 resurfacing implants may be constructed in a unitary sub-component that
resurfaces the medial condyle and the femoral trochlea. In which case the
lateral condylar sub-component is independent of the medial condylar-
trochlear sub-component. Optionally, the medial condylar-trochlear sub-
component may be implanted with an intact lateral condyle, forgoing
25 preparation and resurfacing of the lateral condyle.

The surgical procedure may be performed through one or more minimally
invasive incisions that do not necessitate subluxation or dislocation of the
patella. Therefore, implants such as the femoral, tibial or patellar implants
are structured to fit through minimally invasive incisions, conformed to the
30 kinematically prepared bone support surfaces, and aligned and oriented,
and engaged or joined within the knee joint. The femoral and tibial
implants may be attached to bone with conventional bonding methods
such as, but not limited to, polymethylmethacrylate, or by direct
attachment to bone as with, but not limited to, a porous ingrowth surface.

35 It is beneficial to place all of the implants through small incisions. As seen
in Figure 9, the femoral implants include a first sub-component 131 to

5 resurface the articulating surface of the lateral condyle and a second sub-
component 133 to resurface the articulating surface of the medial condyle
and a third sub-component 134 to resurface the femoral trochlea.
Alternatively, as shown in Figure 12, the femoral implants are fitted
together and unconstrained wherein a first sub-component 431 resurfaces
10 the lateral condyle, a second sub-component 433 resurfaces the medial
condyle and a third sub-component 434 resurfaces the femoral trochlea.
Optionally, as seen in Figure 10, the femoral implants may include a first
sub-component 133 to resurface the articulating surface of the medial
condyle and a second sub-component 136 to resurface the articulating
15 surface of the lateral condyle and the femoral trochlea. Alternatively, as
shown in Figure 13, the femoral implants are fitted together and
unconstrained wherein a first sub-component 433 resurfaces the medial
condyle and a second sub-component 436 resurfaces the lateral condyle
and the femoral trochlea. In an alternate embodiment the interfaces
20 between femoral sub-components are engaged by a meshed structure 530
to provide a uniform transition for patellar articulation on the femoral
component between the trochlear sub-component 534 and each condylar
sub-component 531 and 533 as shown in Figure 14. Referring to Figure
15, a meshed interface 530 may be constructed between a trochlear-
25 condylar sub-component 536 and an adjacent condylar sub-component
533.

[0100] Alternatively, as shown in Figure 16 A, a meshed interface 530 may
be used for the lateral condylar sub-component 631 to trochlear sub-
component 634 transition because of the relatively higher patellofemoral
30 loading along the lateral aspect of the trochlea, and an independent and
unconstrained medial condylar sub-component 633 used to resurface the
medial condyle. Referring to Figures 14, 15 and 16, the meshed interface
530 structure provides engagement between adjacent sub-components
that generally limits relative medial to lateral translation of the sub-
35 components one to the other. Figure 11 is an illustration of an optional
femoral condylar sub-component structured as a flexible implant. The
outer surface of the condylar implant is a thin sheet of material and the

5 inner surface may be ridged 170. Referring to Figure 16 B, a plurality of
endplate sub-components 241 and 242 resurface the distal endplate of
vertebral body L4 250 and the proximal endplate of vertebral body L5 249.
As in the femoral sub-components described above, the endplate sub-
components are engaged with a meshed interface 251 and 252. The disc
10 replacement, comprised of L4 endplate sub-components 241 and 242, L5
endplate sub-components 247 and 248, L4 facet plates 243 and 244, L5
facet plates 245 and 246, two facet bearings 253 that are captured
between each set of facet plates, and a disc bearing 254 that is captured
between the engaged endplate sub-components of L4 and the engaged
15 endplate sub-components of L5. Each facet is replaced with a superior
facet plate 244, a facet bearing 253 and an inferior facet endplate 246.
The facet joints completing the motion segment between L4 and L5 are
resurfaced with facet plates 243, 244, 245 and 246. The spinal motion
segment articulates in a predetermined manner based on the kinematics
20 defined by the soft tissue structures spanning the vertebral bodies and the
support surfaces provided by the vertebral endplates and the facet joints.
Such kinematic motion may be used to align and orient the disc and facet
implants for normal kinematic motion of the spinal motion segment.

Referring to Figures 17 and 18, total knee arthroplasty is comprised of
25 implants that resurface the femoral condyles and trochlea and the tibial
articular surfaces per the present invention. In Figure 17, the femoral F
condyles are resurfaced with condylar sub-components medially 436 and
laterally 435, the tibial T articular surfaces are resurfaced with tibial sub-
components medially 437 and laterally 430. The tibial components
30 comprised of a bearing insert 438 and a baseplate sub-component 432.
The patella P is resurfaced with patellar component 439. Optionally as
shown in Figure 17, the femoral trochlea is not resurfaced. In Figure 18,
the femoral condyles are resurfaced with a condylar sub-component of
integral structure medially 441. The lateral condylar sub-component 440
35 and trochlear component are integral, the tibial articular surfaces are
resurfaced with tibial sub-components medially 442 and laterally 444. The
patella is resurfaced with patellar component 443.

5 Referring to Figures 17 and 18, total knee arthroplasty is comprised of implants that resurface the femoral condyles and trochlea and the tibial articular surfaces per the present invention. In Figure 17, the femoral F condyles are resurfaced with condylar sub-components medially 436 and laterally 435, the tibial T articular surfaces are resurfaced with tibial sub-components medially 437 and laterally 430. The tibial components comprised of a bearing insert 438 and a baseplate sub-component 432. The patella P is resurfaced with patellar component 439. Optionally as shown in Figure 17, the femoral trochlea is not resurfaced. In Figure 18, the femoral condyles are resurfaced with a condylar sub-component of integral structure medially 441. The lateral condylar sub-component 440 and trochlear component are integral, the tibial articular surfaces are resurfaced with tibial sub-components medially 442 and laterally 444. The patella is resurfaced with patellar component 443.

Referring to Figure 21, the distal femur F is prepared using TGS. The femoral component 909 resurfaces the distal femur F and comprises a plurality of sub-components 910, 911 and 912 each having an inner surface 917 and an opposing articulating surface 915. The inner surface 917 and articulating surface 915 extending between a medial edge and a lateral edge. The inner surface of each sub-component having one or more fixation posts 916. Alternatively, the condylar sub-components having a stabilizing fin (not shown) generally in a sagittal plane along the inner surface 917.

Alternatively, as described earlier and shown in Figure 52, the distal femur may be prepared with planar resections forming a posterior resection 925, a distal posterior chamfer resection 924, a distal resection 923, a distal anterior chamfer 922 and an anterior resection 921. The femoral component 926 is comprised of a trochlear sub-component 927 with an inner surface 935 structured for attachment to the prepared femoral trochlea and interface 931 structured to engage or join adjacent condylar sub-components 928 and 929. The trochlear sub-component 927 has an outer articular surface 930 on which the patella articulates. In flexion the

5 patellofemoral contact area transitions from the trochlear sub-component 927 to the femoral condylar sub-components 928 and 929 crossing the interface 931. The trochlear sub-component 927 may be structured with one or more posts 934 to provide stability between the implant and supporting bone. The condylar sub-components 928 and 929 have an
10 inner surface 936 structured for attachment to the prepared femoral condyles and interface 931 structured to engage or join the trochlear sub-component 927. The trochlear-condylar sub-component interface is described in detail below. Optionally, the trochlear-condylar sub-component interfaces may be unconstrained or partially constrained or
15 fully constrained when fully assembled. The condylar sub-components may be structured with one or more posts 934 on each sub-component to provide stability between the implant and supporting bone. Alternatively, a fin (not shown) in a generally sagittal plane may be incorporated on the inner surface of the condylar sub-components to provide stability between
20 the implant and supporting bone. For the tibial component, as depicted in Figure 8, the tibial baseplate sub-components 151 and 153 may be structured as independent tibial baseplates for the medial and lateral compartments.

Referring generally to Figures 22 through 27, the femoral component of
25 the current invention can be sectioned in various locations to facilitate passage through a small incision and into the joint cavity. Referring to Figures 22 and 23, the trochlear sub-component 910 and the lateral condylar sub-component 911 are of unitary construction and the medial condylar sub-component 912 is joined or engaged thereon. The interface
30 913 between sub-components is unconstrained leaving the sub-components free standing. Alternatively, the interface 913 is partially constrained as described in detail below. In yet another embodiment, the interface 913 is fully constrained when assembled as described in detail below. Alternatively, the trochlear sub-component 910 and medial
35 condylar sub-component 912 are of unitary construction and the lateral condylar sub-component 911 is joined or engaged thereon. The modular interface 913 between the sub-components may be positioned in the "tide

5 mark" region of the distal femoral surface to minimize the effect of the transition on the mating patella or patellar component or tibial component. One embodiment of the present invention is to provide the trochlear sub-component 910 and lateral condylar sub-component 911 as a unitary sub-component to facilitate placement through a small incision medial to the
10 patella and to provide a continuous surface along the lateral aspect of the patellar groove for uniform patellar tracking. In normal knee kinematics the "Q" angle of the quadriceps mechanism pulls the patella laterally on the femoral component. Hence, there are higher contact forces along the lateral aspect of the patellar groove. Alternatively, if the pathology of the
15 knee is less severe it is likely that the lateral femoral condyle is functional and the medial femoral condyle and trochlea are compromised by arthritis. In which case a unitary femoral sub-component to replace the trochlea and medial femoral condyle is applicable.

Referring to Figures 24 and 25, one embodiment for the femoral
20 component is comprised of three sub-components structured with independent trochlear 910, medial condylar 912 and lateral condylar 911 sub-components with modular interfaces 913 generally in the anterodistal region of the femoral component. The articular surfaces, those surfaces on which mating components slide, provide a contoured surface aligned
25 across the modular interfaces 913 to provide smooth transition of mating components. A sequence for implanting the femoral sub-components is to place the condylar sub-components 911 and 912 first followed by the trochlear sub-component. The trochlear sub-component is passed through the small or minimally invasive incision and joined to the lateral
30 and medial condylar sub-components. The three sub-components are in approximate position on the distal femur when joined and are forced into final position as the components are fully assembled and secured to the femur. As previously described the interfaces 913 between sub-components can be unconstrained or free standing, partially constrained,
35 or fully constrained. Each of these embodiments is described in detail below and all are applicable in each of the femoral component embodiments of the current invention. In yet another femoral component

5 embodiment as shown in Figures 26 and 27, an independent trochlear sub-component is joined or engaged with an independent condylar sub-component 914 comprised of a unitary medial and lateral condylar sub-component with the interface 913 between the two sub-components generally in the anteriodistal region of the femoral component.

10 Looking specifically at the sub-component interface embodiments, as described above the interface, as found between femoral sub-components and between tibial sub-components may be unconstrained, partially constrained or fully constrained when the respective femoral or tibial sub-components are fully assembled. In addition, the interface may be

15 unconstrained or partially constrained during assembly to facilitate assembly within the joint cavity and onto supporting bone surfaces. The engaging mechanism or the joining mechanism may be structured to become more constrained as adjacent sub-components are brought into closer proximity to one another during assembly. Referring to Figures 49

20 A and B, a tapered boss 962, similar to that described above and shown in Figures 32 A and B, is structured to allow the condylar sub-components 928 and 929 to angulate generally in a transverse plane. Referring again to Figures 49 A and B, the condylar sub-components 928 and 929 angle inward with a gap 963 between adjacent sub-components. Alternatively,

25 the condylar sub-components 928 and 929 may angle outward, or angle in a similar medial to lateral direction relative to the trochlear sub-component to simplify assembly of the femoral sub-components within the confines of the joint cavity.

It may be beneficial to allow the condylar sub-components to angulate and

30 translate one to another while assembling them within the confines of the joint cavity. Referring to Figure 53, which is a cross sectional view of Figures 49 A and B, the boss 962 may be structured with a rectangular cross section and inwardly tapered opposing sides. The receiving pocket 964 structured to snugly receive the boss 962 when fully assembled, but

35 provide an unconstrained interface between the trochlear sub-component 927 and the condylar sub-component 928 as the sub-components are

5 initially placed together for assembly within the confines of the joint cavity. Hence, the trochlear sub-component may be angulated and translated relative to one or both of the condylar sub-components by the surgeon to facilitate assembly. Alternatively, as shown in Figure 54, the boss 965 on the trochlear sub-component 927 may have a rectangular cross section
10 and parallel opposing sides and structured to fit loosely within a receiving pocket 966 in the condylar sub-component 928 for an unconstrained interface during assembly and unconstrained or partially constrained when fully assembled. The fully assembled trochlear sub-component to condylar sub-component interface is unconstrained when a gap 963
15 remains between the sub-components after assembly onto the supporting bone. Alternatively, the trochlear sub-component to condylar sub-component interface is partially constrained when the gap 963 is closed between the sub-components after assembly onto the supporting bone. In this case, the adjacent sub-components are able to translate in the plane
20 of the interface. Optionally, the superior 967 and inferior 968 surfaces of the boss 965 may be structured to snugly slide within opposing superior 971 and inferior 972 surfaces of the receiving pocket 966 to provide a partially constrained engaging interface mechanism preventing superior-inferior relative translation and angulation between the adjacent sub-
25 components. Alternatively, the vertical side surfaces of the boss 965 may be structured to snugly slide within opposing vertical side surfaces of the receiving pocket 966 to provide a partially constrained engaging interface mechanism preventing mediolateral relative translation and angulation between adjacent sub-components. Ultimately, each femoral sub-
30 component 927, 928 and 929 is secured to its supporting bone by bonding with bone cement or by bone ingrowth.

In tri-compartmental knee replacement it is beneficial to recreate normal kinematics. To accomplish the alignment and orientation of each femoral sub-component is optimized to maintain proper ligament tension and
35 balance throughout a full range of motion of the knee. Hence, the alignment and orientation of each sub-component to adjacent sub-components and of the femoral component to the tibial and patellar

5 components are critical. As shown in Figures 28 and 29, the interlock
between the trochlear sub-component 910 and condylar sub-components
911 and 912 is with interlocking bosses 72 and 73. The axial clearance
74 between the sub-components is structured to allow moderate
angulation generally in a sagittal plane and constrained axial translation
10 and constrained angulation in a transverse plane. Optionally, the axial
clearance 74 can be increased to allow greater axial translation and
angulation generally in a sagittal plane. In addition, placing a radius on the
corners of the two bosses 72 and 73 and in the opposing corners
increases angulation generally in a sagittal plane. Before securing the
15 implants to supporting bone, axial rotation and orthogonal translation are
unconstrained this is beneficial in assembling the sub-components within
the joint cavity. Once secured to bone, the condylar sub-component boss
73 traps the trochlear sub-component boss against supporting bone.
Alternatively, the trochlear boss 72 may be placed distal to the condylar
20 sub-component boss in which case it would trap the condylar sub-
component boss. Optionally, as shown in Figures 30 and 31, orthogonal
translation generally in a superior-inferior direction can be constrained by
the addition of a partial dovetail 78 to the condylar sub-component boss 76
and the trochlear sub-component boss 77. Orthogonal translation
25 generally in a mediolateral direction remains unconstrained and facilitates
placing the trochlear sub-component onto the medial and lateral condylar
sub-components from the medial or lateral aspect of the femur. Such
assembly of the trochlear sub-component to the condylar sub-components
may be beneficial when the condylar sub-components are independently
30 secured to the prepared femoral condyles as described above followed by
placement of the trochlear sub-component due to the ability to slide the
trochlear sub-component between the patella and femur while engaging
the interlocking bosses 76 and 77.

Referring to Figures 34 and 35, optionally, orthogonal translation generally
35 in a sagittal plane and axial rotation may be constrained by capturing a
boss 450 of rectangular cross section and protruding away from the
trochlear sub-component 910 within a receiving pocket 31 of matching

5 shape and rectangular cross section formed in the condylar sub-
component 911 or 912. Alternatively, the boss may be on the condylar
sub-component 911 or 912 and the pocket in the trochlear sub-component
910. In either case, a relatively short boss is needed to facilitate assembly
within the joint capsule. Alternately, as shown in Figures 32 and 33, the
10 boss 80 of the trochlear sub-component 910 is tapered in a sagittal cross
section and the taper of the corresponding pocket 81 in the condylar sub-
component 911 or 912 is tapered to snugly receive the trochlear sub-
component boss 80 allowing less constraint in angulation generally in a
sagittal plane as the adjoining sub-components are fitted together, which
15 would facilitate assembly within the joint capsule and provide a
constrained interface when the taper junction is fully seated. Optionally,
the boss may also be tapered in a transverse plan to provide
unconstrained angulation generally in a transverse plan to facilitate
assembly within the joint cavity. As the boss 80 and pocket 81 are seated,
20 this interface becomes increasingly constrained to a full constraint when
fully seated. Alternatively, the boss 80 and receiving pocket 81 may be of
matching circular, oval or other suitable cross section structured with or
without tapers and with the pocket structured to snugly receive the boss.

To simplify assembly and increase stability of the interface a dowel pin 84
25 is pressed into the trochlear sub-component to be received by a mating
hole 83 in the condylar sub-component. Optionally, the trochlear sub-
component may be structured with a clearance hole 86 to accommodate a
threaded fastener 85 that threads into a threaded receiving hole 82 and
provides a means to apply a compressive retaining force across the sub-
30 component interface. To avoid disrupting the articular surface of the
trochlear sub-component, the clearance hole 86 is positioned to be either
medial or lateral to the articular path of the patellar component or of the
tibial bearing component. Fasteners may include, but are not limited to,
the interference of the tapered elements, screws and threaded fasteners,
35 expanding pins or bars, press fit pins or bars, other fastener means, or a
combination of these.

5 Referring again to Figure 32, alternatively, the boss 80 may be structured to be flexible generally in a sagittal plane by relieving the superior and inferior surfaces of the tapered element at its base. Such a flexible interconnection between adjoining sub-components may be advantageous in accommodating regional variations in the kinematically prepared support surfaces of the distal femur.

As described above, it may be advantageous to have a flexible interconnection between adjoining sub-components. Referring to Figures 36 and 37, an alignment tab 451 is flexible and is interposed between the trochlear sub-component and adjoining condylar sub-components 911 and 15 912. The alignment tab 451 is made of a flexible material, such as polyethylene, urethane or other suitable plastic material; or a metal such as NP35N, stainless steel, Nitinol or other suitable metal that is structured to be flexible. The alignment tab 451 is cylindrical. Alternatively, the alignment tab 451 may be oval, rectangular, or of any suitable shape and 20 cross section. The receiving pocket 31 in the condylar sub-components 911 and 912 are structured to match the shape and cross section of the alignment tab 451 to provide a stable sliding interface between the alignment tab and sub-component. Alternatively, the alignment tab 451 may be tapered inwardly as it protrudes towards the condylar sub-components or the trochlear sub-component, and the receiving pockets 31 25 and 451 structured to match such tapers providing a snub fit between the alignment tab and mating condylar sub-components and the mating trochlear sub-component.

It may be beneficial for the alignment tab to be temporarily placed into the sub-components to simplify assembly and attachment to supporting bone 30 within the joint capsule. Referring to Figures 38, 39 and 40, first, bone cement is placed on the inner surfaces of the sub-components and on the prepared surfaces of the distal femur. The condylar sub-components 911 and 912 and trochlear sub-component 910 are placed into the joint cavity and onto the supporting bone. The sub-components are then assembled 35 using a flexible alignment tabs 453 placed into mating slots 457 in the

5 trochlear sub-component and the condylar sub-components. Two
alignment tabs 453 are required, one for the medial condylar sub-
component 912 attachment to the trochlear sub-component 910 which is
placed from the medial side and one for the lateral condylar sub-
component 911 attachment to the trochlear sub-component 910 which is
10 placed from the lateral side. The condylar sub-components are impacted
with the knee in flexion followed by impaction of the trochlear sub-
component with the knee in extension. Excess bone cement is removed
and the cement allowed to cure. Trial tibial implants and trial patellar
implants may be placed to provide compressive loading of the femoral
15 sub-components while the bone cement cures. In one embodiment as
shown in Figures 38, the alignment tab 453 has cylindrical edges 455
structured to slide into slots 457 in the condylar sub-components and
trochlear sub-component configure to match the shape and cross section
of the alignment tab 453. The alignment tab cylindrical edges 455 are
20 structured to engage the cylindrical recesses 456 in the condylar sub-
components and the trochlear sub-component.

Alternatively, one of the cylindrical edges of the alignment tab 453 may be
structured to collapse and expand to simplify assembly of the sub-
components within the joint cavity. Referring to Figure 39, the expandable
25 edge 459 of the alignment tab is structured with a slot 458 running the
length of the alignment tab. The cylindrical edge 455 of the alignment tab
453 is placed into the receiving slot 457 of either the trochlear sub-
component or one of the condylar sub-components, then slid into the
receiving slot 457 of the mating sub-component. An expansion pin 460 is
30 placed into the slot 458 to expand the expandable edge 459 to engage the
cylindrical recess 456 in the mating sub-component. This is repeated for
the other condylar sub-component and the femoral component is secured
to the prepared femur as described above. After the bone cement has
sufficiently cured, the alignment tabs 453 are removed by hooking the
35 removal hole 454. Alternatively, a suture may be tied to the removal hole
to facilitate easy removal of the alignment tabs. Alternatively, the

- 5 alignment tabs 453 may be placed into the receiving slots 457 using tether devices as described in US Patent Application No. 11/186,485.

Turning to the tibial implants, as described above the tibial baseplate component may be unitary in construction as shown in Figure 43, to cover the prepared surface of the tibial plateau as relates to the knee. The
10 medial baseplate 328 and lateral baseplate 326 may be symmetrical to allow use of one design for right or left knees. Alternatively, the medial baseplate 328 and lateral base 326 may be asymmetric requiring left and right designs. The bridge 324 between the medial 328 and lateral 326 baseplates is shown with a narrow anterior to posterior dimension to
15 enable placement of the bridge 324 anterior to the insertion of the anterior cruciate ligament to preserve supporting bone in an anterior cruciate sparing total knee design. Optionally, the posterior surface of the bridge 330 may be moved posteriorly (not shown) for an anterior cruciate sacrificing total knee design. Optionally, the posterior surface of the bridge
20 may be moved further posteriorly (not shown) for a cruciate sacrificing (anterior and posterior cruciate ligaments) total knee design, commonly known as a posterior stabilized total knee. The proximal surfaces of the medial 328 and lateral 326 baseplates are recessed with a shoulder 322 around the circumference of the recess providing one form of capture mechanism or restraint for a tibial bearing insert (not shown). Other tibial
25 bearing insert to baseplate locking means are known in the art and include dovetail mechanism, locking tabs, locking keys and pins and other fasteners to secure a tibial bearing insert onto a baseplate.

If structured as a unitary component, the tibial baseplate provides a
30 capture mechanism for a fixed bearing or a mobile bearing insert for either the medial or lateral tibiofemoral compartment. As an option, a single platform is structured to provide a fixed bearing capture mechanism for the medial tibiofemoral compartment and a mobile bearing capture mechanism or a simple platform to receive a mobile bearing insert for the
35 lateral tibiofemoral compartment. Since right and left tibial baseplates are

5 required, the same baseplate may be used for a mobile bearing medial insert and a fixed bearing lateral insert.

As shown in Figure 44, the tibial baseplate is optionally structured as a two piece component wherein the sub-components are joined within the confines of the joint cavity. The split 323 between the medial baseplate 328 and lateral baseplate 326 may be medial of the bridge 324; however
10 the split 323 may be located anywhere along the bridge and angle medially or laterally with respect to the sagittal plane, or be parallel to it. The benefit of placing the split 323 medially and angled is three fold, first this provides additional cross sectional area for an interconnect
15 mechanism, second it provides easy access perpendicular to the split 322 via the medial parapatellar incision for fastener placement, and third it provides an extension onto which an inserter can be attached to facilitate placement of the lateral tibial baseplate sub-component 326 through a medial parapatellar incision. Alternatively, the interconnection between
20 the medial baseplate sub-component 328 and the lateral baseplate sub-component 326 at split 322 is fully constrained to hold the medial 328 and lateral 326 sub-components in a common plane and to hold the divergence of the sub-components at a fixed angle. Optionally, the interconnection at split 323 is partially constrained.

25 Figure 41, dowel pin 344 threaded fastener 345

As in the femoral sub-components, the tibial baseplate may be structured as a unitary piece, or as a plurality of components. In the later case, the interface between tibial baseplate sub-components may be unconstrained, partially constrained or fully constrained. The sub-component interface
30 embodiments described for the femoral sub-components are applicable to joining or engaging the tibial sub-components and this is implied by reference. In addition, the sub-component interface embodiments described for the tibial baseplate sub-components are applicable to joining or engaging the femoral sub-components where they may differ from those
35 described above. The tibial baseplate sub-components are manufactured

5 from a suitable metal, to include cobalt chromium alloy, titanium or titanium alloy or stainless steel; or from zirconia or alumina ceramic. The sub-components may be machined or cast or molded. Manufacturing methods include machining, wire and plunge EDM, and other suitable fabrication process.

10 Referring to Figures 41 and 42, in an alternate embodiment the tibial baseplate is sectioned along one of the sides of the opening for the tibial eminence with such interface between sub-components angling away from a sagittal plane passing through the center of the knee. In an alternate embodiment the interface between sub-components is towards the medial

15 condyle to position the interface below the surgical incision and to the side of the patellar ligament. As shown in Figure 41, a boss 340 extends from and the bridge 324. The boss 340 may be rectangular in cross section. The inferior-superior dimension of the boss 340 being less than that of the corresponding inferior-superior dimension of the tibial baseplate sub-

20 components 326 and 328 in the region of the bridge 324. The sub-component interface may be structured for relatively constrained assembly by structuring the boss 340 to have parallel surfaces on opposing sides of the boss protruding from the interface surface of the lateral sub-

25 component 326. The receiving pocket 342 is structured with a shape and cross section to slidably fit the mating boss 340. However, assembly within the joint cavity may be simplified by tapering the boss 340 to allow angulation between the sub-components during assembly and a constrained interface after the sub-components are fully seated. Optionally, as shown in Figures 51 A & B, the boss 340 may have parallel

30 surfaces on the superior and inferior surfaces and inwardly tapering surfaces on the vertical surfaces 341 to provide constraint in superior-inferior angulation between the sub-components and minimal constraint to angulation within the plane of the baseplate during assembly. In an alternate embodiment, the interlock between sub-components may include

35 a dowel pin 344 and a threaded fastener 345 as shown in Figure 41, or may not as shown in Figures 51 A & B. Referring again to Figures 51 A & B, the baseplate sub-components may be positioned with the boss 340

5 partially engaged in the receiving pocket 342 (see Figure 41) enabling the sub-components to be angulated one to the other generally in a transverse plane to orient the sub-components relative to the geometry of the supporting bone of the tibial plateau.

It may be beneficial to allow the baseplate sub-components to angulate and translate one to another while assembling them within the confines of
10 the joint cavity. Referring to Figures 51 A and B, the boss 340 may be structured with a rectangular cross section and inwardly tapered opposing sides. The receiving pocket 342 (see Figure 41) is structured to snugly receive the boss 342 when fully assembled, but provide an unconstrained
15 interface between adjacent sub-component 326 and 328 as the sub-components are initially placed together for assembly within the confines of the joint cavity. Hence, the baseplate sub-component may be angulated and translated relative one to the other by the surgeon to facilitate assembly. Alternatively the boss 340 may have a rectangular
20 cross section and parallel opposing sides and structured to fit loosely within a receiving pocket 342 for an unconstrained interface during assembly and unconstrained or partially constrained when fully assembled, the boss 340 and receiving pocket 342 being of similar structure as that described above for the femoral sub-components as
25 relating to Figure 54. The fully assembled baseplate sub-component to sub-component interface is unconstrained when a gap 323 remains between the sub-components after assembly onto the supporting bone. Alternatively, the baseplate sub-component to sub-component interface is partially constrained when the gap 323 is closed between the sub-
30 components after assembly onto the supporting bone. In this case, the adjacent sub-components are able to translate in the plane of the interface. Optionally, the superior and inferior surfaces of the boss 340 may be structured to snugly slide within opposing superior and inferior surfaces of the receiving pocket 342 to provide a partially constrained
35 engaging interface mechanism preventing superior-inferior relative translation and angulation between the adjacent sub-components. Alternatively, the vertical side surfaces of the boss 340 may be structured

5 to snugly slide within opposing vertical side surfaces of the receiving pocket 342 to provide a partially constrained engaging interface mechanism preventing mediolateral relative translation and angulation between adjacent sub-components. Ultimately, the baseplate sub-components 328 and 326 are secured to supporting bone by bonding with
10 bone cement or by bone ingrowth.

Optionally, the boss 340 may have inwardly tapering surfaces on the superior and inferior surfaces (not shown) and the vertical surfaces 341 to provide minimal constraint to angulation in any direction between the sub-components during assembly within the joint cavity. In both embodiments
15 the receiving pocket 342 is structured with a shape and cross section to snugly fit the mating boss 340 thereby provided a fully constrained interface when the sub-components are fully seated. Alternatively, the boss 340 may be structured as a cylindrical or truncated cone or other suitable shape and cross section for engaging or joining the sub-
20 components and the receiving pocket 342 is structured with a shape and cross section to snugly fit the mating boss 340. Alternatively there may be multiple bosses (not shown) protruding from the interface surface of the lateral baseplate sub-component with receiving pockets structured with a shape and cross section to snugly fit the mating bosses in the other sub-
25 component. Alternatively, the boss or bosses may protrude from the medial baseplate sub-component with the receiving pockets in the lateral baseplate sub-component.

Referring to Figure 41, a dowel pin 344 may be pressed fit into a receiving hole 340 in the lateral baseplate sub-component 326. The receiving hole
30 343 for the dowel pin 344 in the medial baseplate sub-component provides a slip fit for ease of assembly. Alternatively, the dowel pin 344 may be press fit into the medial baseplate sub-component and slip fit into the lateral baseplate sub-component. It may be beneficial to provide a compression force to fully seat the tapered interfaces and to provide a
35 mechanical locking of the sub-components to one another. In one embodiment a threaded fastener 345 is placed through a receiving hole

5 348 in the lateral baseplate sub-component and treads into a threaded receiving hole in the medial baseplate sub-component. The anterior opening of the clearance hole 346 is enlarged to provide a countersink for the head of threaded fastener 345. Referring to Figure 42, the threaded fastener 345, clearance holes 348 and 346, and threaded receiving hole
10 347 may be structured to pass through the boss 340 and receiving pocket 342 allowing for a second dowel pin 349 to be press fit into a receiving hole 350 in the lateral baseplate sub-component thereby providing additional stability to the interface when placed in a receiving slip fit hole 351 in the medial baseplate sub-component.

15 As described above, there may be patient indications wherein the use of a post attached to the tibial baseplate and extending into the tibial medullary canal is needed to provide additional stability to the implant. Similarly, at times such indications exist for the femoral component wherein the use of a post attached to the femoral component or sub-components and
20 extending into the femoral medullary canal is needed to provide additional stability to the implant. Conventional tibial and femoral knee implants structured for use with modular posts are structured for assembly outside of the joint cavity. Such designs are problematic in less and minimally invasive knee arthroplasty because the limited surgical exposure does not
25 allow sufficient access to place the assembled components into the joint cavity. In the present invention it has been found that the limited surgical exposure allows sufficient access to place a stem into the tibial medullar canal. Similarly for the femoral side, it has been found that the limited surgical exposure allows sufficient access to place a stem into the femoral
30 medullar canal. Hence, in one embodiment of the present invention a stem is passed into the joint cavity and into a prepared hole in the tibial plateau extending to the medullary canal. After which tibial components or sub-components of the present invention as described above are placed into the joint cavity and assembled to the stem. Similarly for the femoral
35 component, in one embodiment of the present invention a stem is passed into the joint cavity and into a prepared hole in the distal femur extending to the medullary canal. After which femoral components or sub-

5 components of the present invention as described above are placed into the joint cavity and assembled to the stem. In one embodiment of the present invention the femoral stem is placed first, followed by the tibial stem, followed by the femoral sub-components, and finally by the tibial sub-components. Alternatively, the femoral stem is placed first, followed
10 by the femoral sub-components, followed by the tibial stem, and finally by the tibial sub-components.

Generally referring to Figures 45 and 46, in an alternate embodiment of the invention the tibial component is comprised of a stem sub-component 940 and a unitary baseplate sub-component 941. Alternatively, medial
15 and lateral baseplate sub-components as described above may be used with the stem sub-component 940, wherein the stem sub-component 940 is placed into the tibia, followed by the medial baseplate sub-component, then the lateral baseplate sub-component. The tibial sub-components are then assembled within the joint cavity. Alternatively, the lateral baseplate
20 sub-component may be placed before the medial baseplate sub-component.

Flexing the knee to greater than 90° provides access to prepare a receiving hole in the proximal tibia for the stem sub-component. A tibial template or trial and a punch commonly know to those skilled in the art are
25 used to prepare the receiving hole. Referring to Figure 46, the stem sub-component 940 is placed into the tibia with the knee similarly flexed. It may be beneficial to leave the stem approximately 2 mm to 6 mm short of its fully seated position to facilitate placement of the implants with bone cement as will be explained below. If bone cement is to be used, the bone
30 cement is applied to the underside of the baseplate sub-component and onto the tibial plateau. With the knee in extension, the baseplate sub-component 941 is placed into the joint cavity by placing the lateral aspect of the baseplate sub-component 941 through the incision medial to the patellar ligament and above the stem sub-component 940. The baseplate
35 sub-component 941 is then rotated to align with the tibial plateau and is pulled anteriorly until receiving tabs 953 clear the stem capture plate 944.

5 The baseplate sub-component 941 is then brought down to the level of the receiving tabs 953, which in the case of a cemented component have been positioned slightly above the tibial plateau to facilitate placing the baseplate sub-component 941 onto the stem without disrupting the bone cement previously placed on the baseplate sub-component and on the
10 tibial plateau. The baseplate sub-component 941 is pushed posteriorly to slidably engage a receiving groove 949 in the proximal stem sub-component and secured to the stem sub-component 940 with a threaded fastener 946 placed through receiving clearance hole 947 in the baseplate sub-component 941 and threaded into threaded receiving hole 950 in the
15 stem sub-component. Alternatively, other fastening means known in the art may be used, for example cross pins, snap fits, tapered fits or other suitable attachable means. Alternatively, the capture plate 944 may be modular allowing the baseplate sub-component 941 to be placed onto the stem sub-component 940 by lowering the baseplate sub-component 941
20 onto a receiving post followed by placing the capture plate 944 and securing the capture plate 944 with one or more threaded fasteners placed through the capture plate and into the stem sub-component 940. After securing the baseplate sub-component to the stem sub-component the knee is flexed to greater than 90° to provide access for an impaction tool
25 and the tibial component is impacted onto the tibial plateau. If bone cement was used then excess bone cement is then removed after impaction.

Referring to Figures 45 and 46, the stem sub-component is structured with fins 951 that provide rotational stability when engaged with supporting
30 bone and provide support of the baseplate sub-component 941. The under surface of the baseplate sub-component 941 is supported by the proximal surfaces 952 of the fins 951. Alternatively, as shown in Figure 50, the lateral baseplate sub-component 326 and the stem sub-component 940 may be structured as a unitary sub-component with the medial
35 baseplate sub-component 328 structured to be engaged or joined thereon.

5 Referring to Figures 47 and 48, in another embodiment of the invention a
bracket 953 may be used to secure the baseplate sub-component 941 to
the stem sub-component 940. The baseplate sub-component 941 is
placed onto the stem sub-component 940 as described above. After the
baseplate sub-component 941 has been positioned on the stem sub-
10 component 940 the bracket 953 is placed onto the anterior surface of the
stem sub-component in a recessed area 956 and the baseplate sub-
component 940 and secured with threaded fasteners 952 placed through
receiving clearance holes 954 in the bracket 953 and into threaded
receiving holes 955 in the stem sub-component. After securing the
15 baseplate sub-component to the stem sub-component the knee is flexed to
greater than 90° to provide access for an impaction tool and the tibial
component is impacted onto the tibial plateau. If bone cement was used
then excess bone cement is then removed after impaction. The other
features and functions of the embodiment shown in Figures 47 and 48 are
20 as described above and shown in Figures 45 and 46.

As described above, sub-components comprising the femoral and the tibial
component are oriented one to the other in forming the femoral and the
tibial component, respectively. The process of placing the sub-
components into the joint cavity, aligning and orienting them, engaging or
25 joining them one to the other and securing them to supporting bone can be
simplified and enhanced through the use of instruments to hold one or
more sub-components while placing them into the joint cavity and to hold
two or more sub-components properly oriented during assembly or while
securing them to supporting bone.

30 Referring to Figures 19 A & B, independent tibial baseplate sub-
components 314 and 315 are held in proper orientation one to the other by
a baseplate inserter 316. In one embodiment the tibial inserter 316 is
comprised of a bracket 302 that spans the baseplate sub-components 314
and 315 along their respective anterior surfaces 317. The respective
35 mating surfaces 308 on the cross bar 302 conform to such anterior
baseplate sub-component surfaces 317 to prevent axial rotation of the

5 independent baseplate subcomponents 314 and 315 during placement into the joint cavity. The baseplate sub-components are fastened to the bracket 302 by threaded fasteners 304 placed through clearance holes 305 in the bracket 302 and threaded into threaded receiving holes 301 in the medial 315 and lateral 314 baseplate sub-components. In an alternate
10 embodiment the inserter shaft 303 attaches to the bracket 302 medially anterior to the medial baseplate sub-component 315 allowing for easier placement of the baseplate sub-components 314 and 315 and tibial inserter 316 through a vertical incision running along the medial aspect of the patella. Alternatively, the inserter shaft 303 may be attached midway
15 along the bracket 302 or on the lateral aspect of the bracket 302. In an alternative embodiment of the invention the bracket 302 may be attached to the individual baseplate sub-components with snap-fit connectors, trinkle locks, dove tale connections, or other means to attach two parts together. The inserter shaft 303 may have a quick attach mechanism,
20 such as a trinkle lock 312, structured in a square drive 310, the trinkle lock 312 holding the inserter shaft 303 in the receiving hole 311 in the square receiving hole 311, which has a receiving dimple (not shown) to receive the trinkle lock 312, in the bracket 302 while the square drive 310 prevents axial rotation between the inserter shaft 303 and bracket 302. The trinkle
25 lock 312 is normally locked and can be released by pulling back on the release button 309. A detachable inserter shaft 303 is desirable to enable removal of the inserter shaft 303 while leaving the bracket 302 in place to stabilize the individual baseplate sub-components during range of motion assessment or during cementing when it is helpful to allow the incision to
30 close and the patella to track in the trochlea. Alternatively, the inserter shaft 303 may be integral with the bracket 302. In general, the bracket 302 would be available in multiple sizes to accommodate a range of baseplate sub-component sizes and mediolateral spacing. Alternatively, the bracket 302 may be structured to vary in length by including a sliding
35 or telescoping mechanism axially. The baseplate inserter may be made from a suitable metal, such as stainless steel. Optionally, the handle 306

5 may be made of a suitable plastic, such as acetyl, Ultem, or celcon, or a phenolic material.

In another embodiment of the current invention the bracket 302 may be structured to be implantable in the event additional stability between the medial 315 and lateral 314 baseplate sub-components is beneficial. In
10 which case the bracket 302 and fixation devices, such as screws 304, are made from a suitable implantable material such as titanium, titanium alloy, stainless steel, cobalt chromium alloy; or from a suitable polymer such as PEEK or polyethylene.

In one method of use in which the baseplate sub-components 314 and 315
15 are to be secured to supporting bone with bone cement, the medial baseplate sub-component 315 is first attached to the bracket 302. Trial femoral sub-components (not shown) are placed on the lateral and medial femoral condyles. Bone cement is applied to the underside of the baseplate sub-components 314 and 315, and the independent lateral
20 baseplate 314 is placed into the lateral compartment of the knee. The medial baseplate 305 is placed into the medial compartment with the aid of the tibial inserter 316 until a threaded fastener 304 can be passed through receiving hole 305 in the bracket 302 and into the threaded receiving hole 301 in the lateral baseplate sub-component 314. Trial insert bearings (not
25 shown) are placed on the baseplate sub-components 314 and 315, and the knee is extended to provide a compressive force to the tibial components. Optionally, the tibial inserter 316 may be structured with an alignment guide to reference the mechanical axis of the knee to aid in aligning the tibial components. Alternatively, the tibial inserter 316 may be
30 structured with a navigational tracker to enable surgical navigation of the tibial inserter 316 and the attached baseplate sub-components 314 and 315 for proper alignment within the joint cavity. The inserter shaft 303 may be removed and the bracket 302 left in place to improve access to the joint cavity for cement cleanup. The inserter shaft 303 may be removed by
35 pulling back on the trinkle lock release button 309. Once the cement has set the bracket 302 is removed.

5 Optionally, the tibial inserter 316 may be structured for attachment of an alignment guide. Referring to Figure 55, an alignment guide 201 with an alignment rod 202 may be used to check alignment of the tibial baseplate sub-components 314 and 315 relative to the mechanical axis of the leg by attaching the alignment guide 201 to the tibial inserter 316, such
10 attachment structured as a channel 204 in the base 203 of the alignment guide 201 that slidably fits over the shaft 303 to stabilize the alignment guide 201 in proper alignment relative to the tibial inserter 316. The alignment guide is attached to the tibial inserter by threaded fasteners 304 passed through clearance receiving holes 305 in the base 203 and
15 threaded into threaded receiving holes 301 in the inserter shaft 303. Tibial sub-component 314 and 315 alignment is checked with the alignment guide 201 attached to the tibial inserter 316 and the tibial sub-components placed on the prepared tibial resections. Femoral trials and trial insert bearings are placed and the knee is extended to full extension. When
20 properly aligned, the alignment rod 202 passes over the hip joint center, the knee joint center and the ankle center.

Optionally, the tibial inserter 316 may be structured for attachment of a surgical navigation tracker for use with a surgical navigation system. Referring to Figure 57, a surgical navigation tracker 205 with three
25 reflective spheres 208 supported on a frame 207 and a base 206 may be used to check alignment of the tibial baseplate sub-components 314 and 315 relative to the mechanical axis of the leg by attaching the a surgical navigation tracker 205 to the tibial inserter 316, such attachment structured as a channel 204 in the base 206 of the a surgical navigation
30 tracker 205 that slidably fits over the shaft 303 to stabilize the a surgical navigation tracker 205 in proper alignment relative to the tibial inserter 316. The a surgical navigation tracker 205 is attached to the tibial inserter by threaded fasteners 304 passed through clearance receiving holes 305 in the base 206 and threaded into threaded receiving holes 301 in the
35 inserter shaft 303. Tibial sub-component 314 and 315 alignment is checked with the a surgical navigation tracker 205 attached to the tibial inserter 316 and the tibial sub-components placed on the prepared tibial

5 resections. Femoral trials and trial insert bearings are placed and the knee is extended to full extension. The surgical navigation system will measure knee alignment and provide a report to the surgeon. Alternatively, the alignment guide 201 and the surgical navigation tracker 205 may be structured for attachment to the tibial inserter 316 with "T" 10 slots; dovetail locks; cylindrical interlocks; button interlocks; spherical interlocks; or a combination of these, or other connecting means used to connect two or more parts.

As described above, one embodiment for the femoral articular surfaces is to resurface the medial and lateral tibiofemoral compartments and the 15 patellofemoral compartment; there is benefit in staging implantation of the components if bone cement is used to secure the implants to supporting bone. Referring to Figures 20 A and B, the independent medial 912 and lateral 911 condylar sub-components may be cemented in place before the trochlear sub-component. In one embodiment of the present invention 20 these condylar sub-components are oriented one to the other by a femoral inserter 920 for placement into the joint cavity. In one embodiment the femoral inserter 920 is comprised of a bracket 36 that spans the medial 912 and lateral 911 condylar sub-components along their respective anterior surfaces 933. The bracket 36 is structured with protruding tabs 35 25 that slidably fit into receiving pockets 31 in the medial 912 and lateral 911 condylar sub-components to prevent axial rotation of each condylar sub-component, respectively, during placement into the joint cavity. The condylar sub-components 911 and 912 are fastened to the bracket 36 by threaded fasteners 33 placed through clearance holes 34 in the bracket 36 30 and threaded into threaded receiving holes 932 in the individual condylar sub-components 911 and 912. In an alternate embodiment the inserter shaft 39 attaches to the bracket 36 medially anterior to the medial condylar sub-component 912 allowing for easier placement of the condylar sub-components 911 and 912 and femoral inserter 920 through a vertical 35 incision running along the medial aspect of the patella. Alternatively, the inserter shaft 39 may be attached midway along the bracket 36 or on the lateral aspect of the bracket 36. In an alternative embodiment of the

5 invention the bracket 36 may be attached to the individual condylar sub-
components with snap-fit connectors, trinkle locks, dove tale connections,
or other means to attach two parts together. The inserter shaft 39 may
have a quick attach mechanism, such as a trinkle lock 38, structured in a
square drive 37, the trinkle lock 38 holding the inserter shaft 39 in the
10 square receiving hole 41, which has a receiving dimple (not shown) to
receive the trinkle lock 38, in the bracket 36 while the square drive 37
prevents axial rotation between the inserter shaft 39 and bracket 36. The
trinkle lock 38 is normally locked and can be released by pulling back on
the release button 41. A detachable inserter shaft 39 is desirable to
15 enable removal of the inserter shaft 39 while leaving the bracket 36 in
place to stabilize the individual condylar sub-components 911 and 912
during range of motion assessment or during cementing when it is helpful
to allow the incision to close and the patella to track in the trochlea.
Alternatively, the inserter shaft 39 may be integral with the bracket 36. In
20 general, the bracket 36 would be available in multiple sizes to
accommodate a range of condylar sub-component sizes and mediolateral
spacing. Alternatively, the bracket 36 may be structured to vary in length
by including a sliding or telescoping mechanism axially. The femoral
inserter may be made from a suitable metal, such as stainless steel.
25 Optionally, the handle 43 may be made of a suitable plastic, such as
acetyl, Ultem, or celcon, or a phenolic material.

In one method of use in which bone cement is used to secure the femoral
component to supporting bone, the first step is to prepare receiving holes
in the distal femur for the posts 916 on the independent condylar sub-
30 components 911 and 912. A drill and drill guide (not shown) are used to
prepare receiving holes in the femoral condyles for the posts 916 on the
medial and lateral condylar sub-components. Optionally, the lateral
condylar sub-component is attached to the insertion tool 920 outside the
joint cavity. Cement is applied to the prepared medial and lateral condyles
35 and to the inner surfaces 917 of the medial 912 and lateral 911 condylar
sub-components. The medial condylar sub-component 912 is placed onto
the medial condyle and the insertion tool 920 is used to place the lateral

5 condylar sub-component 911 under the patellar ligament and into the lateral tibiofemoral compartment. When the lateral condylar sub-component is in place, the insertion tool 920 is assembled to the medial condylar sub-component by advancing a threaded fastener 33 into the receiving hole 932 in the sub-component. The medial and lateral tabs 35
10 protruding from the bracket 36 engage the medial and lateral condylar sub-components, respectively, by fitting into conforming pockets 31 therein. The shape and cross section of such tabs 35 being structured to accommodate various receiving pockets in the condylar sub-components as described below. Trial tibial baseplate sub-components and trial tibial
15 inserts (not shown) are placed onto the prepared lateral and medial tibial plateaus. Optionally, the inserter shaft 39 is structured to receive an alignment guide to reference the mechanical axis of the femur and tibia to aid in aligning the condylar sub-components 911 and 912. The knee is extended to load the implants. Excess bone cement is removed. The
20 inserter handle 43 and inserter shaft 39 may be removed and the bracket 36 left in place to improve access to the joint cavity for cement cleanup and to check range of motion and tissue balance.

The inserter handle 43 and inserter shaft 39 are removed by pulling back on the trinkle release button 41 which releases the trinkle lock 38
25 connecting the inserter shaft 39 to the bracket 36 in the square receiving hole 41 in the bracket 36. After the bone cement has set the bracket 36 is removed. The trochlear sub-component 910, Figure 21, is now implanted in similar fashion by first preparing a receiving hole for the post 916 on the inner surface of the trochlear sub-component 910 using a drill and drill
30 guide (not shown), placing bone cement onto the prepared femoral trochlea and onto the inner surface 917 of the trochlear sub-component, shown in Figure 21. Referring to Figures 34 A and B, the two bosses 450 protruding from the posterior interface surfaces 461 of the trochlear sub-component 910 are structured for each boss 450 to engage a condylar
35 sub-component 911 or 912 in a respective receiving pocket 31 in the anterior interface surface 462 of each condylar sub-component 911 or 912 to properly orient the trochlear sub-component 910 to the condylar sub-

5 components 911 and 912. The trochlear sub-component is then impacted
onto the femoral trochlea establishing kinematic positioning of the
trochlear sub-component. A contoured impactor (not shown) is used to
seat the trochlear sub-component. After impaction the excess bone
cement is removed. The patellar component or patellar trial is placed onto
10 the patella and the knee is flexed and extended to assess range of motion
and soft tissue balance checked.

Optionally, the femoral inserter 920 may be structured for attachment of an
alignment guide. Referring to Figure 56, an alignment guide 201 with an
alignment rod 202 may be used to check alignment of the femoral condylar
15 sub-components 911 and 912 relative to the mechanical axis of the leg by
attaching the alignment guide 201 to the femoral inserter 920, such
attachment structured as a channel 204 in the base 203 of the alignment
guide 201 that slidably fits over the shaft 39 to stabilize the alignment
guide 201 in proper alignment relative to the femoral inserter 920. The
20 alignment guide is attached to the femoral inserter by threaded fasteners
304 passed through clearance receiving holes 305 in the base 203 and
threaded into threaded receiving holes 301 in the inserter shaft 39.
Femoral condylar sub-component 314 and 315 alignment is checked with
the alignment guide 201 attached to the femoral inserter 920 and the
25 femoral condylar sub-components placed on the prepared femoral
resections. Tibial baseplate trials and trial insert bearings are placed
and the knee is extended to full extension. When properly aligned, the
alignment rod 202 passes over the hip joint center, the knee joint center
and the ankle center.

30 Optionally, the femoral inserter 920 may be structured for attachment of a
surgical navigation tracker for use with a surgical navigation system.
Referring to Figure 58, a surgical navigation tracker 205 with three
reflective spheres 208 supported on a frame 207 and a base 206 may be
used to check alignment of the femoral condylar sub-components 911 and
35 912 relative to the mechanical axis of the leg by attaching the a surgical
navigation tracker 205 to the femoral inserter 920, such attachment

5 structured as a channel 204 in the base 206 of the a surgical navigation
tracker 205 that slidably fits over the shaft 39 to stabilize the a surgical
navigation tracker 205 in proper alignment relative to the femoral inserter
920. The a surgical navigation tracker 205 is attached to the femoral
10 inserter by threaded fasteners 304 passed through clearance receiving
holes 305 in the base 206 and threaded into threaded receiving holes 301
in the inserter shaft 39. Femoral condylar sub-component 911 and 912
alignment is checked with the a surgical navigation tracker 205 attached to
the femoral inserter 920 and the femoral condylar sub-components 911
and 912 placed on the prepared tibial resections. Tibial baseplate trials
15 and trial insert bearings are placed and the knee is extended to full
extension. The surgical navigation system will measure knee alignment
and provide a report to the surgeon. Alternatively, the alignment guide
201 and the surgical navigation tracker 205 may be structured for
attachment to the femoral inserter 920 with "T" slots; dovetail locks;
20 cylindrical interlocks; button interlocks; spherical interlocks; or a
combination of these, or other connecting means used to connect two or
more parts.

Additional components or steps as known to those skilled in the art may be
performed within the scope of the invention. Further, one or more of the
25 listed steps or components need not be performed in a procedure within
the scope of the present invention. While a select embodiments of the
present invention have been described, it should be understood that
various changes, adaptations and modifications may be made therein
without departing from the spirit of the invention and the scope of the
30 appended claims.

5 What is claimed is:

1. An apparatus for replacing the surfaces of a joint between a first bone and a second bone, the first bone moving in a predetermined manner with a second bone, the apparatus comprising a first bone arthroplasty, said arthroplasty including a plurality of individual sub-
10 components structured for mimicking and replacing the bearing surfaces of the first bone, each of said plurality of individual sub-components having relative motion one to the other, wherein the relative motion between each of said plurality of individual sub-components is unconstrained.
- 15 2. The apparatus of claim 1 wherein the first bone arthroplasty includes a plurality of individual femoral components.
3. The apparatus of claim 2 wherein the relative motion between two or more of said plurality of individual sub-components is unconstrained.
- 20 4. The apparatus of claim 2 wherein the relative motion between two or more of said plurality of individual sub-components is partially constrained.
5. An apparatus for replacing the surfaces of a joint between a first bone and a second bone, the first bone moving in a predetermined
25 manner with a second bone, the apparatus comprising a first bone arthroplasty, said arthroplasty including a plurality of individual sub-components structured for mimicking and replacing the bearing surfaces of the first bone, each of said plurality of individual sub-components having relative motion one to the other, wherein the
30 relative motion between each of said plurality of individual sub-components is partially constrained.
6. The apparatus of claim 5 wherein the first bone arthroplasty includes a plurality of individual femoral components.

- 5 7. The apparatus of claim 6 wherein the relative motion between two or more of said plurality of individual sub-components is partially constrained.
8. An apparatus for replacing the surfaces of a joint between a first bone and a second bone, the first bone moving in a predetermined
10 manner with a second bone, the apparatus comprising a first bone arthroplasty including a plurality of individual sub-components structured for mimicking and replacing the bearing surfaces of the first bone, each of said plurality of individual sub-components having relative motion one to the other, wherein the relative motion
15 between each of said plurality of individual sub-components is constrained.
9. The apparatus of claim 8 wherein the first bone arthroplasty includes a plurality of individual femoral components
10. An apparatus for replacing the surfaces of a joint between a first
20 bone and a second bone, the first bone moving in a predetermined manner with a second bone, the apparatus comprising a first bone arthroplasty including a plurality of individual sub-components structured for mimicking and replacing the bearing surfaces of the first bone, each of said plurality of individual sub-components
25 having an inner surface structured to be secured to the first bone and an outer surface; and
a second bone arthroplasty including a plurality of individual sub-components structured for mimicking and replacing respective bearing surfaces of the second bone, wherein the outer surfaces of
30 said plurality of individual sub-components of the first bone arthroplasty contact said second bone arthroplasty and further wherein each of said plurality of individual first bone sub-components are structured to be unconstrained during assembly within the joint cavity and constrained when fully assembled within
35 the joint cavity, the resulting configuration of the first bone

- 5 arthroplasty and second bone arthroplasty articulating in a
predetermined manner to restore proper kinematics.
11. An apparatus for replacing the surfaces of a joint between a first
bone and a second bone, the first bone articulating in a
predetermined manner with a second bone, the apparatus
10 comprising a first bone arthroplasty including a plurality of individual
sub-components structured for mimicking and replacing the bearing
surfaces of the first bone, each of said plurality of individual sub-
components having an inner surface structured to be secured to the
first bone and an outer surface; and
- 15 a second bone arthroplasty structured for mimicking and replacing
respective bearing surfaces of the second bone, wherein the outer
surfaces of said plurality of individual sub-components of the first
bone arthroplasty contact said second bone arthroplasty and further
wherein each of said plurality of individual sub-components of the
20 first bone arthroplasty are structured to be unconstrained during
assembly within the joint cavity and partially constrained when fully
assembled within the joint cavity, the resulting configuration of the
first bone arthroplasty and second bone arthroplasty articulating in a
predetermined manner to restore proper kinematics.
- 25 12. An apparatus for replacing the surfaces of a joint between a first
bone and a second bone, the first bone moving in a predetermined
manner with a second bone, the apparatus comprising a second
bone arthroplasty including a plurality of individual sub-components
structured for mimicking and replacing the bearing surfaces of the
30 second bone, each of said plurality of individual sub-components
having relative motion one to the other, wherein the relative motion
between each of said plurality of individual sub-components is
unconstrained.
13. The apparatus of claim 12 wherein the second bone arthroplasty
35 includes a plurality of individual tibial sub-components.

- 5 14. An apparatus for replacing the surfaces of a joint between a first
bone and a second bone, the first bone moving in a predetermined
manner with a second bone, the apparatus comprising a second
bone arthroplasty including a plurality of individual sub-components
structured for mimicking and replacing the bearing surfaces of the
10 second bone, each of said plurality of individual sub-components
having relative motion one to the other, wherein the relative motion
between each of said plurality of individual sub-components is
partially constrained.
- 15 15. The apparatus of claim 14 wherein the second bone arthroplasty
includes a plurality of individual tibial components.
16. The apparatus of claim 15 wherein the relative motion between two
or more of said plurality of individual sub-components is partially
constrained.
- 20 17. An apparatus for replacing the surfaces of a joint between a first
bone and a second bone, the first bone articulating in a
predetermined manner with a second bone, the apparatus
comprising a second bone arthroplasty including a plurality of
individual sub-components structured for mimicking and replacing
the bearing surfaces of the second bone, each of said plurality of
25 individual sub-components having relative motion one to the other,
wherein the relative motion between each of said plurality of
individual sub-components is constrained.
18. The apparatus of claim 17 wherein the second bone arthroplasty
includes a plurality of individual tibial components.
- 30 19. The apparatus of claim 18 wherein the relative motion between two
or more of said plurality of individual sub-components is partially
constrained.
- 35 20. An apparatus for replacing the surfaces of a joint between a first
bone and a second bone, the first bone moving in a predetermined
manner with a second bone, the apparatus comprising a first bone

- 5 arthroplasty including a plurality of individual sub-components structured for mimicking and replacing the bearing surfaces of the first bone, each of said plurality of individual components having an inner surface adapted to be secured to the first bone and an outer surface; and
- 10 a second bone arthroplasty including a plurality of individual sub-components structured for mimicking and replacing respective bearing surfaces of the second bone, wherein the outer surfaces of said plurality of individual sub-components of the first bone arthroplasty contact the plurality of sub-components of said second
- 15 bone arthroplasty and further wherein each of said plurality of individual first bone sub-components are structured to be unconstrained during assembly within the joint cavity and constrained when fully assembled within the joint cavity, the resulting configuration of the first bone arthroplasty and second
- 20 bone arthroplasty articulating in a predetermined manner to restore proper kinematics.
21. The apparatus of claim 20 wherein the plurality of individual second bone sub- components include threaded receiving holes on an anterior surface thereof for receiving an insertion instrument in
- 25 mating relationship.
22. The apparatus of claim 20 wherein the plurality of individual first bone sub-components include conforming pockets and threaded receiving holes on a surface thereof for receiving an insertion instrument in mating relationship.
- 30 23. The apparatus of claim 21 wherein the insertion instrument comprises a bracket, said bracket including contact surfaces structured for contacting the plurality of individual second bone sub-components and threaded fasteners for fastening said bracket in said threaded receiving holes, said bracket contoured for a fully
- 35 constrained lock between the bracket and the plurality of individual second bone sub-components.

- 5 24. The apparatus of claim 22 wherein the insertion instrument
comprises a bracket, said bracket including (a) contact surfaces
structured for contacting the plurality of individual first bone sub-
components; (b) medial and lateral bosses extending outwardly
10 from said bracket for insertion into said conforming pockets; and (c)
threaded fasteners for fastening said bracket in said threaded
receiving holes, said bracket contoured for a fully constrained lock
between the bracket and the plurality of individual first bone sub-
components.
- 15 25. An apparatus for replacing the surfaces of a joint between a first
bone and a second bone, the first bone articulating in a
predetermined manner with a second bone, the apparatus
comprising a first bone arthroplasty including a plurality of individual
sub-components structured for mimicking and replacing the bearing
surfaces of the first bone, each of said plurality of individual sub-
20 components having an inner surface adapted to be secured to the
first bone and an outer surface; and
a second bone arthroplasty including a plurality of sub-components
structured for mimicking and replacing respective bearing surfaces
of the second bone, wherein the outer surfaces of said plurality of
25 individual sub-components of the first bone arthroplasty contact the
plurality of individual sub-components of said second bone
arthroplasty, and further wherein each of said plurality of individual
sub-components of said first bone arthroplasty are structured to be
unconstrained during assembly within the joint cavity and partially
30 constrained when fully assembled within the joint cavity, the
resulting configuration of the first bone arthroplasty and second
bone arthroplasty articulating in a predetermined manner to restore
proper kinematics.
- 35 26. An apparatus for aligning and orienting to one another a plurality of
individual first bone sub-components comprising:

- 5 a handle operable by a user, said handle including a lock switch thereon;
- a bracket operably connected to said handle by releasable connecting means, said bracket including a plurality of threaded fasteners;
- 10 wherein after said apparatus aligns and orients to one another said plurality of individual first bone sub-components, said connecting means are released and said bracket attaches said individual sub-components.
27. The apparatus of claim 26 wherein said connecting means connect
15 the handle to the bracket by a dovetail lock.
28. The apparatus of claim 26 wherein said connecting means comprise a shaft.
29. The apparatus of claim 26 wherein said connecting means connect the handle to the bracket by a trinkle lock.
- 20 30. The apparatus of claim 26 wherein said handle and said bracket are of integral structure.
31. An apparatus for aligning and orienting to one another a plurality of individual second bone sub-components comprising:
- 25 a handle operable by a user, said handle including a lock switch thereon;
- a bracket operably connected to said handle by releasable connecting means, said bracket including a plurality of threaded fasteners;
- 30 wherein after said apparatus aligns and orients to one another said plurality of individual second bone sub-components, said connecting means are released and said bracket attaches said individual sub-components.
32. The apparatus of claim 31 wherein said connecting means connect the handle to the bracket by a dovetail lock.

- 5 33. The apparatus of claim 31 wherein said connecting means
comprise a shaft.
34. The apparatus of claim 31 wherein said connecting means connect
the handle to the bracket by a trinkle lock.
35. The apparatus of claim 31 wherein said handle and said bracket are
10 of integral structure.
36. The apparatus of claim 26 wherein said bracket is structured as a
sub-component of the first bone arthroplasty.
37. The apparatus of claim 31 wherein said bracket is structured as a
sub-component of the second bone arthroplasty.
- 15 38. The apparatus of claim 26 wherein said handle includes threaded
receiving holes on a surface thereof for receiving an alignment
guide in mating relationship.
39. The apparatus of claim 26 wherein said handle includes threaded
20 receiving holes on a surface thereof for receiving a surgical
navigational tracker in mating relationship.
40. The apparatus of claim 31 wherein said handle includes threaded
receiving holes on a surface thereof for receiving an alignment
guide in mating relationship.
41. The apparatus of claim 31 wherein said handle includes threaded
25 receiving holes on a surface thereof for receiving a surgical
navigational tracker in mating relationship.
42. An apparatus for spinal disc replacement comprising a first bone
arthroplasty, said arthroplasty including a plurality of individual sub-
30 components, each of said plurality of individual sub-components
having relative motion one to the other, wherein the relative motion
between each of said plurality of individual sub-components is
selected from the group consisting of constrained, unconstrained,
and partially constrained relative motion.

- 5 43. The apparatus of claim 42 wherein said first bone arthroplasty comprises at least one spinal disc implant for replacing the surface of the spinal disc.
44. The apparatus of claim 42 wherein said first bone arthroplasty comprises at least one facet implant.
- 10 45. The apparatus of claim 43 wherein said individual sub-components of said spinal disc arthroplasty comprise a vertebral endplate and a bearing insert.
46. An apparatus for replacing the support surfaces between adjacent vertebral bodies, the first and second vertebral bodies moving in a predetermined manner relative to one another, the apparatus comprising a kit of first vertebral body implants including two facet components and an endplate component, said endplate component structured with a plurality of individual endplate sub-components, each of said facet components and said plurality of individual endplate sub-components having an inner surface adapted to be secured to the first bone and an outer surface, said kit of first vertebral body implants structured for mimicking and replacing the bearing surfaces of the first vertebral body; and
- 15 a kit of second vertebral body implants for mimicking and replacing respective support surfaces of the second vertebral body, said kit including two facet components and an endplate component, said endplate component structured with a plurality of individual endplate sub-components, each of said facet components and said plurality of individual endplate sub-components having an inner surface adapted to be secured to the first bone and an outer surface, said kit of second vertebral body implants structured for mimicking and replacing the bearing surfaces of the second vertebral body wherein the outer surfaces of said kit of first vertebral body implants contact said second vertebral body implants and further wherein each of
- 20 said plurality of first and second vertebral body individual endplate sub-components are structured to be unconstrained during
- 25
- 30
- 35

5 assembly within the spinal disc and constrained when fully assembled within the spinal disc, the resulting configuration of the kit of first vertebral body implants and the kit of second vertebral body implants articulating in a predetermined manner to restore proper kinematics.

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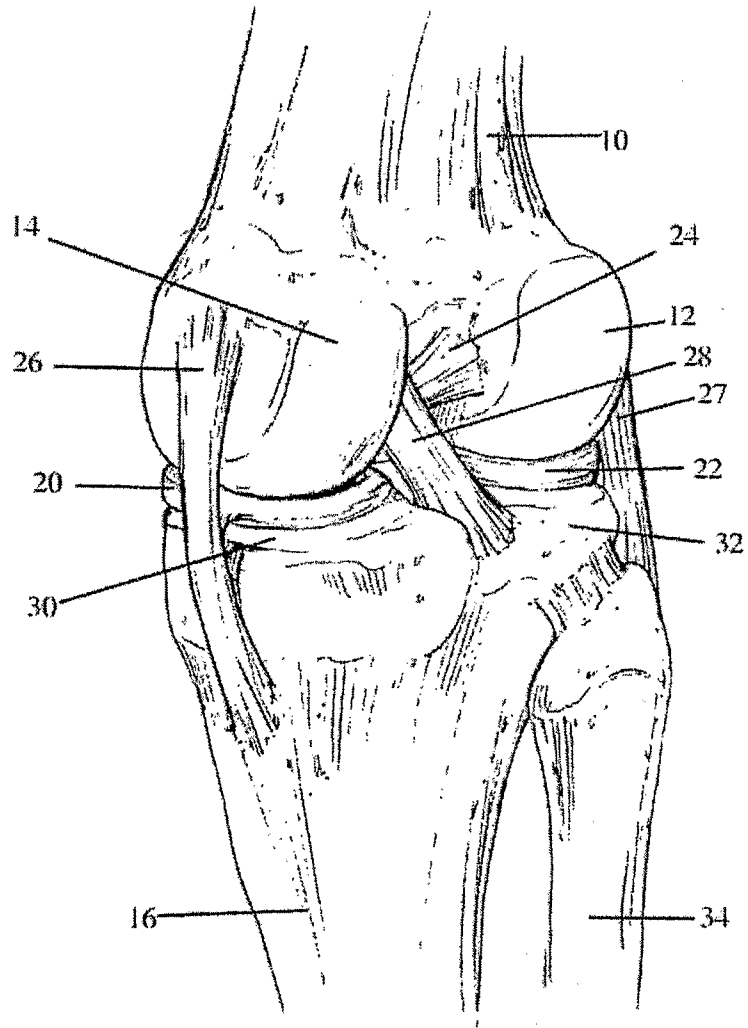


Fig. 1

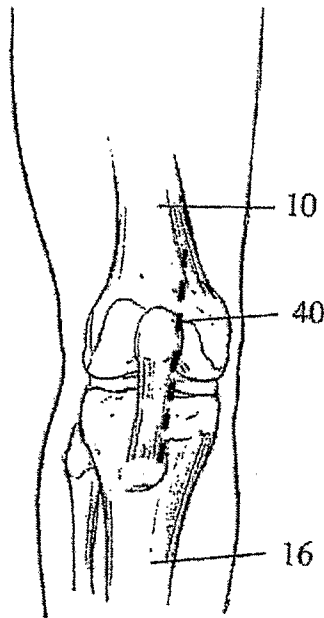


Fig. 2

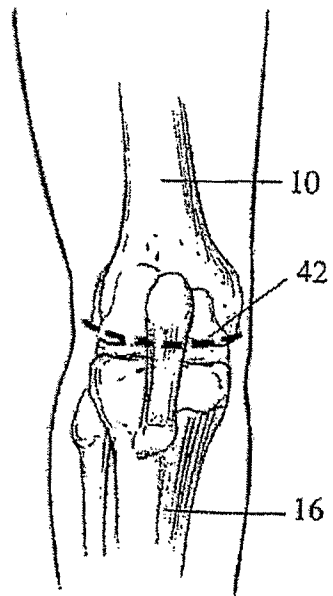


Fig. 3

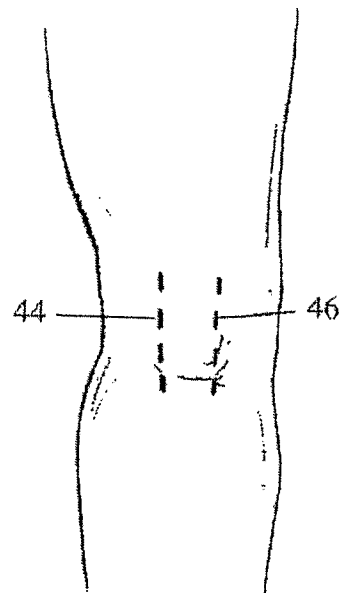


Fig. 4

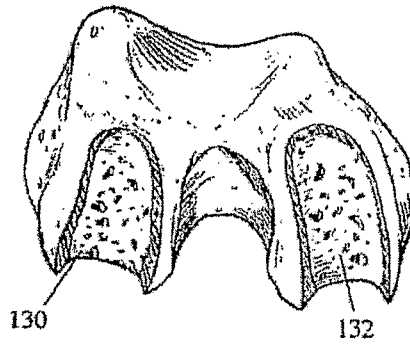


Fig. 5

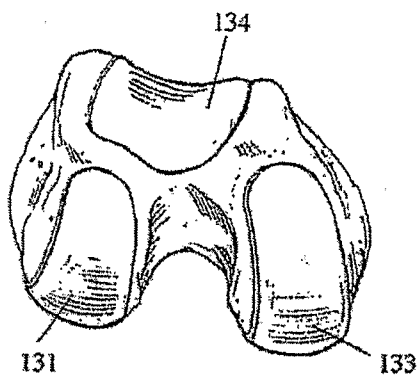


Fig. 6

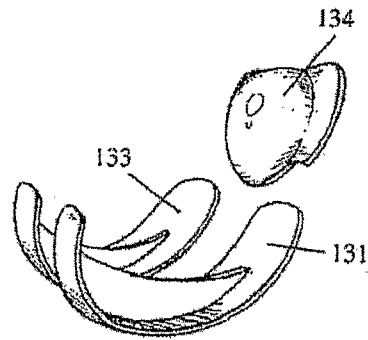


Fig. 9

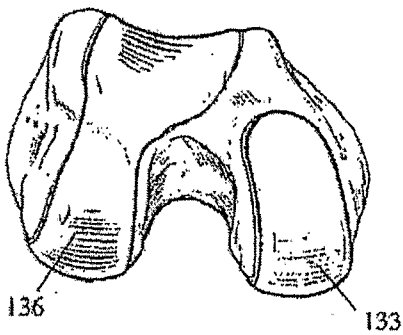


Fig. 7

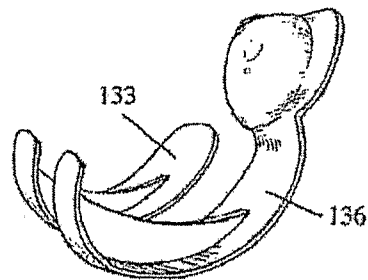


Fig. 10

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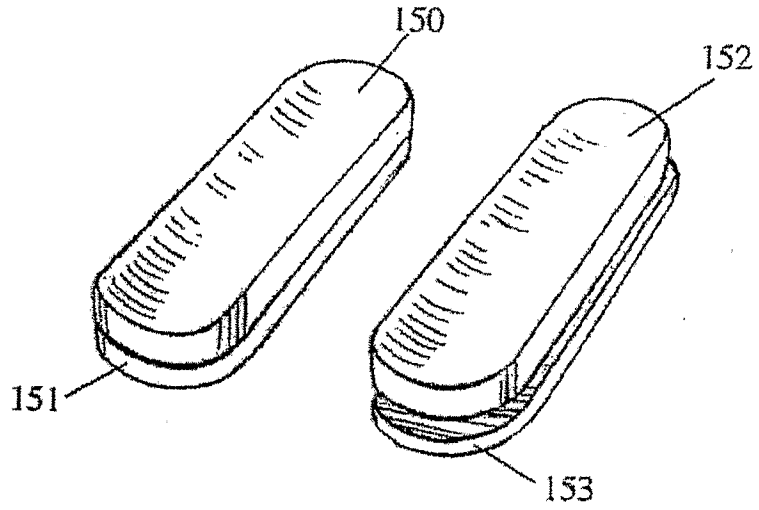


Fig. 8

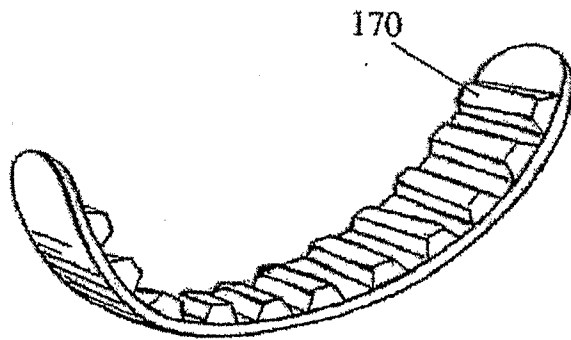


Fig. 11

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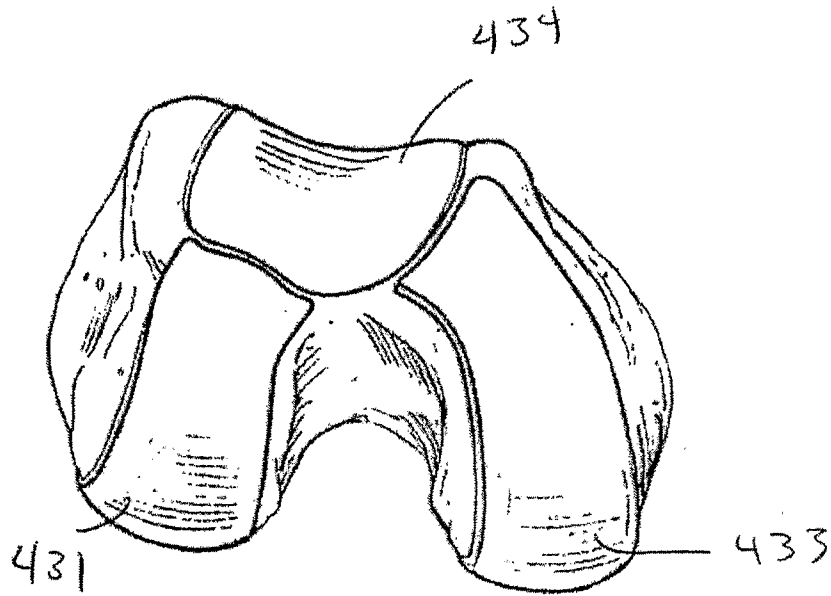


FIG 12

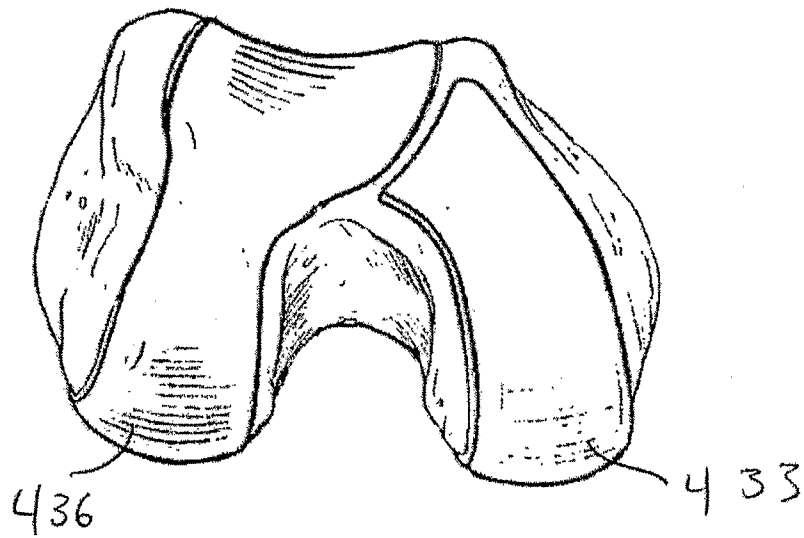


FIG 13

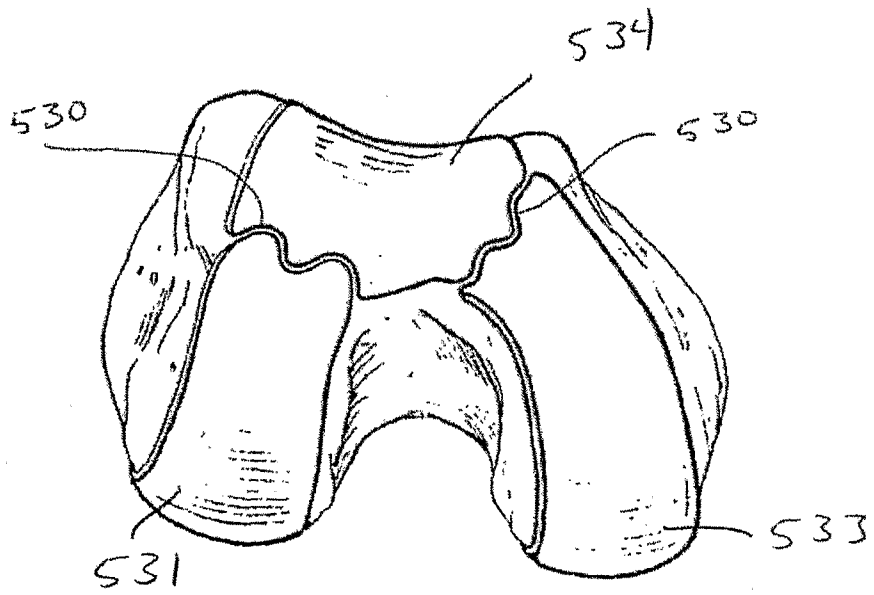


FIG 14

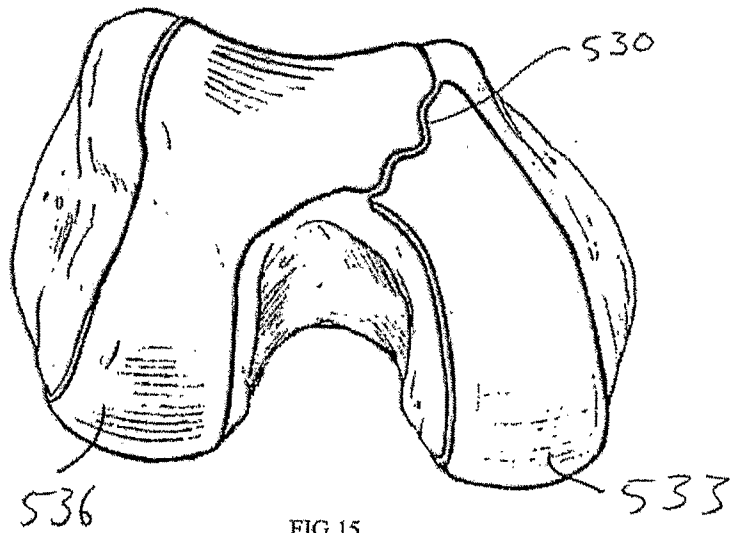


FIG 15

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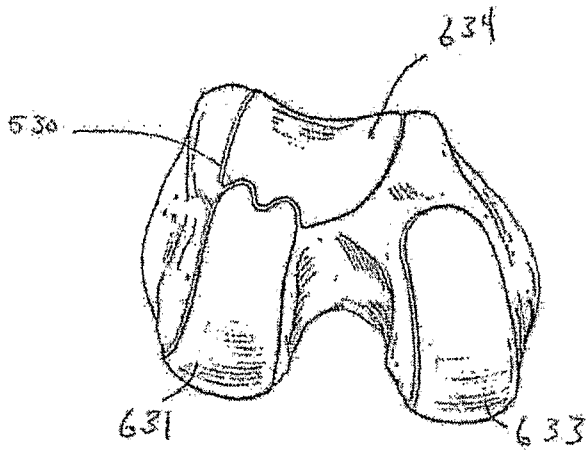


FIG 16 A

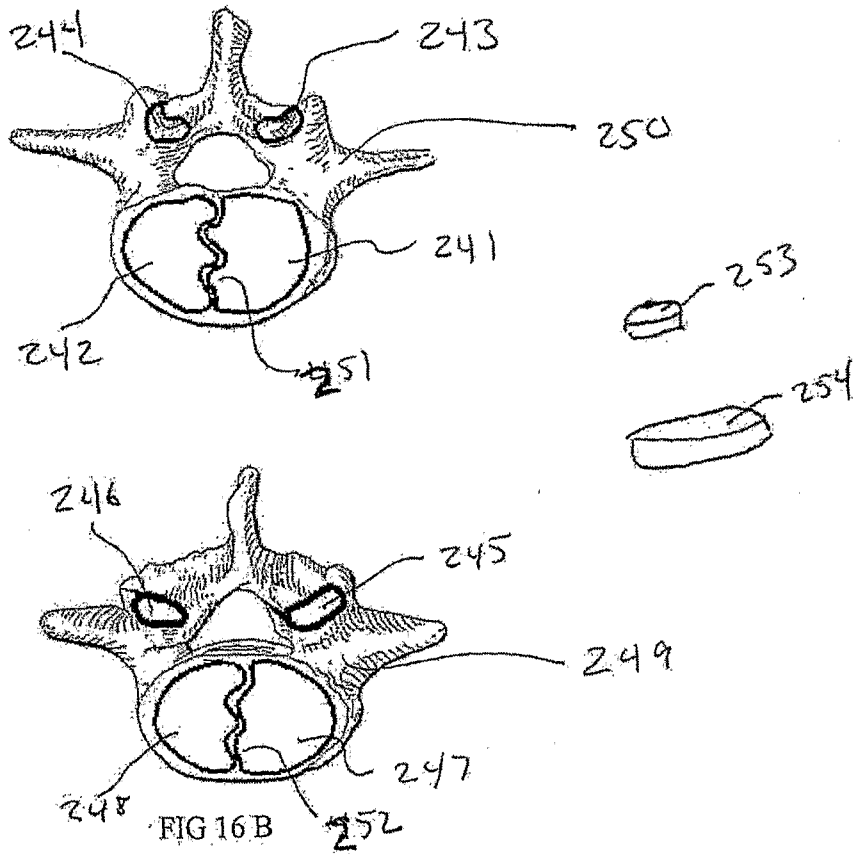


FIG 16 B

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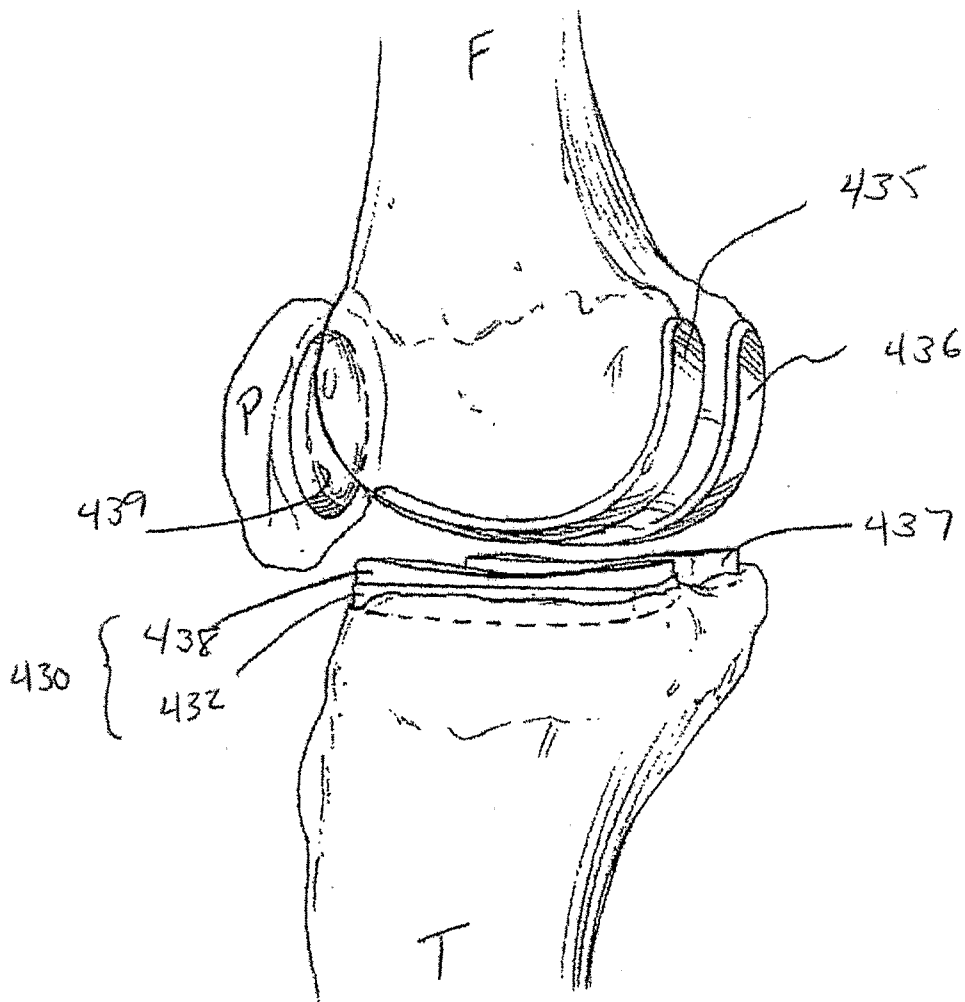


FIG 17

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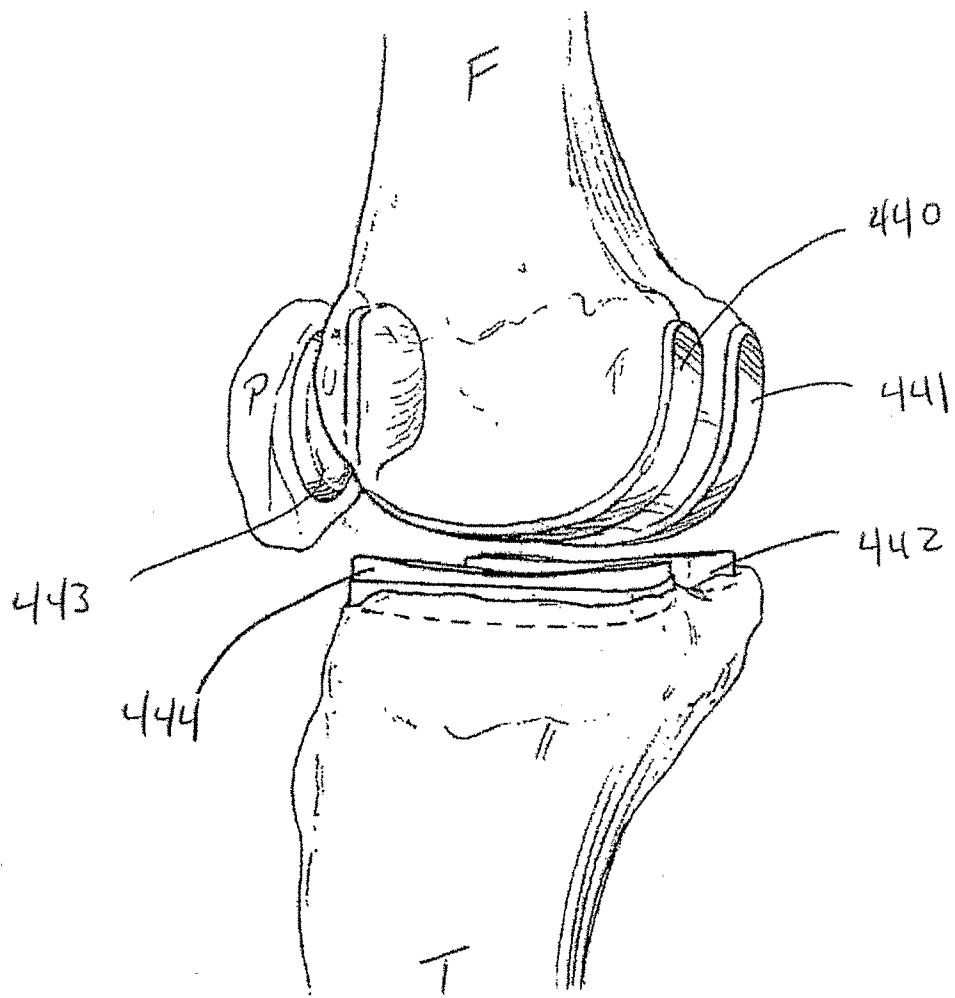


FIG 18

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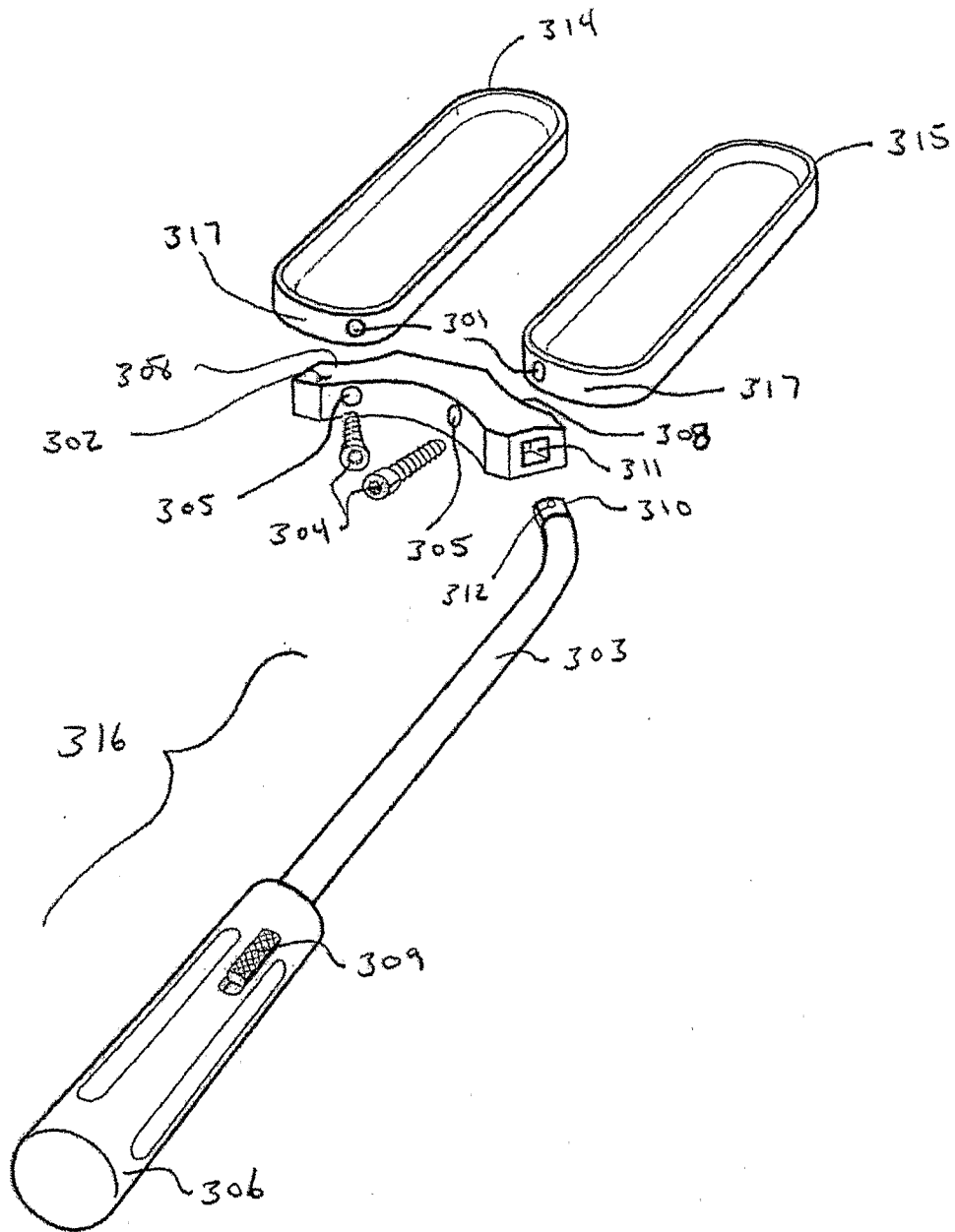


FIG 19 A

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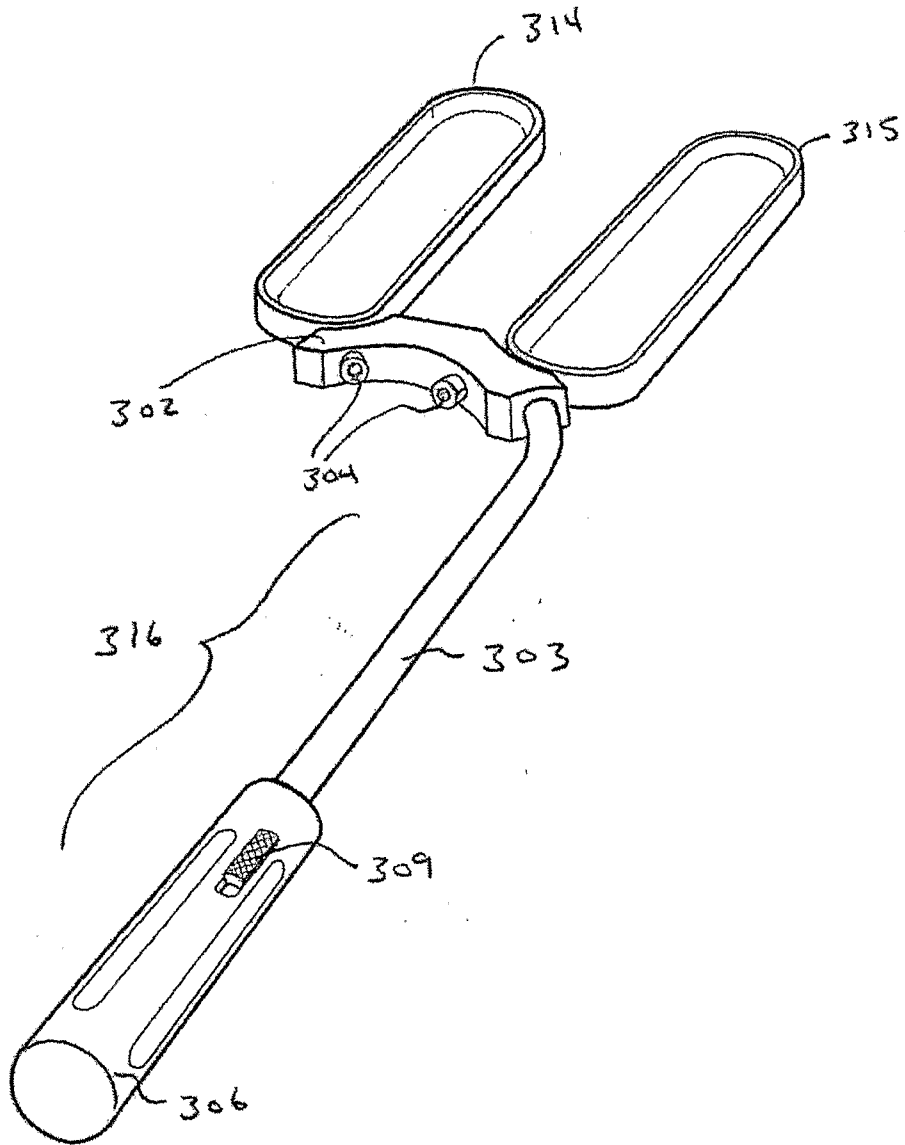


FIG 19 B

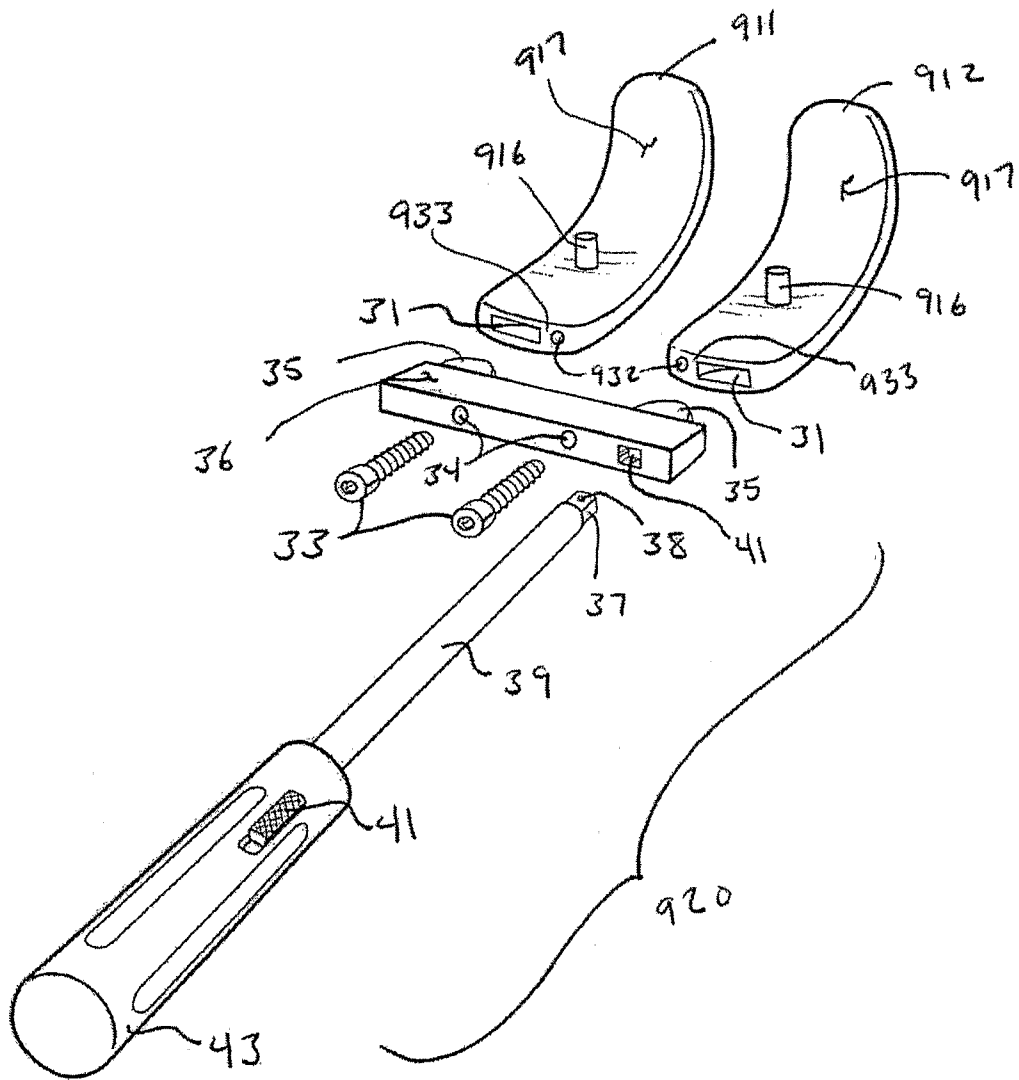


FIG 20 A

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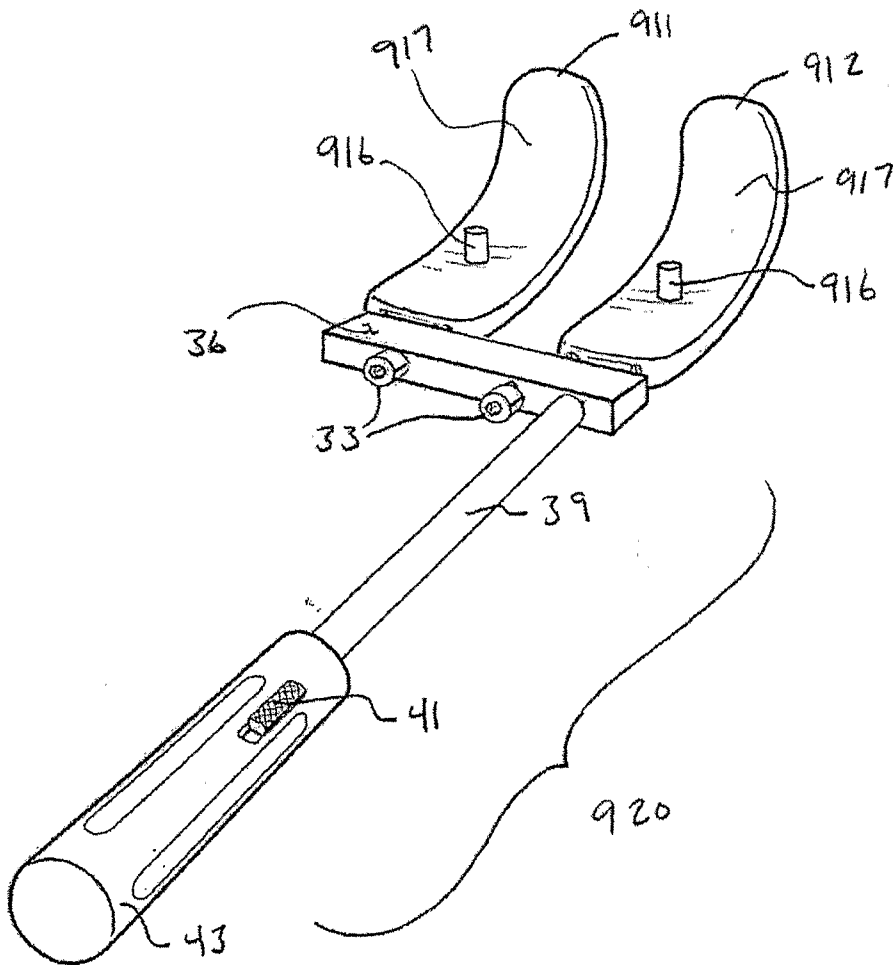


FIG 20 B

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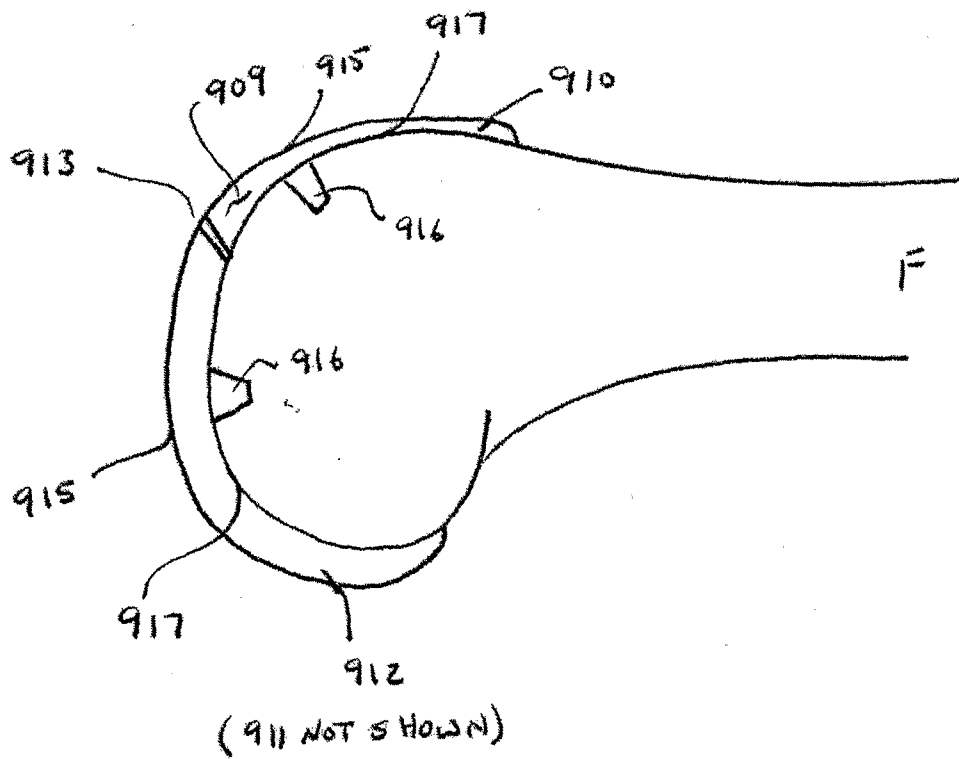
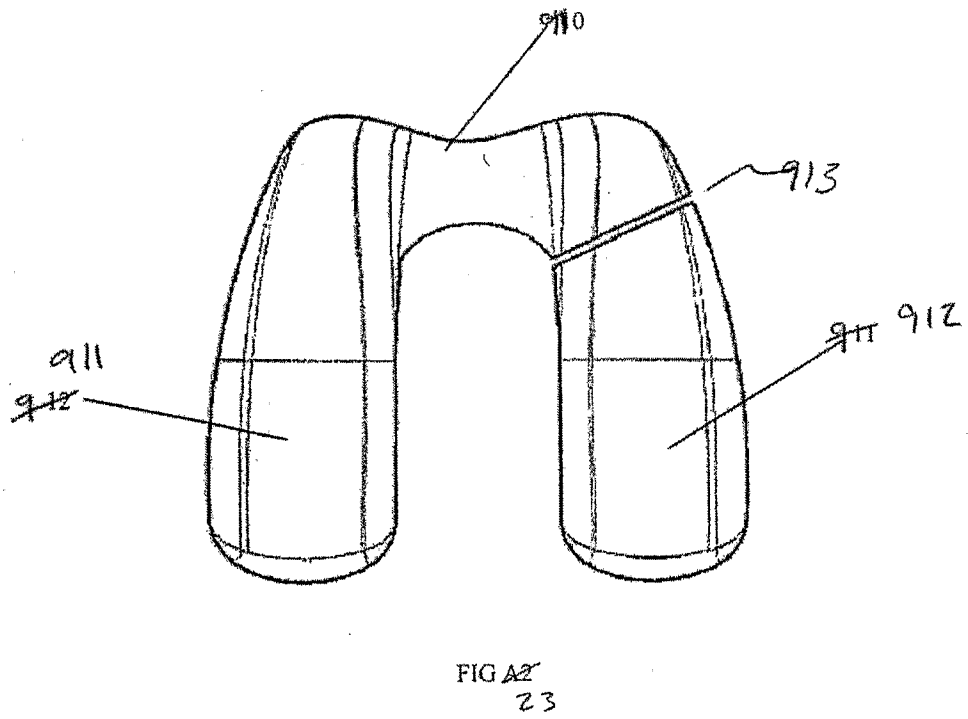
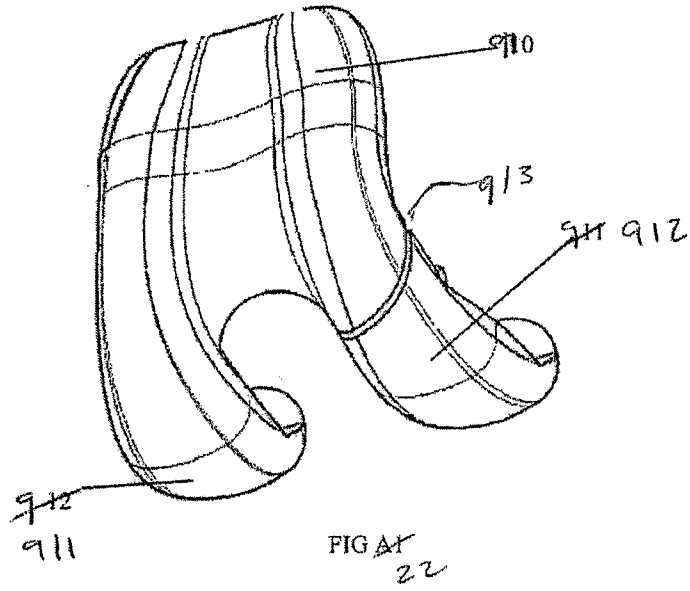


FIG 21

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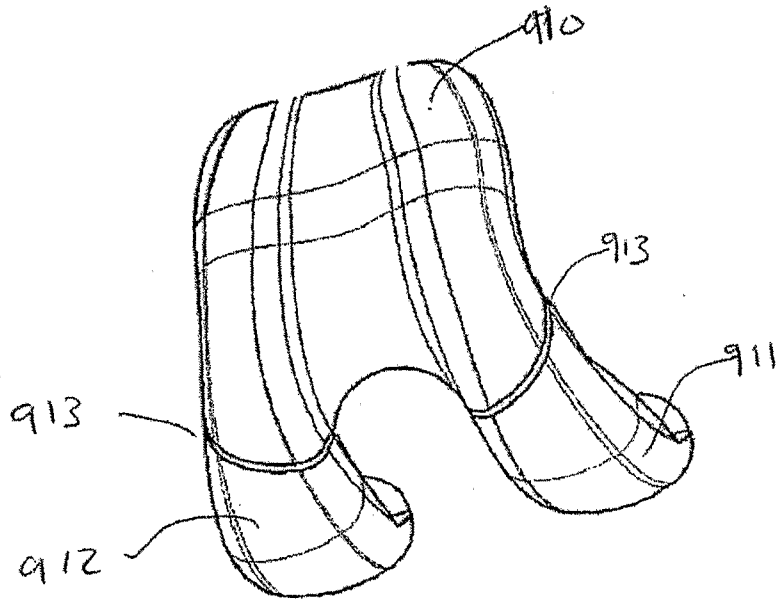


FIG 24

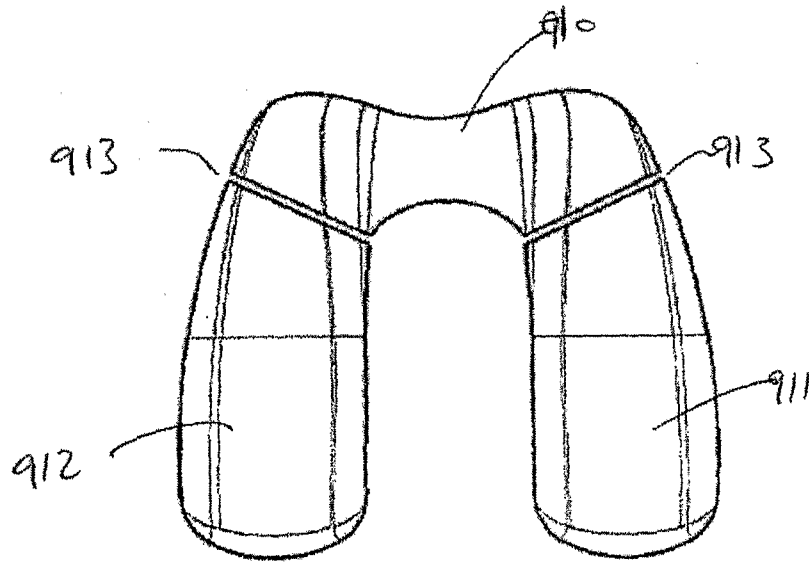


FIG 25

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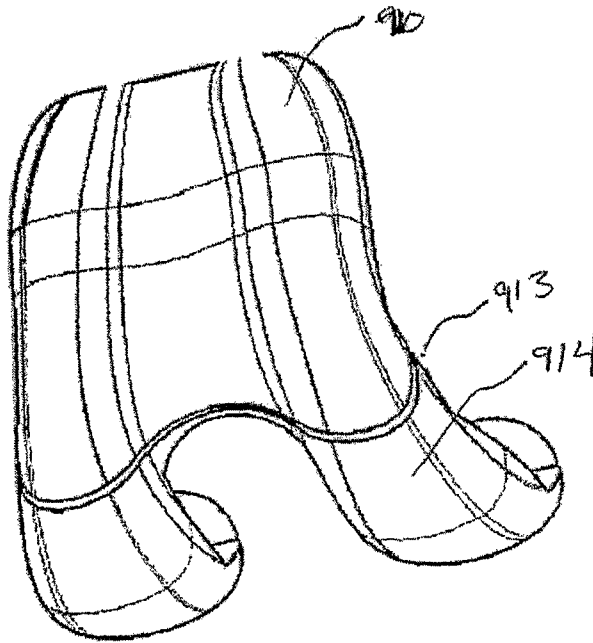


FIG. A5
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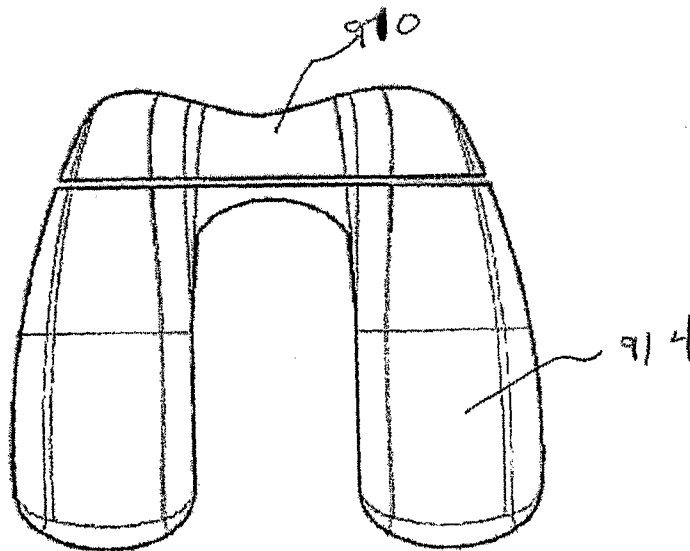
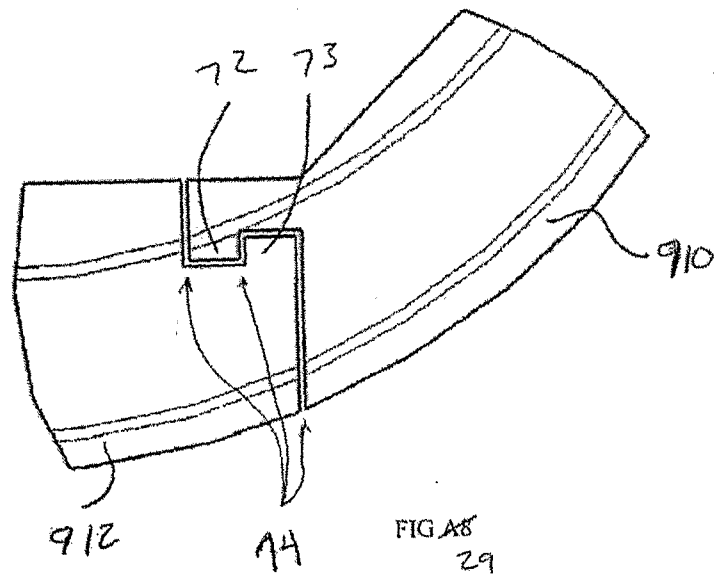
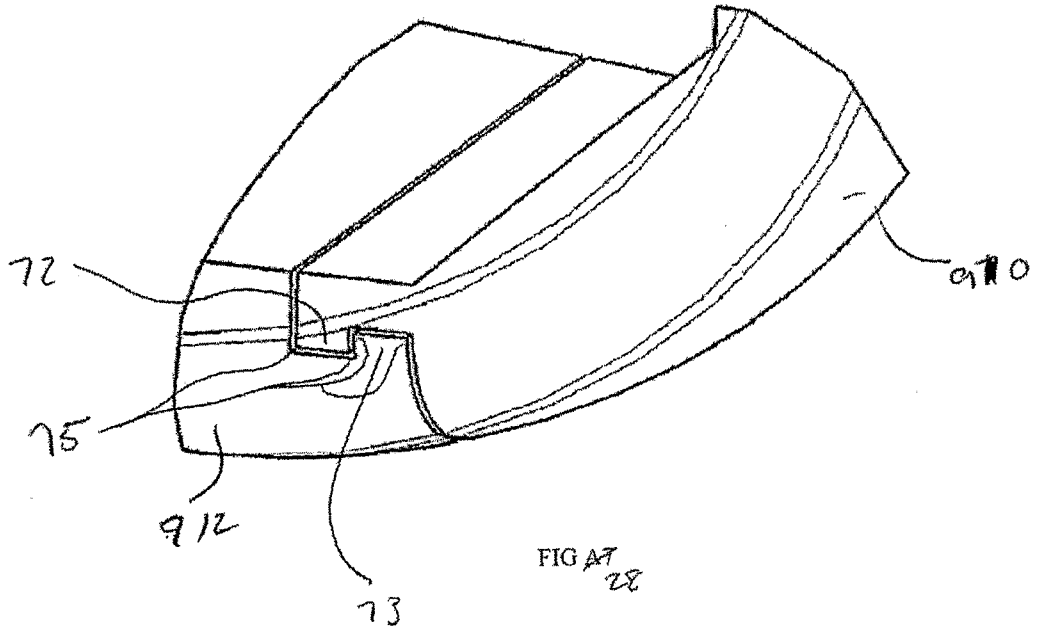
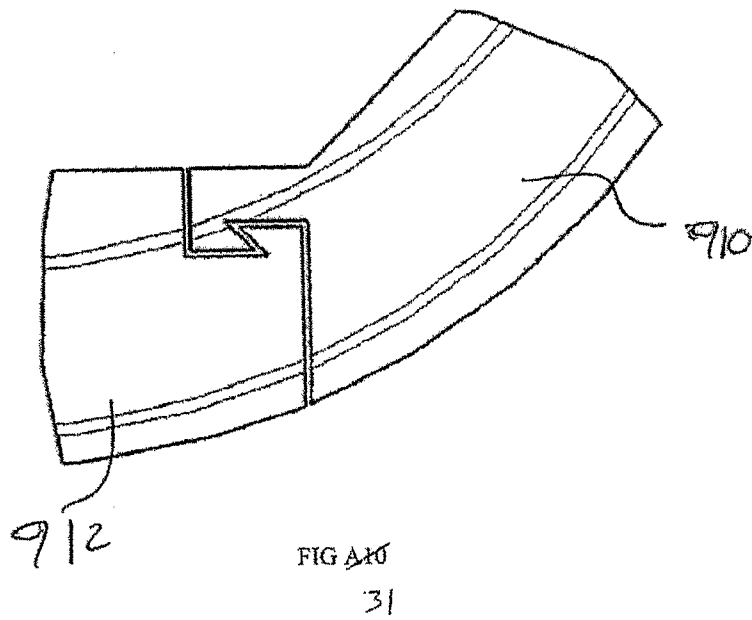
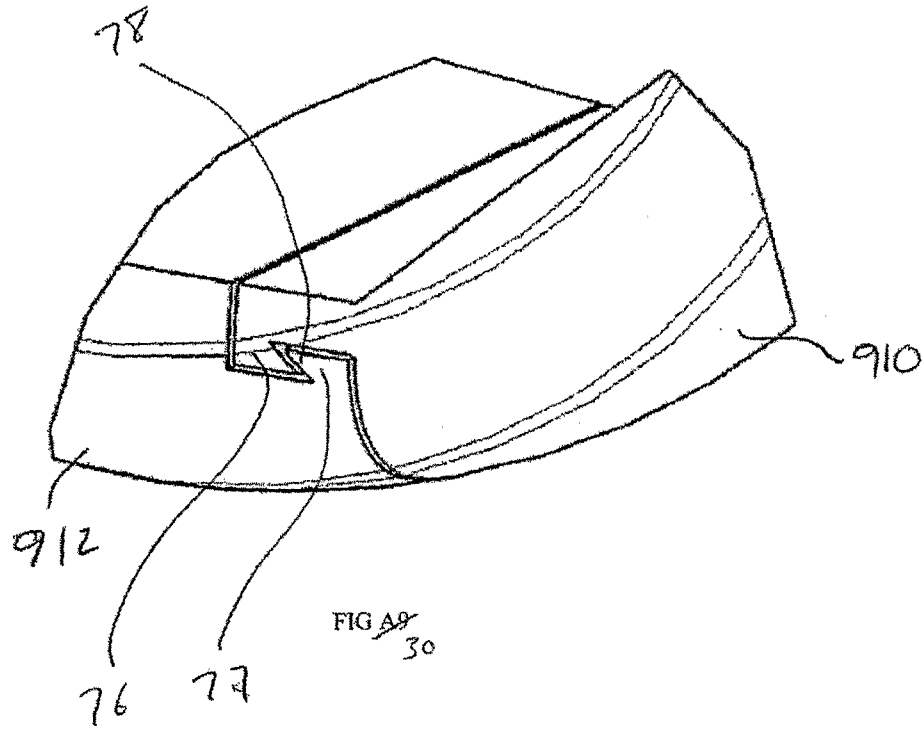


FIG. A6
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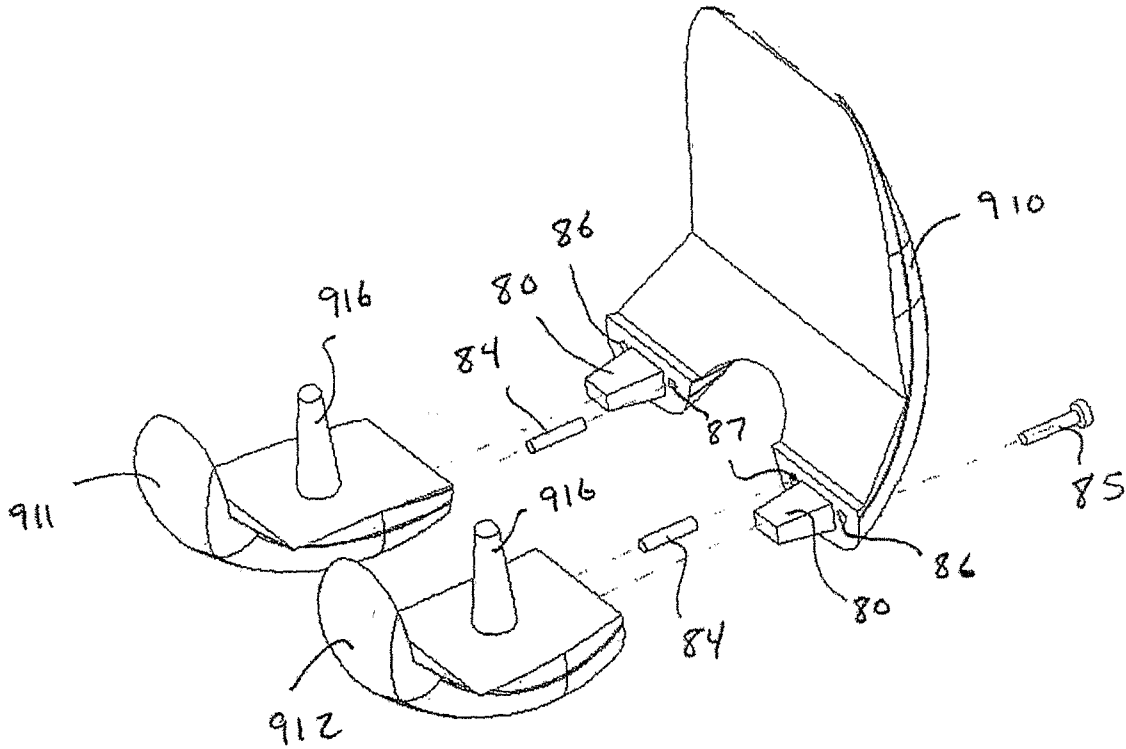


FIG 32 A

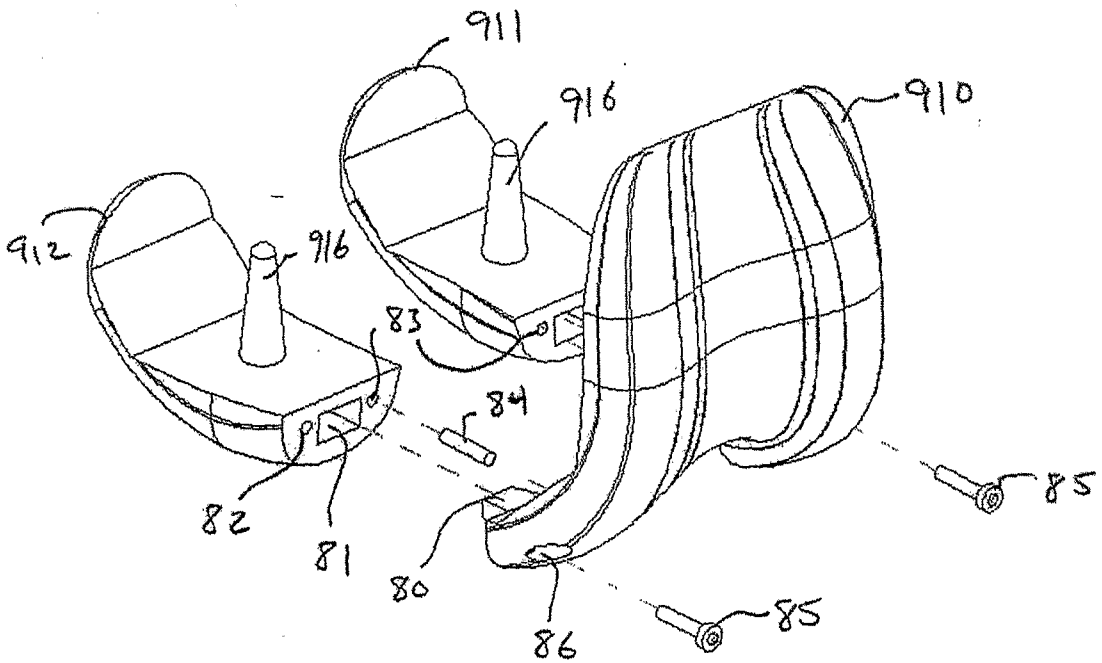


FIG 32 B

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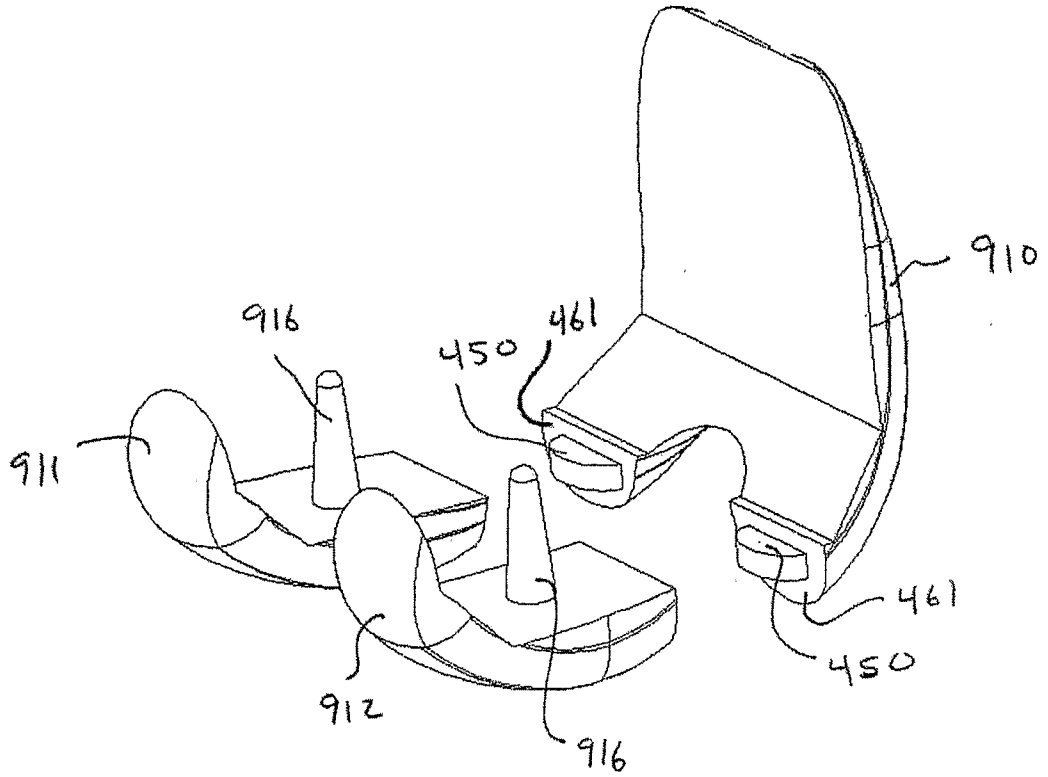


FIG 34 A

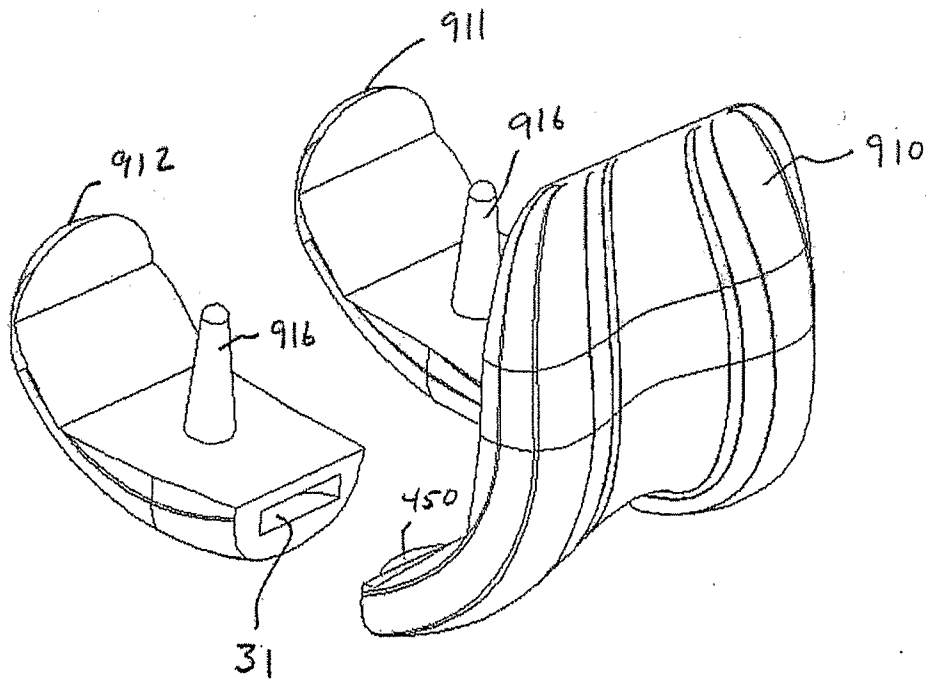


FIG 34 B

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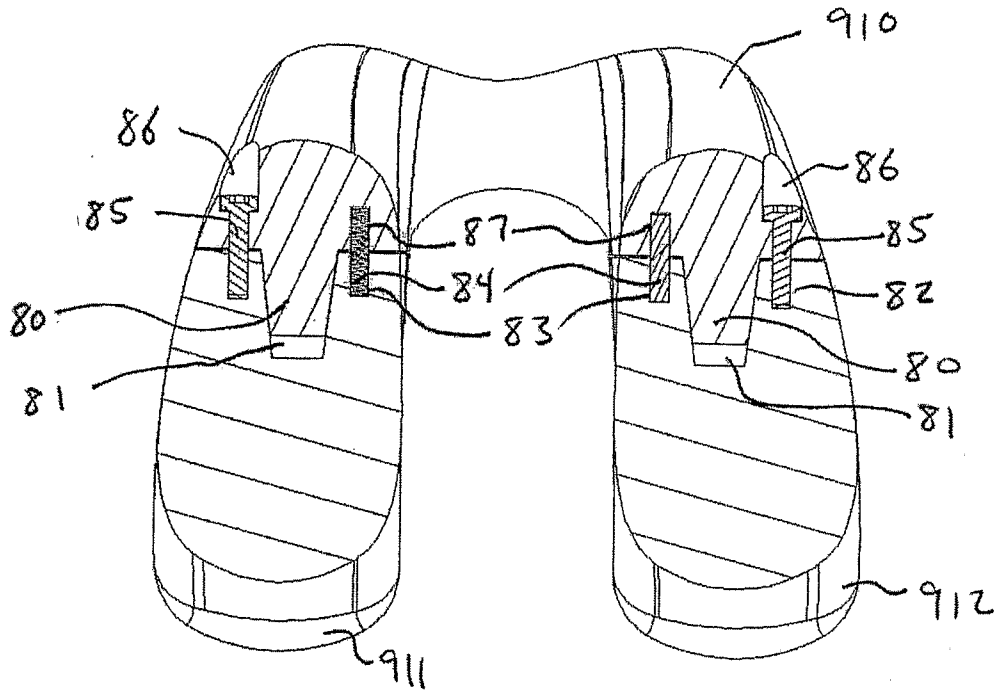


FIG 33

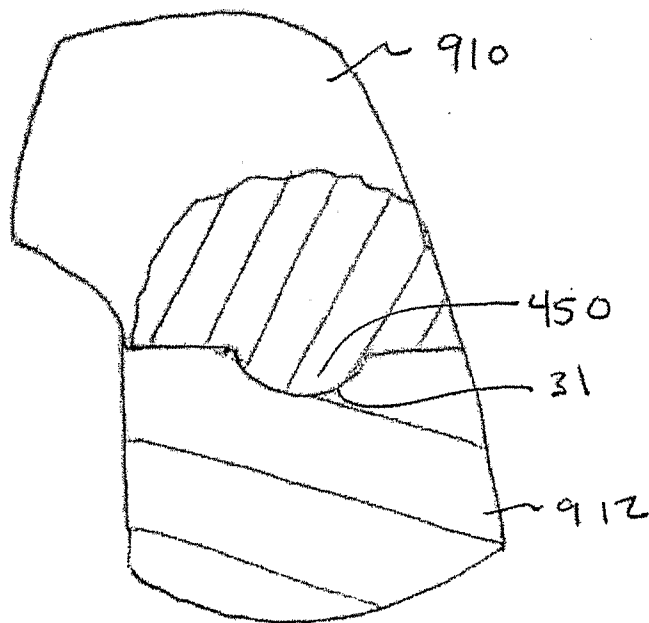


FIG 35

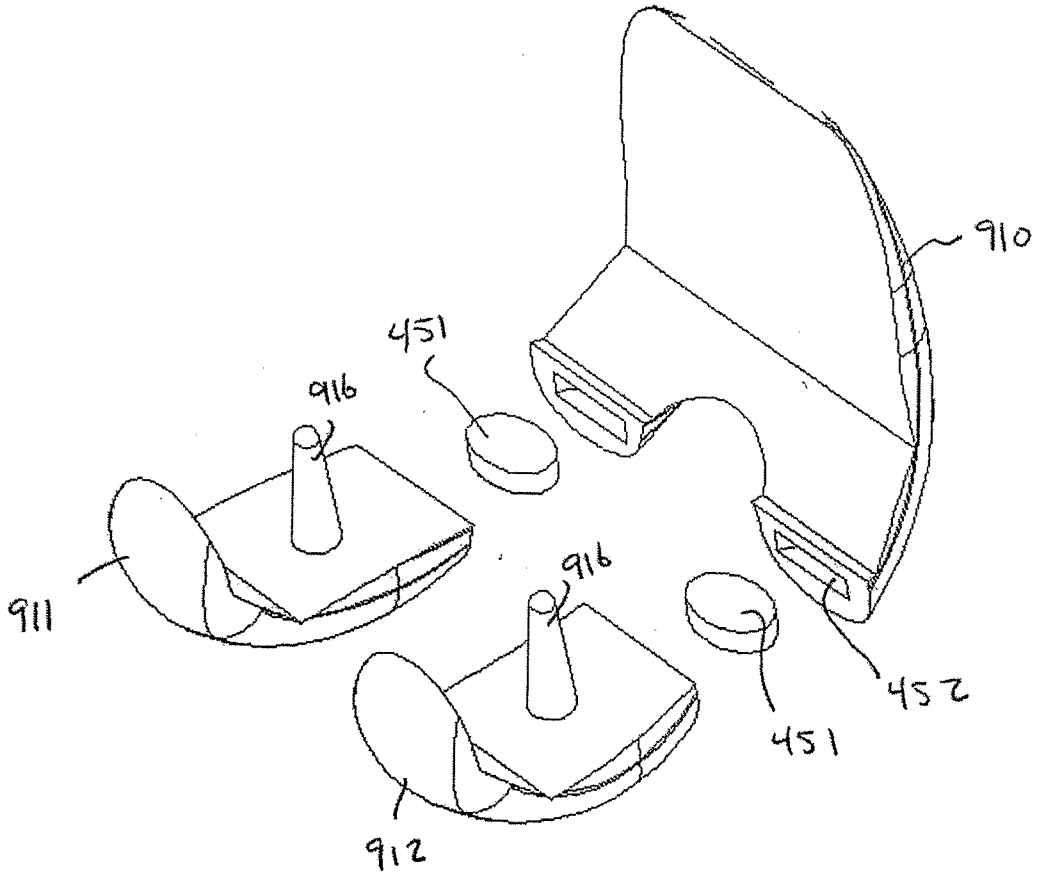


FIG 36 A

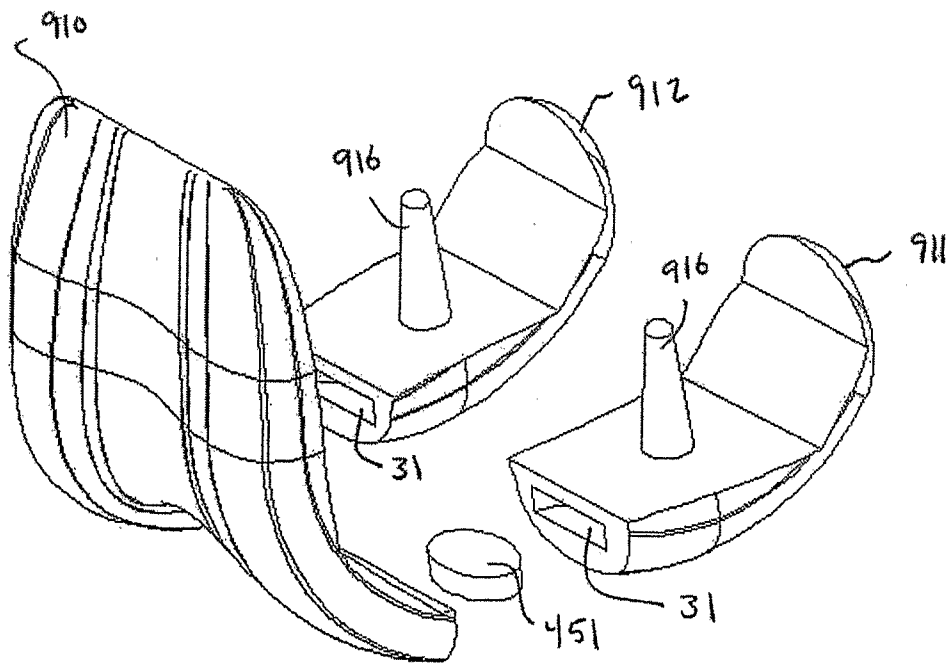


FIG 36 B

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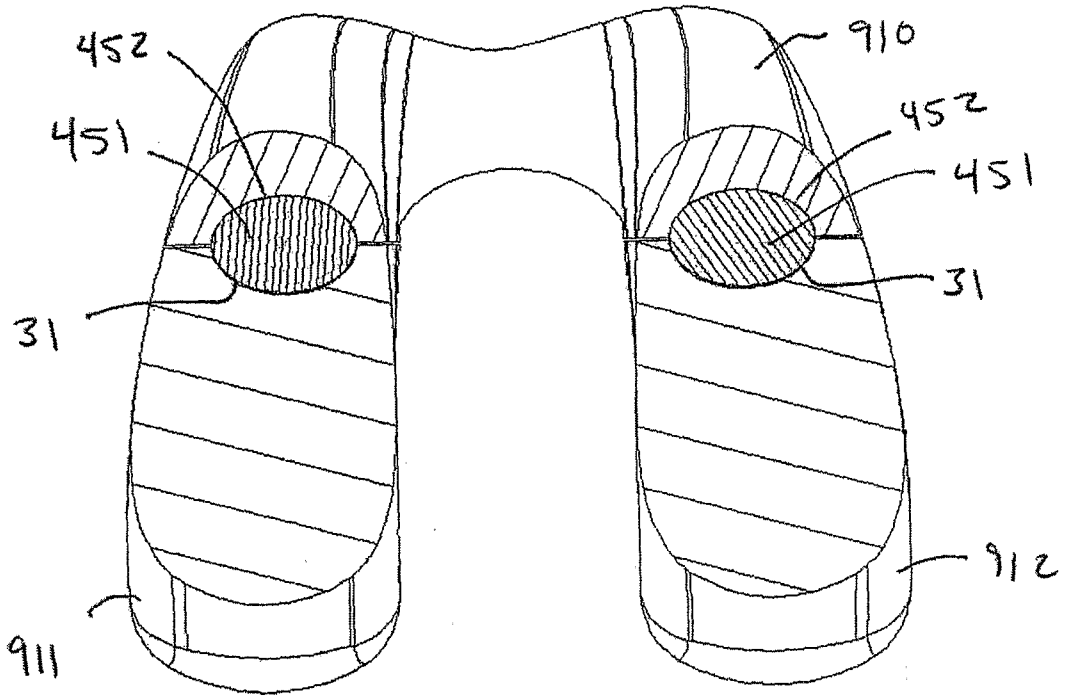


FIG 37

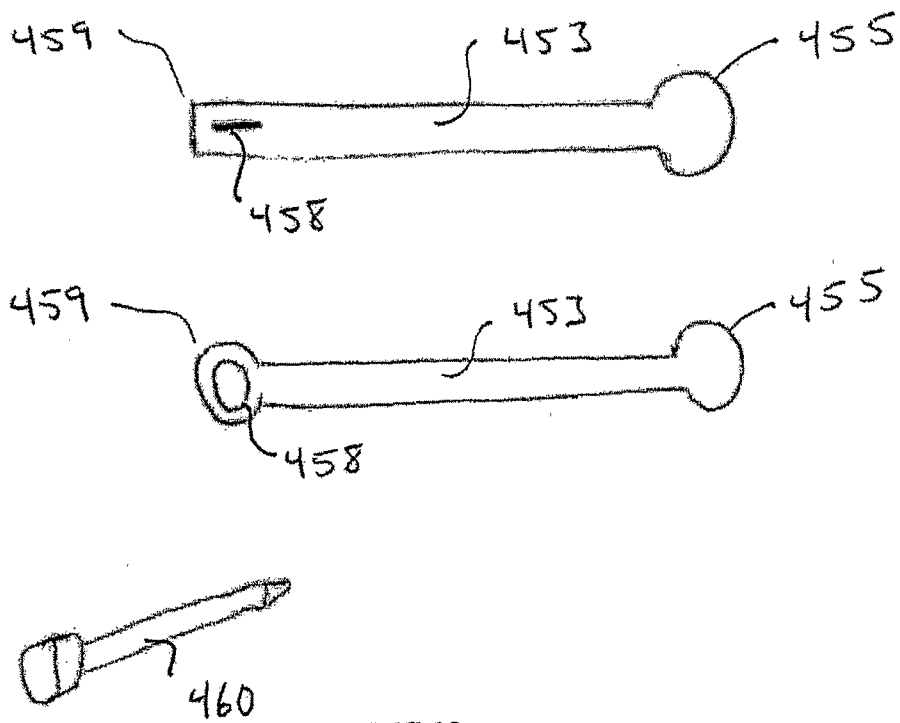


FIG 39

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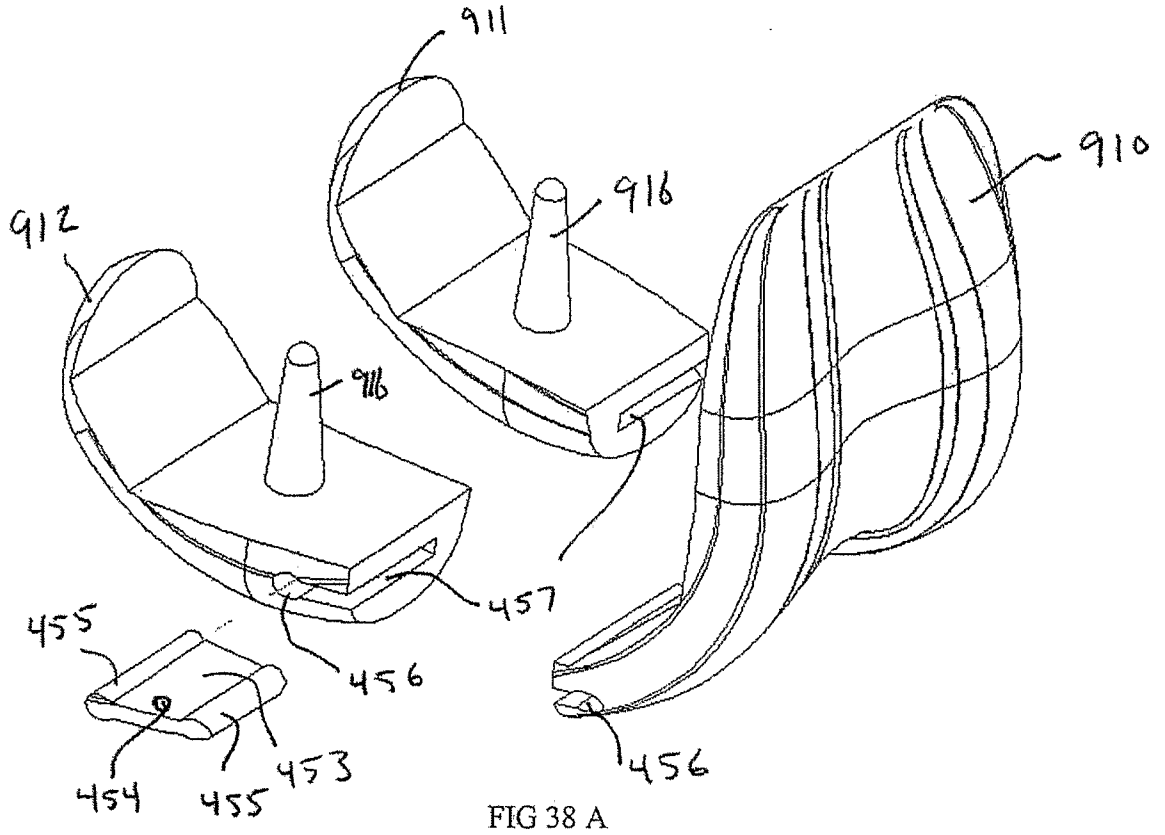


FIG 38 A

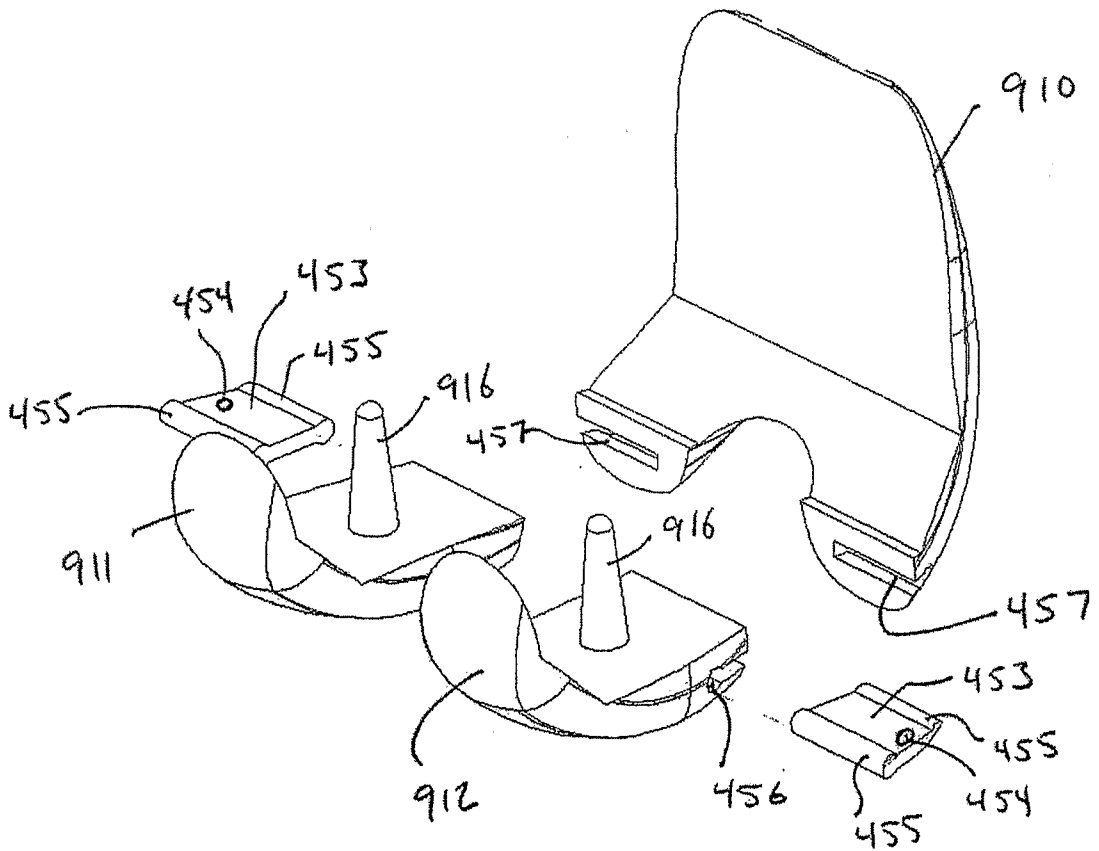


FIG 38 B

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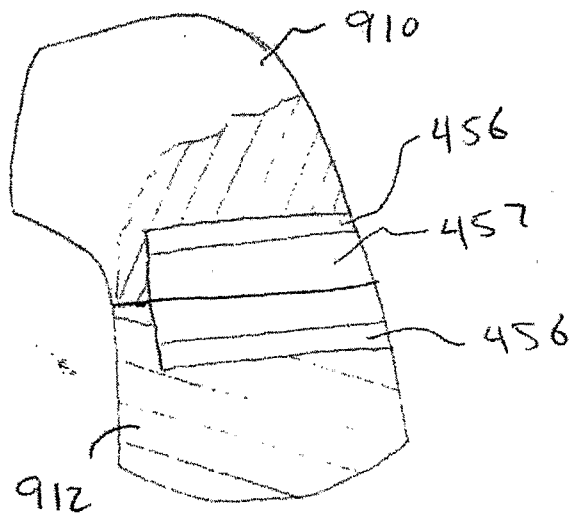
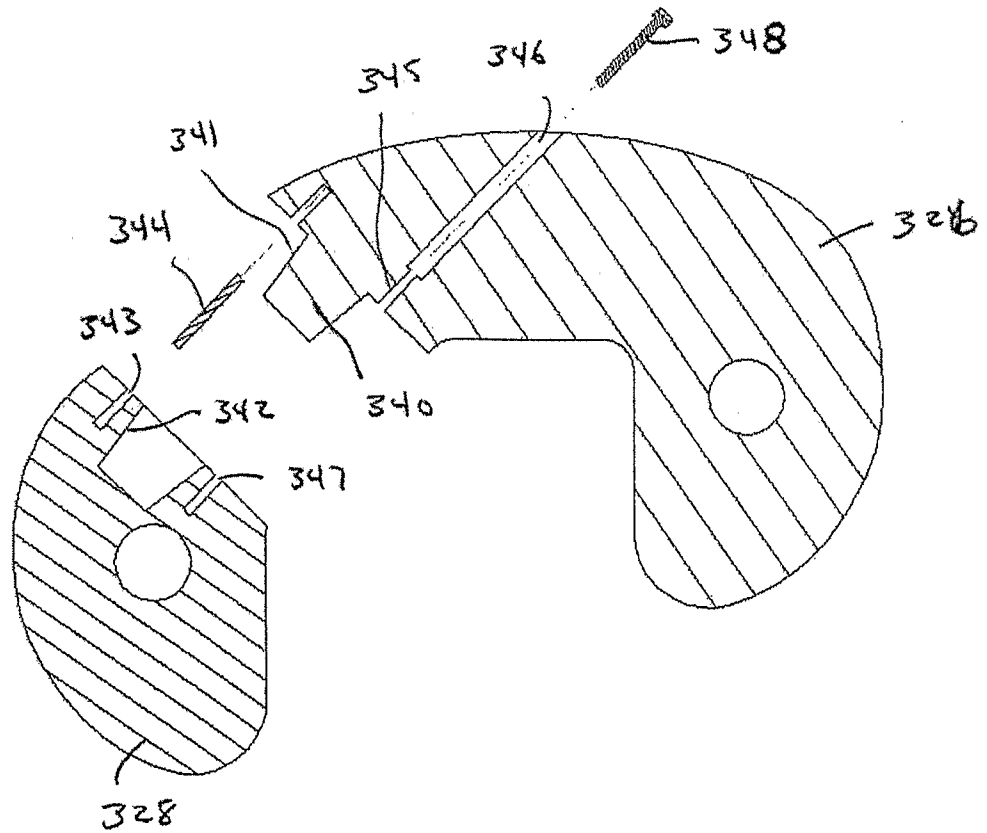
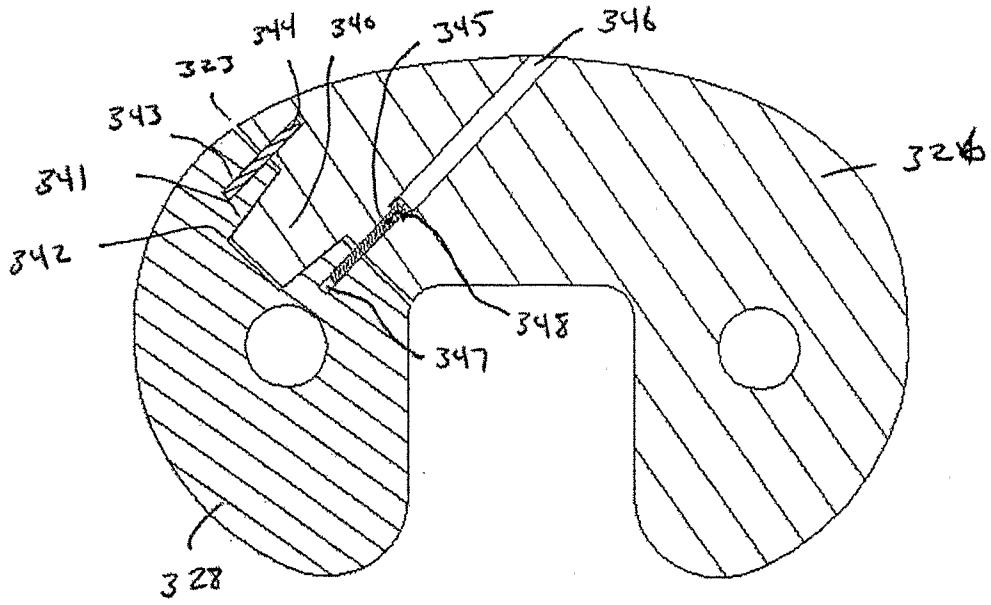


FIG. 40
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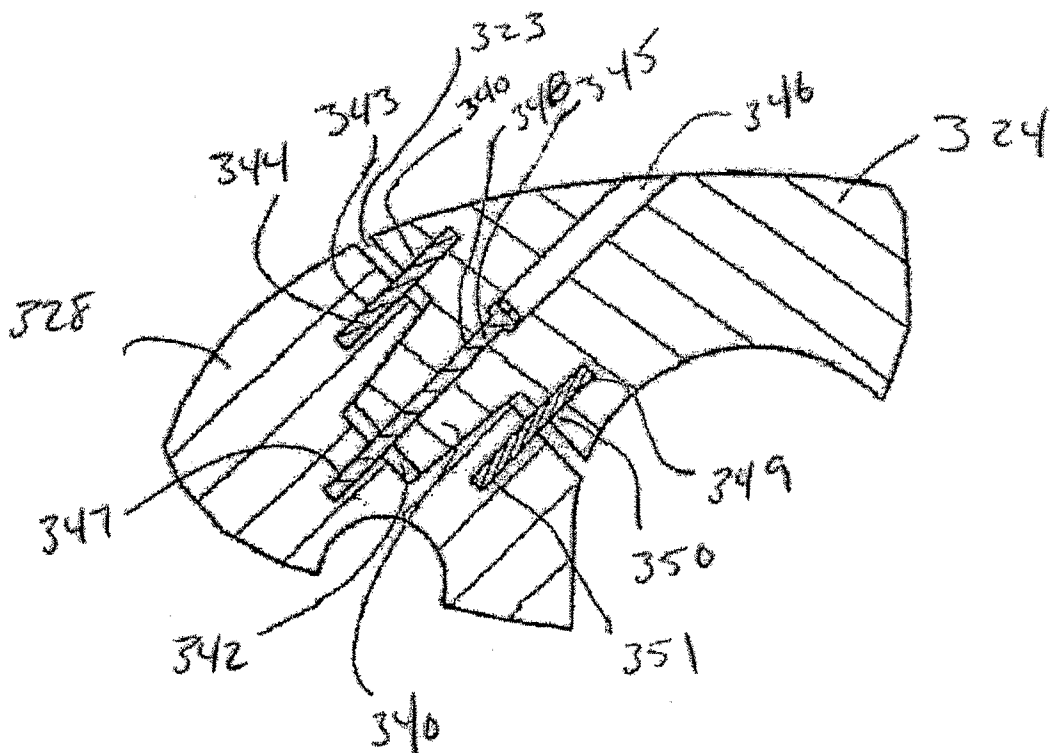


FIG 42

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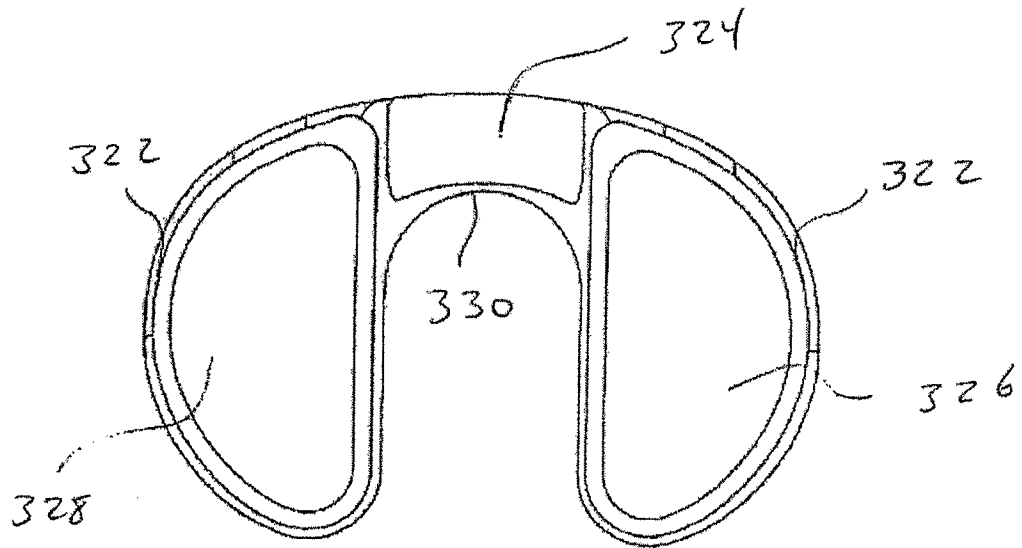


FIG 43

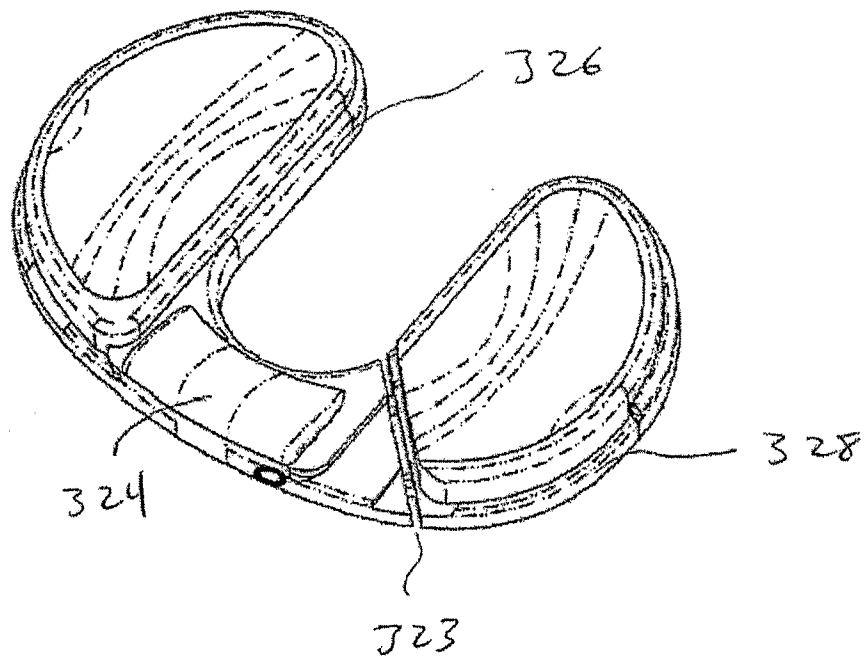


FIG 44

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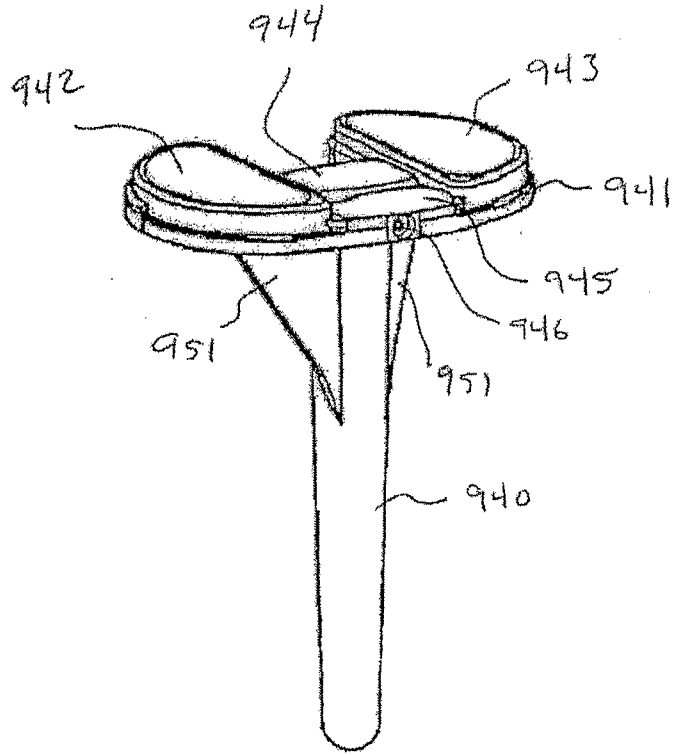


FIG 45

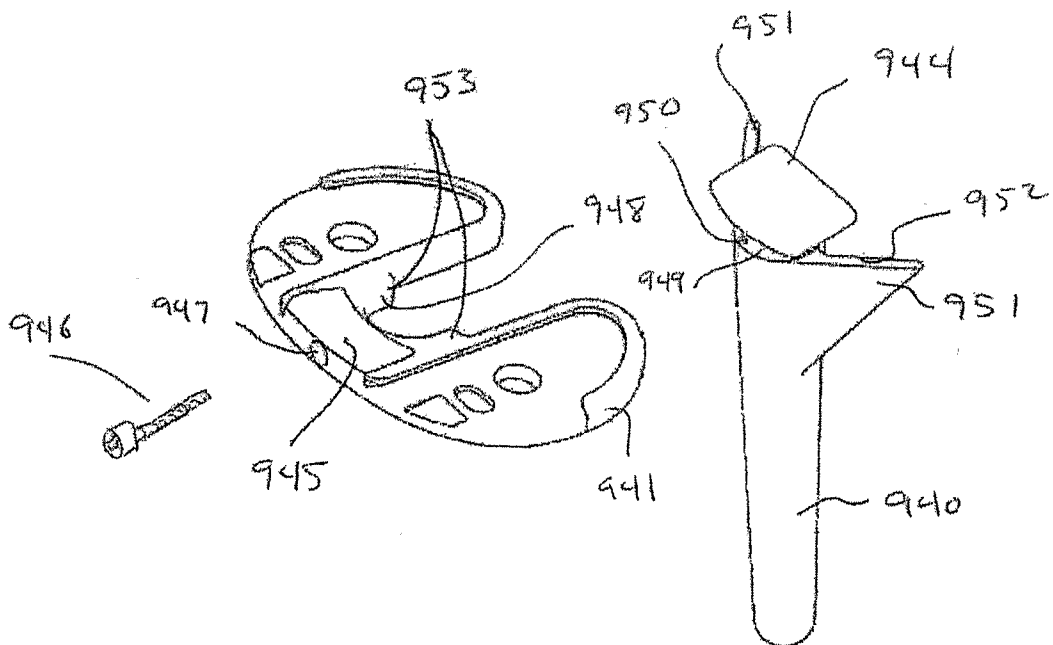


FIG 46

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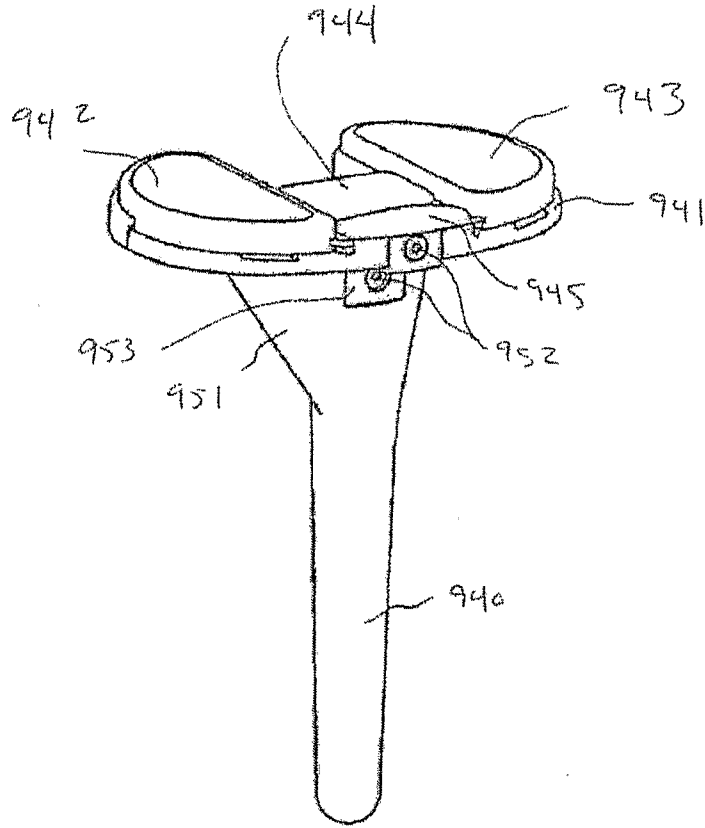


FIG 47

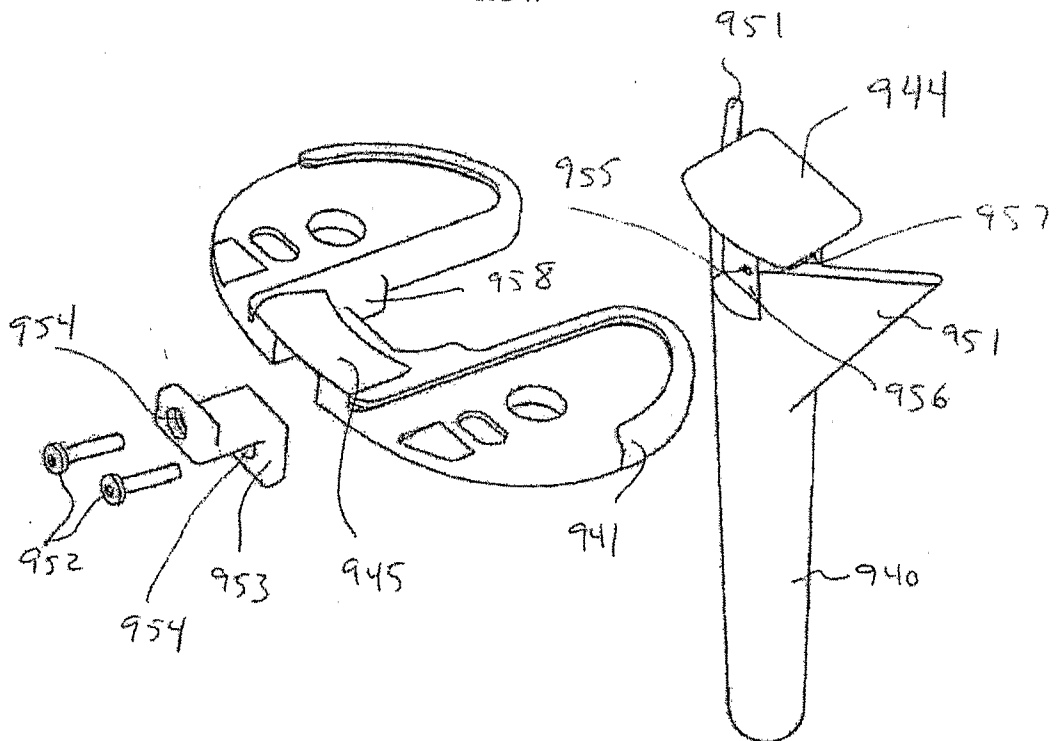


FIG 48

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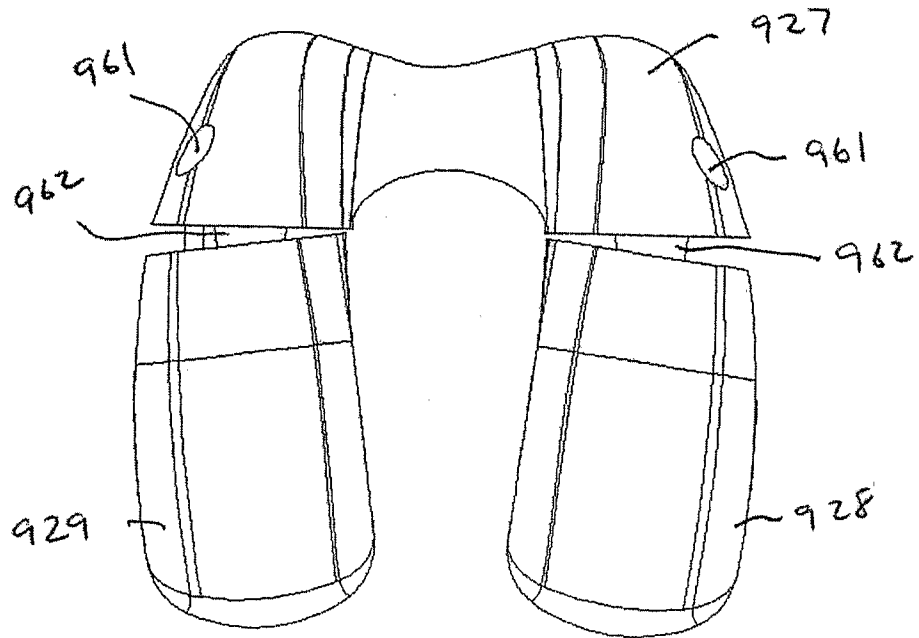


FIG 49 A

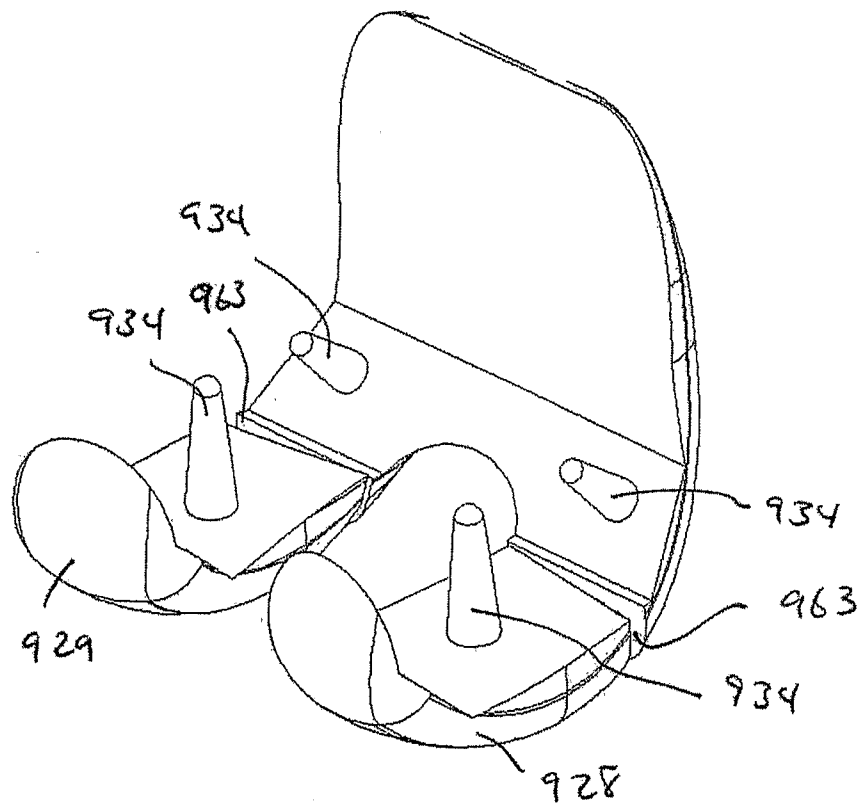


FIG 49 B

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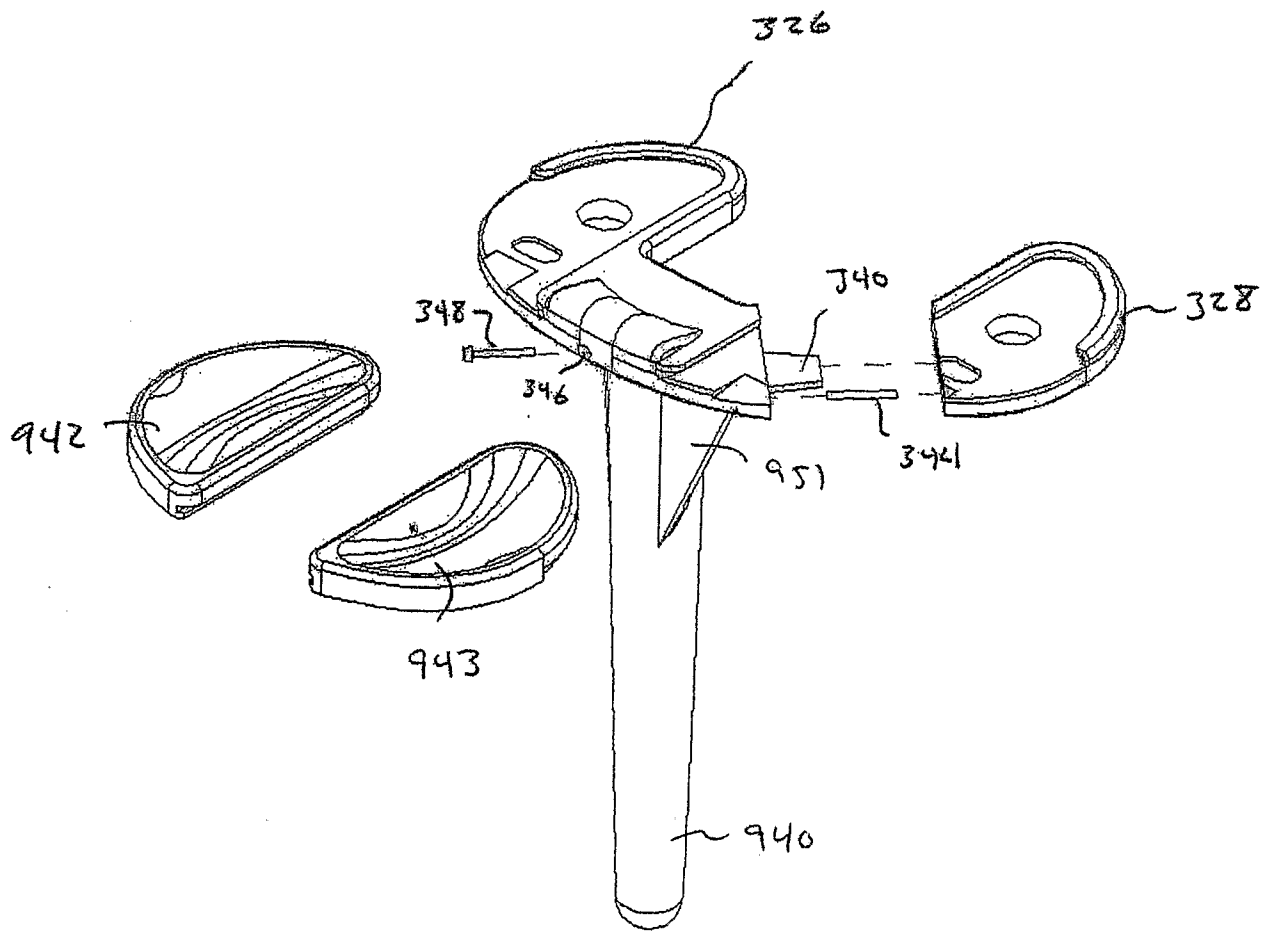
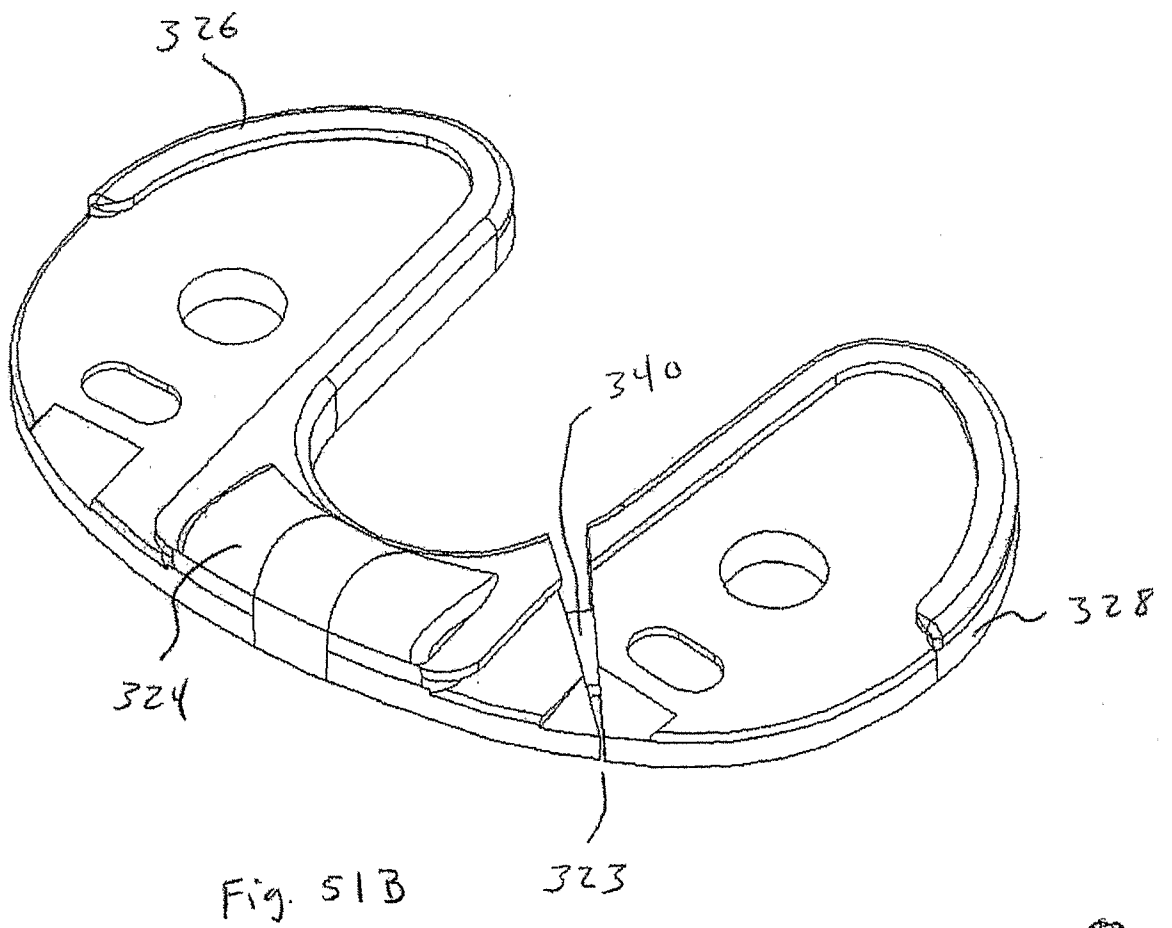
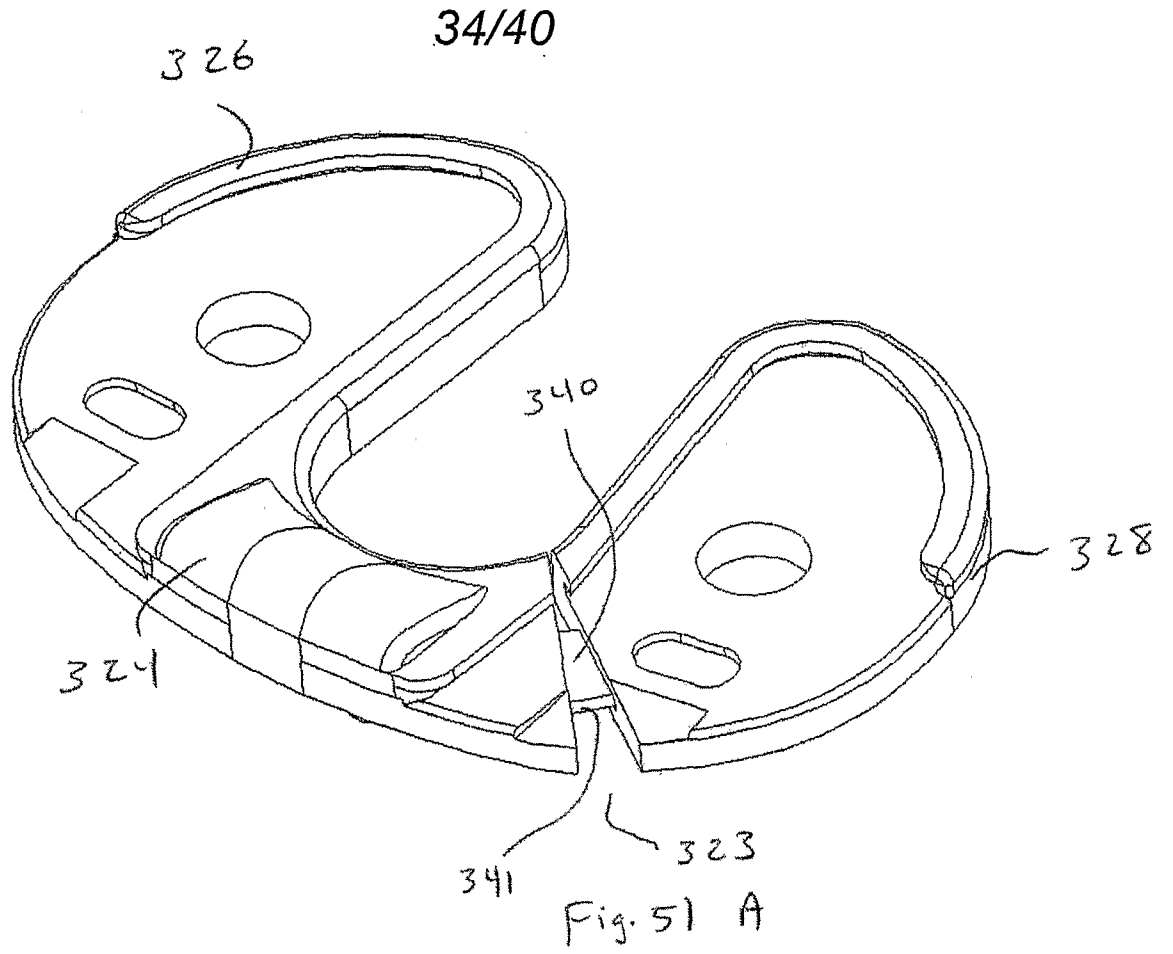


FIG 50



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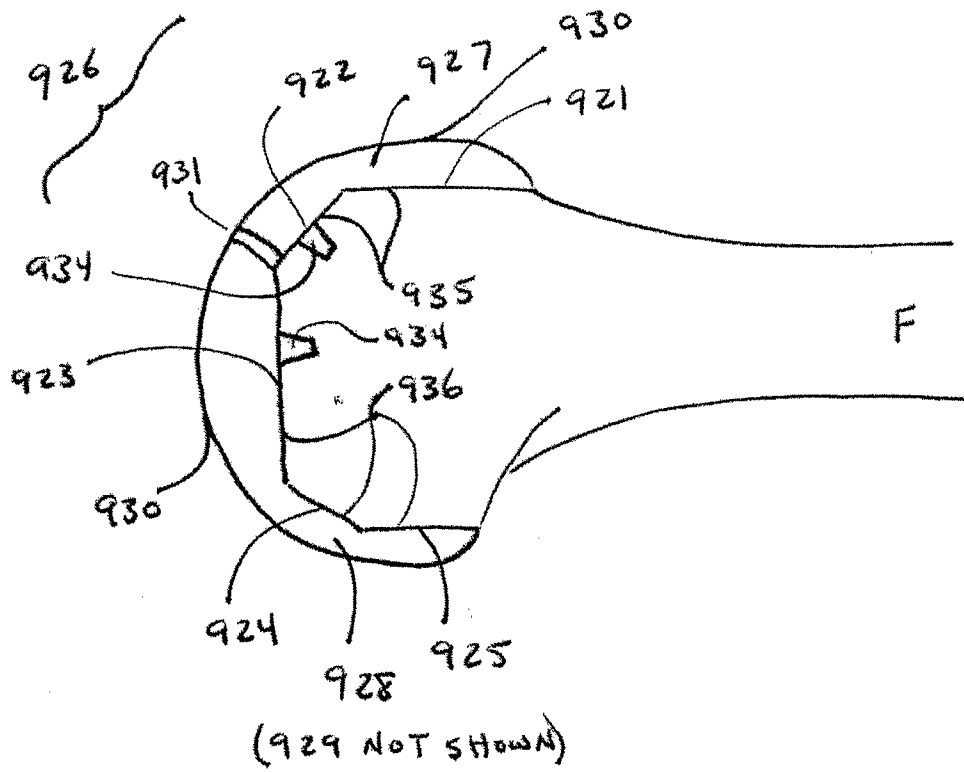


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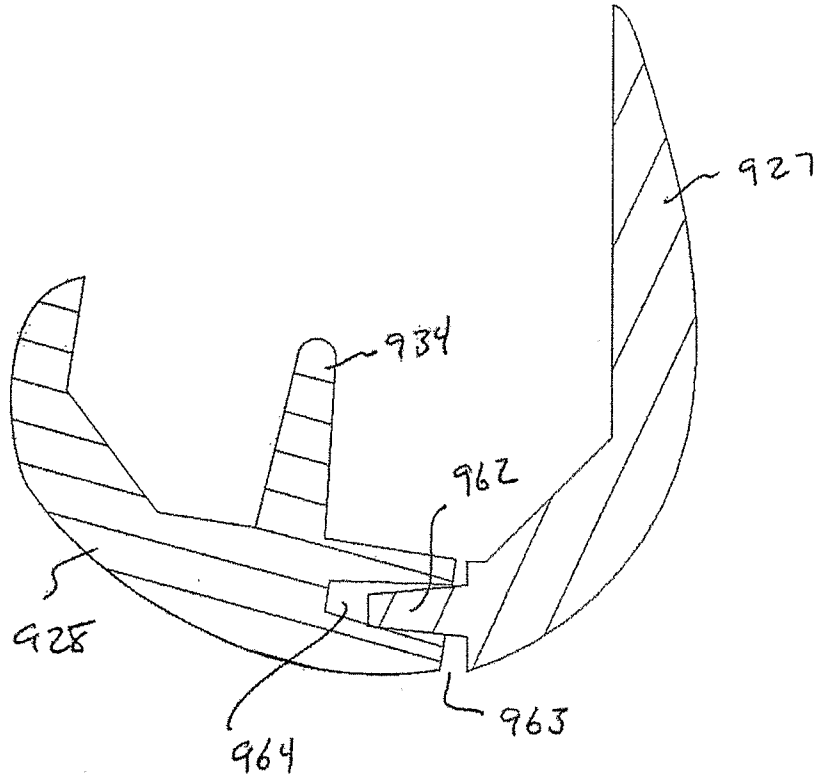


FIG 53

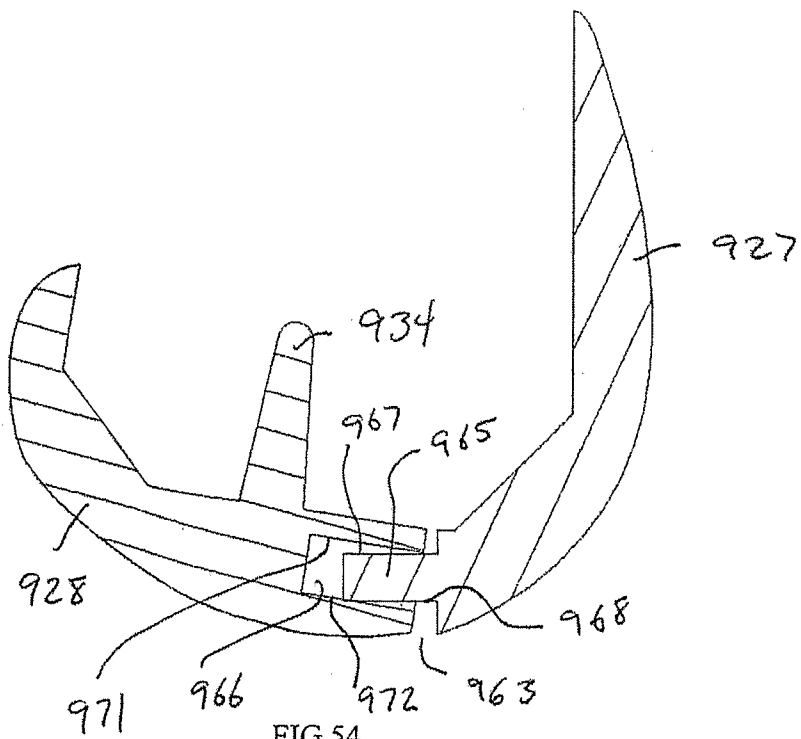


FIG 54

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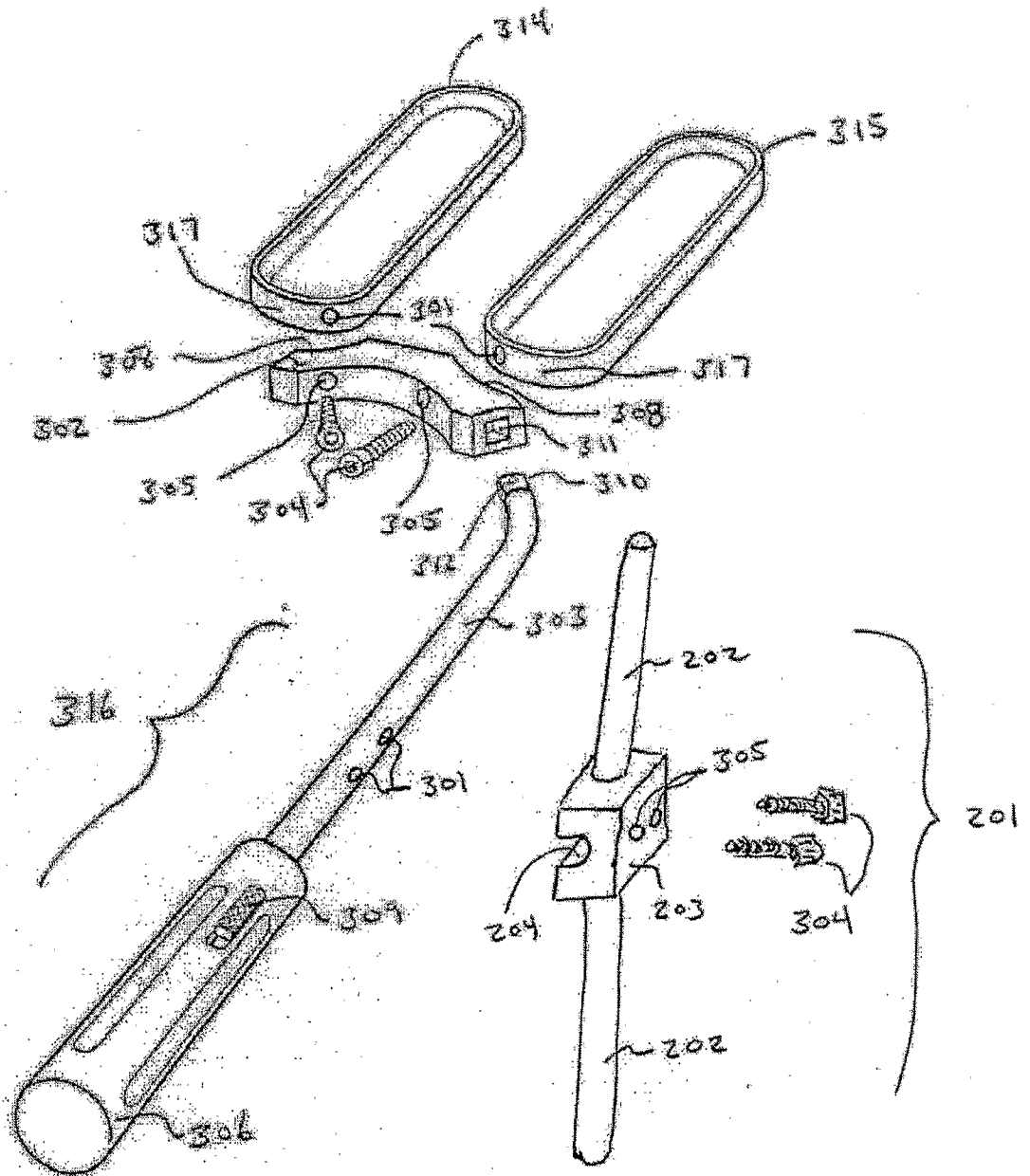


FIG 55

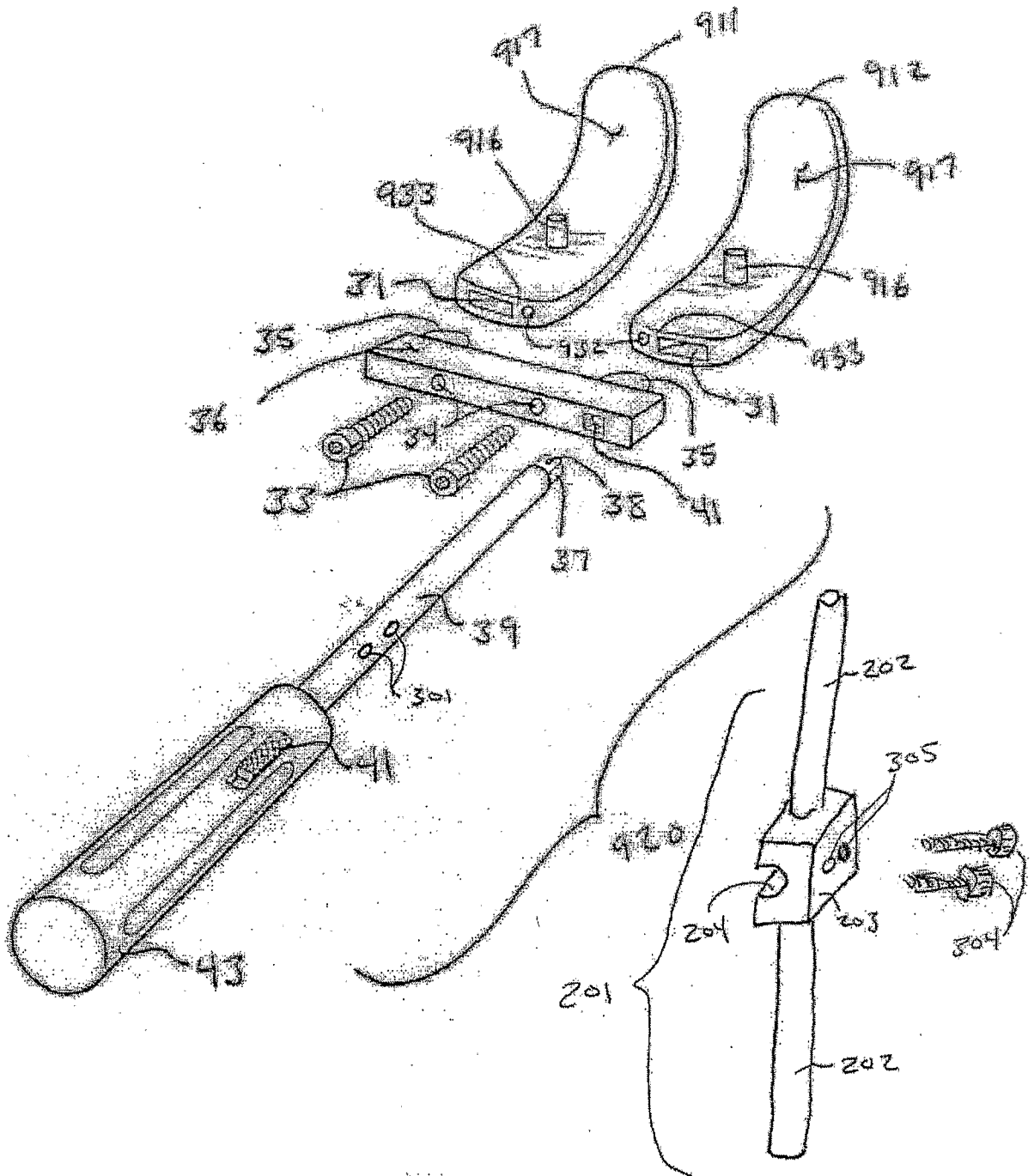


FIG 56

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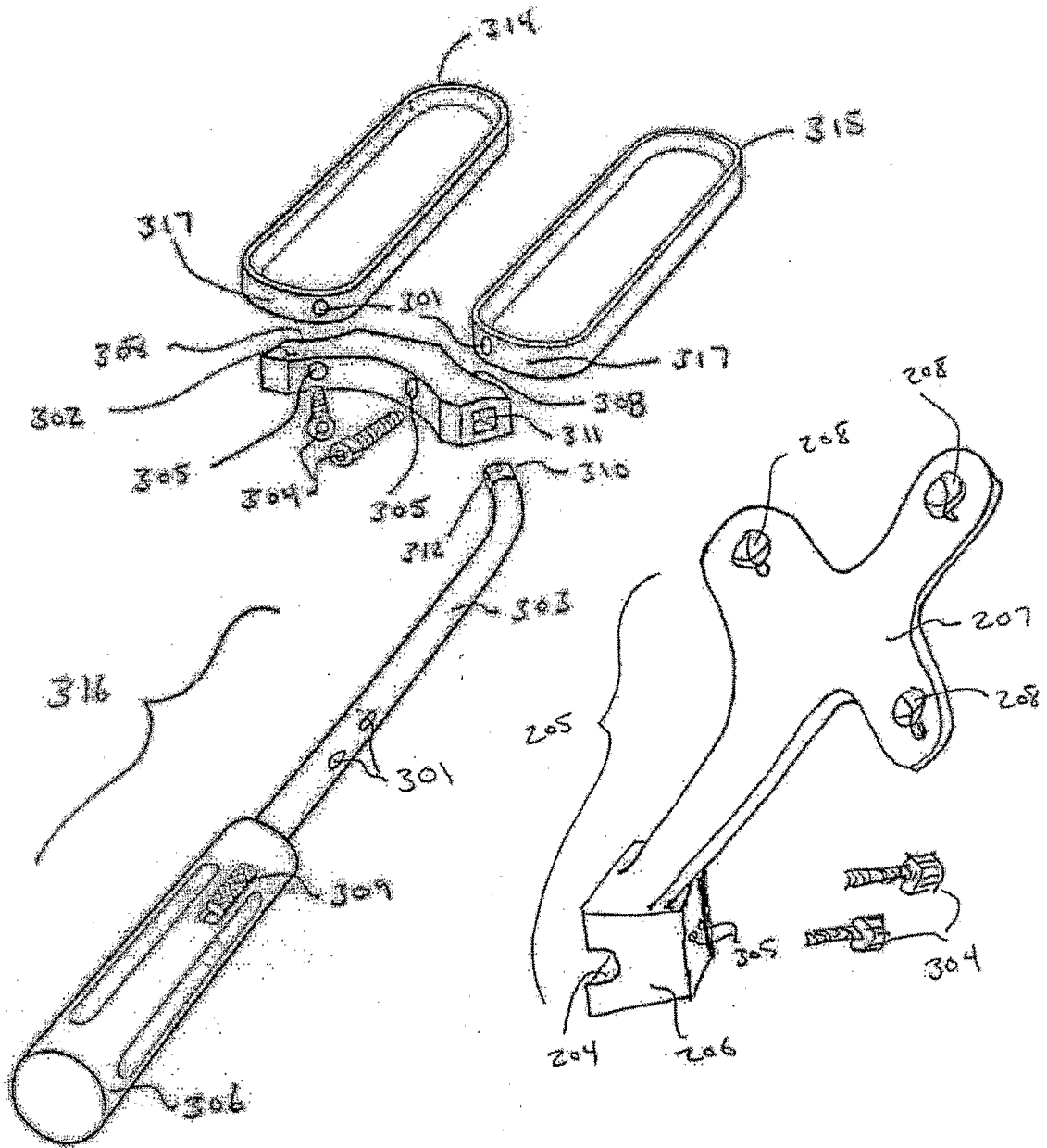


FIG 57

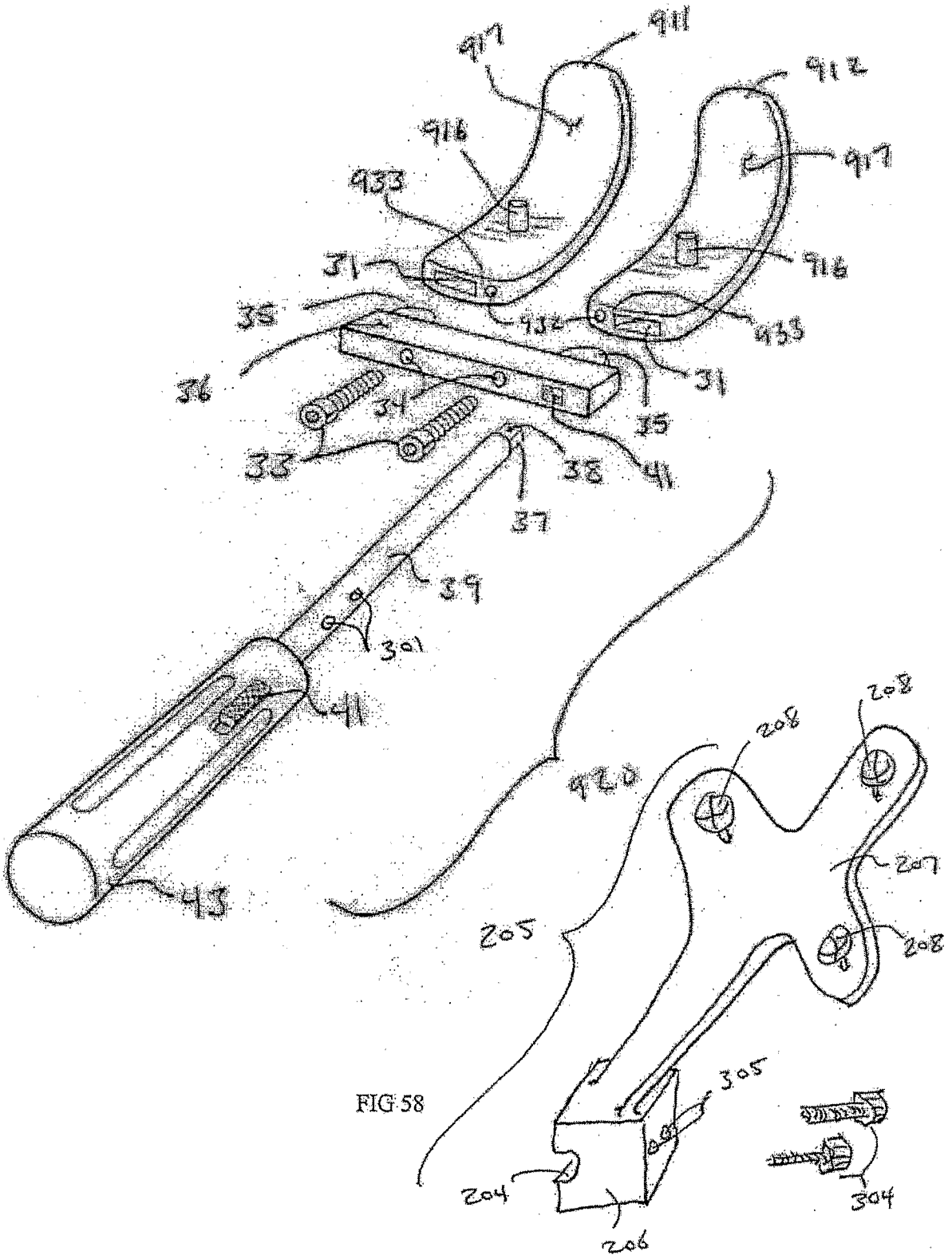


FIG 58