



- (51) International Patent Classification:
G06K 9/00 (2006.01)
- (21) International Application Number:
PCT/US2017/021367
- (22) International Filing Date:
08 March 2017 (08.03.2017)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
15/366,741 01 December 2016 (01.12.2016) US
15/450,925 06 March 2017 (06.03.2017) US
- (71) Applicant (for all designated States except US):
UNIVERSITY OF SOUTH FLORIDA [US/US]; 3802
Spectrum Blvd., Suite 100, Tampa, Florida 33612 (US).
- (72) Inventors; and
(71) Applicants (for US only): **MUNRO, Cindy L.** [US/US];
6316 Flamingo Drive, Apollo Beach, Florida 33572 (US).
CAIRNS, Paula Louise [US/US]; 809 Duval Court, Safe-
ty Harbor, Florida 34695 (US). **CHEN, Xusheng** [CN/US];
16088 Bella Woods Dr., Tampa, Florida 33647 (US).
GOOD, Gwendolyn J. [US/US]; P.O. Box 20458, Sara-
sota, Florida 34276 (US). **KIP, Kevin Edward** [US/US];
9120 Highland Ridge Way, Tampa, Florida 33647 (US).
- (74) Agent: **CHOKSI, Nilay J.**; Smith & Hopen, P.A., 180 Pine
Avenue North, Oldsmar, Florida 34677 (US).
- (81) Designated States (unless otherwise indicated, for every
kind of national protection available): AE, AG, AL, AM,
AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ,
CA, CH, CL, CN, CO, CR, CU, CZ, DE, DJ, DK, DM, DO,
DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN,
HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KH, KN, KP, KR,
KW, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG,
MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM,
PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC,
SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR,
TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every
kind of regional protection available): ARIPO (BW, GH,

(54) Title: STANDARDIZED ORAL HEALTH ASSESSMENT AND SCORING USING DIGITAL IMAGING

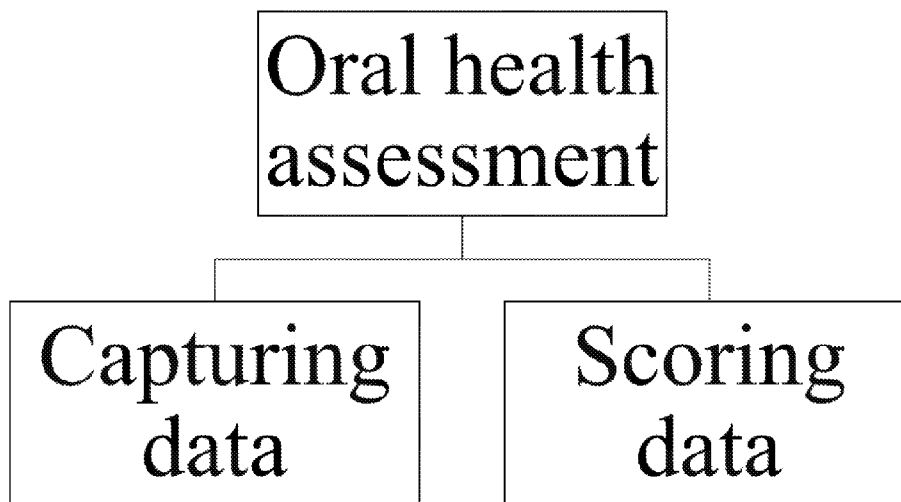


FIG. 1

(57) Abstract: System and methodology objectively capture clinical data on oral health and provide standardized scoring for quantifying oral health. The process of capturing clinical data is based on use of an intraoral camera with imaging software that has the capacity to capture digital images of all tooth surfaces. Digital plaque data are collected in a standardized manner 10 per tooth, and with the ability to select optimal frames for analysis. Data are extracted per tooth into a software program. Color classification of each pixel is determined by the software program using an algorithm that makes use of red/green/blue color code combinations. These classifications are then quantitatively used within the software program and separate algorithms that automatically generate a range of oral health scoring techniques.



GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ,
UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ,
TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK,
EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV,
MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM,
TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW,
KM, ML, MR, NE, SN, TD, TG).

Published:

— *with international search report (Art. 21(3))*

5 **STANDARDIZED ORAL HEALTH ASSESSMENT AND
 SCORING USING DIGITAL IMAGING**

CROSS-REFERENCE TO RELATED APPLICATIONS

10 This nonprovisional application is a continuation of U.S. Nonprovisional Patent Application No.
 15/450,925, entitled "Standardized Oral Health Assessment and Scoring Using Digital
 Imaging", filed March 6th, 2017, which is a continuation-in-part of U.S. Nonprovisional Patent
 Application No. 15/366,741, entitled "Standardized Oral Health Assessment and Scoring Using
 Digital Imaging", filed December 1st, 2016, which claims priority to U.S. Provisional Patent
15 Application No. 62/261,631, entitled "Standardized Oral Health Assessment and Scoring Using
 Digital Imaging", filed December 1st, 2015, all of which are incorporated herein by reference in
 their entireties.

FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT

 This invention was made with government support under Grant Number R01 NR007652
 awarded by the National Institutes of Health. The government has certain rights in the invention.

20 **BACKGROUND OF THE INVENTION**

1. Field of the Invention

 This invention relates, generally, to oral health assessments. More specifically, it relates to use
 of digital imaging to standardize, assess, and score oral health in a subject.

2. Brief Description of the Prior Art

25 Despite its prominent position in bedside care, there is little evidence to judge the benefits or
 associated risks of nurse-administered tooth brushing for mechanically ventilated adults, and
 the optimal frequency of tooth brushing in the critically ill has never been experimentally
 determined. Traditional methods for scoring oral health, including both tooth (*e.g.*, plaque
 burden) and gum (*e.g.*, inflammation) health, have relied upon visual examination by skilled
30 professionals, including dental hygienists. Given the relatively subjective nature of this process,
 measurement of oral health is suboptimal for a number of reasons including, but not limited to:
 time burden, lack of reliability within and between assessors, lack of universal standardized
 scoring algorithms, and computational complexity in attempting to score oral health on multiple
 dimensions, such as simultaneous assessment of age and extent of plaque burden.

35 Attempts have been made at evaluating and quantifying plaque and oral health. Examples
 include U.S. Patent No. 8,110,178; U.S. Patent Application No. 12/832,652; U.S. Patent
 Application No. 11/662,346; Lupita Jocelin Reyes Silveyra, Investigations on Automated
 Methods for Dental Plaque Detection, A thesis submitted to The University of Birmingham for

5 the degree of Doctor of Philosophy, School of Dentistry College of Medical and Dental
Sciences, The University of Birmingham, September 2011; Pretty I A, et al., Quantification of
dental plaque in the research environment, *Journal of dentistry* (2005), 33, (3), 193-207,
ISSN:0300-5712; Michael G McGrady, et al., Evaluating the use of fluorescent imaging for the
10 quantification of dental fluorosis, *BMC Oral Health* (2012), 12, 47; and Rosa GM, et al., New
portable system for dental plaque measurement using a digital single-lens reflex camera and
image analysis: Study of reliability and validation. *Journal of Indian Society of Periodontology*.
2015;19(3):279-284. doi:10.4103/0972-124X.152415. However, none provide a standardized
and objective system for assessing oral health.

Accordingly, what is needed for both for clinicians and researchers is a reliable, user-friendly,
15 and fully objective and standardized methodology and system for quantifying and scoring oral
health. However, in view of the art considered as a whole at the time the present invention was
made, it was not obvious to those of ordinary skill in the field of this invention how the
shortcomings of the prior art could be overcome.

While certain aspects of conventional technologies have been discussed to facilitate disclosure
20 of the invention, Applicants in no way disclaim these technical aspects, and it is contemplated
that the claimed invention may encompass one or more of the conventional technical aspects
discussed herein.

The present invention may address one or more of the problems and deficiencies of the prior
art discussed above. However, it is contemplated that the invention may prove useful in
25 addressing other problems and deficiencies in a number of technical areas. Therefore, the
claimed invention should not necessarily be construed as limited to addressing any of the
particular problems or deficiencies discussed herein.

In this specification, where a document, act or item of knowledge is referred to or discussed,
this reference or discussion is not an admission that the document, act or item of knowledge or
30 any combination thereof was at the priority date, publicly available, known to the public, part of
common general knowledge, or otherwise constitutes prior art under the applicable statutory
provisions; or is known to be relevant to an attempt to solve any problem with which this
specification is concerned.

BRIEF SUMMARY OF THE INVENTION

35 The long-standing but heretofore unfulfilled need for an improved method of assessing oral
health is now met by a new, useful, and nonobvious invention.

In an embodiment, the current invention is a method of assessing oral health in a patient or
subject. The method includes capturing or recording the frames of substantially all buccal,
occlusal, and lingual surfaces in the subject's set of teeth, using a suitable intraoral camera.

5 The frames are imported into an image processing software program that is implemented on a computing device. The frames are processed on the software program to generate images of the teeth to be analyzed. The color of at least a plurality of the frames/images are analyzed and classified to determine presence of yellow color, wherein yellow color indicates presence of plaque. Results of the color analysis are scored to objectively and quantitatively assess the subject's oral health.

10 Optionally, a dental barrier can be positioned over a lens of the intraoral camera, and a camera tip of the intraoral camera is positioned over the dental barrier.

The frames captured by the intraoral camera may be either photographs taken by the camera or video recordings taken by the camera. When the frames are photographs, the subject's teeth can be divided into a plurality of sections, including an upper right section, a lower right section, an upper middle section, a lower middle section, an upper left section, and a lower left section. Further, when the frames are photographs, processing the frames can be performed by cropping each image such that the image includes the targeted tooth, and the resolution of the image can be lowered to a percentage of about 50 or less.

20 In other embodiments when the frames are photographs, the color of the image is analyzed and classified by classifying the color of each pixel of the image. Further, each pixel is classified using RGB color code combinations, wherein a three-dimensional point (x, y, z) defines the color of each pixel. Still further, the step of analyzing and classifying color is further performed by dividing each color dimension of the RGB color code combinations into four (4) categories: (0, 64), (64, 128), (128, 192), and (192, 255). A middle point is selected in each category to be representative of the corresponding category. All categories are then cored to determine when yellow color is present on each pixel. When this is done, it was found that yellow color is present on each pixel when a value of a red dimension is between about 0.75 times of a value of a green dimension and about 2.5 times of the value of the green dimension, and when the values of the green and red dimensions are at least about 1.2 times a value of a blue dimension. Optionally, scoring the results of the color analysis can include calculating a percentage of yellow color in an image by dividing the number of yellow pixels by the total number of pixels in the image.

35 As noted previously, the frames may be video recordings. In this case, the subject's teeth can be divided into a plurality of quadrants, including an upper right quadrant, a lower right quadrant, an upper left quadrant, and a lower left quadrant. Video should be captured and recorded in at least one upper quadrant and at least one lower quadrant. Optionally, the following order can be used to take video in each quadrant: capturing and recording video of the buccal surfaces in the quadrant, followed by capturing and recording video of the occlusal surfaces in the

5 quadrant, followed by capturing and recording video of the lingual surfaces in the quadrant, and followed by repeating the foregoing steps in another quadrant.

In other embodiments when video recordings are used, processing the frames includes extracting single still frame digital images of the tooth surfaces from the video recording. Optionally, each image is cropped, and a resolution of the image can be lowered to a percentage of about 50 or less. The color of the image is analyzed and classified by classifying the color of each pixel of the image. Further, each pixel is classified using RGB color code combinations, wherein a three-dimensional point (x, y, z) defines the color of each pixel. Still further, the step of analyzing and classifying color is further performed by dividing each color dimension of the RGB color code combinations into four (4) categories: (0, 64), (64, 128), (128, 192), and (192, 255). A middle point is selected in each category to be representative of the corresponding category. All categories are then cored to determine when yellow color is present on each pixel. When this is done, it was found that yellow color is present on each pixel when a value of a red dimension is between about 0.75 times of a value of a green dimension and about 2.5 times of the value of the green dimension, and when the values of the green and red dimensions are at least about 1.2 times a value of a blue dimension. Optionally, scoring the results of the color analysis can include calculating a percentage of yellow color in an image by dividing the number of yellow pixels by the total number of pixels in the image.

Optionally, when video recordings are taken, a plurality of frames from all frames can be randomly selected prior to processing the frames on the software program. This random selection can be performed with or without criteria for the random selection.

In a separate embodiment, the current invention is a method of assessing oral health in a patient or subject, comprising any one or more—or even all—of the foregoing steps.

These and other important objects, advantages, and features of the invention will become clear as this disclosure proceeds.

30 The invention accordingly comprises the features of construction, combination of elements, and arrangement of parts that will be exemplified in the disclosure set forth hereinafter and the scope of the invention will be indicated in the claims.

BRIEF DESCRIPTION OF THE DRAWINGS

For a fuller understanding of the invention, reference should be made to the following detailed description, taken in connection with the accompanying drawings, in which:

FIG. 1 is a flowchart depicting the steps of oral health assessment, according to an embodiment of the current invention.

- 5 FIG. 2 is a flowchart depicting the steps of capturing data, according to an embodiment of the current invention.
- FIG. 3 is a flowchart depicting the steps of scoring data, according to an embodiment of the current invention.
- FIG. 4A is an image of a tooth using the “intra-oral” setting of the intraoral camera.
- 10 FIG. 4B is a schematic of “perio mode” of the ACTEON SOPROCARE intraoral camera.
- FIG. 5 depicts the R code for obtaining the final plaque percentage for the tooth.
- FIG. 6 is a chart depicting color samples that can be classified as ‘yellow’ color (plaque) or non-yellow color (normal).
- FIG. 7 depicts the R code for randomly selecting fifty (50) numbers/frames from a video
15 recording.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

In the following detailed description of the preferred embodiments, reference is made to the accompanying drawings, which form a part thereof, and within which are shown by way of illustration specific embodiments by which the invention may be practiced. It is to be understood
20 that other embodiments may be utilized and structural changes may be made without departing from the scope of the invention.

As used in this specification and the appended claims, the singular forms “a”, “an”, and “the” include plural referents unless the content clearly dictates otherwise. As used in this specification and the appended claims, the term “or” is generally employed in its sense
25 including “and/or” unless the context clearly dictates otherwise.

In an embodiment, the current invention uses digital imaging technology to objectively capture clinical data on oral health, and then provides standardized scoring methodology for quantifying oral health. The methodology is applicable to persons in clinical settings (including hospitalized patients) as well as the general population. Generally, it involves two (2) stages (see FIG. 1):
30 the process of capturing clinical data by use of digital imaging technology, and the process of standardized scoring of oral health data.

Capturing Clinical Data (FIG. 2)

The process of capturing clinical data on oral health is based on the use of a conventional intraoral camera (e.g., ACTEON SOPROCARE Diagnostic/Clinical Intraoral Cameras) that has
35 the capacity to capture digital images of all tooth surfaces in white light within and outside of dental laboratory settings. Any suitable intraoral camera is contemplated herein. Data from the digital images are used to enhance the detection of plaque on tooth surfaces, which are difficult

5 to directly observe and score by a dental hygienist. Imaging software (e.g., ACTEON SOPRO Imaging Software) is used in conjunction with the camera to visualize, capture, and store each subject's digital image recording.

The process of digital imaging divides the subject's full set of teeth into four (4) quadrants. These quadrants include an upper right quadrant, lower right quadrant, upper left quadrant, and
10 lower left quadrant. The recording of buccal, occlusal, and lingual surfaces of each quadrant in video-mode is significantly more effective and efficient than taking multiple still frame images at the bedside. Each tooth's digital plaque data is collected in a standardized manner, and with the ability to select optimal frames for analysis.

Scoring Clinical Data (FIG. 3)

15 When not utilizing intraoral cameras, dental plaque burden is conventionally scored by visual examination by use of the University of Mississippi Oral Hygiene Index (UM-OHI). Using visual examination, for the ten (10) sections of each tooth, plaque is scored as present (value of 1) versus absent (value of 0). Thus, the maximum plaque score per tooth is 10. The mean plaque score for the subject is calculated by dividing the total score by number of teeth. By way of
20 contrast, with the intraoral camera and imaging software, according to certain embodiments of the current invention, each tooth's data is extracted into a software program, such as but not limited to R, and with a minimum of about 10,000-40,000 color-derived pixels per tooth.

The color classification of each pixel is determined by the software program using an algorithm that makes use of red/green/blue (RGB) color code combinations. These classifications are
25 then calculated quantitatively within the software program, and a separate algorithm automatically generates a range of oral health scoring techniques. These include, but are not limited to: (i) magnitude (and ratio) of dental plaque per tooth and across all teeth; (ii) estimated age of dental plaque per tooth and across all teeth; and (iii) ratio of plaque burden to plaque age per tooth and across all teeth. The process of oral health scoring is set up such that after
30 appropriate selection of digital images has been achieved with use of the intraoral camera, and after these data have been imported into the computer coding language/program (e.g., R), only a few key strokes are required to compile and execute the algorithmic code, thereby resulting in standardized and near real-time scoring of oral health.

Example

35 *Capturing Data*

A conventional intraoral camera, such as SOPROCARE by ACTEON used herein, illuminates dental tissue with a wavelength of light between 440 nm and 680 nm. Exposed tissue absorbs the energy and reflects it in florescent form. The handheld intraoral camera can be connected to a computing device wirelessly or by way of a video cable. If a wired connection is used, the

5 video cable is connected to both the intraoral camera and the computing device. The dental camera electrical supply is directly powered through the computer USB port. The voltage powering the camera is of continuous 5 V low voltage type (0.5 A). On the computing device, imaging software, such as SOPRO

10 Imaging software, such as SOPRO V2.3 used herein, is required to visualize, capture, and store video and digital images taken by the intraoral camera. Upon initiating the imaging software, a procedure file was created for each subject in order to record and store the digital images. The computing device was placed near the subject's head during the procedure in order to use the monitor as the display screen to visually guide the intraoral camera over each tooth surface. The camera focus ring was set to intraoral mode for video capture and/or camera
15 digital image capture.

The mode on the intraoral camera was then set to the appropriate setting. On the ACTEON SOPROCARE camera for example, there is a rotating focus ring used to focus from "0" to infinite. The "intra-oral" (1-5 teeth) setting captures an image that is 5 mm to 30 mm from the camera. This setting was used for both video and camera digital image capture. See FIG. 4A.
20 Additionally, the ACTEON SOPROCARE camera has a "perio mode", which is a fluorescent mode that is associated with chromatic amplification to highlight dental plaque using ultraviolet light. This "perio mode" revealed both old and new plaque in various stages. New plaque was interpreted as a white color, while older plaque was interpreted as yellow or orange colors depending on its mineralization. See FIG. 4B. Perio mode was used herein to capture video
25 and camera digital images.

A disposable dental barrier can be placed over the camera lens, followed by the optional placement of a camera tip over the dental barrier. The camera tip enables displacement of ambient lighting. In the event of an anatomically small mouth or an oral cavity that is minimized due to facial and tongue swelling, the intraoral camera can capture adequate digital images of
30 dental plaque without using a camera tip.

Mouth props may be used to assist subjects with or without an endotracheal tube to keep the mouth open wide enough for movement of the intraoral camera during the procedure. The mouth prop would be placed on the opposite side of the mouth being recorded.

At this point, the intraoral camera can initiate video or camera digital image recordings. For
35 video recordings, the subject's full set of teeth were visualized in four quadrants. These quadrants included an upper right quadrant, lower right quadrant, upper left quadrant, and lower left quadrant. The speed of video digital imaging decreases the amount of subject burden. Pausing 1 to 2 seconds over each tooth surface will enhance the quality of still frame images to be produced from the video at a later time. In preparation for unforeseen events that make it
40 impossible to complete digital imaging of all four quadrants, it is more representative data of

5 oral health to obtain one-half of a full set of digital images from an upper quadrant and lower
quadrant than one-half of a full set of digital images that is either both upper quadrants or both
lower quadrants. Recording tooth surfaces closest to the endotracheal tube is recommended
to be completed last, in case the subject is susceptible to coughing or gagging with incidental
10 movement of the endotracheal tube. Placing the intraoral camera close to the mouth at the
initiation of image recording and again at the conclusion of image recording can assist in
protecting the subject's identity by avoiding incidental recording of a camera-facing headshot.

These video recordings can be obtained in any suitable way. The following is an exemplary
step-by-step methodology for taking these recordings. First, the technician or other member of
the medical team (herein the "operator") can lift the subject's upper lip with a free hand to
15 expose the full buccal surface of the central and lateral incisor areas. The camera is held steady
over the subject's first available upper quadrant front tooth for 1-2 seconds and over each
buccal tooth surface thereafter, moving the camera over the central and lateral incisor area.
Alternatively, the camera does not need to stop or be held steady for 1-2 seconds over each
tooth surface; rather, the camera can simply take a continuous video along the rows of teeth,
20 and frames can be extracted from that video, as will become clearer as this specification
continues.

The operator's free hand can be used to guide the camera distally over the cuspid and molar
areas, until the buccal surface of the subject's last tooth in the back of the mouth and in the
upper quadrant is recorded. The camera lens is angled to capture the full biting surface of this
25 same last back tooth, pausing 1-2 seconds over the biting surface of each tooth (or alternatively
the camera does not need to be paused over the tooth surface), and moving the camera over
the molar and cuspid areas, thus guiding the camera towards the lateral and central incisor
areas until the biting surface of the subject's first front tooth in the upper quadrant is recorded.

The camera lens is then angled to record the lingual surface of this same first front tooth,
30 pausing 1-2 seconds over the lingual surface of each tooth (or alternatively the camera does
not need to be paused over the tooth surface), and moving the camera over the central and
lateral incisor area, thus guiding the camera distally over the cuspid and molar areas until the
lingual surface of the subject's last back tooth in this upper quadrant is recorded. On the same
side of the mouth, the camera is moved down to record the buccal surface of the subject's last
35 back tooth in the lower quadrant, pausing 1-2 seconds over the buccal surface of each tooth
(or alternatively the camera does not need to be paused over the tooth surface), and moving
the camera over the molar and cuspid areas in the lower quadrant.

The operator can then use a free hand to move the lower lip down to expose and record the
full buccal surface of each tooth in the lateral and central incisor area, until the subject's first
40 front tooth in the lower quadrant is recorded. The camera lens is angled to capture the full biting

5 surface of this same front tooth, pausing 1-2 seconds over the biting surface of each tooth (or
alternatively the camera does not need to be paused over the tooth surface), and moving the
camera over the central and lateral incisor areas, thus guiding the camera distally over the
cuspid and molar areas until the biting surface of the last tooth in the back of the subject's
mouth in the lower quadrant is recorded. The camera lens is then angled to begin recording the
10 lingual surface of this same last back tooth, pausing 1-2 seconds over the lingual surface of
each tooth in the molar and cuspid areas (or alternatively the camera does not need to be
paused over the tooth surface), and guiding the camera over the lateral and central incisor
areas until the subject's first front tooth in this lower quadrant is recorded.

If a mouth prop is being used, move it to the opposite side to continue recording. The foregoing
15 sequence of video recording is then repeated on the opposite side of the subject's mouth. Once
the recording of every tooth surface is complete, recording can be stopped. If needed, the
mouth prop can be removed and placed inside a sealable biohazard bag for transport to the lab
to be cleaned and sterilized.

Similar to video recordings, single frame images can be obtained in any suitable way. The
20 following is an exemplary step-by-step methodology for taking these digital images. The
subject's full set of teeth can be visualized in six sections. These sections include an upper
right, lower right, upper middle, lower middle, upper left, and lower left. The operator's free hand
can be used to lift the subject's upper lip to expose the full buccal surface of the central and
lateral incisors. In camera mode, along with the intra-oral setting, a digital image of up to 6 teeth
25 is captured in each section on the buccal side and again on the lingual side for a total of 12
digital images that represent all tooth surfaces in that particular quadrant.

At the completion of video or camera recordings of all tooth surfaces, the subject's file is saved
and closed, to be prepared and analyzed at a later time. In preparation for analysis, single still
frame digital images of each tooth surface can be produced from the video recording with digital
30 imaging software. Secure storage of these video files and single image files make it possible to
maintain archival data that can be better subjected to additional analysis and reliability
determinations.

Analyzing Data

Generally, to calculate the percentage of plaque in a given image, the image of the tooth is
35 cropped, so that the image only includes the targeted tooth in the image without losing the
integrity of the tooth. Imaging software is then used to lower the resolution. The image is resized
to a percentage of 50 or less (or to reset the pixel to 100 in horizontal percentage, and the
vertical percentage will be automatically adjusted). The R program is then run to obtain the
plaque percentage for the tooth (see FIG. 5 for the R code to obtain the final plaque percentage
40 for the tooth).

5 More specifically as it pertains to the step of the R program obtaining the plaque percentage, to digitize the color, most software programs use three dimensions to record the color, namely the RGB. The software programs score the value of a specific pixel on each dimension, and a three-dimensional point (x, y, z) uniquely defines the color of the specific pixel. The software uses two digits for each color dimension, and each digit uses a hexadecimal system to count
10 the numbers. Therefore, there are 256 possible values to score each dimension of the color. Since an objective herein was to calculate the percentage of plaque on a tooth and a typical plaque is always presented in a yellow color, the calculated score was used to judge whether each pixel should be classified as yellow or not.

The determination of whether a pixel should be considered yellow can be achieved and
15 implemented in any suitable manner. For example, as described herein for illustrative purposes, the combinations of the three colors (RGB) that can create 'yellow' were determined quantitatively. Each color dimension was divided into four categories: (0, 64), (64, 128), (128, 192), (192, 255). Four categories were chosen because that was considered an acceptable compromise for accuracy and computational difficulty. Thus, in total, the end result was 64
20 categories. Next, the middle point of each range was chosen—32, 96, 160, 224—to be the representative of that range; the color for that specific combination was used to represent the color for that category. For example, for the category (0, 64) in red, (0, 64) in blue and (0, 64) in green, the color of point (32 in red, 32 in blue, and 32 in green) was used to represent the color for that category. After all 64 categories were scored, it was found that there were several
25 common properties shared for those categories that are identified as yellow. These common properties are listed as follows:

The value of the red dimension was found to be between about 0.75 times of the value of the green dimension and about 2.5 times of the value of the green dimension.

30 The value of both the green dimension and the red dimension were found to be at least about 1.2 times of the value of the blue dimension.

Accordingly, if the values of the pixel met the conditions above, it was classified as yellow. If not, the pixel was not classified as yellow. After results were obtained for each pixel, the
35 percentage of yellow could be calculated by using the number of yellow pixels divided by the total number of pixels in the picture. FIG. 6 depict color samples that can be classified as 'yellow' color (plaque) or non-yellow color (normal).

Automated Selection

5 As it pertains to video recordings, each recording is broken down into individual frames. A
 random number generator is then used to randomly select a predetermined number of frames
 (e.g., 50) from one (1) to x, where x is the number of total images/frames within that recording.
 The R code for this can be seen in FIG. 7. Generally, frames can be randomly selected with or
 without specified criteria for the selection of frames. These criteria (e.g., a predetermined
 10 number of frames from each quadrant, certain quality of the frame to minimize the noise, etc.)
 can be inputted manually or can be learned automatically by the software algorithm, for
 example via artificial intelligence. If any criteria are present, the imaging software can
 automatically select frames that are relevant and/or discard frames that are not relevant. In any
 case, upon selection of the frames from the video recording, the corresponding images from
 15 the individual frames are selected, and the yellow percentage of the selected images are the
 calculated, as previously discussed.

Any suitable methodology for randomly selecting frames/images from the video recording is
 contemplated herein. For illustration purposes, differing methodologies were tested herein for
 this random selection. First, the 50 images can be randomly selected, but only the portion of
 20 the image related to teeth for analysis, is selected and cropped, as previously discussed.
 Another methodology is selecting images from the middle 60% of the complete video recording,
 thus truncating the first 20% and the last 20% of the video recording. Yet another methodology
 is simply randomly selecting 50 images from the frames of the video recording. Finally, each
 tooth can be selected, cropped, and analyzed separately, and subsequently taking the average
 25 of the scores of the teeth.

Subject ID and Intervention Day	Random Selection with selecting and cropping	Random Selection with truncation	Random Selection Results	Individual Evaluation Results (Benchmark)
1002-P3	0.0599	0.0981	0.0948	0.1571
1008-P5	0.0472	0.0465	0.1044	0.1163
1009-P5	0.0365	0.0500	0.0444	0.0481
1011-P5	0.4047	0.3899	0.3075	0.3611
1027-P3	0.0438	0.0220	0.0403	0.1127
1034-P3	0.1715	0.1364	0.1380	0.1757
1042-P5	0.3054	0.2293	0.2293	0.4311

5 carrying out operations for aspects of the present invention may be written in any combination of one or more programming languages, including an object oriented programming language such as Java, C#, C++, Visual Basic or the like and conventional procedural programming languages, such as the "C" programming language or similar programming languages.

Aspects of the present invention are described below with reference to flowchart illustrations and/or block diagrams of methods, apparatus (systems) and computer program products
10 according to embodiments of the invention. It will be understood that each block of the flowchart illustrations and/or block diagrams, and combinations of blocks in the flowchart illustrations and/or block diagrams, can be implemented by computer program instructions. These computer program instructions may be provided to a processor of a general purpose computer,
15 special purpose computer, or other programmable data processing apparatus to produce a machine, such that the instructions, which execute via the processor of the computer or other programmable data processing apparatus, create means for implementing the functions/acts specified in the flowchart and/or block diagram block or blocks.

These computer program instructions may also be stored in a computer readable medium that
20 can direct a computer, other programmable data processing apparatus, or other devices to function in a particular manner, such that the instructions stored in the computer readable medium produce an article of manufacture including instructions which implement the function/act specified in the flowchart and/or block diagram block or blocks.

The computer program instructions may also be loaded onto a computer, other programmable
25 data processing apparatus, or other devices to cause a series of operational steps to be performed on the computer, other programmable apparatus or other devices to produce a computer implemented process such that the instructions which execute on the computer or other programmable apparatus provide processes for implementing the functions/acts specified in the flowchart and/or block diagram block or blocks.

30 It should be noted that when referenced, an "end-user" is an operator of the software as opposed to a developer or author who modifies the underlying source code of the software. For security purposes, authentication means identifying the particular user while authorization defines what procedures and functions that user is permitted to execute.

Glossary of Claim Terms

35 **About:** This term is used herein to refer to approximately or nearly and in the context of a numerical value or range set forth means $\pm 15\%$ of the numerical. In an embodiment, the term "about" can include traditional rounding according to significant figures of the numerical value. In addition, the phrase "about 'x' to 'y'" includes "about 'x' to about 'y'".

5 **Frame:** This term is used herein to refer to a fraction/division of time on a multimedia (*e.g.*, video) timeline.

Oral health: This term is used herein to refer to the well-being of one's mouth, specifically based herein on the plaque that may be present in the person's mouth. More specifically, the manner in which plaque affects oral health can be the magnitude and ratio of dental plaque per
10 tooth and across all teeth, the estimated age of dental plaque per tooth and across all teeth, and the ratio of plaque burden to plaque age per tooth and across all teeth.

Random selection: This term is used herein to refer to a relatively unpredictably chosen array of frames/images from a video recording. The term "relatively" is used because it is contemplated herein that this random selection can be performed with or without a
15 predetermined set of criteria for the selection. For example, if 1,000 frames are present in a video recording, a set of criteria may eliminate 200 of those frames, and then 50 frames can be "randomly selected" from the remaining 800 frames. Alternatively, the 50 frames can be "randomly selected" from the 1,000 frames with no criteria present. Both circumstances are contemplated herein.

20 **Single still frame digital image:** This term is used herein to refer to a visual representation of a tooth extracted at a specific time during a video recording.

Substantially all: This term is used herein to refer to a representative number of tooth surfaces that, when analyzed, can be used to characterize the amount of plaque across all teeth or on
25 each tooth. This number can be all teeth in the subject's mouth, or it can be an amount less than all of the teeth. For example, some teeth may be inaccessible by an intraoral camera due to the anatomy of a particular subject's mouth, so only the accessible surfaces are recorded. These circumstances are still considered herein as "substantially all".

The advantages set forth above, and those made apparent from the foregoing description, are efficiently attained. Since certain changes may be made in the above construction without
30 departing from the scope of the invention, it is intended that all matters contained in the foregoing description or shown in the accompanying drawings shall be interpreted as illustrative and not in a limiting sense.

It is also to be understood that the following claims are intended to cover all of the generic and specific features of the invention herein described, and all statements of the scope of the
35 invention that, as a matter of language, might be said to fall there between.

5 What is claimed is:

1. A method of assessing oral health in a patient or subject, comprising the steps of:

providing an intraoral camera that can capture or record frames within a mouth of said subject;

10 using the intraoral camera, capturing or recording the frames of substantially all buccal, occlusal, and lingual surfaces in the subject's set of teeth;

importing the frames into an image processing software program implemented on a computing device;

15 processing the frames on the image processing software program to generate images of the teeth to be analyzed;

analyzing and classifying color of at least a plurality of the frames to determine presence of yellow color, wherein the yellow color indicates presence of plaque;

20 scoring results of the color analysis to objectively and quantitatively assess the oral health of the subject.

2. A method as in claim 1, further comprising the steps of positioning a dental barrier over a lens of the intraoral camera and positioning a camera tip of the intraoral camera over the dental barrier.

25 3. A method as in claim 1, wherein the captured frames are photographs taken by the intraoral camera.

4. A method as in claim 3, further comprising the step of dividing the subject's set of teeth into a plurality of sections, wherein the sections include an upper right section, a lower right section, an upper middle section, a lower middle section, an upper left section, and a lower left section.

30 5. A method as in claim 3, wherein the step of processing the frames is performed by cropping each image such that the image includes a targeted tooth in the image.

6. A method as in claim 5, wherein the step of processing the frames is further performed by lowering a resolution of the image to a percentage of about 50 or less.

35

- 5 7. A method as in claim 5, wherein the step of analyzing and classifying the color is performed by classifying the color of each pixel of the image.
8. A method as in claim 7, wherein the color of the each pixel is classified using red-green-blue color code combinations, wherein a three-dimensional point (x, y, z) defines the color of the each pixel.
- 10 9. A method as in claim 8, wherein the step of analyzing and classifying the color is further performed by:
- dividing each color dimension of the red-green-blue color code combinations into four categories, wherein the four categories are (0, 64), (64, 128), (128, 192), and (192, 255);
- 15 selecting a middle point in each category to be representative of the corresponding category; and
- scoring all categories to determine when the yellow color is present on the each pixel.
- 20 10. A method as in claim 9, wherein the yellow color is present on the each pixel when a value of a red dimension is between about 0.75 times of a value of a green dimension and about 2.5 times of the value of the green dimension, and when the values of the green and red dimensions are at least about 1.2 times a value of a blue dimension.
- 25 11. A method as in claim 7, wherein the step of scoring the results of the color analysis includes calculating a percentage of the yellow color by dividing a number of yellow pixels by a total number of pixels in the image.
12. A method as in claim 1, wherein the captured frames are video recordings.
13. A method as in claim 12, further comprising the step of dividing the subject's set of teeth into a plurality of quadrants, wherein the quadrants include an upper right quadrant, a lower right quadrant, an upper left quadrant, and a lower left quadrant.
- 30 14. A method as in claim 13, wherein the step of capturing or recording the frames includes capturing and recording video of the tooth surfaces in at least one upper quadrant and in at least one lower quadrant.
- 35 15. A method as in claim 13, wherein the step of capturing or recording the frames includes capturing and recording video of the buccal surfaces in a quadrant, followed by capturing and recording video of the occlusal surfaces in the quadrant, followed by capturing and recording video of the lingual

- 5 surfaces in the quadrant, and followed by repeating the foregoing steps in another quadrant.
16. A method as in claim 12, wherein the step of processing the frames on the image processing software program includes extracting single still frame digital images of the tooth surfaces from the video recording.
- 10 17. A method as in claim 16, wherein the step of processing the frames is performed by cropping each image such that the image includes a targeted tooth in the image.
18. A method as in claim 17, wherein the step of processing the frames is further performed by lowering a resolution of the image to a percentage of about 50 or less.
- 15 19. A method as in claim 17, wherein the step of analyzing and classifying the color is performed by classifying the color of each pixel of the image.
20. A method as in claim 19, wherein the color of the each pixel is classified using red-green-blue color code combinations, wherein a three-dimensional point (x, y, z) defines the color of the each pixel.
- 20 21. A method as in claim 20, wherein the step of analyzing and classifying the color is further performed by:
- dividing each color dimension of the red-green-blue color code combinations into four categories, wherein the four categories are (0, 64),
- 25 (64, 128), (128, 192), and (192, 255);
- selecting a middle point in each category to be representative of the corresponding category; and
- scoring all categories to determine when the yellow color is present on the each pixel.
- 30 22. A method as in claim 21, wherein the yellow color is present on the each pixel when a value of a red dimension is between about 0.75 times of a value of a green dimension and about 2.5 times of the value of the green dimension, and when the values of the green and red dimensions are at least about 1.2 times a value of a blue dimension.
- 35 23. A method as in claim 19, wherein the step of scoring the results of the color analysis includes calculating a percentage of the yellow color by dividing a number of yellow pixels by a total number of pixels in the image.

- 5 24. A method as in claim 16, further comprising the step of randomly selecting a plurality of frames from all of the captured frames prior to processing the frames on the image processing software program, wherein the random selection of the plurality of frames is performed with or without criteria for the random selection.
- 10 25. A method of assessing oral health in a patient or subject, comprising the steps of:
- providing an intraoral camera that can capture or record frames within a mouth of said subject;
- positioning a dental barrier over a lens of the intraoral camera and
15 positioning a camera tip of the intraoral camera over the dental barrier;
- dividing the subject's set of teeth into a plurality of quadrants, wherein the quadrants include an upper right quadrant, a lower right quadrant, an upper left quadrant, and a lower left quadrant;
- using the intraoral camera, capturing or recording the frames of
20 substantially all buccal, occlusal, and lingual surfaces in the subject's set of teeth,
- wherein the captured frames are video recordings,
- wherein the step of capturing or recording the frames includes capturing and recording video of the tooth surfaces in at least one upper
25 quadrant and in at least one lower quadrant,
- wherein the step of capturing or recording the frames includes capturing and recording video of the buccal surfaces in a quadrant, followed by capturing and recording video of the occlusal surfaces in the quadrant, followed by capturing and recording video of the lingual surfaces in the
30 quadrant, and followed by repeating the foregoing steps in another quadrant;
- importing the frames into an image processing software program implemented on a computing device;
- randomly selecting a plurality of frames from all of the captured frames, wherein the random selection of the plurality of frames is performed
35 with or without criteria for the random selection;
- processing the frames on the image processing software program to generate images of the teeth to be analyzed,

5 wherein the step of processing the frames on the image processing software program includes extracting single still-framed digital images of the tooth surfaces from the video recording,

 wherein the step of processing the frames is performed by cropping each image such that the image includes a targeted tooth in the image and
10 lowering a resolution of the image to a percentage of about 50 or less;

 analyzing and classifying color of at least a plurality of the frames to determine presence of yellow color, wherein the yellow color indicates presence of plaque,

 wherein the step of analyzing and classifying the color is performed
15 by classifying the color of each pixel of the image, wherein the color of the each pixel is classified using red-green-blue color code combinations, wherein a three-dimensional point (x, y, z) defines the color of the each pixel, wherein the step of analyzing and classifying the color is further performed by:

20 dividing each color dimension of the red-green-blue color code combinations into four categories, wherein the four categories are (0, 64), (64, 128), (128, 192), and (192, 255),

 selecting a middle point in each category to be representative of the corresponding category, and

25 scoring all categories to determine when the yellow color is present on the each pixel,

 wherein the yellow color is present on the each pixel when a value of a red dimension is between about 0.75 times of a value of a green dimension and about 2.5 times of the value of the green dimension, and when the
30 values of the green and red dimensions are at least about 1.2 times a value of a blue dimension;

 scoring results of the color analysis to objectively and quantitatively assess the oral health of the subject,

 wherein the step of scoring the results of the color analysis includes
35 calculating a percentage of the yellow color by dividing a number of yellow pixels by a total number of pixels in the image.

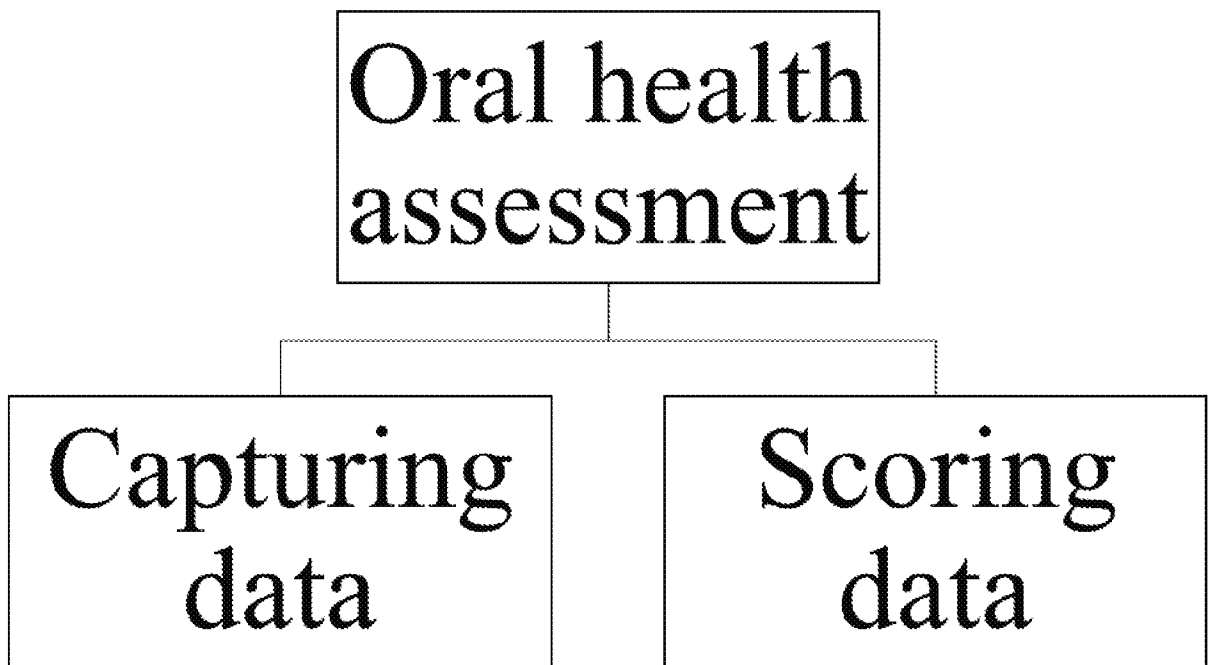


FIG. 1

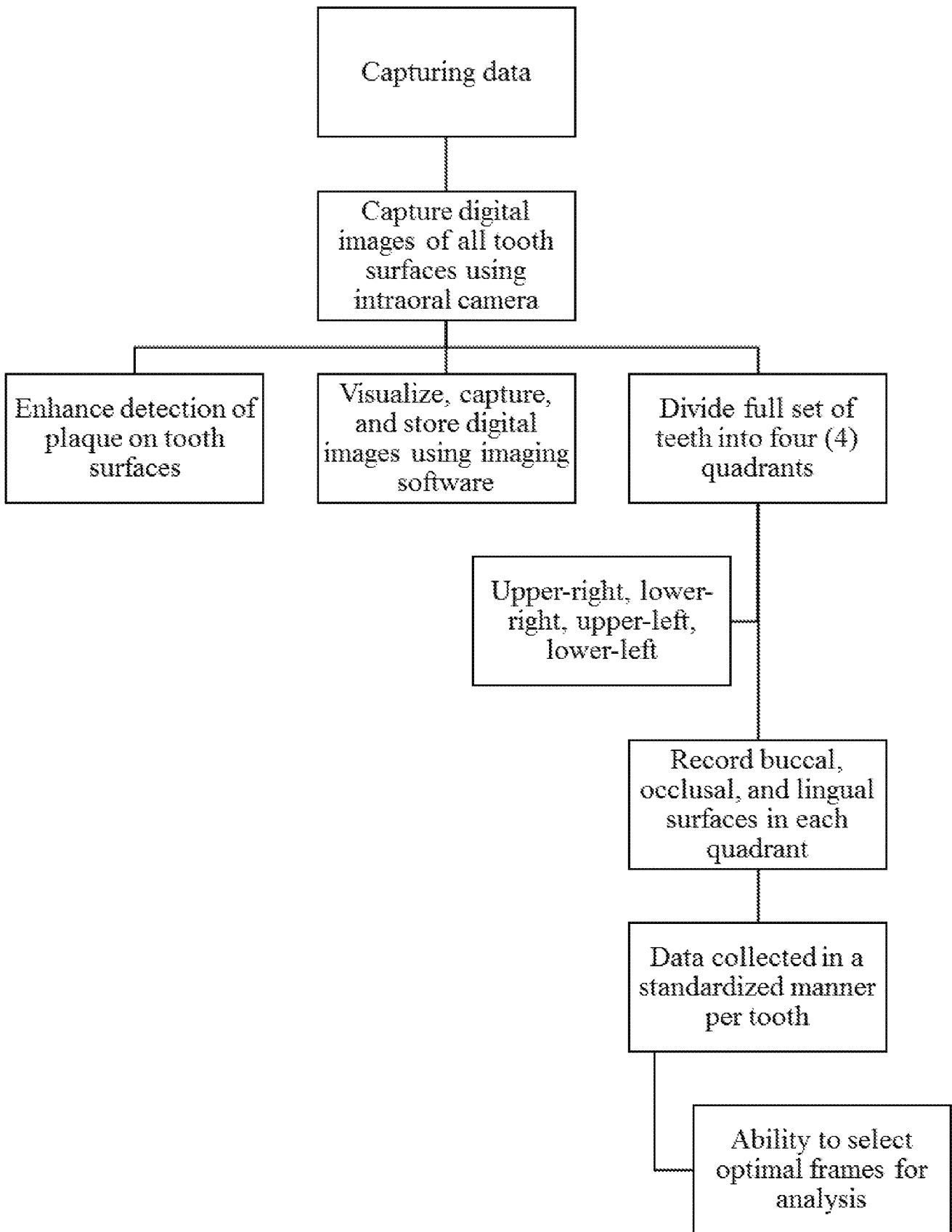


FIG. 2

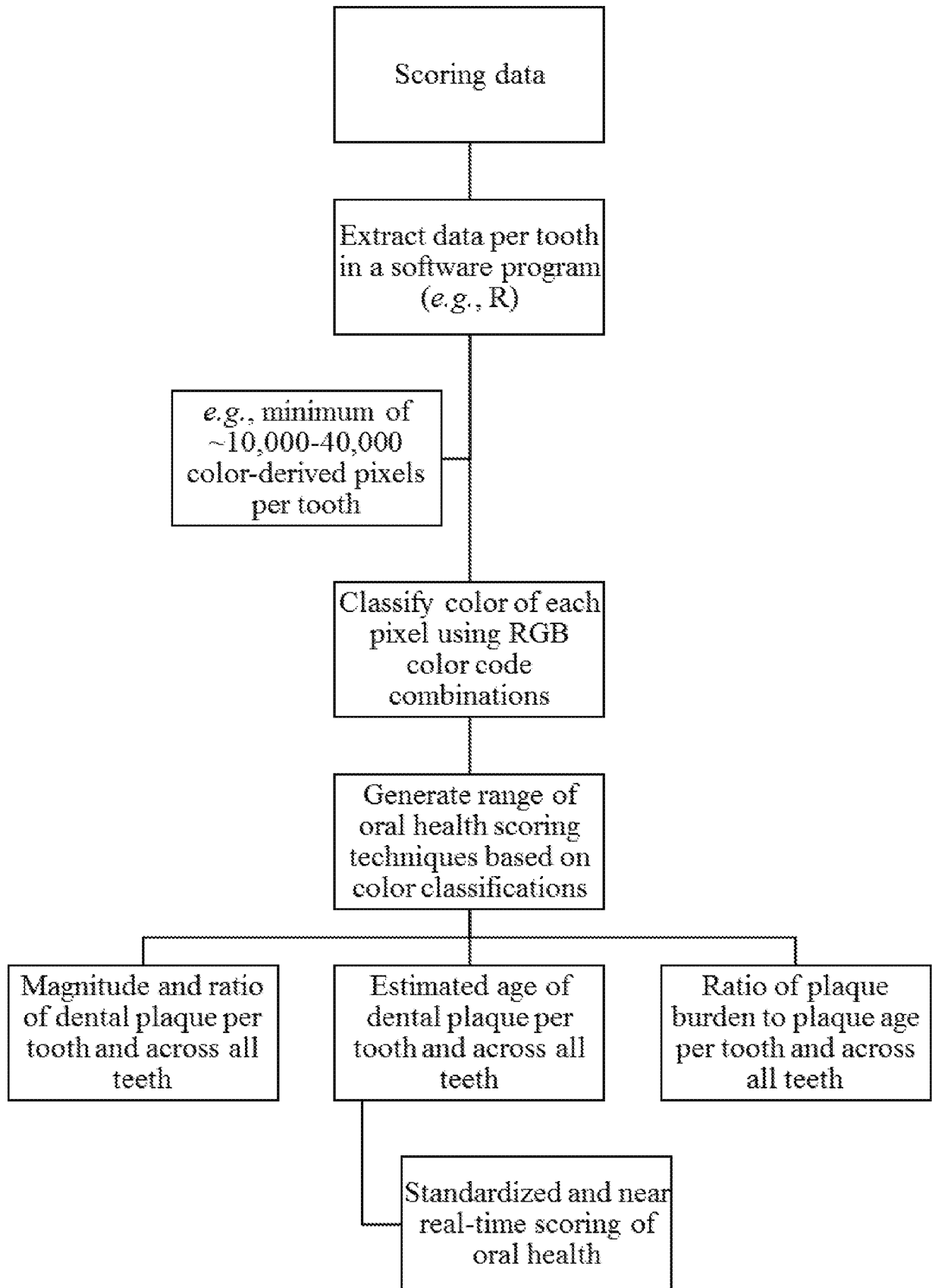


FIG. 3

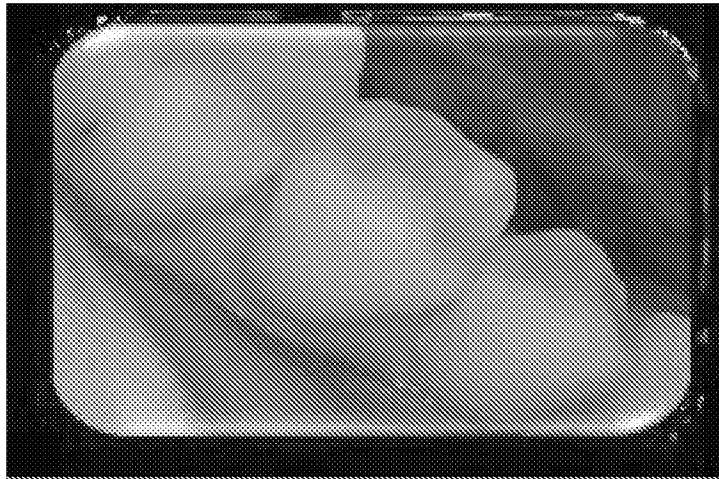


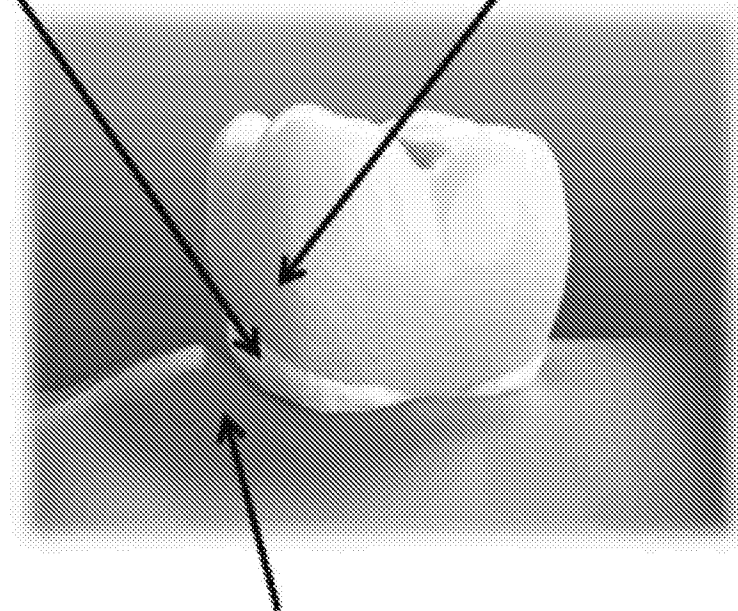
FIG. 4A



Perio Mode

Dental plaque

- Orange to yellow: Tatar (Calculus)
- Grainy & White: Plaque



Gingival inflammation

- Purplish pink to Magenta: Inflammation
- Pink: Healthy gum

FIG. 4B

```
library(EBImage)
f = system.file("images", "image.jpg", package="EBImage")
img = readImage(f)
yellowratio = function(img = img)
{ length = dim(img)[1]
  width = dim(img)[2]
  count = 0
  discount = 0
  for (i in 1:length)
  { print(i)

    for (j in 1: width)
    {
      if (img[][i,j,3] == 1)
        discount = discount + 1
      else if (img[][i,j,1] >= 0.75*img[][i,j,2] & img[][i,j,1] <= 2.5*img[][i,j,2] & img[][i,j,2] >
1.2*img[][i,j,3] & img[][i,j,1] > 1.2*img[][i,j,3])
        count = count + 1
      }
    }

  elenum = (length * width) - discount
  ratio = count/elenum
  return (ratio)
}
yellowratio(img)
```

FIG. 5

































































			
Normal	Normal	Normal	Normal
			
Normal	Normal	Normal	Normal
			
Normal	Normal	Normal	Normal
			
Normal	Normal	Normal	Normal
			
Normal	Normal	Normal	Normal
			
Plaque	Normal	Normal	Normal
			
Normal	Normal	Normal	Normal
			
Normal	Normal	Normal	Normal
			
Normal	Normal	Normal	Normal
			
Plaque	Normal	Normal	Normal
			
Plaque	Plaque	Normal	Normal
			
Normal	Normal	Normal	Normal
			
Normal	Normal	Normal	Normal
			
Plaque	Normal	Normal	Normal
			
Plaque	Plaque	Normal	Normal
			
Plaque	Plaque	Plaque	Normal

FIG. 6

R code:

```
> x5 <- sample(1:4271,50,replace=F)
```

```
> x5
```

FIG. 7

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US 17/21367

A. CLASSIFICATION OF SUBJECT MATTER
IPC(8) - G06K 9/00 (2017.01)
CPC - G06T 7/0012

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
See Search History Document

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
See Search History Document

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
See Search History Document

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 2010/0279248 A1 (Mourad et al.) 04 November 2010 (04.11.2010), para. [0032], [0034], [0041], [0043], [0045], [0047]-[0049], and [0054], and Fig. 2.	1-25
Y	US 2005/0244794 A1 (Kemp et al.) 03 November 2005 (03.11.2005), para. [0005], [0007], [0044], [0072], [0078], [0080], [0087], and [0099], and Figs. 6D and 10A.	1-25
Y	US 2011/0216409 A1 (Stutes) 08 September 2011 (08.09.2011), para. [0011]-[0014], and Figs. 1-4.	2, 25
Y	US 2003/0161401 A1 (Shen et al.) 28 August 2003 (28.08.2003), para. [0029] and [0046].	6, 18, 25
Y	US 2014/0199651 A1 (Adachi) 17 July 2014 (17.07.2014), para. [0015], [0076], and [0086]-[0087], and Figs. 7-8.	12-25
Y	US 2011/0301441 A1 (Bandic et al.) 08 December 2011 (08.12.2011) para [0597]-[0599], Table 2	9-10, 21-22, 25
Y	US 2014/0118427 A1 (Buckley et al.) 01 May 2014 (01.05.2014) para [0111]-[0112], [0115]-[0117], [0124], [0135], [0188]	9-10, 21-22, 25

Further documents are listed in the continuation of Box C. See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search 11 May 2017 (11.05.2017)	Date of mailing of the international search report 30 MAY 2017
---	--

Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-8300	Authorized officer: Lee W. Young PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774
---	--

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 17/21367

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 2010/0183523 A1 (Wagner) 22 July 2010 (22.07.2010), para. [0097].	24-25
A	WO 2015/173948 A1 (NEC Display Solutions, LTD.) 19 November 2015 (19.11.2015), para. [0002] and [0016].	9-10, 21-22, 25
A	US 2013/0122468 A1 (Abrams et al.) 16 May 2013 (16.05.2013) (entire document).	1-25