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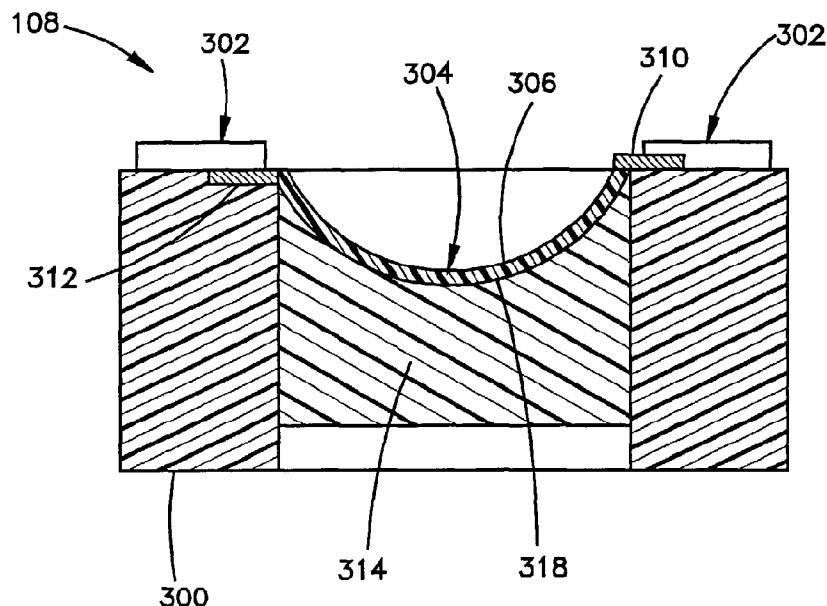
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(54) Title: MINIATURE ULTRASOUND TRANSDUCER



WO 02/43593 A1



(57) Abstract: An ultrasonic transducer (108) for use in medical imaging comprises a substrate (300) having first and second surfaces. The substrate (300) includes an aperture (301) extending from the first surface to the second surface. Electronic circuitry (302) is located on the first surface. A diaphragm (304) is positioned at least partially within the aperture (301) and in electrical communication with the electronic circuitry (302). The diaphragm (304) has an arcuate shape, formed by applying a differential pressure, that is a section of a sphere. A binder material (314) is in physical communication with the diaphragm (304) and the substrate (300).



— before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

MINIATURE ULTRASOUND TRANSDUCERField of the Invention

The invention relates generally to an ultrasound transducer, and more particularly, to a miniature ultrasound transducer fabricated using 5 microelectromechanical system (MEMS) technology.

Background of the Invention

Ultrasound transducers use high-frequency sound waves to construct images. More specifically, 10 ultrasonic images are produced by sound waves as the sound waves reflect off of interfaces between mechanically different structures. The typical ultrasound transducer both emits and receives such sound waves.

15 It is known that certain medical procedures do not permit a doctor to touch, feel, and/or look at tumor(s), tissue, and blood vessels in order to

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differentiate therebetween. Ultrasound systems have been found to be particularly useful in such procedures because the ultrasound system can provide the desired feedback to the doctor. Additionally, such ultrasound 5 systems are widely available and relatively inexpensive.

However, present ultrasound systems and ultrasound transducers tend to be rather physically large and are therefore not ideally suited to all applications where 10 needed. Moreover, due to their rather large size, ultrasound transducers cannot be readily incorporated into other medical devices such as, for example, catheters and probes. Hence, an ultrasound system and, more particularly, an ultrasound transducer of a 15 relatively small size is desirable. MEMS technology is ideally suited to produce such a small ultrasonic transducer.

Summary of the Invention

The present invention is an ultrasonic transducer 20 for use in medical imaging. The ultrasonic transducer comprises a substrate having first and second surfaces. The substrate includes an aperture extending from the first surface to the second surface. Electronic circuitry is located on the first surface. A diaphragm

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is positioned at least partially within the aperture and in electrical communication with the electronic circuitry. The diaphragm has an arcuate shape that is a section of a sphere. The transducer further
5 comprises a binder material in physical communication with the diaphragm and the substrate.

In accordance with another aspect of the present invention, a method of forming an ultrasonic transducer is provided. The method comprises the steps of
10 providing a substrate with an aperture, covering the aperture with a film, and applying a differential pressure across the film to form a diaphragm having a shape that is a section of a sphere. The method further comprises the step of applying binding material
15 to the diaphragm to maintain the spherical section shape of the diaphragm.

In accordance with another aspect, the present invention is a medical device for insertion into a mammalian body. The medical device comprises an
20 insertable body portion and an ultrasonic transducing section on the body portion. The ultrasonic transducing section has a plurality of ultrasonic transducers. Each of the plurality of ultrasonic transducers comprises a substrate having first and

second surfaces. The substrate includes an aperture extending from the first surface to the second surface. Electronic circuitry is located on the first surface. A diaphragm is located at least partially within the 5 aperture and in electrical communication with the electronic circuitry. The diaphragm has an arcuate shape that is a section of a sphere. Each ultrasonic transducer further comprises a binder material in physical communication with the diaphragm and the 10 substrate.

Brief Description of the Drawings

The foregoing and other features of the present invention will become apparent to those skilled in the art to which the present invention relates upon reading 15 the following description with reference to the accompanying drawings, in which:

Figs. 1 and 2 are block diagrams illustrating the operating principles of the present invention;

20 Figs. 3A and 3B are illustrations of a first embodiment of an ultrasound transducer constructed in accordance with the present invention;

Figs. 4A and 4B are illustrations of a second embodiment of an ultrasound transducer constructed in accordance with the present invention;

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Fig. 5 is an illustration of a portion of a medical device having an array of ultrasound transducers according to the present invention;

Figs. 6A-6E illustrate the process of fabricating 5 an ultrasound transducer in accordance with the present invention;

Figs. 6F and 6G illustrate an alternate process for fabricating an ultrasonic transducer in accordance with the present invention;

10 Figs. 7A-7E illustrate another alternate process for fabricating an ultrasonic transducer in accordance with the present invention; and

Figs. 8A-8H illustrate yet another alternate process for fabricating an ultrasonic transducer in 15 accordance with the present invention.

Detailed Description of Illustrated Embodiments

Referring to Figs. 1 and 2, block diagrams of an ultrasound system 100 according to the present invention are shown. More specifically, Fig. 1 20 illustrates the system 100 during a sound wave emitting cycle and Fig. 2 illustrates the system 100 during a sound wave receiving cycle. The system 100 includes imaging circuitry 102, transmitting/receiving circuitry 104, and an ultrasound transducer 106. The

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imaging circuitry includes a computer-based system (not shown) having appropriate logic or algorithms for driving and interpreting the sound echo information emitted and received from the transducer 106. The 5 transmitting/receiving circuitry 104 includes interfacing components for placing the imaging circuitry 102 in circuit communication with the transducer 106. As described in more detail below, the transducer 106 has at least one transducing device 108, 10 and optionally includes a plurality of such transducing devices as indicated by reference numbers 110 and 112. Each transducing device 108, 110, and 112 includes a transducing element and electronic circuitry for simplifying the communication between the 15 transducer 106 and the imaging circuitry 102.

In operation, the imaging circuitry 102 drives the transducer 106 to emit sound waves 114 at a frequency in the range of 35 to 65 MHz. It should be understood that frequencies of any other desired range could also 20 be emitted by the transducer 106. The sound waves 114 penetrate an object 116 to be imaged. As the sound waves 114 penetrate object 116, the sound waves reflect off of interfaces between mechanically different structures within the object 116 and form

reflected sound waves 202 illustrated in Fig. 2. The reflected sound waves 202 are received by the transducer 106. The emitted sound waves 114 and the reflected sound waves 202 are then used to construct an 5 image of the object 116 through the logic and/or algorithms within the imaging circuitry 102.

Figs. 3A and 3B illustrate a first embodiment of the ultrasound transducing device 108 in plan view and in cross-sectional view, respectively. The transducing 10 device 108 is formed on a substrate 300 that is approximately 1 mm³ in size or smaller, although it should be understood that the transducing device 108 could be larger or smaller than 1 mm³. The substrate 300 is made of silicon and has a topside and 15 a backside surface. The topside surface has electronic circuitry 302 formed thereon. The electric circuitry 302 is formed through conventional processes such as Complementary Metal Oxide Silicon (CMOS) fabrication. The electronic circuitry 302 can include 20 a large number of possible circuit designs and components including, but not limited to, signal conditioning circuitry, buffers, amplifiers, drivers, and analog-to-digital converters. The substrate 300 further has a hole or aperture 301 formed therein for

receiving a diaphragm or transducing element 304. The aperture 301 is formed through either conventional Computer Numerical Control (CNC) machining, laser machining, micromachining, microfabrication, or a 5 suitable MEMS fabrication process such as Deep Reactive Ion Etching (DRIE). The aperture 301 can be circular or another suitable shape, such as an ellipse.

The transducing element 304 is made of a thin film piezoelectric material, such as polyvinylidenefluoride 10 (PVDF) or another suitable polymer. The PVDF film may include trifluoroethylene to enhance its piezoelectric properties. Alternatively, the transducing element 304 could be made of a non-polymeric piezoelectric material such as PZT or ZnO. The PVDF film is spun and formed on 15 the substrate 300. A free standing film could also be applied to the substrate 300 in lieu of the aforementioned spin coating process. The transducing element 304 can be between 1000 angstroms and 100 microns thick. In the illustrated embodiment, the 20 transducing element 304 is approximately five to fifteen micrometers thick. However, as described below, the thickness of the transducing element 304 can be modified to change the frequency of the transducing

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device. The PVDF film is then made piezoelectric through corona discharge polling or similar methods.

The transducing element 304 has topside and backside surfaces 306 and 308, respectively. The 5 topside surface 306 is in electrical communication with an electrode 310 and the backside surface 308 is in electrical communication with an electrode 312. The electrodes 310 and 312 provide an electrical pathway from the circuitry 302 to the transducing element 304. 10 The electrodes 310 and 312 are formed, using a known micromachining, microfabrication, or MEMS fabrication technique such as surface micromachining, from conductive material such as a chrome-gold material or another suitable conductive material.

15 The transducing element 304 is capable of being mechanically excited by passing a small electrical current through the electrodes 310 and 312. The mechanical excitation generates sound waves at a particular frequency in the high-frequency or 20 ultrasound range between 35 and 65 MHz. The exact frequency depends upon, among other things, the thickness of the transducing element 304 between the topside and backside surfaces 306 and 308, respectively. Hence, by controlling the thickness of

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the transducing element 304, the desired transducing frequency can be obtained. In addition to being excited by current passed through the electrodes 310 and 312, the transducing element 304 can also be 5 mechanically excited by sound waves which then generate a current and/or voltage that can be received by the electrodes 310 and 312.

A binding material 314 preferably in the form of a potting epoxy is applied to the backside surface 308 of 10 the transducing element 304. The binding material 314 is electrically conductive and mechanically maintains the shape of the transducing element 304. The binding material 314 also provides attenuation of sound emissions at the backside surface 308.

15 Figs. 4A and 4B illustrate a second embodiment of the ultrasound transducing device 108 in plan view and in cross-sectional view, respectively. The second embodiment is substantially similar to the first embodiment of Figs. 3A and 3B, except that the 20 transducing device 108 according to the second embodiment includes one or more annular electrodes 402 and 404 operatively coupled between the electrodes 310 and 312. The annular electrodes 402 and 404 provide the transducing element 304 with the ability to form

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focused or directed sound waves. The annular electrodes 402 and 404 are made of standard metals and formed on the surface of the transducing element 304 by known microfabrication or MEMS fabrication techniques, 5 such as photolithography, prior to deformation of the transducing element.

Referring now to Fig. 5, an array 500 of ultrasound transducers 108 according to the present invention are shown. The array 500 can include 10 transducers 108 of the variety shown in Figs. 3A and 3B or Figs. 4A and 4B, or combinations thereof. The array 500 is illustrated as being located on a probe for inserting into a human body, but could be located on a wide variety of other medical devices. An input 15 and output bus (not shown) is coupled to each ultrasound transducer for carrying power, input, and output signals.

Referring now to Figs. 6A through 6D, fabrication of the present invention will now be discussed. Before 20 discussing the particulars, it should be noted that present invention is preferably fabricated on a wafer-scale approach. Nevertheless, less than wafer-scale implementation can also be employed such as, for example, on a discrete transducer level. The

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following description discusses a discrete transducer fabrication, but can also be implemented on a wafer-scale approach using known microfabrication, micromachining, or other MEMS fabrication techniques to 5 produce several thousand transducers from a single four inch silicon wafer.

Referring now particularly to Fig. 6A, the substrate 300 is provided from a conventional circuit foundry with the desired circuitry 302 already 10 fabricated thereon. The advantage of using substrates with circuitry already fabricated thereon is that existing circuit processing technologies can be used to form the required circuitry. The transducing element 304 is then spin-coated onto the substrate 300, 15 followed by the metallization of a thin-film (not shown) thereon. The transducing element 304 is then "polled", via corona-discharge or similar method, to render the film piezoelectric.

Referring now to Fig. 6B, the backside of the 20 substrate 300 is machined away to form the aperture 301. The machining process can be conventional CNC machining, laser machining, micromachining, or a MEMS fabrication process such as DRIE. The transducing device 108 is then turned

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upside-down as shown in Fig. 6C. Next, a pressure jig 600 is placed over the now downwardly-facing surface of the substrate 300. The pressure jig 600 includes a pressure connection 602 and a vacuum space 604. The pressure connection 602 connects the pressure jig 600 to a source of pressurized air or other gas. The pressure jig 600 creates a seal against the substrate 300 and forms a pressurized space 604 for pressurizing the aperture 301. The pressurized space 604 permits the creation of a differential pressure across the transducing element 304 which causes the transducing element to be drawn into the aperture 301. As shown in Fig. 6D, the differential pressure results in the transducing element 304 being deformed from a planar shape into an arcuate shape that is a substantially spherical section. The spherical section shape of the transducer element 304 is preferably less than hemispherical as may be seen in Fig. 6D, but could be hemispherical or another shape.

It should be understood that the pressure jig 600 shown in Figs. 6C-6E could be a portion of a larger jig for performing simultaneous pressurization of hundreds or even thousands of transducing devices 108 formed on a single silicon wafer.

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Referring now to Figure 6E, the binding material 314 is introduced into the aperture 301. The binding material 314 can be any shape once applied. The binding material 314 is a fluid or semi-solid when applied to the backside surface 308 of the transducing element 304 and the contacts the walls of the aperture 301 in the substrate 300. The binding material 314 subsequently dries to a solid. The binding material 314 is a suitable form of potting 5 epoxy, which can be either conductive or non-conductive. As described, the binding material 314 functions to maintain the substantially hemispheric shape of transducing element 304. The binding material 314 further acts to absorb sound waves 10 generated by transducing element 304 that are not used in the imaging process.

Figs. 6F and 6G illustrate an alternate process for fabricating the ultrasonic transducing device 108. The alternate process shown on Figs. 6F and 6G is 15 similar to the process steps shown in Figs. 6C-6E, except that the binding material 314 is placed in the aperture 301 behind the transducing element 304 before, rather than after, the differential pressure is applied 20 to the transducing element by the pressure jig 600.

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The liquid or semi-solid binding material 314 is then deflected along with the transducing element 304 by the differential pressure and, once solidified, mechanically supports the transducing element.

5 Figs. 7A-7E illustrate another alternate process for fabricating the ultrasonic transducing device 108. The alternate process of Figs. 7A-7E is similar to the process shown in Figs. 6A-6E, except that the pressure jig 600 brought down over the upwardly-facing surface 10 of the substrate 300 and the pressure source 602 pulls a vacuum, rather than applying increased pressure, in the aperture 301 to cause the desired deflection of the transducing element 304. Once the transducing element 304 is deflected as desired, the binding 15 material 314 is applied as discussed previously.

 Figs. 8A-8E illustrate another alternate process for fabricating the ultrasonic transducing device 108. In Figs. 8A-8E, components that are similar to components shown in Figs. 6A-6E use the same reference 20 numbers, but are identified with the suffix "a". Referring now particularly to Fig. 8A, the silicon substrate 300 is provided from a conventional circuit foundry and the desired circuitry 302 already fabricated thereon. The substrate 300 is already

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coated with a field oxide layer 330 which is then used to pattern the electrodes 310a and 312a (Fig. 8C) on the substrate. After the electrode 310a is deposited on the substrate 300 and operatively coupled to the 5 circuitry 302, the transducing element 304 is then spin-coated over the electrode 310a, as shown in Fig. 8B. The electrode 312a is then deposited over the transducing element 304, as shown in Fig. 8C.

Referring now to Fig. 8D, the backside of the 10 substrate 300 is etched, using a DRIE process, to form the aperture 301. A second etching process is then employed to remove the oxide inside the aperture 301 (Fig. 8E).

The transducing device 108 is then turned upside-down as shown in Fig. 8F. Next, a pressure jig 600 is 15 placed over the now downwardly-facing surface of the substrate 300. The pressure jig 600 includes a pressure connection 602 and a vacuum space 604. The pressure connection 602 connects the pressure jig 600 20 to a source of pressurized air or other gas. The pressure jig 600 creates a seal against the substrate 300 and forms a pressurized space 604 for pressurizing the aperture 301. The pressurized space 604 permits the creation of a differential

pressure across the transducing element 304 which causes the transducing element to be drawn into the aperture 301. As shown in Fig. 8G, the differential pressure results in the transducing element 304 being 5 deformed from a planar shape into an arcuate shape that is a substantially spherical section. The spherical section shape of the transducer element 304 is preferably less than hemispherical as may be seen in Fig. 6G, but could be hemispherical or another shape. 10 The transducing element 304 is then "polled", via corona-discharge or similar method, to render the film piezoelectric.

It should be understood that the pressure jig 600 shown in Figs. 8F-8G could be a portion of a larger jig 15 for performing simultaneous pressurization of hundreds or even thousands of transducing devices 108 formed on a single silicon wafer.

Referring now to Figure 8H, the binding material 314 is introduced into the aperture 301. The 20 binding material 314 can be any shape once applied. The binding material 314 is a fluid or semi-solid when applied to the backside surface 308 of the transducing element 304 and contacts the walls of the aperture 301 in the substrate 300. The binding

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material 314 subsequently dries to a solid. The binding material 314 is a suitable form of potting epoxy and should be non-conductive. As described, the binding material 314 functions to maintain the 5 substantially hemispheric shape of transducing element 304. The binding material 314 further acts to absorb sound waves generated by transducing element 304 that are not used in the imaging process.

From the above description of the invention, those 10 skilled in the art will perceive improvements, changes and modifications. For example, it is contemplated that the shape of the transducing element 304 could be a section of an ellipse, rather than a section of a sphere, in order to provide a different focus for the 15 transducing device 108 and/or alter the frequency of the transducing device. Such an elliptical section shape could be produced by varying the configuration of the aperture 301 in the substrate 300 or by varying the thickness of the transducing element 304. Further, the 20 annular electrodes 402 and 404 could also be formed to have a shape that is a section of an ellipse. Such improvements, changes and modifications within the skill of the art are intended to be covered by the appended claims.

Having described the invention, we claim:

1. An ultrasonic transducer for use in medical imaging, said ultrasonic transducer comprising:

5 a substrate having oppositely disposed first and second outer surfaces, said substrate including an aperture extending from said first outer surface to said second outer surface;

10 a diaphragm positioned at least partially within said aperture, said diaphragm having an arcuate shape that is a section of a sphere for focusing ultrasonic waves emitted from the diaphragm;

a plurality of electrodes in physical communication with said diaphragm; and

15 a binder material in physical communication with said diaphragm and said substrate.

2. The ultrasonic transducer of claim 1 wherein said diaphragm comprises a thin film piezoelectric material.

20

3. The ultrasonic transducer of claim 2 wherein said thin film piezoelectric material is a polyvinylidenefluoride film.

4. The ultrasonic transducer of claim 2, wherein
said thin film piezoelectric material is film comprising
polyvinylidenefluoride and trifluoroethylene.

5 5. The ultrasonic transducer of claim 1 wherein
said diaphragm comprises a free-standing film.

6. The ultrasonic transducer of claim 1 wherein
said binding material comprises a conductive material.

10

7. The ultrasonic transducer of claim 1 wherein
said binding material comprises a non-conductive
material.

15

8. The ultrasonic transducer of claim 1 wherein
said binder material is located at least partially within
said aperture, said binder material abutting and
supporting said diaphragm and attenuating sound waves
generated by said diaphragm.

20

9. The ultrasonic transducer of claim 1 wherein
said diaphragm has a thickness between 1000 angstroms and
100 microns.

10. The ultrasonic transducer of claim 9 wherein
said diaphragm has a thickness of approximately five to
fifteen micrometers.

5

11. The ultrasonic transducer of claim 1 wherein at
least one of said plurality of electrodes is an annular
electrode formed on a surface of said diaphragm and
operative to further focus emitted sound waves.

10

12. The ultrasonic transducer of claim 1 wherein
said diaphragm resonates at a frequency between 30 and
120 Mhz.

15

13. The ultrasonic transducer of claim 1 wherein
said first surface of said substrate comprises a surface
area of about 1 mm².

20

14. The ultrasonic transducer of claim 1 wherein
said substrate is fabricated from silicon.

15. A method for forming an ultrasonic transducer
comprising the steps of:

providing a silicon substrate, having oppositely disposed first and second outer surfaces;

5 creating an aperture in the substrate extending from the first surface to the second surface via a micromachining, microfabrication, or MEMS fabrication process;

covering the aperture with a film;

10 forming a plurality of electrodes in physical communication with the film via a micromachining, microfabrication, or MEMS fabrication process;

applying a differential pressure across the film to form a diaphragm having a shape that is a section of a sphere; and

15 applying binding material to the diaphragm to maintain the spherical section shape of the diaphragm.

16. The method of claim 15 wherein the electrodes are formed via surface micromachining.

20 17. The method of claim 15 wherein the aperture is provided via deep reactive ion etching.

18. The method of claim 15 wherein the step of

applying binding material is done before the differential pressure is applied.

19. The method of claim 15 wherein the step of
5 applying binding material is done after the differential pressure is applied.

20. The method of claim 15 further comprising the step of:

10 forming at least one annular electrode on a surface of the diaphragm.

21. The method of claim 15 further comprising the step of:

15 rendering the diaphragm piezoelectric.

22. The method of step 21 where the step of rendering the diaphragm piezoelectric comprises corona discharge polling of the diaphragm.

20

23. A medical device for insertion into a mammalian body, said medical device comprising:

an insertable body portion; and

an ultrasonic transducing section on said insertable body portion, said ultrasonic transducing section having at least one ultrasonic transducer, each of said at least one ultrasonic transducer comprising:

5 a substrate having oppositely disposed first and second outer surfaces, said substrate including an aperture extending from said first outer surface to said second outer surface;

10 a diaphragm positioned at least partially within said aperture, said diaphragm having an arcuate shape that is a section of a sphere for focusing ultrasonic waves emitted from said diaphragm;

 a plurality of electrodes in physical communication with said diaphragm; and

15 a binder material in physical communication with said diaphragm and said substrate.

24. The medical device of claim 23 wherein said diaphragm comprises a thin film piezoelectric material.

20

25. The medical device of claim 24, wherein said thin film piezoelectric material is a polyvinylidenefluoride film.

26. The medical device of claim 24, wherein said thin film piezoelectric material is a film comprising polyvinylidenefluoride and trifluoroethylene.

5

27. The medical device of claim 23 wherein said diaphragm comprises a free-standing film.

28. The medical device of claim 23 wherein said 10 binding material comprises a conductive material.

29. The medical device of claim 23 wherein said binding material comprises a non-conductive material.

15 30. The medical device of claim 23 wherein at least one of said plurality of electrodes is an annular electrode formed on a surface of said diaphragm and operative to further focus sound waves emitted by said at least one transducer.

20

31. The medical device of claim 23 wherein said binder material is located at least partially within said aperture, said binder material abutting and supporting

said diaphragm and attenuating sound waves generated by
said diaphragm.

32. The medical device of claim 23 wherein said
5 first surface of said substrate comprises a surface area
of about 1 mm².

33. The medical device of claim 23 wherein said
substrate is fabricated from silicon.

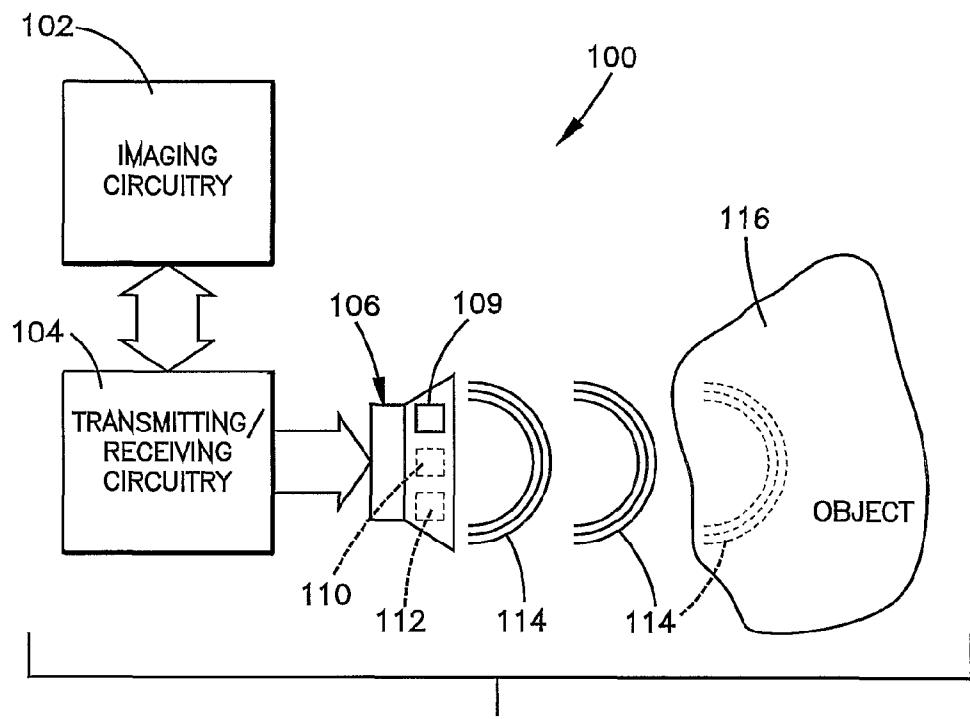


Fig.1

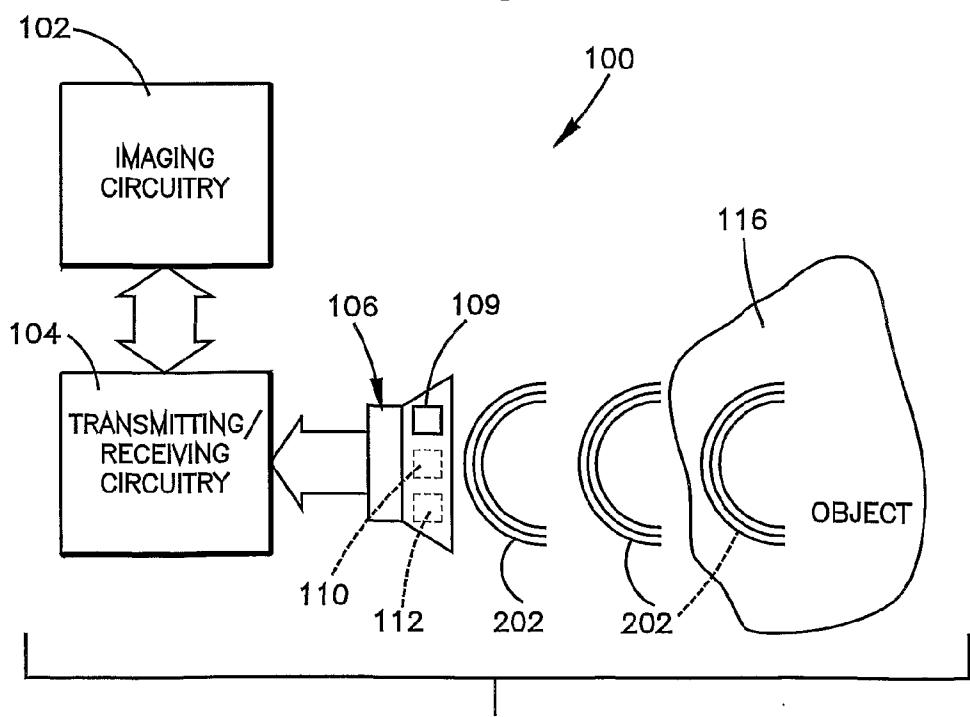


Fig.2

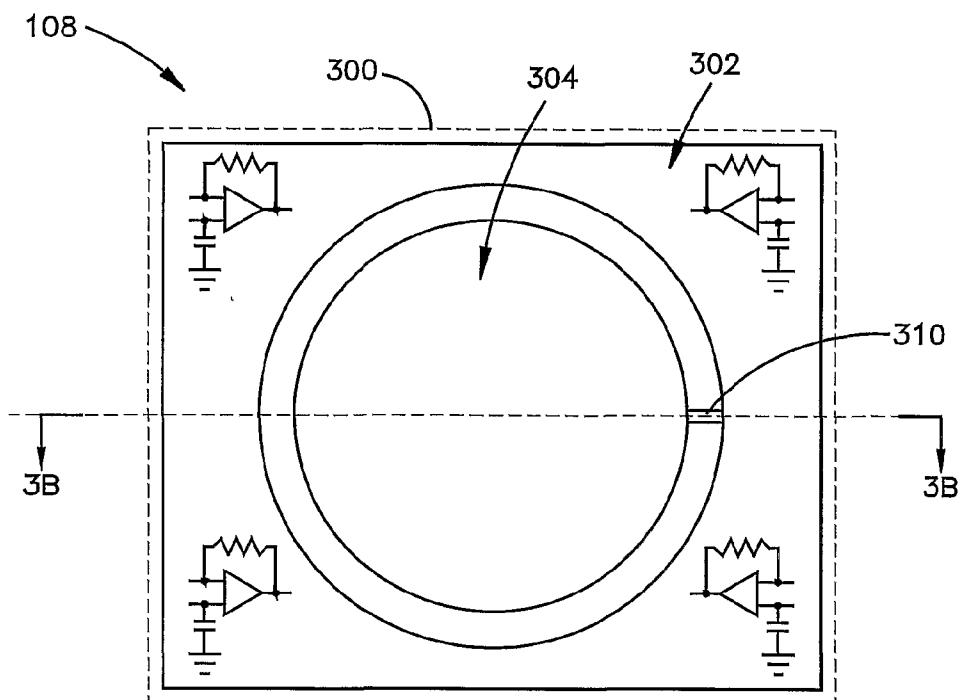


Fig.3A

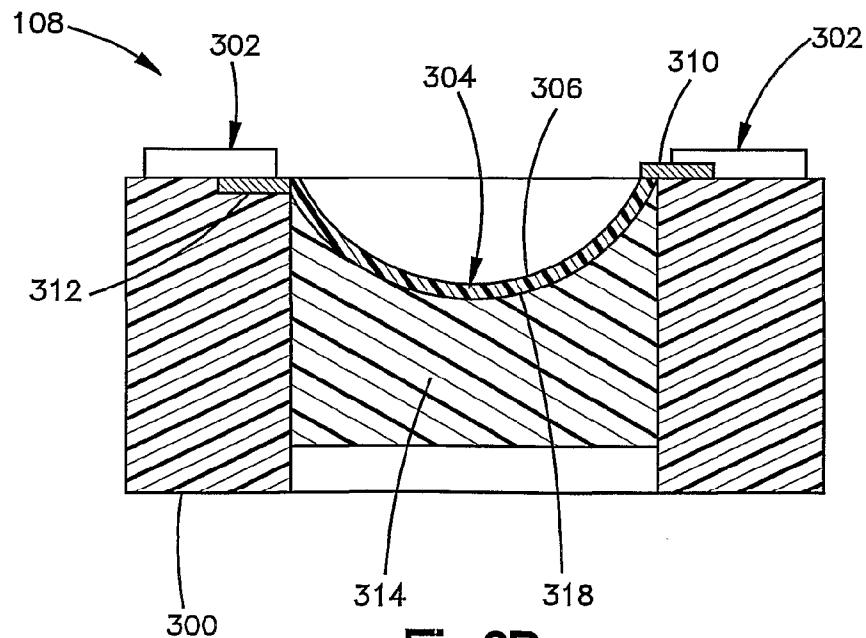


Fig.3B

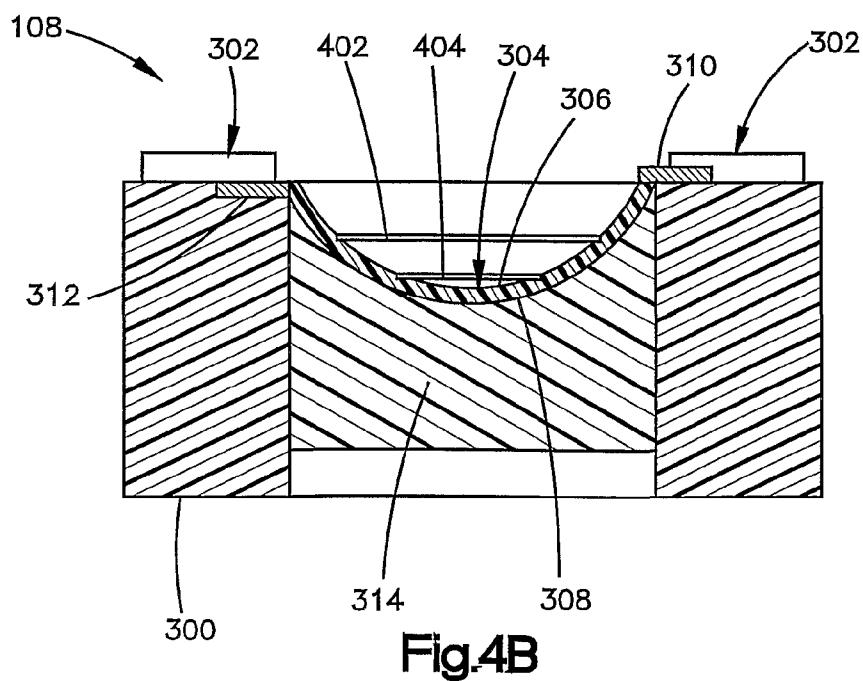
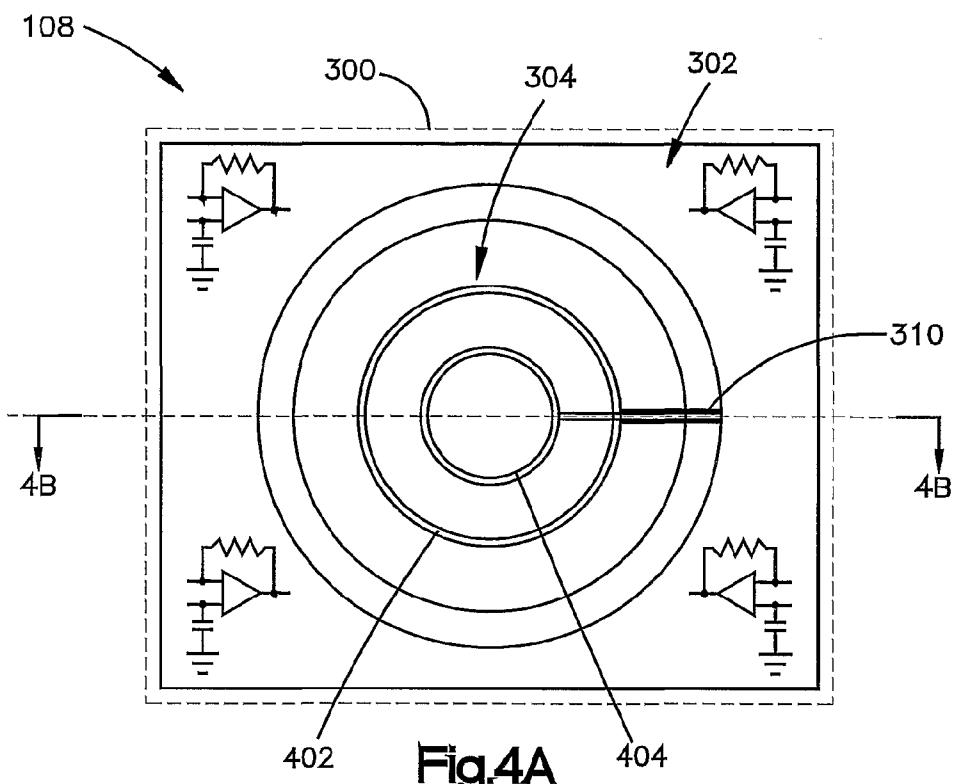
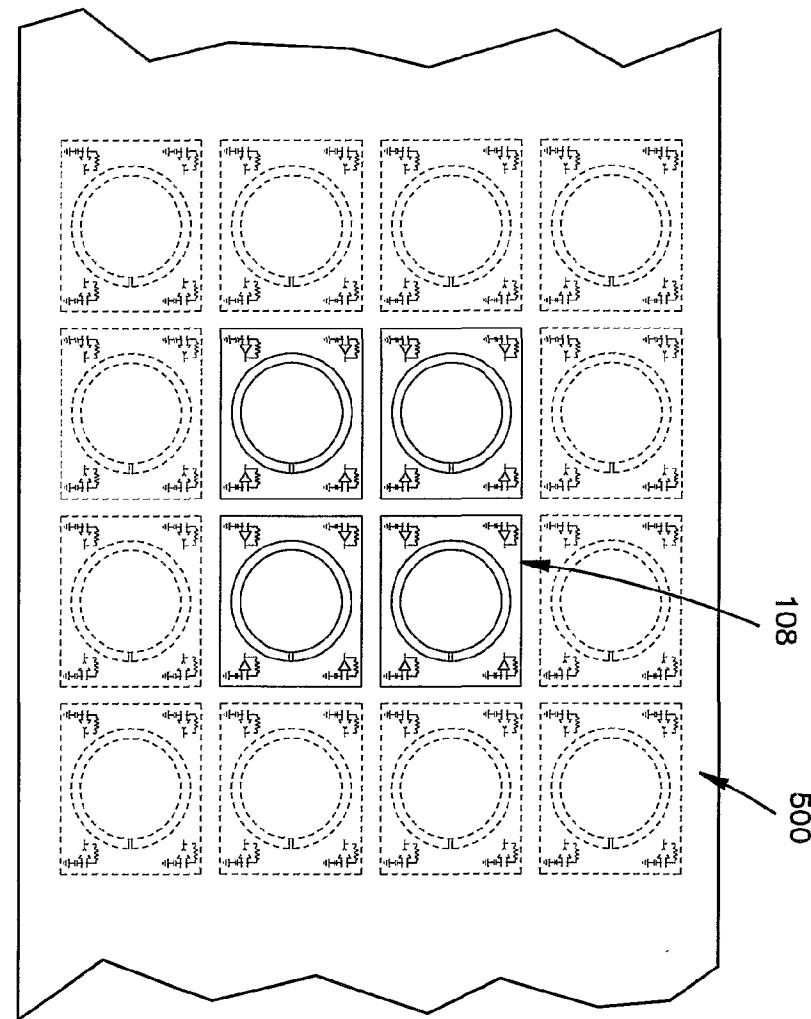


Fig.5



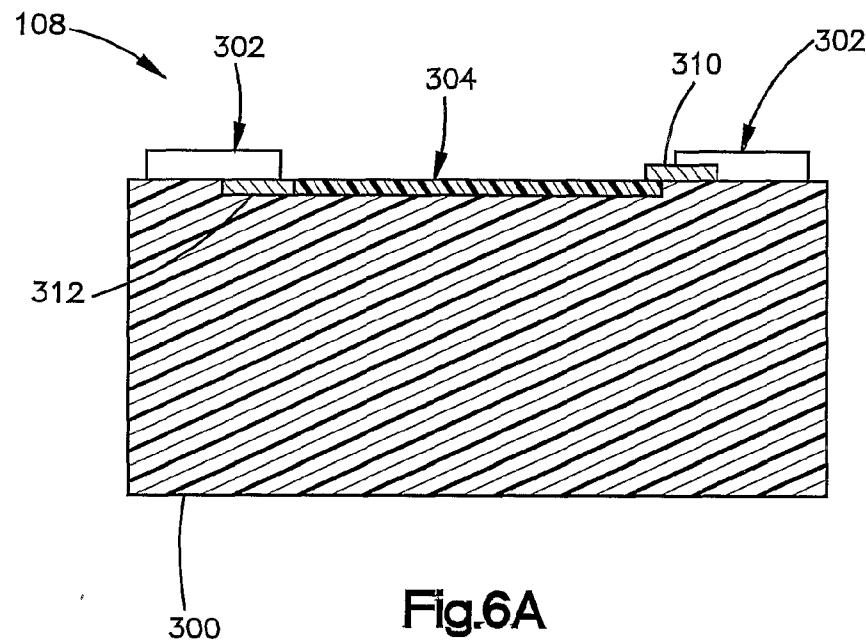


Fig. 6A

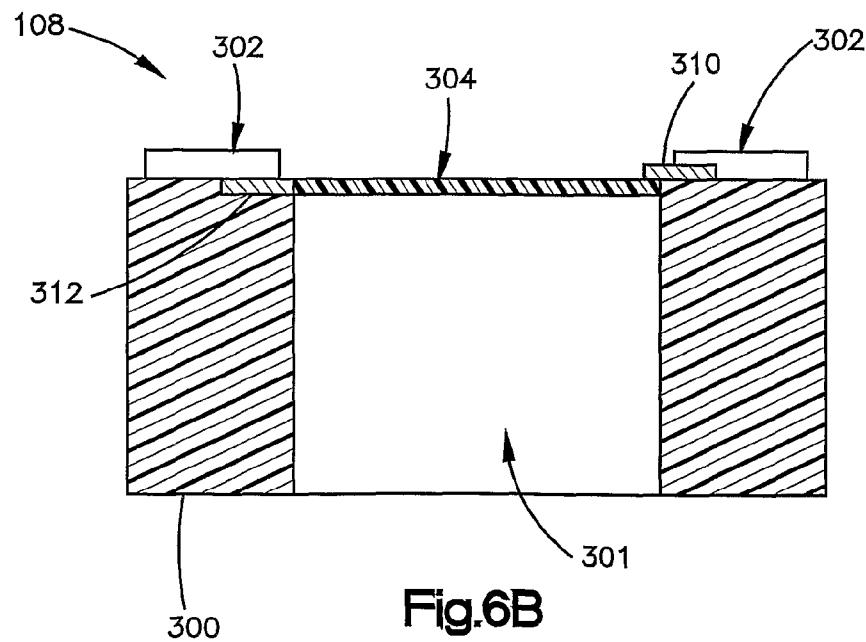


Fig. 6B

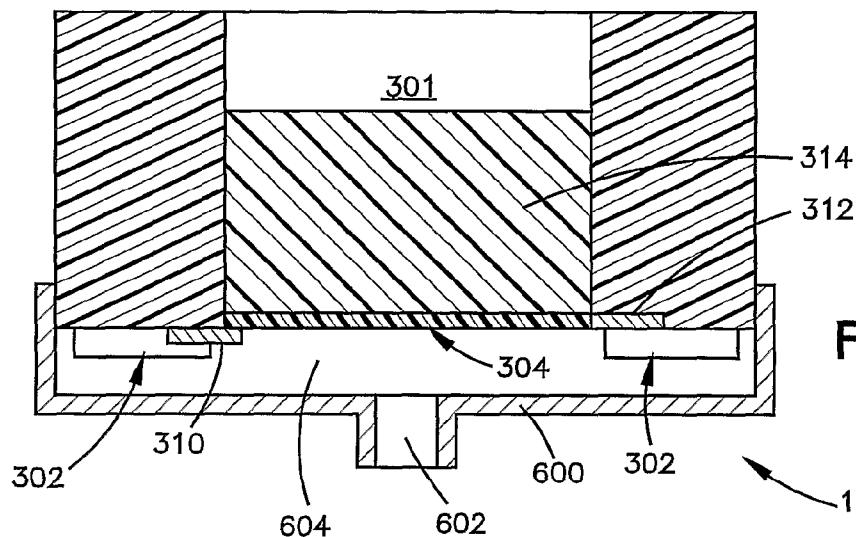


Fig.6F

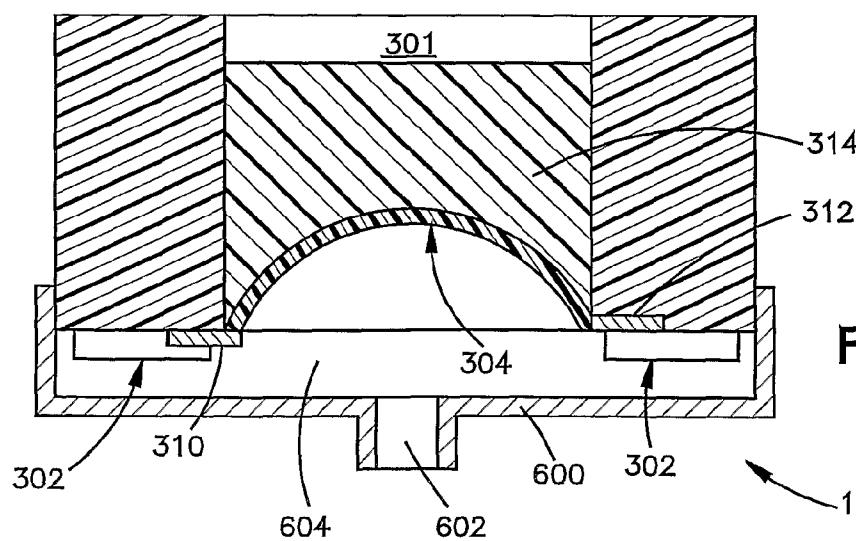


Fig.6G

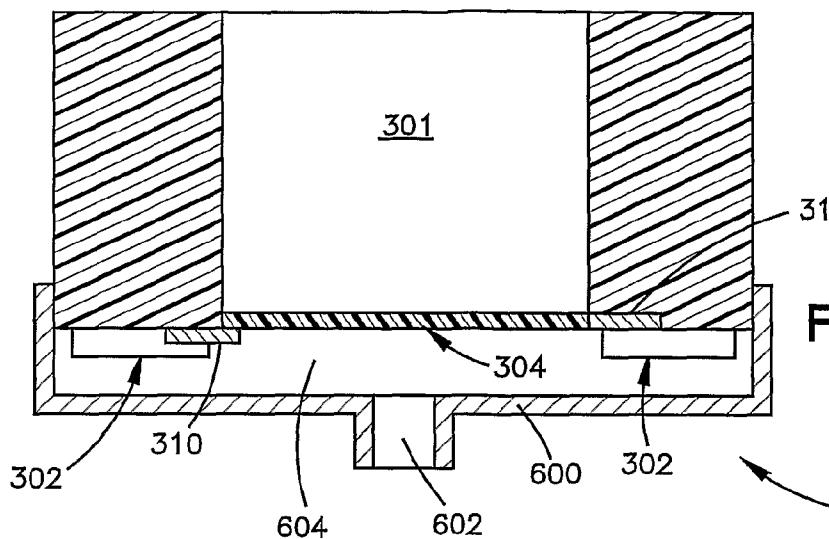


Fig.6C

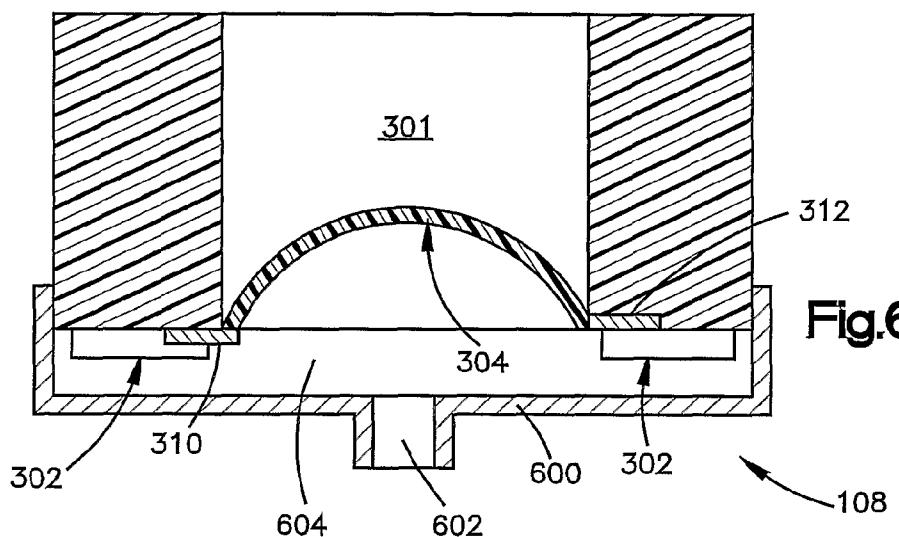


Fig.6D

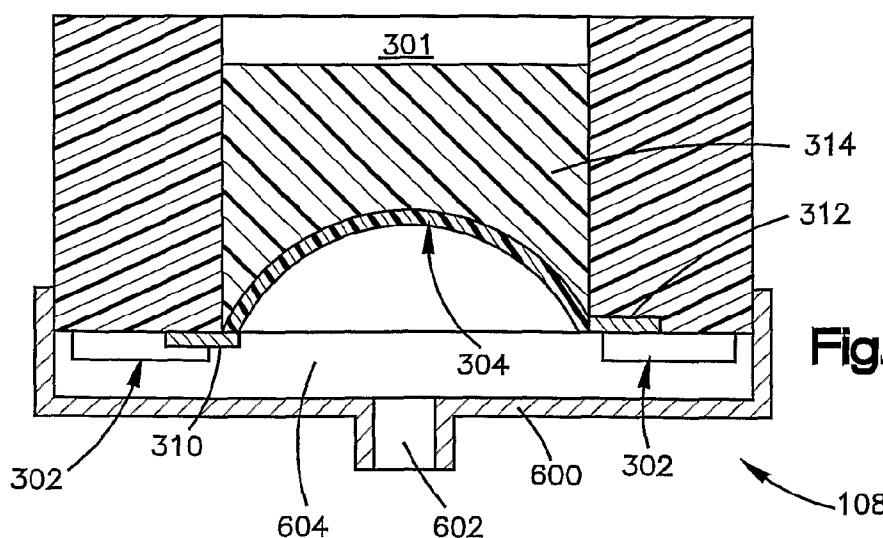
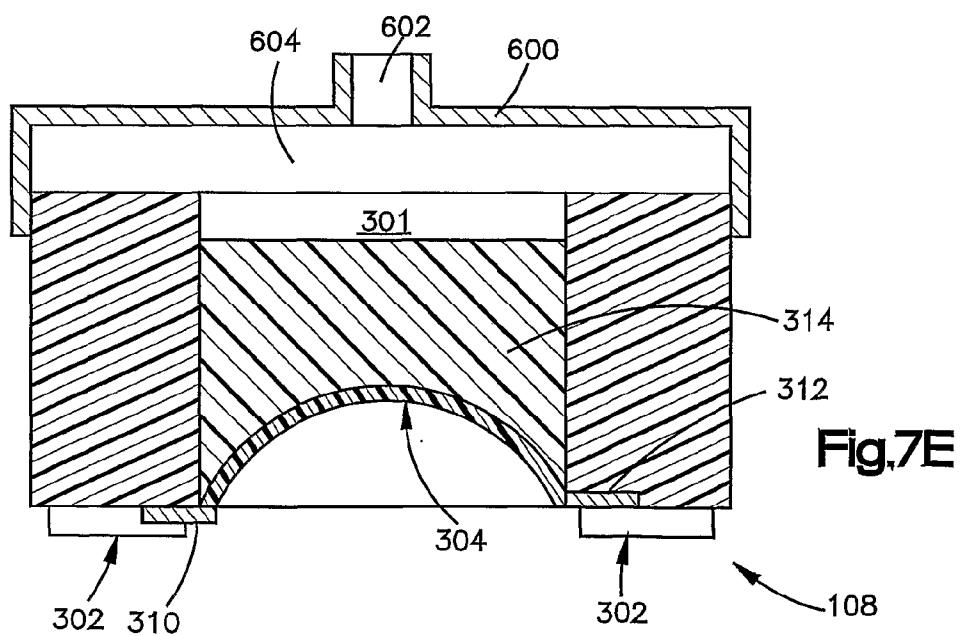
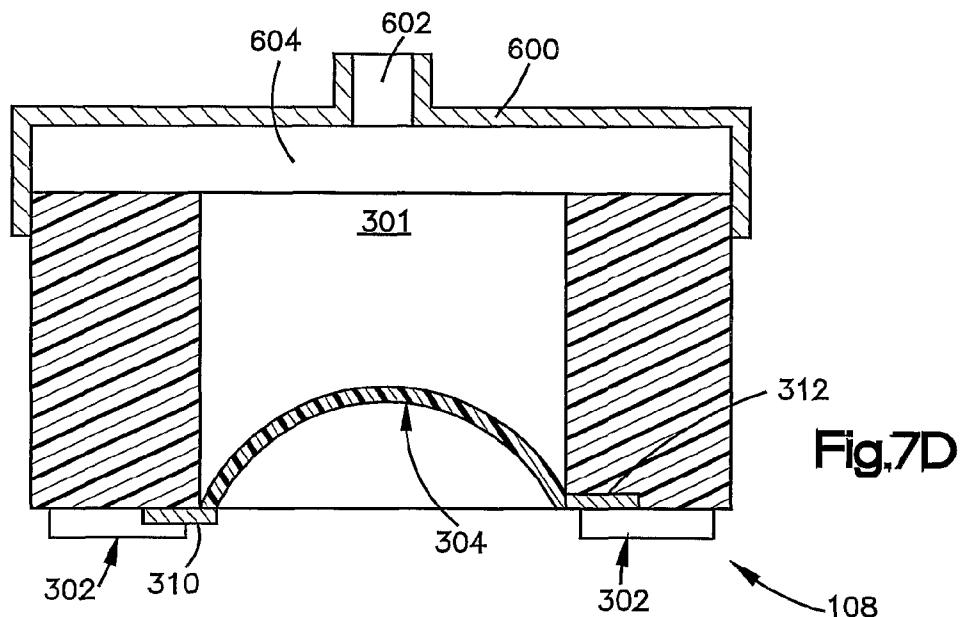


Fig.6E



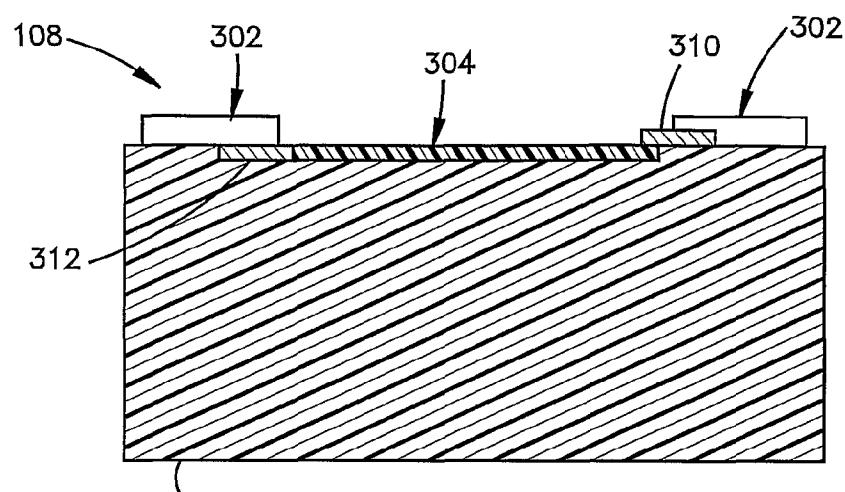


Fig.7A

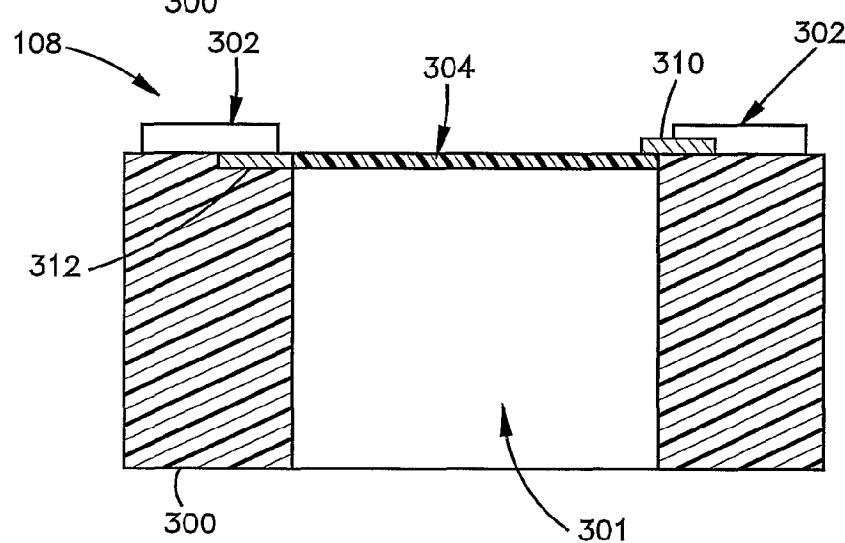


Fig.7B

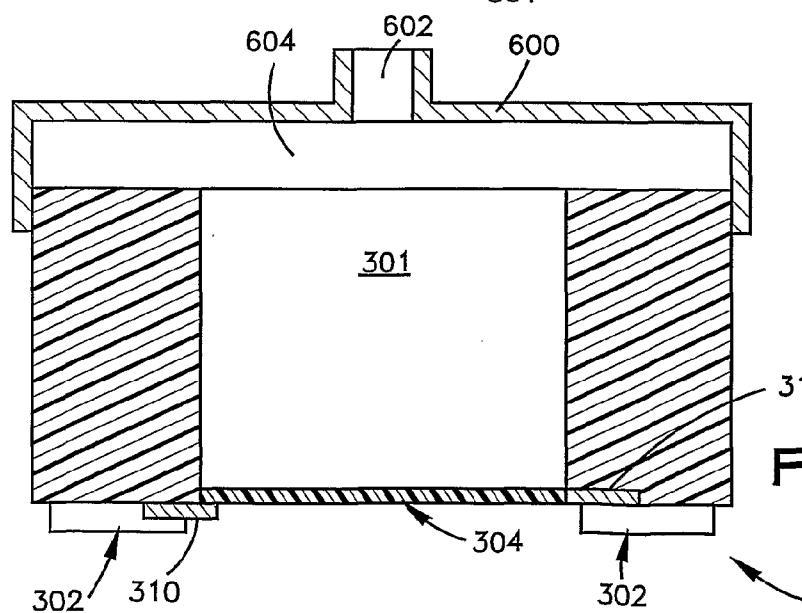


Fig.7C

