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(54) Title: MERCURY-FREE COMPOSITIONS AND RADIATION SOURCES INCORPORATING SAME

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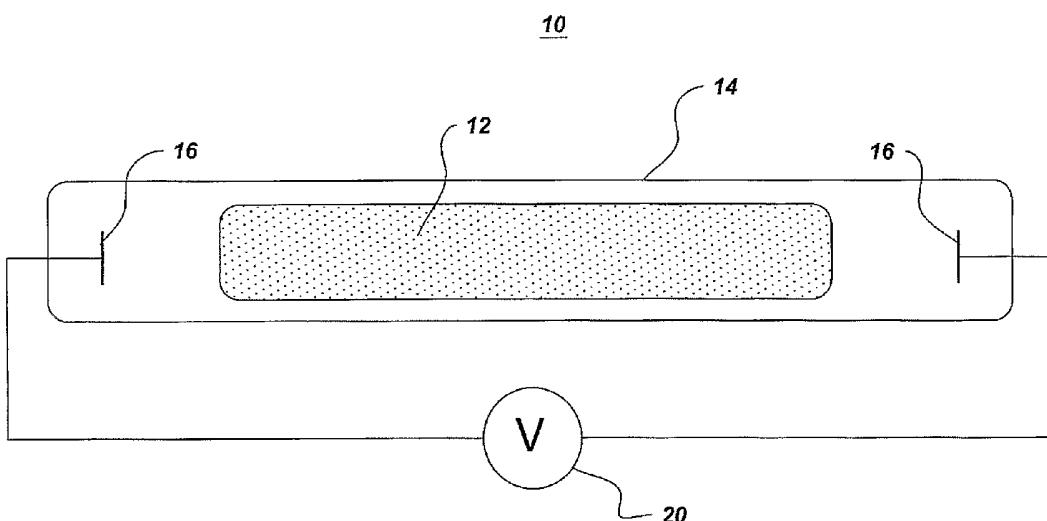
Declarations under Rule 4.17:

- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))

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(57) Abstract: A radiation source (10) with an ionizable mercury-free composition (12). The ionizable composition comprising at least zinc or at least one zinc compound or both.

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MERCURY-FREE COMPOSITIONS AND RADIATION SOURCES  
INCORPORATING SAME

BACKGROUND

The present invention relates generally to a mercury-free composition capable of emitting radiation if excited. In particular, the invention relates to a radiation source comprising an ionizable composition being capable of emitting radiation if excited.

Ionizable compositions are used in discharge sources. In a discharge radiation source, radiation is produced by an electric discharge in a medium. The discharge medium is usually in the gas or vapor phase and is preferably contained in a housing capable of transmitting the radiation generated out of the housing. The discharge medium is usually ionized by applying an electric field created by applying a voltage across a pair of electrodes placed across the medium. Radiation generation occurs in gaseous discharges when energetic charged particles, such as electrons and ions, collide with gas atoms or molecules in the discharge medium, causing atoms and molecules to be ionized or excited. A significant part of the excitation energy is converted to radiation when these atoms and molecules relax to a lower energy state, and in the process emit the radiation.

Gas discharge radiation sources are available and operate in a range of internal pressures. At one end of the pressure range, the chemical species responsible for the emission is present in very small quantities, generating a pressure during operation of a few hundreds pascals or less. The radiating chemical species may sometimes constitute as little as 0.1% of the total pressure.

Gas discharge radiation sources having a total operating pressure at the low end of the pressure range and radiating at least partly in the UV spectrum range, that include coatings of phosphors, can convert UV radiation to visible radiation, and are often referred to as fluorescent sources. The color properties of fluorescent sources are determined by the phosphors used to coat the tube. A mixture of phosphors is usually used to produce a desired color appearance.

Other gas discharge sources, including high intensity discharge sources, operate at relatively higher pressures (from about 0.05 MPa to about 20 MPa) and relatively high temperatures (higher than about 600  $^{\circ}$ C). These discharge sources usually contain an inner arc tube enclosed within an outer envelope.

Many commonly used discharge radiation sources contain mercury as a component of the ionizable composition. Disposal of such mercury-containing radiation sources is potentially harmful to the environment. Therefore, it is desirable to provide mercury-free discharge compositions capable of emitting radiation, which can be used in radiation sources.

#### SUMMARY OF INVENTION

In general, the present invention provides ionizable mercury-free compositions that are capable of emitting radiation when excited and radiation sources that incorporate one of such compositions.

In one aspect of the present invention, the ionizable mercury-free composition comprises at least zinc. The vapor pressure of zinc in the radiation source during its operation is less than about  $1 \times 10^3$  Pa.

In another aspect, the present invention provides a radiation source that includes an ionizable mercury-free composition that comprises zinc and at least one zinc compound. The zinc compound is selected from the group consisting of halides, oxide, chalcogenides, hydroxide, hydride, organometallic compounds, and combinations thereof.

In still another aspect of the present invention, a radiation source includes an ionizable mercury-free composition that comprises at least a zinc compound. The zinc compound is selected from the group consisting of halides, oxide, chalcogenides, hydroxide, hydride, organometallic compounds, and combinations thereof. The vapor pressure of the zinc compound during operation of the radiation source is less than about  $1 \times 10^3$  Pa.

## BRIEF DESCRIPTION OF THE DRAWINGS

These and other features, aspects, and advantages of the present invention will become better understood when the following detailed description is read with reference to the accompanying drawings in which like characters represent like parts throughout the drawings, wherein:

FIG. 1 is a radiation source in one embodiment of the present invention.

FIG. 2 is a radiation source in a second embodiment of the present invention.

FIG. 3 is a radiation source in a third embodiment of the radiation source of the present invention.

FIG. 4 is an emission spectrum of a radiation source in one embodiment of the present invention.

## DETAILED DESCRIPTION

In an embodiment of the present invention, an ionizable mercury-free composition of a radiation source that comprises zinc in an amount such that a vapor pressure of zinc during an operation of the radiation source is less than about  $1 \times 10^3$  Pa. The vapor pressure of zinc during operation is preferably less than about 100 Pa and, more preferably, less than about 10 Pa.

In one embodiment, zinc is present as zinc metal in an unexcited state. In another embodiment zinc is present as a component of an alloy with at least another metal other than mercury.

In another embodiment of the present invention, a radiation source comprises an ionizable mercury-free composition that comprises zinc and at least a zinc compound, which is selected from the group consisting of halides, oxide, chalcogenides, hydroxide, hydride, organometallic compounds, and combinations thereof.

In a further embodiment of the present invention, a radiation source comprises an ionizable mercury-free ionizable composition that comprises at least a zinc

compound, which is selected from the group consisting of halides, oxide, chalcogenides, hydroxide, hydride, organometallic compounds, and combinations thereof. Said at least a zinc compound being present in an amount such that a vapor pressure of said at least a zinc compound during an operation of the radiation source is less than about  $1 \times 10^3$  Pa, preferably, less than about 100 Pa, and more preferably, less than about 10 Pa.

In one aspect of the present invention, the ionizable composition in the radiation source is a zinc halide. In another aspect, the zinc halide is zinc iodide. In still another aspect, the zinc halide is zinc bromide.

The ionizable mercury-free composition further comprises an inert gas selected from the group consisting of helium, neon, argon, krypton, xenon, and combinations thereof. The inert gas enables the gas discharge to be more readily ignited. The inert gas, which serves as a buffer gas, also controls the steady state operation, and is used to optimize the lamp. In a non-limiting example, argon is used as the buffer gas. Argon may be substituted, either completely or partly, with another inert gas, such as helium, neon, krypton, xenon, or combinations thereof.

In one aspect of the invention, the gas pressure of the inert gas at the operating temperature is in the range from about 1 Pascal to about  $1 \times 10^4$  Pa, preferably from about 100 Pa to about  $1 \times 10^3$  Pa.

Within the scope of this invention, the efficiency of the radiation source may be improved by including two or more zinc compounds in the ionizable composition. The efficiency may be further improved by optimizing the internal pressure of the discharge during operation. Such optimization can be effected by controlling the partial pressure of zinc and/or zinc compounds, or by controlling the pressure of the inert gas, or by controlling the partial pressure of zinc and/or zinc compounds and the pressure of the inert gas. Moreover, the applicants have discovered that an increase in the luminous efficacy can be achieved by controlling the operating temperature of the discharge. The luminous efficacy, expressed in lumen/Watt, is the ratio between the

brightness of the radiation in a specific visible wavelength range and the energy for generating the radiation.

FIG. 1 schematically illustrates a gas discharge radiation source 10. FIG. 1 shows a tubular housing or vessel 14 containing an ionizable composition of the present invention. The material comprising the housing 14 may be transparent or opaque. The housing 14 may have a circular or non-circular cross section, and need not be straight. In one embodiment, the discharge is desirably excited by thermionically emitting electrodes 16 connected to a voltage source 20. The discharge may also be generated by other methods of excitation that provide energy to the composition. It is within the scope of this invention that various waveforms of voltage and current, including alternating or direct, are contemplated for the present invention. It is also within the scope of this invention that additional voltage sources may also be present to help maintain the electrodes at a temperature sufficient for thermionic emission of electrons.

FIG. 2 schematically illustrates another embodiment of a gas discharge radiation source 10. The housing comprises an inner envelope 24 and an outer envelope 26. The space between the two envelopes is either evacuated or filled with a gas.

The gas discharge radiation source housing may alternatively be embodied so as to be a multiple-bent tube or inner envelope 24 surrounded by an outer envelope or bulb 26 as shown in FIG. 3.

The housing or the envelope of the radiation source containing the ionizable composition is preferably made of a material type that is substantially transparent. The term "substantially transparent" means allowing a total transmission of at least about 50 percent, preferably at least about 75 percent, and more preferably at least 90 percent, of the incident radiation within 10 degrees of a perpendicular to a tangent drawn at any point on the surface of the housing or envelope.

Within the scope of this invention, phosphors may be used to absorb the radiation emitted by the discharge and emit other radiation in the visible wavelength region. In one embodiment, a phosphor or a combination of phosphors may be applied to the

inside of the radiation source envelope. Alternatively, the phosphor or phosphor combination may be applied to the outside of the radiation source envelope provided that the envelope is not made of any material that absorbs a significant amount of the radiation emitted by the discharge. A suitable material for this embodiment is quartz, which absorbs little radiation in the UV spectrum range.

In one embodiment of the radiation source, wherein the housing containing the ionizable composition has an inner envelope and an outer envelope, the phosphors may be coated on the outer surface of the inner envelope and/or the inner surface of the outer envelope.

The chemical composition of the phosphor determines the spectrum of the radiation emitted. The materials that can suitably be used as phosphors absorb at least a portion of the radiation generated by the discharge and emit radiation in another suitable wavelength range. For example, the phosphors absorb radiation in the UV range and emit in the visible wavelength range, such as in the red, blue and green wavelength range, and enable a high fluorescence quantum yield to be achieved.

In a non-limiting example, for a gas discharge radiation source containing zinc and zinc iodide, where the radiation output is dominated by the spectral transitions at about 214 nanometers and at about 308 nanometers, as shown in FIG. 4, phosphors that convert radiation at, at least one of these wavelengths, is used.

Within the scope of this invention, non-limiting examples of phosphors which may be used for the generation of light in the blue wavelength range are SECA/BECA; SPP:Eu; Sr(P,B)O:Eu; Ba<sub>3</sub>MgSi<sub>2</sub>O<sub>8</sub>:Eu; BaAl<sub>8</sub>O<sub>13</sub>:Eu; BaMg<sub>2</sub>Al<sub>16</sub>O<sub>27</sub>:Eu; BaMg<sub>2</sub>Al<sub>16</sub>O<sub>27</sub>:Eu,Mn; Sr<sub>4</sub>Al<sub>14</sub>O<sub>25</sub>:Eu; (Ba,Sr)MgAl<sub>10</sub>O<sub>17</sub>:Eu; Sr<sub>4</sub>Si<sub>3</sub>O<sub>8</sub>Cl<sub>2</sub>:Eu; MgWO<sub>4</sub>; MgGa<sub>2</sub>O<sub>4</sub>:Mn; YVO<sub>4</sub>:Dy; (Sr,Mg)<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub>:Cu, (Sr,Ba)Al<sub>2</sub>Si<sub>2</sub>O<sub>8</sub>:Eu; ZnS:Ag; Ba<sub>5</sub>SiO<sub>4</sub>Cl<sub>6</sub>:Eu, and mixtures thereof.

Within the scope of this invention, non-limiting examples of phosphors which may be used for the generation of light in the green wavelength range are Zn<sub>2</sub>SiO<sub>4</sub>:Mn; Y<sub>2</sub>SiO<sub>5</sub>:Ce,Tb; YAlO<sub>3</sub>:Ce,Tb; (Y,Gd)<sub>3</sub>(Al,Ga)<sub>5</sub>O<sub>12</sub>:Ce; Tb<sub>3</sub>Al<sub>15</sub>O<sub>12</sub>:Ce ZnS:Eu,Cu; Al; ZnS:Cu; Al, YBO<sub>3</sub>:Ce,Tb, and mixtures thereof.

Within the scope of this invention, non-limiting examples of phosphors which may be used for the generation of light in the red wavelength range are  $Y(V,P)O_4:Eu$ ,  $Y(V,P)O_4:Dy$ ,  $Y(V,P)O_4:In$ ,  $MgFGe$ ,  $Y_2O_2S:Eu$ ,  $(Sr,Mg,Zn)_3(PO_4)_2:Sn$ , and mixtures thereof.

In one aspect of the present invention, the radiation source is provided with a means for generating and maintaining a gas discharge. In an embodiment, the means for generating and maintaining a discharge are electrodes disposed at two points of a radiation source housing or envelope and a voltage source providing a voltage to the electrodes. In one aspect of this invention, the electrodes are hermetically sealed within the housing. In another aspect, the radiation source is electrodeless. In another embodiment of an electrodeless radiation source, the means for generating and maintaining a discharge is an emitter of radio frequency present outside or inside at least one envelope containing the ionizable composition.

In still another embodiment of the present invention, the ionizable composition is capacitively excited with a high frequency field, the electrodes being provided on the outside of the gas discharge vessel. In still another embodiment of the present invention, the ionizable composition is inductively excited using a high frequency field.

#### EXAMPLE 1

A cylindrical quartz discharge vessel, which is transparent to UV-A radiation, 14 inches in length and 1 inch in diameter, was provided. The discharge vessel was evacuated and a dose of 10.3 mg of Zn and an amount of argon were added at ambient temperature to attain an internal pressure of 267 Pa. The vessel was inserted into a furnace and power was capacitively-coupled into the gas medium via external copper electrodes at an excitation frequency of 13.56 MHz. Radiative emission and radiant efficiency were measured. The ultraviolet output power was estimated to be about 55 percent of the input electrical power at about 390  $^{\circ}C$ . When the ultraviolet radiation is converted to visible light by a suitable phosphor blend, the luminous efficacy was estimated to be 100 lm/W.

## EXAMPLE 2

A cylindrical quartz discharge vessel, which is transparent to UV-A radiation, 14 inches in length and 1 inch in diameter, was provided. The discharge vessel was evacuated and a dose of 3.4 mg Zn and 5.6 mg ZnI<sub>2</sub> and argon were added. The pressure of argon was about 267 Pa. The vessel was inserted into a furnace and power was capacitively-coupled into the gas medium via external copper electrodes at an excitation frequency of 13.56 MHz. Radiative emission and radiant efficiency were measured. A luminous efficacy was estimated to be 100 lm/W at an operating temperature of about 255°C with the use of a similar procedure as in Example 1.

The present invention also includes other embodiments that include zinc halides and an inert gas, such as argon, as the discharge medium. In particular, zinc bromide or zinc iodide is advantageously used.

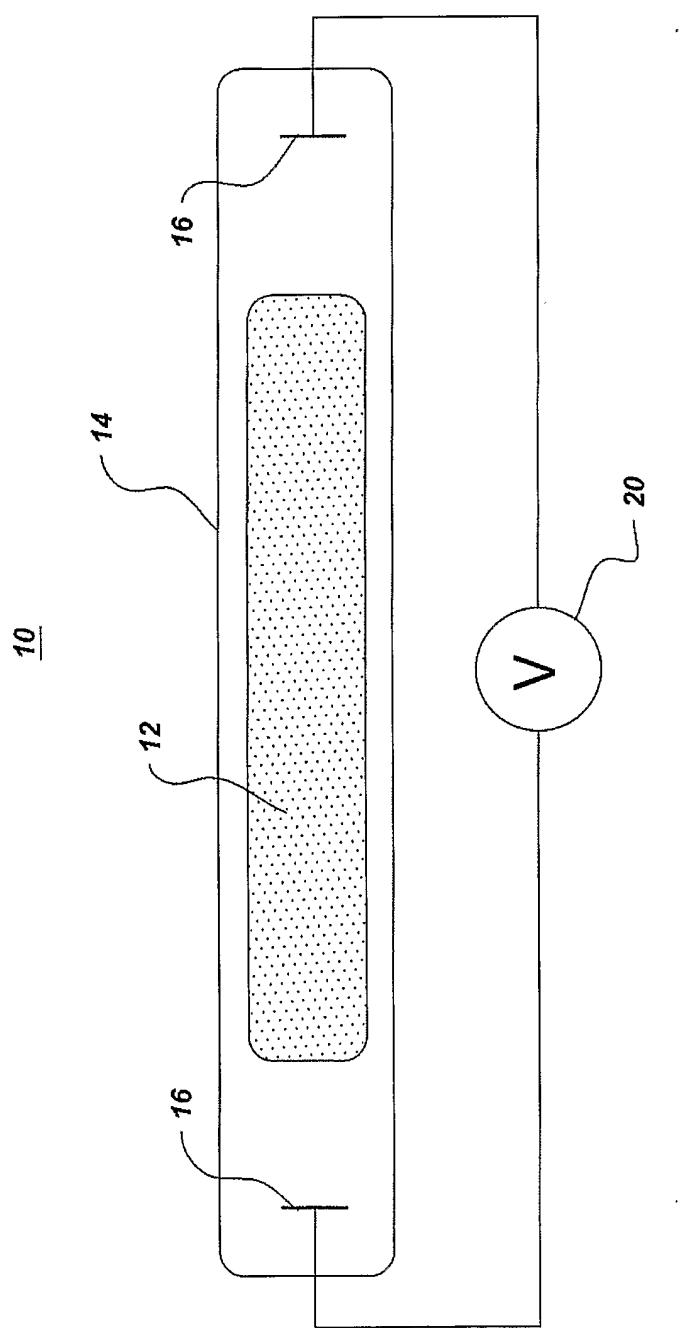
While various embodiments are described herein, it will be appreciated from the specification that various combinations of elements, variations, equivalents, or improvements therein are foreseeable, may be made by those skilled in the art, and are still within the scope of the invention as defined in the appended claims.

## CLAIMS:

1. A radiation source (10) comprising an ionizable mercury-free composition (12) that comprises zinc in an amount such that a vapor pressure of said zinc during an operation of said radiation source is less than about  $1 \times 10^3$  Pa.
2. The radiation source of claim 1, wherein the vapor pressure of said zinc during said operation of said radiation source is less than about 100 Pa.
3. The radiation source of claim 1, further comprising an inert buffer gas, wherein said inert buffer gas has a pressure in a range from about 1 Pa to about  $1 \times 10^4$  Pa during an operation of said radiation source.
4. A radiation source (10) comprising an ionizable mercury-free composition (12) that comprises zinc and at least one zinc compound, wherein said at least one zinc compound is selected from the group consisting of halides, oxide, chalcogenides, hydroxide, hydride, organometallic compounds, and combinations thereof.
5. The radiation source of claim 4, wherein said at least one zinc compound is a zinc halide.
6. The radiation source of claim 5, wherein said zinc halide is zinc iodide.
7. A radiation source (10) comprising an ionizable mercury-free ionizable composition (12) that comprises at least a zinc compound, wherein said compound is selected from the group consisting of halides, oxides, chalcogenides, hydroxides, hydrides, organometallic compounds; said zinc compound being present in an amount such that a vapor pressure of said zinc compound during an operation of said radiation source is less than about  $1 \times 10^3$  Pa.
8. The radiation source of claim 7, wherein said zinc compound is a zinc halide.
9. The radiation source of claim 8, wherein said zinc halide is zinc iodide.

10. The radiation source of claim 7, wherein the composition comprises at least two zinc compounds.

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**Fig. 1**

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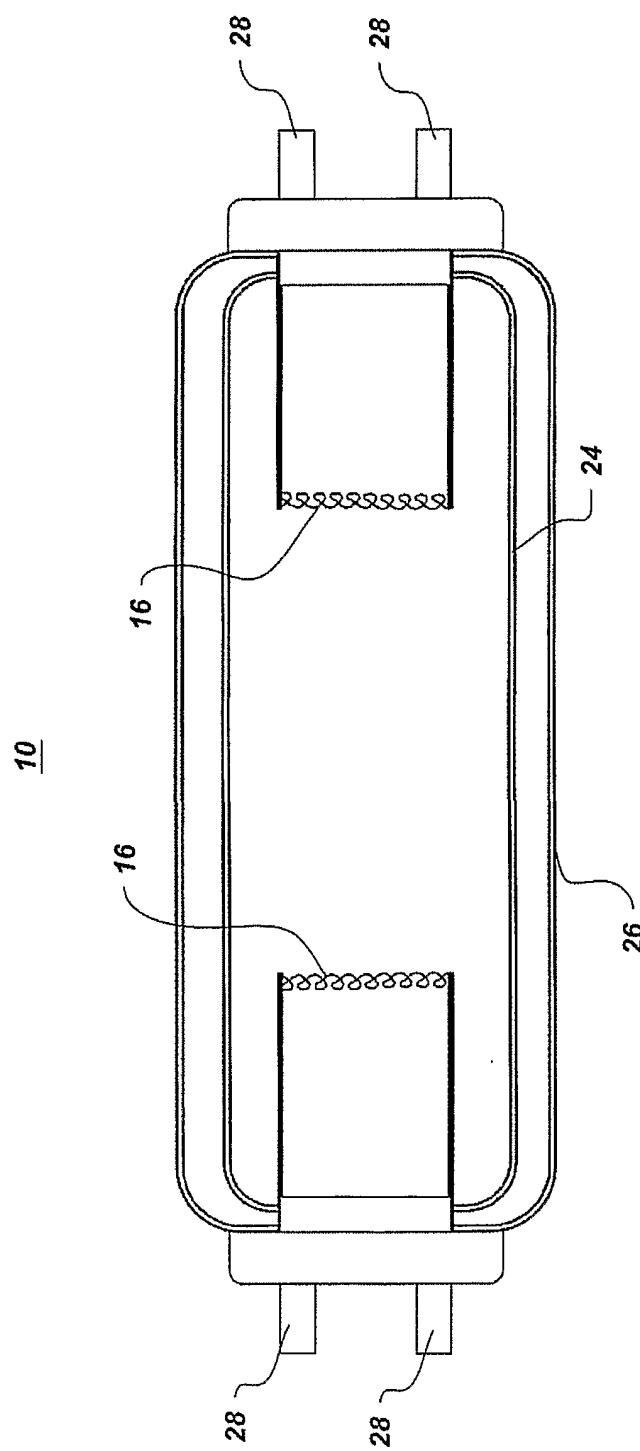
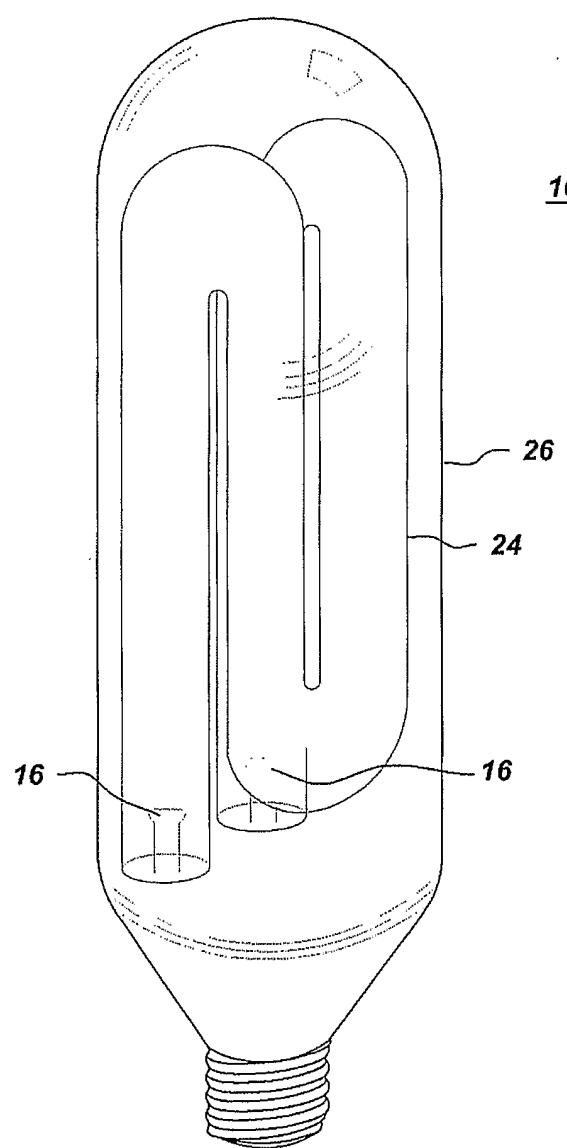


Fig. 2

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*Fig. 3*

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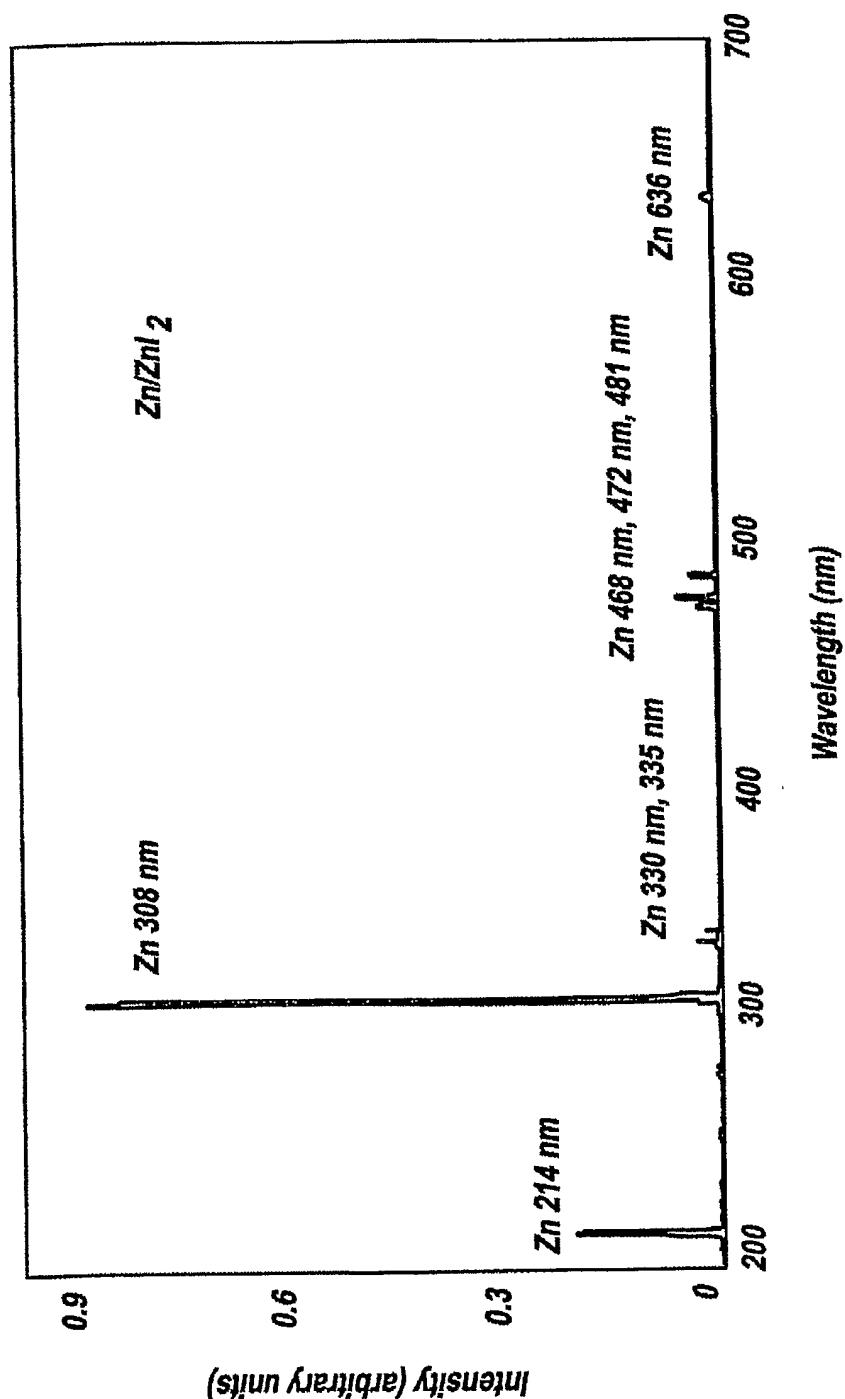


Fig. 4

## INTERNATIONAL SEARCH REPORT

International application No  
US2005/034916

**A. CLASSIFICATION OF SUBJECT MATTER**  
H01J61/12 H01J61/18

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
H01J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 3 778 662 A (JOHNSON P, US) 11 December 1973 (1973-12-11) claims 1,3,6,7 ----- X PATENT ABSTRACTS OF JAPAN vol. 2003, no. 09, 3 September 2003 (2003-09-03) -& JP 2003 142029 A (TOSHIBA LIGHTING & TECHNOLOGY CORP), 16 May 2003 (2003-05-16) abstract paragraphs '0019!, '0021!, '0052! -----	1-3 1-3
E	WO 2005/117064 A (PHILIPS INTELLECTUAL PROPERTY & STANDARDS GMBH; KONINKLIJKE PHILIPS EL) 8 December 2005 (2005-12-08) page 4, line 27 - line 29; claims 2,5-7 ----- -/-	4-10



Further documents are listed in the continuation of Box C.



See patent family annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&\* document member of the same patent family

Date of the actual completion of the international search

10 February 2006

Date of mailing of the international search report

23/02/2006

Name and mailing address of the ISA/  
European Patent Office, P.B. 5818 Patentlaan 2  
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Authorized officer

Smith, C

## INTERNATIONAL SEARCH REPORT

International application No  
US2005/034916

## C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 469 446 B1 (STOCKWALD KLAUS) 22 October 2002 (2002-10-22) abstract column 3, line 17 – line 20; claim 1 -----	4-6

## INTERNATIONAL SEARCH REPORT

Information on patent family members

Original application No  
JS2005/034916

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 3778662	A 11-12-1973	NONE	
JP 2003142029	A 16-05-2003	NONE	
WO 2005117064	A 08-12-2005	NONE	
US 6469446	B1 22-10-2002	AT 272895 T CA 2315492 A1 CN 1283867 A DE 19937312 A1 EP 1076353 A1 ES 2224949 T3 HU 0003245 A2 JP 2001076670 A	15-08-2004 10-02-2001 14-02-2001 15-02-2001 14-02-2001 16-03-2005 28-03-2001 23-03-2001

**Box No. VIII (ii) DECLARATION: ENTITLEMENT TO APPLY FOR AND BE GRANTED A PATENT**

*The declaration must conform to the standardized wording provided for in Section 212, see Notes to Boxes Nos. VIII (i) to (v) (in general) and the specific Notes to Box No. VIII (ii). If this Box is not used, this sheet should not be included in the request.*

Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate:

in relation to this international application,

GENERAL ELECTRIC COMPANY is entitled to apply for and be granted a patent by virtue of the following:

an assignment from

SOMMERER, Timothy John 367 Sweetman Road  
Ballston Spa, NY 12020

United States of America, MICHAEL, Joseph Darryl RR1, 53D Turner Road  
Schoharie, NY 12157

United States of America, SMITH, David John 28D Coachman Square  
Clifton Park, NY 12065

United States of America, MIDHA, Vikas 10 Meadow Run  
Clifton Park, NY 12065

United States of America, and COTZAS, George Michael 35 Waterview Drive  
Saratoga Springs, NY 12866

United States of America

to GENERAL ELECTRIC COMPANY, dated October 21, 2004, October 27, 2004, October 20, 2004, October 20, 2004 and October 21, 2004.

This declaration is made for the purposes of all designations, except the designation of the United States of America.



This declaration is continued on the following sheet, "Continuation of Box No. VIII (ii)".

**Box No. VIII (iii) DECLARATION: ENTITLEMENT TO CLAIM PRIORITY**

*The declaration must conform to the standardized wording provided for in Section 213, see Notes to Boxes Nos. VIII, VIII (i) to (v) (in general) and the specific Notes to Box No. VIII (iii). If this Box is not used, this sheet should not be included in the request.*

Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application specified below, where the applicant is not the applicant who filed the earlier application or where the applicant's name has changed since the filing of the earlier application (Rules 4.17(iii) and 51bis. 1(a)(iii)):

in relation to this international application,

GENERAL ELECTRIC COMPANY is entitled to claim priority of earlier application

10/957,893

by virtue of the following:

an assignment from SOMMERER, Timothy John, 367 Sweetman Road  
Ballston Spa, NY 12020  
United States of America, MICHAEL, Joseph Darryl, RR1, 53D Turner Road  
Schoharie, NY 12157  
United States of America, SMITH, David John, 28D Coachman Square  
Clifton Park, NY 12065  
United States of America, MIDHA, Vikas, 10 Meadow Run  
Clifton Park, NY 12065  
United States of America, and COTZAS, George Michael , 35 Waterview Drive  
Saratoga Springs, NY 12866  
United States of America

to GENERAL ELECTRIC COMPANY, dated October 21, 2004, October 27, 2004, October 20, 2004, October 20, 2004 and October 21, 2004.

This declaration is made for the purposes of all designations, except the designation of the United States of America.

This declaration is continued on the following sheet, "Continuation of Box No. VIII (iii)".